

# 1 Unraveling the genetic structure of the coconut scale insect 2 pest (*Aspidiotus rigidus* Reyne) outbreak populations in the 3 Philippines

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## 12 Abstract

13 The Philippines suffered from a devastating outbreak of the coconut scale insect pest, *Aspidiotus*  
14 *rigidus* Reyne inflicting significant economic losses to the country's coconut industry. Despite the  
15 massive outbreak, little is known about the population and dispersal history of this invasive pest  
16 in the Philippines. Here, we examined the genetic diversity, structure and demographic history of  
17 *A. rigidus* sampled from localities with reported outbreaks from 2014 to 2017. We analyzed the  
18 genetic structure of seven *A. rigidus* outbreak populations using mitochondrial *COI* and nuclear  
19 *EF-1 $\alpha$*  markers. Both markers and all methods of population genetic structure analyses indicate  
20 clear differentiation among the *A. rigidus* populations separating the northern (i.e., Luzon  
21 provinces) from the southern (i.e., Basilan and Zamboanga Peninsula) regions of the Philippines.  
22 Very low or no genetic differentiation was observed within and amongst the populations per  
23 geographic region indicating two unrelated outbreak events of the pest originating from two  
24 genetically uniform populations isolated in each respective region. Historical data supports the  
25 resurgence of an established *A. rigidus* population in the south which could have been driven by  
26 sudden climatic changes or human-induced habitat imbalance. Given no historical information,  
27 we disregard the possible resurgence from the northern population and infer that the outbreak  
28 could have resulted from a recent introduction of a non-native *A. rigidus* in the region. Our study  
29 provides valuable information on the genetic differentiation of the two *A. rigidus* groups that would  
30 be useful for developing and implementing biological control strategies against this pest in the  
31 Philippines.

32 Keywords: Coconut scale insect; *Aspidiotus rigidus* Reyne; genetic structure; insect outbreak;  
33 mitochondrial and nuclear markers

34 **Introduction**

35 Insect pest outbreaks are characterized by an explosive increase in the abundance of an  
36 insect population occurring over a relatively short period (Berryman, 1987). Large and rapid  
37 alterations in the environment or changes in the intrinsic genetic or physiological properties  
38 of individual organisms within a population can result to the resurgence of insect pests to  
39 outbreak-level status (Risch, 1987; Ziska *et al.*, 2011). Likewise, insect outbreaks may occur  
40 when non-native species have no or few inefficient natural enemies, and if the local beneficial  
41 species are unable to suppress them in the area of introduction (Handley *et al.*, 2011; Strayer  
42 *et al.*, 2017). The invasive success of pest species may be determined by both the biology  
43 and environmental factors promoting its spread in a suitable area (Prentis *et al.*, 2008;  
44 Renault *et al.*, 2018). A better understanding of the source population, route and the  
45 mechanism of spread could provide valuable insights for designing and implementing  
46 quarantine strategies to understand the invasion success and decline of outbreak populations  
47 (Handley *et al.*, 2011; Kobayashi *et al.*, 2011).

48 In 2009, the Philippines suffered a devastating coconut scale insect (CSI) outbreak  
49 damaging the coconut palms on the provinces of Luzon (northern region of the Philippines)  
50 and currently on some areas in Mindanao (southern region) inflicting significant economic  
51 losses to the country's coconut industry. The diaspidid insect *Aspidiotus rigidus* Reyne  
52 (Hemiptera: Diaspididae) resides on the underside of the leaf, blocks the stomata and sucks  
53 plant sap strongly reducing the plant's photosynthetic activity leading to a characteristic  
54 yellowing and drying of the leaves. Severely infested coconut palms dry up and die within six  
55 months or less (Reyne, 1948). Prior to the renewed interest on *A. rigidus* due to the outbreak  
56 in the Philippines, historical and observational data on the spread of the invasive coconut  
57 pest has been scarce. Other than the most recent observation published by Watson *et al.*  
58 (2015), the last known study on the biology of this invasive species was conducted by Reyne  
59 (1947, 1948) with full documentation of the outbreak in the island of Sangi (North Celebes)

60 in Indonesia from mid-1925 to 1928. The recorded past outbreak by Reyne (1948) naturally  
61 comes to an end after two years due to reduced female fecundity and high mortality of  
62 immature stages (Reyne, 1948). The decrease in *A. rigidus* population may have been  
63 associated with natural enemies that regulated the pest population overtime. However, the  
64 outbreaks from the localities infested with *A. rigidus* in the Philippines took longer times to  
65 recover (Watson *et al.*, 2015), e.g., six years for the northern province of Batangas, or still  
66 on-going for the southern areas, i.e., Basilan, Zamboanga Peninsula, and the Caraga Region.

67 The introduction of *A. rigidus* to the Philippines, and its spread was believed to be  
68 either by wind or by accidental transportation of infested plants, coconut planting materials  
69 and products (Watson *et al.*, 2015). Infestation in the northern provinces of the Philippines  
70 spread like wildfire from its initial local report in Tanauan, Batangas in the Calabarzon Region  
71 (Luzon) from 2009 reaching nearby coconut planted areas throughout the region. These  
72 outbreaks lasted for at least three years (Watson *et al.*, 2015) and were reported manageable  
73 by 2015 (Manohar, 2015). The more recent outbreak in the southern region, specifically in  
74 Basilan, started early 2013 (Watson *et al.*, 2015) implying its direct connection with the  
75 northern outbreak. However, given the means of spread by wind wherein crawlers are  
76 dispersed from one area to another (Watson *et al.*, 2015), it is highly improbable for the  
77 infestation from the northern region to reach the infested southern islands moving pass other  
78 provinces planted with coconut palms along the way. Also, transport of infested plants from  
79 the northern provinces was highly unlikely given the national attention focused on quarantine  
80 and management strategies against the spread of the coconut scale insect during the  
81 outbreak (Javier, 2014; Manohar, 2015).

