

1 **Highly accessible translation initiation sites are predictive of successful heterologous  
2 protein expression**

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14 **Abstract: (149/150 words)**

15 Recombinant protein production in microbial systems is well-established, yet half of these  
16 experiments have failed in the expression phase. Failures are expected for  
17 'difficult-to-express' proteins, but for others, codon bias, mRNA folding, avoidance, and G+C  
18 content have been suggested to explain observed levels of protein expression. However,  
19 determining which of these is the strongest predictor is still an active area of research. We  
20 used an ensemble average of energy model for RNA to show that the accessibility of  
21 translation initiation sites outperforms other features in predicting the outcomes of 11,430  
22 experiments of recombinant protein production in *Escherichia coli*. We developed TIsigner  
23 and showed that synonymous codon changes within the first nine codons are sufficient to  
24 improve the accessibility of translation initiation sites. Our software produces scores for both  
25 input and optimised sequences, so that success/failure can be predicted and prevented by  
26 PCR cloning of optimised sequences.

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29  
30 **Introduction**

31 Recombinant protein expression has numerous applications in biotechnology and biomedical  
32 research. Despite extensive refinements in protocols over the past three decades, half of the  
33 experiments have failed in the expression phase (<http://targetdb.rcsb.org/metrics/>). Notable  
34 problems are the low expression of 'difficult proteins' such as membrane proteins, and the  
35 poor growth of the expression hosts, which may relate to the toxicity of heterologous  
36 proteins<sup>1</sup> (reviewed in detail elsewhere<sup>2,3</sup>). If these issues are factored out, we expect a  
37 strong correlation between mRNA and protein levels. However, this assumption  
38 oversimplifies the complexity of translation and turnover of biomolecules because mRNA  
39 abundance can only explain up to 40% of the variation in protein abundance<sup>4-10</sup>.  
40 Furthermore, the strong promoters used in expression vectors do not always lead to a  
41 desirable level of protein expression<sup>11</sup>.

42  
43 For *Escherichia coli*, two main models were proposed to explain the low correlation between  
44 mRNA and protein levels, which are based on either codon or mRNA folding analysis.  
45 Codon analysis measures a bias in codon usage using codon adaptation index (CAI)<sup>12</sup> or

46 tRNA adaptation index (tAI)<sup>13,14</sup> whereas mRNA folding analysis predicts the presence of  
47 RNA secondary structures and their folding stability. Codon usage bias is thought to  
48 correlate with tRNA abundance, translation efficiency and protein production<sup>12–16</sup> but its  
49 usefulness has been questioned upon<sup>17–20</sup>. In contrast, many findings support the model  
50 based on mRNA folding in which the stability of RNA structures around the Shine-Dalgarno  
51 sequence and/or translation initiation sites inversely correlates with protein  
52 expression<sup>17,18,20–23</sup>. We recently proposed a third model in which the avoidance of  
53 inappropriate interactions between mRNAs and non-coding RNAs has a strong effect on  
54 protein expression<sup>24</sup>. The roles of these models in protein expression is still an active area of  
55 research.

56  
57 The common algorithms of gene optimisation samples synonymous protein-coding  
58 sequences using ‘fitness’ models based on CAI, tAI, mRNA folding, and/or G+C content  
59 (%)<sup>25–29</sup>. However, these ‘fitness’ models are usually based on some of the above findings  
60 that relied on either endogenous proteins, reporter proteins or a few other proteins with their  
61 synonymous variants. It is unclear whether these features are generalisable to explain the  
62 expression of various heterologous proteins. To address this question, we studied multiple  
63 large datasets across species in order to extract features that allow us to predict the  
64 outcomes of 11,430 experiments of recombinant protein expression in *E. coli*. With this  
65 information, we propose how such features can be exploited to fine-tune protein expression  
66 at a low cost.

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## 70 **Results**

71 **Accessibility of translation initiation sites strongly correlates with protein abundance**  
72 To explore new features that could explain the expression of heterologous proteins, we first  
73 examined an *E. coli* expression dataset of green fluorescent protein (GFP) fused in-frame  
74 with a library of 96-nt upstream sequences (n=244,000)<sup>20</sup>. We clustered these 96-nt  
75 upstream sequences using CD-HIT-EST<sup>30,31</sup>, giving rise to 14,425 representative sequences.  
76 We calculated the accessibility that represents the opening energy for all possible  
77 sub-sequences of these sequences (see Methods). For each sub-sequence region, we  
78 examined the correlation between the opening energy and GFP levels. We found that the  
79 opening energy of translation initiation sites, in particular from the nucleotide positions –30 to  
80 18 (–30:18), showed a maximum correlation with protein abundance (Fig 1A;  $R_s = -0.65$ ,  
81  $P < 2.2 \times 10^{-16}$ ). This is stronger than the correlation between the minimum free energy –30:30  
82 and protein abundance, which was previously reported as the highest rank feature (Fig 1A;  
83  $R_s = 0.51$ ,  $P < 2.2 \times 10^{-16}$ ). The P-values of multiple testing were adjusted using Bonferroni’s  
84 correction and reported to machine precision. The datasets used and results were  
85 summarised in Supplementary Table S1.

86

87 We repeated the analysis for a dataset of yellow fluorescent protein (YFP) expression in  
88 *Saccharomyces cerevisiae*<sup>22</sup>. This dataset corresponds to a library of 5’UTR variants, in  
89 which the 10-nt sequences preceding the YFP translation initiation site were randomly  
90 substituted (n=2,041). In this case, the opening energy –7:89 showed a stronger correlation

91 with protein abundance than that of the minimum free energy -15:50 reported previously  
92 (Fig 1B;  $R_s = -0.55$  versus 0.46).

