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2       **HCMV miR-US22 down-regulation of EGR-1 regulates CD34+  
3        hematopoietic progenitor cell proliferation and viral reactivation**  
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6       **Iliyana Mikell<sup>1</sup>, Lindsey B. Crawford<sup>1</sup>, Meaghan H. Hancock<sup>1</sup>, Jennifer Mitchell<sup>1</sup>,  
7        Jason Buehler<sup>2</sup>, Felicia Goodrum<sup>2</sup>, Jay A. Nelson<sup>1\*</sup>**

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9       <sup>1</sup>Vaccine and Gene Therapy Institute, Oregon Health & Science University, Beaverton,  
10      Oregon, 97006, USA

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12      <sup>2</sup>Department of Immunobiology, BIO5 Institute, University of Arizona, Tucson, Arizona,  
13      85721, USA

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16      Short Title: HCMV miR-US22 targets EGR-1 to reactivate latent virus

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19  
20      \*Corresponding Author  
21      E-mail: nelsonj@ohsu.edu (JAN)

## 22 **Abstract**

23 Reactivation of latent Human Cytomegalovirus (HCMV) in CD34+ hematopoietic  
24 progenitor cells (HPCs) is closely linked to hematopoiesis. Viral latency requires  
25 maintenance of the progenitor cell quiescence, while reactivation initiates following  
26 mobilization of HPCs to the periphery and differentiation into CD14+ macrophages. Early  
27 growth response gene 1 (EGR-1) is a transcription factor activated by Epidermal growth  
28 factor receptor (EGFR) signaling that is essential for the maintenance of CD34+ HPC  
29 self-renewal in the bone marrow niche. Down-regulation of EGR-1 results in mobilization  
30 and differentiation of CD34+ HPC from the bone marrow to the periphery. In the current  
31 study we demonstrate that the transcription factor EGR-1 is directly targeted for down-  
32 regulation by HCMV miR-US22 that results in decreased proliferation of CD34+ HPCs  
33 and a decrease in total hematopoietic colony formation. We also show that an HCMV  
34 miR-US22 mutant fails to reactivate in CD34+ HPCs, indicating that expression of EGR-  
35 1 inhibits viral reactivation during latency. Since EGR-1 promotes CD34+ HPC self-  
36 renewal in the bone marrow niche, HCMV miR-US22 down-regulation of EGR-1 is a  
37 necessary step to block HPC self-renewal and proliferation to induce a cellular  
38 differentiation pathway necessary to promote reactivation of virus.

39

## 40 **Author summary**

41 Human cytomegalovirus (HCMV) is a widespread herpesvirus that persists in the host  
42 and remains a significant cause of morbidity and mortality in solid organ and stem cell  
43 transplant patients. HCMV latency is complex, and the molecular mechanisms for  
44 establishment, maintenance, and reactivation from latency are poorly understood.

45 Quiescent stem cells in the bone marrow represent a critical reservoir of latent HCMV,  
46 and the mobilization and differentiation of these cells is closely linked to viral reactivation  
47 from latency. HCMV encodes small regulatory RNAs, called miRNAs that play important  
48 roles in the regulation of viral and cellular gene expression. In this study, we show that  
49 HCMV miR-US22 targets Early growth response gene 1 (EGR-1) a host transcription  
50 factor that is necessary for stem cell quiescence and self-renewal in the bone marrow.  
51 Expression of this miR-US22 down-regulates expression of EGR-1 that reduces CD34+  
52 HPCs proliferation and total hematopoietic colony formation. An HCMV miR-US22 mutant  
53 is unable to reactivate from latency suggesting that the ability of the miRNA to disrupt  
54 CD34+ HPC renewal in the bone marrow niche to initiate a differentiation pathway is  
55 critical for viral reactivation.

56

## 57 **Introduction**

58 Human cytomegalovirus (HCMV) remains a significant cause of morbidity and mortality  
59 in solid organ and hematopoietic stem cell transplant patients [1-3]. CD34+ hematopoietic  
60 progenitor cells (HPCs) represent a critical reservoir of latent HCMV in the transplant  
61 recipient, providing a source of virus for dissemination to visceral organs. HCMV latency  
62 is complex, and the mechanisms for establishment and maintenance of HCMV latency  
63 and reactivation of virus are poorly understood at the molecular level. HCMV reactivation  
64 is exquisitely linked to CD34+ HPC hematopoiesis and differentiation into myeloid lineage  
65 cells [4, 5]. Viral regulation of the CD34+ HPC hematopoiesis program is considered a  
66 major determinant of HCMV latency and reactivation. Activation of growth factor receptor  
67 signaling that induces transcriptional reprogramming is necessary to both maintain

68 CD34+ HPCs in a quiescent state and induce myelopoiesis. Viral regulation of these  
69 events determines whether the HCMV remains latent or initiates the reactivation program.

70 Establishment of latency likely involves both the expression of viral factors  
71 suppressive of replication and a cellular environment that supports the epigenetic  
72 silencing of the viral genome (reviewed in [6, 7]). The latent state is characterized by the  
73 absence of the gene expression repertoire that is otherwise associated with virion  
74 production in fibroblasts [8]. Reactivation of viral gene expression is closely tied to  
75 mobilization of HPCs to the periphery and differentiation into CD14+ monocytes [9-11]. In  
76 infected individuals the viral genome is maintained at very low copy numbers, and  
77 detection of viral gene expression *in vivo* is challenging, hence experimental models of  
78 cultured CD34+ HPCs have been instrumental in studying molecular models of latency  
79 and reactivation (discussed in [12]).

80 Early growth response gene 1 (EGR-1) is a member of a family of sequence-  
81 specific zinc finger transcription factors that was originally characterized as an oncogene  
82 [13-16] but was later observed to be important in multiple cellular processes, including  
83 cell proliferation, differentiation, and apoptosis (reviewed in [17]). EGR-1 is activated by  
84 epidermal growth factor receptor (EGFR) signaling that is an important regulator of normal  
85 hematopoiesis through the control of key cell cycle regulators, cytokines, and co-  
86 stimulatory molecules [18, 19]. EGR-1 expression in CD34+ HPCs promotes “stemness”  
87 (self-renewal and lack of differentiation) in the bone marrow niche [18]. Consequently,  
88 deletion of the EGR-1 gene in mice promotes CD34+ HPC differentiation and migration  
89 to the periphery [18]. Importantly, EGR-1 plays a dual role in the development of myeloid  
90 cells during hematopoiesis. In a subset of progenitor cells, expression of Egr-1 inhibits

91 the differentiation of myeloid precursor cells along the macrophage lineage [20], while in  
92 monocytes EGR-1 potentiates terminal macrophage differentiation [21]. Therefore, the  
93 timing of EGR-1 expression is an important determinant of CD34+ HPC myelopoiesis.

94 EGFR and downstream PI3K signaling are important for establishing and  
95 maintaining a latent infection in CD34+ HPCs [22, 23]. HCMV stimulates EGFR upon  
96 entry into CD34+ HPCs and then is thought to induce an environment primed for the  
97 establishment of latency. Contrary to infection of fibroblasts that support virus replication,  
98 EGFR cell surface levels are transiently increased during infection of CD34+ cells [22].  
99 The HCMV proteins UL138 and UL135 oppose one another in regulating the trafficking  
100 of EGFR and, thus, its capacity for signaling. UL135 targets EGFR for turnover through  
101 its interaction with the host adapter proteins Abi-1 and CIN85 [24]. These UL135-host  
102 protein interactions and the attenuation of EGFR and its downstream signaling are  
103 important for HCMV reactivation in CD34+ HPCs [22, 24].

104 HCMV, similar to other herpesviruses, encodes multiple miRNAs [25, 26]  
105 expressed during both the viral lytic and latent phases of infection (reviewed in [27]).  
106 HCMV miRNAs regulate the expression of cellular and viral genes involved in viral  
107 replication [28, 29], formation of the viral assembly compartment [30], inhibition of  
108 proinflammatory cytokines production and release [31, 32], immune evasion [33-35], and  
109 promotion of cell survival [36]. A common theme among herpesviruses is that viral  
110 miRNAs target both viral and cellular transcripts in order to restrict acute viral replication  
111 and maintain the latent state. For example, HCMV miR-UL112-1-3p directly targets the 3'  
112 UTR of IE72 that limits lytic gene expression and tempers virus replication [28].  
113 Conversely, both HSV and EBV induce cellular miRNAs to target viral lytic switches of

114 HSV-1 (ICP0) directly [37], or EBV (BZLF1) indirectly [38]. Regulation of the cell cycle  
115 and the cellular differentiation state are also a critical determinant of whether HCMV  
116 maintains a latent state in CD34+ HPCs or stimulates cellular differentiation resulting in  
117 viral reactivation. HCMV miR-US25-1 was shown to target five cell cycle genes, including  
118 cyclin E2, and several genes that modify DNA chromatin [39]. Lastly, HCMV miR-US25-  
119 2-3p was shown to decrease both viral and cellular DNA synthesis and cell proliferation  
120 [40, 41]. These data suggest that HCMV miRNAs promote a cellular state associated with  
121 reduced replication in order to maintain viral latency. Thus, the regulation of both viral and  
122 cellular genes by HCMV miRNAs provides an important mechanism to maintain latency  
123 or initiate reactivation without the expression of viral proteins that could trigger an immune  
124 response to the infected cell.

