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3 **Natural genetic variation underlying tiller development in barley (*Hordeum***
4 ***vulgare* L)**

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34 ABSTRACT

35 In barley (*Hordeum vulgare* L.), lateral branches called tillers contribute to grain yield
36 and define shoot architecture, but genetic control of tiller number and developmental rate are not
37 well characterized. The primary objectives of this work were to examine relationships between
38 tiller number and other agronomic and morphological traits and identify natural genetic variation
39 associated with tiller number and rate, and related traits. We grew 768 lines from the USDA
40 National Small Grain Core Collection in the field and collected data over two years for tiller
41 number and rate, and agronomic and morphological traits. Our results confirmed that spike row-
42 type and days to heading are correlated with tiller number, and as much as 28% of tiller number
43 variance is attributed to these traits. In addition, negative correlations between tiller number and
44 leaf width and stem diameter were observed, indicating trade-offs between tiller development
45 and other vegetative growth. Thirty-three quantitative trait loci (QTL) were associated with tiller
46 number or rate. Of these, 40% overlapped QTL associated with days to heading and 22%
47 overlapped QTL associated with spike row-type, further supporting that tiller development is
48 influenced by these traits. Despite this, some QTL associated with tiller number or rate,
49 including the major QTL on chromosome 3H, were not associated with any other traits,
50 suggesting that tiller number can be modified independently of other important agronomic traits.
51 These results enhance our knowledge of the genetic control of tiller development in barley,
52 which is important for optimizing tiller number and rate for yield improvement.

53

54 INTRODUCTION

55 Grasses form modified lateral branches called tillers that develop from axillary meristems
56 (AXM) located in leaf axils near the base of the plant. Barley shoot architecture is largely
57 defined by the number and vigor of tillers, which have the capacity, like the main shoot, to form
58 grain-bearing inflorescences called spikes that contribute to grain yield (Cannell 1969).
59 However, merely increasing tiller number may not increase grain yield because it has been
60 associated with decreased seed number and seed weight, and increased lodging (Stoskopf and
61 Reinbergs 1966; Simmons *et al.* 1982; Benbelkacem *et al.* 1984). Furthermore, tiller number is a
62 complex trait influenced by photoperiod sensitivity, spike row-type, and environmental
63 variables, including water and nitrogen availability and planting density (Turner *et al.* 2005;
64 Alqudah and Schnurbusch 2014; Liller *et al.* 2015; Alqudah *et al.* 2016). Therefore, a more
65 comprehensive understanding of the genetic basis of shoot architecture and relationships with
66 other agronomic traits is important for altering barley shoot architecture for increased grain yield.

67 Tiller development (tillering) in barley has been characterized in several high and low
68 tillering mutants, and five genes regulating tillering have been isolated and characterized to date.
69 *LOW NUMBER OF TILLERS 1 (LNT1)* encodes a BEL-like homeodomain transcription factor
70 homologous to *Arabidopsis BELLRINGER (BLR)* and mutations in *LNT1* result in reduced tiller
71 number (Dabbert *et al.* 2010). *UNICULME4 (CUL4)* is homologous to *Arabidopsis BLADE-ON-*
72 *PETIOLE (BOP)* genes and encodes a protein with a BROAD COMPLEX, TRAMTRACK,
73 BRIC-À-BRAC (BTB)-ankyrin domain; and *cul4* mutants produce very few primary tillers and
74 no secondary tillers (Tavakol *et al.* 2015). The *eligulum-a (eli-a)* mutant, was identified as a
75 suppressor of the *uniculm2 (cul2)* mutant phenotype (Okagaki *et al.* 2018). Typically, *cul2*
76 mutants do not produce any tillers, but when combined with *eli-a* alleles, they develop at least

77 one tiller. *ELI-A* encodes a conserved protein that may be a transposon, and, despite their ability
78 to inhibit the uniculm phenotype in *cul2* mutants, single mutants with strong *eli-a* alleles are low
79 tillering and typically produce about half as many tillers as non-mutants (Okagaki *et al.* 2018). In
80 contrast, mutations in *INTERMEDIUM-C* (*INT-C*) and *MANY NODED DWARF* (*MND*) 4/6
81 result in high tillering phenotypes. *INT-C* is an ortholog of the branching inhibitor *TEOSINTE*
82 *BRANCHED1* (*TB1*) in maize and encodes a TB1, CYCLOIDEA (CYC), PROLIFERATING
83 CELL NUCLEAR ANTIGEN FACTOR1/2 (TCP) transcription factor. Loss-of-function *int-c*
84 mutants have intermediate spike row-type (between 2-row and 6-row) and a moderate high
85 tillering phenotype (Lundqvist and Lundqvist 1988; Ramsay *et al.* 2011). *MND* 4/6 encodes a
86 cytochrome P450 in the CYP78A family homologous to rice *PLASTOCHRON1* (*PLA1*), and
87 *pla1* and *mnd* mutants both exhibit high rates of lateral organ initiation (Miyoshi *et al.* 2004;
88 Mascher *et al.* 2014).

89 Quantitative trait loci (QTL) associated with tiller number have been found in coincident
90 locations with genes regulating photoperiod sensitivity or spike row-type (Laurie *et al.* 1995;
91 Karsai *et al.* 1997; Wang and Chee 2010; Naz *et al.* 2014; Alqudah *et al.* 2016; Nice *et al.* 2017).
92 Photoperiod sensitivity in barley is largely determined by variation in *PHOTOPERIOD-H1*, an
93 ortholog of *Arabidopsis PSEUDO RESPONSE REGULATOR 7* (*PRR7*). Plants with a dominant
94 allele (*Ppd-H1*) are typically photoperiod sensitive and flower in response to long days, and
95 plants with recessive alleles (*ppd-H1*) are typically photoperiod insensitive (Turner *et al.* 2005;
96 Digel *et al.* 2015). Photoperiod sensitivity in barley is also influenced by variation in other genes,
97 including *VERNALIZATION-H3* (*VRN-H3*) (Yan *et al.* 2006; Faure *et al.* 2007; Loscos *et al.*
98 2014), *VRN-H1* (Zitzewitz *et al.* 2005; Loscos *et al.* 2014), several *CONSTANS*-like genes
99 (Campoli *et al.* 2012a; Mulki and von Korff 2016), and the barley ortholog of *Antirrhinum*

100 *CENTRORADIALIS* (*HvCEN*) (Comadran *et al.* 2012). Photoperiod sensitivity impacts tiller
101 number through influencing the timing and duration of shoot elongation, as tillering typically
102 stops shortly after shoot elongation begins (García del Moral and García del Moral 1995;
103 Miralles 2000). The influence of spike row-type on tiller number is usually attributed to a finite
104 pool of resources that can be allocated to different developmental processes (Kirby and Jones
105 1977). Barley spikelets contain three florets, one central and two lateral, all of which are fertile
106 and produce seeds in six-row barley (6-rows); whereas in two-row barley (2-rows) only the
107 central floret is fertile. As a consequence of increased lateral spikelet fertility, 6-rows produce
108 more, often smaller seeds than 2-rows, and they also tend to produce fewer tillers (Alqudah and
109 Schnurbusch 2014, 2015; Liller *et al.* 2015). Spike row-type is primarily determined by variation
110 in *SIX-ROWED SPIKE 1* (*VRS1*), which encodes a homeodomain leucine zipper protein
111 (Komatsuda *et al.* 2007), or *VRS4*, which encodes an ortholog of the maize transcription factor
112 *RAMOSA2* (Koppolu *et al.* 2013), both of which are inhibitors of lateral spikelet development.
113 Plants with dominant *VRS1* or *VRS4* alleles are typically 2-rows, whereas plants with recessive
114 alleles are typically 6-rows. Variation in other genes that influence inflorescence morphology,
115 including *VRS3* (van Esse *et al.* 2017; Bull *et al.* 2017) and *INTERMEDIUM* genes (Lundqvist
116 and Lundqvist 1988; Ramsay *et al.* 2011), have also been shown to influence tiller number
117 (Liller *et al.* 2015).

118 To date, most studies on the genetic control of tillering in barley have used forward
119 genetics, as with the previously mentioned tillering mutants, or bi-parental mapping approaches
120 (e.g. Arifuzzaman *et al.*, 2014; Gyenis *et al.*, 2007), which limit detection of natural genetic
121 variation and the number of alleles that can be examined. However, a recent genome-wide
122 association study identified QTL associated with tiller number at five developmental stages in a

123 mapping panel of diverse spring barley accessions, and they showed genetic interactions between
124 tiller number and spike row-type and photoperiod sensitivity (Alqudah *et al.* 2016). However, as
125 this study was conducted in a greenhouse, the number of tillers that could be achieved, especially
126 by high tillering accessions, was likely limited compared to field-grown barley.

127 In our study, a mapping panel consisting of 384 2-row and 384 6-row spring barley
128 accessions from the National Small Grain Core Collection was examined. To increase tillering
129 capacity, the panel was grown in the field and data on tiller number and rate and agronomic and
130 morphological traits were obtained. To identify genetic variation associated with tiller number
131 and developmental rate, the panel was genotyped using Genotyping-By-Sequencing (GBS) and a
132 50K SNP array (Bayer *et al.* 2017). Our objectives were to (1) quantify the genetic interactions
133 between tillering and spike row type and photoperiod sensitivity; (2) identify potential trade-offs
134 between tiller number and agronomic and yield-related traits; and (3) genetically map natural
135 genetic variation associated with tillering and characterize the extent to which it overlaps genetic
136 variation associated with related traits.

137

138 MATERIALS AND METHODS

139 **Line Selection, Field Design, and Growing Conditions**

140 A diversity panel containing 768 accessions (Table S1) from the National Small Grains
141 Core Collection was developed for phenotypic analyses and genome-wide association studies
142 (GWAS). The panel, split equally between 2-rows and 6-rows, was selected first by including the
143 parents of a barley nested association mapping (NAM) population (Hemshrot et al., 2019; Smith,
144 unpublished results) and then based on their contribution to polymorphism information content
145 (PIC), as determined by Muñoz-Amatriaín et al. (2014). All accessions grown in 2014 and 2015
146 were the same except for seven lines that did not flower in 2014 were replaced with different
147 lines in 2015.