93  
94 To examine the usefulness of accessibility in complex eukaryotes, we analysed a dataset of  
95 GFP expression in *Mus musculus*<sup>32</sup>. The reporter library was originally designed to measure  
96 the strength of translation initiation sequence context, in which the 6- and 2-nt sequences  
97 upstream and downstream of the GFP translation initiation site were randomly substituted,  
98 respectively (n=65,536). Here the opening energy -8:11 showed a maximum correlation with  
99 expressed proteins, which again, is stronger than that of the minimum free energy -30:30  
100 (Fig 1C;  $R_s = -0.28$  versus 0.12).

101  
102 Taken together, our findings suggest that the accessibility of translation initiation sites  
103 strongly correlates with protein abundance across species. Interestingly, our findings also  
104 suggest that *E. coli* tends to have a longer accessible 5'UTR region than that of *S. cerevisiae*  
105 and *H. sapiens* (-30 versus -7 and -8; see Fig 1). This can be explained by the presence of  
106 the Shine-Dalgarno sequence<sup>33</sup> at the region -13:-8, which should be accessible to recruit  
107 ribosomes.

## 109 110 **Accessibility predicts the outcome of recombinant protein expression**

111 We investigated how accessibility performs in the real world in prediction of recombinant  
112 protein expression. For this purpose, we analysed 11,430 expression experiments in *E. coli*  
113 from the 'Protein Structure Initiative:Biology' (PSI:Biology)<sup>34-36</sup>. These PSI:Biology targets  
114 were expressed using the pET21\_NESG expression vector that harbours the T7lac inducible  
115 promoter and a C-terminal His tag<sup>36</sup>.

116  
117 We split the experimental results of the PSI:Biology targets into protein expression 'success'  
118 and 'failure' groups (n=8,780 and 2,650, respectively; see Supplementary Fig S2). These  
119 PSI:Biology targets spanned more than 189 species and the failures are representative of  
120 various problems in heterologous protein expression. Only 1.6% of the experiments belong  
121 to homologous protein expression, which is negligible (n=179; see Supplementary Fig S2).

122  
123 We calculated the opening energy for all possible sub-sequences of the PSI:Biology targets  
124 as above (Fig 2). For each sub-sequence region, we used the opening energy levels to  
125 predict the expression outcome and computed the prediction accuracy using the area under  
126 the receiver operating characteristic curve (AUC; see Fig 2C). A closer look into the  
127 correlations and AUC scores calculated for the sub-sequence regions reveals a strong  
128 accessibility signal of translation initiation sites (Fig 2B and C, Cambray's GFP and  
129 PSI:Biology datasets, respectively). Although the sequences of the Cambray's GFP and  
130 PSI:Biology datasets are different, we reasoned that the correlations and AUC scores can be  
131 compared by the sub-sequence regions that are in common (see Fig 2A for an example of a  
132 sub-sequence region). Based on this idea, we matched the correlations and AUC scores by  
133 sub-sequence region and confirmed that sub-sequence regions that have strong correlations  
134 are likely to have high AUC scores (Fig 2D). In contrast, the sub-sequence regions that have  
135 zero correlations are not useful for predicting the expression outcome (AUC approximately  
136 0.5).

137

138 We then asked how accessibility manifests in the endogenous mRNAs of *E. coli*, for which  
139 we studied the proteomics dataset of 3,725 proteins consolidated in the PaxDb<sup>37</sup>. As  
140 expected, we observed a similar accessibility signal, with the region -25:16 correlated the  
141 most with protein abundance (Fig 2E). However, the correlation was rather low ( $R=-0.17$ ,  
142  $P<2.2\times10^{-16}$ ), which might be due to the limitations of mass spectrometry<sup>38,39</sup>. Furthermore,  
143 the endogenous promoters have variable strength, which gives rise to a broad range of  
144 mRNA and protein levels<sup>40,41</sup>. Taken together, our results show that the accessibility signal of  
145 translation initiation site is surprisingly consistent across various datasets analysed  
146 (Supplementary Fig S1 and Fig 2).

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148

149 **Accessibility outperforms other features in prediction of recombinant protein  
150 expression**

151 To choose an accessibility region for subsequent analyses, we selected the top 200 regions  
152 from the above correlation analysis on Cambray's dataset (Fig 2B) and ranked their Gini  
153 importance scores in prediction of the outcomes of the PSI:Biology targets. The region  
154 -24:24 was ranked first, which is nearly identical to the region -23:24 with the top AUC  
155 score (Fig 2C, AUC=0.70). We therefore used the opening energy at the region -24:24 in  
156 subsequent analysis.

157

158 We asked how the other features perform compared to accessibility in prediction of  
159 heterologous protein expression, for which we analysed the same PSI:Biology dataset. We  
160 first calculated the minimum free energy and avoidance at the regions -30:30 and 1:30,  
161 respectively. These are the local features associated with translation initiation rate. We also  
162 calculated CAI<sup>12</sup>, tAI<sup>42</sup>, codon context (CC)<sup>43</sup>, G+C content (%), and Ixnos scores<sup>44</sup>. CC is  
163 similar to CAI except it takes codon-pair usage into account, whereas the Ixnos scores are  
164 translation elongation rates predicted using a neural network model trained with ribosome  
165 profiling data. These are the global features associated with translation elongation rate. The  
166 AUC scores for the local features were 0.70, 0.67 and 0.62 for the opening energy, minimum  
167 free energy and avoidance, respectively, whereas the global features were 0.58, 0.57, 0.54,  
168 0.54 and 0.51 for Ixnos, G+C content (%), CAI, CC and tAI, respectively (Fig 3A). The local  
169 features outperform the global features, suggesting that effects on translation initiation can  
170 predict the outcome of heterologous protein expression. Our findings support previous  
171 reports that the effects on translation initiation are rate-limiting<sup>17,23</sup> which, interestingly,  
172 correlate with the binary outcome of recombinant protein expression (Fig 3B). Importantly,  
173 accessibility outperformed all other features.

