

1 **High-throughput proteomic analysis of FFPE tissue samples facilitates tumor stratification**

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87 **Abstract**

88       Formalin-fixed, paraffin-embedded (FFPE), biobanked tissue samples offer an invaluable  
89 resource for clinical and biomarker research. Here we developed a pressure cycling technology  
90 (PCT)-SWATH mass spectrometry workflow to analyze FFPE tissue proteomes and applied it to  
91 the stratification of prostate cancer (PCa) and diffuse large B-cell lymphoma (DLBCL) samples.  
92 We show that the proteome patterns of FFPE PCa tissue samples and their analogous fresh  
93 frozen (FF) counterparts have a high degree of similarity and we confirmed multiple proteins  
94 consistently regulated in PCa tissues in an independent sample cohort. We further demonstrate  
95 temporal stability of proteome patterns from FFPE samples that were stored between one to 15  
96 years in a biobank and show a high degree of the proteome pattern similarity between two types  
97 histological region of small FFPE samples, i.e. punched tissue biopsies and thin tissue sections of  
98 micrometer thickness, despite the existence of certain degree of biological variations. Applying  
99 the method to two independent DLBCL cohorts we identified myeloperoxidase (MPO), a  
100 peroxidase enzyme, as a novel prognostic marker. In summary, this study presents a robust  
101 proteomic method to analyze bulk and biopsy FFPE tissues and reports the first systematic  
102 comparison of proteome maps generated from FFPE and FF samples. Our data demonstrate the  
103 practicality and superiority of FFPE over FF samples for proteome in biomarker discovery.  
104 Promising biomarker candidates for PCa and DLBCL have been discovered.

105

106 **Introduction**

107 Quantitative molecular profiling of phenotypically well annotated clinical sample cohorts  
108 using genomic, transcriptomic or metabolomic techniques, followed by the statistical association  
109 of molecular and phenotypic data has been a powerful approach for the development of  
110 biomarkers, guiding classification, stratification and therapy, particularly with regard to cancer  
111 patients <sup>1,2</sup>. With the increasing robustness, accuracy and throughput of molecular profiling  
112 techniques, the need for large, well-annotated sample cohorts has been accentuated over the last  
113 few years.

114 The history of FFPE samples dates back to 1893 <sup>3</sup>. Most human tissue specimens  
115 archived in hospitals for diagnostic purposes are FFPE blocks which have been shown to be  
116 stable over time and are usually associated with rich clinical and phenotypic data, including  
117 histology, diagnosis, treatment history and response, and outcome. For fresh or rapidly frozen  
118 tissue samples such meta data are less frequently available and concerns about molecular  
119 stability over time have been raised <sup>4,5</sup>. FFPE samples have been globally used for DNA, RNA,  
120 protein and morphological measurements, and preanalytical factors affecting each type of  
121 measurement have been identified <sup>6</sup>. Besides, various techniques and evaluation studies have  
122 been reported for genomic <sup>7,8</sup>, transcriptomic <sup>9,10</sup>, proteomic and protein <sup>11-14</sup> from FFPE samples.

123 The preparation of FFPE samples depends on the exposure of the tissue to a range of  
124 chemical reactions and conditions. During fixation, formaldehyde reacts with proteins or  
125 peptides to form unstable methylol adducts (specified by a C-O bond) which further partially  
126 dehydrate to yield active intermediate Schiff bases. These intermediate products subsequently  
127 react with basic and aromatic amino acids to form stable and irreversible methylene bridge cross-  
128 links (specified by a C-N bond) <sup>14,15</sup>, thus modifying the sample proteins. Protein analysis of  
129 FFPE tissues using antibodies started in 1991 with the development of the heat-induced antigen  
130 retrieval (HIAR) technique for immunohistochemistry (IHC) <sup>16</sup>. HIAR is based on the notion that  
131 heating may unmask epitopes by hydrolysis of methylene cross-links, thus enhancing  
132 immunoreactivity. Consequently, the measurement of specific proteins by HIAR has become  
133 widely used for diagnostic and prognostic biomarker testing, particularly in cancers <sup>17</sup>. To extend  
134 the analysis of proteins to a proteomic scale, a number of different methods have been used to  
135 retrieve proteins from FFPE samples for mass spectrometric analysis <sup>12,18-24</sup>. They include high  
136 pressure <sup>23</sup> or pressure cycling technology <sup>24</sup> and the available methods have been recently

137 reviewed<sup>13,14</sup>. These studies have shown that FFPE samples can, in principle, be analyzed by  
138 mass spectrometry based proteomic methods. However, the proteome maps of FFPE tissues and  
139 their analogue FF tissues from clinical cohorts and their respective stability over time have not  
140 been rigorously assessed. The concern remains that FFPE samples may harbor greater variation  
141 in protein quality than FF samples due to formalin-induced chemical modifications<sup>25</sup>.

142 Multiple factors might have contributed to these limitations. First, the generation of  
143 clinically meaningful results requires the consistent analysis of sizable sample cohorts. Second,  
144 reproducible sample preparation and mass spectrometric analysis that are essential for clinical  
145 studies have been difficult to achieve. Few if any published studies on FFPE proteomic analyses  
146 have ever attempted to repeat analysis on clinical specimens of a cohort due to the complexity  
147 and high cost of the adopted proteomics techniques<sup>26-28</sup>. Third, the ability to analyze a  
148 histological region of small FFPE samples remains challenging. Most published studies analyzed  
149 tissue micrometer sections with each tissue containing multiple histological types<sup>26,29,30</sup>. Laser  
150 capture microdissection has been used to analyze multiple regions of a tissue section; however,  
151 experimental complexities preclude application to large-scale analysis. Targeted needle punches  
152 from a FFPE tissue block represent a reasonable compromise; however, efficient extraction of  
153 proteins from such a small needle biopsy and further proteolytic digestion of the proteins into  
154 peptides for mass spectrometric analysis has not been reported yet. Finally, although methods are  
155 available to analyze proteins from human and animal FFPE samples<sup>12</sup>, concerns remain whether  
156 the thus extracted proteins reliably reflect their actual abundance pattern in the fresh frozen  
157 counterpart and ultimately, fresh samples<sup>25</sup>.

158 In this report we re-visited and optimized the acidic and alkaline hydrolysis procedures  
159 developed in 1947<sup>31</sup> which are compatible with a detergent-free protocol to recover proteins  
160 from small (0.5×0.5×3mm) FFPE tissue punches in a form that is directly compatible with in  
161 solution digestion within an hour. The thus treated tissue samples can be directly processed by  
162 the PCT method to generate mass spectrometry-ready peptide samples within a few hours<sup>32-35</sup>.  
163 We further investigated whether the thus acquired FFPE proteome map is comparable to its  
164 counterpart FF proteome map in prostate tissue samples by applying this workflow to identify  
165 promising diagnostic protein biomarkers for PCa patients. We found that the two types of  
166 patterns were highly similar and identified strongly overlapping sets of proteins that showed  
167 different levels of expression in benign and tumor tissue. Subsequently, the effect of factors such

168 as storage time and FFPE tissue forms to the proteome was further evaluated. There is no  
169 significant difference among FFPE proteome patterns with different storage time, while tissue  
170 sections were separated from punched tissue biopsies based on principal component analysis  
171 (PCA).

172 Further, a panel of 12 proteins showing great potential for PCa diagnosis was  
173 characterized in an independent Chinese prostate cohort and was validated in the Swiss cohort in  
174 this study as well as in other two recently reported PCa studies<sup>36,37</sup>. As a second application, the  
175 FFPE PCT-SWATH workflow was employed to identify prognostic biomarkers for DLBCL  
176 patients, employing the relevant archived FFPE tissues. MPO was identified as a promising  
177 novel prognostic candidate for DLBCL.

178 **Results**

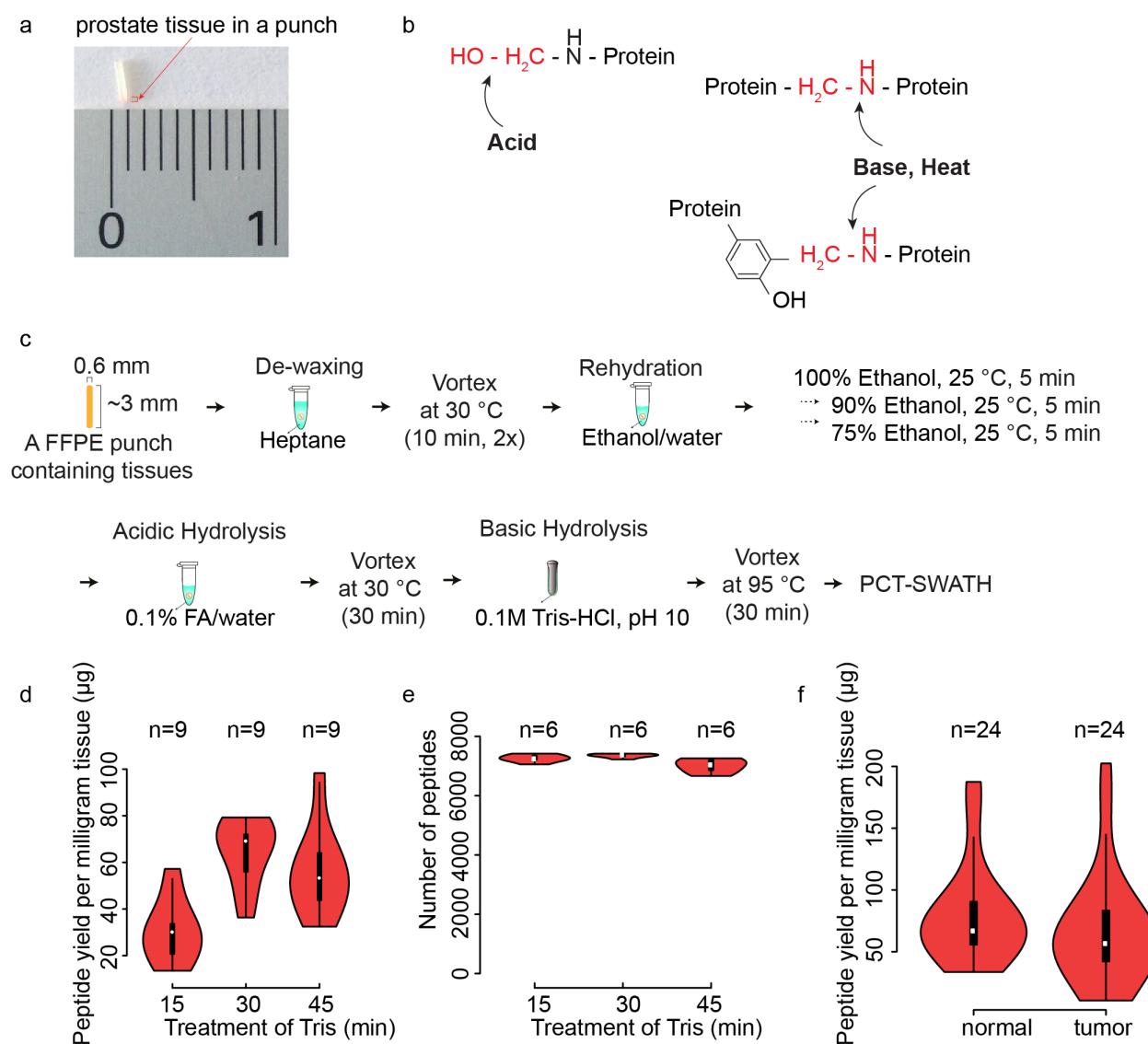
179 **Establishment of a FFPE PCT-SWATH workflow**

180 We integrated a workflow for the generation of proteome map from FFPE tissue samples  
181 in a robust and high-throughput manner. In addition, we showed that the proteome map derived  
182 from FFPE samples correlate well with corresponding maps generated from their analogous FF  
183 samples, and that the same biomarker panel can be identified from both sample types, even if the  
184 samples have been stored for 4-8 years in their respective format. The de-crosslinking of FFPE  
185 tissue is based on acidic and alkaline hydrolysis which was developed in 1947<sup>31,38</sup> but has not  
186 been reported in proteomics research applications yet. Here we integrated the classical de-  
187 crosslinking method with PCT-assisted protein extraction and digestion, and SWATH-MS<sup>32,39</sup>  
188 method to establish a detergent free FFPE PCT-SWATH workflow. To achieve the desired  
189 overall performance profile, protocols for the chemical extraction of proteins from FFPE tissue,  
190 liquid chromatography (LC), SWATH-MS, and data analysis were optimized and integrated.

