

1 **ENVELOPED VIRUS-LIKE PARTICLES (eVLPs) EXPRESSING MODIFIED FORMS OF**  
2 **ZIKA VIRUS PROTEINS E AND NS1 PROTECT MICE FROM ZIKA VIRUS INFECTION**

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18  
19 **Abstract**

20 While Zika virus (ZIKV) infection induces mild disease in the majority of cases, it has  
21 been identified as responsible for microcephaly and severe neurological disorders in recent  
22 2015-2016 outbreaks in South America and the Caribbean. Since then, several prophylactic  
23 vaccine strategies have been studied. Here, we describe the development of a ZIKV  
24 candidate vaccine consisting of bivalent enveloped virus-like particles (eVLPs) expressing a  
25 modified form of E and truncated NS1 (EG/NS1) proteins. In EG/NS1, the E  
26 transmembrane/cytoplasmic tail has been replaced with those domains from the VSV G  
27 protein and a β-domain of NS1 was fused in-frame to Gag from Moloney murine leukemia

28 virus (MLV). Immunization of BALB/C mice demonstrated that bivalent EG/NS1 and  
29 monovalent EG eVLPs induced comparable levels of antibody (Ab) titers but that EG/NS1  
30 induced much higher neutralizing activity, comparable to naturally acquired anti-ZIKV  
31 immunity. In contrast, monovalent NS1 eVLPs did not induce a significant anti-NS1 Ab  
32 response but promoted strong T cell immunity that was also elicited with EG/NS1 eVLPs.  
33 ZIKV challenge studies in C57BL/6-IFN $\alpha$ R $^{-/-}$  mice demonstrated that EG/NS1 eVLPs  
34 conferred 100% protection against clinical disease after ZIKV challenge compared to 80%  
35 protection after EG eVLP vaccination, with protection against challenge correlating with  
36 neutralizing antibody titers and overt signs of infection.

37

## 38 **Author Summary**

39 Zika virus has caused rapidly spreading epidemics with potentially severe  
40 neurological symptoms including microcephaly in new born babies. Rapid progress has been  
41 made with several candidate vaccines under clinical evaluation but no vaccine or treatment is  
42 yet available. In this context, we have produced and tested recombinant virus-like particles  
43 that incorporate one or two Zika virus proteins, E and NS1 that have been modified for  
44 optimal efficacy. Our immunogenicity studies in mice showed a synergistic effect of both  
45 proteins in the bivalent vaccine. NS1 induced a strong T cell response enhancing the  
46 neutralizing antibody production induced by the E protein. In challenge experiments, the  
47 bivalent vaccine protected 100% of mice from clinical signs of Zika virus infection. These  
48 products could be further used to explore Zika virus correlates of protection and evaluated as  
49 vaccine candidates.

50

## 51 **Introduction**

52 Zika virus was first isolated in 1947 in a Rhesus sentinel monkey in Uganda, and was  
53 not initially regarded as a significant human pathogen. However, large outbreaks in the  
54 Pacific area including Yap Island in 2007; French Polynesia in 2013 to 2014) and the intense

55 epidemic in The Caribbean and South America in 2016 (1,2), led the WHO to declare ZIKV a  
56 global public health emergency and the development of a vaccine a priority. Despite the end  
57 of the outbreak and the ongoing decline of the number of cases, the need for a licensed ZIKV  
58 vaccine remains critical for global public health. Indeed, the ZIKV infection is generally  
59 asymptomatic or induces a mild fever, but is also associated to severe neurological  
60 syndromes such as Guillain Barré Syndromes in adults, and microcephaly in infants. CDC  
61 recently established that about one of seven children born to mother with ZIKV infection  
62 during pregnancy had Zika-associated birth defect, a neurodevelopmental abnormality  
63 possibly associated with congenital Zika virus infection, or both (3). In contrast to other  
64 described arbovirus infections, ZIKV RNA can persist in human semen for a year or more,  
65 and cases of sexual transmission have been reported (4). Cultured human germ cells are  
66 permissive to ZIKV infection and can produce infectious virus (5). These latter features of  
67 ZIKV could have impacts on demographics (6). There are still no licensed vaccines or  
68 therapeutic measures against ZIKV infection.

69 More than forty ZIKV vaccine candidates have advanced through efficacy studies in  
70 mice and non-human primates (NHP), nine progressed in Phase I clinical trials and among  
71 them two entered Phase II. Early findings like current efforts suggested that the presence of  
72 high titers of neutralizing antibodies against the ZIKV envelope protein E correlate with  
73 protection (7–9). In addition, more recent studies have exposed an important role of CD4<sup>+</sup> T-  
74 cell activation to develop and maintain an efficient protective response during ZIKA infection  
75 (10,11). In accordance with these findings, a T-cell biased adenovirus vector expressing  
76 prME has been shown to protect mice against ZIKV challenge without detectable levels of  
77 ZIKV specific Ab. Finally, ZIKV vaccine candidates based on expression of the non-structural  
78 protein NS1 have also shown protection of mice from ZIKV infection by inducing both  
79 humoral and cellular responses (12).

80 The prME polyprotein is expressed in immature ZIKV as trimeric spikes of prM:E  
81 heterodimers, with the pr peptide covering the fusion loop. In mature particles, cleavage of pr  
82 and rearrangement of the glycoproteins, lead to a smooth virion enveloped by trimers of E:M

83 heterodimers organized in an herringbone like symmetry (13,14). ZIKV and dengue virus  
84 (DENV) share high degrees of homology not only in their amino-acid sequences, but also on  
85 the structure of the E protein at the surface of the virus, resulting in production of cross-  
86 reactive antibodies (15–19).

87 There is evidence of antibody-dependent enhancement (ADE) of infection that occurs  
88 in the presence of cross-reactive but weakly neutralizing Abs (nAb) (20). Given that ZIKV  
89 and DENV are endemic in similar regions, an important consideration in ZIKV vaccine  
90 development is generation of an efficacious vaccine which does not inadvertently enhance  
91 the risk for ADE associated with DENV infection. eVLPs enable repeating, array-like  
92 presentation of antigens which is a preferred means of activating B cells and eliciting high  
93 affinity antibodies (21). The potential to enrich for antibodies with neutralizing and not just  
94 binding activity may help avoid the potential for ADE. We describe here the development of  
95 ZIKV vaccine candidates, expressing a modified ZIKV E protein alone or in combination with  
96 NS1, and their relative abilities to induce neutralizing antibody responses in mice and  
97 protection from ZIKV challenge.

98

## 99 **Results**

### 100 **Production and characterization of eVLPs expressing high densities of ZIKV E protein**

101 We tested the feasibility of expressing ZIKV E protein on the surface of MLV Gag-  
102 based eVLPs. In previous studies, we and others have observed that fusion of the  
103 ectodomain of a viral glycoprotein with the transmembrane/cytoplasmic (TM/Cyt) domain  
104 from VSV-G promoted pseudotyping of MLV Gag pseudoparticles (24,25). We tested a  
105 similar strategy for ZIKV E and compared expression of EG to expression of full length prME  
106 in eVLPs.

107 HEK 293 cells were cotransfected with the MLV Gag plasmid and an expression  
108 plasmid coding either for the full-length ZIKV prME sequence or for a modified form of ZIKV  
109 E which consisted of the ectodomain of the ZIKV E protein fused with the transmembrane

110 and cytoplasmic domains of the VSV-G protein (EG) (Fig 1). Pelleted supernatants from all  
111 conditions contained particles similar in size and morphology with typical MLV Gag particles  
112 as shown by ns-TEM analysis (Fig 2A) and both prME and EG plasmids induced expression  
113 of ZIKV E (Fig 2B). Preparations of EG-eVLPs contained a lower density of particles as  
114 observed in nsTEM (Fig 2A) but contained higher expression of the E protein than in prME  
115 eVLPs as indicated by Western blot analysis using anti-flavivirus mAb 4G2 (Fig 2B).

116 When injected into BALB/C mice, EG eVLPs induced anti-ZIKV E IgG titers that were  
117 100 to 1000 times greater than eVLPs expressing wild-type full length prME (Fig 3A) and in 7  
118 of 8 mice, this strong IgG response was associated with a modest but significant neutralizing  
119 activity (Fig 3B). Based on this data, and reproducible yields of eVLPs with elevated levels of  
120 E protein contents, we selected EG as our preferred ZIKV E construct.

121

## 122 **Production and characterization of bivalent EG/NS1 eVLPs**

123 In an attempt to potentiate the antibody neutralizing response to ZIKV via enhanced  
124 T cell help, we sought to develop bivalent eVLPs expressing NS1 protein as well as EG. As  
125 NS1 is readily secreted by infected cells, it was fused in-frame with Gag to ensure its  
126 retention inside the particles. The first set of experiment demonstrated that the full length  
127 NS1 fused to the full length Gag (Gag-NS1) resulted in very poor yields of eVLP particles, as  
128 indicated by very low levels of Gag protein detected by ELISA (Fig 4A). It is possible that the  
129 elongated size of the Gag-NS1 fusion protein, together with the specific properties of NS1,  
130 disrupted the architecture of the particles. This observation was consistent with our  
131 unpublished previous studies showing that eVLPs stability was more difficult to achieve when  
132 Gag was fused with an additional protein. A truncated form of NS1 corresponding to the  
133 hydrophobic  $\beta$ -ladder domain was chosen because its homologous domain in  $\gamma$  NS1 contains  
134 multiple CD8 $^{+}$  and CD4 $^{+}$  T cell epitopes (26,27). Transfection with Gag-Truncated NS1 (Gag-  
135 Tr.NS1) DNA plasmid produced particles with expected yields (Fig 4A). Co-transfection with  
136 Gag-Tr.NS1 and EG plasmids produced bivalent EG/NS1 eVLPs that expressed both and  
137 EG and Gag-fused NS1 protein as shown by western blot analysis (Fig 4B). Optimization of

138 the amounts of plasmid DNA enabled to obtain similar amounts of Gag and particle numbers  
139 in bivalent and monovalent eVLPs for fair comparison of both preparations (Fig 4A). A  
140 standard procedure using 0.4  $\mu$ g/mL of either Gag or Gag-Tr.NS1 plasmid was used for  
141 further studies.

142 Monovalent EG and prME eVLPs had a different appearance on CryoEM images  
143 from bivalent EG/NS1 eVLPs (Fig 4C). Monovalent EG and prME eVLPs were multi-lamellar  
144 particles with smooth surfaces, comparable to the appearance of typical MLV Gag particles  
145 (28), with a smooth surface, like mature Zika virions (14). In contrast, bivalent EG/NS1  
146 particles were predominantly uni-lamellar with a homogenous dense textured core and their  
147 outer surface contained structures with dark densities protruding ~8-10 nm from the lipid  
148 bilayers, and resembling spikes (Fig 4C). A similar impact on the core structure when using a  
149 plasmid in which MLV Gag was fused with the CMV pp65 protein has been observed  
150 (unpublished data). It is possible that the addition of a protein in frame with the Gag  
151 sequence induced an alteration of the architecture of the MLV-Gag VLP core structure (28),  
152 which may have prompted a conformation change in the surface EG protein due to altered  
153 interactions between the Gag/NS1 core and the cytoplasmic tail of the EG protein (29).

