

# 1 Convergent evolution and structural adaptation in the eukaryotic 2 chaperonin CCT $\alpha$ of deep-sea brittle stars

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## 12 **Short running title:** Convergent evolution in deep-sea brittle stars

### 13 Article type: Letter

## 14      **Keywords:**

15 Deep-sea adaptation; Echinodermata; TRiC; TCP-1; chaperonin; protein folding; positive  
16 selection; Gibbs free energy; protein stability; protein flexibility

## 17 Abstract word count: 272

18 Total word count: 3174

20 **Abstract**

21 The deep ocean is the largest biome on Earth and yet it is among the least studied environments  
22 of our planet. Life at great depths requires several specific adaptations, however their molecular  
23 mechanisms remain understudied. We examined patterns of positive selection in 416 genes  
24 from four brittle star (Ophiuroidea) families displaying independent events of deep-sea  
25 colonization (288 individuals from 216 species). We found consistent signatures of molecular  
26 convergence in five genes, including the CCT $\alpha$  gene (Chaperonin Containing TCP-1 subunit  $\alpha$ ),  
27 which is a subunit of the key eukaryotic chaperonin CCT involved in the folding of ~10% of  
28 newly synthesized proteins, notably the cytoskeletal proteins actin and tubulin. We did not find  
29 signatures of convergence in amino-acid profiles, and positively selected sites were different  
30 among families, together indicating that convergence was detected at the gene but not at the  
31 amino-acid level in CCT $\alpha$ . Pressure-adapted proteins are expected to display higher stability to  
32 counter-interact the effects of denaturation. We thus examined *in silico* protein stability profiles  
33 of CCT $\alpha$  across the ophiuroid tree of life (967 individuals from 725 species) in a  
34 phylogenetically-corrected context and found that depth-adapted proteins display higher  
35 stability within and next to the substrate-binding region, suggesting that this gene displays not  
36 only structural but also functional adaptations to deep water conditions. CCT, the most complex  
37 eukaryotic chaperonin, has previously been categorized as 'cold-shock' protein in numerous  
38 organisms. Furthermore, accelerated evolution of cold-shock proteins or expansion of these  
39 families has been shown for several deep-sea species. We thus propose that adaptation  
40 mechanisms to cold and deep-sea environments may be linked and highlight that efficient  
41 protein folding is a key metabolic deep-sea adaptation.

42

43 **Impact summary**

44 The deep ocean is a vast, intriguing and still largely unexplored environment. It harbors  
45 extreme environmental conditions compared to shallow-water habitats such as freezing  
46 temperatures, crushing pressure and low amounts of food. Therefore, deep-sea organisms  
47 display specific adaptations to survive in these habitats. However, little is known about the  
48 molecular mechanisms underlying these adaptations, notably due to the difficulty and expense  
49 of working on deep-sea environments. Brittle stars are a diverse and ancient group of marine  
50 invertebrates that colonized virtually every marine habitat. Here, we examined patterns of  
51 protein evolution in 416 genes from four brittle stars families (>200 species) displaying  
52 independent events of deep-sea colonization. We found robust evidence of convergent evolution  
53 in one gene, CCT $\alpha$ , as it displayed independent signatures of accelerated evolution in three  
54 families. CCT $\alpha$  is part of a complex chaperonin that has the essential role of assisting the folding  
55 of newly synthesized proteins. Proteins adapted to great depths, and therefore to high pressure,  
56 are expected to resist denaturation (i.e. be more stable) compared to their shallow-water  
57 counterparts. We thus used a robust comparative approach (>700 species representative of all  
58 ophiuroids) to examine local patterns of protein stability in CCT $\alpha$ . We found that a region  
59 including the active site displays increased stability, suggesting that CCT $\alpha$  exhibits structural  
60 and functional adaptations to the deep-sea across a wide range of independent comparisons.  
61 Previous studies have characterized the CCT chaperonin as a 'cold-shock' protein, i.e. a protein  
62 involved in cold stress response. Furthermore, other proteins involved in cold stress appear to  
63 also display accelerated evolution or gene family expansions in deep-sea species. We thus  
64 propose that adaptation mechanisms to cold and deep-sea environments may be linked and  
65 highlight that efficient protein folding is a key molecular deep-sea adaptation. Overall, our study  
66 increases the understanding of adaptation to extreme environments.

67

## 68 Introduction

69 The deep ocean (>200m) covers approximately two-thirds of the global sea floor area, yet it is  
70 among the least studied environments of our planet in terms of biodiversity, habitats and  
71 ecosystem functioning (Ramirez-Llodra et al., 2010). It harbors specific environmental  
72 conditions such as high pressure, low temperatures (0-4°C), absence of light and scarcity of  
73 food. Life at great depths requires multiple metabolic adaptations resulting in a physiological  
74 bottleneck (Gross and Jaenicke, 1994), limiting the vertical distribution of species (Brown and  
75 Thatje, 2014; Somero, 1992). Enzymatic processes, protein folding, assembly of multi-subunit  
76 proteins and lipoprotein membranes are influenced by pressure and temperature at the cellular  
77 level (Carney, 2005; Jaenicke, 1991; Pradillon and Gaill, 2007; Somero, 1992). Thus, as an  
78 adaptation to deep-sea environments, high-pressure adapted proteins (i.e. barophilic proteins)  
79 have been shown to be more stable (i.e. more resistant to denaturation) than their shallow-  
80 water counterparts (Gross and Jaenicke, 1994; Siebenaller, 2010; Somero, 1992, 1990).  
81 However, this has been measured in only a handful of proteins and taxa (Dahlhoff and Somero,  
82 1991; Lemaire et al., 2018; Morita, 2008, 2003; Siebenaller and Somero, 1979, 1978; Suka et al.,  
83 2019; Wakai et al., 2014). Interestingly, patterns of protein adaptation to temperature show  
84 higher flexibility (i.e. decreased stability) with decreasing temperature (Fields et al., 2015). As  
85 pressure and temperature strongly co-vary in the deep sea - temperature decreases as pressure  
86 increases - it therefore can be difficult to disentangle the respective combined or opposing  
87 effects of these factors on protein stability evolution.

