

## Advancing clinical cohort selection with genomics analysis on a distributed platform

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### Abstract

#### Background:

The affordability of next-generation genomic sequencing and the improvement of medical data management have contributed largely to the evolution of biological analysis from both a clinical and research perspective. Precision medicine is a response to these advancements that places individuals into better-defined subsets based on shared clinical and genetic features. The identification of personalized diagnosis and treatment options is dependent on the ability to draw insights from large-scale, multi-modal analysis of

biomedical datasets. Driven by a real use case, we premise that platforms that support precision medicine analysis should maintain data in their optimal data stores, should support distributed storage and query mechanisms, and should scale as more samples are added to the system.

### Results:

We extended a genomics-based columnar data store, GenomicsDB, for ease of use within a distributed analytics platform for clinical and genomic data integration, known as the ODA framework. The framework supports interaction from an i2b2 plugin as well as a notebook environment. We show that the ODA framework exhibits worst-case linear scaling for array size (storage), import time (data construction), and query time for an increasing number of samples. We go on to show worst-case linear time for both import of clinical data and aggregate query execution time within a distributed environment.

### Conclusions:

This work highlights the integration of a distributed genomic database with a distributed compute environment to support scalable and efficient precision medicine queries from a HIPAA-compliant, cohort system in a real-world setting. The ODA framework is currently deployed in production to support precision medicine exploration and analysis from clinicians and researchers at UCLA David Geffen School of Medicine.

### Keywords

GenomicsDB, Variants, Genomic cohorts, Precision Medicine, i2b2, Apache Spark,  
AWS

## Background

The affordability of next-generation genomic sequencing and the improvement of medical data management have contributed largely to the evolution of biological analysis from both a clinical and research perspective. Precision medicine is a response to these advancements that aims to tailor a medical treatment to an individual based on their genetic, lifestyle, and environmental risk factors [1]. While current medical practice is limited to using broad populations with heterogeneous characteristics, precision medicine places individuals into better-defined subsets based on shared clinical and genetic features. This fine-tuned, cohort-based method determines relative risk factors and potential therapeutic responses with higher accuracy [2]. Though a promising field, the identification of personalized diagnosis and treatment options is dependent on the ability to draw insights from large-scale, multi-modal analysis of biomedical datasets.

The integration of high-throughput genomic sequencing data and electronic health record (EHR) derived, phenotypic data is at the core of precision medicine efforts. Even before integration, genomic and clinical data each have specific bottlenecks that impede effective utilization of these data in practical analysis. EHR data requires extensive cleaning and restructuring for use in cohort analysis and clinical trial identification. This can be accomplished through ETL (extract transform load) and indexing procedures to

process the data into a form that can be efficiently queried from a relational database [3].

Informatics for Integrating Biology and Beside (i2b2) is a framework that enables cohort exploration and selection on clinical attributes, such as International Classification of Disease 10<sup>th</sup> revision (ICD10) codes [4,5]. The i2b2 framework is made up of series of components that work together to query and analyze clinical data. One such cell is the clinical research chart (CRC) that queries a relational database that stores ontological and clinical data with a patient-centric, star schema [4,5]. This system has been widely deployed for clinical data exploration in hospitals across the United States [6], and supports drag-and-drop, clinical cohort queries that interact with the backend relational database through a browser-based user interface.

Genomic data are large, heavily sparse, and in general, inefficiently stored in relational format. Columnar data stores can be specialized for sparse, multidimensional array representation to provide a scalable means to load, store, and query genomic variant data [7,8]. One such columnar data store is GenomicsDB [10], which has exhibited linear import and query execution time with respect to sample size [7]. The power of GenomicsDB has warranted the use of the database in the Genomics Analysis Toolkit (GATK) since version 4.0 [9,10] as a more efficient alternative to flat files. Variant data can be visualized in GenomicsDB as a sparse, two-dimensional matrix with genomic positions on the horizontal axis and samples on the vertical axis (**Figure 1**). Under this representation, columns can maintain top-level information about the variant, such as genomic position and reference allele. Cells of the matrix store data about the sample for the given position, such as genotype call, read depth, and quality scores. A single matrix instance has several vertically partitioned segments, called arrays, which support

contiguous storage of genomic regions on disk. These arrays can be split into several partitions, thus providing support for data distribution [7].

To understand how clinical and genomic data can be combined for use in cohort selection, consider a use case from UCLA David Geffen School of Medicine. Prior to the efforts discussed later in this paper, UCLA had an existing i2b2 system deployed for the purposes of performing clinical queries and retrieving a patient set count. Requirements for this system include a rolling update of clinical data, the processing and storage of associated genomic sequencing data, and patient consent to share such data. The ultimate goal was to query the genomic data from within the i2b2 interface along side the clinical attributes. The genomic and clinical data would be accessible to both clinicians and researchers for de-identified, cohort exploration and selection. At the outset of the efforts discussed in this paper, genomic data were stored as individual flat files that were siloed from the i2b2 system. UCLA projected an accrual of up to 1000 new samples per week that would need to be imported into and accessible from this system.

