

1 **Developing single molecule methods for measuring the**
2 **pathway proteins ERK, AKT, cyclin d and p70s6k in localized**
3 **colon cancer in relation to mutation status**

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6 Dorte Aa. Olsen^{1, 3, 4*}, Caroline EB. Thomsen^{2, 3, 4}, Rikke F. Andersen^{1, 3, 4}, Jonna S. Madsen^{1, 3, 4},
7 Anders Jakobsen^{2, 3, 4} and Ivan Brandslund^{1, 3, 4}

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10 ¹ Department of Biochemistry and Immunology, Vejle Hospital, Vejle, Denmark.

11 ² Department of Oncology, Vejle Hospital, Vejle, Denmark.

12 ³ Institute of Regional Health Research, University of Southern Denmark, Odense, Denmark.

13 ⁴ Danish Colorectal Cancer Center South, Vejle Hospital, Vejle, Denmark

14

15

16 * Department of Biochemistry and Immunology

17 Vejle Hospital

18 Beriderbakken 4

19 DK – 7100 Vejle

20 Phone: +45 79406633

21 E-mail: dorte.aalund.olsen@rsyd.dk

22

23 Short title: Pathway proteins in colon cancer.

24 Abstract

25 Background: The aim of this study was to quantify the intracellular pathway proteins ERK, AKT,
26 cyclin d and p70s6k in localized colon cancer tissue to investigate the possible prognostic values
27 and the ability to be used as screening markers for upstream mutations. Methods: Colon cancer
28 tissue and autologous reference tissue were collected from 176 patients who underwent surgery for
29 colon cancer. Assays for quantifying ERK, AKT, cyclin d and p70s6k proteins were developed
30 using single molecule array (Simoa). KRAS/BRAF/PIK3CA mutation status was determined using
31 droplet digital PCR. Results: Patients with BRAF mutations had decreased concentrations of ERK
32 (p=0.0002), AKT (p=0.00004) and cyclin d (p=0.001) while no significant differences were found
33 between patients with KRAS mutations and Wild type (Wt) patients. None of the investigated
34 protein concentrations were associated with disease free survival or overall survival, if including all
35 patients. However, when stratifying according to mutation status, significant correlations to overall
36 survival were seen for patients with BRAF mutations and AKT (p=0.003) or ERK (p=0.046) and
37 for patients with KRAS mutations and p70s6k (p=0.04). Furthermore, the combination of genetic
38 mutations, stage 2 disease, and all of the investigated pathway proteins showed significant
39 correlations to overall survival. Conclusions: There is a strong correlation between pathway protein
40 concentrations and mutational BRAF status. Overall survival in colon cancer patients depend both
41 on gene mutation status and pathway protein concentrations. As significant correlations were found
42 between BRAF mutations and ERK, AKT and cyclin d, concentration measurements of these
43 pathway proteins might be useful as screening for upstream mutations.

44

45 Abbreviations

46 Simoa, Single molecule array; S β G, Streptavidin- β -galactosidase; RGP, resorufin- β -D-
47 galactopyranoside; AEB, average number of enzyme per bead.

48

49 **Keywords**

50 Single molecule array; Simoa; colon cancer; colorectal cancer; prognosis; mutations; AKT; ERK;

51 cyclin d, p70s6k

52

53 **Introduction**

54 The intracellular signaling network of the epidermal growth factor receptor (EGFr) consists of two
55 key signal pathways. The mitogen-activated protein kinase (MAPK) also termed
56 RAS/RAF/MEK/ERK and the phosphatidylinositol 3-kinase (PI3K)/ protein kinase B (AKT)
57 pathways. They interact in a complex coordinated manner to regulate all stimulated cellular
58 processes and have been described in detail [1;2]. Both ERK and AKT activate more than 100
59 downstream proteins from the cytosol to the nucleus, including transcription factors, protein
60 kinases, phosphatases and cytoskeletal elements. Thus, they are involved in a wide variety of
61 nuclear and cytosolic processes including cell differentiation, proliferation and oncogenic
62 transformation [3-5]. One important substrate downstream of AKT is mammalian target of
63 rapamycin (mTOR), which promotes protein translation and cell growth through the activation of
64 p70 ribosomal protein S6 kinase (p70s6k) and the eukaryotic translation initiation factor 4E-binding
65 protein 1 (4EBP1) [6]. Upon activation synthesis of cyclin d increases, leading to protein synthesis,
66 cell growth and cell cycle progression. A schematic overview of the pathways is illustrated in figure
67 1.

68 **Fig 1. Simplified graphic illustration of the EGFr pathways RAS/RAF/MEK/ERK and**
69 **PI3K/AKT.**

70 Activation and dysregulation of intracellular signaling pathways plays a critical role in cancer. A
71 frequent alteration in signaling in colorectal cancer is in the RAS and RAF proteins which result in
72 the proteins being constitutively active and stimulating the ERK signaling pathway even though no
73 signal is present. The occurrence of KRAS and BRAF mutations in colorectal cancers has been
74 found to be approximately 40% and 10-25%, respectively [7-9]. Also dysregulation in the
75 PI3K/AKT pathway due to activating mutations in PI3K (PIK3CA) has been identified in colorectal

76 cancer [10-12] and PIK3CA mutations have been found to commonly coexist with KRAS or BRAF
77 mutations [11].

78 The use of inhibitors against growth factor receptors and tyrosine kinase activators has become
79 standard anti- cancer therapy during the last 10 to 15 years. Some of these monoclonal antibodies
80 used in the treatment are Cetuximab as a blocker to EGFr in colorectal cancer and the Trastuzumab
81 HER2 receptor blocker in breast cancer. Mutations in the receptor proteins or in the pathway
82 proteins result in resistance to treatment using these monoclonal antibodies. It is therefore important
83 to detect such mutations at an early phase before treating the patients as it can be predicted whether
84 the treatment will be without effect and thus the patients will only experience side effects of the
85 treatment.

86 Usually known mutations are diagnosed using PCR or sequencing which are laborious, time
87 consuming, expensive and causes a delay of several days for reporting. In the future, the number of
88 mutations in the pathway proteins will increase and hence will the expense for sequencing or
89 detecting mutations by PCR. Alternative methodology to detect activation of the intracellular
90 pathway proteins could gain importance especially if such methods would be both faster and
91 considerable cheaper.

