

Title: MicroPIPE: *An end-to-end solution for high-quality complete bacterial genome construction*

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35 **Abstract:**

36

37 Oxford Nanopore Technology (ONT) long-read sequencing has become a popular platform for
38 microbial researchers; however, easy and automated construction of high-quality bacterial
39 genomes remains challenging. Here we present MicroPIPE: a reproducible end-to-end bacterial
40 genome assembly pipeline for ONT and Illumina sequencing. To construct MicroPIPE, we
41 evaluated the performance of several tools for genome reconstruction and assessed overall
42 genome accuracy using ONT both natively and with Illumina. Further validation of MicroPIPE
43 was carried out using 11 sequence type (ST)131 *Escherichia coli* and eight publicly available
44 Gram-negative and Gram-positive bacterial isolates. MicroPIPE uses Singularity containers
45 and the workflow manager Nextflow and is available at <https://github.com/BeatsonLab-MicrobialGenomics/micropipe>.

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48 **Keywords:** Nanopore, ONT, pipeline, high quality, bacteria, assembly, polishing

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69 **Background:**

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71 Bacterial genome construction using short-read sequencing has historically been difficult,
72 largely due to the abundance of repeat sequences which collapse during *de novo* assembly,
73 resulting in breaks in contiguous sequence [1]. However, long-read sequencing technologies,
74 such as Oxford Nanopore Technology (ONT) and Pacific Biosciences (PacBio), are able to
75 traverse these repeats enabling complete bacterial genomes [2]. Long reads also present the
76 opportunity to correctly place single nucleotide variants (SNVs), particularly across complex
77 regions of the genome that require more genomic context than short reads can provide. The
78 accessibility and affordability of the ONT MinION sequencing device has resulted in its
79 widespread use globally, allowing researchers the autonomy to perform their own experiments
80 much more rapidly than through external sequencing facilities [3]. However, bacterial genome
81 construction continues to be problematic, especially for non-specialised researchers.

82

83 Numerous tools designed to address aspects of complete bacterial genome construction have
84 been developed by both ONT and community users, however few pipelines exist that offer end-
85 to-end construction of bacterial genomes. Currently, these include Katuali (ONT), CCBGpipe
86 [4], ASA³P [5] and Bactopia [6]. Katuali is an ONT developed assembly pipeline implemented
87 in Snakemake. It offers the user flexibility in software choice, but with limited guidance or
88 rationale. While ASA³P and Bactopia are able to generate assemblies using nanopore data,
89 overall these pipelines were not designed solely for *de novo* assembly and are more focused on
90 reproducible and comprehensive downstream analysis. CCBGpipe is distributed via Docker
91 and implements a series of python scripts to run Canu with Racon and Nanopolish. However,
92 this pipeline performs Nanopore-only assembly (without Illumina) and was designed using
93 Canu version 1.6, which is now several releases behind the current version (v2.1.1).

94

95 Substitution errors in nanopore reads have improved dramatically over recent years, from read
96 accuracies of 60% [7] to the currently reported 95% for 1D reads using R9.4.1 flow cells [8].
97 While this is approaching that of Illumina (99.9%) [9] and PacBio (99%) [10], single nucleotide
98 insertion/deletion (indel) errors remain problematic [11, 12]. Improvements in base-calling
99 software (e.g. that account for methylation) and the introduction of the R10 pore have reduced
100 these artefacts, but polishing nanopore assemblies with Illumina data has been generally
101 required to achieve the highest quality possible [13].

102

103 With the rapid pace of ONT progression, development of new software and pipelines, or
104 reappraisal of existing ones, has become an ongoing necessity. This has prompted the need for
105 appropriate validation sets, to assess (or reassess) the accuracy of results. While simulated
106 datasets provide an initial assessment of a tool's ability, data generated from biological sources
107 provide additional confidence in its real-world application, as has been developed previously
108 using metagenomic communities [14, 15]. *Escherichia coli* sequence type (ST)131 represents
109 a globally disseminated lineage that has been intensively studied as a result of its recent
110 emergence, antibiotic resistance and link to human disease [16-18]. Extensive knowledge of
111 both *E. coli* (as a species) and the ST131 lineage makes it an ideal dataset to use for software
112 and pipeline validation. Additionally, the *E. coli* ST131 strain EC958 represents an extensively
113 curated and highly accurate reference genome, having been sequenced on multiple occasions
114 using PacBio, Illumina and 454 pyrosequencing [19].

115

116 Here we present our complete pipeline, MicroPIPE, for automated construction of high-quality
117 bacterial genomes using software chosen by systematic comparison of the most popular tools
118 currently available in the community. Validation of each pipeline stage was completed using
119 the high-quality *E. coli* ST131 reference genome, EC958. Subsequent validation of the
120 complete pipeline was performed using 11 previously characterised ST131 *E. coli* strains, for
121 which completely assembled genomes were already available. Finally, we tested MicroPIPE
122 on eight other publicly available bacterial isolates that had both a complete genome and
123 associated raw nanopore sequencing data available. In all cases, we show that high-quality
124 bacterial reference genomes can be achieved using MicroPIPE.

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126 **Results:**

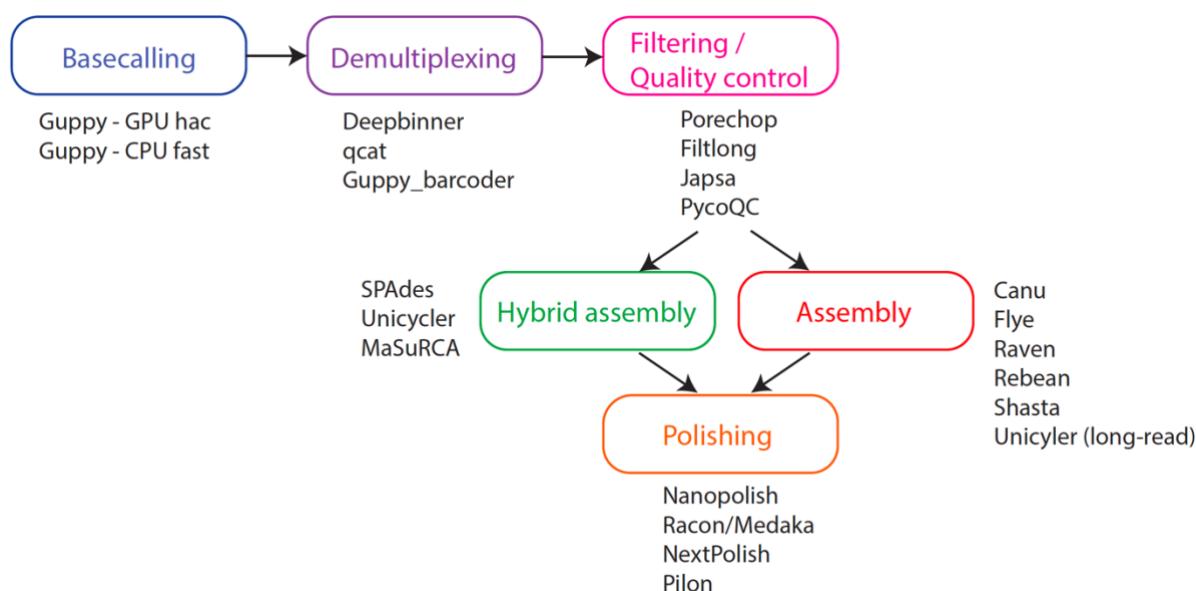
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128 **Section 1: pipeline results and comparison to EC958 complete genome**