88 Patterns of positive selection have been investigated to uncover genes underlying adaptation to  
89 deep-sea environments in non-model species (Kober and Pogson, 2017; Lan et al., 2018; Oliver  
90 et al., 2010; Sun et al., 2017; Zhang et al., 2017). Although valuable, these studies typically  
91 focused on a single or few shallow-deep transitions in a limited number of species, and thus lack  
92 the comparative power to separate confounding effects. With almost 2100 species, brittle stars  
93 (Ophiuroidea) are a large and ancient class of echinoderms (Stöhr et al., 2019, 2012). These  
94 diverse marine invertebrates have colonized every marine habitat, highlighting their strong  
95 adaptive abilities. Furthermore, their phylogeny is well-resolved (O'Hara et al., 2017, 2014) and  
96 they represent a major component of the deep-sea fauna, making them important models for  
97 marine biogeography (O'Hara et al., 2019; Woolley et al., 2016). It is usually assumed that deep-  
98 sea organisms colonized the deep-sea from shallow waters; however, colonization from deep to  
99 shallow waters has also been reported (Bribiesca-Contreras et al., 2017; Brown and Thatje,  
100 2014). Four large independent ophiuroid families (Amphiuridae, Ophiodermatidae,  
101 Ophiomyxidae and Ophiotrichidae) have a common ancestor from shallow water with extant  
102 species occurring in the deep-sea (Bribiesca-Contreras et al., 2017). Due to these repeated and  
103 independent colonization events, these four brittle star families provide an ideal framework to  
104 test for convergent molecular evolution to the deep sea.

## 105 Methods

### 106 Phylogenomic data generation and processing

107 The gene data used here is an extension of a previously published exon-capture phylogenomic  
108 datamatrix of 1484 exons in 416 genes for 1144 individual ophiuroid samples accounting for  
109 826 species, representative of the whole class Ophiuroidea (O'Hara et al., 2017, 2019). Details on  
110 specimen collection, environmental parameters and list of species are available in Table S1. The  
111 set of 416 single-copy genes were first determined in a transcriptome analysis (O'Hara et al.,

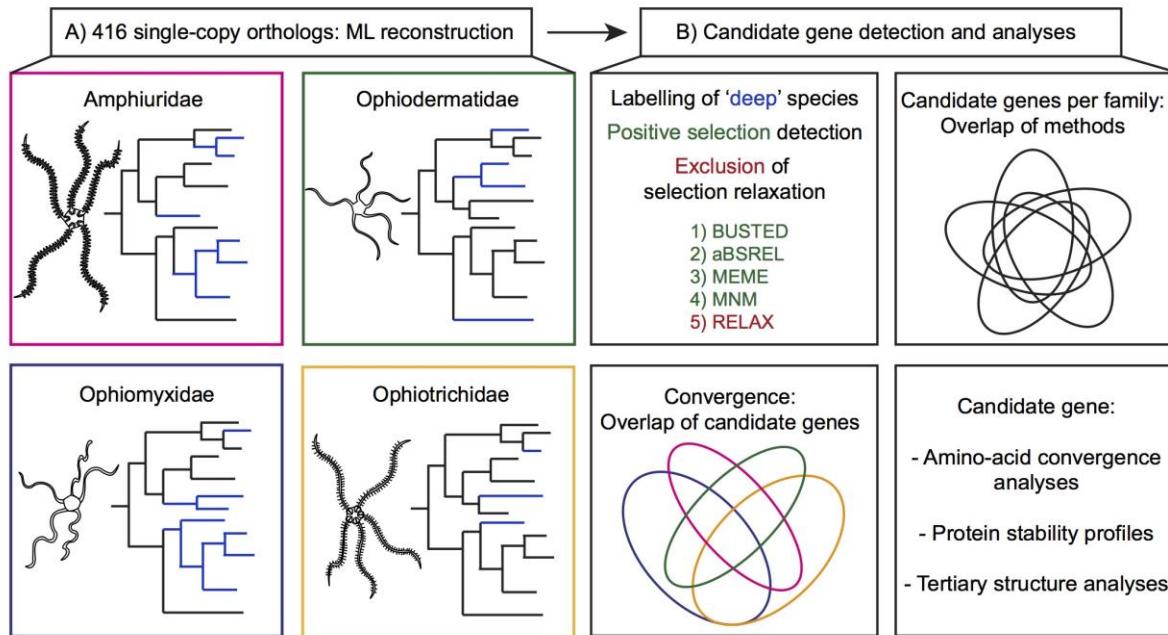
112 2014) and the subsequent exon-capture system laboratory, bioinformatic and phylogenetic  
113 procedures are described in Hugall et al, 2016 and O'Hara et al, 2017, and dryad packages  
114 <https://doi.org/10.5061/dryad.db339/10> and <http://dx.doi.org/10.1016/j.ympev.2016.12>.  
115 Briefly, base-calling used a minimum read coverage of five. Exon boundaries were initially based  
116 on the *Strongylocentrotus purpuratus* and *Danio rerio* genomes, and then revised using the exon-  
117 capture read mapping information. For all selection analyses, codons immediately adjacent to  
118 exon boundaries were ignored. The primary data had UPAC-coded heterozygous sites, which  
119 were then randomly resolved. However, these sites had little influence as both ambiguity-coded  
120 and randomly resolved datasets returned the same positive selection test results. A global  
121 phylogenetic tree of all 1144 samples for 416 genes (273kb sites) was generated via RAxML v.8.  
122 (Stamatakis 2014) using a codon position partition model. First a fully resolved all compatible  
123 consensus topology was generated from 200 RAxML fast bootstrap samples (the -f -d  
124 command), onto which branch lengths were then optimized using a codon position GTR-Γ  
125 model (the -f -e command). The tree was then rooted according to O'Hara et al. (2017) defining  
126 the sister superorders Ophintegrida and Euryophiurida.