125 In the current study, we demonstrate that HCMV miR-US22 efficiently down-  
126 regulates EGR-1 expression that results in a decrease in total hematopoietic colony  
127 formation and progenitor cell proliferation. Additionally, mutation of miR-US22 in the virus  
128 significantly reduced the ability of HCMV to reactivate in CD34+ HPCs. These data  
129 indicate that miR-US22 regulation of EGR-1 expression is a necessary step in viral  
130 reactivation from latency.

131

## 132 **Results**

### 133 **HCMV miR-US22 Down-Regulates Expression of EGR-1**

134 Bioinformatics analysis of potential HCMV miRNA cellular targets indicates that EGFR  
135 signaling is one of the most heavily targeted signaling pathways (data not shown). Since  
136 EGR-1 is activated downstream of EGFR signaling and is a key transcription factor

137 regulating stemness of CD34+ HPCs in the bone marrow niche, we examined whether  
138 HCMV miRNAs functionally target EGR-1 activity. For this experiment, several HCMV  
139 miRNA mimics were co-transfected with an EGR-1 luciferase reporter into HEK293 cells  
140 in the presence or absence of EGF to examine their effect on promoter activation. Several  
141 of the HCMV miRNAs significantly altered luciferase expression from the EGR-1 reporter  
142 (Fig 1). A 3-4 fold decrease in luciferase reporter activity was observed with transfection  
143 of miR-US22. In contrast, transfection of miR-US5-1 and miR-UL112-3p resulted in up to  
144 a two-fold increase in promoter activity. We focused on miR-US22-mediated EGR-1  
145 downregulation since miR-US5-1 and miR-UL112-3p upregulation of EGR-1 activity is the  
146 focus of another study. Analysis of the 3' UTR of the EGR-1 mRNA revealed a miR-US22  
147 seed sequence binding site (Fig 2A). In order to validate the miR-US22 target sequence,  
148 two base pairs were mutated in the EGR-1 3' UTR cloned in a luciferase reporter plasmid  
149 (Fig 2A). Co-transfection of HEK293 cells with WT and mutant EGR-1 luciferase  
150 constructs and miR-US22 mimic indicated that mutation of the miR-US22 target sequence  
151 fully restored EGR-1 reporter activity, validating this sequence as a miR-US22 target site  
152 (Fig 2B). To determine if miR-US22 can reduce EGR-1 protein expression in cells,  
153 HEK293 cells and normal human dermal fibroblasts (NHDF) were transfected with a miR-  
154 US22 mimic or EGR-1 siRNA, followed by serum starvation and addition of EGF to induce  
155 EGR-1 expression. Both the miR-US22 mimic and EGR-1 siRNA reduced EGR-1 protein  
156 levels in both HEK293 and NHDF cells by 10-fold and 3-fold, respectively (Fig 2C).

157 **Fig 1. HCMV miRNAs affect EGF-mediated signaling to EGR-1.**

158 An EGR-1 luciferase reporter construct was transfected in HEK293 cells along with  
159 negative siRNA control (NEG) or HCMV miRNA mimics. After 24 hours, the cells were

160 serum-starved for 4 hours followed by 4 hours of EGF treatment (5 ng/mL). Cells were  
161 then harvested and luciferase expression was assessed. Data from 3 independent  
162 experiments are graphed as mean  $\pm$  SD; \* p<0.01 compared to NEG – transfected cells  
163 (unpaired t test).

164 **Fig 2. EGR-1 3'UTR is targeted by HCMV miR-US22.**

165 (A) One miR-US22 target site is present in the EGR-1 3'UTR. The seed sequence is  
166 indicated; the arrows label the bases that were mutated to disrupt miR-US22 binding to  
167 the target site. (B) The miR-US22 target site is required for EGR-1 down-regulation.  
168 Dual luciferase reporter containing EGR-1 3'UTR with wild type target site or mutated  
169 target site were co-transfected with the indicated mimics, and assessed for luciferase  
170 expression 24 hours later. Data graphed as mean  $\pm$  SD from 3 independent  
171 experiments; \* p=0.005 compared to NEG - transfected cells (unpaired t test). (C)  
172 HEK293 or NHDF cells were transfected with NEG siRNA control, miR-US22 mimic, or  
173 EGR-1 siRNA for 48 hours, after which the cells were serum starved (4 hours), treated  
174 with 50ng/mL EGF (1 hour), and harvested for immunoblot (IB) analysis. Protein  
175 concentrations were normalized to GAPDH, and relative levels are displayed.

176

177 In order to validate miR-US22 targeting of EGR-1 during infection, a recombinant  
178 HCMV construct was designed with mutations in miR-US22 that disrupt the miRNA  
179 expression. To construct the HCMV  $\Delta$ miR-US22, BAC recombineering was used to  
180 introduce 5 silent mutations that do not disrupt the US22 ORF (Fig 3A) into the stem loop  
181 of the miRNA in HCMV TB40E (Fig 3B). We have previously published that disruption of  
182 the miRNA stem loop inactivates the function of other HCMV miRNAs [30]. Sequence

183 analysis of HCMV  $\Delta$ miR-US22 indicated that the only difference between the mutant and  
184 WT TB40E virus was the 5 bases introduced into the miR-US22 stem loop (data not  
185 shown). The HCMV TB40E  $\Delta$ miR-US22 lacked expression of miR-US22 (Fig 3C),  
186 exhibited WT growth in NHDF cells (Fig 3D), and did not impair US22 expression (Fig  
187 3E). In order to determine the effect of the miR-US22 mutation on EGR-1 expression,  
188 western blot analysis of EGR-1 was performed on HCMV WT and  $\Delta$ miR-US22 TB40E  
189 infected NHDF or aortic endothelial cells (AEC) at 2, 3, 4 and 6 days post infection (dpi).  
190 Infection with the HCMV  $\Delta$ miR-US22 resulted in 2-3-fold increase in EGR-1 protein  
191 expression at all time points compared to WT infection in both NHDFs (Fig 4A) and AECs  
192 (Fig 4B). Additionally, transfection of exogenous miR-US22 mimic in cells infected with  
193 HCMV  $\Delta$ miR-US22 reversed the effect of the mutation and resulted in markedly reduced  
194 EGR-1 protein levels, compared to cells transfected with a negative control (Fig 4C). The  
195 above data indicate that EGR-1 is a miR-US22 target during HCMV infection.

196 **Fig 3. Characterization of TB40E miR-US22 mutant virus.**

197 (A) Relative location of miR-US22 within the US22 gene. (B) Introduction of point  
198 mutations (arrows) in TB40E to disrupt miR-US22 hairpin formation. (C) Loss of miR-  
199 US22 expression in NHDFs infected with the TB40E  $\Delta$ miR-US22 virus. NHDFs were  
200 infected with either WT TB40E or  $\Delta$ miR-US22 virus (MOI=3), and miRNA levels were  
201 determined 4 days post infection by stem-loop specific RT-PCR. miR-US25-1  
202 expression is shown as a control. (D) Mutating miR-US22 in TB40E has no effect on  
203 viral growth in fibroblasts. NHDF were infected in duplicate with either WT TB40 (black  
204 circles) or TB40E  $\Delta$ miR-US22 (blue squares) at MOI=3 for single step or MOI=0.05 for  
205 multi step. Plaque forming units (pfu) / mL were quantified from samples collected at the

206 indicated time points for supernatant virus and cell-associated virus (E) The point  
207 mutations in miR-US22 do not affect US22 protein expression. NHDFs were infected  
208 with either WT TB40E or  $\Delta$ miR-US22 TB40E, and protein lysates were collected 4 days  
209 later for IB analysis. 3 replicates are shown.

210 **Fig 4. miR-US22 targets endogenous EGR-1 during HCMV infection.**

211 (A, B) TB40E miR-US22 mutant virus fails to down-regulate endogenous EGR-1 levels  
212 during infection. NHDF (A) or AEC (B) were infected with TB40E WT or  $\Delta$ miR-US22  
213 virus (MOI = 3). Cell lysates were harvested at the indicated timepoints for IB analysis  
214 (C) miR-US22 mimic downregulates EGR-1 in cells infected with the miR-US22 mutant  
215 virus. AECs were transfected with the indicated siRNA or miRNA mimics. After 24  
216 hours, the cells were infected with TB40E  $\Delta$ miR-US22, and harvested 4 days later for IB  
217 analysis. Protein levels were normalized to GAPDH, and relative levels are displayed.

