

1   ***ALI-1*, candidate gene of *B1* locus, is associated with awn length and grain weight**  
2   **in common wheat**

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27   **Short Title :** *ALI-1* associates with awn length and grain weight in common wheat

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29

30 **Highlight**

31 *ALI-1*, candidate gene of awn suppressing *B1* locus, associates with awn length and  
32 grain length, providing a reacquaint of the effect of wheat awn on grain production.

33 **Abstract**

34 Awn plays a vital role in the photosynthesis, grain production and drought tolerance of  
35 common wheat; however, works on the systematic identification or cloning of genes  
36 controlling wheat awn length (AL) were seldom reported. Here, we conducted the  
37 Genome-wide association study (GWAS) in 364 wheat accessions and identified 25  
38 loci involved in the AL, including dominant awn suppressors *B1*, *B2* and four  
39 homologs of awn controlling genes in rice and barley. Furthermore, the *B1* locus was  
40 mapped to a 125-kb physical interval harboring two genes on chromosome 5AL  
41 through map-based cloning. As the candidate gene for *B1* locus, a C<sub>2</sub>H<sub>2</sub> zinc finger  
42 gene *Awn Length Inhibitor 1 (ALI-1)* expressed predominantly in the developing spike  
43 of awnless individuals and suppresses downstream genes transcriptionally. *ALI-1*  
44 reduces cytokinin content and simultaneously restrains cytokinin signal transduction,  
45 which leads to a stagnation of cell proliferation and reduction of cell number in awn.  
46 Noteworthily, *ali-1* was the first awn controlling locus that observed increasing grain  
47 length in wheat, which is a valuable supplemental attribution of awn on grain weight  
48 besides photosynthesis. Thus, *ALI-1* pleiotropically regulates awn and grain  
49 development, and this work provides a strategy to achieve improved grain yield and  
50 address future extreme climate.

51 **Keywords**

52 Awn, Cytokinin, C<sub>2</sub>H<sub>2</sub> zinc finger, Grain length, GWAS, *Triticum aestivum* L.

53 **Abbreviations**

54 *ALI-1*, *Awn Length Inhibitor 1*; AL, Awn Length; GWAS, Genome-Wide Association  
55 Study; NIL, Near-Isogenic Line; DEG, Differentially Expressed Gene; ANOVA,  
56 Analyses of Variance; BLUP, Best Linear Unbiased Predictor; SAL, Significant  
57 Association Locus; KEGG, Kyoto Encyclopedia of Genes and Genomes; *tZ*,  
58 *trans*-Zeatin; *tZR*, *trans*-zeatin nucleoside; iP, isoprenyl adenine; TGW, Thousand

59    Grain Weight; GL, Grain Length; DPA, Days Post Anthesis

60

61 **Introduction**

62 As an important component of the spike, awn is a long needle-like apical extension of  
63 the lemma formed on the florets of grass species, e.g., wheat, barley, rye, oats, sorghum  
64 and rice. Wheat awns have an acutely triangular shape with sclerenchyma, two  
65 chlorenchyma zones and three well-developed vascular bundles inside, and rows of  
66 stomata on the epidermis of the abaxial terminal (Li et al., 2010). The photosynthesis of  
67 chlorenchyma in awn serves as an essential supplemental assimilates for grain filling,  
68 especially when flag leaves senesce (Grundbacher, 1963; Olugbemi et al., 1976;  
69 Weyhrich et al., 1994; Li et al., 2006). Under drought condition, awn contributes up to  
70 16% of the total grain weight and production (Thorne, 1965; Evans et al., 1972;  
71 Duwayri, 1984; Blum, 1985; Maydup et al., 2010). Besides, long awn in the wild wheat  
72 and its relatives protects seeds from shattering and predation, facilitates seed dispersal,  
73 helps balance and land the embryo, and propels seed burial (Grundbacher, 1963;  
74 Sorensen, 1986; Elbaum et al., 2007; Hua et al., 2015).

75 Many genes involved in the development of awn have been cloned in cereal crops, such  
76 as *Lks2*, *Hooded* and *ROUGH AWN1* in barley, and *An-1*, *An-2/LABA1*, *GAD1/RAE2*,  
77 *DL*, *GLA*, *OsETT2* and *SHL2* in rice (Müller et al., 1995; Yuo et al., 2012; Luo et al.,  
78 2013; Toriba and Hirano, 2014; Hua et al., 2015; Gu et al., 2015; Jin et al., 2016;  
79 Bessho-Uehara et al., 2016; Milner et al., 2018; Zhang et al., 2019). In common wheat,  
80 the elaborately genome-wide identification of genes controlling the awn length was  
81 seldom reported, although there are a few works on the genome-wide association  
82 analysis of awn presence/absence or awn type (Sheoran et al., 2019; Mackay et al.,  
83 2014; Liu et al., 2017a). *Tipped1* (*B1*), *Tipped2* (*B2*) and *Hooded* (*Hd*) are the known  
84 dominant genes suppressing awn development in common wheat and whose different  
85 combinations bring in variations for awn performance (Watkins and Ellerton, 1940).  
86 The *B1* produces apically tip-awned phenotype with short awns at the top and absent at  
87 the base and middle of the spike (Watkins and Ellerton, 1940). The awn tips of *B1* are  
88 usually straight and unbent at the base, while awn in *B2* is gently curved and nearly  
89 equal in length along the spike. For the *Hd*, awns are reduced in length, curved/twisted

90 and in some cases considerably broadened at the base resembling a membranous lateral  
91 expansion of *Hooded* mutants in barley (Watkins and Ellerton, 1940; Müller et al.,  
92 1995). *B1* was located on the long arm of chromosome 5A and narrowed to a 7.5-cM  
93 interval closely linked with marker BW8226\_227 (Sourdille et al., 2002; Mackay et al.,  
94 2014; Yoshioka et al., 2017), while *B2* and *Hd* were on the long arm of 6B and the short  
95 arm of 4A, respectively (Sourdille et al., 2002; Yoshioka et al., 2017). However, none  
96 of the causative genes have been cloned, fine mapped, or molecularly systematic  
97 investigated.

98 Given the potential influence of awns on yield potential and drought tolerance,  
99 breeding wheat varieties with long awns especially in Europe where varieties are  
100 predominantly awnless, might help to deal with the threat of future food crisis and  
101 climate change. A better understanding of the genetics, evolution, and molecular  
102 mechanism of awn would facilitate this process. Here, a whole-genome-wide  
103 identification of genes controlling the awn length in common wheat was performed,  
104 and a candidate gene conferring to the awn suppressing of *B1* locus was characterized.

## 105 **Materials and methods**

### 106 **Plant materials**

107 Accessions in GWAS panel (**Table S1**) were grown in Zhaoxian (37°51'N, 114°49'E)  
108 during three successive cropping seasons (2015-2018) in individual (R1) and plots  
109 (R2). The field experiment was performed using a completely randomized design, and  
110 each accession planted in six 200-cm-long rows. Agronomic management followed  
111 local practices.

112 Several  $F_{12:13}$  populations derived from the NongKeYuanSanLiMai/NongDa3214  
113 cross were identified using tightly linked SSR markers and served as a set of  
114 near-isogenic lines (NILs) of *ALI-1*. The NILs were planted in Zhaoxian during the  
115 2016–2017 and 2017–2018 cropping seasons with regular management and drought  
116 treatment, respectively.

### 117 **Phenotypic evaluation**

118 Six awns at the middle of spikes each for five spikes were averaged, representing the

119 awn length of each accession. Agronomical traits were measured accordingly, and  
120 kernel traits investigated using the SC-G image analysis system (Wanshen Detection  
121 Technology Co., Ltd., Hangzhou, China).

122 **Genome Wide Association Analyses**

123 Each accession was genotyped using Affymetrix Wheat660K SNP arrays by Capital  
124 Bio Corporation (Beijing, China). GWAS was performed using Tassel v5.2 with single  
125 chromosomal-located SNPs on IWGSC RefSeq V1.0 after quality control (missing rate  
126  $\leq 10\%$  and MAF  $\geq 5\%$ ). Significant markers were visualized by Manhattan plots and  
127 quantile-quantile plots using the R package “qqman”. A significance threshold of  
128  $-\log_{10}P \geq 3.5$  was applied to declare significant SNPs. The pairwise  $r^2$  (squared allele  
129 frequency correlation) values were calculated and displayed with LD plots by  
130 Haploview 4.2 software (Barrett et al., 2005).

