

1 Long-Term *In Vivo* Biocompatibility of Single-Walled Carbon Nanotubes

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6 Abstract

7 Over the past two decades, measurements of carbon nanotube toxicity and biodistribution have yielded a wide
8 range of results. Properties such as nanotube type (single-walled vs. multi-walled), purity, length, aggregation
9 state, and functionalization, as well as route of administration, greatly affect both the biocompatibility and
10 biodistribution of carbon nanotubes. These differences suggest that generalizable conclusions may be elusive
11 and that studies must be material- and application-specific. Here, we assess the short- and long-term
12 biodistribution and biocompatibility of a single-chirality DNA-encapsulated single-walled carbon nanotube
13 complex upon intravenous administration that was previously shown to function as an in-vivo reporter of
14 endolysosomal lipid accumulation. Regarding biodistribution and fate, we found bulk specificity to the liver and
15 >90% signal attenuation by 14 days in mice. Using near-infrared hyperspectral microscopy to measure single
16 nanotubes, we found low-level, long-term persistence in organs such as the heart, liver, lung, kidney, and
17 spleen. Measurements of histology, animal weight, complete blood count, and biomarkers of organ function all
18 suggest short- and long-term biocompatibility. This work suggests that carbon nanotubes can be used as
19 preclinical research tools in-vivo without affecting acute or long-term health.

20 1. Introduction

21 The unique physical properties of carbon nanotubes have prompted interest in many fields and potential
22 applications in materials, electronics, biology, and medicine. Carbon nanotubes may exist as either single-
23 walled carbon nanotubes (SWCNTs) or multi-walled carbon nanotubes (MWCNTs). SWCNTs are cylindrical

24 graphene tubes with typical diameters of 0.5-2 nm and exhibit novel optical and electronic properties. These
25 properties are dependent on the roll-up angle and diameter of nanotubes, which are defined by the integers
26 (n, m), denoting SWCNT species/chirality¹. MWCNTs consist of multiple concentric layers of graphene
27 cylinders, and also exist as multiple chiralities exhibiting unique properties, with diameters that can range from
28 1-60 nm^{2, 3}.

29 The unique properties of carbon nanotubes and their potential applications has prompted many studies of the
30 toxicity and biological/ecological fate of these materials over the past two decades^{4, 5} that has often resulted in
31 perceived general toxicity by many in the scientific community. Overall, however, the results of toxicology
32 studies on carbon nanotubes have been remarkably inconsistent⁴. One major conflating factor in determining the
33 biodistribution and toxicological profile of a nanotube sample is the type of nanotube under investigation.
34 Studies investigating the effects of MWCNTs, for instance, suggest that they cause asbestos-like effects in
35 mammals⁶, while recent investigations of SWCNTs found a protective effect against several disorders,
36 including neurodegenerative disease and stroke⁷⁻⁹. Studies also found differences between SWCNTs and
37 MWCNTs in both cell uptake and viability, with MWCNT preparations leading to a decrease in cell viability
38 that was not seen upon exposure with SWCNT preparations¹⁰. Further investigation into these results reveals
39 that there are many factors related to carbon nanotube structure, size, preparation techniques, and route of
40 administration that affect the biodistribution and toxicological profile of a specific carbon nanotube
41 preparation⁷⁻⁹.

42 Studies focusing on SWCNTs report a large variety of findings ranging from harmful to beneficial effects
43 on cells or animals, depending on the preparation/derivatization. Recent work investigating the structure-
44 dependent biocompatibility of SWCNTs found that, while singly-dispersed SWCNTs showed no significant
45 effects on mitochondrial function and hypoxia *in vitro*, negative effects were seen upon treatment with
46 aggregated SWCNTs which further depended on the structural integrity of the aggregated SWCNT
47 dispersions¹¹. Aggregated SWCNTs were also found, however, to attenuate the effects of methamphetamine in

48 mice⁸. Recent work also suggests that SWCNT treatment alleviates autophagic/lysosomal defects in primary
49 glia from a mouse model of Alzheimer's disease⁷, while amine-modified SWCNTs are neuroprotective in a
50 stroke model in rats⁹. Individually-dispersed SWCNTs functionalized with lipid-polyethylene glycol (PEG)
51 conjugates, injected intravenously into rodents, exhibited minimal effects on rodent blood chemistry¹², despite
52 their presence in the liver for up to four months. Such studies also highlight the effects of SWCNT
53 functionalization on long term biodistribution. While the non-covalently functionalized SWCNTs persisted in
54 organs such as the liver and spleen for months¹², covalently functionalized SWCNTs were shown to rapidly
55 clear the body via the urine¹³. Investigators have studied SWCNT toxicity in animals following airway,
56 intravenous, intraperitoneal, subcutaneous, oral, and topical exposure, leading to varying degrees of
57 biocompatibility depending on the SWCNT preparation used⁵. Even when focusing on a single route of
58 administration, such as intravenous injection, it has been difficult to draw general conclusions about SWCNT
59 toxicity due to large differences in the quantity of SWCNTs injected as well as varying preparation techniques.
60 Injected quantities of SWCNTs have ranged over three orders of magnitude. The large range of concentrations
61 and the diverse functionalization chemistries likely contribute to large differences in biodistribution and
62 biocompatibility¹³⁻¹⁷

63 The diversity of results pertaining to carbon nanotube biodistribution and toxicity highlights the need for
64 specific studies for each type, functionalization, and application of carbon nanotubes. Here, we examine the
65 short and long-term biodistribution and toxicity of a single, purified SWCNT (n,m) species/chirality (the (9,4)
66 species) encapsulated with a specific single-stranded DNA sequence (ssCTTC₃TTC; DNA-SWCNT). This
67 DNA-nanotube combination, when injected intravenously into mice, was recently found to function as a non-
68 invasive reporter of Kupffer cell endolysosomal lipid accumulation¹⁷. Bulk measurements have shown that this
69 DNA-nanotube complex, ssCTTC₃TTC-(9,4), localized predominantly to the liver, although single-particle
70 measurements found small quantities in other organs. Extensive histological examinations, complete blood
71 counts, and serum biomarker measurements results suggest that ssCTTC₃TTC-(9,4) is sufficiently
72 biocompatible for preclinical applications, while more work is needed to assess its potential for use in humans.

73 **2. Experimental Section**

74 *2.1 Preparation of the purified DNA-nanotube complex, ssCTTC₃TTC-(9,4)*

75 1 mg/mL of raw EG 150X single walled carbon nanotubes purchased from Chasm Advanced Materials
76 (Norman, Oklahoma) were mixed with 2 mg/mL ssDNA in 0.1 M NaCl. SWCNTs were then wrapped in
77 ssDNA via probe tip ultrasonication (Sonics & Materials, Inc.) for 120 minutes at ~ 8 W. Dispersions were then
78 centrifuged (Eppendorf 5430 R) for 90 minutes at 17,000 x g. The top 85% of the resulting supernatant was
79 then collected and used for purification of the (9,4) chirality.

80 Purification of the (9,4) nanotube from the unsorted ssCTTC₃TTC-SWCNT sample was performed using
81 the aqueous two-phase extraction method¹⁸⁻²⁰. In brief, ssCTTC₃TTC-SWCNT was mixed with a solution
82 containing a final concentration of 7.76% polyethylene glycol (PEG, molecular weight 6 kDa, Alfa Aesar), and
83 15.0% polyacrylamide (PAM, molecular weight 10 kDa, Sigma Aldrich). Following an overnight incubation at
84 room temperature, the sample was vortexed and then centrifuged at 10,000 x g for 3 minutes. The top phase of
85 the resulting solution was then collected and added to blank “bottom phase,” which was produced by removing
86 the bottom phase of a 7.76 PEG, 15.0% PAM solution following centrifugation at 10,000 x g for 10 minutes.
87 The resulting solution was once again vortexed and centrifuged to produce a top phase enriched in
88 ssCTTC₃TTC-(9,4) complexes. Following collection of the top phase NaSCN was added at a final concentration
89 of 0.5 M. This solution was then incubated overnight at 4 degrees Celsius to precipitate ssCTTC₃TTC-(9,4).
90 The sample was then centrifuged at 17,000 x g for 20 minutes, causing ssCTTC₃TTC-(9,4) to pellet. Following
91 removal of the supernatant, this pellet was then suspended in diH₂O and stored with 0.1 mg/mL free
92 ssCTTC₃TTC-(9,4).

93 *2.2 Animal Studies*

94 All animal studies were approved and carried out in accordance with the Memorial Sloan Kettering Cancer
95 Center Institutional Animal Care and Use Committee. All animals used in the study were male C57BL/6 mice at

96 6-12 weeks of age. All control and experimental mice were age matched and housed in identical environments.
97 For the assessment of ssCTTC₃TTC-(9,4) *in vivo*, mice were tail vein injected with 200 µL of 0.5mg/L
98 ssCTTC₃TTC-(9,4) diluted in PBS. For all other experiments, mice were tail vein injected with 200 µL of 1.0
99 mg/L ssCTTC₃TTC-(9,4) diluted in PBS. For *in vivo* spectroscopy, mice were anesthetized with 2% isoflurane
100 prior to data collection.

101 *2.3 Near infrared in vivo spectroscopy*

102 Spectra were acquired from rodents non-invasively *in vivo* using a custom-built reflectance probe-based
103 spectroscopy system^{17, 21, 22}. The excitation was provided by injection of a 730 nm diode laser (Frankfurt) into a
104 bifurcated fiber optic reflection probe bundle (Thorlabs). The sample leg of the bundle included one 200 µm,
105 0.22 NA fiber optic cable for sample excitation located in the center of six 200 µm, 0.22 NA fiber optic cables
106 for collection of the emitted light. The exposure time for all acquired data was 5 seconds. Light below 1050 nm
107 was removed via long pass filters, and the emission was focused through a 410 µm slit into a Czerny-Turner
108 spectrograph with 303 mm focal length (Shamrock 303i, Andor). The beam was dispersed by an 85 g/mm
109 grating with 1350 nm blaze wavelength and collected by an iDus InGaAs camera (Andor). After acquisition,
110 data was processed to apply spectral corrections for non-linearity of the InGaAs detector response, background
111 subtraction, and baseline subtraction *via* the use of OriginPro 9 software with a standard adjacent averaging
112 smoothing method and a spline interpolation method.

113 *2.4 Tissue fixation and sectioning*

114 Organs were fixed in 10% buffered formalin phosphate and paraffin embedded. 5 µm sections were then
115 placed on glass slides. Paraffin was removed and sections were either left unstained for near-infrared
116 hyperspectral microscopy or stained with haematoxylin and eosin (H&E) for basic histology at the Molecular
117 Cytology Core Facility of Memorial Sloan Kettering Cancer Center and Histowiz Inc. (Brooklyn, NY).

118 *2.5 Near-Infrared hyperspectral microscopy*

119 Near-infrared hyperspectral microscopy was performed as previously described²³. In brief, a continuous
120 wave 730 nm diode laser (output power = 2 W) was injected into a multimode fiber to provide an excitation
121 source. After passing through a beam shaping optics module to produce a top hat intensity profile (maximum
122 20% variation on the surface of the sample), the laser was reflected through an inverted microscope (with
123 internal optics modified for near-infrared transmission) equipped with a 100X (UAPON100XOTIRF, NA=1.49)
124 oil objective (Olympus, USA) *via* a longpass dichroic mirror with a cut-on wavelength of 880 nm. Spatially
125 resolved near-infrared emission was then passed twice through a turret-mounted volume Bragg grating (VBG)
126 which allowed the light to be spectrally resolved. The monochromatic beam with 3.7 nm FWHM was collected
127 by a 256 x 320 pixel InGaAs camera (Photon Etc) to result in an image. Spectrally-defined images were
128 collected with a 4 s integration time. The VBG was rotated in 4 nm steps between 1100-1200 nm (26 images in
129 total). Data rectification was conducted using PhySpec software (Photon Etc) to result in “hyperspectral cubes”
130 wherein every pixel of a near-infrared image was spectrally resolved²³.

131

132 *2.6 Analysis and processing of hyperspectral data*

133 Hyperspectral data was saved as 16 bit arrays (320 x 256 x 26) where the first two coordinates represent
134 the spatial location of a pixel and the last coordinate its position in wavelength space. Background subtraction
135 and intensity corrections to compensate for non-uniform excitation were applied via MATLAB code developed
136 in the authors’ lab. ROIs were then manually selected from images and spectra were obtained using the Time
137 Series Analyzer plugin for ImageJ.

138 **3. Results**

139 *3.1 In vivo detection of ssCTTC₃TTC-(9,4) DNA-nanotube complexes*

140 The near-infrared signal from the purified DNA-nanotube complex was assessed transiently. Previous work
141 describes the isolation of the DNA-nanotube complex, ssCTTC₃TTC-(9,4), consisting of the single-stranded
142 DNA sequence, CTTC₃TTC, encapsulating the nanotube species (9,4), by aqueous two-phase extraction²⁰. The

143 complexes were found to localize to the liver of mice following intravenous injection, where it was non-
144 invasively assessed via a fiber optic probe¹⁷. Spectra of the nanotube photoluminescence in mice were acquired
145 using a fiber optic probe-coupled spectrometer and near-infrared camera (described in Methods). The
146 measurements found a sharp decrease in nanotube fluorescence intensity over two weeks after injection (Figure
147 1). This result suggests that nanotubes were either quenched or excreted from the liver over this time period.

148

149 **Figure 1. Near-infrared spectroscopy of single-species DNA-nanotube complexes *in vivo*.** **A)** Near-infrared
150 emission spectra of ssCTTC₃TTC-(9,4) DNA-SWCNT complexes measured from the region of the mouse liver
151 *in vivo* using a fiber optic probe device following intravenous injection into a mouse. **B)** Normalized integrated
152 intensity of spectra depicted in (A). Error bars are standard deviation from N=5 mice. **=P<.01 as measured
153 with a Student's t-test.

154 *3.2 Microscopy and histology of SWCNTs in resected murine tissues*

155 To further investigate the biodistribution of ssCTTC₃TTC-(9,4) DNA-nanotube complexes following
156 intravenous injection, we examined tissue sections via near-infrared hyperspectral microscopy. This technique
157 can image single SWCNTs²⁴ in tissues even in the presence of normal tissue autofluorescence²⁵⁻²⁷. We resected
158 and paraffinized tissue sections of heart, liver, lung, kidney, spleen and brain 24 hours after administration of
159 SWCNTs and imaged using the near-infrared hyperspectral microscope at 100X magnification. Upon
160 investigation of the near-infrared data, individual ROIs, denoting ssCTTC₃TTC-(9,4) complexes, could be
161 detected in liver, spleen, lung, kidney and heart, with highest prevalence in liver and spleen (Figure 2). The
162 same tissues were also processed via H&E staining (Figure 2). The stained tissues were assessed by a trained
163 pathologist; no signs of tissue injury or other abnormalities were found. No signal was detected in the brain via
164 *in vivo* measurements (Figure S1) or in tissue sections (Figure 2).

165 We also assessed the long-term biodistribution of the nanotubes. Previous studies found the persistence of
166 non-covalently functionalized SWCNTs in organs, such as the liver and spleen, for several months¹⁶. We used
167 near-infrared hyperspectral microscopy to assess the long term biodistribution of ssCTTC₃TTC-(9,4) in heart,
168 liver, lung, kidney, and spleen tissue. One month following injection, nanotube emission could be seen in all
169 tissue sections (Figure 3). The nanotubes were sparsely distributed through lung, heart, and kidney tissue, and
170 more prevalent in the liver and spleen. Upon observation of the H&E stained tissue sections (Figure 3), no
171 abnormalities were noted by a trained pathologist.