218

219 **HCMV miR-US22 reduces CD34+ HPC proliferation**

220 Since HCMV latent infection of CD34+ HPCs results in decreased myeloid colony  
221 formation, and expression of EGR-1 is a major determinant in the proliferative capacity of  
222 progenitor cells, we examined the direct effects of miR-US22 on myelopoiesis. CD34+  
223 HPCs were transfected with either a GFP-containing plasmid that expresses miR-US22,  
224 an shRNA to EGR-1, or a negative control, followed by sorting 24 hours later for GFP+  
225 cells. The sorted cells were placed in myeloid colony formation support medium and  
226 analyzed by microscopy at 7 days post plating (Fig 5A). Transfection of miR-US22 or  
227 shRNA to EGR-1 significantly reduced total myeloid colony formation by 30% and 36%,  
228 respectively. Analysis of the types of myeloid colonies that were negatively affected by

229 miR-US22 down-regulation of EGR-1 indicated a decrease in both CFU-GM and BFU-E  
230 colonies (Fig 5B). The ratio of total myeloid to erythroid colonies was unchanged,  
231 suggesting that the effect of the miRNA was on progenitor cell proliferation rather than  
232 altering HPC differentiation or lineage commitment. In order to determine whether miR-  
233 US22 altered the ability of CD34+ HPCs to proliferate, cells transfected with a miR-US22  
234 expression plasmid, shRNA to EGR-1, or a negative control were sorted and plated in  
235 stem cell cytokine-enriched media, followed by quantitation of cell numbers at 3- and 7-  
236 days post transfection (Fig 5C). Transfection with either miR-US22 or shRNA to EGR-1  
237 reduced CD34+ HPC proliferation by 2 and 4-fold respectively in comparison to a control  
238 plasmid or mock treated cells. These data indicate that miR-US22 and an shRNA to EGR-  
239 1 significantly reduces the proliferation of CD34+ HPCs.

240 **Fig 5. miR-US22 down-regulation of EGR-1 reduces CD34+ HPC proliferation.**

241 CD34+ HPCs were transfected with pSIREN-GFP, pSIREN-GFP-miR-US22, or  
242 pSIREN-GFP-EGR-1shRNA for 24 hours using Amaxa. Viable CD34+ GFP+ HPCs  
243 were isolated by FACS and analyzed for proliferation and differentiation. (A, B) Isolated  
244 HPCs were plated on Methocult H4434 (Stem Cell Technologies) at 500 cells/mL in  
245 triplicate, and counted at 7 and 14 days. Data shown are the total number of colonies at  
246 7 days (A) for n=7 (miR-US22) or n=3 (EGR-1 shRNA) independent experiments, or  
247 separate myeloid (CFU-GM, CFU-GEMM) and erythroid (CFU-E and BFU-E) colonies  
248 at 14 days (B). Significance was calculated using paired t-test; \* p=0.019, \*\*\* p<0.001  
249 compared to pSIREN-GFP control transfected cells. (C) Isolated CD34+ HPCs were  
250 plated in SFEMII supplement with hematopoietic cytokines, and counted at day 3 and  
251 day 7. Total viable cell number is shown.

252

253 **HCMV miR-US22 is required for viral reactivation in latently infected CD34+ HPCs**

254 Since herpesvirus miRNAs play key roles in latency and reactivation, HCMV miRNAs  
255 expressed at high levels during lytic infection were examined for expression in CD34+  
256 cells. HCMV TB40E-GFP infected CD34+ HPCs were sorted for GFP and incubated for  
257 14-days post infection (dpi) on stromal cell support followed by extraction of RNA.  
258 Analysis of miRNA expression in HCMV latently infected CD34+ HPCs indicated that  
259 several viral miRNAs are detected with varying levels of expression. The latently  
260 expressed miRNAs include miRs -UL22A, -UL112-3p, -UL148D, -US5-1, -US5-2, -US25-  
261 1, and -US25-2-3p (Fig 6). HCMV miRs -UL22A, -UL112-3p and -US25-1 represented  
262 some of the most abundant miRNAs. In contrast, miRs -UL36, -US4, -US22, -US29, and  
263 -US33 were not detected in latently infected cells (data not shown). Therefore, although  
264 miR-US22 is expressed during acute infection, the HCMV miRNA is not expressed in  
265 latently infected CD34+ HPCs.

266 **Fig 6. Expression of HCMV-encoded miRNAs in latently infected CD34+ HPCs.**

267 CD34+ HPCs were infected with WT HCMV TB40E for 48 hours, and then FACS-  
268 isolated for viable CD34+ GFP+ HPCs. Sorted cells were plated on stromal cell support  
269 for 12 additional days to establish HCMV latency, and HCMV miRNA levels were  
270 detected in 10ng RNA from infected cells by stem-loop RT-PCR for viral miRNAs.

271

272 Since EGR-1 plays a critical role in the maintenance of progenitor cell stemness,  
273 and HCMV latency and reactivation is integrally linked to cellular differentiation, the role  
274 of miR-US22 in viral latency and reactivation was examined in CD34+ HPCs. To

275 determine whether miR-US22 is required for the reactivation process, CD34<sup>+</sup> HPCs were  
276 infected with either HCMV WT TB40E-GFP or the  $\Delta$ miR-US22 mutant, and were sorted  
277 for GFP expression to acquire a pure population of infected cells. Infected CD34<sup>+</sup> HPCs  
278 were seeded into long-term bone marrow cultures using a stromal cell support shown to  
279 maintain stem cells. After 12 days in culture, the cultures were split. Live cells from half  
280 of the culture were seeded by limiting dilution onto monolayers of fibroblasts in cytokine-  
281 rich media to promote myeloid differentiation. The frequency of infectious centers,  
282 determined by extreme limiting dilution assay, was calculated from the fraction of GFP+  
283 wells at each dilution 21 days later. The other half of the culture was mechanically lysed  
284 and treated identically to quantify virus produced during the latency culture period (pre-  
285 reactivation) [42]. WT HCMV, but not the  $\Delta$ miR-US22 mutant, was able to reactivate in  
286 CD34<sup>+</sup> HPCs (Fig 7). These data indicate that expression of miR-US22 during  
287 reactivation in CD34<sup>+</sup> HPCs is necessary to produce infectious virus. These findings,  
288 together with the ability of miR-US22 to decrease EGR-1 expression resulting in altered  
289 proliferation and differentiation of CD34<sup>+</sup> HPCs, indicate that the miRNA is necessary to  
290 alter cellular homeostasis in order to favor viral reactivation.

291 **Fig 7. miR-US22 is required for viral reactivation in latently infected CD34<sup>+</sup> HPCs.**  
292 CD34<sup>+</sup> HPCs were infected with WT TB40E or  $\Delta$ miR-US22 TB40E for 48 hours, and  
293 FACS-isolated for viable CD34<sup>+</sup> GFP<sup>+</sup> HPCs. Sorted cells were plated on stromal cell  
294 support for 12 days to establish viral latency. Viral reactivation was induced by co-  
295 culture on fibroblasts with cytokine stimulation for 21 days, and reactivation was  
296 measured by ELDA assay. The pre-reactivation control represents the amount of virus  
297 present in cell lysates at the end of latency prior to beginning reactivation. Reactivation

298 data shown are from 2 independent experiments. Significance was calculated using  
299 paired t-test.

300

## 301 **Discussion**

302 In this study we demonstrate that the transcription factor EGR-1 is directly targeted for  
303 down-regulation by HCMV miR-US22 that results in decreased proliferation of CD34+  
304 HPCs and a decrease in total hematopoietic colony formation. We also show that an  
305 HCMV miR-US22 mutant fails to reactivate in CD34+ HPCs, indicating that expression of  
306 EGR-1 inhibits viral reactivation during latency. Since EGR-1 promotes CD34+ HPC self-  
307 renewal in the bone marrow niche, HCMV down-regulation of EGR-1 is a necessary step  
308 to block HPC proliferation and induce the cellular differentiation necessary to promote  
309 reactivation of virus. We propose a model of HCMV reactivation in CD34+ HPCs, in which  
310 latently infected cells initiate a process of reactivation that results in expression of miR-  
311 US22 (Fig 8). Subsequently, HCMV miR-US22 down-regulates expression of EGR-1 that  
312 results in the mobilization and differentiation of CD34+ HPCs from the bone marrow  
313 compartment to the peripheral blood to become monocytes that undergo further  
314 differentiation into macrophages, possibly due to expression of UL7 [43].

