

## 1 Plant respiration: controlled by photosynthesis or biomass?

2 **Running title:** Metabolism and size drive forest C-balance

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## 42 **Abstract**

43 Two simplifying hypotheses have been proposed for whole-plant respiration. One links  
44 respiration to photosynthesis; the other to biomass. Using a first-principles carbon balance model  
45 with a prescribed live woody biomass turnover, applied at a forest research site where

46 multidecadal measurements are available for comparison, we show that if turnover is fast the  
47 accumulation of respiring biomass is low and respiration depends primarily on photosynthesis;  
48 while if turnover is slow the accumulation of respiring biomass is high and respiration depends  
49 primarily on biomass. But the first scenario is inconsistent with evidence for substantial  
50 carryover of fixed carbon between years, while the second implies far too great an increase in  
51 respiration during stand development – leading to depleted carbohydrate reserves and an  
52 unrealistically high mortality risk. These two mutually incompatible hypotheses are thus both  
53 incorrect. Respiration is *not* linearly related either to photosynthesis or to biomass, but it is more  
54 strongly controlled by recent photosynthates (and reserve availability) than by total biomass.

55 **Introduction**

56 The amount of carbon that accumulates in actively growing stands of vegetation depends on  
57 the balance of photosynthesis (gross primary production,  $P$ ) and whole-plant (autotrophic)  
58 respiration ( $R$ ). The difference between these fluxes is net primary production ( $P_n$ ). Most annual  
59  $P_n$  is allocated to structural growth ( $G$ ), but some is stored as non-structural carbohydrates (NSC,  
60 mostly starch and sugars), some is released back to the atmosphere in the form of biogenic  
61 volatile organic compounds (BVOCs), and some is exuded to the rhizosphere (Chapin *et al.*  
62 2006). The fraction of  $P$  that accumulates in biomass, and the fraction that returns to the  
63 atmosphere through plant metabolism, are crucial quantities that determine the sign and  
64 magnitude of the global climate-carbon feedback – which remains one of the greatest sources of  
65 uncertainty in the global carbon cycle (Friedlingstein *et al.* 2014). But despite many  
66 ecophysiological studies aiming to understand  $P_n$  and  $R$  dynamics during stand development, a  
67 general understanding is still lacking.

68 Some authors have hypothesized a constant  $P_n:P$  (carbon use efficiency, equivalent to 1 –  
69 ( $R:P$ )) ratio, with  $R$  tightly constrained by  $P$  irrespective of biomass, climate, tree species and  
70 stand age (e.g. Gifford 2003; Van Oijen *et al.* 2010). Waring *et al.* (1998, W98 hereafter)

71 indicated a universal  $P_n:P$  of  $\sim 0.5$ . Since, ultimately,  $R$  depends on the matter produced by  
72 photosynthesis, Gifford (2003) suggested that these two processes must be tightly balanced over  
73 the longer term – making  $R$  proportional to  $P$ , consistent with W98. He argued that prescribing  
74  $P_n$  (or  $R$ ) as a constant fraction of  $P$  could be a simpler, and potentially more accurate, alternative  
75 to explicit, process-based modelling of  $R$ . A number of land vegetation models (reviewed in  
76 Collalti & Prentice 2019) adopt this simplification.

77 An alternative hypothesis, grounded in metabolic scaling theory, suggests that  $R$  should scale  
78 with biomass following a power law,  $Y = a X^b$  (West *et al.* 1999). According to some studies  
79 (e.g. Reich *et al.* 2006, R06 hereafter),  $R$  ( $Y$ ) scales isometrically ( $b \sim 1$ ) with whole-plant carbon  
80 (C) or nitrogen (N) contents ( $X$ ), and this scaling is similar within and among different species,  
81 and irrespective of environmental and climatic conditions – which might influence the  
82 normalization constant ( $a$ ), but not the exponent ( $b$ ). Isometric scaling of  $R$  with biomass was  
83 assumed in the traditional view of forest dynamics set out e.g. by Kira & Shidei (1967) and  
84 Odum (1969). In the absence of major disturbances, if  $R$  increases in parallel with biomass, then  
85  $P_n$  necessarily declines – because ultimately  $P$  cannot increase indefinitely, but rather stabilizes  
86 at canopy closure. Mori *et al.* (2010) however indicated that biomass and  $R$  are isometrically  
87 related only in young trees, tending towards  $b \sim 3/4$  in mature trees. A general value of  $3/5$  has  
88 also been proposed (Michaletz *et al.* 2014). But however it is interpreted, this scaling hypothesis  
89 implies that  $R$  depends on biomass, and is related to  $P$  only to the extent that  $P$  and biomass vary  
90 together.

91 Although many terrestrial vegetation models simulate plant respiration assuming  $R$  to be a  
92 fixed fraction of  $P$ , others more explicitly couple  $R$  to biomass and thus only indirectly to  $P$ . The  
93 most widely used (and observationally supported) mechanistic approach, also adopted here,  
94 divides  $R$  into growth ( $R_G$ ) and maintenance ( $R_M$ ) components (McCree 1970; Thornley 1970).  
95  $R_G$  is considered to be a fixed fraction of new tissue growth, independent of temperature, the  
96 fraction varying only with the cost of building the compounds constituting the new tissue

97 (Penning de Vries 1972). Temperature, substrate availability and the demand for respiratory  
98 products are considered to control  $R_M$  (Cannell & Thornley 2000). Several studies have  
99 investigated the effects of short- and long-term changes in temperature on  $R_M$ , mostly at the leaf  
100 level (e.g. Heskel *et al.* 2016; Huntingford *et al.* 2017). The nature of the temperature responses  
101 and the acclimation of  $R_M$  are important and much-discussed issues, but they are not considered  
102 further here. In contrast, the effects on respiration of woody biomass (the substrate), its  
103 accumulation, and the transition rate of respiring sapwood into non-respiring heartwood, have  
104 received relatively little attention (Tjoelker *et al.* 1999; Kuptz *et al.* 2011). These latter processes  
105 are the focus here.

106 The fixed-ratio hypothesis of W98 and the scaling hypothesis of R06 could both be used – at  
107 least in principle, across the twenty orders of magnitude variation in plant mass – to estimate  $R$   
108 and  $P_n$  without the need for explicit process-based modelling of  $R$  (McMurtrie *et al.* 2008; Price  
109 *et al.* 2010). However, they may yield quite different results, and both hypotheses (and their  
110 supposed underlying mechanisms) have been subject to criticism (e.g. Medlyn & Dewar 1999;  
111 Mäkelä & Valentine 2001; Kozłowski & Konarzewski 2005; O'Connor *et al.* 2007; Keith *et al.*  
112 2010; Agutter & Tuszynski 2011; Price *et al.* 2012; Collalti *et al.* 2018, 2019; Collalti & Prentice  
113 2019). To our knowledge, there has been no previous attempt to compare these two hypotheses  
114 directly, and their consequences for forest carbon balance during stand development, and in the  
115 same modelling framework. We attempt to fill this gap by providing illustrative simulations on  
116 the long-term trajectories of  $R$ ,  $P_n$  and  $P_n \cdot P$ , highlighting and discussing the large uncertainty  
117 surrounding this issue. The simulations are based on the first principles of mass balance, as  
118 adopted in most contemporary vegetation models, and implemented here into a process-based,  
119 ecophysiological model that has been tested against detailed time-series observations in an  
120 intensively monitored research forest site. We show how alternative assumptions about the live  
121 woody turnover (live woody biomass is the metabolically active fraction of sapwood: see  
122 Supporting Information) map on to the two alternative hypotheses, while seeking an answer to

123 the pivotal question: is  $R$  a function of photosynthesis alone (W98's hypothesis), or of biomass  
124 alone (R06's hypothesis)? Insight into these conflicting hypotheses on plant respiration would  
125 help towards a better mechanistic understanding and correct quantification of the stocks and  
126 fluxes that determine the carbon balance of forests.