174

175 To identify a good opening energy threshold, we calculated positive likelihood ratios for  
176 different opening energy thresholds using the cumulative frequencies of true negative, false  
177 negative, true positive and false positive derived from the above ROC analysis (Fig 4, top  
178 panel). Meanwhile, we calculated the 95% confidence intervals of these positive likelihood  
179 ratios using 10,000 bootstrap replicates. We reasoned that there is an upper and lower  
180 bound on translation initiation rate, therefore the relationship between translation initiation  
181 rate and accessibility is likely to follow a sigmoidal pattern. We fit the positive likelihood  
182 ratios into a four-parametric logistic regression model (Fig 4). As a result, we are 95%

183 confident that an opening energy of 10 or below at the region -24:24 is about two times  
184 more likely belongs to the sequences which are successfully expressed than those that  
185 failed.

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### 188 **Accessibility can be improved using a simulated annealing algorithm**

189 The above results suggest that accessibility can, in part, explain the low expression problem  
190 of heterologous protein expression, we sought to exploit this idea in gene optimisation. We  
191 developed a simulated annealing algorithm to maximise the accessibility at the region  
192 -24:24 using synonymous codon substitution (see Methods). Previous studies have found  
193 that full-length synonymous codon-substituted transgenes may produce unexpected results,  
194 in particular a reduction in mRNA level<sup>24,44,45</sup>. Therefore, we sought to determine the  
195 minimum number of codons needed for synonymous substitutions in order to achieve near  
196 optimum accessibility. For this purpose, we used the PSI:Biology targets that failed to be  
197 expressed. As a control, we first applied our simulated annealing algorithm such that  
198 synonymous substitutions can happen at any codon of the sequences except the start and  
199 stop codons (see Methods). Although full-length synonymous codon substitution was  
200 allowed, the changes may not necessarily happen to all codons due to the stochastic nature  
201 of our optimisation algorithm. Next, we constrained synonymous codon substitution to the  
202 first 14 codons and applied the same procedure (Supplementary Fig S3). Therefore, the  
203 changes may only occur at any or all of the first 14 codons. We repeated the same  
204 procedure for the first nine and also the first four codons. Thus a total of four series of  
205 codon-substituted sequences were generated. We then compared the distributions of  
206 opening energy -24:24 for these series using the Kolmogorov-Smirnov statistic ( $D_{KS}$ ; see Fig  
207 5A). The distance between the distributions of the nine and full-length codon-substituted  
208 series was significantly different yet sufficiently close ( $D_{KS}=0.09$ ,  $P=3.3 \times 10^{-8}$ ), suggesting  
209 that optimisation of the first nine codons is sufficient in most cases to achieve an optimum  
210 accessibility of translation initiation sites. We named our software as Translation Initiation  
211 coding region designer (Tligner), which by default, allows synonymous substitutions up to  
212 the first nine codons.

213

214 We asked to what extent the existing gene optimisation tools modify the accessibility of  
215 translation initiation sites. For this purpose, we first submitted the PSI:Biology targets that  
216 failed to be expressed to the ExpOptimizer webserver from NovoPro Bioscience (see  
217 Methods). We also optimised the PSI:Biology targets using the standalone version of Codon  
218 Optimisation OnLine (COOL)<sup>28</sup>. We found that both tools increase accessibility indirectly  
219 even though their algorithms are not designed as such (i.e., the 5'UTR sequence is not taken  
220 into account). In fact, a purely random synonymous codon substitution on these PSI:Biology  
221 targets using our own script resulted in a similar increase in accessibility (Fig 5B). These  
222 results may explain some indirect benefits from the existing gene optimisation tools.

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229 **Discussion**

230 Our findings show that the accessibility of translation initiation sites is the best predictor of  
231 heterologous protein expression in *E. coli*, as originally proposed in the 1970s/80s<sup>46</sup>.  
232 Increasing the accessibility of the 5' region, including the Shine-Dalgarno sequence,  
233 facilitates the recruitment of ribosomes and therefore increases the translation initiation rate  
234 and protein level. In a landmark study, Salis et al. designed a total of 132 synthetic ribosome  
235 binding sites using minimum free energy models<sup>26</sup>. They found that weakly structured  
236 ribosome binding sites result in high red fluorescent protein levels. This was supported by  
237 recent studies using the endogenous *folA* and *adk* genes<sup>47</sup> and a dual-reporter system in *E.*  
238 *coli*<sup>48</sup>. These studies, and many others, support our finding that optimisation of the  
239 accessibility of translation initiation sites is a key to improve heterologous protein production.  
240

241 Previous studies have used minimum free energy models to define the accessibility of a  
242 region of interest<sup>26,47,48</sup>. However, we have discovered that the opening energy is a better  
243 choice for modelling accessibility (see Fig 1A for example). Opening energy is an ensemble  
244 average of energy that takes into account of suboptimal RNA structures that are not reported  
245 by minimum free energy models by default<sup>49,50</sup>. Currently, the modelling of accessibility using  
246 opening energy is largely used for the prediction of RNA-RNA intermolecular interactions, for  
247 example, as implemented in RNAup and IntaRNA<sup>51,52</sup>. Our study has shown that this  
248 approach can be used to identify the key accessibility regions that are consistent across  
249 multiple large expression datasets. We have implemented our findings in Tligner  
250 webserver, which currently supports recombinant protein expression in *E. coli* and *S.*  
251 *cerevisiae* (optimisation regions -24:24 and -7:89, respectively; see Fig 1). An independent  
252 yet similar implementation is available in XenoExpressO webserver with the purpose of  
253 optimising protein expression for an *E. coli* cell-free system<sup>53</sup>. The authors showed that an  
254 increase in accessibility of a 30 bp region from the Shine-Dalgarno sequence enhances the  
255 expression level of human voltage dependent anion channel, which supports our timely  
256 findings.  
257