129

130 The main goal of this study was to create a robust and easily applicable pipeline for the
131 construction of high-quality bacterial genomes with minimal manual manipulations. To
132 achieve this, we first evaluated the performance of commonly used software at each stage of
133 bacterial genome construction using the high-quality EC958 genome (Accession: HG941718)
134 as our standard for final genome accuracy. **Figure 1** shows a diagram of the whole workflow,
135 indicating the software chosen for comparison at each stage. Nanopore reads for EC958 were
136 generated on a multiplexed run of 12 using the rapid barcoding kit on an R9.4.1 flow cell.

137



138

139 **Figure 1: overall diagram of assembly stages and tool comparisons**

140

141 **Basecalling:**

142

143 To evaluate basecalling, we tested Guppy using both the “fast” and “high-accuracy” modes, as
144 well as the CPU vs. GPU configurations. When using Guppy v3.4.3 with the “high-accuracy”
145 setting on GPU servers we generated reads with approximately 91.0% accuracy in 828.5
146 minutes (13.81 hours). Using the “fast” mode on CPUs, we were able to generate 88.9%
147 accuracy in 2948.4 minutes (49.14 hours) (Table 1). Testing the “high-accuracy” mode on a
148 CPU server was unfeasible due to the time required for processing (fewer than 10% of reads
149 completed basecalling in one week). Despite the lower per-read accuracy when using CPUs
150 and the “fast” basecalling setting, the consensus quality of the overall finished genome (after
151 assembly and polishing through MicroPIPE v0.8) was of comparable quality to that generated
152 with the GPU and high-accuracy setting (Table 1).

153

154 We also tested the effects of methylation and found that using the “high-accuracy” model with
155 methylation-aware basecalling achieved a similar per-read accuracy (90.6%) to the “high-
156 accuracy” only model. The final assembly, however, had fewer SNPs (3 vs. 23 originally) and
157 indels (31 vs. 45 originally) compared to the reference standard (Table 1).

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161 **Table 1: Basecalling comparison: run-times, read accuracy and overall assembly accuracy**

<i>Basecalling comparison:</i>	Guppy3.4.3_hac	Guppy3.4.3_fast	Guppy3.4.3_hac_modbases	Guppy3.6.1_hac	Guppy3.6.1_hac_modbases
<i>Run time (ms)</i>	49,707,952	176,906,144	57,479,661	57,977,178	46,296,565
<i>Run time (h)</i>	13.81	49.14	15.96	16.10	12.86
<i>GPU/CPU</i>	GPU	CPU	GPU	GPU	GPU
<i>Num callers</i>	4	16	8	8	8
<i>Average read percent identity</i>	91.0	88.9	90.6	93.7	91.0
<i>Mean read quality</i>	11.4	10.4	11.3	13.3	11.4
<i>Number of binned reads (qcat)</i>	240,766	233,802	238,847	244,830	240,156

Final assembly comparison:

<i>Assembly nucleotide identity (%)</i>	99.99	99.99	99.99	99.99	99.99
<i>Number of SNP (DNAdiff)</i>	23	35	3	4	5
<i>Number of indels (DNAdiff)</i>	45	39	31	25	27
<i>Assembly quality score (Pomoxis)</i>	48.10	48.08	50.99	52.27	51.83
<i>Mismatches per 100 kb (QUAST)</i>	0.44	0.67	0.06	0.08	0.10
<i>Indels per 100 kb (QUAST)</i>	0.88	0.76	0.63	0.50	0.53

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164 **Demultiplexing:**

165

166 For demultiplexing we tested three tools: Deepbinner, Guppy_barcoder and qcat. While Guppy
 167 and qcat rely on basecalled reads, Deepbinner uses the raw fast5 reads. As such, we compared
 168 the total numbers of binned reads after both basecalling and binning for each tool. Overall qcat
 169 was the fastest demultiplexer, and was able to bin 89% of reads, compared to 84% for
 170 Guppy_barcoder and 75% for Deepbinner (**Supplementary Figure 1**). We prioritised read
 171 retention to maximise coverage of each genome. As such, qcat was chosen as the default

172 demultiplexer for MicroPIPE. Following the recent depreciation of qcat, we have also provided
173 Guppy_barcoder as an optional demultiplexer.

174

175 **Filtering:**

176

177 Here we trialled two filtering tools: Filtlong and Japsa. Filtlong has the advantage of being
178 versatile enough to filter based on a number of requirements, such as read length, quality,
179 percentage of reads to keep and the option of using an external reference. Japsa primarily filters
180 based on read length and quality. Read metrics after filtering using each tool are given in
181 **Supplementary figure 2**. Overall, we found that filtering with Japsa retained more reads, but
182 with a reduced N50 read length and median read quality compared to Filtlong. Both tools took
183 an equivalent amount of time to run. For all downstream analysis we filtered reads using Japsa
184 with a minimum average quality cut-off of Q10 and 1 kb minimum read length, although
185 Filtlong would have been equally suitable. Both filtering tools are available as optional steps
186 in micropipe.

187

188 **Assembly:**

189

190 A number of tools have been designed for *de novo* assembly from long reads. Here we
191 compared six popular assembly tools and evaluated speed, completeness (of the chromosome
192 and plasmids, including circularisation) and correctness (i.e. nucleotide identity) based on the
193 complete EC958 reference genome standard, which contains 1 chromosome (5,109,767 bp)
194 and 2 plasmids (135,602 bp and 4080 bp). Parameters used for all assemblers are given in
195 **Supplementary Dataset 1**.

196

197 Overall, we found that all assemblers constructed the chromosome and larger (~135 kb)
198 plasmid (**Figure 2, Supplementary Table 2**). Raven, Redbean and Shasta did not assemble
199 the smaller ~4 kb plasmid. While Canu was able to assemble both plasmids, closer inspection
200 found them to be much larger than expected (1.4x and 2x larger for the large and small plasmid,
201 respectively) due to overlapping ends that required additional trimming. Interestingly, both
202 Flye and Canu assembled a third, previously unidentified, small plasmid of ~1.8 kb in size.
203 This small plasmid was only identified when the Flye “—plasmids” mode was selected (to
204 rescue short unassembled plasmids) and when certain or no filtering parameters were applied
205 to the reads prior to assembly (**Supplementary Table 3**). Comparison of this small plasmid to

206 the Illumina data for the EC958 reference genome standard confirmed its presence and was
207 likely missed in the original assembly.