127 **Materials and methods**

128 *Theoretical framework*

129 A general equation describing autotrophic respiration ( $R$ ) is:

$$130 \quad R = P - P_n = P - (G + G_R) \quad (1)$$

131 where  $P$  and  $P_n$  are gross and net primary production,  $G$  is structural and litter biomass  
132 production and  $G_R$  is the flux to NSC reserves and secondary compounds including, exudates  
133 and BVOCs (all in g C ground area $^{-1}$  time $^{-1}$ ). If  $R$  is further decomposed into growth ( $R_G$ ) and  
134 maintenance ( $R_M$ ) respiration (McCree 1970, Thornley 1970), then:

$$135 \quad R = R_G + R_M = g_R G + m_R W_{live} \quad (2)$$

136 where  $g_R$  and  $m_R$  are the growth and maintenance respiration coefficients (i.e. respiratory CO $_2$   
137 released per unit biomass produced by growth and by the maintenance of the existing biomass:  
138 both, per unit time and unit mass; Penning de Vries 1975), and  $W_{live}$  is living biomass (Amthor  
139 2000).  $W_{live}$  can be broken down further:

$$140 \quad W_{live} = W_{live\_woody} + W_{green} \quad (3)$$

141 where  $W_{live\_woody}$  and  $W_{green}$  are the biomass of live woody pools (living cells in stem,  
142 branches and coarse roots) and non-woody tissues (leaves and fine roots), respectively. Because

143 plant tissues require N as a component of the enzymes that sustain metabolic processes  
144 (including respiration), living biomass is often expressed in nitrogen units, g N ground area<sup>-1</sup>  
145 (Cannell & Thornley 2000), while respiration is expressed in carbon units. Then  $m_R$  is in units of  
146 g C g N<sup>-1</sup> time<sup>-1</sup> (Penning de Vries 1975). Temporal changes in  $W_{\text{live\_woody}}$  can be summarized by  
147 first-order biochemical kinetics:

$$148 \quad \underbrace{\frac{dW_{\text{live\_woody}}}{dt}}_{\text{relative change}} = \underbrace{\varphi \cdot G_{\text{live\_woody}}}_{\text{incoming flux}} - \underbrace{W_{\text{live\_woody}} \cdot \tau}_{\text{outgoing flux}} \quad (4)$$

149 where  $G_{\text{live\_woody}}$  is the part of  $G$  allocated to live woody,  $\varphi$  converts carbon to nitrogen  
150 content (g N g C<sup>-1</sup>), and  $\tau$  is the live woody turnover rate per unit time ( $t$ ). A similar expression  
151 can be written for  $W_{\text{green}}$ . The first term on the right-hand side of equation (4) represents the  
152 “incoming” flux of new living cells; while second term represents the “outgoing” flux of living  
153 cells that die and become metabolically inactive. But while  $W_{\text{green}}$  may be only a small fraction of  
154 total forest biomass, not changing much after canopy closure,  $W_{\text{live\_woody}}$  (as also total  $W$ )  
155 becomes large during forest development and is potentially a strong driver of  $R$  (Reich *et al.*  
156 2008). However interpreted and wherever applied, this general approach including a turnover  
157 rate parameter ( $\tau$ ) is equally valid for any mass-, area- or volume-based analyses (Thornley &  
158 Cannell 2000).

159 Setting  $\tau = 1 \text{ year}^{-1}$  in equation (4) would imply a tight coupling between the previous year’s  
160 growth and the current year’s respiration flux – as suggested by Gifford (2003) – and yields a  
161 close approximation to the W98 assumption of a fixed ratio between  $P_n$  and  $P$ , thus cancelling,  
162 on an annual scale, any effect of biomass accumulation. The implication of a one-year-lag  
163 between carbon fixation and respiration in woody compounds is consistent with the findings of  
164 Amthor (2000), Kagawa *et al.* (2006a, b), Gough *et al.* (2008, 2009) and Richardson *et al.* (2013,

165 2015) of a physiological asynchrony by about one year between  $P$  and growth (and thus on  
166 growth and maintenance respiration).

167 Alternatively, setting  $\tau = 0.1 \text{ year}^{-1}$  would imply that most new sapwood cells live for many  
168 years, and would closely approximate the R06 assumption of proportionality between  $R$  and  
169 biomass. Thus, the amount of respiring biomass is regulated by the amount of substrate that is  
170 produced each year, forming new sapwood, versus the amount that is converted into non-living  
171 tissues and no longer involved in metabolism; the balance of these processes being controlled by  
172  $\tau$  (see proofs-of-concept in Fig. 1a and b, and Table 1, for elaboration).

173 Because carbon supply (photosynthesis) and carbon metabolic demand (respiration) are not  
174 necessarily synchronized, the model assumes that temporary carbon imbalances between  $P$  and  $R$   
175 (implying  $P_n < 0$ , Roxburgh *et al.* 2005) are met by the remobilization or recycling of NSC  
176 stored during previous year(s) – so long as the NSC pool is not completely emptied (the carbon  
177 starvation hypothesis; McDowell *et al.* 2008). A full description of the modelled NSC dynamics  
178 is provided in Box 1.

179 ***Simulation set-up***

180 The logic described above was implemented in a process-based forest growth model (3D-  
181 CMCC-CNR), parameterized at site level, and applied, as a case study, to an intensively  
182 monitored temperate deciduous forest. Additional model description can be found in Supporting  
183 Information, Collalti *et al.* (2014, 2016, 2018; and references therein), and Marconi *et al.* (2017).

184 Very limited data are available on the turnover rate  $\tau$  of live cells in sapwood, which is often  
185 either guessed or inferred by model calibration (e.g. White *et al.* 2000). We carried out ten  
186 simulations with  $\tau$  varied in arbitrary  $0.1 \text{ yr}^{-1}$  steps, from  $\tau = 1 \text{ yr}^{-1}$  (100% of turnover, all the  
187 previous year live cells of sapwood becomes non-respiring heartwood in the current year) with  $R$   
188 mostly depending on the left-hand side term of equation (2) ( $R \sim g_R G$ ), down to  $\tau = 0.1 \text{ yr}^{-1}$   
189 (only 10% of the previous year's live cells of sapwood biomass dies) and  $R$  mostly depending on

190 the right-hand side term of equation (2) ( $R \sim m_R W_{live}$ ). Thus, we started with the largest prior  
191 distribution for  $\tau$ , assuming that values outside this range are not functionally possible (Table 1).  
192 This approach ensures that any difference in model results reflects difference in specific model  
193 assumptions (respiration controlled by photosynthesis or biomass) rather than model structure.  
194 We are unaware of any studies reporting changes in  $\tau$  with age or biomass; we have therefore  
195 necessarily assumed that  $\tau$  is constant in time.

196 The standard model configuration assigns  $\tau = 0.7 \text{ yr}^{-1}$  (Collalti *et al.* 2019) and this same  
197 value has been used by several authors in various modelling contexts (e.g. Bond-Lamberty *et al.*  
198 2005; Tatarinov & Cenciala 2006). Other models have applied different values (see Box 2).  
199 Zaehle *et al.* (2005), Poulter *et al.* (2010) and Pappas *et al.* (2013) found that  $\tau$  is a critical  
200 parameter for both LPJ-DGVM and LPJ-GUESS. We are not aware of similar sensitivity  
201 analyses for other models. Leaf and fine root turnover rates are assumed here to be  $1 \text{ yr}^{-1}$ ,  
202 appropriately for deciduous trees (Pietsch *et al.* 2005). The model parameters accounting for ‘age  
203 effects’ (e.g. those controlling, among other things, leaf conductance: Kirschbaum, 2000; Smith  
204 *et al.* 2001) were set arbitrarily large, to avoid building in prior assumptions. Age- and size-  
205 effects are therefore considered synonymous (Mencuccini *et al.* 2005). A stochastic background  
206 whole-tree mortality rate (1% of trees removed each year) was retained and included in equation  
207 (4) to ensure realistic self-thinning (Smith *et al.* 2001; Kirschbaum, 2005). All other parameters  
208 were left unchanged from the standard model configuration.

209 ***Test site and model run***

210 The model was applied to simulate 150 years of even-aged stand development in a stand of  
211 European beech (*Fagus sylvatica* L.; Sorø, Denmark; Wu *et al.* 2013, Reyer *et al.* 2019) in daily  
212 time steps from 1950 to 2100. The reasons for choosing this stand are: (a) the extensive literature  
213 on European beech, allowing key parameter values to be assigned with confidence; (b) the  
214 exceptional quantity and length of data available at the Sorø site for initializing in 1950 and

215 evaluating the model more than fifty years later, thus, allowing long term processes (including  
216 woody biomass accumulation) to emerge; and (c) because the trees are deciduous, we can  
217 assume a complete annual turnover of leaves and fine roots and, therefore, more easily  
218 disentangle the contributions of  $W_{\text{green}}$  and  $W_{\text{live\_woody}}$ . Deciduous species are also expected to  
219 have greater within-season variability in  $P_n:P$ , and greater asynchrony in carbon supply and  
220 demand, than evergreen species (Dietze *et al.* 2014; Martínez-Vilalta *et al.* 2016). However, both  
221 the model assumptions and its results are based on general principles and expected to apply more  
222 generally than solely to this specific model and site.

