

1                   **Identifying Potential Causal Risk Factors for Self-Harm:**

2                   **A Polygenic Risk Scoring and Mendelian Randomisation Approach**

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1    **Abstract**

2    **Background.** Multiple individual vulnerabilities and traits are phenotypically associated with  
3    suicidal and non-suicidal self-harm. However, associations between these risk factors and  
4    self-harm are subject to confounding. We implemented genetically informed methods to  
5    better identify individual risk factors for self-harm.

6

7    **Methods.** Using genotype data and online Mental Health Questionnaire responses in the UK  
8    Biobank sample ( $N = 125,925$ ), polygenic risk scores (PRS) were generated to index 24  
9    plausible individual risk factors for self-harm in the following domains: mental health  
10   vulnerabilities, substance use phenotypes, cognitive traits, personality traits and physical  
11   traits. PRS were entered as predictors in binomial regression models to predict self-harm.  
12   Multinomial regressions were used to model suicidal and non-suicidal self-harm. To further  
13   probe the causal nature of these relationships, two-sample Mendelian Randomisation (MR)  
14   analyses were conducted for significant risk factors identified in PRS analyses.

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16   **Outcomes.** Self-harm was predicted by PRS indexing six individual risk factors, which are  
17   major depressive disorder (MDD), attention deficit/hyperactivity disorder (ADHD), bipolar  
18   disorder, schizophrenia, alcohol dependence disorder (ALC) and lifetime cannabis use. Effect  
19   sizes ranged from  $\beta = 0.044$  (95% CI: 0·016 to 0·152) for PRS for lifetime cannabis use, to  $\beta$   
20   = 0.179 (95% CI: 0·152 to 0·207) for PRS for MDD. No systematic distinctions emerged  
21   between suicidal and non-suicidal self-harm. In follow-up MR analyses, MDD, ADHD and  
22   schizophrenia emerged as plausible causal risk factors for self-harm.

23

1    **Interpretation.** Among a range of potential risk factors leading to self-harm, core predictors  
2    were found among psychiatric disorders. In addition to MDD, liabilities for schizophrenia  
3    and ADHD increased the risk for self-harm. Detection and treatment of core symptoms of  
4    these conditions, such as psychotic or impulsivity symptoms, may benefit self-harming  
5    patients.

6

7    **Funding.** Lim is funded by King's International Postgraduate Research Scholarship. Dr  
8    Pingault is funded by grant MQ16IP16 from MQ: Transforming Mental Health. Dr Coleman  
9    is supported by the UK National Institute of Health Research Maudsley Biomedical Research  
10   Centre. MRC grant MR/N015746/1 to CML and PFO'R. Dr Hagenaars is funded by the  
11   Medical Research Council (MR/S0151132). Kylie P. Glanville is funded by the UK Medical  
12   Research Council (PhD studentship; grant MR/N015746/1). This paper represents  
13   independent research part-funded by the National Institute for Health Research (NIHR)  
14   Biomedical Research Centre at South London and Maudsley NHS Foundation Trust and  
15   King's College London. The views expressed are those of the author(s) and not necessarily  
16   those of the NHS, the NIHR or the Department of Health and Social Care.

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1    **Research in Context**

2    ***Evidence before this study***

3    A search was conducted on PubMed for literature from inception until 1<sup>st</sup> May 2019 using  
4    terms related to suicidal self-harm (SSH) and non-suicidal self-harm (NSSH), as well as  
5    polygenic risk scores (PRS), ("self-harm"[All Fields] OR "self-injurious"[All Fields] OR  
6    "self-mutilation"[All Fields] OR "suicide"[All Fields]) AND ("polygenic"[All Fields] OR  
7    "multifactorial inheritance"[All Fields]). Similar search was done for Mendelian  
8    Randomisation (MR), replacing "multifactorial inheritance" and "polygenic" with  
9    "Mendelian Randomisation/Randomization". Evidence was included only if the study had  
10   used PRS or MR method to predict self-harm phenotypes using risk factors of self-harm. Ten  
11   papers for PRS and no paper for MR were identified.

12

13   There were mixed results for PRS studies. PRS for MDD predicted SSH in two studies but  
14   not in another two studies. PRS for depressive symptoms predicted SSH but not NSSH. PRS  
15   for schizophrenia predicted SSH in one but not in another two studies. PRS for bipolar  
16   disorder predicted SSH in one study but did not predict SSH nor NSSH in another two  
17   studies.

18

19   ***Added value of this study***

20   By using a large population-based sample, we systematically studied individual  
21   vulnerabilities and traits that can potentially lead to self-harm, including mental health  
22   vulnerabilities, substance use phenotypes, cognitive traits, personality traits and physical  
23   traits, summing up to 24 PRS as genetic proxies for 24 risk factors. We conducted MR to

1 strengthen causal inference. We further distinguished non-suicidal self-harm (NSSH) and  
2 suicidal self-harm (SSH).

3

4 Apart from PRS for schizophrenia, MDD and bipolar disorder, novel PRS were also  
5 identified to be associated with self-harm, which are PRS for attention-deficit hyperactivity  
6 disorder (ADHD), cannabis use and alcohol dependence. A larger sample size allowed us to  
7 confirm positive findings from the previously mixed literature regarding the associations  
8 between PRS for MDD, bipolar disorder, and schizophrenia with self-harm. Multivariate  
9 analyses and MR analyses strengthened the evidence implicating MDD, ADHD and  
10 schizophrenia as plausible causal risk factors for self-harm.

11

12 ***Implications of all the available evidence***

13 Among the 24 risk factors considered, plausible causal risk factors for self-harm were  
14 identified among psychiatric conditions. Using PRS and MR methods and a number of  
15 complementary analyses provided higher confidence to infer causality and nuanced insights  
16 into the aetiology of self-harm. From a clinical perspective, detection and treatment of core  
17 symptoms of these conditions, such as psychotic or impulsivity symptoms, may prevent  
18 individuals from self-harming.