191 ***Chemical extraction of proteins from FFPE tissue punches.*** A detergent-free and fast  
192 hydrolysis protocol for preparing MS-ready peptides from FFPE tissue punch samples  
193 mimicking needle biopsies (width < 1mm, length ~2-3mm; dry mass weight about 300~400 $\mu$ g)  
194 (**Fig. 1a**) was optimized. The method consists of i) an acidic hydrolysis step (0.1% formic acid)  
195 to achieve C-O hydrolysis of protein methylol products (**Fig. 1b**), ii) a step of heat and base  
196 induced hydrolysis to reverse the C-N methylene crosslinks (**Fig. 1b, 1c**) and iii) extraction and  
197 digestion of proteins from the thus pre-treated punches by PCT (**Fig. 1c**). The FFPE tissue  
198 biopsies used for the protocol establishment were from a sample pool of 48 replicate tissue  
199 biopsies extracted from a resected prostate of the ProCOC cohort<sup>40,41</sup>. We optimized the acidic  
200 and alkaline hydrolysis steps by varying the respective treatment times. Acidic hydrolysis was  
201 achieved concurrent with the complete rehydration of FFPE tissue punches by replacing water  
202 with 0.1% formic acid. Preliminary UV-spectroscopy results showed that the release of methylol  
203 groups began saturated in 30 min. As to the alkaline hydrolysis, the effects of the different tested  
204 conditions were evaluated by determining the peptide yield as well as the number and type of  
205 peptides and proteins identified from each sample by SWATH-MS (**Fig. 1d** and **Fig. 1e**). At this  
206 step, 30 min boiling of the FFPE punch with 0.1 M Tris-HCl (pH 10.0) at 95°C led to the highest  
207 peptide yield with the greatest number of identified peptides. As shown in **Fig. 1f**, we generated  
208 on average of about 60  $\mu$ g peptide mass per milligram FFPE tissue sample (dry mass with wax).

209 The yield was comparable to our previous investigations of fresh frozen tissues (wet tissue)  
210 32,33,42,43.

211 **Figure 1**



212

213 **Figure 1. FFPE PCT-SWATH protocol and performance.** (a) Prostate FFPE tissue in a punch. (b) Acid,  
214 base and heat treatment to reverse crosslinks. (c) Schematic protocol of FFPE PCT-SWATH. (d) Peptide yield  
215 per milligram FFPE tissue with different Tris-HCl (pH 10.0) boiling time. (e) Number of peptides identified by  
216 the peptides prepared with different Tris-HCl boiling time. (f) Yield of peptides from 48 prostate tissue  
217 samples.

218

219 **Optimization of LC and SWATH-MS.** We assessed the combined effects of LC gradient  
220 length (30 min, 45 min and 60 min) and SWATH window configuration (eight configurations,

221 ranging from 20 to 93 variable windows) on sample throughput, proteome depth and  
222 reproducibility. Each window scheme assessed was based on equal segmentation of precursor  
223 ion signals over the entire mass range. The peptides used for the optimization of LC and  
224 SWATH settings were randomly selected from 9 peptide samples obtained from FFPE tissues  
225 processed with the 30 min alkaline hydrolysis protocol described above (**Fig. 1e**). Altogether, we  
226 compared 24 LC-SWATH conditions in duplicate (**Supplementary Fig. 1a-1c**). The results  
227 showed that 48 variable SWATH windows achieved the highest number of peptide and protein  
228 identifications. We observed a trade-off between the gradient length and proteome coverage. The  
229 30 min LC gradient resulted in a 19% lower number of peptide identifications and 8% fewer  
230 protein identifications, compared to the 60 min LC gradient (**Supplementary Fig. 1b and**  
231 **Supplementary Fig. 1c**).  
232

### 233 Comparison of FFPE and FF tissue proteome maps

234 To investigate whether the obtained FFPE proteome maps were comparable to their FF  
235 counterparts, we performed proteomic analyses of corresponding FFPE and FF counterpart tissue  
236 samples of 24 PCa patients with radical prostatectomy from the ProCOC cohort <sup>40,41</sup>. Sections of  
237 tissue samples from the same resected prostates have been stored for four to eight years in the  
238 form of FFPE or FF, respectively, prior to proteomic analysis (**Fig. 2a, Supplementary Table 1**).  
239 Only the index tumor with the highest Gleason score and the largest diameter was selected for  
240 analysis. For non-tumorous tissue, benign prostatic tissue with minimal stromal component was  
241 chosen.

242 With respect to FFPE tissues, three replicate punches were processed via the FFPE PCT-  
243 SWATH workflow for each sample and combined for PCT-SWATH analysis. The size of each  
244 tissue biopsy was around  $0.6 \times 0.6 \times 3$  mm, weighing approximately 300 $\mu$ g including wax. In total,  
245 approximately 900 $\mu$ g of dry mass weight was available per FFPE sample. For the FF cohort, one  
246 tissue punch of approximately 1 mm<sup>3</sup> size and wet weight of about 800 $\mu$ g per sample was  
247 processed by PCT-SWATH. Altogether, 48 FFPE tissue samples (benign and tumor) were  
248 processed into peptide samples that were analyzed by SWATH-MS in technical duplicates. **Fig.**  
249 **1f** shows that the samples produced on average about 60 $\mu$ g injection-ready peptide mass per  
250 milligram of tissue sample. The yield is consistent with previous reports for FF tissues <sup>32,33,42</sup>.  
251 The CV values of peptide yield were 49% and 65% for benign and tumorous tissues respectively,

252 slightly higher than the corresponding figures reported previously for FF tissues<sup>33,42</sup>. The  
253 difference in peptide yield is likely caused by inaccurate estimation of FFPE tissue weight due to  
254 variable wax content and the heterogeneity of human prostate tissues.

255 The setting of 30 min LC integrated with 48 variable SWATH windows was adopted for  
256 protein measurement to compare FFPE and FF tissue proteome maps in this study. Two technical  
257 replicates for each tissue digest were analyzed using SWATH-MS, referred to in the following as  
258 PCF dataset. The resulting SWATH-MS data from all 96 FFPE and FF samples were compared  
259 by their total ion current and the number and type of peptides as well as proteins that could be  
260 identified and quantified.

261 We first compared the raw ion intensity signals over chromatographic time (total ion  
262 chromatogram, TIC) at both the MS1 and MS2 levels. We found that the TIC, normalized for  
263 total injected peptide mass, was on average 15% higher for FF than for FFPE samples  
264 (**Supplementary Fig. 2**). The observed small discrepancy of normalized MS1 intensity values is  
265 likely due to incomplete acidic and alkaline hydrolysis of cross-links, resulting in the generation  
266 of partially hydrolyzed methylene bridges, which contribute to the absorbance in the range from  
267 260-280nm on the spectroscopy. The modification by formalin could also lead to ion suppression.  
268 The root cause for lower specific TIC was not further investigated because the effect was minor  
269 and the contour of the TIC for FF and FFPE samples were very similar, suggesting that  
270 comparable peptide populations were generated from both sample types (**Supplementary Fig. 2**).

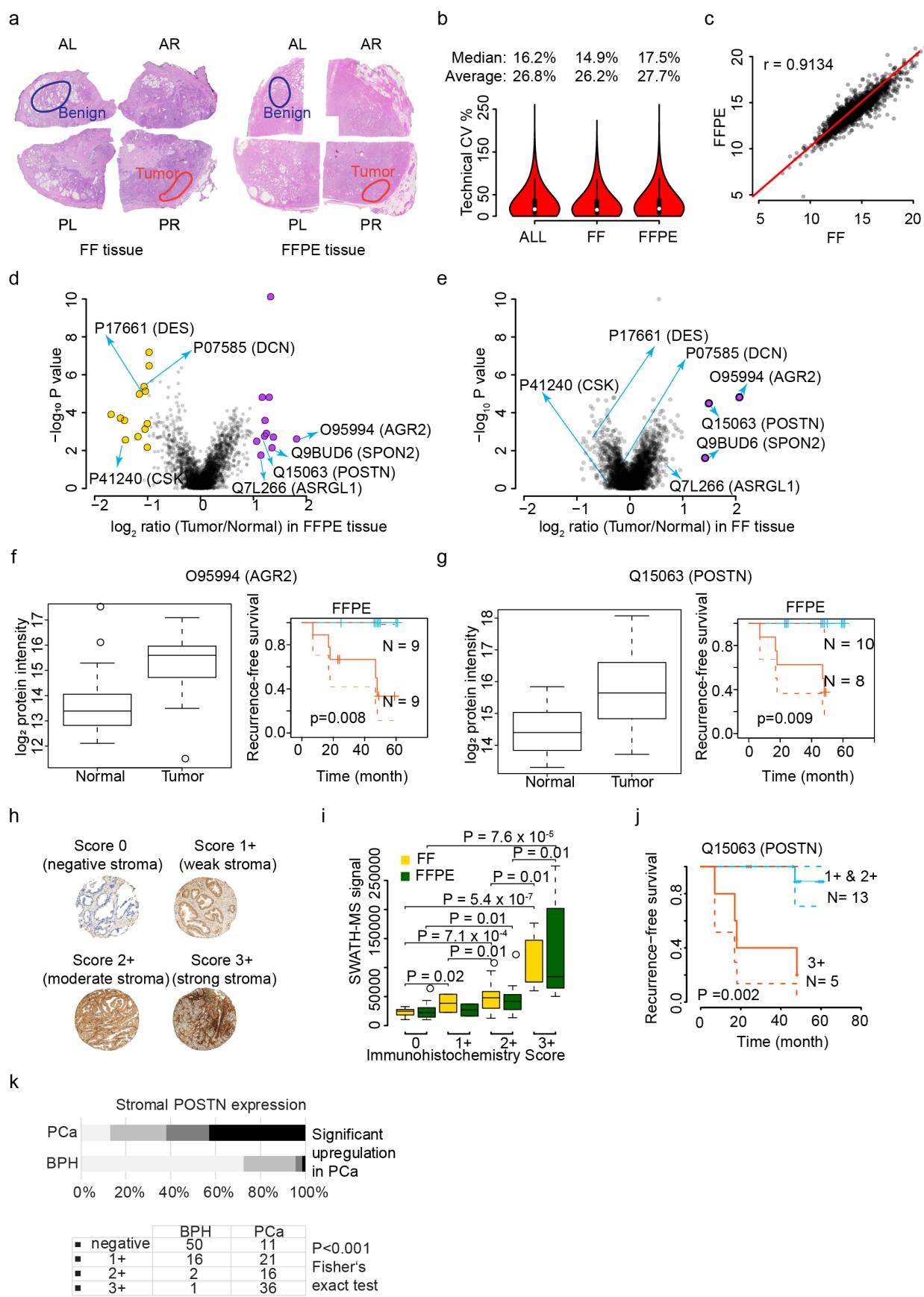
271 Next, we used the SWATH-MS fragment ion maps to compare the number and type of  
272 peptides and proteins that could be identified from FF and FFPE samples, and their respective  
273 quantities. We used the OpenSWATH<sup>44</sup> software tool and a spectral library built from prostate  
274 tissue, consisting of 70,981 peptide precursors from 6,686 SwissProt proteins, to search the  
275 acquired fragment ion maps. Altogether, we obtained quantitative data for 3,030 SwissProt  
276 proteins inferred from 18,129 proteotypic peptides. The median technical CV analyses were 14.9%  
277 and 17.5% for FF and FFPE samples, respectively. Overall median CV was 16.2% (**Fig. 2b**,  
278 **Supplementary Fig. 3**). We further compared the overall proteomic variation for different tissue  
279 types including benign and tumorous FFPE versus FF samples, and found no significant  
280 discrepancy (**Supplementary Fig. 4**). We then compared the peptide precursors and proteins  
281 detected in each paired FFPE and FF sample (**Supplementary Fig. 5**) and found that peptides as  
282 well as proteins were consistently quantified in both tissue types with relatively high Pearson