I2b2 has a modular design that makes the framework easy to extend with backend features. Plugins have been developed previously that enable querying of genomic data from within i2b2 for datasets with hundreds of samples [6,12]. Given the projected accrual of up to 1000 new samples per week noted above, a scalable genomics database as well as an efficient processing environment was required to manage and analyze the data at UCLA. Since clinical data and genomic data are optimally stored in databases natural for their specific data structures, a scalable solution to clinical-genomic data integration should leave the data in the respective optimal data store and provide the mechanisms to perform efficient aggregate queries from these sources. Given this, we

built a system that would (i) integrate genomic sequencing data with the existing i2b2 instance at UCLA, (ii) maintain the data sources in their respective data stores, and (iii) support efficient and scalable integrative analysis by means of a distributed processing environment.

Distributed processing platforms, such as Apache Spark [13,14], are becoming increasingly popular for large-scale, genomic data analysis [15,16,17]. Spark provides a powerful, programmatic interface that abstracts the distribution from the user. A Spark cluster consists of a master node and a set of worker (slave) nodes. The master node delegates tasks to the worker nodes, which will execute relevant tasks over the distributed datasets. In the event that a worker fails, Spark uses a data structure known as Resilient Distributed Datasets (RDDs), which will reallocate data to other nodes and ensure nothing is lost (fault-tolerance) [18]. Distributed file systems, such as the Hadoop Distributed File System (HDFS) [19] and Amazon Elastic MapReduce File System (EMRFS) [20], are often used in conjunction with such platforms to maintain data integrity and high data throughput. In contrast to distributed file systems, a local file system does not allow worker nodes to have centralized access to all the data required for the application. Similarly, network file systems provide limitations since all data is physically stored on a single machine and not distributed.

Prior to the work described in this paper, GenomicsDB supported querying of genomic data from Spark [7], but did not support the reading and writing of genomic data from genomic arrays stored on a distributed file system (legacy mode). Without support for a distributed file system, the process of querying GenomicsDB from Spark meant that arrays had to be manually organized across the worker nodes. The worker could only

access the genomic data that existed physically on that node. Under the organization of legacy mode, a query would be broadcast to each worker node, executed, and then a single RDD partition would be loaded with all the genomic variant data available to that worker.

There are several restrictions with this configuration that impact both genomic data storage and the Spark distribution abilities. First, a worker node is required to store the genomic data locally meaning the node needs enough space to store the genomic data and write out temporary work files from Spark. The addition of more genomic data to the system could require a resizing of the worker nodes and lead to system downtime.

Second, a worker node can only query one GenomicsDB array and can only load data from this array into a single RDD partition. This limits the distribution power of Spark since the number of RDD partitions should at least equal the number of cores available to an application to take full advantage of the available resources. Finally, if a worker node fails in legacy mode, the data must be reloaded or copied back to the node from an archive. This reduces the fault tolerance power of Spark and makes auto scaling the cluster a difficult task. Auto scaling is the ability to increase or decrease the number of worker nodes according to application load and is an important feature for resource management in Spark. Fortunately, the issues associated with Spark and legacy mode of GenomicsDB can be addressed by extending GenomicsDB to work with a distributed file system.

In response to the issues described above, we have extended GenomicsDB to support reading and writing to/from a distributed file system, such as HDFS and Amazon Simple Storage Service (Amazon S3) [21]. This setup better utilizes the distributed power of both

Apache Spark and GenomicsDB, reduces the space requirements for a worker node, and maintains the fault-tolerant behavior of an RDD. These extensions, together termed the Omics Data Automation (ODA) framework, have been used to create a precision medicine platform that enables integration and distributed aggregation of EHR-based clinical data and associated genetic data.

In this paper, we show that the ODA framework enables GenomicsDB to exhibit worst-case linear scaling for array size (storage), import time (data construction), and query time. We go on to show that the ODA Framework also exhibits worst-case linear time for both import of clinical data and aggregate query execution time within a distributed environment. This work highlights the integration of a distributed genomic database with a distributed compute environment to support scalable and efficient precision medicine queries from a HIPAA-compliant (Health Insurance Portability and Accountability Act of 1996), cohort system in a real-world setting. The ODA framework is currently deployed in production for use by both clinicians and researchers at UCLA David Geffen School of Medicine and the extended version of GenomicsDB is openly available at [www.genomicsdb.org](http://www.genomicsdb.org).

## **Implementation**

The ODA framework is responsible for genomic variant data storage in GenomicsDB, maintaining mapping information to a clinical data store, and enabling users to perform Spark-based queries to the platform from a graphical interface or a programmatic interface. Queries executed on the framework (i) consolidate the requested clinical and genomic data (GenomicsDB) in a distributed environment (Apache Spark), (ii) perform

the aggregate calculation within the distributed environment, and (iii) return the results to the user. Based on the UCLA use case, these components were integrated with an i2b2-based, cohort system in a HIPAA-compliant, protected subnet. The UCLA implementation is deployed on Amazon Web Services (AWS) [22], which leverage AWS provided subnets and Amazon's Elastic MapReduce (EMR) instances [20]. **Figure 2** presents a synergistic view of the ODA framework and i2b2 within a protected subnet. Focus on AWS services is attributed to the UCLA implementation, and it should be noted that the ODA framework is designed to be agnostic to any cloud provider or local hardware.