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1    **Introduction**

2    Self-harm is a complex trait that refers to any act of self-injury and self-poisoning carried out  
3    by an individual, regardless of intention or motivation.<sup>1</sup> Being a broadly defined term, it can  
4    be further categorised into suicidal self-harm (SSH) and non-suicidal self-harm (NSSH), i.e.  
5    with or without intention of suicide. According to a meta-analysis, the cross-national  
6    prevalence rate for NSSH peaks during adolescence (17.3%), and decreases among adults  
7    (5.5%).<sup>2</sup> For SSH, the cross-national prevalence rate is also the highest among adolescents  
8    (9.7%)<sup>3</sup> and drops among adults (2.7%).<sup>4</sup> Recently, both SSH and NSSH were included in the  
9    fifth edition of the Diagnostic and Statistical Manual of Mental Disorders (DSM-5) as  
10   separate conditions for further study.<sup>5</sup> The distinction between SSH and NSSH may facilitate  
11   investigations of the aetiology and heterogeneity of self-harm.

12

13   A range of individual vulnerabilities and traits can potentially lead to self-harm, such as  
14   psychiatric illnesses,<sup>6</sup> substance use,<sup>7-9</sup> cognitive abilities,<sup>10</sup> personality traits<sup>11</sup> and physical  
15   traits.<sup>12</sup> Although associations between these risk factors and self-harm have been shown in  
16   numerous observational studies, causality is difficult to infer reliably. Genetically informed  
17   designs can help in strengthening causal inference.<sup>13</sup> A polygenic risk score (PRS) is a single  
18   individual-level score computed in a given trait, weighted using summary statistics from an  
19   independent genome-wide association study (GWAS) for that particular trait. A PRS for an  
20   individual risk factor (e.g. schizophrenia) can be regarded as a genetic proxy for this risk  
21   factor.<sup>14</sup> To illustrate, if schizophrenia is causally related to self-harm, a PRS for  
22   schizophrenia should also be associated with self-harm. A significant association between the  
23   PRS for schizophrenia and self-harm can be regarded as an initial indication of a possible  
24   causal relationship between the two. The PRS approach can be construed as a first step in a

1 series of genetically informed methods to investigate the aetiology of complex phenotypes,  
2 with follow-up steps including Mendelian Randomization (MR) discussed below.<sup>14-16</sup>

3

4 In previous studies, a PRS for major depressive disorder (MDD) was found to be associated  
5 with SSH in two clinical samples<sup>17,18</sup> and one non-clinical sample.<sup>19</sup> However, this was not  
6 replicated in a family-based sample.<sup>20</sup> A PRS for depressive symptoms predicted SSH but not  
7 NSSH in a twin sample.<sup>21</sup> On the other hand, a PRS for schizophrenia was positively  
8 associated with SSH among offspring of suicide attempters,<sup>20</sup> and a population sample,<sup>22</sup> but  
9 not in another clinical sample.<sup>23</sup> A PRS for bipolar disorder predicted SSH in one clinical  
10 sample<sup>24</sup> but did not predict SSH nor NSSH among offspring of suicide attempters,<sup>20</sup> and  
11 relatives of bipolar disorder patients.<sup>25</sup>

12

13 The aforementioned PRS studies with mixed results were limited in several ways. Firstly,  
14 these studies focused on PRS for psychiatric disorders or psychiatric symptoms, and did not  
15 include potential risk factors from other domains, such as substance use<sup>7-9</sup>, cognitive  
16 abilities,<sup>10</sup> personality traits<sup>11</sup> and physical traits.<sup>12</sup> Secondly, with two exceptions,<sup>21,25</sup> none  
17 of the studies had investigated SSH and NSSH simultaneously. Thirdly, these studies have a  
18 mixture of clinical and non-clinical samples with varying sample sizes ranging from 224  
19 individuals<sup>23</sup> to 10,408 individuals,<sup>18</sup> making any comparison difficult. In addition, none of  
20 these studies have implemented multivariate analyses including multiple PRS to better  
21 estimate their unique effect.

22

23 A caveat of the PRS method is its proneness to unmediated (or horizontal) pleiotropy, arising  
24 from the inclusion of many thousands of genetic variants.<sup>14</sup> Unmediated pleiotropy exists

1 when a genetic variant associated with an exposure causes the outcome through an alternative  
2 pathway, instead of via the exposure. Unmediated pleiotropy can generate associations  
3 between PRS and outcome in the absence of a causal relationship between the risk factors  
4 indexed by the PRS, and the outcome. Mendelian Randomisation (MR) can more stringently  
5 address unmediated pleiotropy and further strengthen causal inference. In MR, individual  
6 genetic variants associated with an exposure of interest are used as instrumental variables to  
7 infer causality between exposure and outcome. A number of complementary analyses, further  
8 detailed in the methods section, can be implemented to account for pleiotropy.<sup>16</sup> To date,  
9 there is no published MR study which focuses on any risk factor of self-harm.

10

11 The current study will address the aforementioned limitations by systematically using 24 PRS  
12 as proxies for risk factors from different domains to predict both NSSH and SSH, using a  
13 population-based sample of 125,925 individuals. We will conduct follow-up MR analyses to  
14 strengthen causal inference.

15

## 16 **Methods**

### 17 ***Participants***

18 The participants of the current study are a subset of the UK Biobank  
19 (<http://www.ukbiobank.ac.uk>). A total of 157,358 participants completed an online mental  
20 health questionnaire (MHQ) in a period from July 2016 to July 2017, which included  
21 questions regarding their lifetime symptoms of mental disorders.<sup>26</sup> The participants were also  
22 genotyped. After the quality control (QC) process (see genotyping and QC details in  
23 supplementary materials), the final sample size was 125,925 individuals (56.2% females).  
24 Their ages ranged from 48 to 82 years, with a mean of 65.88 ( $SD = 7.69$ ) years.

1

2 UK Biobank received ethical approval from the Research Ethics Committee (REC reference  
3 11/NW/0382). The current study was conducted under the UK Biobank application 18177.

4 Data analysis was conducted from March 2018 to June 2019.

5

6 ***Defining self-harm phenotypes***

7 To know whether the participants have ever-self-harmed, participants were asked “Have you  
8 deliberately harmed yourself, whether or not you meant to end your life?” To ascertain  
9 whether their self-harm episodes were NSSH or SSH, they were asked “Have you harmed  
10 yourself with the intention to end your life?”. In both questions, responses of “Prefer not to  
11 answer” (0.43%) were recoded as missing values. A flowchart depicting exclusion of  
12 participants and the number of participants who answered each question is shown in Figure 1.