208

209 For most *de novo* assemblies, a number of small (<4.5 kb) misassemblies were detected, mainly
210 on the chromosome (**Figure 2**). This included a small inversion, which on closer inspection
211 was found to be an invertible phage tail protein that has been characterised previously [19].
212 This inversion was found in the Flye, Unicycler, Raven and Redbean assemblies and was not
213 counted as a misassembly due to its biological relevance.

214

215 Additional contigs were found in both Canu and Unicycler (long-read only mode). The three
216 additional contigs produced by Unicycler all matched other parts of the EC958 reference
217 genome standard (two on the chromosome, one on the larger plasmid). The additional contig
218 in Canu matched part of the additional ~1.8 kb plasmid.

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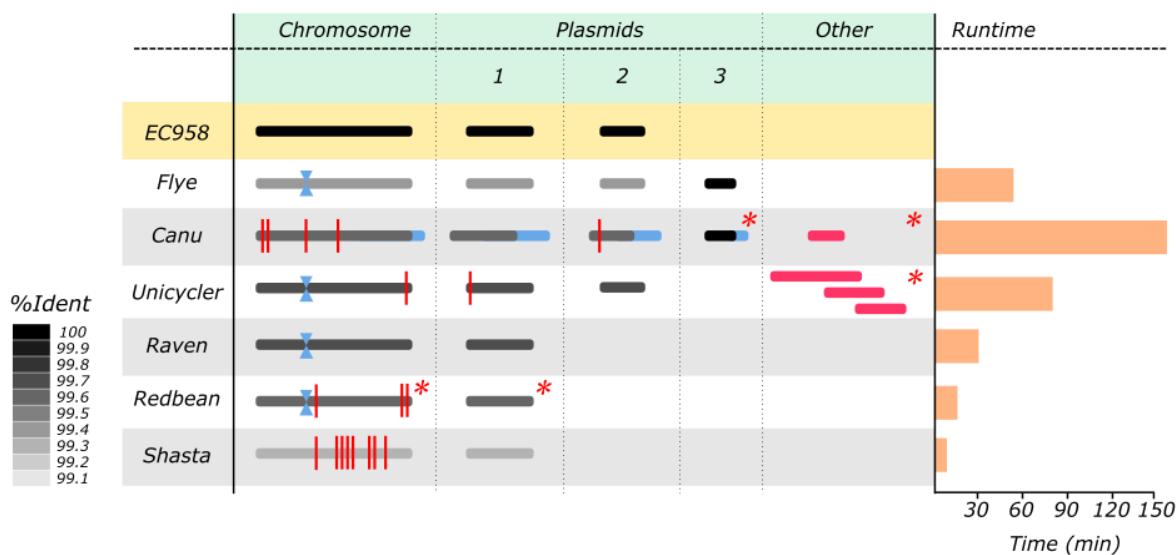
220 In terms of speed, Shasta, Redbean and Raven were the fastest assemblers, completing in less
221 than 30 minutes. Of the remainder, Flye was four times faster than Canu and two times faster
222 than Unicycler. The majority of contigs from all assemblers were reported as circularised upon
223 assembly completion, with the exception of the additional contigs in Canu and Unicycler.
224 Redbean did not generate circularisation information, although the chromosome and plasmid
225 contigs could be circularised manually or using 3rd party software following assembly. Overall,
226 we found that Flye generated the best *de novo* assembly from long read data without the need
227 for manual intervention.

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232

233 **Figure 2: Assembly comparison:** long horizontal bars represent contiguous sequences generated by each
234 assemblers. The chromosome and plasmids 1 and 2 are coloured according to their overall nucleotide identity when
235 compared to the EC958 reference genome standard. The additional blue bars in the Canu plasmids represent the
236 increased size of the plasmids from this assembler. Contigs that were not reported as circularised are marked with
237 a red asterisk (*). Misassemblies are marked with a red vertical line at their approximate position. The phage tail
238 protein inversion is marked with a blue hourglass.

239

240 **Polishing:**

241

242 Polishing of assemblies generated using long reads is currently regarded as a necessity for ONT
243 data due to high per-read errors that can persist through to the *de novo* assemblies [13]. Here
244 we tested the polishing capabilities of three different tools (Racon/Medaka, NextPolish and
245 Nanopolish) using nanopore long reads against the *de novo* assembly generated using Flye. We
246 additionally tested polishing with Illumina short reads (NextPolish and Pilon), which have a
247 higher basecall accuracy. Polishing was tested both independently (i.e., long read and short
248 read separately) as well as sequentially (long read followed by short read polishing) to
249 determine the best polishing protocol.

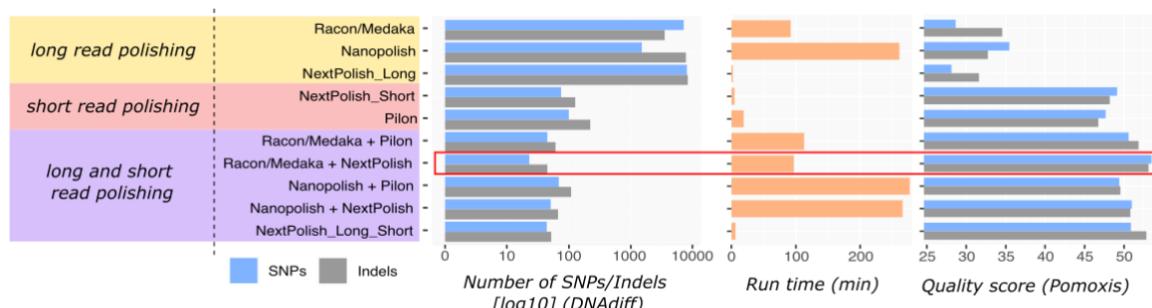
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251 Overall, we found that polishing with Racon and Medaka (using long reads) followed by
252 NextPolish (using short reads) achieved the most accurate assemblies (**Figure 3**,
253 **Supplementary Table 4**). Polishing using only long or short reads did not produce comparable
254 levels of accuracy, therefore we emphasize the requirement of short read sequencing in parallel
255 with Nanopore for high-quality complete genome assembly (as is already commonly done).

256

257 To confirm our choice of Flye as the best assembler, we polished assemblies generated from
258 the other five long-read assemblers, described above, using this strategy (**Supplementary**
259 **Table 5**). The polished Flye assembly remained the most accurate, closely followed by the
260 polished Raven assembly.