131 **Primers**

132 The primers used in this study are listed in **Table S10**.

133 Molecular mapping

134 SSR markers were designed in SSRLocator, and CAPS and dCAPS primers in the  
135 CAPS/dCAPS Designer, respectively, and the resultant genotypes were subjected to  
136 genetic linkage map construction in JoinMap 4 (Van Ooijen J. 2006.) and drawn using  
137 Mapchart v2.3 software (Voorrips, 2002).

138 Sequencing and Data Analysis

139 Genomic DNA region of *TraesCS5A02G542800* and *TraesCS5A02G542900* in each  
140 accession were amplified using primer pairs (**Table S10**), with 16-nt asymmetric  
141 barcodes tagged on the 5' end  
142 (<https://github.com/PacificBiosciences/Bioinformatics-Training/wiki/Barcode-with->  
143 SMRT-Analysis-2.3). The library of purified PCR products pool was sequenced using a  
144 PacBio RS II SMRT DNA Sequencing System (Kozich et al., 2013). The output  
145 circular consensus sequencing reads were assigned to each accession separated by  
146 barcode sequences, and aligned to the gene reference sequence in Chinese Spring using  
147 the software BWA. Randomly selected sequence variations were verified by realigned

148 using Clustal-W and confirmed using Sanger sequencing in some accessions.

149 **Phylogenetic analysis**

150 Protein sequences of *TraesCS5A02G542800* and all C<sub>2</sub>H<sub>2</sub> genes in *Arabidopsis*  
151 *thaliana* were performed to the phylogenetic reconstruction in MEGA version 7.0.26  
152 using the neighbor-joining method. Bootstrap values were estimated (with 1000  
153 replicates) to assess the relative support for each branch.

154 **RNA-Seq analyses.**

155 Young spikes (1.0-2.0 cm in length) of homozygous dominant and recessive individuals  
156 (genotyped by SSR88, SSR151 and InDel-07) from three pairs of NILs were harvested  
157 and pooled into six samples (three lines × two genotypes, ≥ 200 spikes per sample).  
158 Each sample was evenly divided into two portions for RNA isolation and quantitative  
159 content determination of endogenous CKs and IAA.

160 Total RNA was extracted using a TRIzol kit (Invitrogen) and sequenced by the BGI  
161 (Shenzhen, China) on HiSeq 4000 (Illumina, San Diego, USA). Filtered reads were  
162 mapped to Chinese Spring TGAC v1 genome assembly  
163 ([http://plants.ensembl.org/Triticum\\_aestivum](http://plants.ensembl.org/Triticum_aestivum)), and transcripts aligned to each gene  
164 was calculated and normalized to FPKM values. Significant differentially expressed  
165 genes (DEGs) in each pair of NILs were screened through NOISeq, with a threshold of  
166  $|\log_2(\text{FPKM}_{\text{Awnless}}/\text{FPKM}_{\text{Awned}})| \geq 1$  and probability  $\geq 0.8$  (Tarazona et al., 2011). The  
167 enrichment of GO terms and the Kyoto Encyclopedia of Genes and Genomes (KEGG)  
168 pathway were conducted using R package clusterProfiler (Yu et al., 2012).

169 **Real-time PCR analysis**

170 Quantitative real-time PCR was performed on a LightCycler 480 system (Roche,  
171 Indianapolis, IN, USA) using *Ta4045* gene as internal reference (Paolacci et al., 2009).  
172 The comparative CT method ( $\Delta\Delta\text{CT}$ ) was used in the quantification analysis  
173 (Benjamini and Hochberg, 1995).

174 **Quantitative analyses of endogenous CKs and IAA**

175 Quantitative analyses of endogenous CKs and IAA were conducted based on the  
176 method reported previously, with three biological replicates (Du et al., 2017; Fu et al.,

177 2012). The  $^2\text{H}_2$ -IAA was served as the internal standard of IAA, while  $\text{D}_5\text{-}t\text{Z}$ ,  $\text{D}_5\text{-}t\text{ZR}$ ,  
178  $\text{D}_6\text{-iP}$  and  $\text{D}_6\text{-iPR}$  were used as the internal standards for CKs. LC-MS/MS analysis  
179 was performed with purified extracts on an ACQUITY UPLC system coupled to the  
180 6500 Q-Trap system (AB SCIEX).

181 **Transcriptional activation analysis of *ALI-1***

182 The GAL4 reporter plasmid was generated using the firefly LUC reporter gene driven  
183 by the minimal TATA box of the 35S promoter plus five GAL4 binding elements, and  
184 the ORF of *ALI-1* amplified by PCR were fused into the Pro<sub>35S</sub>:GAL4DBD vector to  
185 construct the effector plasmid. The Pro<sub>35S</sub>:GAL4DBD vector was used as negative  
186 control and the Pro<sub>35S</sub>:GAL4DBD:VP16 vector fused with strong activation protein  
187 VP16 as a positive control. Other procedures carried out following the protocol  
188 reported previously with six independent measures carried out for each analysis (Hao et  
189 al., 2010).

190 **Histological observations**

191awns with lemma of awned and awnless individuals ( $5 \pm 0.5$  cm in spike length) were  
192 fixed with FAA solution, embedded in paraffin, then longitudinally sectioned, stained  
193 with 1.0% Safranin O and 0.5% FastGreen, and observed using NIKON CI-S  
194 microscope. The cell lengths of each sample were measured on three serial sections at  
195 the upper, middle and bottom parts of awn. Cell number for the entire length of an awn  
196 was estimated based on the length of awns.

197 **Statistical analysis**

198 Descriptive statistics, analyses of variance (ANOVA), Pearson's correlation analyses  
199 were performed using OriginPro, Version 2019 (OriginLab Corporation, Northampton,  
200 MA, USA). Variance components were used to calculate broad sense heritability ( $h^2$ ) of  
201 awn length defining as  $h^2 = \sigma_g^2 / (\sigma_g^2 + \sigma_{ge}^2 / r + \sigma_e^2 / re)$  (Liu et al., 2017a). To eliminate the  
202 environmental impact, the BLUP value across all tested environments was calculated  
203 using R package "lme4".

204 **Results**

205 **25 loci including *B1* significantly associated with wheat awn length in the**  
206 **Genome-wide-association panel**

207 During the 2015–2016, 2016–2017 and 2017–2018 cropping seasons, winter wheat  
208 (*Triticum aestivum* L.) panel of 364 accessions were grown at Zhaoxian in individual  
209 (R1) and plots (R2) (**Table S1**). Significant variation ( $P < 0.001$ ) of AL was identified  
210 among the 364 accessions across all six environments, ranging from 0 mm to 110 mm  
211 with a coefficient of variation ranged from 0.22 to 0.26 (**Table S2, Figure S1**),  
212 indicating that this population embodies abundant variations and suitable for the  
213 GWAS. A significant correlation was detected between environments with Pearson's  
214 correlation coefficients between 0.61–0.91 (**Figure S1**). Significant differences ( $P \leq$   
215 0.001) among genotypes, environments, and genotype  $\times$  environment interactions were  
216 observed with ANOVA (**Table S3**), and a high broad sense heritability  
217 ( $h^2 = \sigma_g^2 / (\sigma_g^2 + \sigma_{ge}^2 / r + \sigma_e^2 / re)$ ,  $h^2 = 0.985$ ) was observed across all the six environments,  
218 revealing that major phenotypic variation was derived from genetic factors.

219 A total of 439,209 SNPs from Affymetrix 660K SNP arrays were subjected to the  
220 GWAS analysis on AL using the mixed linear model. SNP cluster (more than three  
221 SNPs with  $-\log_{10}(P\text{-value}) \geq 3.50$  in less than 1 Mb distance) detected in resulting best  
222 linear unbiased predictors value (BLUP) and at least three environments was regarded  
223 as a reliable significant association locus (SAL). This allowed 25 SAL associated with  
224 AL on chromosome 1A, 1D, 2A (2), 2B (3), 3A (2), 3B (2), 3D, 4A, 4B, 5A (3), 5B (2),  
225 6B (2), 7A (2) and 7D (2), explaining phenotypic variation of BLUP ranging from 5.91%  
226 to 13.99%, respectively (**Figure 1a,b and Figure S2, Table S4**). These SAL were  
227 compared with previously reported genes, QTL, or markers of awn controlling loci  
228 based on the physical positions on IWGSC RefSeq V1.0 of Chinese Spring (IWGSC,  
229 2018). Four SAL, AX-95086847, AX-111043485, AX-109508056 and AX-108780287  
230 were overlapped with the homologs of *An-1*, *OsETT2*, *SHL2* in rice and *Lks2* in barley,  
231 respectively. AX-109312058 and AX-109882617 were with wheat awn inhibiting loci  
232 *B1* and *B2*, respectively.