### 315 **Fig 8. Model of the role of miR-US22 in HCMV reactivation.**

316 In the bone marrow, elevated EGR-1 levels in uninfected CD34+ HPCs contribute to the  
317 maintenance and self-renewal of these cells. In HCMV infected HPCs, stem cell  
318 quiescence and retention in the bone marrow contribute to the maintenance of the latency  
319 program. This stage is marked by the expression of known latency-promoting viral factors  
320 such as LUNA, US28, UL138, and miR-UL148D. Because HCMV miR-US22 expression

321 is turned off, EGR-1 levels are high, which promotes viral latency by maintaining the  
322 undifferentiated state of the infected cell. As HCMV reactivation from latency is initiated,  
323 miR-US22 expression is induced, which results in decreased EGR-1 levels. Low EGR-1  
324 levels induce mobilization of the infected cells - an event associated with viral reactivation.  
325

326 HPCs are predominantly found in the bone marrow compartment that provides an  
327 environment in which the cells remain in a state of dormancy until hematopoietic stress  
328 induces cytokine signals that result in either cellular proliferation to replenish progenitors,  
329 or differentiation into myeloid or lymphoid cells to respond to infection. Total stem cell  
330 numbers are regulated by a balance between maintenance of quiescence and  
331 proliferation that is accomplished through apoptosis or migration of cells in and out of the  
332 bone marrow compartment [44, 45]. Transcription factors such as EGR-1, PU.1, runt  
333 related transcription factor 1 (RUNX1), kruppel-like factor 4 (KLF4), and CCAAT/enhance-  
334 binding protein alpha (C/EBP $\alpha$ ) play key roles in the regulation of stem cell numbers in  
335 the bone marrow or differentiation into myeloid, lymphoid, or erythroid progenitor  
336 populations. Interestingly, cellular miRNAs are expressed in a cell type lineage specific  
337 manner to fine-tune expression of these transcription factors with both activation and  
338 feedback mechanisms (Gangaraju, 2009 #36265;[46]. Similarly, HCMV miR-US22  
339 regulates EGR-1 levels in HPCs to inhibit proliferation and differentiation of the cell to  
340 create a cellular environment that promotes viral reactivation.

341 Expression of EGR-1 is finely tuned during normal hematopoiesis to directly  
342 regulate the hematopoietic processes of quiescence, apoptosis, proliferation, and  
343 differentiation. A number of published studies have, what at first glance appear to be

344 contradicting roles for EGR-1 during these steps. However, since EGR-1 has very  
345 specific roles in different cell types and during different developmental stages, these data  
346 all contribute to a model in which EGR-1 specifically regulates and is regulated by distinct  
347 hematopoietic stages. In cultured cells, the overexpression of EGR-1 can both inhibit the  
348 differentiation of myeloid progenitors to the monocyte lineage [20] and induce terminal  
349 macrophage differentiation from the monocyte stage [21]. In CD34+ HPCs, Krishnaraju  
350 et al [20] show that overexpression of EGR-1 increases early stage differentiation of Blast-  
351 stage monocytes that correlates with the decrease in myeloid colony formation observed  
352 in Egr-1 knockout mouse bone marrow [47] and our results using knockdown of EGR-1  
353 in human HPCs (Fig 5A). In vivo, Egr-1 -/- mice exhibit a significant decrease in progenitor  
354 cell proliferation in the bone marrow compartment and an increase in peripheral blood  
355 HPCs [48]. Therefore, EGR-1 plays an important role in stem cell quiescence, self-  
356 renewal, differentiation, and migration to the periphery. HPC quiescence and retention in  
357 the bone marrow niche are important events during HCMV latency. In this study,  
358 expression of HCMV miR-US22 blocks proliferation of HPCs due to down-regulation of  
359 EGR-1, which may allow for specific differentiation along the myeloid lineage [47], and  
360 provides a trigger for viral reactivation from latency. Therefore, high expression of EGR-  
361 1 in HPCs promotes viral latency by maintaining the undifferentiated state of the CD34+  
362 HPCs. In contrast, low EGR-1 expression in infected HPCs induces mobilization and  
363 differentiation of progenitors to the myeloid lineage [47] that is associated with viral  
364 reactivation.

365 In addition to maintenance of the progenitor cells in the bone marrow niche, EGR-1  
366 was recently shown to regulate expression of HCMV UL138 - a viral gene that is up

367 regulated by EGFR signaling and is required to maintain the viral latent state (Buehler et  
368 al co-submission). Buehler et al observed that the UL138 promoter contains two EGR-1  
369 binding sites, and mutation of one of these sites reduces UL138 expression. Consistent  
370 with a role for EGR-1 in regulating UL138 expression, co-transfection of a vector  
371 containing the UL133-UL138 region with miR-US22 resulted in reduced UL138  
372 expression. Infection of fibroblasts with the HCMV  $\Delta$ miR US22 resulted in increased  
373 UL138 expression. These results indicate that miRUS22 regulates UL138 expression  
374 through EGR-1 and suggest that reduction of UL138 through miR-US22-mediated  
375 reduction of EGR-1 during reactivation from latency may be an additional step to  
376 reactivate virus.

377 Herpesvirus-encoded and cellular miRNAs have been shown to be important  
378 determinants for maintaining viral latency and reactivation. KSHV encodes miR-K9 that  
379 targets ORF50 (RTA) - the latent/lytic switch for the virus to maintain latency [49]. HCMV-  
380 encoded miR-UL112-3p was also shown to target the viral transcriptional activator IE72  
381 (UL123) and UL112/113 that are necessary to activate early and late HCMV genes  
382 needed for viral reactivation [28]. Similarly, a cellular miRNA, neuron-specific miR-138,  
383 was shown to target HSV ICP0 that, when disrupted, allowed viral reactivation [37].  
384 HCMV miR-UL148D was also reported to facilitate HCMV latency by inhibiting immediate  
385 early response gene 5 that promotes cell division cycle 25B protein and cyclin-dependent  
386 kinase 1-mediated suppression of IE72 [50]. EBV miRNAs were recently shown to  
387 regulate B Cell receptor signaling [51], and thus B cell activation, proliferation, and  
388 differentiation [52]. In this study, EBV miR-BHRF1-2-5p promotes latency by targeting  
389 GRB2 that is part of a signaling cascade that activates transcription factors, such as NF $\kappa$ B

390 and Jun that induce genes that participate in B cell proliferation and survival. EBV-  
391 encoded miRs-BHRF1-2-5p and miR-BART2-5p, and a cellular miRNA miR-17-5p restrict  
392 lytic reactivation by dampening cellular responses to BCR cross-linking [52]. HCMV,  
393 similar to EBV, also regulates cellular differentiation in progenitor cells to maintain the  
394 viral latency state or to reactivate following differentiation. However, rather than regulating  
395 signaling pathways that activate transcription factors to reprogram the cellular  
396 differentiation program, HCMV directly targets EGR-1 - a central regulator of progenitor  
397 cell homeostasis.

398 Analysis of HCMV miRNA expression indicates that only subset of the 14 viral  
399 miRNAs (miRs -UL22A, -UL112-3p, -UL148D, -US5-1, -US5-2, -US25-1, and -US25-2-  
400 3p) are expressed in latently infected CD34+ HPCs. While the functions of these miRNAs  
401 in CD34+ HPCs during latency are unknown, the miRNAs most likely play important roles  
402 in maintenance of the virus during latency. HCMV miR-US22 is not expressed during  
403 latency in CD34+ HPCs but represents a class of viral gene products that are  
404 unnecessary for replication in fibroblasts but are required to initiate viral reactivation in  
405 CD34+ HPCs through the induction of cellular proliferation and differentiation. Another  
406 member of this class of HCMV genes is UL7, an early-late gene that functions as an Flt-  
407 3 receptor ligand [43]. Similar to miR-US22, deletion of UL7 does not alter viral replication  
408 in fibroblasts but blocks viral reactivation in CD34+ HPCs through induction of cellular  
409 differentiation. Therefore, HCMV encodes genes needed to reprogram the cell to allow  
410 the expression of cellular and viral genes necessary for viral replication. The identification  
411 of HCMV gene products like UL7 and miR-US22 that are necessary for viral reactivation

412 from latency may provide important targets for early therapeutic HCMV intervention with  
413 new classes of drugs.

414

## 415 **Materials and methods**

### 416 **Cells and media**

417 HEK293T (CRL-11268; ATCC) and adult normal human dermal fibroblasts (NHDF) (CC-  
418 2511; Lonza) were cultured in Dulbecco's modified Eagle's medium (DMEM)  
419 supplemented with 10% heat-inactivated fetal bovine serum (FBS; HyClone), 100 units/ml  
420 penicillin, and 100ug/ml streptomycin (Invitrogen). Human aortic endothelial cells (AEC)  
421 (CC-2535; Lonza) were cultured in EBM-2 basal medium with EGM-2 SingleQuots<sup>TM</sup>  
422 supplement excluding Heparin (Lonza), as well as 10% FBS, penicillin, and streptomycin.