258 The strengths of our approach (implemented in the Tligner webservice and software tool)  
259 are four-fold. Firstly, the likelihood of success or failure can be assessed prior to running an  
260 experiment. Users can compare the opening energy calculated for the input and optimised  
261 sequences and the distributions of the 'success' and 'failure' of the PSI:Biology targets. We  
262 also introduced a scoring scheme to score the input and optimised sequences based upon  
263 how likely they are to be expressed (Fig 4; also see Methods). Secondly, optimised  
264 sequences can have up to the first nine codons substituted (by default), meaning that gene  
265 optimisation using a standard PCR cloning method is feasible. For cloning, we propose a  
266 nested PCR approach, in which the final PCR reaction utilises a forward primer designed  
267 according to the optimised sequence<sup>54</sup> (Fig 5C). Thirdly, the cost of gene optimisation can be  
268 reduced dramatically as gene synthesis is replaced with PCR using our approach. This  
269 enables high-throughput protein expression screening using the optimised sequences,  
270 generated at a low cost. Finally, tunable expression is possible, i.e. high, intermediate or  
271 even low expression 5' codon sequences can be designed, allowing for more control over  
272 heterologous protein production. Although our study focuses largely on the expression of  
273 recombinant proteins without an N-Terminal fusion tag, our findings might give meaningful  
274 insights to other systems.

275 **Methods**

276 **Sequence features analysis**

277 Minimum free energy, opening energy and avoidance were calculated using RNAfold,  
278 RNAPlfold and RNAup from ViennaRNA package (version 2.4.11), respectively<sup>49–51,55–58</sup>.  
279 RNAfold was run with default parameters. For RNAPlfold, sub-sequences were generated  
280 from the input sequences to calculate opening energy (using the parameters -W 210 -u 210).  
281 For RNAup, we examined the stochastic interactions between the region 1:30 of each mRNA  
282 and 54 non-coding RNAs (using the parameters -b -o). RNAup reports the total interaction  
283 between two RNAs as the sum of energy required to open accessible sites in the interacting  
284 molecules  $\Delta G_u$  and the energy gained by subsequent hybridisation  $\Delta G_h$ <sup>49</sup>. For the  
285 interactions between each mRNA and 54 non-coding RNAs, we chose the most stable  
286 mRNA:ncRNA pair to report an inappropriate mRNA:ncRNA interaction, i.e. the pair with the  
287 strongest hybridisation energy,  $(\Delta G_h)_{min}$ .

288

289 CAI, tAI and CC were calculated using the reference weights from Sharp and Li<sup>12</sup>, Tuller et  
290 al.<sup>42</sup> and Ang et al.<sup>43</sup>, respectively. Translation elongation rate was predicted using Ixnos<sup>44</sup>  
291 trained with ribosome profiling data (SRR7759806 and SRR7759807)<sup>59</sup>. See Supplementary  
292 Table S1 for the datasets used in this study.

293

294

295 **TIsigner**

296 Finding a synonymous sequence with a maximum accessibility is a combinatorial problem  
297 that spans a vast search space. For example, for a protein-coding sequence of nine codons,  
298 assuming an average of 3 synonymous codons per amino acid, we can expect a total of  
299 19,682 unique synonymous coding sequences. This number increases rapidly with  
300 increasing number of codons. Heuristic optimisation approaches are preferred in such  
301 situations because the search space can be explored more efficiently to obtain nearly  
302 optimal solutions.

303

304 To optimise the accessibility of a given sequence, TIsigner uses a simulated annealing  
305 algorithm<sup>60–63</sup>, a heuristic optimisation technique based on the thermodynamics of a system  
306 settling into a low energy state after cooling. A simulated annealing algorithm has been used  
307 to solve several combinatorial optimisation problems in bioinformatics. For example, we  
308 previously applied this algorithm to align and predict non-coding RNAs from multiple  
309 sequences<sup>64</sup>. Other studies use this algorithm to find consensus sequences<sup>62</sup> and  
310 optimise the ribosome binding sites<sup>26</sup> and mRNA folding<sup>65</sup> using minimum free energy  
311 models.

312

313 According to statistical mechanics, the probability  $p_i$  of a system occupying energy state  
314  $E_i$ , with temperature  $T$ , follows a Boltzmann distribution of the form  $e^{-E_i/T}$ , which gives a set  
315 of probability mass functions along every point  $i$  in the solution space. Using a Markov  
316 chain sampling, these probabilities are sampled such that each point has a lower  
317 temperature than the previous one. As the system is cooled from high to low temperatures (  
318  $T \rightarrow 0$ ), the samples converge to a minimum of  $E$ , which in many cases might be the global  
319 minimum<sup>62</sup>. A frequently used Markov chain sampling technique is Metropolis-Hastings

320 algorithm in which a 'bad' move  $E_2$  from initial state  $E_1$  such that  $E_2 > E_1$ , is accepted if  
321  $R(0, 1) \geq p_2 / p_1$ , where  $R(0, 1)$  is a uniformly random number between 0 and 1.