#### *Data Storage*

GenomicsDB was augmented to enable writing and reading of arrays on HDFS-compliant file systems, in addition to existing POSIX (Portable Operating System Interface) support. Genomic variant data read from Variant Call Format (VCF) [23] files are imported into GenomicsDB arrays residing on a distributed file system through the standard GenomicsDB VCF import process. The import process uses a set of configuration files and Samtools HTSLib (High-throughput sequencing library) [24] to read block-compressed and indexed VCF files. This process writes to several GenomicsDB arrays at once with the use of GNU parallel [25], which maintains an independent import process for each array. Performance for parallel import of GenomicsDB arrays is dependent on 1) the number of arrays produced, and 2) the resources available to the ETL process, such as the number of cores, memory and network throughput. Once the arrays are loaded, the VCF files are no longer needed and can be moved to a cold storage archive. For the UCLA import process, the genome was

split into 1000 evenly sized sections to produce 1000 GenomicsDB arrays. These arrays are stored on Amazon S3 and are accessed from an Amazon EMR instance via AWS EMRFS distributed file system. The HDFS-compliant additions to GenomicsDB have been integrated into the public repository at <https://github.com/GenomicsDB/GenomicsDB>.

Clinical data is maintained in a database and application chosen, or already in-use, by the medical institution. To ensure HIPAA-compliance, the ODA framework acquires clinical data by enforcing encryption at REST (Representational State Transfer) with SSL (Secure Sockets Layer) for all network-based database communication. Mapping information is used to associate clinical data to samples stored in GenomicsDB. The mapping information constructs a relationship between a de-identified patient id and the relative genomic sample information. The patient identifier is sourced from the clinical data store, such as i2b2. This information, along with the configuration files used in the GenomicsDB ETL process, is used to load a mapping database. This mapping database also maintains metadata on GenomicsDB arrays. In essence, the mapping database provides a global view over the relevant data sources required to perform an integrative query over the clinical and genomic information. The mapping database (PostgreSQL [26]) comes with a core relational schema and a python interface for ease of database construction and maintenance. The UCLA implementation uses i2b2 with a PostgreSQL backend as their clinical data store and stores mapping information in a separate PostgreSQL mapping database.

*Apache Spark*

To support distributed queries and processing, the majority of the query and analytical components reside on the master of the Spark cluster. For the UCLA implementation, the Spark cluster is an AWS Elastic Map Reduce (EMR) instance. The EMR instance contains a Spark master that delegates tasks to worker nodes. The master node serves as the entry point to queries that are sent out to the worker nodes and in the process, loads data from each of the GenomicsDB arrays into Spark RDD partitions. Since GenomicsDB arrays are made available to the worker via a direct connection to a distributed file system, any of the GenomicsDB arrays are accessible to all worker nodes in the cluster. The master node is responsible for delegating query tasks to the workers and these query tasks are responsible for loading of genomic variant data into Spark RDDs.

When a user submits a query to the ODA framework, the Spark master decomposes the query into a list of smaller queries that are distributed to the worker nodes as query tasks. The worker nodes perform the query tasks assigned to them, which is some subset of the whole query list. The number and size of query tasks is proportional to the number of GenomicsDB arrays, such that each query task will query one GenomicsDB array and load the result into an RDD partition. The collection of RDD partitions across all workers collectively contains all the genomic information queried from GenomicsDB. This means the number of GenomicsDB arrays produced during the import process also helps balance the query workload. The optimal distribution of genomic variant is determined by balance of file open and read operations as well as RDD partition size. More distribution will lead to an increase in open and read operations and smaller RDD partitions, where as

less distribution can cause more overhead for smaller query regions by creating large RDD partitions.

### *Programmatic Access*

The GDBSpark API is an integral component of the ODA framework that provides support for distributed querying, loading, and aggregating clinical, genomic, and relevant mapping data sources. Clinical data accessed through the API will require a data handler to tell the API how to interact with the source data. For the UCLA implementation, the API contains an i2b2 data handler that supports the import of an i2b2 XML (eXtensible Markup Language) file received from the CRC cell. The GDBSpark API is implemented in Scala 2.11. This API represents genomic variant data as a VariantContext object provided from HTSLib [24] as specified in the GenomicsDB Java Native Interface (JNI). The API acts as an intermediate layer between Spark, GenomicsDB, and the mapping database to query genomic data, load Spark RDDs, and associate to the clinical dataset for downstream computation. In general, the clinical data from the CRC cell of i2b2 and the aggregation of the clinical data with genomic information in VariantContext RDDs are distributed across the worker nodes. The API also provides a genomic toolkit to perform pre-defined aggregate statistics for genomic and clinical data.

### *User Interfaces*

There are two analytical interface components that interact with the ODA framework to support distributed analytics, an Apache Zeppelin interactive notebook environment [27] and an i2b2 plugin, VariantView. The VariantView plugin is designed to perform aggregate clinical and genomic queries from within the i2b2 interface. A user first creates

an i2b2 patient set from the “Find Patients” window. This patient set is then referenced in the VariantView plugin along with additional clinical attributes (i.e. ICD10 code), and genomic regions (dbSNP rs-identifiers and attributes from the Sequence Ontology). Users have the ability to filter by genotype specified in the form: rs### (is | is not) (homref | homvar | het | nocall). The aggregate queries are predefined as *reports*, which specify the aggregate calculation to perform when the Spark application is submitted. Four reports are available: total allele counts, genotype distribution by clinical attribute, and genomic-only based cohorts. A screen shot of this plugin within the i2b2 interface is shown in