423 All cells were cultured at 37°C in 5% CO<sub>2</sub>.

424

### 425 **HCMV constructs**

426 Wild type HCMV TB40/E-GFP bacterial artificial chromosome (BAC), in which the SV40-  
427 GFP cassette was introduced as a marker for infection was used to generate infectious  
428 virus [55, 56]. Mutant virus containing nucleotide changes in the miR-US22 stem loop of  
429 the WT TB40E BAC was constructed using galK recomineering. Briefly, the galactokinase  
430 (galK) gene was inserted within miR-US22-5p using homologous recombination, and was  
431 then replaced with the following annealed oligos to introduce the desired mutations that  
432 disrupt miR-US22 hairpin formation, but do not interfere with US22 ORF expression:  
433 GGTCTGGTCCCGTCTCCCATCTGGTCGGGTTGGGGATGGGGAC CTC AAG  
434 CAA CGTGTGTCCGCGGGCGTGCATGGCTTGCTCGCCGGCCGCGCTG and

435 CAGCGCGGCCGGCGAGCAAAAGCCATGCACGCCGGACACACGTTGCTTGAG  
436 GTCCCCATCCCCGAACCCGACCAGATGGGAGACGACGGACCAGACC. All virus  
437 stocks were propagated and titered on NHDFs. For viral growth curves, NHDFs were  
438 infected at 3 pfu/cell for single step and 0.05 pfu/cell for multi step for 2 hours. Both cell-  
439 associated and supernatant viruses were harvested at multiple timepoints, and titers were  
440 determined by plaque assay on NHDFs. For all other infections, NHDF and AEC were  
441 inoculated with 3 pfu/cell for 2 hours at 37C. Afterwards, the viral inoculum was removed  
442 and replaced with fresh medium. Samples were harvested as indicated for each  
443 experiment.

444

#### 445 **Reagents**

446 The 3'UTR of human Egr-1 was amplified by PCR from genomic DNA extracted from  
447 NHDFs using DNAzol, and was cloned downstream of the *Renilla* luciferase gene in the  
448 pSICHECK2 plasmid (Promega) by Xhol and NotI restriction sites. Mutations in the seed  
449 sequence of the miR-US22 target site in Egr-1 were introduced by site-directed  
450 mutagenesis using the following primer pair:  
451 GAACTTGGACATGGCTGTTGGAGGCAGCTGAAGTCAAAGG and  
452 CCTTGACTTCAGCTGCCAACAGCCATGTCCAAGTTC. The mutated construct  
453 was verified by sequencing. Short-hairpin RNA (shRNA) targeting EGR-1 was cloned into  
454 pSiren expression plasmid via BamHI and EcoRI restriction sites using the following  
455 sequence:  
456 TGCTGTTGACAGTGAGCGATCCAGAATGTAAGAAAACAAATAGTGAAGCCACAGAT  
457 GTATTGTTTCTTACATTCTGGAGTGCCTACTGCCTCGGA [57]. miR-US22

458 expression cassette was amplified from TB40E WT viral DNA and cloned into pSiren via  
459 BamHI and EcoRI restriction digest, using the following primer pair:  
460 GGC GGATCCC GGGGAAAGGGAATCTGCTTTAG, and  
461 GGGAGAATTGAAAACGAGGACGACACGAC. Cignal EGR-1 reporter kit (Qiagen) was  
462 transfected in HEK293T following manufacturer protocol. siGENOME RISC-Free control  
463 siRNA (NEG; Dharmacon) and EGR-1 siRNA (s4538; Thermofisher) were purchased for  
464 use in transfection experiments. Double stranded miRNA mimics were custom designed  
465 and synthesized by IDT (Integrated DNA technologies). PODS human EGF (Cell  
466 Guidance Systems) was dissolved in water for 100ug/mL stock solution and used at the  
467 indicated final concentrations for each experiment. The following commercial antibodies  
468 were used: EGR-1 (A303-390A-M, Bethyl Laboratories, Inc), GAPDH (ab8245, Abcam),  
469 CMV ICP22 for detection of the US22 gene product (sc-56974, Santa Cruz  
470 Biotechnology), and anti-CMV clone 8B1.2 for detection of IE72 (MAB810,  
471 MilliporeSigma™).

472

### 473 **Luciferase assays**

474 HEK293T cells seeded into 96-well plates were co-transfected in triplicate with 100ng  
475 plasmid and 3pmol mimic per well using Lipofectamine 2000 (Invitrogen). Twenty hours  
476 later, the cells were harvested for luciferase assay with the Dual-Glo reporter assay kit  
477 (Promega). Luminescence was detected using Veritas microplate luminometer (Turner  
478 Biosystems). For EGR-1 reporter assays, 16 hours post transfection, cells were serum-  
479 deprived in 0%FBS DMEM for 4 hours, and then treated with 5 ng/mL EGF for 4 hours.

480 All experiments were performed in triplicate and results are shown as mean  $\pm$  standard  
481 deviation.

482

### 483 **Immunoblotting**

484 Cells were harvested in protein lysis buffer (50mM Tris-HCl pH 8.0, 150mM NaCl, 1%  
485 NP-40, and protease inhibitors). Cell lysates, along with loading buffer (4X Laemmli  
486 Sample Buffer with 2-mercaptoethanol) were incubated at 95C for 5 minutes, loaded on  
487 4-20% polyacrylamide gels (BioRad), and transferred to Immobilon-P Transfer  
488 Membranes (Millipore Corp). After visualizing protein levels with the specified antibodies,  
489 the relative intensity of bands was quantitated using Fiji software (<https://fiji.sc>).

490

### 491 **Quantitative RT-PCR**

492 Reverse transcription PCR (RT-PCR) was used to quantify viral microRNA expression in  
493 infected NHDF or CD34+ HPCs. Total RNA was isolated from infected cells using Trizol  
494 following the manufacturer's instructions. cDNA was generated with MultiScribe<sup>TM</sup>  
495 reverse transcriptase (Thermofisher) using 100 ng total RNA and custom-designed  
496 miRNA hairpin-specific primers. Samples were incubated at 16°C (30min), 42°C (30 min),  
497 and 85°C (5 min). ABI StepOnePlus real time PCR machine was used with the following  
498 program: initial denaturation at 95°C (10 min), and 40 cycles at 95°C (15 sec), 60°C (1  
499 min). The reaction was performed with Taqman Fast Advanced master mix (ABI). HCMV  
500 miRNA primers and probes were custom designed (using sequences from miRBase and  
501 (Stark, 2012 #4912)). Viral miRNA expression was normalized to cellular miR-16 levels  
502 (Assay ID 000391; ABI). For quantitation of miRNA copy number in infected CD34+

503 HPCs, purified oligos representing the mature form of each miRNA were included in an  
504 independent RT reaction in a known quantity. For qPCR, serial dilutions of the RT reaction  
505 were included to determine absolute miRNA copy number.

506

507 **Limiting dilution reactivation assay**

508 CD34<sup>+</sup> HPCs isolated from human bone marrow were infected at an MOI of 2 for 20h in  
509 IMDM supplemented with 10% BIT9500 serum substitute (Stem Cell Technologies,  
510 Canada), 2 mM L-Glutamine, 20 ng/ml low-density lipoproteins (Calbiochem),  
511 penicillin/streptomycin, and 50 µM 2-mercaptoethanol. Following infection, pure  
512 populations of infected CD34<sup>+</sup> HPCs (>98% GFP-positive) were isolated by fluorescence-  
513 activated cell sorting (FACSAria, BD Biosciences Immunocytometry Systems, San Jose,  
514 CA) using a phycoerythrin-conjugated antibody specific to CD34 (BD Biosciences). Cells  
515 were sorted by the University of Arizona Shared Service at the University of Arizona  
516 Cancer Center. Pure population of infected HPCs were cultured in trans-wells above an  
517 irradiated (3000 rads, <sup>137</sup>Cs gammacell-40 irradiator type B, Best Theratronics, Ottawa,  
518 Canada) M2-10B4 and SI/SI stromal cell monolayer [58] for 10-12 days in Myelocults  
519 (Stem Cell Technologies) containing 1 µM hydrocortisone and penicillin/streptomycin.  
520 The frequency of infectious centers production was measured using a limiting dilution  
521 assay as described previously [59]. Briefly, infected HPCs were serially diluted 2-fold in  
522 α-MEM with 20%FBS, 1 µM hydrocortisone, 0.2 mM i-inositol, 0.02 mM folic acid, 0.1 mM  
523 2-mercaptoethanol, 2 mM L-glutamine, and penicillin/streptomycin supplemented with 15  
524 ng/mL each of Interleukin-6, granulocyte colony stimulating factor, and granulocyte-  
525 macrophage colony stimulating factor (R&D Systems, MN). Aliquots of 0.05mL of each

526 dilution were added to 12 wells (first dilution corresponds to 20,000 cells per well) of a 96-  
527 well tissue culture plates containing MRC-5 cells. To differentiate virus made as a result  
528 of reactivation from virus pre-existing in the long-term cultures, an equivalent number of  
529 cells were mechanically disrupted and seeded into MRC-5 co-cultures in parallel to the  
530 reactivation experiments. MRC-5 cells were monitored for GFP expression for a period of  
531 14 days. The frequency of infectious centers formed was calculated based on the number  
532 of GFP<sup>+</sup> cells at each dilution using software, Extreme limiting dilution analysis (ELDA,  
533 <http://bioinf.wehi.edu.au/software/elda>) [60]. For latency assays, CD34<sup>+</sup> human  
534 hematopoietic cells (HPCs) were isolated from de-identified medical waste following bone  
535 marrow harvest from normal donors for clinical procedures at the University of Arizona  
536 Banner Medical Center.