127 Four brittle star families were investigated that included species displaying independent events  
128 of deep-sea colonization from shallow-water (Bribiesca-Contreras et al., 2017): Amphiuridae  
129 (111 individuals from 95 species, depth range: -0.5m to -5193m; temperature range: -1.6°C to  
130 28.8°C), Ophiodermatidae (60 individuals from 38 species, depth range: -0.5m to -1668m;  
131 temperature range: 2.6°C to 28.3°C), Ophiomyxidae (41 individuals from 29 species, depth  
132 range: -1.5m to -792m; temperature range: 4.6°C to 28.7°C) and Ophiotrichidae (78 individuals  
133 from 62 species, depth range: -1m to -405m; temperature range: 10.5°C to 29.5°C). Positive  
134 selection analyses were conducted separately per family. 1664 alignments were generated,  
135 representing each gene (416) in each family (4). In each alignment, a maximum of 30% missing  
136 data per sequence was allowed. Alignments that lacked deep species (>200m) after filtering  
137 were not used. As all these four families belong to the superorder Ophintegrida, sequences of  
138 *Asteronyx loveni* belonging to the sister superorder Euryophiurida (Asteronychidae) were used  
139 as outgroups. After filtering, 1649 alignments were available for further analyses.

#### 140 *Phylogenetic reconstruction and positive selection analyses*

141 For each of the 1649 alignments, a Maximum-Likelihood phylogeny was reconstructed using  
142 RAxML v.8.2.11 with the following parameters: -x 12345 -# 100 -f a -m GTRGAMMA -p 12345  
143 (Figure 1A). Deep (>200m) species (tips) and monophyletic groups of deep species (nodes)  
144 were labeled as "Foreground" branches for positive selection analyses. Then, the package HyPhy  
145 was used to conduct several positive selection analyses (Figure 1B): 1) BUSTED (Branch-site  
146 Unrestricted Statistical Test for Episodic Diversification) (Murrell et al., 2015) to test for gene-  
147 wide positive selection (at least one site on at least one branch); 2) aBSREL (adaptive Branch-  
148 Site Random Effects Likelihood) (Smith et al., 2015) to detect specific branches evolving under  
149 episodic positive selection; 3) MEME (Mixed Effects Model of Evolution) (Murrell et al., 2012) to  
150 find sites evolving under episodic positive selection. Furthermore, it has been shown recently  
151 that mutations at adjacent sites often occur as a result of the same mutational event (i.e.  
152 multinucleotide mutations, MNMs) and therefore may bias classical branch-site tests for  
153 positive selection (Venkat et al., 2018). The authors of that study developed a new model of  
154 positive selection detection incorporating MNMs (referred hereafter as: 4) MNM method),  
155 which we also used to detect positive selection on deep lineages (>200m). For each gene, p-  
156 values were corrected for multiple testing using the Holm method (Holm, 1979). The p-value

157 significance level used for all the positive selection detection methods was 0.05. Finally, we  
158 used: 5) RELAX (Wertheim et al., 2014) to test for relaxation of selection, and exclude potential  
159 candidate genes displaying relaxation of selection. For each of the four families, positively  
160 selected candidate genes of each method were overlapped on a Venn diagram (Figures 1 and  
161 S1). To be considered as a candidate gene for positive selection in one family and to minimize  
162 the risk of false positives, a gene had to display a significant signal in at least three out of four  
163 methods including MEME and MNM (BUSTED, MEME, MNM or MEME, MNM, aBSREL) and not  
164 display relaxation of selection (RELAX). This set of candidates was used for functional  
165 annotation. Final sets of positively selected genes per family were then compared among each  
166 other to test for convergent evolution.



167  
168 **Figure 1: Workflow used in this study.** A: Four independent ophiuroid families (288 individuals from  
169 216 species) with a shallow-water common ancestor and extant species in shallow (0-200m) and deep  
170 (>200m) environments were investigated. For each family and each one of the 416 single-copy orthologs,  
171 Maximum Likelihood reconstructions were performed. B: For each resulting ML tree, deep (>200m)  
172 species were labeled as foreground branches (coloured blue) and four positive selection detection  
173 methods were used (BUSTED, aBSREL, MEME, MNM). To detect and exclude candidate genes displaying  
174 relaxation of selection, i.e. accumulation of substitutions not due to increased selection pressure, the  
175 method RELAX was used. The final set of candidate genes for each family encompassed genes positively  
176 selected in at least 3 methods and not displaying relaxation of selection. Convergent evolution was  
177 examined by overlapping candidate genes per family. For the most interesting candidate gene, amino-acid  
178 convergence analyses, protein stability profiles and tertiary structure analyses were performed.

179

180 *Gene Ontology annotations and amino-acid convergence analyses*

181 To explore which functions may be involved in deep-sea adaptation, the representative  
182 sequence of each of the 416 genes was extracted from the sea urchin *Strongylocentrotus*  
183 *purpuratus* genome and blasted against the nr database from NCBI using BLAST+. We used *S.*  
184 *purpuratus* as reference because sequence annotation for this species is of high quality (no high-  
185 quality brittle star reference genome is currently available) and to use a single complete  
186 representative sequence for each gene. The top 50 hits were extracted and loaded in BLAST2GO  
187 v.4.1. for annotation (Conesa et al., 2005). Mapping, annotation and slim ontology (i.e. GO  
188 subsets of broader categories) were performed with BLAST2GO using default parameters,  
189 except for the annotation cut-off parameter that was set to 45. GO categories were described  
190 using the level 3 of slim ontology.

191 CCT $\alpha$ , the only candidate gene displaying positive selection signal in three families (see Results)  
192 was further analyzed. Specifically, amino-acid profiles were investigated for convergent shifts  
193 using PCOC (Rey et al., 2018). This method, which has been shown to display high sensitivity  
194 and specificity, detects convergent shifts in amino-acid preferences rather than convergent  
195 substitutions. The CCT $\alpha$  amino-acid alignment encompassing the four families and outgroups  
196 was used to generate a maximum-likelihood phylogeny as previously described but this time  
197 using the PROTGAMMAWAG protein model of sequence evolution. For each family, positively  
198 selected branches resulting from aBSREL analyses were labeled as foreground branches (i.e. the  
199 branches with the convergent phenotype in the nucleotide topology) in four different scenarios:  
200 i) Amphiuridae, Ophiodermatidae, Ophiomyxidae; ii) Amphiuridae, Ophiodermatidae; iii)  
201 Amphiuridae, Ophiomyxidae; iv) Ophiodermatidae, Ophiomyxidae. Detection of amino-acid  
202 convergence in these four scenarios was then performed using PCOC and a detection threshold  
203 of 0.9 (Rey et al., 2018).

