

## Full title

RNA polymerase mutations cause cephalosporin resistance in clinical *Neisseria gonorrhoeae* isolates

## Authors

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## 1    **Abstract**

2            Increasing *Neisseria gonorrhoeae* resistance to ceftriaxone, the last antibiotic  
3   recommended for empiric gonorrhea treatment, poses an urgent public health threat. However,  
4   the genetic basis of reduced susceptibility to ceftriaxone is not completely understood: while  
5   most ceftriaxone resistance in clinical isolates is caused by target site mutations in *penA*, others  
6   lack these mutations. Here, we show that *penA*-independent ceftriaxone resistance has evolved  
7   multiple times through distinct mutations in *rpoB* and *rpoD*. We identify five mutations in these  
8   genes that each increase resistance to ceftriaxone, including one mutation that arose  
9   independently in two lineages, and show that clinical isolates from multiple lineages are a single  
10   nucleotide change from ceftriaxone resistance. These RNA polymerase mutations result in large-  
11   scale transcriptional changes without altering susceptibility to other antibiotics, reducing growth  
12   rate, or deranging cell morphology. These results underscore the unexpected diversity of  
13   pathways to resistance and the importance of continued surveillance for novel resistance  
14   mutations.

## 15   **Introduction**

16            The rising incidence of *Neisseria gonorrhoeae* infection and antimicrobial resistance  
17   imperils effective therapy for gonococcal infections (Centers for Disease Control and Prevention,  
18   2018; Demczuk et al., 2015; David W Eyre et al., 2018; Kirkcaldy et al., 2016). Current first-line  
19   therapy for gonorrhea relies on the extended spectrum cephalosporin ceftriaxone (CRO) as the  
20   backbone of treatment. Dual therapy with azithromycin was intended to delay the emergence of  
21   resistance, but rising azithromycin resistance rates have been reported (Kirkcaldy et al., 2016;  
22   Public Health England, 2018), resulting in revision of United Kingdom treatment guidelines to  
23   CRO monotherapy (Fifer, Saunders, Soni, Sadiq, & FitzGerald, 2019). With no clear next-line

24 agent, gonococcal resistance to ESCs is a problem of paramount clinical importance, illustrated  
25 by recent reports of treatment failure and global spread of a multidrug-resistant strain (David W  
26 Eyre et al., 2018; David W Eyre et al., 2019).

27 Rapid point-of-care diagnostics for antimicrobial susceptibility could help ensure  
28 efficacious treatment and improve stewardship (Crofts, Gasparrini, & Dantas, 2017; Tuite et al.,  
29 2017), with genotypic testing for known resistance determinants now implemented (Allan-Blitz  
30 et al., 2017; Fifer et al., 2019). In gonococcus, reduced susceptibility to ceftriaxone (CRO<sup>RS</sup>) is  
31 most commonly associated with genetic variation in *penA* (PBP2), the primary target of ESCs  
32 (Kocaoglu & Carlson, 2015; Lindberg, Fredlund, Nicholas, & Unemo, 2007; David M. Whiley et  
33 al., 2010; S. Zhao et al., 2009). Because characterized *penA* alleles correlate very strongly with  
34 phenotypic CRO<sup>RS</sup> in collections of clinical gonococcal isolates, the development of *penA*-  
35 targeted molecular diagnostic tests to rapidly evaluate gonococcal cephalosporin susceptibility is  
36 underway (Deng, Allan-Blitz, & Klausner, 2019; L. Zhao, Liu, Li, & Zhao, 2019).

37 However, these clinical collections often include isolates with ESC<sup>RS</sup> that is not  
38 attributable to *penA* variation (Demczuk et al., 2015; Grad et al., 2016; Peng et al., 2019). For  
39 example, a recent report (Abrams et al., 2017) described the clinical gonococcal isolate  
40 GCGS1095, which has a cefixime (CFX) minimum inhibitory concentration (MIC) of 1  $\mu$ g/mL  
41 and a CRO MIC of 0.5  $\mu$ g/mL. These values are above the Center for Disease Control and  
42 Prevention's Gonococcal Isolate Surveillance Project (GISP) threshold values for CFX<sup>RS</sup>  
43 (0.25 $\mu$ g/mL) and CRO<sup>RS</sup> (0.125  $\mu$ g/mL) (Kirkcaldy et al., 2016). The type II (non-mosaic) *penA*  
44 allele found in this isolate is not known to contribute to reduced cephalosporin susceptibility and  
45 is found in many ESC susceptible isolates (D. M. Whiley, Limnios, Ray, Sloots, & Tapsall,  
46 2007). Loci distinct from *penA* can also contribute to ESC<sup>RS</sup>. These include mutations in *porB*

47 that decrease drug permeability, mutations that enhance drug efflux by the MtrCDE pump, and  
48 non-mosaic mutations in penicillin-binding proteins (Lindberg et al., 2007; David M. Whiley et  
49 al., 2010). Mutations in *pilQ* have arisen during *in vitro* selection for cephalosporin resistance,  
50 although these variants have not been observed in clinical isolates (Johnson et al., 2014).

51 Considering these other resistance-associated loci in addition to *penA* has been proposed as a  
52 way to refine sequence-based prediction of cephalosporin susceptibility (David W. Eyre et al.,  
53 2017). However, the genotype of GCGS1095 at these loci does not explain its high-level CRO<sup>RS</sup>  
54 phenotype (Abrams et al., 2017). Rapid genotypic diagnostic tests for known resistance  
55 mutations would therefore misclassify this strain as CRO susceptible. Defining the basis for  
56 ESC<sup>RS</sup> in this isolate and others like it is critical for the development of robust sequence-based  
57 diagnostics of antimicrobial susceptibility, for supporting public health genomic and phenotypic  
58 surveillance programs, and for understanding the biology underlying cephalosporin resistance.

59 Here, we employed an experimental transformation-based approach to identify the  
60 genetic basis of reduced susceptibility in three clinical isolates with high-level CRO<sup>RS</sup>. We found  
61 that each of these isolates has unique mutations in the RNA polymerase holoenzyme (RNAP)  
62 that cause CRO<sup>RS</sup>. One of these RNAP mutations is also present in a clinical isolate from the  
63 U.K., belonging to a genetically distinct clade, that has otherwise unexplained CRO<sup>RS</sup>. We also  
64 identified an additional two RNAP mutations that arose *de novo* to cause CRO<sup>RS</sup> *in vitro*.  
65 Furthermore, introducing RNAP mutations into diverse clinical isolates from multiple lineages  
66 was sufficient to cause high-level phenotypic CRO<sup>RS</sup>, underscoring the ability of isolates from  
67 circulating clades to develop CRO<sup>RS</sup> via a single mutation in RNA polymerase.

68

69 **Results**

70 **A single missense mutation in *rpoB* is the genetic basis for reduced ceftriaxone  
71 susceptibility in the clinical isolate GCGS1095.**

72 To identify the genetic basis of reduced susceptibility in GCGS1095, we transformed a  
73 ceftriaxone susceptible (CRO<sup>S</sup>) recipient strain with genomic DNA from GCGS1095. We  
74 reasoned that minimizing the genetic distance between the resistant donor and susceptible  
75 recipient would improve the likelihood of identifying the causative region for CRO<sup>RS</sup> and would  
76 reduce potentially confounding effects of divergent genomic backgrounds. Consequently, we  
77 selected GCGS0457, a close phylogenetic neighbor of GCGS1095 (Figure 1), as the CRO<sup>S</sup>  
78 recipient for these experiments. Transformants were selected on CRO plates, and those that  
79 acquired the CRO<sup>RS</sup> phenotype were characterized by whole genome sequencing to determine  
80 which variants they had inherited from GCGS1095.

81 We recovered multiple CRO<sup>RS</sup> transformants. GCGS0457 did not develop spontaneous  
82 CRO<sup>RS</sup> during culture or when transformed with autologous GCGS0457 genomic DNA. Whole  
83 genome sequencing of the CRO<sup>RS</sup> transformants showed that each transformant inherited the  
84 same single nucleotide polymorphism (SNP) in *rpoB* (nucleotide change G602A), which encodes  
85 the RNA polymerase beta subunit RpoB (amino acid substitution R201H) (Figure 2). We named  
86 this allele *rpoB1*. These CRO<sup>RS</sup> transformants did not acquire variants in genes known to  
87 contribute to reduced cephalosporin susceptibility, such as *penA*, *ponA*, *pilQ*, or *mtr* (David W.  
88 Eyre et al., 2017).

89 As RNA polymerase mutations have not been associated with cephalosporin resistance in  
90 *Neisseria*, we tested whether the *rpoB1* mutation is sufficient to confer CRO<sup>RS</sup>. To do so, we  
91 performed directed transformation of GCGS0457 with a ~1.5 kilobase PCR product surrounding  
92 the variant position of *rpoB1*. With the exception of the single *rpoB* G602A nucleotide change,

93 the sequence of this PCR product was identical to the parental GCGS0457 sequence.

94 Transformation with the *rpoB1* allele amplified from GCGS1095 yielded multiple CRO<sup>RS</sup>

95 transformants, whereas transformation with control PCR products amplified from a strain

96 containing wild-type *rpoB* yielded none. Sanger sequencing confirmed the presence of the

97 expected SNP in each resistant transformant. Representative CRO<sup>RS</sup> transformants were further

98 examined by whole genome sequencing, which ruled out spontaneous mutations. The CRO MIC

99 of the *rpoB1* transformant strain GCGS0457 RpoB<sup>R201H</sup> was 0.25 µg/mL, a more than ten-fold

100 increase from recipient strain GCGS0457 (CRO MIC 0.016 µg/mL) (Table 1). This transformant

101 MIC is similar to the MIC of the parental CRO<sup>RS</sup> isolate GCGS1095 (Table 1), indicating that

102 introduction of this SNP is sufficient to fully recapitulate the CRO<sup>RS</sup> phenotype in the

103 GCGS0457 background.

104

105 **RNA polymerase mutations explain CRO<sup>RS</sup> in other clinical isolates.**

106 GCGS1014 is a phylogenetic neighbor of GCGS1095 that also has unexplained CRO<sup>RS</sup>

107 (Figure 1; Table 1). The close phylogenetic relationship between these isolates led us to

108 hypothesize that they would share the same mechanism of CRO<sup>RS</sup>. However, the GCGS1014

109 isolate lacks the *rpoB1* allele identified in GCGS1095. In fact, among the 1102 sequenced

110 isolates in the GISP collection, the *rpoB1* allele is unique to GCGS1095 (Supplemental file 1).