223 We simulated forest development up to 2100, consistent with the common economic rotation  
224 length for this species in northern Europe. After canopy closure, modelled leaf area index (LAI)  
225 and the relative amounts of leaf and fine-root biomass became stable or even slightly decrease,  
226 as is usually observed (Yang *et al.* 2011, 2016). Therefore, changes in modeled  $R$ , and its  
227 components  $R_M$  and  $R_G$ , could be attributed to changes in the total amount of living woody  
228 biomass and the costs of its maintenance.

229 In 1950 the stand was aged 30 years with an average tree diameter at breast height of  $\sim 6$  cm  
230 and a density of 1326 trees  $\text{ha}^{-1}$ . Model state variables were initialized using species-specific  
231 functional and allometric relationships from the literature, and previous model applications at  
232 this site (Collalti *et al.* 2016, 2018; Marconi *et al.* 2017). Model sensitivity to parameter values  
233 and their uncertainties have been assessed in depth in a previous work (see Collalti *et al.* 2019,  
234 especially their Fig. 2 and Table 3). Management, in the form of thinning, occurred at the site  
235 only up to 2014. After that year, only stochastic mortality was accounted for in the model. Live  
236 wood was initialized at 15% of sapwood biomass (as the fraction of current year sapwood:  
237 Pietsch *et al.* 2005) and assigned a C:N ratio of 48 g C g N<sup>-1</sup>, not changing with increasing  
238 biomass (Ceschia *et al.* 2002; Damesin 2003). The minimum concentration of NSC was assumed  
239 to be,  $\sim 11\%$  of sapwood dry mass (Hoch *et al.* 2003; Genet *et al.* 2010; Martínez-Vilalta *et al.*  
240 2016) consistent with measurements on deciduous species (and specifically beech). Daily

241 meteorological forcing variables were obtained as historical ensemble means from five Earth  
242 System Models (ESMs) up to 2005 provided by the Inter-Sectoral Impact Model  
243 Intercomparison Project (ISI-MIP, Warszawski *et al.* 2014). Data for the period 1995–2005 were  
244 then randomly repeated up to 2100. Additional simplifying assumptions were made in order to  
245 focus specifically on the effects of increases in tree size, as follows: no disturbances (whether  
246 herbivory or management) after 2014; no effect of changes in soil N availability, thus excluding  
247 confounding effects of altered N deposition; and, importantly, to avoid possible confounding of  
248 temperature effects on  $R_M$  with other warming effects, a stable (1995–2005) climate and  
249 atmospheric CO<sub>2</sub> concentration ( $\sim 380 \mu\text{mol mol}^{-1}$ ). Exports of carbon to exudates and BVOCs  
250 are very slight in this species, and they could therefore be neglected.

251 **Results**

252 ***Data-model agreement***

253 The standard model configuration satisfactorily reproduced  $P$ ,  $R$ ,  $P_n$  and the ratio  $P_n:P$  when  
254 compared to independent, site-level, carbon balance data (Wu *et al.* 2013) for the period 2006–  
255 2010 (Fig. 2, Table 2), corresponding to a stand age of  $\sim 85$ –90 yrs.  $P$  was in agreement with  
256 eddy covariance data, while  $R$  was slightly underestimated compared to values in Wu *et al.*  
257 Consequently, the model overestimated the average  $P_n:P$  ratio by 14% compared to Wu *et al.*  
258 However, Wu *et al.* argued that the values of  $R$  they obtained (by subtracting modelled  
259 heterotrophic from measured ecosystem respiration) may have been overestimated, given also  
260 the large standard deviation ( $\pm 143 \text{ g C m}^{-2} \text{ yr}^{-1}$ ). The model results are otherwise in good  
261 agreement with Wu *et al.* for woody carbon stocks (both above- and below-ground), annual  
262 wood production (the sum of carbon allocated to stems, branches and coarse roots), and annual  
263 above- and below-ground litter production (the sum of carbon allocated to leaves and fine roots)  
264 (Table 2). Modelled respiration of the woody compartments, leaf and total (above- and below-  
265 ground) respiration, and NSC pool and fluxes, are all compatible with values reported by

266 previous investigations, and within the ranges of total, wood and leaf respiration, and  $P_n:P$  ratios  
267 reported for European beech (e.g. Barbaroux & Brèda 2002; Barbaroux *et al.* 2002; Knohl *et al.*  
268 2003; Granier *et al.* 2008; Davi *et al.* 2009; Genet *et al.* 2010; Guidolotti *et al.* 2013). A model  
269 validation forced by actual measured climate at this site is also described in previous papers  
270 (Collalti *et al.* 2016; 2018; Marconi *et al.* 2017).

271 ***The effect of varying  $\tau$***

272 The simulations produced a spectrum of diverging trajectories, ranging from an  
273 approximately steady-state with constant  $P_n:P$  ratio (for large  $\tau$ ) to a constantly decreasing  $P_n:P$   
274 ratio (for small  $\tau$ ) (Fig. 1a). For  $\tau = 1 \text{ yr}^{-1}$ ,  $P_n:P$  stays close to 0.5. For  $\tau \leq 0.2 \text{ yr}^{-1}$   $P_n:P$  eventually  
275 falls below the lower limit of commonly observed values (0.22; Collalti & Prentice 2019) and  
276 the physiological limit of 0.2 proposed by Amthor (2000). Figure 2 also shows the effects of  
277 varying  $\tau$  in determining different trajectories for  $P_n$  (Fig. 2b) and  $R$  (Fig. 2c) and consequent  
278 differences in the partitioning between  $R_M$  and  $R_G$  (Fig. S2) with modelled  $R$ , at the end of  
279 simulations, ranging from  $\sim 800 \text{ g C m}^{-2} \text{ yr}^{-1}$ , giving  $P_n \sim 900 \text{ g C m}^{-2} \text{ yr}^{-1}$  and  $P \sim 1700 \text{ g C}$   
280  $\text{m}^{-2} \text{ yr}^{-1}$  (Fig. S1 and for NSC flux Fig. S3b) consistent with a steady-state between  $R$  or  $P_n$  and  
281  $P$ , to two cases ( $\tau = 0.1, 0.2 \text{ yr}^{-1}$ ) in which trees die from starvation.

282 The model did not generate any consistent power-law relationship between  $R$  and biomass  
283 either for  $b \sim 1$  (i.e. R06), or for  $\sim 3/4$  (Mori *et al.* 2010), or for  $\sim 2/3$  (Makarieva *et al.* 2005), or  
284 for  $\sim 3/5$  (Michaletz *et al.* 2014) (Table S1). The simulations indicated  $b \sim 1$  initially, shifting  
285 with increasing tree size to  $b \sim 0.74$  for  $\tau = 0.1 \text{ yr}^{-1}$  ( $R^2 = 0.99, n = 117$ ) or 0.19 for  $\tau = 1 \text{ yr}^{-1}$  ( $R^2$   
286 = 0.84,  $n = 150$ ; ‘ $n$ ’ corresponds to years of simulation). For the relation between  $R$  and whole-  
287 plant N, again the simulations indicated  $b \sim 1$  initially, shifting to  $b \sim 0.82$  for  $\tau = 0.1 \text{ yr}^{-1}$  ( $R^2 =$   
288 0.99,  $n = 117$ ) or 0.27 for  $\tau = 1 \text{ yr}^{-1}$  ( $R^2 = 0.82, n = 150$ ) (Figs. 3a and 3c). The highest  $b$  values  
289 corresponded to simulations which ended because the trees died.