322  
323 In our implementation, each iteration consists of a move that may involve multiple  
324 synonymous codon substitutions. The algorithm begins at a high temperature where the first  
325 move is drastic, synonymous substitutions occur in all replaceable codons. At the end of the  
326 first iteration, a new sequence is accepted if the opening energy is smaller than that of the  
327 input sequence. However, if the opening energy of a new sequence is greater than that of the  
328 input sequence, acceptance depends on the Metropolis-Hastings criteria. The accepted  
329 sequence is used for the next iteration, which repeats the above process. As the  
330 temperature cools, the moves get milder with fewer synonymous codon changes  
331 (Supplementary Fig S3). Simulated annealing stops upon reaching a near optimum solution.  
332

333 For the web version of Tligner, the default number of replaceable codons is restricted to the  
334 first nine codons. However, this default setting can be reset to range from the first four to  
335 nine codons, or the full length of the coding sequence. Furthermore, Tligner runs multiple  
336 simulated annealing instances, in parallel, to obtain multiple possible sequence solutions.  
337 There is a possibility to select tunable expression levels when the T7lac promoter is selected  
338 (as the expression scores were calculated based on the PSI:Biology dataset; see below).  
339 Among the solutions, the sequence that matches most closely to the users' selected target  
340 expression score is chosen as the optimum. The option for tunable expression is not  
341 available for custom UTRs, the sequence with minimum opening energy is chosen as the  
342 optimum.  
343

344 We allow users to select desirable target expression scores for the experiments using the  
345 T7lac inducible promoter. To implement this criterion, the posterior probabilities of success  
346 for input and optimised sequences are evaluated using the following equations from  
347 Bayesian statistics:

349 
$$\text{positive posterior odds} = \text{prior odds} \times \text{fitted positive likelihood ratio} \quad (1)$$

350 
$$\text{positive posterior probability} = \frac{\text{positive posterior odds}}{(1 + \text{positive posterior odds})} \quad (2)$$

351  
352 The fitted positive likelihood ratios in equation (1) were obtained from the following  
353 4-parametric logistic regression equation:  
354

355 
$$\text{fitted positive likelihood ratio} = d + \frac{a-d}{1 + \left( \frac{\text{positive likelihood ratio}}{c} \right)^b} \quad (3)$$

356  
357 with parameters a, b, c, and d. The prior probability was set to 0.49, which is the proportion  
358 of 'Expressed' (n=21,046) divided by 'Cloned' (n=42,774) of the PSI:Biology targets reported  
359 as of 28 June 2017<sup>66</sup>. Posterior probabilities were scaled as percentages to score the input  
360 and optimised sequences.  
361

362 The presence of terminator-like elements<sup>67</sup> in the protein-coding region may result in  
363 expression of truncated mRNAs due to early transcription termination. Therefore, we  
364 implemented an optional check for putative terminators in the input and optimised

365 sequences by cmsearch (INFERNAL version 1.1.2)<sup>68</sup> using the covariance models of  
366 terminators from RMfam<sup>69,70</sup>. We also allow users to filter the output sequences for the  
367 presence of restriction sites. Restriction modification sites (AarI, BsaI, and BsmBI) are  
368 avoided by default.

369

370

### 371 **Sequence optimisation**

372 We submitted the PSI:Biology targets that failed to be expressed (n=2,650) to the  
373 ExpOptimizer webserver from NovoPro Bioscience  
<https://www.novoprolabs.com/tools/codon-optimization>). A total of 2,573 sequences were  
374 optimised. The target sequences were also optimised using a local version of COOL<sup>28</sup> and  
375 Tligner using default settings. We also ran a random synonymous codon substitution as a  
376 control for these 2,573 sequences.

377

378

### 379 **Statistical analysis**

380 AUC and Gini importance scores were calculated using scikit-learn (version 0.20.2)<sup>71</sup>. The  
381 95% confidence intervals for AUC scores were calculated using DeLong's method<sup>72</sup>.  
382 Spearman's correlation coefficients and Kolmogorov-Smirnov statistics were calculated  
383 using Pandas (version 0.23.4)<sup>73</sup> and scipy (version 1.2.1)<sup>74,75</sup>, respectively. Positive likelihood  
384 ratios with 95% confidence intervals were calculated using bootLR package<sup>76,77</sup>. The  
385 P-values of multiple testing were adjusted using Bonferroni's correction and reported to  
386 machine precision. Plots were generated using Matplotlib (version 3.0.2)<sup>78</sup> and Seaborn  
387 (version 0.9.0)<sup>79</sup>.

388

389

### 390 **Code and data availability**

391 Our code and data can be found in our GitHub repository  
392 ([https://github.com/Gardner-BinfLab/Tligner\\_paper\\_2019](https://github.com/Gardner-BinfLab/Tligner_paper_2019)). These include the scripts and  
393 Jupyter notebooks to reproduce our results and figures. Tligner is written in Python 3.6 and  
394 the source code is available on (<https://github.com/Gardner-BinfLab/Tligner>). The public  
395 web version of this tool runs at <https://tisigner.otago.ac.nz>.