154

155 **Expression of NS1  $\beta$ -ladder domain enhances the neutralizing activity of ZV EG eVLPs  
156 and induces T cell activation**

157 BALB/C mice received 3 injections of eVLPs at monthly intervals after which the  
158 effect of NS1 on the response to EG eVLPs was evaluated. Mice immunized with bivalent  
159 EG/NS1 eVLPs produced comparable levels ( $p=0.48$ ) of anti-ZIKV E IgG relative to mice  
160 immunized with monovalent EG eVLPs, but their sera demonstrated a higher proportion of  
161 neutralizing activity ( $p=0.05$ ), comparable to naturally acquired immunity (Figs 5A-B). Sera  
162 from all groups contained very low to undetectable anti-NS1 IgG or IgM (Fig 5C). These  
163 results suggested that the antibody responses against NS1 were unlikely to contribute to the  
164 enhancement of neutralizing activity observed with the bivalent EG/NS1 eVLPs.

165 ZIKV NS1-specific T cell immunity, as shown by induction of IFN- $\gamma$  and IL-5 T cell  
166 secretion (Figs 6A-B), was detected in all groups of mice that received NS1 eVLPs. Similar  
167 responses were observed when comparing monovalent NS1 eVLPs and bivalent EG/NS1  
168 eVLPs. In contrast, co-injection of EG eVLPs with NS1 eVLPs did not enhance the nAb  
169 response and induced lower levels of T cell activation levels than those observed with  
170 EG/NS1. These results emphasized the value of having the EG and NS1 proteins co-  
171 expressed within the same particles.

172

### 173 **EG and EG/NS1 eVLPs protect mice from ZIKV challenge**

174 The protective efficacy of the ZIKV eVLPs was examined in IFN $\alpha$ R deficient mice fully  
175 bred to a C57BL/6 background (C57BL/6-IFN $\alpha$ R $^{-/-}$  mice). These mice, like BALB/C mice are  
176 able to mount protective immunity to ZIKV, but unlike the latter during primary infection, they  
177 also display significant weight loss associated with other overt sign of infection such as hind  
178 leg paralysis, the absence of which provides a facile read out of vaccine efficacy (30). Two  
179 separated challenge experiments were conducted successively using identical protocols with  
180 different groups.

181 A first challenge experiment was conducted to establish whether EG and EG/NS1  
182 eVLPs could induce protective Ab immune response against ZIKV infection in the C57BL/6-  
183 IFN $\alpha$ R $^{-/-}$  mouse model. Four groups of 10 mice were injected with either saline, eVLPs  
184 control expressing no ZIKV protein (Gag eVLP), EG eVLPs or EG/NS1 eVLPs. After a single  
185 injection of EG or EG/NS1 eVLPs, the levels of anti-E IgG rapidly increased in the serum of  
186 immunized mice peaking at 14 days after the second injection while the third injection  
187 maintained Ab titers at a plateau (Fig 7A).

188 Evaluation of individual sera demonstrated that antibody responses were induced in 8  
189 of 10 mice immunized with EG eVLPs and in 10 of 10 mice immunized with EG/NS1 eVLPs  
190 in Exp.1 (Fig 7B). Neutralization activity against ZIKV was evaluated by PRNT90% 27 days  
191 after the third injection. The sera from mice immunized with EG and EG/NS1 eVLPs

192 possessed significant neutralizing activity which correlated with induction of antibody  
193 responses in the mice (Figs 7B-C). Of interest, the sera from both mice with undetected  
194 levels of anti-E had no neutralizing activity. A similar pattern of antibody response with higher  
195 levels of IgG was observed in a second experiment where a group of mice were injected with  
196 NS1 eVLPs instead of saline. In this experiment, all mice EG and EG/NS1 groups develop an  
197 Ab response. We further analyzed the immunoglobulin isotype usage in the sera from mice  
198 from Exp.1. The IgG1 to IgG2b ratio indicated a predominant Th2 response in the EG group  
199 as expected from Alum adjuvant. However a significant difference was observed with  
200 bivalent EG/NS1 eVLPs, which promoted a switch towards IgG2b, that is usually associated  
201 with cell-mediated immunity (Fig 8).

202 After ZIKV challenge, mice were monitored daily for body weight change and  
203 behavior. C57BL/6-IFN $\alpha$ R $^{-/-}$  mice in groups that received saline, Gag or NS1 eVLPs lost  
204 body weight and developed hind leg paresis between days 8-10 after ZIKV injection (Fig 9).  
205 In marked contrast, in both experiments, mice immunized with either monovalent EG or  
206 bivalent EG/NS1 ZIKV eVLPs showed only transient weight loss up to day 5 (Fig.9A-B), at  
207 which point they began to regain normal body weight (achieved at day 10). Although both  
208 monovalent EG eVLPs and bivalent EG/NS1 eVLPs groups showed statistically comparable  
209 levels of protection and total IgG, 2/10 mice in the EG eVLPs group in exp.1 and 1/10 in  
210 exp.2 (Fig 9C), developed hind leg paresis while none of the mice in the EG/NS1 group in  
211 both experiments developed paresis at any time. Of interest, no significant anti-ZIKV IgG Ab  
212 titer nor neutralizing activity was detected in neither of both non-protected mice from exp.1.

213 The second challenge experiment was aimed at determining if NS1 eVLPs used  
214 alone could induce protection despite the absence of an efficient Ab response. Careful  
215 observation of animal behavior showed that despite no significant protection from paralysis  
216 compared to the control group (Fig 9C), some mice injected with NS1 eVLPs had milder  
217 symptoms after day 10 (Fig 9D).

218

219 **Discussion**

220 Several ZIKV vaccine candidates have advanced through efficacy studies in mice and  
221 non-human primates (NHP) and are either in, or poised for, Phase I clinical trials. These  
222 include DNA-based vaccines expressing the ZIKV envelope protein (EP), whole killed ZIKV,  
223 and recombinant viruses including recombinant DENV and YFV expressing ZIKV EP (31–  
224 34). Live-attenuated and replication-competent vaccines are expected to provide long-term  
225 immunity and to be highly effective (35), but these vaccines are usually contraindicated in  
226 immunocompromised individuals and in pregnant women because of a potential risk of  
227 reversion of pathogenicity. The development of Zika vaccines may also be complicated by  
228 potential issues of ADE mostly due to a high degree of homology among the members of this  
229 family, especially between ZIKV and DENV (36).

230 We have developed an eVLP platform that led to the production of a CMV vaccine  
231 candidate currently under Phase I clinical trial evaluation (37). In the present study we have  
232 used this eVLP platform to develop a prophylactic ZIKV vaccine candidate that includes a  
233 modified form of the ZIKV E protein, consisting of swapping its transmembrane/cytoplasmic  
234 domain for that of the VSV-G protein as well as the  $\beta$ -ladder domain of the NS1 protein. The  
235 present study demonstrated that co-expression of the  $\beta$ -ladder domain of NS1 provides a  
236 synergistic effect on the neutralizing antibody response to the ZIKV envelop protein, and is  
237 associated with significant T cell activation

238 In immunocompetent BALB/C mice, EG eVLPs injected in the presence of Alum  
239 adjuvant induced a potent IgG response but neutralizing activity was modest. We  
240 hypothesized that NS1 could induce NS1-specific T-cell activation to provide T-cell help and  
241 increased neutralizing activity. When NS1 and EG were co-expressed in EG/NS1 eVLPs, a  
242 synergistic effect was observed with the neutralizing IgG response to reach an average of  
243 100 fold increase over the response to EG alone. Mice that received eVLPs expressing NS1  
244 showed a marked increase in NS1 specific T-cell activation that correlated with the increase  
245 in anti-ZIKV E IgG ( $r=0.9$ ).

246        Despite the presence of several B-cell epitopes in the sequence used in our NS1  
247 construct (38,39), NS1 and EG/NS1 eVLPs induced no or low Ab responses to this protein.  
248        In contrast, previous studies have demonstrated that vaccine candidates expressing full  
249 length NS1 promoted efficient specific anti-NS1 neutralizing Ab responses associated with  
250 protection against ZIKV infection (12,40–42). The discrepancy between our data and others  
251 is likely due to alteration of NS1 presentation in two ways: i) the NS1 domain was fused with  
252 Gag which can alter the tri-dimensional structure of NS1, ii) the NS1 domain is kept inside  
253 the particles, reducing its accessibility to B cells. These data reveal another potential utility of  
254 eVLPs for the analysis of T-cell versus B-cell responses against proteins, depending on their  
255 location on or within the particles. Of note, the  $\beta$ -ladder of the NS1 is flexible and can interact  
256 with many proteins. It is then possible that the use of a fusion protein Gag-NS1 can induce  
257 alteration of the structure of the particle, and subsequent alteration of the coexpressed EG  
258 protein, as suggested by the spike-like appearance of particles analyzed by cryo-EM (29).

259        As observed in BALB/C mice, EG and EG/NS1 eVLPs induced similar levels of anti-E  
260 IgG in C57BL/6-IFN $\alpha$ R $^{-/-}$  mouse sera. However, in C57BL/6-IFN $\alpha$ R $^{-/-}$  mice, expression of EG  
261 alone was sufficient to induce a strong neutralization antibody response equivalent to the one  
262 observed in response to EG/NS1 eVLPs in 18 out of 20 mice across 2 independent studies.  
263 Future studies may clarify if this difference is due to the genetic differences between  
264 C57/BL6 and BALB/6, or to the IFN $\alpha$ R pathway deficiency in C57/BL6-IFN $\alpha$ R $^{-/-}$ . All mice that  
265 mounted a nAb response, either in response to EG eVLPs or EG/NS1 eVLPs were protected  
266 against experimental ZIKV infection.

267        These data confirm that neutralizing Ab responses are critical in protection against  
268 ZIKV clinical disease and that the bivalent EG/NS1 eVLPs provide a more robust induction of  
269 nAb response than monovalent EG eVLPs. In C57BL/6-IFN $\alpha$ R $^{-/-}$  mice, NS1 promoted a  
270 switch towards a Th1 antibody response, emphasizing a strong effect on T-cell help even in  
271 the presence of the Th2-adjuvant Alum.

272 It has been shown that an Adenovector ZIKV vaccine that induced a T-cell response  
273 against the ZIKV envelop without any Ab response could protect C57BL/6 mice against ZIKV  
274 infection (43). In our model, the C57BL/6-IFN $\alpha$ R $^{-/-}$  mice that received NS1 eVLP alone were  
275 not protected from ZIKV infection in absence of an efficient nAb response. Further studies  
276 would be necessary to determine the role of T cells in these IFN $\alpha$ R deficient mice.

277 Flaviviruses possess a high degree of homology that leads to the abundant  
278 production of cross-reactive Abs. While the mechanism for ADE has not been fully  
279 elucidated, it is generally recognized that the presence of cross-reactive Abs with low  
280 neutralizing potency are involved in ADE (15). The neutralization potency depends on the  
281 affinity of the Abs and on the accessibility of the epitopes on the virions (44,45). In the  
282 present study, mice immunized developed a high neutralizing response that correlated with  
283 protection. Most potent nAb with low/no ADE have been described to be directed against  
284 EDIII domain and quaternary epitopes from the DI/DII domain (46,47). Further structural  
285 studies would determine whether the EG conformation at the surface of the eVLPs, would  
286 present such epitopes in an optimal conformation for Ab production.

287 VLPs expressing vaccine antigens are typically more immunogenic than monomeric  
288 recombinant forms of vaccine antigens because of repeating, array-like presentation of  
289 antigens, which is a preferred means of activating a B cell response. Moreover, the  
290 particulate nature of the antigen, relative to recombinant proteins is a much better means of  
291 activating dendritic cell responses and further enhances immunity. While being a highly  
292 immunogenic means of delivering vaccine antigens, VLP-based vaccines avoid the potential  
293 safety concerns associated with whole killed or recombinant viral-based vaccines, as there is  
294 no residual host DNA/RNA and no possibility for infection.

