

# Genomic Selection in Rubber Tree Breeding: A Comparison of Models and Methods for dealing with G x E

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19 **Abstract**

20 Several genomic prediction models incorporating genotype x environment (GxE) interactions  
21 have recently been developed and used in genomic selection (GS) in plant breeding programs.  
22 GxE interactions decrease selection accuracy and limit genetic gains in plant breeding. Two  
23 genomic data sets were used to compare the prediction ability of multi-environment GxE  
24 genomic models and two kernel methods (a linear kernel (genomic best linear unbiased  
25 predictor, GBLUP) (GB) and a nonlinear kernel (Gaussian kernel, GK)) and prediction accuracy  
26 (PA) of five genomic prediction models: (1) one without environmental data (BSG); (2) a single-  
27 environment, main genotypic effect model (SM); (3) a multi-environment, main genotypic effect  
28 model (MM); (4) a multi-environment, single variance GxE deviation model (MDs); and (5) a  
29 multi-environment, environment-specific variance GxE deviation model (MDe). We evaluated  
30 the utility of GS with 435 rubber tree individuals in two sites and genotyped the individuals with  
31 genotyping-by-sequencing (GBS) of single-nucleotide polymorphisms (SNPs). Prediction  
32 models were estimated for diameter (DAP) and height (AP) at different ages, with a heritability

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33 ranging from 0.59 to 0.75 for both traits. Applying the model (BSG, SM, MM, MDs, and MDe)  
34 and kernel method (GBLUP and GK) combinations to rubber tree data showed that models with  
35 the nonlinear GK and linear GBLUP kernel had similar PAs. Multi-environment models were  
36 superior to single-environment genomic models regardless the kernel (GBLUP or GK),  
37 suggesting that introducing interactions between markers and environmental conditions increases  
38 the proportion of variance explained by the model and, more importantly, the PA. In the best  
39 scenario (well-watered (WW / GK), an increase of 6.7 and 8.7 fold of genetic gain can be  
40 obtained for AP and DAP, respectively, with multi-environment GS (MM, MDe and MDS) than  
41 by conventional genetic breeding model (CBM). Furthermore, GS resulted in a more balanced  
42 selection response in DAP and AP and if used in conjunction with traditional genetic breeding  
43 programs will contribute to a reduction in selection time. With the rapid advances in and  
44 declining costs of genotyping methods, balanced against the overall costs of managing large  
45 progeny trials and potential increased gains per unit time, we are hopeful that GS can be  
46 implemented in rubber tree breeding programs.

### 47 1 Introduction

48 Generally, the rubber tree breeding program is characterized by breeding cycles of 25-30 years  
49 and includes the production of crosses, evaluation, and selection of field progeny, and  
50 propagation of selected superior material (Gonçalves et al., 2006). Compared to animal and  
51 annual crop breeding, forest tree breeding is still in its infancy, and the most advanced programs  
52 are in their third or fourth cycle of breeding, with very little differentiation of the bred  
53 populations from natural populations (Isik, 2014). Rubber tree breeding programs are complex  
54 and costly because the large size of trees requires experiments over large tracts of land to test  
55 progeny, and the progeny tests are expensive to establish, manage over many years, and evaluate  
56 via measurement.

57 The main objective of rubber tree breeding is the development of early selection methods that  
58 support the accurate prediction of mature phenotypes at a younger stage and are therefore  
59 important for shortening breeding cycles and, in the end, improving the cost efficiency of such  
60 breeding programs. *Hevea* breeding needs to significantly reduce the time taken to derive a  
61 clone, Priyadarshan (2017) proposed two strategies: (1) to cut short the breeding steps being  
62 followed by conventional means and (2) to inculcate genomics into breeding programmes  
63 specially to identify high-yielding genotypes in half-sibs, full-sibs and poly-cross seedlings  
64 during juvenile stage that can minimize both space and time.

65 Traditional plant breeding programs depend mainly on phenotypes being evaluated in various  
66 environments; selection and recombination are based solely on the resulting data plus pedigree  
67 information, when available. Genomic selection (GS), a new approach using whole-genome  
68 molecular markers, has the potential to quickly improve complex traits with low heritability,  
69 significantly reduce the cost of the line and hybrid development and increase grain production in  
70 less time to improve quantitative traits in large plant breeding populations (Meuwissen et al.  
71 2001).

72 Genomic prediction combines marker data with phenotypic and pedigree data in an attempt to  
73 increase the accuracy of the prediction of breeding and genotypic values. The method depends

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74 on dense genome-wide marker coverage to produce genomic estimated breeding values  
75 (GEBVs) from an ensemble analysis of all markers.