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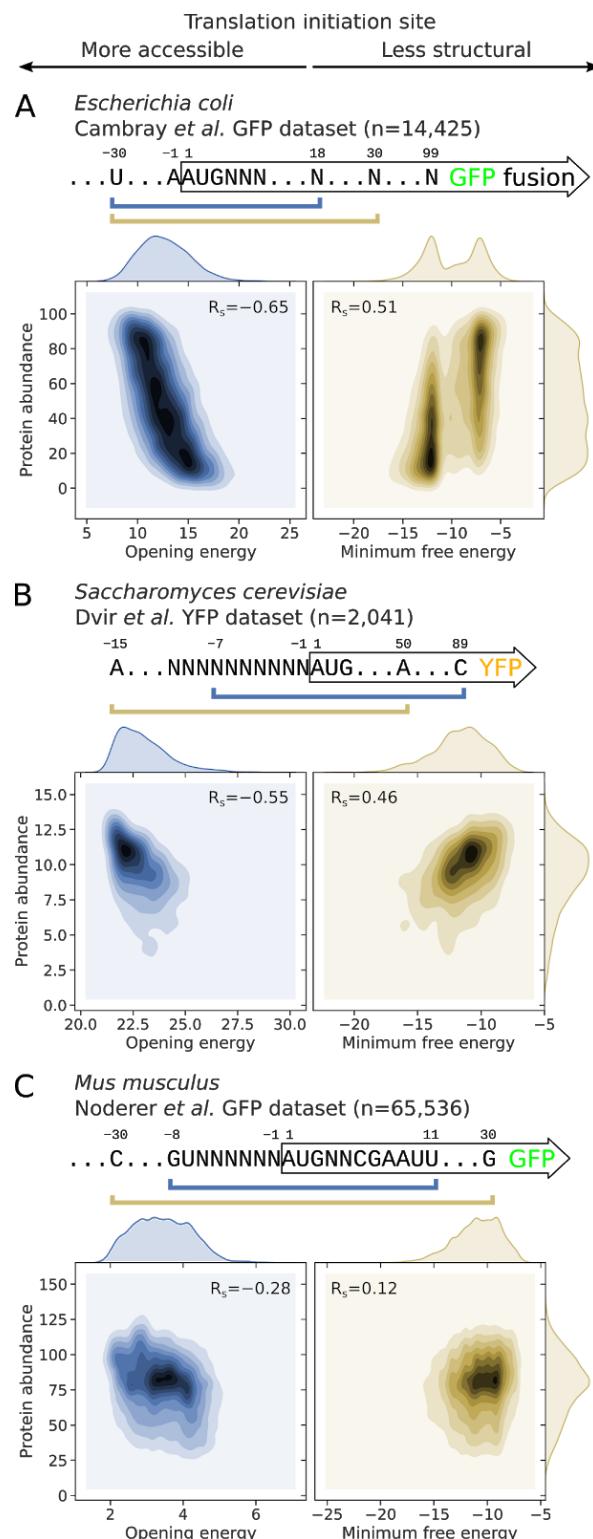
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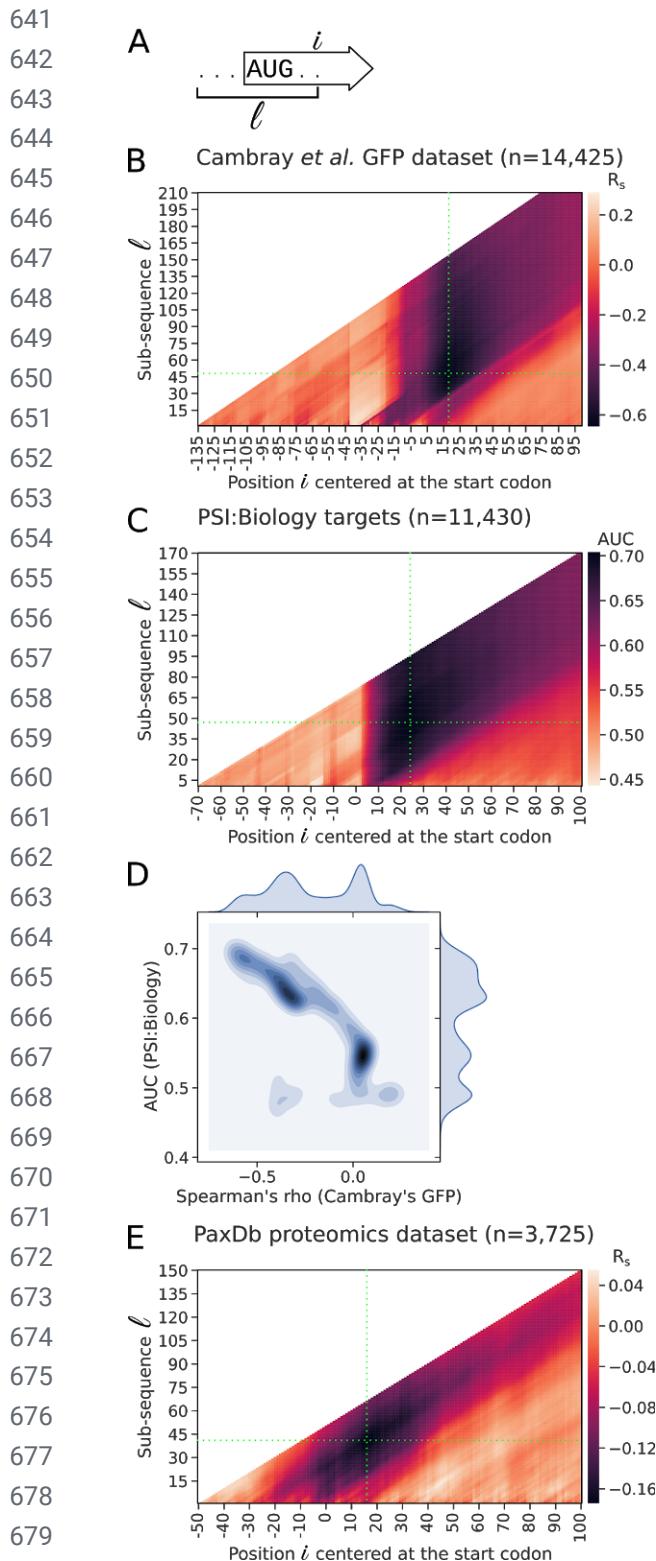
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595 **Figures**