82 It is also likely that *A. rigidus* has been in the country as a minor pest and regulated  
83 by natural enemies. Based on historical reports, Lever (1969) reported sightings of *A. rigidus*  
84 in the Philippines, and Velasquez (1971) recounted that the pest was probably highly  
85 confined in the southern part of the country. It was more likely that the source of the sighted

86 *A. rigidus* came from the island of Sangi in Indonesia given its relative closeness to Mindanao.  
87 In time, the immigrant *A. rigidus* could have established a resident population complemented  
88 by natural enemies limiting its colonization outside the area of introduction. Changes in  
89 anthropogenic, biotic interactions or climatic factors can influence a population's rise to an  
90 outbreak level (Wilby & Thomas, 2002; Ziska *et al.*, 2011). The recent outbreak observed in  
91 the southern part of the Philippines could have been caused by a sudden rise in the  
92 abundance of the supposedly established *A. rigidus* population due to factors such as human-  
93 induced habitat imbalance e.g., excessive use of pesticide affecting the natural enemies  
94 controlling the pest population, or climate change such as prolonged dry spell which may  
95 induce changes in the local biotic community.

96 Inference of the source population, route and the mechanism of spread of *A. rigidus*  
97 in the Philippines needs further assessment and confirmation. Tracing the history of an  
98 invasion or identifying the geographic origin of a pest population can be done by  
99 characterizing population-level genetic variation using molecular markers (e.g., Rugman-  
100 Jones *et al.*, 2012; Kébé *et al.*, 2016; Yang *et al.*, 2017; Zhang *et al.*, 2018). Sequencing  
101 selected gene fragments, e.g., mitochondrial COI is a traditional population genetic tool  
102 providing insights on dispersal pathways and population structure. The mitochondrial  
103 cytochrome oxidase (*mtCOI*) gene and the nuclear protein-encoding gene - elongation factor  
104 1 $\alpha$  (*EF-1 $\alpha$* ) have been commonly used in studies investigating the origin (Provencher *et al.*,  
105 2005; Andersen *et al.*, 2009), or inference of phylogenetic relationships (Andersen *et al.*,  
106 2010; Schneider *et al.*, 2018) of various invasive diaspidid species.

107 Here, we aim to assess the population genetic structure and demography of the  
108 outbreak populations of the CSI, *A. rigidus* in the Philippines. Given the historical  
109 documentation of the pest in the southern region and the relatively extensive and rapid  
110 spread but faster recovery of the infestations in the northern region compared to the southern  
111 outbreaks i.e., Basilan and Zamboanga Peninsula, we hypothesize the presence of two

112 distinct genetic groups for the outbreak events isolated within each geographic region. A  
113 population genetics approach is a useful tool to examine whether the northern and southern  
114 CSI outbreaks originated from immigrant or resident populations. To test the hypothesis, we  
115 utilized sequences of the mitochondrial cytochrome oxidase (*mtCOI*) gene and the nuclear  
116 protein-encoding gene - elongation factor 1 $\alpha$  (*EF-1 $\alpha$* ) to investigate the genetic structure and  
117 diversity of *A. rigidus* populations from localities with documented outbreak-level infestations  
118 in the Philippines from 2014 to 2017. Furthermore, we employed a coalescent genealogy  
119 approach to provide additional evidence on the demographic relationship of the outbreak *A.*  
120 *rigidus* populations between the northern and southern geographic regions in the Philippines.

121

## 122 Materials and Methods

### 123 Sample collection

124 *Aspidiotus rigidus* populations were sampled at seven localities with reported CSI outbreak  
125 across the Philippines from 2014 to 2017 (Fig. 1). The northern localities sampled were Orani,  
126 Bataan (BT; N14.769786, E120.454510), Nagcarlan (NG; N14.158930, E121.413670) and  
127 San Pablo (SP; N14.056420, E121.333300), Laguna, Tanauan (TN; N14.098870,  
128 E121.091330) and Talisay (TL; N14.093340, E121.010730) Batangas. The southern  
129 localities are Basilan (BS; N06.707853, E121.983358) and Zamboanga (ZB; N06.993166,  
130 E121.927963). See Table 1 for the more detailed information regarding location and sample  
131 collection information. Co-existence of other *Aspidiotus* species on the coconut palms  
132 sampled was possible, specifically *A. destructor* Sign. These two *Aspidiotus* species are  
133 difficult to separate morphologically, but some features of the live specimen and biology can  
134 be used to facilitate identification, i.e., the arrangement of eggs and egg skins relative to the  
135 insect's body, scale cover appearance, and cuticle attributes (Reyne, 1948; Watson *et al.*,  
136 2015). Mature female scale insects were identified as *A. rigidus* based on the characteristic

137 distribution of egg skins, which for this species occurs along the posterior or pygidial half of  
138 the insect body (Fig. 2). Non-parasitized adult females were carefully selected from infested  
139 leaves and preserved in 95% ethanol before the molecular analysis. To further confirm  
140 identification and the purity of samples, *A. rigidus* collected from Orani, Bataan were reared  
141 on *Garcinia mangostana* L. (mangosteen), a differential host of *A. rigidus* observed not to  
142 support multiple generations of *A. destructor* in the rearing facility of the Biological Control  
143 Research Unit (BCRU) located at De La Salle University (DLSU), Science and Technology  
144 Complex, Binan City, Laguna. A phylogenetic analysis was employed (see the succeeding  
145 molecular analysis below) to confirm the identifications of the field-collected samples by  
146 comparing it to *A. destructor* and mangosteen-reared *A. rigidus* sequences.

147

148 *DNA extraction, PCR amplification, and sequencing*

149 Genomic DNA was extracted individually using the DNeasy Blood & Tissue Kit (QIAGEN,  
150 Hilden Germany) following the manufacturer's guideline. Extraction was performed by  
151 crushing the insect body of each sample in individual microcentrifuge tubes using a  
152 micropesle. DNA concentration and quality were assessed by spectrophotometry (NanoDrop  
153 2000 spectrophotometer, ThermoScientific).

