

# 1 Animal, fungi, and plant genome sequences 2 harbour different non-canonical splice sites

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31 **Abstract**

32 Most protein encoding genes in eukaryotes contain introns which are interwoven with  
33 exons. After transcription, introns need to be removed in order to generate the final  
34 mRNA which can be translated into an amino acid sequence. Precise excision of  
35 introns by the spliceosome requires conserved dinucleotides which mark the splice  
36 sites. However, there are variations of the highly conserved combination of GT at the  
37 5' end and AG at the 3' end of an intron in the genome. GC-AG and AT-AC are two  
38 major non-canonical splice site combinations which have been known for years.  
39 During the last years, various minor non-canonical splice site combinations were  
40 detected with numerous dinucleotide permutations. Here we expand systematic  
41 investigations of non-canonical splice site combinations in plants to all eukaryotes by  
42 analysing fungal and animal genome sequences. Comparisons of splice site  
43 combinations between these three kingdoms revealed several differences such as a  
44 substantially increased CT-AC frequency in fungal genomes. In addition, high  
45 numbers of GA-AG splice site combinations were observed in *Eurytemora affinis* and  
46 *Oikopleura dioica*. In depth investigation of splice site usage based on RNA-Seq read  
47 mappings indicates a generally higher flexibility of the 3' splice site compared to the  
48 5' splice site.

49

50 **Introduction**

51 Splicing, the removal of introns after transcription, is an essential step during the  
52 generation of mature mRNAs in eukaryotes. This process allows variation which  
53 provides the basis for quick adaptation to changing conditions <sup>1,2</sup>. Alternative splicing,  
54 e.g. skipping exons, usage of alternative 5' or 3' splice sites and the retention of  
55 introns, results in an enormous diversity of synthesized proteins and therefore  
56 substantially expands the diversity of products encoded in eukaryotic genomes <sup>3-6</sup>.

57 The full range of functions as well as the evolutionary relevance of introns are still  
58 under discussion <sup>7</sup>. However, introns are energetically expensive for the cell to  
59 maintain as the transcription of introns costs time and energy and the removal of  
60 introns has to be exactly regulated <sup>8</sup>. Dinucleotides at both intron/exon borders mark  
61 the splice sites and are therefore highly conserved <sup>9</sup>. GT at the 5' end and AG at the  
62 3' end of an intron form the canonical splice site combination on DNA level. More

63 complexity arises through non-canonical splice site combinations, which deviate from  
64 the highly conserved canonical one. Besides the major non-canonical splice site  
65 combinations GC-AG and AT-AC, several minor non-canonical splice site  
66 combinations have been detected before <sup>9,10</sup>.

67 Furthermore, the position of introns in homologous genes across organisms, which  
68 diverged 500-1500 million years ago, are not conserved <sup>11</sup>. In addition, many intron  
69 sequences mutate at a higher rate due to having much less of an impact on the  
70 reproductive fitness of an organism compared to a mutation located within an exon  
71 <sup>12</sup>. These factors, along with the existence of several non-canonical splice sites,  
72 make the complete prediction of introns, even in non-complex organisms like yeast,  
73 almost impossible <sup>13,14</sup>. Moreover, most introns which can be predicted  
74 computationally still lack experimental support <sup>15</sup>.

75 Splice sites are recognised during the splicing process by a complex of snRNAs and  
76 proteins, the spliceosome <sup>16</sup>. U2-spliceosome and U12-spliceosome are two  
77 subtypes of this complex which comprise slightly different proteins with equivalent  
78 functions <sup>17-19</sup>. Although the terminal dinucleotides are important for the splicing  
79 process, these splice sites are not sufficient to determine which spliceosome is  
80 processing the enclosed intron <sup>20</sup>. This demonstrates the complexity of the splicing  
81 process which involves additional signals present in the DNA. Even though multiple  
82 mechanisms could explain the splicing process, the exact mechanism of non-  
83 canonical splicing is still not completely resolved <sup>5</sup>.

84 Branching reaction and exon ligation are the two major steps of splicing <sup>21,22</sup>. In the  
85 branching reaction, the 2'-hydroxyl group of the branchpoint adenosine initiates an  
86 attack on the 5'-phosphate of the donor splice site <sup>23,24</sup>. This process leads to the  
87 formation of a lariat structure. Next, the exons are ligated and the intron is released  
88 through activity of the 3'-hydroxyl group of the 5' exon at the acceptor splice site <sup>21</sup>.

89 Previous in-depth analyses of non-canonical splice sites in fungi and animals were  
90 often focused on a single or a small number of species <sup>9,25,26</sup>. Several studies  
91 focused on canonical GT-AG splice sites but neglected non-canonical splice sites  
92 <sup>27,28</sup>. Our understanding of splice site combinations is more developed in plants  
93 compared to other kingdoms <sup>10,29-33</sup>. Previous works reported 98 % GT-AG splice site  
94 combinations in fungi <sup>25</sup>, 98.7 % in plants, <sup>10</sup> and 98.71 % in animals <sup>9</sup>. Consequently,

95 the proportion of non-canonical splice sites, other than the canonical splice site GT-  
96 AG, is around or below 2 %<sup>9,10,25</sup>. To the best of our knowledge, it is not known if the  
97 value reported for mammals is representative for all animals. Non-canonical splice  
98 site combinations can be divided into major non-canonical GC-AG and AT-AC  
99 combinations and the minor non-canonical splice sites which are all other  
100 dinucleotide combinations at the terminal intron positions. The combined frequency of  
101 all minor non-canonical splice site combinations is low e.g. 0.09 % in plants, but still  
102 exceeds the frequency of the major non-canonical AT-AC splice sites<sup>10</sup>. Despite this  
103 apparently low frequency, non-canonical splice site combinations have a substantial  
104 impact on gene products, especially on exon-rich genes<sup>10</sup>. Over 40 % of plant genes  
105 with exactly 40 exons are affected<sup>10</sup>.

106 Consideration of non-canonical splice sites is important for gene prediction  
107 approaches, because *ab initio* identification of these splice sites is computationally  
108 extremely expensive and therefore rarely applied<sup>29</sup>. Moreover, as many human  
109 pathogenic mutations occur at the donor splice site<sup>34</sup>, it is of great interest to  
110 understand the occurrence and usage of non-canonical splice sites. Therefore,  
111 several non-canonical splice sites containing AG at the acceptor site were  
112 investigated in human fibroblasts<sup>34</sup>. Alongside this, fungi are interesting due to  
113 pathogenic properties and importance in the food industry<sup>35</sup>. Since splicing leads to  
114 high protein diversity<sup>3-6</sup>, the analysis of splicing in fungi is important with respect to  
115 biotechnological applications e.g. development of new products.

116 Non-canonical splice sites are frequently considered as artifacts<sup>36</sup> and therefore  
117 excluded from analyses<sup>27,28</sup>. Further, RNA editing of GT-AA to GT-AG splice sites on  
118 RNA level is possible<sup>37</sup>. This leads to the transformation of non-canonical splice site  
119 combinations into canonical ones. Previous studies supported minor non-canonical  
120 splice site combinations in single or few species<sup>9,25,26</sup> and systematically across  
121 plants<sup>10,29-33</sup>. In this study, a collection of annotated genome sequences from 130  
122 fungi and 489 animal species was screened for canonical and non-canonical splice  
123 site combinations in representative transcripts. RNA-Seq data sets were harnessed  
124 to identify biologically relevant and actually used splice sites based on the available  
125 annotation. Non-canonical splice site combinations, which appeared at substantially  
126 higher frequency in a certain kingdom or species, were analysed in detail. As

127 knowledge about splice sites in plants was available from previous investigations  
128 <sup>10,29</sup>, a comparison between splice sites in fungi, animals and plants was performed.