**Figure 3.**

The VariantView i2b2 plugin consists of two main components: a frontend, graphical user interface that exists within the i2b2 browser, and a backend that extends the i2b2 hive with an additional service (cell). The frontend uses the i2b2 JavaScript API to communicate with the backend through plugin-specific, XML messages supported by the i2b2 REST API. The backend of the VariantView plugin is responsible for submitting a Spark application to the ODA framework with SparkLauncher. SparkLauncher enables i2b2 to run spark-submit, sending the application jar and associated parameters to the AWS EMR instance that houses the ODA framework. The incoming job requests from i2b2 are scheduled with Hadoop YARN [19]. Once the application finishes aggregating the results of both queries, the results are written back to MongoDB [28] using SSL with a unique identifier. The backend of VariantView is notified of the success or failure of the spark job. On success, the plugin backend will query MongoDB for the results using the unique identifier. The results are then formatted into a plugin-specific, XML response message and sent to the frontend. The frontend accesses the required information from

the response and plots the results using a JavaScript plotting library (d3.js) [29]. If the spark application reports failure, the response will contain error information that will then be displayed to the user. The backend of VariantView is written in Scala 2.11 and is based on a tutorial plugin provided by the i2b2 [30].

**Figure 4** shows the flow of a query originating from the VariantView plugin. This entry point could be any Spark-based application that submits to the ODA framework with the GDBSpark API, such as the Zeppelin notebook environment. This notebook environment was added to the framework to enable users to develop code and execute distributed queries to GenomicsDB without having to be concerned about the configuration of a Spark cluster. The Zeppelin instance at UCLA comes with several notebooks that support use cases proposed from clinical geneticists, clinicians, and bioinformaticians at David Geffen School of Medicine. Users are able to create their own notebooks and develop their own analysis within this environment.

## Results

The following describes the results of a set of experiments designed to highlight efficient variant data loading, storage, and querying of genomic data, stored in GenomicsDB, to/from the distributed platform for an increasing number of samples. The timing to import clinical data as well as the time to aggregate the clinical and genomic data is also reported. All experiments were performed in AWS EMR- 5.7.0, with Spark 2.1.1, and Scala 2.11. Two datasets are referenced throughout the experiments: the Phase III 1000 Genomes Whole Exome Sequencing (WES) dataset [31] and the AtLAs target sequencing data [32]. The AtLAs dataset was generated using a microarray technology to

interrogate approximately 600,000 genomic loci. The AtLAs dataset is the UCLA patient data that has associated clinical data stored in i2b2. By contrast, the 1000 genomes data was generated using a high-throughput, whole exome sequencing platform that targets all the protein coding regions in each patient's genome. These datasets will be referred to as 1000g and AtLAs, respectively.

### ***Distributed import into GenomicsDB scales linearly with sample size***

To test the performance of importing genomic variant data (VCF files) into GenomicsDB, we carried out the ETL process for an increasing number of samples for both the 1000g and AtLAs datasets. The ETL process wrote 1000 GenomicsDB partitions to Amazon S3 with the following GenomicsDB loader configurations: 1) column based partitioning, 2) disabled synced writes, 3) 20 parallel VCF files, 4) 1000 cells per tile, 5) compress genomicdb array, 6) 1048576 segment size, 7) ping pong buffering, 8) treat deletions as intervals, 9) size per column partition 43581440, 10) discard missing genotypes, and 11) offload VCF output processing for both datasets. END and GT fields were loaded from AtLAs VCFs. Whole exome sequencing contains additional attributes that are not generated in microarray genotype assays. END and GT fields as well as DP, GQ, AD, and PL fields were loaded for 1000g. These additional fields are found in whole exome sequencing datasets, but not in microarray genotype assays. This process was repeated on four different AWS instance types in order to evaluate the effects of resources on import time. We used two general-purpose instances m4.2xlarge (2 cores, 8G memory) and m4.4xlarge (16 cores, 64G memory) and two memory-optimized instances r4.2xlarge (8 cores, 61G memory) and r4.4xlarge (16 cores, 122G memory) [33].

We found linear-scale ETL times for 1000g (**Figure 5**) and better than linear-scale ETL times for AtLAs (**Figure 6**) as the number of samples increase. The import times for AtLAs suggest log-scale potential, although more samples would be required to determine this with confidence. The import times for both 1000g and AtLAs suggest that m4.4xlarge and r4.4xlarge instances result in shorter import times with increasing sample size in comparison to the m4.2xlarge and r4.2xlarge instances. This result is expected since the m4.4xlarge and r4.4xlarge have more processing power than the m4.2xlarge and r4.2xlarge instances. A less expected result was that there were no significant advantages to using the memory-optimized nodes for ETL. This result suggests that the lower cost, general-purpose nodes provide sufficient resources to perform the ETL process efficiently with the provided configurations.

#### ***Total GenomicsDB partition size scales linearly with sample size***

To evaluate how total GenomicsDB array size grows as the number of samples in the database increases, we measured the total partition size of the databases resulting from the ETL processes described above (sum of 1000 partitions). Storage size increased linearly with the number of samples for both 1000g and AtLAs, with the storage size for 1000g increasing at a faster rate (**Figure 7**). This observation is expected since the 1000g dataset is importing more fields from the VCF files and has more coverage of the genome, as compared to the AtLAs dataset.