76 According to Lorenz et al. (2011), the accuracy of GS, which is measured as the correlation  
77 between the GEBVs and true breeding values, is affected by the relationship between the training  
78 and test sets, the number of individuals in the training set, linkage disequilibrium (LD) between  
79 markers and quantitative trait loci (QTLs), the distribution of underlying QTL effects, the  
80 statistical method used to estimate the GEBVs, and the trait heritability.

81 GS was proposed by Meuwissen et al. (2001) and has received increasing interest from forest  
82 tree breeders. The initial experimental reports in *Pinus* and *Eucalyptus* (Resende et al., 2012a,b)  
83 demonstrated the encouraging prospects of this new method and have since confirmed the  
84 potential for GS in conifers, pines and eucalypts (Zapata-Valenzuela et al., 2013; Lima, 2014; El-  
85 Dien et al., 2015; Ratcliffe et al., 2015; Bartholome et al., 2016; Isik et al., 2016), further  
86 supporting the potential for GS to accelerate the breeding of forest trees.

87 In the rubber tree breeding program, pedigree-based analysis has been widely used to evaluate  
88 field experiments, estimate genetic parameters, and predict breeding values (Furlani et al., 2005).  
89 However, due to the decreasing costs of genotyping thousands or millions of markers and the  
90 increasing costs of phenotyping (Krchov and Bernardo, 2015), GS is arising as an alternative  
91 genome-wide marker-based method to predict future genetic responses.

92 Appropriate GS methods provide accurate predictions even for untested genotypes, allowing  
93 considerable progress in breeding programs by reducing the number of field-tested genotypes  
94 and, consequently, the costs of phenotyping (Krchov and Bernardo, 2015). The benefits of GS  
95 are more evident when traits are difficult, time-consuming, expensive to measure, and several  
96 environments need to be evaluated.

97 The objective of this paper was to evaluate the predictive capacity of GS implementation in  
98 rubber trees using linear and nonlinear kernel methods and the performance of such prediction  
99 when including GxE interactions in each of the four models described by Bandeira et al. (2017).  
100 Thus, for all data sets, we fitted models with a linear kernel using the genomic best linear  
101 unbiased predictor (GBLUP) (GB) or nonlinear Gaussian kernel (GK) with a bandwidth  
102 parameter estimated according to (Pérez-Elizalde et al., 2015). We also compared the prediction  
103 accuracy (PA) of the two kernel regression methods for the four models. The models included a  
104 single-environment, main genotypic effect model (SM), a multi-environment, main genotypic  
105 effect model (MM) (Jarquin et al., 2014), a multi-environment, single variance GxE deviation  
106 model (MDs) (Jarquin et al., 2014) and a multiple-environment, environment-specific variance  
107 GxE deviation model (MDe) (Lopez-Cruz et al., 2015).

108 To the best of our knowledge, this is the first attempt to apply the GS technique in a rubber tree  
109 breeding program. The development of robust methods enables the implementation of GS in  
110 routine evaluations to accelerate genetic progress.

### 111 2 Materials and methods

#### 112 2.1 Population and phenotypes

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113 The data set included 435 samples, consisting of 252 F1 hybrids derived from a cross between  
114 PR255 × PB217 from the Michelin Ltda. Breeding program (Souza et al., 2013), 146 F1 hybrids  
115 derived from open pollination between the genotypes GT1 and RRIM701 (Conson et al., 2018),  
116 37 genotypes of GT1 x PB235 crosses and four testers (GT1, PB235, RRIM701 and the  
117 commercial clone RRIM600) from the Agronomic Institute Campinas breeding program. The  
118 parents of two-parent families are not related to each other, except PB217 and PB235 who are  
119 half-siblings.

120 Two traits were analyzed: (i) height of the trees (AP), taken at the insertion of the highest leaf  
121 into the trunk, and (ii) circumference of the trunk (DAP), measured 1 m above the soil (data  
122 available in Souza et al., 2013; Conson et al., 2018), in two periods: low water (LW) and well-  
123 watered (WW) (Supplementary Table 1).

### 124 2.2 Genotypic data and single nucleotide polymorphism (SNP) calling

125 Genomic DNA was extracted according to Souza et al. (2013) and Conson et al. (2018).  
126 Genotyping-by-sequencing (GBS) library preparation and sequencing were performed as  
127 described by Elshire et al. (2011). Genome complexity was reduced by digesting individual  
128 genomic DNA samples with *EcoT22I*, a methylation-sensitive restriction enzyme, and 96  
129 samples per sequencing lane. The resulting fragments from each sample were directly ligated to  
130 a pair of enzyme-specific adapters and combined into pools. PCR amplification was carried out  
131 to generate the GBS libraries, which were sequenced with the Illumina platform (Illumina Inc.,  
132 USA).