261



262

263 **Fig 3: Polishing results for EC958 ONT Flye assembly:** Comparative analysis of (i) long read polishing only,
264 (ii) short read polishing only, and (iii) sequential long read and short read polishing, using various tool
265 combinations. Comparison metrics were the number of SNPs/indels to the EC958 reference genome standard (by
266 DNAdiff), run time and quality score (by Poxomis assess_assembly).

267

268 **Hybrid assembly:**

269

270 In addition to long-read assembly (followed by short-read polishing), hybrid assemblers
271 capable of using both long and short reads simultaneously have also been developed, and
272 include Unicycler, MaSuRCA and SPAdes. Comparison of these pipelines to our genome
273 completed with Flye, Racon, Medaka and NextPolish found that they did not outperform our
274 current method. Unicycler was the only hybrid assembler able to completely resolve the
275 chromosome and both plasmids (SPAdes failed to circularise the chromosome while
276 MaSuRCA was unable to assemble the 4 kb plasmid) (**Supplementary Table 6**). Additional
277 long and short read polishing greatly improved the accuracy of the Unicycler and SPAdes
278 hybrid assemblies but not MaSuRCA (**Supplementary Table 5**). We compared the quality of
279 the genomes generated by either the best long-read only assembly (Flye) or the best hybrid
280 assembler based on accuracy and structure (Unicycler) and polished with the same strategy.
281 The polished assemblies contained a similar number of indels compared to the EC958 reference
282 genome standard, however the Flye assembly contained around two-fold fewer substitution
283 errors (**Supplementary Table 5**). Furthermore, Flye was nearly eight times faster than
284 Unicycler (**Supplementary Table 6**).

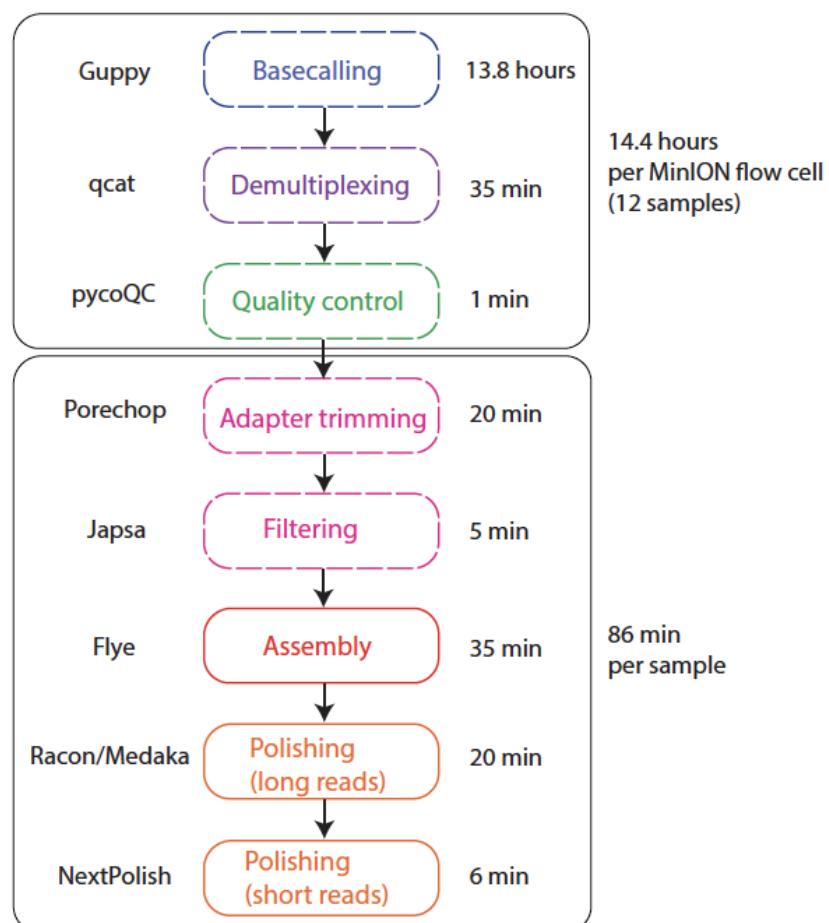
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286 **Overall pipeline:**

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288 Based on the results of our comparative analysis for all of the major steps of bacterial genome
289 assembly, we have developed MicroPIPE (**Figure 4**). The pipeline is written in Nextflow [20]
290 and the dependencies are packaged into Singularity [21] container images available through
291 the Docker Hub and Quay.io BioContainers repositories. The bioinformatics workflow
292 manager Nextflow allows users to run the pipeline locally or using common High-Performance
293 Computing schedulers. Each step of the pipeline uses a specific container image which enables
294 easy modifications to be made in the future to include new or updated tools. The pipeline is
295 freely available on Github: <https://github.com/BeatsonLab-MicrobialGenomics/micropipe>.

296



297

298 **Figure 4: Overall pipeline:** Steps involved in genome assembly and the default tool selected for each stage.
299 Steps with dotted outline are optional. Time for running each step is provided based on running 12 multiplexed
300 *E. coli* samples with MicroPIPE v0.8. Basecalling (Guppy) and long-read polishing (Racon and Medaka) were
301 run on a GPU node. The rest of the pipeline was run using CPU resources.

302

303 **Evaluation of remaining differences with EC958 reference genome standard:**

304

305 The final genome for EC958 produced by MicroPIPE v0.8 was compared to the previously
306 published EC958 reference genome standard (GenBank: HG941718.1) to assess any remaining
307 differences. We observed a single 3.4 kb inversion corresponding to a phage tail protein
308 switching event previously characterised in EC958 [19]. Overall, there were no other structural
309 rearrangements. MicroPIPE assembled an additional ~1.8 kb plasmid, with 100% nucleotide
310 identity to previously reported *E. coli* plasmids (GenBank records CP048320.1, KJ484633.1,
311 [22]). This plasmid appears to have been lost during size selection when constructing the
312 original genomic DNA library for PacBio RSII sequencing of EC958 as it could be identified
313 from *de novo* assembly of the corresponding Illumina reads.

314

315 Comparison of the two assemblies identified 68 remaining differences (66 on the chromosome,
316 2 on pEC958) (for full list, please see **Supplementary Dataset 1**). The two differences in the
317 plasmid sequence correspond to known errors in the EC958 reference genome standard
318 (PacBio assembly constructed without Illumina polishing). The majority of the chromosomal
319 differences were indels (n=45, 67%) ranging from 1-6 bp in size. These indels were mainly
320 found in rRNA (n=31), tRNA (n=4), insertion sequences (n=4), or phage-related genes (n=2).
321 The remaining 23 differences were SNPs, which were similarly found mainly in rRNA (n=13)
322 and insertion sequences (n=8). These remaining differences likely represent an inability of
323 current short-read polishing to adequately determine true alleles in repetitive regions of the
324 genome. Using methylation-aware basecalling was found to significantly improve these errors,
325 with only 3 SNPs and 31 indels (**Supplementary Table 7**).

