

1 Neural circuits in the mouse retina support color 2 vision in the upper visual field

3 Klaudia P. Szatko^{1-3*}, Maria M. Korympidou^{1,3,4*}, Yanli Ran^{1,4}, Philipp Berens^{1,2,5}, Deniz
4 Dalkara⁶, Timm Schubert^{1,4}, Thomas Euler^{1,2,4}, Katrin Franke^{1,2,#}

5
6 ¹Institute for Ophthalmic Research, University of Tübingen, Germany

7 ²Bernstein Center for Computational Neuroscience, University of Tübingen, Germany

8 ³Graduate Training Center of Neuroscience, International Max Planck Research School,
9 University of Tübingen, Germany

10 ⁴Center for Integrative Neuroscience, University of Tübingen, Germany

11 ⁵Institute for Bioinformatics and Medical Informatics, University of Tübingen, Germany

12 ⁶Sorbonne Université, INSERM, CNRS, Institut de la Vision, Paris, France

13
14 *These authors contributed equally to this work

15 #Correspondence at katrin.franke@cin.uni-tuebingen.de

18 ABSTRACT

19 Color vision is essential to the survival of most animals. Its neural basis lies in the retina,
20 where chromatic signals from different photoreceptor types sensitive to distinct wavelengths
21 are locally compared by neural circuits. Mice, like most mammals, are generally dichromatic
22 and have two cone photoreceptor types. However, in the ventral retina most cones display
23 the same spectral preference, impairing spectral comparisons necessary for color vision. This
24 conflicts with behavioral evidence showing that mice can discriminate colors only in the
25 corresponding upper visual field. Here, we systematically investigated the neural circuits
26 underlying mouse color vision across three processing stages of the retina by recording the
27 output of cones, bipolar and ganglion cells using two-photon imaging. Surprisingly, we found
28 that across all retinal layers most color-opponent cells were located in the ventral retina. This
29 started at the level of the cone output, where color-opponency was mediated by horizontal
30 cells and likely involving rod photoreceptors. Next, bipolar cells relayed the chromatic
31 information to ganglion cells in the inner retina, where type-specific, non-linear
32 center-surround interactions resulted in specific color-opponent output channels to the brain.
33 This suggests that neural circuits in the mouse retina are specifically tuned to extract color
34 information from the upper visual field, aiding robust detection of aerial predators and
35 ensuring the animal's survival.

37 INTRODUCTION

38 Color vision is key to guiding behavior in animals (reviewed in (Gerl and Morris, 2008)),
39 including navigating in ecological niches (e.g. (Pfeiffer and Homberg, 2007)), communicating
40 with conspecifics (e.g. (Huang et al., 2014)), foraging as well as detecting predators and prey
41 (e.g. (Dominy and Lucas, 2001; Potier et al., 2018)). In the retina, signals from different
42 photoreceptor types sensitive to different wavelengths are locally compared by downstream
43 retinal circuits to extract chromatic information present in the visual input (reviewed in
44 (Thoreson and Dacey, 2019)). These circuits have been studied in detail in trichromatic
45 primates (reviewed in (Dacey, 2000; Neitz and Neitz, 2011; Thoreson and Dacey, 2019)).
46 Here, signals from short (S; “blue”), medium (M; “green”) and long (L; “red”)
47 wavelength-sensitive cone photoreceptors are processed via two main opponent pathways:
48 red-green (L vs. M) and blue-yellow opponency (S vs. L+M). While the former is mainly
49 based on random and cone type-unselective wiring of the high-acuity midget system (Martin
50 et al., 2001; Buzás et al., 2006; Field et al., 2010; Crook et al., 2011; Wool et al., 2019),
51 blue-yellow opponency relies on precise connectivity in cone type-selective retinal circuits
52 (Dacey and Lee, 1994; Calkins et al., 1998; Crook et al., 2009a).

53 Compared to primates, the retinal circuits underlying dichromatic vision in other mammals are
54 far from being understood (reviewed in (Puller and Haverkamp, 2011; Marshak and Mills,
55 2014)). This is also true for the mouse – despite its prominent role as one of today’s most
56 frequently used model in visual neuroscience. Mice express S- and M-opsin (Szél et al.,
57 1992) most sensitive to UV and green light, respectively (Fig. 1a) (Jacobs et al., 1991; Baden
58 et al., 2013). In addition, M-cones co-express S-opsin, with co-expression increasing towards
59 the ventral retina (Fig. 1b) (Röhlich et al., 1994; Applebury et al., 2000). In contrast, S-cones
60 exclusively expressing S-opsin (“true” S-cones) make up ~5% of all cones and are
61 homogeneously distributed across the retina (Haverkamp et al., 2005). This asymmetric
62 opsin distribution results in a mainly green-sensitive dorsal and a UV-sensitive ventral retina
63 (Calderone and Jacobs, 1995; Baden et al., 2013). Nonetheless, behavioral studies have
64 demonstrated that mice can discriminate between light spots of different colors (Jacobs et al.,
65 2004), at least in the upper visual field (Denman et al., 2018). However, the retinal circuits
66 underlying this behavior are largely unknown.

67 Several neuronal circuits for S vs. M color-opponency have been previously proposed in the
68 mouse retina. Some of these circuits involve wiring with S-cone-selective type 9 bipolar cells
69 (BCs) (Stabio et al., 2018). Others do not require cone type-selective connectivity: For
70 example, alpha retinal ganglion cells (RGCs) located along the horizontal midline exhibit
71 color-opponent responses due to chromatically distinct input to their center and surround
72 (Chang et al., 2013). In addition, rod photoreceptors, whose spectral sensitivity closely
73 matches that of M-cones, may also be involved in color-opponency: They provide an
74 antagonistic surround to JAM-B RGCs located in the S-opsin dominated ventral retina by
75 lateral feedback from horizontal cells (HCs) (Joesch and Meister, 2016). Such a rod-cone
76 opponent mechanism may support color discrimination in the ventral retina despite the lack of
77 substantial M-opsin expression. While all these studies point at the existence of
78 color-opponent signals downstream from mouse photoreceptors, a comprehensive survey of
79 chromatic processing and the retinal circuits underlying mouse color vision (Jacobs et al.,
80 2004; Denman et al., 2018) is still missing.

81 Therefore, we systematically investigated the basis for color vision in the mouse retina across
82 three consecutive processing stages. We recorded the output signals of cones, BCs and
83 RGCs to chromatic visual stimulation in the *ex-vivo*, whole-mounted retina using two-photon
84 calcium and glutamate imaging. Surprisingly, we found that across all processing layers,
85 color-opponency was largely confined to the S-opsin dominated ventral retina. Here,
86 color-opponent responses were already present at the level of the cone output, mediated by
87 input from HCs and likely involving rod photoreceptors. We further show how BCs forward the
88 chromatic signals from photoreceptors to the inner retina, where different RGC types
89 integrate information from their center and surround in a type specific way, thereby increasing
90 the diversity of chromatic signals available to the brain.

91

92 **RESULTS**

93 **Recording chromatic cone responses in the whole-mounted mouse retina**

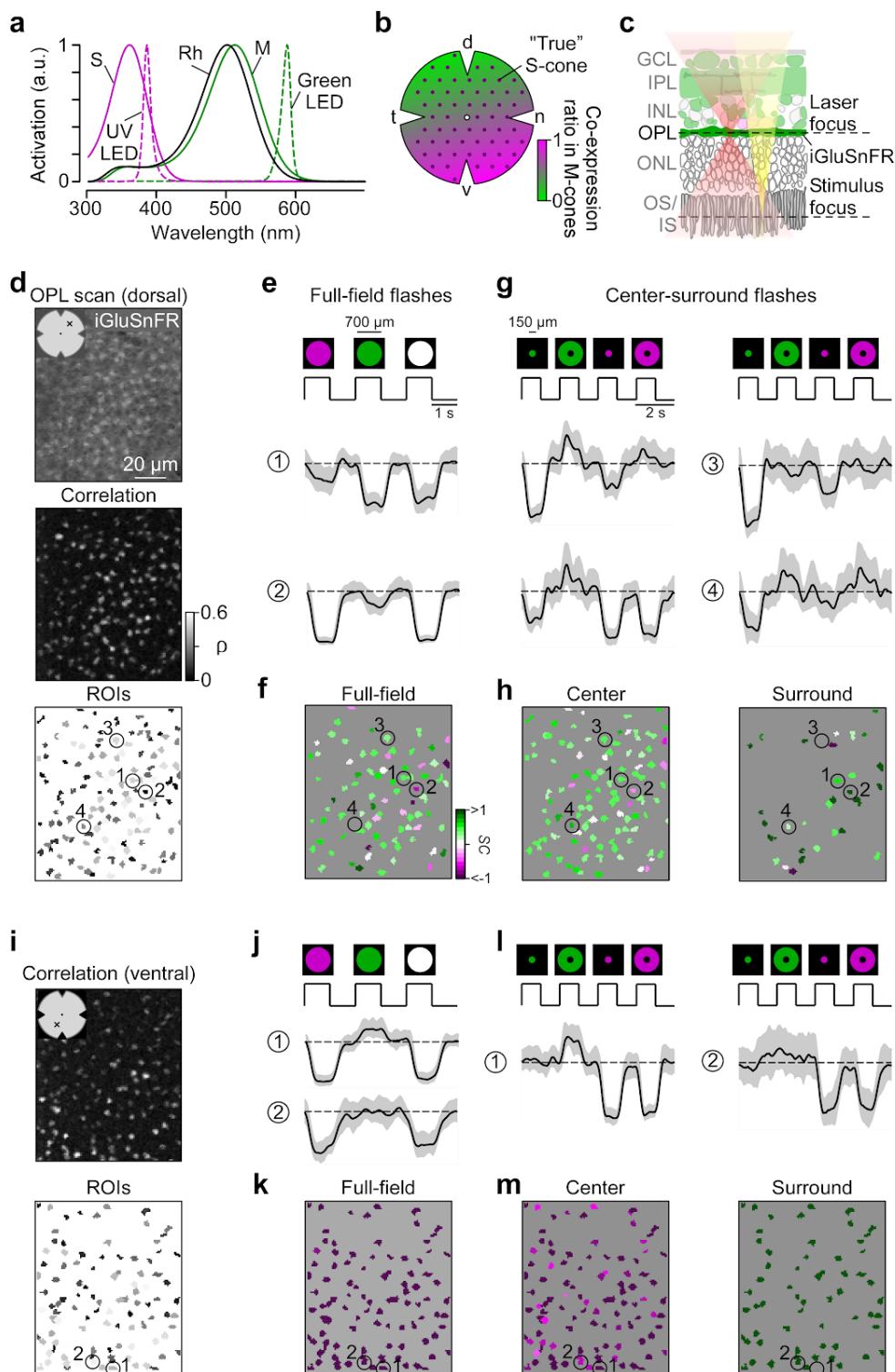
94 To characterize chromatic signaling in cones, we recorded synaptic glutamate release from
95 their axon terminals. To this end, we expressed the glutamate biosensor iGluSnFR (Marvin et
96 al., 2013) ubiquitously in the retina using a viral approach (Fig. 1c) (Franke et al., 2017). In
97 the outer plexiform layer (OPL), where the cone axon terminals are located, this approach
98 resulted in iGluSnFR being predominantly expressed in HC processes (Chapot et al., 2017),
99 which are postsynaptic to the photoreceptors. To identify functional release units, we defined
100 regions of interest (ROIs) using a correlation-based approach (Fig. 1d,i; Methods). These
101 functionally defined ROIs formed a regular mosaic within individual scan fields (Suppl. Fig.
102 S1a-h), reminiscent to the mosaic of EM-reconstructed cone axon terminals (Wässle and
103 Riemann, 1978; Behrens et al., 2016). In addition, the ROIs co-localized with anatomical
104 cone axon terminals visualized using Sulforhodamine-101 (SR-101; Suppl. Fig. S1i) (Chapot
105 et al., 2017). Together, this suggests that our ROIs correspond to individual cone axon
106 terminals and that densely packed rod photoreceptors – the only other source of glutamate
107 release in the outer retina – do not contribute detectably to the glutamate signals recorded in
108 the OPL (Discussion). For simplicity, we will in the following refer to ROIs in OPL scan fields
109 as cones.