172 Murine tissues were also assessed at three and five months after injection. No nanotubes were found in lung
173 tissue at the three-month timepoint (Figure 4), or in lung or heart tissue at five months (Figure 5), although they
174 were found in the liver, spleen, and kidneys at both timepoints. Despite chronic exposure to ssCTTC₃TTC-(9,4)
175 in these organs, no tissue abnormalities were observed upon histological inspection of these tissues by a trained
176 pathologist (Figures 4-5).

177 **Figure 2. Imaging carbon nanotubes in murine tissues 24 hours after injection.** H&E stains (left) and
178 hyperspectral microscopy images (middle) of various organs 24 hours after intravenous injection with
179 ssCTTC₃TTC-(9,4) complexes. Representative fluorescence spectra (right) of the denoted complexes are
180 shown.

181

182 **Figure 3. Imaging carbon nanotubes in murine tissues one month after injection.** H&E stains (left) and
183 hyperspectral microscopy images (middle) of various organs one month after intravenous injection with
184 ssCTTC₃TTC-(9,4) complexes. Representative fluorescence spectra (right) of the denoted complexes are
185 shown.

186

187 **Figure 4. Imaging carbon nanotubes in murine tissues three months after injection.** H&E stains (left) and
188 hyperspectral microscopy images (middle) of various organs one month after intravenous injection with
189 ssCTTC₃TTC-(9,4) complexes. Representative fluorescence spectra (right) of the denoted complexes are
190 shown.

191

192 **Figure 5. Imaging carbon nanotubes in murine tissues five months after injection.** H&E stains (left) and
193 hyperspectral microscopy images (middle) of various organs one month after intravenous injection with
194 ssCTTC₃TTC-(9,4) complexes. Representative fluorescence spectra (right) of the denoted complexes are
195 shown.

196 *3.3 Mouse weight measurements*

197 Mouse weights were measured after injection of the nanotube complexes over a period of five months.
198 No significant difference was seen in weight changes 24 hours after injection with ssCTTC₃TTC-(9,4)
199 complexes (Figure 5A), consistent with previous results¹⁷. Similarly, mouse growth was not affected over a
200 period of five months (Figure 5B).

201

202 **Figure 6. Effects of nanotubes on mouse weight.** **A**) Weight change in mice 24 hours after injection with
203 ssCTTC₃TTC-(9,4) complexes or vehicle control (PBS). **B**) Weight change in mice followed 22 weeks after
204 injection with ssCTTC₃TTC-(9,4) complexes or vehicle control (PBS).

205 *3.4 Serum biomarker assessments*

206 To further assess the effects of short and long-term exposure of the DNA-nanotube complexes, serum
207 biomarkers, and complete blood counts were measured 24 hours and five months after administration of the
208 nanotubes. Biomarkers of hepatic injury were measured 24 hours and 5 months after injection of

209 ssCTTC₃TTC-(9,4). Between nanotube and PBS injected mice, no statistically significant differences were
210 found for the biomarkers alanine aminotransferase (ALT), aspartate aminotransferase (AST), globulin (GLOB),
211 albumin (ALB), total protein, or TCO₂ (Figure S1). A small, statistically significant increase was apparent in
212 serum levels of serum alkaline phosphatase (Figure 7). However this slight difference is likely not biologically
213 significant, as the values seen for nanotube injected mice here are consistent with those seen in another study
214 that assessed ALP levels in similarly aged, male C57BL/6 mice injected with PBS¹⁷. A statistically significant
215 difference is also apparent in the ALB:GLOB ratio in serum; this difference is slight and likely due to
216 instrument limitations, as inspection of the raw data reveals that limited resolution in ALB:GLOB
217 measurements is likely the cause for this significant difference (Figure 7). Despite the presence of nanotubes in
218 the liver 5 months after injection, no signs of liver injury were evident from hepatic biomarkers (Figure 8).

219

220 **Figure 7. Serum chemistry measurements of biomarkers of hepatic injury in mice 24 hours after injection**
221 **of nanotubes.** Samples were measured 24 hours after injection of PBS (control) or ssCTTC₃TTC-(9,4) DNA-
222 nanotube complexes. **A)** Serum alanine transaminase concentrations (ALT) in mice. **B)** Serum aspartate
223 transaminase (AST) concentrations in mice. **C)** Serum alkaline phosphatase (ALP) concentrations in mice. **D)**
224 Serum carbon dioxide (TCO₂) levels in mice. **E)** Serum albumin (ALB) levels in mice. **F)** Serum globulin
225 (GLOB) levels in mice. **G)** Serum ALB:GLOB ratio in mice. **H)** Serum total protein levels in mice. * = p < .05
226 as determined with a Student's two way t-test. N=5 mice per group.

227

228 **Figure 8. Serum chemistry measurements of biomarkers of hepatic injury in mice 5 months after**
229 **injection of nanotubes.** Samples were measured 5 months after injection of PBS (control) or ssCTTC₃TTC-
230 (9,4) DNA-nanotube complexes. **A)** Serum alanine transaminase concentrations (ALT) in mice. **B)** Serum
231 aspartate transaminase (AST) concentrations in mice. **C)** Serum alkaline phosphatase (ALP) concentrations in
232 mice. **D)** Serum carbon dioxide (TCO₂) levels in mice. **E)** Serum albumin (ALB) levels in mice. **F)** Serum

233 globulin (GLOB) levels in mice. **G**) Serum ALB:GLOB ratio in mice. **H**) Serum total protein levels in mice.

234 Statistical significance was determined with a Student's two way t-test. N=3 mice per group.

235

236 Serum biomarkers of renal function were assessed 24 hours and five months after injection of the
237 nanotube complexes. Between nanotube-injected and control mice after 24 hours, no statistically significant
238 differences were found for the biomarkers blood urea nitrogen (BUN), creatinine (CREA), BUN:CREA,
239 phosphate (P), sodium (Na), Na:K, and anion gap (Figure 9). While chloride levels were raised in nanotube-
240 injected mice, the increase was less than 2% (Figure 9). Potassium (K) levels were also different between the
241 two groups, although they were actually lower in nanotube injected mice (Figure 9) which is not a sign of renal
242 injury (an increase in K levels would be). Finally, a significant difference was seen in the Na:K ratio between
243 groups. A decrease in this ratio is indicative of liver/kidney stress, however, rather than the slight increase seen
244 herein (Figure 9). Overall, biomarkers of renal function at 24 hours suggest that the nanotubes did not cause any
245 injury. After five months, Both BUN, CREA, and measured anion gaps were slightly increased five months
246 after injection, with other renal biomarkers showing consistent, albeit slight (and statistically non-significant)
247 changes (Figure 10), suggesting that future work is needed to assess the effects of the long-term persistence of
248 ssCTTC₃TTC-(9,4) on renal function.

249

250 **Figure 9. Serum chemistry measurements of biomarkers of renal function in mice 24 hours after injection**
251 **of nanotubes.** Samples were measured 24 hours after injection of PBS (control) or ssCTTC₃TTC-(9,4) DNA-
252 nanotube complexes. **A)** Blood urea nitrogen (BUN) concentration in mice. **B)** Serum creatinine (CREA)
253 concentrations in mice. **C)** Serum BUN:CREA ratios in mice. **D)** Serum phosphate (P) concentration in mice.
254 **E)** Serum chloride (Cl) concentrations in mice. **F)** Serum sodium (Na) concentrations in mice. **G)** Serum
255 potassium (K) concentration in mice. **H)** Serum Na:K ratio in mice. **I)** Serum calcium (Ca) concentration in
256 mice. **J)** Serum anion gap in mice. * = p < .05 as determined with a Student's two way t-test. N=5 mice per group.

257

258 **Figure 10 Serum chemistry measurements of biomarkers of renal function in mice 5 months after**
259 **injection of nanotubes.** Samples were measured 5 months after injection of PBS (control) or ssCTTC₃TTC-
260 (9,4) DNA-nanotube complexes. **A)** Blood urea nitrogen (BUN) concentration in mice. **B)** Serum creatinine
261 (CREA) concentrations in mice. **C)** Serum BUN:CREA ratios in mice. **D)** Serum phosphate (P) concentration in
262 mice. **E)** Serum chloride (Cl) concentrations in mice. **F)** Serum sodium (Na) concentrations in mice. **G)** Serum
263 potassium (K) concentration in mice. **H)** Serum Na:K ratio in mice. **I)** Serum calcium (Ca) concentration in
264 mice. **J)** Serum anion gap in mice. * = p < .05 as determined with a Student's two way t-test. N=3 mice per
265 group.

266

267 Complete blood counts (CBCs) were performed 24 hours and 5 months after injection with the nanotube
268 complexes. Counts of white blood cells (WBCs), lymphocytes, eosinophils, and basophils did not vary
269 significantly, while there was a slight decrease in neutrophil count 24 h after injection (Figure 11). The number
270 of monocytes increased slightly after 24 h, however the lack of a corresponding increase in neutrophils and
271 other inflammatory markers coupled with the normal tissue architecture observed suggests that this slight
272 difference is the result of normal biological variation and not likely due to the injection of nanotubes (Figures 2,
273 11). Blood counts associated with oxygen levels appeared normal; significant differences were not seen in red
274 blood cell (RBC) counts, hemoglobin levels, hematocrit percentage, mean corpuscular hemoglobin quantity or
275 concentration, or RBC distribution width (Figure 12). A slight, statically significant decrease was seen in
276 platelet count between groups. This difference was not apparent at 5 months after administration (Figure 13).
277 The complete blood count 5 months after injection with nanotubes, shows no significant differences between
278 control and nanotube-injected mice (Figure 14-15). Overall, the results suggest minimal effects of nanotubes on
279 any conditions measurable by CBC.

280

281 **Figure 11. Measurements of blood inflammatory markers in mice 24 hours after injection of nanotubes.**

282 Samples were measured 24 hours after injection of PBS (control) or ssCTTC₃TTC-(9,4) DNA-nanotube
283 complexes. **A)** White blood cell (WBC) concentration in mouse blood. **B)** Neutrophil concentration in mouse
284 blood. **C)** Lymphocyte concentration in mouse blood. **D)** Monocyte concentration in mouse blood. **E)**
285 Eosinophil concentrations in mouse blood. **F)** Neutrophil percentage in mouse blood. **G)** Lymphocyte
286 percentage in mouse blood. **H)** Monocyte percentage in mouse blood. **I)** Eosinophil percentage in mouse blood.
287 **J)** Basophil percentage in mouse blood. * = p < .05 as determined with a Student's two way t-test. N=5 mice per
288 group.

289

290 **Figure 12 Measurements of blood oxygenation markers in mice 24 hours after injection of nanotubes.**

291 Samples were measured 24 hours after injection of PBS (control) or ssCTTC₃TTC-(9,4) DNA-nanotube
292 complexes. **A)** Red blood cell (RBC) concentration in mouse blood. **B)** Hemoglobin concentration in mouse
293 blood. **C)** Hematocrit percentage in mouse blood. **D)** Mean corpuscular hemoglobin quantity in mouse blood.
294 **E)** Mean corpuscular hemoglobin concentration in mouse blood. **F)** Red blood cell (RBC) distribution width.
295 Statistical significance was determined with a Student's two way t-test. N=5 mice per group.

296

297 **Figure 13. Platelet counts in mice 24 hours and 5 months after injection of nanotubes.** Platelet counts were
298 measured 24 hours (A) and 5 months (B) after injection of PBS (control) and ssCTTC₃TTC-(9,4) complexes.

299

300 **Figure 14. Measurements of blood inflammatory markers in mice 5 months after injection of nanotubes.**

301 Samples were measured 5 months after injection of PBS (control) or ssCTTC₃TTC-(9,4) DNA-nanotube
302 complexes. **A)** White blood cell (WBC) concentration in mouse blood. **B)** Neutrophil concentration in mouse
303 blood. **C)** Lymphocyte concentration in mouse blood. **D)** Monocyte concentration in mouse blood. **E)**

304 Eosinophil concentrations in mouse blood. **F**) Neutrophil percentage in mouse blood. **G**) Lymphocyte
305 percentage in mouse blood. **H**) Monocyte percentage in mouse blood. **I**) Eosinophil percentage in mouse blood.
306 **J**) Basophil percentage in mouse blood. Statistical significance was determined with a Student's two way t-test.
307 N=3 mice per group.

308

309 **Figure 15: Measurement of blood oxygenation markers in mice 5 months after injection of nanotubes.**

310 Samples were measured 5 months after injection of PBS (control) or ssCTTC₃TTC-(9,4) DNA-nanotube
311 complexes. **A**) Red blood cell (RBC) concentration in mouse blood. **B**) Hemoglobin concentration in mouse
312 blood. **C**) Hematocrit percentage in mouse blood. **D**) Mean corpuscular hemoglobin quantity in mouse blood.
313 **E**) Mean corpuscular hemoglobin concentration in mouse blood. **F**) Red blood cell (RBC) distribution width.
314 Statistical significance was determined with a Student's two way t-test. N=3 mice per group.

315

316 **4. Conclusion**

317 In this work, we investigated the short and long term biodistribution and biocompatibility of a purified
318 DNA-encapsulated single-walled carbon nanotube complex consisting of an individual nanotube chirality,
319 administered intravenously. Bulk biodistribution measurements in mice found that, consistent with previous
320 studies on similar complexes, the nanotubes localized predominantly to the liver. Using near-infrared
321 hyperspectral microscopy to image single nanotube complexes, nanotube complexes were found in other organs
322 such as the kidney, spleen, hearts, and lungs and persisted in some organs at for up to 5 months. The results
323 showed that this reporter was highly biocompatible overall, although future studies are warranted to more
324 carefully assess long-term impact on organ function. Tissue histology and mouse weight measurements showed
325 no differences upon administration of nanotubes. Measurements of serum biomarkers, including complete blood
326 count, renal biomarkers, and hepatic markers showed negligible changes by the presence of carbon nanotubes <

327 4 months, and minor changes in renal markers at 5 months. These results indicate that carbon nanotubes, used in
328 preclinical studies under the preparation conditions and concentrations herein, do not cause any appreciable
329 signs of toxicities at time points less than 4 months. The work suggests that these materials are unlikely to cause
330 significant problems in applications such as preclinical research, drug screening, and drug development.
331 However, the long-term persistence of nanotubes in tissues suggests that additional assessments are warranted
332 to assess the potential for use in humans. In general, these studies also suggest, in light of previous works, that
333 carbon nanomaterial biodistribution and biocompatibility are specific to carbon nanotube type, purity,
334 functionalization, and route of administration. This issue has implications pertinent to the wider perception and
335 applicability of nanomaterials.

336

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340 Memorial Sloan Kettering Cancer Center and the Electron Microscopy & Histology Core Facility at Weill
341 Cornell Medicine.

342 **Supplementary Figure:**

343

344 Figure S1: Example near-infrared emission spectra of DNA-SWCNT complexes measured *in vivo* 24 h
345 following intravenous injection into a mouse, in brain and liver tissues.