204 *Protein structure modeling and protein stability profile*

205 To infer the position of positively selected mutations on CCT $\alpha$ , the corresponding amino-acid  
206 sequence of the individual Amphiura\_constricta\_MVF214041 was used to obtain the secondary  
207 and tertiary protein structures of this gene. The secondary structure was modeled using  
208 InterPro 72.0 web browser (<https://www.ebi.ac.uk/interpro/>). The protein model was  
209 generated using the normal mode of the online Phyre<sup>2</sup> server (Kelley et al., 2015). The online  
210 server EzMol 1.22 was used for image visualization and production (Reynolds et al., 2018).

211 We then examined the protein stability profiles of CCT $\alpha$  across the whole ophiuroid class (967  
212 sequences with less than 30% missing sites, representing 725 species) using eScape v2.1 (Gu  
213 and Hilser, 2009, 2008). This algorithm calculates a per-site estimate of Gibbs free energy of  
214 stabilization based on a sliding window of 20 residues. More specifically, it models the  
215 contribution of each residue to the stability constant, a metric that represents the equilibrium of  
216 the natively folded and the multiple unfolded states of a protein (D'Aquino et al., 1996). Sites  
217 adapted to elevated pressure (or high temperature at atmospheric pressure) are expected to  
218 display stabilizing mutations (i.e. more negative delta G values), whereas sites adapted to low  
219 temperatures at atmospheric pressure are expected to display mutations increasing flexibility  
220 (i.e. decreasing stability, thus more positive delta G values) (Fields et al., 2015; Saarman et al.,  
221 2017). For each site of the apical domain (codons 200-361), we calculated the average delta G  
222 value for all 324 shallow-water species (424 individuals) (0-200m) and 401 deep-water species  
223 (543 individuals) (>200m). To test the difference between these average values in a

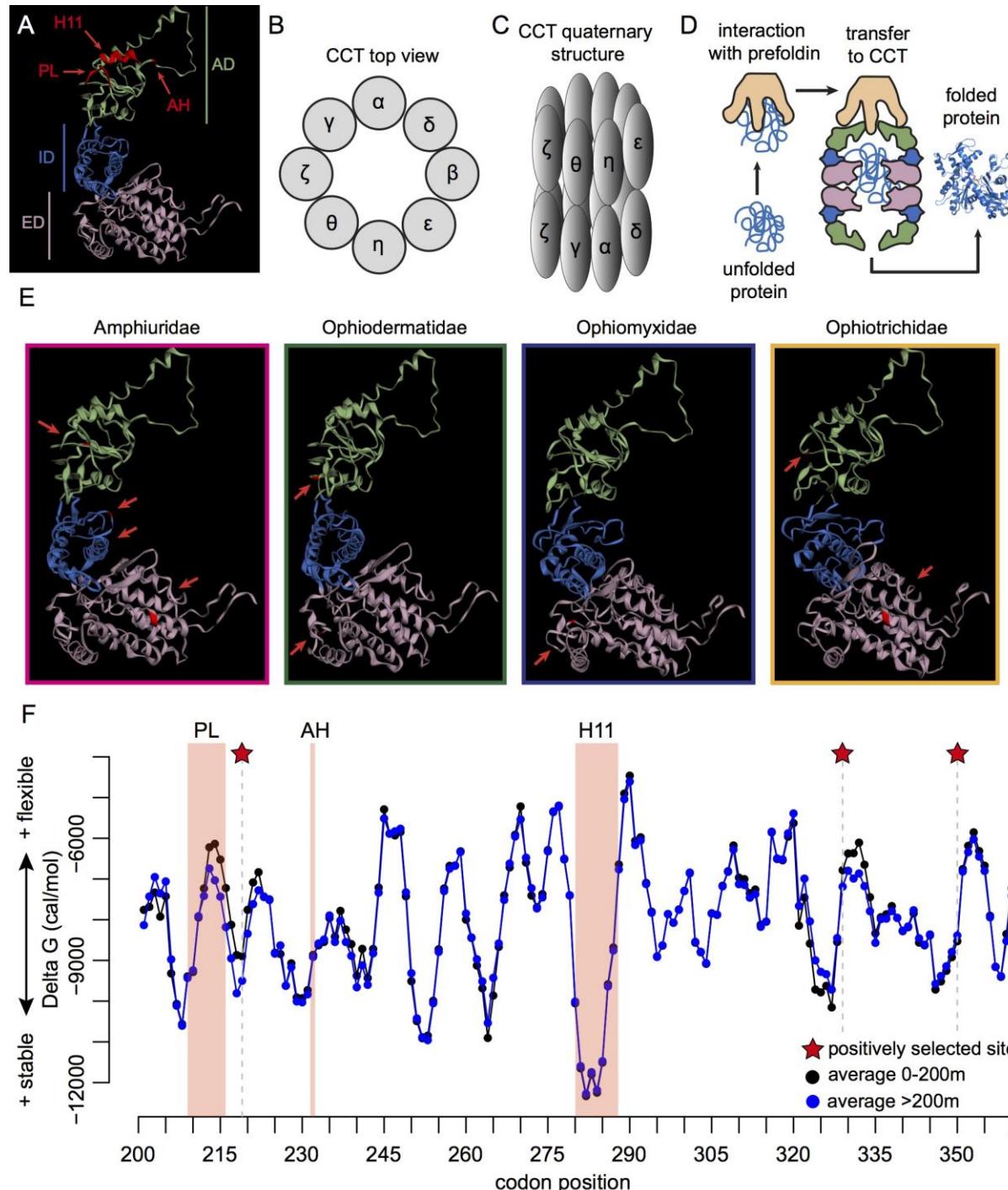
224 phylogenetic context, we used phylogenetically-corrected ANOVA (R function phylANOVA of the  
225 phytools v.0.6-60 R package; 10,000 simulations). To correct for relatedness among species, we  
226 used the global RAxML phylogenetic tree pruned to the 967 tips. To investigate regions rather  
227 than individual codons, we contrasted shallow vs. deep species along the whole gene, averaging  
228 delta G values across 10 residues and performing a phylogenetically-corrected ANOVA as  
229 previously described.