110 In total, we recorded light-evoked glutamate responses from 2,945 cones (n=52 scan fields,
111 n=9 mice) located in dorsal and ventral retina using full-field (700 μ m in diameter) as well as
112 center (150 μ m in diameter) and surround (annulus; full-field – center) green and UV light
113 flashes (Fig. 1e,g; Methods). For each cone that passed our quality criterion (Methods), we
114 quantified the chromatic preference of full-field, center and surround responses by estimating
115 the spectral contrast (SC; for UV- and green-sensitivity $SC < 0$ and $SC > 0$, respectively). For
116 sufficient center stimulation of all cones within one scan field, the size of the center stimulus
117 was slightly larger than the size of the scan field and, hence, did not relate to the anatomical
118 size of cones.

119 **Ventral cone photoreceptors display color-opponent responses**

120 We found that the chromatic preference of cone full-field and center responses largely
121 matched the opsin expression across the mouse retina. Generally, as vertebrate cones are
122 Off cells and hyperpolarize upon an increase in light, cone center and full-field responses
123 were characterized by a decrease in glutamate release (Fig. 1e,g). In agreement with the
124 predominance of M-opsin in the dorsal retina, the majority of dorsal cones displayed a strong
125 response to green full-field and center flashes (Figs. 1e-h, 2a,b; $SC_{center} = 0.38 \pm 0.44$,
126 $SC_{full-field} = 0.37 \pm 0.45$). Due to the long sensitivity tail of M-opsin to shorter wavelengths (cf. Fig.
127 1a), most dorsal cones showed a small additional response to UV. In addition, consistent with
128 the homogeneous distribution of S-cones (Haverkamp et al., 2005), a small number of dorsal
129 cones responded strongly to UV light (see e.g. cone (2) in Fig. 1e-h). Ventral cones exhibited
130 UV-dominant responses to full-field and center flashes (Figs. 1j-m, 2a,b; $SC_{center} = -0.7 \pm 0.43$,
131 $SC_{full-field} = -1.12 \pm 0.43$), as expected from the co-expression of S-opsin in ventral M-cones (e.g.
132 (Applebury et al., 2000)).

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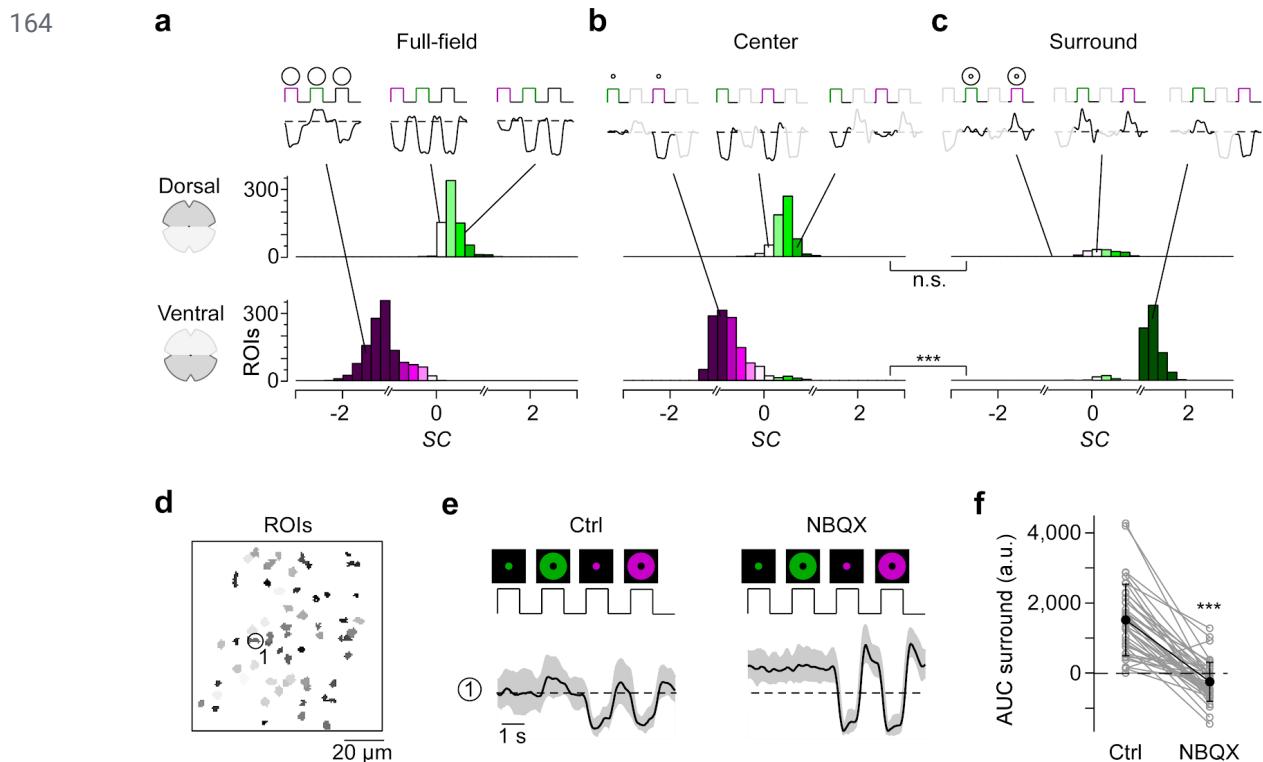


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Figure 1 | Imaging chromatic signals from cone axon terminals in the whole-mounted mouse retina. **a**, Sensitivity spectra of mouse S- (magenta) and M-opsin (green) and rhodopsin (black; Rh), with emission spectra of UV (magenta, dotted) and green LED (green, dotted) used in the visual stimulator. **b**, Schematic illustrating the distribution of cone photoreceptors across the mouse retina. Dots and shading represent distribution of "true" S-cones and co-expression ratio of S- and M-opsin in mouse M-cones, respectively. **d**: dorsal; **n**: nasal; **v**: ventral; **t**: temporal. **c**, Schematic illustrating the experimental setup for cone recordings. OS/IS: outer/inner segment; ONL: outer nuclear layer; OPL: outer plexiform layer; INL: inner nuclear layer; IPL: inner plexiform layer; GCL: ganglion cell layer. Red and yellow shading illustrate laser and stimulus beam, respectively. **d**, Example scan field (93x110 μ m, 3.9 Hz) located in the dorsal retina, showing iGluSnFR expression in the OPL (top), correlation image (middle) and respective ROI mask (bottom). For display, the light artifact on the left side of scan fields was cut, resulting in 108x128 pixels (instead of 128x128). **e**, Cone responses of exemplary ROIs from (d, bottom) to

145 full-field UV, green and white flashes. As vertebrate photoreceptors are Off cells, light responses correspond to a
 146 decrease in glutamate release. Traces show mean glutamate release with s.d. shading. Dotted line indicates
 147 baseline. **f**, Cells from (d, bottom) color-coded according to their SC in response to full-field flashes. **g**, Glutamate
 148 traces of cells from (d, bottom) in response to UV and green center and surround flashes. **h**, Cells from (d, bottom)
 149 color-coded based on center (left) and surround SC (right). **i**, Correlation image (top) and ROI mask (bottom) for
 150 an exemplary scan field located in the ventral retina. **j-m**, Like (e-h), but for cells shown in (i, bottom).

151 In contrast to full-field and center responses, the chromatic preference of cone surround
 152 responses did not strictly follow the opsin distribution across the retina. We focused on
 153 antagonistic responses where center and surround stimuli result in decrease and increase in
 154 glutamate release, respectively. We found that many dorsal cones showed a stronger
 155 increase in glutamate to green than to UV surround stimulation (Figs. 1g,h, 2c;
 156 $SC_{surround}=0.39\pm1.02$; $n=216/671$), matching the spectral preference of center and full-field
 157 responses. Most ventral cones showed an increase in glutamate solely to green surround
 158 stimuli (Figs. 1l,m, 2c; $SC_{surround}=1.2\pm0.42$; $n=841/1,337$), contrasting their UV preference for
 159 center and full-field responses. This resulted in color-opponent center-surround RFs and
 160 color-opponent full-field responses (Figs. 1j,k, 2a; $SC_{full-field}<-1$; $n=937/1,329$). Surprisingly,
 161 UV-sensitive cones in ventral and dorsal scan fields consistently showed the same response
 162 polarity for both UV center and surround stimulation (see e.g. cones (1) and (2) in Fig. 1l),
 163 which might be due to increased scattering of UV light (Discussion).



165 **Figure 2 | Differential chromatic processing in ventral and dorsal cones.** **a-c**, Distribution of spectral contrast
 166 (SC) of dorsal (top) and ventral (bottom) cones in response to full-field (a), center (b) and surround (c) flashes.
 167 Mean glutamate traces of single cones above histograms illustrate the diversity of cone responses to chromatic
 168 stimuli. Interestingly, only a fraction of cones showed antagonistic surround responses characterized by an
 169 increase in glutamate release (Discussion). Breaks in the x axis indicate where different equations for estimating
 170 SC were used (Methods). n.s.: not significant ($p>0.05$); ***: $p<0.001$; linear mixed-effects model for partially paired
 171 data (for details, see Methods and Suppl. Information). **d**, ROI mask of scan field located in ventral retina. **e**,
 172 Glutamate traces of exemplary cone from (d) in response to UV and green center and surround flashes under
 173 control and drug condition (50 μ M NBQX). Traces show mean glutamate release with s.d. shading. **f**, Effect of

174 bath-applied NBQX on area under the curve (AUC) of green-sensitive surround responses of ventral cones (n=40
175 ROIs, n=3 scan fields, n=2 mice). ***: p<0.001; Wilcoxon signed-rank test.

176 Next, we investigated the origin of the green surround responses in the ventral retina. As
177 S-opsin expression strongly increases towards the ventral retina, the main source of green
178 sensitivity should be rod photoreceptors (*cf.* Fig. 1a). Recently, it has been proposed that rod
179 signals are relayed to cones via HCs (Joesch and Meister, 2016). To test this hypothesis, we
180 recorded cone responses to chromatic center-surround stimuli while blocking light-evoked
181 HC feedback using NBQX, an antagonist of AMPA/kainate-type glutamate receptors (Fig.
182 2d,e; see e.g. (Chapot et al., 2017)). This caused a significant decrease in green-sensitive
183 surround responses in ventral cones (Fig. 2f), confirming that HCs contribute to generating
184 color-opponent responses in cones.

185 In summary, we found that the chromatic preference of a cone's center and full-field response
186 mirrored the overall opsin distribution at the recording site, with largely UV- and
187 green-sensitive responses in the ventral and dorsal retina, respectively. However, while in
188 dorsal cones the chromatic preference of center and surround was very similar, ventral cones
189 systematically exhibited a strong green-shift in the chromatic preference of their antagonistic
190 surround, likely involving HC input driven by rods. This results in color-opponent responses in
191 most ventral cones, demonstrating that color-opponency is already present at the first
192 synapse of the mouse visual system.