154 The mitochondrial COI gene was amplified using the forward primer PcoF1 designed  
155 for scale insects by Park *et al.* (2010) and the standard reverse primer LepR1. The nuclear  
156 gene *EF-1 $\alpha$*  was amplified using the forward primer *EF-1 $\alpha$*  by Morse and Normark (2006)  
157 paired with the EF2 reverse primer (Palumbi, 1996). PCR reactions were performed in a 25  
158  $\mu$ l reaction containing 10 $\times$  Buffer, 2.5 mM dNTP mixture, 25 mM MgCl<sub>2</sub>, 10 pmol of each  
159 primer, 1U of Taq DNA polymerase (TaKaRa Bio Inc.), and 2-50 ng of template DNA. PCR  
160 thermocycling was performed in a T100<sup>TM</sup> Thermal Cycler (Bio-Rad). Following the conditions  
161 from Park *et al.* (2011), the *mtCOI* gene was amplified with an initial denaturation step at  
162 95°C for 5 min, followed by 5 cycles of 94°C for 40 s, annealing at 45°C for 40 s, extension

163 at 72°C for 1 min and 10 s, and another 35 cycles of denaturation at 94°C for 40 s, annealing  
164 at 51°C for 40 s, extension at 72°C for 1 min and 10 s, and a 5 min final extension at 72°C  
165 after the last cycle. While, after an initial denaturation at 95°C, with a denaturation at 95°C  
166 for 30 s and extension at 72°C for 2 min every cycle, a touch-down procedure was performed  
167 for the amplification of the *EF-1 $\alpha$*  gene following the protocol of Morse and Normark (2006)  
168 in which the initial annealing temperature of 58°C was decreased by 2°C every three cycles  
169 until a final temperature of 42°C was reached, then held for 18 cycles followed by a 5 min  
170 final extension at 72°C. PCR products were visualized in 1.5% agarose gels stained with  
171 Midori Green Direct (NIPPON Genetics Co. Ltd.), and cleaned using the QIAquick PCR  
172 Purification Kit (QIAGEN, Hilden, Germany). Samples were sent to Eurofins Genomics  
173 (Eurofins Genomics Co., Ltd.) for Sanger sequencing to produce both forward and reverse  
174 fragments.

175

#### 176 *Genetic diversity and population structure*

177 Sequences were assembled using CodonCode Aligner v. 5.1.5 (CodonCode Corporation).  
178 Before the subsequent molecular analysis, the sequences were aligned via MAFFT v. 7.409  
179 (Katoh & Standley, 2013), and the ambiguously aligned regions were excluded using GBlocks  
180 0.91b (Castresana, 2002). Sequence polymorphisms for both *mtCOI* and *EF-1 $\alpha$*  gene were  
181 assessed. The number of variable sites (*S*) and haplotypes (*h*), average number of nucleotide  
182 difference (*k*), haplotype diversity (*Hd*), and nucleotide diversity (*Pi*) of the two marker genes  
183 were calculated in DnaSP v. 6.10.04 (Rozas *et al.*, 2017).

184 The hierarchical analysis of molecular variance (AMOVA) was implemented in  
185 Arlequin v. 3.5.2.2 (Excoffier & Lischer, 2010). Two geographic groups were defined: The  
186 northern (Luzon) and the southern (Mindanao) groups prior to the analysis. Population  
187 pairwise *F<sub>ST</sub>* was computed in Arlequin v. 3.5.2.2 using 1,000 permutations.

188 *Demographic inference*

189 Tajima's  $D$  and Fu's  $F_s$  statistic tests were estimated to infer demographic history and  
190 dynamics in each population, for the two geographic groups, and for all populations grouped  
191 together in Arlequin v. 3.5.2.2 for both *mtCOI* and *EF-1 $\alpha$*  datasets. Also, Fu and Li's  $D^*$  and  
192  $F^*$  test statistics were computed in DnaSP v. 5.0 to determine departures from the mutation-  
193 drift equilibrium (Fu & Li, 1993). Parameters of demographic expansion such as the moment  
194 estimators of time to the expansion  $Tau$ , effective population size before expansion (Theta0,  
195  $\theta_0$ ), effective population size after expansion (Theta1,  $\theta_1$ ) between the observed and  
196 expected mismatches. The adjustment to a model of population expansion was estimated  
197 from the sum of squared deviation (SSD) and the raggedness index ( $r$ ) in Arlequin v. 3.5.2.2.

198

199 *Gene flow analysis and median-joining networks of haplotypes*

200 To test migration history between the two geographic groups, we calculated Bayes factors  
201 from the marginal likelihoods estimated in MIGRATE v. 4.4.0 (Beerli, 2005; Beerli &  
202 Palczewski, 2010) based on both *mtCOI* and *EF-1 $\alpha$*  datasets. Migrate-n utilizes marginal  
203 likelihoods to compare and order structured population models (Beerli & Palczewski, 2010).  
204 The program provides estimates of historic gene-flow with the assumption that populations  
205 have reached mutation-migration-drift equilibrium. We tested eight possible models of  
206 migration history. Model 1 allows migration between the two groups, with the populations  
207 assumed to exist since a very long time. Model 2 presents a migration from northern to  
208 southern group, while model 3 presents vice versa with the populations assumed to exist  
209 since a very long time. Model 4 was one panmictic population encompassing the northern  
210 and southern groups. Model 5 allows divergence among populations within southern group  
211 splitting from the northern group, and migration from north to south, with the northern group  
212 existing for a long time and the southern group recently splitting off. Model 6 is a mirror image

213 of model 5. Model 7 is similar to model 5 except that no interaction occurred between the two  
214 groups after the split. Model 8 is the vice versa of model 7. Similar parameters were used to  
215 run all models. A Bayesian search strategy was performed with the following parameters:  
216 one long chain (10,000 trees) with a burn-in of 5,000 iterations. A static heating scheme with  
217 4 chains was applied using temperature parameters set by default with a swapping interval  
218 of one. Bayes factors were calculated via “*BF*” implemented in carlopacioni/mtraceR, a  
219 package for analyzing *migrate-n* outputs in R v. 3.5.2. Log Bayes factors of all models were  
220 calculated by comparing against the model that has the highest log-likelihood. The models  
221 are ranked based on LBF and calculated model probability.