148 The panel was grown in the field in St. Paul, MN in 2014 and 2015 in a Type 2 modified
149 augmented design (Lin *et al.* 1983; Lin and Poushinsky 1985; May *et al.* 1989) containing 56
150 blocks, with one half containing 2-rows and the other half containing 6-rows (Figure S1).
151 Individual blocks contained 15 rectangular 1.5 m by 0.3 m plots (five plots by three plots), with
152 the central plot always containing a primary repeated check, cv. Conlon for 2-rows and cv.
153 Rasmussen for 6-rows (Figure S1). Eight, randomly chosen blocks also contained two repeated
154 secondary checks, assigned randomly to plots within the block. PI584962 and PI614939 were
155 used as secondary checks for 2-rows, and PI327860 and CIho7153 were used as secondary
156 checks for 6-rows. All other plots contained one of the 768 accessions from the mapping panel.
157 To confirm trait correlations with tiller number and other traits from the 2014 and 2015 trials, in
158 2016, 54 lines split equally between 2-rows and 6-rows, were randomly chosen from NAM
159 parent accessions grown in both years using the sample function in R (Table S1). The 54

160 accessions and the primary checks Conlon and Rasmussen were grown in a complete,
161 randomized block design with three replicates. In all years, adjacent plots of non-vernalized
162 winter wheat separated plots containing barley to control weeds, prevent shading, and allow
163 space for lodging. Plots containing barley were machine planted with 30 seeds per plot and one
164 week after emergence were thinned to ten plants per 1.5 m-long plot with regular spacing
165 between plants.

166 **Phenotyping, trait value adjustment, and phenotypic analyses**

167 Vegetative traits measured included tiller number, plant height, leaf width (2015 only),
168 and stem diameter (2014 and 2015 only). In 2014 and 2015, tillers were counted on the same
169 plants (ten in 2014 and five in 2015) per row weekly, beginning at two weeks past-emergence
170 (2WPE) and ending at 7WPE. Productive tillers, tillers with grain-bearing spikes at plant
171 maturity, were counted after grain filling when plants first showed signs of senescence
172 (yellowing of awns and flag leaves). Tillering rate was calculated by dividing the maximum tiller
173 number by the time in weeks that maximum tiller number occurred. Other metrics of tillering
174 rate were determined by calculating the differences between mean tiller number between two
175 consecutive weeks and by calculating the slope of a line fit to mean tiller number between at
176 least three consecutive weeks. Leaf width (2015 only) and plant height were measured at the
177 same time that productive tillers were counted. Plant height was calculated as the mean height
178 (cm) of the tallest shoots of all plants from soil level to the top of the spike, not including the
179 awns. Leaf width was calculated as the mean width (mm) at the widest point of the second leaf
180 below the flag leaf on the tallest shoot of all plants. This leaf was chosen because it was
181 consistently green at maturity. The tallest stem of all individual plants in a row were harvested
182 after senescence and dried in an oven at 37 °C for 72 hours. Dried stems were scanned, and the

183 diameters (mm) were measured at the widest point of the last internode (below the peduncle) and
184 averaged for each accession using Image J software (version 1.50).

185 Inflorescence-related traits included spike row-type, seeds per spike, spike length, and
186 50-kernel weight. Spikes from the tallest shoots of five plants were harvested after senescence
187 and dried in an oven at 37 °C for 72 hours. Spike length was measured from the base to the tip of
188 the spike, not including awns. All seeds from the five spikes were removed by hand and counted;
189 and mean seeds-per-spike was calculated. All seeds from the five spikes were pooled together,
190 and 50-kernel weight was calculated as the total mass (g) divided by the total number of seeds
191 multiplied by 50.

192 Days to heading was recorded when spikes on at least half of the shoots in a row were at
193 least 50% emerged from the boot. Lodging was scored after senescence but before spikes were
194 harvested, based on a scale of one to five, with one being completely upright and five being
195 completely prostrate.

196 Trait values were adjusted using two different methods developed by Lin *et al.* (1983)
197 specifically for Type 2 modified augmented designs and then assessed before and after
198 correction to determine whether adjustment reduced heterogeneity of checks. One method, based
199 on row and column averages of primary checks (Method 1 – M1), is better for correcting values
200 when the field varies across plot rows and/or columns (Lin *et al.* 1983). Another method, based
201 on linear regression of primary and secondary checks (Method 3 – M3), is better for correcting
202 values when the field varies in many directions. M1 adjusted trait values (*M1AdjValue*) were
203 calculated using the following equation:

$$204 \quad M1AdjValue = RawValue - Check1_{RowAve} - Check1_{ColAve} + 2Check1_{Ave}$$

205 $CheckI_{RowAve}$ and $CheckI_{ColAve}$ were the averages of all primary check trait values in the same
206 block row and block column, respectively, as the raw trait value being adjusted. $CheckI_{Ave}$ was
207 the average of all primary check values. Method 3 adjusted trait values ($M3AdjValue$) were
208 calculated using the following equation:

209
$$M3AdjValue = RawValue - Slope_{AllChecks}(CheckI_{Block} - CheckI_{Ave})$$

210 $Slope_{AllChecks}$ was the slope resulting from linear regression of primary check trait values versus
211 the average secondary check trait values within the same block, and $CheckI_{Block}$ is the value of
212 the primary check in the same block as the raw trait value. Appropriateness of correction and
213 selection of a correction method was based on two criteria (Lin and Poushinsky 1983, 1985; Lin
214 et al., 1983; May et al., 1989). First, ANOVA in R (version 3.4.4) using primary check trait
215 values was used to test for block row and column effects (Table S2). Second, relative efficiency
216 of correction was calculated by dividing the average variance of raw secondary check trait values
217 by the average variance of adjusted secondary check trait values, and values greater than one
218 indicated that correction reduced variance due to heterogeneity in the field (Table S2). Raw trait
219 values (Table S3) were used for phenotypic analyses to prevent individual trait adjustments from
220 affecting trait correlations, and raw or adjusted (if applicable) trait values were used for genome-
221 wide association mapping (Table S4).

222 All statistical analyses and data visualizations were performed in R. Broad-sense
223 heritability (H^2) was estimated using 2014 and 2015 raw trait values by two-way ANOVA with
224 the following model: Trait ~ Year + Line. Genetic variance was calculated as the difference –
225 between the line sum of squares and the residual sum of squares divided by two (for two years –
226 2014 and 2015), and heritability was calculated by dividing genetic variance by the sum of
227 genetic variance and the residual sum of squares divided by two (Table 1 and Table S5).

228 Estimates were based on lines that had trait data in both years, which varied depending on the
229 trait, and the number of lines used for each trait estimate is included in Table 1 and Table S5.
230 Trait heritability was also estimated with 2016 raw trait values using rep instead of year in the
231 two-way ANOVA model (Table S5).

232 One-way ANOVA was performed followed by a Tukey-Kramer test for pairwise
233 comparison of trait means between different year, spike row-type, and photoperiod sensitivity
234 groups; and the multcompLetters function (multcompView, version 0.1-7) was used to assign
235 letters designating whether groups were significantly different based on false discovery rate
236 (FDR)-adjusted p-values from the Tukey-Kramer test. Pearson and Spearman rank correlations
237 between traits were calculated using the rcorr function (Hmisc, version 4.1-1) (Table 2 and Table
238 S6). A distance matrix was calculated based on average weekly (two to seven weeks past-
239 emergence) and productive tiller number, and principal coordinates analysis (PCoA) of the
240 distance matrix was performed using the cmdscale R function. The first and second principal
241 coordinates based on tiller number were used as traits in association mapping (Table S4).

242 For multiple linear regression (MLR) analyses, the following model was fit using the lm
243 function in R with tiller number as the response variable and other traits as predictor variables
244 (File S1):

245
$$\text{Tiller Number} = \beta_{Intercept} + \beta_{Days to Heading} + \beta_{Seeds Per Spike} + \beta_{Fifty Kernel Weight} +$$

246
$$\beta_{Leaf Width} + \beta_{Plant Height} + \beta_{Stem Diameter}$$

247 Before model fitting, lines with missing values for any of the traits included in the model were
248 removed. The order of predictor variables in the MLR model was chosen based on relative
249 contribution to R^2 , which was calculated using the “lmg” method (adapted Lindeman et al.,

250 1980) from the boot.relimp function (relaimpo, version 3.3-2; Groemping, 2006). Next, the
251 boot.stepAIC function (bootStepAIC, version 1.2-0) was used to choose a best-fit model by
252 fitting the model 1000 times using forward and backward selection to choose predictor variables
253 in the model. The final model was refit and outliers were removed based on Cook's distance.
254 Lines with the highest Cook's distance were removed iteratively, and the model was refit until
255 the R^2 value of the model did not improve significantly. Predictor variables were checked for
256 collinearity using the vif function (car, version 3.0-0) to ensure none of the variables had a
257 Variance Inflation Factor (VIF) that indicated excessive correlation of predictor variables (VIF >
258 5). After all outlier lines were removed and the model was refit, the boot.relimp function was
259 used to calculate relative proportion of total variance explained (contribution to R^2 of the entire
260 model) by individual predictor variables.