92 We therefore aimed to see whether quantification of pathway proteins in colon cancer tissue might
93 reflect upstream mutations and to detect whether changes in concentrations might be correlated to
94 effect of treatment or clinical outcome. We developed quantitative protein assays for measuring
95 phosphorylated ERK (pERK) as a marker of MAPK pathway activation, phosphorylated AKT
96 (pAKT) for PI13K/AKT pathway activation and phosphorylated p70s6k (pp70s6k) for mTOR
97 activation. Also the total protein levels of ERK (tERK), AKT (tAKT), and cyclin d were measured.

98

99 **Materials and methods**

100 **Patients**

101 A total of 176 patients who underwent surgery for colon cancer at Vejle Hospital during 2010-13
102 were included in the study. Patients with TNM stage 1 (n=8), stage 2 (n=96), and stage 3 (n=68).
103 Four patients had no clinical data available. The study was approved by the Ethics Committee for
104 Southern Denmark (S-20140178).

105

106 **Samples and controls**

107 Colon cancer tissue was dissected together with autologous reference tissue by an experienced
108 pathologist. The tissue was stored in RNAlater (Qiagen, Hilden, Germany) at -20°C until use.
109 Colon cancer tissue and autologous reference tissue were homogenized in lysis buffer (50mM Tris-
110 HCL, 150 mM NaCl, pH 7.5, 1% triton X-100) including protease and phosphatase inhibitor
111 cocktail 10µl/ml lysis buffer using the dispomix system (Xiril, Hombrechtikon, Switzerland). The
112 samples were afterwards centrifuged at 16.000 g at 4°C for 15 minutes and the supernatant were
113 recovered, aliquoted and stored at -80°C until use. The total protein concentration was measured
114 using the BCA protein assay reagent (Pierce, Rockford, IL, USA). The tissue lysate samples were
115 diluted in lysis buffer to a final concentration of 1 mg/ml and afterwards diluted further in the
116 specific assay reagent. Matched colon cancer tissue and autologous reference tissue samples were
117 analyzed in the same run. Two controls were prepared using reference colon tissue treated equal to
118 the samples. The controls were included in each run and used to determine intra-assay and total
119 coefficient of variation (CV%).

120

121 **Single molecule array (Simoa)**

122 The development of quantitative methods and the measurement of the pathway proteins was
123 performed on the automated Simoa HD-1 Analyzer platform (Quanterix©, Lexington, MA, USA).
124 This instrument uses the same reagents as conventional ELISA but uses femtoliter-sized reaction
125 chambers approximately 2 billion times smaller than conventional ELISA. This will result in a rapid
126 buildup of fluorescence if a labeled protein is present which make it possible to detect single
127 molecules. The instrument has previously been described in detail [13].

128

129 **Reagents**

130 Capture antibodies tAKT and pAKT (DYC887B), tERK and pERK (DYC1230C), pp70s6k
131 (DYC896) (R&D Systems, Minneapolis, MN, USA) and cyclin d (ab218793, Abcam, Cambridge,
132 UK) were covalently attached by standard carbodiimide coupling chemistry to carboxylated
133 paramagnetic beads (Quanterix). The biotinylated detector antibodies and the calibrators were tAKT
134 (DYC1775), pAKT (DYC887B), tERK (DYC1230C), pERK (DYC1018B) (R&D Systems),
135 pp70s6k (DYC896) and cyclin d (ab218793) (abcam). Streptavidin-β-galactosidase (SβG), enzyme
136 substrate resorufin-β-D-galactopyranoside (RGP) and all consumables including wash buffers,
137 cuvettes, disposable tips, and discs were from Quanterix.

138

139 **Simoa protocol**

140 The six analyses were developed as single-plex assays using a Simoa 2-step assay for tAKT and
141 pAKT and a 3-step assay for tERK, pERK, pp70s6k and cyclin d. Before running the following
142 reagents are prepared; capture beads (1/3) are mixed with helper beads (2/3) in bead diluent buffer
143 (Quanterix) and diluted to a final concentration of 2.0×10^7 beads/ml. The biotinylated detector
144 antibodies are diluted in sample/detector diluent (Quanterix) to final concentrations of 0.2 mg/L for
145 tAKT and pAKT, 0.1 mg/L for tERK, pERK, pp70s6k and cyclin d.

146 The S β G is diluted in S β G diluent (Quanterix) to 150 pM. After loading the prepared reagents and
147 consumables, the calibrators are prepared in diluent A (Quanterix). The samples and controls are
148 diluted 30-fold in diluent A and loaded onto the instrument in a 96-well microtiter plate. The
149 calibrators and the controls are run in duplicates and the samples are single determinations. The
150 following steps are performed by the instrument. For the 2-step assay, 25 μ l of capture bead is
151 pipetted into a cuvette together with 100 μ l of sample, control or calibrator and 50 μ l of biotinylated
152 detection antibody. An incubation step is performed for 30 minutes and the beads are then
153 magnetically separated and washed. For the 3-step assay, 25 μ l of capture bead is pipetted into a
154 cuvette together with 100 μ l of sample, control or calibrator and incubated for 40 minutes. The
155 beads are then washed and 100 μ l of detection antibody is added and an incubation step is
156 performed for 5 minutes followed by washing the beads. The following steps are identical for both
157 the 2-step and 3-step assays. 100 μ l of S β G is added to the cuvette by the instrument and an
158 incubation step is performed for 5 minutes. The beads are then separated magnetically and washed
159 following the addition of RGP substrate. The bead substrate mixture is then loaded on to the Simoa
160 disc containing an array of 216,000 micro-wells and sealed with oil. If protein has been captured
161 and labeled, the S β G hydrolyze the RGP substrate into a fluorescent product that can be measured.
162 At low concentrations of proteins, beads carry either zero or low numbers of enzymes and protein
163 concentration is quantified by counting the presence of “on” or “off” bead (digital). At higher
164 concentration of protein, each bead carries multiple enzymes and the total fluorescence signal is
165 proportional to the amount of protein in the sample (analog). Both the digital and analog
166 calculations use the unit “average number of enzyme per bead (AEB)”. The concentrations of
167 protein in the unknown samples are interpolated from the calibrator curves obtained by 4 parameter
168 logistic regression fitting.