111 To define the genetic basis of CRO<sup>RS</sup> in GCGS1014, we used the same unbiased

112 transformation approach as described above, again using the CRO<sup>S</sup> neighbor GCGS0457 as the

113 recipient strain. The CRO<sup>RS</sup> transformants from GCGS1014 gDNA did not have mutations in

114 *rpoB* or in other characterized resistance-associated genes. Instead, all CRO<sup>RS</sup> transformants

115 inherited a single nucleotide substitution in *rpoD* (nucleotide G292A), which encodes the major

116 housekeeping sigma factor *RpoD*, or  $\sigma^{70}$  (amino acid substitution E98K) (Figure 2). This allele,  
117 *rpoD1*, is present in the CRO<sup>RS</sup> donor GCGS1014 but not in GCGS1095 (Table 1) or in any  
118 other isolate from the GISP collection (Supplemental file 1). To test the ability of the *rpoD1*  
119 allele to confer CRO<sup>RS</sup> to a susceptible strain, we transformed GCGS0457 with a ~1.5 kilobase  
120 PCR product that includes the single variant position in *rpoD1*. As with the directed  
121 transformation of *rpoB1*, the *rpoD1* allele amplified from GCGS1014 transformed GCGS0457 to  
122 high-level CRO<sup>RS</sup>, but control PCR products amplified from strains with wild-type *rpoD* did not.  
123 The presence of the *rpoD1* allele in resistant transformants was confirmed by Sanger sequencing  
124 and by whole genome sequencing, which also ruled out the possibility of spontaneous mutations  
125 causing the CRO<sup>RS</sup> phenotype. The *rpoD1* transformant strain GCGS0457 RpoD<sup>E98K</sup> had a CRO  
126 MIC of 0.125  $\mu$ g/mL, similar to that of the parental CRO<sup>RS</sup> isolate GCGS1014 (Table 1).

127 As the CRO<sup>RS</sup> phenotype in GCGS1095 and GCGS1014 is caused by distinct RNAP  
128 mutations, we examined alleles of RNAP components in each of the 1102 genomes in the GISP  
129 collection (Grad et al., 2016) to identify additional RNAP variants that might explain other cases  
130 of uncharacterized CRO<sup>RS</sup> (Supplementary file 1). We found that the isolate GCGS1013 has a  
131 12-basepair in-frame internal deletion in *rpoD*, resulting in the deletion of amino acids 92-95  
132 (Figure 2); GCGS1013 has a CRO<sup>RS</sup> phenotype but does not have a CRO<sup>RS</sup>-associated *penA*  
133 allele (CRO MIC 0.125  $\mu$ g/mL; Table 1). Transformation of this allele, *rpoD2*, into the  
134 susceptible recipient GCGS0457 increased CRO resistance to 0.125  $\mu$ g/mL (Table 1). The *rpoD2*  
135 allele is also present in a clinical gonococcal isolate from a recent United Kingdom strain  
136 collection (De Silva et al., 2016). This isolate, GCPH44 (genome accession number  
137 SRR3360905), has a CRO<sup>RS</sup> phenotype (CRO MIC 0.125  $\mu$ g/mL) and represents a distinct  
138 phylogenetic lineage from that of GCGS1013 (Figure 1). Like GCGS1013, the CRO<sup>RS</sup>

139 phenotype of GCPH44 is not attributable to variation in *penA* or other known resistance  
140 determinants (Table 1).

141 The amino acids deleted in the *rpoD2* allele ( $\Delta$ 92-95) are in a similar region of the RpoD  
142 protein as the single amino acid change in the *rpoD1* allele (E98K) (Figure 2A). This flexible  
143 portion of the  $\sigma^{70}$  1.1 domain is not included in published RNA polymerase holoenzyme crystal  
144 structures. Modeling of *E. coli* RNAP predicts that  $\sigma^{70}$  1.1 interacts with the DNA channel  
145 formed by the  $\beta$  subunit encoded by *rpoB* prior to open complex formation (Murakami, 2013). In  
146 *E. coli*, the RpoB residue homologous to the substituted amino acid in *rpoB1* (*E. coli* RpoB  
147 R197) is located on the surface of the  $\beta$  lobe structure that makes up this same channel (Figure  
148 2B). Taken together, these results demonstrate that RNAP-mediated CRO<sup>RS</sup> has arisen multiple  
149 times in clinical isolates of *N. gonorrhoeae*, and that these different mutations affect a similar  
150 region of the RNAP holoenzyme. They may therefore act via a similar mechanism to effect  
151 phenotypic CRO<sup>RS</sup>.

152

153 **Clinical isolates from multiple lineages can achieve high-level CRO<sup>RS</sup> via a single  
154 nucleotide change in *rpoB*.**

155 The *rpoB1* and *rpoD1* alleles each differ from wild-type by a single nucleotide. The  
156 ability of these mutations to cause high-level CRO<sup>RS</sup> when introduced into the susceptible strain  
157 GCGS0457 indicates that some gonococcal isolates are a single-step mutation from CRO<sup>RS</sup>.  
158 Because three of the four clinical isolates we identified with RNAP-mediated CRO<sup>RS</sup> are  
159 clustered in one part of the gonococcal phylogeny (Figure 1), we tested whether acquisition of  
160 CRO<sup>RS</sup> via this pathway is limited to strains within this particular clade – which would suggest

161 that one or more genetic background factors are required – or whether RNAP mutation  
162 represents a broadly accessible evolutionary trajectory to CRO<sup>RS</sup>.

163 To address this question, we sought to transform genetically diverse recipient strains to  
164 CRO<sup>RS</sup> with the *rpoB1* allele. In a panel of 17 clinical isolates from the GISP collection, we  
165 found five additional recipients dispersed throughout the phylogeny that acquired phenotypic  
166 CRO<sup>RS</sup> following directed transformation with *rpoB1* (Figure 1; Supplementary file 1). The  
167 remaining 12 isolates, as well as the laboratory strain 28BL, did not readily produce CRO<sup>RS</sup>  
168 *rpoB1* transformants after 1-2 transformation attempts. These results indicate that genetic  
169 background compatibility with this resistance mechanism is not limited to a single gonococcal  
170 lineage or to recipients with particular alleles of any RNA polymerase component  
171 (Supplementary file 1).

172

### 173 **A clinical isolate develops high-level CRO<sup>RS</sup> *in vitro* through spontaneous *rpoB* mutations.**

174 While screening isolates for genetic compatibility with *rpoB*-mediated CRO<sup>RS</sup> in the  
175 directed transformation experiments described above, we tested isolates in parallel for  
176 spontaneous development of CRO<sup>RS</sup>. We found that the CRO<sup>S</sup> isolate GCGS0364, located in a  
177 similar part of the phylogeny as the resistant isolates GCGS1095, GCGS1014, and GCGS1013  
178 (Figure 1), produced stably CRO<sup>RS</sup> derivatives when selected on CRO *in vitro*. Sanger  
179 sequencing of the *rpoB* locus in two of these derivatives identified two different spontaneous  
180 *rpoB* mutations: *rpoB2* (nucleotide change C470T, amino acid change P157L) and *rpoB3*  
181 (nucleotide change G473T, amino acid change G158V) (Figure 2A). These *de novo* RpoB  
182 mutations are predicted to occur in the same  $\beta$ -sheet as the *rpoB1* variant position (R201H)  
183 identified in GCGS1095 (Figure 2B). Directed transformation of *rpoB2* or *rpoB3* into the

184 parental (CRO<sup>S</sup>) GCGS0364 isolate conferred phenotypic CRO<sup>RS</sup>, increasing the CRO MIC  
185 more than 20-fold from 0.023 µg/mL to 0.5-0.75 µg/mL (Table 1).

186

187 **RNAP-mediated CRO<sup>RS</sup> acts via a cephalosporin-specific mechanism.**

188 As optimal function of RNA polymerase machinery is required for bacterial fitness, we  
189 tested the hypothesis that these RNAP mutations are not specific cephalosporin resistance  
190 mutations but instead cause a slow-growth or general stress response phenotype that  
191 nonspecifically increases resistance to diverse classes of antimicrobial compounds.

192 As expected, the *rpoB1*, *rpoD1*, or *rpoD2* alleles conferred reduced susceptibility to  
193 cefixime (another third-generation cephalosporin) when transformed into the CRO<sup>S</sup> recipient  
194 GCGS0457. However, these alleles did not affect susceptibility to antimicrobial drugs that do not  
195 target the cell wall, including ciprofloxacin, tetracycline, azithromycin, and rifampicin.

196 Surprisingly, these mutations also failed to confer resistance to the non-cephalosporin β-lactams  
197 penicillin and ertapenem. The apparent cephalosporin specificity of these RNAP mutations in the  
198 GCGS0457 background is consistent with in the antibiotic susceptibility profiles of the three  
199 clinical isolates from which they were identified (GCGS1095 for *rpoB1*, GCGS1014 for *rpoD1*,  
200 and GCGS1013 for *rpoD2*) (Table 2).

201 GCGS0457 *rpoB1* and GCGS0457 *rpoD1* transformants were further examined for  
202 evidence of a slow growth phenotype that might increase antibiotic tolerance. When grown on  
203 GCB agar (Difco), neither of these transformants had a reduced growth rate compared to the  
204 parental GCGS0457 strain (Figure 3A). Furthermore, the *rpoB1* and *rpoD1* transformants had no  
205 gross defects in cell morphology by transmission electron microscopy (TEM) (Figure 3B),  
206 although transformants were slightly smaller than the parental GCGS0457 strain (Supplementary

207 Figure 1). Taken together, these results do not support the hypothesis that RNAP mutations  
208 reduce CRO susceptibility via a slow growth or nonspecific tolerance phenotype.

209

210 **CRO<sup>RS</sup>-associated RNAP mutations induce widespread transcriptional changes.**

211 To measure the functional effect of the *rpoB1* and *rpoD1* alleles on RNA polymerase, we  
212 characterized the transcriptional profiles of the CRO<sup>RS</sup> isolates GCGS1095 (*rpoB1*) and  
213 GCGS1014 (*rpoD1*) and the susceptible isolate GCGS0457 by RNA-seq. The transcriptional  
214 profiles of the CRO<sup>RS</sup> isolates were similar to one another but distinct from GCGS0457  
215 (Supplementary Figure 2), with 1337 and 1410 annotated ORFs significantly altered in  
216 abundance, respectively. The transcriptomic differences we observe may partly reflect the  
217 genetic distance between these isolates (C. B. Wadsworth, Sater, Bhattacharyya, & Grad, 2019),  
218 as the resistant strains GCGS1095 and GCGS1014 are more closely related to one another than  
219 they are to GCGS0457. We therefore compared GCGS0457 to its isogenic CRO<sup>RS</sup> transformants  
220 GCGS0457 RpoB<sup>R201H</sup> and GCGS0457 RpoD<sup>E98K</sup>. The *rpoB1* and *rpoD1* alleles each profoundly  
221 altered the transcriptional profile of GCGS0457: 1278 annotated ORFs in GCGS0457 RpoD<sup>E98K</sup>  
222 and 1218 annotated ORFs in the GCGS0457 RpoB<sup>R201H</sup> were differentially expressed relative to  
223 the parental GCGS0457 strain. The transcriptional profiles of the two transformants were very  
224 similar to one another (Supplementary Figure 2), indicating that these different mutations have  
225 similar functional consequences. Overall, a total of 890 annotated ORFs were differentially  
226 expressed in all four CRO<sup>RS</sup> strains (the two CRO<sup>RS</sup> isolates and two transformants in the  
227 GCGS0457 background) compared to GCGS0457. The vast majority of the transcriptional  
228 changes are small in magnitude: 805/890 (90%) are less than 2-fold differentially expressed in  
229 one or more of the CRO<sup>RS</sup> strains.