290 **Discussion**

291 ***R* is not entirely determined by *P***

292 A constant  $P_n:P$  ratio, as implied by W98's hypothesis and obtained here by setting  $\tau = 1 \text{ yr}^{-1}$ ,  
293 conflicts with observations from many different tree species that show a substantially slower  
294 turnover rate of living cells. In fact, parenchyma cells within secondary xylem are very often  
295 more than a year old, and can be up to 200 years old (Spicer & Holbrook 2007). The constant  
296 ratio hypothesis is also contrary to the evidence in trees that much of the recently-fixed  
297 assimilate pool is at first stored as reserves, and only later used for metabolism or growth  
298 (Schiestl-Aalto *et al.* 2015, 2019). Indeed, there are some reports of decoupling between growth  
299 (which would imply some  $\text{CO}_2$  released for both  $R_G$  and subsequently  $R_M$ ) and photosynthesis –  
300 with growth ceasing long before photosynthesis – because of the different sensitivities of growth  
301 and photosynthesis to environmental drivers. Kagawa *et al.* (2006a) reported for *Larix gmelinii*  
302 Mayr. that up to 43%, and according to Gough *et al.* (2009) up to 66%, of annual  
303 photosynthetates in bigtooth aspen (*Populus grandidentata* Michx.) and northern red oak  
304 (*Quercus rubra* L.) are used during the year(s) after they have been fixed. Gaudinski *et al.*  
305 (2009), Malhi (2012), and Delpierre *et al.* (2016) all found negative correlations between annual  
306 carbon inflows and above- or below-ground wood growth, from temperate to tropical tree  
307 species. Analysing Luysaert *et al.*'s (2007) global database, Chen *et al.* (2013) found that  $R$   
308 does not scale isometrically with  $P$ . Some authors have suggested that  $R_G$  could be supplied  
309 exclusively by recent photosynthates while  $R_M$  by previously stored ones (Lötscher *et al.* 2004).  
310 Along the same lines, Maier *et al.* (2010) for loblolly pine trees (*Pinus taeda* L.), Kuptz *et al.*  
311 (2011) for beech (*Fagus sylvatica* L.) and Norway spruce (*Picea abies* Karst.), and Lynch *et al.*  
312 (2013) for a sweetgum plantation (*Liquidambar styraciflua* L.), found that both  $R_G$  and  $R_M$  are  
313 not completely satisfied by recent assimilates, and that some current  $R_M$  can be derived from  
314 woody tissues constructed in previous years. Litton *et al.* (2007) and Yang *et al.* (2016) both

315 found low correlations between respiration and the annual production of woody compounds in  
316 large datasets. Many studies have also reported little variation in the CO<sub>2</sub> efflux from sapwood in  
317 relation to tree-ring age, despite a stepwise decrease in the fraction of living cells towards the  
318 centre of the stems (e.g. Ceschia *et al.* 2002; Spicer & Holbrook 2007; Pallardy 2010). These  
319 various observations imply that some carbon is fixed one year and used for the tree's own  
320 growth and metabolism in the next or subsequent years, and that the inner sapwood contains a  
321 population of living cells formed in previous years.

322 These observations are all incompatible with the hypothesis of a tight coupling of  $R$  and  $P$   
323 (alone), and with model results obtained by assuming complete turnover of live cells in sapwood  
324 during a single year.

325 ***R is not entirely determined by biomass***

326 On the other side of the ledger, model simulations indicate that low  $\tau$  values ( $\leq 0.2 \text{ yr}^{-1}$ ) can  
327 lead to excessively high respiration burdens, impossibly low  $P_n:P$  ratios ( $< 0.2$ ), and ultimately  
328 carbon starvation when all NSC is consumed and whole-tree  $R_M$  or growth can no longer be  
329 sustained (Fig. 2). This model result is quantitatively dependent on the values adopted for C:N  
330 ratio and the minimum NSC-pool which increases with tree size, but it is consistent with the idea  
331 that  $P_n:P$  ratios  $\leq 0.2$  are not physiologically sustainable (Amthor, 2000). Amthor described, for  
332 a large dataset comprising grasses, tree crops and forest trees worldwide, the 0.65 – 0.2 bounds  
333 as reflecting maximum growth with minimum maintenance expenditure (0.65) and minimum  
334 growth with maximum physiologically sustainable maintenance costs (0.2). Such a minimum  
335  $P_n:P$  value agrees also with Keith *et al.*'s (2010) reasoning (analysing *Eucalyptus* forests of  
336 south-eastern Australia) that trees always require some annual biomass production in order to  
337 survive. With such low  $\tau$ , simulated woody  $R_M:R$  exceeds 90%, a value much higher than those  
338 (56 – 65%) reported by Amthor & Baldocchi (2001) (Supporting Information Fig. S2). This  
339 situation initiates a spiral of decline, whereby neither  $P$  nor NSC drawdown are sufficient to

340 avoid a long-term carbon imbalance (Supporting Information, Figs. S3a and b; Wiley *et al.* 2017;  
341 Weber *et al.* 2018).

342 Slightly higher  $\tau$  values (from 0.3 to 0.5  $\text{yr}^{-1}$ ) were found to limit woody biomass increase  
343 because of high NSC demand, leading to a shift in the allocation of assimilates to refill NSC at  
344 the expense of growth, and  $P_n:P$  values close to 0.2 (Fig. 2a). Values of  $\tau > 0.5$  did not show  
345 such behaviour and allowed structural and non-structural compounds to accumulate in parallel,  
346 while  $P_n$  gradually declined and eventually levelled off. This scenario allows structural biomass  
347 accumulation to continue even in older trees, as has been observed (Stephenson *et al.* 2014).

348 ***Scaling relationships***

349 We simulated forest dynamics from juvenile up to very large, mature trees while R06's results  
350 supporting isometric scaling were based on measurements of seedlings and 6- to 25-year-old  
351 trees with, presumably, very little heartwood. ~~Mori *et al.* (2010) analysed single trees located~~  
352 ~~outside forests, so neither of these studies is strictly comparable with ours.~~ Some other studies  
353 have suggested that the scaling slope of approximately 1 for whole-plant mass may be valid early  
354 in stand development, but that the exponent may eventually become smaller than  $3/4$ , a  
355 phenomenon that has been termed 'ontogenetic drift' (Makarieva *et al.* 2008). Piao *et al.* (2010) in  
356 a global analysis also found a low correlation, and a low scaling exponent, between  $R$  and whole-  
357 plant biomass ( $b = 0.21$ , corresponding to  $\tau \sim 0.9$  in our simulations). Piao *et al.* (2010) argued  
358 that, for large mature trees, an increasing fraction of woody C and N biomass is composed of  
359 metabolically inactive heartwood, and concluded that a linear-relationship between respiration  
360 and whole-plant biomass should not be expected (even if there is a linear relationship of  
361 respiration to the live component of woody biomass), while a curvilinear-relationship at the  
362 small end of the size-spectrum seemed more appropriate (Kozłowski & Konarzewski 2005). Li  
363 *et al.* (2005) also found no evidence for an isometric or  $3/4$  power scaling relationship, indicating  
364 instead a range between 1.14 and 0.40, decreasing with plant size. The only approximately

365 isometric relationship we found in our simulations – across all  $\tau$  used – was between  $R$  and the  
366 living components of biomass C ( $b$  in the range of 0.8 to 1, with  $R^2$  always  $> 0.93$ ) and biomass  
367 N ( $b \sim 0.9$ , with  $R^2$  always  $> 0.97$ ) (Figs. 3b and 3d; Makarieva *et al.* 2005; Kerkhoff & Enquist  
368 2006; Gruber *et al.* 2009). Conversely, and in accordance with Piao *et al.* (2010), by considering  
369 all woody biomass (sapwood and heartwood),  $b$  consistently deviates from linearity for both C  
370 and N in biomass, because – as observed in mature and big trees – an increasing amount of  
371 biomass is composed of metabolically inactive tissues that do not respire.

372 None of these findings are compatible with a tight isometric relationship of  $R$  to whole-plant  
373 C (or N) biomass as proposed by R06.

374 ***R is determined by P, biomass and the demand for reserves***

375 Plants store large amounts of non-structural carbohydrates (potentially enough to rebuild the  
376 whole leaf canopy one to more than four times: Hoch *et al.* 2003) and, when needed, plants can  
377 actively buffer the asynchronies between carbon demand (i.e.  $R$  and  $G$ ) and supply (i.e.  $P$ ) by  
378 tapping the pool of non-structural carbon (see Fig. S3 in Supporting Information for NSC  
379 trends). Several lines of evidence and a growing body of literature support the view of an active  
380 sink of NSC. That is, NSC competes with growth, while it controls  $R$  (and including other non-  
381 metabolic functions, see Hartmann & Trumbore 2016), in a compensatory mechanism (high  
382 NSC demands for respiration means low carbon supply for biomass growth and *vice versa*).  
383 Schuur & Trumbore (2006) and Carbone *et al.* (2007) for boreal black spruce forest (*Picea*  
384 *mariana* B. S. P), and Lynch *et al.* (2013) for a *Liquidambar styraciflua* plantation, all reported  
385 that plant-respired CO<sub>2</sub> is a mixture of old and new assimilated carbohydrates. Likewise, Vargas  
386 *et al.* (2009) for semi-deciduous tree species, Carbone *et al.* (2013) and Richardson *et al.* (2013)  
387 for red maple trees (*Acer rubrum* L.), Muhr *et al.* (2013, 2016) for different Amazonian tree  
388 species, and Solly *et al.* (2018) for pines (*Pinus sylvestris* L.), beeches (*Fagus sylvatica* L.),

389 spruces (*Picea abies* Karst) and birches (*Betula nana* L.), they all found that old NSC (up to 17  
390 year old) and remobilized from parenchyma cells, can be used for growth or metabolism.