133 The raw data were processed, and SNP calling was performed using TASSEL 5.0 (Glaubitz et  
134 al., 2014). Initially, the FASTQ files were demultiplexed according to the assigned barcode. The  
135 reads from each sample were trimmed, and the tags were identified using the following  
136 parameters: a kmer length of 64 bp, minimum quality score within the barcode and read length of  
137 20, minimum kmer length of 20 and a minimum count of reads for a tag of 6. All sequence tags  
138 from each sample were aligned to the reference rubber tree genome (Tang et al., 2016) with  
139 Bowtie 2 (Langmead and Salzberg, 2012) using the very sensible option. SNP calling was  
140 performed using the TASSEL 5 GBSv2 pipeline (Glaubitz et al., 2014) and filtered with  
141 snpReady software (Granato and Fritsche-Neto, 2018). The following criteria were used: missing  
142 data of 20% and minor allele frequency (MAF) greater than or equal to 5% (MAF of 0.05). Only  
143 biallelic SNPs were maintained using the software VCFtools (Danecek et al., 2011). After  
144 filtering, missing data were imputed using snpReady software (Granato and Fritsche-Neto,  
145 2018).

### 146 2.3 GS analysis

147 For each character, the phenotypic analysis was carried out jointly for all years of evaluation  
148 using the mixed model approach.

149 Prediction based on genomic relationships and predictive ability assessment was performed  
150 using a relationship matrix-based approach for genomic prediction (Habier et al., 2007); the  
151 matrix G was the central object denoting the genomic relationship matrix. Two kernel methods  
152 were used: the linear kernel (GBLUP, GB) method used by Jarquin et al. (2014) and Lopez-Cruz  
153 et al. (2015) and the nonlinear kernel (GK) method proposed by Cuevas et al. (2016). The matrix

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154 for the GB and GK methods was obtained with the function *G.matrix* in *snpReady* software  
155 (Granato and Fritsche-Neto, 2018). Statistical models for genomic predictions taking genotype x  
156 environment (GxE) interactions into account (Jarquin et al., 2014; Lopez-Cruz et al., 2015)  
157 combine genetic information from molecular markers or from pedigrees (Pérez-Rodríguez et al.,  
158 2015) with environmental covariates, while the López-Cruz model decomposes the marker effect  
159 across all environments and the interaction for each specific environment.

160 The GS models implemented with arrays of GK and GB pedigrees for the AP and DAP traits  
161 were implemented in *breedR* software (Munoz and Sanchez, 2017); using frequentist statistics  
162 with the function *remlf90*, *em* method, 5 folds and 5 repetitions, the training population (TRN)  
163 was created with 4 folds, whereas the test population (TST) was created with one fold. The PA  
164 was obtained from the correlation between the predicted *BLUPs* and the observed *BLUPs*.

165 For AP and DAP, five statistical prediction models were fitted to all data sets to study their PA  
166 using random cross-validation (CV) schemes. The main objective was to compare the prediction  
167 ability of the two proposed multi-environment GxE genomic models.

168 The PA of the two kernel regression methods was also compared for single environments and  
169 multi-environments: a single-environment, main genotypic effect model (SM), a multi-  
170 environment, main genotypic effect model (MM) (Jarquin et al., 2014), a multi-environment,  
171 single variance GxE deviation model (MDs) (Jarquin et al., 2014) and a multi-environment,  
172 environment-specific variance GxE deviation model (MDe) (Lopez-Cruz et al., 2015). The SM,  
173 MM, MDs, and MDe models fitted with the GB and GK methods were used on the entire data  
174 sets for all the traits, and the phenotypic data were centered and standardized. These analyses  
175 were performed to derive estimates of variance components. The following variance components  
176 resulting from the residual effects, main genetic effect, and genetic environment-specific effects  
177 of the four models described above for the trait (LW - low water, and WW – well-watered) data  
178 sets were computed. All models were fitted with GxE interactions using the software BGGE  
179 (Granato et al., 2018).

### 180 2.4 Assessing PA by random cross-validation (CV) GxE

181 The PA of the SM model-method combinations was evaluated with 80% of the hybrids  
182 comprising the TRN set, the remaining 20% of the individuals comprising the TST set and none  
183 of the lines to be predicted in the TST set in the TRN set using 5 random partitions arranged in 5  
184 folds with 100 random partitions each. This procedure was performed separately in each  
185 environment, namely, LW and WW, and the SM models were fitted separately for each  
186 environment.