537

### 538 **CD34+ HPC transfection, proliferation, and differentiation assays**

539 Primary CD34+ HPCs were thawed and recovered overnight in stem cell media (IMDM  
540 containing 10% BIT serum replacement (Invitrogen), penicillin/streptomycin and stem cell  
541 cytokines (SCF, FLT3L, IL-3, IL-6). Following recovery, HPCs were transfected using the  
542 Amaxa 4D system and the Primary Cell P3 solution according to the manufacturer's  
543 instructions (Lonza). HPCs were transfected with 1ug pSIREN plasmid DNA per 10<sup>6</sup> cells  
544 using either program EH-100 or EO-100. HPCs were recovered in stem cell media for  
545 48hrs, then isolated by FACS (BD FACS Aria equipped with 488, 633 and 405 lasers, run  
546 FACS DIVA software) for a pure population of viable, CD34+, GFP+ HPCs. Pure  
547 populations of sorted HPCs were plated either at 500 cells/mL in Methocult H4434 (Stem  
548 Cell Technologies) in 6 well plates in triplicate for myeloid colony assays, or at 10<sup>4</sup>

549 cells/mL in stem cell media, 200uL/well in 96 well plates for proliferation assays. Myeloid  
550 colonies were enumerated at 7 and 14 days using a standard microscope. Total and  
551 specific colony types were determined manually. Proliferation was assessed at indicated  
552 times by Trypan Blue exclusion and manual counting.

553

#### 554 **Statistical analysis**

555 Data are shown as mean  $\pm$  standard deviation. Statistical analysis was performed using  
556 GraphPad Prism (v6 or v7) for comparisons between experimental groups using unpaired  
557 t test or two-way analysis of variance (ANOVA) with Tukey's post-hoc test.

558

#### 559 **Ethics Statement**

560 CD34+ hematopoietic progenitor cells (HPCs) were isolated from de-identified human  
561 fetal liver obtained from Advanced Bioscience Resources as previously described [53] or  
562 were isolated from de-identified medical waste following bone marrow isolations from  
563 healthy donors for clinical procedures at the Banner-University Medical Center at the  
564 University of Arizona as previously described [54].