230 Altered expression of resistance-associated genes such as efflux pumps and  $\beta$ -lactamases  
231 is a common mechanism of transcriptionally-mediated drug resistance. Although these strains  
232 lack a TEM-1  $\beta$ -lactamase (Grad et al., 2016), increased expression of the gonococcal MtrCDE  
233 efflux pump is known to increase resistance to  $\beta$ -lactams, including cephalosporins (Lindberg et  
234 al., 2007). However, transcription of the operon encoding this pump is not elevated in the CRO<sup>RS</sup>  
235 RNAP mutants (Supplementary Figure 3), nor is it differentially upregulated in response to drug  
236 exposure in these strains (Supplementary Figure 4).

237 *In vitro* evolution studies that evolve cephalosporin resistance in *N. gonorrhoeae*  
238 identified inactivating mutations in the type IV pilus pore subunit PilQ (Johnson et al., 2014; S.  
239 Zhao, Tobiason, Hu, Seifert, & Nicholas, 2005), although similar *pilQ* mutations have not been  
240 identified in clinical isolates, presumably because colonization requires pilus-mediated adhesion  
241 to epithelial cells (Kellogg, Peacock, Deacon, Brown, & Pirkle, 1963; Rudel, van Putten, Gibbs,  
242 Haas, & Meyer, 1992). Notably, *pilQ* transcription is reduced in the GCGS0457 *rpoB1* and  
243 GCGS0457 *rpoD1* transformants, as well as in the CRO<sup>RS</sup> isolates GCGS1095 and GCGS1014  
244 (Supplementary Figure 3 and 4). The effect of hypomorphic *pilQ* expression on cephalosporin  
245 susceptibility has not been described, but it is possible that reducing *pilQ* transcript levels may  
246 confer the benefits of inactivating *pilQ* mutations without eliminating pilus-mediated attachment  
247 and colonization altogether.

248

#### 249 **CRO<sup>RS</sup>-associated RNAP mutations alter the abundance of cell wall biosynthesis enzymes.**

250 Since ESCs, like other  $\beta$ -lactams, block cell wall biosynthesis by covalently inhibiting  
251 penicillin-binding proteins (PBPs), we examined how CRO<sup>RS</sup>-associated RNAP mutations affect  
252 expression of enzymes in this target pathway. *N. gonorrhoeae* encodes four known PBPs

253 (Obergfell, Schaub, Priniski, Dillard, & Seifert, 2018; Sauvage, Kerff, Terrak, Ayala, & Charlier,  
254 2008): the essential high-molecular weight bifunctional transpeptidase/transglycosylase PBP1  
255 (encoded by *ponA*); the essential high-molecular weight transpeptidase PBP2 (encoded by *penA*),  
256 which is the primary target of ESCs (Kocaoglu & Carlson, 2015; S. Zhao et al., 2009); and the  
257 nonessential carboxypeptidases PBP3 (encoded by *dacB*) and PBP4 (encoded by *pbpG*). In  
258 addition to these canonical PBPs, *N. gonorrhoeae* genomes include DacC (encoded by *dacC*), a  
259 third putative low-molecular weight carboxypeptidase that lacks conserved active site motifs  
260 (Obergfell et al., 2018). A homologue of the L,D-transpeptidase YnhG is also present in the  
261 genome, although it has not been reported to be functional in *N. gonorrhoeae*.

262 Increased expression of drug targets can lead to decreased drug susceptibility, but  
263 CRO<sup>RS</sup>-associated RNAP mutations did not increase mRNA or protein abundance of the CRO  
264 target PBP2 (Figure 4). Transcription of the putative L,D-transpeptidase gene *ynhG* is also  
265 unchanged in the CRO<sup>RS</sup> strains (Supplementary Figure 3). By contrast, PBP1 (*ponA*) is  
266 upregulated in each of the CRO<sup>RS</sup> strains compared to GCGS0457, while expression of PBP3,  
267 *pbpG*, and *dacC* is decreased in these strains (Figure 4A-B). Similar expression patterns were  
268 observed in the presence of sub-inhibitory CRO (Supplementary Figure 4).

269 These altered PBP expression patterns are reflected in the cell wall structure of the  
270 CRO<sup>RS</sup> strains: reduced expression of the carboxypeptidases results in more peptidoglycan with  
271 pentapeptide stems (Figure 5 and Supplementary Table 2), in agreement with reported structural  
272 changes of cell walls from strains lacking the DacB carboxypeptidase (Obergfell et al., 2018).  
273 The increased expression of PBP1 in these RNAP mutants does not appear to increase the  
274 abundance of crosslinked peptidoglycan (Supplementary Figure 5 and Supplementary Table 2).  
275

276 **Discussion**

277 We have identified five different CRO<sup>RS</sup>-associated RNA polymerase alleles. Three of  
278 these – *rpoB1*, *rpoD1*, and *rpoD2* – are the genetic basis of high-level reduced ESC  
279 susceptibility in clinical isolates with previously unexplained resistance phenotypes. The  
280 remaining two arose spontaneously during culture, indicating that the RNAP alleles described in  
281 this work are likely only a subset of functionally similar mutations that could arise in clinical  
282 isolates. Clinical isolates from diverse genetic backgrounds can achieve high-level CRO<sup>RS</sup> via  
283 these RNAP mutations. This is highlighted by the appearance of the *rpoD2* allele in a second  
284 clinical isolate belonging to a genetically distinct clade. Because this isolate is a member of a  
285 large clade that includes many closely related isolates, this result illustrates the concerning  
286 potential of these mutations to cause *penA*-independent CRO<sup>RS</sup> in globally distributed strains and  
287 the importance of including these mutations in ongoing surveillance efforts.

288 Among the isolates of the GISP collection, there was no evidence for sustained  
289 transmission of the CRO<sup>RS</sup> RNAP mutant isolates (Figure 1), perhaps indicating that fitness costs  
290 associated with these RNAP variants have prevented their spread. However, it is important to  
291 note that the CRO<sup>RS</sup> isolates GCGS1095, GCGS1014, and GCGS1013 are susceptible to  
292 azithromycin (Table 2). This susceptibility may be an alternative explanation for the failure of  
293 these RNAP mutations to spread in an era of azithromycin/ceftriaxone combination therapy.

294 RNA polymerase mutations have been identified as mediators of diverse phenotypes,  
295 such as reduced susceptibility to phage lysis (Atkinson & Gottesman, 1992; Obuchowski et al.,  
296 1997) and antimicrobial drugs, including cell wall biosynthesis inhibitors (Cui et al., 2010;  
297 Kristich & Little, 2012; Y. H. Lee, Nam, & Helmann, 2013; Penwell et al., 2015; Wang et al.,  
298 2017). These mutations often arise during *in vitro* evolution experiments, and are typically

299 described as artifacts of *in vitro* conditions, as the presumed pleiotropy of such mutations is  
300 typically thought to be prohibitively deleterious. This description of resistance-associated RNAP  
301 mutations in isolates collected from symptomatic patients demonstrates that, at least in the case  
302 of CRO<sup>RS</sup> in *N. gonorrhoeae*, clinical isolates can acquire resistance via RNAP mutation while  
303 still maintaining sufficient fitness to cause disease. Similar results have been reported regarding  
304 the role of *rpoB* mutation in decreased susceptibility to vancomycin in staphylococcal species  
305 (Guérillot et al., 2018; J. Y. H. Lee et al., 2018; Watanabe, Cui, Katayama, Kozue, & Hiramatsu,  
306 2011).

307 In accordance with this model, the RNAP mutations identified in these CRO<sup>RS</sup> isolates  
308 result in an apparently narrowly-targeted phenotypic change: RNAP mutants acquire resistance  
309 to cephalosporins but not other classes of antimicrobial drugs, show no growth defect *in vitro*,  
310 and display broad but small-magnitude changes to transcript levels, indicating that RNAP  
311 mutation may be a valid approach to fine-tuning bacterial physiology for enhanced survival  
312 under certain adverse conditions, such as antibiotic exposure.

313 The observation that the RNAP mutations identified here neither decrease susceptibility  
314 to other antimicrobials nor alter growth phenotypes supports the hypothesis that these mutations  
315 generate a “fine-tuning” cephalosporin-specific resistance mechanism, as opposed to a large-  
316 scale physiological shift toward a generally antibiotic-tolerant state. Altered activity of the RNA  
317 polymerase transcription machinery likely results in this type of drug-specific reduced  
318 susceptibility. RNAP variants differentially express multiple transcripts relating to the  
319 cephalosporin mode of action. For example, while PBP2 levels are unaffected, the increase of  
320 PBP1 expression may contribute to cephalosporin resistance. PBP1 and PBP2 both catalyze  
321 transpeptidation, but PBP1 is not efficiently inhibited by third-generation cephalosporins

322 (Kocaoglu & Carlson, 2015), suggesting that increased PBP1 levels may partly compensate for  
323 CRO-inhibited PBP2 during drug treatment. The RNAP variants simultaneously reduce D,D-  
324 carboxypeptidase expression, increasing the pool of pentapeptide peptidoglycan monomers  
325 available for transpeptidation by PBP1 and PBP2. Decreased expression of pilus pore  
326 components in these mutants may also contribute to CRO<sup>RS</sup> by reducing permeability of the  
327 outer membrane to cephalosporins (Chen et al., 2004; Johnson et al., 2014; Nandi, Swanson,  
328 Tomberg, & Nicholas, 2015; S. Zhao et al.). These expression changes and others may work  
329 additively or synergistically to increase drug resistance. The pleiotropy of RNAP mutations may  
330 thus enable a multicomponent resistance mechanism to emerge following a single genetic  
331 change.

332 This result has important implications regarding the biology underlying cephalosporin  
333 resistance, the potential for *de novo* evolution of resistance under cephalosporin monotherapy,  
334 and the accuracy of sequence-based diagnostics. The identification of five independent mutations  
335 in two separate components of the RNA polymerase machinery illustrates challenges faced by  
336 computational genomics strategies to define new resistance alleles, especially because there are  
337 other variants in these genes – such as rifampicin resistance mutations in *rpoB* – that do not  
338 confer CRO<sup>RS</sup>. Continued isolate collection, phenotypic characterization, and traditional genetic  
339 techniques will be critical for defining emerging resistance mechanisms (Hicks, Kissler, Lipsitch,  
340 & Grad, 2019). The observation that multiple lineages can gain CRO resistance through RNAP  
341 mutations further underscores the need to monitor for the development of CRO<sup>RS</sup> through  
342 pathways other than *penA* mutation, particularly as the ESCs are increasingly relied upon for  
343 treatment. Identifying these alternative genetic mechanisms of reduced susceptibility is needed to

344 support the development of accurate and reliable sequence-based diagnostics that predict CRO  
345 susceptibility, as well as to aid in the design of novel therapeutics.

346

347 **Methods**

348 **Bacterial strains and culture conditions.** Strains are presented in Supplementary Table 1.  
349 Except where otherwise specified, *N. gonorrhoeae* was cultured on GCB agar (Difco) with  
350 Kellogg's supplements (GCB-K) (Kellogg et al., 1963) at 37°C in a 5% CO<sub>2</sub> atmosphere.  
351 Antibiotic susceptibility testing of *N. gonorrhoeae* strains was performed on GCB media  
352 supplemented with 1% IsoVitaleX (Becton Dickinson) via agar dilution or Etest (bioMérieux). *E.*  
353 *coli* strains were cultured in LB medium at 37°C. Media were supplemented as appropriate with  
354 chloramphenicol (20 µg/mL for *E. coli*; 1 µg/mL for *N. gonorrhoeae*).