391 Aubrey & Teskey (2018) found that carbon-starved roots and whole-tree saplings die before  
392 complete NSC depletion in longleaf pine (*Pinus palustris* L), but the threshold NSC level at  
393 which this happens remains unknown for most species. These thresholds are likely to vary  
394 among tissues (Weber *et al.* 2018), species (Hoch *et al.* 2003), phenotypes, habit and wood  
395 anatomy (Dietze *et al.* 2014), and to increase with tree size (Sala *et al.* 2012). Others have  
396 reported that aspen trees (*Populus tremuloides* Michx) cannot draw down NSC to zero because  
397 of limitations in carbohydrate remobilization and/or transport (Wiley *et al.* 2017). A minimum  
398 NSC level, which has been found to proportionally increase with biomass, may also be required  
399 to maintain a safety margin and a proper internal functioning of trees (including  
400 osmoregulation), regardless of whether growth is limited by carbon supply (Woodruff &  
401 Meinzer 2011; Sala *et al.* 2011, 2012; Martínez-Vilalta *et al.* 2016; Huang *et al.* 2019). Genet *et*  
402 *al.* (2010) found for beech and sessile oak (*Quercus petraea* (Matt.) Liebl.) shifts during  
403 ontogeny in carbon allocation from biomass growth to reserves regardless of seasonal  
404 fluctuations, habitat and climate. Palacio *et al.* (2012) found that black pine trees (*Pinus nigra*  
405 Arnold) that were repeatedly defoliated for 11 years, and left to recover for another 6 years,  
406 showed reduced growth but similar stem NSC concentration when compared to control trees.  
407 Fierravanti *et al.* (2019) found that low NSC accumulation in conifers defoliated by spruce  
408 budworm led to a reduction in growth and an increase in mortality.

409 It has further been suggested that a considerable fraction of NSC (mostly starch) in the inner  
410 part of wood may become compartmentalized and sequestered away from sites of phloem  
411 loading, and thus no longer accessible for either tissue growth or respiration (Sala *et al.* 2012).  
412 Root exudation to mycorrhizal fungi and secondary metabolites (not accounted for here) could  
413 also accelerate NSC depletion (Pringle 2016), and potentially create a risk of carbon starvation  
414 even for values of  $\tau$  well above 0.2.

415 Overall, asynchrony between (photosynthetic) source and (utilization) sink implies some  
416 degree of uncoupling of  $R$ , and consequently  $P_n$  (and growth), from  $P$  and biomass. Carbon  
417 demand for metabolism and growth can be mediated by tapping the pool of NSC but only to the  
418 extent and to the amount that it is accessible and useable by plants. Therefore, if this active role  
419 of NSC can be experimentally confirmed, it will imply that plants prioritize carbon allocation to  
420 NSC over growth.

421 ***Implications***

422 It has been suggested that the observed decline of  $P_n$  during stand development cannot be  
423 exclusively caused by increasing respiration costs with tree size (Tang *et al.* 2014). The idea,  
424 implicit in the growth and maintenance respiration paradigm – that the maintenance of existing  
425 biomass ( $R_M$ ) is a ‘tax’ that must be paid first and which ultimately controls growth – has also been  
426 criticized for lack of empirical support (Gifford 2003). While this paradigm has some weaknesses  
427 (Thornley 2011), and has not changed much over the last 50 years despite some theoretical and  
428 experimental refinements (e.g. accounting for temperature acclimation: Tjoelker *et al.* 1999), it  
429 reflects the prevailing assumption embedded in models because, so far, no other general (and  
430 similarly promising) mechanistic approach to the modelling of whole-plant respiration has been  
431 proposed.

432 Although plant physiologists are well aware that respiration is neither entirely determined by  
433 photosynthesis nor entirely determined by biomass, but rather by plants’ energy requirements for  
434 their functioning and growth, we highlight the persistent large uncertainty surrounding this issue in  
435 the forestry and forest ecology literature. Both the literature reviewed here and our model results  
436 show that any successful modelling approach for plant respiration must necessarily allow plants to  
437 steer a middle course between tight coupling to photosynthesis (inconsistent with a carbon steady-  
438 state in forest development, and with many observations) and dependence on ever-increasing

439 biomass (risking carbon starvation and death), coupled to the buffering capacity of reserves during  
440 carbon imbalances (see Box 1). It seems likely that plants strive to keep an appropriate quantity of  
441 living cells that can effectively be sustained by photosynthesis or, when necessary, by drawing on  
442 NSC and down regulating allocation to non-photosynthetic, but metabolically active, tissues as to  
443 minimize maintenance costs (Makarieva *et al.* 2008). This would suggest active control on carbon  
444 use efficiency and on the turnover of the living cells by plants. Yet, despite its importance, NSC use  
445 is overlooked in “state-of-the-art” vegetation models. The present study has not been able to  
446 provide tight numerical constraints on  $\tau$ . However, we can unequivocally reject the two, mutually  
447 incompatible simplifying hypotheses as both conflict with a large and diverse body of evidence.

448 Other processes, including hydraulic and nutrient limitations, may be in play (Carey *et al.*  
449 2001; Xu *et al.* 2012). Malhi *et al.* (2015) argued for a link between high whole-plant mortality  
450 rates and high forest productivity as ecophysiological strategies that favour rapid growth may also  
451 result in fast turnover of trees. However, Spicer & Holbrook (2007) noted that metabolic activity  
452 does not decline with cell age; and Mencuccini *et al.* (2005) noted that effects of age *per se*  
453 (including cellular senescence and apoptosis) are likely not responsible for declining  $P$ , but are  
454 linked to the functional and structural consequences of increasing plant size. This is an important  
455 conclusion because it allows models to avoid accounting explicitly for age.

456 In conclusion, to reduce the large uncertainty surrounding this issue, it will be necessary on  
457 the one hand to use models that explicitly account for the turnover of biomass and the reserves  
458 usage; and on the other hand, to carry out experimental and field measurements of the dynamics of  
459 living cells in wood and the availability of and demand for labile carbon stores. These processes  
460 have a direct bearing on the stocks and fluxes that drive the carbon balance of forests.

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828 **Tables**

829 **Table 1** Underlying modelling assumptions adopted in the analysis

$\tau$ level	Corresponding underlying assumption	Reference
$\tau = 0.1$	Low turnover rate, which implies only accumulation of respiring biomass ( <i>i.e.</i> $R \propto \text{biomass}$ )	Reich <i>et al.</i> 2006
$\tau = 1$	High turnover rate, with death of cells annually equalling live cell production ( <i>i.e.</i> $R \propto P$ )	Waring <i>et al.</i> 1998
$0.1 < \tau < 1$	Intermediate turnover rate	e.g. White <i>et al.</i> 2000, see Box 2
$\tau = 0$	Functionally impossible, because it would imply no mortality of cells	-
$\tau > 1$	Physically impossible, because turnover would exceed the number of available living cells	-

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831 **Table 2** Model validation (averages for the years 2006–2010), in brackets standard deviation

832 (when available). Literature data come from Wu *et al.* (2013).