230 **Results & Discussion**

231 *Five genes involved in protein biogenesis are recurrently positively selected in deep-sea brittle*  
232 *stars*

233 We used 416 single-copy orthologs from 216 species (288 individuals) of four brittle star  
234 families to examine patterns of positive selection in deep-sea species (>200m). For each gene of  
235 each family, we used four different positive selection methods and one method detecting  
236 relaxation of selection (Figure 1A-B). To minimize false positive detection, we kept candidate  
237 genes with significant signature of positive selection in at least three methods, which did not  
238 show relaxation of selection. We found 36 candidate genes in Amphiuridae, 9 in  
239 Ophiodermatidae, 6 in Ophiomyxidae and none in Ophiotrichidae (Table S2; Figure S1). Five  
240 genes were positively selected in at least two families, among which one (CCT $\alpha$ ) was selected in  
241 all three families and significant in each one of the selection detection methods (Table 1). CCT $\alpha$   
242 is a subunit of the octameric Chaperonin Containing TCP1 (CCT) complex, a cytosolic eukaryotic  
243 chaperonin having a central role in protein folding (Figure 2A-D) (Bueno-Carrasco and Cuéllar,  
244 2019; Valpuesta et al., 2005). CCT is estimated to fold ~10% of newly synthesized proteins,  
245 among which actin and tubulin, and is involved in numerous core cellular processes such as  
246 cytoskeleton formation, cell signaling, cell recognition and protein degradation. Interestingly,  
247 PFD3, a subunit of the hexameric co-chaperone prefoldin interacting with CCT (Gestaut et al.,  
248 2019; Martín-Benito et al., 2002) was also positively selected in two families (Table 1; Figure  
249 2D), while the two other subunits present in our dataset (PFD1 and PFD5) were not (Table S3).  
250 Finally, two ribosomal proteins (Rpl8 and Rpl34) were positively selected in two families,  
251 suggesting that protein biogenesis (protein synthesis and folding) may have a central role in  
252 deep-sea adaptation.

253



254

255 **Figure 2: Structure and function of the CCT complex, selection analyses on CCT $\alpha$  and comparison**  
 256 **of stability values from CCT $\alpha$  apical domain between shallow and deep species.** A: Model of tertiary  
 257 structure of the CCT $\alpha$  subunit. Each subunit is composed of an apical domain (AD; green) containing the  
 258 substrate binding regions (PL: Proximal Loop; H11: Helix 11; AH: Apical Hinge), an intermediate domain  
 259 (ID; blue) and an equatorial domain (ED; pink) containing the nucleotide binding site and where  
 260 hydrolysis takes place. B: Model of the top view of the CCT complex, encompassing 8 paralogous subunits.  
 261 C: Quaternary structure model of the CCT complex encompassing a double ring of 8 paralogous subunits.  
 262 D: Simplified model of Prefoldin (PFD)-CCT interaction in the folding of newly synthesized actin or  
 263 tubulin. A-D: Adapted from Bueno-Carrasco & Cuellar, 2018, "Mechanism and Function of the Eukaryotic  
 264 Chaperonin CCT". E: Localization of the positively selected sites on the tertiary structure of CCT $\alpha$  in the  
 265 four ophiuroid families investigated. F: Average protein stability profiles for each codon of the CCT $\alpha$

266 apical domain in 324 species (424 individuals) from shallow water (0-200m) and 401 species (543  
267 individuals) from deep water (>200m) representative of the whole ophiuroid class. A smaller (i.e. more  
268 negative) value of delta G is indicative of substitutions increasing stability. The substrate binding regions  
269 PL, AH and H11 are highlighted as well as the positively selected sites.

270

271 **Table 1:** common positively selected candidate genes in three families and their characteristics (3 of 4  
272 methods, not displaying relaxation of selection). \*Positively selected in 4 of 4 methods, not displaying  
273 relaxation of selection. Bold: common Biological Process annotation.

Gene name	Description	Blast Reference sequence	GO terms: Biological Process	Positively selected in
CCT $\alpha$	chaperonin containing TCP1 complex subunit $\alpha$	XP_780270.1	<b>protein folding</b>	Amphiuridae, Ophiodermatidae, Ophiomyxidae
PFD3	prefoldin subunit 3	XP_797937.1	macromolecular complex assembly; protein complex assembly; <b>protein folding</b>	Amphiuridae, Ophiodermatidae
tkt*	transketolase isoform X2	2 NP_1229589.1	biological process	Amphiuridae, Ophiodermatidae
rpl34	subunit ribosomal	XP_797232.1	ribosome biogenesis; <b>translation</b>	Amphiuridae, Ophiomyxidae
rpl8	60S ribosomal L8	XP_796001.1	<b>Translation</b>	Amphiuridae, Ophiomyxidae

274

275 *CCT $\alpha$  and deep-sea adaptation: convergence at the gene but not amino-acid level*

276 The sites displaying positive selection in CCT $\alpha$  were not the same among the four families  
277 (Figure 2E; Table S4). Four sites were found in the equatorial domain, i.e. the ATP binding  
278 region, while three sites were found in the apical domain, i.e. the substrate binding region  
279 (Bueno-Carrasco and Cuéllar, 2019). In addition, convergent evolution was not detected when  
280 examining amino-acid profiles (PCOC posterior probabilities < 0.9). Thus, convergent evolution  
281 was detected at the pathway and gene levels but not at the amino-acid level. It has been shown  
282 that rates of molecular convergence decrease with time (Storz, 2016) and the last common  
283 ancestor of Amphiuridae, Ophiodermatidae and Ophiomyxidae is estimated to be approximately  
284 250 million years old (O'Hara et al., 2017). Furthermore, convergence at the amino-acid level is  
285 often the least common compared to convergence at higher levels of biological hierarchy (e.g.  
286 gene, pathway or species levels) (Bolnick et al., 2018; Tenaillon et al., 2016, 2012). While we  
287 tested four subunits of the octameric CCT complex, CCT $\alpha$  was the only one to be detected as  
288 showing positive selection (Table S5). This might be due to the different degrees of subunit  
289 specialization, as CCT $\alpha$  has intermediate binding properties (i.e. neither high ATP affinity nor  
290 high substrate affinity) compared to the other subunits (Bueno-Carrasco and Cuéllar, 2019).  
291 Thus, CCT $\alpha$  might be functionally less constrained to evolve rapidly. Interestingly, it was shown  
292 that CCT $\alpha$ , CCT $\gamma$  and CCT $\zeta$  evolved under positive selection after duplication events which led to  
293 subfunctionalization in eukaryotes, most likely in response to folding increasingly complex  
294 cytosolic proteins (Fares and Wolfe, 2003).