187 In the multi-environment models, the PA of the model-method combinations was generated  
188 using two different CV designs (Burgueño et al., 2012). The random CV 1 design (CV1)  
189 assumes that newly developed lines have not been evaluated in any environment; in this case,  
190 20% of the lines were not observed (not phenotyped) in all the environments and had to be  
191 predicted. The random CV 2 design (CV2) simulates lines that are tested in incomplete field  
192 trials, where some lines are evaluated in some environments but not included in other  
193 environments.

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194 All the parameters of the models, including the variance components resulting from residual  
195 effects, main genetic effects, G × E interaction effects, and environment-specific effects, were re-  
196 estimated from the TRN data in each of the 50 random TRN-TST partitions, and models were  
197 fitted to the TRN data set. PA was assessed by computing Pearson's product-moment correlation  
198 between predictions and phenotypes in the TST data set within environments.

### 199 **2.5 Expected genetic gain (EGG)**

200 Selection gain was estimated in two ways: the classic way for rubber tree breeding using the  
201 breeder's equation and phenotypic data and with information from the SNPs obtained via GS.

### 202 **2.6 EGGc - selection gain using only phenotypic information**

203 The genetic gains obtained by a classical breeding cycle were estimated under the assumption  
204 that the time of selection is ten years (EGGc), representing the minimum time required to make  
205 the crosses, obtain seeds, and evaluate and select the progenies at a small scale:

$$EGGc = \frac{\sqrt{H} \cdot i \cdot \delta g}{T}$$

206 EGGc= Expected Gain of Classic Breeding Selection

207 H= Broad heritability

208 i= Selected individuals

209 δg= Additive genetic standard deviation

### 210 **2.7 EGGgs - Selection gain using molecular marker information**

211 The simulation of breeding cycles using GS was based on the EGGgs equation assuming a time  
212 of 3 years for each selection cycle, representing the time required for crossing, seed selection and  
213 selection of the best individuals via molecular markers.

$$EGGgs = \frac{\left(\frac{PA}{\sqrt{H}}\right) \cdot i \cdot \delta g}{T}$$

214 EGGgs = Expected Gain of Selection with Genomic Selection

215 PA= Prediction accuracy

216 H= Broad heritability

217 i= Selected individuals

218 δg= Additive genetic standard deviation

## 219 **3 Results**

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### 220 3.1 SNP calling

221 We started with 435 genotypes, but three genotypes were replicates and thus merged, and the  
222 function sweep removed 27 individuals based on criteria of  $<0.05$  (for quality purposes), leaving  
223 411 genotypes. After analysis, a total of 259.224 million reads of sequence data were obtained,  
224 of which 69.8% were good barcoded reads. The overall alignment rate of these reads to the  
225 rubber tree reference genome (Tang et al., 2016) was 83.70%, and 23.1% were aligned exactly  
226 one time.

227 A total of 107.294 SNPs were identified. After excluding markers (1) with more than 20%  
228 missing data, (2) with a  $MAF \leq 0.05$  or (3) SNPs with more than two alleles, the whole dataset  
229 was reduced to 30.546 SNPs.

### 230 3.2 Estimates of genetic parameters using SNP genotyping

231 With the genotyped SNPs, the population structure was assessed using a principal component  
232 analysis (PCA), and the plots indicated that the 411 genotypes fell into two major clusters  
233 (Supplementary Figure 1), which mainly contained hybrids derived from PR255  $\times$  PB217 and  
234 hybrids derived from GT1 x RRIM701 and of GT1 x PB235 crosses. The first two PCs explained  
235 19.51% and 2.18% of the total variance, respectively, clearly splitting the groups along the x and  
236 y-axes.

### 237 3.3 Descriptive statistics

238 The genetic correlations between DAP and AP in both environments, namely, LW and WW,  
239 were positive and significant, ranging from 0.72 (AP-LW x DAP-WW) to 0.99 (DAP x DAP-  
240 LW)

241 To assess how much the phenotypic variation is genetically controlled and thus efficient for GS,  
242 we first estimated the broad-sense heritability ( $H$ ) of DAP and AP. The heritability ranged from  
243 0.60 to 0.75 for both traits, and when we analyzed the variation separately in each environment,  
244 namely, LW and WW, the heritability varied between 0.33 and 0.34 for DAP and 0.41 and 0.42  
245 for AP (Table 1).