2006-2010		$P_n \cdot P$	$P$	$R$	$P_n$	$P_{n\text{woody}}$	$P_{n\text{litter}}$	Above Ground- $R$	Below Ground- $R$	Above Ground woody stocks	Below Ground woody stocks	Total woody stocks
Units												
Literature	0.37	1881 ( $\pm 127$ )	1173 ( $\pm 143$ )	708 ( $\pm 65$ )	307 ( $\pm 57$ )	401	872	301	9885 ( $\pm 279$ )	1848 ( $\pm 160$ )	11733 ( $\pm 281$ )	
Modelled	0.45 ( $\pm 0.02$ )	1706 ( $\pm 52$ )	937 ( $\pm 30$ )	768 ( $\pm 60$ )	309 ( $\pm 56$ )	314 ( $\pm 9$ )	635 ( $\pm 20$ )	302 ( $\pm 9$ )	8993 ( $\pm 278$ )	1954 ( $\pm 545$ )	10948 ( $\pm 333$ )	

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835 **Figures**

836 **Fig. 1** Proofs-of-concept for total  $R_M$  (a) and live woody biomass accumulation (b) over the  
837 course of forest development (time) and increases in size, assuming different live wood turnover  
838 ( $\tau$ ,  $\text{yr}^{-1}$ ) rate values, from 1 (W98) to 0.1  $\text{yr}^{-1}$  (R06) and including two intermediate values at 0.5  
839 and 0.7  $\text{yr}^{-1}$  (e.g. White *et al.* 2000).  $R_{M\_green}$  (i.e. leaf and fine root  $R_M$ ) was assumed constant  
840 over-time and arbitrarily equal to 2. Summing up  $R_{M\_wood}$  and  $R_{M\_green}$  gives the total  $R_M$ . Initial  
841 woody biomass was arbitrarily considered equal to 10, new annual live wood was also arbitrarily  
842 considered equal to 10,  $m_R = 0.2$ ; ( $R_M = (W_{\text{live\_woody}} + W_{\text{green}}) \cdot m_R$ ; see Eq. 3). (b) Initial woody  
843 biomass was arbitrarily considered equal to 10, new annual live wood was arbitrarily considered  
844 equal to 10. The model is:  $W_{\text{live\_woody (t+1)}} = W_{\text{live\_woody (t)}} + \Delta W_{\text{in live\_woody (t+1)}} - \Delta W_{\text{out dead\_woody (t+1)}}$   
845 (see equation 4 in the main text).

846 **Fig. 2** Model results for (a)  $P_n:P$  ratio (dimensionless), (b) net primary production ( $P_n$ ,  $\text{g C m}^{-2}$   
847  $\text{yr}^{-1}$ ) and, (c) autotrophic respiration ( $R$ ,  $\text{g C m}^{-2} \text{yr}^{-1}$ ) performed with varying  $\tau$  (coloured lines).  
848 The beginning of simulations correspond to 1950 (stand age 30 years); the end of simulations  
849 correspond to 2100 (stand age 180 years). The dark-pointed red line can be considered as a  
850 mechanistic representation of W98's fixed  $P_n:P$  ratio ( $\tau = 1 \text{ yr}^{-1}$ ) while the dark pink line  
851 approximates R06's scaling relationship between  $R$  and biomass ( $\tau = 0.1 \text{ yr}^{-1}$ ). Orange dotted  
852 lines represent Amthor's (2000) (A00) 'allowable' range for the  $P_n:P$  ratio (0.65 to 0.2). The red  
853 dots give the average measured values (Wu *et al.* 2013) at the site for (a)  $P_n:P$  ratio, (b)  $P_n$  and  
854 (c)  $R$ . Vertical bars represent the standard deviation with horizontal bars representing the period  
855 2006–2010 (stand age  $\sim 85$ –90 years). The shaded area represents the overall uncertainty of  
856 model results.

857 **Fig. 3** Regression analyses between whole-plant autotrophic respiration ( $R$ ,  $\text{g C m}^{-2} \text{yr}^{-1}$ ) and (a)  
858 whole-plant carbon ( $W$ ;  $\text{g C m}^{-2}$ ), (b) carbon in living pools ( $W_{\text{live\_woody}} + W_{\text{green}}$ ;  $\text{g C m}^{-2}$ ), (c)

859 whole-plant nitrogen ( $W$ ; g N m<sup>-2</sup>) and (d) nitrogen in living pools ( $W_{\text{live\_woody}} + W_{\text{green}}$ ; g N m<sup>-2</sup>).

860 Different colours represent different  $\tau$  values as described in the legend panels (with  $\tau = 0.1$  yr<sup>-1</sup>,

861  $n = 117$ ; with  $\tau = 0.2$  yr<sup>-1</sup>,  $n = 149$ ; otherwise  $n = 150$ ).