129 **Results and Discussion**

130

131 **Analysis of non-canonical splice sites**

132 In total, 64,756,412 (Supplementary Data S1) and 2,302,340 (Supplementary Data  
133 S2) splice site combinations in animals and fungi, respectively, were investigated  
134 based on annotated genome sequences (Supplementary Data S3 and  
135 Supplementary Data S4). The average frequency of the canonical splice site  
136 combination GT-AG is 98.3 % in animals and 98.7 % in fungi, respectively. These  
137 values exceed the 97.9 % previously reported for plants <sup>10</sup>, thus indicating a generally  
138 higher frequency of non-canonical splice site combinations in plants. As previously  
139 speculated <sup>10</sup>, a generally less accurate splicing system in plants could be an  
140 adaptation to changing environments through the generation of a larger transcript  
141 diversity. Since most plants are not able to change their geographic location, the  
142 tolerance for unfavorable conditions should be stronger than in animals. The lower  
143 proportion of non-canonical splice site combinations in fungi compared to animals  
144 seems to contradict this hypothesis. However, the genome size and complexity  
145 needs to be taken into account here. Based on the available assemblies, the average  
146 animal genome is significantly larger than the average fungal genome (Mann-  
147 Whitney U-Test; p=5.64e-68) (Supplementary Data S5). Although the average animal  
148 genome sequence (median=998 Mbp) is longer than the plant average (median=467  
149 Mbp), plant genome sequences harbour more non-canonical splice sites  
150 (Supplementary Data S6, Supplementary Data S7, <sup>10</sup>).

151 Average percentages of the most important splice site combinations were  
152 summarized per kingdom and over all analysed genomes (Table 1). The number of  
153 canonical and non-canonical splice site combinations per species was also  
154 summarized (Supplementary Data S8 and Supplementary Data S9). A higher  
155 percentage of non-canonical splice sites was observed in animals in comparison to  
156 fungi. Several species strongly exceeded the average values for major and minor  
157 non-canonical splice sites. The fungal species *Meyerozyma guilliermondi* shows  
158 approximately 6.67 % major and 13.33 % minor non-canonical splice sites.

159 *Eurytemora affinis* (copepod) and *Oikopleura dioica* (tunicate) reveal approximately  
160 10 % minor non-canonical splice sites. In summary, the observed frequencies of  
161 canonical and major non-canonical splice site combinations are similar to the pattern  
162 previously reported for plants <sup>10</sup>, but some essential differences and exceptions were  
163 found in animals and fungi. Previous studies already revealed that non-canonical  
164 splice site combinations are not just the result of sequencing errors <sup>9,10,29,38</sup>. Here, we  
165 investigated the position of sequence variants in plants, fungi, and animals with  
166 respect to splice sites. The average frequency of sequence variants at splice sites is  
167 far below 1% (Supplementary Data S10). Although non-canonical splice sites are  
168 generally more likely to harbour sequence variants than canonical ones, these  
169 sequence variants can only account for a very small proportion of non-canonical  
170 splice site combinations.

171

172 **Table 1: Splice site combination frequencies in animals, fungi, and plants.** Only the  
173 most frequent combinations are displayed here and all minor non-canonical splice site  
174 combinations are summarized as one group ("others"). A full list of all splice site  
175 combinations is available (Supplementary Data S1 and Supplementary Data S2).

|         | GT-AG    | GC-AG   | AT-AC   | others  |
|---------|----------|---------|---------|---------|
| animals | 98.334 % | 0.983 % | 0.106 % | 0.577 % |
| fungi   | 98.715 % | 1.009 % | 0.019 % | 0.257 % |
| plants  | 97.886 % | 1.488 % | 0.092 % | 0.534 % |
| all     | 98.265 % | 1.074 % | 0.101 % | 0.560 % |

176

177 Different properties of the genome sequences of all investigated species were  
178 analysed to identify potential explanations for the splice site differences  
179 (Supplementary Data S6 and Supplementary Data S7). In fungi, the average number  
180 of introns per gene is 1.49 and the average GC content is 47.1 % ( $\pm 7.39$ ; s.d.). In  
181 animals, each gene contains on average 6.95 introns and the average GC content is  
182 39.4 % ( $\pm 3.87$ ; s.d.). The average number of introns per gene in plants is 4.15 and  
183 the average GC content 36.3 % ( $\pm 8.84$ ; s.d.). This difference in the GC content  
184 between fungi and animals/plants could be associated with the much lower frequency  
185 of AT-AC splice site combinations and the higher frequency of CT-AC splice site  
186 combinations in fungi (Figure 1). CT-AC has a higher GC content than the AT rich

187 AT-AC splice site combination. A generally higher GC content could result in the  
188 higher GC content within splice site combinations due to the overall mutation rates in  
189 these species.

190

191 **Figure 1: Frequencies of non-canonical splice site combinations in animals, fungi, and**  
192 **plants.** The frequency of non-canonical splice site combinations across the 489 animal (red),  
193 130 fungal (blue) and 121 plant (green) genomes is shown. Normalization of the absolute  
194 number of each splice site combination was performed per species based on the total  
195 number of splice sites. The frequency of the respective splice site combination of each  
196 species is shown on the left-hand side and the percentage of the respective splice site  
197 combination on top of each box plot. The dashed line represents the mean frequency of the  
198 respective splice site combination over all investigated species. The box plots are ordered  
199 (from left to right) according to the mean frequency.

200

201 A comparison of the genome-wide GC content to the GC content of all splice sites  
202 revealed a weak correlation in the analysed fungi ( $r \approx 0.236$ ,  $p \approx 0.008$ ). Species with a  
203 high genomic GC content tend to show a high GC content in the splice site  
204 combinations in the respective species. A similar correlation was found in plant  
205 ( $r \approx 0.403$ ,  $p \approx 4.505e-06$ ) and animal species ( $r \approx 0.434$ ,  $p \approx 7.866e-24$ )  
206 (Supplementary Data S11). Additionally, the GC content in fungal genomes is  
207 substantially exceeding the average GC content of plant and animal genomes. Since  
208 genomic GC content and intronic GC content strongly correlate (animals:  $r \approx 0.968$ ,  
209  $p \approx 2.357e-292$ , plants:  $r \approx 0.974$ ,  $p \approx 8.987e-79$  and fungi:  $r \approx 0.950$ ,  $p \approx 2.800e-66$ ), the  
210 results obtained in the analysis above are representative for both methods of GC  
211 content calculation (Supplementary Data S11). Since splicing of U12 introns, which  
212 often show the major non-canonical AT-AC splice site combination, requires the  
213 presence of the minor U12 spliceosome, we screened the genome sequences of all  
214 investigated species for components of this spliceosome. As differences in the  
215 genome sequence completeness and continuity as well as sequence divergent from  
216 bait sequences can impact the results, we only state the presence of the U12  
217 spliceosome in some species while the absence in the remaining species cannot be  
218 demonstrated. The comparison of annotated AT-AC splice site combinations  
219 between species with and without the minor U12 spliceosome revealed significantly

220 higher numbers of this major non-canonical splice site combination in species with  
221 U12 spliceosomes (Mann-Whitney U-Test:  $p \approx 3.8e-12$  (plants) and  $p \approx 1.8e-15$   
222 (animals)). Although many fungi are known to have a minor U12 spliceosome<sup>19</sup> we  
223 only detected corresponding RNA genes in one species (*Cutaneotrichosporon*  
224 *oleaginosum*) and thus refrained from any conclusions about the situation in fungi.