283 correlation. The overall correlation between FFPE and FF samples reached a Pearson correlation  
284 of 0.91 (**Fig. 2c**) with a median normalization of the data based on protein abundance. With  
285 unsupervised clustering, the proteome map from FFPE samples was mixed with FF samples  
286 (**Supplementary Fig. 6a**), further supporting the notion that the data generated from FFPE  
287 samples are comparable with those of FF samples. Curated MS signals by the viewer function of  
288 the DIA-expert software for a representative peptide which was quantified across all 224  
289 SWATH runs are shown in **Supplementary Fig. 6b**. We further compared the raw signals,  
290 quantity of peptide precursors and proteins in samples stored for different periods of time and  
291 observed no significant impact of storage time (**Supplementary Fig. 7**).

292 Overall the data show that a highly consistent and significant fraction of the whole  
293 proteome, consisting of 3,030 SwissProt proteins, could be reproducibly identified from  
294 equivalent FF and FFPE samples, even after 8 years storage. Furthermore, the quantitative  
295 information generated from matched FFPE and FF sample pairs were comparable.

296

297 **Figure 2**



299 **Figure 2. Comparison of FF and FFPE tissues in a patient cohort.** (a) Benign and tumorous samples were  
300 punched from prostate tissue stored since resection as FF and FFPE. The hematoxylin & eosin staining of FF  
301 and FFPE tissue from Patient No. 2 in the ProCOC cohort is shown here. AL, anterior left; AR, anterior right,  
302 PL, posterior left; PR, posterior right. (b) Overall technical CV of FFPE and FF samples at peptide level. (c)  
303 Comparison of median protein abundance in FF (x-axis) versus FFPE (y-axis) samples. Each dot denotes one  
304 protein identified in this sample cohort. Volcano plots show proteins with significant abundance difference  
305 between tumor and benign tissue in FFPE (d) and FF (e) samples from the PCF data set. Proteins showing an  
306 abundance difference of fold change (FC)  $\geq 2$  and with P value  $\leq 0.05$  between groups were considered  
307 significant. Boxplots and Kaplan-Meier plots show expression of AGR2 (f) and POSTN (g) in benign and  
308 tumorous FF and FFPE samples. (h) TMAs of FFPE samples matching those analyzed by mass spectrometry  
309 were constructed and stained with an antibody against POSTN. The intensity of stromal POSTN  
310 immunoreactivity was scored semi-quantitatively by assigning four scores (0, 1+, 2+, 3+) to each sample.  
311 Graphs depict examples of stromal staining. Diameter of each tissue core was 0.6mm. (i) Comparison of  
312 POSTN expression as measured by immunohistochemistry, and the results from PCT-SWATH in FF and  
313 FFPE samples. Statistically significant differences between groups were calculated using two-sided Student *t*  
314 test. (j) Kaplan-Meier biochemical recurrence-free survival plots of prostatectomy patients stratified by  
315 stromal POSTN immunoreactivity in PCa. (k) POSTN staining of a TMA.

316

317 **Systematic evaluation of the effect of FFPE tissue storage format and duration on**  
318 **proteome maps**

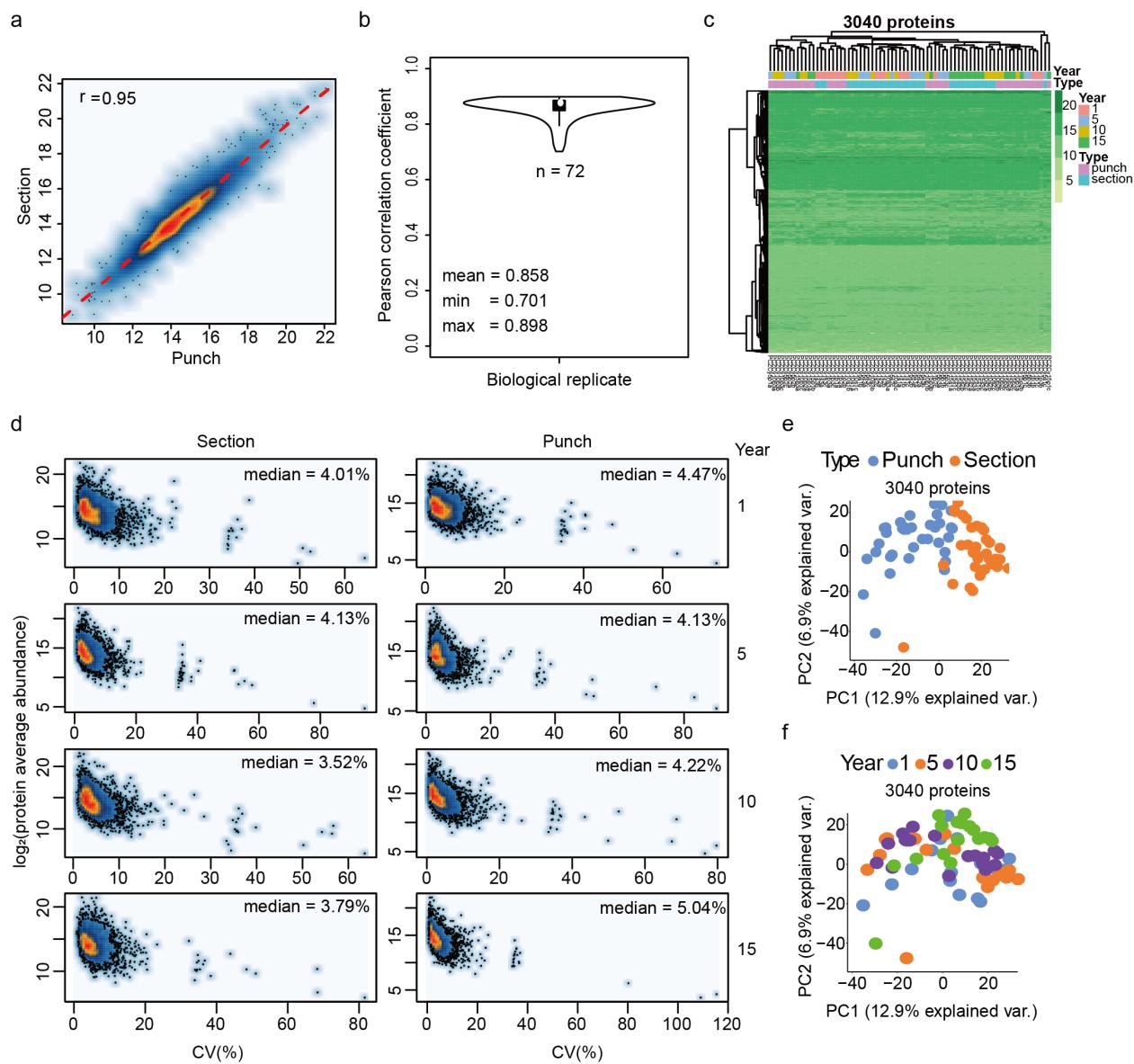
319 We next evaluated the robustness of proteome maps obtained from FFPE tissue stored in  
320 different formats and for different periods of time. We procured FFPE tissue samples from three  
321 BPH patients from China (termed as 'PCZC' cohort). For each patient, we collected both tissue  
322 sections (5  $\mu$ m thickness) and punched tissue biopsies (1 $\times$ 1 $\times$ 0.5 mm). For each sample format  
323 we analyzed three biological replicates. The samples had been archived for different periods of  
324 time, specifically for 1 year, 5 years, 10 years and 15 years, respectively. Altogether, 72 tissue  
325 samples were processed, and 72 SWATH files were acquired with a 90 min LC gradient in a  
326 TripleTOF 5600+ mass spectrometer coupled to an Eksigent micro-flow system.

327 We reproducibly quantified 3,040 SwissProt proteins in both tissue punches and sections  
328 in this data set. By comparing the protein abundance distribution of these common proteins, we  
329 found that the proteome maps of the two FFPE formats showed a high degree of similarity, with  
330 a Pearson correlation coefficient of 0.95 (Fig. 3a). The mean Pearson correlation coefficient of  
331 all 72 samples among their own biological replicates was 0.858, showing that the samples were

332 of high similarity at the whole proteome level (**Fig. 3b**). Unsupervised cluster analysis of all  
333 3,040 proteins also showed consistent distribution of protein abundance among all 72 samples  
334 (**Fig. 3c**). We further grouped the 72 samples into eight groups according to sample format and  
335 storage time, and investigated the biological variation of nine samples (three patients, each with  
336 three biological replicates) in each group. The average CV slightly varied between tissue  
337 micrometer sections and punches across the time span of 15 years (**Fig. 3d**). Further, tissue  
338 micrometer sections were found to be different from punches (**Fig. 3e**), probably due to that fact  
339 that tissue micrometer sections cover more diverse tissue regions and therefore contain higher  
340 degree of the spatial heterogeneity <sup>43</sup>. However, these differences only affected a small portion of  
341 proteins. The duration of FFPE storage did not impact on our proteomic measurement, further  
342 reinforcing the stability of FFPE proteome and the robustness of our protocol (**Fig. 3f**).

343

344 **Figure 3**



345  
346

347 **Figure 3. Evaluation of FFPE tissue storage forms and duration.** (a) Pearson correlation of protein  
348 abundance between FFPE micrometer sections and punches. (b) Average Pearson correlation coefficient of all  
349 72 samples among three biological replicates. The ‘pairwise.complete.obs’ method was employed to calculate  
350 the COR value to avoid the influence of NA. (c) The protein abundance distribution of all 3,040 SwissProt  
351 proteins across all 72 samples with different tissue types and storage time. (d) CV plots for each sample type  
352 (section/punch) with different storage time (1 yr, 5 yrs, 10 yrs and 15 yrs). (e) PCA analysis of the effect of  
353 tissue types. (f) PCA analysis of the effect of storage time.