246 For all the evaluated characters, environmental variation, progeny and the interaction between  
247 these two factors were highly significant, indicating that the environments used were contrasting,  
248 there was genetic variability among genotypes, and these genotypes presented differential  
249 performance according to the environment, respectively. The coefficients of experimental  
250 variation obtained in the joint analysis were following those reported in the literature for the  
251 same characters, indicating that the experiments were performed with good precision. All  
252 components of the variance were nonzero, and estimates were obtained with reasonable  
253 accuracy, which was verified by the confidence intervals of these estimates (Table 1).

### 254 3.4 Estimates of variance components

255 Estimates of variance components for each of the GS models derived from the full data analysis  
256 are presented in Table 2.

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257 For the SM model of the two traits in each environment, the estimated residual variance  
258 components for the GK method were smaller than those for the GB method (Table 2), and in  
259 compensation, the variance in genetic effects in each environment was slightly more substantial  
260 for the SM-GB model-method combination than for the SM-GK combination. Both the genetic  
261 variance and phenotypic variance were more significant in LW than in WW.  
  
262 The inclusion of the interaction term (G×E) when using the MM, MDe and MDs induced a more  
263 significant reduction in the estimated residual variance for both traits (DAP and AP) (Table 2). In  
264 the six model-method combinations, the residuals were even lower for DAP with the GK  
265 method, whereas for AP, the values were similar.  
  
266 For the variance component associated with the genetic interaction effect, the values were, on  
267 average, 65% lower for the GK method than for the GB method.

### 268 **3.5 Assessment of PA**

269 The PA without environmental data (BSG) is shown in Figure 1. The estimated correlations  
270 between correlated phenotypes and predictions obtained in the CV test are shown in Figure 2 for  
271 the single-environment model (SM) and Figure 3 for the multi-environment models (MM, MDs  
272 and MDe).

#### 273 **3.5.1 PA without environmental data (BSG)**

274 For DAP, the PA was not significantly different between the GB and GK models without multi-  
275 environmental data. The same result was obtained for GB and GK (0.18), whereas for DAP,  
276 there was a small difference between GK (0.26) and GB (0.27) (Figure 1).

#### 277 **3.5.2 Single environment (SM)**

278 For all traits, the random CV (CV1) applied to only one environment (LW or WW). The results  
279 for AP and DAP showed that the PA of the SM-GK model-method combination was higher than  
280 that for the SM-GB combination in both LW and WW. The PAs for AP in the LW conditions  
281 were 0.17 for SM-GB and 0.18 for SM-GK and in the WW environment were 0.19 for SM-GB  
282 and 0.20 for SM-GK. The results for DAP were 0.17 in LW for the SM-GB, and 0.19 for the  
283 SM-GK and in WW environment were 0.27 for SM-GB and 0.28 for SM-GK.

#### 284 **3.5.3 Multi-environment (MM, MDe, and MDs)**

285 The PA varied considerably between the CV1 and CV2 conditions, with an average PA 72.6%  
286 higher for CV2 than for CV1 (Supplementary Figure 3). Considering only random CV2, the PA  
287 was slightly higher in WW conditions for both characters (AP and DAP), ranging from 0.87  
288 (MDs-GK-WW) to 0.80 (GK-MDe-LW).

289 The results obtained with the model-method combinations were very similar; generally, for the  
290 LW environment, the best model was GK, without any difference between the methods. In DAP-  
291 LW, the PA was 0.84, and for AP-LW, it ranged from 0.81 (MM) to 0.83 (MDe and MDs) with  
292 the GK model. When we applied the GB model in DAP-LW, the PA was 0.82 for MDs, 0.83 for  
293 MDe and 0.84 for MM, and in AP-LW, it ranged from 0.80 for MDs to 0.81 for MDe and MM  
294 (Figure 3). For the WW environment in AP the model-method combinations presented the same

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295 values, with a PA of 0.83 for both the GK and GB (Figure 3). For WW the PA ranged from 0.86  
296 for MM to 0.87 for MDe and MDs, respectively, for both the GK and GB (Figure 3).

### 297 3.6 EGG

298 For rubber tree breeding, the investigated alternative breeding strategies differed considerably in  
299 the number of years required to finish one breeding cycle. For classic improvement, we consider  
300 a minimum time of ten years for the beginning of the selection of the best genotypes. In the case  
301 of GS, we consider three years for the first selection.

302 To determine the best EGG, we used the best scenario found in the analyses, so we chose to  
303 work with the GK matrix and the phenotypic data from the WW environment; however, we  
304 present the other results in Supplementary Table 2. Using the classical breeding method (CBM),  
305 which takes into account only the phenotypic data, the selection gains ranged from 0.058 (DAP)  
306 to 0.064 (AP) (Figure 4). When we predict breeding value without environmental effects (BSG),  
307 genetic gains increase from 0.068 in AP to 0.114 in DAP (figure 4). When we incorporated the  
308 molecular information in a single environment (SM), the genetic gain increased to 0.160 (DAP)  
309 and 0.105 (AP). When we used the multi-environment strategy, the gains were much higher; for  
310 MM, the genetic gain was 0.497 for DAP and 0.434 for AP; in MDs and MDe, we obtained  
311 0.503 and 0.434 for both DAP and AP, respectively (Figure 4).