13

14 ***Statistical Analyses***

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16 All statistical analyses were conducted in Linux environment using R version 3.5.0.<sup>27</sup>

17

18 ***PRS analyses***

19 PRS of UK Biobank participants were generated using PRSice-2<sup>28</sup> based on their genotype  
20 data and 24 publicly available summary data from GWAS (see Table 1) selected based on the  
21 following criteria. First, we selected GWAS indexing individual vulnerabilities and traits that  
22 can potentially increase the risk of self-harm, including mental health vulnerabilities (e.g.  
23 MDD),<sup>29</sup> cognitive abilities (e.g. education attainment),<sup>30</sup> personality traits (e.g.

1 neuroticism),<sup>31</sup> substance use phenotypes (e.g. cannabis use),<sup>32</sup> and physical traits (e.g.  
2 BMI).<sup>12</sup> Second, we selected GWAS which only included participants of European ancestry  
3 and did not include UK Biobank participants (to avoid overlapping between discovery sample  
4 size and target sample). Finally, we excluded GWAS with effective sample sizes less than  $N$   
5 = 15,000 to limit the use of underpowered PRS.

6

7 Each participant had 24 PRS, which were each calculated as the sum of alleles associated  
8 with their respective phenotypes, weighted by their effect sizes with  $p$ -values less than a  
9 threshold  $p_T < 0.3$  (selecting and reporting results from a single threshold allowed us to limit  
10 multiple testing, as done in previous PRS studies).<sup>22,33</sup> Clumping was used to remove SNPs in  
11 linkage equilibrium ( $r^2 < 0.1$  within a 250 kb window). All PRS in the final analytical sample  
12 were standardised.

13

14 *Single PRS Binomial Logistic Regression*

15 For each PRS, a binomial logistic regression was conducted to test whether it predicted self-  
16 harm (i.e. “Self-harmed” versus “Never self-harmed”).

17

18 *Multiple PRS Binomial Logistic Regression*

19 All PRS significantly associated with self-harm in single PRS binomial logistic regressions  
20 were then jointly modelled in a multivariate binomial logistic regression model to assess their  
21 unique effects.

22

1    *Multinomial Logistic Regressions*

2    To investigate whether each PRS differentially predicted NSSH versus SSH, we fitted a  
3    series of multinomial logistic regression models. We first compared each of the NSSH and  
4    SSH groups to the never self-harmed group (i.e. “Never self-harmed” as the reference group).  
5    We then directly compared NSSH and SSH by testing a model with “NSSH” as the reference  
6    group.

7

8    *Covariates and multiple testing*

9    All regression models were controlled for sex, age and population stratification (by including  
10   assessment centre, genotyping batch and the first 6 principal components as covariates in the  
11   models). To control for multiple testing in single PRS binomial and multinomial regressions,  
12   we employed the false discovery rate (FDR) method<sup>34</sup> which controls the expected proportion  
13   of false positives among the rejected hypotheses. We used  $q < .05$  as the significance  
14   threshold.

15

16   ***MR analyses***

17   All MR analyses were conducted using R package TwoSampleMR.<sup>35</sup> Risk factors for which  
18   their PRS significantly predicted self-harm were selected for follow-up MR analyses. For  
19   self-harm in UK Biobank sample as the outcome for MR analyses, we obtained GWAS  
20   summary statistics from Neale Lab (<http://www.nealelab.is/uk-biobank>). SNPs of the  
21   exposures which passed the p-value threshold of  $p < 5E-5$  were selected as instrumental  
22   variables for MR analyses. A liberal threshold was used to ensure that enough variants were  
23   available for all risk factors, including those with few genome-wide significant SNPs (e.g.  
24   ADHD). The strategy entails potential weak instrument bias. In two-sample MR, the resulting

1 bias is towards the null, making estimates more conservative (see below how this was dealt  
2 with).<sup>36</sup> Clumping of SNPs with  $r^2 < .001$  within 250 kb was applied. SNPs in exposures and  
3 outcomes were harmonized by flipping alleles where possible, and we use allele frequencies  
4 to infer strands of ambiguous SNPs. Non-inferable SNPs with minor allele frequency  $> 0.42$   
5 were discarded.

6

7 We selected four MR methods which have different strengths and limitations. We conducted  
8 univariable MR using:

9 (i) Inverse variance weighted (IVW) method, which is the most powerful method but  
10 cannot account for directional pleiotropy;<sup>37</sup>

11 (ii) Robust Adjusted Profile Score (RAPS) method, which is used to account for the  
12 selection of weak instruments;<sup>33</sup>

13 (iii) Weighted median method, as it is more robust to directional pleiotropy than IVW  
14 and is more robust to individual genetic variants with outlying causal estimates  
15 than IVW and MR-Egger;<sup>38</sup> and

16 (iv) MR-Egger regression method, whereby significance of its intercept term can  
17 inform on the presence of directional pleiotropy.<sup>39</sup>

18

19 In addition, MR Steiger filtering<sup>40</sup> was implemented to address the possibility of reverse  
20 causation (i.e. self-harm causing the putative risk factor). For each SNP, we expect that the  
21 effect size for the association with the exposure should be larger than the effect size for the  
22 association with the outcome. This is because the effect on the outcome is hypothesised to be  
23 indirect through the exposure. As such, all SNPs for which the effect size of the association

1 with the outcome was larger than the one with the exposure were filtered out before  
2 reimplementing MR. Finally, similar to PRS analyses, exposures which were significant in  
3 univariable MR were assessed for their independent effect in a multivariable MR model using  
4 the IVW method.

5

6 For PRS analyses, we conducted further complementary analyses excluding cases with MDD  
7 and schizophrenia diagnoses to investigate the effect of genetic liability on self-harm with the  
8 influence of diagnoses excluded. We also calculated risk ratios for medicated and non-  
9 medicated cases compared to those with median PRS in the general population (see  
10 supplementary materials for definitions of cases and medication). We created a quantile plot  
11 separating the participants into three groups: general population (in 20 quantiles), medicated  
12 cases and unmedicated cases, and calculated the risk ratios of these groups for self-harm  
13 relative to the group in the population with median PRS.