222 Median-joining (MJ) networks (Bandelt, Forster, & Röhl, 1999) for the two markers  
223 were constructed to estimate the genealogical relationship in *A. rigidus* haplotypes via  
224 PopART v. 1.7 (Leigh & Bryant, 2015).

225

## 226 *Phylogenetic Analysis*

227 Since no site variation was observed between the sequences in each population (except for  
228 the *EF-1 $\alpha$*  sequences from Basilan), six representative samples per population, a total of 48  
229 *mtCOI* sequences and 42 plus all 16 Basilan *EF-1 $\alpha$*  sequences were chosen for the  
230 phylogenetic analysis. Sequences of *A. destructor* collected from coconut palms, identified  
231 based on the circular distribution of egg skins were selected as an outgroup (accession  
232 number: XX000000 for *mtCOI* and XX000000 for *EF-1 $\alpha$* ). The Akaike information criterion  
233 corrected for sample size (AICc) was implemented to find the best fitting evolutionary model  
234 for phylogenetic reconstruction via jModelTest v. 2.1.10 (Darriba *et al.*, 2012). The  
235 evolutionary model for the *mtCOI* sequences was TIM2+G, while TrNef was the model for  
236 the *EF-1 $\alpha$*  sequences. Maximum likelihood (ML) tree inference was performed in RAxML-NG  
237 v. 0.5.1 (Kozlov *et al.*, 2018) with 1,000 bootstrap replicates.

238 **Results**

239 *Genetic diversity and population structure*

240 All samples identified based on the characteristic distribution of egg skins were confirmed as  
241 *A. rigidus* by comparing the sequences with the *A. rigidus* reared on mangosteen and *A.*  
242 *destructor* sequences. DNA sequence analysis of all the concatenated 647-bp *mtCOI*  
243 sequences of 305 individuals from seven *A. rigidus* outbreak populations collected from 2014  
244 to 2017 in the Philippines, with the mangosteen-reared samples revealed only two distinct  
245 haplotypes (*h*), separated by 31 polymorphic sites (*s*). Haplotype diversity (*Hd*) was  
246 calculated to be  $0.050 \pm 0.005$  SD. Average number of nucleotide difference (*k*) was 15.447  
247 and nucleotide diversity (*Pi*) was  $0.024 \pm 0.00025$  SD. No sequence variation was found in  
248 the sequences of samples collected per populations. Reared *A. rigidus* and samples collected  
249 from the five populations of northern group, i.e., Orani, Bataan (BT), Nagcarlan (NG) and San  
250 Pablo (SP), Laguna, Tanauan (TN) and Talisay (TL), Batangas are grouped into one  
251 haplotype. Samples from the two populations of southern group, i.e., Basilan (BS) and  
252 Zamboanga (ZB) were grouped together in the second *mtCOI* haplotype. For the nuclear *EF-*  
253  $1\alpha$  gene, 75 concatenated sequences of 1007-bp length generated 14 polymorphic sites (*s*),  
254 with four haplotypes (*h*). Similar to the *mtCOI* sequences, all samples from the northern group  
255 clustered into one haplotype. For the southern group, samples from ZB grouped into one  
256 while BS were separated into two haplotypes. Haplotype diversity (*Hd*) was calculated to be  
257  $0.048 \pm 0.064$  SD. Average number of nucleotide difference (*k*) was 5.707 and nucleotide  
258 diversity (*Pi*) was  $0.057 \pm 0.00061$  SD. Except for BS, all other localities have no sequence  
259 variation per locality.

260 Additionally, genetic diversity parameters have been calculated per geographic group.  
261 The *mtCOI* sequences for both groups, and the *EF-1 $\alpha$*  sequence of the northern group  
262 showed no sequence variation. The *EF-1 $\alpha$*  sequences from northern group had three

263 haplotypes with an estimated  $Hd$  of 0.688 +/- 0.039 SD, with a  $k$  value of 0.087 and a low  $Pi$   
264 value of 0.00086 +/- 0.00010 (Table 2). Both the median-joining haplotype network and ML  
265 inferred trees present distinct two and four haplotypes for the *mtCOI* and *EF-1 $\alpha$*  dataset,  
266 respectively (Fig. 3 and S1).

267 AMOVA analysis indicated a highly structured genetic variability of 100% and 97.63%  
268 variations among the groups for *mtCOI* and *EF-1 $\alpha$*  dataset, respectively. There were zero, or  
269 a relatively small percentage of variation among populations within groups and within  
270 populations. Except for the source of variation among populations within groups in the *mtCOI*  
271 data, AMOVA showed that significant genetic structure occurred in *A. rigidus* at various  
272 hierarchical levels (Table 3). Pairwise  $F_{ST}$  values varied from 0.00 to 1.00 for *mtCOI*, and 0.00  
273 to 0.98 for the *EF-1 $\alpha$*  dataset. The differentiation between populations was only significant  
274 when the comparison was between a northern and a southern population (Table S2).  
275 Moreover, pairwise  $F_{ST}$  values between the two groups showed a high value of 1.00 and 0.97  
276 for the *mtCOI* and *EF-1 $\alpha$*  dataset, respectively. As shown in the ML trees (Fig. S1), the  
277 phylogenetic analyses of both markers were consistent with the results of the analyses above.  
278 Samples clustered according to their geographic group, with the BS and ZB *EF-1 $\alpha$*   
279 sequences in three separate nodes.

280

### 281 *Demographic history and gene flow*

282 For both datasets, neutrality tests computation for all samples showed positive values for  
283 Tajima's  $D$ , Fu and Li's  $D^*$ , Fu's  $F_s$ , and Fu and Li's  $F^*$ , and were significant for the first two  
284 parameters (Table 2). Estimations per population for these parameters were mostly zero or  
285 positive but not significant, suggesting neither population expansion or purifying selection in  
286 these populations. Estimations of the  $SSD$  and  $r$  parameters both returns zero values, except  
287 for the *EF-1 $\alpha$*  sequences from Basilan, with a significant  $SSD$  of 0.0283 ( $p < 0.001$ ) and a not

288 significant  $r$  of 0.2871. Other demographic parameters such as  $Tau$ ,  $\theta_0$  and  $\theta_1$  index, are  
289 presented in Table S1. Results of the analysis in migrate-n were presented in Table S3. We  
290 found contrasting results for the two markers employed using Bayes factors to compare the  
291 eight models of dispersal. For the *mtCOI* dataset, model 7 was ranked best with a probability  
292 of 0.996. For the *EF-1 $\alpha$*  dataset, model 3 was ranked best with a probability of 1.000.