193 **Bipolar cells relay color-opponent responses of cones to the inner retina**

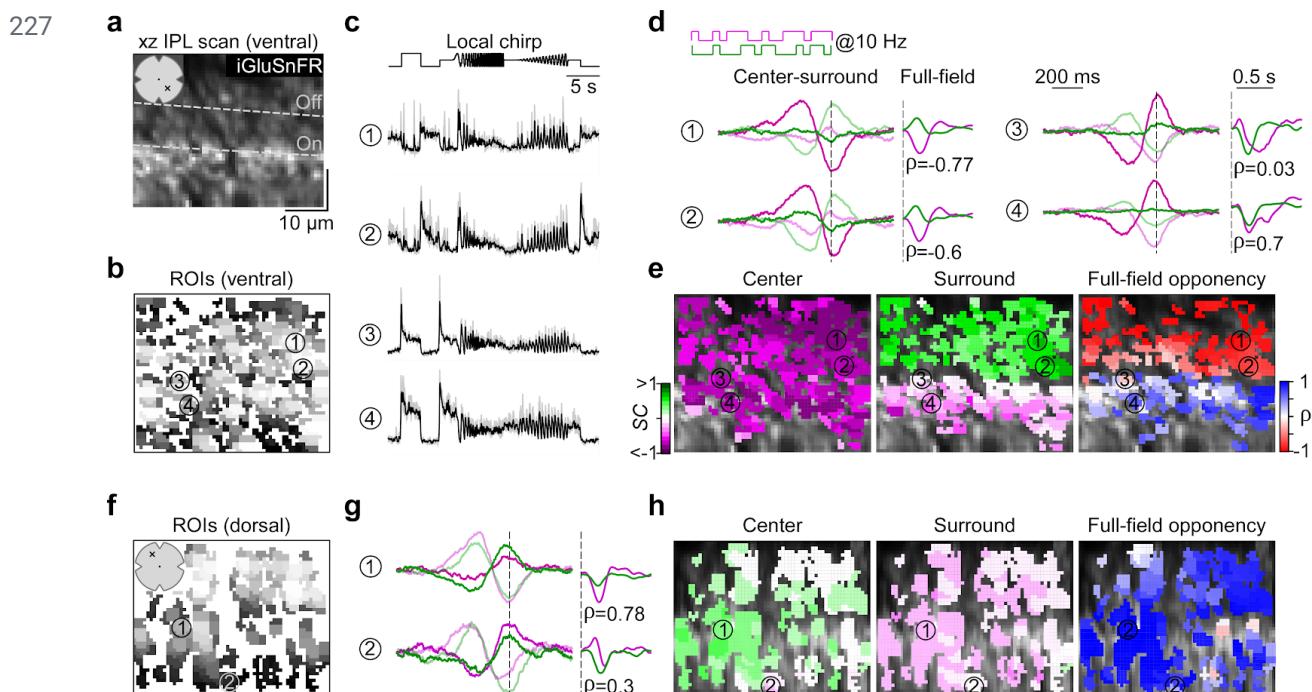
194 Next, we investigated how the chromatic information present in the cone output is relayed to
195 the inner retina by the BC population. In the mouse retina, the signals from photoreceptors
196 are distributed among 14 BC types (Behrens et al., 2016; Shekhar et al., 2016; Franke et al.,
197 2017), with their axonal arbors stratifying at different levels of the inner plexiform layer (IPL)
198 (Helmstaedter et al., 2013; Kim et al., 2014; Greene et al., 2016).

199 To record responses from BCs, we again used ubiquitous expression of iGluSnFR. In
200 contrast to previous work (Franke et al., 2017), where the scan fields were parallel to the
201 retinal layers, we here employed axial scanning using an electrically tunable lens to rapidly
202 shift the focal plane of the excitation laser (Zhao et al., 2019). This allowed us to
203 simultaneously record the glutamatergic signals across the entire IPL (Fig. 3a). Like before,
204 we defined ROIs based on local image correlation (Fig. 3b; Methods) (Zhao et al., 2019). To
205 register the IPL depth of each ROI, we used the two characteristic dendritic plexi of
206 cholinergic starburst amacrine cells as landmarks (*cf.* Fig. 1b in (Franke et al., 2017)); these
207 “ChAT bands” were visible through their TdTomato-expression in our transgenic animals.

208 In total, we recorded light-evoked BC glutamate release from 3,604 ROIs (n=21 scan fields,
209 n=5 mice) across the entire IPL (Suppl. Fig. S2a). As expected from the type-specific axonal
210 stratification profiles of BCs (Helmstaedter et al., 2013; Kim et al., 2014; Greene et al., 2016),
211 ROIs located at different IPL depths showed distinct responses to the local chirp stimulus
212 (Fig. 3c; 100 μ m in diameter). To investigate chromatic signaling in BCs, we used a 10 Hz
213 center-surround UV and green flicker stimulus (Fig. 3d; Methods). From the glutamate
214 responses of each ROI, we estimated the preferred stimulus (“event-triggered stimulus
215 kernels”) for the four conditions – center and surround for both UV and green – to obtain the
216 BC ROI's chromatic RF preferences (as SC, see above). In addition, we computed the mean
217 glutamate event of each ROI to a full-field UV and green light spot (“stimulus-triggered event

218 kernels") to test for full-field color-opponency (quantified by the linear correlation coefficient
219 (ρ) between UV and green event kernels; Methods).

220 In line with the chromatic preference of cone center responses (*cf.* Fig. 2), we found that BCs
221 located in the ventral and dorsal retina showed a UV- and green-dominant center,
222 respectively (Figs. 3d-h, 4a,b; ventral: $SC_{center}=-0.44\pm 0.24$, dorsal: $SC_{center}=0.1\pm 0.22$). Overall,
223 we did not observe large differences in SC of BC center responses across the IPL (Suppl.
224 Fig. S2b). This is consistent with recent connectomic data demonstrating that, except for type
225 9 and type 1 BC (Discussion), mouse BCs indiscriminately contact all cone types within their
226 dendritic tree (Behrens et al., 2016).

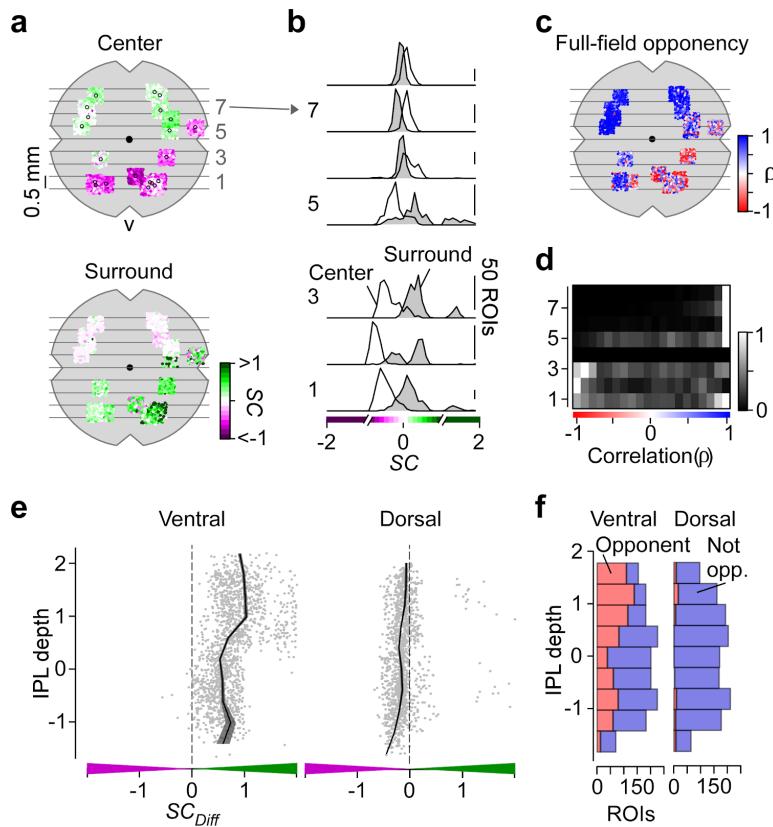


228 **Figure 3 | Recording chromatic bipolar cell responses across the inner plexiform layer.** **a**, Vertical (xz) scan
229 field (48x50 μ m, 11.17 Hz) located in the ventral retina showing iGluSnFR expression across the inner plexiform
230 layer (IPL). Dotted lines indicate On and Off ChAT band, respectively. **b**, ROI mask for scan field shown in (a). For
231 details on ROI detection, see Methods. **c**, Mean glutamate traces (black, s.d. shading in grey; n=5 trials) of ROIs
232 indicated in (b) in response to a local chirp stimulus. **d**, Temporal center (bright) and surround (dim) kernels
233 (event-triggered average) estimated from a 10 Hz center-surround flicker stimulus of UV and green LED (left) and
234 mean glutamate events (stimulus-triggered event) in response to a full-field UV and green stimulus (right) for ROIs
235 indicated in (b). Linear correlation coefficient (ρ) of mean glutamate events indicated below traces. Dotted lines
236 indicate time point of response/stimulus. **e**, ROI mask from (b) color-coded according to center (left) and surround
237 spectral contrast (SC) (middle) as well as correlation (right). **f**, ROI mask of a scan field located in the dorsal
238 retina. **g,h**, Like (d,e), but for dorsal scan field shown in (f).

239 The chromatic preference of BC surround responses differed from that of their respective
240 center responses, particularly in the ventral retina. Surround responses in ventral BCs were
241 systematically shifted towards green ($SC_{surround}=0.21\pm 0.27$), resulting in color-opponent
242 full-field responses ($\rho<-0.3$) for approx. half of all ventral BC ROIs (Figs. 3d,e, 4a-d;
243 n=762/1,714). Notably, the difference in SC of center and surround (SC_{Diff}) as well as the
244 fraction of color-opponent responses was significantly larger for ROIs located in the IPL's Off
245 sublamina compared to those in the On sublamina (Figs. 4e,f, S2c; Discussion). Dorsal BCs
246 showed a shift towards slightly higher UV-sensitivity in their surround responses (Figs. 3g,h,
247 4a,b; $SC_{surround}=0.03\pm 0.19$), which was stronger for On compared to Off BCs (Fig. 4e) but
248 much smaller than for ventral BCs; therefore only very few (n=64/1,474) dorsal BCs showed

249 color-opponent full-field responses (Fig. 4f). In addition, we obtained comparable results
 250 when modulating green and UV sinusoidally (Suppl. Fig. S3) – a visual stimulus often used in
 251 retinal studies on chromatic processing (e.g. (Dacey and Lee, 1994; Chang et al., 2013)).
 252 In summary, our data show that BCs provide chromatically tuned excitatory drive to
 253 downstream amacrine cell (AC) and RGC circuits. Furthermore, the difference between On
 254 and Off BCs indicates that they might not simply relay the chromatic information from cones
 255 to the inner retina.

256



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Figure 4 | Chromatic signals of bipolar cells match cone responses across the retina. **a**, Distribution of recorded inner plexiform layer (IPL) scan fields (black circles), with ROIs color-coded according to their center (top) and surround spectral contrast (SC; bottom). ROIs are scattered around scan field center by $\pm 300 \mu\text{m}$ in x and y. Grey lines and numbers on the left indicate bins used for analysis in (b) and (d). Bin size: 0.5 mm. **b**, Distribution of center (no fill) and surround (grey fill) SC values from ventral to dorsal retina. Numbers indicate bins shown in (a). For all bins, center SC was significantly different from surround SC (Linear mixed-effects model for partially paired data; see Methods and Suppl. Information). **c**, Same as (a), but color-coded according to correlation coefficient (p) of full-field events. **d**, Peak-normalized histograms showing distribution of correlation coefficients from ventral to dorsal retina. Numbers indicate bins shown in (a). Bin size: 0.5 mm. **e**, Difference of center and surround SC (SC_{Diff}) across the IPL for ROIs located in the ventral (left) and dorsal (right) retina. SC_{Diff} significantly varied with IPL depth for both ventral and dorsal retina (Generalized additive model; see Methods and Suppl. Information). **f**, Distribution of full-field opponent (red; $p < -0.3$) and non-opponent (blue) ROIs across the IPL for ventral and dorsal scan fields. Number of opponent ROIs significantly varied with IPL depth for ventral and dorsal retina (Generalized additive model; see Methods and Suppl. Information).