295 When glycoproteins are expressed/present on the surface of eVLPs, one terminus of  
296 the protein is anchored within the lipid bilayer, which imposes structural constraints not  
297 readily observed with monomeric recombinant antigens. Moreover, by altering the  
298 transmembrane domain/cytoplasmic tail of the glycoprotein, and/or the core particle structure  
299 with which the cytoplasmic tail may interact, we have found that a novel presentation of

300 glycoproteins can be obtained that is associated with enrichment for antibodies with  
301 neutralizing rather than just binding activity. This was demonstrated previously with eVLP  
302 expression of a modified form of the CMV gB (25), similar to what we have observed with the  
303 EG/NS1 ZIKV vaccine candidate presented in this study. The high degree of neutralization  
304 obtained with EG/NS1 eVLPs may minimize the risk of ADE and is an attractive candidate for  
305 further development as a prophylactic vaccine against ZIKV.

306

## 307 **Material & Methods**

### 308 **Cells and viruses**

309 293SF-3F6 cell line derived from human embryonic kidney (HEK) 293 cells is a  
310 proprietary suspension cell culture provided by the National Research Council (NRC,  
311 Montreal, Canada) and grown in serum-free chemically defined media (22). All other cell  
312 types (Vero) and viruses (ZIKV strain PRVABC59 and Philippines 2013) were grown and  
313 handled by NRC or Southern Research Institute according to their internal SOPs based on  
314 American Type Culture Collection recommendations.

315

### 316 **Construction of plasmids**

317 We used the ZIKV E sequence from Suriname isolate 2015 (23) (Genbank  
318 KU312312) to construct a plasmid expressing the full length unmodified ZIKV prME and a  
319 plasmid expressing the ectodomain of E fused with the transmembrane and cytoplasmic  
320 domain of the VSV G protein (EG) (24). The ZIKV E sequences were preceded by a portion  
321 of the sequence corresponding to the last 32 aa from the Zika virus capsid, as signal peptide.  
322 For the production of VLP, we used a minimal cDNA sequence encoding a Gag polyprotein  
323 of murine leukemia virus (MLV) (Gag without its C-terminal Pol sequence) or Gag-NS1 or  
324 Gag-TR.NS1, where Gag was fused with full length NS1 or truncated NS1 consisting of the  $\beta$ -  
325 ladder domain of NS1 (aa 180 to 353). The design of the plasmids is summarized in Fig1. All

326 final sequences were synthetized and optimized for mammalian cell expression at Genscript  
327 (NJ), prior to subcloning into our in-house phCMV-expression plasmid (24).

328 All DNA plasmids were amplified in high-efficiency competent *Escherichia coli* cells  
329 and purified with an endotoxin-free preparation kit using standard methodologies.

330

### 331 **Production and purification of eVLPs**

332 eVLPs were produced using transient polyethylenimine transfection in HEK 293SF-  
333 3F6 GMP compliant cells as described previously (25). Harvests containing eVLPs were  
334 purified either by ultracentrifugation using sucrose cushion as described previously (25) or  
335 by a proprietary method that consists of tangential flow filtration concentration, diafiltration  
336 and ultracentrifugation using sucrose cushion. The final product was sterile filtered using 0.2  
337 µm membrane prior to formulation and injection into animals.

338

### 339 **Characterization and quantification of protein contents**

340 MLV-Gag, NS1 and E protein content were analyzed by western blotting as described  
341 previously (25). using mouse monoclonal anti-flavivirus group antigen clone D1-4G2-4-15  
342 (EMD Millipore) for detection of ZIKV E and mouse anti-Zika NS1 mAb (BioFront  
343 Technologies) as primary Abs, and goat anti-mouse HRP conjugate (Bethyl). Precision  
344 Protein Streptactin HRP conjugate (Bio-Rad) was used as molecular weight ladder and  
345 recombinant ZIKV E and NS1 protein as standard controls (Meridian Life Sciences). Total  
346 protein concentration in eVLP samples was determined using Bicinchoninic Acid (BCA)  
347 protein assay according to the manufacturer's instructions (Thermo-fisher Scientific). SDS-  
348 PAGE separation followed by Coomassie staining was performed to determine the total  
349 amount of E, and NS1 proteins in the eVLP samples. Briefly, protein in the samples were  
350 denatured and reduced with commercial Laemmli buffer supplemented with 50 mM DTT in a  
351 boiling water bath. Proteins were then separated in a pre-cast 4-20% polyacrylamide  
352 gradient gel, stained with commercial Coomassie stain and then de-stained per the  
353 manufacturer's instructions (BioRad). All image processing and densitometry was performed

354 with ImageLab software (BioRad). The E and NS1 contents of the eVLP samples were  
355 quantified by densitometry analysis of E, and NS1 bands that were identified separately by  
356 western blots using monoclonal antibody (see section above). The banding patterns and the  
357 protein contents of the eVLP samples were determined using BSA standard (BioRad). The  
358 MLV Gag content in each eVLP preparations was quantified using a proprietary sandwich  
359 ELISA. Briefly, the assay was performed using a combination of monoclonal antibody R87 to  
360 MLV-p30 as capture, and a rabbit polyclonal anti-MLV p30 as detection antibody, with  
361 commercially recombinant Gag as standard antigen. The samples were treated for 20 min  
362 with 0.5% SDS followed by 0.5% Triton-X for 30 min at room temperature. HRP-conjugated  
363 anti-MLV p30 polyclonal antibody was used as detection antibody and the reaction was  
364 terminated by addition of stop solution and the absorbance was measured at 450 nm. The  
365 data fitting and analysis are performed with Softmax Pro 5, using a four-parameter fitting  
366 algorithm.

367

### 368 **Electron microscopy**

369 Negative staining transmission electron microscopy (nsTEM) analysis of the eVLPs  
370 was performed at the Armand-Frappier Institute (INRS) in Montreal, Canada following  
371 standard procedures. Cryo-TEM was performed at Nanolimaging Services in San Diego, CA  
372 according to standard procedures.

373

### 374 **Animal experiments**

375 BALB/c mice were purchased from Charles River Laboratories (Saint-Constant,  
376 Quebec). The animals were allowed to acclimatize for a period of at least 7 days before any  
377 procedures were performed. IFN $\alpha$ R-/ mice have been backcrossed to a C57BL/6  
378 background and bred at the National Research Council of Canada (NRC) animal care facility.

379 Four to six-week old female mice, 8 to 10 per group depending on experiments,  
380 received 3 intraperitoneal (IP) injections of eVLPs formulated in aluminum phosphate gel,  
381 Alum Adjuvant (Adju-Phos®, Brenntag) or saline control at monthly intervals or as indicated

382 for each experiment. Blood samples were collected every 2 weeks starting 2 weeks before  
383 the first injection. Sera were either pooled or analyzed individually.

384 Challenge with 10,000 PFU of ZIKV Philippine 2013 was done 4 weeks after final  
385 injection in C57BL/6-IFN $\alpha$ R $^{-/-}$  mice by the IP route. After infection, the mice were monitored  
386 daily for body weight and clinical behavior as indicated in figure legends and blood was  
387 collected at the end of the study.

388

### 389 **Monitoring of immune response**

390 Direct ELISA described earlier (25) was used to measure Ab binding titers to  
391 recombinant E and NS1 protein from ZIKV (both from Meridian LifeScience). Plaque  
392 Reduction Neutralization Test (PRNT) was used to measure ZIKV-specific neutralizing  
393 activity in mouse sera. Vero cells were seeded at  $3 \times 10^5$  cells/mL in 24-well plates 24h prior  
394 PRNT. On the day of assay, virus and serially diluted serum samples were mixed and  
395 incubated for 1h at  $37 \pm 1^\circ\text{C}$ . Supernatant from cell-seeded 24-well plates was decanted then  
396 100 ml of virus/serum mixture was transferred from the dilution plate to the cells. After 1h  
397 adsorption, agarose-containing overlay media was added and plates were incubated at  $37^\circ\text{C}$ ,  
398 5%CO $_2$  for 3 days. The cells were fixed and stained using crystal violet solution and plaques  
399 were counted visually. The neutralizing antibody titer was expressed as the highest test  
400 serum dilution for which the virus infectivity is reduced by 50% or by 90%, as indicated in  
401 legends. NS1-specific IFN $\gamma$  and IL-5 production by ZIKV-specific splenic T cells were  
402 enumerated by Enzyme Linked ImmunoSpot (ELISPOT) assay following manufacturer's  
403 instructions (Mouse IFN- $\gamma$ /IL-5 ELISpot Basic kits; Mabtech). Mouse splenocytes were left  
404 unstimulated or stimulated with an NS1 peptide pool (PepMix ZIKV NS1 Ultra; JPT Peptide  
405 Technologies, Germany) designed to cover the high sequence diversity of ZIKV. Spots were  
406 counted at ZellNet Consulting (NJ, USA) using KS ELISPOT Reader System (Carl Zeiss,  
407 Thornwood, NY, USA) with KZ ELISPOT Software Version 4.9.16. The background was

408 defined as the number of spot forming cells (SFCs) per  $10^6$  splenocytes in non-stimulated  
409 samples.

410

#### 411 **Ethics Statement**

412 All animal (mice) studies were conducted under ethics protocols (2010.17 and  
413 2017.07), which was approved by the NRC Animal Care Committee. The mice were  
414 maintained in a controlled environment in accordance with the Canadian Council on animal  
415 care “Guide to the care and use of experimental animals” at the NRC Animal Research  
416 facility (Ottawa, Canada).

417

#### 418 **Acknowledgements**

419 We thank NRC animal resource staff (Human Health Therapeutics Research Centre)  
420 and Craig Bihun for assistance in animal studies.

421 We thank Diana Duque, Adam Asselin, Stephanie Pichette and Matthew York for their  
422 valuable contributions to the work described here.

423 This work is dedicated to the memory of Dr. Judie Alimonti (1962-2017) who began  
424 the ZIKV studies at NRC that led to the work reported herein.

425

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566

## 567 LEGENDS TO FIGURES

568 **Fig 1. Schematic representation of the ZIKV genome and ZIKV plasmid constructs.**  
569 prME design uses the unmodified full length sequence of E with 32 last aa of the capsid ( $\Delta C$ )  
570 used as signal peptide (SP). In EG construct, the ectodomain of E (aa 291-744) has been  
571 fused with the transmembrane and cytoplasmic (TM/Cyt) domain of the VSV G protein (aa  
572 468 to 511). The Gag sequence from Moloney murine leukemia virus (MLV-Gag) has been

573 fused with either the full length non-structural NS1 (Gag-NS1) or a truncated NS1 (Gag-  
574 Tr.NS1) consisting of the β-ladder domain of NS1 (aa 180 to 353).

575  
576 **Fig 2. Characterization of ZIKV monovalent eVLPs expressing the E protein. (A)**  
577 Representative nsTEM images at magnification x40,000x from eVLPs purified from culture  
578 supernatants of 293SF-3F6 cells transfected with Gag alone (Gag) or with Gag and ZIKV  
579 prME or EG constructs. **(B)** Expression of ZIKV E analyzed by Western-blot of ZIKV eVLPs  
580 and control using the anti-flavivirus mAb 4G2. eVLPs produced with Gag plasmid only (Gag  
581 eVLP) and recombinant ZIKV E (rec E) protein were used as negative and positive controls  
582 respectively.