355 **Transformation of reduced cephalosporin susceptibility with genomic DNA.** The CRO<sup>RS</sup>  
356 recipient strain GCGS0457 was transformed with genomic DNA from GCGS1014 and  
357 GCGS1095. Transformations were conducted in liquid culture as described (Morse, Johnson,  
358 Biddle, & Roberts, 1986; Crista B Wadsworth, Arnold, Abdul Sater, & Grad, 2018). Briefly,  
359 pilated *N. gonorrhoeae* was suspended in GCP medium (15 g/L protease peptone 3, 1 g/L  
360 soluble starch, 4 g/L dibasic K<sub>2</sub>HPO<sub>4</sub>, 1 g/L monobasic KH<sub>2</sub>PO<sub>4</sub>, 5 g/L NaCl) with Kellogg's  
361 supplement, 10mM MgCl<sub>2</sub>, and approximately 100 ng genomic DNA. Suspensions were  
362 incubated for 10 minutes at 37°C with 5% CO<sub>2</sub>. Transformants were allowed to recover on  
363 nonselective agar for 4-5 hours. After recovery, transformants were plated on GCB-K  
364 ceftriaxone gradient agar plates, which were prepared by allowing a 40 µL droplet of 5 µg/mL  
365 ceftriaxone to dry onto a GCB-K agar plate. Transformations performed with GCGS0457  
366 genomic DNA served as controls to monitor for spontaneous CRO<sup>RS</sup> mutation. After outgrowth

367 at 37°C in 5% CO<sub>2</sub>, colonies growing within the ceftriaxone zone of inhibition were subcultured  
368 for further analysis.

369 **Transformation of RNA polymerase mutations.** ~1.5kb PCR fragments surrounding the *rpoB*  
370 and *rpoD* loci of interest were amplified using the primer pairs RpoB-US (5'-  
371 ATGCCGTCTGAATATCAGATTGATGCGTACCGTT-3') and RpoB-DS (5'-  
372 CGTACTCGACGGTTGCCAAG-3') or RpoD-US (5'-AACTGCTCGGACAGGAAGCG-3')  
373 and RpoD-DS (5'-CGCGTTCGAGTTGCGGATGTT-3'). The 12-bp DNA uptake sequence  
374 (DUS) for *N. gonorrhoeae* (Ambur, Frye, & Tønjum, 2007) was added to the 5' end of the  
375 RpoB-US primer (underlined) to enhance transformation efficiency with the PCR product; a  
376 DUS was not added to the RpoD-US or RpoD-DS primers, as the PCR product amplified by  
377 these primers includes two endogenous DUS regions. CRO<sup>S</sup> recipient strains were transformed  
378 with 200-300 ng purified PCR products and transformants were selected for CRO<sup>RS</sup> as above.  
379 Transformation reactions performed with wildtype alleles (*rpoB*<sup>+</sup> from GCGS1014; *rpoD*<sup>+</sup> from  
380 GCGS1095) served as controls to monitor for spontaneous CRO<sup>RS</sup> mutation.

381 **Sequencing and analysis.** Following undirected transformation of GCGS0457 with GCGS1014  
382 or GCGS1095 genomic DNA, or directed transformation of GCGS0457 with PCR products,  
383 CRO<sup>RS</sup> transformants were analyzed by whole genome sequencing. Genomic DNA of  
384 transformants was purified with the PureLink Genomic DNA Mini kit (Life Technologies) and  
385 sequencing libraries were prepared as described (Kim et al., 2017). Paired-end sequencing of  
386 these libraries was performed on an Illumina MiniSeq (Illumina). Reads were aligned to the *de*  
387 *novo* assembly of the GCGS0457 genome (European Nucleotide Archive, accession number  
388 ERR855051) using bwa v0.7.8 (Li & Durbin, 2010), and variant calling was performed with  
389 pilon v1.22 (Walker et al., 2014).

390 **Whole genome assembly and annotation.** Whole genome sequencing reads from the GISP  
391 collection were assembled using Velvet (Zerbino & Birney, 2008) as previously described (Grad  
392 2016). Spades v 3.12 (Bankevich et al., 2012) was used for *de novo* assembly of GCPH44.  
393 Contigs were corrected by mapping reads back to the assembly (--careful), and contigs with less  
394 than 10X coverage or fewer than 500 nucleotides were removed. Reads were also mapped to the  
395 reference genome NCCP11945 (NC\_011035.1) with bwa mem v0.7.15 (Li, 2013). Variants were  
396 identified with Pilon v 1.16 (Walker et al., 2014) using a minimum depth of 10 and minimum  
397 mapping quality of 20. SNPs, small deletions, and uncertain positions were incorporated into the  
398 reference genome to create pseudogenomes. For each isolate in the GISP collection and in the  
399 United Kingdom collection (De Silva et al., 2016), the sequence of RNA polymerase  
400 components was identified using BLASTn (ncbi-blast v2.2.30) (Supplemental File 1).

401 **Phylogenetic analysis.** Recombinant regions of the pseudogenome alignment were detected by  
402 Gubbins (Croucher et al., 2015), and the maximum likelihood phylogenetic tree was estimated  
403 from this masked alignment using RAxML (Stamatakis, 2014). The phylogeny was visualized  
404 using iTOL (Letunic & Bork, 2019).

405 **Growth curves.** Bacterial cells grown overnight on GCB-K plates were suspended in tryptic soy  
406 broth to OD<sub>600</sub> 0.01. Three replicate GCB-K plates per time point were inoculated with 0.1 mL  
407 of this suspension and incubated at 37°C with 5% CO<sub>2</sub>. At each time point, the lawn of bacterial  
408 growth from each of three replicate plates was suspended in tryptic soy broth. Serial dilutions  
409 were plated on GCB-K to determine total CFUs per plate. CFU counts were normalized to the  
410 initial inoculum density (CFUs measured at time 0) for each replicate.

411 **Transmission electron microscopy.** Bacterial cells grown overnight on GCB-K plates were  
412 suspended in liquid GCP medium with 1% Kellogg's supplement and 0.042% NaHCO<sub>3</sub> to OD<sub>600</sub>

413 0.1. Suspensions were incubated at 37°C with aeration for 2 hours to allow cultures to return to  
414 log phase. Cell pellets were collected by centrifugation and fixed for at least two hours at room  
415 temperature in 0.2M cacodylate buffer with 2.5% paraformaldehyde, 5% glutaraldehyde, and  
416 0.06% picric acid. Fixed pellets were washed in 0.1M cacodylate buffer and postfixed with 1%  
417 Osmiumtetroxide (OsO<sub>4</sub>)/1.5% Potassiumferrocyanide (KFeCN6) for 1 hour, washed 2x in  
418 water, 1x Maleate buffer (MB) 1x and incubated in 1% uranyl acetate in MB for 1hr followed by  
419 2 washes in water and subsequent dehydration in grades of alcohol (10min each; 50%, 70%,  
420 90%, 2x10min 100%). The samples were then put in propyleneoxide for 1 hr and infiltrated  
421 ON in a 1:1 mixture of propyleneoxide and TAAB Epon (Marivac Canada Inc. St.  
422 Laurent, Canada). The following day the samples were embedded in TAAB Epon and  
423 polymerized at 60 degrees C for 48 hrs. Ultrathin sections (~60nm) were cut on a Reichert  
424 Ultracut-S microtome, picked up on to copper grids stained with lead citrate and examined in a  
425 JEOL 1200EX Transmission electron microscope or a TecnaiG<sup>2</sup> Spirit BioTWIN and images  
426 were recorded with an AMT 2k CCD camera.

427 **Transcriptomics.** RNA isolation and sequencing was performed from *N. gonorrhoeae* strains as  
428 described (Crista B Wadsworth et al., 2018). Bacterial cells grown on GCB-K plates for 17 hours  
429 were suspended in liquid GCP medium with 1% IsoVitaleX and 0.042% NaHCO<sub>3</sub> to OD<sub>600</sub> 0.1.  
430 Suspensions were incubated at 37°C with aeration for 2-3 hours to allow cultures to return to log  
431 phase. Cells were collected to measure baseline transcriptional profiles. For samples measuring  
432 the effect of drug exposure, 0.008 µg/mL CRO was added and cultures were incubated at 37°C  
433 for an additional 90 minutes before cell collection. RNA was purified with the Direct-Zol kit  
434 (Zymo). Transcriptome libraries were prepared at the Broad Institute at the Microbial ‘Omics  
435 Core using a modified version of the RNAtag-seq protocol (Rudy et al., 2015). Five hundred

436 nanograms of total RNA was fragmented, depleted of genomic DNA, dephosphorylated, and  
437 ligated to DNA adapters carrying 5'-AN8-3' barcodes of known sequence with a 5' phosphate  
438 and a 3' blocking group. Barcoded RNAs were pooled and depleted of rRNA using the RiboZero  
439 rRNA depletion kit (Epicentre). Pools of barcoded RNAs were converted to Illumina cDNA  
440 libraries in two steps: (i) reverse transcription of the RNA using a primer designed to the  
441 constant region of the barcoded adapter with addition of an adapter to the 3' end of the cDNA by  
442 template switching using SMARTScribe (Clontech) as described previously (Zhu, Machleder,  
443 Chenchik, Li, & Siebert, 2001); (ii) PCR amplification using primers whose 5' ends target the  
444 constant regions of the 3' or 5' adapter and whose 3' ends contain the full Illumina P5 or P7  
445 sequences. cDNA libraries were sequenced on the Illumina Nextseq 500 platform to generate 50-  
446 bp paired-end reads. Barcode sequences were removed, and reads were aligned to the FA1090  
447 reference genome. Differential expression analysis was conducted in DESeq2 v.1.16.1 (Love,  
448 Huber, & Anders, 2014).

449 **Sequence data availability.** Genomic and transcriptomic read libraries are available from the  
450 NCBI SRA database (accession number PRJNA540288).

451 **PBP abundance measurement.** Protein abundance of PBP1, PBP2, and PBP3 was calculated  
452 using the fluorescent penicillin derivative bocillin-FL (Thermo Fisher). *N. gonorrhoeae* strains  
453 from overnight cultures were suspended in liquid GCP medium supplemented with 1%  
454 IsoVitalex and 0.042% NaHCO<sub>3</sub> to a density of OD<sub>600</sub> 0.1. Suspensions were incubated with  
455 aeration at 37°C for 2.5-3 hours. Bacterial cells were collected by centrifugation, washed once  
456 with 1 mL of sterile phosphate-buffered saline (PBS), and resuspended in PBS 5 µg/mL bocillin-  
457 FL and 0.1% dimethyl sulfoxide (DMSO) to a final concentration of 1 mL of OD<sub>600</sub> 0.5 per 50  
458 µL suspension. Bocillin-FL suspensions were incubated for 5 minutes. An equal volume 2x

459 SDS-PAGE sample buffer (Novex) was added and samples were boiled for 5 minutes. Proteins  
460 in 30  $\mu$ L of each suspension were separated by SDS-PAGE on 4-12% Tris-Glycine protein gels  
461 (Novex), which were visualized on a Typhoon imager (Amersham) (excitation 488 nm/emission  
462 526 nm) to detect bocillin-FL fluorescence. Densitometry was performed with ImageJ  
463 (Schneider, Rasband, & Eliceiri, 2012). Total bocillin-FL fluorescent signal was calculated for  
464 each sample, and the proportional contribution of individual PBPs to this signal was reported.