326

327 During preparation of this manuscript, Guppy v3.6.1 was released. MicroPIPE v0.9 (Guppy
328 v3.6.1) was able to resolve 21 out of the 23 SNPs and 32 out of 45 indels compared to the
329 MicroPIPE v0.8 assembly (Guppy v3.4.3) (**Supplementary Dataset 1, Supplementary**
330 **Figure 3, Supplementary Table 7**), relative to the published genome. Two SNPs and 12 indels
331 were additionally detected using v3.6.1, which were not detected using v3.4.3. Both SNPs were
332 detected in IS elements, while 11 out of the 12 indels were detected in rRNA genes. Overall,
333 the v3.6.1 assembly performed better than the v3.4.3 assembly with only 29 differences
334 compared to the complete EC958 genome (4 SNPs and 25 indels). Interestingly, using
335 methylation-aware basecalling with Guppy v3.6.1 was not found to improve overall assembly
336 accuracy (**Supplementary Table 7**).

337 **Section 2: Validation of 11 ST131 *E. coli***

338

339 To further test the robustness of MicroPIPE on other genomes, we included an additional 11
340 well-characterised ST131 *E. coli* strains [16] on a multiplexed run of 12 *E. coli* (in addition to
341 EC958).

342

343 Each strain took on average 86 minutes to run completely through MicroPIPE v0.8 using 16
344 threads (excluding the basecalling and demultiplexing steps) (**Figure 4**). Of these 11 isolates,
345 all had complete circularised chromosomes of the expected size. They also carried an array of
346 plasmids, which were circularised in all cases except for a single isolate, HVM2044
347 (**Supplementary Table 8**). Re-analysis of this sample found that complete circularised
348 plasmids can be achieved by adjusting the read filtering step. We also identified additional
349 small plasmids in seven out of the 12 genomes ranging between 1.5-5 kb in size. Importantly,
350 we found that these plasmids are not recovered when using filtering parameters above 1 kb.

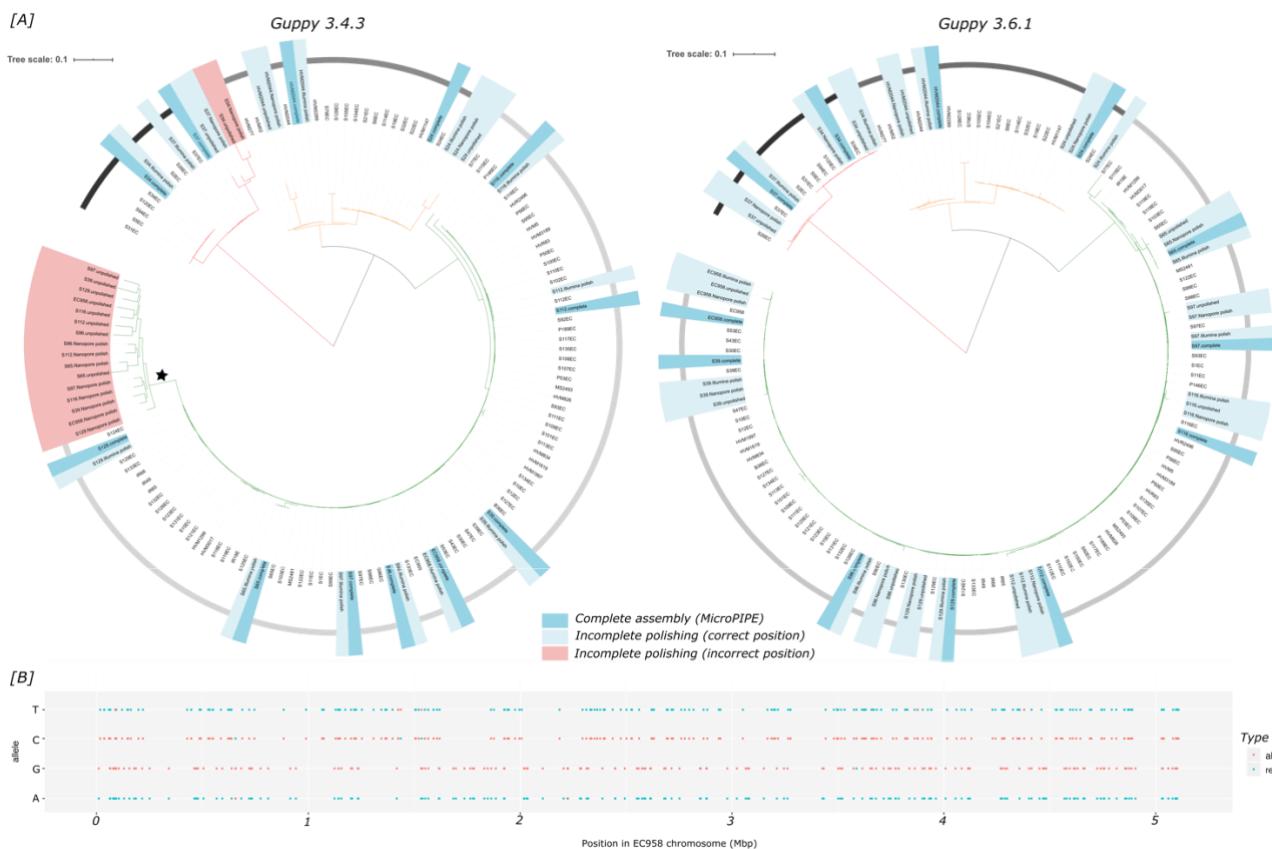
351

352 In order to confirm the accuracy of the assemblies generated with MicroPIPE, we recreated the
353 ST131 phylogeny from [16] using (i) the complete MicroPIPE assembly, (ii) long read only
354 polished assembly, (iii) short read only polished assembly and (iv) unpolished Nanopore
355 assembly, and assessed the position of each strain within the tree. We found that all MicroPIPE
356 v0.8 assemblies and ONT assemblies polished with Illumina clustered closest to their Illumina
357 counterpart within the phylogenetic tree (**Figure 5A**). However, the long read polished and
358 unpolished ONT assemblies in most cases did not cluster as expected. They also displayed
359 longer branches indicative of the remaining errors within the assembly. Interestingly, the long
360 read polished and unpolished assemblies for all ST131 isolates belonging to our previously
361 defined fluoroquinolone-resistance clade C [16, 17] clustered together independent of other
362 clade C strains, possibly representing systematic errors from the ONT data. Further
363 interrogation of the branch leading to this cluster identified 401 shared SNPs. Of these SNPs,
364 97% were transitions, particularly A -> G (n=187) and T -> C (n=203) (**Supplementary Table**
365 **9, Figure 5B**). Further analysis of these sites determined that 393 (98%) were associated with
366 a Dcm methylase motif CC(A/T)GG (**Supplementary Figure 4**).

