

1 **Evidence for reduced CTX-M carriage in cattle-associated *Escherichia coli* at**  
2 **low temperatures and on publicly accessible farmland: implications for**  
3 **surveillance and potential for farm-to-human transmission**

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15 Running title: CTX-M in cattle-associated *E. coli*

16 **Abstract**

17 Little is known about the drivers of critically important antibacterial resistance in  
18 species with zoonotic potential present on farms (e.g. CTX-M  $\beta$ -lactamase-positive  
19 *Escherichia coli*). There is also debate about the influence of farms on the circulation  
20 of resistance in local human populations. This was a two-year surveillance study on  
21 53 dairy farms. *E. coli* positive for *bla*<sub>CTX-M</sub> were detected in 224/4145 (5.4%) of all  
22 samples from faecally-contaminated sites. *E. coli* positive for *bla*<sub>CTX-M</sub> were more  
23 prevalent (98/631; 15.5%) in calf samples and less prevalent (12/630; 1.9%) in  
24 samples collected from pastureland, including publicly accessible sites. Multilevel,  
25 multivariable logistic regression showed antibiotic dry cow therapeutic choice to be  
26 associated with risk of *bla*<sub>CTX-M</sub> positivity, including use of cefquinome or framycetin;  
27 74% of *bla*<sub>CTX-M</sub>-positive *E. coli* were framycetin-resistant. Low temperature was  
28 associated with low risk of *bla*<sub>CTX-M</sub> positivity. This was additional to the effect of  
29 temperature on total *E. coli* density, a finding with profound implications for  
30 surveillance. There was no evidence that study farms had a significant impact on  
31 circulating *bla*<sub>CTX-M</sub> plasmids in the local human population: across 296 fully  
32 sequenced *E. coli* isolates, two cattle isolates shared *bla*<sub>CTX-M</sub> plasmids with eight  
33 urinary isolates collected in parallel.

34 **Introduction**

35 Antimicrobial resistance (AMR) - and particularly antibacterial resistance (ABR) - is a  
36 significant global challenge. Many countries are implementing plans to reduce the  
37 use of antibacterial drugs (ABs) in food-producing animals. For example, the most  
38 recent UK five-year National Action Plan includes a target to reduce AB use (ABU) in  
39 the treatment of food-producing animals by 25% (1). In Europe, AB sales for food-  
40 producing animals fell by 20% from 2011 to 2016 (2). In the UK dairy industry,  
41 overall ABU dropped from 24 mg/kg in 2015 to 17 mg/kg in 2017(3, 4). In 2018,  
42 additional industry-led policies were enforced in the UK that aim to almost eliminate  
43 the use of highest priority critically important antimicrobials (HP-CIAs) such as third-  
44 and fourth-generation cephalosporins (3GCs and 4GCs) and fluoroquinolones on  
45 dairy farms. One reason for reducing ABU in farming is the belief that such  
46 measures will reduce the prevalence of ABR bacteria carried by farm animals.  
47 However, there is a need for better data on drivers of ABR in farming. More  
48 granularity of understanding is required concerning the risks of using individual ABs  
49 and other management practices. This is especially important in terms of drivers of  
50 HP-CIA resistance. One key focus is on 3GC resistance in *Escherichia coli*, a  
51 species commonly found in animal faeces and considered one of the most significant  
52 potential zoonotic threats to humans (5).  
53 3GC-resistance is increasingly common in *E. coli* causing infections in humans (6)  
54 and is also found in farmed and domestic animals around the world (7). The  
55 production of CTX-M (an extended-spectrum  $\beta$ -lactamase) is the most common  
56 mechanism of 3GC-resistance in *E. coli* in humans in the UK; for example, in a  
57 recent study of urinary *E. coli* from humans in South West England, 82.2% of 3GC-  
58 resistant isolates carried *bla*<sub>CTX-M</sub> (8).

59 The objective of this study was to describe the prevalence of *E. coli* carrying *bla*<sub>CTX-M</sub>  
60 found in faecally contaminated environments of dairy cattle in a geographically  
61 restricted population of UK dairy farms in South West England. Furthermore, this  
62 study investigated ABU and management practice risk factors for the presence of  
63 such *E. coli*. Finally, this study used extensive molecular epidemiology based on  
64 whole genome sequencing (WGS) to help explain risk factors identified and to  
65 investigate evidence for transmission of *bla*<sub>CTX-M</sub>-encoding plasmids between farm-  
66 and human-associated *E. coli* collected in parallel in a relatively small (50 x 50 km)  
67 region.

68

## 69 **Materials and Methods**

### 70 **Farm recruitment and ethical approval**

71 A convenience sample of 53 dairy farms was recruited through personal contacts,  
72 local veterinary practices and milk processors. Details of the study population are  
73 presented in Supplementary. Of these, 43 farms were in a 50 x 50 km area defined  
74 based on the locations of 146 general practices that referred routine urine samples  
75 from human patients to the microbiology reference lab at Severn Pathology,  
76 Southmead Hospital (8). A further 10 study farms were clustered in a separate  
77 region in South West England. All farmers gave fully informed consent to participate  
78 in the study. Ethical approval was obtained from the University of Bristol's Faculty of  
79 Health Sciences Research Ethics Committee (ref 41562).