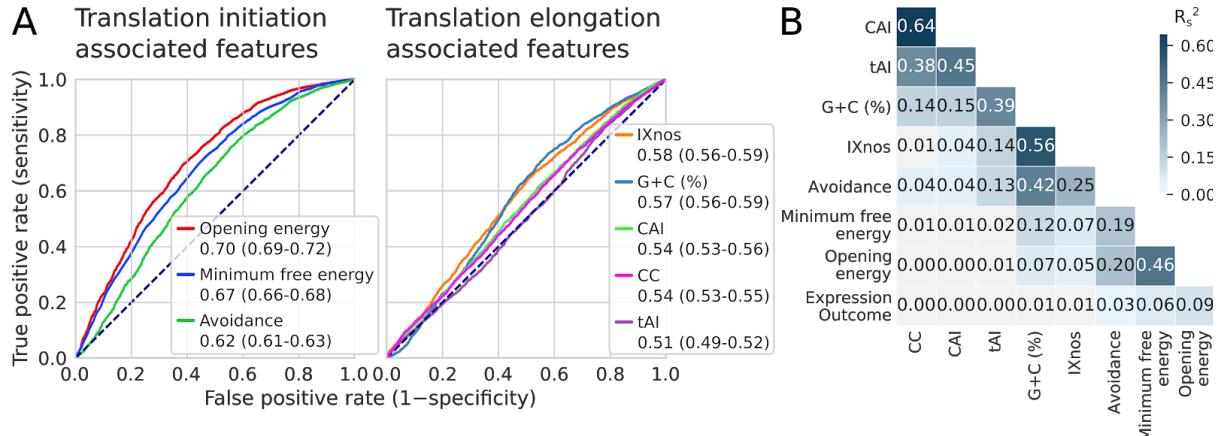


**Fig 1. Correlations between the opening energy of translation initiation sites and protein abundance are stronger than that of minimum free energy.** **(A)** For *E. coli*, the opening energy at the region  $-30:18$  shows the strongest correlation with protein abundance (also see Fig 2B or Supplementary Fig S1A, sub-sequence  $I=48$  at position  $i=18$ ). For this analysis, we used a representative GFP expression dataset from Cambray et al. (2018). The reporter library consists of GFP fused in-frame with a library of 96-nt upstream sequences (n=14,425). The minimum free energy  $-30:30$  shown was determined by Cambray et al. (right panel). **(B)** For *S. cerevisiae*, the opening energy  $-7:89$  shows the strongest correlation with protein abundance (also see Supplementary Fig S1B, sub-sequence  $I=96$  at position  $i=89$ ). For this analysis, we used the YFP expression dataset from Dvir et al. (2013). The YFP reporter library consists of 2,041 random decameric nucleotides inserted at the upstream of YFP start codon. The minimum free energy  $-15:50$  was previously shown to correlate the best with protein abundance (right panel). **(C)** For *M. musculus*, the opening energy  $-8:11$  shows the strongest correlation with protein abundance (also see Supplementary Fig S1C, sub-sequence  $I=19$  at position  $i=11$ ). For this analysis, we used the GFP expression dataset from Noderer et al. (2014). The GFP reporter library consists of 65,536 random hexameric and dimeric nucleotides inserted at the upstream and downstream of GFP start codon, respectively. The minimum free energy  $-30:30$  was shown (right panel).  $R_s$ , Spearman's rho. Bonferroni adjusted P-values are statistically significant ( $<2.2 \times 10^{-16}$ ) for the correlations between opening energy and protein abundance shown in the left panels.



**Fig 2. Strong correlations between the opening energy and protein abundance are predictive of the outcomes of recombinant protein expression in *E. coli*.** (A) Schematic representation of a transcript sub-sequence  $l$  at position  $i$  for the calculation of opening energy. For example, sub-sequence  $l=10$  at position  $i=10$  corresponds to the region 1:10. (B) Correlation between the opening energy for the sub-sequences of GFP transcripts and protein abundance. The opening energy at the region -30 to 18 nt (sub-sequence  $l=48$  at position  $i=18$ , green crosshair) shows the strongest correlation with protein abundance [ $R_s=-0.65$ ;  $n=14,425$ , GFP expression dataset of Cambray et al. (2018)]. For this dataset, the reporter plasmid used is pGC4750, in which the promoter and ribosomal binding site are oFAB1806 inducible promoter and oFAB1173/BCD7, respectively. (C) Prediction accuracy of the expression outcomes of the PSI:Biology targets using opening energy ( $n=11,430$ ). The opening energy at the region -23:24 (sub-sequence  $l=47$  at position  $i=24$ , green crosshair) shows the highest prediction accuracy score ( $AUC=0.70$ ). For this dataset, the expression vector used is pET21\_NESG, in which the promoter and fusion tag are T7lac and C-terminal His tag, respectively. (D) Comparison between the correlations and AUC scores by sub-sequence region taken from the above analyses. The sub-sequence regions that have strong correlations are likely to have high AUC scores, whereas the sub-sequence regions that have no correlations are likely not useful in prediction of the expression outcome. (E) Correlation between the opening energy for the sub-sequences of *E. coli* transcripts and protein abundance. The transcripts used for this analysis are protein-coding sequences concatenated with 50 and 10 nt located upstream and downstream, respectively. The opening energy at the region -25:16 (sub-sequence  $l=41$  at position  $i=16$ , green crosshair) shows the strongest correlation with protein abundance ( $R_s=-0.17$ ;  $n=3,725$ , PaxDb integrated proteomics dataset).  $R_s$ , Spearman's rho.

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**Fig 3. Accessibility is a strong predictor of heterologous protein expression. (A)** ROC analysis for prediction of the expression outcomes of the PSI:Biology targets (n=8,780 and 2,650, 'success' and 'failure' groups, respectively). The features associated with translation initiation rate analysed are the opening energy -24:24, minimum free energy -30:30 and avoidance 1:30 (left panel). The feature associated with translation elongation rate are tRNA adaptation index (tAI), codon context (CC), codon adaptation index (CAI), G+C content (%) and IXnos (right panel). The IXnos scores are translation elongation rates predicted using a neural network model trained with ribosome profiling data. The AUC scores with 95% confidence intervals are shown. **(B)** Relationships between the features and expression outcome represented as squared Spearman's correlations ( $R_s^2$ ). The opening energy -24:24 is the best feature in explaining the expression outcome.