346

347

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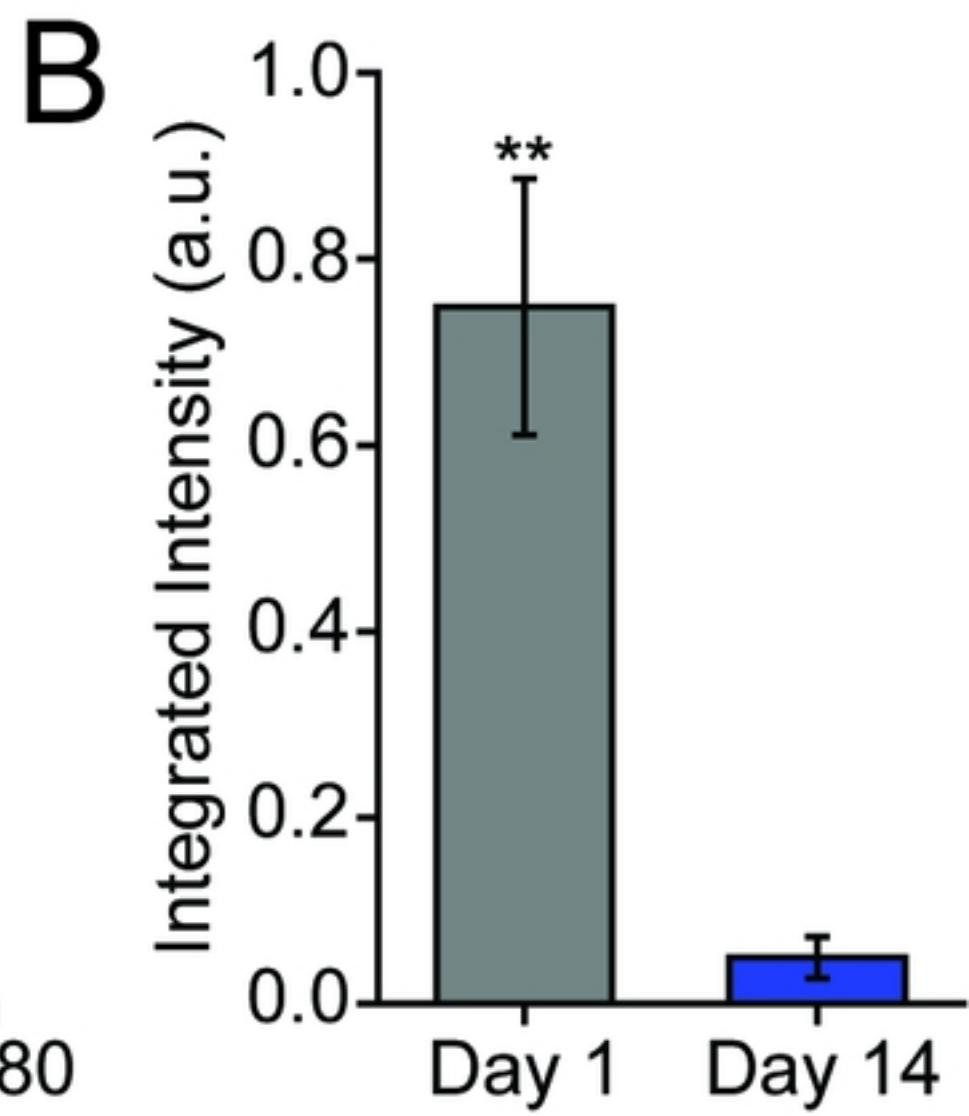
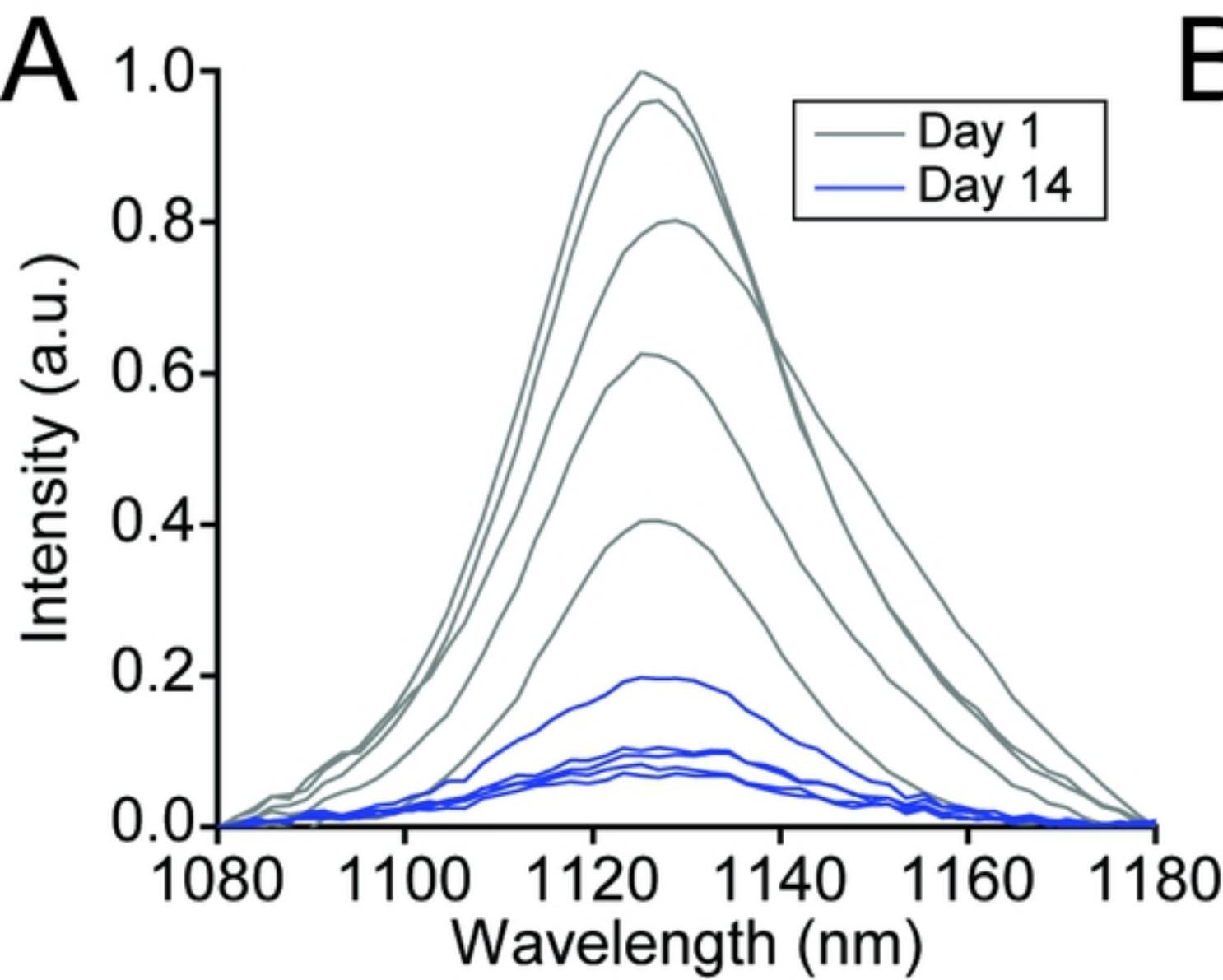
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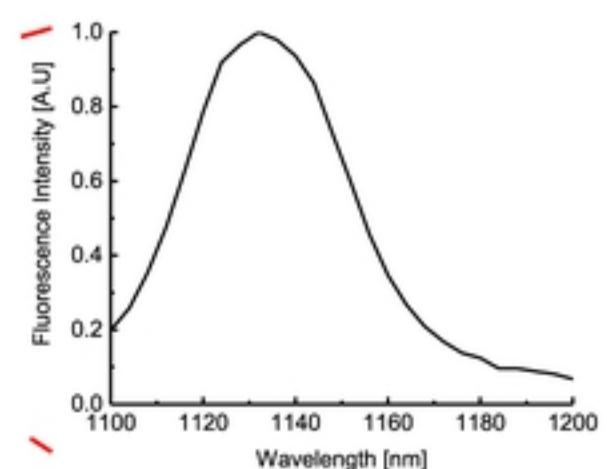
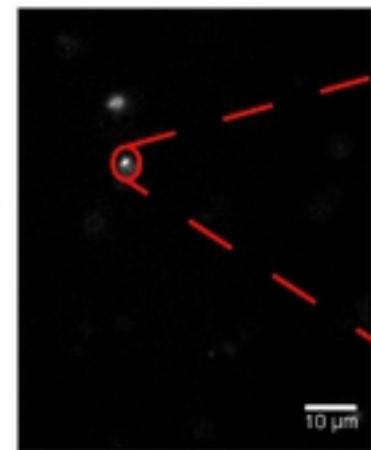
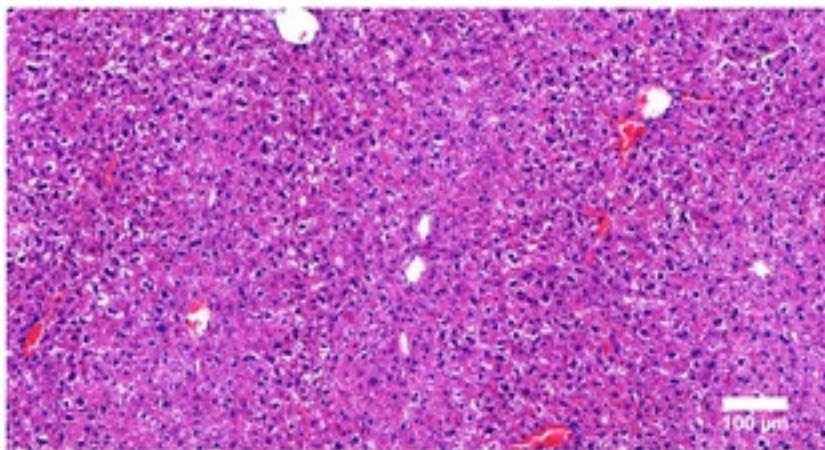
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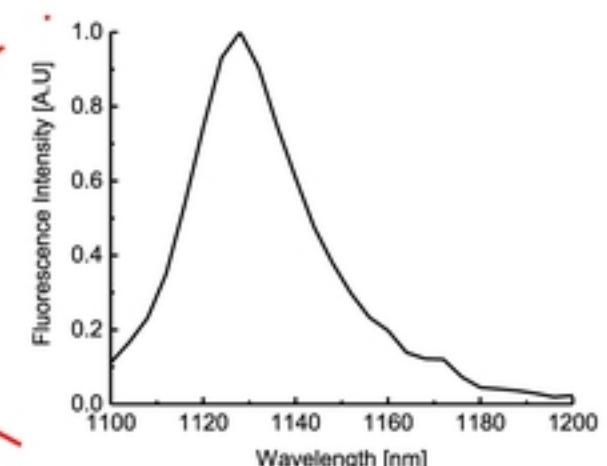
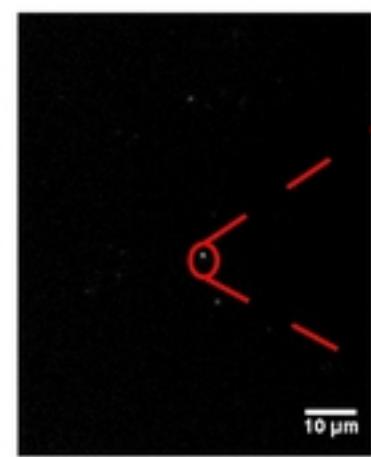
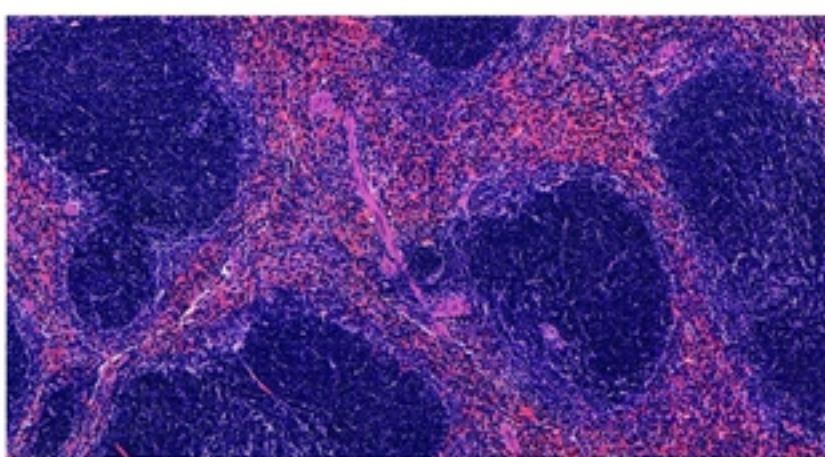