### 80 **Farm sampling and sample processing**

81 Farms were visited monthly between January 2017 and December 2018. Samples  
82 were collected using sterile overshoes (over-boot socks) traversing farm areas.

83 Where access was restricted (e.g. for pens containing single or pairs of calves),  
84 samples were collected directly from the ground using gloved hands. Details of the  
85 six types of samples collected are in Supplementary; these represent faecally-  
86 contaminated environments representative of milking cows (Adult), cows between  
87 periods of lactation (Dry Cow), heifers after weaning (Heifer), heifers before weaning  
88 (Calf). Samples of these types collected from pastureland were designated as such  
89 for separate analysis (Pasture) which also included samples collected from publicly  
90 accessible land on the farm (Footpath). Samples were refrigerated from collection to  
91 processing. They were transferred into individual labelled sterile stomacher bags and  
92 suspended in 10 mL/g of phosphate buffered saline (PBS Dulbecco A; Oxoid,  
93 Basingstoke, UK). Samples were then mixed for one min in a stomacher (Stomacher  
94 400, Seward, Worthing, UK). Samples were mixed 50:50 with 100% sterile glycerol  
95 and aliquots stored at -80°C.

## 96 **Microbiology and WGS analysis**

97 Twenty microlitres of sample (diluted 1:10) were spread onto tryptone bile X-  
98 glucuronide agar (TBX; Scientific Laboratory Supplies); 20 µL of undiluted sample  
99 were spread onto TBX agar containing 16 mg/L cephalexin. Plates were incubated at  
100 37°C, and the number of blue colonies (*E. coli*) counted. Samples yielding no *E. coli*  
101 colonies on antibiotic-free agar were excluded from further analysis. Up to five *E. coli*  
102 isolates from each cephalexin (16 mg/L) TBX agar plate were transferred onto  
103 cefotaxime (CTX, 2 mg/L) TBX agar. Concentrations were chosen as those which  
104 define clinically relevant resistance in humans according to EUCAST (9). Confirmed  
105 CTX-resistant isolates were subjected to multiplex PCR to detect *bla*<sub>CTX-M</sub> (testing for  
106 *bla*<sub>CTX-M</sub> groups 1, 2, 8, 9 and 25; [10]). WGS was performed by MicrobesNG  
107 (<https://microbesng.uk/>) on a HiSeq 2500 instrument (Illumina, San Diego, CA, USA)

108 using 2x250 bp paired end reads. Reads were trimmed using Trimmomatic (11),  
109 assembled into contigs using SPAdes (12) 3.13.0  
110 (<http://cab.spbu.ru/software/spades/>) and contigs were annotated using Prokka (13).  
111 Resistance genes, plasmid replicon types, sequence types and fim types were  
112 assigned using the ResFinder (14), PlasmidFinder (15), MLST (16) 2.0 and  
113 FimTyper on the Center for Genomic Epidemiology  
114 (<http://www.genomicepidemiology.org/>) platform.

## 115 **Risk factor data analysis**

116 The risk factors examined fall into four categories: farm management, ABU, sample  
117 characteristics and meteorological. Four management practice questionnaires were  
118 developed (details provided in Supplementary). ABU was extracted from prescribing  
119 and sales data between Jan 2016 to Dec 2018 obtained from veterinary practices  
120 servicing the study farms. For two farms, sales data were not available and on-farm  
121 records were used. The full method for obtaining, cleaning and processing ABU data  
122 can be found in Supplementary. Local meteorological data were extracted from  
123 publicly available UK Met Office data  
124 (<https://www.metoffice.gov.uk/pub/data/weather/uk/climate/stationdata/yeoviltondata.txt>).  
125

126 Sample processing and data analysis workflows are illustrated in **Figure S1**. All data  
127 analysis was performed using R (<https://www.r-project.org/>). Two modelling  
128 approaches were used: 1) variable selection via univariable screening and stepwise  
129 model selection with a multilevel, multivariable logistic regression model and 2) a  
130 regularised Bayesian model. Both were used to analyse risk factors associated with  
131 *bla<sub>CTX-M</sub>* *E. coli* positivity. A sensitivity analyses was performed to test for

132 measurement bias, to account for the fact that *bla*<sub>CTX-M</sub> is more likely to be found in a  
133 sample if there is a higher density of bacterial colony forming units. Further details of  
134 variable selection and development of the models and model checking are provided  
135 in Supplementary.

136

137 **Results**

138 **Farm- and sample-level risk factors for *bla*<sub>CTX-M</sub> *E. coli* positivity**

139 4581 samples were collected: 4145 were positive for growth of *E. coli* on non-  
140 selective agar. Of these, 384/4145 (9.3%) samples representing 47/53 (88.7%) of  
141 farms were positive for growth of *E. coli* that were resistant to cefotaxime. Overall,  
142 5.4% (224/4145) of samples representing 42/53 (79.2%) of farms contained  
143 cefotaxime-resistant *E. coli* confirmed to carry *bla*<sub>CTX-M</sub> using PCR. Positivity for  
144 *bla*<sub>CTX-M</sub> *E. coli* was three times higher in Calf samples (98/631 [15.5%] of samples)  
145 than overall (**Table 1**).