233 Haplotypes of the significant SNPs among the GWAS accessions were identified based  
234 on the genotypes of these SAL, and the effects on AL for each haplotype were  
235 calculated (**Figure 1a, Table S5**). For the *Lks2*, haplotype-AAG included 91 accessions  
236 with an average AL of 54.28 mm, reducing AL for 8.97 mm as comparing with  
237 haplotype-GGA (267 accessions,  $63.25 \pm 10.58$  mm) (**Figure 1c, Table S5**). Similarly,  
238 elite haplotypes (with shorter awn) of *OsETT2*, *An-1*, *SHL2*, *qAL.5A.3\_B1*, and  
239 *qAL.6B.1\_B2* reduced AL by 8.99, 3.58, 5.62, 21.46 and 3.61 mm, respectively (**Figure**  
240 **1c, Table S5**). Beyond that, the other 18 SAL has not been reported and might be  
241 potential loci controlling AL in common wheat. Among these SAL, the *qAL.5A.2*  
242 explained the most phenotypic variation (BLUP, 13.99%) and reduced AL by 23.02  
243 mm (**Figure 1c, Table S5**). Interestingly, 18 out of 46 genes in the *qAL.5A.2* LD block  
244 (547.59–548.25 Mb) are auxin-responsive proteins, suggesting an auxin-mediated  
245 potential mechanism of this locus on AL (**Table S6**). Among all SAL, *qAL.5A.2* and  
246 *qAL.5A.3\_B1* explain 43.15% phenotypic variation, reducing the AL from 62.09 mm  
247 (Hap\_GAA+Hap\_TTA, double inferior haplotype) to 14.63 mm  
248 (Hap\_CCG+Hap\_CCG, double elite haplotype) (**Figure 1c**). Since *B1* was a major and  
249 well-known awn controlling locus in common wheat, fine mapping and candidate gene  
250 characterization of *qAL.5A.3\_B1* was further carried out.

### 251 **The *qAL.5A.3\_B1* was fine mapped to a 125 kb region**

252 SNPs in the 2 Mb regions around AX-109312058, the representative SNP of  
253 *qAL.5A.3\_B1* (**Table S7**), were used to calculate the pairwise  $r^2$  values. LD plot  
254 formed a ~0.14 Mb LD block (AX-86177799–AX-109312058, 698.00–698.14 Mb) and  
255 a ~0.94 Mb one (AX-110564755–AX-109843442, 698.18–699.12 Mb), illustrating that  
256 the *qAL.5A.3\_B1* was mapped to the 698.00–699.12 Mb interval (**Figure S3**).

257 Based on the awn performance and genotype at *qAL.5A.3\_B1* locus, two bi-parental  
258 genetic populations YS-F<sub>2</sub> and NN-F<sub>2</sub> derived from the crosses of YeMaiZi (YMZ,  
259 awnless) with Shi4185 (S4185, awned) and NongKeYuanSanLiMai (NK, awnless)  
260 with NongDa3214 (ND3214, awned) respectively were developed to fine map the *B1*  
261 locus (**Figure 2a,b**). The AL of F<sub>1</sub> resembled the awnless parents and individuals in

262 the F<sub>2</sub> populations were divided into awnless or awned groups (**Figure 2b**), the  
263 segregation of awnless to awned individuals fit the expected ratio of 3:1 in both F<sub>2</sub>  
264 populations (YS,  $\chi^2 = 0.44, P = 0.50$ ; NN,  $\chi^2 = 0.88, P = 0.35$ ), demonstrating that the  
265 performance of the awn inhibition was controlled by a single dominant gene. The  
266 segregation in subsequently derived YS-F<sub>2:3</sub> and YS-F<sub>3:4</sub> also agreed with expected  
267 Mendelian inheritance ratios of 3:1 (**Table S8**).

268 To fine map the *B1* locus, *Xgwm291-5A*, previously reported linkage marker of *B1* were  
269 subjected to screen 12 long-awn and 12 awnless individuals (Kosuge et al., 2008). The  
270 data provided that the *B1* locus should be the causative factor for the awn  
271 presence/absence in both YS-F<sub>2</sub> and NN-F<sub>2</sub> population. Bulk separating analysis of  
272 wheat660K SNP chip with awned and awnless pools in YS-F<sub>2</sub> and NN-F<sub>2</sub> population  
273 also detected a significantly differential marker enrichment at the distal end of  
274 chromosome 5AL, overlapping with the *qAL.5A.3\_B1* locus (**Figure 2c**). Three 1-Mb  
275 genome sequences with 8 Mb in-between on IWGSC RefSeq V1.0 Chromosome 5A  
276 were subjected to SSR marker development, and resultant polymorphic markers  
277 *SSR276*, *SSR82*, *SSR162*, and *SSR100* were screened using the whole YS-F<sub>2</sub> population.  
278 With this, *B1* was mapped to the *SSR82*–*SSR162* interval (**Figure 2d**). The derived  
279 YS-F<sub>2:3</sub> population was screened with flanking marker *SSR82* and *SSR162*, and the  
280 resultant 23 recombinants between *SSR82* and *SSR162* were subjected to further  
281 analysis. More SSR markers and InDel markers based on gene sequencing were  
282 developed in the *SSR82*–*SSR162* interval, and SNPs between bulks in YS-F<sub>2:3</sub>  
283 population were performed to design CAPS/dCAPS primers. With this effort, the *B1*  
284 region was narrowed to 0.074 cM interval flanked by *SSR151* and *dCAPS-06* (**Figure**  
285 **2d**). With a larger YS-F<sub>3:4</sub> population composed of 5405 individuals, *B1* was flanked by  
286 proximal marker *dCAPS-02* and distal marker *dCAPS-13* and co-segregated with  
287 *SSR88* and *dCAPS-05*. Thus, the *B1* region was narrowed to a 0.046 cM interval,  
288 corresponding to a 134 kb physical region on IWGSC RefSeq V1.0 chromosome 5A  
289 (**Figure 2d**).

290 To confirm the mapping region in YS-F<sub>3:4</sub> population, the NN-F<sub>2</sub> population were

291 screened with *SSR151*, *SSR88* and *InDel-07*. One recombination event between *SSR88*  
292 and *InDel-07* was identified, and this allows the *B1* locus delimited to a 125 kb interval  
293 (698.516–698.641 Mb) (**Figure 2d**). According to the IWGSC RefSeq V1.1 annotation  
294 (<https://wheat-urgi.versailles.inra.fr/Seq-Repository/Annotations>), this interval only  
295 harbors two genes, *TraesCS5A02G542800* and *TraesCS5A02G542900* (**Figure 2d**),  
296 one of which should be the candidate gene of the *B1* locus.

297 **A C<sub>2</sub>H<sub>2</sub> zinc finger ALI-1 is the candidate gene for the *B1* locus**

298 To assess the gene expression patterns of candidate genes for the *B1* locus, the  
299 expression databases of “Developmental time-course of Chinese Spring” and  
300 “Developmental time-course of Azhurnaya” through Wheat Expression Browser  
301 (<http://www.wheat-expression.com/>) were searched (Borrill et al., 2016;  
302 Ramírez-González et al., 2018). The homolog genes of *TraesCS5A02G542800* are  
303 dynamically expressed at different stages and tissues, predominantly in the spike, while  
304 *TraesCS5A02G542900* does not have any homolog expression bias and apparent tissue  
305 specificity (**Figure 3a, b**). Besides, analysis of the transcriptome profiling during spike  
306 development in KN9204 demonstrated that *TraesCS5A02G542800* is expressed in the  
307 early stages of spike development before the glume primordium differentiation stage  
308 (Li et al., 2018), from which period the formation of lemma starts and difference  
309 between awned and awnless individuals emerges (Luo et al., 2013; Vahamidis et al.,  
310 2014), but expression of *TraesCS5A02G542900* basically remains unchanged  
311 throughout the spike development process (**Figure 3c**). Through quantitative real-time  
312 PCR analysis with the developing spikes of three *B1* NILs, *TraesCS5A02G542800*  
313 were significantly up-regulated (23.28, 5.63 and 5.28 folds) in awnless lines, while the  
314 expression of *TraesCS5A02G542900* could not provide consistent data among these  
315 NILs (**Figure 3d**). Moreover, RNA-Seq analysis of developing spikes revealed that  
316 *TraesCS5A02G542800* had a much higher expression level than its homolog genes,  
317 *TraesCS4B02G345000* and *TraesCS4D02G340000*. More importantly, its expression  
318 in awnless lines was up-regulated with 4.3-8.7 folds as compared to the awned lines  
319 (**Figure 3e**).