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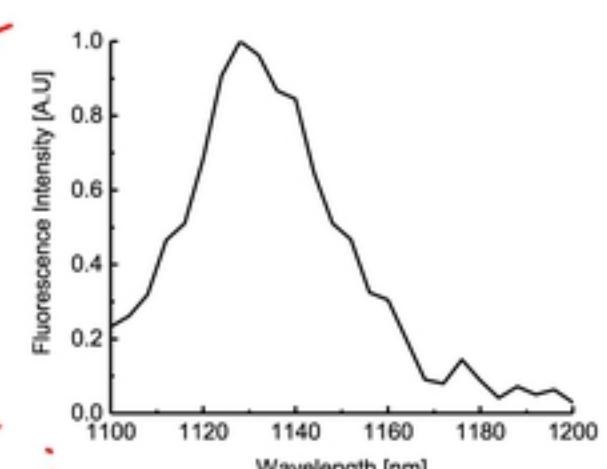
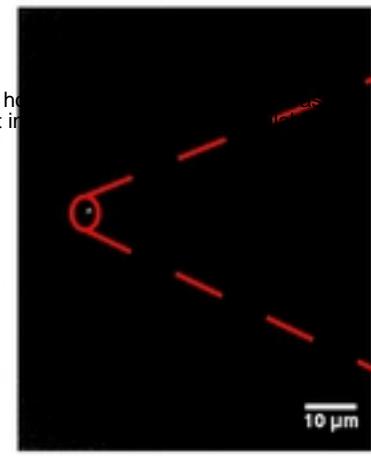
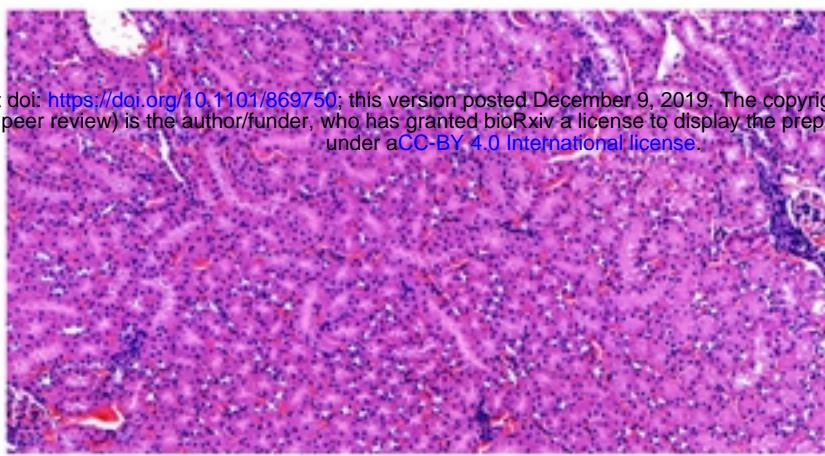
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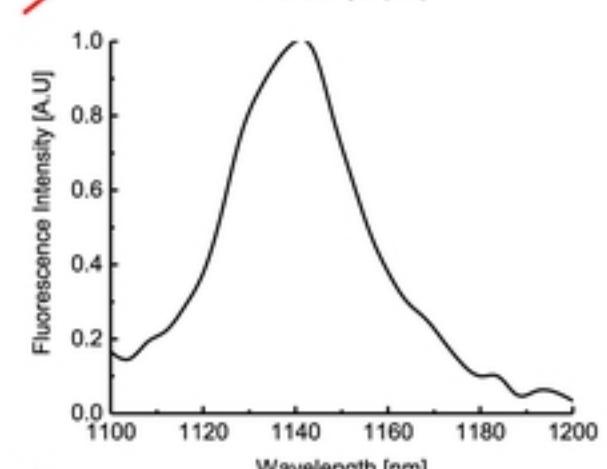
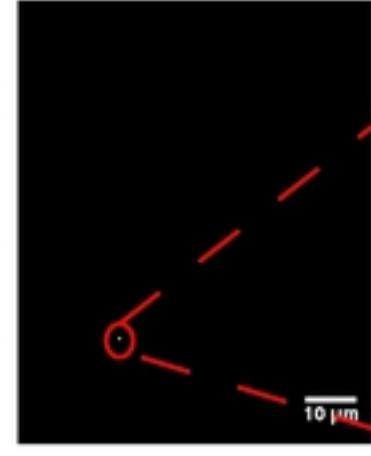
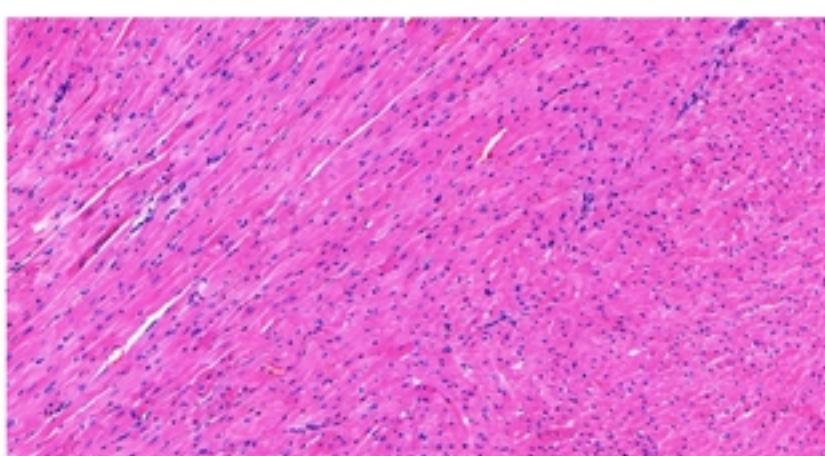
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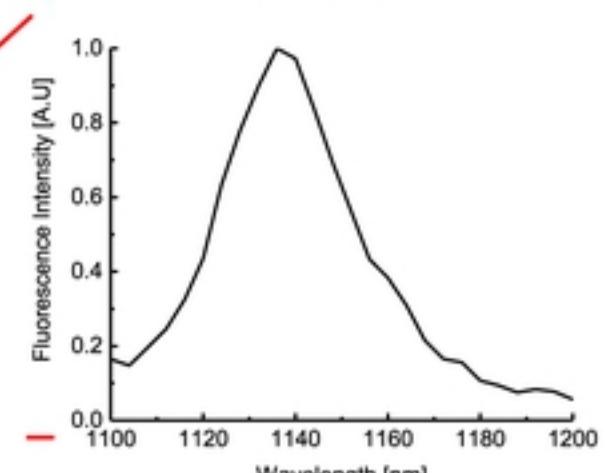
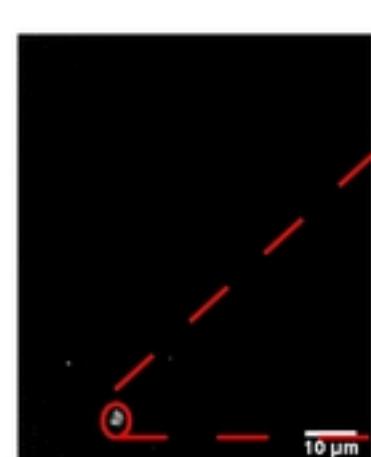
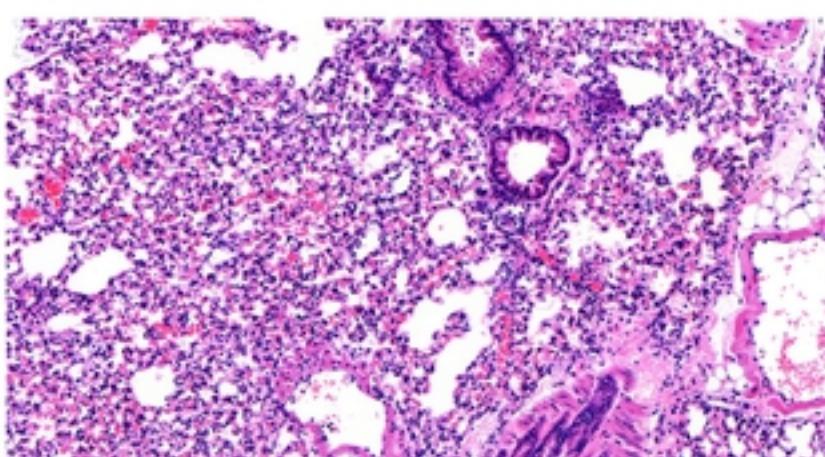
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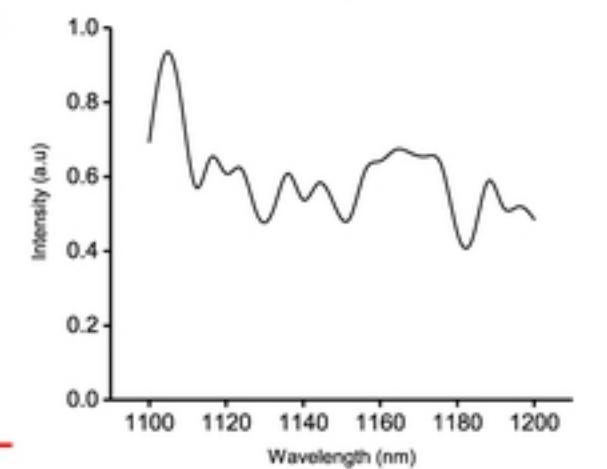
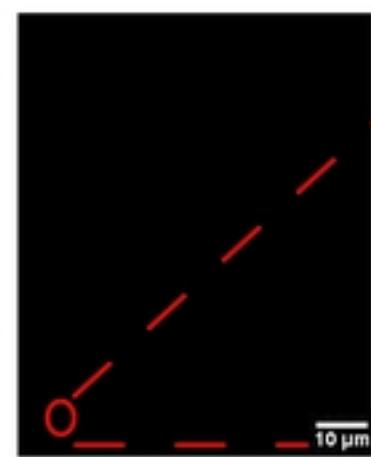
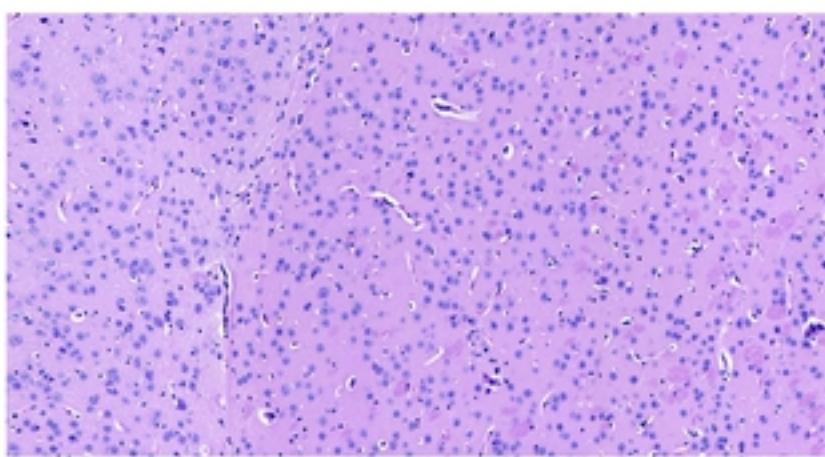
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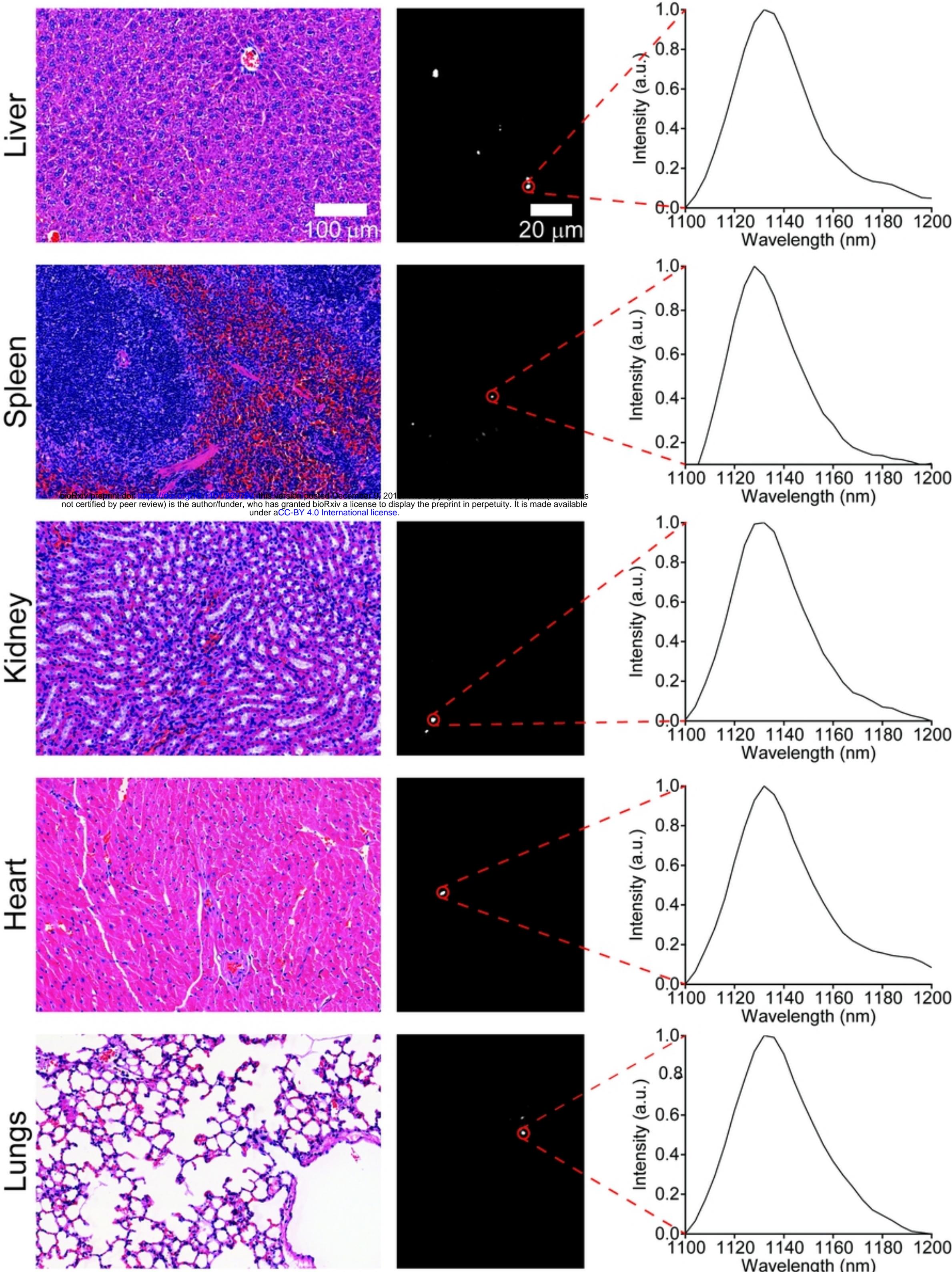


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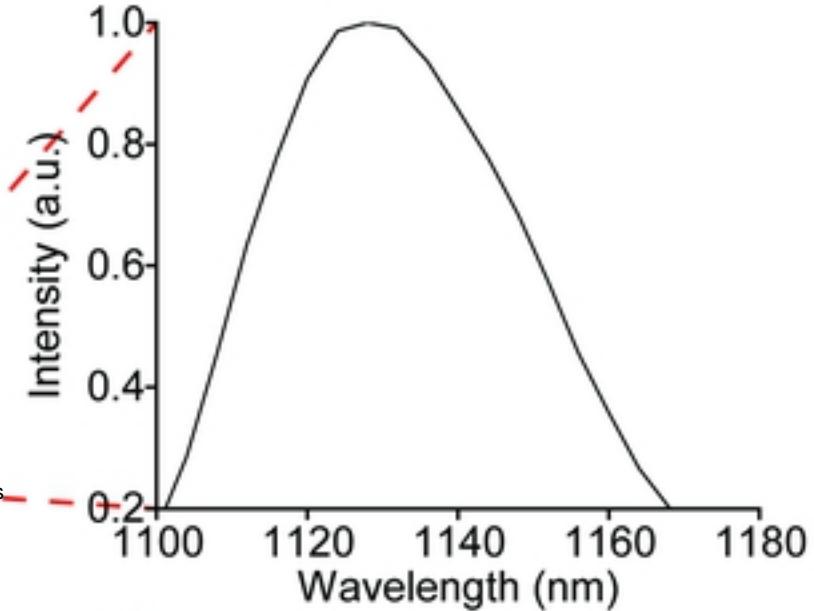
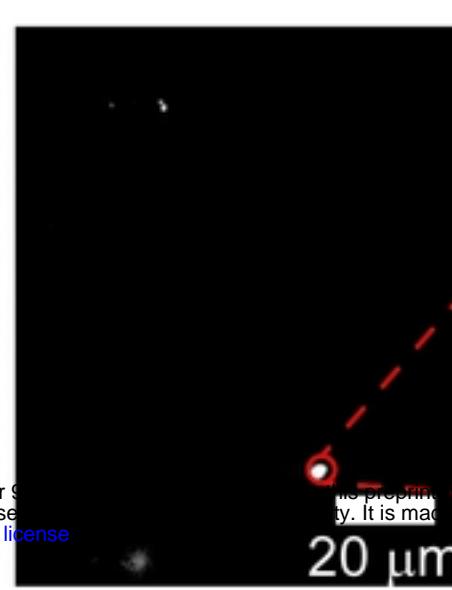
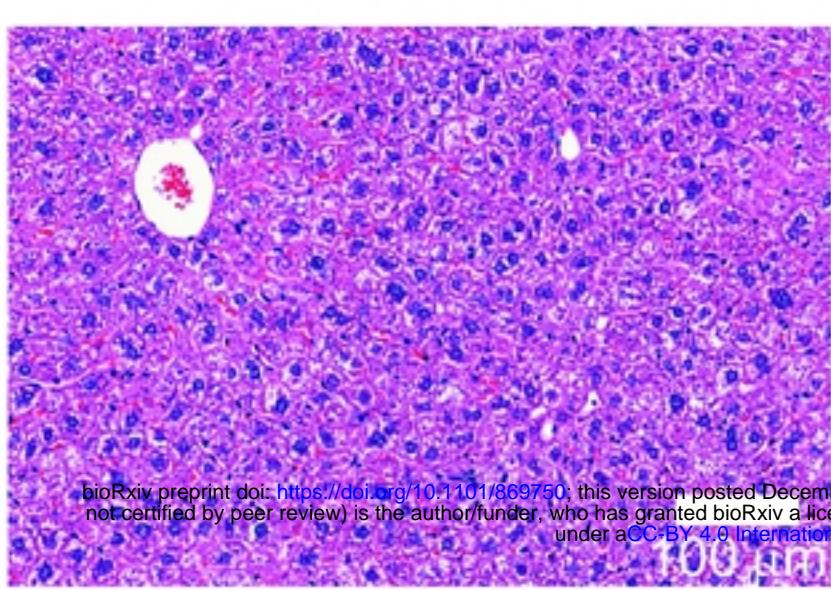
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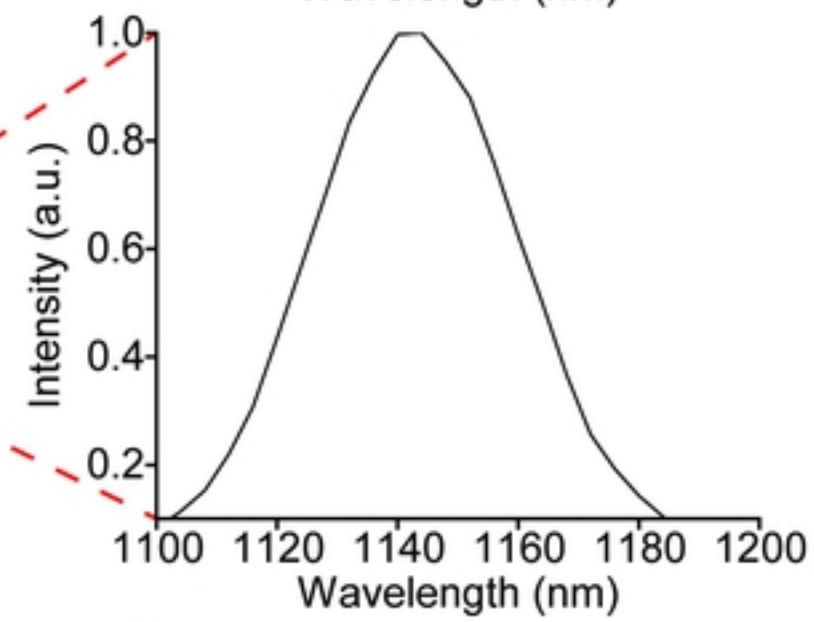
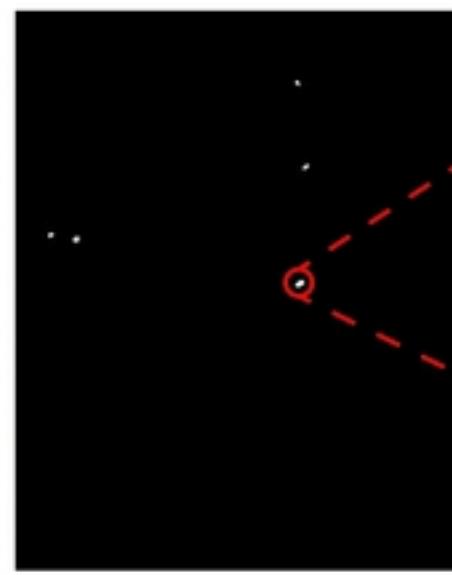
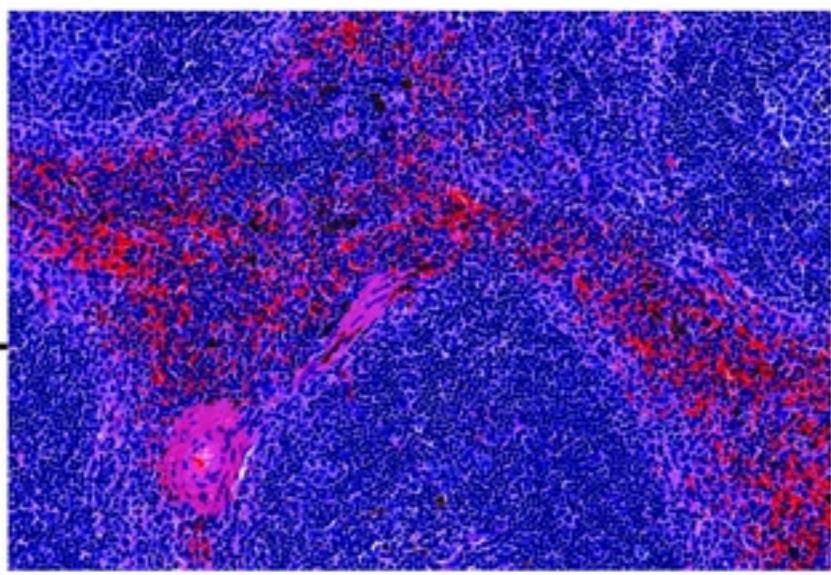