261 **Genotyping, Linkage Disequilibrium, and Population Structure Analysis**

262 Lines were genotyped using GBS and a barley 50K iSelect SNP array (Bayer *et al.* 2017).
263 DNA was extracted from seedling leaf tissue using a Mag-Bind® Plant DNA Plus kit (Omega
264 Bio-tek, Norcross, GA), following the manufacturer's instructions, and genomic DNA was
265 quantified using a Quant-iT™ PicoGreen® dsDNA Assay Kit (Thermo Fisher Scientific,
266 Waltham, MA). For GBS, reduced representation libraries were created according to Poland *et*
267 *al.* (2012) using Pst1-Msp1 restriction enzymes. Libraries were sequenced using a HiSeq 2500
268 system (Illumina, San Diego, CA) to obtain single-end 125 bp reads. SNP calling was performed
269 using the TASSEL 5 GBS Version 2 Pipeline using 64 base kmers and a minimum kmer count of
270 five. Reads were aligned to the Morex reference genome assembly using the "aln" algorithm in
271 the Burrows-Wheeler Aligner (BWA, version 0.7.10) (Mascher *et al.* 2017; Beier *et al.* 2017).
272 Genotyping using barley 50K iSelect BeadChip kits (Illumina) was performed according to the

273 manufacturer's instructions, and SNPs were scored in GenomeStudio (version 2.0.2, Illumina)
274 using manually curated clusters developed by Bayer et al. (2017). GBS and 50K SNP datasets
275 were filtered individually based on percent missing data and percent heterozygosity. All filtering
276 and imputing steps were performed using TASSEL 5. For the first round of filtering, GBS SNPs
277 were removed if more than 50% of calls were missing or heterozygous and the minor allele
278 frequency (MAF) was less than 0.03, and 50K array SNPs were eliminated if they contained
279 more than 20% missing or heterozygous calls and a MAF less than 0.03. The GBS and 50K SNP
280 datasets were then merged and missing data was imputed using the LD-kNNi imputation method
281 in TASSEL 5 (sites = 20, Taxa = 5, maxLDDistance = -1). The merged, imputed SNP dataset
282 was filtered again for missing data, eliminating SNPs and lines with more than 5%
283 missing/heterozygous data. Lines were also filtered for missing data, and twenty-six lines with
284 more than 5% missing/heterozygous SNP calls were excluded from association mapping and
285 other genetic analyses. Three lines were removed from all genetic analyses because the spike
286 row-type did not match what was recorded in GrainGenes (<https://wheat.pw.usda.gov>), GRIN
287 (<https://npgsweb.ars-grin.gov>), and Muñoz-Amatriaín et al. (2014) (see notes in Table S1). SNPs
288 were then tagged using the Tagger feature in Haplovew (version 4.1) (Barrett *et al.* 2005) with
289 an R^2 cutoff of 0.95, resulting in 69,607 tagged SNPs for 747 lines (Table S7).

290 To analyze chromosomal linkage disequilibrium (LD) decay, pairwise R^2 values between
291 all SNPs within a chromosome were calculated using TASSEL 5, and the background LD level
292 was calculated as the 95th percentile of significant ($pDiseq < 0.01$) R^2 values for all SNP pairs \geq
293 50 cM apart, the distance at which the recombination rate is 0.5 for loci on the same
294 chromosome. A non-linear model described by Hill and Weir (1988) was fit to all significant
295 pairwise R^2 values and their corresponding distances using the nls function in R, and the decay

296 distance was calculated as the distance at which the non-linear model intersected with
297 background LD level (Marroni *et al.* 2011) (File S2, S3). LD decay distances were calculated for
298 individual chromosomes using physical and POPSEQ positions (Mascher *et al.* 2013; Beier *et al.*
299 2017) of tagged SNPs (Table S8). Based on LD decay distances, which were less than 1 cM for
300 all chromosome (Table S8), a genetic distance of +/- 2 cM was chosen as a cutoff for including
301 significant SNPs in the same quantitative trait loci (QTL) to account for regions with higher LD.
302 To assess intrachromosomal patterns of LD for candidate gene analysis (as in Figure S8),
303 pairwise comparisons were made between SNPs in 100 SNP windows. R^2 values were ordered
304 by mean position, and the R^2 values and mean positions of 4950 pairwise comparisons (unique
305 number of pairwise comparisons for 100 SNPs) were averaged and plotted as a line graph and a
306 curve was fit using local regression (LOESS) (File S4).

307 Population structure was analyzed using the program STRUCTURE (version 2.3.4)
308 (Pritchard *et al.* 2000). A set of 701 SNPs for STRUCTURE analysis (Table S9) were chosen by
309 selecting SNPs from individual chromosomes from the final tagged SNP dataset that were at
310 least as far apart as the calculated genetic decay distance (Table S8). Results from ten individual
311 STRUCTURE runs for K 1-10 were analyzed using STRUCTURE Harvester (Earl and von
312 Holdt 2012). The optimum number of subpopulations was chosen based on delta K (ΔK), which
313 was calculated by STRUCTURE Harvester using equations from Evanno *et al.* (2005).

314 **Genome-wide Association Mapping**

315 Genome-wide association mapping analysis was performed using compressed mixed
316 linear models from the GAPIT R package (Genome Association and Prediction Integrated Tool,
317 version 2.0) (Lipka *et al.* 2012) with the final imputed and filtered set of 69,607 SNP tags (Table
318 S7) and raw and corrected (if applicable based on Table S2) phenotypic data (Table S4). The

319 MAF cutoff was 0.03 for all lines (n=727-740, depending on the trait) and 0.05 for subsets based
320 on spike row-type or *PPD-H1* alleles (n=305-437, depending on the subset and trait). The model
321 selection feature of GAPIT was used to choose the optimum number of principal components for
322 each individual trait to account for population structure, and the optimal compression level
323 determined by GAPIT was used. The percentage of genetic variance explained by individual
324 SNPs was calculated as the difference between R^2 of models with the SNP and without the SNP.
325 Information about all significant SNPs, including allelic effect size, percent variance explained,
326 and nearest gene information is included in Table S10.

327 **Data Availability**

328 All data necessary for reproducing results are available within supplemental tables, which
329 are available in FigShare. Table S1 contains information about all accessions, including
330 collection site, improvement status, spike row-type, and STRUCTURE subpopulation
331 assignment. Table S7 contains all SNP markers used for association mapping, and Table S9
332 contains all SNP markers used for STRUCTURE analysis. Raw trait data used for phenotypic
333 analyses is included in Table S3, and trait data used for association mapping is included in Table
334 S4. Supplemental figures and R scripts for multiple linear regression and LD analyses (Files S1-
335 S4) are also available in FigShare.

336 **RESULTS AND DISCUSSION**

337 **Tiller number in the two- and six-row diversity panel**

338 In 2014 and 2015, 761 lines were grown in the field, and data were collected for weekly
339 and productive tiller number, days to heading, plant height, stem diameter, leaf width (2015
340 only), seeds per spike, fifty kernel weight, and lodging (2015 only) (Table S3). Fifty-four lines

341 that were grown in 2014 and 2015 were also grown in 2016 in three complete, randomized
342 blocks, and data for weekly and productive tiller number, days to heading, plant height, seeds per
343 spike, and fifty kernel weight were collected (Table S3). Phenotypic data were analyzed in all
344 lines and in subsets of lines based on spike row-type and *PPD-H1* alleles. Tiller number data
345 from 2014 and 2015 are summarized in Table 1, and all trait data from all years are summarized
346 in Table S5.

347 Genetic variance for tiller number was significant (p-value < 0.0001) in 2014, 2015, and
348 2016 for most time points (Table 1, Table S5). In both years for all line subsets, variance was
349 highest for maximum tiller number and tiller number measured at later time points (5-7WPE),
350 and it decreased for productive tiller number (Table 1). Tiller number at 6WPE, the time point at
351 which maximum tiller number occurred on average for all lines, also had the highest heritability
352 estimate (0.53) of all tiller counts. Decreased heritability from 6WPE to productive tiller number
353 was likely due to variability in tiller survival, which appears to be strongly influenced by
354 environment as genetic variance for percent productive tillers was not significant (Table S5).
355 Heritability estimates for tillering traits were lower than other traits measured (Table S5).

356 Tiller number was compared using data for the 54 lines (27 2-rows and 27 6-rows) grown
357 in all three years. Due to waterlogging in the field early in development in 2014, the onset of
358 tiller development was delayed and maximum and productive tiller number was much lower than
359 2015 and 2016 (Figure 1A,B). By 2WPE in 2014, 25.4% of all lines grown had not yet
360 developed at least one tiller per plant on average, whereas all lines grown in 2015 had developed
361 at least one tiller per plant by 2WPE. Maximum tiller number was not significantly different
362 between 2015 and 2016, but productive tiller number was lower in 2016 than 2015 due to lower
363 tiller survival (Figure 1B). Despite differences between years, they all followed a similar trend

364 where average tiller number increased linearly until 5WPE, after which it either slowed or began
365 decreasing (Figure 1A).

366 Average plant height, stem diameter (measured in 2014 and 2015 only), seeds-per-spike,
367 and fifty kernel weight followed a similar trend as productive tiller number across the three
368 years, where trait values were highest in 2015 and lowest in 2014 (Figure 1C). In years when
369 plants developed more productive tillers on average, they were also taller with thicker stems,
370 more seeds per spike, and heavier seeds on average (Figure 1C), indicating that productive tiller
371 number is correlated with overall plant fitness.

372 **Days to heading and spike row type explain a large proportion of variance in tiller number**

373 Consistent with previous studies (Liller *et al.* 2015; Alqudah *et al.* 2016), our results
374 support the observations that spike row-type and photoperiod response influence tiller number.
375 However, these previous studies have not attempted to quantify the extent that these traits
376 influence tiller number, nor have they assessed the simultaneous effects of both traits on tiller
377 number. To gain a better understanding of these relationships, we examined tiller number in 761
378 lines in relation to days to heading, *PPD-H1* genotype, and spike row-type.

379 Spike row-type has been shown to influence tiller number as well as other traits like seed
380 number and weight, and leaf area (Alqudah and Schnurbusch 2014, 2015; Liller *et al.* 2015). As
381 expected, average tiller number was higher in 2-rows than 6-rows in 2014 and 2015 (Table 1).
382 Duration of tiller development was also slightly longer for 2-rows than 6-rows in both years, and
383 a lower percentage of tillers were productive in 6-rows compared to 2-rows in both years (Figure
384 S2A). As commonly observed, most 2-rows also had thinner stems, narrower leaves, and longer
385 spikes with fewer, heavier seeds than 6-rows (Figure S2B). Despite the difference in average

386 tiller number, productive tiller number distributions in 2-rows and 6-rows largely overlapped
387 (Figure S2C). Furthermore, some 6-rows produced as many tillers as high tillering 2-rows, and
388 some 2-rows produced as few tillers as low tillering 6-rows (Figure S2C).