225 The most frequent non-canonical splice site combinations show differences between  
226 animals, fungi, and plants (Figure 1). In fungal species, the splice site CT-AC is more  
227 frequent than the splice site combination AT-AC. Regarding the splice site  
228 combination GA-AG in animals, two outliers are clearly visible: *E. affinis* and *O. dioica*  
229 show more GA-AG splice site combinations than GC-AG splice site combinations.

230 Despite overall similarity in the pattern of non-canonical splice site combinations  
231 between kingdoms, specific minor non-canonical splice sites were identified at much  
232 higher frequency in some fungal and animal species. First, RNA-Seq data was  
233 harnessed to validate these unexpected splice site combinations. Next, the  
234 frequencies of selected splice site combinations across all species of the respective  
235 kingdom were calculated. The correlation between the size of the incorporated RNA-  
236 Seq data sets and the number of supported splice sites was examined as well  
237 (Supplementary Data S12). In animals, there is a correlation ( $r \approx 0.417$ ,  $p \approx 0.022$ )  
238 between number of supported splice sites and total number of sequenced  
239 nucleotides in RNA-Seq data. For fungi, no correlation between number of supported  
240 splice sites and size of the RNA-Seq data sets could be observed. It is important to  
241 note that the number of available RNA-Seq data sets from fungi was substantially  
242 lower.

243 Further, analysis of introns with canonical and non-canonical splice site  
244 combinations, respectively, revealed that a higher number of introns is associated  
245 with a higher proportion of non-canonical splice sites (Supplementary Data S13).

246

#### 247 **High diversity of non-canonical splice sites in animals**

248 Kupfer *et al.* suggested that splicing may differ between fungi and vertebrates<sup>25</sup>. Our  
249 results indicate substantial differences in the diversity of splice site combinations  
250 other than GT-AG and GC-AG in fungi ( $H' \approx 0.0277$ ) and animals ( $H' \approx 0.0637$ )  
251 (Kruskal-Wallis:  $p \approx 0$ ). Besides the overall high proportion of minor non-canonical

252 splice sites (Table 1), differences between species are high (Figure 1). The slightly  
253 higher interquartile range of splice site combination frequencies in animal species  
254 and especially in plant species (Figure 1A and C), together with the relatively high  
255 frequency of "other" splice sites in animals and plants (Table 1) suggest more  
256 variation of splice sites in the kingdoms of animals and plants compared to the  
257 investigated fungal species. Thus, the high diversity of splice sites could be  
258 associated with the higher complexity of animal and plant genomes. In addition, the  
259 difference in prevalence between the major non-canonical splice site combination  
260 GC-AG and minor non-canonical splice site combinations is smaller in animals  
261 compared to fungi and plants (Figure 1).

262 GA-AG is a frequent non-canonical splice site combination in some animal species.  
263 Two species, namely *E. affinis* and *O. dioica*, showed a much higher abundance of  
264 GA-AG splice site combinations compared to the other investigated species (Figure  
265 1A). RNA-Seq reads support 5,795 (22,866 % (average)) of all GA-AG splice site  
266 combinations of both species. GA-AG splice sites are supported in all analysed  
267 species with a slightly lower frequency of 19.032 %. In *E. affinis* and *O. dioica*, the  
268 number of the GA-AG splice site combination exceeds the number of the major non-  
269 canonical splice site combination GC-AG.

270 For *E. affinis*, the high frequency of the GA-AG splice site combinations was  
271 described previously when GA-AG was detected in 36 introns <sup>39</sup>. We quantified the  
272 proportion of GA-AG splice site combinations to 3.2 % (5,345) of all 166,392  
273 supported splice site combinations in this species. The donor splice site GA is  
274 flanked by highly conserved upstream AG and a downstream A (Figure 2). Both  
275 species, *E. affinis* (Figure 2A,B) and *O. dioica* (Figure 2C,D), show a similar  
276 sequence conservation at the seven exonic and seven intronic positions at the donor  
277 and acceptor splice sites. As the arthropod *E. affinis* <sup>40</sup> and the chordate *O. dioica* <sup>41</sup>  
278 belong to different phyla, the conservation of sequences flanking the donor and  
279 acceptor splice sites and the ability to splice GA-AG introns might be explained by  
280 convergent evolution or rather an ancestral trade which was only kept in a few  
281 species, including *E. affinis* and *O. dioica*.

282

283 **Figure 2: Flanking positions of GA-AG splice site combinations in *Eurytemora affinis***  
284 **(a,b) and *Oikopleura dioica* (c,d).** All splice site combinations (a,c) as well as all 5,795 with

285 RNA-Seq data supported splice site combinations (b,d) of these two species were  
286 investigated. Seven exonic and seven intronic positions are displayed at the donor and  
287 acceptor splice sites. Underlined bases represent the terminal dinucleotides of the intron i.e.  
288 the donor and acceptor splice site.

289

290 Possible mechanisms which could explain these GA-AG splice site combinations are  
291 RNA editing or template switching by a reverse transcriptase. A high GC content of  
292 non-canonical splice sites, which is not valid for GA-AG splice sites, could facilitate  
293 the formation of secondary structures ultimately leading to template switching <sup>36</sup>.  
294 However, RNA editing can lead to the formation of canonical splice sites on RNA  
295 level even though a non-canonical splice site is present on DNA level <sup>37</sup>.

296 Efficient splicing of the splice site combination GA-AG was detected in human  
297 fibroblast growth factor receptor genes <sup>42</sup>. Further, it was suggested that this splicing  
298 event is, among other sequence properties, dependent on a canonical splice site six  
299 nucleotides upstream <sup>42</sup>, which does not exist in the species investigated here  
300 (Figure 2). An analysis of all five potential U1 snRNAs in *E. affinis* did reveal one  
301 single nucleotide polymorphism in the binding site of the 5' splice site from C to T in  
302 one of these U1 snRNAs. This could result in the binding of AG/GGAAGT or  
303 AGG/GAAGT instead of AG/GTAAGT. Although this would imply an elegant way for  
304 the splicing of GA-AG splice sites, the same variation was also detected in putative  
305 human U1 snRNAs. Therefore, another mechanism seems to be responsible for  
306 splicing of introns containing the GA-AG splice site combination. A modified copy of  
307 spliceosomal components could be one explanation for the observed GA-AG splice  
308 site combination. However, no higher amplification ratio of spliceosome parts was  
309 observed in *E. affinis* and *O. dioica* compared to other animal species.