583  
584 **Fig 3. Immunogenicity of ZIKV E eVLPs.** Four groups of 8 BALB/c mice received 2  
585 injections of eVLPs at day 0 and 28. Sera were collected 4 weeks after the second injection  
586 and analyzed individually or as pooled sera. Sera pooled from 3 non immunized mice (naïve)  
587 were used to indicate background. **(A)** IgG end point titers (EPT) were evaluated in ELISA  
588 using recombinant ZIKV E protein. EPT was determined as the first dilution that gave an OD  
589 > 0.1. **(B)** The neutralization activity was determined in PRNT with a 50% threshold as  
590 described in Materials and Methods.

591  
592 **Fig 4. Production of bivalent eVLP expressing ZIKV E and NS1 proteins. (A)** Gag  
593 amount was measured by SDS-PAGE densitometry in eVLPs preparations produced with 0.2  
594 or 0.4 µg/mL of either Gag, Gag-NS1 or Gag-Tr.NS1 used alone or in combination with EG  
595 plasmid. Results are shown as the concentration of Gag in µg/mL in each preparation. **(B)**  
596 Western blot analysis was performed to detect E and NS1 protein in eVLPs preparations.  
597 5µg of each test particles were loaded on acrylamide gel. Recombinant proteins are used for  
598 positive controls (rec.E; rec.NS1), and Gag eVLP produced with plasmid Gag alone were  
599 used as negative control. Western blot analysis was performed using anti-ZIKV NS1 mAb,  
600 and anti-flavivirus antigen mAb 4G2.1; 1, molecular weight ladder; 2, EG eVLPs; 3, NS1

601 eVLPs; 4, EG/NS1 eVLPs; 5, Gag eVLPs; 6, rec.E; 7, rec.NS1; 8, prME eVLPs. **(C)** CryoEM  
602 images from eVLPs expressing ZIKV proteins prME, EG or EG/NS1. EM imaging was  
603 performed from highly purified eVLPs samples using an FEI Tecnai T12 electron microscope,  
604 operating at 120keV equipped with an FEI Eagle 4k x 4k CCD camera. Vitreous ice grids  
605 were transferred into the electron microscope using a cryostage that maintains the grids at a  
606 temperature below -170 °C. Selected images at magnification of 21,000x (0.50 nm/pixel) are  
607 shown with scale bar 200nm. Arrows identify glycoprotein spikes on the surface of bivalent  
608 EG/NS1 eVLPs.

609  
610 **Fig 5. Antibody binding and neutralizing activity of anti-ZIKV serum.** Three groups of 8  
611 BALB/C mice received injections of eVLPs at day 0, 28 and 56. Groups were defined as  
612 follow: Group 1= EG eVLPs (EG), Group 2= combination of monovalent EG eVLPs + NS1  
613 eVLPs (EG+NS1), Group 3= bivalent EG/NS1 eVLPs (EG/NS1). Amount of particles for each  
614 injection were adjusted to give 5 $\mu$ g of EG in all groups and 9 $\mu$ g of NS1 in groups 2 and 3.  
615 Anti-ZIKV antibody titers and neutralizing activity were measured at the end of the study (6  
616 days after third injection). **(A)** anti-ZIKV E IgG EPT in individual sera from group EG and  
617 EG/NS1 and in pooled sera from EG+NS1 group and 3 mice recovering from ZIKV infection  
618 (ZIKV). **(B)** 50% plaque reduction neutralization titers (PRNT50%) in Vero cells were  
619 analyzed in the same samples than in (A). **(C)** anti-NS1 IgG EPT measured by ELISA  
620 against recombinant NS1 in individual sera. Statistical analysis was performed using non-  
621 parametric T test.

622  
623 **Fig 6. IFN $\gamma$  and IL-5 production in BALB/C mice immunized with ZIKV eVLPs.** At week  
624 10, 4 mice per group randomly picked from the experiment described in Fig.5 were sacrificed  
625 and splenic T cells were cultured with NS1 peptides to measure the production of IFN $\gamma$  **(A)**  
626 and IL-5 **(B)** by ELISPOT assay. Statistical analysis was performed using non-parametric T  
627 test.

628

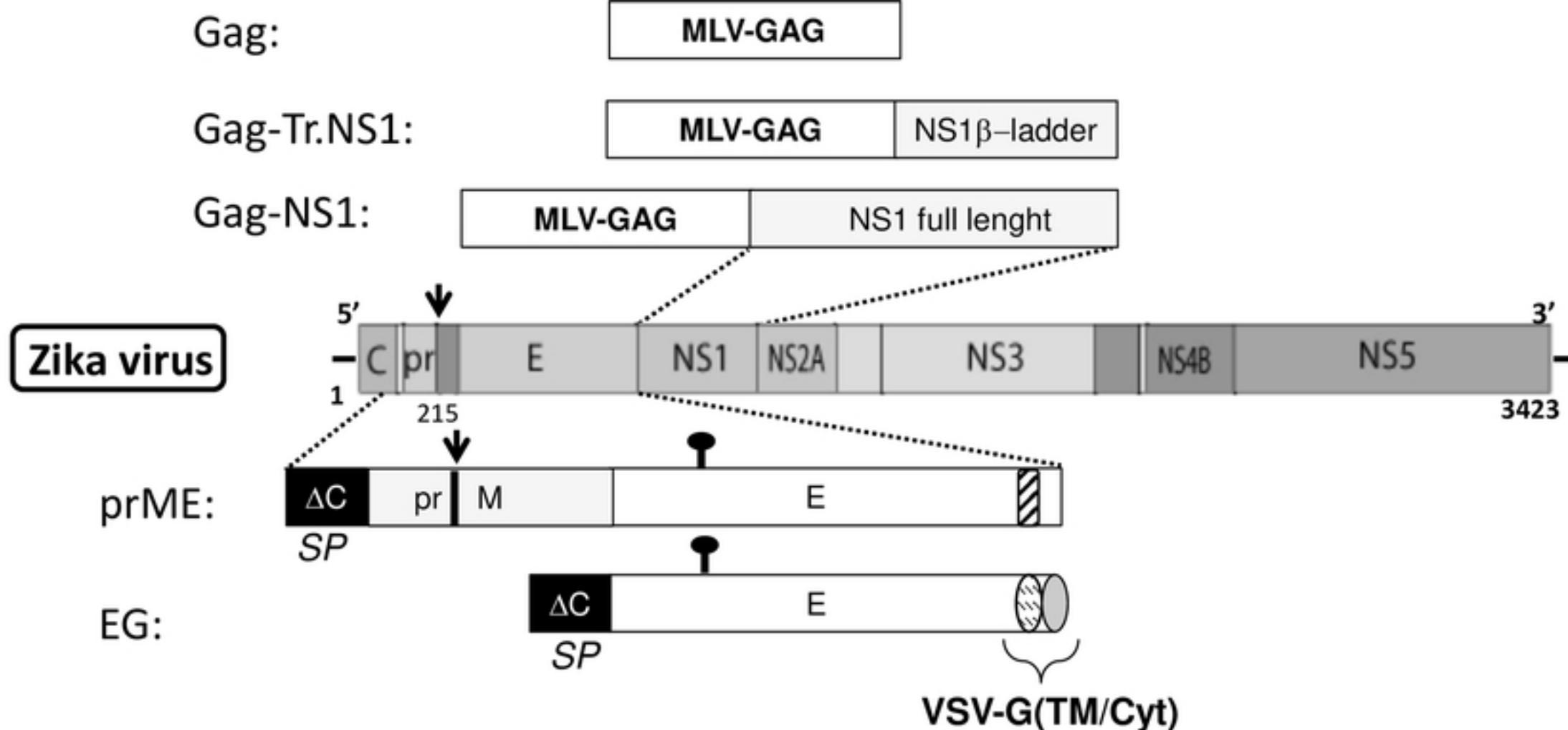
629 **Fig 7. Induction of neutralization antibody response in C57BL/6 $\alpha$ R $^{-/-}$  mice after**  
630 **immunization with ZIKV eVLPs.** Two experiments, exp.1 and exp.2, were conducted using  
631 a similar protocol. Four groups of 10 females C57BL/6 $\alpha$ R1 $^{-/-}$  mice received injections of ZIKV  
632 eVLPs and control (saline or Gag eVLP) at day 0, 28 and 56. Sera were collected every 2  
633 weeks. **(A)** Kinetics of anti-ZIKV E IgG titers measured by ELISA in individual sera from mice  
634 in exp.1. Data are expressed as mean +/- standard deviation of IgG EPT as a function of  
635 time. **(B)** Representation of IgG EPT measured in individual sera in both experiments using  
636 specific ELISA against ZIKV E protein. **(C)** Representation of neutralizing activity in individual  
637 sera in both experiments as evaluated by PRNT90% in Vero cells.

638

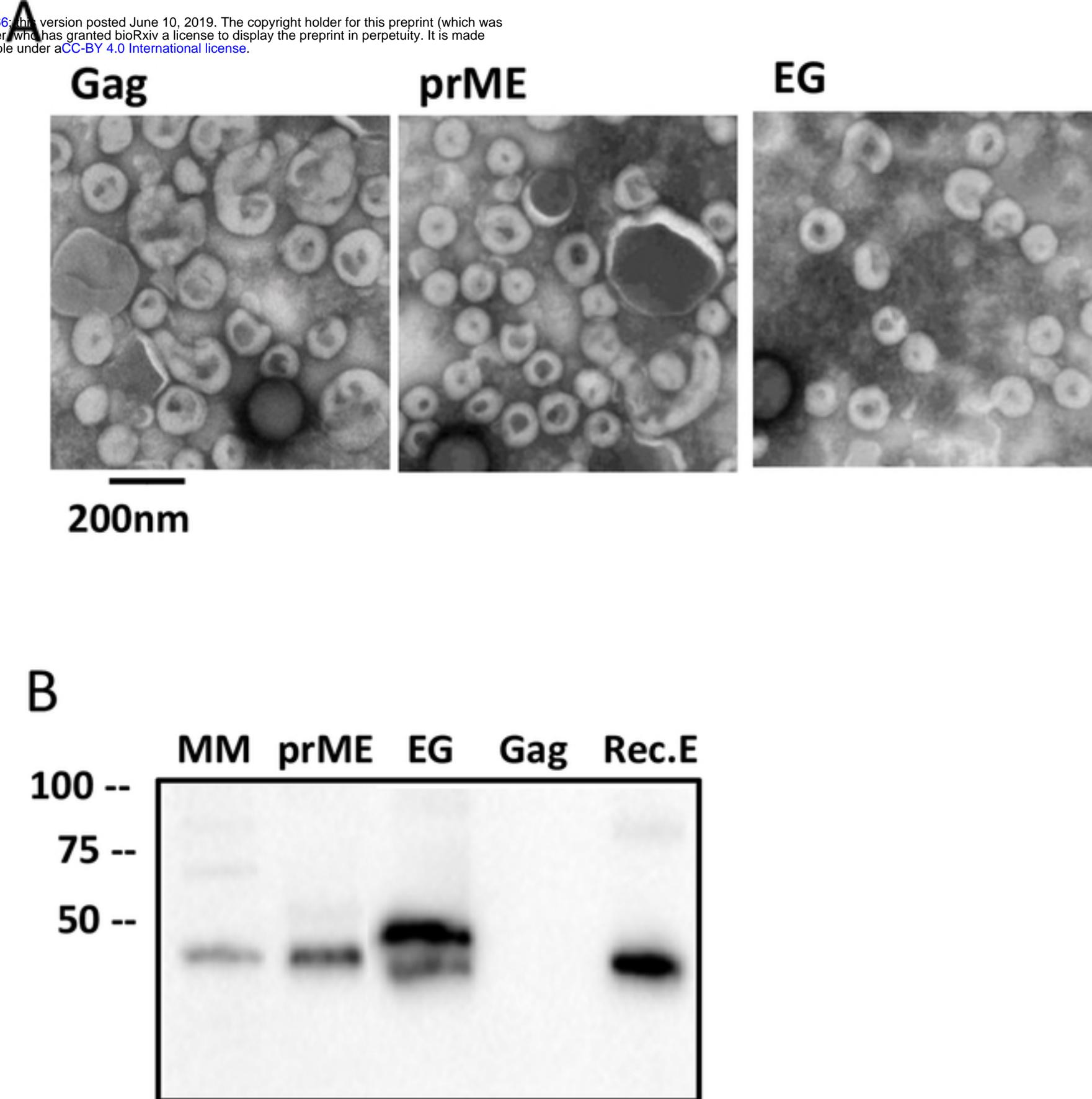
639 **Fig 8. Ratio of IgG1/IgG2b anti-ZIKV E in mice immunized with ZIKV eVLPs.** Isotype  
640 usage was determined by specific ELISA using HRP conjugate goat Ab against mouse IgG1  
641 and IgG2 (Bethyl). Results are expressed as the ratio of IgG1 to IgG2b. Statistical analysis  
642 was performed using a non-parametric test.