389 In earlier studies, variation in *PPD-H1* was shown to influence days to heading, leaf size,
390 tiller number, and tillering duration (Turner *et al.* 2005; Alqudah *et al.* 2016, 2018; Digel *et al.*
391 2016). One SNP included in this study, BK_14, is 308 bp upstream of *PPD-H1* and has been
392 previously shown to be in complete or near-complete LD with a SNP in the CONSTANS (CO),
393 CO-like, and TOC1 (CCT) domain of *Ppd-H1* and is a likely causal variant underlying
394 photoperiod sensitivity differences (Turner *et al.* 2005; Digel *et al.* 2016). LD analysis indicated
395 that all SNPs in *PPD-H1* and several that flanked it were in high LD (Figure S3). Therefore,
396 BK_14 was used to distinguish lines as having the photoperiod sensitive *Ppd-H1* (G) allele or the
397 photoperiod insensitive *ppd-H1* (A) allele, and correlation of *PPD-H1* alleles and tiller number
398 was assessed separately in 2-rows and 6-rows. We found that 2-row accessions carrying *ppd-H1*
399 had more tillers than 2-rows carrying *Ppd-H1*, but tiller number was not significantly different
400 between 6-rows carrying the two *PPD-H1* alleles (Figure S4A). Interestingly, days to heading
401 explained a larger proportion of variance in multiple linear regression (MLR) models of tiller
402 number in 6-rows than 2-rows in both years (Figure S4B), suggesting that variation in other
403 genes that influence photoperiod sensitivity could affect tiller number more strongly than *PPD-*
404 *H1* in this 6-row germplasm.

405 The large number of lines included in this study allowed us to characterize and quantify
406 percent variance in tiller number explained by both spike row-type and photoperiod sensitivity
407 simultaneously. Only data from 2015 was used for these analyses because more traits were
408 measured in 2015 and variance in tiller number was higher than in 2014, as shown by higher

409 standard deviation in tiller number (Table 1). In addition, photoperiod response was represented
410 by days to heading in these analyses, and spike row-type was represented by seeds per spike in
411 MLR models for all lines.

412 MLR models with tiller number as the response variable and other traits as predictor
413 variables indicated that days to heading and spike row type explained a high proportion of
414 variance in tiller number (Figure 2A). Together they explained 28% of the total variance in
415 maximum tiller number and 12% of the total variance in productive tiller number (Figure 2A).
416 Interestingly, a very small proportion of variance in productive tiller number was explained by
417 days to heading (1.9%) (Figure 2A), probably due to variability in tiller survival between lines.
418 Average differences in tiller survival represented by percent productive tillers between 2-rows
419 and 6-rows (Figure S2A) could explain why seeds per spike accounted for a larger proportion of
420 variance in productive tiller number than maximum tiller number.

421 Principal coordinates (PCo) analysis based on tiller number throughout development and
422 productive tiller number also indicated that a large proportion of variance in tiller number was
423 explained by days to heading and spike row-type. Groups based on spike row-type and days to
424 heading were more strongly correlated than any other single trait with PCo1 ($R=0.59$, $p<2.2e-16$), which explained 86% of the total variance in the PCo model (Figure 2B). Furthermore,
425 although 6-rows produced fewer tillers on average than 2-rows, maximum tiller number in late
426 heading 6-rows (>60 days) was not significantly different from earlier heading 2-rows (<60
427 days), indicating that high tiller number can be achieved in late heading 6-rows (Figure 2C).
428

429 **Trade-offs between tillering and other traits**

430 Tiller number and other traits were compared to evaluate trade-offs associated with high
431 tiller number. Because spike row-type influences tiller number and other traits, trade-offs were
432 assessed separately in 2-row and 6-row subsets and using 2015 data only for the same reasons as
433 previously described. Results of MLR modeling indicated minor trade-offs between tiller number
434 and other vegetative traits. Leaf width and stem diameter explained a significant proportion of
435 variance in productive and maximum tiller number MLR models (Figure 3A), and their
436 coefficients were consistently negative, indicating a tendency for leaf width and stem diameter to
437 decrease as tiller number increased. Both traits were also weakly, negatively correlated with
438 productive tiller number (Table 2 and Table S6).

439 We considered the possibility that larger trade-offs or trade-offs that were not indicated
440 by correlations or MLR modeling could be identified by comparing traits in lines with very
441 different tillering capacities. Therefore, 2-rows and 6-rows were split into 10th and 90th percentile
442 groups based on maximum and productive tiller number (Figure 3B). Despite at least 2.5-fold or
443 higher change in average tiller number between percentile groups (Figure 3B), very few traits
444 were significantly different between percentile groups. Stem diameter was lower in high tillering
445 6-rows (90th percentile, maximum and productive) than low tillering 6-rows (10th percentile,
446 maximum and productive) but was not significantly different between high and low tillering 2-
447 rows (Figure 3C). Fifty kernel weight was also lower and lodging severity increased in high
448 tillering 6-rows (90th percentile, maximum) than low tillering 6-rows (10th percentile, maximum),
449 but they were not significantly different between high and low tillering 2-rows (Figure 3C).
450 Interestingly, the trend in percent productive tillers between percentiles based on maximum tiller
451 number was reversed in percentiles based on productive tiller number (Figure 3D). This suggests
452 that tiller survival had a major impact on final productive tiller number in 2015 and that variation

453 in tiller survival may alleviate trade-offs between tiller number and other traits. Overall, our
454 results suggest that trade-offs between tiller number and other traits were very minor and were
455 slightly more pronounced in 6-rows than 2-rows, but, in general, there were no major trade-offs
456 between tiller number and other traits independent of spike row-type.

457 It is likely that lower tiller number in 6-rows than 2-rows is due to a trade-off with seeds
458 per spike, which is inherently higher in 6-rows (Figure S2B). However, there was no evidence
459 from our study that more seeds per spike within 2-row or 6-row groups was associated with
460 lower tiller number. Overall, results from this study indicated that trade-offs between tiller
461 number and seeds per spike probably only exist if the difference in seeds per spike is very large,
462 as it is between 2-rows and 6-rows.

463 Few studies have described trade-offs between tiller number and other traits in barley or
464 other small grain crops, and the results have been inconsistent. For example, Kebrom et al.
465 (2012) reported that removing tillers in wheat could induce development of larger spikes with
466 more seeds. However, another study examined yield and yield-related traits in barley under
467 different seeding densities over two years and found that there was no trade-off between tillers
468 per plant and seeds per spike (Stoskopf and Reinbergs 1966). They found that the seeding
469 density at which seeds per spike was highest was the same density at which productive tiller
470 number per plant was highest. Furthermore, when they compared 20 high-yielding lines and 20
471 low-yielding lines, they found that average seeds per spike was higher in high-yielding lines but
472 that average tiller number was not different.

473 **Natural genetic variation associated with tillering**

474 Population structure was characterized in all lines in the diversity panel prior to
475 association mapping. As with the entire NSGC collection, population structure analysis of all
476 lines in the diversity panel using STRUCTURE resulted in five subpopulations, corresponding to
477 those described in Muñoz et al. (2014), that were distinguished primarily by spike row-type,
478 collection location, and improvement status (Figure S5 and Table S1). Days to heading and tiller
479 number did not vary by improvement status (landraces versus cultivars) in Subpopulations (SP)
480 1, 3, and 4 (Figure S6). SP2 and SP5 were not compared because they almost exclusively
481 contained landraces (Figure S5). Tiller number was higher in SP3 than SP1 or SP4 (Figure S6),
482 but this was likely due to the fact that SP3 contained primarily 2-rows while SP1 and SP4
483 contained primarily 6-rows.

484 Genome-wide association mapping was performed using 2014 and 2015 raw or adjusted
485 (if applicable based on Table S2) phenotypic data for all tillering traits, days to heading, and
486 spike row-type. Tillering QTL included SNPs significantly associated with tiller number, rate of
487 tillering, and tillering principal coordinates. Tiller number included 2-7WPE, productive, and
488 maximum tiller number. Thirty-seven QTL were associated with tillering traits in 2014 and
489 2015, (Table 3); however, only four were identified in both years, one on 2H at 56.82-58.76 cM
490 (2H-58), one on 5H at 47.89-48.10 cM (5H-48), and two on 7H at 31-33.67 cM (7H-33) and
491 70.16-70.54 cM (7H-70) (Table 3, Figure 4A). These four tillering QTL accounted for a very
492 small proportion of variance in tillering traits (Table S10), while the QTL that explained the most
493 variance in tiller number were not detected in both years, one on 2H at 13.72 – 23.24 cM (2H-
494 19) in 2014, and one on 3H at 35.39 cM (3H-135) in 2015 (Figure S7). The 2H-19 QTL
495 overlapped the *PPD-H1* locus and was associated with tiller number, tillering rate, and tillering
496 PCo1 in all lines and with tiller number and tillering rate in 2-rows (Figure S7). For many

497 tillering traits in 2014, 2H-19 was the only QTL identified (Figure S7), and the allelic effect size
498 for tiller number measurements ranged from 1.1-1.5 tillers (Table S10). The 3H-135 QTL was
499 associated with tiller number, tillering rate, and tillering PCo1 in all lines and *Ppd-H1* lines, and
500 with tiller number and tillering rate in 6-rows (Figure S7). For many tillering traits in 2015, 3H-
501 135 was the only QTL identified, and the allelic effect size for tiller number measurements
502 ranged from 1.5-4 tillers (Table S10).