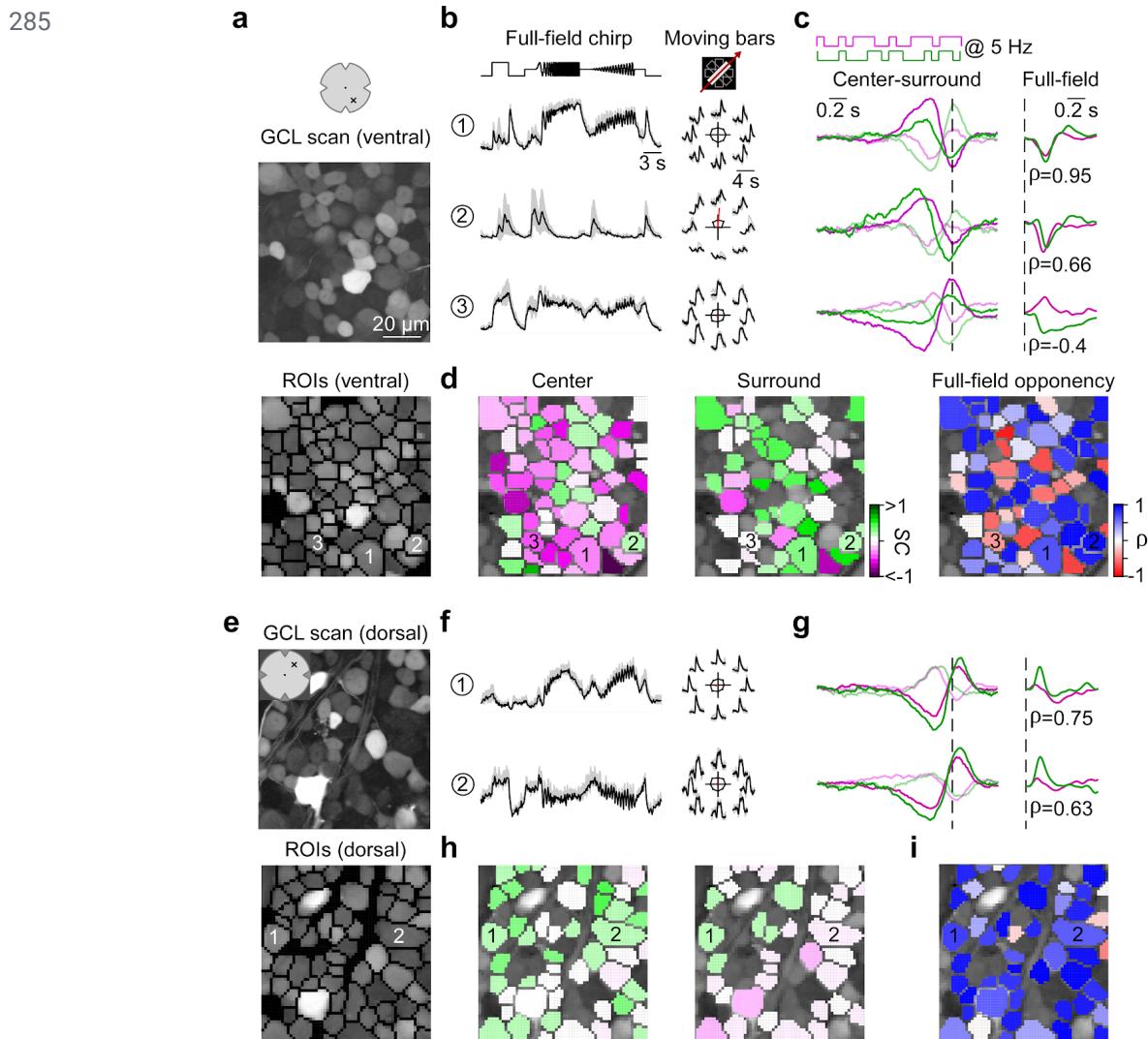
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Color-opponent responses are preserved at the level of the retinal output

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Finally, we investigated how the chromatic information is represented in the population of RGCs. We used the synthetic calcium indicator Oregon Green BAPTA-1 (OGB-1) and bulk-electroporation to uniformly label the ganglion cell layer (GCL; Fig. 5a) (Briggman and Euler, 2011; Baden et al., 2016). This allowed us to densely record somatic signals from

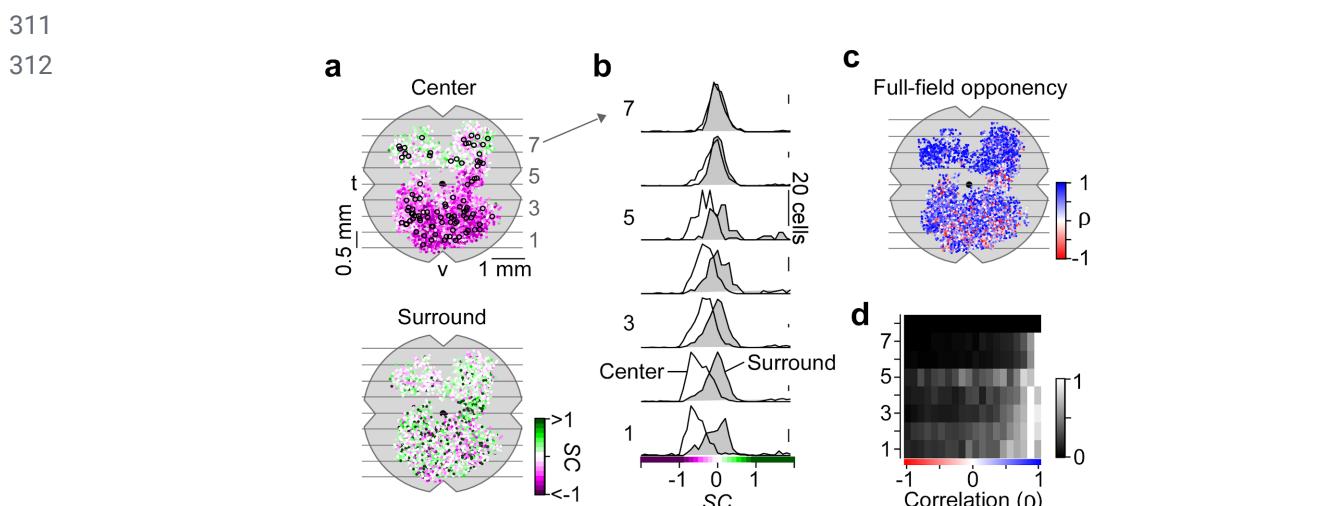
276 RGCs and displaced ACs (dAC), which make up the mouse GCL at a ratio of roughly 1:1
 277 (Schlamp et al., 2013). We recorded GCL scan fields at different positions along the retina's
 278 dorso-ventral axis (cf. Fig. 6a). To assign the recorded cells (n=8,429 cells, n=100 scan
 279 fields, n=20 mice) to functional RGC and dAC groups (presumably corresponding to single
 280 types) previously described (Baden et al., 2016), we presented two achromatic stimuli
 281 (full-field chirp and moving bar; Fig. 5b). Like for the BC recordings, we characterized the
 282 cells' chromatic preference and full-field opponency by estimating center-surround stimulus
 283 and full-field event kernels, respectively, from calcium responses to a 5 Hz center-surround
 284 UV and green flicker stimulus (center: 250 μ m in diameter) (Fig. 5c).



286 **Fig. 5 | Chromatic responses in the ganglion cell layer of the mouse retina.** **a**, Ganglion cell layer (GCL) scan
 287 field (top; 95x95 μ m, 7.8125 Hz) located in the ventral retina electroporated with the synthetic calcium indicator
 288 Oregon Green BAPTA-1 (OGB-1) and corresponding ROI mask (bottom). **b**, Mean calcium traces (black, s.d.
 289 shading in grey; n=5 trials) of ROIs indicated in (a, bottom) in response to full-field chirp (left) and moving bars
 290 (right). **c**, Temporal center (bright) and surround (dim) kernels estimated from a 5 Hz center-surround flicker
 291 stimulus of UV and green LED (left) and mean calcium events in response to a full-field UV and green stimulus
 292 (right) for ROIs indicated in (a, bottom). Linear correlation coefficient of full-field events indicated below traces.
 293 Dotted lines indicate time point of response/stimulus. **d**, ROIs from (a, bottom) color-coded according to center
 294 (left) and surround spectral contrast (SC; middle) as well as correlation (right). **e**, Scan field and corresponding
 295 ROI mask located in the dorsal retina. **f-i**, Like (b-d), but for scan field shown in (e).

296 We found that the chromatic preference of GCL cell center responses largely matched the
297 opsin expression, with a gradient of UV- to green-dominated responses from ventral to dorsal
298 retina (Figs. 5d,h, 6a,b; ventral: $SC_{center} = -0.35 \pm 0.27$, dorsal: $SC_{center} = 0.06 \pm 0.25$). Notably, the
299 chromatic tuning of center responses was more diverse in the GCL compared to the IPL
300 (Suppl. Fig. S4). For example, we frequently observed ventral GCL cells responding stronger
301 to green than to UV center stimulation (Fig. 5c,d), which was not the case for ventral OPL
302 and IPL recordings.

303 Surround responses of ventral GCL cells were systematically shifted towards green (Figs.
304 5c,d, 6a,b; $SC_{surround} = 0.21 \pm 0.82$), resulting in a large difference in center vs. surround
305 chromatic preference and, thus, in color-opponent full-field responses ($n=459/3,418$). For
306 dorsal scan fields, the difference between center and surround chromatic preference and,
307 likewise, the fraction of color-opponent responses was smaller ($SC_{surround} = 0.17 \pm 0.62$;
308 $n=80/1,371$). Interestingly, in our dataset we only rarely observed GCL cells with
309 center-opponent responses (Suppl. Fig. S5; Discussion), which have been found in primates
310 (e.g. (Crook et al., 2009b)) and some dichromatic mammals (e.g. (Sher and DeVries, 2012)).



313 **Fig. 6 | Color opponency at the level of the mouse retinal output.** a, Distribution of recorded ganglion cell
314 layer (GCL) scan fields (black circles), with ROIs color-coded according to their center (top) and surround
315 spectral contrast (SC; bottom). ROIs are scattered around scan field center by $\pm 300 \mu\text{m}$ in x and y. Grey lines and
316 numbers on the left indicate bins used for analysis in (b) and (d). Bin size: 0.5 mm. b, Distribution of center (no fill)
317 and surround (grey fill) SC values from ventral to dorsal retina. Numbers indicate bins shown in (a). For all bins,
318 center SC was significantly different from surround SC (Linear mixed-effects model for partially paired data; see
319 Methods and Suppl. Information). c, Same as (a), but color-coded according to correlation of full-field events (ρ).
320 d, Peak-normalized histograms showing distribution of correlation coefficient from ventral to dorsal retina.
321 Numbers indicate bins shown in (a). Bin size: 0.5 mm.

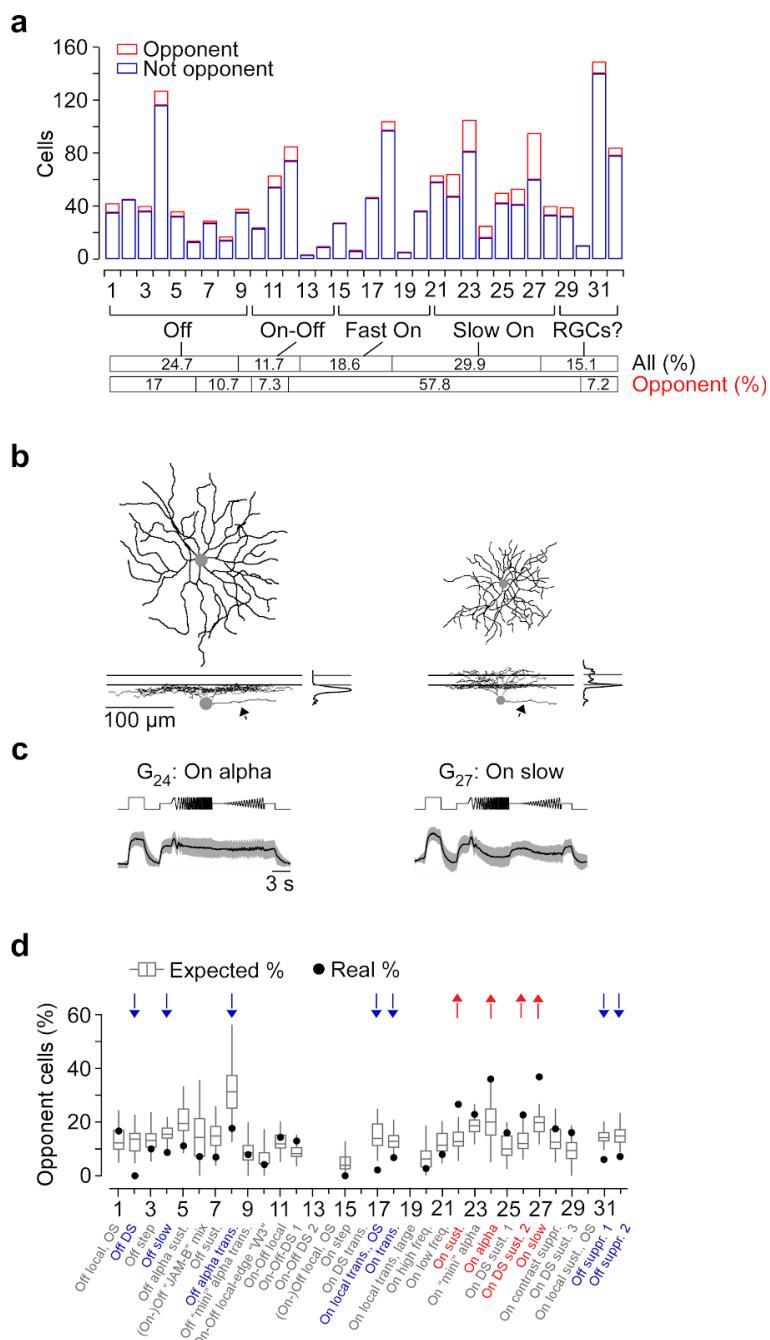
322 In summary, while color opponency was largely preserved at the level of the retina's output
323 layer, our findings suggest that the complexity of chromatic signals increases from the IPL to
324 the GCL. Next, we investigated whether the color-opponent GCL cells correspond to RGCs
325 and/or dACs and if chromatic information is processed in a type-specific manner.

