

1    **The Consequences of Egg Adaptation in the H3N2 Component to the Immunogenicity of**  
2    **Live Attenuated Influenza Vaccine**

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26 **Footnote Page**

27 **Conflicts of Interest**

28 The authors have no conflicts of interest.

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43

44 **Abstract**

45 Adaptation in egg-passaged vaccine strains may cause reduced vaccine effectiveness due to  
46 altered antigenicity of the influenza haemagglutinin. We tested whether egg adaptation  
47 modified serum and mucosal antibody responses to the A(H3N2) component in the Live  
48 Attenuated Influenza Vaccine (LAIV). Twice as many children seroconverted to an egg-  
49 adapted H3N2 than the equivalent wildtype strain. Seroconversion to the wildtype strain  
50 was greater in children seronegative pre-LAIV, whereas higher mucosal IgA responses to  
51 wildtype antigen were observed if seropositive prior to vaccination. Sequencing of virus  
52 from nasopharyngeal swabs from 7 days post-LAIV showed low sequence diversity and no  
53 reversion of egg-adaptive mutations.

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55

56 **Background**

57

58 Vaccines offer the best protection against morbidity and mortality caused by influenza. Low  
59 vaccine effectiveness (VE) is often attributed to an antigenic mismatch between the vaccine  
60 and circulating strains caused by evolution of the influenza haemagglutinin (HA) [1].

61 Recently, despite a well-matched vaccine strain selection, low VE of the A(H3N2)  
62 component was reported, potentially due to adaptive mutations caused by the production  
63 of the influenza vaccine in eggs [1]. These egg-adaptive mutations can alter vaccine  
64 antigenicity and lead to immune responses mismatched to circulating strains.

65

66 Of the four components of the current quadrivalent seasonal influenza vaccine, the issue of  
67 egg adaptation resulting in antigenic mismatch is most problematic for H3N2 subtype  
68 influenza A viruses. In 2014, the H3N2 3C.2a clade arose with a K160T mutation, giving an  
69 additional putative glycosylation site in immunodominant antigenic site B on the HA head  
70 [2]. In order to grow efficiently, 3C.2a strains revert from T160 to K160 when cultured in  
71 eggs and this adaptive mutation has been suggested as a key reason for the recent loss of  
72 H3N2 VE [1]. The reduction in immunogenicity against circulating strains caused by this  
73 reversion has so far been described in the context of serum antibody responses to  
74 inactivated influenza vaccine (IIV) [1]. Here, we examine the consequence of egg-  
75 adaptations in the Live Attenuated Influenza Vaccine (LAIV) on serum and mucosal antibody  
76 responses in young children to circulating T160-containing H3N2. We also sequence virus  
77 recovered from the nasopharynx after LAIV immunisation to test whether there is reversion  
78 to a human-adaptive form.

79

80 **Methods**

81

82 **Study design and sample collection**

83 The samples used in this study were generated during a larger randomised controlled trial  
84 (ClinicalTrials.gov NCT02972957) comparing the impact of LAIV on the nasopharyngeal  
85 microbiome. The trial was conducted in Sukuta, a periurban area in The Gambia during 2017  
86 and 2018. A detailed description of the cohort and sampling is described elsewhere [3].  
87 Influenza vaccine-naïve children aged 24-59 months received a single intranasal dose of the  
88 trivalent Russian-backbone LAIV (Nasovac-S, Serum Institute of India Pvt Ltd) containing the  
89 World Health Organisation recommended viruses for the Northern Hemisphere for either  
90 2016-2017 or 2017-2018, dependent on the year of enrolment. For both vaccines, the H3N2  
91 component was an A/Hong Kong/4801/2014 (H3N2)-like virus. H3N2 vaccine titres per dose  
92 (50% Egg Infectious Doses (EID50)/ml) were  $1\times 10^{7.5}$  in 2017 and  $1\times 10^{7.6}$  in 2018.

