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4 1 **Basal Contamination of Bulk Sequencing: Lessons from the GTEx dataset**

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1 **Abstract**

2 **Background:** One of the challenges of next generation sequencing (NGS) is
3 contaminating reads from other samples. We used the Genotype-Tissue Expression
4 (GTEx) project, a large, diverse, and robustly generated dataset, as a useful resource to
5 understand the factors that contribute to contamination.

6 **Results:** We obtained 11,340 RNA-Seq samples, DNA variant call files (VCF) of 635
7 individuals, and technical metadata from GTEx as well as read count data from the
8 Human Protein Atlas (HPA) and a pharmacogenetics study. We analyzed 48 tissues in
9 GTEx. Of these, 24 had variant co-expression clusters of four known highly expressed
10 and pancreas-enriched genes (*PRSS1*, *PNLIP*, *CLPS*, and *CELA3A*). Fifteen additional
11 highly expressed genes from other tissues were also indicative of contamination (*KRT4*,
12 *KRT13*, *PGC*, *CPA1*, *GP2*, *PRL*, *LIPF*, *CTRB2*, *FGA*, *HP*, *CKM*, *FGG*, *MYBPC1*, *MYH2*,
13 *ZG16B*). Sample contamination by non-native genes was highly associated with a
14 sample being sequenced on the same day as a tissue that natively has high levels of
15 those genes. This was highly significant for both pancreas genes ($p= 2.7E-75$) and
16 esophagus genes ($p= 8.9E-154$). We used genetic polymorphism differences between
17 individuals as validation of the contamination. Specifically, 11 SNPs in five genes shown
18 to contaminate non-native tissues demonstrated allelic differences between DNA-based
19 genotypes and contaminated sample RNA-based genotypes. Low-level contamination
20 affected 1,841 (15.8%) samples (defined as ≥ 500 *PRSS1* read counts). It also led to
21 eQTL assignments in inappropriate tissues among these 19 genes. In support of this
22 type of contamination occurring widely, pancreas gene contamination (*PRSS1*) was
23 also observed in the HPA dataset, where pancreas samples were sequenced, but not in
24 the pharmacogenomics dataset, where they were not.

25 **Conclusions:** Highly expressed, tissue-enriched genes basally contaminate the GTEx
26 dataset impacting on some downstream GTEx data analyses. This type of
27 contamination is not unique to GTEx, being shared with other datasets. Awareness of
28 this process will reduce assigning variable, contaminating low-level gene expression to
29 disease processes.

30 **Key Words:** GTEx, RNA-Seq, Contamination, eQTL, PEER factors

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1 **Introduction**

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2 The rise of next generation sequencing has allowed for unparalleled data
3 generation for a variety of nucleic acid studies including RNA expression. As cost per
4 basepair decreases, more large-scale transcriptome projects can be performed that will
5 inform on tissue expression patterns in health and disease [1-4]. These data sources
6 are generally publicly-available and have been used by hundreds of researchers for
7 secondary analyses of high impact [5, 6].

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8 Limitations exists for all –omics technologies, including bulk RNA sequencing
9 (RNA-Seq). Issues of hybridization biases, library preparation biases, and
10 computational biases such as positional fragment bias are known limitations of RNA-
11 Seq experiments [7-9]. Another challenge of high throughput RNA-Seq is
12 contamination, leading to the presence of sequence data within a dataset of one sample
13 that originates from a separate sample. This contamination can come from many
14 different aspects of the modern sequencing process, such as human error, machine or
15 equipment contamination, intrinsic preparation and sequencing errors, and
16 computational errors, including errors that can occur based on the multiplexing methods
17 used [10-12]. Contamination has been better characterized for DNA sequencing
18 projects [13-15].

19 The Genotype-Tissue Expression project (GTEx) aims to create a large publicly
20 available database of tissue-specific expression quantitative trait loci (eQTL) from over
21 40 tissues [1]. It is an ongoing project with over 700 individuals and 11,000 tissue
22 samples. GTEx combines genotyping from whole genome sequencing with gene

1 expression levels from bulk RNA-Seq. GTEx has made their RNA-Seq, phenotype,
2 genotype, and technical data available for public access with permission.

3 In an analysis of variation in the GTEx RNA-Seq data (V7), we detected
4 unexpected sources of variation that we hypothesized were likely contaminating
5 sequence reads found at low, but variable levels across different tissues. Herein we
6 describe how we identified the source of contamination and establish basal rates of
7 contamination in the GTEx RNA-Seq data.