465 **Muropeptide analysis.** *N. gonorrhoeae* strains were cultured for approximately 18 hours at  
466 37°C with agitation in GCP medium supplemented with Kellogg's reagent and 0.042% NaHCO<sub>3</sub>.  
467 Peptidoglycan was isolated and digested as described (Kühner, Stahl, Demircioglu, & Bertsche,  
468 2014) with minor modifications. Briefly, bacterial cells were pelleted by centrifugation,  
469 suspended in 1 mL 1M NaCl, and incubated at 100°C for 20 minutes. Samples were centrifuged  
470 for one minute at 18,000 $\times$ g. Pellets were washed three times with water, suspended in 1 mL  
471 water, and placed in a bath sonicator for 30 minutes. 0.5 mL 0.1M Tris pH 6.8, 40  $\mu$ g/mL RNase,  
472 and 16  $\mu$ g/mL DNase were added to each sample; samples were incubated with shaking at 37°C  
473 for two hours, with the addition of 16  $\mu$ g/mL trypsin after the first hour of incubation. Samples  
474 were heated to 100°C for 5 minutes to inactivate enzymes, then centrifuged for 3 minutes at  
475 18,000 $\times$ g to pellet peptidoglycan. Pellets were washed with 1 mL aliquots of water until the  
476 suspension pH measured between 5 and 5.5. Peptidoglycan was then resuspended in 0.2 mL  
477 12.5mM NaH<sub>2</sub>PO<sub>4</sub> pH 5.5 with 500 U/mL mutanolysin and incubated with shaking at 37°C for  
478 16 hours. Samples were heated to 100°C for 5 minutes to inactivate mutanolysin and centrifuged  
479 for 5 minutes at 18,000 $\times$ g to pellet debris. The supernatant, containing solubilized muropeptides,  
480 was removed to new tubes, and 50  $\mu$ L 10mg/mL NaBH<sub>4</sub> was added to each. Samples were

481     incubated at room temperature for 30 minutes and then the pH was adjusted to 2-3 with 85%  
482     H<sub>3</sub>PO<sub>4</sub>.

483           LC-MS was conducted using an Agilent Technologies 1200 series HPLC in line with an  
484     Agilent 6520 Q-TOF mass spectrometer operating with electrospray ionization (ESI) and in  
485     positive ion mode. The muropeptide fragments were separated on a Waters Symmetry Shield  
486     RP18 column (5 µm, 4.6 x 250 mm) using the following method: 0.5 mL/minute solvent A  
487     (water, 0.1% formic acid) for 10 minutes followed by a linear gradient of 0% solvent B  
488     (acetonitrile, 0.1% formic acid) to 20% B over 90 minutes.

489

490     **Acknowledgements.**

491           We thank the Centers for Disease Control and Prevention Gonococcal Isolate  
492     Surveillance Project, Joseph Dillard, Steven Johnson, and Caroline Genco for generously  
493     providing strains; Georgia Lagoudas and Paul Blainey for sequencing undirected transformants;  
494     Jessica Alexander, Jonathan Livny, and the Broad Institute Microbial ‘Omics Core for RNAseq  
495     support; the Harvard Medical School Electron Microscopy Facility for TEM imaging; and Crista  
496     Wadsworth and Mohamad Rustom Abdul Sater for advising on analysis pipelines.

497           This work was supported by the Richard and Susan Smith Family Foundation (to YHG)  
498     and the National Institutes of Health R01 AI132606 (to YHG), R01 GM76710 (to SW), and F32  
499     GM123579 (to MAW).

500

501     **Author contributions.** SGP and YHG designed and coordinated the study. SGP, YW, DHFR,  
502     MAW, TDM, and KC performed experiments and data analysis. SGP and YHG wrote the

503 manuscript with input from all authors. MAW and SW provided critical manuscript revision.

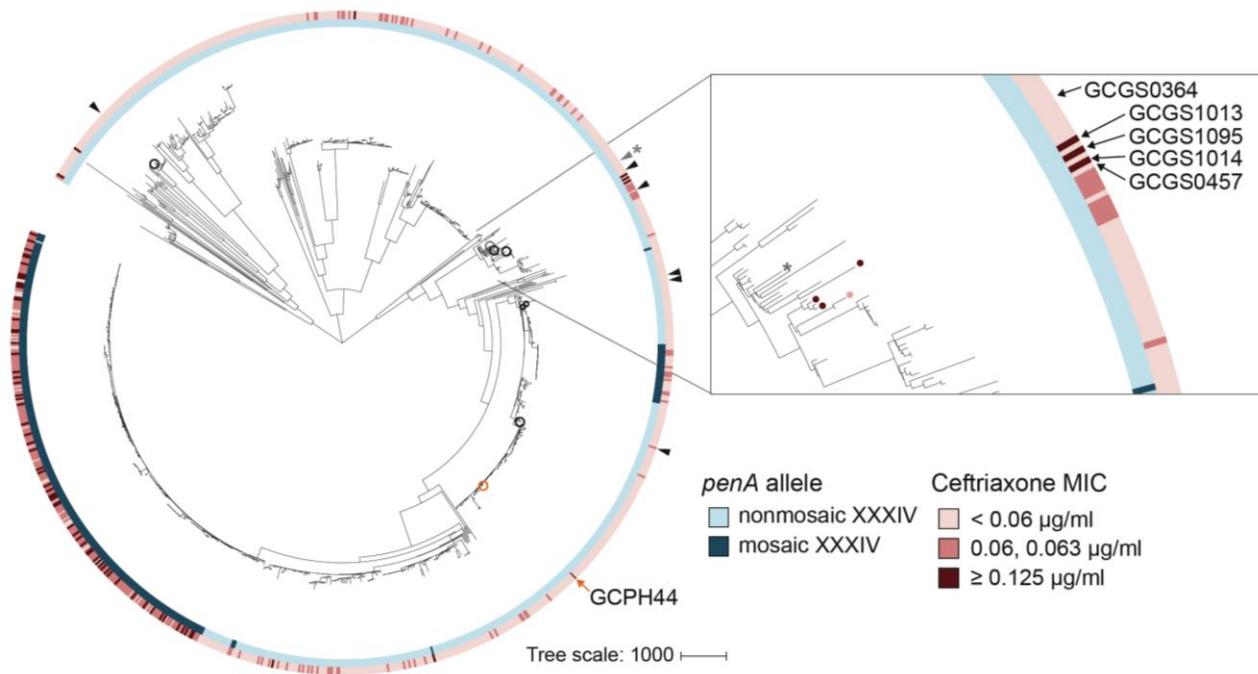
504 SW, DWE, and YHG contributed funding, resources, and supervision.

**Table 1. Selected strains, phenotypic ceftriaxone susceptibility, and relevant genotypes.**

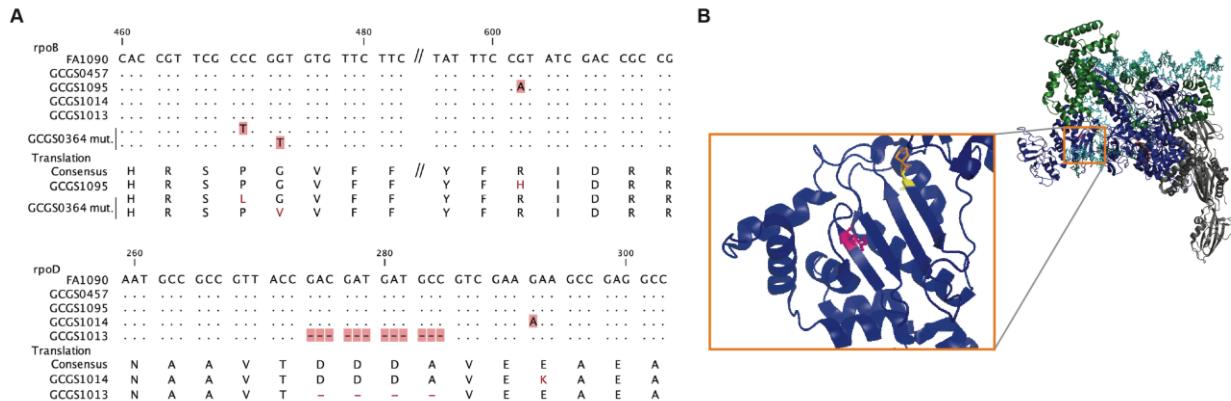
strain	CRO MIC ( $\mu$ g/mL)	penA (PBP2)	ponA (PBP1)	PorB 120/121	RpoB	RpoD
<b>GCGS0457</b>	0.016	Type II non-mosaic (NG STAR 2.002)	421P	120G/121V	wildtype	wildtype
<b>GCGS1095</b>	0.5	Type II non-mosaic (NG STAR 2.002)	421P	120N/121A	R201H ( <i>rpoB1</i> )	wildtype
<b>GCGS1014</b>	0.125	Type II non-mosaic (NG STAR 2.002)	421P	120D/121A	wildtype	E98K ( <i>rpoD1</i> )
<b>GCGS1013</b>	0.125	Type II non-mosaic (NG STAR 2.002)	421P	120G/121A	wildtype	$\Delta$ 92-95 ( <i>rpoD2</i> )
<b>GCPH44</b>	0.125	Type II non-mosaic (NG STAR 2.001)	421L	120G/121A	H553N	$\Delta$ 92-95 ( <i>rpoD2</i> )
<b>GCGS0457 <i>rpoB1</i></b>	0.25	Type II non-mosaic (NG STAR 2.002)	421P	120G/121V	R201H ( <i>rpoB1</i> )	wildtype
<b>GCGS0457 <i>rpoD1</i></b>	0.25	Type II non-mosaic (NG STAR 2.002)	421P	120G/121V	wildtype	E98K ( <i>rpoD1</i> )
<b>GCGS0457 <i>rpoD2</i></b>	0.125	Type II non-mosaic (NG STAR 2.002)	421P	120G/121V	wildtype	$\Delta$ 92-95 ( <i>rpoD2</i> )
<b>GCGS0364</b>	0.023	Type II non-mosaic (NG STAR 2.002)	421P	120K/121D	wildtype	wildtype
<b>GCGS0364 <i>rpoB2</i></b>	0.5	Type II non-mosaic (NG STAR 2.002)	421P	120K/121D	G158V ( <i>rpoB2</i> )	wildtype
<b>GCGS0364 <i>rpoB3</i></b>	0.75	Type II non-mosaic (NG STAR 2.002)	421P	120K/121D	P157L ( <i>rpoB3</i> )	wildtype