293

294 **Discussion**

295 We aim to describe the genetic structure and demography of the coconut scale insect pest  
296 *A. rigidus* from selected localities in the Philippines with reported heavy infestations collected  
297 from 2014 to 2017. Both the *mtCOI* and *EF-1 $\alpha$*  markers and all methods of population  
298 structure analyses revealed strong differentiation among the *A. rigidus* populations  
299 separating the northern (Luzon) outbreak from the southern (Mindanao) region. The  
300 separation of the populations by geographic region and the observed lack of genetic  
301 variability within populations were represented graphically in the median-joining network and  
302 phylogenetic analysis employed in the study.

303

304 *Genetic structure of *A. rigidus*: Evidence of CSI “superclones” in the Philippines*

305 Our results indicate the existence of two mitochondrial, and four nuclear haplotypes (one  
306 northern and three southern). Genetic population clusters result from multiple source  
307 populations contributing to an insect pest outbreak (Kobayashi *et al.*, 2011). However, we  
308 only observed two clusters separating the outbreak populations into their respective  
309 geographic regions. Also, genetic variation was either absent or very low within and amongst  
310 the populations of the northern and the southern region, implying that populations from each  
311 region consisted of a single genotype. Hence, the presence of two distinct *A. rigidus* single

312 genotype populations or “superclones” (Abbot, 2011) in the Philippines which supports our  
313 hypothesis on the occurrence of two genetically unrelated outbreak events in the country.

314 Several aspidiotine insects have obligate parthenogenetic populations (Normark &  
315 Johnson, 2011; Schneider *et al.*, 2018). Accordingly, *A. rigidus* was observed to reproduce  
316 parthenogenetically. Yellow winged adult males are seen in outbreak populations but the sex  
317 ratio varies widely with males thought to be non-functional (Reyne, 1948; Watson *et al.*, 2015).  
318 Parthenogenetic reproduction has been thought to be the leading driver to the dominance of  
319 “superclones” across space and time (Abbot, 2011). Similar to our findings, some invasive  
320 insect pests have been found to depend on clonal population structures to successfully  
321 invade and multiply in a broad range of niches. A highly specialized clonal genotype of a  
322 strictly asexual population of the pea aphid, *Acyrthosiphon pisum* Harris in central Chile was  
323 the main reason influencing the demographic success of the pest (Peccoud *et al.*, 2008).  
324 Cifuentes, Chynoweth, and Bielza (2011) found no genetic variation and identified one single  
325 genetic type of the tomato leaf miner, *Tuta absoluta* Meyrick populations spreading through  
326 South America reaching the Mediterranean Basin. Likewise, a well-established invasive  
327 population of the oleander aphid, *Aphis nerii* B. de F. were reported having extremely low  
328 genetic diversity in the southern United States, with a “superclone” population supposedly  
329 obligatorily asexual (Harrison & Mondor, 2011). Caron, Ede and Sunnucks (2014) reported  
330 two widespread, invasive and strictly parthenogenetic “superclones” of the sawfly, *Nematus*  
331 *oligospilus* Forster dominating willows in three countries in the southern hemisphere i.e.,  
332 South Africa, New Zealand, and Australia.

333

334 *Demographic history: Resurgence of resident population or recent introduction?*

335 From historical reports, Lever (1969) claimed that the more invasive coconut scale insect *A.*  
336 *destructor rigidus* (now *A. rigidus*) reported by Reyne (1948) in Indonesia was also present

337 in the Philippines. However, Velasquez (1971) did not disclose its occurrence across the  
338 archipelago and reported a highly probable confinement of the pest in the southern region of  
339 the country. Given this historical evidence, we assume that the southern populations have  
340 been existing for a long time. It could have supported our dispersal model for the  
341 mitochondrial sequences, except that the first reported sighting of *A. rigidus* in Tanauan,  
342 Batangas, Luzon was in 2009 (Watson *et al.*, 2015) with no historical evidence of resident  
343 populations from the past. This suggests that the northern populations were most probably a  
344 recent introduction event from a different source.

345 A Bayesian search strategy was performed to assess the migration history between  
346 the northern and southern populations. However, our results from the mitochondrial and  
347 nuclear datasets are difficult to reconcile. We reiterate that in this historic gene-flow analysis,  
348 the two groups were assumed to have reached mutation-migration-drift equilibrium. Despite  
349 the contrasting results, both models indicate that sequences from the two groups do not  
350 belong to one panmictic population. However, given the difference in the divergence or  
351 migration pattern of the models for each marker, we were inconclusive in inferring the source  
352 of each outbreak population. Methodological assumptions (Knowles, Carstens & Keat, 2007)  
353 in the program Migrate-n, just like other coalescent-based approaches did not take into  
354 account another source of migrants, or that ancestral variation may come from populations  
355 that were not considered in the analysis. Hence, the inference of the possible source of the  
356 northern outbreak population needs further exploration.

357 On the other hand, lower genetic variation is expected for younger populations due to  
358 founder effects and genetic bottlenecks during colonization and establishment (Hewitt, 2004).  
359 Invasive or recently introduced species have been reported to exhibit reduced genetic  
360 variation (e.g., Tsutsui *et al.*, 2000; Navia *et al.*, 2005). Introduced populations are usually  
361 small so decreased genetic diversity is expected, and are often less variable than the source  
362 population which contributes to the invasive success of the species (Cifuentes, Chynoweth

363 & Bielza, 2011). The nuclear marker revealed the existence of three southern haplotypes,  
364 with samples from Basilan having two distinct haplotypes. Genetic variation amongst the  
365 populations was very low and the Zamboanga *EF-1 $\alpha$*  sequences are differentiated against  
366 Basilan with few nucleotide substitutions.