93 Nasopharyngeal swabs (FLOQSwabs, Copan, Murrieta, CA, USA) were collected into  
94 RNAProtect cell reagent (Qiagen) on day 2 (D2) and 7 (D7) post-vaccination. Serum and oral  
95 fluid swab (Oracol Plus; Malvern Medical Development, Worcester, UK) samples were taken  
96 on D0 and day 21 (D21). All samples were stored at -70°C before further processing. The  
97 study was approved by The Gambia Government/MRC Joint Ethics Committee and the  
98 Medicines Control Agency of The Gambia. A parent provided written or thumb-printed  
99 informed consent for their children to participate.

100

101 **HAI and IgA assays**

102 Haemagglutinin inhibition (HAI) assays were carried out on serum samples using guinea pig  
103 red blood cells (0.5% in PBS) in the absence of oseltamivir and with standard methods [4].

104 HAI titres pre- and D21 post-LAIV were determined to cell-cultured (in MDCK-SIAT cells) and  
105 egg-cultured A/Hong Kong/4801/2014 (HK14) strains. Sequencing of viral stocks confirmed  
106 the presence of T160 in cell-cultured and K160 in egg-cultured HK14. Egg-cultured virus also  
107 contained further egg-adaptive mutations L194P, T203I and I260L. Seroconversion was  
108 defined as a 4-fold rise in HAI titre to  $\geq 1:40$ , irrespective of baseline HAI titre, using a 2-fold  
109 dilution series of serum starting from 1:10 dilution. Mucosal influenza-specific IgA was  
110 measured in oral fluid samples at baseline and D21 post-LAIV using a protein microarray as  
111 previously described [5, 6] with the percentage Surfact-Amps-20 in the blocking, washing  
112 and incubation buffer increased from 0.05% to 5% to prevent background staining with oral  
113 specimens. Microarrays were coated with recombinant HA1 protein expressed in human  
114 cells (Sino Biological, Beijing, China) reflecting sequences of egg-adapted and cell-cultured  
115 HK14. Total IgA was quantified using an ELISA and influenza-specific IgA expressed as a ratio  
116 of influenza HA1-specific IgA/total IgA as previously described [6].

117

### 118 **RNA Extraction, Primer ID and Sequencing**

119 RNA was extracted from D2 and D7 nasopharyngeal samples previously identified as  
120 positive for H3N2 shedding by RT-PCR [3], using QIAamp Viral RNA Mini Kit (Qiagen) with  
121 carrier RNA. RNA was also extracted from vaccine aliquots diluted 1000-fold. RNA was  
122 reverse transcribed using Superscript III (Invitrogen) and a barcoded primer specific to each  
123 500bp sub-amplicon in the HA (Primer ID). Primer ID attaches a unique barcode to each  
124 cDNA molecule during reverse transcription and allows for PCR and sequencing error  
125 correction [7, 8]. PCR was performed using KOD polymerase (Merck). Samples were pooled  
126 across sub-amplicons and prepared for sequencing using NebNext Ultra II (NEB), then  
127 sequenced on an Illumina MiSeq with 300bp paired-end reads. Sequences were analysed in

128 Geneious (v11) and a pipeline in R. Forward and reverse reads were paired using FLASH  
129 (<https://ccb.jhu.edu/software/FLASH>) before being mapped to a reference sequence and  
130 consensus sequences made for each barcode. Degenerate barcodes were removed (see  
131 Supplementary material and Figure S1) and a minimum cut-off of 5 reads per barcode was  
132 chosen. Raw sequences were deposited at <https://www.ebi.ac.uk/ena> (project number  
133 PRJEB34129.) The analysis pipeline can be found at  
134 <https://www.github.com/Flu1/GambiaLAIV>.