14

## 15 **Results**

### 16 ***Descriptive statistics***

17 Figure 1 shows the number of participants who: never self-harmed, self-harmed, engaged in  
18 SSH, and engaged in NSSH. Table 2 shows the gender proportion, and mean age of each  
19 subgroup.

### 20 ***PRS analyses***

#### 21 ***Single PRS binomial logistic regression***

22 Table 1 and Figure 2 show results from 24 single PRS binomial logistic regression tests,  
23 using each PRS as a predictor variable. Out of the 24 PRS, 10 PRS were significant  
24 predictors of self-harm at the nominal level ( $p < 0.05$ ). After applying FDR correction, 6 PRS

1 had  $q$ -value  $< .05$ . In order of decreasing effect sizes, they are PRS for: MDD, schizophrenia,  
2 ADHD, bipolar disorder, alcohol dependence disorder (ALC), and lifetime cannabis use, with  
3 effect sizes ranging from  $\beta = 0.179$  (95% CI: 0.152 to 0.207) for MDD, to  $\beta = 0.044$  (95%  
4 CI: 0.016 to 0.072) for lifetime cannabis use. Figure S3 shows the pseudo  $R^2$  plots of these 6  
5 PRS in accounting for the variance in self-harm.

6

7 *Multiple PRS binomial logistic regression*

8 In the multiple PRS model, all PRS except the PRS for ALC had an independent effect of  
9 self-harm as shown in Table 1 and Figure 2. By controlling for the effects of other PRS,  
10 effect sizes of these PRS have diminished slightly compared to those in single PRS binomial  
11 logistic regression, ranging from  $\beta = 0.144$  (95% CI: 0.115 to 0.173) for MDD to  $\beta = 0.031$   
12 (95% CI: 0.002 to 0.060) for bipolar disorder. These PRS were weakly correlated, ranging  
13 from  $r = 0.01$  (between bipolar disorder and ADHD) to  $r = 0.22$  (between schizophrenia and  
14 bipolar disorder; see Table S3 for all correlations), suggesting that multicollinearity was not  
15 an issue.

16

17 *Single PRS multinomial logistic regression*

18 Table S1 shows results from 24 multinomial logistic regression tests, using PRS as predictor  
19 variable for three possible outcomes: “Never self-harmed”, “NSSH” and “SSH”. When  
20 “Never self-harmed” was used as the reference group, PRS for bipolar disorder, lifetime  
21 cannabis use and extreme BMI predicted SSH but not NSSH, with  $q < .05$ . However, when  
22 “NSSH” was set as the reference group in order to directly compare NSSH versus SSH, none  
23 of the PRS significantly distinguished between NSSH versus SSH.

24

1 **MR analyses**

2 Table 3 shows the results from MR analyses. ADHD, ALC, bipolar disorder, lifetime  
3 cannabis use, MDD and schizophrenia were exposures in 6 separate univariable MR analyses,  
4 with self-harm as the outcome. Out of these 6 exposures, MDD, ADHD and schizophrenia  
5 had MR estimates with  $p$ -values  $< .05$ . For other exposures, none of their MR estimates had  $p$   
6  $< .05$ .

7

8 For MDD, despite having the strongest IVW ( $\beta = 0.008$ , 95% CI: 0.005 to 0.011,  $p = 2.84E-$   
9 08), MR RAPS ( $\beta = 0.008$ , 95% CI: 0.005 to 0.011,  $p = 1.24E-07$ ), and weighted median ( $\beta =$   
10 0.006, 95% CI: 0.001 to 0.011,  $p = 0.013$ ) estimates among the three exposures, the MR  
11 Egger estimate was not significant. On the other hand, all MR estimates for ADHD and  
12 schizophrenia were significant.

13

14 The significance of intercept terms in MR-Egger test indicates the presence of pleiotropy. Out  
15 of the 6 exposures in MR-Egger test, none of the intercept terms were significant, except for  
16 MDD ( $p = 0.023$ ). MR Steiger directionality tests could only be applied to test the direction  
17 of causality between ADHD, MDD and schizophrenia with self-harm because the summary  
18 statistics for other exposures did not contain information about allele frequencies, which are  
19 needed for the test. MR Steiger directionality test showed that all SNPs of MDD,  
20 schizophrenia and ADHD are more predictive of the respective exposures than self-harm,  
21 suggesting that reverse causation unlikely explained our findings.

22

23 When ADHD, MDD and schizophrenia were included as exposures in multivariable IVW  
24 MR analysis, only MDD ( $\beta = 0.011$ , 95% CI: 0.007 to 0.015,  $p = 1.04E-12$ ) and

1 schizophrenia ( $\beta = 0.002$ , 95% CI: 4.00E-05 to 0.004,  $p = 0.002$ ) remained as independent  
2 predictors of self-harm. Due to the potential presence of pleiotropy between MDD and self-  
3 harm, another multivariable IVW MR model was conducted with only ADHD and  
4 schizophrenia as exposures. Both ADHD ( $\beta = 0.003$ , 95% CI: 0.001 to 0.005,  $p = 2.21E-04$ )  
5 and schizophrenia ( $\beta = 0.003$ , 95% CI: 0.002 to 0.004,  $p = 7.60E-07$ ) were significant  
6 predictors in this model.

7

8 In PRS complementary analyses which excluded cases, PRS for MDD and schizophrenia still  
9 predicted self-harm in a healthy, screened cohort, indicating that genetic liabilities can predict  
10 self-harm when influence of diagnoses is excluded (See Table S2). In the quantile plot, cases  
11 for schizophrenia and MDD appear to be at much larger risk for self-harm than the rest of the  
12 population. Medicated MDD cases were at higher risk of self-harm than non-medicated MDD  
13 cases, which was not the case for schizophrenia (See Figure 3).

14

## 15 **Discussion**

16 To our knowledge, this is the first study using multiple PRS as genetic proxies to  
17 systematically investigate a range of individual vulnerabilities and traits as risk factors for  
18 self-harm in a large population sample. In PRS analyses, we identified 6 risk factors (i.e.  
19 MDD, schizophrenia, ADHD, bipolar disorder, ALC, and lifetime cannabis use) which  
20 predicted self-harm. Five among six (except for ALC) remained significant in a multiple PRS  
21 regression. We found no evidence of differential prediction for SSH versus NSSH. In follow-  
22 up MR analyses, MDD, schizophrenia and ADHD emerged as plausible causal risk factors  
23 for self-harm, despite evidence of unmediated pleiotropy for MDD. We discuss in turn: (1)  
24 insights into the aetiology of self-harm, and (2) clinical implications.