320 To determine the gene carrying the *B1* mutation, genomic DNA sequences of these two  
321 candidate genes, including exons, flanking intronic region, approximately 2-kb  
322 promoter region and 0.5-kb 3'-UTR region were sequenced in the parental lines of two  
323 mapping population, YMZ, S4185, NK and ND3214. Only five coincident SNPs in the  
324 promoter region of *TraesCS5A02G542800* were detected between the awned/awnless  
325 lines (**Figure 3f**). Among these five SNPs, the T>C mutation at -1139 bp could cause  
326 the loss of cis-elements BOXCPSAS1 and LTRE1HVBLT49 and the A>G mutation at  
327 -707 bp lost the cis-elements SORLIP2AT and SITEIIATCYTC (**Figure 3f**), and thus  
328 might be a causative factor for its significantly differential expression. No coincident  
329 polymorphisms in *TraesCS5A02G542900* were detected between YMZ/S4185 and  
330 NK/ND3214.

331 Meanwhile, using SMRT<sup>®</sup> sequencing platform, *TraesCS5A02G542800* and  
332 *TraesCS5A02G542900* were sequenced to characterize sequence variations in 43  
333 Chinese cultivars, 17 Chinese landraces, and 24 foreign accessions. No identical  
334 variants were detected in *TraesCS5A02G542900* and the coding region of  
335 *TraesCS5A02G542800*. However, a total of 31 variations (29 SNPs, a 25-bp deletion  
336 and a 1-bp insertion) were identified in the promoter region of *TraesCS5A02G542800*  
337 (**Table S9**). Among these variations, all the 10 accessions with *B1* allele (identified in  
338 the F<sub>2</sub> population derived from the cross with long awn cultivar ShiAiYiHao) share the  
339 same haplotype for the 31 variations, but accessions with *b1* allele (27 long-awn  
340 accessions and 3 awnless accessions) have nine haplotypes, and the remaining 44  
341 accessions with unknown allele have six haplotypes (**Table S9**). For the accessions  
342 with *b1* allele, they have a haplotype of CGAG at -1139, -1076, -1075 and -707 bp,  
343 expected for one Slovakia accession SV73. Of the 44 accessions with unknown allele,  
344 39 accessions (88.64%) have the CGAG haplotype, and the left (11.36%) have the  
345 GAGA one. Noteworthy, this GAGA/CGAG haplotype coincides with the  
346 polymorphisms detected between the parental lines of our two mapping populations,  
347 YS and NN (**Figure 3f**). Thus, the GAGA/CGAG haplotype of *TraesCS5A02G542800*  
348 was highly consistent with its alleles of *B1* locus, which might be the causative factor

349 for the differentiation of *B1/b1* allele.

350 According to the IWGSC RefSeq V1.1 annotation, *TraesCS5A02G542800* encodes a  
351 C<sub>2</sub>H<sub>2</sub> zinc finger transcription factor protein. Protein sequences of  
352 *TraesCS5A02G542800* and all C<sub>2</sub>H<sub>2</sub> genes in *Arabidopsis thaliana* were subjected to  
353 construct a neighbor-joining phylogenetic tree. *TraesCS5A02G542800* was grouped  
354 into the zf-C2H2\_6 family (PF13912) together with cellular proliferation repressor  
355 *KNU*, trichome developmental regulators *ZFP5*, *ZFP7*, *ZFP8*, *GIS* and *GIS2*, and  
356 abscisic acid signaling negative regulators *ZFP1*, *ZFP2*, *ZFP3* and *ZFP4*, etc (Figure  
357 **3g**).

358 In addition, *TraesCS5A02G542900* was excluded for its over-expressed transformants  
359 in an awned variety KN199 did not provide any awn-shortened or awnless performance.  
360 In summary, *TraesCS5A02G542800*, a predicted C<sub>2</sub>H<sub>2</sub> zinc finger transcription factor,  
361 is a highly probable candidate gene for awn inhibitor *B1*, designated thereafter as *Awn*  
362 *Length inhibitor 1 (ALI-1)*.

363 **ALI-1 negatively regulates awn elongation through restraining the**  
364 **cytokinin-mediated cell proliferation**

365 To better understand the mechanism of *ALI-1* on awn development, RNA-Seq, paraffin  
366 sections, quantitative content determination of endogenous CKs and IAA were  
367 performed using three pairs of NILs. Transcriptome profiling of three pairs of NILs  
368 identified 1039, 2001, and 3387 differentially expressed genes (DEGs) between the  
369 awned and awnless individuals (Figure 4a). With this, a total of 478 overlapped DEGs  
370 were identified, including 244 up-regulated DEGs and 234 down-regulated ones in the  
371 awnless lines (Figure 4b). These overlapped DEGs were subjected to the analysis of  
372 GO enrichment and mapped to the reference KEGG pathways. The “nutrient reservoir  
373 activity”, “transporter activity”, “localization”, and “molecular transducer activity”  
374 were mostly enriched GO terms (Figure 4c, Figure S4a). For the KEGG,  
375 “phenylpropanoid biosynthesis”, “glutathione metabolism” and cytochrome P450  
376 involved metabolism pathways were significantly enriched (Figure S4b). The  
377 up-regulated and down-regulated DEGs were separately subjected to GO enrichment

378 analysis. “rRNA N-glycosylase activity”, “negative regulation of translation” and  
379 “defense response” were the most enriched GO terms in the up-regulated subgroup, and  
380 “circadian regulation of gene expression” and fatty-acyl-CoA metabolic process  
381 involved GO terms were also highly abundant (**Figure 4d**). In contrast, “transcription  
382 factor activity”, “regulation of cell size”, and auxin influx/efflux activity related GO  
383 terms were enriched in the down-regulated subgroup (**Figure 4e**). Notably, the  
384 up-regulated DEGs were most enriched in the cellular component of the Golgi  
385 membrane and chloroplast thylakoid membrane (**Figure 4f**), while the down-regulated  
386 ones located in plasmodesma and cell wall (**Figure 4g**). Similarly, some enriched GO  
387 terms in biological process (**Figure S4c,d**), molecular function (**Figure S4e,f**) and  
388 KEGG pathways (**Figure S4g,h**) were detected in the up- and down-regulated  
389 subgroup, respectively.

390 Among the 478 overlapped DEGs, only eight genes including *ALI-1*  
391 (*TRIAE\_CS42\_5AL\_TGACv1\_374501\_AA1201650*) were significantly up-regulated,  
392 and 16 genes were down-regulated in all three awnless NIL lines (**Figure 5a**). Since  
393 *ALI-1* is a transcriptional factor, a dual-luciferase reporter (DLR) assay system in  
394 *Arabidopsis* protoplasts was exploited to measure its transcriptional activation ability  
395 (Hao et al., 2010), using Pro<sub>35S</sub>:GAL4DBD:VP16 as a positive control (**Figure 5b**).  
396 Compared with the Pro<sub>35S</sub>:GAL4DBD negative control, Pro<sub>35S</sub>:GAL4DBD:*ALI-1*  
397 decreases the luc activity by approximately seven folds (**Figure 5c**), providing a  
398 strongly transcriptional suppression activity of *ALI-1*. Therefore, the direct downstream  
399 target genes of *ALI-1* should exist in the 16 down-regulated gene set, including  
400 transcription factors *ZFP182* (*TRIAE\_CS42\_5BL\_TGACv1\_406541\_AA1346800*) and  
401 *bHLH99* (*TRIAE\_CS42\_5AL\_TGACv1\_374213\_AA1193840*), dual-specificity  
402 phosphatase *CDC25* (*TRIAE\_CS42\_5AL\_TGACv1\_377856\_AA1249830*) and an  
403 auxin-responsive gene *IAA2* (*TRIAE\_CS42\_7DS\_TGACv1\_621699\_AA2023540*)  
404 (**Figure 5a**). These genes were highly coincident with the “transcription factor activity”,  
405 “cell cycle arrest”, “cyclin-dependent protein serine/threonine kinase inhibitor activity”  
406 and “auxin influx/efflux activity” in the GO analysis of down-regulated genes.