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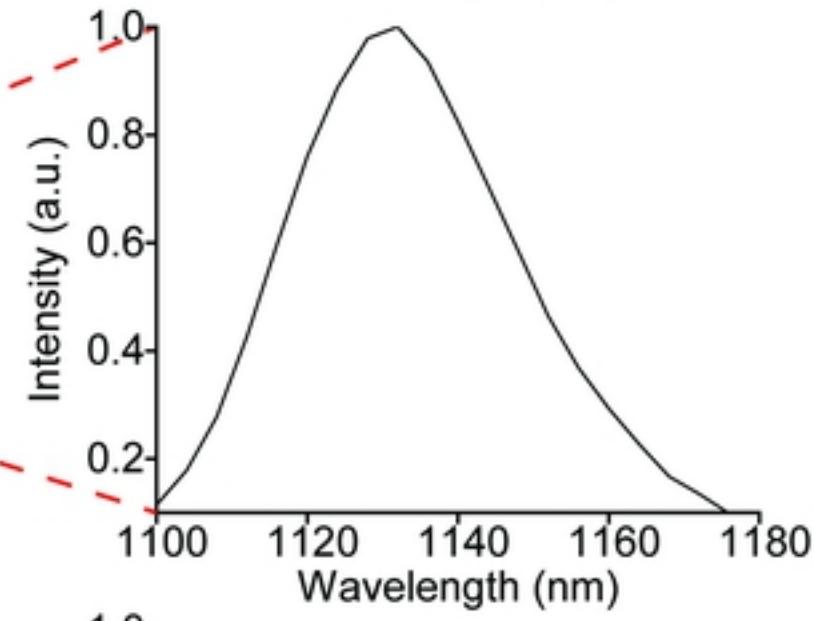
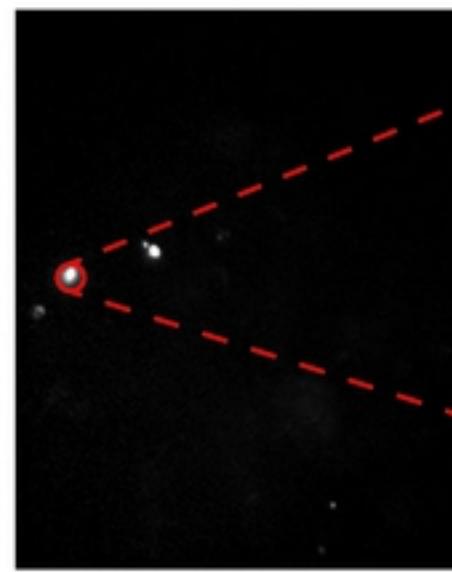
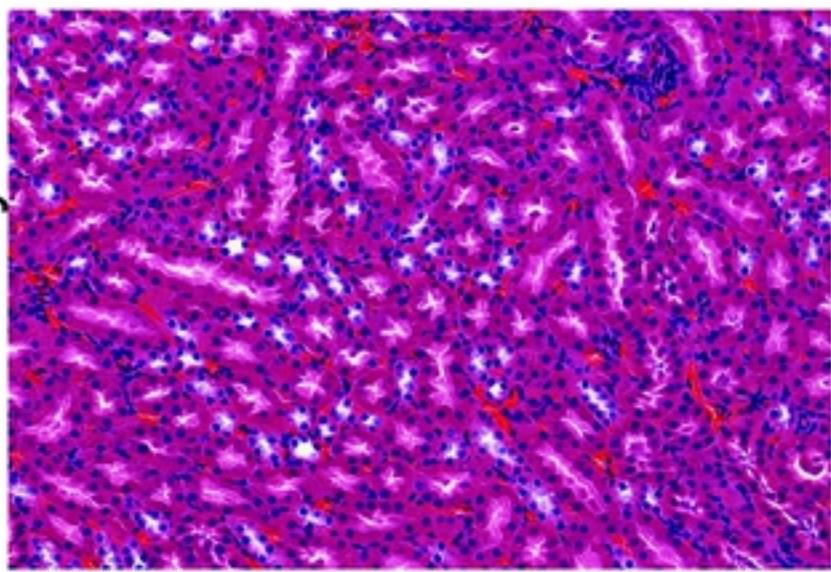
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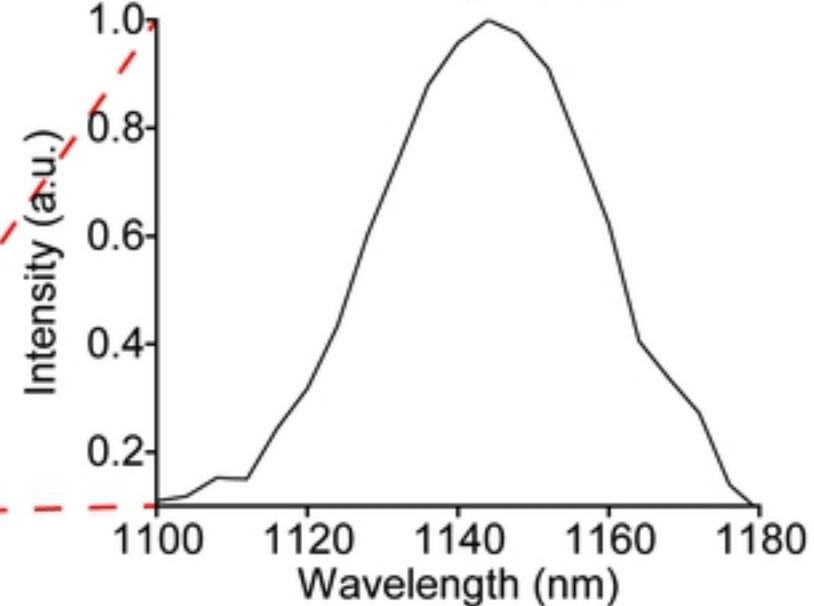
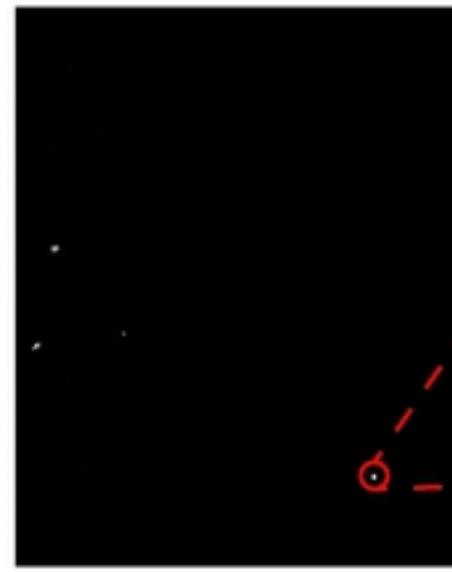
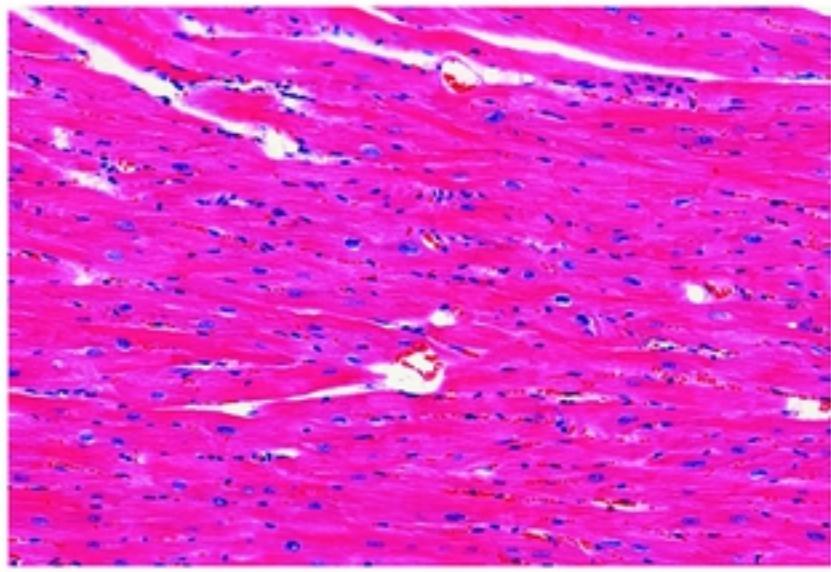
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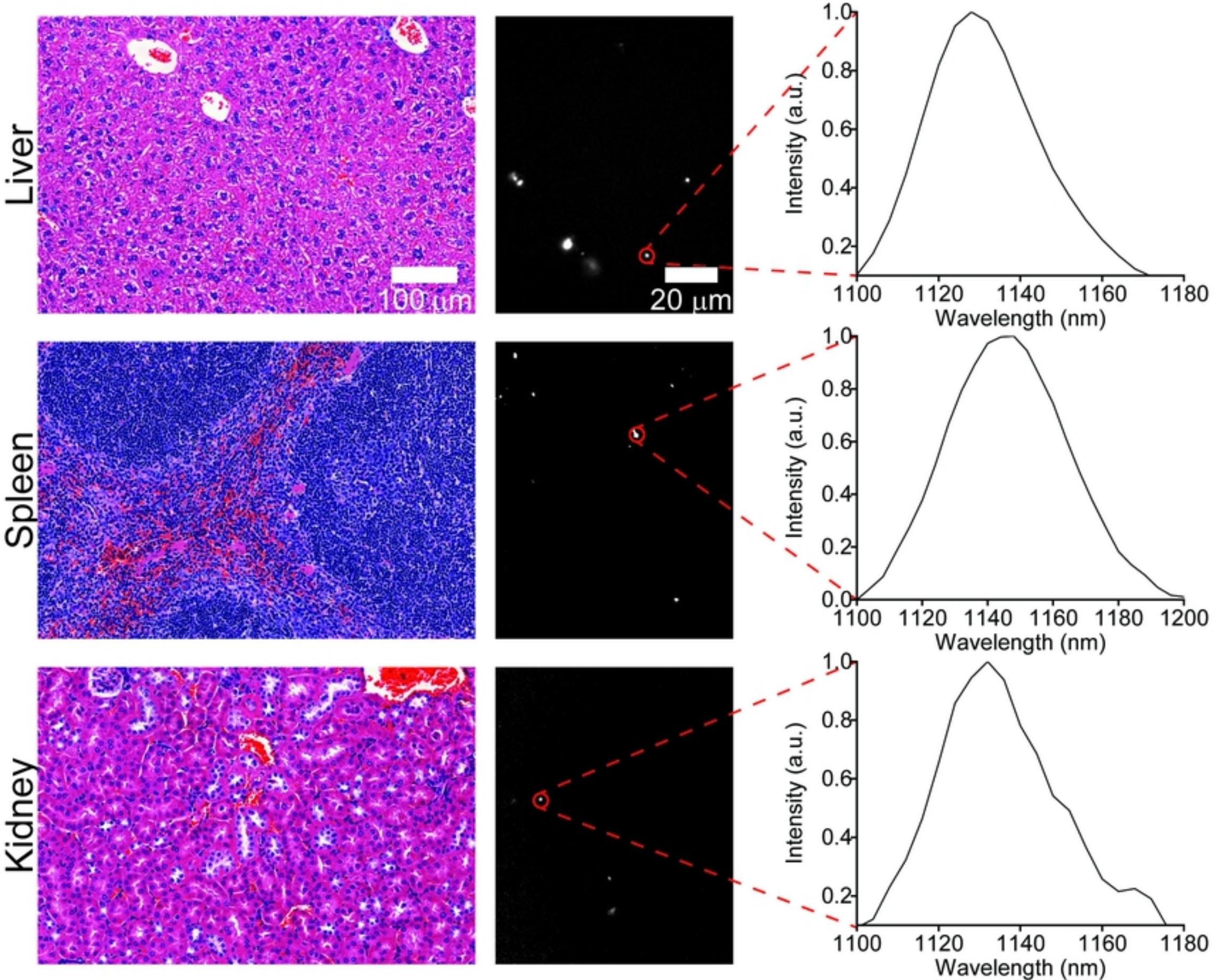
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Heart