#### ***Distributed GenomicsDB queries scale linearly with sample size***

To test the performance of Spark-based GenomicsDB queries, we queried variable-sized regions across the genome of both datasets using the GDBSpark API. Regions include a

large chromosome (chromosome 1 – add # bp), a medium sized chromosome (chromosome 10 – add # bp), and a small chromosome (chromosome 22 – add #bp). Similar to the above experiments, query times were measured for an increasing number of samples in the database. Each query requested data for all samples in the respective GenomicsDB instance. Queries were performed five times and the average was reported. A query within the GDBSpark API is the time it takes for data to be retrieved from GenomicsDB, imported into an RDD in VariantContext format, and the number of elements counted. Given this, the reported times measure time to query GenomicsDB, load variant data into an RDD, and perform a simple iteration operation over the data (count).

For 1000g dataset, smaller regions exhibit worst-case linear scaling and chromosomes display near-linear scaling (**Figure 8**). This result is consistent with previously reported results in the GenomicsDB white paper [7]. When more data is read into memory, the number of cache misses leads to in-memory bandwidth saturation and causes an increase in read times. The AtLAs queries appear to be reporting near log-scale query times with smaller regions, small chromosomes, and even medium size chromosomes (**Figure 9**). The larger chromosome for the AtLAs dataset is close to linear, but still exhibits a trend that is suggestive of the memory-saturation behavior of GenomicsDB.

*Integrating clinical and genomic data in a distributed compute environment scales linearly with sample size*

To test the performance of clinical and genomic data integration within the framework, we measured the time to import clinical datasets for an increasing number of patients and the time to perform an aggregate query. Clinical datasets were generated from the clinical information associated to the AtLAs variant data associated to over 12,000 patients in the UCLA i2b2 CRC cell. Requests were sent from the web browser of i2b2 for a subset of ICD10 ontological terms and the response was saved to an XML file. The time to import this XML file into Spark and count the number of patients in the set, collectively, was recorded five times for each query and the average was reported. Worst-case linear time was observed for an increasing number of patients (**Figure 10**).

The time required to associate this clinical set to the genomic data, query GenomicsDB, collate the integrated set into the distributed environment, and perform a simple aggregate (count) calculation, collectively, was also recorded. We use a subset of the genomic regions queried above for these queries, and report these regions based on the number of variants returned. Each query was performed five times and the average was reported. Again, we observe worst-case linear query response time for the aggregation of the clinical and genomic data for an increasing number of regions queried (**Figure 11**).

The number of patients in the query had less of an effect on query time, but still exhibited linear scaling (**Figure 12**) with respect to the number of patients queried. This result suggests that response time is affected only minimally by the size of the patient set query.

These queries were performed for a subset of samples in a constant size GenomicsDB instance. This is in contrast to the previously presented experiment, which queried all the samples in a given instance. It is important to note that during the short time these two experiments, the number of samples in the system had nearly doubled in size. These

results show that the worst-case linear performance is preserved even for clinical-genomic aggregate queries for over 10,000 patients. The results also show that the number of variants queried will have more of an effect on response time than the number of patients referenced in the query. This means that more patients could be included in an analysis without having a significant impact on query response time.

## Discussion

Throughout this paper we present a distributed analytics framework for performing precision medicine queries. We use a real-world use case from UCLA to drive the development of the framework and confirm scalability and efficiency of distributed loading, storage, access, and aggregate queries for an increasing number of samples. The results have shown linear query times from distributed GenomicsDB instance with an HDFS-compliant file system. These results were made possible by extending GenomicsDB to support reading and writing to a distributed file system, which has resulted in a more Spark friendly version of GenomicsDB. Despite network latencies associated with HDFS and Spark, the results show that the distributive power maintains, and often improves upon, previously reported GenomicsDB results that use a native file system for both microarray and whole exome sequencing data. If processing samples are to be expected to be thousands weekly, then scalability with increasing patient data added to the system is of great importance for import, storage, and query times. Further, the ODA platform maintains scalability in the downstream analytics environment to scale at all points of the analysis pipeline.

Our extensions to GenomicsDB have several maintenance and cost related advantages in addition to those noted in the above results section, which we have observed from utilizing AWS. First, the ability to read and write from a distributed file system means the GenomicsDB arrays can be stored and queried from cost-effective Amazon S3 buckets rather than requiring each slave node to have an additional EBS mount to store the data locally. Second, our extensions allow for an increase in the number of RDD partitions per worker without adding new slave nodes which requires a smaller and more cost effective EMR instance than the setup that allowed for only one RDD partition per worker. By persisting GenomicsDB arrays on Amazon S3, we are able to maintain data integrity and fault tolerance in the event of a failed worker node. These extensions to GenomicsDB allow for better, more on-demand resource balancing. Without the extensions described in this paper, the management of GenomicsDB for use on a Spark cluster would be extremely difficult to maintain.

Future plans for GenomicsDB include extending the database to be more Spark-friendly in terms of file system support, database distribution, and partitioning schemes. GenomicsDB arrays are statically partitioned during the import process by splitting the genome into a user-specified amount of chunks. The distribution of variants is not even across the genome space, meaning that some arrays contain a lot more variant data than others. This can lead to load imbalance across both data ingesting and querying. Proper load balancing of a Spark application can lead to better execution times of aggregate queries. More advanced ways to distribute the variant data into GenomicsDB arrays should be considered, such as sampling the variant data before loading to understand mutation burden across the genome. Ideally, we would like to create an array distribution

that optimizes load balancing and provides support for loading a variable amount of RDD partitions – potentially dependent on the resources available to the application. Other areas of exploration should include how additional data types impact the ability to scale GenomicsDB, such as whole genome sequencing and other genomic data modalities.