### 312 4 Discussion

313 In recent years, many statistical models have been proposed for applying GS in plant and animal  
314 breeding programs. However, to the best of our knowledge, these models have not yet been  
315 applied to rubber tree breeding programs.

316 The efficiency of early selection depends mainly on the early-mature correlation and the  
317 heritability of juvenile traits. In this study, all pairwise correlations between environments were  
318 strong and positive. This finding is important because the G×E model has the limitation of better  
319 and more efficient prediction when applied to subsets of environments that have positive and  
320 similar correlations (Crossa et al., 2016).

321 In a study by Moreti et al. (1994), with estimates of genetic parameters and expected gains with  
322 the selection of juvenile characters in rubber tree progenies using classical breeding, some  
323 parameters stood out positively (rubber production, bark thickness, and stem circumference).  
324 Gonçalves et al. (1996) observed the same behavior of the results obtained by Moreti et al.  
325 (1994), showing a correlation and its applicability in the selection process. Strong phenotypic  
326 and genetic correlations were observed between yield and stem diameter, indicating the  
327 possibility of obtaining young clones of good productive capacity and great vigor (Gonçalves et  
328 al., 1984). Plants with rapid development of trunk circumference may be more productive, and  
329 this may be a useful feature with which to predict more productive hybrids via GS, together with  
330 the fact that latex production has a good heritability, better than growth in diameter, because the  
331 influence of the rootstock is lower in the production, this will be very important in future studies  
332 with this population.

333 The multi-environment genomic prediction was successfully implemented using a GBLUP  
334 model; however, depending on the genetic architecture of the trait and germplasm, nonlinear

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335 semiparametric approaches, such the GK, could produce better accuracies (Cuevas et al., 2016).  
336 We compared two models that used the GB and GK methods, and similar values were found for  
337 the PA in all tested conditions.

338 Using genomic prediction without multi-environment data (BSG) for both GB and GK produced  
339 the same results (0.18) for AP and a small change from 0.26 to 0.27 between GK and GB,  
340 respectively. When a single-environment model was applied to only one environment (LW or  
341 WW), the best PA values were in the environment of higher water availability. For AP, we  
342 obtained the best values (0.20) using the GK matrix. On the other hand, for DAP, the best PA  
343 was obtained via GK matrix (0.28) (Figure 2).

344 In this study, the PA of multi-environment models was assessed by applying the CV strategy.  
345 The CV2 validation strategy performed better than the CV1 strategy when applied to the multi-  
346 environment models (Lopez-Cruz et al., 2015; Crossa et al., 2016); this result was expected  
347 because we did not evaluate all individuals in both environments. Considering only random  
348 CV2, the PA was slightly higher in WW conditions for both characters (AP and DAP), ranging  
349 from 0.87 (MDs-GK-WW) to 0.81 (GK-MDe-LW).

350 Evaluating plants during the season with the best hydric conditions resulted in a higher PA,  
351 which may have occurred because more measurements were taken in WW than in LW, which in  
352 turn improved the performance of the analyses. However, according to (Conson et al., 2018), the  
353 climatological water balance revealed that phenotypic sampling was performed in consecutive  
354 water deficit periods, with exceptions including very brief intervals with very high precipitation  
355 levels, and the two regions where the experiments were carried out suffer from low water  
356 availability for a large part of the year.

357 Multi-environment models are superior to single-environment genomic models with the GBLUP  
358 and GK. This finding suggests that introducing interactions between markers and environmental  
359 conditions can increase the proportion of variance accounted for by the model and, more  
360 importantly, can increase the PA. GxE interactions are essential in many aspects of a breeding  
361 program, and the increase in PA with the inclusion of environmental information represents a  
362 favorable result with important implications for both breeding and agronomic recommendations.  
363 Interactions in field trials affect mature selection and early selection; thus, when evaluating the  
364 effectiveness of early selection, it is imperative to determine whether the GxE interaction among  
365 sites has a meaningful impact on the early-mature genetic correlation.

366 The application of eight combinations of four models (SM, MM, MDs, and MDe) and two kernel  
367 methods (GBLUP and GK) to rubber tree data sets showed that models with the nonlinear GK  
368 had slightly higher PAs than the models with the linear GBLUP kernel. According to Gianola et  
369 al. (2014), the GK has a better predictive ability and a more flexible structure than the GBLUP,  
370 and the GK can capture nonadditive effects between markers.