643

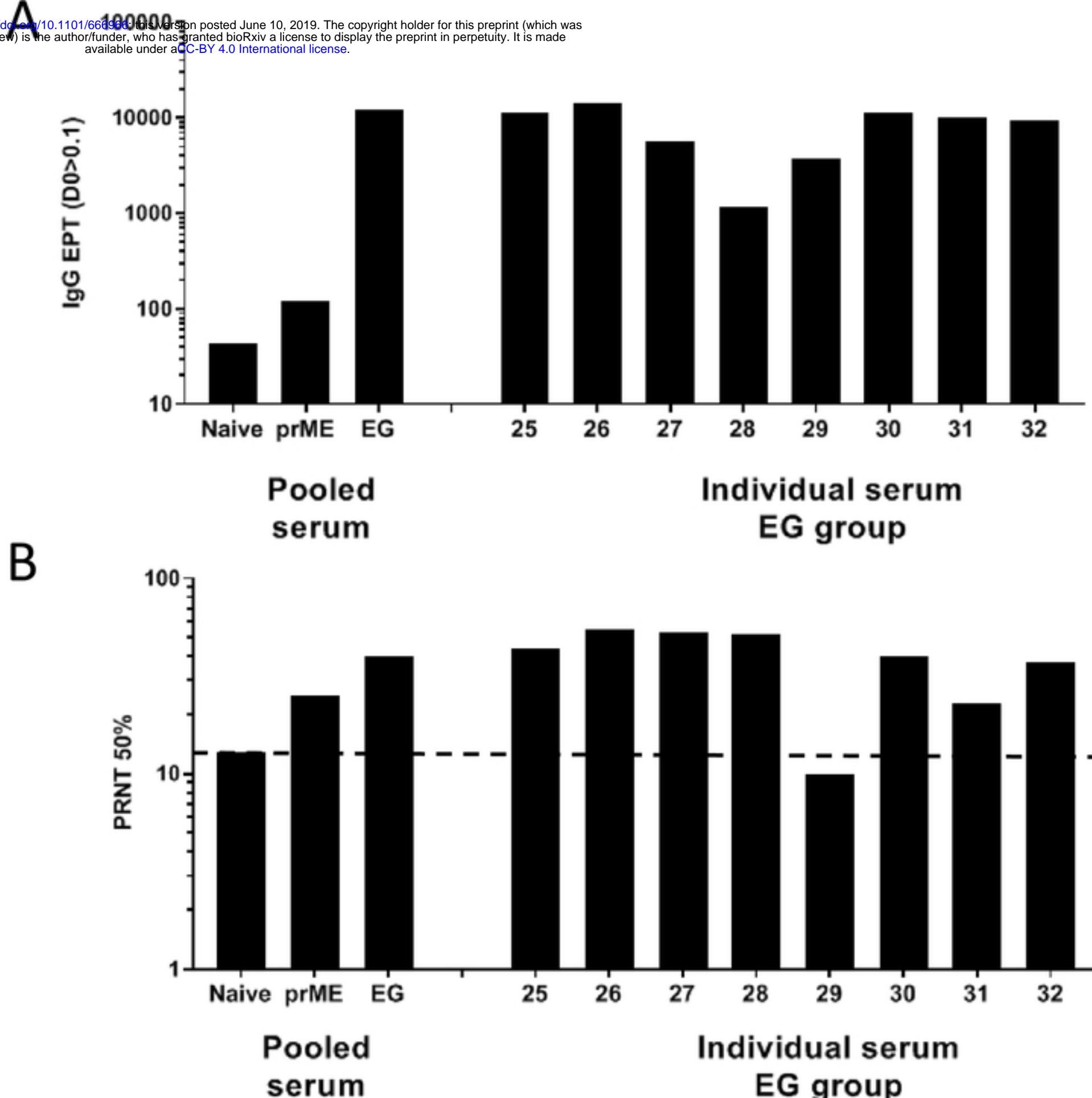
644 **Fig 9. Clinical evaluation of immunized mice after ZIKV injection.** Mice from exp.1 and  
645 exp.2 described on Fig 7 were inoculated with 10,000 PFU of ZIKV Philippine 2013 at 4  
646 weeks after final injections or eVLPs and control PBS. **(A-B)** Mice were monitored daily for  
647 weight change. The figure represents the kinetic of weight change from ZIKV injection to day  
648 10 after injection. **(C)** Each point on the figure represent the highest degree of paralysis  
649 scored for each mouse during the full course of daily observation from day 8 to day 11 post  
650 challenge. Hind leg paralysis was scored as follow: 0= no paralysis, 1= unilateral paralysis,  
651 2= bilateral paralysis. Statistics were performed using Kruskal-Wallis non-parametric test  
652 followed by Dunn' multiple comparisons test. Adjusted p values are shown.



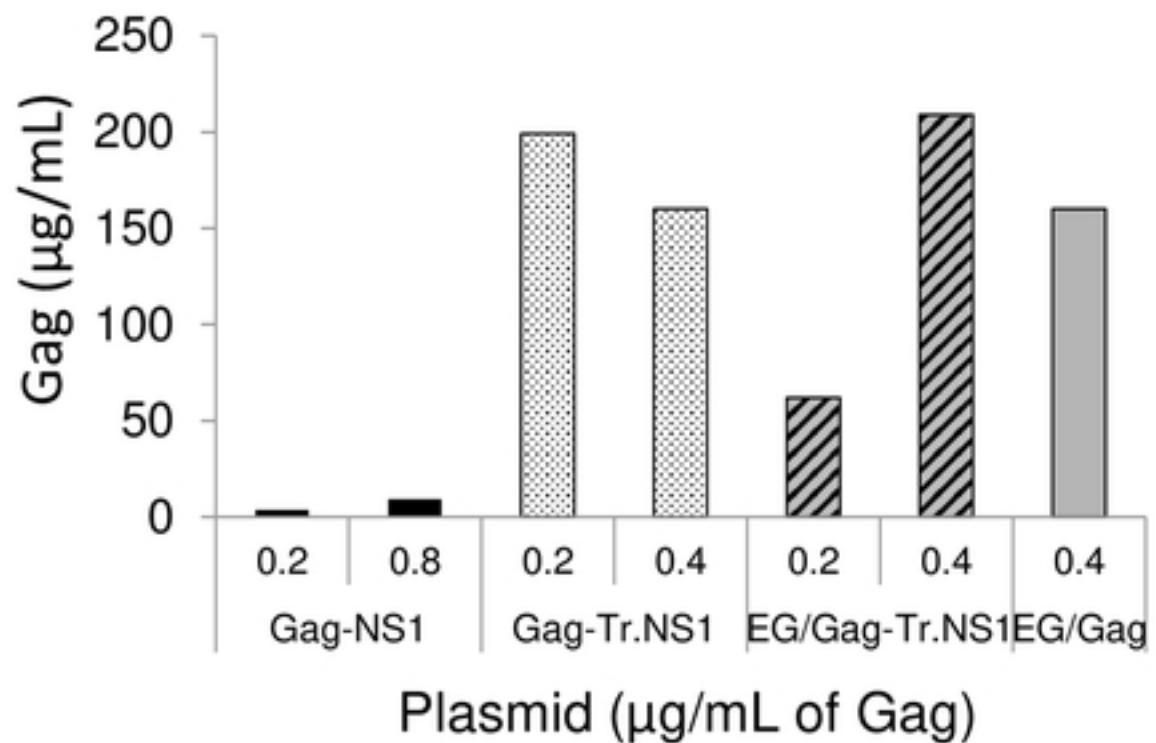
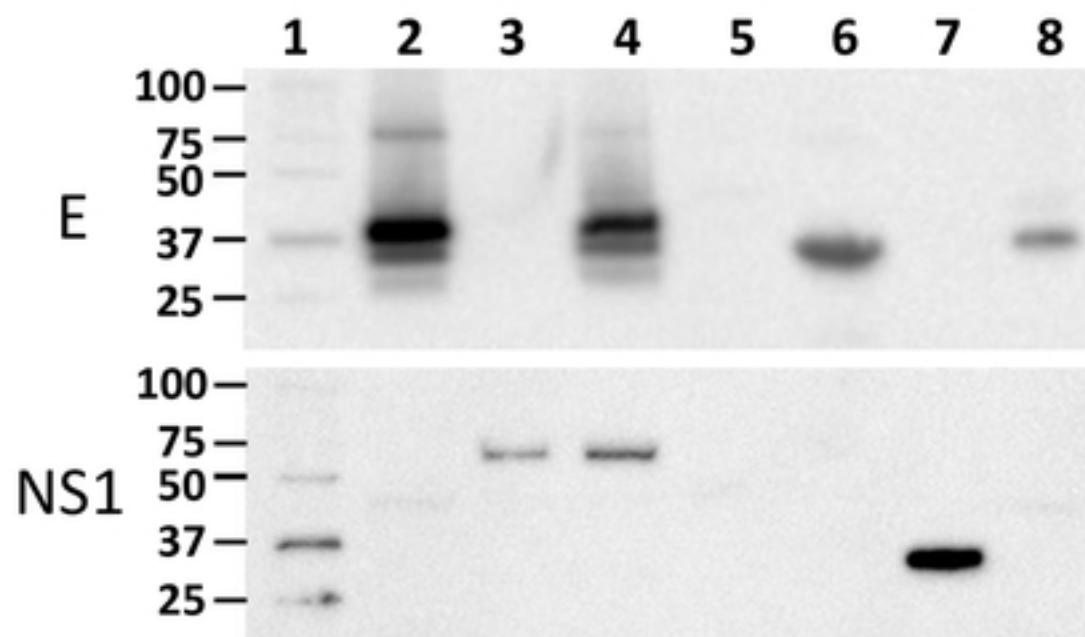
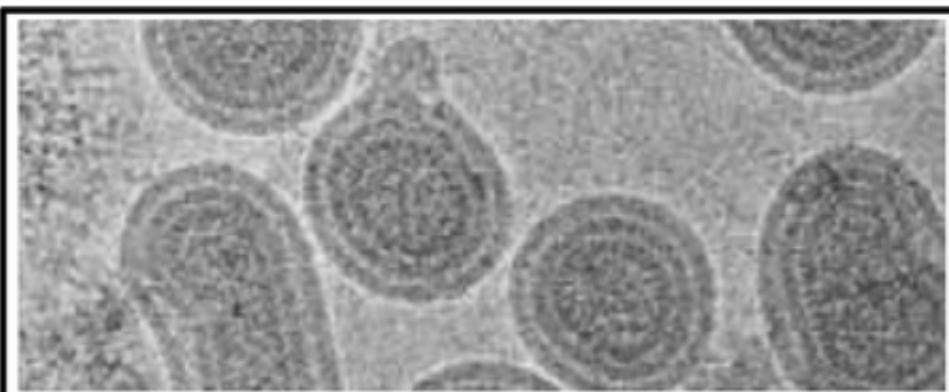
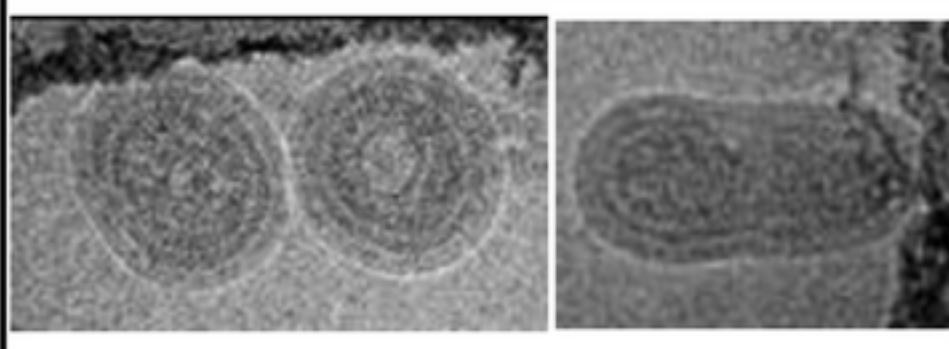
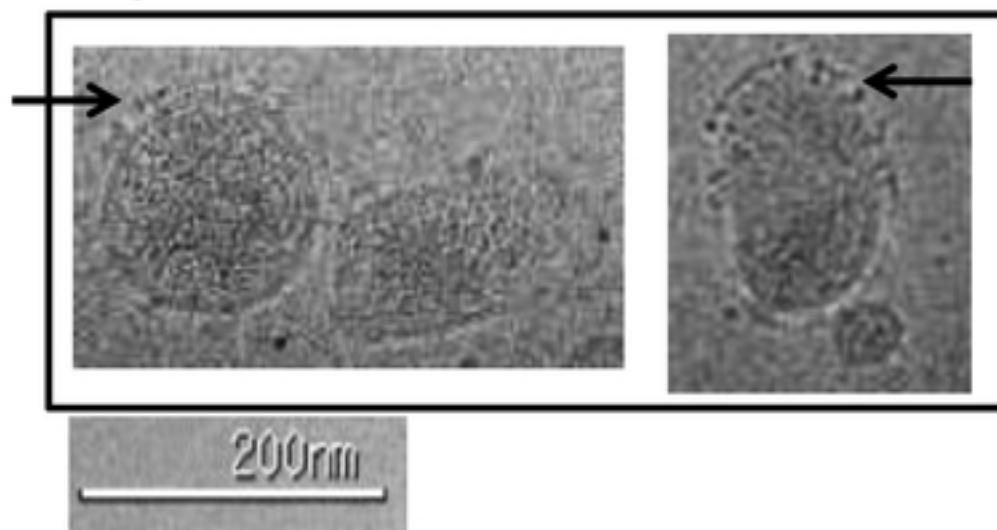
**FIGURE 1**  
**Fig.1**