367

368 We also evaluated the MicroPIPE v0.9 assemblies using Guppy v3.6.1, which was released
369 during preparation of this manuscript. By re-basecalling and recreating all assemblies as before,

370 we found a remarkable increase in the accuracy of Nanopore-only assemblies, such that all
371 assemblies clustered in their expected position within the tree (**Figure 5A**).
372
373



374
375 **Figure 5: ST131 Phylogeny to assess quality of ONT assemblies:** [A] *dark blue*: Complete polished assemblies
376 from the MicroPIPE pipeline next to their Illumina assembly counterpart in the tree, *light blue*: assemblies with
377 incomplete polishing (i.e. Illumina only, Nanopore only or no polishing) clustered with their Illumina counterpart,
378 *red*: discrepant clustering of Nanopore assemblies. [B] position of alt alleles compared to the EC958 reference
379 standard chromosome present on branch leading to discrepant ONT assemblies as indicated by the star in (A).
380

381 Section 3: MicroPIPE validation using publicly available ONT sequenced bacteria

382

383 Lastly, we tested MicroPIPE using eight public genomes from both Gram-positive and Gram-
384 negative bacteria with available raw nanopore data (fast5) and validated our results using their
385 corresponding publicly available complete genomes (**Supplementary Dataset 1**,
386 **Supplementary Table 10**). As most of these isolates were sequenced using entire flow cells,
387 the coverage was reduced to 100x during the initial Flye assembly stage to minimise processing
388 time.
389

390 Using MicroPIPE v0.9, we were able to completely assemble the chromosome and plasmids
391 of all eight isolates. We were also able to recover two additional plasmids from the *Salmonella*
392 *enterica* str. SA20055162 that were not reported in the original assembly (**Supplementary**
393 **Table 10**).

394

395 To determine the accuracy of MicroPIPE, we compared our final assemblies with the submitted
396 complete genome for each isolate. Overall, the fewest differences were detected between our
397 MicroPIPE assembly and the complete genome of *Streptococcus pyogenes* strain SP1336,
398 constructed using PacBio long-read sequencing (8 SNPs, 96 indels; **Supplementary Table**
399 **10**). All other comparisons yielded 25-510 SNPs, and 14-758 indels, with the worst overall
400 being the *Salmonella enterica* serovar Napoli strain LC0541/17 (**Supplementary Table 10**).

401

402 With the exception of *S. pyogenes* SP1336, all other complete genomes were constructed using
403 previously assembled nanopore data (**Supplementary Dataset 1**). As such, we hypothesise
404 that our MicroPIPE assemblies likely represent corrections to the existing complete genomes,
405 as a result of updated basecalling and assembly methods. Further investigation found that one
406 sample, *Salmonella enterica* Bareilly str. CFSAN000189, also had a corresponding complete
407 genome constructed using PacBio data. Comparison of our MicroPIPE assembly to this
408 complete genome detected 0 SNPs and 15 indels, while there were 32 SNPs and 34 indels
409 compared to the ONT complete genome.

410

411 **Discussion:**

412

413 ONT long-read sequencing has quickly become one of the most prominent sequencing
414 platforms for microbial researchers globally. However, despite the large number of bacterial
415 genomes being completed using ONT, few end-to-end genome assembly pipelines exist. Here
416 we created an easy, automated and reproducible genome assembly pipeline for the construction
417 of complete, high-quality genomes using ONT in combination with Illumina sequencing. We
418 also provide a robust, publicly available set of 12 ST131 genomes that can be used to validate
419 future pipeline development or software advancements.

420

421 One of the main benefits of nanopore sequencing is its cost effectiveness, particularly when
422 multiplexing several samples onto a single flow cell. Methods have been developed to improve
423 yield and length during DNA extraction in order to achieve longer sequencing reads [14, 23].

424 However, here we show with our method that high-quality complete genomes can be achieved
425 using a standard, commercially available DNA extraction kit coupled with up to 12 multiplexed
426 samples. This build on other advances such as those described by Wick *et al.* [24], and
427 establishes an updated packaged pipeline that provides an efficient, cost effective and
428 reproducible approach to bacterial genome construction.

429

430 In our comparative analysis of different aspects of bacterial genome assembly, we chose not to
431 explore the effect of basecallers outside of ONT Guppy basecaller. This comparison has
432 already been completed previously [13], where it was found that Guppy outperformed other
433 existing basecallers. Guppy is also the default basecaller coupled with several of Oxford
434 Nanopore's devices, such as the MinIT, PromethION and GridION. For these reasons, we felt
435 that it was in the best interest of the community to provide a pipeline that used Guppy as the
436 basecaller. We also made a point of testing both the "high accuracy" mode on a GPU server
437 compared to the "fast" mode on a CPU server, as not all Nanopore users would have access to
438 GPU facilities. We found that, while the GPU server was significantly faster, basecalling reads
439 using the "fast" mode with CPUs can also achieve high-quality genomes with MicroPIPE.

440

441 During preparation of this manuscript, Guppy v3.6.1 was released with a raw read accuracy of
442 >97% using R9.4.1 flow cells (<https://nanoporetech.com/accuracy>). Community feedback
443 regarding this upgraded version supported increased overall accuracy, which prompted us to
444 incorporate this version into our analysis (MicroPipe v0.9). We also found that Guppy v3.6.1
445 increased the overall accuracy of our assemblies, particularly where it came to unresolved
446 indels using v3.4.3, which were suspected to be the result of technical artefacts around
447 methylated sites [23]. Using Guppy v3.6.1 made Nanopore-only assemblies more feasible,
448 particularly in cases where sufficient genetic context can be provided (e.g. identification of
449 outbreak vs. non-outbreak strains). However, we found that overall both v3.4.3 and v3.6.1 still
450 required polishing with short-read Illumina for maximum accuracy.

451

452 We observed some redundancy in the choice of tools for demultiplexing. Binning of reads with
453 both Guppy_barcoder and qcata performed almost equivalently (in terms of number of reads
454 binned), with minimal differences in the overall assembly (**Supplementary Table 11**). Recent
455 improvements to Guppy_barcoder, which were released by ONT after compilation of this
456 manuscript, suggest that Guppy_barcoder is likely to be the default standard moving forward.