407 Endogenous IAA concentrations in the spike of three NILs were measured, as GO  
408 terms of auxin influx/efflux activity were significantly enriched in the down-regulated  
409 subgroup, and a significantly higher IAA content was observed in the *ALI-1* lines  
410 (awnless lines) (**Figure 5d**). For cytokinin, the concentration of *trans*-zeatin (*tZ*) was  
411 much higher than isoprenyl adenine (iP) in both the *ali-1* (awned lines) (47.32-folds)  
412 and *ALI-1* lines (32.41-folds) (**Figure 5d**), indicating that *tZ* was the main active  
413 cytokinin component in NILs. The concentrations of *tZ* in *ali-1* were higher than that in  
414 *ALI-1* (**Figure 5d**), which therefore might promote the division of awn primordium  
415 cells. On the contrary, nucleoside-type *trans*-zeatin nucleoside (*tZR*) were lower in  
416 *ali-1* lines (**Figure 5d**), which might be owing to a dynamic transformation from *tZR*  
417 to *tZ*. It is noteworthy that the type-A response regulator (K14492, ARR-A), a negative  
418 regulator in the cytokinin-mediated signal transduction, were significantly up-regulated  
419 in *ALI-1* lines (ko04075, [https://www.kegg.jp/dbget-bin/www\\_bget?map04075](https://www.kegg.jp/dbget-bin/www_bget?map04075)).  
420 Hence, *tZ* was much less in *ALI-1* plants, and the cytokinin-mediated signal  
421 transduction was suppressed as the overexpression of negative regulator ARR-A.  
422 To attribute the short awn of *ALI-1* to the cell size or cell number, longitudinal sections  
423 of awns in *ALI-1* (~5 mm in length) and *ali-1* (~50 mm in length) NILs were compared  
424 (**Figure 5e**). No significant differences in cell length detected between *ALI-1* (27.99 ±  
425 0.56  $\mu$ m) and *ali-1* (28.28 ± 0.85  $\mu$ m) (**Figure 5f**). However, the longitudinal cell  
426 number in *ali-1* was nearly 10 times than that of *ALI-1* (**Figure 5f**), concluding that the  
427 cell proliferation was restrained in *ALI-1*, which might result from the reduced  
428 cytokinin content and/or suppressed cytokinin-mediated signal transduction.

#### 429 **Pleiotropic effects of *ALI-1* on awn and grain development**

430 The awn lengths in YS-F<sub>2</sub>, NN-F<sub>2</sub>, and NILs were surveyed to evaluate the effect of  
431 *ALI-1* on awn performance (**Figure 2b**). The average AL of awned and awnless  
432 individuals in YS-F<sub>2</sub> population was 49.63 mm and 4.51 mm, respectively, while it was  
433 44.80 mm and 3.70 mm in Shi4185 and YMZ. Similar data were observed in the NN-F<sub>2</sub>  
434 population, revealing the complete dominant characteristics of *ALI-1*. The value of AL  
435 reduction in three pairs of NILs was 54.69 mm, 62.30 mm and 54.11 mm, respectively,

436 which was roughly equal to that in  $F_2$  populations but much higher than that of  
437 *qAL.5A.3\_B1* in the open population of GWAS panel (reducing AL for 21.46 mm). As  
438 many loci were identified involving in the awn development, the effect of a single locus  
439 may be affected by the complicated additive-dominance-epistatic effects among those  
440 loci.

441 Other agronomic traits of these NILs were simultaneously measured in four  
442 environments (E1 and E2, normal condition of 2016–2017 and 2017–2018 growing  
443 seasons; E3 and E4, drought condition of 2016–2017, and 2017–2018 growing seasons).  
444 For most agronomic traits, there was no apparent difference between the *ALI-1* and  
445 *ali-1* individuals (**Figure S5a-j**), except for plant height under drought condition  
446 (**Figure S5k**) and kernel traits (**Figure 6a-h**)

447 *ALI-1* lines showed a significantly depressed thousand-grain weight (TGW) (**Figure**  
448 **6a-d**). The TGW of four awnless NILs decreased ~1.30 g under the normal condition,  
449 while that was up to 2.11 g under the drought condition, manifesting the contribution of  
450 *ALI-1* on wheat yield development, especially under the drought condition. Through  
451 the measurement of grain parameters, the reduction of TGW on the awnless NILs was  
452 attributed to the decrease of grain length (GL) (**Figure 6e-h**).

453 The contribution of awn on TGW was confirmed with our GWAS data. A significant  
454 correlation was observed between AL and TGW (Pearson's  $r^2=0.258$ ) (**Figure 7a**).  
455 TGW associated SNPs were overlapping with the *qAL.5A.3\_B1* locus, and the short  
456 awn haplotype-CCG had a TGW reduction of 5.31g (from 41.48g to 36.17g) as  
457 compared to the long awn haplotype-AAT. This reduction was consistent with the  
458 decrease of GL between two haplotypes (6.40 mm for haplotype-CCG and 6.24 mm for  
459 haplotype-AAT) (**Figure 7b**). Meanwhile, through re-assaying the available published  
460 data, the *qAL.5A.3\_B1* locus was proven to contain the QTL for TGW and GL using  
461 recombinant-inbred line populations derived from the awnless×awned crosses (Li *et al.*,  
462 2012; Wang *et al.*, 2011; Wu *et al.*, 2015).

463 Moreover, grain development time courses of NIL<sup>*ALI-1*</sup>/NIL<sup>*ali-1*</sup> were conducted and  
464 significant differences in the TGW was observed since the first investigate stage at 5

465 DPA (days post anthesis), suggesting the difference in final TGW between NIL<sup>ALI-1</sup>  
466 and NIL<sup>ali-1</sup> was caused by the grain development process rather than grain filling  
467 (**Figure 7c**). GL of NIL<sup>ALI-1</sup> and NIL<sup>ali-1</sup> rapidly increased during 5~15 DPA and  
468 maintaining the status thereafter, and the difference of GL between NIL<sup>ALI-1</sup> and  
469 NIL<sup>ali-1</sup> was also detected throughout the time courses, indicating that *ALI-1* might  
470 affect the early grain development process (**Figure 7c**). Noteworthy, dynamic analysis  
471 of gene expression during grain development in 17 Chinese cultivars provides that  
472 *bHLH99* was highly expressed at 5 DPA and 10 DPA, but drastically reduced at 15 DPA  
473 and remained stable thereafter (**Figure 7d**). In addition, *bHLH99* was predominantly  
474 expressed in the pericarp, especially in the outer pericarp, of immature grain at 12 DPA  
475 (Pearce et al., 2015) (**Figure 7e**). Taken together, *ALI-1* might repress the expression  
476 of *bHLH99* in pericarp and consequently reduces the GL and TGW.

477 *ALI-1* was the first wheat awn controlling locus observed reducing GL and TGW,  
478 especially under drought condition. The contribution of awn to grain yield has been  
479 extensively researched, and the photosynthesis of awn was generally considered  
480 responsible for the improvement of grain weight (Grundbacher, 1963; Evans et al.,  
481 1972; Olugbemi et al., 1976; Li et al., 2006; Li et al., 2010). This work illustrates that  
482 *ali-1* removes sink limitation with larger grain size, and hence provides a reacquaint of  
483 the effect of wheat awn on grain production. Accordingly, regulating the expression of  
484 *ALI-1* and/or its downstream target genes would provide a strategy to achieve  
485 improved grain yield and address future extreme climate.