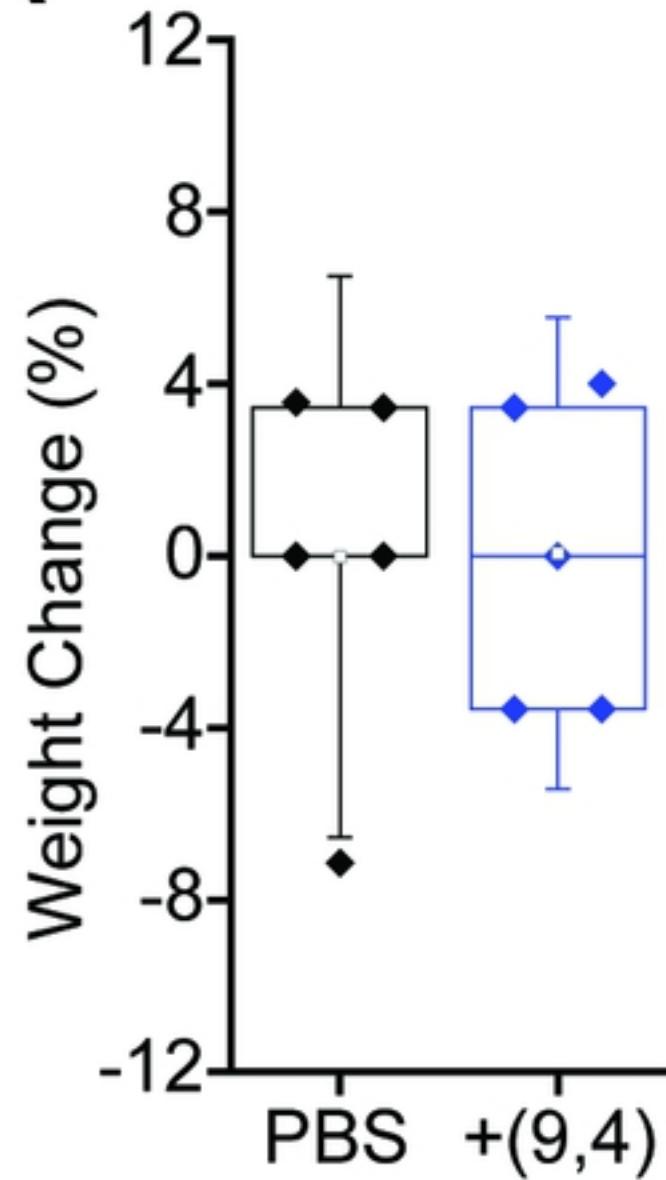


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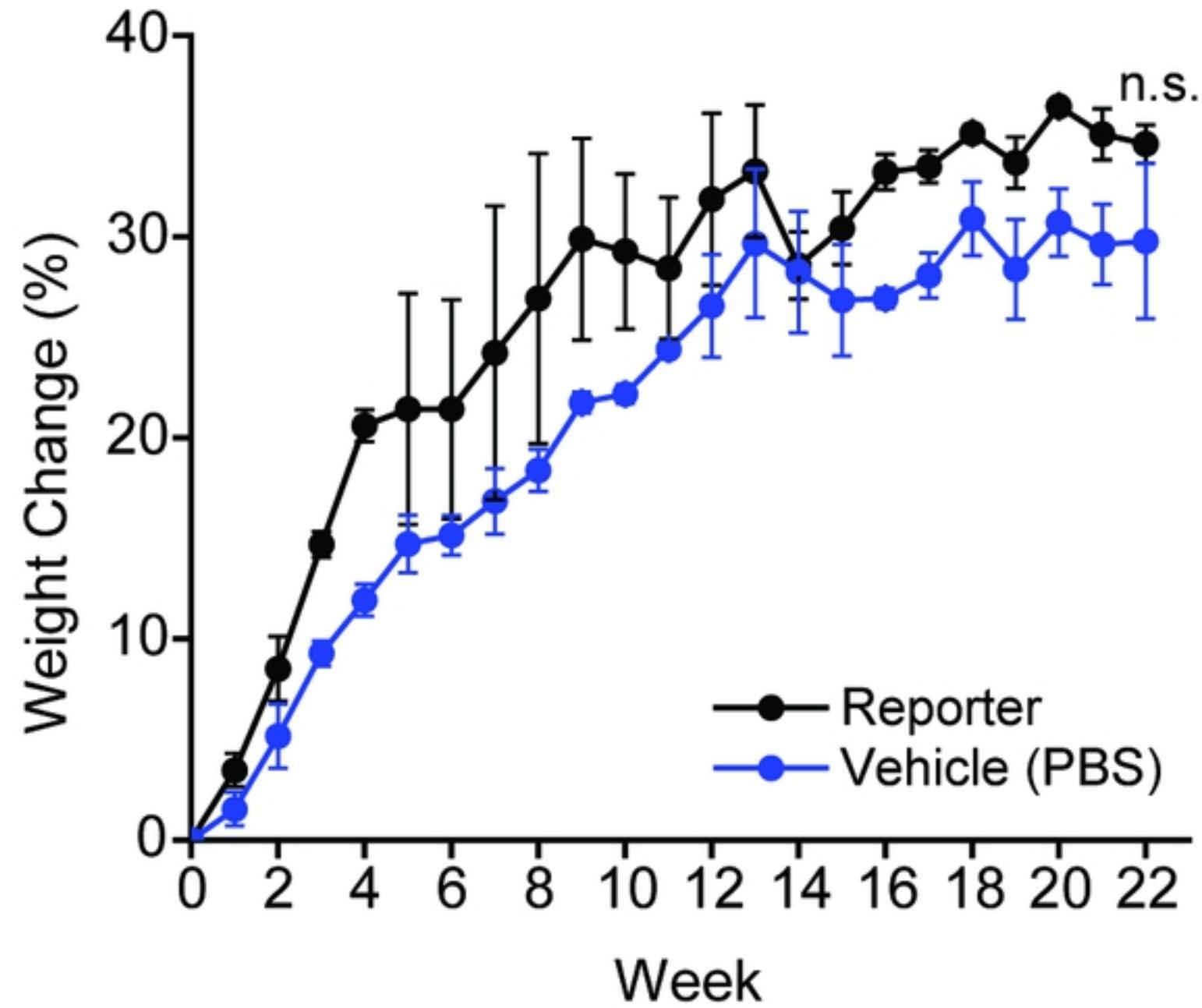