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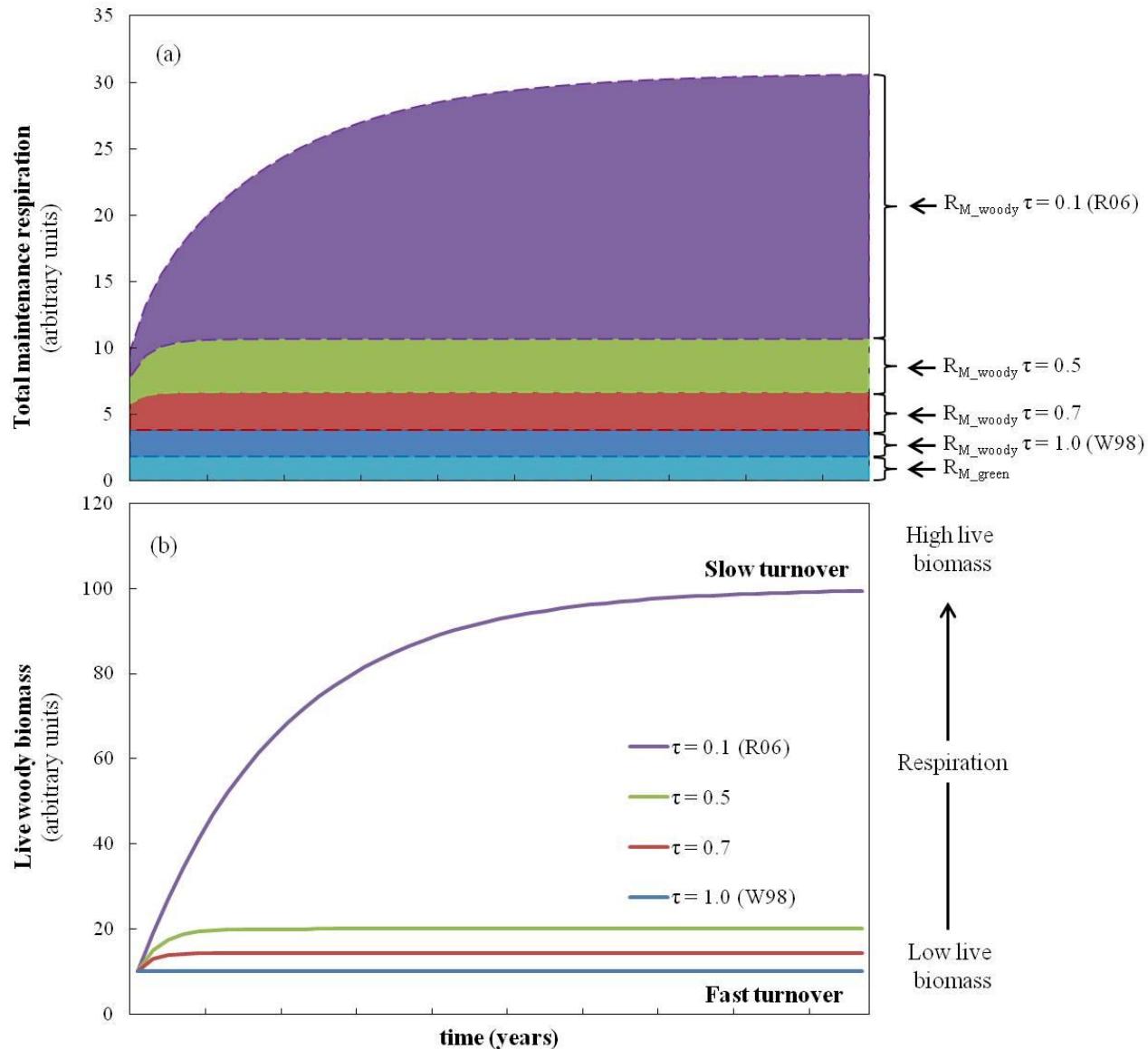
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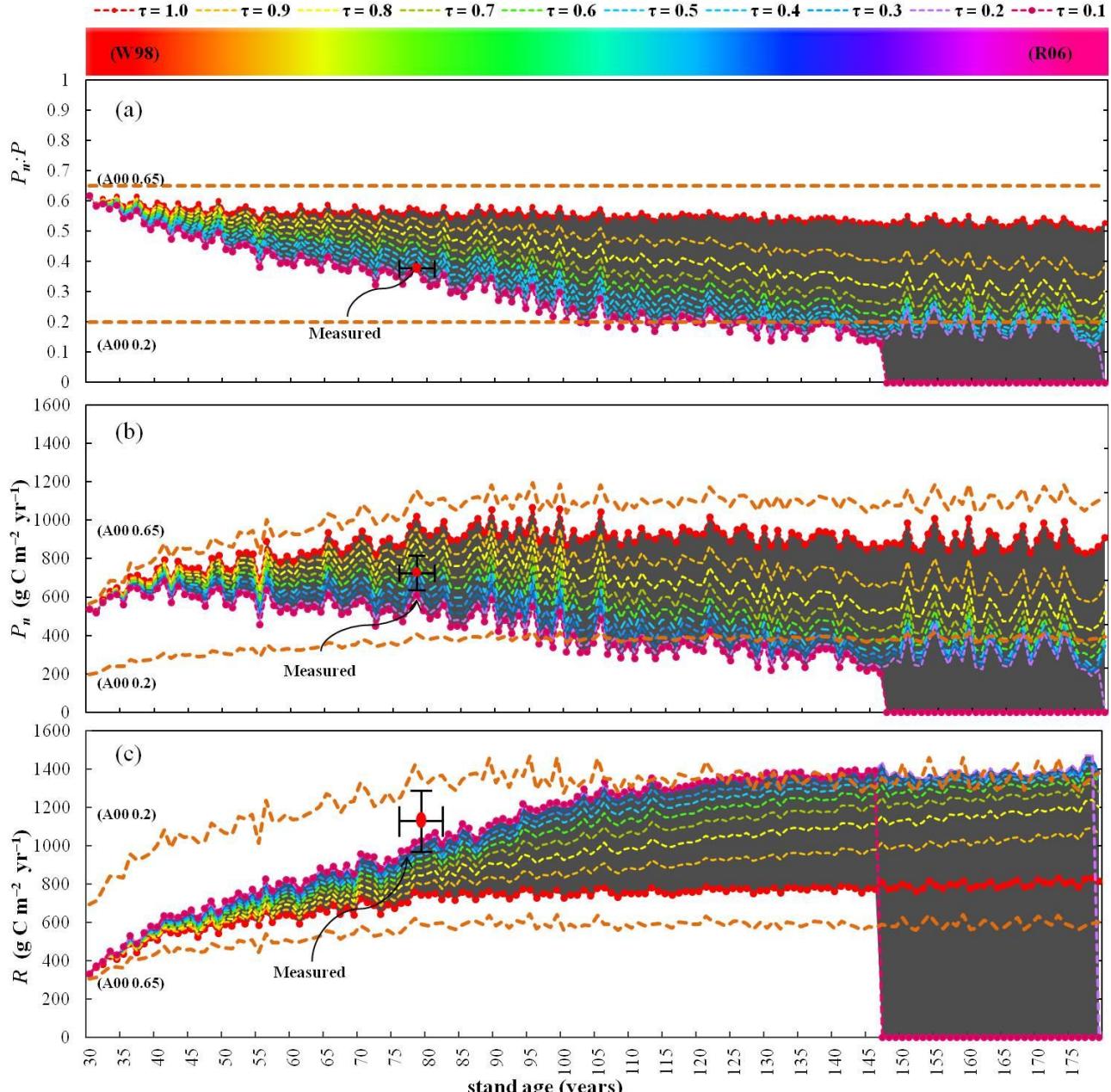
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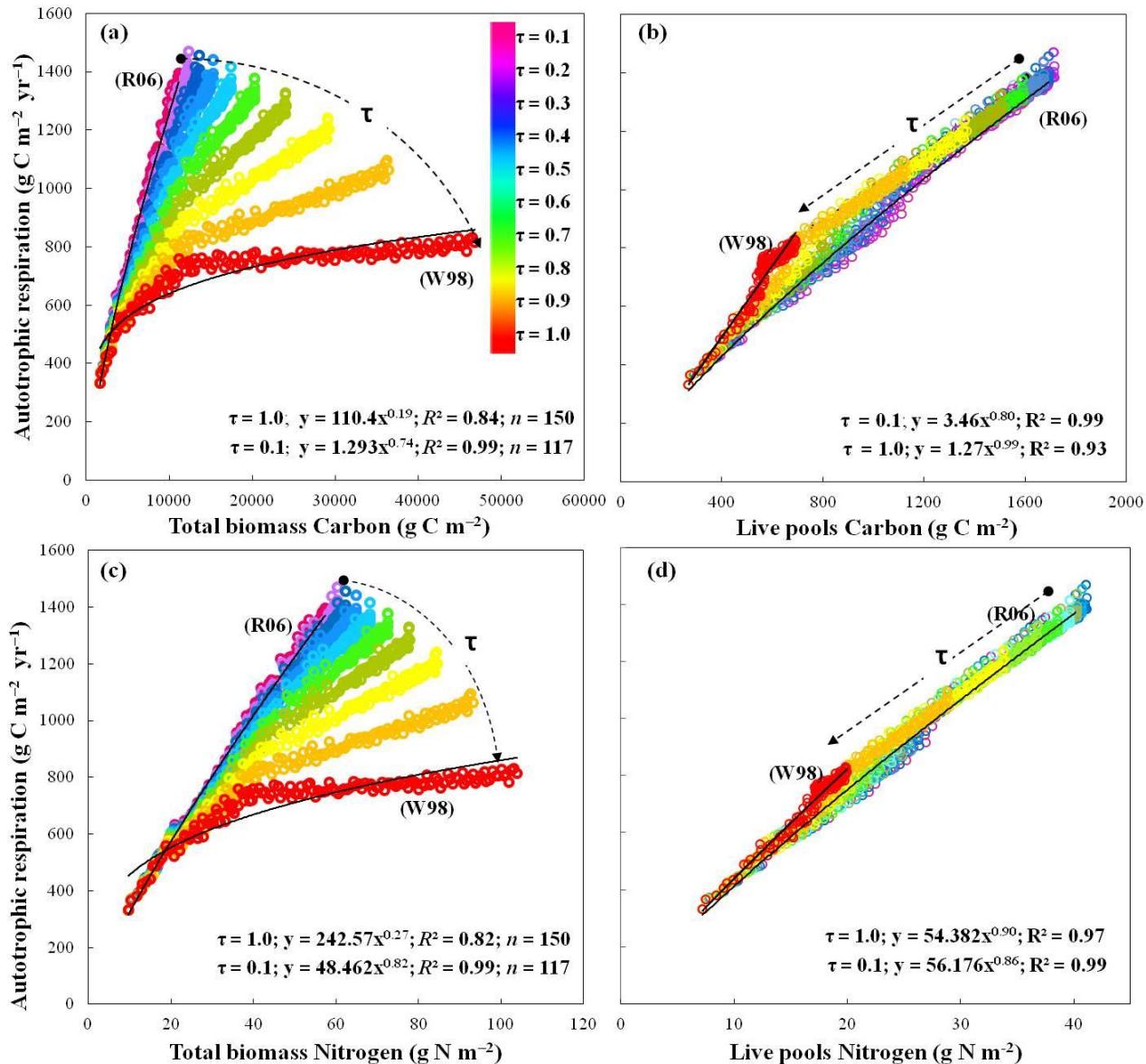
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898 **BOX 1**

899 ***The function and dynamics of non-structural carbohydrates***

900 NSC is a surprisingly poorly known component of the whole-tree carbon balance, and  
901 commonly disregarded in models (Schiestl-Aalto *et al.* 2019; Merganičová *et al.* *under*  
902 *review*). However, the ability of trees to prioritize storage over growth depends on the role of  
903 NSC in allowing temporal asynchrony between carbon demand and carbon supply (Fatichi *et*  
904 *al.* 2014). Such imbalances are assumed to be buffered by drawing down NSC reserves.  
905 Recent studies support this assumption, showing that during periods of negative carbon  
906 balance (for example during the dormant season, periods of stress, or natural or artificially  
907 induced defoliation episodes) NSC is remobilized and transported from the sites of phloem  
908 loading, while during periods of positive carbon balance plants preferentially allocate recently  
909 assimilated carbon to replenish NSC. Only afterwards is “new” carbon used to sustain growth  
910 (Weber *et al.* 2018; Huang *et al.* 2019). Because ultimately plant survival depends more on  
911 metabolic carbon demands than on growth, some have argued that all positive carbon flows  
912 should be used to replenish NSC at the expense of growth until a minimum NSC pool size  
913 (30–60% of the seasonal maximum, Martínez-Vilalta *et al.* 2016) is reached (‘active’ storage:  
914 Sala *et al.* 2012), thus maintaining a safety margin against the risk of carbon starvation (Wiley  
915 & Helliker 2012; Huang *et al.* 2019). Note that this assumption departs from the notion that  
916 NSC is a mere reservoir for excess supply of carbon relative to growth demand (‘passive’  
917 storage: Kozłowski 1992). In the model, carbon allocation to all tree structural and non-  
918 structural pools is computed here daily and is controlled by functional constraints due to  
919 direct and lagged C-requirements (Huang *et al.* 2019). It is assumed that a minimum NSC  
920 threshold level concentration (11% of sapwood dry mass for deciduous and 5% for evergreen  
921 species: Genet *et al.* 2010) has to be maintained for multiple functions including  
922 osmoregulation, cell turgor, vascular integrity, tree survival (reviewed in Hartmann &