169

170 **Mutation analysis**

171 The mutational statuses of PIK3CA, BRAF and KRAS mutations were investigated in the cancer
172 tissue using droplet digital polymerase chain reaction (ddPCR). The method has been described in
173 detail in CEB Thomsen et al. [14]. The most frequent KRAS and BRAF mutations were
174 investigated (KRAS G12D, G12V and G13D and BRAF V600E). If negative for these mutations,
175 samples were analysed for 14 KRAS mutations in codon 12, 13, 61, 117 and 146 and 9 NRAS
176 mutations in codons 12, 13 and 61. These 27 KRAS and NRAS mutations were selected based on
177 the literature [7;15] and cover mutations found in more than 0.2% of colorectal cancers. All samples
178 were analysed for the four most common PIK3CA mutations (E542K, E545K, H1047R and
179 H1047L). Of the 176 patients used in this study, 58 patients were Wt for all investigated mutations
180 (33%). Patients with BRAF mutations (n=53, 30%), KRAS mutations (n=56, 32%), NRAS
181 mutations (n=4, 2%) and PIK3CA mutations (n=18, 10%). Patients with mutual mutations for
182 PIK3CA and KRAS or BRAF (n=14, 8%). One patient had no mutational data.

183

184 **Statistical methods**

185 Data were evaluated using NCSS software version 2007 (Kaysville, UT, USA) using the following
186 statistical analyses: Wilcoxon Signed Ranks test, Mann-Whitney U-test, Spearman's ρ , Kaplan-
187 Meier log-rank test. For all analyses, a p-value < 0.05 was considered statistically significant.

188

189 **Results**

190 **Setting up tERK, pERK, tAKT, pAKT, cyclin d and pp70s6k on the**
191 **Simoa as single-plex assays.**

192 Figure 2 shows the calibrator curves for tERK, pERK, tAKT, pAKT, cyclin d and pp70s6k assays
193 on the Simoa. The concentrations of the calibrators range from 0 to 2000 pg/ml for pAKT, 0 to
194 3000 pg/ml for cyclin d and 0 to 5000 pg/ml for tAKT, tERK, pERK and pp70s6k. Comparing the
195 Simoa assays with traditional immunoassays an increase in sensitivity from 8-fold to 250-fold was
196 achieved. For each assay, concentrations of the detection antibody and S β G have been optimized
197 together with testing different sample/calibrator diluents to minimize matrix effects. The final
198 parameters used are described in the materials and methods.

199

200 **Fig 2. pERK, tERK, pAKT, tAKT, pp70s6k and cyclin d calibrator curves using Simoa.**

201 Fig 2. The average number of enzyme per bead (AEB) against concentration is shown. The
202 calibrators (n=8) were run in duplicate and the mean value for each point of the calibrators is
203 shown.

204

205 **Validating the single-plex assays.**

206 To study the matrix effects in the tissue lysate, samples were diluted in sample diluent ranging
207 between 4 and 256 fold (4, 8, 16, 32, 64, 128, 256). The tissue samples show acceptable linearity
208 between a dilution of 30 and 128 fold in all assays. In order to overcome matrix effects a 30-fold
209 dilution of the samples was used. Limit of detection (LOD) was determined using 3 standard
210 deviations (SD) from the background. Sample diluent was included at least 8 times over several
211 days and the mean LOD was estimated (Table 1). For determining the intra-assay CV%, controls

212 were analyzed in replicates of at least 6 in one assay and the total CV% was calculated from runs
213 from different days (Table 1).

214

215 **Table 1. Performance of the assays.**

	LOD pg/ml	Control levels pg/ml	Intra-assay CV%	Total CV%
tERK	3.8	110	6	17
		230	10	15
pERK	3.6	40	5	13
		180	8	18
tAKT	10	140	10	23
		490	11	29
pAKT	2.0	10	6	24
		40	7	19
cyclin d	3.2	20	9	24
		80	14	23
pp70s6k	3.8	30	4	19
		150	8	11

216

217

218 **Autologous reference tissue and cancer tissue.**

219 tERK, pERK, tAKT, pAKT, cyclin d and pp70s6k were measured in both autologous reference
220 tissue and colon cancer tissue (Fig 3 and Table 2). Both pERK and tERK were found to be down-
221 regulated in cancer tissue ($p= 0.001$) while pAKT, tAKT, cyclin d and pp70s6k showed no
222 differences between the tissues. Testing for variance differences between the tissue groups using
223 Modified-Levene Equal Variance Test showed significant differences ($p< 0.0001$) for tAKT,
224 pAKT, tERK and cyclin d.

225

226 **Table 2. Pathway protein concentrations in autologous reference and cancer tissue.**

	Autologous reference tissue Median (range) pg/ml	Cancer tissue Median (range) pg/ml
tERK	111 (2-349)	75 (0.6-421)
pERK	83 (1-8174)	61 (0.1-391)
tAKT	202 (7-721)	199 (0.4-1674)
pAKT	11 (0.4-46)	8 (0.1-115)
cyclin d	37 (0.2-183)	33 (0.2-470)
pp70s6k	78 (5-480)	93 (16-739)

227 The median and range are shown for each pathway protein.

228

229 **Fig 3. Pathway protein concentrations in cancer tissue and autologous reference tissue.**

230 Fig 3. The horizontal lines demonstrate the median values.

231

232 **Correlations between the pathway proteins.**

233 Associations between the pathway proteins in autologous reference tissue or cancer tissue were
234 investigated. The Spearman's rank correlation coefficients are shown in Table 3. In the autologous
235 reference tissue the correlation between tAKT and pp70s6k was statistically significant ($p= 0.0003$).
236 All other cases also showed statistically significant correlations ($p<0.000001$). Furthermore
237 significant correlations were found between cancer tissue and autologous reference tissue regarding
238 tAKT ($p=0.003$) and cyclin d ($p=0.03$).

239

240 **Table 3. Correlations between the pathway proteins.**

R values	pERK	tAKT	pAKT	cyclin d	pp70s6k
Cancer tissue					

tERK	0.91	0.80	0.85	0.81	0.50
pERK	-	0.85	0.90	0.86	0.54
tAKT	-	-	0.93	0.81	0.54
pAKT	-	-	-	0.88	0.58
cyclin d	-	-	-	-	0.61
Autologous reference tissue					
tERK	0.93	0.74	0.74	0.69	0.56
pERK	-	0.75	0.76	0.67	0.53
tAKT	-	-	0.78	0.58	0.37
pAKT	-	-	-	0.66	0.53
cyclin d	-	-	-	-	0.77

241 R values were calculated using Spearman's rank.

242

243 **Pathway proteins and mutational status.**

244 The concentrations of tERK, pERK, tAKT, pAKT, cyclin d and pp70s6k in colon cancer tissue
245 were compared with the mutational statuses Wt, KRAS, BRAF and PIK3CA. The median and range
246 values for all proteins are shown in Table 4. Patients with a BRAF mutation had significant
247 decreased concentrations of tERK ($p=0.0004$), pERK ($p=0.0002$), tAKT ($p=0.0002$), pAKT
248 ($p=0.00004$) and cyclin d ($p=0.001$) as compared with Wt (Fig 4). Patients with a PIK3CA mutation
249 had significant decreased concentrations of tAKT as compared to Wt ($p=0.042$). There were no
250 significant differences between patients with a KRAS mutation and Wt patients for either pathway
251 protein.