354

355 **Identification of a subset of proteins with comparable abundance patterns in prostate**  
356 **FFPE and FF punches**

357        Next, we asked whether proteins distinguishing benign and tumorous prostate tissue  
358        could be consistently detected in both FFPE and FF samples. We observed differential  
359        expression of multiple proteins between benign and tumorous tissues in both FFPE and FF  
360        samples in the PCF cohort.

361        We first determined proteins that were significantly differentially regulated between the  
362        FF tumor and benign samples. We computed the median fold-change of tumor-to-benign tissue  
363        samples and the P values for each protein in the 24 patients for FF tissue samples. By setting a  
364        fold-change (FC) cutoff of 2 and a P value cutoff at 0.05, only three proteins were significantly  
365        up-regulated in tumor compared to benign tissue. These were Q15063 (POSTN), O95994 (AGR2)  
366        and Q9BUD6 (SPON2). Remarkably, these three proteins are all promising biomarker  
367        candidates. POSTN is an extracellular matrix protein involved in cell development and adhesion.  
368        We have previously reported its upregulation in high grade and advanced stage PCa patients<sup>45</sup>,  
369        which is consistent with an independent report of its positive prognostic value in PCa<sup>46</sup>, and with  
370        a study of its positive correlation with the aggressiveness of PCa<sup>47</sup>. AGR2 is a secreted  
371        adenocarcinoma-associated antigen. The mRNA level of AGR2 was found higher in cancerous  
372        tissue in 42 paired PCa samples, but it was not associated with survival in the cohort<sup>48</sup>. In  
373        addition, the protein expression level of AGR2 was found increased in cancerous tissue in 31 out  
374        of 58 PCa cases by IHC immunolabeling<sup>48</sup>, a result that was consistent with two independent  
375        cohorts<sup>49</sup>. In this study, the expression of AGR2 was found to be about four times higher in  
376        tumor compared to benign tissue and, remarkably, it's abundance level positively correlated with  
377        survival (P = 0.008). SPON2 is a secreted extracellular matrix protein. In a previous study, it was  
378        detected as an abundant protein in serum samples of 286 PCa patients compared to 68 healthy  
379        controls<sup>50</sup>. In particular, it was found with significantly higher expression levels in PCa patients  
380        with a Gleason score of 7-8 and in PCa patients with metastases<sup>50,51</sup>. In our FF data set, SPON2  
381        was found to be expressed 2-4 times higher in malignant compared to corresponding benign  
382        tissue samples.

383        We then analyzed the SWATH data acquired for FFPE samples in the same way and  
384        found 24 proteins with significantly different abundance between tumor and benign groups. The  
385        results from the FFPE cohort recapitulated the pattern of the three proteins with increased tumor  
386        abundance identified in the FF cohort. The consistency of the detected changes for these  
387        proteins is remarkable given the intra-tumor heterogeneity, expected differences between the

388 FFPE and FF proteomes and the fact that the FFPE and FF samples were from different regions  
389 of the tumors. In addition to these three proteins, a further eight proteins were detected at  
390 increased abundance in FFPE tumor compared to benign tissue and thirteen proteins were  
391 detected at lower abundance in the tumor vs. benign samples (**Fig. 1d**).

392 To verify whether the findings from our SWATH data set of ProCOC <sup>40</sup> patients are  
393 consistent with IHC reports, we analyzed a tissue microarray from 18 patients which were also  
394 part of the cohort analyzed by PCT-SWATH. Representative staining images of POSTN are  
395 shown in **Fig. 2h**. We scored the staining patterns into four grades (0, 1+, 2+, and 3+), and  
396 compared the results with the SWATH signals of the corresponding FF and FFPE samples. We  
397 analyzed the statistical significance by pair-wise comparison of groups using Students' *t*-test, and  
398 single factor ANOVA (**Fig. 2i, Supplementary Table 2**). We did not observe any significant  
399 difference between the data from FF samples and from FFPE at the level of the mass  
400 spectrometry data. Remarkably, the ANOVA analyses revealed significant correlation between  
401 IHC and both FF (P value  $3.3 \times 10^{-6}$ ) and FFPE (P value  $6.7 \times 10^{-5}$ ) SWATH data. Taken together,  
402 via the IHC results an orthogonal technique confirmed the similarity of POSTN abundance  
403 patterns of POSTN detected in FFPE and FF samples by mass spectrometry. Nevertheless, the  
404 difference among the IHC groups 0, 1+ and 2+ appeared mostly insignificant at the SWATH  
405 level. The prognostic role of POSTN was further confirmed in the survival analysis based on the  
406 TMA data (**Fig. 2j**). In an independent Swiss TMA cohort, we also observed significantly higher  
407 abundance of POSTN (P value < 0.001 by Fisher's exact test, **Fig. 2k**) in tumor vs. benign tissue.

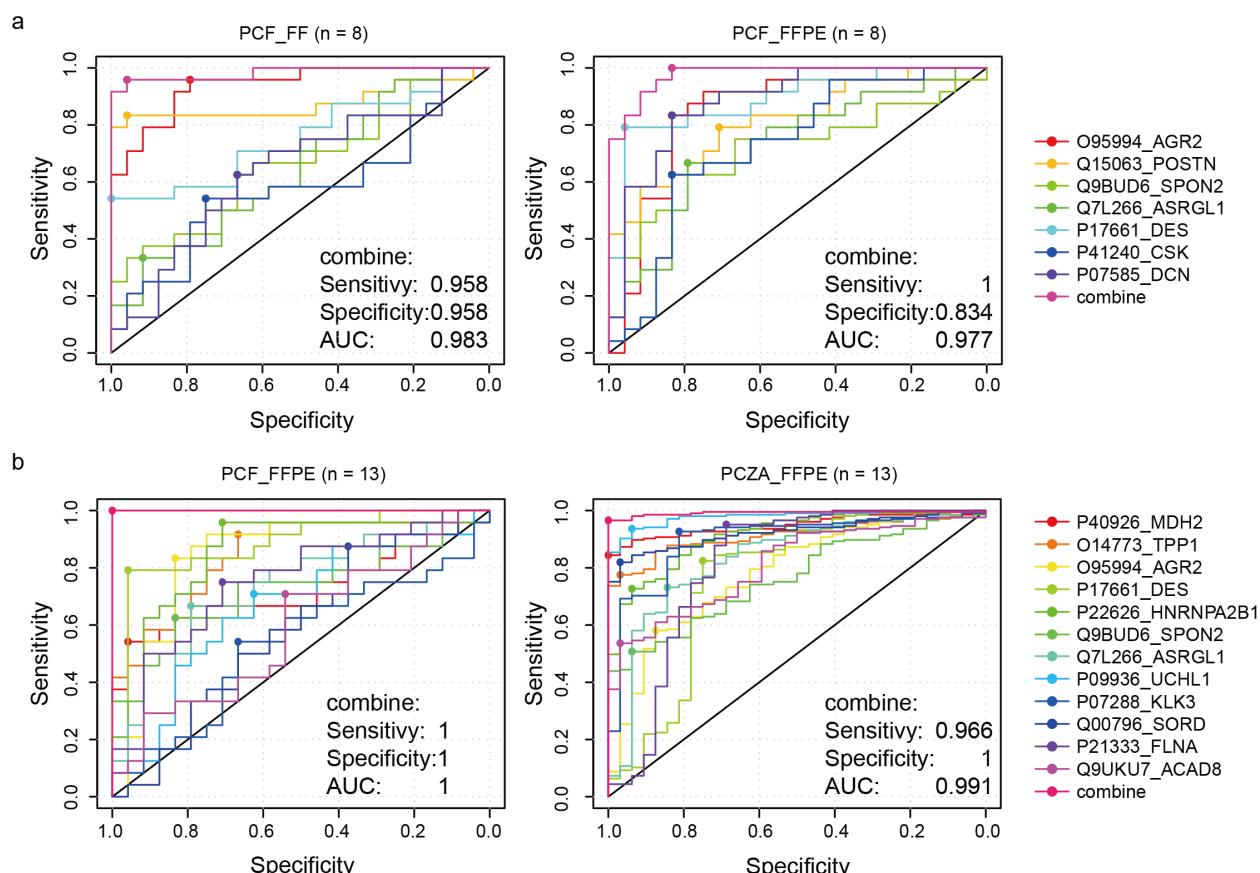
408 We then checked the functions and applications of the 24 proteins significantly regulated  
409 proteins in the FFPE sub-cohort based on literature mining. Here we discussed some of them  
410 which had been studied and reported extensively. Q7L266 (ASRGL1) was found to be  
411 significantly upregulated in FFPE tumor samples, whereas the quantitative difference in FF  
412 samples was not significant. The full name of ASRGL1 is isoaspartyl peptidase/l-asparaginase  
413 protein, which is an enzyme involved in the production of l-aspartate. ASRGL1 was over-  
414 expressed in PCa and regarded as the potential diagnostic and therapeutic target <sup>52</sup>. Among the  
415 13 down-regulated proteins identified in FFPE cohort, desmin (DES, P17661) is a known marker  
416 protein for prostate smooth muscle <sup>53</sup>. The decreased abundance of DES in tumor tissue is  
417 consistent with the replacement of smooth muscle tissue by malignant cells. We also found that  
418 c-Src tyrosine kinase (CSK, P41240), a regulator of SRC kinase <sup>54</sup>, was found to be down-

419 regulated in tumor tissue. With respect to decorin (DCN, P07585), a proteoglycan in the tumor  
420 microenvironment, our data for the first time report its down-regulation in association with PCa  
421 prognosis. This observation is in line with a previous mouse-based functional study reporting  
422 that DCN specifically inhibits EGFR and AR phosphorylation, leading to suppressed AR nuclear  
423 translocation and inhibition of PSA production <sup>55</sup>. While most protein changes were detected in  
424 both tissue types, the FFPE samples exposed the protein regulation with better statistical power  
425 (**Fig. 2**). POSTN was detected to be significantly upregulated in both FF and FFPE tumor  
426 samples in this cohort. CSK and DCN were only significant in the FFPE cohort, indicating the  
427 FFPE proteomes analyzed by our method are more robust.

428 Furthermore, by integrating the seven proteins (POSTN, AGR2, SPON2, ASRGL1, DES,  
429 CSK, and DCN) discussed above, we achieved an AUC of 0.983 for FF samples and 0.977 for  
430 FFPE samples, respectively (**Fig. 4a**) for the separation of tumor and benign tissue. Our data  
431 again demonstrated the consistency of FFPE and FF proteome maps acquired by the PCT-  
432 SWATH workflow and the ability to identify differentially abundant proteins from either sample  
433 type. Further, the data shows that the observed abundance differences were attenuated in FF  
434 samples compared to their FFPE counterparts. This could be due to gradual protein degradation  
435 during long-term storage in the frozen state.