310

### 311 **CT-AC is a frequent splice site combination in fungal annotations**

312 Although the general frequency pattern of fungal splice site combinations is similar to  
313 plants and animals, several fungal species displayed a high frequency of minor non-  
314 canonical CT-AC splice site combinations. This co-occurs with a lower frequency of  
315 AT-AC splice site combinations. Non-canonical splice sites in fungi were, so far, only  
316 described in studies which focussed on a single or a few species. An analysis in the

317 fungus-like microorganism *Phytophthora sojae*<sup>43,44</sup>, revealed 3.4 % non-canonical  
318 splice site combinations GC-AG and CT-AC<sup>45</sup>. Our findings indicate, that the minor  
319 non-canonical splice site combination CT-AC occurs with a significantly (Mann-  
320 Whitney U-Test;  $p \approx 0.00035$ ) higher frequency than the major non-canonical splice  
321 site combination AT-AC. In contrast, the frequency of AT-AC in animals ( $p \approx 9.560e-10$ )  
322 and plants ( $p \approx 5.464e-24$ ) exceeds the CT-AC frequency significantly (Figure 3A).  
323 For the splice site combination CT-AC a sequence logo, which shows the  
324 conservation of this splice site in four selected species, was designed (Figure 3B). In  
325 summary, we conclude that CT-AC is a major non-canonical splice site combination  
326 in fungi, while AT-AC is not. The highest frequencies of the splice site combination  
327 CT-AC, supported by RNA-Seq reads, were observed in *Alternaria alternata*,  
328 *Aspergillus brasiliensis*, *Fomitopsis pinicola*, and *Zymoseptoria tritici* (approx. 0.08 -  
329 0.09 %). As AT-AC was described as major non-canonical splice site, these findings  
330 might indicate a different splice site pattern in fungi compared to animals and plants  
331 (Figure 3).

332

333 **Figure 3: CT-AC frequency exceeds AT-AC frequency in fungi.** A) Number of the minor  
334 non-canonical splice site combination CT-AC in comparison to the major non-canonical  
335 splice site combination AT-AC in each kingdom (Mann-Whitney U-Test; fungi:  $p \approx 0.00035$ ,  
336 animals:  $p \approx 9.560e-10$ , plants:  $p \approx 5.464e-24$  ). The dashed line represents the mean  
337 frequency of the respective splice site combination over all investigated species. B)  
338 Sequence logo for the splice site combination CT-AC in four selected fungal species  
339 (*Alternaria alternata*, *Aspergillus brasiliensis*, *Fomitopsis pinicola* and *Zymoseptoria tritici*). In  
340 total, 67 supported splice sites with this combination were used to generate the sequence  
341 logo.

342

343 Another explanation is the presence of antisense transcripts which would be spliced  
344 at a canonical GT-AG splice site combination (reverse complement of CT-AC). At  
345 least, stranded RNA-Seq data sets are required to investigate this hypothesis in fungi  
346 by differentiating between transcripts of both strands. Frequently encountered  
347 artifacts caused by reverse transcription<sup>36</sup> might be avoided in the future through  
348 direct RNA sequencing<sup>46</sup>. Due to the very limited availability of suitable data sets for  
349 fungal species we have to leave this question for future studies.

350

351 **Intron size analysis**

352 Assuming that non-canonical splice sites are not used or used at a lower efficiency, it  
353 could be assumed that introns are more often retained than introns with canonical  
354 splice sites. A possible consequence of intron retention could be frameshifts unless  
355 the intron length is a multiple of three. Therefore, we investigated a total of  
356 8,060,924, 737,783 and 2,785,484 transcripts across animals, fungi, and plants,  
357 respectively, with respect to their intron lengths. Introns with a length divisible by  
358 three could be kept in the final transcript without causing a shift in the reading frame,  
359 because they add complete codons to the transcript. There is no significant  
360 difference between introns with different splice site combinations (Table 2). The ratio  
361 of introns with a length divisible by 3 is very close to 33.3 % which would be expected  
362 based on an equal distribution. The only exception are minor non-canonical splice  
363 site combinations in fungi which are slightly less likely to occur in introns with a length  
364 divisible by 3.

365

366 **Table 2: Proportion of introns with length divisible by 3.** The results of intron length  
367 analysis for selected splice site combinations for animals, fungi and plants are shown.

|         |  | splice site combination | introns divisible by 3 | number of introns divisible by 3 |
|---------|--|-------------------------|------------------------|----------------------------------|
| animals |  | GT-AG                   | 33.39 %                | n=63677347                       |
|         |  | AT-AC                   | 32.51 %                | n=68919                          |
|         |  | GC-AG                   | 33.04 %                | n=636823                         |
|         |  | others                  | 32.76 %                | n=496411                         |
| fungi   |  | GT-AG                   | 33.93 %                | n=2273756                        |
|         |  | AT-AC                   | 33.18 %                | n=428                            |
|         |  | GC-AG                   | 33.36 %                | n=23224                          |
|         |  | others                  | 31.25 %                | n=6240                           |
| plants  |  | GT-AG                   | 33.3 %                 | n=14227286                       |
|         |  | AT-AC                   | 32.62 %                | n=13411                          |
|         |  | GC-AG                   | 32.93 %                | n=216326                         |
|         |  | others                  | 32.4 %                 | n=93638                          |

368

369 **Conservation of non-canonical splice site combinations across species**

370 In total, *A. thaliana* transcripts containing 1,073 GC-AG, 64 AT-AC and 19 minor non-  
371 canonical splice sites were aligned to transcripts of all plant species. Homologous  
372 intron positions were checked for non-canonical splice sites. GC-AG splice site  
373 combinations were conserved in 9,830 sequences, matched with other non-canonical  
374 splice site combinations in 121 cases, and aligned to GT-AG in 13,045 sequences.  
375 Given that the dominance of GT-AG splice sites was around 98 %, the number  
376 observed here indicates a strong conservation of GC-AG splice site combinations.  
377 AT-AC splice site combinations were conserved in 967 other sequences, matched  
378 with other non-canonical splice site combinations in 93 cases, and aligned to GT-AG  
379 in 157 sequences. These numbers indicate a conservation of AT-AC splice site  
380 combinations, which exceeds the conservation of GC-AG splice site combinations  
381 substantially. Minor non-canonical splice sites were conserved in 48 other  
382 sequences, matched with other non-canonical splice site combinations in 64 cases,  
383 and were aligned to a canonical GT-AG splice site in 213 cases. This pattern  
384 suggests that most non-canonical splice site combinations are either (A) mutations of  
385 the canonical ones or (B) mutated towards GT-AG splice site combinations.

386 The power of this analysis is currently limited by the quality of the alignment.  
387 Although splice site combinations should be aligned properly in most cases, small  
388 differences in the number could be caused by ambiguous situations. It is likely that  
389 both events stated above account for a fraction of splice site combinations. To assign  
390 each non-canonical splice site combination to A or B, a tool for automatic inspection  
391 of the observed phylogenetic pattern would be required. To assess the feasibility of  
392 this approach, we investigated the conservation of non-canonical splice sites in  
393 transcripts of *Armillaria gallica* as this species shows a high number of non-canonical  
394 splice sites in the annotation and the set of fungal genome sequences has a feasible  
395 size for this analysis. After identification of putative homologous sequences in other  
396 species, phylogenetic trees of these sequences were inspected. Transcripts with  
397 non-canonical splice site combinations are clustered in clades which also harbour  
398 transcripts without non-canonical splice site combinations. We analysed trees of all  
399 transcripts which have similar transcripts with non-canonical splice site combinations  
400 in at least 10 other species and observed on average 5 transcripts with a non-  
401 canonical splice site combination among the 10 closest relatives. This number is  
402 exceeding the expectation based on the overall frequency of less than 3% non-  
403 canonical splice site combinations, thus indicating conservation of non-canonical

404 splice sites. Due to this apparently complex evolutionary pattern, we do not know if  
405 these clades originated from a non-canonical splice site combination which was  
406 turned into a canonical one multiple times (B) or if a non-canonical splice site  
407 combination evolved multiple times (A).