503 Measuring tiller number throughout development provided opportunities to identify QTL
504 associated with tillering rate, and to compare the number of QTL associated with tillering at
505 different time points. Fourteen out of 23 and six out of 14 tillering QTL were associated only
506 with tillering rate, and not tiller number, in 2014 and 2015, respectively (Table 3). Tiller number
507 at later time points (5-7WPE, maximum, and productive) was associated with more QTL than at
508 earlier time points (Figure S7). No QTL were associated with tiller number at 2WPE in either
509 year; and no QTL were associated with tillering rate early in development (2-4 WPE) in 2014
510 (Figure S7), possibly due to low phenotypic variance during seedling development (Table 2).

511 Grouping lines based on their *PPD-H1* genotype and spike row-type allowed us to
512 identify QTL that were not identified in all lines, and to observe that there was virtually no
513 overlap in QTL detected in 2-rows and 6-rows or *Ppd-H1* and *ppd-H1* lines. In 2014, very few
514 (four out of the 23) QTL associated with tillering were uniquely identified in all lines, whereas
515 ten unique tillering QTL were identified in *ppd-H1* lines (Figure S7). Two QTL were uniquely
516 identified in 2-rows and one was uniquely identified in 6-rows in 2014 (Table 3). No unique
517 QTL were identified in *Ppd-H1* lines in 2014 (Table 3). In 2015, more QTL were identified in all
518 lines than in any other group. All of the tillering QTL identified in 6-rows were also identified in
519 all lines, and despite high phenotypic variance in 2015, no QTL were associated with tillering in

520 2-rows, possibly due to low allele frequency and the presence of many small effect loci that
521 influence tillering. Including the *Ppd-H1* group enabled identification of three unique QTL
522 (Table 3). In addition to identifying unique QTL within each year, including groups based on
523 spike row-type and *Ppd-H1* genotype also enabled detection of three of the four QTL that were
524 associated with tillering in both years. Only one of the four tillering QTL identified in both years,
525 2H-58, was identified in all lines in both years (Table 3).

526 Interestingly, three of the four QTL identified in both years in this study were also
527 identified in a study by Alqudah et al. (2016) (2H-58, 5H-48, and 7H-70), which measured tiller
528 number throughout development in a greenhouse-grown diversity panel, suggesting that these
529 three QTL consistently influence tiller number under different environmental conditions. In total,
530 ten of the 33 tillering QTL identified in this study were also identified in the Alqudah et al. study
531 – relatively few considering the large number of QTL identified between the two studies. This
532 modest overlap could be attributed to differences in overall tillering capacity between
533 greenhouse-grown and field-grown barley, as field-grown barley has more potential to reach
534 higher tillering capacities under favorable conditions. This could also explain the low overlap
535 between the two years in our study, as tillering capacities differed greatly between the two years.
536 It is also possible that the different diversity panels used in our study and the Alqudah et al. study
537 harbor different alleles that influence tiller number. Therefore, growing different mapping panels
538 under different environmental conditions is probably necessary to capture the full extent of
539 natural genetic variation underlying tiller development.

540 **Overlap of natural genetic variation associated with tillering, days to heading, and spike**
541 **row-type**

542 Because tiller number was correlated with days to heading and spike row-type, we
543 expected to see some overlap between QTL associated with these traits. In 2014, nine of 23
544 tillering QTL were also associated with row-type and/or heading, and in 2015, seven out of 14
545 tillering QTL were also associated with row-type and/or heading (Figure 4A). However, if all
546 QTL associated with heading regardless of year were included, overlap between tillering QTL
547 and heading QTL, especially in 2014, was much more extensive (Figure 4B). Incidentally, there
548 was very little overlap between row-type QTL and heading QTL in either year (Figure 4B). Only
549 one tillering QTL, 2H-58, which was the only one associated with tillering in all lines in both
550 years, was also the only one associated with heading and row-type in both years (Figure 4A).

551 Interestingly, all four of the tillering QTL identified in 2014 and 2015 overlapped genes
552 that have been previously shown to influence heading or circadian rhythm in barley, and all of
553 them were also associated with heading in this study (Figure 4A). *HvCEN*
554 (HORVU2Hr1G072750, 58.7 cM) is located in the 2H-58 QTL interval (Table 3) and was shown
555 in a recent study that characterized 23 independent *HvCEN* mutants to influence flowering time,
556 the number of spikelets per spike, and tiller number (Bi *et al.* 2019). Variation in *HvCEN* was
557 also associated with days to heading in earlier studies (Comadran *et al.* 2012; Loscos *et al.*
558 2014). As previously mentioned, QTL in this region were identified for tiller number, days to
559 heading, and spike row-type in all lines in both years. Although variation in *HvCEN* affects the
560 number of spikelets per spike, there is no evidence that it affects the number of fertile florets per
561 spikelet, so it is likely that another gene in this region is associated with spike row-type.
562 *HvMADS15*, a MADS-box gene homologous to *APETALA1/FRUITFULL*
563 (HORVU2Hr1G063800, 58.76 cM) is a more likely candidate because its expression is nearly
564 undetectable in spike row-type *vrs3/int-c* double mutants, indicating a role in spike row-type

565 determination (Zwirek *et al.* 2019). *VRS3* encodes a histone demethylase, and mutants have an
566 intermediate spike row-type like *int-c* mutants (van Esse *et al.* 2017; Bull *et al.* 2017). The 5H-
567 48 QTL overlaps *HvELF4-like* (HORVU5Hr1G060000, 48.4 cM), a homolog of Arabidopsis
568 *EARLY FLOWERING 4* that is a likely candidate for environmental adaptation selection in
569 barley landraces (Russell *et al.* 2016). *HvFT1/VRN-H3* (HORVU7Hr1G024610, 33.67 cM), an
570 ortholog of Arabidopsis *FLOWERING LOCUS T (FT)*, is located in the 7H-33 QTL interval and
571 is an important regulator of flowering time in barley. Russell *et al.* (2016) found that *HvFT1* was
572 more strongly associated with latitude in landraces than any other flowering gene, indicating its
573 importance for adaptation, and variation in *HvFT1* was associated environmental adaptation and
574 days to heading in other studies as well (Casas *et al.*, 2011; Loscos *et al.*, 2014; Maurer *et al.*,
575 2015). The fourth QTL identified in both years for tillering rate and heading, 7H-70, co-localized
576 with a probable ortholog (HORVU7Hr1G070870, 70.8 cM), based on sequence homology and
577 circadian expression pattern, of the partially redundant circadian genes in Arabidopsis,
578 *CIRCADIAN CLOCK ASSOCIATED 1 (CCA1)* and *LATE ELONGATED HYPOCOTYL (LHY)*
579 (Campoli *et al.* 2012b).

580 We found that more tillering QTL colocalized with days to heading QTL than with spike
581 row-type QTL (Figure 4B), and surprisingly, no tillering QTL overlapped the *VRS1* locus or
582 other *VRS* loci in either year, despite significant differences in all tillering traits between 2-rows
583 and 6-rows in both years. This could be due to the extensive overlap in tiller number
584 distributions between 2-rows and 6-rows that was previously mentioned (Figure S2C).

585 **Tillering QTL do not overlap known tillering genes**

586 As previously described, mutations influencing tiller number have been identified and
587 several mutated genes have been characterized. Interestingly, none of the QTL in our study

588 overlapped known tillering genes or mutants. The Alqudah et al. study (2016) identified tillering
589 QTL that mapped near the low tillering gene *CUL4* (3H, 137.74), but they did not identify other
590 QTL overlapping known tillering genes. The 3H-135 QTL in our study mapped near *CUL4*;
591 however, it is an unlikely candidate gene because LD decays below background levels between
592 3H-135 and *CUL4* at 137.71 cM (Figure S8). The nearest gene to 3H-135
593 (HORVU3Hr1G103960, 135.39 cM) encodes an epoxide hydrolase that is more highly
594 expressed in developing tillers than any other tissues based on expression data from Barlex
595 (barlex.barleysequence.org). Another potential candidate gene in this region is homologous to
596 *PLASTOCHRON3/GOLIATH* (HORVU3Hr1G104570, 135.39 cM), which encodes a glutamate
597 carboxypeptidase that regulates plastochron length in rice (Kawakatsu *et al.* 2009 p. 3). A
598 plastochron is the time interval between formation of successive leaf primordia (McMaster
599 2005), and *PLASTOCHRON* mutants are characterized by an increased rate of leaf development.
600 Leaves develop in a phytomer unit that also contains an axillary bud (McMaster 2005), so
601 reduced plastochron (faster leaf development) could also result in higher tiller number under
602 favorable environmental conditions. For example, mutations in *MND4/6*, a gene homologous to
603 rice *PLASTOCHRON1*, causes a high tillering phenotype (Mascher *et al.* 2014).

604 The low tillering mutants *cul2*, *cul4*, *als*, and *Int1* are deficient in axillary meristem
605 initiation and maintenance and produce few, if any primary axillary buds (AXB) and no
606 secondary AXB (Babb and Muehlbauer 2003 p. 2; Dabbert *et al.* 2009, 2010; Tavakol *et al.*
607 2015). Primary AXB form in leaf axils of the main shoot, and secondary AXB form in leaf axils
608 of tillers that develop from primary AXB. Natural variation in primary AXB number has not
609 been assessed, but it is possible that variance in tiller number is influenced more by genes
610 regulating initiation of higher level (secondary, tertiary, etc.) AXB and outgrowth of tillers.

611 Genomewide association studies on the number of secondary and tertiary AXB and outgrowth of
612 tillers, could be a useful way to identify new natural genetic variation for tiller development in
613 barley.

614 CONCLUSIONS

615 Tillering is a complex trait influenced by environment, other traits, and many small effect
616 loci. Based on results of this study it appears that plants utilize resources and make more grain
617 bearing spikes when conditions are favorable, without sacrificing other components of yield, like
618 seed number or weight. In addition, our results and other studies indicate that genetic variation
619 associated with days to heading and spike row-type consistently influences tiller number across
620 different environments. However, identifying genetic variation associated with tiller number in
621 different environments will be essential for gaining a full understanding of the genetic control of
622 tiller development and may be useful for identifying variation suited for adaptation to specific
623 environments.