## 486 **Discussion**

### 487 **Genome-wide identification of loci involved in wheat awn development**

488 In this work, the GWAS provided 25 loci involved in AL on 14 chromosomes, among  
489 which six was overlapped with known QTL in wheat or wheat homologs of awn  
490 controlling genes in rice and barley. *Lks2* in barley was the first cloned gene for awn  
491 length in the grass family. The short-awn *lks2* allele is present in limited accessions and  
492 was a natural variation that occurred after barley domestication (Yuo et al., 2012). A  
493 stable SAL on chromosome 7A detected in all environments was overlapped with

494 wheat homolog of *Lks2*, explaining 9.52% phenotypic variation of BLUP value and  
495 reducing AL of 8.97 mm, which was medium compared to other loci and accorded with  
496 its incomplete baldness in barley (**Figure 1c, Table S5**). Unlike its low frequency of  
497 *lks2* allele in barley, short awn haplotype AAG included 91 accessions (25.00%) in  
498 GWAS panel, indicating that the long-awn allele *Lks2* has not been artificially selected  
499 during the domestication. The *An-1*, regulating long awn formation in *O. rufipogon*,  
500 was a major target for artificial selection in rice (Luo et al., 2013). However, the elite  
501 haplotype of its wheat homolog comprises 59 accessions (16.21%) and with a weak  
502 effect in wheat (reduces 3.85 mm of AL). *DL* affects the formation of rice awn and  
503 *OsETT2* enhances its elongation, while *SHL2* acts on *OsETT2* transcripts to inhibit the  
504 awn length (Toriba and Hirano, 2014). The short awn allele of *OsETT2* and *SHL2*  
505 homologs were detected in a limited proportion of accessions (20 and 26 accessions,  
506 respectively), suggesting an artificial selection during the breeding history. Two SNPs  
507 at the genome region of *DL* homolog was significantly associated with the AL, which  
508 were insufficient to form a SNP cluster and not observed as a SAL, and was  
509 overlapped with the important wheat awn inhibitor *Hd* (Yoshioka et al., 2017). The  
510 haplotypes AG and GT comprising 359 accessions and 5 accessions, respectively, with  
511 a 23.67 mm difference in AL ( $61.09 \pm 11.99$  mm vs  $37.42 \pm 20.29$  mm) (**Figure 7a**).  
512 The wheat homolog of *DL* is predominantly expressed in the spike of Chinese Spring  
513 (**Figure 7b**), and an S>T amino acid substitution at the conserved YABBY domain was  
514 found in the long-awn variety AK58 (**Figure 7c**), suggesting that *DL* might be the  
515 candidate gene conferring to the awn inhibition of *Hd* locus. Hence, homologs of  
516 several genes controlling the awn development in rice and barley affect the AL in  
517 GWAS panel, and these genes exhibit to be functionally conserved and might  
518 experience parallel evolution/domestication across different species.  
519 Chinese Spring deletion line 5AL-10 was reported slightly bearded while 5AL-17 was  
520 awnless (Sourdille et al., 2002), but no QTL was detected on chromosome 5AL using a  
521 doubled-haploid line population derived from the cross of Courtot and Chinese Spring,  
522 which confused researchers for a long time (Sourdille et al., 2003; Yoshioka et al.,

523 2017). The deletion line 5AL-10 and 5AL-17 lack the telomeric region of the long arm  
524 of chromosome 5A, with breakpoints located between Xgwm156-Xgwm617 and  
525 Xcfa2163-Xcfa2155, respectively (Sourdille et al., 2004; Yoshioka et al., 2017). In this  
526 work, we identified a new locus *qAL.5A.2* (547.59–548.25 Mb) located between the  
527 breakpoints of 5AL-10 and 5AL-17 (Xgwm156-Xcfa2155, 450.16-632.60 Mb). Thus,  
528 the awn-suppressing *qAL.5A.2* allele might locate in the 5AL-17, and the deletion of  
529 this locus in the 5AL-10 relieved its inhibition on awn development. The *qAL.5A.2*  
530 reduced the AL by 23.02 mm in the GWAS panel (**Figure 1c**, **Table S5**), which was  
531 equivalent to the AL of line 5AL-10. However, that the *qAL.5A.2* was not detected in  
532 the population of Courtot and Chinese Spring might due to the lack of enough markers  
533 in the genetic linkage map surrounding *qAL.5A.2* locus, or other loci  
534 interacted/complemented with the *qAL.5A.2* in Courtot to result in an awn  
535 performance.

536 ***ALI-1* represses cytokinin-mediated cell proliferation in awn**

537 *ALI-1* encodes a C<sub>2</sub>H<sub>2</sub> zinc finger transcription factor protein, and the phylogenetic  
538 analysis grouped it with cellular proliferation repressor *KNU* and trichome  
539 developmental regulators *ZFP5*, *ZFP7*, *ZFP8*, *GIS*, and *GIS2* (**Figure 3g**). *KNU* is a  
540 transcriptional repressor of cellular proliferation in *Arabidopsis* (Payne et al., 2004).  
541 C<sub>2</sub>H<sub>2</sub> zinc finger proteins integrate hormonal signals to control trichome cell  
542 differentiation in *Arabidopsis*, and *GIS2*, *GIS3*, *ZFP5*, *ZFP6*, and *ZFP8* were reported  
543 to regulate trichome initiation through GA and cytokinin signaling (Gan et al., 2007;  
544 Sun et al., 2015).

545 *ALI-1* seems to have a similar role in controlling the awn elongation, suppressing the  
546 cytokinin signaling and cell proliferation. In the NILs, concentrations of tZ in *ali-1*  
547 were higher than that of *ALI-1* lines (**Figure 5d**), and even the cytokinin signal  
548 transduction was suppressed because of the overexpression of negative regulator  
549 ARR-A. The stimulatory effect of cytokinin was achieved through cytokinin-mediated  
550 cell cycling arrest of plant tissues, and the plant homolog of *CDC25* was considered as  
551 an early target for cytokinin action (John, 1998; Lipavská, H. et al., 2010). We screened

552 the ~2000 bp promoter regions of the 16 down-regulated DEGs to search for the  
553 binding sequence A[AG/CT]CNAC of C<sub>2</sub>H<sub>2</sub> zinc finger proteins (Sun et al., 2015). One,  
554 Two, and three perfect matches were detected in the promoter region of *CDC25*,  
555 *bHLH99*, and *IAA2*, respectively. *CDC25* was the only gene that expression changes  
556 highly resembling that of *ALI-1*, NIL-2 > NIL-1 > NIL-3, with an average 28.1-folds  
557 down-regulation in awnless individuals. Thus, the absence of *CDC25* accumulation in  
558 *ALI-1* lines might further aggravate the inadequate cytokinin signal on promoting cell  
559 division. Longitudinal sections of awns showed that the numbers of cells were  
560 markedly decreased in the awns of *ALI-1* lines (**Figure 5e,f**). Besides, a prominent  
561 enrichment of GO terms with cell cycle, plasmodesma, and cell wall were obtained in  
562 the down-regulated DEGs. Sequence analysis provides that SNPs in the promoter  
563 region lead to the absence of cis-elements BOXCPSAS1, LTRE1HVBLT49,  
564 SORLIP2AT and SITEIIATCYTC in *ALI-1* (**Figure 3f**), which is involved in the  
565 regulation of gene expression in meristematic tissues and/or proliferating cells (Hudson,  
566 2003; Welchen, 2006).

567 Taken together, we speculate that SNPs in the promoter of awnless individuals result in  
568 the up-regulation of *ALI-1* and the consequent trace expression of *CDC25*, and this  
569 reduces the cytokinin content and simultaneously restrains the signal transduction of  
570 cytokinin, which leads to a stagnation of cell proliferation and reduction of cell number.  
571 As a consequence, the elongation of awn in *ALI-1* was inhibited and presented as very  
572 short awn phenotype. Due to a cascading effects of transcription factor on the  
573 downstream genes, *ALI-1* exhibits an exceeding inhibition on awn elongation that plant  
574 carries this allele (even in heterozygous state) to be awnless without the presence of *B2*  
575 or *Hd*. However, it's still unclear whether and how *ALI-1* directly regulates cytokinin  
576 concentrations.