**FIGURE 2**  
**Fig.2**



**FIGURE 3**  
**Fig.3**

**A****B****C****prME****EG****EG/NS1**

**FIGURE 4**  
**Fig.4**

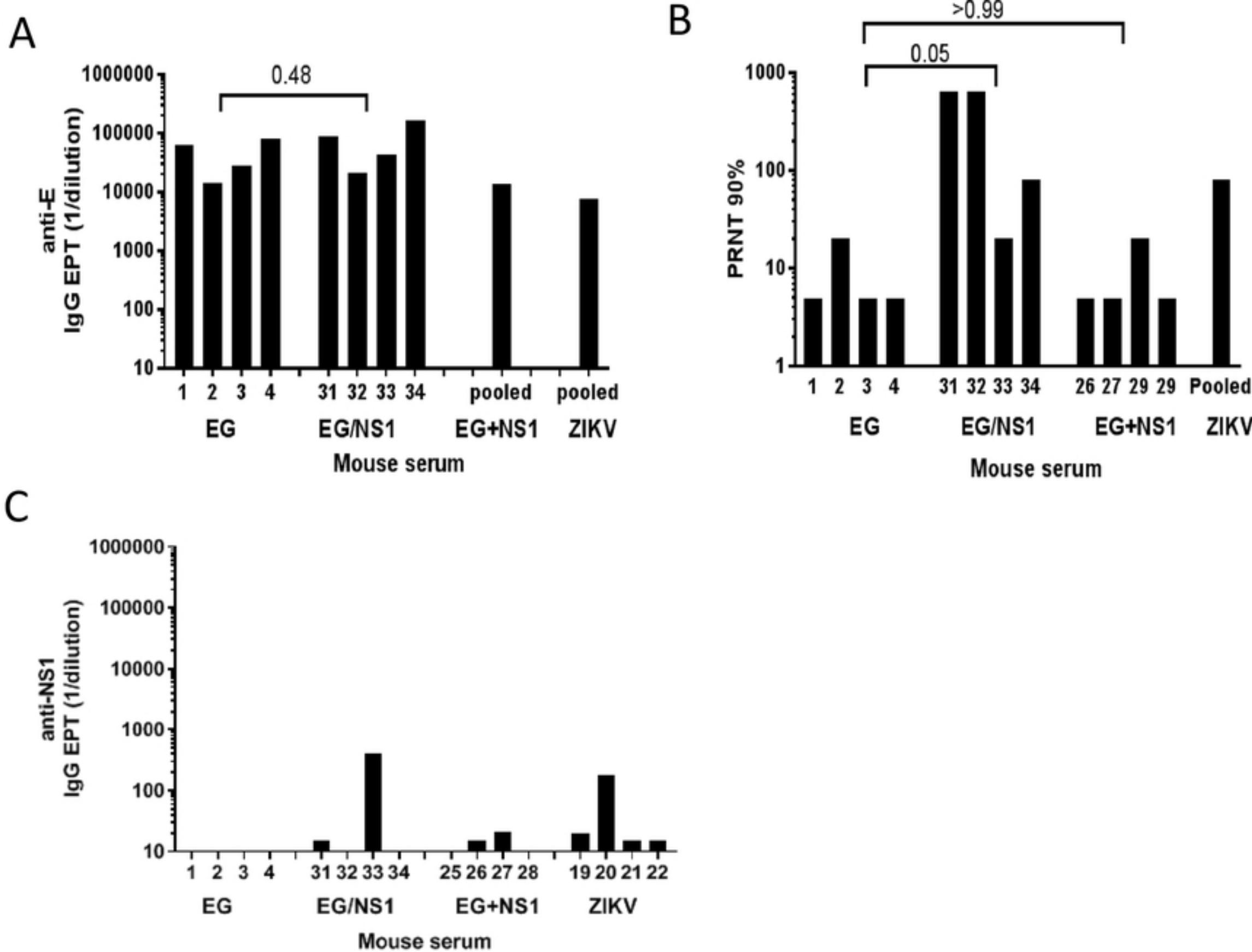
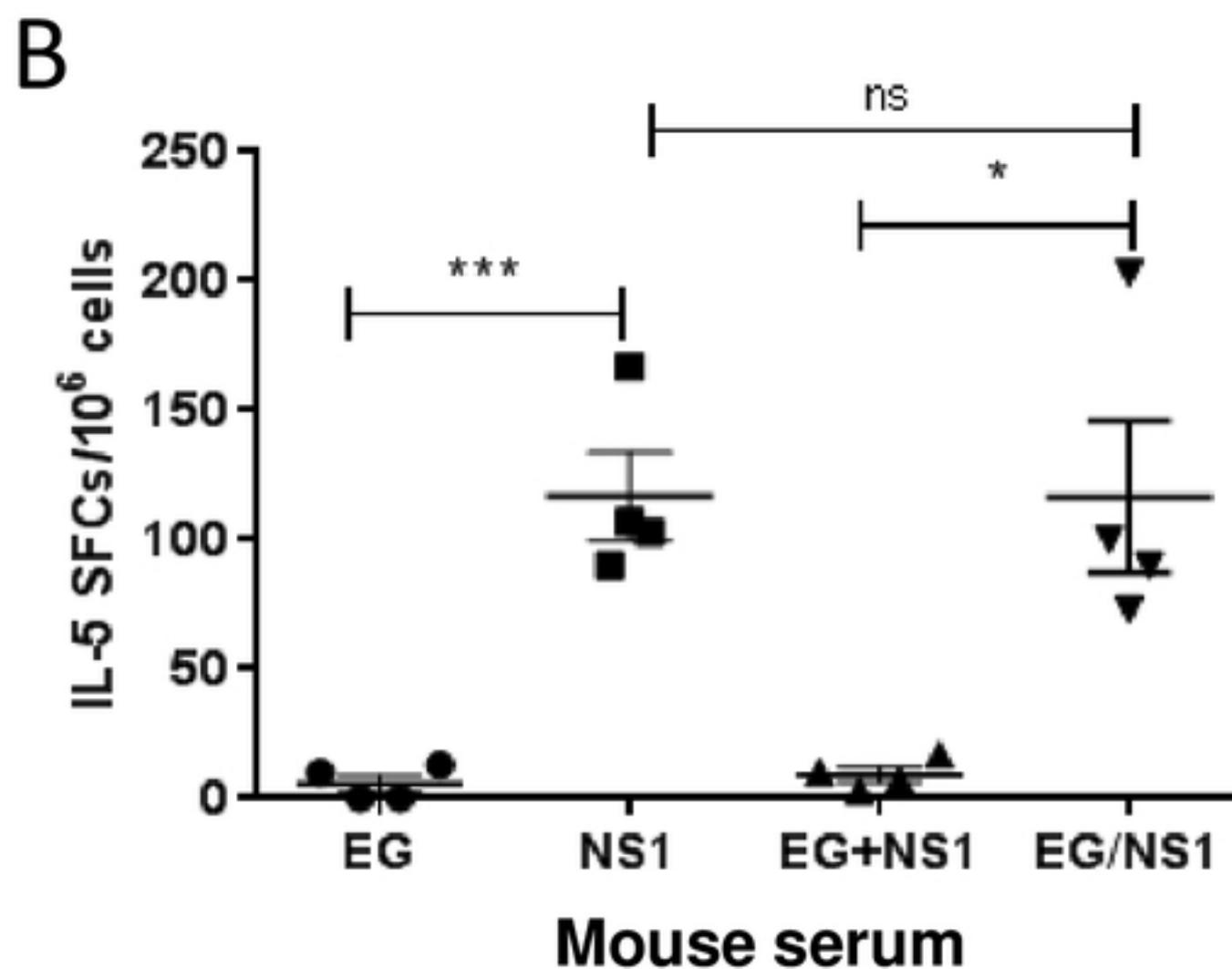
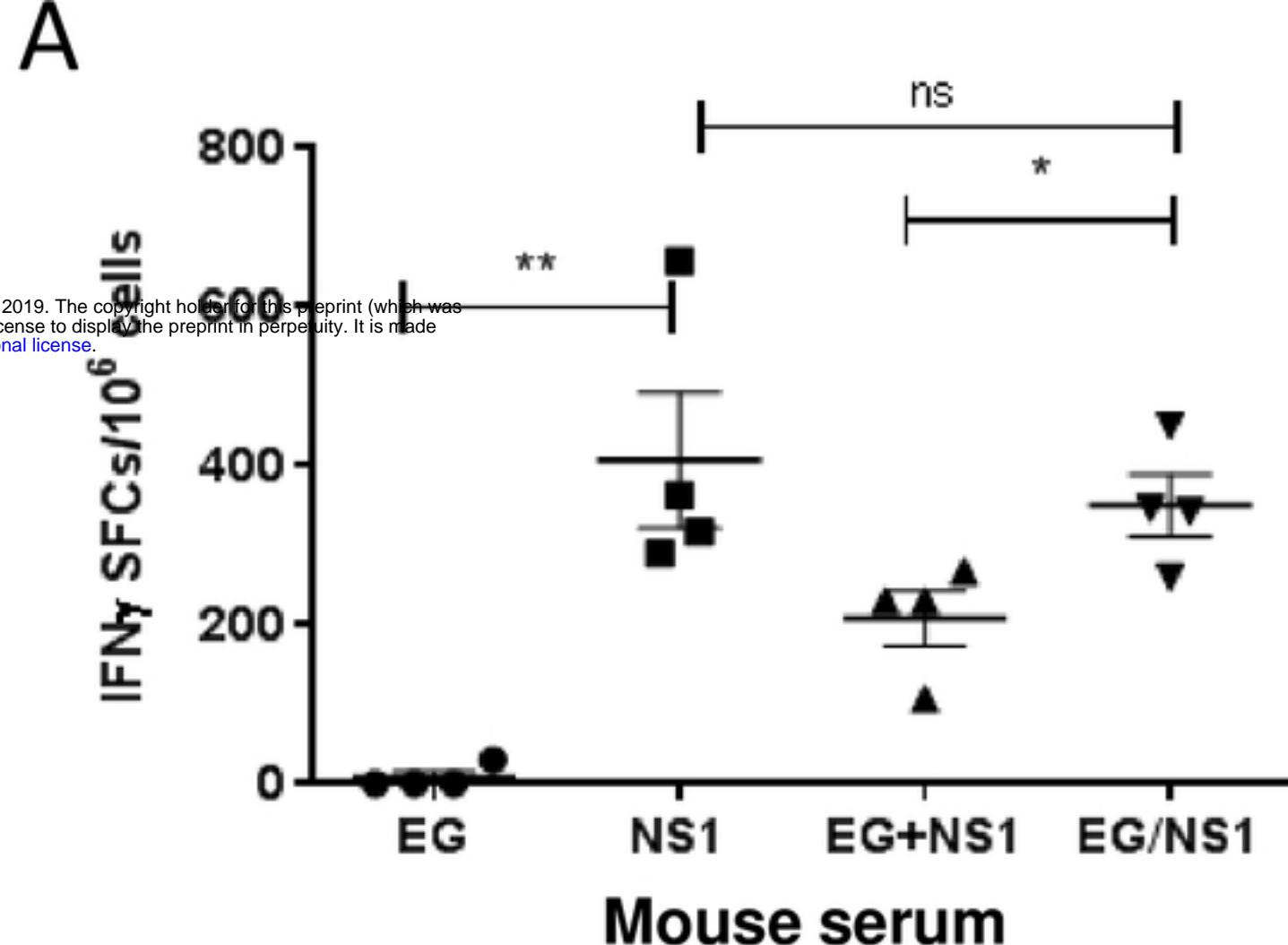
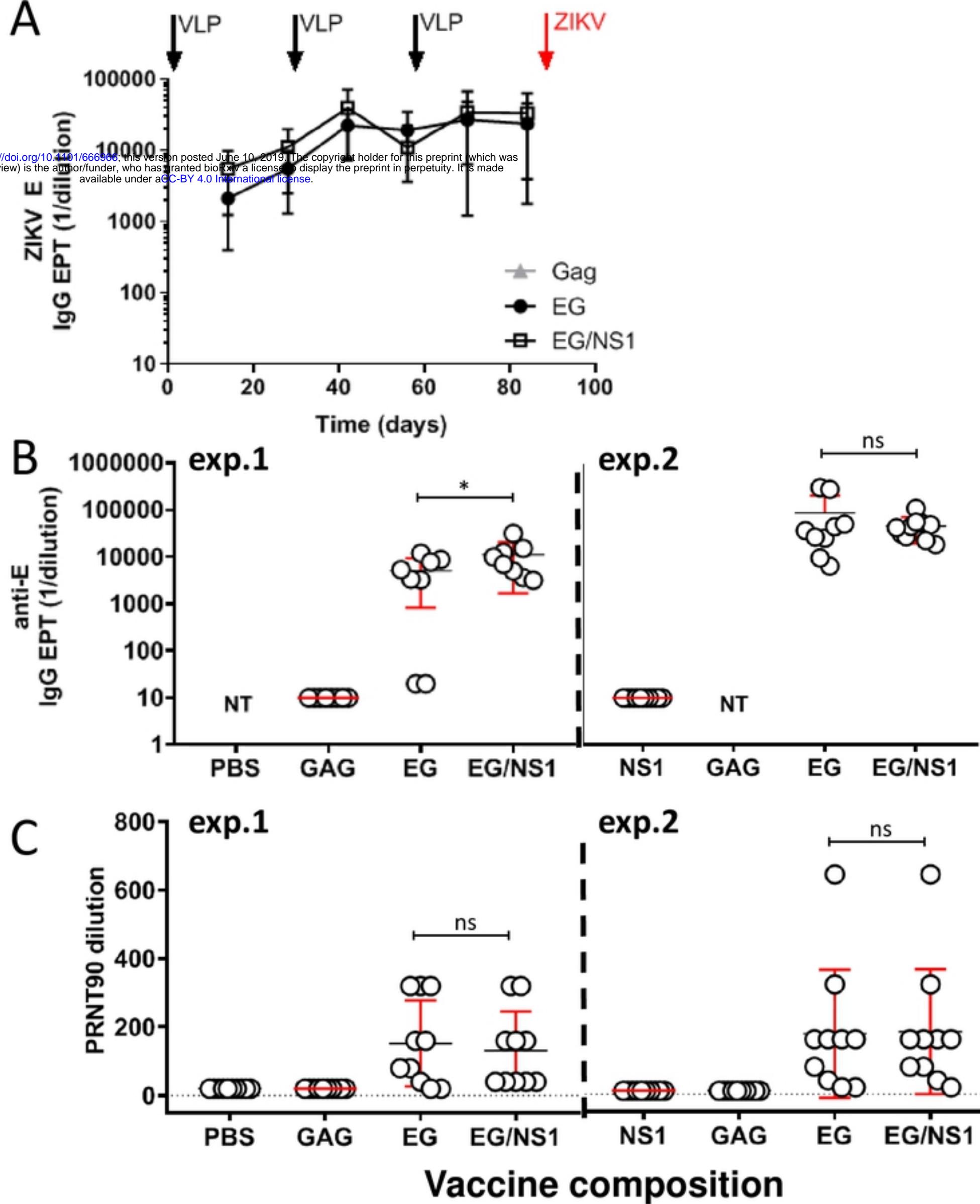