146 A separate risk factor analysis using only Calf data was performed, given the high  
147 positivity rate for *bla*<sub>CTX-M</sub> *E. coli* in these samples. One farm-level fixed effect and  
148 three sample-level fixed effects were retained in the final model (**Table S1**, **Table 2**).  
149 The use of cefquinome or framycetin dry cow therapies were both associated with  
150 increased risk of *bla*<sub>CTX-M</sub> *E. coli* positivity, as was higher average monthly  
151 temperature. Plotting sample-level positivity for *E. coli* carrying *bla*<sub>CTX-M</sub> versus  
152 average monthly temperature revealed that the relationship between positivity and  
153 temperature was primarily driven by low *bla*<sub>CTX-M</sub> *E. coli* positivity rates in months  
154 where the average temperature was below 10°C (**Figure 1A**).

155 Risk factor analysis was next performed for the full dataset. One farm-level fixed  
156 effect and three sample-level fixed effects were retained in the final model (**Table**  
157 **S2, Table 3**). Interestingly, this model revealed that *bla*<sub>CTX-M</sub> *E. coli* was less likely to  
158 be found in samples obtained from pastureland, which includes publicly accessible  
159 farmland (Footpaths) compared with other sample types. Analysis of the full dataset  
160 confirmed what was seen with the Calf dataset: that higher average monthly  
161 temperature was associated with an increased risk of *bla*<sub>CTX-M</sub> *E. coli* positivity.  
162 Again, visualisation of the data confirmed that this was primarily driven by a  
163 reduction in *bla*<sub>CTX-M</sub> *E. coli* positivity rate in months with an average temperature  
164 below 10°C (**Figure 1B**).  
165 A Bayesian logistic regression model was also constructed in which the effect of total  
166 farm ABU and specifically total 3GC and 4GC use were tested as predictors for  
167 *bla*<sub>CTX-M</sub> *E. coli* positivity in the total dataset, with 102 potential confounders. The  
168 impacts of temperature (Odds Ratio 1.71 [1.42, 2.08]) and of samples being  
169 collected from pastureland (Odds Ratio 0.51 [0.22, 1.02]) on *bla*<sub>CTX-M</sub> *E. coli* positivity  
170 were also retained in this alternative model (**Table S3**).  
171 Defining sample-level positivity for *bla*<sub>CTX-M</sub> *E. coli* depends on finding *bla*<sub>CTX-M</sub> using  
172 PCR in *E. coli* colonies that have grown on agar containing cefotaxime. If *bla*<sub>CTX-M</sub> *E.*  
173 *coli* in a sample exist at such a low density that they are not detected using selective  
174 agar, the sample will be falsely identified as negative for *bla*<sub>CTX-M</sub> *E. coli*. This impact  
175 of bacterial density on assay sensitivity/specificity is an important consideration in  
176 the context of the finding that *bla*<sub>CTX-M</sub> positivity is low at low temperatures. To  
177 account for this, the logistic link function was adjusted (see Supplementary). This  
178 only modestly altered the effect sizes or the p values for the risk factors (**Figure S2**)  
179 confirming that the effect of low temperature on *bla*<sub>CTX-M</sub> *E. coli* positivity was

180 additional to its effect on *E. coli* prevalence. All values in **Tables 2, 3 and S3** come  
181 from models with this adjusted logistic link function applied.

182 **Molecular analysis and evidence of limited zoonotic transmission of *bla*<sub>CTX-M</sub>-**  
183 **encoding plasmids**

184 Based on WGS for 115 *bla*<sub>CTX-M</sub> *E. coli* isolates from farm samples, 77.4%, 84.3%  
185 and 81.7% also carried genes encoding resistance to framycetin, streptomycin or  
186 tetracycline, respectively. In contrast, 14.7% had trimethoprim resistance genes,  
187 11.3% carried phenicol resistance genes and only 8.7% had plasmid-mediated  
188 fluoroquinolone resistance genes. Thirty-seven out of 107 *bla*<sub>CTX-M</sub>-positive *E. coli*  
189 isolates from farm samples were found to carry *bla*<sub>CTX-M</sub> variants also seen amongst  
190 189 *bla*<sub>CTX-M</sub>-positive urinary *E. coli* cultured from people living in the same 50 x 50  
191 km region during the same time period (8). By filtering sequenced isolates by their  
192 *bla*<sub>CTX-M</sub> variants and plasmid replicon types, plasmids that were almost identical in  
193 farm and human isolates were identified. One plasmid type, found in a single  
194 sequence type (ST)345 farm isolate, harboured *bla*<sub>CTX-M-1</sub> carried on an IncI1-ST3  
195 plasmid. BLAST analysis of the *bla*<sub>CTX-M-1</sub> contig showed that it was most similar to  
196 part of an unpublished ~106 kb plasmid - pTC\_N40607 (GenBank Accession No.  
197 CP007651) - found in *E. coli* obtained from meat/cattle isolates in the USA. Mapping  
198 of the ST345 farm isolate sequencing reads against pTC\_N40607 showed it  
199 exhibited 100% coverage and 97.5% sequence identity. Six human urinary *E. coli*  
200 isolates representing STs 23, 127, 131, 141 and 2015 harboured *bla*<sub>CTX-M-1</sub> on an  
201 IncI1-ST3 plasmid that exhibited 99.4-100% coverage and 96.4-98.7% identity when  
202 sequence reads were mapped to pTC\_N40607.