1

2 ***Insights into the aetiology of self-harm***

3 Results from our PRS methods corroborated previous observational findings where MDD,<sup>6</sup>  
4 schizophrenia,<sup>41</sup> ADHD,<sup>42</sup> bipolar disorder,<sup>6</sup> and ALC<sup>8</sup> were phenotypically associated with  
5 self-harm. Our results are also consistent with positive associations found in PRS studies for  
6 MDD,<sup>17,19,43</sup> schizophrenia,<sup>20</sup> and bipolar disorder<sup>24</sup>. Previous mixed findings for these PRS  
7 may have stemmed from lack of power, as sample sizes for those studies varied widely. The  
8 current study adds lifetime cannabis use, ADHD, and ALC as novel PRS associated with self-  
9 harm. However, when controlling for other PRS, the PRS for ALC did not significantly  
10 predict self-harm. This finding may suggest that the genetic liability for ALC does not  
11 independently predict self-harm when the effect of genetic liability for MDD, bipolar  
12 disorder, schizophrenia, ADHD and lifetime cannabis are accounted for. For example, ALC  
13 may be a marker for a true predictor such as impulsivity which is more efficiently captured in  
14 the PRS for ADHD.<sup>44</sup> Alternatively, null findings for ALC can also plausibly be due to a  
15 lack of power compared to other polygenic scores. Hence, we cannot completely rule out that  
16 the PRS for ALC has an independent effect on self-harm and the corresponding causal effect  
17 of ALC on self-harm.

18

19 Most of the PRS which predicted self-harm in the current study relate to psychiatric  
20 conditions, which confirms the prominence of psychiatric conditions in the aetiology of self-  
21 harm.<sup>45</sup> Beyond psychiatric conditions, cognitive traits, physical traits, and personality traits  
22 were not found to be associated with self-harm using PRS approach, although previous  
23 observational findings found significant phenotypic associations for these three domains.<sup>10-12</sup>  
24 The absence of significant findings in this case is unlikely to be solely due to lack of power,

1 given that GWAS for some of these traits are more powerful than GWAS for psychiatric  
2 conditions (e.g. BMI and education attainment). These findings suggest that these traits and  
3 vulnerabilities are unlikely to have (strong) causal effects on self-harm.

4

5 Our MR analyses provided further support for the role of MDD, ADHD, and schizophrenia in  
6 the aetiology of self-harm. An intriguing finding is the presence of significant pleiotropy in  
7 the case of MDD. Rather than signifying that MDD does not have a causal effect on self-  
8 harm, this may reflect a possible measurement issue. Indeed, one of the diagnostic criteria for  
9 MDD is related to having suicidal thoughts and attempts, which could artificially introduce a  
10 pleiotropic effect.<sup>5</sup> To deal with this issue, future studies may rely on a GWAS for MDD  
11 excluding the diagnostic criteria related to suicidal thoughts and attempts. This might also  
12 explain why, in multivariate MR, the effect of ADHD was no longer significant – as we  
13 partially controlled for self-harm – whereas it was significant when only considering ADHD  
14 and schizophrenia.

15

16 The current study found mixed results for whether there are distinct aetiologies for SSH and  
17 NSSH. Most PRS which predicted self-harm also predicted both SSH and NSSH, except  
18 bipolar disorder, lifetime cannabis use and extreme BMI, which only predicted SSH but not  
19 NSSH from those who never self-harmed. However, in a formal test comparing NSSH and  
20 SSH, the estimates of these three risk factors were not significantly different between NSSH  
21 and SSH. Hence, our findings do not provide evidence for marked differences in aetiology  
22 between SSH and NSSH.

23

1    ***Clinical implications***

2    The current study suggests that individual vulnerabilities and traits underlying self-harm most  
3    likely relate to psychiatric conditions such as MDD and schizophrenia, rather than to other  
4    domains such as personality traits. Hence, treatments focusing on the core symptoms of these  
5    psychiatric conditions are important in preventing or addressing the risk of self-harm.  
6    Findings from PRS analyses suggest that genetic liabilities for these conditions increase the  
7    likelihood of self-harm even in those not clinically diagnosed. This may suggest that  
8    subthreshold symptoms of these core psychiatric conditions may increase the risk of self-  
9    harm. Clinicians may want to systematically test for such symptoms in self-harming patients.  
10   Future investigations may test whether drugs for such core conditions may be repurposed for  
11   treating self-harming patients, with either full blown or subthreshold conditions. For example,  
12   prescription of methylphenidate for ADHD treatment was found to be associated with  
13   reduction of suicide attempt risk.<sup>46</sup> As a note of caution, treated schizophrenia cases were not  
14   at less risk of self-harm than non-treated patients whereas treated MDD patients were at  
15   substantial higher risk for self-harm. This could be due to treated patients having more severe  
16   symptoms than untreated patients, or it could be due to adverse effects of medication, in  
17   particular in the case of MDD where suicidality might be an adverse effect of antidepressant  
18   treatment.<sup>47</sup>

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20   ***Limitations***

21   In order to avoid the overlapping of discovery and target sample, we excluded GWAS which  
22   contain UK Biobank sample, resulting in selecting older GWAS for generating PRS in some  
23   cases. This might have led to non-significant findings due to lack of power. The results  
24   should be generalised with caution because UK Biobank is not representative of the UK  
25   population as they are more educated, older, wealthier, and healthier.<sup>48</sup> The questions asked

1 in MHQ were retrospective and their formulation led to an exclusive dichotomy between  
2 NSSH or SSH, whereby some might have engaged in both NSSH and SSH at different times.

3

4 ***Conclusion***

5 Among 24 PRS used as genetic proxies for vulnerabilities and traits possibly associated with  
6 self-harm, we found that PRS for MDD, schizophrenia, ADHD, bipolar disorder, ALC and  
7 cannabis were statistically significant. After a series of complementary analyses to further  
8 strengthen the causal inference, schizophrenia survived as the most plausible causal risk  
9 factor, followed by MDD and ADHD. Detection and treatment of core symptoms of these  
10 conditions, such as psychotic or impulsivity symptoms, may benefit self-harming patients.