135

### 136 **Statistical analysis**

137 Paired and unpaired proportions were compared using McNemar's and Chi<sup>2</sup> tests  
138 respectively. HAI geometric mean fold rise (GMFR) within and between individuals was  
139 compared using the Wilcoxon signed-rank and Mann-Whitney tests. Log10-transformed IgA  
140 fluorescence ratio fold-change was compared using paired and unpaired t-tests. Pairwise  
141 correlations were assessed using Spearman's rank-order (GMFR) and Pearson's (log10-  
142 transformed IgA fold-change) test. Shannon Entropy was used to calculate the diversity of  
143 mutations within each sequenced sample (Supplementary material). Genetic distance  
144 between samples was calculated as described in Supplementary material. Statistical  
145 analyses were performed using R version 3.5.0 and GraphPad Prism 8.0.2.

146

### 147 **Results**

148 Samples from 244 children were included in the HAI analysis (n=118 from 2017 and n=126  
149 from 2018 [3]). Influenza-specific oral fluid IgA data were available from 214 children (n=100  
150 from 2017 and n=114 from 2018). D7 nasopharyngeal swab samples from 30 children with  
151 H3N2 detected by RT-PCR along with D2 samples from 22/30 were available for sequencing.

152

153 No significant differences were seen between pre-LAIV HAI titres to egg-cultured and cell-  
154 cultured HK14 ( $p=0.84$ , Figure S2). The proportion of children who seroconverted to egg-  
155 cultured HK14 virus was 25.0% (61/244, 95% confidence interval (CI) 19.6-30.4) compared  
156 to 12.3% (30/244, 95% CI 8.2-16.4) to cell-cultured HK14 ( $p<0.0001$ ). D0 to D21 GMFR to  
157 egg-cultured HK14 was greater than to cell-cultured HK14 ( $p<0.0001$ , Figure 1A). A  
158 significant correlation was present between GMFR to egg-cultured and cell-cultured HK14  
159 ( $r_s=0.58$ ,  $p<0.0001$ , Figure S3), although discrepant samples were observed with  
160 seroconversion to only one virus (Figure 1B). In contrast, the increase in mucosal influenza  
161 HA-specific IgA from D0 to D21 post-LAIV was greater to cell-culture matched HK14 HA  
162 compared to egg-culture matched HK14 HA ( $p=0.0009$ , Figure 1C). A significant correlation  
163 was observed between IgA fold-change to egg- and cell-cultured HK14 HA ( $r=0.69$ ,  
164  $p<0.0001$ , Figure S4).

165

166 To explore the impact of prior H3N2 infection on serum and mucosal antibody responses to  
167 HK14 in LAIV, children were stratified based on seropositivity to cell-cultured HK14 (pre-  
168 LAIV HAI titre  $\geq 1:10$ ). In seronegative children, seroconversion to egg-cultured HK14 (50.7%,  
169 37/73, 95% CI 39.2-62.2%) and cell-cultured HK14 (27.4%, 20/73, 95% CI 17.2-37.6%) was  
170 greater than in seropositive children (14.0% seroconversion to egg-cultured, 24/171, 95% CI  
171 8.8-19.4%,  $p=0.00048$  and 5.8% seroconversion to cell-cultured, 10/171, 95% CI 2.3-9.4%,  
172  $p<0.0001$ ). This pattern was reflected in GMFR values (Figure 2A), but not in IgA responses.  
173 D0 to D21 post-LAIV IgA fold change, to HK14 HA proteins representative of both egg-  
174 cultured and cell-cultured HK14, was modestly higher in seropositive children compared to  
175 seronegative children (Figure 2A).