**Table 2. Antibiotic susceptibility profiles of CRO<sup>RS</sup> strains with RNAP mutations.**

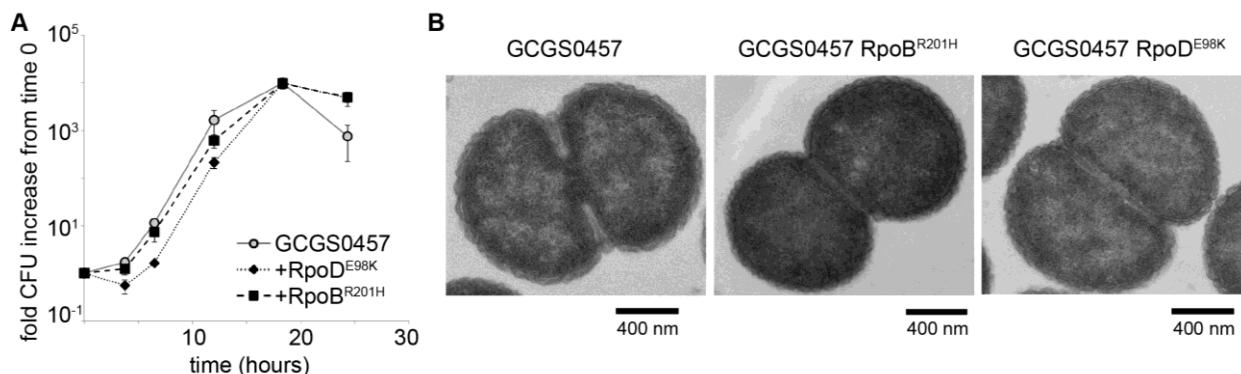
Strain	MIC ( $\mu$ g/ml)							
	CRO	CFX	PEN	EPM	AZI	TET	CIP	RIF
GCGS0457	0.016	0.016	2	0.016	0.25	1	$\le$ 0.015	0.125
GCGS1095	0.5	1	2	0.032	0.5	1	$\le$ 0.015	$\le$ 0.0625
GCGS1014	0.125	0.5	1	0.032	0.25	1	$\le$ 0.015	$\le$ 0.0625
GCGS1013	0.125	0.5	0.5	0.023	0.5	1	$\le$ 0.015	$\le$ 0.0625
GCGS0457 RpoB <sup>R201H</sup>	0.25	>0.5	1	0.047	0.25	1	$\le$ 0.015	$\le$ 0.0625
GCGS0457 RpoD <sup>E98K</sup>	0.25	0.5	1	0.023	0.25	1	$\le$ 0.015	$\le$ 0.0625
GCGS0457 RpoD <sup><math>\Delta</math>92-95</sup>	0.125	0.5	1	0.023	0.25	1	$\le$ 0.015	$\le$ 0.0625



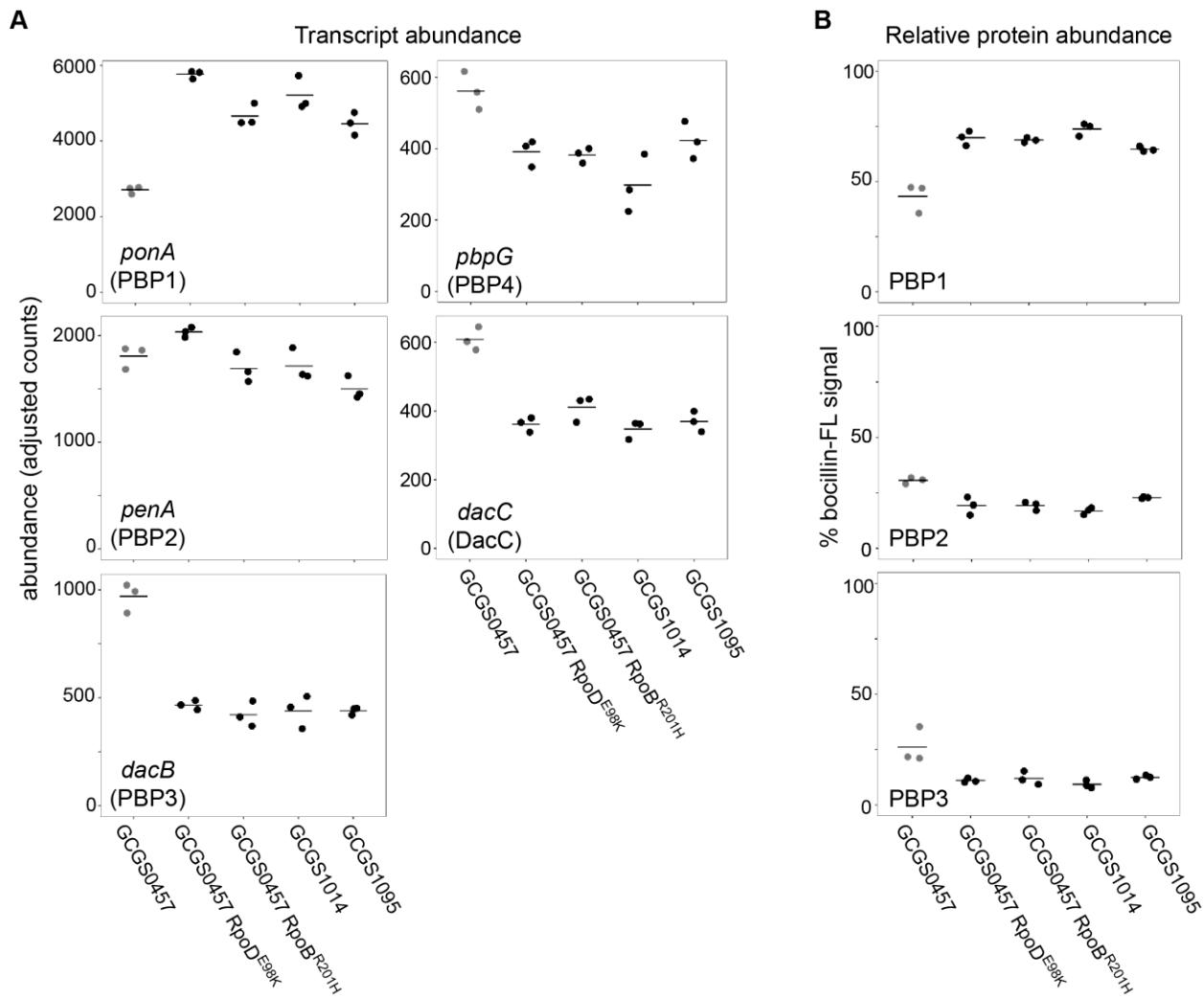
**Figure 1. RNA polymerase-mediated reduced cephalosporin susceptibility among GISP isolates.** Most high-level reduced cephalosporin susceptibility (MIC  $\geq 0.125 \mu\text{g}/\text{mL}$ ) in the GISP dataset is associated with the mosaic *penA* XXXIV allele, but some isolates with high MICs lack this allele (left). In four of these isolates – GCGS1095, GCGS1014, GCGS1013 (inset, red-marked leaves) and the U.K. isolate GCPH44 (left, orange circle) – CRO<sup>RS</sup> is caused by mutations in the RNA polymerase holoenzyme. Transformation of the CRO<sup>RS</sup> allele *rpoB1* from GCGS1095 confers phenotypic reduced susceptibility to other susceptible clinical isolates (arrows and black-circled leaves, left) such as the phylogenetic neighbor GCGS0457 (inset, pink-marked leaf). The isolate GCGS0364 (gray, denoted with \*) spontaneously develops CRO<sup>RS</sup> via *rpoB* mutation *in vitro*.



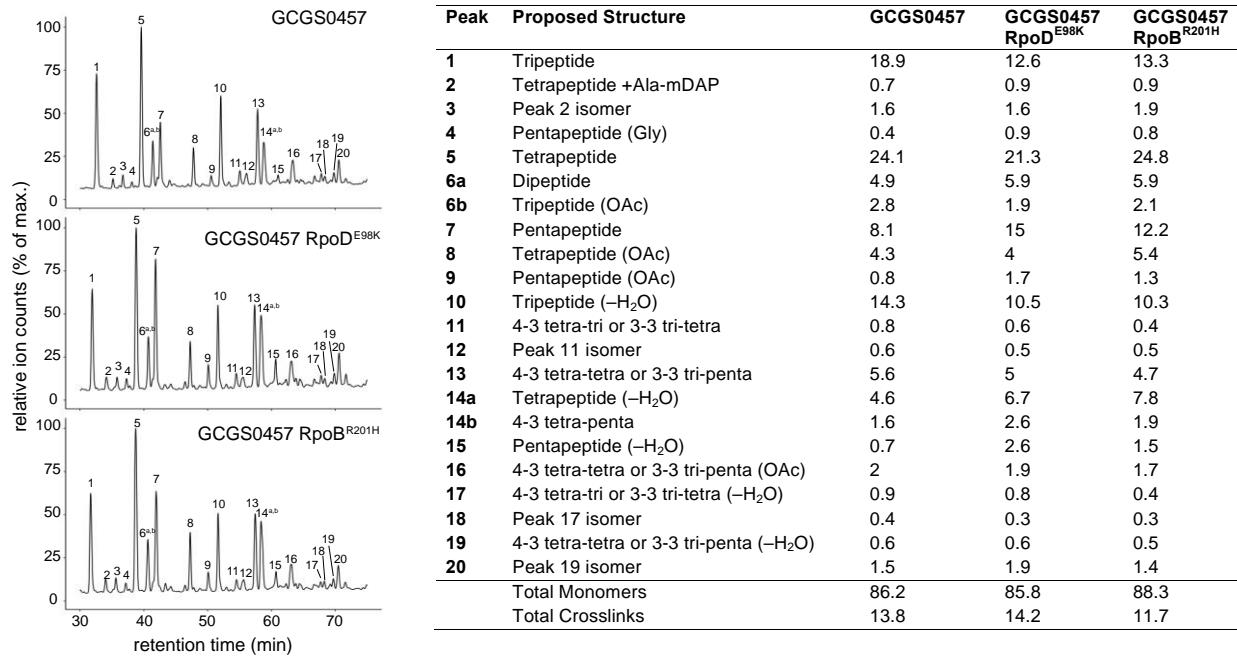
**Figure 2. Location of CRO<sup>RS</sup>-associated RNA polymerase mutations.** (A) Alignment of mutant RNA polymerase alleles associated with reduced ceftriaxone susceptibility. (B) Crystal structure of the RNA polymerase holoenzyme from *E. coli* by Zuo *et al.* (PDB 4YLO) (Zuo & Steitz, 2015), showing the location of the residues homologous to *N. gonorrhoeae* RpoB R201 (magenta), G158 (yellow), and P157 (orange). The flexible region of  $\sigma^{70}$  1.1 that includes the variant positions of *rpoD1* and *rpoD1* is not included in this structure, but is predicted to interact with this region of RpoB (Murakami, 2013).



**Figure 3. RNA polymerase mutations do not change growth phenotypes.** (A) Growth of GCGS0457 and RNAP mutant transformants on solid GCB agar (n=3, representative of two independent experiments). RNAP mutations do not result in a growth rate defect. (B) Transmission electron micrographs of GCGS0457 and RNAP mutant transformants. CRO<sup>RS</sup> transformants are slightly smaller than the parental GCGS0457 strain, but are otherwise morphologically similar.



**Figure 4. Effect of CRO<sup>RS</sup>-associated RNA polymerase mutations on PBP abundance. (A)** Normalized abundance of transcripts encoding penicillin binding proteins (PBPs) in parental isolates (GCGS0457, GCGS1095, GCGS1014) and RNAP mutant transformants in the GCGS0457 background, measured by RNAseq. **(B)** Relative protein abundance of PBP1, PBP2, and PBP3, as measured by bocillin-FL labeling. Total bocillin-FL signal for each strain was set at 100%. The relative contribution of each PBP to that signal is shown here. PBP4 protein was not observed on these gels, in agreement with previous reports (Zapun, Morlot, & Taha, 2016).