295 *Energetic landscapes reveal structural adaptation within and next to the proximal loop binding*  
296 *region*

297 Next we calculated site-specific protein stability profiles of CCT $\alpha$  in 725 species representative  
298 of the whole Ophiuroidea class (967 individuals), to test the hypothesis that deep-sea adapted  
299 proteins are more stable than their shallow-water counterparts. For each site, we compared the  
300 average stability measure of 324 shallow-water species (0-200m) vs. 401 deep-water species  
301 (>200m), where lower values correspond to higher stability (Figures 2F, S2A). We focused on  
302 the apical domain as it encompasses the substrate binding region, whose position and structure  
303 are highly conserved across eukaryotes (Joachimiak et al., 2014). This region is composed of the  
304 proximal loop (PL), the apical hinge (AH) and Helix 11 (H11) (Figure 2A). While AH and H11 are  
305 almost invariant across all ophiuroids, the stability measure was lower (i.e. more stable) in deep  
306 compared to shallow species in PL and two following sites (codons 214-217), close to a  
307 positively selected site (Figures 2F, S2A). In contrast, three codons displayed higher flexibility in  
308 deep compared to shallow species (Figure S2), suggesting that increased flexibility may play a  
309 role in deep-sea adaptation outside the ligand binding region. Nevertheless, when averaging  
310 delta G values across 10 codons, only the signal close to PL remained significant (Figure S3).  
311 This indicates that substitutions towards a more stable PL occurred independently in the  
312 ophiuroid tree of life. The shallow groove created by the conserved H11 and the flexible PL  
313 allows the binding of a variety of substrates (Joachimiak et al., 2014; Yam et al., 2008). Our  
314 results suggest that substitutions in the PL and in adjacent amino acids allow efficient substrate  
315 binding in deep-sea species. Similarly, in a study on metabolic enzymes from 37 ctenophores,  
316 numerous sites associated with adaptation to depth, temperature or both were located close to  
317 the ligand binding region (Winnikoff et al., 2019).

318 *Cold shock proteins as mechanism of deep-sea adaptation?*

319 We have shown that over evolutionary timescales, brittle star CCT $\alpha$  displays recurrent  
320 signatures of accelerated evolution and structural adaptation in transition from shallow to  
321 deep-sea habitats. Conversely CCT $\epsilon$ , but not the other subunits, was positively selected in some  
322 sea urchins but not in the two deep-sea species investigated (Kober and Pogson, 2017).

323 At shorter evolutionary timescales, CCT has been characterized as a 'cold-shock' protein in  
324 several eukaryotes due to the overexpression of the investigated subunits when organisms  
325 were exposed to cold stress (He et al., 2017; Kayukawa et al., 2005; Somer et al., 2002; Yin et al.,  
326 2011). Furthermore, CCT has been shown to display specific structural (Pucciarelli et al., 2006)  
327 and functional (Cuellar et al., 2014) adaptations to cold environment in Antarctic fish, in  
328 addition to being overexpressed in Antarctic fish exposed to heat stress (Buckley and Somero,  
329 2009). There is further evidence for a link between cold-stress response and high-pressure  
330 stress response in bacteria (Welch et al., 1993; Wemekamp-Kamphuis et al., 2002). Moreover,  
331 cold-inducible protein families are expanded in a hadal amphipod (Lan et al., 2017), and several  
332 proteins involved in cold shock have been shown to evolve under positive selection in deep-sea  
333 amphipod and fish (Lan et al., 2018). Taken together, our findings support the hypothesis that  
334 cold shock proteins play an important role in deep-sea adaptation (Brown and Thatje, 2014).

335 While our study lacks functional validation to demonstrate that the changes are truly adaptive  
336 (which would be experimentally demanding as CCT folds ~10% of newly synthesized proteins),  
337 we minimized false inferences by applying stringent positive selection detection criteria.  
338 Furthermore, we used a proxy of functional validation by investigating *in silico* protein stability

339 profiles in a dataset with great comparative power, both in terms of phylogenetic and  
340 environmental diversity. Finally, experimental testing on deep-sea organisms remains  
341 technically challenging, so we made use of the power of molecular data to reveal new insights in  
342 deep-sea adaptation. Further studies should include whole genomes to obtain a more complete  
343 view of deep-sea adaptation mechanisms (Gaither et al., 2018; Wang et al. 2019). Also, while we  
344 focused on intrinsic adaptations, mechanisms of extrinsic adaptations through osmolyte  
345 concentration should not be overlooked (Yancey and Siebenaller, 2015), but they are beyond  
346 the scope of this study. With increasing interests in deep-sea biodiversity, ecosystems and  
347 resources in the last decades (Danovaro et al., 2017, 2014; Glover et al., 2018), these are exciting  
348 times for diving deeper into mechanisms of deep-sea adaptation.

### 349 **Acknowledgements**

350 We are grateful to W. Salzburger for providing access to the HPC sciCORE cluster and to J.  
351 Sarrazin for comments on a previous version of the manuscript. Calculations were performed at  
352 sciCORE (<http://scicore.unibas.ch/>) scientific computing center at the University of Basel,  
353 Switzerland and at DATARMOR (<http://www.ifremer.fr/pcdm>) scientific computing center at  
354 the Pôle de Calcul et de Données Marines (PCDM), Ifremer, Brest, France. AATW was supported  
355 by an Endeavour Postdoctoral Fellowship awarded by the Australian Department of Education  
356 and Training (Grant Agreement No 6534\_2018) and a Marie Skłodowska-Curie Global  
357 Fellowship awarded by the European Union's Horizon 2020 research and innovation  
358 programme (Grant Agreement No 797326; Project DEEPADAPT).

### 359 **Author contributions**

360 TOH collected the samples. TOH and AFH designed and generated the exon-capture data. AFH  
361 processed the raw data to generate the phylogenomic dataset. AATW designed the present  
362 study, performed positive selection, convergence and stability analyses. AATW drafted the  
363 manuscript and it was finalized with input from all co-authors.