408

409 **Usage of non-canonical splice sites**

410 Non-canonical splice site combinations were described to have regulatory roles by  
411 slowing down the splicing process <sup>47</sup>. Previous reports also indicated that non-  
412 canonical splice site combinations might appear in pseudogenes <sup>9,10</sup>. To analyse a  
413 possible correlation of non-canonical splice sites with low transcriptional activity, we  
414 compared the transcript abundance of genes with non-canonical splice site  
415 combinations to genes with only canonical GT-AG splice site combinations (Figure  
416 4A). Genes with at least one non-canonical splice site combination are generally less  
417 likely to be lowly expressed than genes with only canonical splice sites. While this  
418 trend holds true for all analysed non-canonical splice site combination groups, GC-  
419 AG and AT-AC containing genes display especially low proportions of genes with low  
420 FPKMs. We speculate that a stronger transcriptional activity of genes with non-  
421 canonical splice sites compensates for lower turnover rates in the splicing process.  
422 The regulation of these genes might be shifted from the transcriptional to the post-  
423 transcriptional level. This trend is similar for animals and plants (Supplementary Data  
424 S14). In fungi, genes with minor non-canonical splice sites display relatively high  
425 proportions of genes with low FPKMs. Moreover, a higher number of non-canonical  
426 splice sites per gene is associated with a lower expression. This leads to the  
427 suggestion, that non-canonical splice sites occur more often within pseudogenes.

428

429 **Figure 4: Usage of non-canonical splice sites in plant species.** A) Comparison of the  
430 transcript abundance (FPKMs) of genes with non-canonical splice site combinations to genes  
431 with only canonical GT-AG splice site combinations. GC-AG and AT-AC containing genes  
432 display especially low proportions of genes with low FPKMs. This leads to a higher transcript  
433 abundance of genes with low FPKMs. B) Comparison of the usage of 5' and 3' splice sites.  
434 On the x-axis, the difference between the 5' splice site usage and the usage of the 3' splice  
435 site is shown. A fast drop of values when going to the negative side of the x-axis indicates  
436 that the 3' splice site is probably more flexible than the 5' splice site.

437

438 Introns are mostly defined by phylogenetically conserved splice sites, but  
439 nevertheless some variation of these splice sites is possible<sup>9,10,25,26,45</sup>. To understand  
440 the amount of flexibility in respect to different terminal dinucleotides, we compared  
441 the usage of donor and acceptor splice sites over 4,141,196 introns in plants,  
442 3,915,559 introns in animals, and 340,619 introns in fungi (Figure 4B). The plot  
443 shows that the 3' splice site seems to be more flexible than the 5' splice site which  
444 was observed in all three kingdoms. Our observations align well with previous  
445 findings of a higher flexibility at the 3' splice site compared to the 5' splice site. A  
446 mutated 5' splice site represses the removal of the upstream intron<sup>10,48,49</sup>. Further,  
447 for plants and animals, the difference between the usage of the 5' splice site and the  
448 3' splice site is notably higher for introns with the splice site combination GC-AG.

449 One important limitation of this investigation is the sparse availability of stranded  
450 RNA-Seq data sets and direct RNA sequencing data sets. Therefore, it is not  
451 possible to rule out the involvement of antisense transcripts, which have been  
452 observed before<sup>50</sup>. In three cases, these antisense transcripts could be spliced at a  
453 canonical or major non-canonical splice site combination, while appearing as a minor  
454 non-canonical splice site on the investigated strand. If this would be an abundant  
455 case, we would expect to see high numbers of CT-AC splice site combinations  
456 (reverse complement of GT-AG) as well as strong support of these combinations by  
457 RNA-Seq data in all species.

458 Although *bona fide* non-canonical splice site combinations are present in many plant  
459 transcripts<sup>10</sup>, additional transcript isoforms might exist. To evaluate the relevance of  
460 such alternative isoforms, we assessed the contribution of isoforms to the overall  
461 abundance of transcripts of a gene. Therefore, the usage of splice sites flanking an  
462 intron was compared to the average usage of splice sites. This reveals how often a  
463 certain intron is removed by splicing. Introns with low usage values might only be  
464 involved in minor transcript isoforms. While most introns display no or very small  
465 differences, GT-AG introns deviate from this trend. This indicates that non-canonical  
466 splice site combinations are frequently part of the dominant isoform. Again, these  
467 findings were similar for all of the investigated kingdoms.

468

469 Our investigation of non-canonical splice sites in animal, fungal and plant genome  
470 sequences revealed kingdom specific differences. Animal species with a high  
471 proportion of annotated GA-AG splice site combinations were examined. Further,  
472 properties of introns and splice sites were analysed. One aspect of this analysis is,  
473 that the 3' splice site seems to be more flexible than the 5' splice site, which was  
474 observed in all three kingdoms. Across fungal genome sequences, the splice site  
475 combination CT-AC is more frequent than the splice site combination AT-AC. This  
476 makes CT-AC a major non-canonical splice site combination in fungal species, while  
477 AT-AC should be considered a minor non-canonical splice site in fungi. Overall, our  
478 findings demonstrate the importance of considering non-canonical splice sites  
479 despite their low relative frequency in comparison to the canonical splice site  
480 combination GT-AG. RNA-Seq data supported the existence and usage of numerous  
481 non-canonical splice site combinations. By neglecting non-canonical splice sites,  
482 *bona fide* genes might be excluded or at least structurally altered.

483

484

485

## 486 **Methods**

487

### 488 **Analysis and validation of splice site combinations**

489 A detailed technical description of all included scripts with usage examples is  
490 available in the corresponding github repository  
491 (<https://github.com/bpucker/ncss2018/blob/master/README.md>). Genome  
492 sequences (FASTA) and corresponding annotations (GFF3) of 130 fungal species  
493 and 489 animal species were retrieved from the NCBI. Representative transcript and  
494 peptide sequences were extracted as described before <sup>10</sup>. General statistics were  
495 calculated using a Python script <sup>10</sup>. The completeness of all data sets was assessed  
496 with BUSCO v3 <sup>51</sup> running in protein mode on the representative peptide sequences  
497 using the reference data sets ‘fungi odb9’ and ‘metazoa odb9’, respectively <sup>52</sup>  
498 (Supplementary Data S15 and Supplementary Data S16).

499 To validate the detected splice site combinations, paired-end RNA-Seq data sets  
500 were retrieved from the Sequence Read Archive <sup>53</sup> (Supplementary Data S17 and  
501 Supplementary Data S18). The following validation approach <sup>10</sup> utilized STAR  
502 v2.5.1b <sup>54</sup> for the read mapping and Python scripts for downstream processing  
503 (<https://doi.org/10.5281/zenodo.2586989>). RNA-Seq reads were considered mapped  
504 if the alignment shows at least 95% similarity and covers 90% of the read length.  
505 Splice sites were considered valid if they are spanned by at least three reads and  
506 show a coverage drop of 20% when moving from an exon into an intron sequence.  
507 Summaries of the RNA-Seq read coverage depth at splice sites in animals <sup>55</sup> and  
508 fungi <sup>56</sup> have been made available as part of this study.