326 Cell-type specific chromatic processing in mouse retinal ganglion cells

327 We next allocated the recorded cells to the previously described functional RGC and dAC
328 groups (Baden et al., 2016) based on their responses to the achromatic stimuli (Methods).
329 Because color opponency was pronounced in the ventral retina, we focused the analysis on
330 ventral scan fields. We found that color-opponent GCL cells were assigned to both RGC and

331 dAC groups (Fig. 7a, Suppl. Fig. S6), suggesting that color-opponency is a feature of both
 332 cell classes. To verify this, we dye-injected and morphologically reconstructed color-opponent
 333 GCL cells ($n=19$) subsequent to functional imaging (Fig. 7b, Suppl. Fig. S7). Consistent with
 334 the abundance of color-opponent responses in the GCL (cf. Fig. 5c), we found a large variety
 335 of dendritic morphologies in our sample, with approx. half ($n=8$) of the reconstructed cells
 336 corresponding to dACs, as identified by the absence of an axon. Due to similar response
 337 profiles, 5/19 morphologically identified RGCs and dACs were assigned to the wrong class
 338 (Suppl. Fig. S7). Because we were interested in chromatic retinal output, in the following we
 339 focused on RGCs (for dACs, see Suppl. Fig. S6).

340



341 **Fig. 7 | The color-opponent output channels of the mouse retina.** **a**, Distribution of ventral color-opponent
 342 (red) and non-opponent (blue) RGCs located in the ventral retina. For analysis in (d), only groups with $n>10$ cells
 343 were used. **b**, Dendritic morphologies with stratification profiles of two color-opponent cells assigned to RGC
 344 groups G_{24} and G_{27} , dye-filled and reconstructed subsequent to imaging experiments. Lines for side-view of

345 morphology and stratification profile indicate On and Off ChAT bands. Arrows point at axon present only for RGCs
346 and not for dACs. **c**, Mean full-field chirp responses (black, s.d. shading in grey; n=5 trials) of RGC groups shown
347 in (b). **d**, Box plots show distribution of expected percentages of color-opponent cells given center SC and SC_{Diff}
348 values in each group (for details, see Methods). Black circles indicate true percentage of color-opponent cells.
349 Arrows pointing down- and up indicate groups with significantly more and less color-opponent cells than expected,
350 respectively.

351 Ventral color-opponent RGCs were assigned to diverse functional groups, including Off,
352 On-Off and On groups (Fig. 7a). Most RGC groups (20/32) contained at least a few (n≥3)
353 color-opponent cells, indicating that color opponency may partially be inherited from BCs (cf.
354 Fig. 4). Surprisingly, the fraction of color-opponent cells greatly varied across groups: Most
355 color-opponent RGCs were assigned to groups displaying slow On responses. For example,
356 many sustained On alpha cells (G_{24}) showed color-opponent responses (Fig. 7a-c),
357 consistent with an earlier study (Chang et al., 2013). In addition, color opponency was a
358 prominent feature in G_{27} , which exhibited a bistratified morphology (Fig. 7b, Suppl. Fig. S7),
359 reminiscent of RGC type 73 in (Bae et al., 2018). In contrast to BCs, where Off cells were
360 more likely to be color-opponent than On cells, most Off as well as transient On RGC groups
361 contained only few color-opponent cells. This difference between BCs and RGCs suggests
362 that RGC color opponency is not only inherited from BCs (Discussion).

363 The most parsimonious explanation for such RGC type-dependent differences in fraction of
364 color-opponent cells is that groups differ in their center and surround spectral preference,
365 with a larger difference between these two preferences resulting in more color-opponent
366 cells. Additionally, non-linear integration of center and surround chromatic information could
367 lead to pronounced color opponency in specific RGC groups. To distinguish between these
368 two possibilities, we tested how well the percentage of color-opponent cells within a group
369 was explained by its chromatic preference using a permutation test: For each group with >10
370 assigned cells (27/32), we generated a distribution of expected percentages of
371 color-opponent cells – given the cells' center and surround preference, but shuffling their
372 group labels – and compared it to the observed percentage of color-opponent cells (Fig. 7d;
373 Methods). We found that in approx. 60% (16/27) of all RGC groups investigated, the number
374 of color-opponent cells was explained by the difference in chromatic preference between
375 center and surround. However, the remaining groups showed either a significantly lower or
376 higher percentage of color-opponent cells than expected, indicative of non-linear integration
377 of center and surround chromatic responses in these groups. The seven groups with fewer
378 color-opponent cells ($G_2, G_4, G_8, G_{17}, G_{18}, G_{31}, G_{32}$) comprised a heterogeneous set of RGC
379 groups, including Off, transient On and contrast suppressed ones (Fig. 7d). In contrast, the
380 four groups with higher percentages of color-opponent cells than expected all showed slow
381 On responses ($G_{22}, G_{24}, G_{26}, G_{27}$). Interestingly, also the three dAC groups with significantly
382 more color-opponent cells than expected showed slow On responses (Suppl. Fig. S6), which
383 might hint at a common circuit mechanism.

384 In summary, our data showed that color opponency is a widespread feature among ventral
385 RGC groups that is partially inherited by presynaptic BC circuits. However, we found
386 evidence for non-linear integration of chromatic information in a subset of RGC groups,
387 increasing the diversity of chromatic responses at the level of the retinal output.

388

389 **DISCUSSION**

390 Here, we systematically surveyed chromatic signaling across three consecutive processing
391 stages in the mouse retina by population imaging of the chromatic output signals of cones,
392 BCs and RGCs. We showed how color-opponency present in the ventral retina is already
393 created at the cone synapse by lateral inhibition from HCs that is at least partially driven by
394 rod photoreceptors. In addition, we demonstrated that the population of BCs then relays the
395 chromatic information to RGCs in the inner retina, where type-specific, non-linear
396 center-surround interactions result in specific color-opponent output channels to the brain.
397 Our finding that color-opponency is mostly limited to the S-opsin dominant ventral retina is
398 consistent with behavioral experiments suggesting that color vision in mice may be largely
399 restricted to their upper visual field (Denman et al., 2018).

400 **Chromatic processing at the first synapse of the mouse visual system**

401 Many non-mammalian vertebrate species show selective wiring between distinct types of
402 cones and HCs, which generates color-opponent responses already in the outer retina
403 (reviewed in (Baden and Osorio, 2019; Thoreson and Dacey, 2019)). Also in the primate
404 retina, color-opponency emerges already at the photoreceptor synapse. Here, two types of
405 HC that preferentially contact S- or L/M-cones (Dacey et al., 1996; Chan and Grünert, 1998)
406 provide a chromatically opponent antagonistic surround to cones (Packer et al., 2010; Crook
407 et al., 2011). In contrast, mice and some other mammalian species only possess one HC type
408 (Peichl and González-Soriano, 1994; Peichl et al., 1998). As it indiscriminately contacts S-
409 and M-cones, its role in chromatic processing has been much less clear. By recording the
410 glutamatergic output of cones in the intact, whole-mounted retina, we were able to
411 demonstrate that also in mouse color-opponency is already present at the level of the cone
412 output. Specifically, UV-sensitive cones located in the ventral mouse retina exhibited
413 green-sensitive surround responses, mediated by rod-signals that are relayed to cones via
414 HCs. This is consistent with a recent study showing that color-opponent responses of ventral
415 JAM-B RGCs originate from a rod-cone opponent mechanism involving HCs (Joesch and
416 Meister, 2016). The prerequisites for this rod-mediated mechanism have been experimentally
417 established: First, mouse rods can drive visual responses at the low photopic light levels
418 used in our experiments (Tikidji-Hamburyan et al., 2017) and, second, rod signals travel in
419 HCs from the axon terminals to the soma via the HC axon ((Szikra et al., 2014); but see
420 (Trümpler et al., 2008)).

421 In the following, we discuss three observations made while recording light-evoked responses
422 from cones in the retinal whole-mount preparation.

423 First, our data suggest that the recorded glutamate signals in the OPL depict cone, but not
424 rod signals. First, our functionally defined ROIs formed regular mosaics resembling that of
425 the anatomical cone array (Behrens et al., 2016). Second, the ROIs co-localized with
426 anatomically identified cone terminals. Third, we did not observe any green-sensitive
427 hyperpolarizing responses upon center stimulation in the ventral retina, as would be expected
428 if glutamatergic output of rod axon terminals contributed to the recorded signals. Why we did
429 not pick up rod signals may be because of differences in the number of vesicles released
430 (Rabl et al., 2005) and number of ribbon synapses (e.g. (Carter-Dawson and LaVail, 1979))
431 between rods and cones. As a result, the amount of glutamate released by individual rod
432 axon terminals may be below the detection threshold of iGluSnFR.

433 Second, a fraction of cones in our dataset did not exhibit antagonistic surround responses;
434 this was more often the case for dorsal than ventral cones. It is unlikely that cone-to-cone
435 differences in HC input contribute to the strong variations in surround strengths, as HCs form
436 highly stereotypic connections with each cone's axon terminal (e.g. (Chun et al., 1996;
437 Haverkamp et al., 2000)). Previous studies have demonstrated that the strength of HC
438 feedback depends on functional cone properties, such as membrane potential (Verweij et al.,
439 1996) and adaptational state (e.g. (Burkhardt, 1995)), which might vary between cells. We
440 controlled for experimental parameters such as temperature and scan field size, while other
441 parameters like biosensor expression and therefore laser power applied could have
442 somewhat varied across recording fields and/or retinal locations. It is conceivable that these
443 factors affected surround strengths in cone RFs.

444 Third, most UV-sensitive cones in both ventral and dorsal retina exhibited decreases in
445 glutamate release to both center and surround UV stimulation. This was not the case for
446 green-sensitive cones, which showed an increase in glutamate release when presenting a
447 UV surround annulus. The effect observed in UV-cones could be explained by lateral signal
448 spread due to specific cone-cone coupling (DeVries et al., 2002; Feigenspan et al., 2004)
449 between UV-cones. Such differential coupling between different cone types has been
450 identified in the primate retina (Hornstein et al., 2004); however, evidence for a similar
451 mechanism in the mouse retina is missing. Alternatively, the sign-conserving surround
452 response of UV-cones might be related to the higher sensitivity of S- compared to M-opsin
453 expressing cones (Baden et al., 2013). Specifically, the intensity of light arising from the
454 surround stimulus scattered within the retina may suffice to drive S-opsin but not M-opsin
455 expressing cones.

456 **Mechanisms generating color opponency in the mouse retina**

457 Depending on the mechanism used, retinal circuits extracting chromatic information can be
458 roughly classified into two categories. "Cone type-selective pathways" rely on selective wiring
459 of spectrally different cone types to their postsynaptic partners. In contrast, in "cone
460 type-unselective" circuits, color opponency generally arises as a "side-effect" of other
461 mechanisms, such as center-surround RFs. In the following, we will summarize the evidence
462 for each mechanism in the mouse retina and relate them to our results.