252

253 **Table 4. Pathway protein concentrations according to mutation status.**

Cancer tissue Median (range) pg/ml	Wt	KRAS	BRAF	PIK3CA

tERK	99 (0.6-407)	108 (0.6-421)	27 (1-356)	54 (4.5-421)
pERK	81 (0.2-298)	85 (0.1-391)	24 (0.2-298)	38 (1.6-391)
tAKT	226 (0.4-1212)	296 (6.5-1253)	79 (0.8-1030)	97 (7.6-1253)
pAKT	10 (0.1-96)	14 (0.1-106)	3.3 (0.2-66)	4.4 (0.2-106)
cyclin d	39 (0.2-211)	49 (0.2-231)	16 (0.2-146)	26 (0.2-209)
pp70s6k	90 (40-352)	110 (31-453)	73 (16-283)	107 (16-371)

254 The median and range are shown for each pathway protein. Wt is wildtype for all investigated
255 mutations.

256

257 **Fig 4. Pathway protein concentrations in cancer tissue with BRAF mutations or wt.**

258 Fig 4. The horizontal lines depict the median values.

259

260 **Clinical data.**

261 For each pathway protein the median concentration value was used as cut off and tested for its
262 ability to distinguish between patients with different prognosis. Also the autologous reference tissue
263 was used to establish cut off values for each pathway protein. There were no significant differences
264 between patients with high concentrations and those with low concentrations using either
265 discrimination cut off regarding disease free survival or overall survival.

266 Using the cut off values established from the autologous reference tissue and categorizing the
267 cohort according to BRAF mutations a decreased overall survival was observed for patients with
268 high levels of tERK ($p=0.046$), tAKT and pAKT ($p=0.003$) (Fig 5). Moreover patients with BRAF
269 mutations showed decreased disease free survival for pERK ($p=0.03$), tAKT ($p=0.019$) and pAKT
270 ($p=0.034$) (data not shown). Patients with KRAS mutations and low levels of pp70s6k demonstrated
271 decreased overall survival ($p=0.04$) (Fig 5). Furthermore patients with KRAS mutations, stage 2
272 cancer and low concentrations of pp70s6k ($p=0.003$), cyclin d ($p=0.045$), tAKT ($p=0.03$), pAKT
273 (0.03), tERK ($p=0.04$) or pERK ($p=0.04$) had inferior overall survival (Fig 6).

274

275 **Fig 5. Overall survival in patients with BRAF or KRAS mutations.**

276 Fig 5. Kaplan-Meier curves. Numbers in parentheses indicate events/total number of patients.

277

278 **Fig 6. Overall survival in patients with stage 2 disease and KRAS mutations.**

279 Fig 6. Kaplan-Meier curves. Numbers in parentheses indicate events/total number of patients.

280

281 Discussion

282 In this study quantitative methods were developed using Simoa technology for measuring AKT,
283 ERK, cyclin d and pp70s6k in localized colon cancer tissue to investigate their correlation to
284 prognosis and their relation to upstream mutations in the pathways.
285 The colon tissues were stored in RNAlater which might be a limitation of the study since the
286 RNAlater solution contains a high level of ammonium sulfates which denature proteins. We
287 therefore ensured that the developed assays were compatible with denatured proteins. Other studies
288 have found that tissue preserved in RNAlater is suitable for ELISA-based methods [16;17].
289 PERK and tERK were found to be significantly down-regulated in cancer tissue as compared with
290 autologous reference tissue which is also in agreement with the literature [18-22]. Furthermore,
291 cancer tissue with a BRAF mutation demonstrated significant lower concentrations of pathway
292 proteins as compared with Wt. We included 53 patients with a BRAF mutation while other studies
293 using CRC patients have less than 12 patients with BRAF mutations included. The limited number
294 of patients in these studies may be the reason for the divergent results they demonstrate [23-25].
295 No statistically significant correlations were found between ERK, AKT, pp70s6k or cyclin d and
296 disease free survival or overall survival in the localized colon cancer patient cohort used in this
297 study. However, stratifying according to mutation status, patients with BRAF mutations and high
298 concentrations of ERK or AKT had low overall survival. Furthermore patients with KRAS
299 mutations and low levels of pp70s6k had decreased overall survival and patients with KRAS
300 mutations, stage 2 cancer and low concentrations of any of the measured pathway proteins
301 demonstrated decreased overall survival. These results are based on a limited number of patients in
302 each group and more patients are needed to document these findings. Studies on AKT or ERK
303 activation have yielded variable results regarding survival. Malinowsky et al. showed that activation
304 of AKT correlated with decreased survival, while Baba et al. showed that AKT activation was

305 associated with a favorable outcome. Schmitz et al. found that the activation of ERK but not AKT
306 predicted poor prognosis [25-27]. The majority of these studies included both colon- and rectal
307 tumors and differences in prognostic value in the two groups may be possible. Also method
308 differences, mutation data, sample sizes are some of the factors that might explain the divergent
309 results.

310 Cancer tissues with genetic mutations result in a constitutive activation of the intracellular
311 pathways. It is therefore plausible, that an increased activation and turn-over may lead to an over-
312 production or consumption of native proteins as seen in the complement and coagulation pathway
313 cascades. In this study there was an overall statistically significant correlation between pathway
314 protein concentrations and mutational status. However, the change in pathway protein
315 concentrations is too small to be used as screening indicators for mutations in practical medical use
316 and our hypothesis could therefore not be confirmed. As in the complement and coagulation
317 pathways the correct way to detect an increase in activity is to quantify not the native proteins but
318 degradation or split products from the single intracellular pathway proteins. Therefore, we now aim
319 to develop specific antibodies and methods to measure these degradation products, as previously
320 done for complement C3d [28;29]. We believe this approach will increase both the prediction of
321 mutations and survival.