8 **Results**

9 **Patterns of extreme tissue variation identified usual gene signatures**

10 We embarked on a project to expand our initial description of the causes of lung
11 expression variation in GTEx to all tissue samples using DEseq2 variance stabilizing
12 transformation to normalize read counts from 11,340 samples [16, 17]. We filtered
13 genes in each tissue keeping those with a mean transformed count >5. The median
14 number of genes above the expression threshold was 17,729 with the highest and
15 lowest gene counts being 23,930 and 13,807 in the testis and whole blood respectively.
16 As previously described, we correlated and hierarchically clustered variable genes (>4
17 variance across samples) for all tissues with >70 samples (N=48) in the GTEx dataset
18 [16]. Our algorithm identified multiple gene clusters per tissue, based on their Kendall's
19 tau correlations. It additionally reported non-clustering, highly variable genes. Most
20 clusters were the result of biologic and phenotypic features related to the tissues. For
21 example, a cluster of Y chromosome genes and *XIST* appeared in 42 of 43 non-sex
22 specific tissues. However, there was one consistent pattern of 3-4 genes (*PNLIP*,

1 *PRSS1*, *CELA3A*, and/or *CLPS*) identified in 24 of the 48 tissues, that failed to have an
2 intuitive explanation as these genes are highly-expressed and specific to the pancreas.
3 We then determined if there were other highly expressed tissue enriched genes
4 appearing variably in other samples. To further understand this, we utilized a list of
5 tissue enriched proteins generated by the Human Protein Atlas (HPA) and cross-
6 referenced this to GTEx TPM data (Table 1) [18, 19]. From this list, we noted 19 genes
7 from 7 tissues including two esophagus genes *KRT13* and *KRT4* that are highly
8 expressed in their native tissue and identified as variable in three or more other
9 unrelated tissues (Fig. 1a, Additional File 1: Fig. S1).

10 **Table 1** GTEx and HPA highly expressed, tissue-enriched genes present in other
11 tissues through contamination

Gene	Times identified as variable in other tissues	Highest expressed GTEx/HPA tissue	GTEx TPM	HPA TPM	Second highest expressed HPA tissue	HPA TPM in second tissue
<i>PRSS1</i>	41	Pancreas	99,100	81,683	Ovary	257
<i>PNLIP</i>	33	Pancreas	33,660	93,703	Ovary	288
<i>CPA1</i>	30	Pancreas	54,500	48,857	Ovary	133
<i>GP2</i>	29	Pancreas	14,280	7,530	Duodenum	36
<i>CELA3A</i>	23	Pancreas	27,130	56,988	Ovary	162
<i>KRT13</i>	20	Esophagus	33,960	35,139	Tonsil	1,728
<i>PGC</i>	19	Stomach	36,720	22,276	Duodenum	1,302
<i>KRT4</i>	18	Esophagus	22,290	14,862	tonsil	599
<i>PRL</i>	17	Pituitary	54,500	--	--	--
<i>LIPF</i>	14	Stomach	29,380	22,415	Duodenum	259
<i>CLPS</i>	13	Pancreas	51,640	56,632	Ovary	214
<i>CTRB2</i>	8	Pancreas	20,760	29,060	Ovary	74
<i>FGA</i>	6	Liver	5,717	9,265	Stomach	39
<i>HP</i>	6	Liver	12,710	28,407	Bone marrow	155.8
<i>CKM</i>	5	Skeletal muscle	11,138	23,799	Heart	1,419
<i>FGG</i>	5	Liver	6,623	8,699	Lung	75

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MYBPC1	5	Skeletal muscle	3,587	3,918	Prostate	125
MYH2	5	Skeletal muscle	1,064	4,306	Esophagus	44
ZG16B	5	Salivary gland	17,540	19,471	Prostate	87

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1 As both abundant and tissue-enriched genes were unlikely to be randomly and
2 lowly expressed in a range of other tissues, we performed analyses to determine the
3 source of the contamination.

4 **Nucleic acid isolation is a minor source of contamination**

5 We first questioned if the contamination occurred during tissue harvesting,
6 hypothesizing that occasionally small fragments of a tissue could contaminate a
7 separate sample from shared dissection tools or surfaces. For that to be true, we
8 reasoned that organs near the pancreas/esophagus, or temporally collected relative to
9 the pancreas/esophagus would be most affected. However, a pancreas gene
10 contamination cluster was found in transformed fibroblasts which were grown over
11 multiple passages and would not retain other cell types over that time period, excluding
12 this possibility (Additional File 1: Figure S1). Using the available technical metadata, we
13 found a modest association between nucleic acid isolation date and the presence of
14 contamination ($p= 0.003$, linear regression model). Thus, date of nucleic acid isolation
15 may represent a small aspect of the contamination.