### 364 **Data accessibility**

365 Phylogenomic data (including raw reads) and scripts for dataset generation are available in  
366 NCBI Bioproject PRJNA311384 and dryad packages: <https://doi.org/10.5061/dryad.db339/10>  
367 and <http://dx.doi.org/10.1016/j.ympev.2016.12>.

### 368 **Conflicts of interest**

369 None declared

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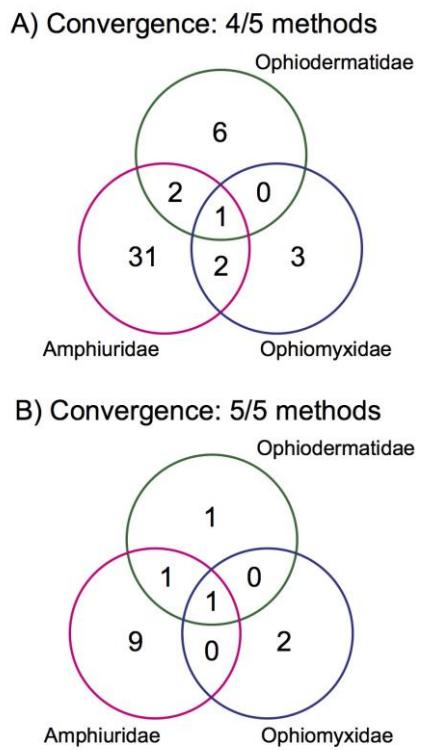
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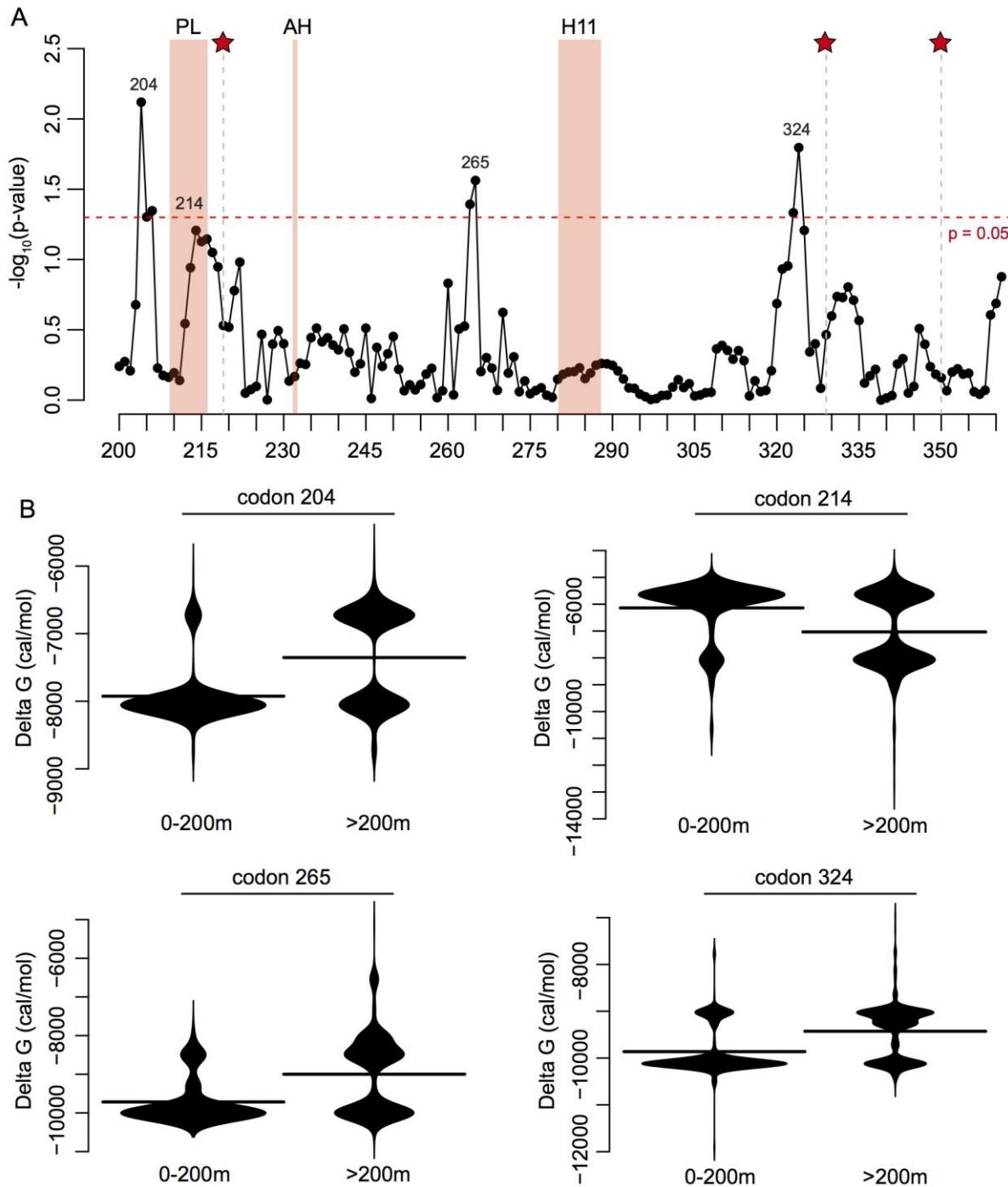
557 **Supplementary figures**