Though GenomicsDB and the interaction with Spark was the main focus of this work, the API, mapping database, and user applications are presented as an example of how GenomicsDB can be used to scale out precision medicine platforms at existing hospitals. For instance, we introduced the i2b2 VariantView plugin that enables clinicians to perform on-demand, clinical-genomic precision medicine queries. The plugin uses the ODA Framework to submit a distributed, aggregate query inside a HIPAA-compliant, virtual private network at UCLA David Geffen School of Medicine. The application loads clinical data from i2b2, queries distributed GenomicsDB partitions that reside on Amazon S3, performs a specified analysis report within the distributed environment, and write the results back for visualization in the i2b2 browser interface. Previous efforts to support genomic-based queries in i2b2 have reported linear scaling only up to 500 samples [12]. Our results have exhibited worst-case linear scaling with over 2400 samples from the 1000 genomes whole exome sequencing data and over 10,000 patients with targeted-sequencing data. Further, to the best of our knowledge this is the first effort to extend i2b2 to run Spark-based, distributed queries and processing from within the i2b2 interface.

The prototype system described in this paper is currently designed to support generic analysis applications from the i2b2 front end. However, the applications available to front end users are limited to simple proof of use allele and clinical concept distribution charts.

The validation and usability of these applications will only be proven with use of the system by both clinicians and researchers. We anticipate integrating more complex analytical tools into the system that will improve cohort selection through means of more advance statistics and machine learning techniques. Further, many of the claims made about scalability should be continuously validated as more samples and more data is added to the system for both microarray and more large-scale, next generation sequencing data.

## Conclusions

The power of precision medicine is dependent on the ability to combine data across multiple types and sources to enable quick and scalable joint analyses that support cohort selection and analysis. We have presented an efficient and scalable means for genomic-based cohort exploration and analysis using an optimized genomics database. We show that data can reside in optimal data stores, while still supporting scalable, distributed analytics. Our extensions to GenomicsDB provide support with a distributed file system to provide ease of interaction from a distributed compute environment as well as cost advantages for hosting-related, hardware requirements. The ODA framework can be integrated into existing code for advanced usage, or can be used to extend a HIPPA compliant clinical interface (EHR or cohort system) to execute distributed aggregate queries. Scalable and efficient data processing platforms and databases, such as the framework described in this paper, will be necessary to drive precision medicine forward as data grows and analysis becomes more complex.

## Availability and requirements

GenomicsDB is available at: <https://github.com/GenomicsDB/GenomicsDB>. The mapping database and GDBSpark API are available for academic use upon request. i2b2 plugin and Zeppelin notebook demonstrations are available to interested readers upon request.

Lists the following:

**Project name:** GenomicsDB

**Project home page:** [www.genomicsdb.org](http://www.genomicsdb.org)

**Operating system(s):** GNU/Linux, MacOSX

**Programming language:** C++, Java, Scala

**Other requirements:** CMake, Zlib, OpenSSL, libuuid, C++ 2011 compiler, gcc version  $\geq$  4.8, Google Protobuf 3.0.2, Rapidjson, Htslib, Java SDK version 8, Scala 2.11

**License:** MIT License

**Any restrictions to use by non-academics:** No restrictions, see license on github.

## **List of abbreviations**

1000g: 1000 Genomes Project

Amazon S3: Amazon Simple Storage Service

API: Application Programming Interface

AtLAs: University of California, Los Angeles Biobank

AWS: Amazon Web Services

CRC: Clinical Research Chart (from i2b2)

dbSNP: Single Nucleotide Polymorphism Database

EHR: Electronic Health Record

EMR: Elastic Map Reduce

EMRFS: Elastic MapReduce File System

ETL: Extract, transform, and load

GATK: Genomics Analysis Toolkit

GNU: GNU's Not Linux

HDFS: Hadoop Distributed File System

HIPAA: Health Insurance Portability and Accountability Act of 1996

HTSLIB: Samtools High-Throughput Sequencing Library

I2B2: Informatics for Integrating Biology and the Bedside

JNI: Java Native Interface

ODA: Omics Data Automation

POSIX: Portable Operating System Interface

RDD: Resilient Distributed Dataset

REST: Representational State Transfer

SSL: Secure Sockets Layer

UCLA: University of California, Los Angeles

VCF: Variant Call Format

WES: Whole Exome Sequencing

XML: eXtensible Markup Language

## Declarations

**Ethics approval and consent to participate:** Not applicable

**Consent for publication:** Not applicable

**Availability of data and materials:** This manuscript uses datasets for measuring framework performance only. Data sharing is not applicable to this article as no datasets were generated or analyzed during the current study.

**Competing Interests:** J Smith, M Lathara, H Wright, B Hill, N Ganapati, and G Srinivasa are sponsored by Omics Data Automation, Inc. Competing interests have been fully disclosed and arranged through contract and licensing agreements with UCLA David Geffen School of Medicine. The authors declare that they have no other competing interests.

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**Authors' contributions:** J Smith designed and developed the ODA framework, designed and executed the experiments, and was a major contributor in writing the manuscript. M Lathara designed and developed the ODA framework and contributed to the GenomicsDB extensions. H Wright aided in the testing and validation of the ODA

framework. B Hill aided in the development of the ETL process of the ODA framework. N Ganapati contributed to the GenomicsDB extensions. G Srinivasa managed the design and development of the ODA framework and GenomicsDB extensions. C Denny managed the testing and validation of the ODA framework from the UCLA side. All authors read and approved the final manuscript.