322 **Declaration of interest**

323 None

324

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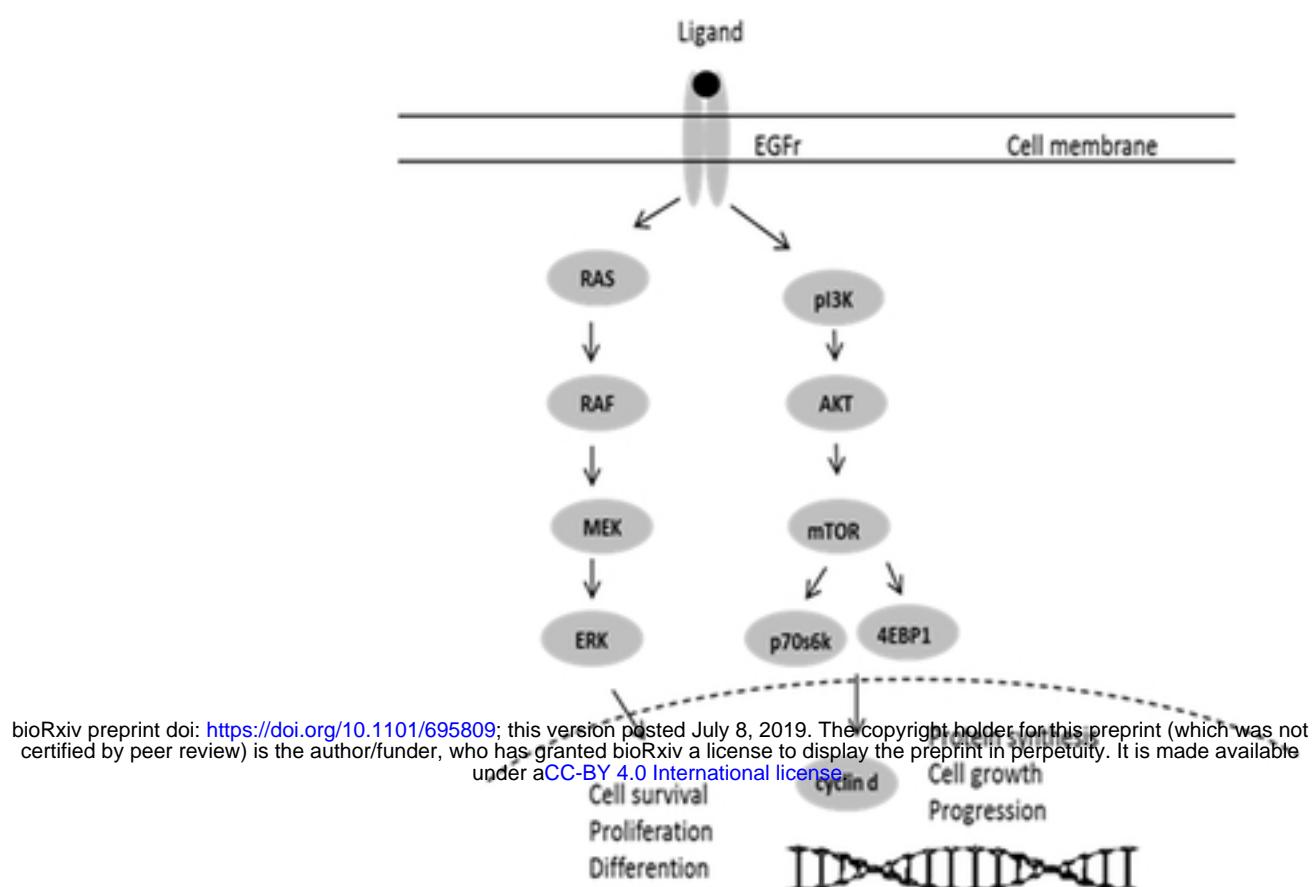