436

437 **Figure 4**

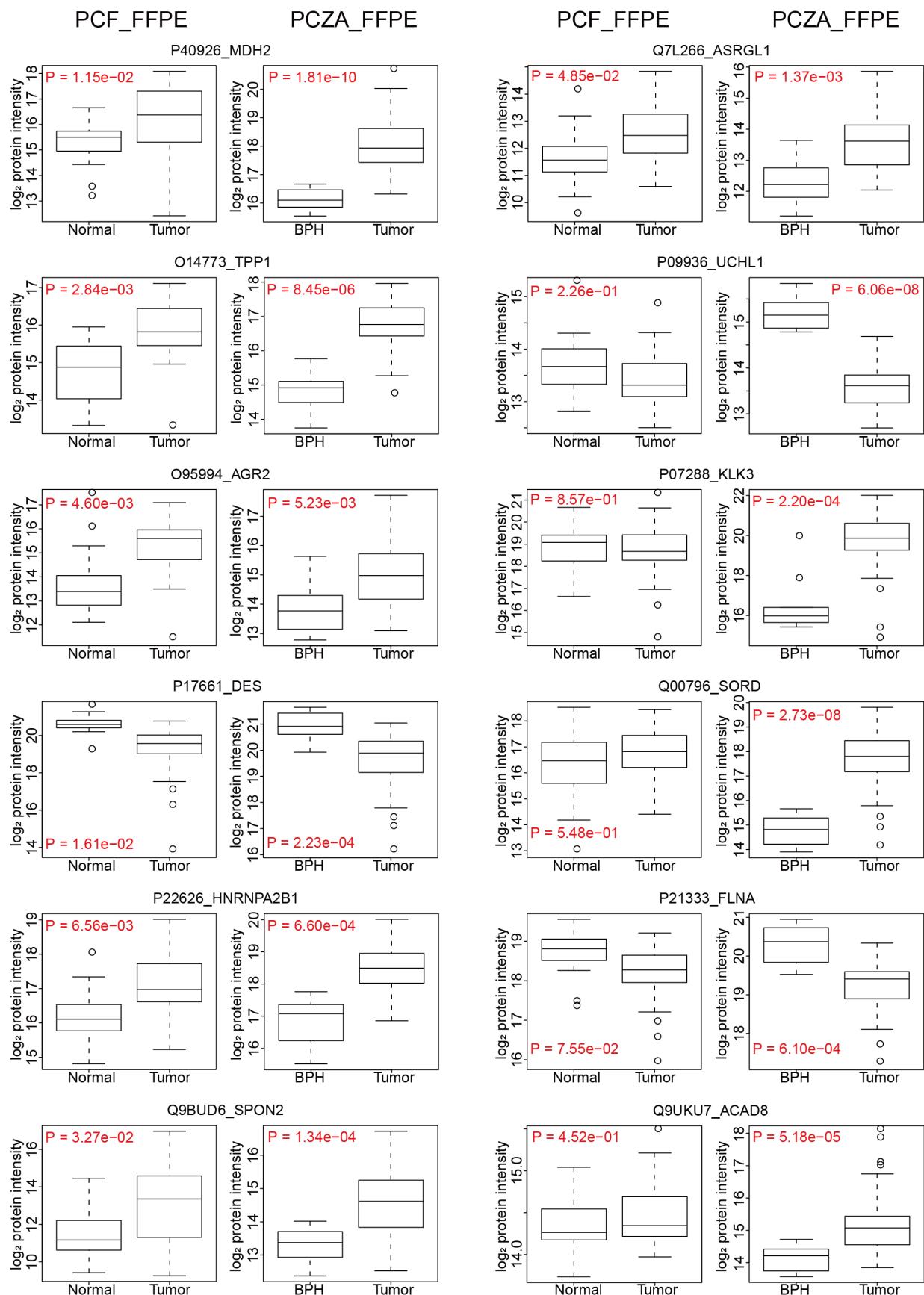


455 **(Supplementary Table 3, Supplementary Fig. 8).** We performed ingenuity pathway analysis  
456 (IPA)<sup>56</sup> of these significantly regulated proteins between PCa and BPH groups and found that  
457 five top upstream regulator pathways were enriched from these proteins (**Supplementary Table**  
458 **4**). MYCN, MYC, TCR regulator pathways were activated while sirolimus and 5-fluorouracil  
459 regulator pathways were inhibited (**Supplementary Table 4**). 16 cellular networks were  
460 enriched from these proteins via IPA analysis (**Supplementary Table 4**).

461 PCZA and PCF datasets shared seven common regulated proteins in prostate tumor  
462 tissues, which are O14773 (TPP1), O95994 (AGR2), P22626 (HNRNPA2B1), P40926 (MDH2),  
463 Q9BUD6 (SPON2), P17661 (DES), Q7L266 (ASRGL1), as shown in **Fig. 4b**. Besides, other  
464 significantly regulated proteins, including P07288 (KLK3), Q00796 (SORD), P21333 (FLNA),  
465 P09936 (UCHL1), and Q9UKU7 (ACAD8), were also identified to show diverse functions by  
466 IPA analysis (**Fig. 4b, Supplementary Table 4**). These proteins were enriched in nine networks  
467 by IPA analysis, as shown in **Supplementary Fig. 9**. The relative abundance of these proteins in  
468 both cohorts was calculated and the regulation pattern of them in two cohorts was consistent with  
469 each other, as was shown in **Fig. 5**. The regulation of these proteins between tumor and benign  
470 tissues was much more significant in PCZA cohort as was demonstrated by P values. PCZA  
471 consists two groups of 58 PCa patients and 10 BPH patients, while PCF contains the  
472 tumor/benign pair of tissue from 24 PCa patients. 3,030 proteins were quantified from PCF  
473 cohort by the 30 min LC plus 48-variable-window scheme from 224 Swath files in Zurich, while  
474 4,144 proteins were quantified in PCZA cohort by the 120 min LC plus 48-variable-window  
475 scheme from 237 Swath files in Hangzhou, both using AB Sciex TripleTOF 5600+. The two  
476 cohorts shared 2846 proteins in common, accounting for 93.9% of the PCF whole proteome.  
477 Then we calculated the Pearson correlation of PCF and PCZA FFPE tumor proteomes with the r  
478 value 0.514, reflecting the existence of certain degree of biological variations between the Swiss  
479 and Chinese cohorts (**Supplementary Fig. 8**). By loosening the threshold for significantly  
480 regulated proteins in PCF cohort, more proteins would be distinguished out to be deregulated  
481 between tumor and benign conditions, as was shown in **Fig. 5**.

482

483 **Figure 5**



485 **Figure 5. Relative abundance of the twelve proteins in paired normal and tumor prostate samples in**  
486 **PCF cohort and BPH/tumor samples in PCZA cohort, respectively.** FLNA, UCHL1, and DES were  
487 downregulated in tumor tissues, while others were upregulated.

488

489       KLK3 is the prostate-specific antigen (PSA), a serum marker for PCa. Sorbitol  
490 dehydrogenase (SORD) converts sorbitol to fructose. SORD is part of the polyol pathway that  
491 plays an important role in sperm motility. SORD is regulated by androgens in the human prostate,  
492 and reported to be positively associated with Gleason scoring and serum PSA concentrations<sup>57</sup>.  
493 Our data show that both KLK3 and SORD were significantly overexpressed in PCa tissues. Both  
494 Filamin-A(FLNA) and -B (FLNB) were proposed as protein panel signatures for diagnosis of  
495 PCa<sup>58,59</sup>. FLNA was found to be downregulated in PCa tissues. UCHL1 is a ubiquitin-protein  
496 hydrolase involved in the processing of ubiquitin precursors. Our data show significant  
497 suppression of UCHL1 in tumor tissues, in agreement with a previous report, further  
498 consolidating its value in PCa biology<sup>60</sup>.

499       Tripeptidyl-peptidase 1 (TPP1) was found to be upregulated. TPP1 is a primary protector  
500 of telomere DNA and has been reported to be an effective anticancer target for about 90% of  
501 human tumors that are telomerase-positive<sup>61</sup>. Heterogeneous nuclear ribonucleoproteins  
502 (HNRNPs) associate with nascent pre-mRNAs, and package them into HNRNP particles in a  
503 sequence-dependent way. HNRNP particles serve to condense and stabilize the transcripts and  
504 minimize tangling and knotting. The splicing factor HNRNPA1 has been reported to contribute  
505 to enzalutamide resistance by promoting AR-V7<sup>62</sup>. In this study, HNRNPA2B1 was found to be  
506 a novel upregulated protein probably modulating splicing in PCa cells. Malate dehydrogenase 2  
507 (MDH2) was also up-regulated in prostate tumor tissues in both PCF and PCZA cohort in this  
508 study. MDH2 is a mitochondrial enzyme that catalyzes the NAD/NADH-dependent, reversible  
509 oxidation of malate to oxaloacetate. Interestingly, a very recent report on integrative proteomics  
510 in PCa uncovers two metabolic shifts in the citric acid cycle (TCA cycle) during PCa  
511 development and progression, among which MDH2 is a component. Increased MDH2  
512 expression in PCa correlated with an increase in mRNA levels, and it is further upregulated in  
513 CRPC samples<sup>36</sup>. Together, these data suggest that development of MDH2 inhibition could be of  
514 great benefit against progressed PCa. Besides, ACAD8, the acyl-CoA dehydrogenase family  
515 member 8, was detected to be upregulated in tumor tissues in this study. It has been reported to  
516 be a potential prognosis biomarker indicating the outcome of prostate tumors<sup>63</sup>.

517 We further applied the 12-protein panel to both the Swiss and Chinese PCa cohorts, to  
518 evaluate the sensitivity and specificity in diagnosis of PCa. These proteins and their ROC curves  
519 using the PCF and PCZA FFPE data sets are shown in **Fig. 4b**. They exhibited high AUC values.  
520 Integrative models demonstrated AUC values of 1 in the FFPE samples of the PCF cohort. In the  
521 independent PCZA cohort, the AUC reached 0.991. An independent FFPE cohort from a  
522 different country therefore confirmed the diagnostic significance of these novel proteins in PCa.  
523 Taken together, these findings demonstrate that our proteomic methodology is robust and has the  
524 capacity to uncover new diagnostic protein biomarkers for PCa.

525 Subsequently we identified differentially expressed proteins distinguishing patient groups  
526 classified by Gleason scores. In this study, 24 PCa patients from the PCF cohort and 58 PCa  
527 patients from PCZA cohort were classified into three groups according to their tumor grades as  
528 reflected by Gleason, namely, low (L), intermediate (M), and high stage (H) (**Supplementary**  
529 **Table 5**). ANOVA analysis was employed to compare proteomes among three stages to identify  
530 protein candidates that distinguish different stages of cancer progression (P value < 0.05). 216  
531 proteins and 373 proteins were detected significantly regulated in the PCF cohort and the PCZA  
532 cohort, respectively (**Supplementary Table 5**), with 23 proteins overlapping. PCA analysis  
533 (**Supplementary Fig. 10**) demonstrated clear separation of L and H grades, however, it was  
534 challenging to distinguish M from L and H grades, consistent with the pathological nature of the  
535 samples, indicating that proteome acquired by our method well preserved the granularity of the  
536 FFPE tissue samples.

537

### 538 **Prognostic markers for diffuse large B-cell lymphoma (DLBCL)**

539 Having established that the PCT-SWATH method was applicable to analyze prostate  
540 FFPE samples and to consistently distinguish malignant and benign samples in two independent  
541 sample cohorts, we next asked whether the method could stratify other types of tumors based on  
542 overall survival. We procured 41 patients with DLBCL (in the following termed as ‘WLYM’  
543 cohort) from the University Hospital Zurich to investigate prognostic markers. DLBCL is a  
544 disease with relatively poor prognosis and includes different subtypes, *i.e.* lymphomas residing  
545 exclusively in the brain, known as primary central nervous system lymphomas (PCNSL) and  
546 extracerebral DLBCL (eDLBCL). Another distinct entity, intravascular lymphoma (IVL), is a  
547 rare type confined to the lumina of blood vessels (there is only one IVL patient in WLYM cohort,

548 **Supplementary Fig. 11**). About 70% cases of eDLBCL are curable, however, the median  
549 survival of patients with PCNSL is only about 30 months in contemporary clinical trials<sup>64</sup>.