#### 577 ***ALI-1* pleiotropically regulates awn and grain development**

578 The long spiculate awn with barbs severely hinders manual harvesting and storage;  
579 however, as a potential photosynthetic organ, awn could significantly increase the grain  
580 weight, especially under drought condition (Evans et al., 1972; Li et al., 2010). Except

581 enhancing photosynthesis source, *ali-1* also acts to remove sink limitation, providing a  
582 larger grain size, and might manipulate the carbon source-sink balance. *NSG*, the *ALI-1*  
583 homolog in rice, involved in the regulation of glume length (Wang et al., 2013), which  
584 was the key physiological factor limiting grain size in rice. In our NILs and GWAS  
585 population, an appreciable effect of *ALI-1* on GL and TGW was observed, which is  
586 consistent with previously reported QTL (Li et al., 2012; Wang et al., 2011; Wu et al.,  
587 2015). Moreover, analysis of grain growth process in the GWAS panel detected  
588 associated SNPs within the *ALI-1* region at 5 DPA and 10 DPA (unpublished),  
589 indicating that *ALI-1* involves the grain formation at the lag phase (Bennett et al., 1975).  
590 *bHLH99* showed significant sequence similarities with *OsRHL1*, *An-1*, and *PIL* genes,  
591 and it was predominantly expressed at the early grain development process, especially  
592 in the pericarp (**Figure 7d,e**). *An-1* prolongs cell division in the lemma, resulting in an  
593 increased cell number and grain length (Luo et al., 2013). *PGL1* mediates the grain  
594 elongation and increases grain weight by controlling cell elongation in lemma and  
595 palea (Heang and Sassa, 2012). Besides, *OsPIL1/OsPIL13* regulates internode  
596 elongation and plant height via cell wall-related genes in response to drought stress in  
597 rice (Todaka et al., 2012). Under drought condition, plant height was decreased and a  
598 notable increase in GL was obtained in *ali-1*. In addition, plasmodesma and cell wall  
599 were the most enriched cellular components GO terms in the down-regulated DEGs  
600 (**Figure 4g**). Taken together, *ALI-1* might negatively regulate the expression of  
601 *bHLH99* in the developing grains, resulting in a reduction of GL and TGW in awnless  
602 lines. Thus, silencing *ALI-1* and regulating its downstream target genes would  
603 theoretically increase the awn length and accordingly broaden the photosynthesis  
604 source and kernel sink simultaneously, which would provide an alternative strategy to  
605 improve wheat yield potential. Nevertheless, a better understanding of its mechanism  
606 in regulating the grain elongation is pre-requisite before its practical application in the  
607 wheat breeding program.

608 **Supplementary data**

609 Figure S1 Distribution and correlation coefficients of AL for the six environments in  
610 the GWAS panel.

611 Figure S2 Manhattan plots and quantile-quantile plots of AL for the six environments  
612 and the BULP value.

613 Figure S3 Genome regions showing strong association signals and the LD plot around  
614 the *qAL.5A.3\_B1* locus.

615 Figure S4 Top enriched GO terms and KEGG pathways in the up- and down-regulated  
616 genes.

617 Figure S5 Phenotypic performances of spike length, spikelet number per spike, plant  
618 height, grain width, spike number, and grain number per spike in the NILs.

619

620 Table S1 Accessions used in the Genome-Wide-Association Study and their awn  
621 performance.

622 Table S2 Phenotype variation of awn length in the six environments.

623 Table S3 Analysis of variance of awn length in the GWAS panel.

624 Table S4 Significantly associated loci of wheat awn length identified in the GWAS.

625 Table S5 Descriptive statistics and ANOVA of awn length between haplotypes.

626 Table S6 Annotation of genes in the *qAL.5A.2* LD block.

627 Table S7 SNPs associated with wheat awn length around the *qAL.5A.3\_B1* locus.

628 Table S8 The  $\chi^2$  test of awn segregation of the four populations used in the mapping of  
629 *B1* locus.

630 Table S9 Haplotype analysis of *ALI-1* in 84 accessions.

631 Table S10 Primers used in this study.

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636 **Author's contributions**

637 DL and AZ conceived and supervised the study; DW, DL, KY, DJ, LS, JC, WW, WY,  
638 JS, XL and PX conducted the research and analyzed the data; DW and DJ collected  
639 phenotypic data; DW, KY, DL and KZ conducted the GWAS; DW, KY, DJ and WW  
640 participated in the fine mapping; DW collected samples for RNA-Seq and quantitative  
641 content determination of endogenous CKs and IAA. DW and KY contributed to  
642 analyses of transcriptomic data; PX, JC conducted the quantitative content  
643 determination of endogenous CKs and IAA, and JC, DW analyzed the data; DW and  
644 DJ carried out the paraffin section; DJ and DW contributed to the determination of  
645 transcriptional activation ability. DW, DL, and AZ prepared the manuscript. All  
646 authors discussed the results and commented on the manuscript.

647 **Competing interests**

648 The authors declare that they have no competing interests.

649

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846 **Figure legends**

847 **Figure 1 Genome-wide association study of awn length among the 364 wheat**  
848 **accessions.** (a) Manhattan plots for BULP value of AL identifies 25 SAL across the 21  
849 chromosomes using the mixed linear model (MLM). The  $-\log_{10}(P)$  values from a  
850 genome-wide scan are plotted against positions on 21 chromosomes. Blue and red  
851 horizontal dashed lines indicate the genome-wide significance threshold of  $P=10^{-5}$  and  
852  $P=10^{-7}$ , respectively. Gray solid vertical lines were used to depict the QTL of AL.  
853 Labels in red and black indicate the QTL overlapping with reported gene/QTL and new  
854 QTL, respectively. (b) Quantile-quantile plot of MLM for AL. The solid red line  
855 indicates the expected values. (c) Haplotypes and their distribute frequency of SAL  
856 among the wheat natural population. The boxes cover the twenty-fifth to seventy-fifth  
857 percentiles with a middle line indicates median, the whiskers outside the box extend to  
858 the  $\pm 1.5$  SD. AL of each accession and their normal distribution are displayed by the  
859 box using black dots and blue curve. The differences of mean values among haplotypes  
860 were tested using the Fisher LSD test. \*,  $P < 0.05$ , \*\*,  $P < 0.01$ . The violin plot shows a  
861 smoothed approximation of the frequency distribution (a kernel density plot) was used  
862 to compare haplotype combinations of *qAL.5A.2* and *qAL.5A.3\_B1*, a standard box plot  
863 is represented within the violin plot, with the mean value of the distribution shown as a  
864 white dot. The Tukey's original box plot and the violin plot were plotted using software  
865 OriginPro, Version 2019.

866 **Figure 2 The *qAL.5A.3\_B1* locus was fine mapped to a 125 kb interval harboring**  
867 **two genes.** (a,b) The awn performance of YS-F<sub>2</sub>, NN-F<sub>2</sub>, and NILs. Spikes of parents  
868 YMZ/S4185 (YS-F<sub>2</sub> population) and NK/ND3214 (NN-F<sub>2</sub> population and NILs) were  
869 displayed (a) and the AL in the YS-F<sub>2</sub> population, NN-F<sub>2</sub> population and NILs were  
870 measured (b). (c) A circos plot indicating BSA enrichment peaks overlapping with  
871 *qAL.5A.3\_B1* locus. The relative frequency distribution of chip SNPs per 1 Mb in each  
872 chromosome was displayed in the innermost cycle using heatmap and histogram plot.  
873 The density of SNPs positively correlated with the color depth in the heatmap. The  
874 second inner circle indicates 21 chromosomes of wheat, with the physical location

875 marked in a scale of 10 Mb. The histogram plot of associated SNPs in the GWAS  
876 (using  $-\log_{10}(P\text{-values})$  of SNP in BLUP data) was displayed in the outermost layer,  
877 and the histogram plots indicate the distribution of polymorphic SNPs frequency on  
878 each chromosome in NN-F<sub>2</sub>-Pool1, NN-F<sub>2</sub>-Pool2 and YS-F<sub>2</sub> were displayed in outer  
879 layer 2–4, respectively. The polymorphic SNPs frequency was defined as the ratio of  
880 polymorphic SNPs in the total SNPs per 1 Mb in each chromosome. The *qLA.5A.3\_B1*  
881 region was surrounded by a red dotted box and indicated by an arrow. The circos plot  
882 was drawn in TBtools. (d) The fine mapping of *B1* locus using bi-parental mapping  
883 populations. The physical locations of markers were marked on the physical map on  
884 chromosome 5A of Chinese Spring IWGSC RefSeq V1.0. The linkage map of each  
885 population and the physical map were lined together by consensus genetic markers  
886 using dashed lines, and the *B1* mapping intervals in each population were filled with  
887 cyan or blue. The genes in and around the 125 kb *B1* interval were displayed.