Figure 1

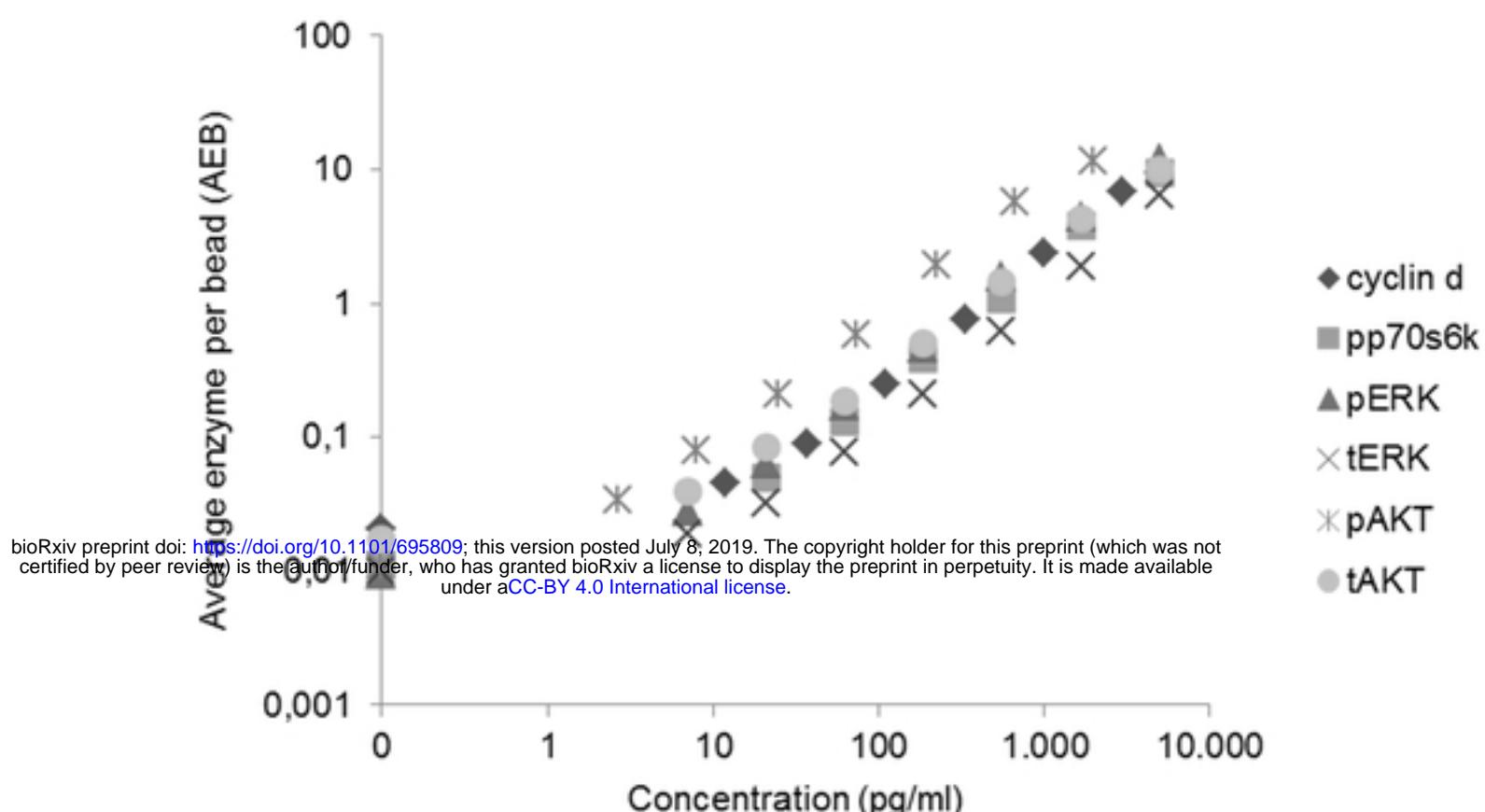
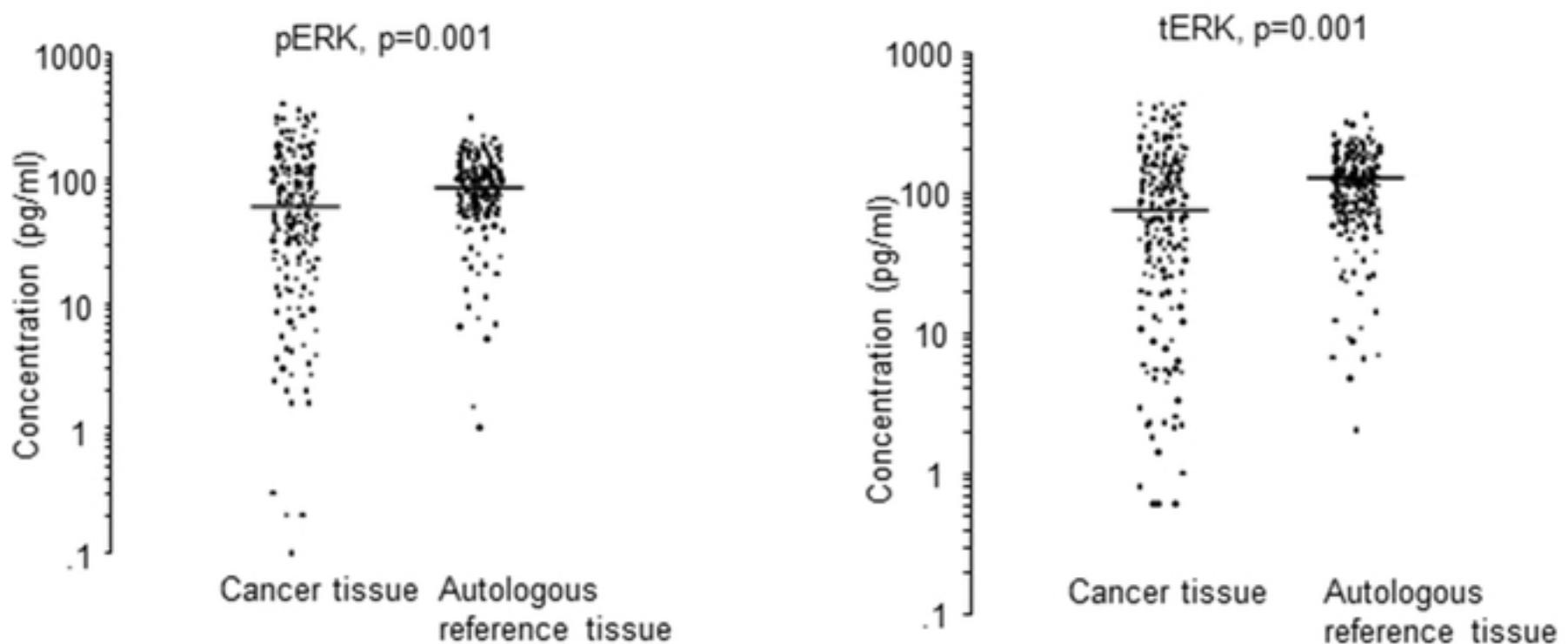


Figure 2



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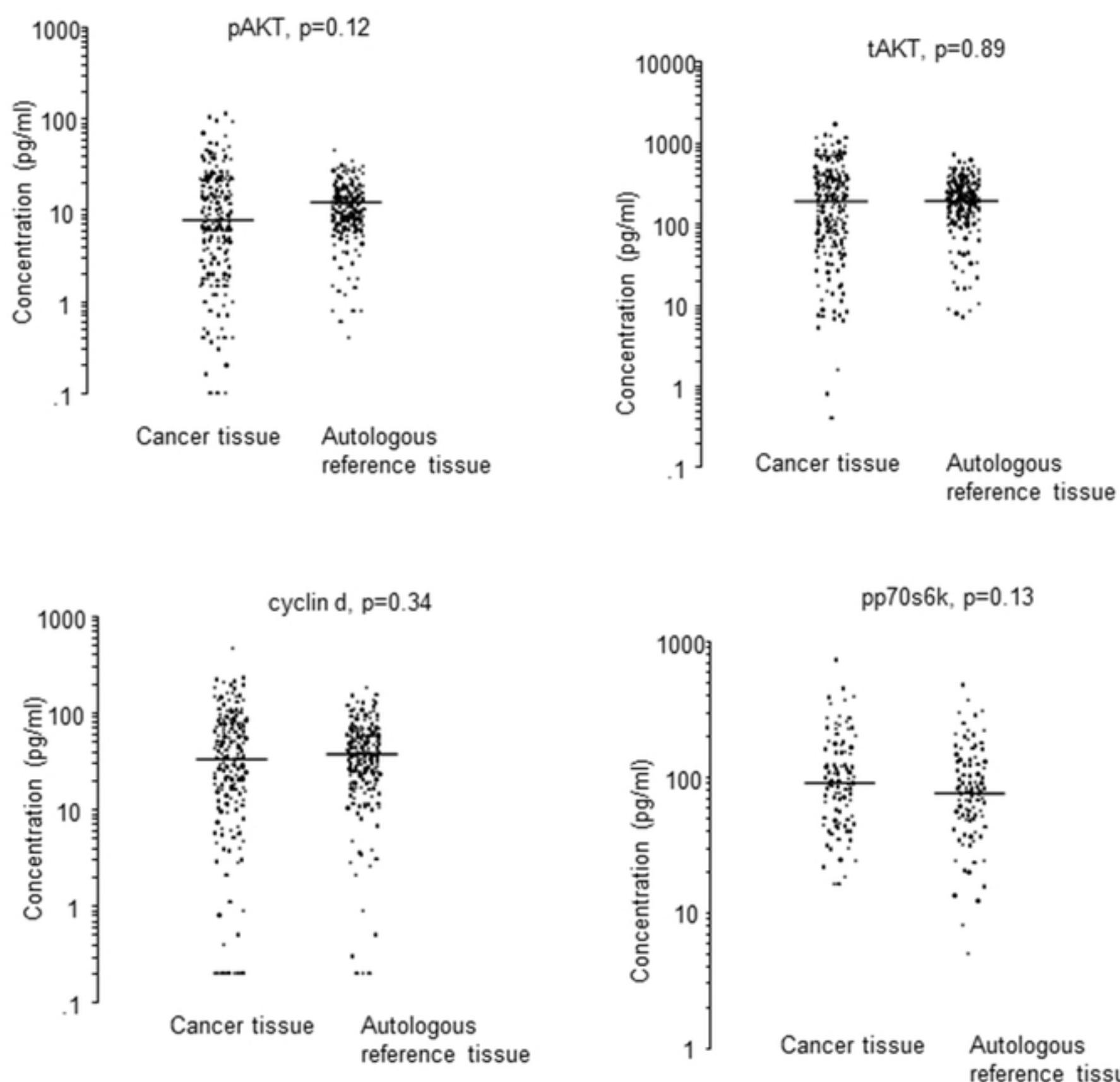