550 To identify prognostic proteins for DLBCL, two to three FFPE punches were analyzed  
551 for each of the 41 DLBCL tumors (**Supplementary Table 6, Supplementary Fig. 11, 12**).  
552 Altogether, we acquired 113 SWATH maps using a 60 min LC gradient, and a TripleTOF 6600  
553 mass spectrometer. We quantified 5,769 SwissProt proteins in all samples (**Supplementary**  
554 **Table 6**). The technical reproducibility for a representative sample is shown in **Supplementary**  
555 **Fig. 11d**. 91 proteins were detected to be significantly up-regulated and 6 proteins were detected  
556 to be down-regulated in the PCNSL tumors compared to eDLBCL tumors (**Supplementary Fig.**  
557 **11e, Supplementary Table 6**). Of these, 20 proteins were suspected to be contaminants from  
558 brain tissue based on their brain tissue expression annotation in the DAVID database and the  
559 human protein atlas (**Supplementary Table 6**)<sup>65</sup>. 17 proteins were further selected from the  
560 remaining 77 proteins according to their applications in biomarker and drug target studies as  
561 revealed by IPA analysis<sup>56</sup> (**Supplementary Table 6**). Their relative abundance of these  
562 proteins in both eDLBCL and PCNSL groups is shown in **Supplementary Fig. 13**.

563 ROC analyses based on these seventeen proteins in both eDLBCL and PCNSL patient  
564 samples from WLYM cohort were performed. Two proteins including glial fibrillary acidic  
565 protein (P14136, GFAP) and zeta chain of T cell receptor associated protein kinase 70 (P43403,  
566 ZAP70) exhibited high AUC values (**Fig. 6a**) to differentiate eDLBCL and PCNSL subtypes of  
567 DLBCL. GFAP is a class-III intermediate filament and a cell-specific marker that distinguishes  
568 astrocytes from other glial cells during the development of the central nervous system. We found  
569 that GFAP is a novel upregulated marker in PCNSL. ZAP70 is a tyrosine kinase that is essential  
570 for initiation of T cell antigen receptor signaling. ZAP70 deficiency is associated with  
571 Immunodeficiency 48 that is a form of severe immunodeficiency characterized by a selective  
572 absence of CD8+ T-cells<sup>66</sup>. Here we found that ZAP70 was upregulated in the eDLBCL subtype  
573 compared with PCNSL, indicating the role of ZAP70 in immunological processes during the  
574 progress of the disease.

575 To further investigate the prognostic value of the proteins identified above, we procured a  
576 second cohort of 52 eDLBCL patients from China (in the following termed as ‘ZLYM’ cohort),  
577 and performed FFPE PCT-SWATH analysis using a TripleTOF 5600+ coupled to an Eksigent  
578 micro-flow LC system (**Supplementary Table 7**). Two biological replicates were analyzed for

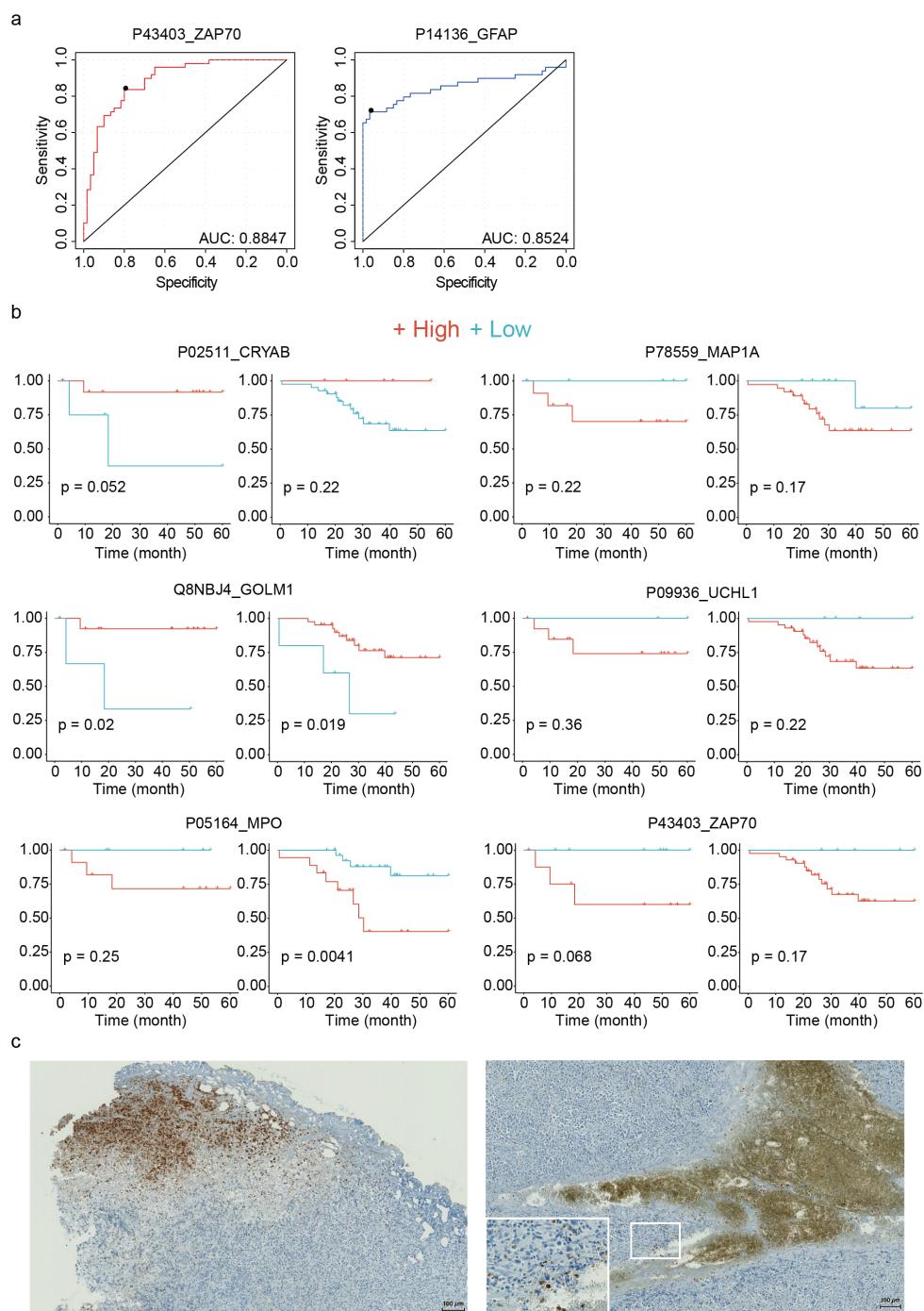
579 each patient. Here we quantified 6,266 proteotypic SwissProt proteins in 52 micro-sectioned  
580 tissue samples from these DLBCL patients in technical duplicate. 16 out of 17 proteins identified  
581 in the WLYM cohort described above were also identified in the ZLYM cohort. Survival  
582 analysis of the 16 proteins in both groups of eDLBCL patients (WLYM and ZLYM) was further  
583 performed through Kaplan-Meier plot. The result showed that besides ZAP70, five additional  
584 proteins namely crystallin alpha B (P02511, CRYAB), Golgi membrane protein 1 (Q8NBJ4,  
585 GOLM1), myeloperoxidase (P05164, MPO), microtubule associated protein 1A (P78559,  
586 MAP1A) and ubiquitin C-terminal hydrolase L1 (P09936, UCHL1), were found to show  
587 consistent trend in predicting the survival outcome in both WLYM and ZLYM eDLBCL patient  
588 cohorts, although the P values in most cases are not very significant due to the small size of the  
589 cohorts that were available for this rare disease (**Fig. 6b**). CRYAB has the function of preventing  
590 aggregation of various proteins under a wide range of stress conditions. GOLM1 is highly  
591 expressed in colon, prostate, trachea and stomach. Our study identified them as novel biomarkers  
592 for eDLBCL patients.

593 MPO is a lysosomal protein known as expressed in azurophilic granules (primary  
594 lysosomes) of normal myelomonocytic cells which is released into the extracellular space during  
595 degranulation. MPO functions as part of the host defense system of polymorphonuclear  
596 leukocytes. It is responsible for microbial activity against a wide range of organisms. MPO  
597 has been reported to be related to myeloperoxidase deficiency (MPOD) that is characterized by  
598 decreased myeloperoxidase activity in neutrophils and monocytes that results in disseminated  
599 candidiasis <sup>67</sup>. MAP1 is a structural protein involved in the filamentous cross-bridging between  
600 microtubules and other skeletal elements. MAP1A/B are neuron specific microtubules <sup>68</sup>.  
601 MAP1S has been reported to interact with mitochondrion-associated leucine-rich PPR-motif  
602 containing protein (LRPPRC) that interacts with the mitophagy initiator and Parkinson disease-  
603 related protein Parkin <sup>69</sup>. UCHL1 gene mutations are involved in Parkinson disease 5 (PARK5)  
604 that is characterized by a complex neurodegenerative disorder with manifestations ranging from  
605 typical Parkinson disease to dementia with Lewy bodies <sup>70</sup>. As discussed above, UCHL1 is also a  
606 tumor suppressor in a broad range of cancers including PCa. eDLBCL patients with lower  
607 expression level of MPO, MAP1, UCHL1 and ZAP70 were found to have higher survival rate in  
608 this study.

609           Higher expression of MPO in eDLBCL patients was associated with worse survival, as  
610           was shown in Kaplan-Meier plot (**Fig. 6b**). IHC staining of MPO in DLBCL tumors from two  
611           patients in WLYM cohort confirmed the presence of MPO-positive regions (**Fig. 6c**). Detection  
612           of increased abundance of MPO in eDLBCL group compared to the PCNSL group might  
613           indicate the presence of coagulative necrosis with penetration of MPO<sup>+</sup> granulocytes in the  
614           aggressive subset of DLBCLs<sup>71</sup>. Taken together, the data suggest that MPO is a robust  
615           prognostic marker for DLBCL patients. This also supports the robustness of this proteomic  
616           methodology, even if independent sample cohorts are studied in different laboratories and  
617           instruments. The data from punches from the WLYM cohort matched well with the sectioned  
618           samples from the ZLYM cohort.

619

620           **Figure 6**



628        Most archived tissues in pathology collections exist as FFPE samples, representing a rich  
629 resource for clinical research. Over the past decade, MS-based shotgun proteomics has been used  
630 to analyze proteins from FFPE samples <sup>12-14,18-24,72</sup>. However, the concern remains that FFPE  
631 samples may harbor greater variation in protein quality than FF samples due to formalin-induced  
632 chemical modifications <sup>25</sup>. Ostasiewicz *et al.* performed a comparison of FFPE and FF mouse  
633 liver tissues and found similar protein pattern<sup>12</sup>. However, this was not confirmed in human  
634 tissues. Recently, Piehowski, *et al.* analyzed 60 FFPE ovarian cancer samples with the storage  
635 from 7 to 32 years using TMT 10-plex isobaric labelling method coupled with shotgun  
636 proteomics approach and reported no significant proteome expression difference in terms of age  
637 and storage time <sup>30</sup>. This is an informative study investigating the clinical value of FFPE samples,  
638 however, the practicality, robustness and reproducibility of FFPE proteomics, in terms of sample  
639 preparation and LC-MS analyses, has not been rigorously established. Procurement of a suitable  
640 cohort sample for rigorous comparison of FFPE and FF samples is critical for validating the  
641 practicality.