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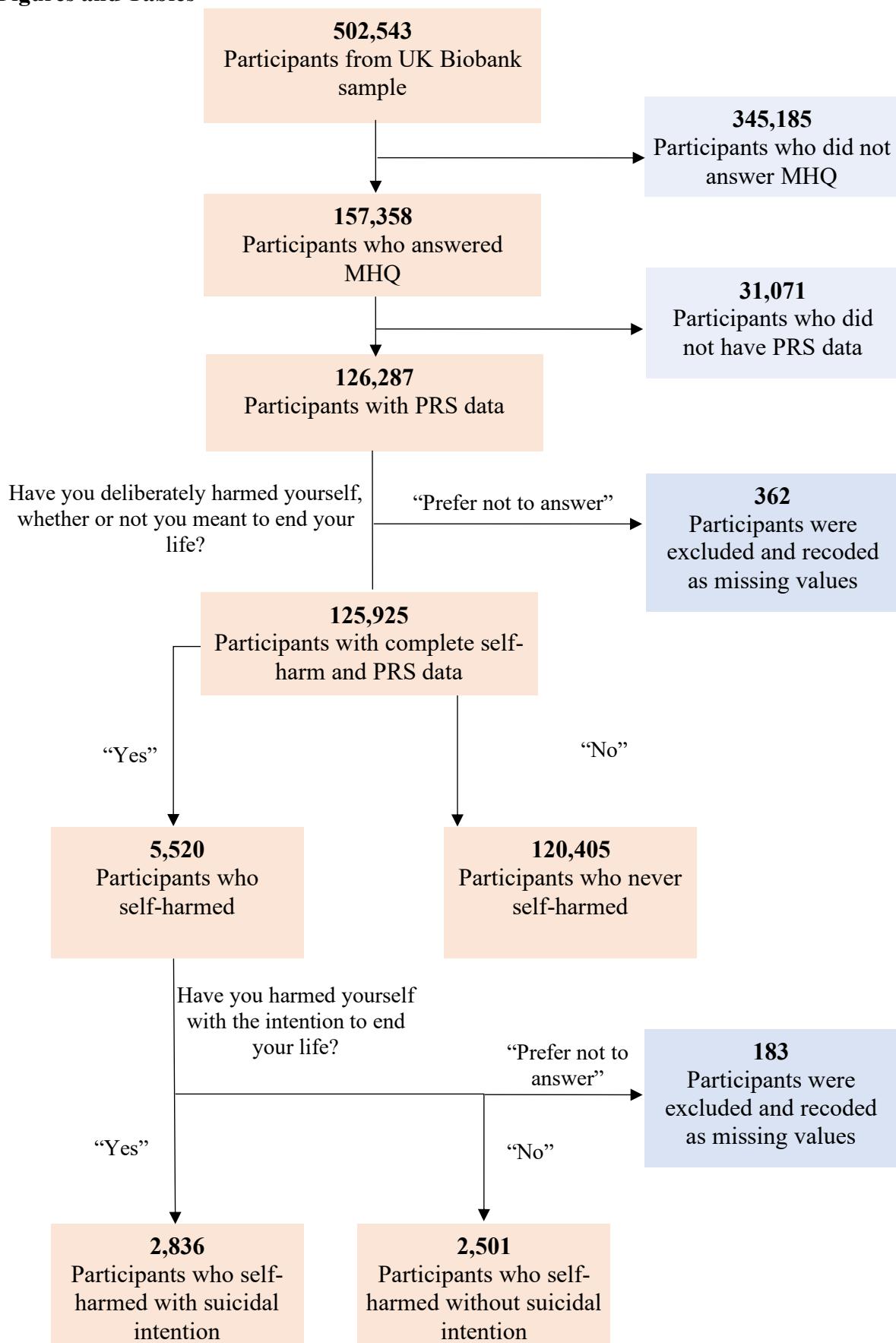
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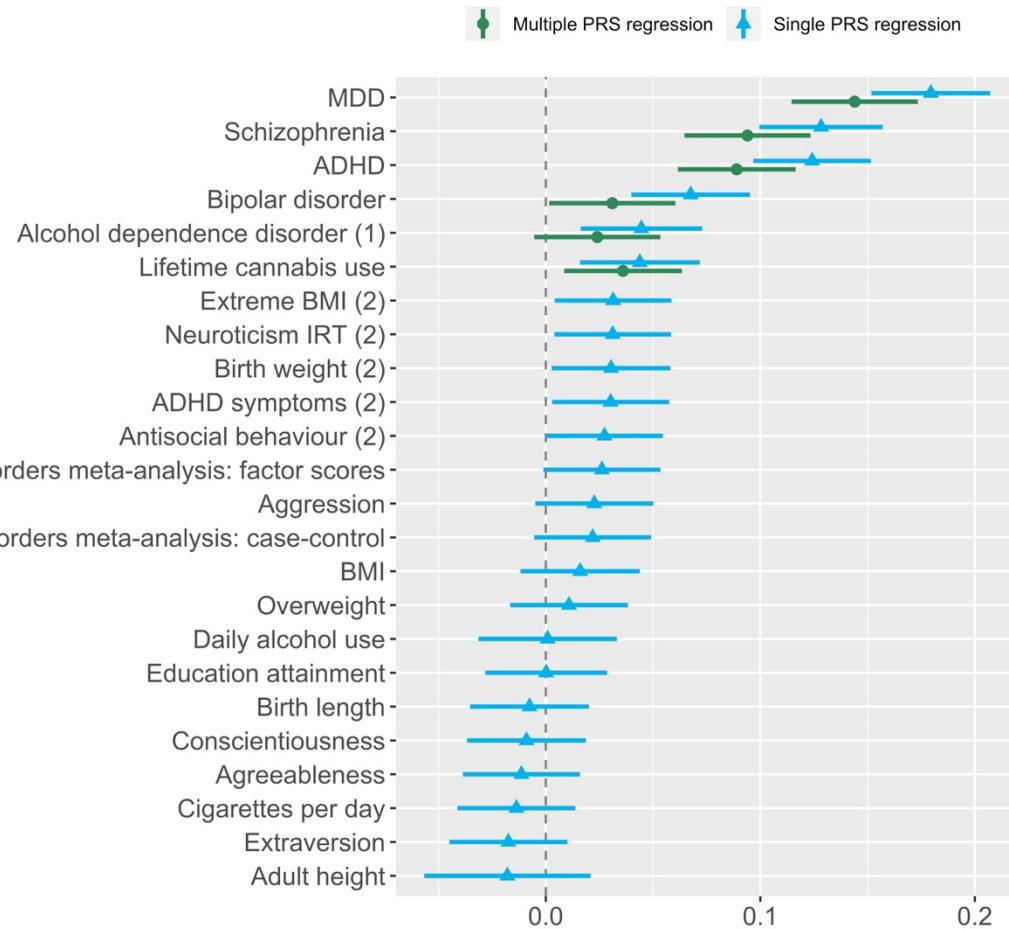
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## 1 Figures and Tables