509 RNA-Seq read mappings with STAR v2.5.1b and HiSat2 v.2.1.0 were compared  
510 based on a gold standard generated by exonerate, because a previous report <sup>57</sup>  
511 indicated a superiority of STAR. STAR parameters were set as described above and  
512 HiSat2 was applied with default parameters. All transcripts with non-canonical splice  
513 sites in *A. thaliana* and *Oryza sativa* were considered. When investigating the  
514 alignment of RNA-Seq reads over non-canonical splice sites, we observed a high  
515 accuracy for both mappers without a clear difference between them. Previously  
516 described scripts <sup>10</sup> were adjusted for this analysis and updated versions are  
517 available on github (<https://doi.org/10.5281/zenodo.2586989>). The distribution of  
518 genome sizes was analysed using the Python package dabest <sup>58</sup>. Sequence logos for  
519 the analysed splice sites were designed at <http://weblogo.berkeley.edu/logo.cgi> <sup>59</sup>.

520

## 521 **Calculation of the splice site diversity**

522 A custom Python script (splice\_site\_divergence\_check.py) was applied to calculate  
523 the Shannon diversity index ( $H'$ ) <sup>60</sup> of all splice site combinations in fungi, animals  
524 and plants (<https://doi.org/10.5281/zenodo.2586989>). To determine the significance  
525 of the obtained results, a Kruskal-Wallis test <sup>61</sup> was calculated using the Python  
526 package scipy <sup>62</sup>. Further, the interquartile range of all distributions was examined.

527

## 528 **Investigation of a common non-canonical splice site in fungal genome 529 sequences**

530 A Mann-Whitney U Test implemented in the Python package `scipy` was performed to  
531 analyse differences in the number of minor non-canonical splice site combinations.  
532 The observed distributions were visualized in a boxplot  
533 (<https://doi.org/10.5281/zenodo.2586989>) constructed with the Python package `plotly`  
534<sup>63</sup> (`ss_combination_frequency_boxplot.py`).

535

### 536 **Detection of spliceosomal components**

537 A potential U1 snRNA of *Pan troglodytes* (obtained from the NCBI;  
538 GenID:112207549) was subjected to BLASTn v.2.8.1<sup>64</sup> against the genome  
539 sequences of selected species. Hits with a score above 100, with at least 80 %  
540 similarity and with the conserved sequence at the 5' end of the snRNA<sup>65</sup> were  
541 investigated, as these sequences are potential U1 snRNAs. The obtained sequences  
542 were compared and small nucleotide variants were detected.

543 To assess possible duplications of spliceosomal components, bait sequences from  
544 various species were collected for central proteins in the spliceosome including pre-  
545 mRNA-processing factor 8 (PRP8), U1 small nuclear ribonucleoprotein C, U4/U6  
546 small nuclear ribonucleoprotein Prp3, U4/U6.U5 small nuclear ribonucleoprotein 27  
547 kDa protein and U5 small nuclear ribonucleoprotein. Putative homologues in all  
548 animal species were detected based on Python scripts<sup>66</sup> and subjected to the  
549 construction of phylogenetic trees as described previously<sup>67</sup>.

550 Genome sequences were systematically screened for U12 spliceosome hints via  
551 Infernal (cmscan) v1.1.2<sup>68</sup> with Rfam13<sup>69</sup>. U4atac, U6atac, U11, and U12 were  
552 considered as indications for the presence of the minor U12 spliceosome in the  
553 respective species. Due to high computational costs only a random subset of all  
554 animal sequences was analysed.

555

### 556 **Correlation between the GC content of the genome and the GC content of the** 557 **splice sites**

558 The Pearson correlation coefficient between the GC content of the genome  
559 sequence of each species and the GC content of the respective splice site  
560 combination was calculated using the Python package `scipy`. Splice site

561 combinations were weighted with the number of occurrences for assessment of the  
562 GC content. Finally, the correlation coefficient and the p-value were determined. For  
563 better visualization, a scatter plot was constructed with the Python package `plotly`<sup>63</sup>.

564

### 565 **Phylogeny of non-canonical splice sites**

566 All *A. thaliana* transcripts with non-canonical splice sites were subjected to BLASTn  
567 v.2.8.1 searches against the transcript sequences of all other plant species  
568 previously studied<sup>10</sup>. The best hit per species was selected for an alignment against  
569 the respective genomic region with `exonerate`<sup>70</sup>. Next, splice site combinations were  
570 extracted and aligned. This alignment utilized MAFFT v7<sup>71</sup> by representing different  
571 splice site combinations as amino acids. Finally, splice site combinations aligned with  
572 the non-canonical splice site combinations of *A. thaliana* were analysed  
573 (<https://doi.org/10.5281/zenodo.2586989>).

574 All transcripts of the fungus *Armillaria gallica* with non-canonical splice sites were  
575 searched as translated peptide sequences against all other fungal peptide sequence  
576 data sets via BLASTp<sup>64</sup>. Cases with more than 10 best hits with non-canonical splice  
577 site combinations in other species were subjected to the construction of phylogenetic  
578 trees for manual inspection. MAFFT v7<sup>71</sup> and FastTree v2<sup>72</sup> were used for the  
579 alignment and tree construction.

580

### 581 **Usage of non-canonical splice sites**

582 Genes were classified based on the presence/absence of non-canonical splice  
583 combinations into four groups: GT-AG, GC-AG, AT-AC, and minor non-canonical  
584 splice site genes. When having different non-canonical splice sites, genes were  
585 assigned into multiple groups. Next, the transcription of these genes was quantified  
586 based on RNA-Seq using `featureCounts` v1.5.0-p3<sup>73</sup> based on the RNA-Seq read  
587 mapping generated with STAR v.2.5.1b. Multi-mapped reads were excluded from the  
588 analysis and expression values were calculated at gene level (-t gene). Binning of the  
589 genes was performed based on the fragments per kilobase transcript length per  
590 million assigned fragments (FPKMs). Despite various shortcomings<sup>74</sup>, we consider  
591 FPKMs to be acceptable for this analysis. Outlier genes with extremely high values

592 were excluded from this analysis and the visualization. Next, a cumulative sum of the  
593 relative bin sizes was calculated. The aim was to compare the transcriptional activity  
594 of genes with different splice site combinations i.e. to test whether non-canonical  
595 splice site combinations are enriched in lowly transcribed genes.

596 Usage of splice sites was calculated per intron as previously described <sup>10</sup>. The  
597 difference between both ends of an intron was calculated. The distribution of these  
598 differences per splice site type were analysed.

599 Introns were grouped by their splice site combination. The average of both coverage  
600 values of the directly flanking exon positions was calculated as estimate of the local  
601 expression around a splice site combination. Next, the sequencing coverage of a  
602 transcript was estimated by multiplying 200 bp (assuming 2x100 nt reads) with the  
603 number of read counts per gene and normalization to the transcript length. The  
604 difference between both values was calculated for each intron to assess its presence  
605 in the major isoform.

606

#### 607 **Genomic read mapping and variant calling**

608 Genomic sequencing reads were retrieved from the SRA via fastq-dump as  
609 described above. BWA MEM v.0.7 <sup>75</sup> was applied with the –m parameter for mapping  
610 of the reads and GATK v.3.8 <sup>76,77</sup> was used for the variant detection as described  
611 previously <sup>78</sup>. The positions of variants were compared to the positions of splice sites  
612 using compare\_variation\_rates.py (<https://github.com/bpucker/ncss2018>).