367 Genetic variation was already relatively low amongst the southern populations for the  
368 nuclear DNA, but in comparison to the uniformly genetic northern population, it indicates that  
369 the southern *A. rigidus* was relatively older in comparison to the northern region. Alongside  
370 previous historical reports, the level of genetic variation between the geographic regions  
371 supports our hypothesis of an existing resident *A. rigidus* population in the southern part of  
372 the Philippines. Local insect populations have the potential to outbreak due to anthropogenic  
373 and environmental changes (Berryman, 1987; Ziska *et al.*, 2011). Similar observations on  
374 insect pests have been reported in literature. A notable example was by Kobayashi *et al.*  
375 (2011) which presented that the multiple nationwide outbreaks of the native populations of  
376 the mirid bug, *Stenotus rubrovittatus* Matsumura in Japan were induced by changes in the  
377 agro-ecosystem without invasion of populations from other areas. Populations of the pest  
378 were also genetically isolated by distance separated into genetic clusters occupying spatially  
379 segregated regions. Additionally, temporal fluctuations of pest insects in agroecosystems  
380 could be driven by various factors (Risch, 1987). Pesticide application may induce the  
381 resurgence of native pest insect populations by reducing the abundance of natural enemies  
382 or by the removal of competitive species in the area (e.g., Lu *et al.*, 2010; Bommarco *et al.*,  
383 2011). Weather conditions can also trigger insect outbreaks due to the dramatic changes in  
384 pest abundance. Ward and Aukema (2019) reported that the cyclic outbreaks of the native  
385 tree-killing bark beetle, *Dendroctonus simplex* LeConte on tamarack in Minnesota, USA are  
386 climate-driven specifically associated with warmer and dryer years, more likely in areas with  
387 prior defoliation. Schwartzberg *et al.* (2014) simulated climate warming and observed  
388 warming-induced phenological shifts in the forest tent caterpillar, *Malacosoma disstria*

389 Hübner about the phenology of its host trees. These findings illustrate the mechanisms by  
390 which anthropogenic and climatic changes induce outbreaks from native insect pests.

391

392 **Conclusion**

393 The current opinion for the origin of the coconut scale insect outbreak in the Philippines was  
394 a recent introduction of *A. rigidus* from other countries of native range and spread via wind  
395 dispersal or importation of infested planting material from the northern region to the south  
396 given the timeline of the outbreak reports. However, our results indicate the separation of two  
397 distinct groups, the northern and southern *A. rigidus* from the outbreak populations collected  
398 from 2014 to 2017 in the Philippines. Very low or no genetic differentiation was observed  
399 within and amongst the populations per geographic region indicating two unrelated outbreak  
400 events of the pest species originating from two genetically uniform or “superclone”  
401 populations currently isolated in each respective region. Historical data supports our  
402 assumption on the current resurgence of an established *A. rigidus* population in the south.  
403 Given no historical information supporting the existence of an established *A. rigidus*  
404 populations in the northern region, we disregard the possible resurgence of a native  
405 population and suggest that the outbreak possibly resulted from a recent introduction of a  
406 non-native population. Assessment of the possible source population of the northern  
407 outbreaks needs further exploration.

408 The use of *mtCOI* and the nuclear *EF-1 $\alpha$*  markers showed no or very low genetic  
409 differentiation for all *A. rigidus* populations. Other robust and more informative genetic  
410 markers such as microsatellites could provide further genetic information in studying the  
411 invasive coconut scale population. Further studies should also include more expansive  
412 sampling, taking into consideration other possible sources of *A. rigidus* such as Indonesia  
413 (Watson *et al.*, 2015) and Vietnam (Schneider *et al.*, 2018). This would provide a more robust

414 and stringent population and gene flow estimation of *A. rigidus* in the Philippines.  
415 Nevertheless, our findings provided an initial important genetic basis and information for  
416 designing and implementing biological control strategies against the invasive CSI pest *A.*  
417 *rigidus* in the Philippines.

418

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564 42.

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574

575 **Author Contribution Statement**

576 K.W., D.M.A., T.M.C., B.M.A. and J.M.S. conceptualized and designed the project; B.M.A.,  
577 A.T.B., and D.M.A. conducted fieldwork; N.I. performed laboratory work; J.M.S. analyzed  
578 sequence data and drafted the article; A.T.B., D.M.A. and K.W. gave critical revisions; All  
579 authors approved the final version of the manuscript.