463 *Cone type-selective mechanisms*

464 Usually, cone type-selective retinal circuits depend on BC types that preferentially sample
465 from specific spectral cone types. The best described example for such a cone type-selective
466 pathway is likely the circuit generating blue-yellow opponency in the primate retina. Here, the
467 so-called small bistratified RGC receives blue-On and yellow-Off input from BCs that
468 exclusively contact and largely avoid S-cones, respectively (Dacey and Lee, 1994; Crook et
469 al., 2009b). Cone type-selective BCs have also been identified in most dichromatic mammals
470 (reviewed in (Puller and Haverkamp, 2011)). For example, mice possess an On BC type
471 exclusively contacting S-cones (type 9) and an Off BC type that prefers M-cones (type 1)
472 (Haverkamp et al., 2005; Breuninger et al., 2011; Behrens et al., 2016). Therefore, in dorsal
473 scan fields we expected to find UV-dominant center responses in the innermost IPL layer,
474 where S-cone selective type 9 BCs stratify (Haverkamp et al., 2005; Behrens et al., 2016).
475 However, we found such responses only rarely. The low frequency of presumed type 9 BC
476 responses resonates well with their very sparse axonal arbors. Based on EM data we
477 estimated to find ~1 ribbon synapse per IPL scan field (cf. Fig. 4f in (Zhao et al., 2019)). In

478 addition, we did not observe a bias for purely green center responses in the Off sublamina of
479 dorsal scan fields, as would be expected for M-cone preferring type 1 BCs (Breuninger et al.,
480 2011; Behrens et al., 2016). This may be explained by a relatively small difference in
481 chromatic preference of type 1 compared to other BC types (cf. Fig. 6 in (Breuninger et al.,
482 2011)).

483 In primates, cone type-selective BCs provide separate chromatic input channels to RGCs,
484 generating a center-opponent RF structure (Dacey and Lee, 1994; Crook et al., 2009b). In
485 dichromatic mammals, a similar mechanism results in center-opponent RGCs in ground
486 squirrel (Sher and DeVries, 2012) and likely guinea pig (Yin et al., 2009) and rabbit (Mills et
487 al., 2014). Such a circuit could also exist in mice – at least in the dorsal retina where opsin
488 co-expression is low. However, center-opponent RGC RFs were rare in our dataset and did
489 not comprise a single functional type. For identifying center opponency, the stimulus should
490 ideally be aligned to the RF center of the recorded cell. However, this is not possible in our
491 population approach, where the stimulus is aligned to the center of each recording field
492 (Methods), resulting in a spatial offset of up to 50 μ m between stimulus and RF center of the
493 recorded cell. Therefore, we might have underestimated the number of center-opponent
494 RGCs. Until now, there is evidence for only one mouse RGC type that uses cone
495 type-selective BC input: It features a UV-dominant center and a green surround, with the
496 former generated by a bias for connecting to type 9 BCs (Stabio et al., 2018). Therefore,
497 connectivity matrices (e.g. (Helmstaedter et al., 2013; Kim et al., 2014; Behrens et al., 2016))
498 obtained from large-scale EM reconstructions may result in further candidate cone
499 type-selective pathways.

500 *Cone type-unselective mechanisms*

501 Red-green opponency in the primate retina does not rely on cone type-selective BCs.
502 Instead, it is a consequence of midget RGCs receiving input from one or very few M- and
503 L-cones, resulting in either green- or red-dominant center RFs, that are compared to a yellow
504 (M+L) surround (Martin et al., 2001; Buzás et al., 2006; Field et al., 2010; Crook et al., 2011).
505 Similarly, two color-opponent pathways independent of cone type-selective connectivity have
506 been identified in the mouse retina. First, the asymmetric opsin distribution can result in
507 color-opponent responses of RGCs located along the horizontal midline due to chromatically
508 distinct input to their center and surround (Chang et al., 2013). Second, a rod-cone opponent
509 pathway has been linked to color-opponency in JAM-B RGCs located in the S-opsin
510 dominated ventral retina (Joesch and Meister, 2016). Our results suggest that the latter
511 mechanism is not restricted to a single RGC type, but that most color-opponent responses in
512 the mouse retina are inherited from the outer retina, making color-opponency a widespread
513 feature of ventral neurons.

514 In line with this, we found that the complete population of ventral BCs conveyed chromatic
515 information to downstream circuits. Interestingly, the difference in center and surround
516 chromatic preference and, therefore, the number of color-opponent responses was larger for
517 Off compared to On BCs. The BCs' inhibitory surround could originate from HCs and/or
518 GABAergic wide-field ACs in the outer and inner retina, respectively. We found that in the
519 ventral retina the surround mediated by HCs is largely green-sensitive. In contrast, the
520 surround mediated by ACs is likely UV-sensitive, as wide-field ACs receive their excitatory
521 drive from BC center responses (e.g. (Olveczky et al., 2003; Murphy-Baum and Taylor,
522 2015)), which, in the ventral retina, are UV-dominant. Therefore, the more pronounced
523 color-opponency in Off BCs may be due to a stronger contribution of HCs compared to ACs

524 in generating the Off BCs' inhibitory surround. Surprisingly, this difference between On and
525 Off BCs was not preserved at the level of the retinal output. Here, many Off RGC groups
526 contained fewer color-opponent cells than expected from their center and surround chromatic
527 preference. In contrast, color-opponency was significantly enriched in some slow On RGC
528 and dAC groups. This indicates that center and surround component of RGC RFs might be
529 driven by different BC circuits. For example, a color-opponent slow On RGC may receive
530 center excitation from non-opponent On BCs and surround inhibition from sign-inverting ACs
531 driven by opponent Off BCs. However, as the size of the center stimulus used for IPL and
532 GCL recordings was different, explaining exactly how observed RGC responses arise from
533 recorded BC responses requires further investigation.

534 In summary, our data provide little evidence for cone type-selective circuits in the mouse
535 retina. Instead, most color-opponent responses originate in the outer retina, likely generated
536 by a rod-cone opponent pathway. In the inner retina, chromatic information from cones is
537 further processed, resulting in type-specific chromatic responses at the level of the retinal
538 output.

539 **Functional relevance of color-opponency in mice**

540 The asymmetric opsin distribution divides the mouse retina into distinct regions. The dorsal
541 part resembles the cone mosaic of other dichromatic mammals, with many M-cones and few
542 S-cones (Ahnelt et al., 2006). Therefore, one would expect that the evolutionary conserved
543 circuits that extract blue-green opponency (reviewed in (Thoreson and Dacey, 2019)) also
544 exist in the dorsal retina of mice. In contrast, the ventral part of the mouse retina, with its
545 M-cones co-expressing S-opsin (Röhlich et al., 1994; Applebury et al., 2000), was long
546 considered unfit for color vision. Instead, it was linked to optimal sampling of achromatic
547 contrast information in the sky portion of natural scenes (Baden et al., 2013). We here show
548 that in fact, color-opponent neurons are predominantly located in the ventral retina of mice.
549 This is in agreement with previous RGC studies (Chang et al., 2013; Joesch and Meister,
550 2016) as well as with a recent behavioral study, which demonstrated that mice perform much
551 better in discriminating colored light spots presented in their upper visual field (Denman et al.,
552 2018). Using a rod-cone based mechanism to extract chromatic information in the ventral
553 retina may be actually advantageous, because it allows color vision (Denman et al., 2018)
554 and detecting dark objects such as predatory birds (Baden et al., 2013) through the
555 widespread expression of S-opsin. This arrangement might also be relevant in other species
556 with a regional increase in S-opsin density in their retina (reviewed in (Peichl, 2005)),
557 including the common shrew (Peichl et al., 2000) or some hyenas (Calderone et al., 2003).
558 Because mouse rod photoreceptors are active in the photopic regime (Tikidji-Hamburyan et
559 al., 2017), rod-cone opponency likely contributes to the animal's color vision across a
560 substantial intensity range, increasing its relevance for informing behavior.

561 According to the efficient coding theory, sensory systems adapt to the distribution of signals
562 present in their natural environment (Barlow and BH, 1961). That color-opponency of mice
563 appears to be largely restricted to their ventral retina suggests that behaviorally relevant
564 chromatic information should be found in their upper visual field. It has been speculated that
565 mice use color vision for social communication by detecting urine tags (Joesch and Meister,
566 2016). However, urine tags large enough to appear in the upper visual field have so far only
567 been observed for mice housed under unnatural conditions (Welch, 1953). In addition, urine
568 might not constitute a reliable visual cue under natural conditions (Lind et al., 2013),
569 especially since mice olfaction would be the more obvious choice to detect and analyse urine

570 cues. Alternatively, as most predators are expected to approach the mouse from above, color
571 vision in the upper visual field could well support threat detection. Especially for visual scenes
572 with inhomogeneous illumination (e.g. forest), that result in large intensity fluctuations at the
573 photoreceptor array, color-opponent RF structures may result in a more reliable signal
574 (discussed in (Maximov, 2000; Kelber et al., 2003)).

575

576 **METHODS**

577 **Animals and tissue preparation**

578 All animal procedures adhered to the laws governing animal experimentation issued by the
579 German Government. For all experiments, we used 5- to 18-week-old mice of either sex. For
580 OPL recordings, we used Cx57^{+/+} (n = 9; (Ströh et al., 2013)) mice, which were negative for
581 Cre recombinase on both alleles and, therefore, could be considered wild-type animals. In
582 addition, we used the HR2.1:TN-XL (n = 3) mouse line where the calcium indicator TN-XL
583 was exclusively expressed in cones (Suppl. Fig. S8) (Wei et al., 2012). For IPL recordings,
584 we used ChAT^{Cre} (n = 5, JAX 006410, The Jackson Laboratory; (Rossi et al., 2011)) mice and
585 for GCL recordings we used C57Bl/6 (n = 11, JAX 000664) or Pvalb^{Cre} (n = 9, JAX 008069;
586 ((Hippenmeyer et al., 2005)) mice. The transgenic lines ChAT^{Cre} and Pvalb^{Cre} were crossbred
587 with the Cre-dependent red fluorescent reporter line Ai9^{tdTomato} (JAX 007905). Owing to the
588 exploratory nature of our study, we did not use randomization and blinding. No statistical
589 methods were used to predetermine sample size.

590 Animals were housed under a standard 12 h day/night rhythm. For activity recordings,
591 animals were dark-adapted for ≥ 1 h, then anaesthetized with isoflurane (Baxter) and killed by
592 cervical dislocation. The eyes were enucleated and hemisected in carboxygenated (95% O²,
593 5% CO²) artificial cerebrospinal fluid (ACSF) solution containing (in mM): 125 NaCl, 2.5 KCl,
594 2 CaCl₂, 1 MgCl₂, 1.25 NaH₂PO₄, 26 NaHCO₃, 20 glucose, and 0.5 L-glutamine (pH 7.4).
595 Then, the tissue was either electroporated (see below) or moved to the recording chamber,
596 where it was continuously perfused with carboxygenated ACSF at ~ 36 °C. In all experiments,
597 ACSF contained ~ 0.1 μ M Sulforhodamine-101 (SR101, Invitrogen) to reveal blood vessels
598 and any damaged cells in the red fluorescence channel (Euler et al., 2009). All procedures
599 were carried out under very dim red (>650 nm) light.

600 **Bulk electroporation**

601 For recordings in the ganglion cell layer (GCL), the fluorescent calcium indicator
602 Oregon-Green BAPTA-1 (OGB-1) was bulk electroporated as described before (Briggman
603 and Euler, 2011; Baden et al., 2016). In brief, the retina was dissected from the eyecup,
604 flat-mounted onto an Anodisc (#13, 0.2 μ m pore size, GE Healthcare) with the GCL facing
605 up, and placed between two 4-mm horizontal plate electrodes (CUY700P4E/L,
606 Nepagene/Xceltis). A 10 μ l drop of 5 mM OGB-1 (hexapotassium salt; Life Technologies) in
607 ACSF was suspended from the upper electrode and lowered onto the retina. After application
608 of 9 pulses (~ 9.2 V, 100 ms pulse width, at 1 Hz) from a pulse generator/wide-band amplifier
609 combination (TGP110 and WA301, Thurlby handar/Farnell), the tissue was moved to the
610 recording chamber of the microscope and left to recover for ~ 30 minutes before the
611 recordings started.