642        In this study, based on the ProCOC cohort <sup>40</sup> which allowed access to prostate tissue  
643 samples from adjacent sections of the same resected tissue was stored in both FFPE and FF  
644 format with the storage over 4 to 8 years, we performed rigorous proteomic comparison between  
645 them. PCT-SWATH analysis of 224 PCa FFPE and FF samples facilitated a rigorous comparison  
646 in a clinical scenario in this study. Regarding to the storage factors that might affect the whole  
647 proteome, comparison of proteome maps of FFPE samples stored for 8 years and for 4 years did  
648 not show significant pattern differences (**Supplementary Fig. 6**). A further overall investigation  
649 of FFPE sample proteome maps storing from 1 year to 15 years in an independent cohort (PCZC)  
650 did not show significant pattern differences either (**Fig. 3**). Besides, proteome maps from two  
651 types of FFPE tissue forms (sections vs. punches) are generally similar, however, they could be  
652 separated from each other by PCA analysis (**Fig. 3**).

653        Since proteins in FFPE tissue are extensively and substantially modified by formalin <sup>14,73</sup>,  
654 one would not expect complete recovery of the entire proteome, and quantitatively identical  
655 recovery of every peptide in various samples. Previous studies have investigated this issue in  
656 depth <sup>74,75,76</sup>. Indeed, we observed a slight global difference in TIC between comparable FFPE  
657 and FF proteomes (**Supplementary Fig. 2**). However, we also show that these differences do not  
658 distort the proteome patterns to a degree that would preclude their use for tissue classification,

659 suggesting that the slight differences observed between FF and FFPE tissue samples are smaller  
660 or comparable to other preanalytical factors<sup>77</sup>. This observation is significant because frequently,  
661 longitudinal sample collections that are invaluable for biomarker discovery are stored in FFPE  
662 format. Remarkably, despite a number of potential confounding factors, we successfully  
663 identified the same protein biomarker candidates from matching FFPE and FF samples in the  
664 ProCOC cohort<sup>40</sup>, even though the FFPE and FF samples were actually from different, albeit  
665 consistently scored sections in these prostate samples. To the best of our knowledge<sup>12-14,18-24,72</sup>,  
666 this is the first study in which the proteome of FFPE and FF has been rigorously compared in a  
667 clinical scenario.

668        Regardless of the variable formalin fixation processes of tissue specimens, reproducible  
669 sample preparation and LC-MS analysis are essential for clinical studies. Due to the complexity  
670 (dozens to hundreds of fractions for a single sample) and high cost of the lengthy shotgun  
671 proteomic workflow (hundreds to thousands of MS analyses for a single cohort), few published  
672 studies on FFPE/FF proteomic analyses have ever attempted to repeat analysis on clinical  
673 specimens of a cohort<sup>26</sup>. A rapid and robust methodology for quantitatively measuring  
674 proteomes of FFPE tissue specimens at low-cost and in a high-throughput manner are in great  
675 need.

676        In this study, we identified a panel of twelve-protein biomarker candidates including  
677 KLK3, SORD, AGR2, SPON2, MDH2, ACAD8, TPP1, DES, HNRNPA2B1, ASRGL1, UCHL1  
678 and FLNA as differentially abundant between tumor and benign tissues from two independent  
679 PCa cohorts, PCF and PCZA (**Fig. 5**). With this panel, the malignant tissue can be separated  
680 from benign prostate tissue with an AUC higher than 0.9 in both PCF and PCZA sample cohorts  
681 (**Fig. 4b**). To evaluate the quantitative PCa proteome maps generated in this study, as well as to  
682 investigate the biological differences among different PCa cohorts from different countries, we  
683 compared our PCF and PCZA proteomes with the two representative PCa proteomes generated  
684 by Iglesias-Gato et al<sup>37</sup>, and Latonen et al<sup>36</sup>, respectively. 3030 proteins were quantified from  
685 PCF cohort, and 4,144 proteins from PCZA cohort in this study. Iglesias-Gato et al used the  
686 Super-SILAC labeling plus multi-fractionation integrated with shotgun MS method, to profile  
687 proteotypes of 28 prostate tumors (Gleason score 6-9) FFPE samples and neighboring  
688 nonmalignant FFPE tissue in eight cases (sections of 10 $\mu$ m thickness and 25 mm<sup>2</sup> area), and  
689 quantified 1,216 proteins from over 9000 protein identifications<sup>37</sup>. Latonen et al reported high-

throughput SWATH-MS proteotyping of fresh clinical tissue samples (five 5  $\mu$ m slices for each sample) of 10 BPH patients, 17 untreated PCa patients and 11 CRPC. In PCa vs BPH, they quantified 3,394 proteins, which is comparable with our results regarding to quantified protein number. Moreover, ACO2 and MDH2, two components in TCA cycle during PCa development and progression were identified <sup>36</sup>. In our study, the overexpression of MDH2 in PCa tissues in both PCF and PCZA cohort was characterized, which was consistent with Latonen's report. Venn diagram showed that PCF, PCZA and Latonen cohorts shared 2,277 common proteins in total, representing 67% of the Latonen proteome, as was shown in **Supplementary Fig. 14 and Supplementary Table 8**. PCF, PCZA and Iglesias-Gato cohorts shared 700 proteins in total, representing 57% of the quantified proteome by Iglesias-Gato. Besides, in Iglesias-Gato cohort, five proteins from our 12-protein panel were found to be significantly regulated, which were MDH2, TPP1, UCHL1, FLNA, and ACAD8. In Latonen cohort, six proteins, MDH2, TPP1, AGR2, DES, HNRNPA2B1, and ACAD8 were found to be significantly regulated. Detailed information of protein regulation of the twelve-protein biomarker candidates was shown in **Supplementary Table 8**. The four cohorts revealed common proteins biomarkers and showed good consistence although there were biological differences. Taken together, the presented data not only demonstrate the practicality of using FFPE samples for robust PCa biomarker discovery, more importantly, it also identified a panel of protein biomarker candidates for PCa diagnosis, among which MDH2, TPP1 and ACAD8 were most significant regardless of tissue formats (fresh or FFPE, punch or micrometer section) and patient populations. The overlap of the four proteomes confirmed the technical reliability, robustness and transferability of our FFPE PCT-SWATH pipeline among different studies, cohorts and laboratories from another point of view.

The hereinabove studied PCa cohort offers a rational model to benchmark the similarity of FFPE and FF proteome due to the availability of both types of tissue samples from adjacent regions with relatively high degree of homogeneity. However, PCa patients generally exhibit positive prognosis after prostatectomy. To further explore the generic applicability of the method and to explore the feasibility of identifying prognostic markers in another clinical setting, we analyzed 113 FFPE samples from a cohort of 41 Swiss DLBCL patients from Zurich with up to 125-month follow-up. We further validated the methodology and findings using the independently established FFPE PCT-SWATH platform in China, which comprised 52 Chinese DLBCL patients with up to 100-month follow-up. Importantly, data from the two cohorts

721 confirmed MPO as a promising survival marker (**Fig. 6**). The discovery of MPO as a potential  
722 prognostic marker for DLBCL is also supported by the finding that circulating monocytes and  
723 neutrophils are reported to be independent prognostic factor for DLBCL<sup>78</sup>. Myeloid cells are  
724 presumably MPO-positive and found to suppress T-cell responses. It also indicates the presence  
725 of coagulative necrosis.

726 In conclusion, we demonstrated that FFPE tissue cohorts effectively facilitate biomarker  
727 discovery compared to its FF counterpart via the optimized FFPE PCT-SWATH proteomics  
728 analysis. We also reported novel promising protein biomarkers for PCa and DLBCLs. This study  
729 indicates that historical FFPE tissue samples from biobanks have great potential in biomarker  
730 discovery.

731

732 **Materials and Methods**

733

734 **Prostate tissue Specimens**

735 Both FF and FFPE tissues from Zurich were kindly provided by P.J.W. in the form of  
736 punches from the Department of Pathology and Molecular Pathology, University Hospital Zurich.  
737 Samples were collected within the ProCOC study <sup>40</sup>, a prospective ongoing biobanking trial led  
738 by PJW and CP. The size of a single FF tissue biopsy was about 1mm<sup>3</sup> (diameter 1 mm; length  
739 1-2 mm; wet weight was about 800 µg). The size of a single FFPE punch is about 0.5×0.5×3mm;  
740 and the dry mass weighed about 300 µg including wax (**Fig. 1a**). The Cantonal Ethics  
741 Committee Zurich (KEK-ZH) has approved all procedures involving human material, and each  
742 PCa patient has signed an informed consent form (KEK-ZH-No. 2008-0040). Patients were  
743 followed on a regular basis, every three months during the first year and afterwards at least  
744 annually or on an individual basis depending on the disease course. A PSA value of 0.1 ng/ml or  
745 higher was defined as biochemical recurrence <sup>79</sup>.

746 Prostate FFPE samples from China (PCZA cohort) were procured in the Second  
747 Affiliated Hospital of College of Medicine, Zhejiang University with approval from the hospital  
748 ethics committee. The size of a single FFPE punch of PCZA cohort is about 1×1×5mm; and the  
749 dry mass weighed about 1-1.5 mg including wax. Three punches were collected from each tissue  
750 sample.

751 Prostate FFPE samples from China (PCZC cohort) were procured in the First Affiliated  
752 Hospital of College of Medicine, Zhejiang University with approval from the hospital ethics  
753 committee. The cohort contained two forms of archived FFPE samples that are micrometer  
754 sections (5µm thickness) and tissue biopsy punches (1×1×0.5mm). Three biological replicates  
755 were collected for each sample. The samples have been archived for a variety of timespans of 1  
756 year, 5 years, 10 years to 15 years.

757 The PCa study here was also approved by ethics committee of Westlake University.

758

759 **Lymphoma Specimens**

760 FFPE tissues from 41 patients with PCNSL, eDLBCL and IVL who had signed an  
761 informed consent and had been treated at the University Hospital Zurich between 2005 and 2014  
762 were collected. Studies were approved by the Institutional Review Board (KEK-StV-Nr.19/08).

763 FFPE punches (each FFPE punch measuring about 0.5×0.6×3mm) were produced in the  
764 Department of Pathology, University Hospital Zurich. Two to three punches were collected from  
765 each tissue sample.

766 An independent cohort of 52 DLBCL patients was procured from the First Affiliated  
767 Hospital of College of Medicine, Zhejiang University with approval from the hospital ethics  
768 committee. Five sections with thickness of 10 $\mu$ m were collected for each tissue sample.