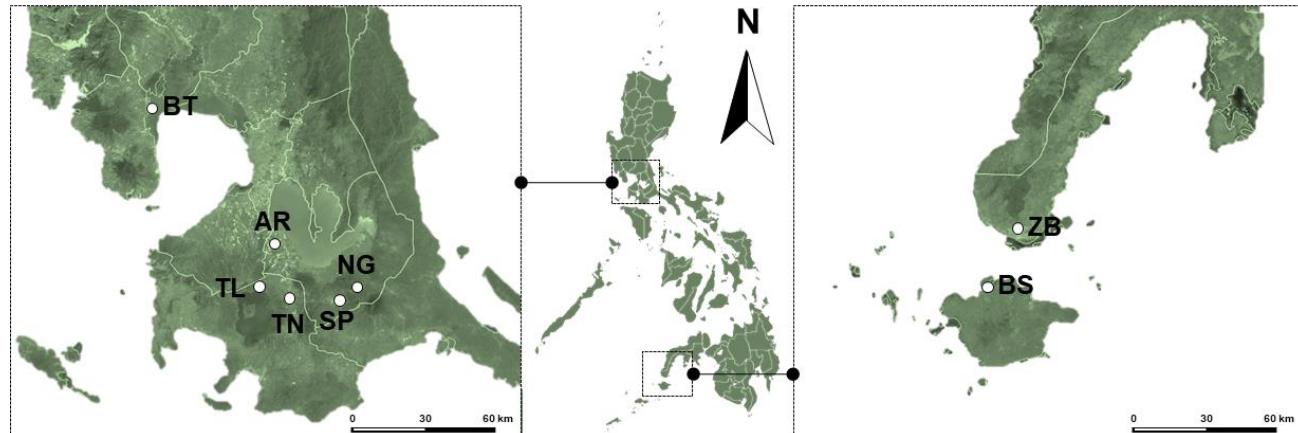
580

581 **Conflict of Interest**

582 The authors declare that they have no conflict of interest.

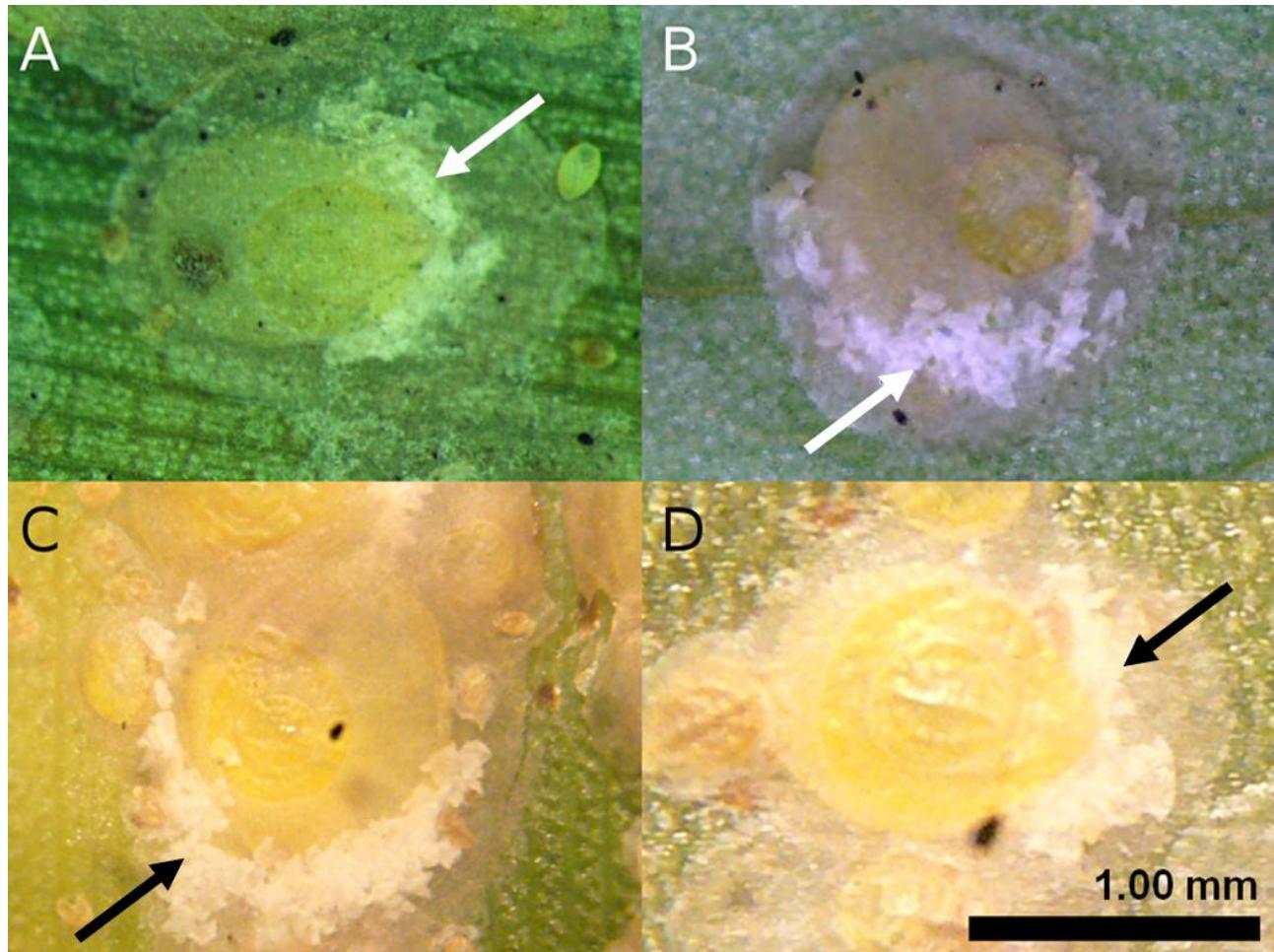
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## Figures



585 Fig. 1. Map of the seven localities with reported *Aspidirotus rigidus* Reyne outbreak in the  
586 Philippines from 2014 to 2017. The insect rearing facility of the Biological Control Research  
587 Unit of De La Salle University labeled “AR”. Dots indicate sampling locations. Northern  
588 localities: Orani, Bataan (BT), Nagcarlan (NG) and San Pablo (SP), Laguna, Tanauan (TN)  
589 and Talisay (TL), Batangas; Southern localities: Basilan (BS) and Zamboanga (ZB). See  
590 Table 1 for the more detailed information regarding location and sample collection  
591 information.