203 Another plasmid type - again obtained from a single farm isolate, in this case of ST58  
204 - exhibited 100% coverage and 98.5% identity by read mapping to a published IncK  
205 plasmid pCT (GenBank Accession No. NC\_014477). pCT is ~94 kb and is known to  
206 harbour *bla*<sub>CTX-M-14</sub>; pCT-like plasmids have been reported in both human and  
207 veterinary *E. coli* isolates across three continents (17, 18). Amongst human urinary *E.*  
208 *coli* isolates found in this study, two also carried pCT-like plasmids. Both isolates were  
209 the pandemic clone ST131, and their pCT-like plasmids exhibited 96.4 or 97.2%  
210 identity and 100% coverage to pCT.

211

212 **Discussion**

213 **Prevalence of *bla*<sub>CTX-M</sub> *E. coli* and impact of temperature - implications for**  
214 **surveillance studies**

215 This study is unique in its scale: extensive management practice and ABU data  
216 along with multiple samples from multiple farms were collected over multiple time  
217 points across a two-year period. Overall, 224/4145 (5.4%) of samples were positive  
218 for *E. coli* carrying *bla*<sub>CTX-M</sub> with considerable farm- and sample-level variation  
219 (**Tables 1-3**). Of studies using similar methodology (phenotypic selection followed by  
220 PCR analysis), Snow et al. (2012) (19) found 17/48 (35%) of randomly selected UK  
221 dairy farms were positive for *bla*<sub>CTX-M</sub> *E. coli*, whereas Mollenkomf et al. (2012) (20)  
222 found 5/25 (20%) of farms in Ohio to be positive. This study found 42/53 (79%) of  
223 farms to be positive, although many samples were collected each month over two  
224 years, hence the chances of finding a positive sample on each farm may have been  
225 greater than in these earlier point-prevalence studies. To further elucidate this, farm-

226 level positivity for *bla*<sub>CTX-M</sub> *E. coli* was plotted on a month-by-month basis (**Figure 2**)  
227 and revealed the highest prevalence for a single monthly survey to be 22.5%.  
228 The prevalence of *bla*<sub>CTX-M</sub> *E. coli* varied across sample types in this study: although  
229 prevalence was low overall (5.4%), prevalence was higher (15.5%) in Calf samples.  
230 In contrast, Horton et al. 2016 (21) reported a very high prevalence (>90%) of *bla*<sub>CTX-  
231 M</sub> *E. coli* in samples taken from faecal pats, even from adult milking cows. Brunton et  
232 al. (2014) (22) reported a prevalence of 50% in calves. The finding of relatively low  
233 prevalence in this study could be due to the large number of samples collected,  
234 particularly over winter, given low temperature was associated with low *bla*<sub>CTX-M</sub> *E.*  
235 *coli* positivity (**Fig. 1A; 1B**). Indeed, the observation that average monthly  
236 temperature had a significant effect on *bla*<sub>CTX-M</sub> *E. coli* positivity highlights problems  
237 with studies where a single time-point or sampling season is used. This has  
238 potentially profound implications for surveillance studies performed to identify risk  
239 factors, to identify general trends in ABR levels, to benchmark farms or to test the  
240 effects of policy interventions. **Figure 2** shows the stark impact of this in real terms:  
241 *bla*<sub>CTX-M</sub> *E. coli* positivity at farm level was zero and 1.9%, respectively in February  
242 and March, the coldest months of the year (based on average temperature). Whilst  
243 average annual temperature found at locations across an entire continent has  
244 previously been shown to impact average ABR levels at those locations (23), the  
245 finding that periods of low temperatures were associated with lower prevalence of a  
246 dominant cause of HP-CIA resistance at a given location during the course of a year  
247 is particularly important; this observation also leads to concern about the impact of  
248 climate change - and especially increasing temperatures - on attempts to reduce  
249 ABR. Whilst temperature was associated with the total number of *E. coli* found in  
250 each sample, this was accounted for using a novel measurement error method

251 incorporated into the model; as such, the effect of temperature on *bla*<sub>CTX-M</sub> *E. coli*,  
252 whilst in part driven by the effect on total *E. coli* number, also had an independent  
253 association suggestive of a temperature-dependent fitness burden of carrying *bla*<sub>CTX-</sub>  
254 M.