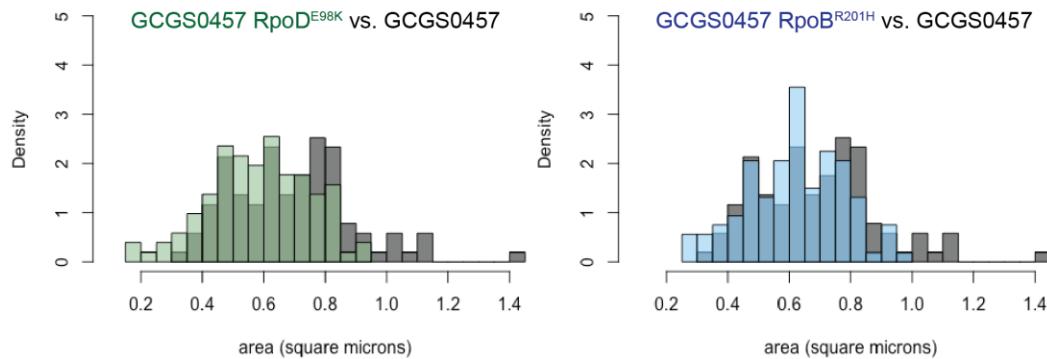


**Figure 5. Effect of CRO<sup>RS</sup>-associated RNA polymerase mutations on cell wall structure.**

Relative abundance of muropeptide peaks in cell wall digests of GCGS0457 and its CRO<sup>RS</sup> derivatives, GCGS0457 RpoD<sup>E98K</sup> and GCGS0457 RpoB<sup>R201H</sup>. Transformant cell walls contain a higher proportion of peptidoglycan with pentapeptide stems (peaks 4, 7, 9, 14b, and 15). Data is representative of 3 independent experiments. Values were calculated by extracting the peak mass from the total ion chromatogram, integrating the resulting peak area, and dividing by the sum of all of the peak areas within each sample. See Supplementary Table 2 for a list of all muropeptide masses detected.

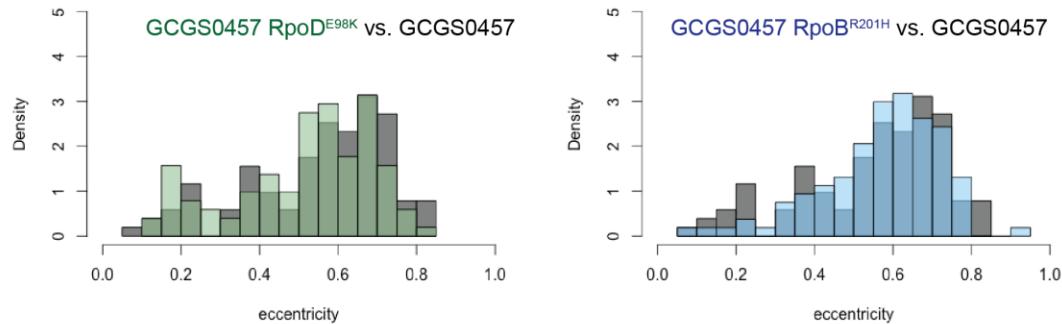
**A**

Cross-sectional area



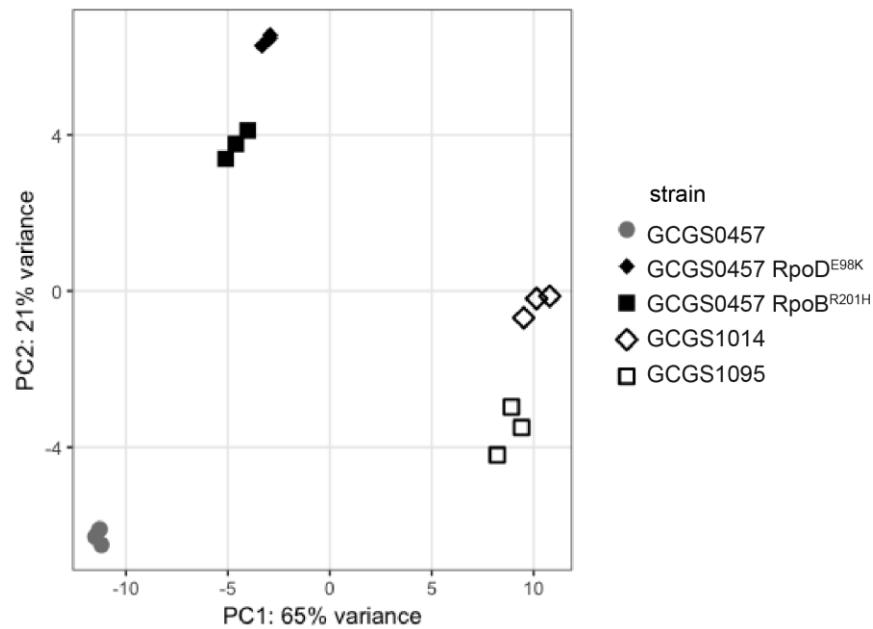
**B**

Eccentricity

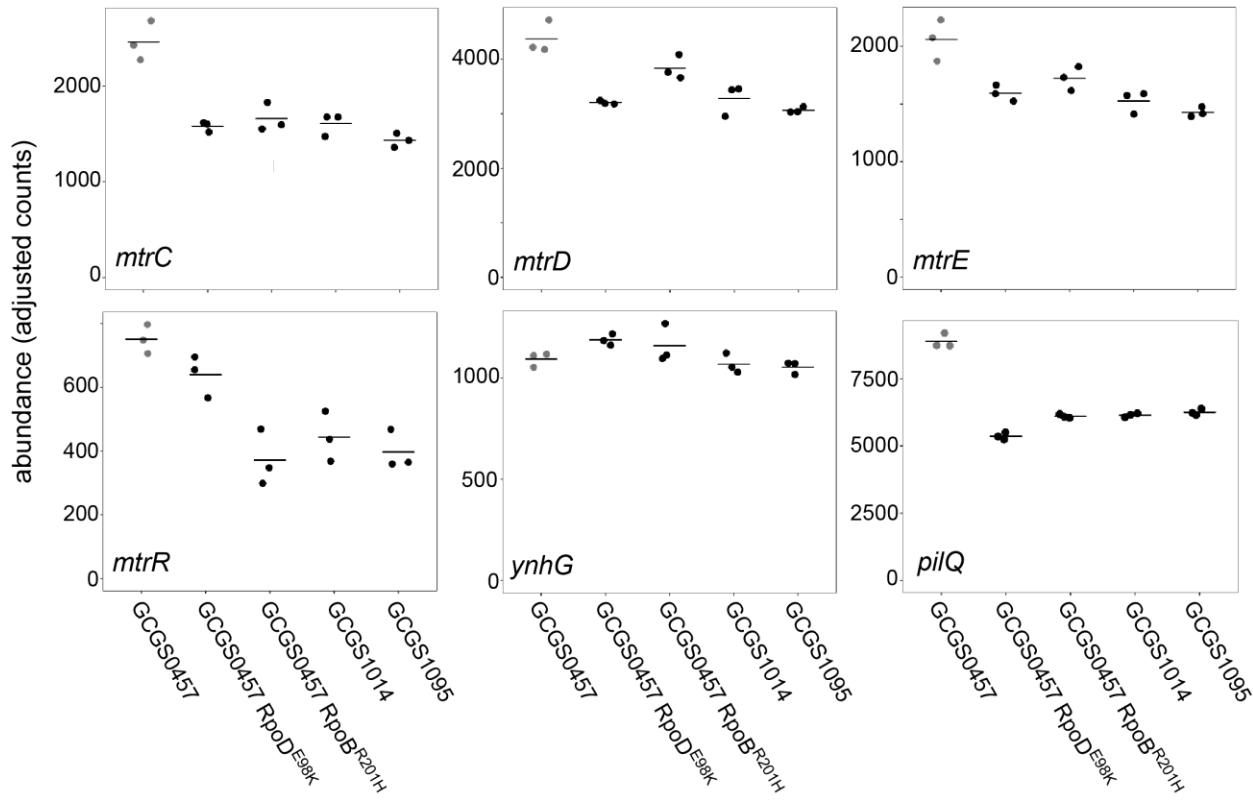


### Supplementary Figure 1. Size and eccentricity of GCGS0457 and CRO<sup>RS</sup> transformants.

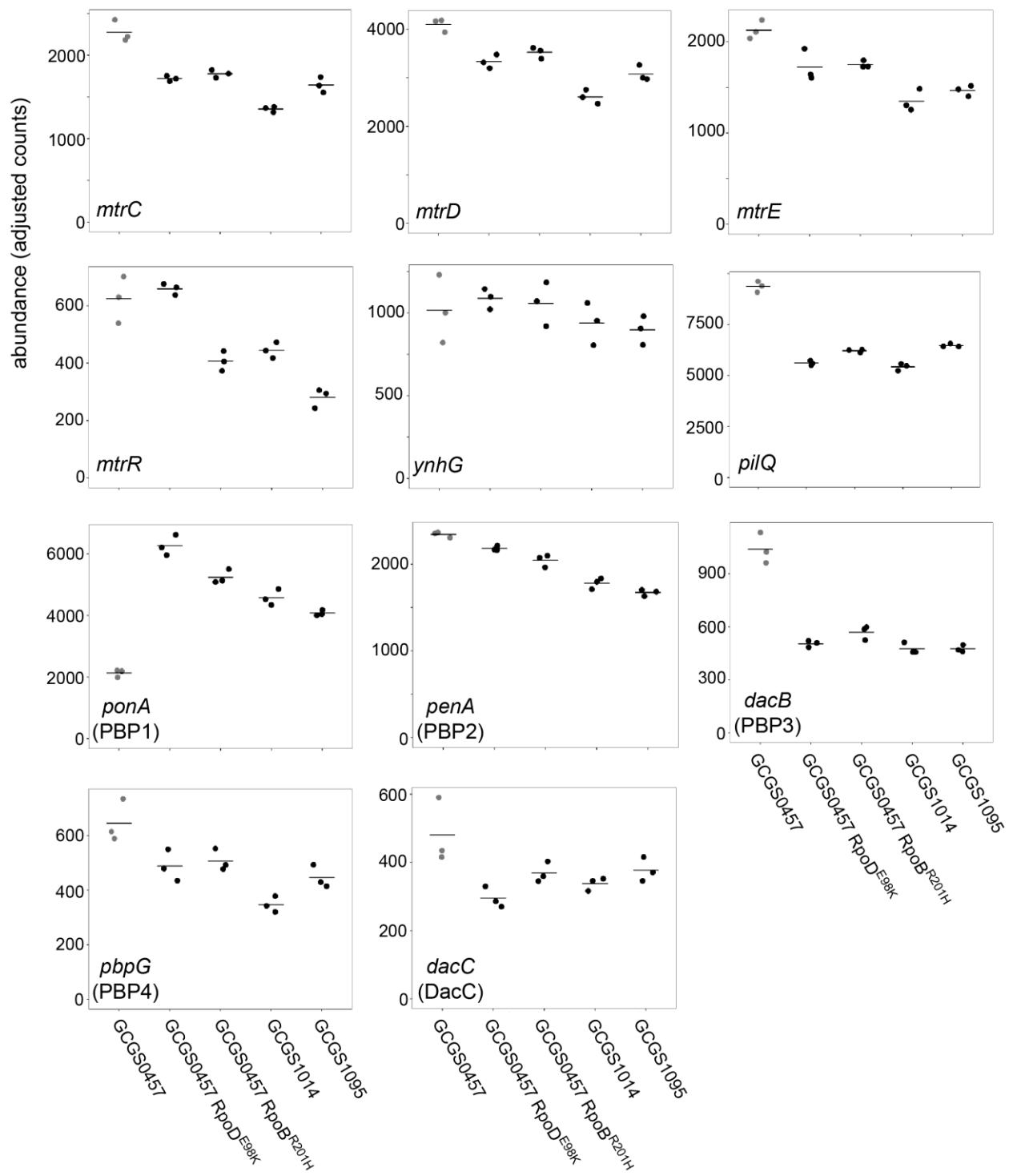
100-105 cellular cross sections from TEM images were manually measured in ImageJ to measure the (A) cross-sectional area and (B) eccentricity of cells from the CRO<sup>S</sup> strain GCGS0457 and its CRO<sup>RS</sup> transformants GCGS0457 RpoD<sup>E98K</sup> and GCGS0457 RpoB<sup>R201H</sup>. The cross-sectional area of CRO<sup>RS</sup> transformant cells is slightly smaller (mean area: 0.585  $\mu\text{m}^2$  for GCGS0457 RpoD<sup>E98K</sup>; 0.621  $\mu\text{m}^2$  for GCGS0457 RpoB<sup>R201H</sup>) than cells of the parental strain GCGS0457 (mean area 0.693  $\mu\text{m}^2$ ;  $p = 3.977 \times 10^{-5}$  compared to GCGS0457 RpoD<sup>E98K</sup> and  $p=0.00436$  compared to GCGS0457 RpoB<sup>R201H</sup> by Welch's t-test). The degree of eccentricity in the CRO cells is not significantly different between these populations.