612 **Virus injection**

613 The viral construct AAV2.7m8.hSyn.iGluSnFR was generated in the Dalkara lab as described
614 previously (Dalkara et al., 2013; Khabou et al., 2016). The iGluSnFR plasmid construct was
615 provided by J. Marvin and L. Looger (Janelia Research Campus, USA). A volume of 1 μ l of
616 the viral construct was then injected into the vitreous humour of 3- to 8-week-old mice
617 anaesthetized with 10% ketamine (Bela-Pharm GmbH & Co. KG) and 2% xylazine (Rompun,
618 Bayer Vital GmbH) in 0.9% NaCl (Fresenius). For the injections, we used a micromanipulator
619 (World Precision Instruments) and a Hamilton injection system (syringe: 7634-01, needles:

620 207434, point style 3, length 51 mm, Hamilton Messtechnik GmbH). Imaging experiments
621 were performed 3–4 weeks after injection. As iGluSnFR expression tended to be weaker in
622 the central retina, most OPL and IPL scan fields were acquired in the medial to peripheral
623 ventral or dorsal retina.

624 **Two-photon imaging**

625 We used a MOM-type two-photon microscope (designed by W. Denk, MPI, Heidelberg;
626 purchased from Sutter Instruments/Science Products). The design and procedures have
627 been described previously (Euler et al., 2009). In brief, the system was equipped with a
628 mode-locked Ti:Sapphire laser (MaiTai-HP DeepSee, Newport Spectra-Physics), two
629 fluorescence detection channels for iGluSnFR/OGB-1 (HQ 510/84, AHF/Chroma) and
630 SR101/tdTomato (HQ 630/60, AHF), and a water immersion objective (W Plan-Apochromat
631 20× /1.0 DIC M27, Zeiss). The laser was tuned to 927 nm for imaging iGluSnFR, OGB-1 or
632 SR101, and to 1,000 nm for imaging tdTomato. For image acquisition, we used custom-made
633 software (ScanM by M. Müller and T.E.) running under IGOR Pro 6.3 for Windows
634 (Wavemetrics), taking time-lapsed 64 × 64 pixel image scans (at 7.8125 Hz) for OGB-1
635 imaging in the GCL and 128 × 128 pixel image scans (at 3.9 Hz) for glutamate imaging in the
636 outer plexiform layer (OPL). For vertical glutamate imaging in the IPL, we recorded
637 time-lapsed 64 × 56 pixel image scans (at 11.16 Hz) using an electrically tunable lens as
638 described previously (Zhao et al., 2019). For high resolution images, 512 × 512 pixel images
639 were acquired. The positions of the fields relative to the optic nerve were routinely recorded.

640 Two-photon imaging introduces a constant laser-induced baseline activity (see below and
641 (Euler et al., 2009, 2019)). While we found that green-sensitive cones in the mouse were
642 somewhat more affected by this “background illumination”, this slight bias did not change the
643 conclusions of this study (Suppl. Fig. S9).

644 **Light stimulation**

645 For light stimulation, we used two different systems. The first system focused a DLP projector
646 ('lightcrafter' (LCr), DPM-E4500UVBGMKII, EKB Technologies Ltd) with internal UV and
647 green light-emitting diodes (LEDs) through the objective (TTO). To optimize spectral
648 separation of mouse M- and S-opsins, LEDs were band-pass filtered (390/576 Dualband,
649 F59-003, AHF/Chroma). The second system used an LCr with a lightguide port
650 (DPM-FE4500MKIIF) to couple in external, band-pass filtered UV and green LEDs (green:
651 576 BP 10, F37-576; UV: 387 BP 11, F39-389; both AHF/Chroma), focused through the
652 condenser (TTC) of the microscope (for details, see (Franke et al., 2019)). For glutamate
653 recordings in the IPL, we solely used the TTO stimulator, while for OPL and GCL recordings
654 we used both TTO and TTC. LEDs were synchronized with the microscope's scan retrace.
655 Stimulator intensity (as photoisomerization rate, 10³ P* per s per cone) was calibrated as
656 described previously (Franke et al., 2019) to range from ~0.5 (black image) to ~20 for M- and
657 S-opsins, respectively. In addition, a steady illumination component of ~10⁴ P* per s per cone
658 was present during the recordings because of two-photon excitation of photopigments
659 (discussed in (Euler et al., 2009, 2019; Baden et al., 2013)). The light stimulus was centered
660 to the recording field before every experiment. For all experiments, the tissue was kept at a
661 constant mean stimulator intensity level for at least 15 s after the laser scanning started and
662 before light stimuli were presented.

663

664 Two types of light stimuli were used for glutamate imaging in the OPL:
665 (a) full-field (700 μm in diameter) UV and green flashes,
666 (b) center (150 μm in diameter) and surround (annulus; full-field flashes sparing the
667 central 150 μm) UV and green flashes.

668 Three types of stimuli were used for glutamate imaging in the IPL:
669 (c) local (100 μm in diameter) chirp (for details, see (Franke et al., 2017));
670 (d) 2 Hz sine-wave modulation of center and surround for UV and green LED; and
671 (e) a UV and green center-surround flicker stimulus, with intensity of center and surround
672 determined independently by a balanced 180-s random sequence at 10 Hz.

673 Three types of stimuli were used for calcium imaging in the GCL:
674 (f) full-field (700 μm in diameter) chirp stimulus (for details, see (Baden et al., 2016));
675 (g) 0.3 \times 1 mm bright bar moving at 1 mm s^{-1} in eight directions (Briggman and Euler,
676 2011); and
677 (h) a UV and green center-surround flicker stimulus (250 μm in diameter for center), with
678 intensity of center and surround determined independently by a balanced 300-s
679 random sequence at 5 Hz.

680 For recording calcium responses in HR2.1:TN-XL mice, we used full-field white flashes (2 s,
681 50% duty cycle). Sizes of center stimuli were selected to completely fill the scan field area of
682 the recordings and, therefore, did not correspond to RF center sizes of retinal neurons.

683 **Pharmacology**

684 All drugs were bath applied for at least 10 min before recordings. The following drug
685 concentrations were used: 50 μM 6,7-dinitroquinoxaline-2,3-dione (NBQX), ACSF with twice
686 the normal concentration of KCl (5 mM). Drug solutions were carboxygenated and warmed to
687 $\sim 36^\circ\text{C}$ before application.

688 **Single-cell electrophysiology**

689 GCL cells were targeted using an infrared LED and CCD camera for intracellular recordings.
690 Electrodes were pulled on a P-1000 micropipette puller (Sutter Instruments) with resistances
691 of \sim 7-15 $\text{M}\Omega$ and filled with solution consisting of (in mM): 120 K-gluconate, 5 NaCl, 10 KCl, 1
692 MgCl_2 , 1 EGTA, 10 HEPES, 2 Mg-ATP, and 0.5 Tris-GTP, adjusted to pH 7.2 using 1 M KOH.
693 Data were acquired using an Axopatch 200B amplifier in combination with a Digidata 1440
694 (both: Molecular Devices), digitized at 20 kHz and analyzed offline using Igor Pro
695 (Wavemetrics). For recordings, we targeted GCL cells located in the medial retina allowing to
696 investigate the effect of the two-photon laser on both UV and green responses.

697 **Single cell injection and morphology reconstruction**

698 OGB-1-labelled GCL cells were targeted with electrodes (\sim 5-15 $\text{M}\Omega$) subsequent to
699 two-photon recordings. Single cells in the GCL were dye-filled with SR101 (Invitrogen) using
700 the buzz function (100-ms pulse) of the MultiClamp 700B software (Molecular Devices).
701 Pipettes were carefully retracted as soon as the cell began to fill. Approximately 20 min were
702 allowed for the dye to diffuse throughout the cell before imaging started. After recording, an
703 image stack was acquired to document the cell's morphology, which was then traced

704 semi-automatically using the Simple Neurite Tracer plugin implemented in Fiji
705 (https://imagej.net/Simple_Neurite_Tracer). In cases of any warping of the IPL, as described
706 before (Baden et al., 2016), we used the original image stack to correct the traced cells using
707 custom-written scripts in IGOR Pro.

708 **Data analysis**

709 Data analysis was performed using IGOR Pro. Upon publication, all data will be available at
710 www.retinal-functomics.org.

711 *Pre-processing*

712 For GCL recordings, ROIs were defined semi-automatically by custom software as described
713 before (Baden et al., 2016). For glutamate imaging in OPL and IPL, ROIs were defined
714 automatically by custom correlation-based algorithms in IGOR Pro. Here, ROI sizes were
715 restricted to match the sizes of cone (3-7 μm diameter) and BC axon terminals (1-4 μm
716 diameter) in OPL and IPL scans, respectively. For OPL recordings, a specific correlation
717 threshold for each scan field was manually selected to account for differences in staining and
718 signal-to-noise-ratio. For IPL recordings, correlation thresholds were determined
719 automatically and varied across the IPL due to differences in iGluSnFR labeling and laser
720 intensity (for details, see (Zhao et al., 2019)). For every ROI located in the IPL, depth was
721 determined using the shortest distance of ROI center to TdT-labeled ChAT bands and
722 normalized such that 0 and 1 corresponded to On and Off ChAT band, respectively.

723 To relate each ROIs functional properties to its location on the retina, we registered the
724 orientation of the retina for all IPL and GCL recordings and calculated the linear distance of
725 each ROI to the optic nerve. For most OPL recordings, we did not register the retinal
726 orientation. Here, we used the previously described gradient in opsin expression (Applebury
727 et al., 2000; Baden et al., 2013) to separate dorsal (mean center SC > 0) and ventral (mean
728 center SC < 0) scan fields.

729 The glutamate or calcium traces for each ROI were extracted (as $\Delta F/F$) using custom
730 analysis code based on the image analysis toolbox SARFIA for IGOR Pro (Dorostkar et al.,
731 2010) and resampled at 500 Hz. A stimulus time marker embedded in the recorded data
732 served to align the traces relative to the visual stimulus with 2 ms precision. For this, the
733 timing for each ROI was corrected for sub-frame time-offsets related to the scanning.

734 First, we de-trended the traces by high-pass filtering above ~ 0.1 Hz. For all stimuli except for
735 the center-surround flicker, we then computed the median activity $r(t)$ across stimulus
736 repetitions ($n=4-5$ repetitions for chirps, $n=3$ repetitions for sine, $n=25-30$ repetitions for
737 full-field and center-surround flashes, $n=3$ repetitions for moving bars).

738 *Center-surround stimulus and event kernels*

739 We mapped the stimulus kernels to the center-surround flicker by computing the
740 glutamate/calcium event-triggered average (“event-triggered stimulus kernels”). To this end,
741 we differentiated the response trace and estimated a response threshold as:

$$742 \sigma = \frac{\text{median}(|r(t)|)}{0.6745} \quad (1)$$

743

744 We then computed the glutamate/calcium transient-triggered average stimulus, weighting
745 each sample by the amplitude of the transient:

746

$$\mathbf{F}(x, y, \tau) = \frac{1}{M} \sum_{i=1}^M \mathbf{c}(t_i) \mathbf{S}(x, t_i + \tau) \quad (2)$$

747 Here, $\mathbf{S}(x, t_i + \tau)$ is the stimulus, τ is the time lag and M is the number of glutamate/calcium
748 events.

749 Similarly, for estimating the average glutamate/calcium event kernel upon full-field
750 stimulation, we first identified time points of full-field activation in the stimulus trace and then
751 computed the stimulus-triggered average glutamate/calcium event (“stimulus-triggered event
752 kernels”).

753 *Response quality indices*

754 Kernel quality ($Q_{i_{Kernel}}$) was measured by comparing area under the curve (F_{Area}) of each
755 response kernel with the respective baseline:

756

$$Q_{i_{Kernel}} = 1 - \frac{|F_{Area(Baseline)}|}{|F_{Area(Kernel)}|} \quad (3)$$

757 Event quality ($Q_{i_{Event}}$) was measured by comparing area under the curve (F_{Area}) of each event
758 with the respective baseline:

759

$$Q_{i_{Event}} = 1 - \frac{|F_{Area(Baseline)}|}{|F_{Area(Event)}|} \quad (4)$$

760 To measure how well a cell responded to the other stimuli used (chirp, sine modulation,
761 full-field and center-surround flashes, moving bars), we computed the signal-to-noise ratio

762

$$Qi = \frac{Var[(C)r]t}{(Var[C]t)r} \quad (5)$$

763 where C is the T by R response matrix (time samples by stimulus repetitions), while $(\cdot)_x$ and
764 $Var[\cdot]_x$ denote the mean and variance across the indicated dimension, respectively (Baden et
765 al., 2016; Franke et al., 2017).