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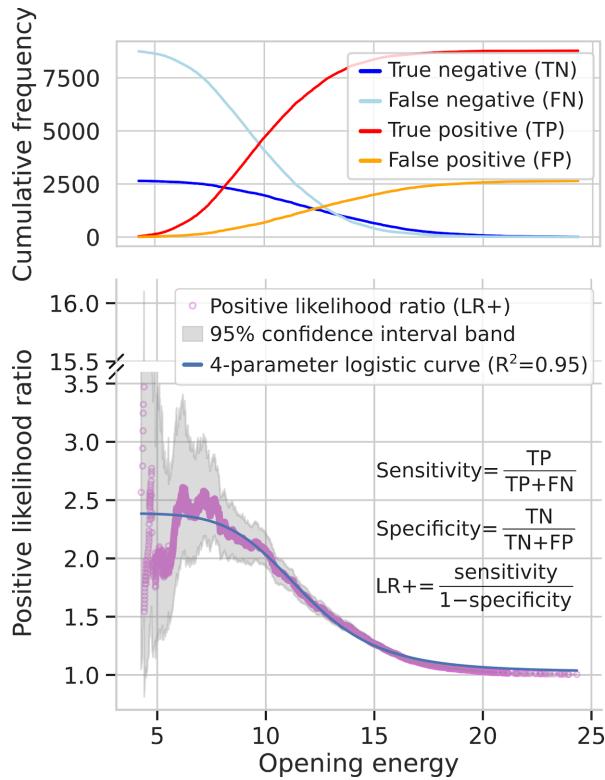
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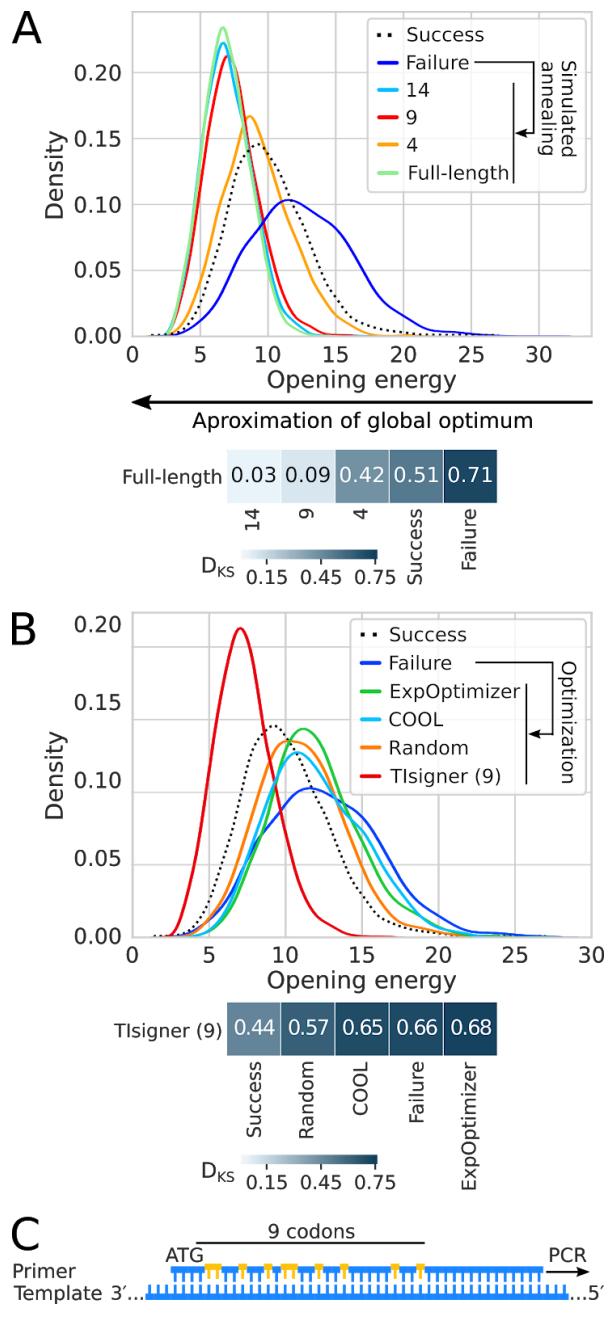
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**Fig 4. Opening energy of 10 or below at the region -24:24 is about two times more likely to come from the target genes that are successfully expressed than those that failed (with 95% confidence).** Cumulative frequency distributions of the true positive and false positive (less than type), and true negative and false negative (more than type) derived from the ROC analysis in Fig 2A (left panel, opening energy -24:24). These values were used to estimate positive likelihood ratios with 95% confidence intervals using 10,000 bootstrap replicates. The estimated ratios and/or confidence intervals are inaccurate at low numbers of true positives or true negatives. Therefore, a four-parameter logistic curve was fitted to the positive likelihood ratios. Fitted values are useful to estimate the posterior probability of protein expression.



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**Fig 5. Accessibility of translation initiation sites can be increased by synonymous codon substitution within the first nine codons using simulated annealing. (A)** Accessibility of translation initiation sites increases with increasing number of the first N replaceable codons. The PSI:Biology targets that failed to be expressed were optimised using simulated annealing ( $n=2,650$ ). The Kolmogorov-Smirnov distance between the distributions of '9' and 'full-length' was significantly different but sufficiently close ( $D_{KS}=0.09$ ,  $P<10^{-7}$ ), indicating that optimisation of the first nine codons can achieve nearly optimum accessibility. For comparison, the distribution of the PSI:Biology targets that were successfully expressed are shown ( $n=8,780$ ). **(B)** Accessibility of translation initiation sites can be increased indirectly using the existing gene optimisation tools and random synonymous codon substitution. 'Tligner (9)' refers to the default settings of our tool, which allows synonymous substitutions up to the first nine codons (as above). **(C)** Accessibility of translation initiation sites can be optimised using PCR cloning. The forward primer should be designed according to Tligner optimised sequences. For example, using a nested PCR approach, the optimised sequence can be produced using the forward primer designed with appropriate mismatches (gold bulges) to amplify the amplicon from the initial PCR reaction.