255 In terms of sample-level effects, there were clear differences in the risk of  
256 encountering *bla*<sub>CTX-M</sub> *E. coli* at different sites on a farm (e.g. 15.5% in Calf samples,  
257 4.1% in Adult samples). Watson et al. (2012) (24) also found that CTX-M prevalence  
258 was much higher in calves, but Horton et al. (2016) (21) did not report such a  
259 difference; however, *bla*<sub>CTX-M</sub> status in this latter study was presumptive based on the  
260 phenotypic identification of cefotaxime resistance, which would make these results  
261 less comparable with those presented here. In other farmed species, Agerso et al.  
262 (2011) (25) found a prevalence of *bla*<sub>CTX-M</sub> *E. coli* carriage of approximately 7% in  
263 Danish slaughter pigs and Randall et al. (2010) (26) found a *bla*<sub>CTX-M</sub> *E. coli*  
264 prevalence of 3.6% in UK broiler chickens and turkeys. Various studies have  
265 identified much higher prevalence in chicken meat, but this could be due to cross-  
266 contamination at slaughter and in the food chain (27, 28).

267 Studies examining the prevalence of *bla*<sub>CTX-M</sub> *E. coli* in human populations have  
268 shown mixed results. Luvsansharav et al. (2012) (29) found a prevalence of 65.7%  
269 amongst commensal isolates in Thailand. In the UK, a study across four regions  
270 reported commensal faecal carriage of *bla*<sub>CTX-M</sub> *E. coli* to be approximately 7% (30).

271 A recent analysis of human urinary samples from the same region as the farms  
272 surveyed in this study gave a sample-level prevalence of *bla*<sub>CTX-M</sub> *E. coli* of  
273 approximately 5% (8). It should be noted that all farm samples in the present study  
274 were from faecally contaminated sites, not individual animals, and so it is possible  
275 that the number of animals carrying *bla*<sub>CTX-M</sub> *E. coli* was much lower than the

276 reported sample-level prevalence. Direct comparison with human and other farm  
277 animal carriage studies should therefore be made with caution.

278 **AB contamination of colostrum as a possible driver of *bla*<sub>CTX-M</sub> *E. coli* positivity**  
279 **in dairy calves - evidence of direct and co-selection**

280 It has been shown experimentally that waste (AB-contaminated) milk feeding to  
281 calves increases faecal excretion of ABR bacteria (31). This practice is reducing on  
282 UK dairy farms and, in the analysis presented here, waste milk feeding was not  
283 associated with an increased risk of finding *bla*<sub>CTX-M</sub> *E. coli*. In contrast, the choice of  
284 dry cow therapy (an antibacterial preparation inserted into a cow's udder between  
285 lactations to help treat or prevent mastitis) was associated with *bla*<sub>CTX-M</sub> *E. coli*  
286 positivity in Calf samples. Colostrum management is a hugely important part of early  
287 life for most farmed mammals and is universally encouraged in dairy farming. In this  
288 study, cefquinome (a 4GC) dry cow therapy was most significantly associated with  
289 *bla*<sub>CTX-M</sub> *E. coli* in Calf samples (**Table 2**), and it has previously been shown that  
290 colostrum from cows given cefquinome dry cow therapy is heavily contaminated with  
291 cefquinome (32). There was also a clear positive association between the usage of  
292 framycetin as part of a dry cow therapy combination and the risk of finding *bla*<sub>CTX-M</sub>  
293 *E. coli* in Calf samples (**Table 2**). Whilst no work has been published on the  
294 contamination of colostrum with framycetin, its use as a mastitis therapy for milking  
295 cows results in identifiable residues in milk (33), so it is highly likely to also  
296 contaminate colostrum. It is possible, therefore, that feeding of colostrum, which can  
297 be contaminated with AB used for dry cow therapy, is a driver of *bla*<sub>CTX-M</sub> *E. coli* in  
298 calves. An alternative (or indeed an additional) explanation for this observed  
299 association is that *E. coli* (a species known to be found in the udders of dairy cows

300 (34) that carry *bla*<sub>CTX-M</sub> are selected within the udder during AB dry cow therapy and  
301 contaminate colostrum alongside the AB used.

302 WGS showed that *bla*<sub>CTX-M</sub> was co-located with framycetin resistance genes in  
303 77.4% of 115 fully sequenced *E. coli* isolates across study farms. Accordingly, it is  
304 hypothesised that framycetin dry cow therapy drives *bla*<sub>CTX-M</sub> *E. coli* positivity in  
305 calves because of co-selection of bacteria carrying *bla*<sub>CTX-M</sub> and a framycetin  
306 resistance gene, just as cefquinome use drives *bla*<sub>CTX-M</sub> *E. coli* positivity by direct  
307 selection of *bla*<sub>CTX-M</sub>. Whilst regional differences in the ecology of circulating  
308 resistance may mean that the specific observation made here is not universally  
309 applicable, this is still an important “real-world” example of the frequently identified  
310 laboratory phenomenon of co-selection and illustrates why policy changes to reduce  
311 the usage of HP-CIAs such as cefquinome may not remove all selective pressure for  
312 presence of HP-CIA resistance on farms. Snow et al. (2012) (19) also identified  
313 overall use of 3/4GCs as a risk factor for *bla*<sub>CTX-M</sub> *E. coli* presence on dairy farms.  
314 Other studies have not made a link between the usage of framycetin and prevalence  
315 of *bla*<sub>CTX-M</sub> *E. coli*. However, it is not always clear whether other studies have  
316 separated out different dry cow therapies since they have tended to focus on  
317 systemic AB use.