371 Akdemir and Jannink (2015) presented different choices for estimating kernel functions: linear  
372 kernel matrices incorporate only the additive effects of the markers, polynomial kernels  
373 incorporate different degrees of marker interactions, and the GK function uses complex epistatic  
374 marker interactions. GKs would be more appropriate for GS for rubber trees because of the

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375 possibility of exploiting these local epistatic effects captured in the GK and their interaction with  
376 environments.

377 Many GS studies in plants have focused on breeding programs that generally evaluate crops in  
378 multiple environments, such as in different seasons/years or geographic locations, to determine  
379 performance stability across environments (Crossa et al., 2016) and identify markers whose  
380 effects are stable across environments as well as those that are environment-specific (Crossa et  
381 al., 2016; Oakey et al., 2016). Lopez-Cruz et al. (2015) extended the single-trait GBLUP model  
382 to a multi-environment context and showed substantial gains in PA with the multi-environment  
383 model relative to single-environment analysis in wheat.

384 The advantages of GS applied to the improvement of forest species have been successfully  
385 demonstrated. For example, Wong and Bernardo (2008) and Iwata et al. (2011) demonstrated the  
386 potential uses of GS, and all concluded that it could radically increase tree breeding efficiency.  
387 The advantage of marker-based relationship matrices is that gaps in pairwise relatedness in forest  
388 tree pedigrees are filled, which leads to an increase in the accuracy of selecting breeding  
389 candidates (Muller et al., 2017; Tan et al., 2017).

390 Cuevas et al. (2016) modeled G×E interactions using both genetic markers and environmental  
391 covariates, and (Granato et al., 2018) introduced the Bayesian Genomic Genotype ×  
392 Environment (BGGE) R package, which fits genomic linear mixed models to single  
393 environments and multi-environments with GE models. These studies showed that modeling  
394 multiple-environment interactions can lead to substantial gains in the PA of GS for rubber tree  
395 breeding programs.

396 GS is expected to increase the accuracy of selection, especially for traits that cannot be measured  
397 directly from breeding candidates and for traits with low heritabilities (Meuwissen et al., 2001),  
398 which was confirmed in this study: the selection gain with GS varied between 0.434 and 0.503,  
399 while the genetic gain with classical breeding varied between 0.058 and 0.064 for AP and DAP,  
400 respectively. Comparing the conventional model of genetic breeding (CBM) for rubber trees  
401 with the use of GS applying the multi-environment strategy (MM, MDe and MDS), GS produced  
402 a genetic gain 6.7 and 8.7 times higher for AP and DAP, respectively. GS also resulted in a more  
403 balanced selection response in the two traits (DAP and AP) and thus is preferred over traditional  
404 selection because of the time saved in the selection of superior genotypes.

405 Developing new rubber tree cultivars adaptable to non-traditional rubber growing regions is  
406 fundamental for the success of rubber tree plantations. Cultivars considered productive in some  
407 regions of Brazil may behave differently in other areas of the same region, especially areas with  
408 different edaphoclimatic characteristics. Several agroclimatic elements, such as prolonged low  
409 temperature and low precipitation in the winter, are the major factors limiting the development  
410 and production of the rubber tree and contribute to a large amount of variability in the behavior  
411 of cultivars (Ortolani et al., 1996).

412 In rubber trees, the time required to complete a breeding cycle and recommend a clone for  
413 commercial production can span multiple decades and is mainly divided into three selection  
414 stages. First, the aim is to obtain progenies by controlled or open pollination to establish  
415 nurseries. At two and a half years, based on yield evaluations performed by early testing of yield,

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416 vigor, and tolerance to diseases, the breeding plants are selected and cloned for testing at a small  
417 scale. In this second stage of the selection cycle, after the first two years of tapping, promising  
418 clones are multiplied and subsequently evaluated in large-scale or regional trials. This last stage  
419 usually takes some 12 to 15 years, until it is possible to recommend a clone for large-scale  
420 cropping. It, therefore, takes approximately 30 years to complete the breeding cycle, from  
421 controlled pollination to final cultivar recommendation (Gonçalves and Fontes, 2012). The use of  
422 GS could dramatically reduce the time required for completion of a cycle of genetic  
423 improvement by eliminating progeny phenotypic testing aimed at selecting the best individuals  
424 (replaced by GS), significantly accelerating the genetic gain relative to that obtained by classical  
425 breeding. Another advantage of GS is that more candidate genotypes are generated; therefore,  
426 the population size for selection as well. All of these candidates are genotyped, and those with  
427 the best-predicted test cross values are evaluated in the field, which can be regarded as an  
428 indirect selection.