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## Figure Legends

**Figure 1.** Conceptual view of genomic variant data stored in GenomicsDB. Data is represented as a sparse two-dimensional matrix, and can be split into multiple, vertically partitioned arrays for distribution.

**Figure 2.** The ODA framework system diagram represented within a HIPAA-compliant AWS environment, including the connections with the clinical data store (i2b2). The dotted lines are conceptual links between the relative data stores.

**Figure 3.** The frontend interface of the VariantView plugin that exists within the i2b2 browser. User inputs query parameters in the Generate Report tab and results are displayed in the View Results tab.

**Figure 4.** The timeline of a query executed from the VariantView plugin, which starts when a user makes a query form the VariantView i2b2 frontend for clinical attributes. Plugin submits a Spark job with the clinical info and requested analysis from the user. Master delegates to workers, which collate mapping information, query GenomicsDB, and load variants into RDDs. User-specified report is performed in Spark. Results are written to MongoDB and a return code is simultaneously sent to the VariantView plugin and visualized in i2b2 browser.

**Figure 5.** 1000 genomes dataset import times: Time to write 1000 GenomicsDB partitions to Amazon S3 from 1000g VCF file inputs. Measurements were taken for an increasing sample size, and for four types of AWS instance types (m4.2xlarge, r4.2xlarge, m4.4xlarge, and r4.rxlare).

**Figure 6.** AtLAs dataset import times: Time to write 1000 GenomicsDB partitions to Amazon S3 from AtLAs VCF file inputs. Measurements were taken for an increasing sample size, and for four types of AWS instance types (m4.2xlarge, r4.2xlarge, m4.4xlarge, and r4.rxlare).

**Figure 7.** Total partition size (sum) as total number of samples in the database increases.

Results are shown for both 1000 genomes and AtLAs datasets.

**Figure 8.** Time to query varying size of GenomicsDB instances for the 1000g dataset.

Queries requested all samples in the database and were measured for varying size genomic regions.

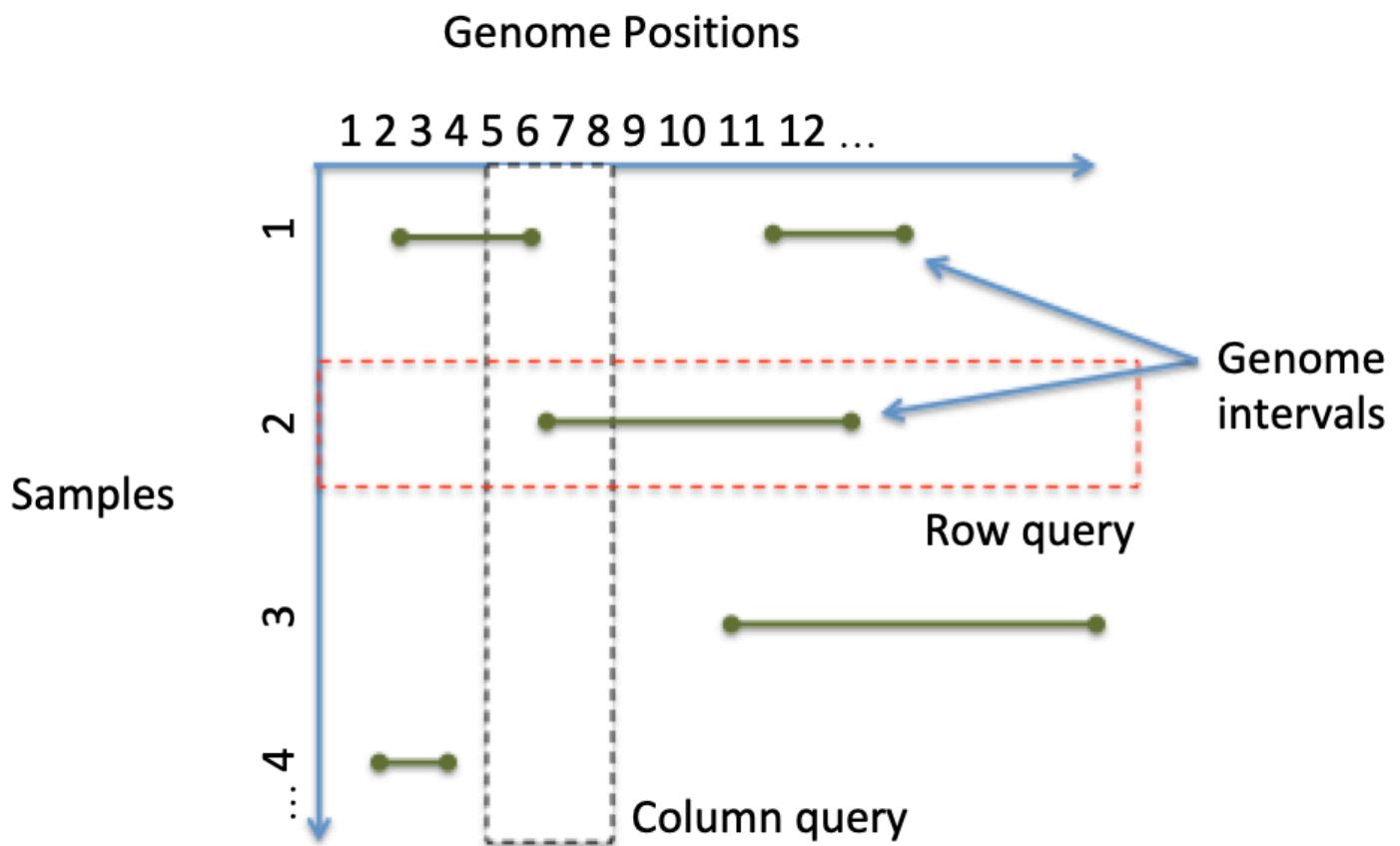
**Figure 9.** Time to query varying size of GenomicsDB instances for the AtLAs dataset.