923 Trumbore 2016) and organ-specific phenology (leaf and fine-root formation). The greater the  
924 sapwood mass, the greater the minimum NSC threshold must be (Dietze *et al.* 2014). For  
925 deciduous trees, four phenological phases are distinguished: (i) the *dormant* phase, where  $R$  is  
926 fuelled by NSC-consumption; (ii) the *leaf onset* phase, when leaf and fine root production  
927 consume NSC (unless the carbon balance is positive, in which case new assimilates are used)  
928 until the predicted maximum annual LAI is reached; (iii) the *full growing* phase, when new  
929 assimilates are allocated to stem, coarse roots, branch, and fruits, and only into the NSC pool  
930 if this is below its minimum level; (iv) the *leaf fall* phase, when all assimilates are allocated to  
931 the NSC reserve pool while some ( $\sim 10\%$ ) NSC is relocated from falling leaves and dying  
932 fine roots (Campioli *et al.* 2013). For evergreen species the model follows a simpler schedule  
933 consisting of a first maximum growth phase, when the model allocates NSC to foliage and  
934 fine roots up to peak LAI, and a second full growing phase, when the model allocates to all of  
935 the pools (Kuptz *et al.* 2011). Such patterns of whole-tree seasonal NSC dynamics have been  
936 all recently confirmed by Furze *et al.* (2018) and Fierravanti *et al.* (2019) and a similar  
937 phenological and carbon allocation scheme has been adopted by other models (e.g. Krinner *et*  
938 *al.* 2005; Arora & Boer 2005).

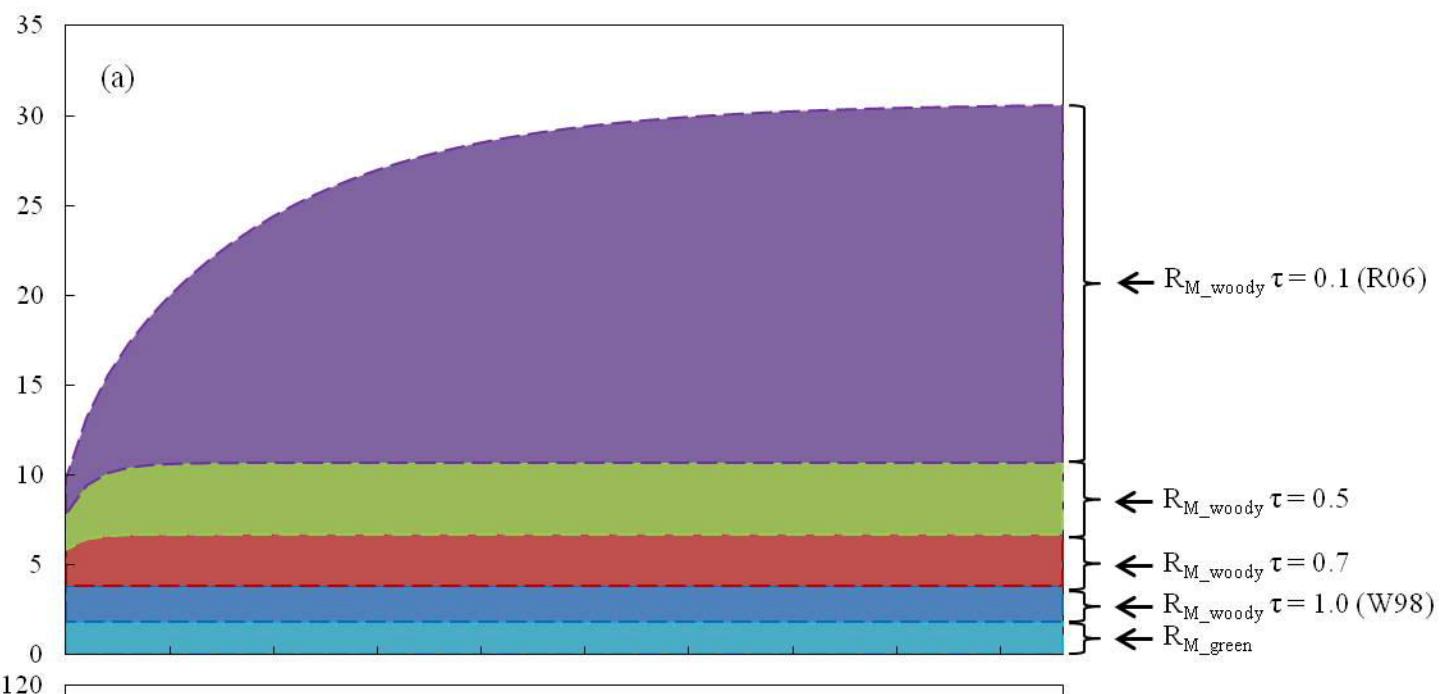
939 **BOX 2**

940 ***Turnover rates and other uncertainties in models***

941        Most vegetation models assume, among other parameters commonly maintained constant, a  
942        fixed rate of sapwood turnover,  $\tau$ . However, lack of information on this parameter has been  
943        already shown to be an important source of uncertainty in the modelled carbon balance of  
944        vegetation stands (Goulden *et al.* 2011; Malhi 2012; Collalti *et al.* 2019). Values adopted in  
945        current models include:  $\tau = 0.7 \text{ yr}^{-1}$  in CLM (Oleson *et al.* 2013), Forest v.5.1 (Schwalm & Ek  
946        2004), 3D-CMCC-CNR (Collalti *et al.* 2019) and Biome-BGC (Thornton *et al.* 2002);  $\tau \sim 0.75$   
947         $\text{yr}^{-1}$  in CASTANEA (Dufrêne *et al.* 2005);  $\tau = 0.85 \text{ yr}^{-1}$  in LPJ-GUESS (Smith *et al.* 2001);  $\tau =$   
948         $0.95 \text{ yr}^{-1}$  in SEIB-DGVM (Sato *et al.* 2007), LPJ-DGVM (Sitch *et al.* 2003) and NCAR-LSM  
949        (Bonan *et al.* 2003); and  $\tau \sim 1 \text{ yr}^{-1}$  in CARAIB (Warnant *et al.* 1994), PnET (Whythers *et al.*  
950        2013), and ORCHIDEE (Krinner *et al.* 2005).

951        Additional sources of uncertainty include the lack of consideration of a size- or age-related  
952        decline in the ratio of living to dead cells (suggesting a declining  $\tau$ ) (Damesin *et al.* 2002;  
953        Ceschia *et al.* 2002), the effect of changes in climate (which could temporarily increase  $\tau$  to  
954        reduce maintenance costs in favour of growth: Doughty *et al.* 2015), changes in tissue N and  
955        NSC concentrations (Machado & Reich 2006; Thurner *et al.* 2017), and, a probable, genetically  
956        controlled down-regulation of basal respiration rates with the ageing of cells (Carey *et al.* 2001;  
957        Wiley *et al.* 2017). Moreover, both  $\tau$  and basal respiration rates ( $g_R$  and  $m_R$ ) are likely to vary  
958        among different tree biomass pools (Reich *et al.* 2008). Respiratory carbon losses per unit plant  
959        mass may also change to sustain growth as an acclimatory response to carbon demand due to  
960        increasing plant size, and perhaps with changing climate (Smith & Stitt 2007). These hypotheses  
961        are all grounded in theory, but are supported by very limited observations (Friend *et al.* 2014;  
962        Thurner *et al.* 2017).

Total maintenance respiration  
(arbitrary units)



Live woody biomass  
(arbitrary units)