318 **Low risk of finding *bla*<sub>CTX-M</sub> *E. coli* on publicly accessible farm sites and little  
319 evidence of sharing with locally resident people**

320 This study identified a lower chance of detecting *bla*<sub>CTX-M</sub> *E. coli* in samples collected  
321 on pastureland than elsewhere on the farm. Because pastureland may be more  
322 affected by the elements, this finding may be partly linked with the finding that lower  
323 average monthly temperature was associated with decreased risk of detecting  
324 *bla*<sub>CTX-M</sub> *E. coli*. Importantly, 395/630 (62.7%) of samples from pastureland were from

325 publicly accessible sites so the finding of a low positivity for *bla*<sub>CTX-M</sub> *E. coli* on  
326 pastureland led to the hypothesis that dairy farms are unlikely to be sources of  
327 transmission of *bla*<sub>CTX-M</sub> *E. coli* into the local human population. Analysis of fully  
328 sequenced genomes of 296 *bla*<sub>CTX-M</sub> *E. coli* from study farms and from urine samples  
329 provided by people living in the same geographical range as the farms over the  
330 same time period did not suggest any evidence of direct sharing of *E. coli* between  
331 farms and the local human population (i.e. none were the same ST, carrying the  
332 same plasmid with the same *bla*<sub>CTX-M</sub> variant). However, two farm *E. coli* isolates  
333 carried a *bla*<sub>CTX-M</sub> plasmid almost identical to a plasmid circulating in the local human  
334 population. One plasmid (pCT) is already known to be spread widely amongst *E. coli*  
335 from humans and animals on three continents (Cottell 2011). Whilst unpublished, the  
336 other plasmid (pTC\_N40607) has been identified in *E. coli* in the USA so is likely to  
337 be similarly widespread. Accordingly, whilst there is some evidence of shared  
338 circulating plasmids, as reported in a number of recent studies (35-37), the overall  
339 level of overlap between farm and human *bla*<sub>CTX-M</sub> *E. coli* identified in this study was  
340 very small and not suggestive of any novel or recent transmission events.

341

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356 **Transparency declarations**

357 D.C.B. was president of the British Cattle Veterinary Association 2018-19.  
358 Otherwise, the authors declare no competing interests. Farming and veterinary  
359 businesses who contributed data and permitted access for sample collection were  
360 not involved in the design of this study or in data analysis and were not involved in  
361 drafting the manuscript for publication.

362

363 **Author Contributions**

364 Conceived the Study: D.C.B., K.K.R., M.B.A.

365 Collection of Data: H.S., J.F., K.M., E.F.P., O.M., V.C.G., supervised by T.A.C.,  
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368 K.M.T., K.K.R., M.B.A.

369 Initial Drafting of Manuscript: H.S., K.K.R., M.B.A.

370 Corrected and Approved Manuscript: All Authors

371 **Tables**

372 **Table 1.** Prevalence of *E. coli* carrying *bla*<sub>CTX-M</sub> at farm and sample levels

Sample type		Farm level	Sample level
Overall	<b>Total sample size</b>	53	4145
	<b>Total (%) positive for CTX-M-carrying <i>E. coli</i></b>	42 (79.2%)	224 (5.4%)
Adult	<b>Total sample size</b>	52	1835
	<b>Total (%) positive for CTX-M-carrying <i>E. coli</i></b>	25 (48.1%)	76 (4.1%)
Dry Cow	<b>Total sample size</b>	46	282
	<b>Total (%) positive for CTX-M-carrying <i>E. coli</i></b>	7 (15.2%)	8 (2.8%)
Calf	<b>Total sample size</b>	51	631
	<b>Total (%) positive for CTX-M-carrying <i>E. coli</i></b>	33 (64.7%)	98 (15.5%)
Heifer	<b>Total sample size</b>	41	1235
	<b>Total (%) positive for CTX-M-carrying <i>E. coli</i></b>	18 (44%)	40 (3.2%)
Pastureland	<b>Total sample size</b>	47	630
	<b>Total (%) positive for CTX-M-carrying <i>E. coli</i></b>	8 (17%)	12 (1.9%)
Pastureland that is publicly accessible (Footpath)	<b>Total sample size</b>	41	395
	<b>Total (%) positive for CTX-M-carrying <i>E. coli</i></b>	8 (20.0%)	11 (2.8%)

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374

375 **Table 2.** Fixed effects from the multilevel, multivariable logistic regression model