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637

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Figure 1. Overview of tiller development (tillering) and other traits. A) Progression of average tiller number throughout the growing season for 54 lines grown in 2014, 2015, and 2016. B) Box plots summarizing tillering traits for 54 lines grown in all three years. Diamonds represent mean trait values, and letters indicate whether groups are significantly different based on FDR-adjusted p-values from ANOVA in conjunction with Tukey Test. C) Box plots summarizing non-tillering traits show similar relationship between years as average productive tiller number.

Figure 2. Days to heading and spike row-type explained a large proportion of variance in tiller number in 2015. A) Bar plots showing percent variance explained by predictor variables in multiple linear regression models of maximum and productive tiller number for all lines. White numbers on bars represent percent variance explained by individual predictor variables. Traits shaded in red and blue are positively and negatively associated with tiller number, respectively. Numbers beside bars are total percent variance explained (R^2) of the entire model. Seeds per spike was included in the model as a proxy for spike row-type (2-row or 6-row). B) Principal coordinates (PCo) analysis based on weekly and productive tiller number measurements for all lines. Percent variance explained by PCo 1 and 2 is shown on axes. Strong correlation between PCo 1 and groups based on spike row-type (2-row or 6-row) and days to heading ($R=0.59$, $p < 2.2e-16$) indicates that these traits explain a large proportion of variance in tiller number. C) Comparison of mean tiller number at six weeks past emergence (WPE) between groups based on spike row-type and days to heading.

Figure 3. Minor trade-offs between tiller number and other traits in 2015. A) Percent variance explained by predictor variables in multiple linear regression models of maximum tiller number in 2-rows and 6-rows in 2015. White numbers on bars represent percent variance

explained by individual predictor variables (< 2% if number is not shown). Traits shaded in red and blue are positively and negatively associated with tiller number, respectively. Numbers beside bars are total percent variance explained (R^2) of the entire model. B) (Left) Representative density plot on left illustrates assignment of 2-row and 6-row lines into percentile groups based on maximum and productive tiller number. (Right) Comparison of tiller number in percentile groups based on maximum and productive tiller number. Diamonds represent mean trait values, and letters indicate whether groups were significantly different (Tukey Test, FDR-adjusted p-value < 0.01). C) Box plots showing traits that were significantly different between percentile groups based on maximum and productive tiller number. D) Box plots of percent productive tillers (tillers that survive and form grain-bearing spikes).

Figure 4. Most quantitative trait loci (QTL) associated with tillering overlapped QTL associated with days to heading and/or spike row-type. (A) Genetic positions on all chromosomes of significant SNPs (+/- 2 cM) associated with tillering, days to heading, and spike row-type. Only heading and row-type QTL that overlapped tillering QTL are shown. (B) Venn diagrams showing the number of tillering QTL in 2014 and 2015 that overlapped QTL associated with days to heading and spike row-type.

Table 1. Summary statistics for tillering traits measured in 2014 and 2015.

Trait	Lines	Number of Lines	Mean		Standard Deviation		p-value ^a	H ²
			2014	2015	2014	2015		
Tiller Number 2WPE	All	756	1.58	3.13	0.81	0.74	2.0E-09	0.35
	2-Row	375	1.84	3.38	0.83	0.76	0.032	0.17
	6-Row	381	1.31	2.88	0.70	0.62	3.7E-03	0.24
	<i>Ppd-H1</i>	324	1.40	3.03	0.74	0.67	7.1E-05	0.35
	<i>ppd-H1</i>	432	1.72	3.21	0.83	0.77	5.3E-05	0.31
Tiller Number 3WPE	All	756	3.39	8.00	1.63	2.26	6.4E-07	0.30
	2-Row	375	3.77	9.27	1.66	2.13	0.168	0.09
	6-Row	381	3.00	6.75	1.52	1.58	0.047	0.16
	<i>Ppd-H1</i>	324	3.06	7.44	1.56	1.93	6.3E-04	0.30
	<i>ppd-H1</i>	432	3.63	8.42	1.65	2.39	3.9E-03	0.23
Tiller Number 4WPE	All	756	5.66	12.98	2.33	3.58	6.2E-11	0.38
	2-Row	375	6.08	14.13	2.26	3.47	9.4E-04	0.28
	6-Row	381	5.24	11.85	2.34	3.33	2.7E-06	0.37
	<i>Ppd-H1</i>	324	5.17	12.40	2.26	3.46	1.1E-07	0.44
	<i>ppd-H1</i>	432	6.03	13.42	2.32	3.61	3.0E-04	0.28
Tiller Number 5WPE	All	756	7.11	19.27	2.98	6.28	3.0E-16	0.45
	2-Row	375	7.53	21.51	2.98	5.76	6.0E-06	0.37
	6-Row	381	6.68	17.06	2.92	5.98	1.8E-11	0.50
	<i>Ppd-H1</i>	324	6.39	17.75	2.88	6.10	2.3E-11	0.52
	<i>ppd-H1</i>	432	7.64	20.40	2.94	6.17	1.1E-05	0.34
Tiller Number 6WPE	All	756	7.21	19.97	3.28	6.73	4.4E-25	0.53
	2-Row	375	7.99	22.47	3.29	6.14	3.4E-08	0.43
	6-Row	381	6.45	17.51	3.10	6.38	1.3E-15	0.56
	<i>Ppd-H1</i>	324	6.16	18.22	3.03	6.40	4.1E-15	0.58
	<i>ppd-H1</i>	432	8.00	21.28	3.25	6.68	3.1E-09	0.43
Tiller Number 7WPE	All	756	6.72	18.98	3.31	6.54	2.1E-22	0.51
	2-Row	375	7.53	21.90	3.31	6.07	1.8E-06	0.38
	6-Row	381	5.92	16.11	3.11	5.67	3.7E-15	0.55
	<i>Ppd-H1</i>	324	5.60	17.13	3.03	5.87	5.4E-12	0.54
	<i>ppd-H1</i>	432	7.56	20.37	3.26	6.68	9.0E-09	0.42
Tiller Number Maximum	All	756	8.01	20.90	3.45	6.88	6.3E-22	0.50
	2-Row	375	8.69	23.44	3.42	6.22	1.2E-06	0.39
	6-Row	381	7.34	18.40	3.34	6.59	3.1E-15	0.56
	<i>Ppd-H1</i>	324	6.95	19.29	3.24	6.71	4.2E-14	0.57
	<i>ppd-H1</i>	432	8.81	22.11	3.38	6.76	1.5E-07	0.39
Tiller Number Productive	All	744	6.14	13.17	3.14	4.29	4.7E-13	0.41
	2-Row	372	6.96	15.43	3.14	4.13	4.3E-03	0.24
	6-Row	372	5.32	10.90	2.92	3.09	9.9E-05	0.32
	<i>Ppd-H1</i>	314	4.92	11.98	2.47	3.38	6.8E-05	0.35
	<i>ppd-H1</i>	430	7.04	14.04	3.27	4.66	3.4E-05	0.32

^ap-values indicate significance of genetic variance based on 2-way ANOVA.

Table 2. Pearson's correlation coefficients for tillering traits versus other traits for lines grown in each year.

Line Subset	Seeds per Spike			Spike Row Type			Fifty Kernel Weight			Days to Heading			Ppd-H1 Genotype			Plant Height			Stem Diameter		
	2014	2015	2016	2014	2015	2016	2014	2015	2016	2014	2015	2016	2014	2015	2016	2014	2015	2016	2014	2015	
Maximum Tiller Number																					
All	n.s.	-0.32	-0.35	-0.18	-0.36	-0.37	n.s.	n.s.	n.s.	0.39	0.46	0.74	0.27	0.20	0.34	0.42	n.s.	n.s.	0.15	-0.30	
2-Row	0.13	n.s.	n.s.	---	---	---	n.s.	-0.14	-0.64	0.27	0.42	0.80	0.33	0.26	0.69	0.42	n.s.	n.s.	0.22	n.s.	
6-Row	n.s.	n.s.	n.s.	---	---	---	n.s.	-0.22	n.s.	0.48	0.52	0.68	n.s.	n.s.	n.s.	0.44	n.s.	n.s.	0.21	-0.21	
<i>Ppd-H1</i>	0.15	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	-0.52	0.43	0.49	0.55	---	---	---	0.39	n.s.	n.s.	n.s.	-0.26	
<i>ppd-H1</i>	-0.15	-0.43	-0.68	-0.19	-0.46	-0.62	n.s.	0.14	n.s.	0.26	0.37	0.73	---	---	---	0.37	n.s.	n.s.	n.s.	-0.34	
Productive Tiller Number																					
All	-0.14	-0.50	-0.45	-0.22	-0.52	-0.57	0.12	0.28	0.53	0.45	n.s.	n.s.	0.29	0.23	n.s.	0.37	n.s.	n.s.	n.s.	-0.38	
2-Row	0.14	n.s.	n.s.	---	---	---	n.s.	n.s.	n.s.	0.28	n.s.	n.s.	0.32	0.16	n.s.	0.42	n.s.	n.s.	0.15	-0.15	
6-Row	n.s.	n.s.	n.s.	---	---	---	n.s.	n.s.	n.s.	0.59	n.s.	n.s.	0.15	n.s.	n.s.	0.37	n.s.	n.s.	n.s.	-0.24	
<i>Ppd-H1</i>	n.s.	-0.39	n.s.	n.s.	-0.42	n.s.	n.s.	0.15	0.57	0.51	n.s.	n.s.	---	---	---	0.32	n.s.	n.s.	n.s.	-0.34	
<i>ppd-H1</i>	-0.19	-0.48	-0.55	-0.20	-0.52	-0.65	0.18	0.32	0.54	0.32	n.s.	n.s.	---	---	---	0.34	n.s.	n.s.	n.s.	-0.43	
Percent Productive Tillers																					
All	-0.19	-0.17	n.s.	-0.21	-0.15	n.s.	0.19	0.23	0.50	0.27	-0.42	-0.77	0.15	n.s.	n.s.	0.10	n.s.	n.s.	n.s.	-0.12	
2-Row	n.s.	-0.15	n.s.	---	---	---	0.19	0.21	0.77	n.s.	-0.44	-0.84	0.14	-0.18	-0.73	0.14	n.s.	n.s.	n.s.	n.s.	
6-Row	n.s.	n.s.	n.s.	---	---	---	n.s.	0.16	n.s.	0.38	-0.44	-0.78	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	
<i>Ppd-H1</i>	n.s.	-0.26	n.s.	n.s.	-0.25	-0.53	n.s.	0.21	0.69	0.24	-0.58	-0.80	---	---	---	n.s.	n.s.	n.s.	-0.19	n.s.	
<i>ppd-H1</i>	-0.20	-0.13	n.s.	-0.21	n.s.	n.s.	0.27	0.26	n.s.	0.24	-0.33	-0.76	---	---	---	0.12	n.s.	n.s.	n.s.	-0.16	
Tillering Rate: Maximum Tiller number / Tillering Duration (Weeks)																					
All	n.s.	-0.22	-0.34	n.s.	-0.28	-0.44	n.s.	n.s.	n.s.	0.20	0.50	0.67	0.19	0.16	0.37	0.44	n.s.	n.s.	0.24	-0.25	
2-Row	0.14	n.s.	n.s.	---	---	---	n.s.	-0.16	-0.63	0.14	0.49	0.79	0.26	0.26	0.70	0.43	n.s.	n.s.	0.28	n.s.	
6-Row	0.14	n.s.	n.s.	---	---	---	n.s.	-0.20	n.s.	0.25	0.53	0.52	n.s.	n.s.	n.s.	0.46	n.s.	n.s.	0.30	-0.21	
<i>Ppd-H1</i>	0.17	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	0.23	0.56	0.58	---	---	---	0.42	n.s.	n.s.	0.25	-0.20	
<i>ppd-H1</i>	n.s.	-0.35	-0.68	n.s.	-0.40	-0.71	n.s.	n.s.	n.s.	n.s.	0.41	0.60	---	---	---	0.41	n.s.	n.s.	0.19	-0.31	