Figure 3

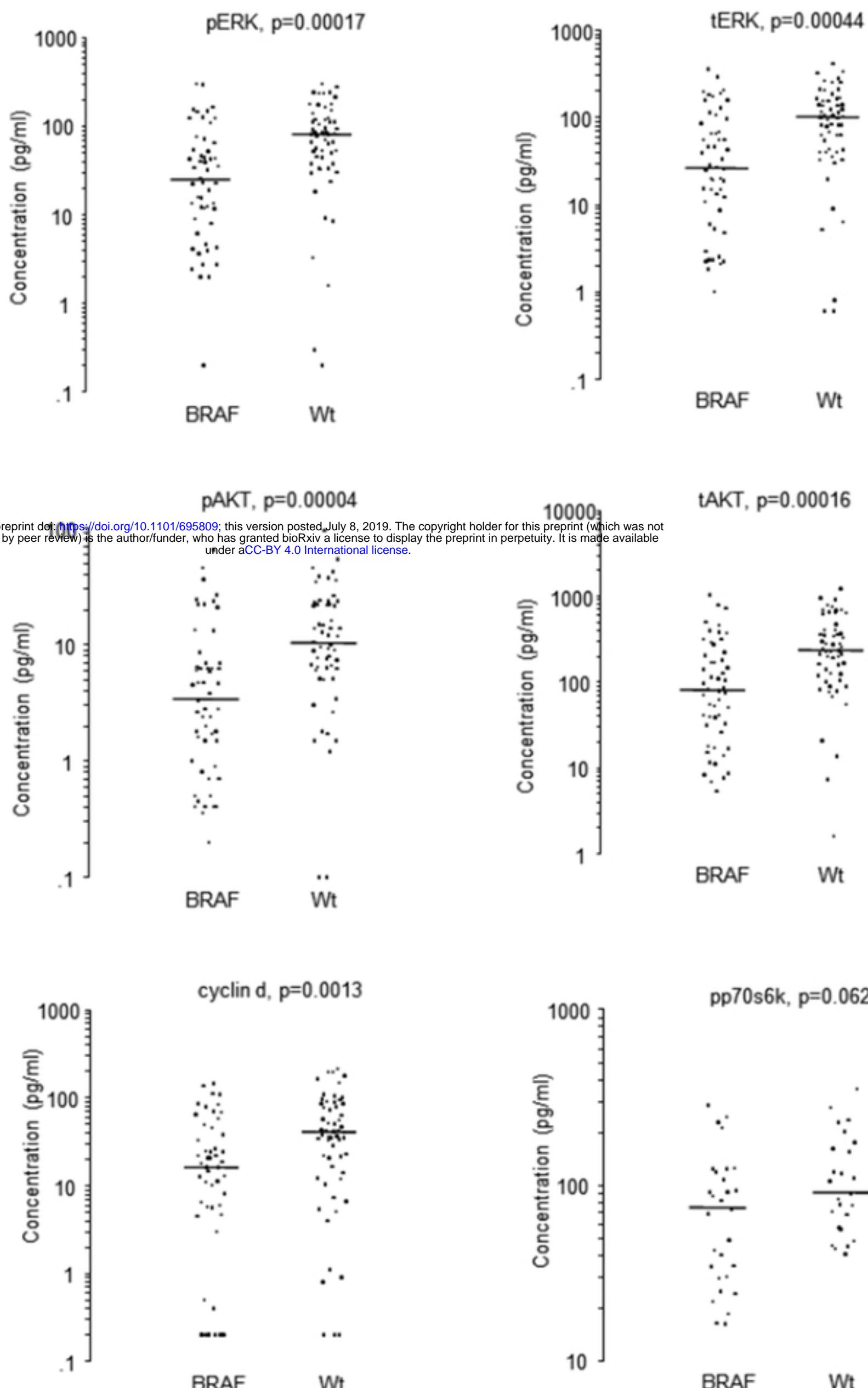


Figure 4

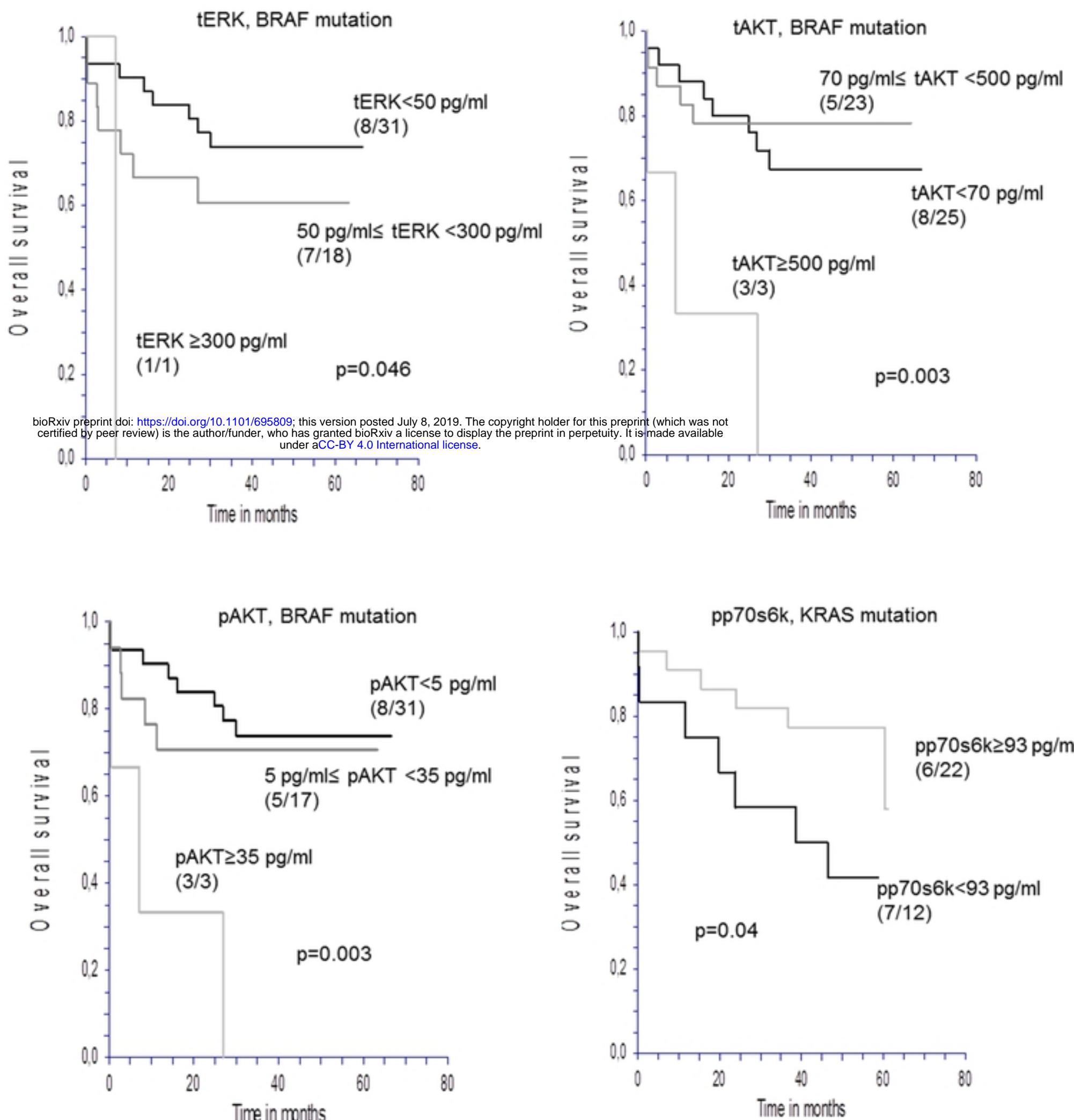