376 performed on Calf samples

<b>Risk factor</b>	<b>Odds ratio [95% confidence interval]</b>	<b>p</b>
Use of cefquinome dry cow therapy in the last six months	4.12 [2.11, 8.25]	0.00003
Daily water trough cleaning	0.44 [0.29, 0.69]	0.0002
Average monthly temperature	1.57 [1.20, 2.06]	0.0008
Use of framycetin dry cow therapy in the last six months	1.91 [1.01, 3.61]	0.04

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380 **Table 3.** Fixed effects from the multilevel, multivariable logistic regression model

381 performed on the full dataset

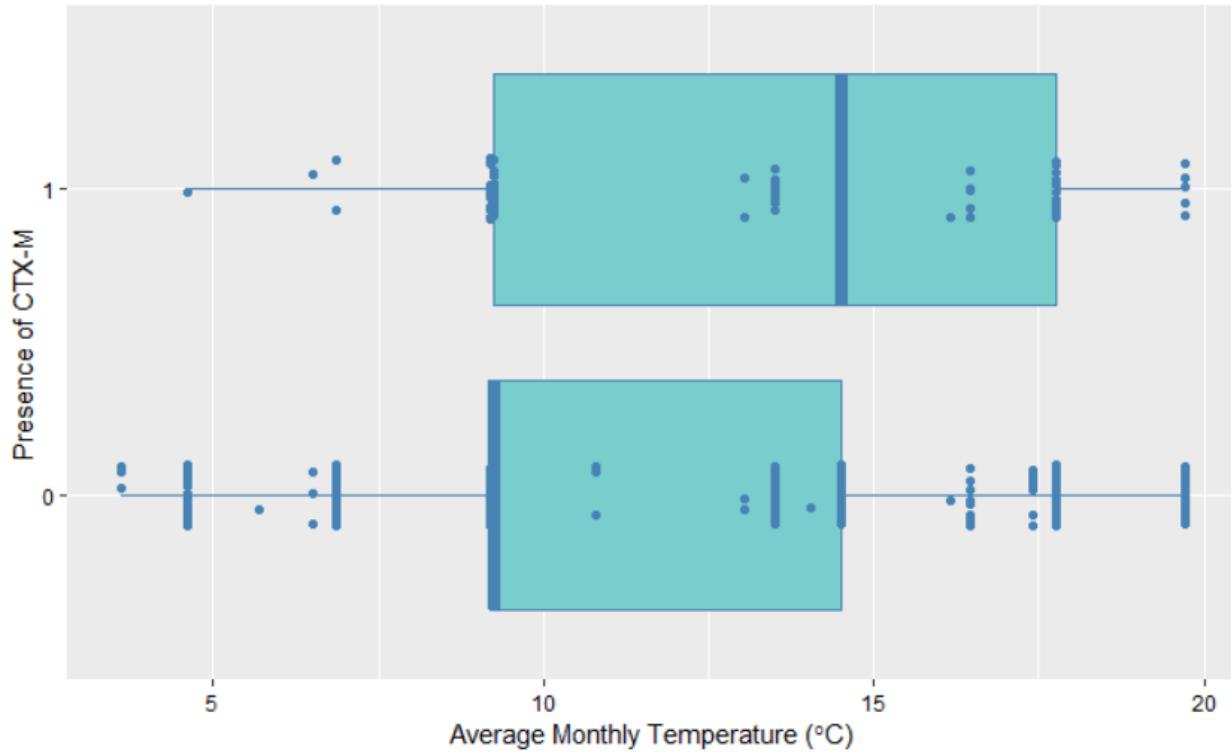
<b>Risk factor</b>	<b>Odds ratio [95% confidence interval]</b>	<b>p</b>
Sample taken from the environment of pre-weaned heifers	4.51 [3.25, 6.27]	0
Average monthly temperature	1.60 [1.34, 1.89]	0.00000001
Sample taken from pastureland	0.32 [0.17, 0.61]	0.0000001
Feeding of maize silage	3.28 [1.50, 7.18]	0.002