Non-significant ($p>0.01$) are denoted by n.s.

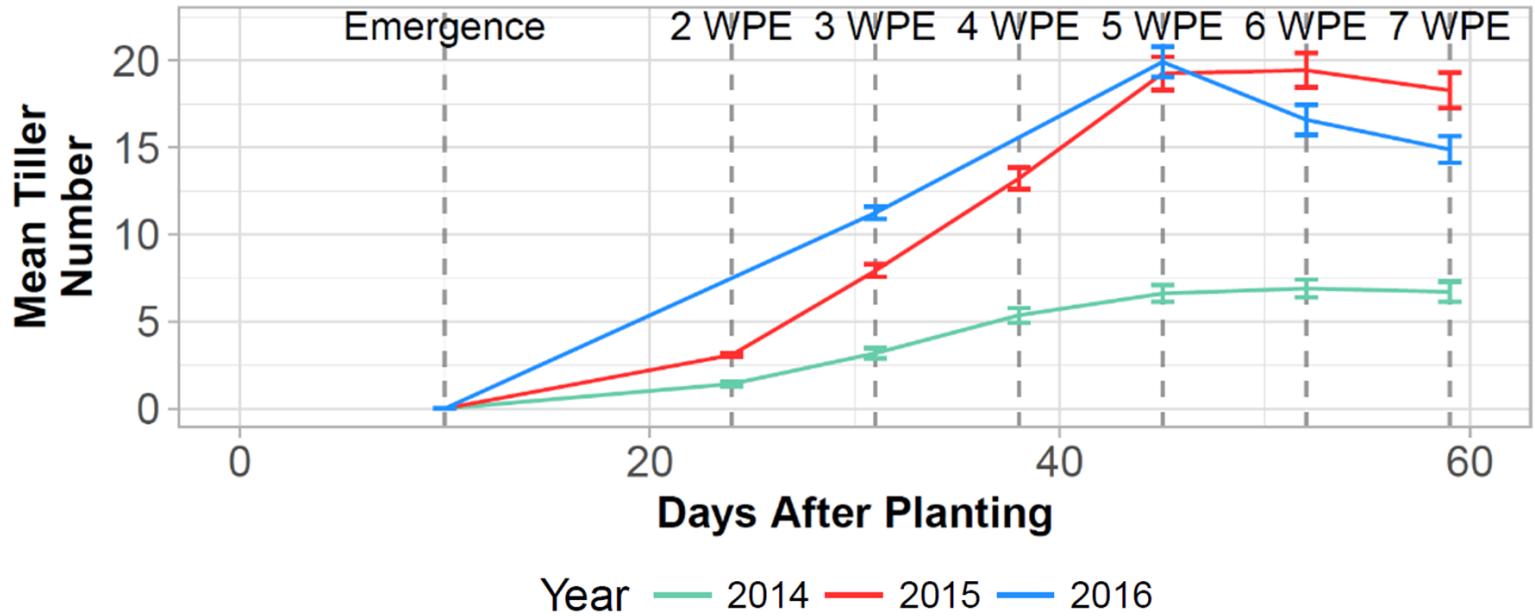
Table 3. Quantitative trait loci (QTL) associated with tillering in 2014 and 2015.

Chrom	Position cM	Position Mb	Candidate Gene	2014 All	2014 2-Row	2014 6-Row	2014 Ppd-H1	2014 ppd-H1	2015 All	2015 2-Row	2015 6-Row	2015 Ppd-H1	2015 ppd-H1
1H	44.01	39306516	NA	---	---	---	---	N--	---	---	---	---	---
1H	80.27	492231577	NA	---	---	---	---	---	-R-	---	---	---	-R-
2H	8.1	14055173	NA	---	---	---	---	---	-R-	---	---	---	---
2H	13.72-23.24	18658962-31111153	<i>Ppd-H1</i>	NRP	NR-	---	---	---	---	---	---	---	---
2H	43.09	54263832	NA	N--	---	---	---	---	---	---	---	---	---
2H	47.46-51.59	70305728-94010493	<i>FT4</i>	---	---	---	---	---	NR-	---	---	---	-R-
2H	56.82-58.76	170753050-500471658	CEN or AP1	-R-	---	---	-R-	---	NR-	---	---	---	NR-
2H	67.75	631099978	<i>CO4</i>	---	---	---	---	---	-R-	---	---	---	---
2H	86.67	671627122-671718762	NA	---	---	---	---	---	---	---	---	NR-	---
2H	90.15	678954955	NA	---	---	-R-	---	---	---	---	---	---	---
2H	94.15	682607357	NA	---	-R-	---	---	---	---	---	---	---	---
2H	139.93	749156584	NA	---	---	---	---	---	-R-	---	---	---	---
3H	36.95	32875005	NA	---	---	---	---	-R-	---	---	---	---	---
3H	46.40-46.73	87626129-93522710	<i>FT2 or GI</i>	---	---	---	---	---	-R-	---	---	---	---
3H	53.78	491541454	NA	-R-	---	---	-R-	---	---	---	---	---	---
3H	75.22	582897121	<i>FDL4</i>	---	---	---	---	---	NR-	---	---	---	---
3H	104.41	627260793-631633600	<i>GA20ox3</i>	---	---	---	---	-R-	---	---	---	---	---
3H	108.74	633415954	<i>sdw1</i>	---	---	---	---	-R-	---	---	---	---	---
3H	135.39	666048593-667646315	NA	---	---	---	---	---	NPR	---	NR-	NPR	---
4H	26.04	10017450	NA	N--	N--	---	---	---	---	---	---	---	---
4H	51.53	421390780-422326469	<i>PRR59, FDL5, or FKF1</i>	N--	---	---	---	---	---	---	---	---	---
4H	115.23-116.20	640426479-641157608	<i>FT5</i>	-R-	---	---	---	NR-	---	---	---	---	---
5H	0.32	1131874	NA	---	---	---	---	---	N--	---	---	---	---

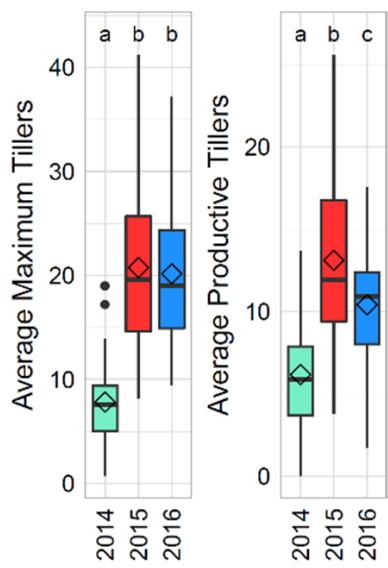
5H	32.04	23902955	NA		N--	--	--	--	--	--	--	--	--	--
5H	38.45	29447751	NA		--	--	--	--	--	--	--	--	-R-	--
5H	47.89-48.10	405622321-437381309	<i>ELF4</i>		--	--	--	--	-R-	-R-	--	--	--	--
5H	139.63	624883939	NA		N--	--	--	--	--	--	--	--	--	--
5H	148.01	635782594	NA		--	--	--	--	--	--	--	--	N--	--
6H	63.46	469166692	NA		--	--	--	--	-R-	--	--	--	--	--
7H	8.76	11333756-11334135	<i>Brh1</i> or <i>Grd5</i>		--	--	--	--	NR-	--	--	--	--	--
7H	31.00-33.67	37988653-42121652	<i>Vrn-H3</i>		--	-R-	--	--	-R-	-R-	--	--	--	--
7H	38.50-38.96	43145614-45702908	NA		--	--	--	--	-R-	--	--	--	--	--
7H	70.16-70.54	196348803-285713945	<i>LHY</i>		--	-R-	--	--	--	-R-	--	--	--	--

Letters designate whether QTL were detected for tiller number (N), tillering rate (R), or tillering principal coordinate 1 (P). QTL detected in both years are in bold.