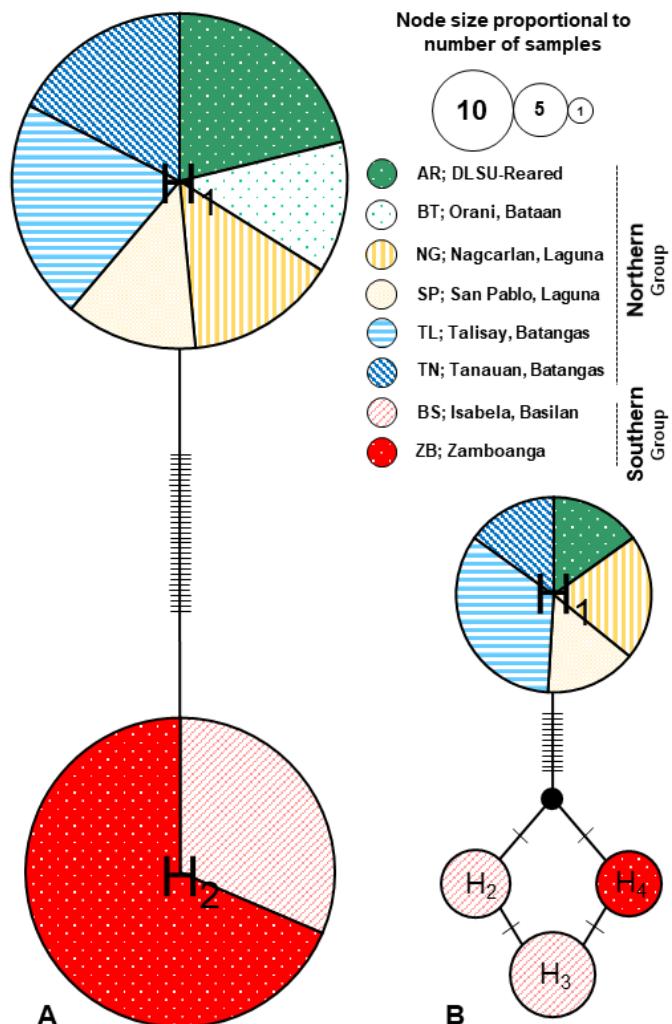
592



593

594 Fig. 2. Representative adult female *Aspidiotus rigidus* Reyne from different outbreak areas:  
595 (A) Southern Tagalog Region (Laguna, Cavite, and Batangas); (B) Orani, Bataan;  
596 Basilan; and (D) Zamboanga City. The arrows point to the egg skins, which for this species  
597 is characteristically distributed along the posterior or pygidial half of the insect body.

598



599

600 Fig. 3. Median-joining network of the *Aspidiota rigidus* Reyne populations from 305  
601 individuals for the *mtCOI* gene (A), and 75 individuals for the protein-coding *EF-1 $\alpha$*  gene (B),  
602 showing location and frequency of haplotypes. Each circle represents an observed haplotype;  
603 circle size indicates the number of individuals observed; the colors correspond to sampling  
604 localities. The total number of mutations, *Eta* presented as hatch marks.

605

606

## Tables

607

608

Table 1. Sampling localities of the outbreak *Aspidiota rigidus* Reyne populations. N, number of individuals with *mtCOI* and *EF-1α* sequences; H, haplotypes indicated in Fig. 3.

Locality	Code	Collection Date	<i>mtCOI</i>		<i>EF-1α</i>		
			N	H	N	H	
<i>Northern Region</i>	DLSU-STC, Laguna <sup>a</sup>	AR	July 2017	35	H <sub>1</sub>	8	H <sub>1</sub>
	Orani, Bataan	BT	September 2015	21	H <sub>1</sub>	0	H <sub>1</sub>
	Nagcarlan, Laguna	NG	January 2015	24	H <sub>1</sub>	11	H <sub>1</sub>
	San Pablo, Laguna	SP	December 2014	21	H <sub>1</sub>	8	H <sub>1</sub>
	Talisay, Batangas	TL	December 2014	35	H <sub>1</sub>	18	H <sub>1</sub>
	Tanauan, Batangas	TN	December 2014	29	H <sub>1</sub>	8	H <sub>1</sub>
<i>Southern Region</i>	Isabela, Basilan	BS	November 2016	44	H <sub>2</sub>	16	H <sub>2</sub> ; H <sub>3</sub>
	Zamboanga City, Zamboanga	ZB	April 2017	96	H <sub>2</sub>	6	H <sub>4</sub>

<sup>a</sup>*Aspidiota rigidus* Reyne reared on *Garcinia mangostana* L. at the DLSU-STC BCRU rearing facility from samples collected on the outbreak population in Orani, Bataan.

609

610 Table 2. Parameters of genetic diversity and demographic analysis of the two population groups.

Gene	Group	N <sub>1</sub>	S	h	Haplotype <sup>b</sup>	k	Hd (SD)	Pi (SD)	Tajima's D <sup>a</sup>	Fu's Fs	Fu and Li's D <sup>a</sup>	Fu and Li's F <sup>a</sup>
<i>mtCOI</i>	Northern	165	—	—	H <sub>1</sub>	—	—	—	—	—	—	—
	Southern	140	—	—	H <sub>2</sub>	—	—	—	—	—	—	—
	All	305	31	2	—	15.4465	0.4980 (0.005)	0.0239 (0.00025)	5.8452***	59.5900	2.0420**	4.4298
<i>EF-1<math>\alpha</math></i>	Northern	53	—	—	H <sub>1</sub>	—	—	—	—	—	—	—
	Southern	22	2	3	H <sub>2</sub> ; H <sub>3</sub> ; H <sub>4</sub>	0.8701	0.6880 (0.039)	0.0009 (0.00010)	1.3276	0.9930	0.8506	1.1274
	All	75	14	4	—	5.7067	0.4770 (0.064)	0.0057 (0.00061)	2.8256**	12.8070	1.5502*	2.3755

<sup>a</sup>Parameters with statistical test: \* indicates  $p < 0.05$ ; \*\* indicates  $p < 0.01$ ; \*\*\* indicates  $p < 0.01$ .

<sup>b</sup>Haplotype data by DnaSP v. 6.10.04.

611

612 Table 3. Partitioning of genetic variation at different hierarchical levels. \* indicates  $p < 0.05$ ; \*\* indicates  $p < 0.01$ .

Gene	Source of Variation	d.f.	Sum of squares	Variance components	Percentage of variation	Fixation indices
<i>mtCOI</i>	Among groups	1	2347.869	15.50000 Va	100	F <sub>CT</sub> =1.00000*
	Among populations within groups	6	0	0.00000 Vb	0	F <sub>SC</sub> =0.00000
	Within populations	297	0	0.00000 Vc	0	F <sub>ST</sub> =1.00000**
<i>EF-1<math>\alpha</math></i>	Among groups	1	202.010	6.45405 Va	97.63	F <sub>CT</sub> =0.97630*
	Among populations within groups	5	5.199	0.09876 Vb	1.49	F <sub>SC</sub> =0.63040**
	Within populations	68	3.938	0.05790 Vc	0.88	F <sub>ST</sub> =0.99124**

613