**Supplementary Figure 2. Principle components analysis of RNA-seq data.** The first two principle components are shown for transcriptomic data collected from the CRO<sup>S</sup> isolate GCGS0457, the CRO<sup>RS</sup> isolates GCGS1095 and GCGS1014, and the CRO<sup>RS</sup> transformants GCGS0457 RpoD<sup>E98K</sup> and GCGS0457 RpoB<sup>R201H</sup> (three biological replicates/strain). Replicates from both CRO<sup>RS</sup> transformants cluster tightly, despite having different RNAP mutations.



**Supplementary Figure 3. Transcript abundance of various genes in CRO<sup>RS</sup> strains with RNA polymerase mutations.** Normalized abundance of various transcripts in parental isolates (GCGS0457, GCGS1095, GCGS1014) and RNAP mutant transformants in the GCGS0457 background. Shown: *mtrC*, *mtrD*, and *mtrE*, which encode the components of the Mtr efflux pump; *mtrR*, which encodes the transcriptional repressor of the *mtrCDE* operon; *ynhG*, a putative L,D-transpeptidase; and *pilQ*, which encodes the pilus pore subunit PilQ.



**Supplementary Figure 4. Transcript abundance of various genes in CRO<sup>RS</sup> strains with RNAP mutations, exposed to sub-inhibitory ceftriaxone.** Normalized abundance of various transcripts in parental isolates (GCGS0457, GCGS1095, GCGS1014) and RNAP mutant transformants, exposed to 0.008 µg/mL CRO for 90 minutes. Shown: *mtrC*, *mtrD*, and *mtrE*, which encode the components of the Mtr efflux pump; *mtrR*, which encodes the transcriptional

repressor of the *mtrCDE* operon; *ynhG*, a putative L,D-transpeptidase; *pilQ*, which encodes the pilus pore subunit PilQ; and transcripts for each of the penicillin-binding proteins.

**Supplementary Table 1. *N. gonorrhoeae* strains used in this study**

Strain name	Description	Source	Strain number
<b>GCGS0457</b>	CRO <sup>S</sup> clinical isolate; recipient strain for transformations	GISP, CDC	
<b>GCGS1013</b>	CRO <sup>RS</sup> clinical isolate	GISP, CDC	
<b>GCGS1014</b>	CRO <sup>RS</sup> clinical isolate	GISP, CDC	
<b>GCGS1095</b>	CRO <sup>RS</sup> clinical isolate	GISP, CDC	
<b>GCPH44</b>	CRO <sup>RS</sup> clinical isolate	(De Silva et al., 2016)	
<b>28BL</b>	CRO <sup>S</sup> laboratory strain	Gift of S. Johnson	
<b>FA1090</b>	CRO <sup>S</sup> laboratory strain	Gift of C. Genco	
<b>SP300-SP311</b>	12 independent CRO <sup>RS</sup> transformants: GCGS0457 + gDNA from GCGS1014	This study	
<b>SP312-SP314</b>	3 independent CRO <sup>RS</sup> transformants: GCGS0457 + gDNA from GCGS1095	This study	
<b>GCGS0457 RpoD<sup>E98K</sup></b>	Point mutation introduced on PCR product	This study	SP316
<b>GCGS0457 RpoB<sup>R201H</sup></b>	Point mutation introduced on PCR product	This study	SP319
<b>GCGS0457 RpoD<sup>A92-95</sup></b>	Deletion introduced on PCR product	This study	SP323
<b>GCGS0092</b>	CRO <sup>S</sup> clinical isolate	GISP, CDC	
<b>GCGS0092 RpoB<sup>R201H</sup></b>	CRO <sup>RS</sup> transformant; point mutation introduced on PCR product	This study	SP349
<b>GCGS0275</b>	CRO <sup>S</sup> clinical isolate	GISP, CDC	
<b>GCGS0275 RpoB<sup>R201H</sup></b>	CRO <sup>RS</sup> transformant; point mutation introduced on PCR product	This study	SP354
<b>GCGS0465</b>	CRO <sup>S</sup> clinical isolate	GISP, CDC	
<b>GCGS0465 RpoB<sup>R201H</sup></b>	CRO <sup>RS</sup> transformant; point mutation introduced on PCR product	This study	SP358
<b>GCGS0336</b>	CRO <sup>S</sup> clinical isolate	GISP, CDC	
<b>GCGS0336 RpoB<sup>R201H</sup></b>	CRO <sup>RS</sup> transformant; point mutation introduced on PCR product	This study	SP340
<b>GCGS0524</b>	CRO <sup>S</sup> clinical isolate	GISP, CDC	
<b>GCGS0524 RpoB<sup>R201H</sup></b>	CRO <sup>RS</sup> transformant; point mutation introduced on PCR product	This study	SP368
<b>GCGS0364</b>	CRO <sup>S</sup> clinical isolate; develops spontaneous CRO <sup>RS</sup> via <i>rpoB</i> mutation <i>in vitro</i>	GISP, CDC	
<b>GCGS0364 RpoB<sup>G158V</sup></b>	Point mutation introduced on PCR product	This study	SP377
<b>GCGS0364 RpoB<sup>P157L</sup></b>	Point mutation introduced on PCR product	This study	SP375

**Supplementary Table 2. Muropeptide masses detected in cell wall digests of GCGS0457 and RNAP mutants.**

Peak	Proposed Structure <sup>a</sup>	Theoretical Mass (charge)	Observed Mass (charge)
<b>1</b>	Tripeptide	871.3779 (1), 436.1926 (2)	871.3783 (1), 436.1925 (2)
<b>2</b>	Tetrapeptide +Ala-mDAP <sup>2</sup>	1185.5369 (1), 593.2721 (2)	593.2719 (2)
<b>3</b>	Peak 2 isomer	1185.5369 (1), 593.2721 (2)	593.2721 (2)
<b>4</b>	Pentapeptide (Gly)	999.4364 (1), 500.2219 (2)	999.4360 (1), 500.2213 (2)
<b>5</b>	Tetrapeptide	942.4150 (1), 471.7111 (2)	942.4151 (1), 471.7109 (2)
<b>6a</b>	Dipeptide	699.2931 (1), 721.275 (1+Na)	699.2937 (1), 721.2754 (1+Na)
<b>6b</b>	Tripeptide (OAc)	913.3884 (1), 457.1979 (2)	913.3893 (1), 457.1974
<b>7</b>	Pentapeptide	1013.4521 (1), 507.2297 (2)	1013.4527 (1), 507.2297 (2)
<b>8</b>	Tetrapeptide (OAc)	984.4255 (1), 492.7164 (2)	984.4264 (1), 492.7163 (2)
<b>9</b>	Pentapeptide (OAc)	1055.4627 (1), 528.235 (2)	1055.4684 (1), 528.2345 (2)
<b>10</b>	Tripeptide (−H <sub>2</sub> O)	851.3517 (1), 426.1795 (2)	851.3520 (1)
<b>11</b>	4-3 tetra-tri or 3-3 tri-tetra <sup>c</sup>	897.8911 (2), 598.9299 (3)	897.8924 (2), 598.9295 (3)
<b>12</b>	Peak 11 isomer	897.8911 (2), 598.9299 (3)	897.8924 (2), 598.9295 (3)
<b>13</b>	4-3 tetra-tetra or 3-3 tri-penta <sup>c</sup>	933.4097 (2), 622.6089 (3)	933.4117 (2), 622.6091 (3)
<b>14a</b>	Tetrapeptide (−H <sub>2</sub> O)	922.3888 (1), 461.698 (2)	922.3906 (1), 461.6981 (2)
<b>14b</b>	4-3 tetra-penta	968.9283 (2), 646.2879 (3)	968.9309 (2), 646.2888 (3)
<b>15</b>	Pentapeptide (−H <sub>2</sub> O)	993.4259 (1), 497.2166 (2)	933.4257 (1), 497.2163 (2)
<b>16</b>	4-3 tetra-tetra or 3-3 tri-penta (OAc) <sup>c</sup>	954.4150 (2), 636.6124 (3)	954.4165 (2), 636.6124 (2)
<b>17</b>	4-3 tetra-tri or 3-3 tri-tetra (−H <sub>2</sub> O) <sup>c</sup>	887.878 (2), 592.2544 (3)	887.8792 (2), 592.2543 (3)
<b>18</b>	Peak 17 isomer	887.878 (2), 592.2544 (3)	887.8792 (2), 592.2543 (3)
<b>19</b>	4-3 tetra-tetra or 3-3 tri-penta (−H <sub>2</sub> O) <sup>c</sup>	923.3966 (2), 615.9335 (3)	923.3977 (2), 615.9332 (3)
<b>20</b>	Peak 19 isomer	923.3966 (2), 615.9335 (3)	923.3977 (2), 615.9332 (3)

<sup>a</sup> The pentapeptide stem in *N. gonorrhoeae* is L-Ala-γ-D-Glu-L-mDap-D-Ala-D-Ala. OAc = O-acetylation of MurNAc. −H<sub>2</sub>O = 1,6-anhydro-MurNAc. Gly = replacement of one D-Ala residue with glycine.

<sup>b</sup> This structure is the product of cleavage of a 4-3 crosslink.

<sup>c</sup> Mass is consistent with either a 4-3 (PBP-mediated) crosslink or a 3-3 (L,D-transpeptidase-mediated) crosslink.

**Supplementary File 1 (Excel workbook). Allelic diversity of RNA polymerase holoenzyme components and sigma factors.**

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