565

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572

573

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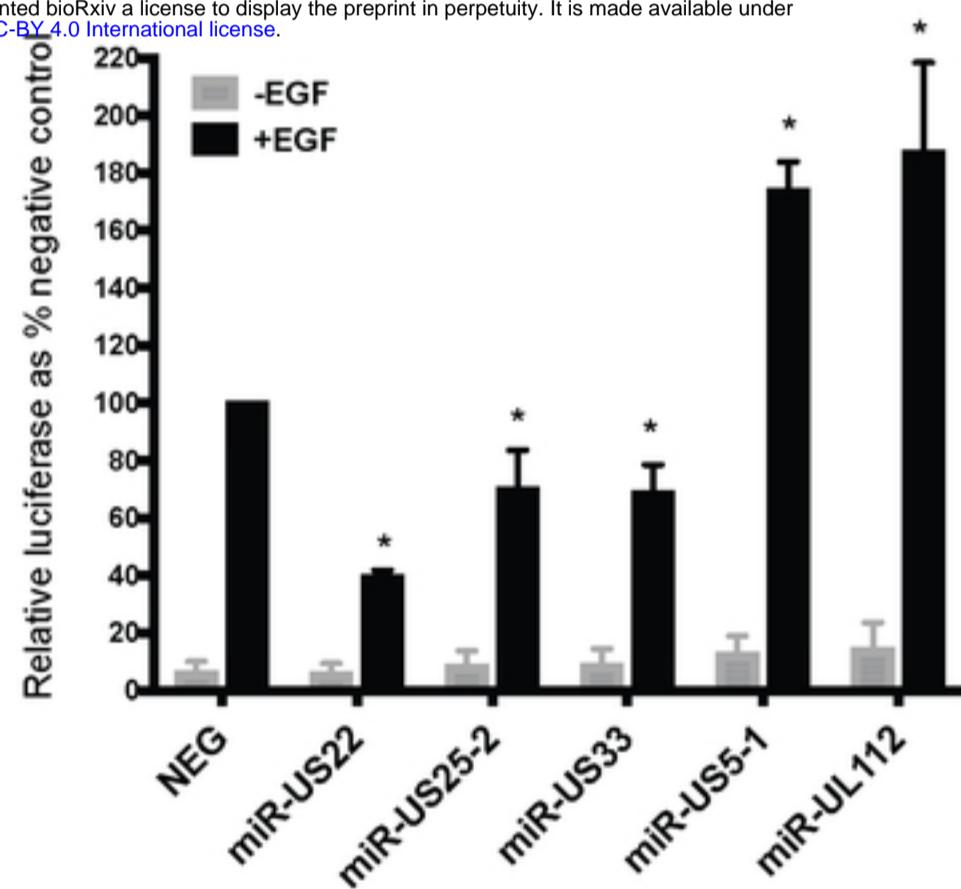
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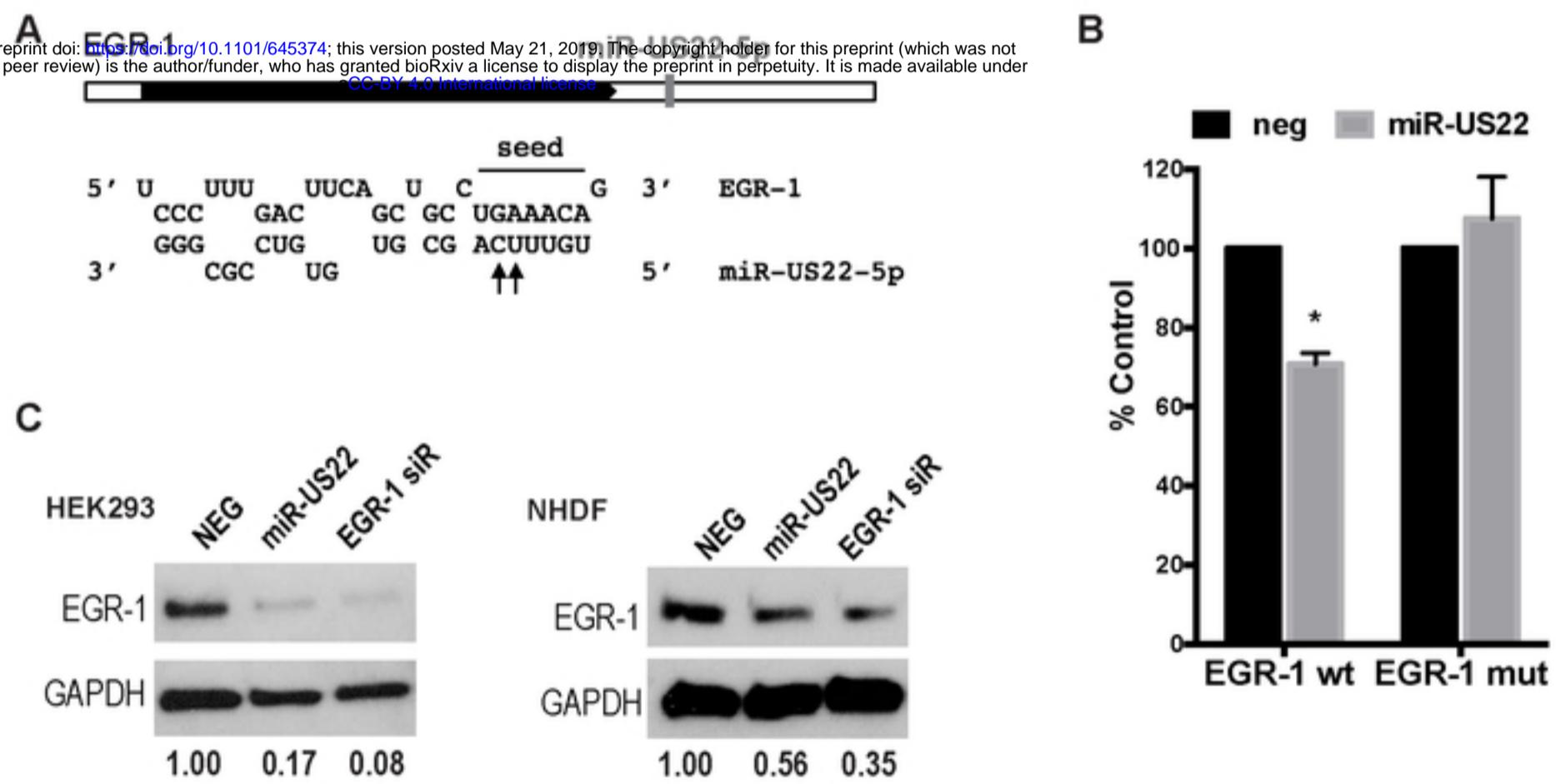
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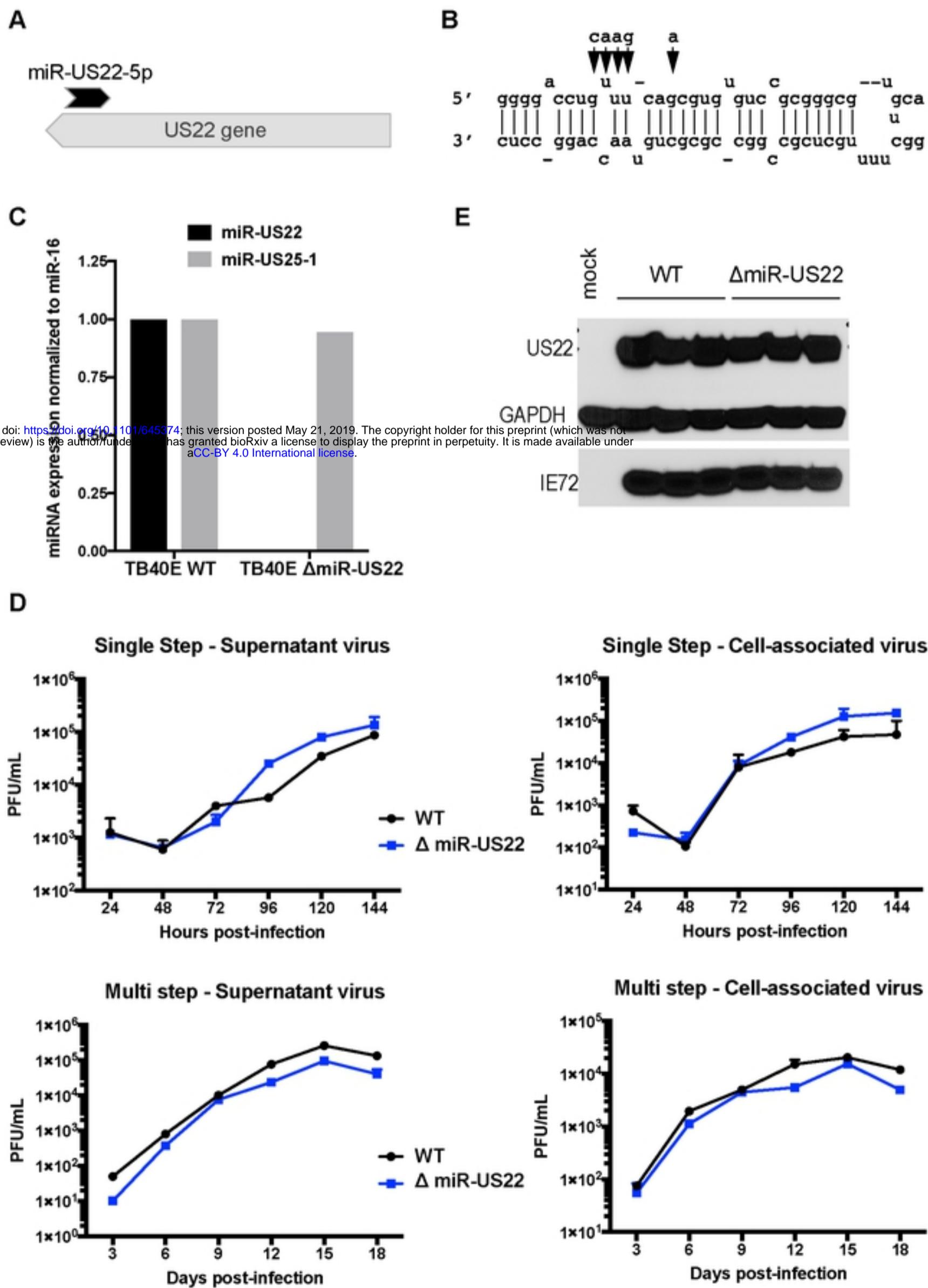
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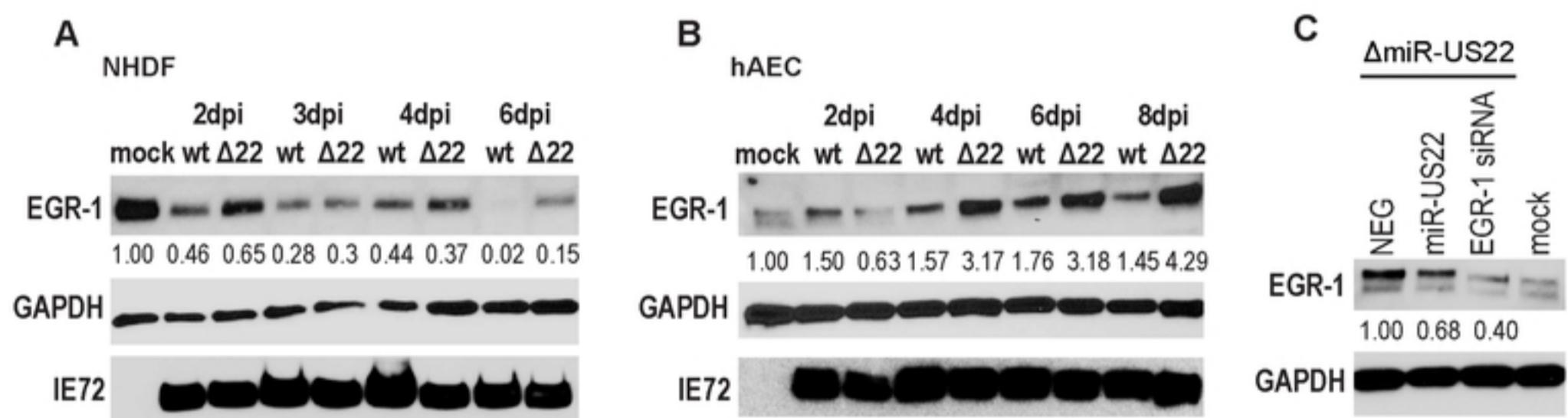