888 **Figure 3 The expression patterns, sequence analysis, and phylogenetic analysis of**  
889 **candidate genes.** (a) The time-course expression bias of homolog genes of  
890 *TraesCS5A02G542800* and *TraesCS5A02G542900* in Chinese Spring and Azhurnaya.  
891 Each yellow circle represents for the expression ratio of A, B, D homolog genes at a  
892 specific tissue and stage in CS or Azhurnaya. (b) The expression level of  
893 *TraesCS5A02G542800* and *TraesCS5A02G542900* in Chinese Spring at different tissue  
894 and stage. (c) The dynamic expression of *TraesCS5A02G542800* and  
895 *TraesCS5A02G542900* in KN9204 during the spike developmental process. (d) The  
896 relative expression levels of *TraesCS5A02G542800* and *TraesCS5A02G542900* in  
897 spikes of three pairs of NILs based on the internal control gene *Ta4045*. Error bars  
898 indicate SD. Each reaction was performed in three technical repeats. (e) The RNA-Seq  
899 data FPKM of homolog genes *TraesCS5A02G542800*, *TraesCS4B02G345000* and  
900 *TraesCS4D02G340000* in spikes of three pairs of NILs. (f) Consensus sequence  
901 variants identified between YMZ/S4185 and NK/ND3214 in the promoter region of  
902 *TraesCS5A02G542800*. (g) The neighbor-joining tree of *ALI-1* clustered with all C<sub>2</sub>H<sub>2</sub>  
903 genes in *Arabidopsis thaliana*. The gene name marked in red (*TraesCS5A02G542800*)

904 indicates *ALI-1*.

905 **Figure 4 Transcriptome profiling of three pairs of NILs.** (a) Differentially  
906 expressed genes identified from NIL1-Awnless *vs.* NIL1-Awned, NIL2-Awnless *vs*  
907 NIL2-Awned and NIL3-Awnless *vs* NIL3-Awned. (b) The number of genes with  
908 different expression pattern in three pairs of NILs. “Up”, “Down” and “\*” indicates  
909 up-regulated genes, down-regulated genes and non-differentially-expressed genes in  
910 *ALI-1* lines, respectively. The numbers indicate gene numbers in each type. (c) The  
911 number of genes assigned to each GO terms in “biological process”, “cellular  
912 component” and “molecular function” of the 478 DEGs. (d,e) Dot plots of the top 20  
913 GO terms of the up-regulated DEGs (d) and down-regulated DEGs (e) enriched in three  
914 pairs of NILs. GO terms were aligned with DEGs and considered to be significantly  
915 enriched with adjusting *P*-value < 0.05. The degree of enrichment was defined as the  
916 GeneRatio =  $N_{GO\ Terms}/N_{All\ DEGs}$ , in which  $N_{GO\ Terms}$  represents the number of DEGs in  
917 a specified GO term (“Count” in the GO plots) and  $N_{All\ DEGs}$  for the number of DEGs  
918 in all GO terms. (f,g) The dot plots of the top 20 GO terms enriched in the “cellular  
919 component” of the up-regulated DEGs (f) and down-regulated DEGs (g) enriched in  
920 three pairs of NILs.

921 **Figure 5 Transcriptional activation analysis, endogenous phytohormone**  
922 **quantitative analyses, and histological observation of *ALI-1*.** (a) Heatmap of the 24  
923 overlapped DEGs in three pairs of NILs. The expression level of each sample is print as  
924 deep blue representing the lowest value to deep red representing the highest value in the  
925 heat map. The gene marked in red indicates *ALI-1*, while genes marked in blue indicate  
926 possible direct downstream target genes. (b) Vectors used in the dual-luciferase reporter  
927 (DLR) assay system. (c) *ALI-1* strongly suppresses the luciferase activity of  
928 GAL4-LUC. The relative luciferase activity was measured, using the  
929 Pro<sub>35S</sub>:GAL4DBD and Pro<sub>35S</sub>:GAL4DBD:VP16 as a negative control and positive  
930 control, respectively. The error bars indicate SD from six independent measures of each  
931 analysis. (d) Endogenous IAA content and CKs contents in three pairs of NILs. The  
932 error bars indicate SD. One-way ANOVA test was used to determine the significance of

933 the difference between awnless and awned lines. \*\*,  $P < 0.01$ , \*,  $P < 0.05$ . (e) The awn  
934 microscopic structure of awned (left) and awnless (right) plants. The red arrows  
935 indicate the cells used to the measurement of cell length and the irregular boxes depict  
936 the shape of cells. Bar = 100  $\mu\text{m}$ . (f) The cell length and cell number in the awns of  
937 awnless and awned plants. The cell lengths of each sample were measured on three  
938 serial sections at the upper, middle, and bottom parts of awn. Cell number for the entire  
939 length of an awn was estimated based on the length of awns. Error bars show  $\pm$  SD.  
940 One-way ANOVA test was used to determine the significance of the difference between  
941 awnless and awned lines. \*\*,  $P < 0.01$ , ns,  $P > 0.05$ .

942 **Figure 6 Effects of ALI-1 on the yield-related traits.** (a-l) The TGW (a-d), GL (e-h)  
943 and grain yield (i-l) performance of each line at environment E1–E4. The boxes cover  
944 the twenty-fifth to seventy-fifth percentiles with a middle line indicates median, the  
945 whiskers outside the box extend to the  $\pm 1.5$  SD. TGW, GL and grain yield of  
946 individuals are displayed using gray dots. The significance of differences in TGW, GL  
947 and grain yield between awnless and awned lines were tested using one-way ANOVA.  
948 \*\*,  $P < 0.01$ , \*,  $P < 0.05$ , ns,  $P > 0.05$ .

949 **Figure 7 ALI-1 reduces TGW by suppressing grain length.** (a) Distribution and  
950 correlation coefficients of AL (AL), grain length (GL), grain width (GW), and TGW of  
951 2018-R1 in the GWAS panel. The frequency distribution of AL, GL, GW, and TGW  
952 was shown in the histogram at the diagonal cells. The X-Y scatter plot with the adjusted  
953 Pearson's coefficients, and the corresponding Pearson's coefficients between each trait  
954 were showed at the upper- and lower-triangle panel. \*\*\*,  $P < 0.001$  in the multiple  
955 comparison significant test. (b) The grain length and TGW of haplotypes based on the  
956 genotype at *qAL.5A.3\_B1* locus. The boxes cover the twenty-fifth to seventy-fifth  
957 percentiles with a middle line indicates median. The whiskers outside the box extend to  
958 the  $\pm 1.5$  SD. GL and TGW of each individual are displayed using gray dots. The  
959 significance of differences in GL and TGW between different haplotypes was tested  
960 using one-way ANOVA. \*\*,  $P < 0.01$ . (c) Comparison of the TGW (fresh weight) and  
961 grain length between the NIL<sup>ALI-1</sup> and NIL<sup>ali-1</sup> during grain development at 5, 10, 15, 20,

962 25, 30 and 35 DPA in 2018–2019 field trials. \*\*,  $P < 0.01$ . The error bars indicate SD.  
963 (d) The dynamic expression of *bHLH99* during grain development in 17 Chinese  
964 cultivars. FPKM in the RNA-Seq data were used, two biological repetitions were  
965 carried out for each sample. (e) The expression level of *bHLH99* in different layers of  
966 the developing wheat grain at 12 DPA.

967 **Figure 8 The haplotype analysis and candidate gene analysis of *Hd* locus.** (a) The  
968 haplotype analysis of *Hd* locus. The violin plot with a standard box plot inside was used  
969 to compare the AL between two haplotypes, with mean values linked by a red dash line.  
970 One-way ANOVA test was used to determine the significance of difference, and the  
971 violin plot were plotted using software OriginPro, Version 2019. (b) The expression  
972 pattern of *TaDL* at different time/tissue in Chinese Spring. (c) Sequence alignment of  
973 the *DL* gene and its homolog *TaDL* in Chinese Spring (*TaDL\_CS*) and AK58  
974 (*TaDL\_AK58*). The Zinc-finger domain and YABBY domain were annotated and the  
975 S>T amino acid substitution in AK58 indicated with an asterisk and a box.