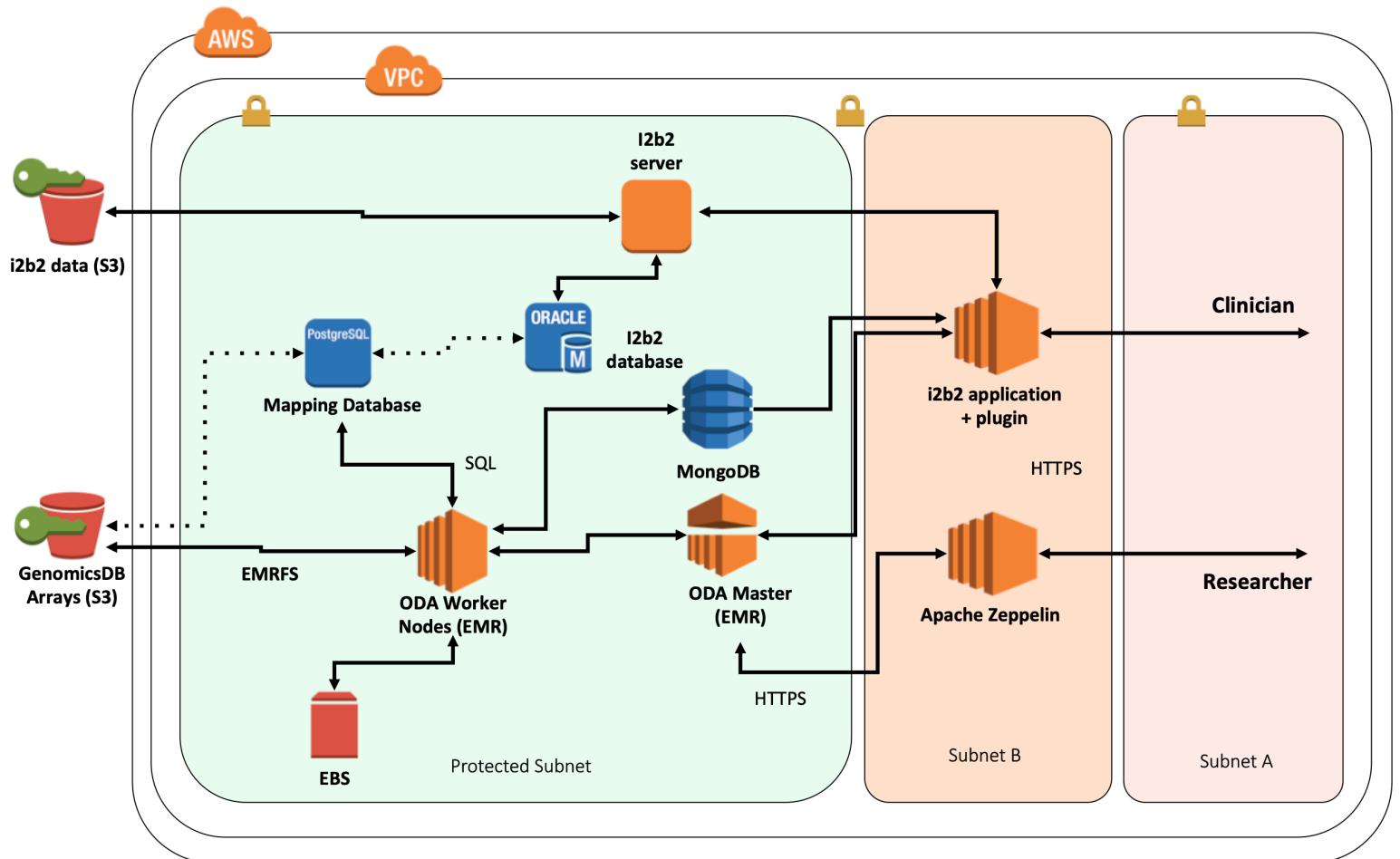
Queries requested all samples in the database and were measured for varying size genomic regions.

**Figure 10.** Time required to import i2b2 derived clinical datasets into distributed environment for an increasing number of patients.

**Figure 11.** Time to aggregate the clinical information from the i2b2-derived file, associate to the samples in the genomic database, load genomic variant data into distributed environment, and count the number of variants. Results are shown for an increasing number of variants queried for varying sets of patient sizes.

**Figure 12.** Clinical and genomic aggregation time as the number of patients increases, displayed for varying sets of genomic regions.





**Variant viewer**

Generate Report   View Results   Plugin Info

Drag and drop the appropriate query parameters and select Run Query to generate results.

Patient Set:      Genomic Concepts:

Drop a Patient Set here      Concept 1

Clinical Concepts:

Drop one or more Concepts here

Report type:

Total Allele Counts

Enter genotype filter:

rs16492 is homref or rs1799977 is homvar

**Run Query**   **Clear Query**