769 The DLBCL study here was also approved by ethics committee of Westlake University.  
770

### 771 **De-paraffinization, Rehydration, and Hydrolysis of FFPE tissues**

772 The FFPE tissue was put into a 2 mL safe-lock Eppendorf tube, and was firstly subjected  
773 to the dewaxing step by incubation with 1mL of heptane, and then gently vortexed at 800rpm for  
774 10 min at 30 °C on a thermomixer (cap closed). The dewaxing was repeated once. The sample  
775 was then subjected to gradient rehydration steps and gently vortexed at 800 rpm with 1ml 100%,  
776 90%, 75% of ethanol, respectively, each time at 25 °C for 5 min (cap closed). At the third step,  
777 each tissue sample was further incubated with 200 $\mu$ l 0.1% formic acid (FA) for 30 min at 30 °C  
778 for rehydration and the acidic hydrolysis, by gently vortexing at 800rpm (cap closed). Lastly, the  
779 tissue sample was transferred into a PCT-MicroTube (Pressure Biosciences Inc., South Easton,  
780 MA), briefly washed with 100  $\mu$ l of 0.1M Tris-HCl (pH 10.0, freshly prepared) to remove  
781 remaining FA, and was then incubated with 15 $\mu$ l of fresh 0.1 M Tris-HCl (pH 10.0), boiled at  
782 95 °C for 30 min, by gently vortexing at 600rpm to undergo a heat and base induced hydrolysis  
783 (cap closed).

784 Once hydrolysis was finished, the PCT-MicroTube with FFPE tissue bathed in Tris-HCl  
785 (pH 10.0) was immediately placed on ice for cooling, then 25  $\mu$ l of lysis buffer (6 M Urea, 2 M  
786 thiourea, 5 mM Na<sub>2</sub>EDTA in 100mM ammonium bicarbonate, pH 8.5) was added to the solution  
787 (final pH around 8.8). Both the tissue sample and supernatant from this step were kept for  
788 subsequent PCT-assisted tissue lysis and protein digestion.  
789

### 790 **PCT-assisted tissue lysis, protein extraction, and protein digestion**

791 Briefly, the FFPE tissue sample was lysed with lysis buffer in a barocycler NEP2320-45k  
792 (PressureBioSciences Inc.) at the PCT scheme of 30s high pressure at 45kpsi plus 10s ambient  
793 pressure, oscillating for 90 cycles at 30°C. Then the extracted protein solution was reduced and

794 alkylated by incubating with 10mM tris(2-carboxyethyl)phosphine (TCEP) and 20mM  
795 iodoacetamide (IAA) at 25°C for 30 min, in darkness, by gently vortexing at 800rpm in a  
796 thermomixer. Afterwards, proteins were firstly digested by Lys-C (Wako; enzyme-to-substrate  
797 ratio, 1:40) in the barocycler using the PCT scheme of 50s high pressure at 20kpsi plus 10s  
798 ambient pressure, oscillating for 45 cycles at 30°C. Then a subsequent tryptic digestion step  
799 followed (Progemga; enzyme-to-substrate ratio, 1:20) using the PCT scheme of 50s high  
800 pressure at 20kpsi plus 10s ambient pressure, oscillating for 90 cycles at 30°C. Peptide samples  
801 were then acidified by TFA prior to C18 desalting. The FF tissue samples were processed as  
802 described previously <sup>32</sup> with only the change of replacing the normal microcaps with  
803 micropesles <sup>33</sup>.

804

## 805 **SWATH mass spectrometry**

806 All samples were spiked with iRT peptides (Biognosys) <sup>80</sup>. 0.6 µg of cleaned peptides  
807 (0.3 µg per injection, in technical duplicates) were analyzed by SWATH-MS on a 5600 (Zurich  
808 ProCOC cohort) or 6600 TripleTOF mass spectrometer (Zurich DLBCL cohort) connected to a  
809 1D+ Nano LC system (Eksigent, Dublin, CA) <sup>32,43</sup>. The LC gradient was mixed with buffer A (2%  
810 acetonitrile and 0.1% formic acid in HPLC water) and buffer B (2% water and 0.1% formic acid  
811 in acetonitrile). The analytical column was home-made (75 µm × 20 cm) using a fused silica  
812 PicoTip emitter (New Objective, Woburn, MA, USA) and 3 µm 200 Å Magic C18 AQ resin  
813 (Michrom BioResources, Auburn, CA). Peptide samples were separated with a linear gradient of  
814 2% to 35% buffer B over 30 min (the PCF cohort) or 60 min (the WLYM cohort) LC gradient  
815 time at a flow rate of 0.3 µl·min<sup>-1</sup>. Ion accumulation time for MS1 was 50 ms and 40 ms for  
816 MS2 acquisition, respectively. SWATH window schemes were optimized to 48 variable  
817 windows. The instrument was operated in high sensitivity mode.

818 The PCZA, PCZC and ZLYM cohorts from China were analyzed in a TripleTOF 5600+  
819 coupled to an Eksigent Nano LC 415 (with a 1-10ul/min flowmodule to switch the LC from  
820 nano-flow to micro-flow). Composition of mobile phase was the same as that in Zurich lab.  
821 Eksigent Analytical column (0.3 x 150 mm C18 ChromXP 3µm) and trap column (0.3 x10 mm,  
822 C18 ChromXP 5µm) were used for chromatographic separation. 2µg of peptide samples were  
823 separated with a linear gradient of 3% to 25% buffer B over 90 min (the PCZC and ZLYM  
824 cohort) or 120 min (the PCZA cohort) LC gradient time at a flow rate of 5 µl·min<sup>-1</sup>. SWATH

825 acquisition method was the same as the method at Zurich lab except a slightly longer MS2  
826 accumulation time of 60 ms.

827

## 828 **SWATH data analysis**

829 We built a SWATH assay library after analyzing unfractionated prostate tissue digests  
830 prepared by the PCT method in Data Dependent Acquisition (DDA) mode on a 5600 TripleTOF  
831 mass spectrometer over a gradient of 2 hours as previously described<sup>81</sup>. SWATH data were first  
832 analyzed using OpenSWATH (openms 1.10.0)<sup>81</sup> as described<sup>32</sup>. Retention time extraction  
833 window was 300 seconds, and m/z extraction was performed with 0.05Da tolerance. Retention  
834 time was calibrated using iRT peptides. The sample for each peptide precursor that was  
835 identified by OpenSWATH with the lowest m\_score was treated as the reference sample for each  
836 peptide precursor, and was used as input for DIA-expert analysis  
837 (<https://github.com/tiannanguo/dia-expert>). Briefly, all *b* and *y* fragments for each identified  
838 peptide precursor in the Spectrast library were re-analyzed using  
839 OpenSwathChromatogramExtractor (openms 1.10.0) for all samples. A reference sample was  
840 selected for each peptide precursor based on the m\_score from OpenSWATH analysis described  
841 above. For reference sample, peptide fragments forming good peak shape were refined in all  
842 samples. Peptide precursors with less than four good peak-forming fragments were excluded.  
843 Each sample, except the reference sample, in the sample set was pair-wise compared with the  
844 reference sample at fragment level, and the median proportion of all fragments was used for  
845 quantification of the peptide precursor in a sample. The MS2-level total ion chromatogram for  
846 each SWATH window was used to normalize the peak group area. Peptide precursors that were  
847 quantified in technical duplicates with a fold-change value equal or higher than two were  
848 excluded. The most reliable peptide precursor from a protein, *i.e.* best flier peptide, was selected  
849 to represent the abundance of a protein because we found that inclusion of poorly responded  
850 peptide precursors negatively influenced to the quantitative accuracy, and that for high  
851 abundance proteins with multiple peptides, the best flier peptide selected by the DIA-expert was  
852 representative and exhibited the lowest number of missing values. All codes are provided in  
853 Github.

854

## 855 **Tissue Microarray and Immunohistochemistry**

856                   The Ethics Committee of the Kanton St. Gallen, Switzerland approved all procedures  
857 involving human materials used in this St. Gallen TMA, and each patient signed an informed  
858 consent. The construction of TMA and IHC procedures have been described previously<sup>43</sup>.  
859 The POSTN antibody was from abcam (ab14041). The MPO antibody was from NeoMarkers /  
860 Lab Vision Corporation (RB-373-A1).

861

## 862           **Statistical analysis**

863                   All plots were produced with R. Violin plots were made using the R package vioplot.  
864 Pearson's correlation was used to compute the correlation coefficient. Two-tailed paired  
865 Student's *t*-test was employed to compute probability in Volcano plots. Kaplan–Meier estimators  
866 were used for RFS analysis. Point-wise 95% confidence bands were computed for the whole  
867 range of time values. Differences between survival estimates were evaluated by the log-rank test.  
868

## 869           **Data deposition**

870                   The PCF data are deposited in PRIDE<sup>82</sup>. Project accession: PXD004691. The PCZA data  
871 are deposited in iProX (IPX0001355000). The ZLYM data are deposited in iProX  
872 (IPX0001354001). All the data will be publicly released upon publication.  
873

## 874           **Acknowledgements**

875                   This work was supported by the SystemsX.ch project PhosphoNet PPM (to R.A. and  
876 P.J.W.) and TPdF 2013/134 (to P.B.), the Swiss National Science Foundation (grant no. 3100A0-  
877 688 107679 to R.A.), the Foundation for Scientific Research at the University of Zurich (to  
878 P.J.W.), the European Research Council (grant no. ERC-2008-AdG 233226 and ERC-2014-  
879 AdG670821 to R.A.) and the Westlake Startup to T.G.. T.W. acknowledges the Swiss National  
880 Science Foundation (323630\_164218) and the Swiss Cancer Research Foundation (MD-PhD-  
881 3558-06-2015). We thank Andreas Beyer for his critical input to this manuscript, and Patrick  
882 Pedrioli for help in data management. We thank the Aebersold group, in particular Moritz Heusel,  
883 for invaluable discussion. Members of the tissue biobank of the University Hospital Zurich are  
884 thanked for excellent support in providing tissue punches, specifically Peter Schraml, Susanne  
885 Dettwiler, Fabiola Prutek, and Peggy Tzscheitzsch. Andre Fritsche, Norbert Wey, Christiane

886 Mittmann, Monika Bieri, Andre Wethmar and Annette Bohnert are thanked for their technical  
887 support. The study nurse Alexandra Veloudios is thanked for help with the ProCOC study.

888

889 **Author contributions**

890 Y.Z., T.G., T.W., P.J.W. and R.A. designed the project. Y.Z. conceived and developed  
891 the hydrolysis protocol and the whole FFPE PCT-SWATH workflow. P.J.W., Q.Z., A.C., K.S.,  
892 D.R., J.L., C.D.F., M.B.S., C.F., N.J.R., C.P. and W.J. procured the Zurich PCa cohort. T.W.,  
893 E.R., M.W., P.R., E.H., and S.H. procured the Zurich DLBCL cohort. X.Y. and L.C. procured  
894 the Chinese PCa cohort. S.L., B.W. and X.G. procured the Chinese DLBCL cohort. Y.Z. and  
895 T.G. optimized the LC-SWATH-MS. Y.Z., T.W., R.S., X.Y., P.B., L.G., C.C., and T.G.  
896 performed the PCT-SWATH analysis. Y.Z., T.G., T.W., Q.Z., Z.W., T.Z., C.X. analyzed the data.  
897 Y.Z., T.G., T.W. and R.A. wrote the manuscript with inputs from all co-authors. R.A., P.J.W. and  
898 T.G. supported and supervised the project.

899

900 **Competing financial interests**

901 R.A. holds shares of Biognosys AG, which operates in the field covered by the article.  
902 The research groups of R.A. and T.G. are supported by SCIEX, which provides access to  
903 prototype instrumentation, and Pressure Biosciences Inc., which provides access to advanced  
904 sample preparation instrumentation.

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