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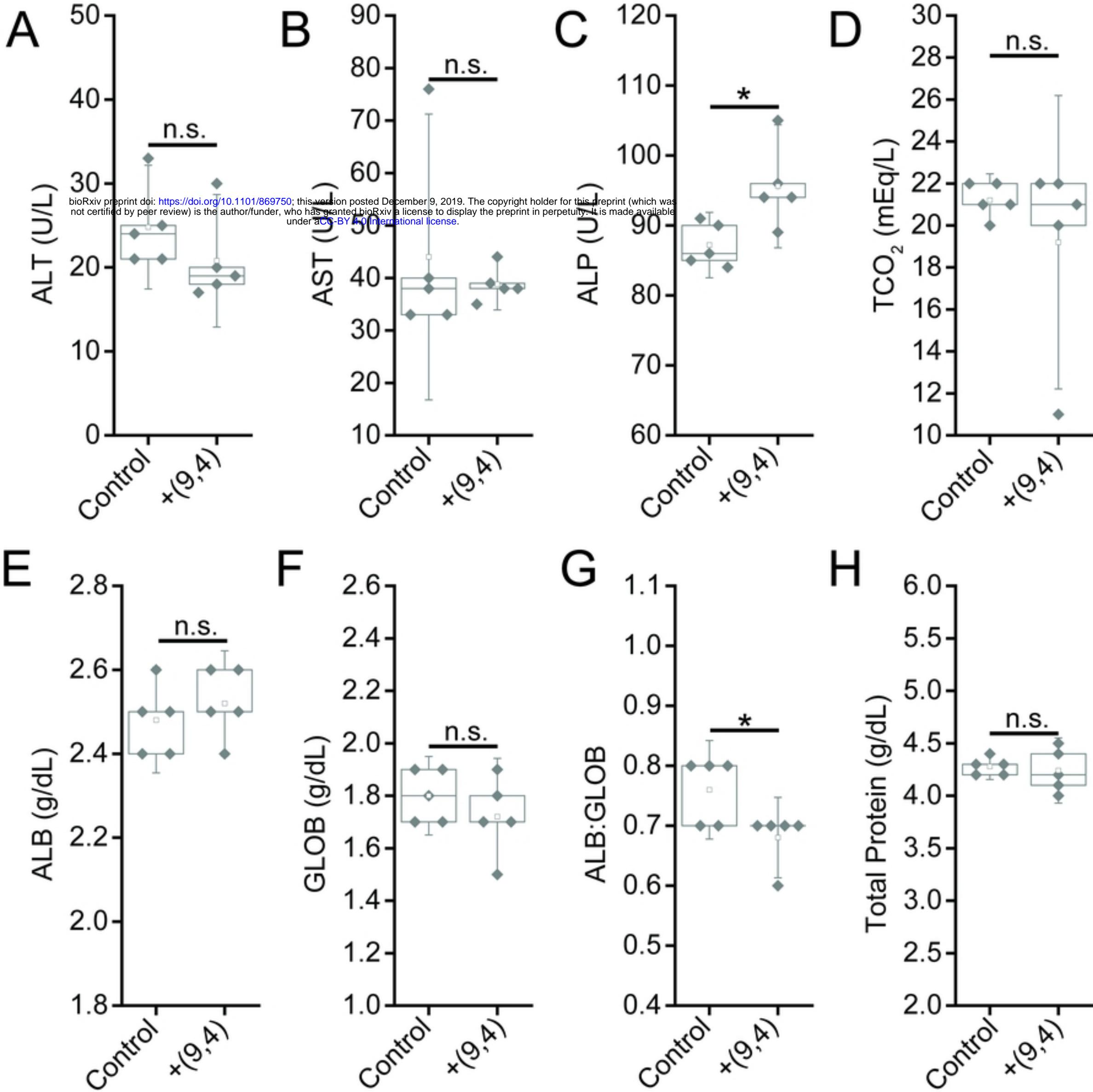
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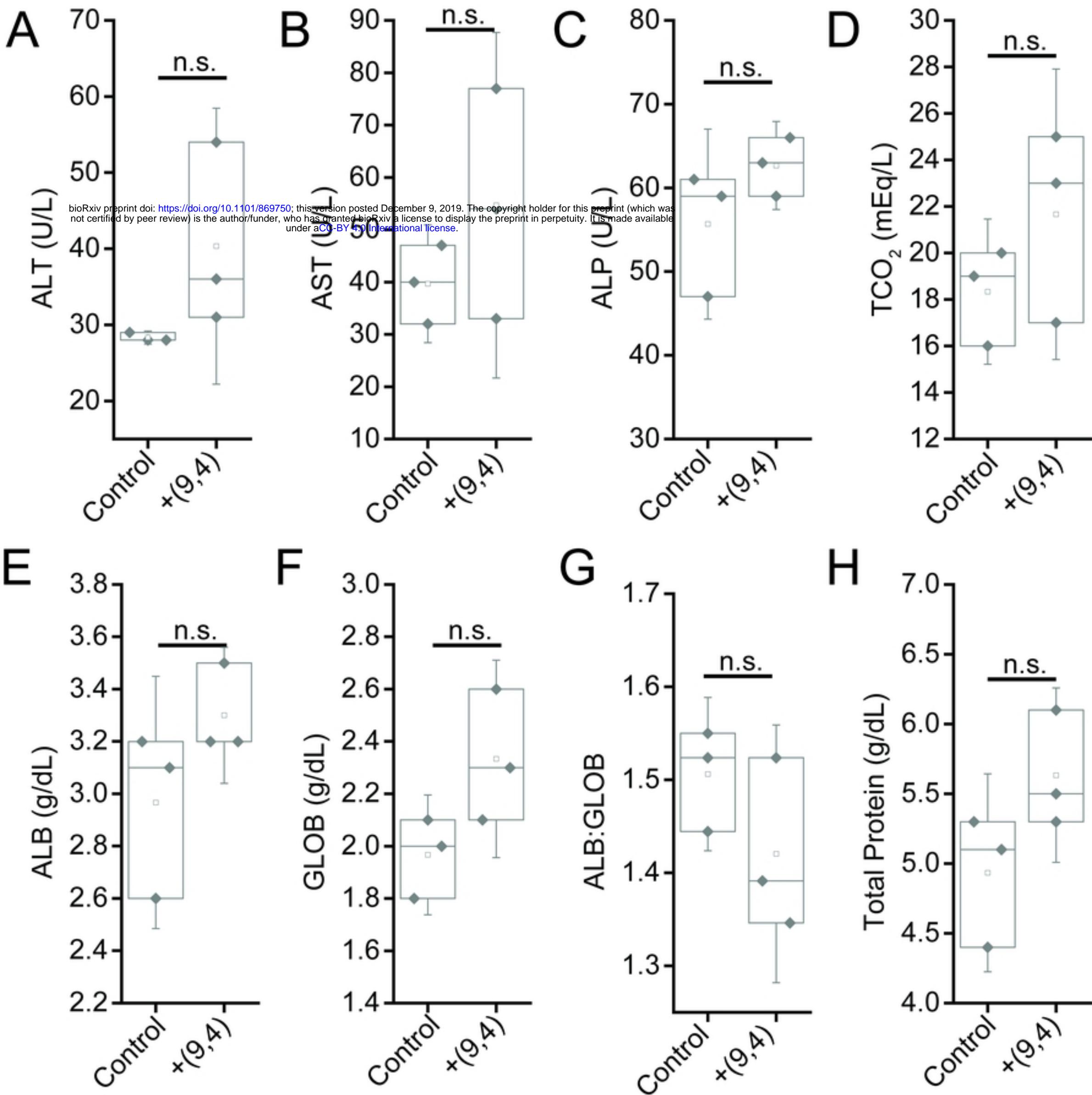
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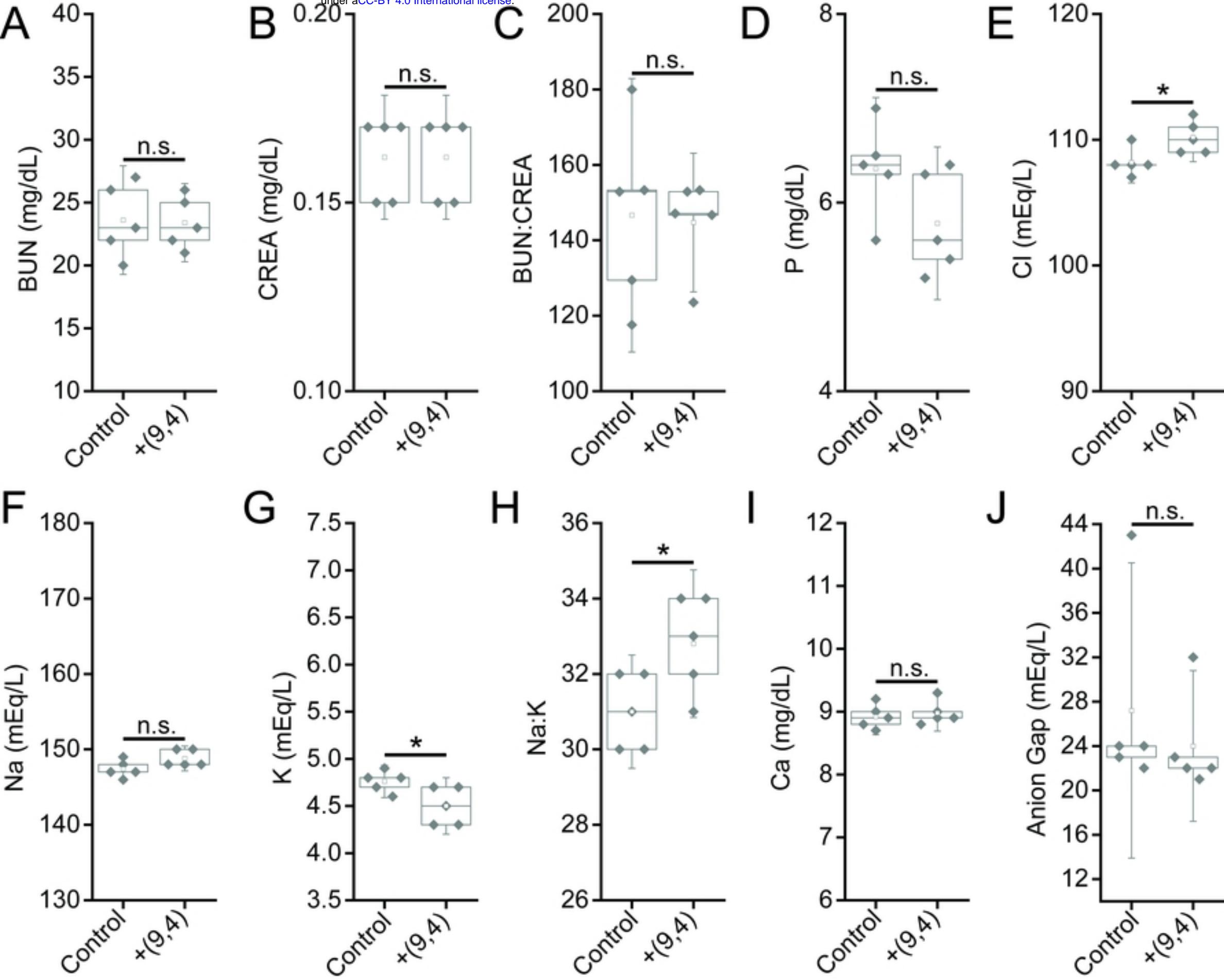
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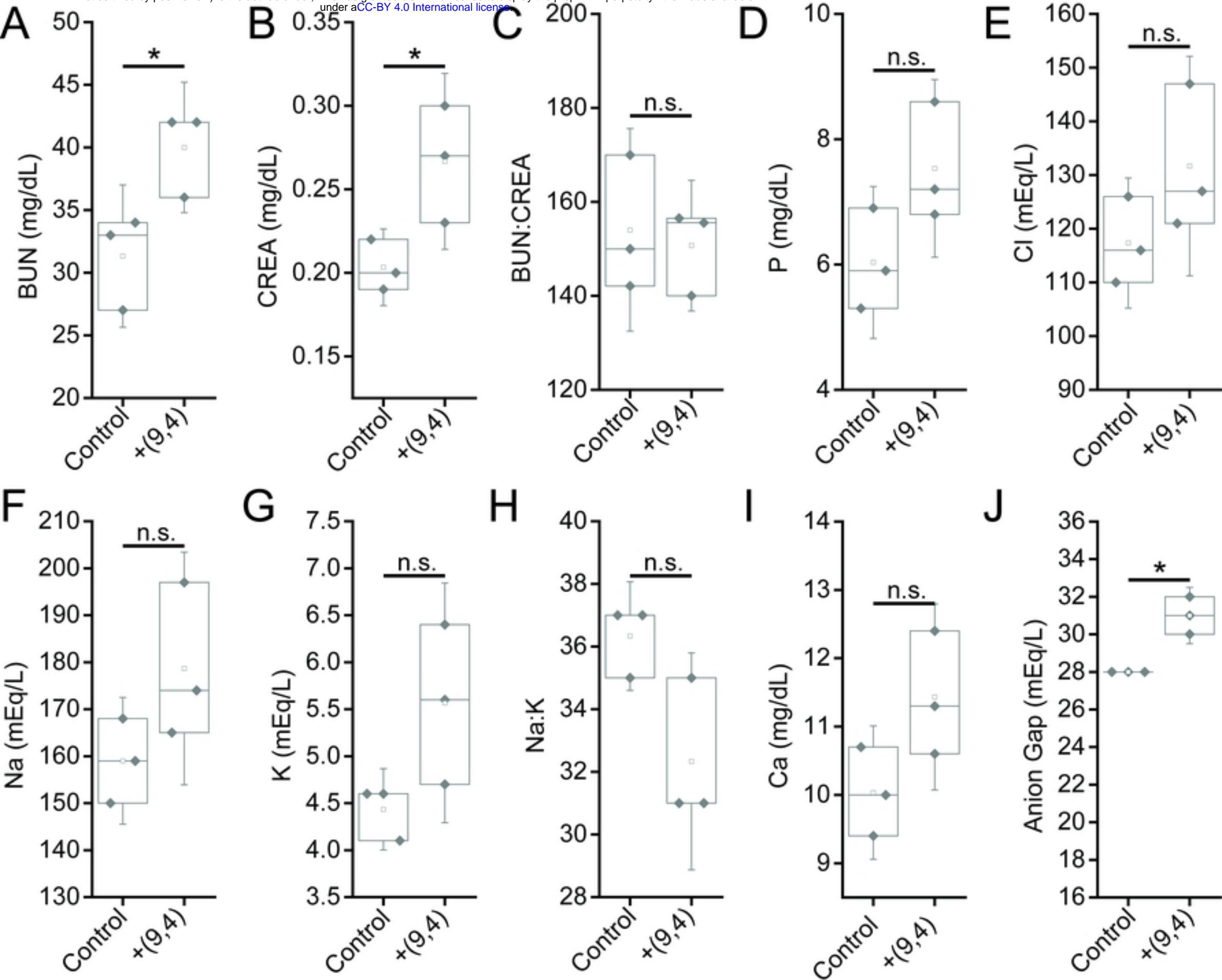
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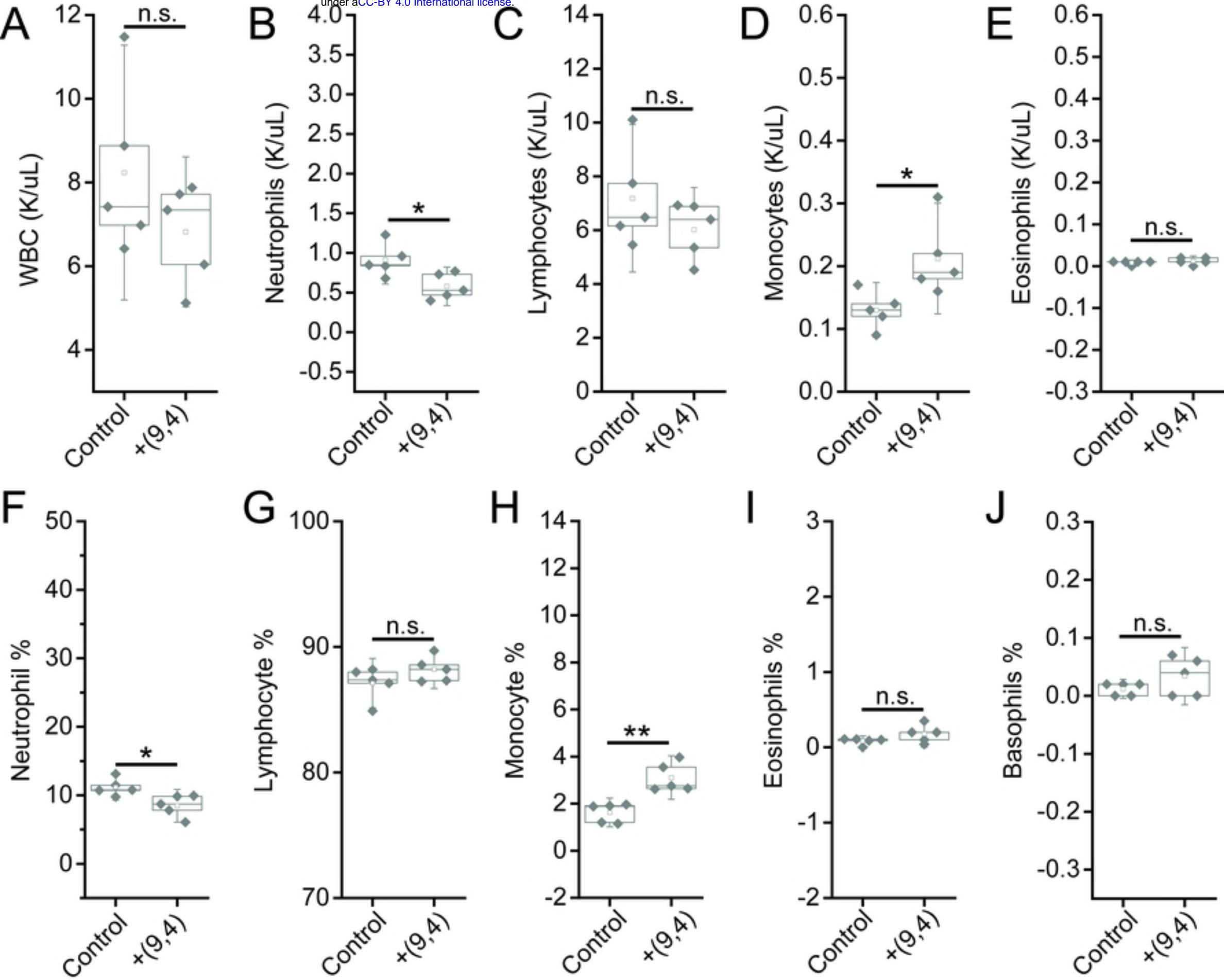