457

458 MicroPIPE implements a modest filtering measure to remove shorter, low quality reads from
459 the dataset. In this study, we found that filtering reads below 5 kb had little effect on the final
460 chromosome and larger plasmids, while filtering above 1 kb resulted in the loss of several small
461 plasmids in a number of strains (**Supplementary Tables 12 and 13**). Filtering with Filtlong at
462 “--min-length 1000 --keep_percent 90” resulted in the loss of the additional ~1.8 kb small
463 plasmid identified in EC958, which was retained when filtering with Japsa at “--min-length
464 1000”. As such, we have implemented a 1 kb filtering cut-off (using Japsa) as default in
465 MicroPIPE to retain reads and small plasmids. However, we also found when testing
466 MicroPIPE on publicly available data that harsher filtering is sometimes desirable, especially
467 in cases where a single bacterial genome has been sequenced using an entire flow cell (such
468 that we used the Flye parameter “--asm-coverage 100” to reduce coverage for initial disjointig
469 assembly). As such, pre-processing of large quantities or highly ununiform data using Filtlong
470 may be the most desirable method. Ultimately, understanding the quality and read lengths of
471 the input data is a valuable step in generating the best possible assembly. We also provided the
472 user read quality assessment using PycoQC to assist in parameter selection.

473

474 Several other comparative analyses have been published exploring the overall utility of
475 different assemblers, in particular Wick *et al.* [25], who provide a comprehensive assembly
476 comparison using both simulated and real read datasets. While we did not test NECAT and
477 Miniasm, we found that our results generally matched those reported by Wick *et al.*,
478 particularly when it came to the overall strong performance of Flye. The most recent version
479 of Flye (v2.8) also removes the need to nominate a genome size, making it a more robust option.
480 However, we found that this version did not outperform the release used in this paper (v2.5)
481 on our dataset, as it was unable to circularise all plasmids. As such, we have retained Flye v2.5
482 in MicroPIPE.

483

484 Long and short read polishing is a staple of high-quality genome assembly, as the combination
485 of both ensures the correct contextual placement of variants as well as highly accurate
486 basecalls. However, while long-reads have enabled completion of assemblies by spanning
487 repetitive regions, polishing of these regions with short reads remains a problem. Here we
488 found that the majority of remaining differences between our EC958 ONT assembly and the
489 reference assembly (constructed with PacBio single molecule real time [SMRT] sequencing)
490 resided in repetitive regions. Ideally, polishing with long reads only would be a viable method
491 to reduce these errors as they would have sufficient coverage to ensure correct placement of

492 the repeat variant. However, as we show here, long read-only polishing was insufficient (likely
493 due to per-read accuracy), and short read polishing was necessary for removal of the majority
494 of errors. Currently, final polishing and assembly prior to completion will still necessitate
495 manual frameshift inspection. While impractical and costly, a combination of both PacBio and
496 ONT assembly could correct inherent biases in both technologies, using a consensus tool such
497 as Trycycler (<https://github.com/rrwick/Trycycler>). Long-read correction could also provide
498 another means of error reduction, however, was not assessed in this paper [26, 27].

499

500 We validated MicroPIPE using a set of 12 well-characterised *E. coli* isolates described
501 previously from a global collection [16, 17]. We did this for several reasons, including (i) the
502 availability of an existing high-quality reference genome and associated phylogenetic data (ii)
503 the robustness of *E. coli* as a representative species and workhorse organism, and (iii) our
504 extensive knowledge of the *E. coli* genome and ST131 lineage. We hope that by providing this
505 dataset to the wider community, it can serve as a resource for future validation and testing of
506 not only MicroPIPE, but other microbial assembly pipelines and tools.

507

508 In addition to in-house ONT sequencing data, we also tested MicroPIPE on a variety of publicly
509 available bacterial genomes to evaluate its assembly capabilities on other species. Without any
510 manual intervention, MicroPIPE was able to assemble all eight genomes, while also recovering
511 additional plasmids that were likely missed in the original assembly. When evaluating
512 correctness of the genomes, we found a number of remaining SNPs and indels when compared
513 to the complete genomes provided. Investigation into construction of the reference genomes
514 found that seven of the eight genomes provided were constructed previously using ONT
515 sequencing data, leading us to believe that differences in our assemblies compared to the
516 “reference” genomes may actually be corrections. Indeed, the genome with the closest match
517 between reference and MicroPIPE assembly were the genomes constructed using PacBio. As
518 such, we believe that genomes completed historically using ONT reads should be used
519 cautiously, and raw ONT data provided where possible to allow for reconstruction and
520 improvement of the assembly as the technology improves.

521

522 **Conclusions:**

523

524 Overall, we present an end-to-end pipeline for high-quality bacterial genome construction
525 designed to be easily implemented in the research lab setting. We believe this will be a useful

526 resource for users to easily and reproducibly construct complete bacterial genomes from
527 Nanopore sequencing data.

528

529 **Methods:**

530

531 **Public data:**

532 The EC958 complete genome was downloaded from NCBI (GenBank: HG941718.1,
533 HG941719.1, HG941720.1) [19]. Illumina reads for 12 ST131 genomes and draft assemblies
534 for 95 ST131 were accessed from [16]. Eight publicly available complete genomes were also
535 selected to test MicroPIPE, under the following criteria: (i) the raw nanopore sequencing files
536 (fast5) were available, (ii) a complete genome was made available for the same strain and (iii)
537 Illumina sequencing data were available for the same strain. These eight genomes represented
538 5 species from both gram-positive and gram-negative bacteria with chromosome sizes between
539 1.8 Mbp – 5.5 Mbps. A complete list of data used is provided in **Supplementary dataset 1**.

540

541 **Culture and DNA extraction:**

542 12 ST131 *E. coli* isolates (including EC958) were grown from single colonies in Lysogeny
543 Broth (LB) at 37°C overnight with 250 rpm shaking. The overnight cultures (1.5 mL) were then
544 pelleted for DNA extraction using the Wizard Genomic DNA Purification Kit (Promega)
545 following manufacturer's protocol with modifications. Briefly, the cell pellet was lysed
546 following the protocol for Gram negative bacteria. RNA was removed by 1h incubation at 37°C
547 with RNase and the lysate was then mix with Protein Precipitation Solution by vortexing for
548 5s at max speed using Vortex-Genie 2 with horizontal tube adapter (Scientific Industries). The
549 DNA was precipitated using isopropanol and washed with 70% ethanol. The DNA pellet was
550 air-dried and then rehydrated in 100 µl EB buffer (QIAgen) by incubation at 65°C for 1 hour.
551 The DNA was quantified using a Qubit fluorometer (ThermoFisher Scientific) and the DNA
552 fragment size was estimated using agarose gel electrophoresis (0.5% agarose in TAE, 90V,
553 1h30m).

554

555 **Nanopore sequencing:**

556 DNA from 12 ST131 *E. coli* were multiplexed onto a single FLO-MIN106 flow cell using the
557 rapid barcode sequencing kit (SQK-RBK004) as per manufacturer's recommendation with the
558 following adjustments: the barcoded DNA was pooled without a concentration step using

559 AMPure XP beads prior to sequencing. Read metrics for each isolate are given in
560 **Supplementary table 1.**

561

562 **Pipeline tools and settings:**

563 Specific parameters and commands used to perform the following analyses are provided in full
564 in **Supplementary dataset 1**. MicroPIPE v0.8 uses Guppy v3.4.3, while MicroPIPE v0.9 uses
565 Guppy v3.6.1.