2 Figure 1. Flowchart showing the number of participants at each stage.



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2 Figure 2. Estimates from single PRS regression and multiple PRS regression in decreasing  
3 effect sizes. (1) indicates not significant in multiple PRS regression. (2) indicates not  
4 significant after FDR correction.

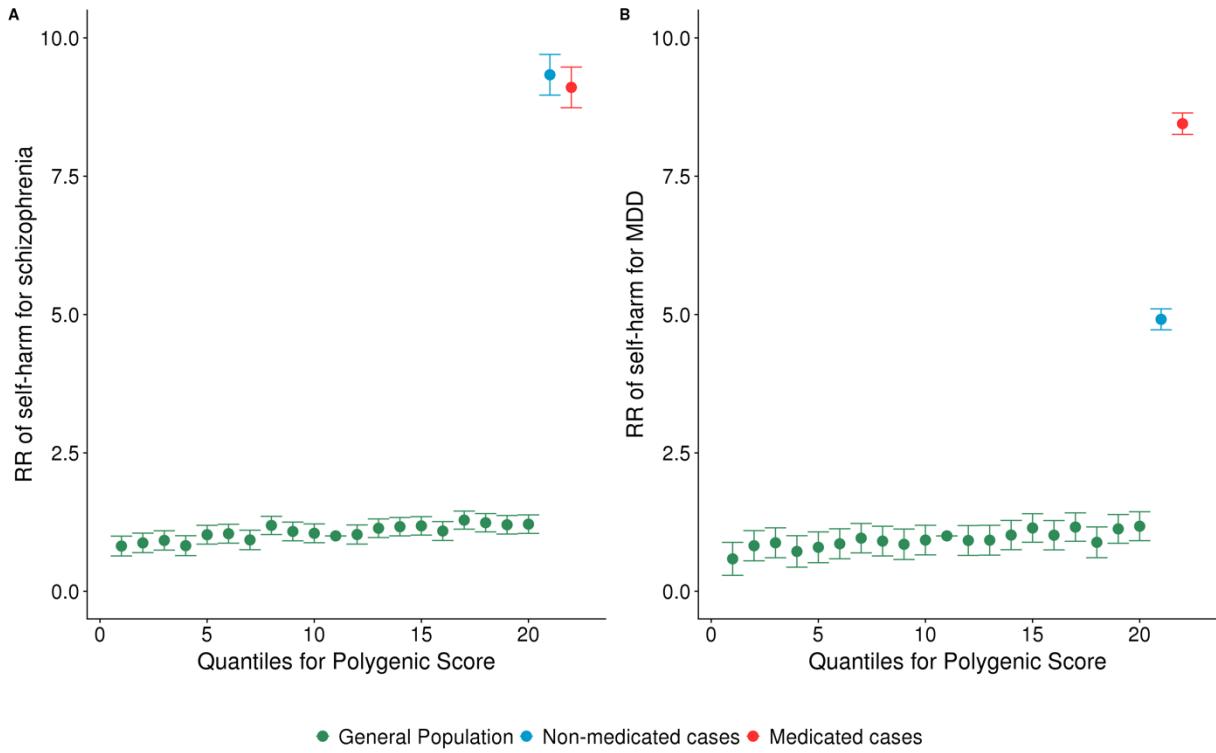
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2 Figure 3. Relative risks of general population, non-medicated cases and medicated cases in  
3 self-harming compared to those with median PRS (11<sup>th</sup> quantile) in schizophrenia (A) and  
4 MDD (B). Out of 177 schizophrenia cases in the final analytical sample, 89 (50.3%) of them  
5 were medicated. Out of 34,680 MDD cases in the final analytical sample, 7,852 (22.6%) of  
6 them were medicated.

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1 Table 1. Single and multiple PRS prediction of self-harm.

Traits/disorders	Discovery Sample size	Single PRS binomial model				Multiple PRS binomial model			
		$\beta$	95% CI	p-value	q-value	$\beta$	95% CI	p-value	
<b>Mental health vulnerabilities</b>									
ADHD symptoms <sup>49</sup>	17,666	0·030	0·003, 0·058	0·030	0·074	-	-	-	
ADHD <sup>50</sup>	49,017*	0·124	0·097, 0·152	6·69E-19	<b>8·02E-18</b>	0·089	0·062, 0·116	<b>4·24E-10</b>	
Alcohol dependence disorder <sup>51</sup>	42,803*	0·045	0·016, 0·073	2·03E-03	<b>0·008</b>	0·024	-0·005, 0·053	0·101	
Anxiety disorders meta-analysis: factor scores <sup>52</sup>	18,186	0·026	-0·001, 0·053	0·060	0·121	-	-	-	
Anxiety disorders meta-analysis: case-control <sup>52</sup>	17,310*	0·022	-0·005, 0·049	0·116	0·199	-	-	-	
Bipolar disorder <sup>53</sup>	16,544*	0·067	0·040, 0·095	1·74E-06	<b>1·05E-05</b>	0·031	0·002, 0·060	<b>0·033</b>	
MDD <sup>29</sup>	124,331*	0·179	0·152, 0·207	5·52E-37	<b>1·33E-35</b>	0·144	0·115, 0·173	<b>3·99E-23</b>	
Schizophrenia <sup>54</sup>	75,846*	0·128	0·100, 0·157	2·43E-18	<b>1·94E-17</b>	0·094	0·065, 0·123	<b>6·99E-10</b>	
<b>Substance use phenotypes</b>									
Lifetime cannabis use <sup>32</sup>	31,933*	0·044	0·016, 0·072	0·002	<b>0·008</b>	0·036	0·009, 0·063	<b>0·013</b>	
Cigarettes per day <sup>55</sup>	38,181	-0·014	-0·041, 0·014	0·329	0·465	-	-	-	
Daily alcohol use <sup>56</sup>	70,460	0·001	-0·031, 0·033	0·959	0·991	-	-	-	
<b>Cognitive trait</b>									
Education attainment <sup>30</sup>	106,736	1·69E-04	-0·028, 0·029	0·991	0·991	-	-	-	
<b>Personality traits</b>									
Conscientiousness <sup>57</sup>	17,375	-0·009	-0·037, 0·019	0·524	0·599	-	-	-	
Extraversion <sup>58</sup>	63,030	-0·017	-0·045, 0·010	0·214	0·343	-	-	-	