176

177 To explore whether reversion of egg-adapted mutations in LAIV HK14 during  
178 nasopharyngeal replication could drive responses to cell-cultured HK14, we sequenced two  
179 sub-amplicons containing HA amino-acids 1-276. 20 samples with low H3N2 cycle threshold  
180 (Ct) values (five D2 and fifteen D7 from sixteen individuals) were successfully amplified  
181 (Supplementary material, Figure S5). No significant reversion of egg-adaptive mutations was  
182 seen in any samples (Figure 2C). This included D7 samples from seven seroconverters to cell-  
183 cultured HK14. Two samples showed a single sequence with P194L (<0.2% frequency) and  
184 three samples showed one or two sequences with I203T (<0.2% frequency). Along with  
185 position 160, these three sites were >99.9% identical to the vaccine across all samples. Few  
186 mutations rose to high frequency with only five mutations occurring above 5%. Of these,  
187 I23L was a pre-existing polymorphism present at 1% in the vaccine strain and the other four  
188 mutations were synonymous. There was no significant difference between Shannon entropy  
189 for the samples and vaccine strains (Z-test, p=0.41). In individuals with matched samples,  
190 mutations present at higher frequencies on D2 had been lost by D7 (Figure 2C). Using the  
191 relative L1-norm as a measure of genetic similarity, there was no significant difference  
192 between samples taken from the same individual and other samples (Z-test, p=0.54, Figure  
193 S6).

194

## 195 **Discussion**

196 We describe, to our knowledge for the first time, the impact of egg-adaptations in a recent  
197 H3N2 3C.2a strain vaccine antigen on serum and mucosal antibody responses induced by  
198 LAIV to the equivalent human-adapted strain reflective of circulating viruses. In keeping  
199 with observations with IIV [2], serum antibody responses to cell-cultured HK14 were

200 significantly lower than to the vaccine-matched egg-cultured HK14. However, a proportion  
201 of children did seroconvert to cell-cultured HK14, which was most evident in children  
202 seronegative to cell-cultured HK14 prior to receiving LAIV. In the absence of prior HK14  
203 exposure, the serum antibody response in these children may be broader and directed to  
204 antigens outside antigenic site B [2].

205

206 In contrast to serum HAI induction, IgA responses to proteins representing cell-cultured  
207 HK14 HA were equivalent or higher than those representing egg-cultured HK14 HA. IgA  
208 responses were also modestly higher in children who were seropositive to HK14 prior to  
209 LAIV compared to seronegative children. Therefore, in our cohort, unlike serum antibodies  
210 induced by LAIV, mucosal IgA responses may largely reflect boosting of prior responses  
211 acquired through natural infection. However, the lack of a significant IgA correlate of  
212 protection following LAIV vaccination means that the clinical relevance of this finding is  
213 uncertain. Compared to the serum HAI response, little is known about the antigen-  
214 specificity of LAIV-induced mucosal IgA responses, although some studies have suggested  
215 influenza-specific IgA responses are more cross-reactive than IgG responses [9, 10]. It is  
216 important to note that the IgA responses measured constitute binding antibody, rather than  
217 functional responses, which are challenging to measure in mucosal samples. Future work  
218 could explore functional mucosal IgA responses, as well as anti-HA stalk responses which we  
219 did not assess and may provide cross-reactive responses.

220

221 A previous influenza human challenge study in adults has demonstrated the reversion of an  
222 egg-adapted mutation during replication in the upper respiratory tract [11]. We  
223 hypothesized that a similar phenomenon could occur with LAIV replication of HK14,

224 providing a potential explanation for cell-cultured HK14-specific antibody responses after  
225 vaccination with an egg-adapted antigen. Sequencing of the shed virus, however, revealed  
226 no changes at sites of egg adaptation and very few significant changes in the HA. The lack of  
Figure1  
227 a K160 fitness cost in humans is perhaps unsurprising given the majority of H3N2 isolates  
228 prior to 2014 contained K160. Recent studies have found low within-host diversity of virus  
229 in natural influenza infections in vaccinated and unvaccinated individuals, suggesting that  
230 the immune system does not put significant pressure on the influenza virus to evolve over  
231 the course of an individual infection [12, 13]. Our results agree with this and imply there is  
232 little positive selection on the LAIV H3N2 HA in the nasopharynx within the first week and  
233 that reversion of egg-adaptation mutations such as K160 is unlikely.

234

235 Although egg adaptation is likely to be an important factor, increasing data suggest several  
236 factors contribute to the low VE to H3N2 observed in some years [14]. Developing  
237 alternatives to egg-based methods of vaccine production is clearly important as current  
238 vaccines may result in protective H3N2 responses only in sub-populations of individuals.