429 With the rapid advances in and declining costs of genotyping methods, balanced against the  
430 overall costs of managing large progeny trials and the potential for increased gains per unit time,  
431 our cautiously optimistic expectation is that GS has excellent potential to be implemented in  
432 rubber tree breeding programs. However, further studies examining populations with a different  
433 structure (which were not assessed in this initial work) are necessary before recommending GS  
434 for operational implementation in tree breeding programs.

### 435 **Conflict of interest**

436 The authors have no conflicts of interest to declare.

### 437 **Author contributions**

438 LS, FF, PG, RN and AS designed the study and performed the experiments; LS, FF, and RN  
439 analyzed the data; and LS, FF and AS wrote the manuscript.

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### 574 **Figure legends**

575 **Figure 1.** Correlation between phenotypes and predicted values (random CV partitions) with  
576 standard deviations for the GBLUP kernel model (GB) and GK model (GK) for the height of the  
577 trees (AP) and circumference of the trunk (DAP).

578 **Figure 2.** Correlation between phenotypes and predicted values (random CV partitions) with  
579 standard deviations for the single-environment, main genotypic effect model with the GBLUP  
580 kernel method (SM-GB) and the single-environment, main genotypic effect model with the GK  
581 method (SM-GK) for the height of the trees (AP) and circumference of the trunk (DAP) in low-  
582 water (LW) and well-watered (WW) environments.

583 **Figure 3.** Prediction values for cross-validation (CV) partitions (CV2) and standard deviations  
584 for the multi-environment, genotypic effect model with the GBLUP kernel (MM-GB), multi-  
585 environment, main genotypic model with the Gaussian kernel (MM-GK), multi-environment,  
586 single variance G×E model with the GBLUP kernel (MDs-GB), multi-environment, single  
587 variance G×E model with the Gaussian kernel (MDs-GK), multi-environment, environment-  
588 specific variance G×E model with the GBLUP kernel (MDe-GB), and multi-environment,  
589 environment-specific variance G×E model with the Gaussian kernel (MDe-GK).

590 **Figure 4.** Expected genetic gain (EGG) using the classical breeding method (CBM) and genomic  
591 prediction without multi-environmental data (BSG), with the single-environment, main  
592 genotypic effect model (SM), with the multi-environment, genotypic effect model (MM), with  
593 the multi-environment, single variance G×E model (MDs), and with the multi-environment,  
594 environment-specific variance G×E model (MDe) with the GK kernel method in the WW  
595 environment.

### 596 **Tables**

597 **Table 1.** Phenotypic variation: heritability (H) of height (AP) and diameter (DAP), genotype x  
598 environment interaction (GxE), residual (R) and genetic main effect (G) in the low-water (LW)  
599 and well-watered (WW) environments considered together and alone, with p<.01 indicated by \*\*

	DAP	AP	DAP-LW	DAP-WW	AP-LW	AP-WW
G	3.607**	0.115**	4.329**	3.690**	0.128**	0.089**
GxE	0.814**	0	-	-	-	-
R	16.154	0.301	14.751	14.751	0.346	0.260

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H	0.600	0.750	0.340	0.330	0.420	0.410
600						

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601 **Table 2.** Estimates of different variance components for genomic selection (GS) models with no  
602 environmental effect; only the GB and GK (SG) matrices; the single-environment, main  
603 genotypic effect model (SM); the multi-environment, main genotypic effect model (MM); the  
604 multi-environment, single variance G×E deviation model (MDs); and the multi-environment,  
605 environment-specific variance G×E deviation model with the genomic best linear unbiased  
606 predictor (GBLUP) and Gaussian kernel (GK) for two traits: plant height (AP) and plant  
607 diameter (DAP).

	Component	DAP.GK	DAP.GB	AP.GK	AP.GB
BSG	G	0.750	0.230	0.070	0.040
	E	2.000	2.170	0.040	0.060
SM	G (WW)	1.102	1.101	0.062	0.069
	G (LW)	2.528	2.691	0.105	0.140
	E (WW)	1.532	1.773	0.037	0.052
	E (LW)	2.628	3.164	0.047	0.065
MM	G	6.47	17.188	0.166	0.422
	E	0.256	0.259	0.008	0.008
MDs	G	6.515	17.568	0.167	0.433
	E	0.127	0.15	0.004	0.004
MDe	G	6.115	16.922	0.161	0.427
	E	0.111	0.119	0.003	0.003

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