FIGURE 5  
Fig.5



## FIGURE 6

Fig.6



**FIGURE 7**

**Fig.7**

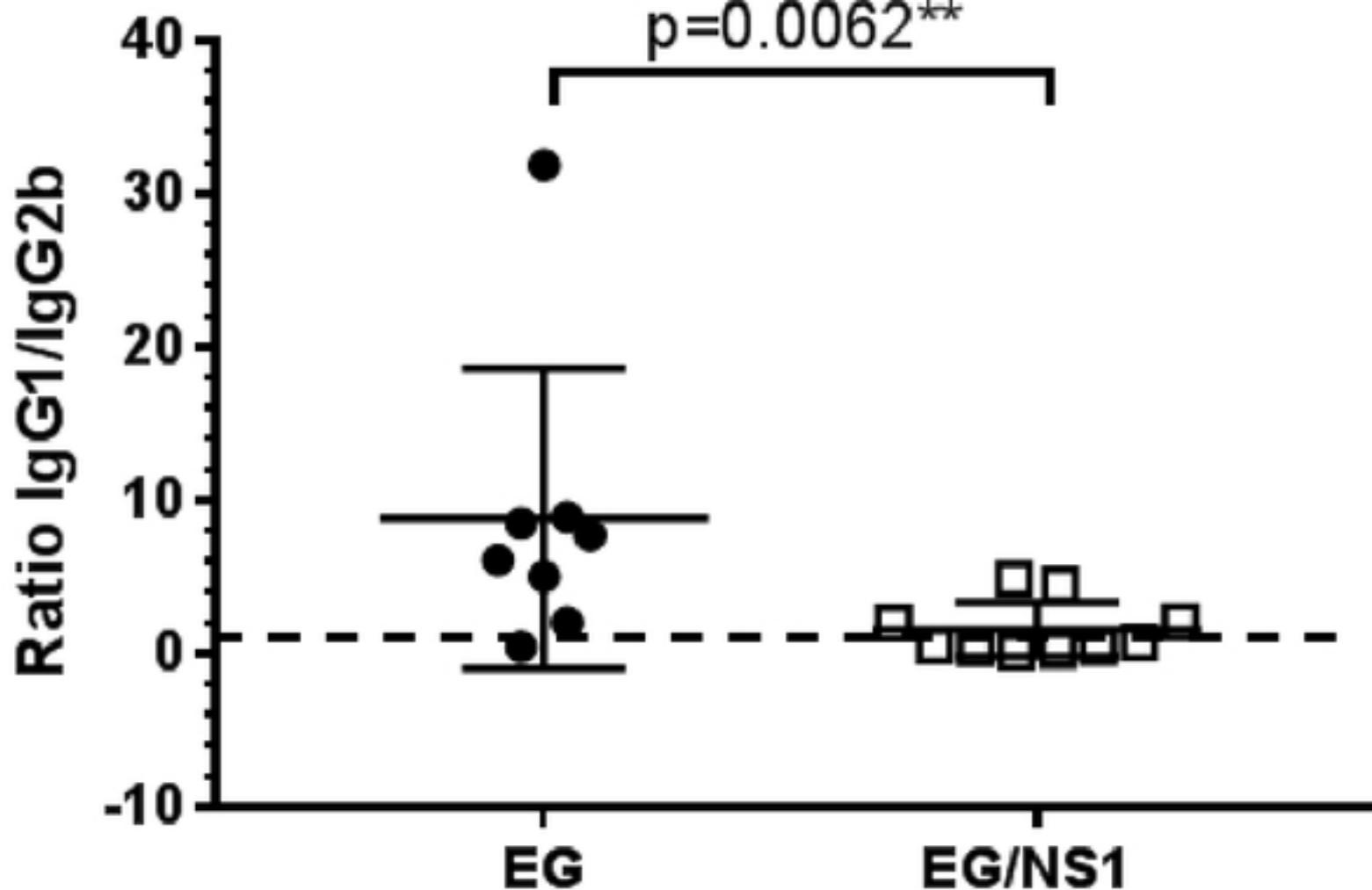


FIGURE 8  
Fig.8

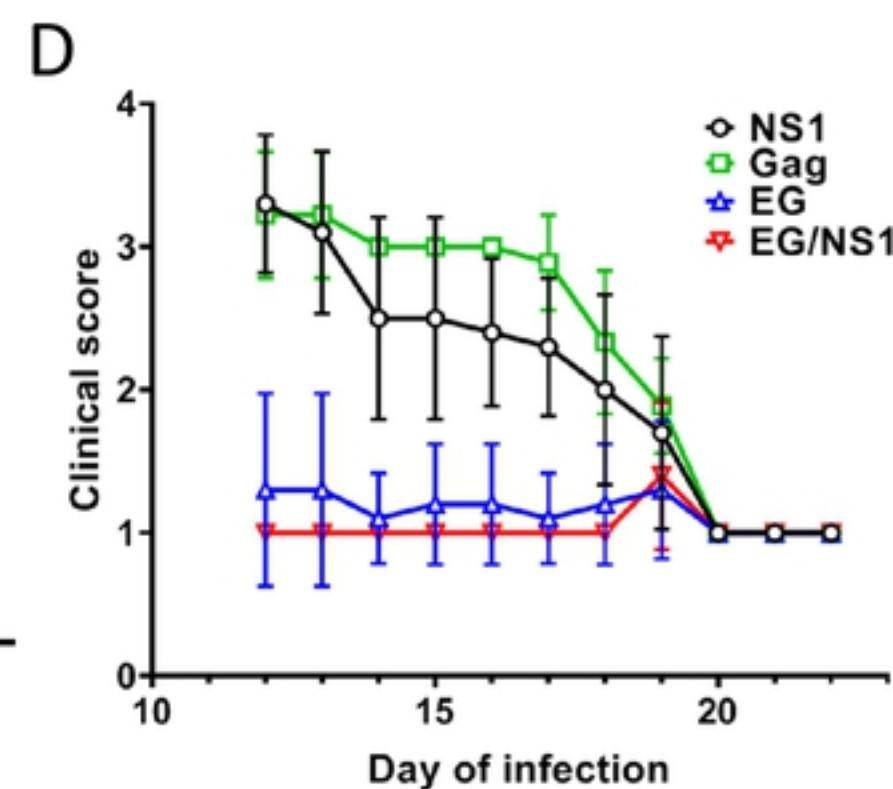