239

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251

252

253 **Figure legends**

254 **Figure 1. a)** Geometric Mean Fold Rise (GMFR) to egg-cultured and cell-cultured HK14 **b)**  
255 Fold-change from day 0 to day 21 post-LAIV in HA1-specific IgA to proteins representing  
256 egg-cultured and cell-cultured HK14 **c)** GMFR to egg and cell-cultured HK14 for each  
257 individual. Dotted line represents 4-fold increase in HAI titre between day 0 and day 21  
258 which defines seroconversion.

259 **Figure 2. a)** GMFR to egg and cell-cultured HK14 comparing children seropositive and  
260 seronegative to cell-cultured (i.e. wild-type) HK14 prior to receiving LAIV. Dotted line  
261 represents 4-fold increase in HAI titre between day 0 and day 21 which defines  
262 seroconversion. **b)** Fold-change from day 0 to day 21 post-LAIV in HA1-specific IgA to  
263 proteins representing egg-cultured and cell-cultured HK14, comparing seropositive and  
264 seronegative children. **c)** Shed virus from 20 samples from either day 2 or day 7 and the  
265 vaccine from 2017 and 2018 were sequenced using Primer ID. The percentage of mutations  
266 are shown at each sequenced nucleotide position in the HA where 1 refers to the first base  
267 of the signal peptide. The sample ID and day of sample collection are shown.

268

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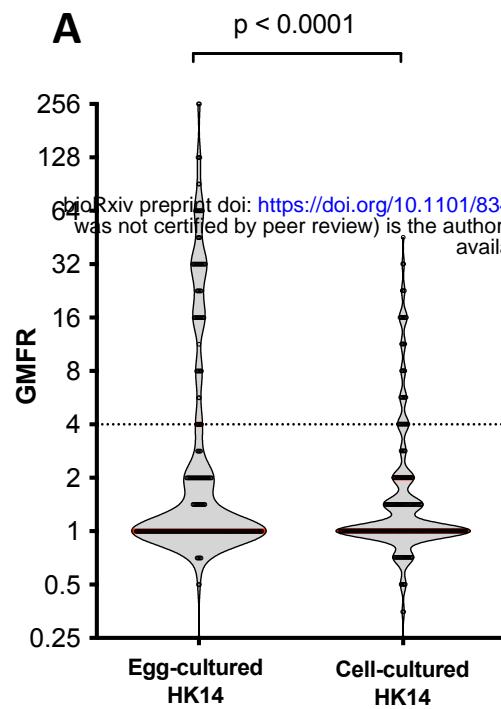
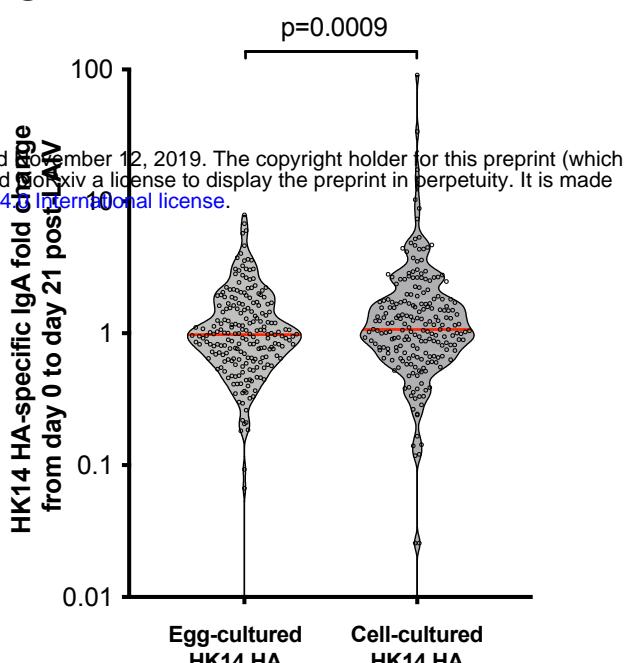
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