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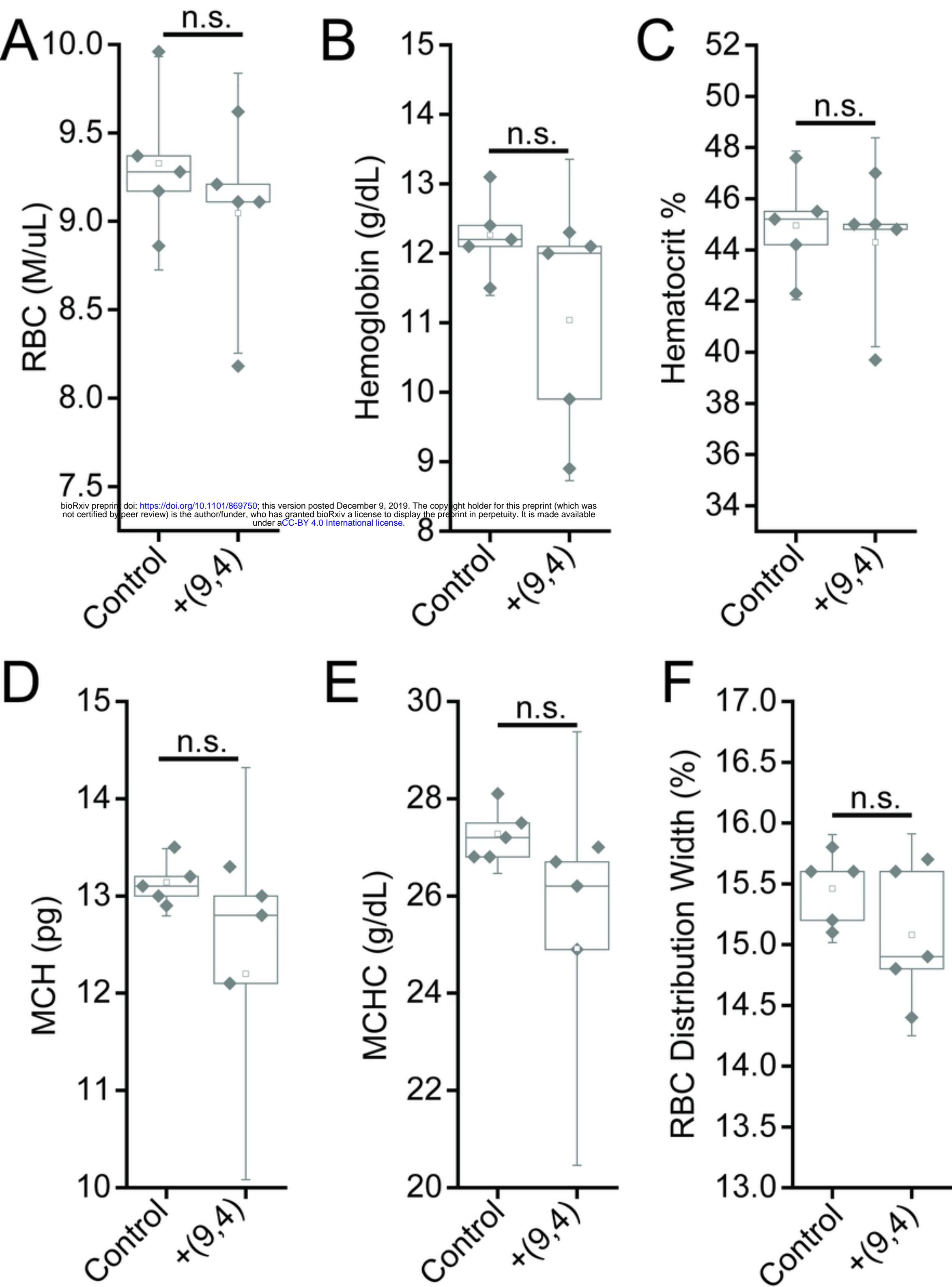
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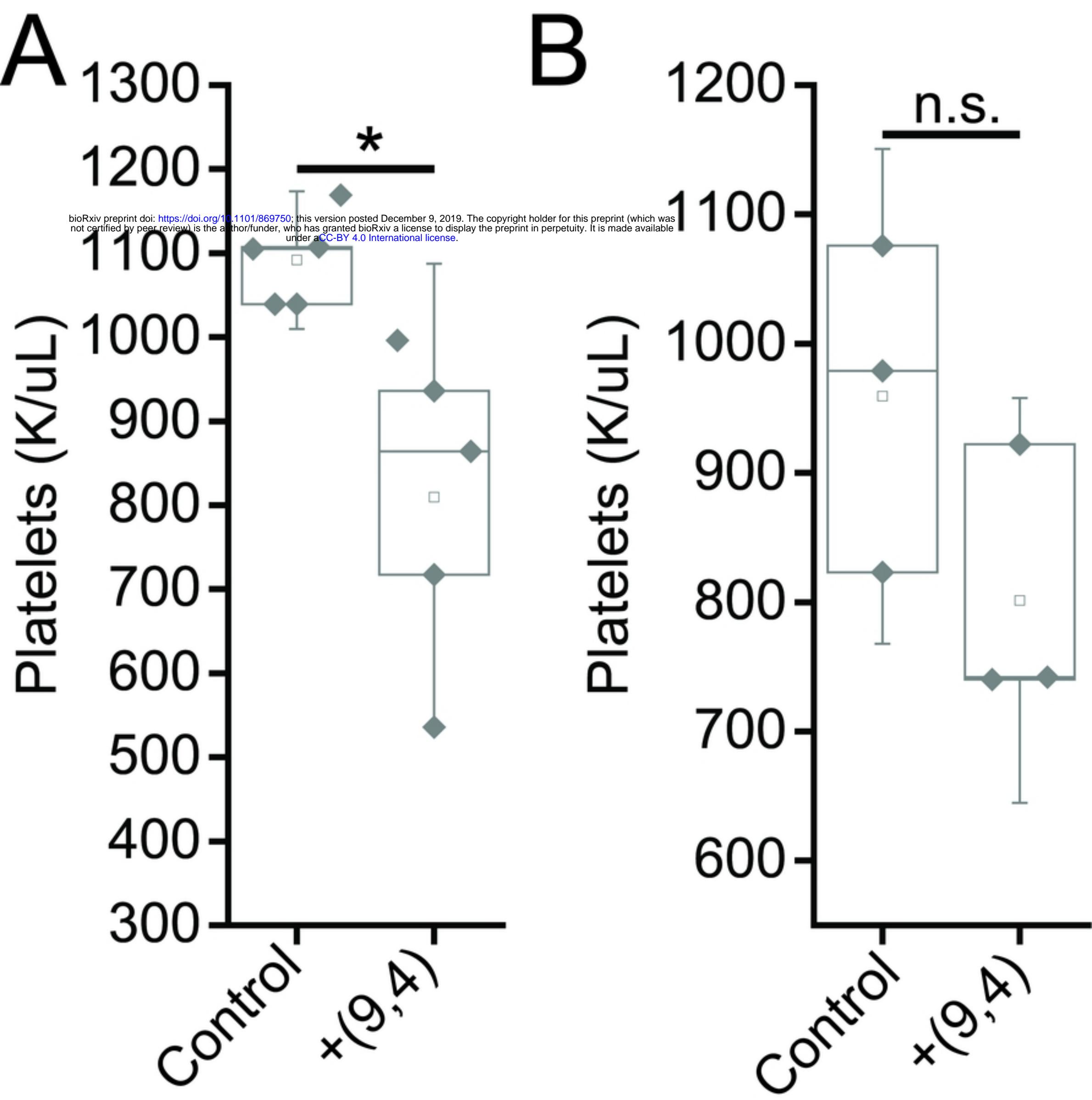
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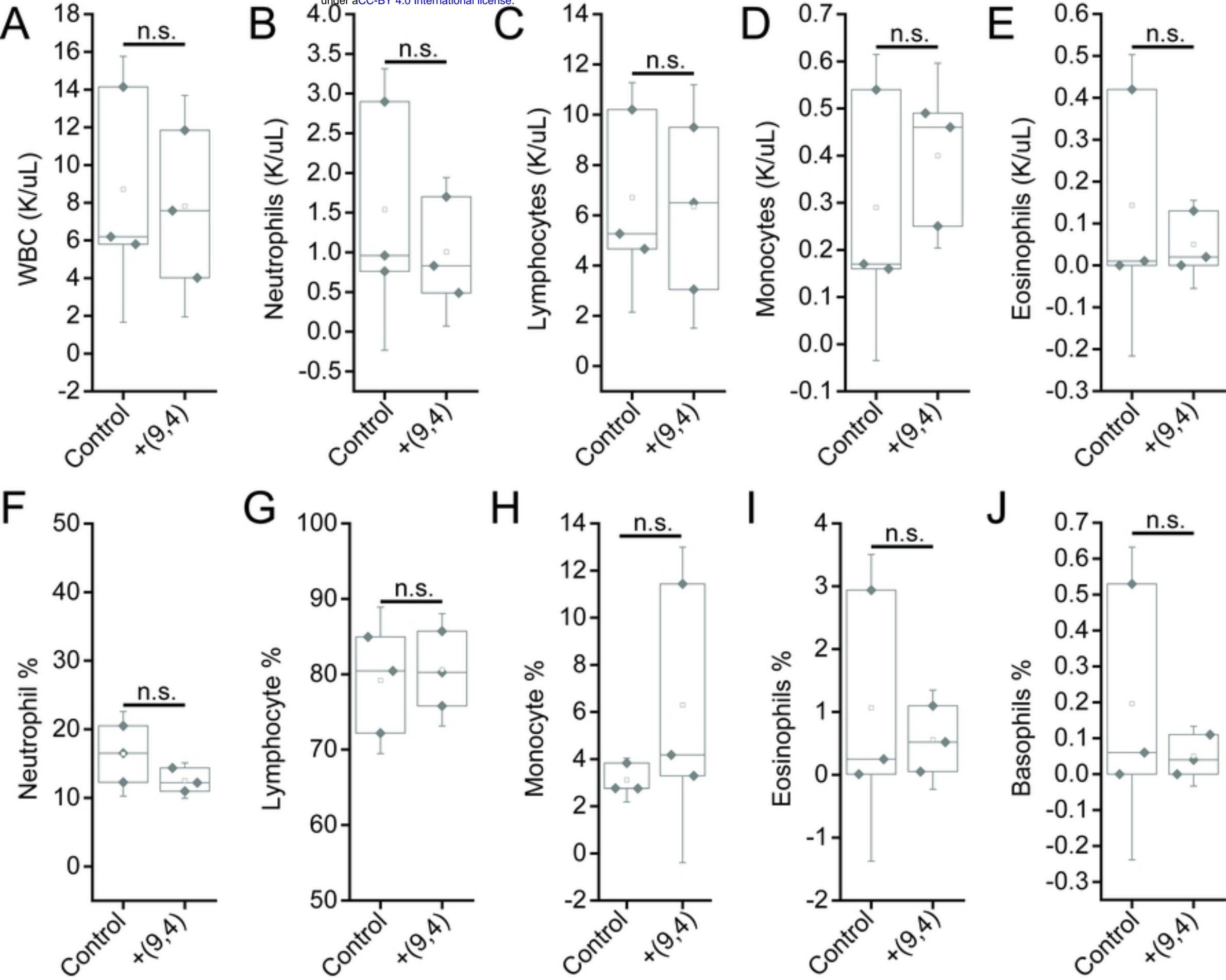
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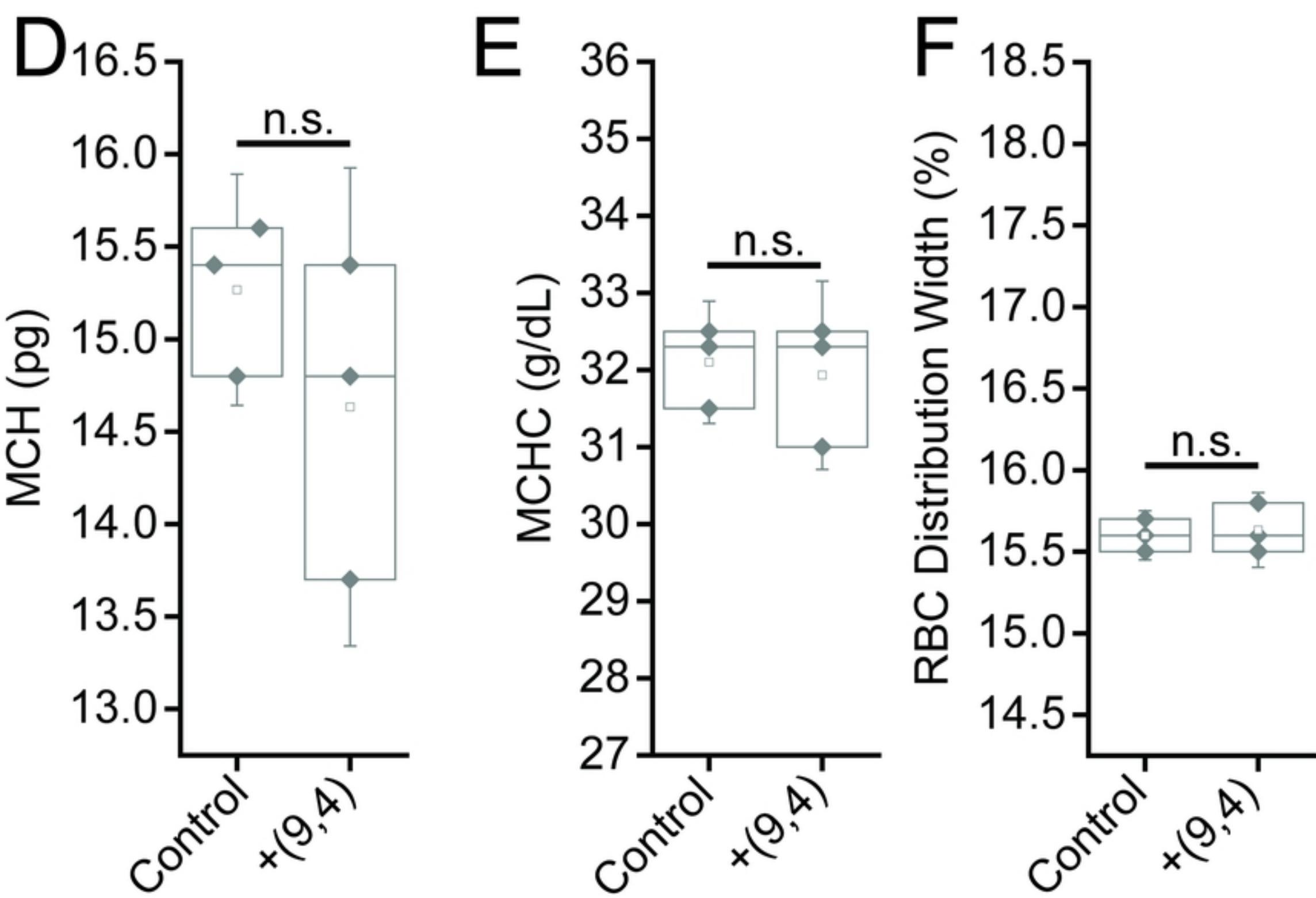
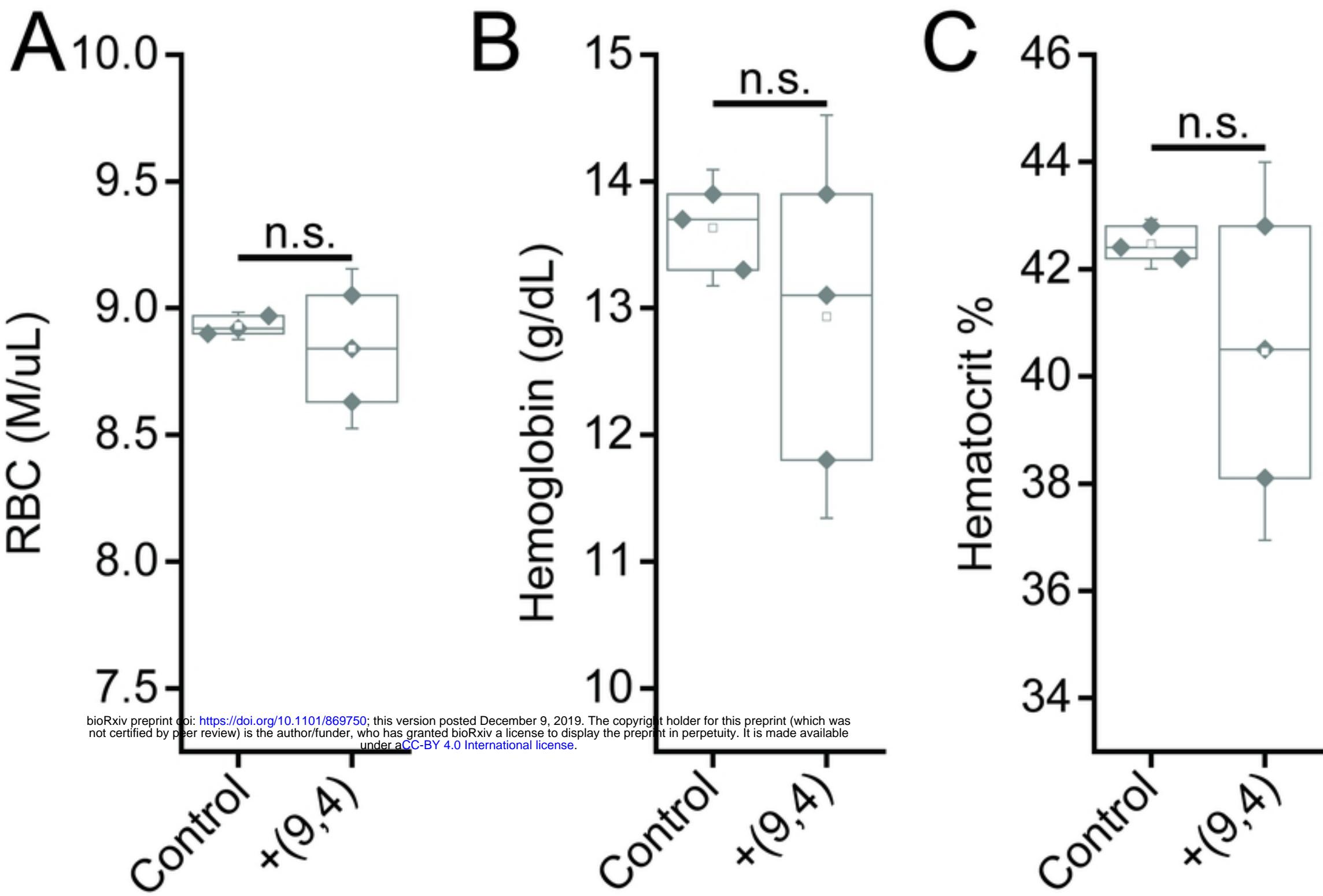
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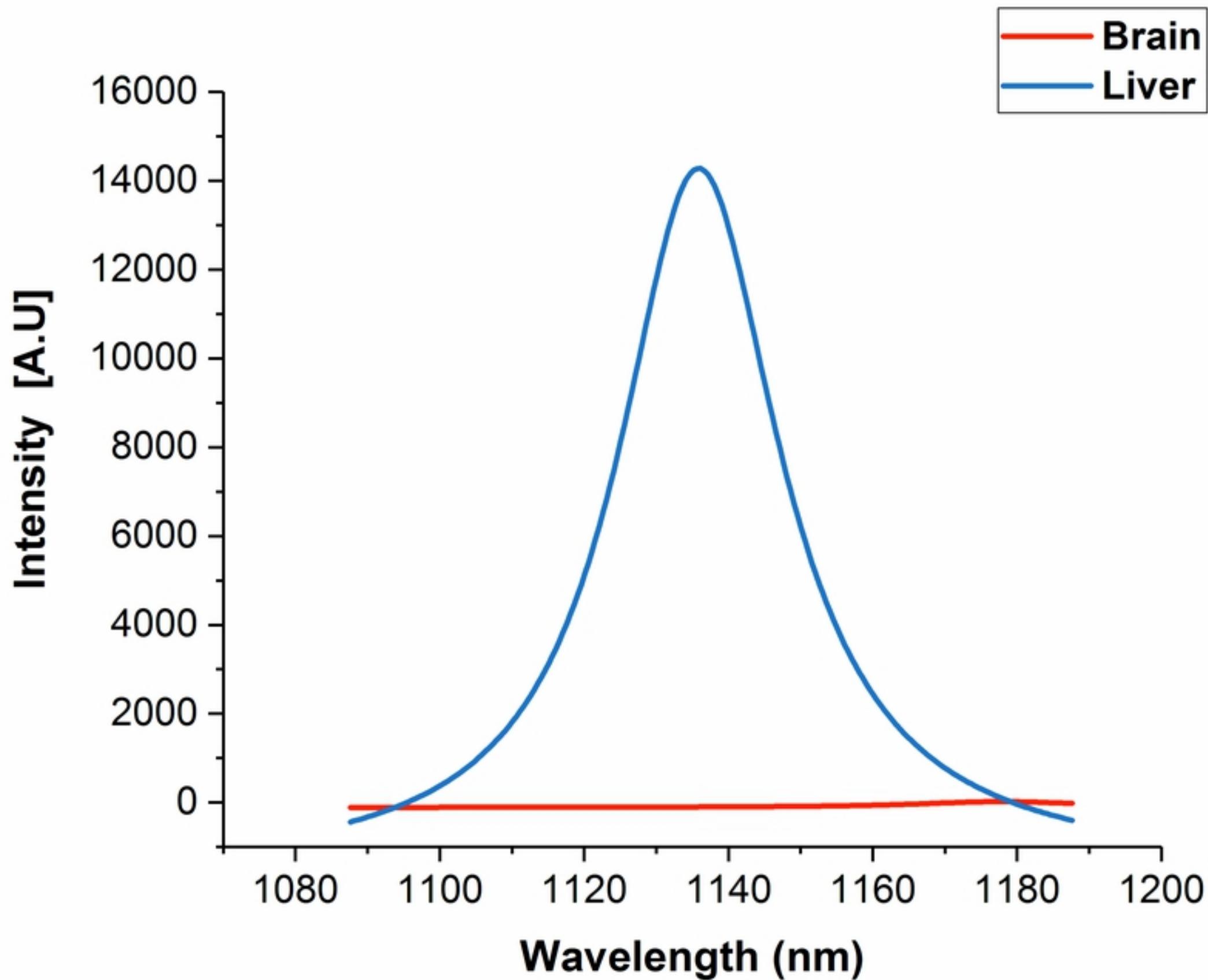
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