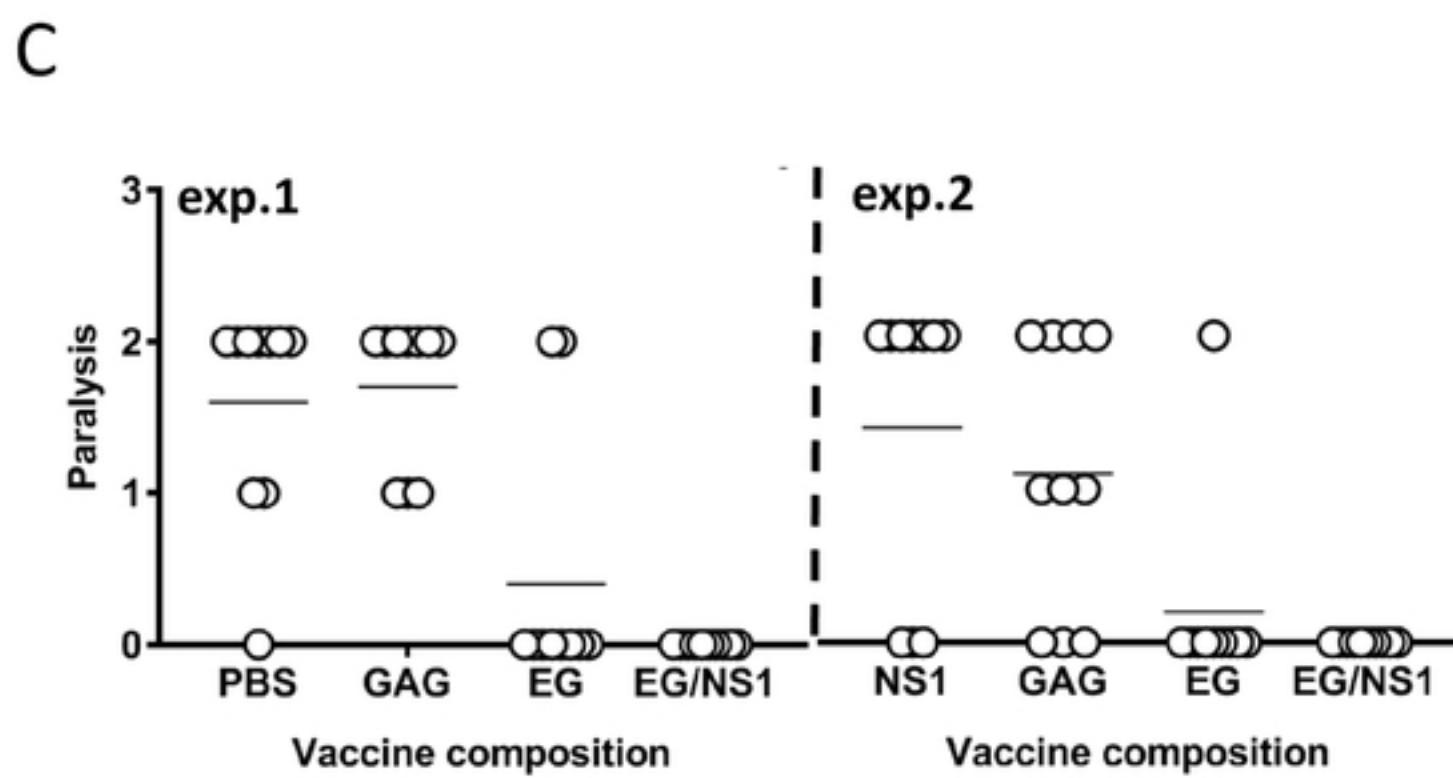
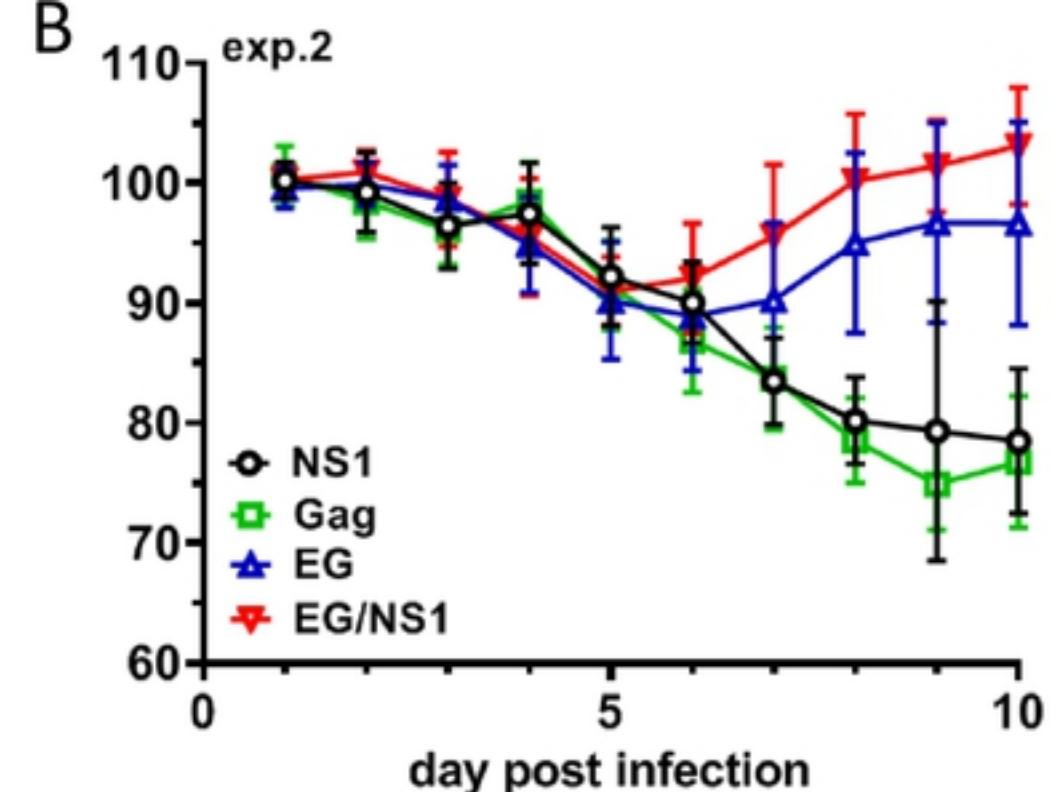
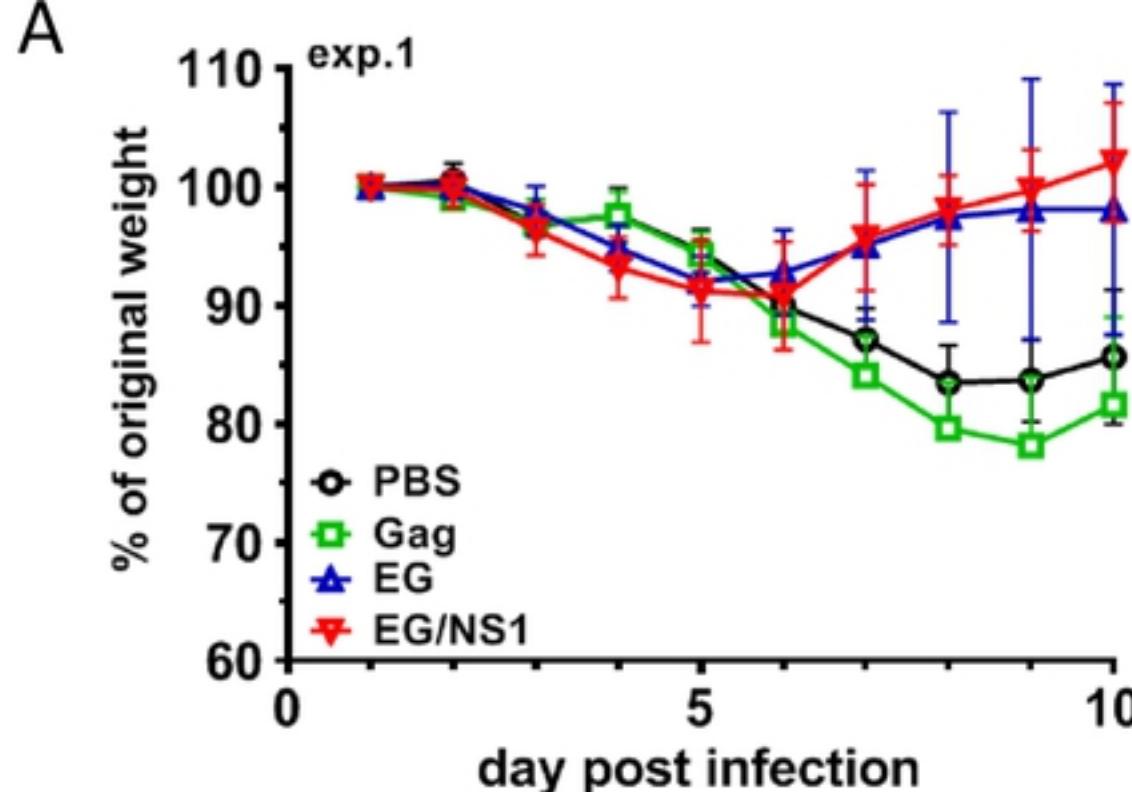


FIGURE 9  
Fig.9