566

567 *Basecalling:*

568 Reads were basecalled using Guppy (v3.4.3) “fast” and “high-accuracy” modes. Fast mode was
569 evaluated using both GPU and CPU servers, while the “high-accuracy” mode was evaluated
570 using only GPU as the time to completion for this mode became unfeasible when run using
571 CPUs. Upon the release of Guppy v3.6.1, reads were re-basecalled using only the “high-
572 accuracy” mode. Guppy versions (3.4.3 and 3.6.1) were tested using the methylation aware
573 config file “dna_r9.4.1_450bps_modbases_dam-dcm-cpg_hac.cfg”.

574

575 *Demultiplexing:*

576 Demultiplexing was evaluated using Guppy_barcoder (v3.4.3) and qcat (v1.0.1) on the
577 “passed” (>Q7) fastq reads after basecalling with Guppy. Demultiplexing using the raw fast5
578 reads was evaluated using Deepbinner (v0.2.0) [28]. Demultiplexed fast5 reads were
579 subsequently basecalled with Guppy (v3.4.3).

580

581 *Quality control:*

582 Barcodes and adapters were trimmed using Porechop (v0.2.3_seqan2.1.1)
583 (<https://github.com/rrwick/Porechop>). Overall read quality metrics and basecalling statistics
584 were extracted using PycoQC (v2.2.3) [29]. Read length and quality metrics per sample were
585 extracted using NanoPlot (v1.26.1) [30]. Average percentage read accuracy was determined by
586 mapping the basecalled reads to the reference genome EC958 using Minimap2 (v2.17-r954-
587 dirty) [31] and computing reads accuracy using Nanoplot. Filtering was evaluated using two
588 tools: Filtlong (v0.2.0) (<https://github.com/rrwick/Filtlong>) and Japsa (v1.9-01a)
589 (<https://github.com/mdcao/japsa/>).

590

591 *Assembly:*

592 Six assemblers were evaluated for long-read assembly only: Canu (v1.9) [32], Flye (v2.5) [33],
593 Raven (v1.1.5) (<https://github.com/lbcb-sci/raven>), Redbean (v2.5) [34], Shasta (v0.4.0: config
594 file optimised for Nanopore:
595 <https://github.com/chanzuckerberg/shasta/blob/master/conf/Nanopore-Dec2019.conf>) [35]
596 and Unicycler (v0.4.7 long-read only) [36]. Three hybrid-assembly tools were also evaluated,
597 including SPAdes (v3.13.1) [37], Unicycler (v0.4.7) and MaSuRCA (v3.3.5) [38].

598

599 *Polishing and quality assessment:*

600 Polishing of the draft assemblies was evaluated using long reads (ONT), short reads (Illumina),
601 and a combination of both long and short reads. Long read polishing was performed using
602 Racon (v1.4.9) [39] and Medaka (v0.10.0) (<https://nanoporetech.github.io/medaka/>) (4
603 iterations of Racon based on Minimap2 v2.17-r941 overlaps followed by one iteration of
604 Medaka), Nanopolish (v0.11.1) [40] (1 iteration based on Minimap2 v2.17-r941 alignment)
605 and NextPolish (v1.1.0) [41] (2 iterations). Raw Illumina reads were trimmed using
606 Trimmomatic (v0.36) [42] with the following settings: ILLUMINACLIP:TruSeq3-PE-
607 2.fa:2:30:10 SLIDINGWINDOW:4:20 MINLEN:30. Short read polishing was performed
608 using NextPolish (v1.1.0) and Pilon (v1.23) [43] (both 2 rounds of polishing based on BWA
609 MEM v0.7.17-r1188 alignments).

610 Circularity was checked using NUCmer (v3.1) [44] to perform self-alignments. Final
611 assemblies were assessed for quality by comparison to the complete EC958 genome using the
612 assess_assembly tool from Pomoxis (v0.3) (<https://github.com/nanoporetech/pomoxis>) as well
613 as DNAdiff (v1.3) [44] and QUAST (v5.0.2) [45] to detect errors, misassemblies, and
614 determine overall nucleotide identity.

615

616 *Compute resources:*

617 All results were produced using cloud-based nodes with 16vCPUs and 32GB RAM. For the
618 GPU node, the GPU is a NVIDIA Tesla P40 24GB while the CPUs are 2x Intel Xeon Silver
619 4214 2.2G (12C/24T, 9.6GT/s, 16.5M Cache, Turbo, HT [85W] DDR4-2400).

620

621 **ST131 phylogeny:**

622 Parsnp (v1.5.2) [46] was used to create an ST131 phylogeny using the 12 ST131 *E. coli*
623 assembled in this study in addition to 95 ST131 *E. coli* short-read assemblies from Petty and
624 Ben Zakour *et al.* [16]. Recombination was removed using PhiPack [47], as implemented in
625 Parsnp. To evaluate the accuracy of each assembly and polishing step, we included our 12

626 completely polished assemblies (long and short read), 12 unpolished assemblies, 12 long-read
627 polished assemblies and 12 short-read polished assemblies. The tree was visualised using
628 Figtree (<http://tree.bio.ed.ac.uk/software/figtree/>) and iTOL [48].
629

630 **MEME methylation motif analysis:**

631 The 20 bps sequence (-10 to +10) around the 401 shared SNPs were extracted using BEDTools
632 getfasta (v2.28.0-33-g0f45761e) [49]. MEME (v5.2.0) [50, 51] was used to identify enriched
633 motifs within the sequences using the default parameters of the classic mode and allowing zero
634 or one occurrence per sequence. The motif CC(T/A)GG was significantly enriched in 393
635 sequences with an E-value of 6.2e-758.

636

637 **Declarations:**

638 **Ethics approval and consent to participate:**

639 Not applicable.

640

641 **Consent for publication:**

642 Not applicable.

643

644 **Availability of data and materials:**

645 The datasets generated and analysed during the current study are available under the following
646 Bioprojects (specific accessions available in supplementary dataset 1): EC958 complete
647 genome (GenBank: HG941718.1), ST131 Illumina data (PRJEB2968), ST131 Nanopore data
648 (fast5 and fastq [demultiplexed]; PRJNA679678).

649

650 **Competing interests:**

651 None to declare.

652

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657

658 **Authors' contributions:**

659 All authors conceptualised the study. VM, LWR, BMF and SAB developed the methodology.
660 MDP and MAS provided the bacterial strains and ONT sequencing data. VM wrote the
661 pipeline. VM, LWR and NTKN conducted formal analysis. All authors contributed to the
662 interpretation of results. SAB and MAS supervised aspects of the project and provided essential
663 expert analysis. LWR and VM wrote the original manuscript. BMF and SAB edited the
664 manuscript. All authors read and approved the final manuscript.

665

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672

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