613

#### 614 **Data Availability**

615 This work was based on publicly available data sets retrieved from the NCBI  
616 (Supplementary Data S3, Supplementary Data S4) and the SRA (Supplementary  
617 Data S17, Supplementary Data S18). Python scripts and a detailed technical  
618 description are available at [github](https://github.com/bpucker/ncss2018/blob/master/README.md):  
619 <https://github.com/bpucker/ncss2018/blob/master/README.md>. Data sets with  
620 information about the coverage around splice sites in animals  
621 (<http://doi.org/10.4119/unibi/2934226>) and fungi

622 (<http://doi.org/10.4119/unibi/2934220>) were made available as data publication at  
623 Bielefeld University Library.

624

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631

632 **Author Contributions Statement**

633 K.F. and B.P. designed the study, performed the experiments, analysed the data,  
634 and wrote the manuscript. Both authors read and approved the final version of this  
635 manuscript.

636

637 **Competing interests**

638 The authors declare no competing interests.

639

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874 **Supplementary Data**

875 **Supplementary Data S1.** List of all possible splice site combinations in animal  
876 species.

877 **Supplementary Data S2.** List of all possible splice site combinations in fungal  
878 species.

879 **Supplementary Data S3.** List of genome sequences and annotations of the  
880 investigated animal species.

881 **Supplementary Data S4.** List of genome sequences and annotations of the  
882 investigated fungal species.

883 **Supplementary Data S5.** Distribution of genome sizes of all species.

884 **Supplementary Data S6.** Genome statistics concerning each analysed animal  
885 species and status of U12 spliceosome.

886 **Supplementary Data S7.** Genome statistics concerning each analysed fungal species  
887 and status of U12 spliceosome.

888 **Supplementary Data S8.** Distribution of canonical and non-canonical splice sites per  
889 species in the animal kingdom.

890 **Supplementary Data S9.** Distribution of canonical and non-canonical splice sites per  
891 species in the fungal kingdom.

892 **Supplementary Data S10.** Percentage of variants in canonical splice sites, major and  
893 minor non-canonical splice sites.

894 **Supplementary Data S11.** Correlation between the GC content of the genome and  
895 the GC content of the splice sites per kingdom.

896 **Supplementary Data S12.** Correlation between the size of the used RNA-Seq data  
897 sets and the number of supported splice sites.

898 **Supplementary Data S13.** Proportion of genes with non-canonical splice sites in  
899 dependence of the number of introns.

900 **Supplementary Data S14.** Usage of non-canonical splice sites in animals and fungi.

901 **Supplementary Data S15.** Non-canonical splice sites in BUSCOs and in all genes  
902 were assessed per species in the animal kingdom.

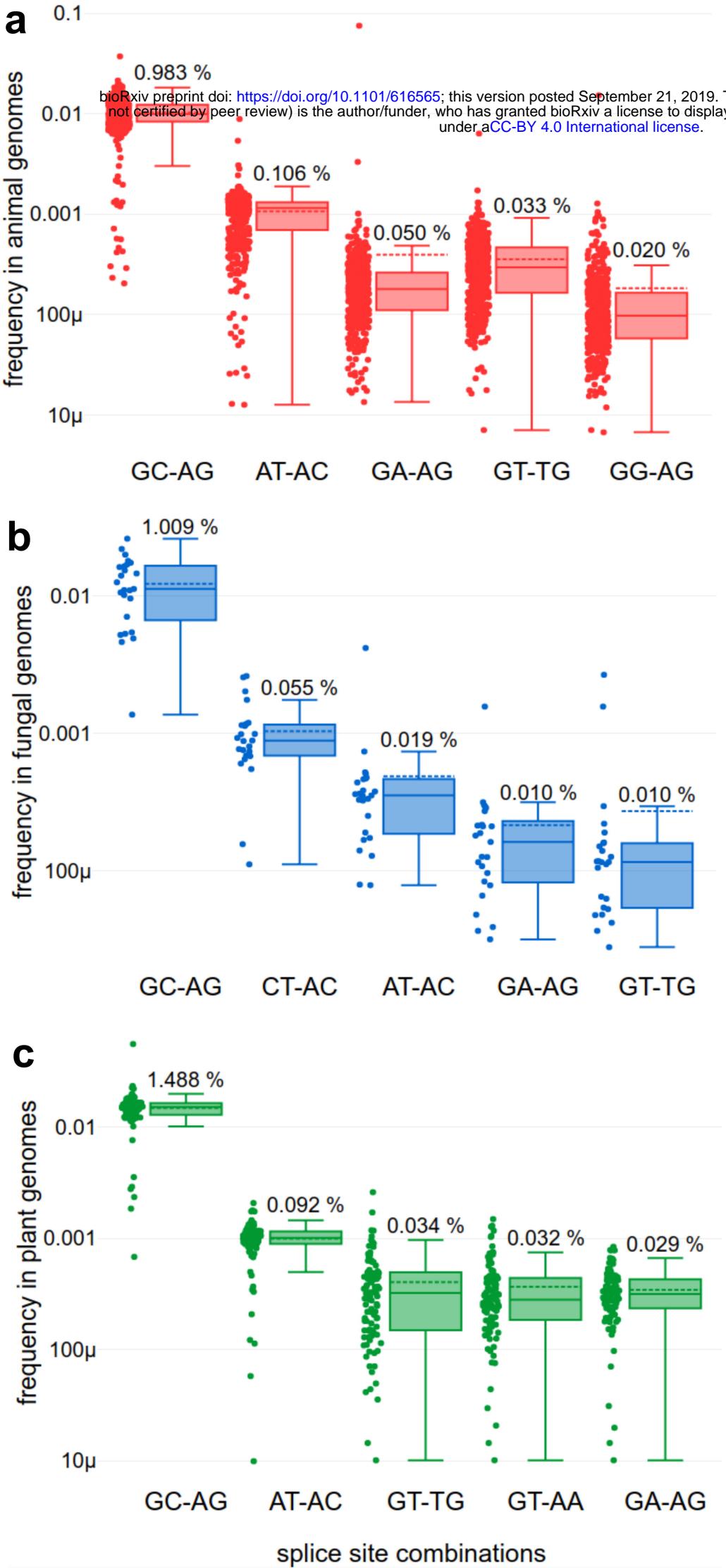
903 **Supplementary Data S16.** Non-canonical splice sites in BUSCOs and in all genes  
904 were assessed per species in the fungal kingdom.

905 **Supplementary Data S17.** List of Sequence Read Archive accession numbers of the  
906 investigated animal RNA-Seq data sets.

907 **Supplementary Data S18.** List of Sequence Read Archive accession numbers of the  
908 investigated fungal RNA-Seq data sets.