Neuroticism: Item Response Theory (IRT) <sup>31</sup>	63,661	0·031	0·004, 0·058	0·025	0·074	-	-	-
Agreeableness <sup>57</sup>	17,375	-0·011	-0·039, 0·016	0·414	0·523	-	-	-
Aggression <sup>59</sup>	18,988	0·023	-0·005, 0·050	0·107	0·198	-	-	-
Antisocial behaviour <sup>60</sup>	16,400	0·027	6·46E-05, 0·055	0·049	0·108	-	-	-
<b>Physical traits</b>								
Birth length <sup>61</sup>	28,459	-0·008	-0·035, 0·020	0·592	0·646	-	-	-
Birth weight <sup>62</sup>	26,836	0·030	0·003, 0·058	0·031	0·074	-	-	-
Adult height <sup>63</sup>	253,288	-0·018	-0·057, 0·021	0·365	0·486	-	-	-
Overweight <sup>64</sup>	154,206*	0·011	-0·017, 0·038	0·440	0·527	-	-	-
Extreme BMI <sup>64</sup>	16,067*	0·031	0·004, 0·059	0·024	0·074	-	-	-
BMI <sup>65</sup>	322,154	0·016	-0·012, 0·044	0·259	0·388	-	-	-

1 Note: Sample size with asterisks (\*) are GWAS with case-control samples, and the effective sample sizes are calculated using the formula

2  $N_{\text{effective}} = 4 / (1/N_{\text{cases}} + 1/N_{\text{controls}})$  whenever possible. The  $p$ -values or  $q$ -values in bold are those that met the nominal  $p < 0·05$  or

3 corrected  $q < 0·05$  thresholds.

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1 Table 2. Descriptive statistics for each subgroup of self-harm related phenotypes.

Subgroup of sample	Female (%)	Mean age (years)	SD of age (years)
Full analytical sample	56·2	65·9	7·7
Self-harmed	69·4	62·3	7·5
SSH	68·0	63·1	7·4
NSSH	70·5	61·4	7·5
Never self-harmed	55·6	66·1	7·7

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1 Table 3. Univariable and multivariable MR analyses

Exposure	Univariable MR						Multivariable MR				
	method	nsnp	b	LowCI	UpCI	pval	nsnp	b	lowCI	UpCI	p-value
ADHD	IVW	244	0·003	0·001	0·005	<b>0·001</b>	1206	0·001	-0·001	0·003	0·269
	MR RAPS		0·003	0·001	0·005	<b>0·001</b>					
	Weighted median		0·003	2·83E-04	0·005	<b>0·028</b>					
	MR Egger		0·006	0·001	0·011	<b>0·031</b>					
	MR Egger intercept		-2·57E-04	-6·88E-04	1·74E-04	0·243					
Alcohol dependence disorder	IVW	86	0·001	-4·50E-04	0·003	0·157	-	-	-	-	-
	MR RAPS		0·001	-4·57E-04	0·003	0·150					
	Weighted median		0·001	-0·001	0·004	0·332					
	MR Egger		0·001	-0·002	0·005	0·465					
	MR Egger intercept		-1·88E-05	-5·85E-04	5·48E-04	0·948					
Bipolar disorder	IVW	77	0·001	-0·001	0·003	0·310	-	-	-	-	-
	MR RAPS		0·001	-0·001	0·003	0·355					
	Weighted median		1·27E-04	-0·002	0·003	0·922					
	MR Egger		3·22E-04	-0·008	0·008	0·936					
	MR Egger intercept		8·31E-05	-9·39E-04	1·11E-03	0·874					
Lifetime cannabis use	IVW	85	-2·07E-04	-0·002	0·001	0·787	-	-	-	-	-
	MR RAPS		-2·85E-04	-0·002	0·001	0·730					
	Weighted median		-0·001	-0·004	0·001	0·314					
	MR Egger		-0·002	-0·006	0·001	0·171					
	MR Egger intercept		3·62E-04	-1·38E-04	8·61E-04	0·160					
MDD	IVW	239	0·008	0·005	0·011	<b>2·84E-08</b>	1206	0·011	0·007	0·015	<b>1·04E-12</b>
	MR RAPS		0·008	0·005	0·011	<b>1·24E-07</b>					
	Weighted median		0·006	0·001	0·011	<b>0·013</b>					

	MR Egger	0·002	-0·004	0·008	0·463						
	MR Egger intercept	4·20E-04	6·06E-05	7·80E-04	<b>0·023</b>						
Schizophrenia	IVW	1003	0·003	0·002	0·004	<b>1·54E-09</b>	1206	0·002	4·00E-05	0·004	<b>0·002</b>
	MR RAPS		0·003	0·002	0·004	<b>2·89E-09</b>					
	Weighted median		0·003	0·002	0·005	<b>1·80E-05</b>					
	MR Egger		0·004	0·001	0·007	<b>0·009</b>					
	MR Egger intercept		-4·84E-05	-2·42E-04	1·45E-04	0·625					

1 Note. The  $p$ -values in bold are those that met the  $p < 0·05$  threshold.

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