766 For further analysis of chromatic responses, we used

- 767 (a) ROIs in the OPL if they showed hyperpolarizing center or full-field responses and
768 $Q_{i_{full-field}} > 0.25$ ($n=2,132/2,945$) or $Q_{i_{center-surround}} > 0.25$ ($n=2,008/2,589$). For analysis of
769 surround responses, only ROIs with an antagonistic response showing an increase in
770 glutamate release with $F_{Area(Surround)} > (|F_{Area(Center)}|/10)$ were considered ($n=1,057/2,589$).
- 771 (b) ROIs in the IPL if $Q_{i_{Kernel}} > 0.6$ for center UV or green stimulus kernel ($n=3,188/3,604$).
- 772 (c) ROIs in the GCL if $Q_{i_{Kernel}} > 0.6$ for center UV or green stimulus kernel
773 ($n=n=5,922/8,429$). For group assignment of GCL cells, we in addition only used ROIs
774 with $Q_{i_{Chirp}} > 0.4$ or $Q_{i_{Bars}} > 0.6$ ($n=4,519/8,429$). In addition, we excluded scan fields
775 for which less than 50% of all cells passed the above mentioned quality thresholds
776 ($n=2$ scan fields).

777 *Spectral contrast*

778 For estimating the chromatic preference of recorded cells, we computed a spectral contrast
779 (SC) using the area-under-the-curve (F_{Area}) of the mean glutamate traces (OPL recordings; cf.

780 Fig. 1e,g) or the center-surround stimulus kernels (IPL and GCL recordings; *cf.* Figs. 3d, 5c).
781 For stimulus kernels of IPL and GCL ROIs, we first estimated absolute F_{Area} of each of the
782 four conditions (UV and green center and surround) and then set F_{Area} estimated from kernels
783 anticorrelated to the center kernel to negative values (e.g. antagonistic surround will have
784 negative F_{Area}).

785 Previously, SC has been estimated as Michelson contrast based on dendritic calcium signals
786 in mouse HCs (Chapot et al., 2017), ranging from -1 to 1 for the cell responding solely to UV
787 and green, respectively. However, this requires UV and green responses to have the same
788 response polarity (e.g. only decreases in calcium to full-field responses (Chapot et al.,
789 2017)). As both center and surround responses of cells in OPL, IPL and GCL recordings can
790 have different response polarities to UV and green, we here distinguished three cases to
791 estimate SC.

792 If green and UV responses had the same response polarity (e.g. cone (1) in Fig. 1e), SC was
793 estimated as Michelson contrast:

794
$$SC = \frac{|F_{Area(Green)}| - |F_{Area(UV)}|}{|F_{Area(Green)}| + |F_{Area(UV)}|} \quad (6-a)$$

795 If the green response had an expected response polarity (e.g. increase in glutamate release
796 upon surround stimulation in cones; cone (2) in Fig. 1l) and the UV response was
797 antagonistic, SC was estimated as

798
$$SC = 1 + \frac{|F_{Area(UV)}|}{|F_{Area(Green)}|} \quad (6-b)$$

799 Similarly, if the UV response had an expected response polarity and the green response was
800 antagonistic, SC was estimated according to

801
$$SC = -1 - \frac{|F_{Area(Green)}|}{|F_{Area(UV)}|} \quad (6-c)$$

802 For estimating the difference in SC between center and surround (SC_{Diff}), we used:

803
$$SC_{Diff} = SC_{Surround} - SC_{Center} \quad (7)$$

804 *Density recovery profiles*

805 To estimate density recovery profiles (DRPs; (Rodieck, 1991)) of OPL ROI masks, we first
806 calculated the distance of each ROI to each other ROI within the scan field, binned the
807 distances (bin size=2 μ m) and normalized each bin count to the bin area. Next, we estimated
808 the mean DRP per scan field by averaging the histograms of all ROIs within a field ($n=56 \pm$
809 30 ROIs per scan field). To obtain the mean DRPs of all ROI masks, we used $n=52$ scan
810 fields.

811 For relating DRPs of the ROIs to anatomy, we used a recent EM dataset of reconstructed
812 cone and rod terminals to estimate anatomical DRPs as described above (*cf.* Suppl. Fig. S1;
813 $n=163/2095$ cone/rod terminals; (Behrens et al., 2016)). For calculating a cone DRP with 3%
814 rods (*cf.* Suppl. Fig. S1d), we first calculated the density of rod terminals and then randomly
815 placed 3% of the expected number of rod terminals across the reconstructed area.

816

817

818 *Field entropy*

819 Field entropy (S_{Field}) was used to estimate the variability of chromatic tuning within single IPL
820 and GCL scan fields (Suppl. Fig. S4). First, SC values of all ROIs within one scan field were
821 binned (bin size: 0.2) and then S_{Field} was defined as

822
$$S_{Field} = -\sum_i p_i \log_2 p_i \quad (8)$$

823 where i is the number of SC bins and p_i corresponds to the number of ROIs in the i^{th} bin.
824 $S_{Field} = 0$ if all ROIs of one recording field are in the same SC bin and therefore have the
825 same spectral tuning. S_{Field} increases if ROIs are equally distributed across multiple bins. In
826 general, high field-entropy indicates high chromatic tuning heterogeneity within a single field.
827 As the number of ROIs per scan field was larger for IPL than GCL recordings, we likely
828 underestimated the difference in S_{Field} between IPL than GCL recordings.

829 *Full-field opponency*

830 To measure whether UV and green full-field responses were color-opponent, we calculated
831 the linear correlation coefficient (ρ) between UV and green event kernels. For further analysis
832 of opponent cells (cf. Figs. 3f, 4f), we only used ROIs with $\rho < -0.3$ and $Qi_{Event} > 0.25$.

833 *Sine data*

834 To estimate the chromatic preference of a cell based on its response to sinusoidal modulation
835 (Suppl. Fig. S3), we first quantified the response phase for every stimulus condition (UV and
836 green center and surround). For every ROI, we cross-correlated the mean glutamate trace of
837 each condition with the stimulus trace and converted the time shift of maximal correlation into
838 degrees. We then extracted the amplitude of the fundamental response component (F1) from
839 the mean glutamate traces using Fourier transform. For the polar plot, response phases of
840 different ROIs were binned using a bin size of 15° and each polar histogram was normalized
841 according to its mean F1 amplitude. We performed this analysis for ventral and dorsal On
842 (IPL depth < 0.2) and Off (IPL depth > 0.5) ROIs separately.

843 *Direction selectivity*

844 Direction selectivity (DS) of recorded GCL cell was computed as described before (Baden et
845 al., 2016). In brief, we first performed singular value decomposition (SVD) on the mean
846 response matrix (time samples by number of directions) of each cell. This decomposes the
847 response into a temporal component and a direction dependent component or tuning curve.
848 An advantage of this procedure is that it does not require manual selection of time bins for
849 computing direction tuning but extracts the direction-tuning curve given the varying temporal
850 dynamics of different neurons.

851 To measure DS, we computed the vector sum in the 2D plane and used the resulting vector
852 length as DS index. We additionally assessed the statistical significance of direction tuning
853 using a permutation test (Ecker et al., 2014). Here, we created surrogate trials by shuffling
854 the trial labels, computing the tuning curve and vector sum for each surrogate trial. Carrying
855 out this procedure 1,000 times generated a null distribution, assuming no direction tuning. We
856 used the percentile of the true vector length as the P value for the direction tuning.

857 *Clustering of GCL cells*

858 The pre-processed ROI traces of GCL cells (n=n=4,519/8,429) were assigned to previously
859 identified functional RGC cluster (Baden et al., 2016) by identifying for each cell the cluster

860 with the best matching response properties. To account for a slight mismatch in frame rate for
861 our stimulation systems compared to the previous one, calcium traces were shifted in time
862 ($t=40$ ms) and smoothed (for chirp stimulus only, boxcar smoothing with $n=5$ points
863 corresponding to 0.64 s) before calculating the linear correlation coefficients between a GCL
864 cell's mean trace and all matching cluster mean traces for the chirp and the moving bar
865 stimuli. Specifically, DS cells were correlated with DS clusters, non-DS cells were correlated
866 with non-DS clusters, and alpha cells (soma area $> 170 \mu\text{m}^2$) were correlated with alpha cell
867 clusters. To combine stimulus-specific correlations and response quality, we generated an
868 overall match index (Mi) of each GCL cell to all RGC clusters (Román Rosón et al., 2019):

869

$$Mi = \frac{Qi_{Chirp}}{Qi_{Chirp} + Qi_{Bar}} * r_{Chirp} + \frac{Qi_{Bar}}{Qi_{Chirp} + Qi_{Bar}} * r_{Bar}. \quad (9)$$

870 Finally, each GCL cell with $Mi > 0.5$ was assigned to the cluster with the highest Mi and
871 clusters were combined into functional RGC groups as described before (Baden et al., 2016).

872 Statistical analysis

873 We used the non-parametric Wilcoxon signed-rank test for quantifying the difference between
874 cone surround responses under control and NBQX conditions (Fig. 2f), and field entropy of
875 IPL and GCL scan fields (Suppl. Fig. S4).

876 We used the Chi-squared test to compare the distribution of anatomical and functional cone
877 arrays (Suppl. Fig. S1h).

878 We used a Linear Mixed-Effects Model to analyze the difference between center and
879 surround SC for OPL (Fig. 2b,c), IPL (Fig. 4b) and GCL recordings (Fig. 6b). This allowed to
880 incorporate a random effects term in a linear predictor expression accounting for the fact that
881 not all ROIs with a center response displayed a surround response (partially paired data). We
882 used the `lme4`-package for R to implement the model and perform statistical testing (Bates et
883 al., 2015). For details, see "Results of statistical analysis" in Suppl. Information.

884 We used Generalized Additive Models (GAMs) to analyze the relationship of difference in
885 center and surround SC (SC_{Diff}) and IPL depth (Fig. 4e); opponency and IPL depth (Fig. 4f);
886 center chromatic preference (SC_{center}) and IPL depth (Suppl. Fig. S2b). GAMs extend the
887 generalized linear model by allowing the linear predictors to depend on arbitrary smooth
888 functions of the underlying variables (Wood, 2006). In practise, we used the `mgcv`-package
889 for R to implement GAMs and perform statistical testing. For details, see "Results of
890 statistical analysis" in Suppl. Information.

891 To test if the number of color-opponent cells within single RGC and dAC groups was
892 significantly larger/lower than expected from center SC and SC_{Diff} , we used a permutation test
893 (Fig. 7g). First, we binned SC_{Diff} values across all groups (bin size=0.25). For every cell
894 assigned to one group, we then randomly picked a different cell within the same SC_{Diff} bin
895 and with a similar center SC (± 0.1). Like this, we generated an "across-group" distribution of
896 SC_{Diff} values with similar mean and s.d., but with shuffled cell labels. Then, we estimated the
897 percentage of color-opponent cells in this "across-group" distribution and repeated this
898 procedure for 10,000 times per group, generating a null distribution. Finally, we used the
899 percentile of the true percentage of color-opponent cells as the P value.

900

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1132

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1141 **AUTHOR CONTRIBUTIONS**

1142 K.F. designed the study with input from T.E.; D.D. produced the iGluSnFR virus; K.F.
1143 performed viral injections; M.K. performed OPL recordings with help from K.F. and T.S.; K.F.
1144 performed IPL recordings; K.S. performed GCL recordings with help from K.F.; Y.R.
1145 performed electrical recordings; M.K., K.F. and K.S. performed pre-processing; K.F. analyzed
1146 the data with input from T.E. and P.B.; K.F. prepared the figures with help from K.S. and M.K.;
1147 M.K., K.F., K.S., P.B. and T.E. wrote the manuscript.

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