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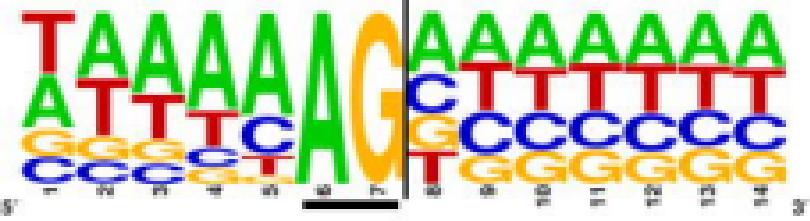
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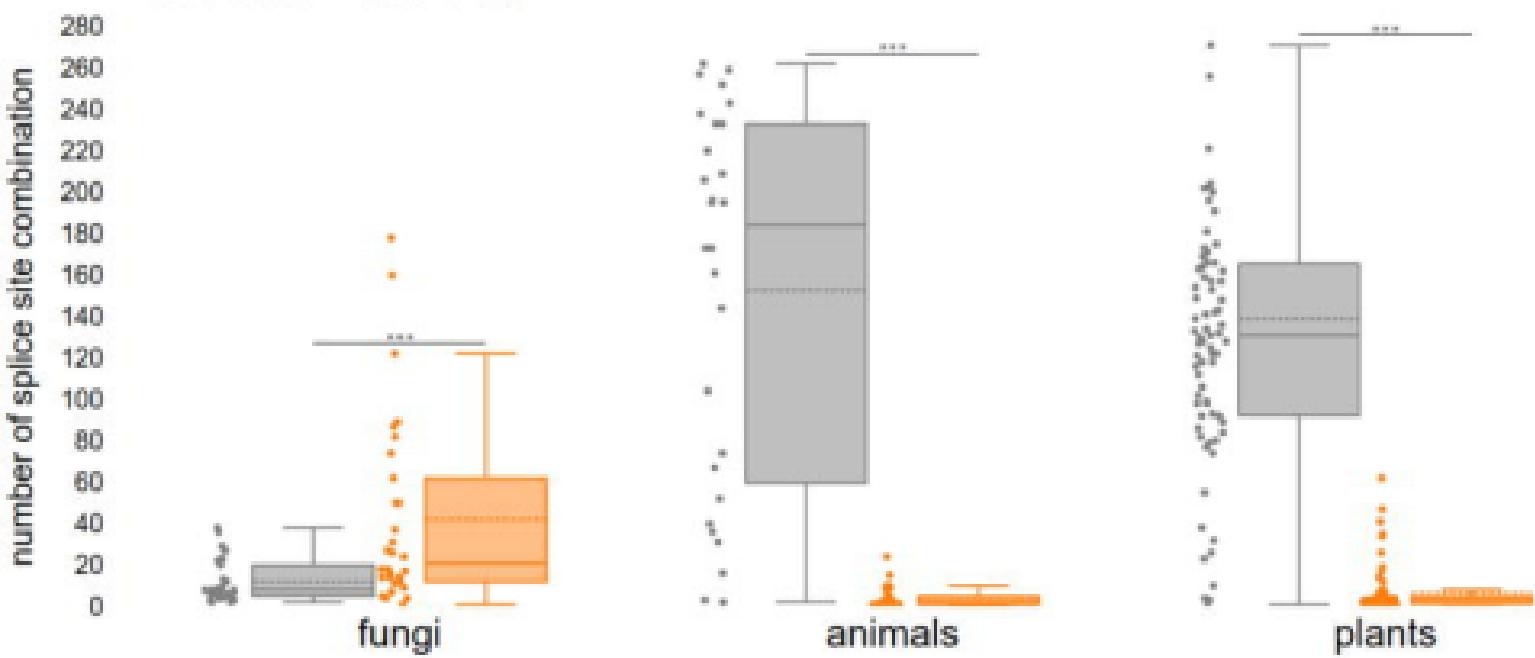
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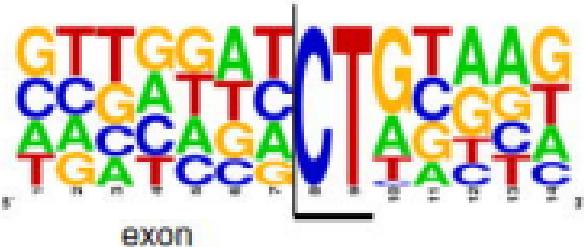


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**a** ■ AT-AC ■ CT-AC



**b**

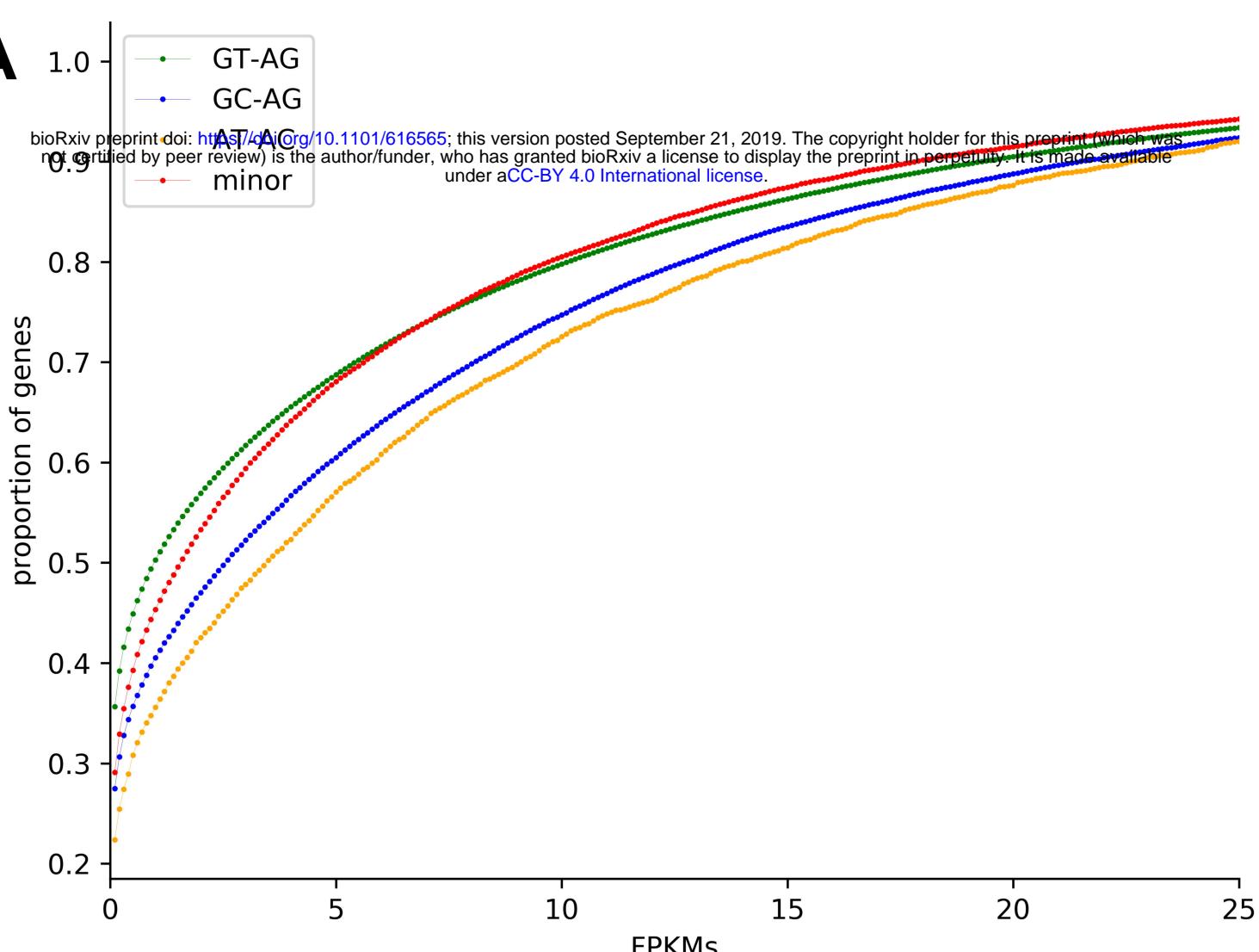


intron

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exon

**A****B**