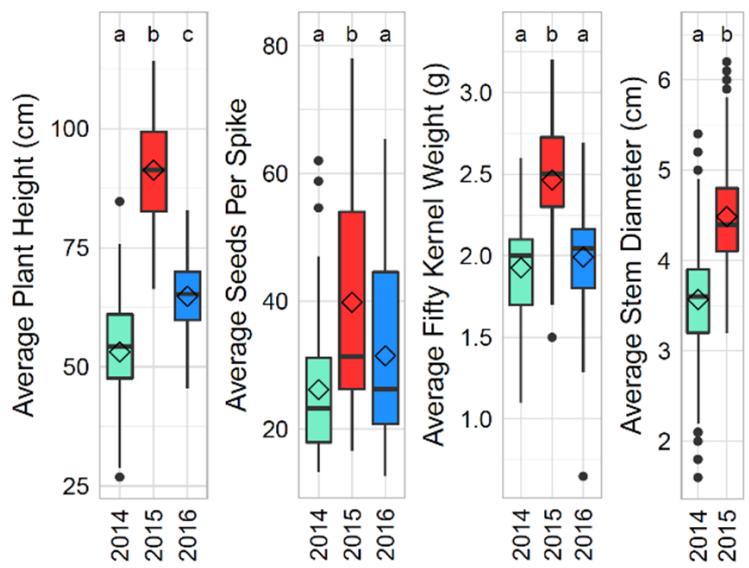
A

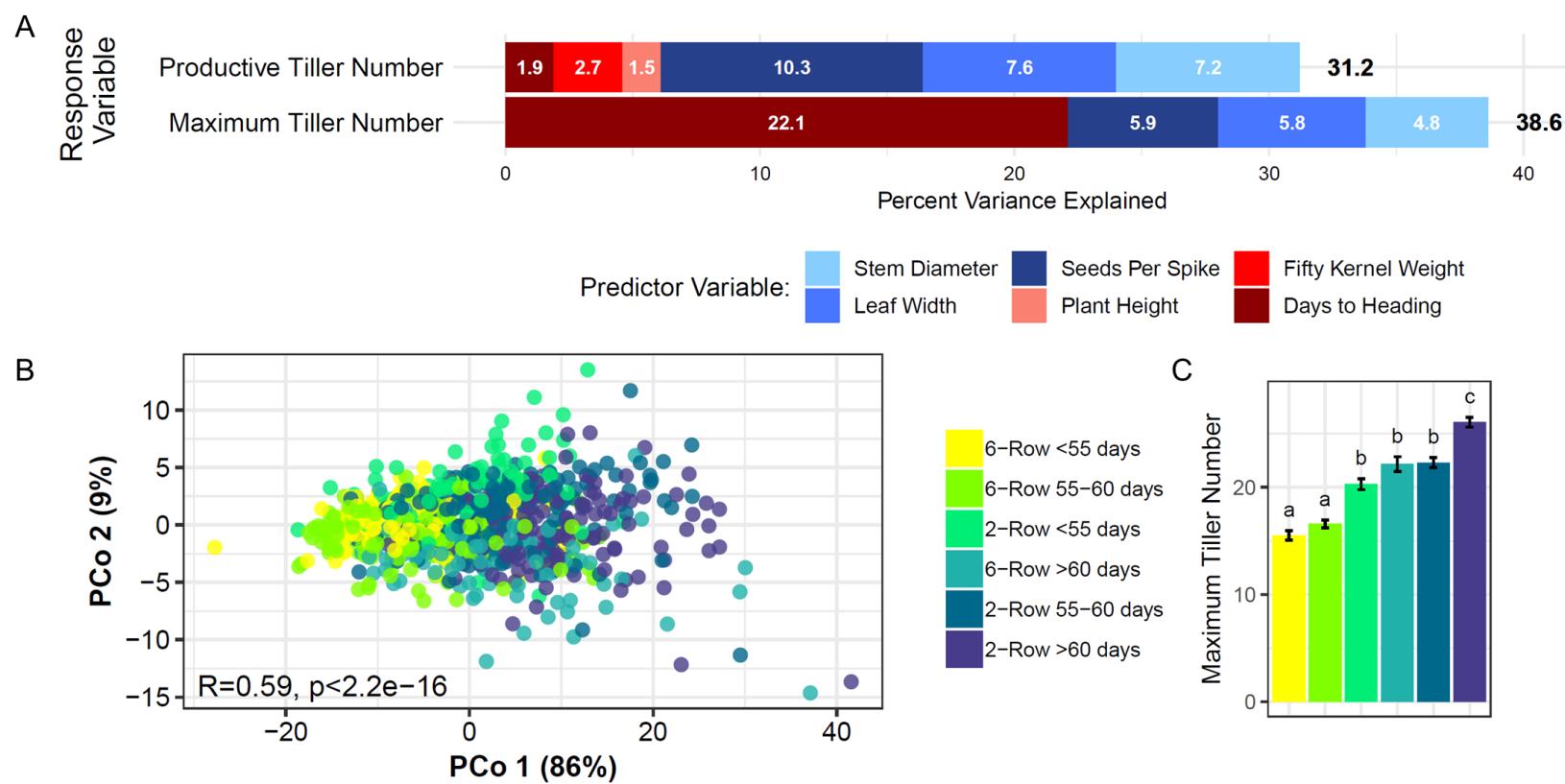


B

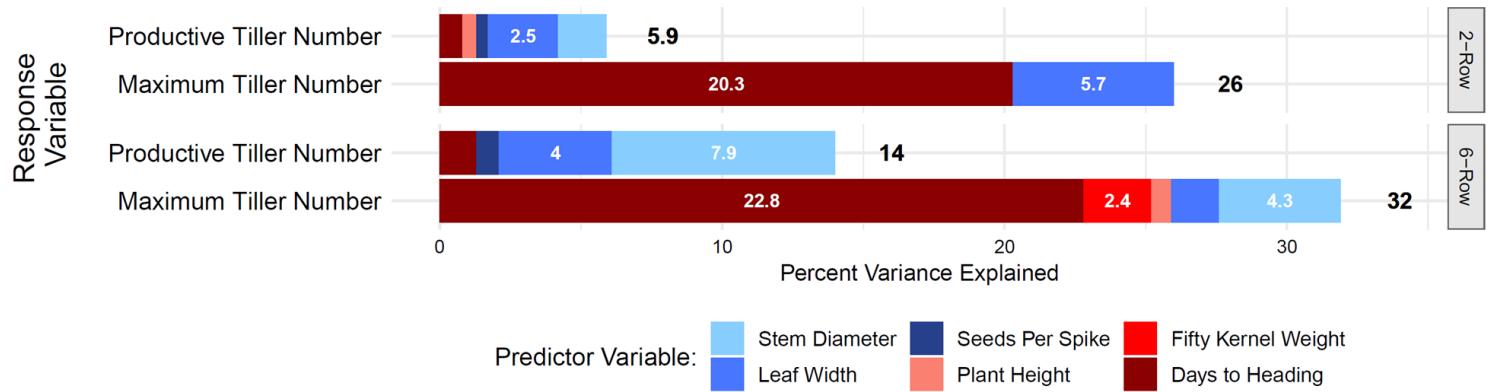


C

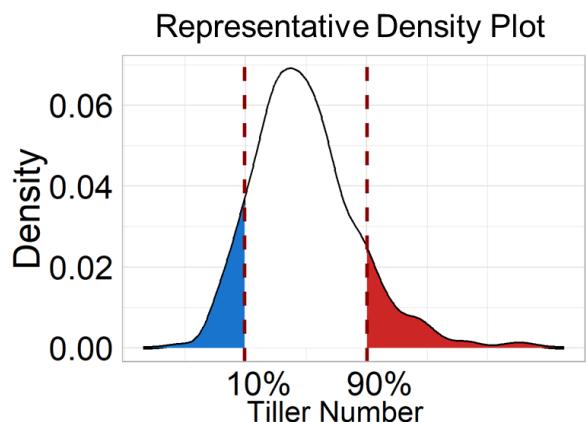




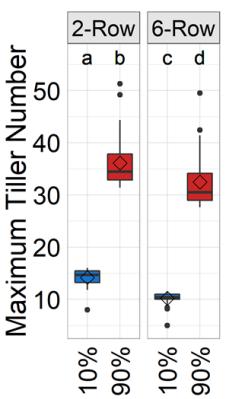
A



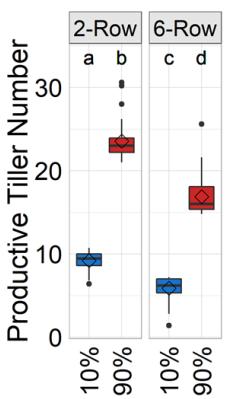
B



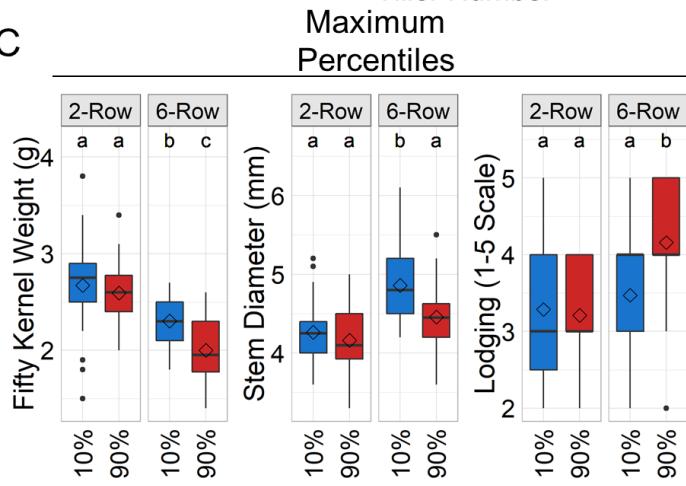
Maximum Percentiles



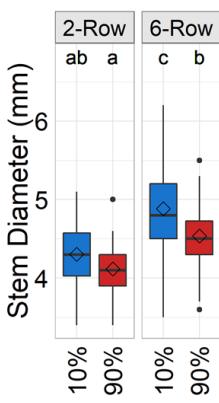
Productive Percentiles



C



Productive Percentiles



D