558

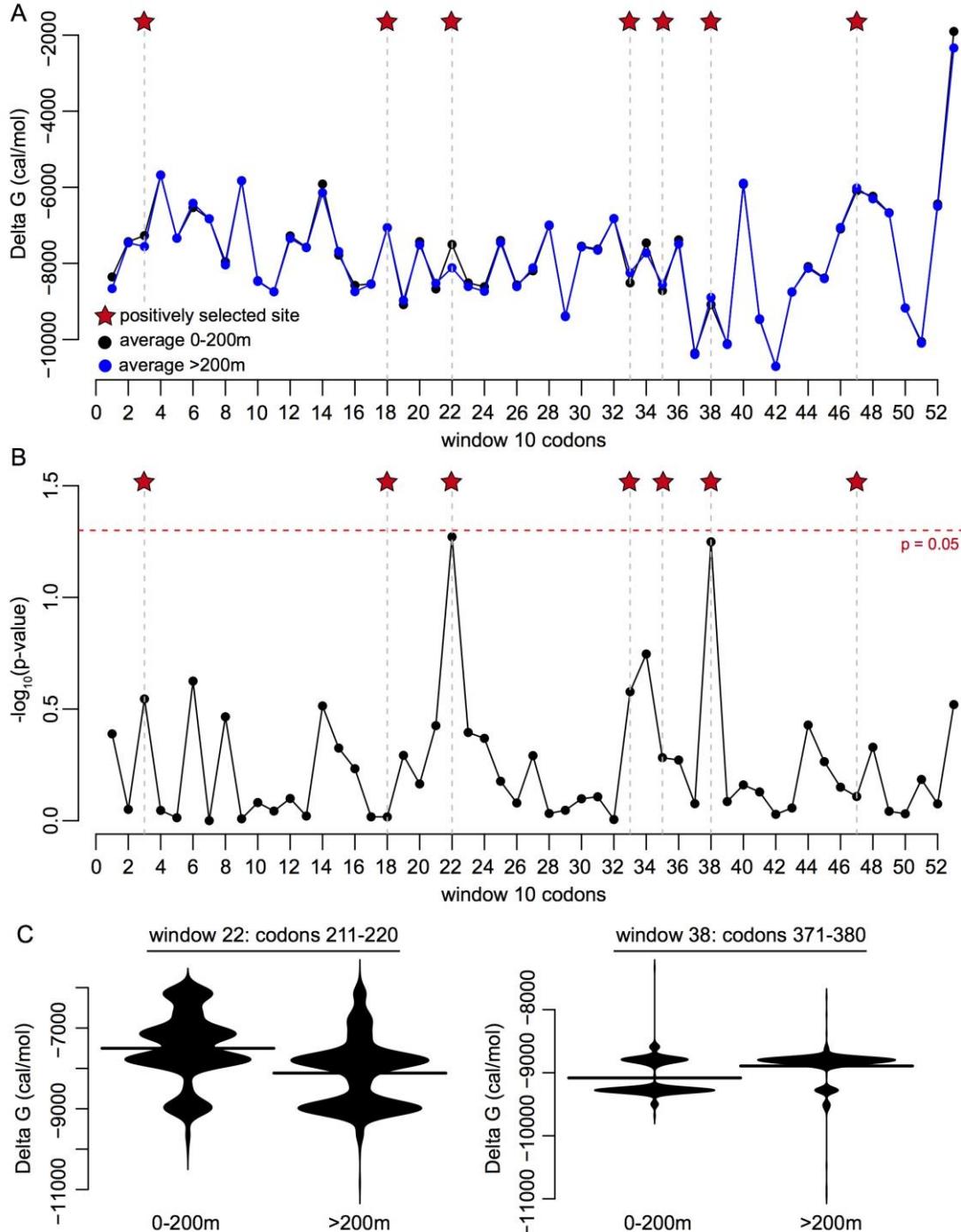
559 **Figure S1: Overlap of positively selected candidate genes among three brittle star families.** A:  
560 Number of candidate genes per family positively selected in at least three positive selection detection  
561 methods and not displaying relaxation of selection. B: Number of candidate genes per family positively  
562 selected in all four positive selection detection methods and not displaying relaxation of selection. In both  
563 conditions of A and B, no gene was positively selected in the family Ophiotrichidae.

564



565 **Figure S2: Comparison of stability values from CCT $\alpha$  apical domain between shallow and deep**  
 566 **species.** A: Log transformed p-values of the phylogenetically-corrected ANOVA performed between  
 567 average delta G values of shallow vs. deep species at each codon of the CCT $\alpha$  apical domain. The substrate  
 568 binding regions PL, AH and H11 are highlighted in light orange. The positively selected sites are  
 569 highlighted with a red star. P-value level corresponding to 0.05 is highlighted in red. The most significant  
 570 codons in a “significance peak” (204, 214, 265 and 324) are highlighted. B: Beanplots of delta G values  
 571 between shallow (0-200m) and deep (>200m) species for each one of the most significant codons in the  
 572 phylogenetically-corrected ANOVA. Horizontal bar represents the average value of the dataset.

574



575

576 **Figure S3: Comparison of stability values over 10 codon windows on the complete CCT $\alpha$  gene**  
577 **between shallow and deep species.** A: Average protein stability profiles over 10 codon windows for the  
578 complete CCT $\alpha$  gene in 324 species (424 individuals) from shallow water (0-200m) and 401 species (543  
579 individuals) from deep water (>200m) representative of the whole ophiuroid class. A smaller (i.e. more  
580 negative) value of delta G is indicative of substitutions increasing stability. The substrate binding regions  
581 PL, AH and H11 are highlighted as well as the positively selected sites. B: Log transformed p-values of the  
582 phylogenetically-corrected ANOVA performed between average delta G values over 10 codon windows of  
583 shallow vs. deep species. The positively selected sites are highlighted with a red star. P-value level  
584 corresponding to 0.05 is highlighted in red. C: Beanplots of delta G values between shallow (0-200m) and  
585 deep (>200m) species for both of the most significant 10 codon windows in the phylogenetically-  
586 corrected ANOVA. Horizontal bar represents the average value of the dataset.

587 **Supplementary tables (in separate excel file)**

588 **Table S1:** List of species used in this study, GPS coordinates and environmental parameters at their  
589 sampling locations. Empty cells indicate missing data.

590 **Table S2:** Positively selected candidate genes per family and their Gene Ontology annotation (P:  
591 Biological Process; F: Molecular Function; C: Cellular Component). Genes positively selected in several  
592 families are highlighted in bold.

593 **Table S3:** Results of positive selection tests for the three prefoldin subunits for each family. Significance  
594 level: 0.05. NS: not significant

595 **Table S4:** Sites displaying episodic positive selection in CCT $\alpha$ . Method used: MEME. Significance level:  
596 0.05. Significant sites are in bold.

597 **Table S5:** Results of positive selection tests for the four CCT subunits for each family. Significance level:  
598 0.05. NS: not significant