Figure 5

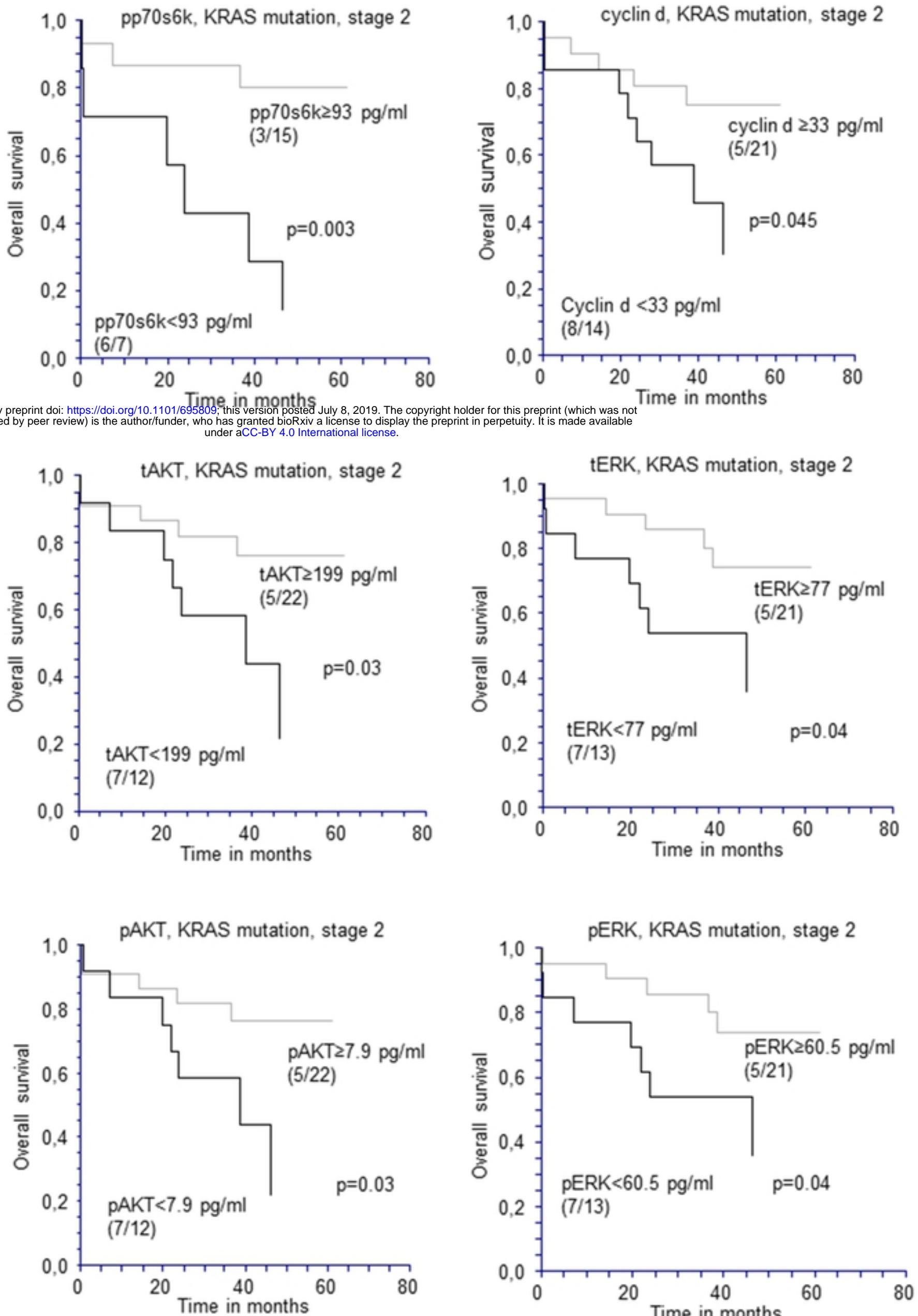


Figure 6