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384 **Figures**

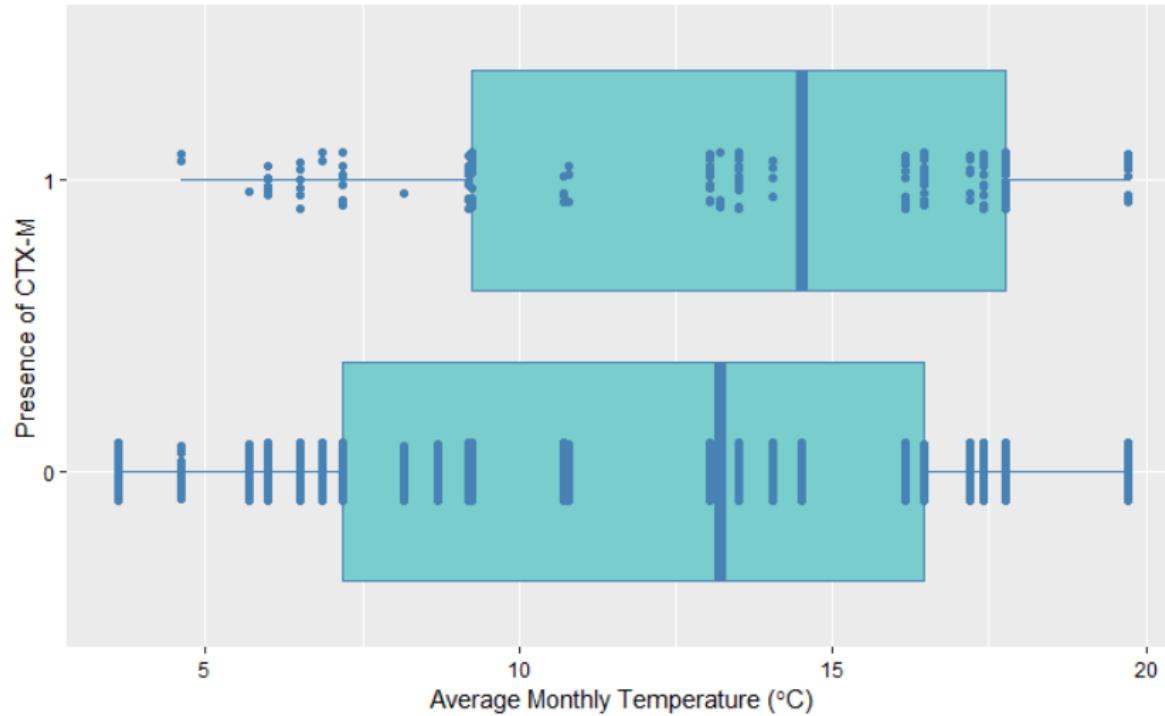
385 **Figure 1A.** Average monthly temperature vs. presence (1) or absence (0) of CTX-M  
386 in samples from pre-weaned calves. A multilevel, multivariable logistic regression  
387 model revealed a positive association with increased temperature ( $p=0.0008$ ).



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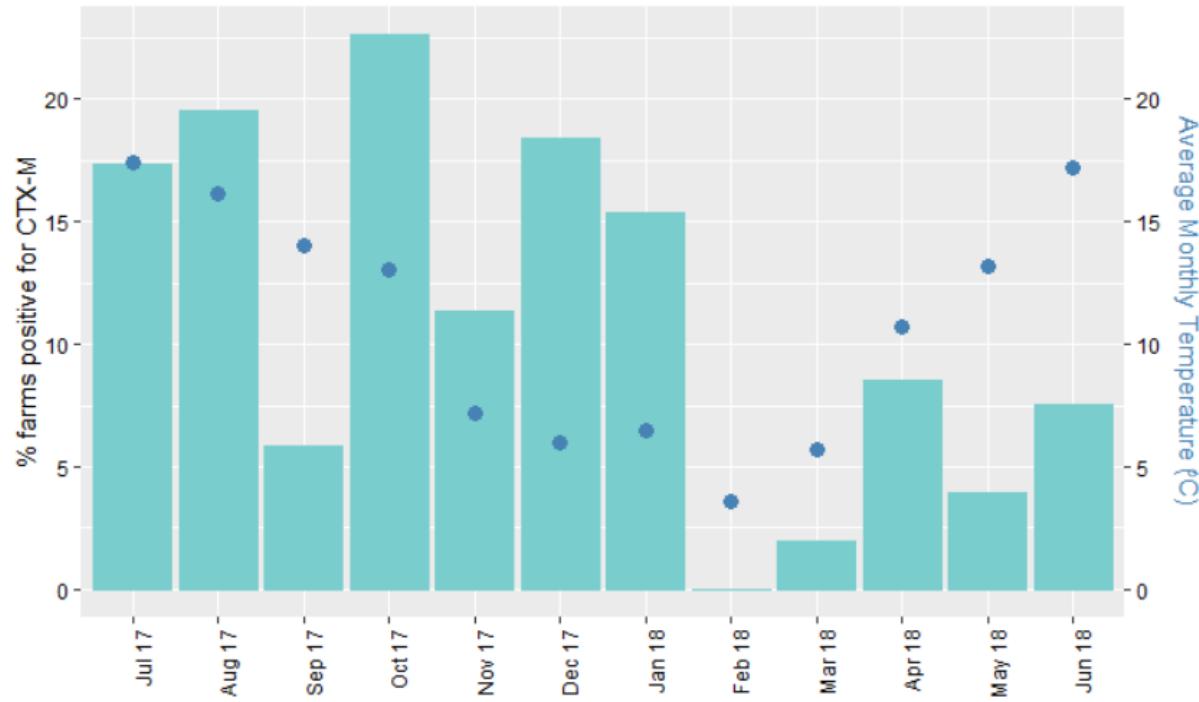
390 **Figure 1B.** Average monthly temperature vs. presence (1) or absence (0) of CTX-M  
391 in all samples of faecally contaminated dairy farm environments. A multilevel,  
392 multivariable logistic regression model revealed a positive association with increased  
393 temperature ( $p=0.00000001$ ).



394

395 **Figure 2.** Percentage of farms positive for CTX-M by month and by average monthly  
396 temperature representing a year during the middle period of this study. Samples  
397 from calves have been excluded.

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