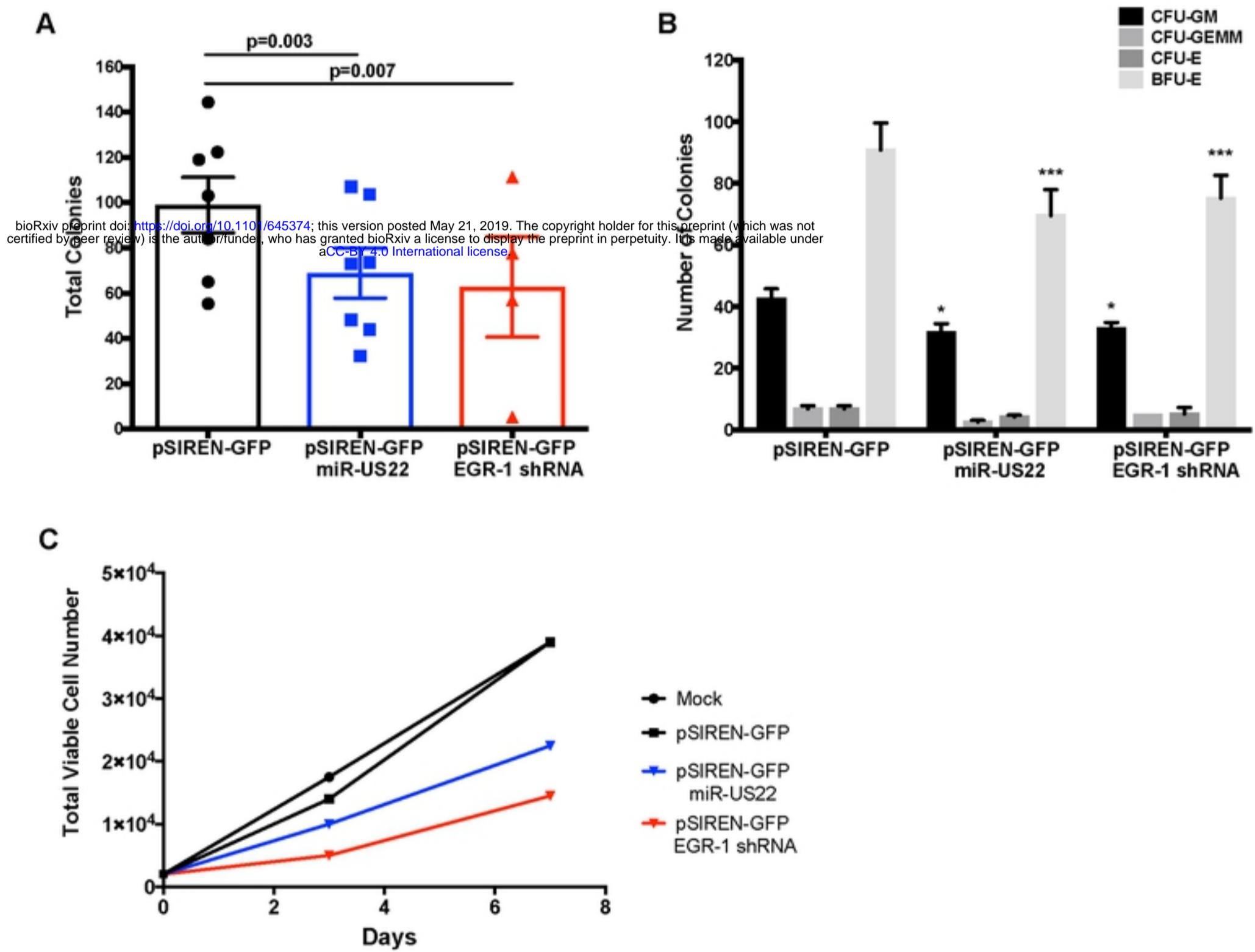
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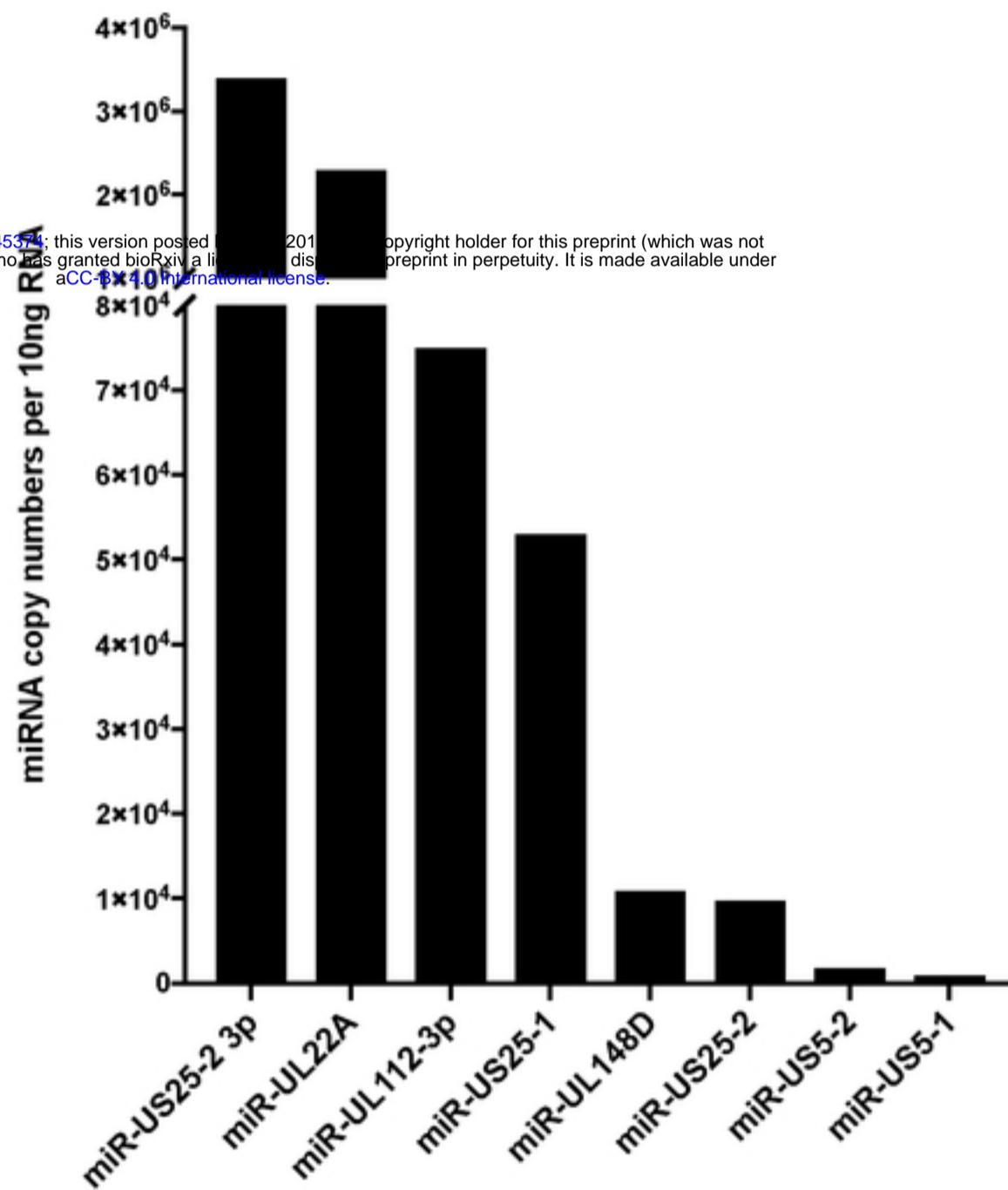
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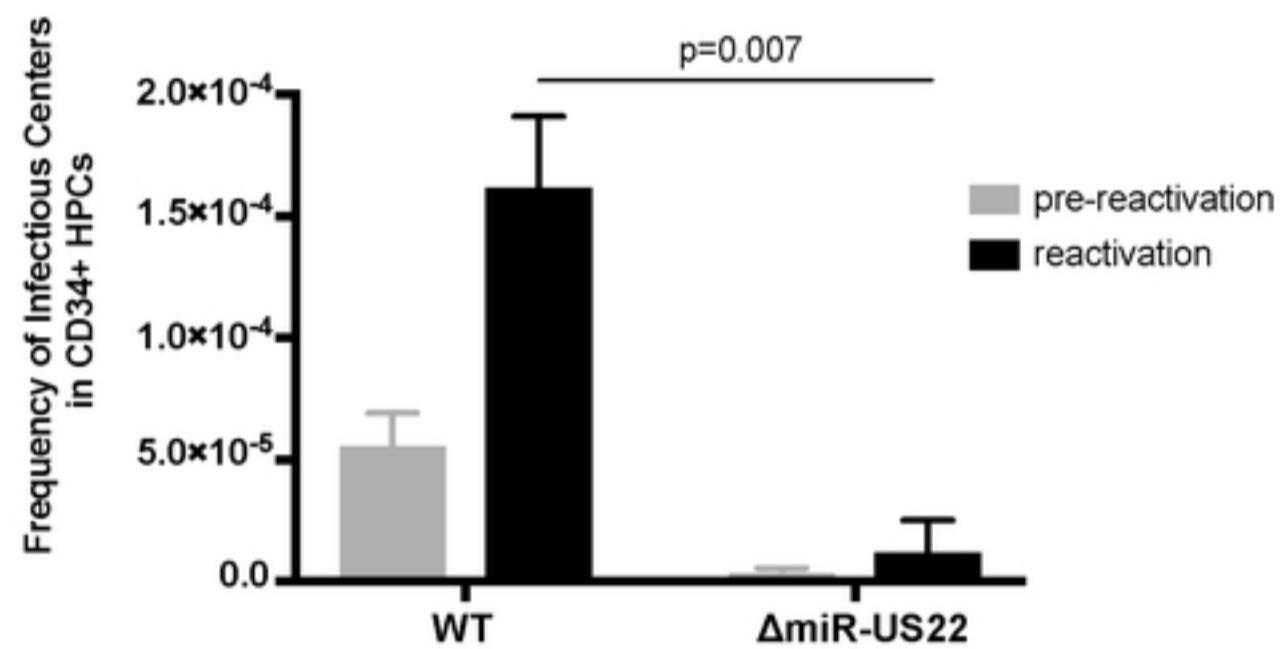


Figure

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