16 **Identification of sequencing date as a correlate to contamination**

1 We then ascertained if the contamination was occurring at the time of
2 sequencing. A linear regression model estimated that contamination was 0.85 standard
3 deviations higher when a sample was sequenced on the same day as a pancreas
4 sample ($p= 2.66e-75$). (Fig. 1b,c). When the model included both nucleic acid isolation
5 date and sequencing date, the association with nucleic acid isolation was not significant
6 ($p= 0.31$), whereas the sequencing date remained strongly associated with
7 contamination ($p= 1.436e-73$), suggesting that the sequencing date was the primary
8 cause of contamination. A comparison of the aforementioned models using a one way
9 anova test indicated nucleic acid isolation date did not significantly increase the
10 variance explained in normalized contamination scores ($p= 0.31$). A similar association
11 between sequencing data and contamination was observed with esophageal gene
12 contamination, which in the same model, had a strong association with nucleic acid
13 isolation date ($p= 4.59e-16$) but a stronger association with sequencing date ($p= 8.95e-154$). In the samples, contamination by esophagus-enriched genes had a negative
14 association with having nucleic acid isolation on the same day as an esophagus (-0.306
15 Z-Score, $p= 4.59e-16$), discounting nucleic acid isolation date as the main point of
16 contamination. Despite this strong correlation with sequencing, some high Z-scores
17 came from samples that were not sequenced on the same days as pancreata. Further
18 analysis showed that essentially all of these samples were sequenced within a few days
19 of a pancreas (Fig. 1d). This additionally implicated the library preparation process (for
20 which date information is lacking in GTEx) which is temporally related to sequencing,
21 rather than the sequencing itself.

23 **Genetic polymorphisms confirm contamination is derived from other samples**

1 To prove that pancreas/esophagus transcripts were contaminating from other
2 (non-self) samples we investigated for incongruencies between a person's genotype
3 (from DNA data) and the genotype in matching loci in the pancreas/esophagus
4 contaminated RNA-Seq samples. We required both the individuals' DNA genotype and
5 their contamination source RNA-Seq as we are aware of both RNA editing and
6 preferential allele expression. Based on sample requirements and limited by available
7 raw sequencing files, we identified 11 contaminated tissues to evaluate. For each, we
8 obtained and processed their raw RAN-Seq FASTQ sequences to identify variants in
9 both their contaminated tissues and their matched pancreas or esophagus tissue
10 (depending on the gene source of contamination). Additionally, we used the GTEx
11 filtered VCF file from their sequenced DNA to further establish their SNP allele patterns.
12 Across all tissues, 533 SNPs, rare variants, and private variants, were investigated in
13 pancreas associated gene coding sequences (*PNLIP*, *CLPS*, and *CELA3A*) and 190 in
14 esophagus associated gene coding sequences (*KRT13*, *KRT4*). As a comparison
15 group, 287 variants were investigated in two control gene coding sequences (*GAPDH*,
16 and *RAB7A*) that have near ubiquitous expression across all tissues. Of 1,010 variants
17 obtained from the combined VCF files, 11 had some degree of allelic heterogeneity
18 (Table 2). No incongruencies were found in the 287 variants of the two control genes.

19 **Table 2** Allelic incongruencies found in contaminated samples

Individual	Gene	SNP	Enriched Tissue			Contaminated		
			Major/Minor	Reads	Major Allele %	Tissue Type	Reads	Major Allele %
GTEX-1	<i>KRT13</i>	rs903	C/A	101,908	0%	Fibroblast Cells	252	50%
GTEX-1	<i>KRT4</i>	rs7959052	T/C	74,468	100%	Fibroblast Cells	203	12%
GTEX-1	<i>KRT4</i>	rs7956809	C/G	85,803	100%	Fibroblast Cells	204	13%
GTEX-1	<i>KRT4</i>	rs2035879	T/C	72,978	51%	Fibroblast Cells	164	7%

4	GTEX-1	<i>KRT4</i>	rs17119475	G/A	71,592	49%	Fibroblast Cells	226	98%
5	GTEX-9	<i>CELA3A</i>	rs3820285	C/G	98,896	1%	Adipose	5,178	48%
6	GTEX-9	<i>CELA3A</i>	rs9187	C/T	105,462	75%	Adipose	6,082	97%
7	GTEX-9	<i>CELA3A</i>	rs12908	G/A	108,681	75%	Adipose	6,313	98%
8	GTEX-8	<i>CELA3A</i>	rs9187	C/T	162,318	73%	Tibial Nerve	1,155	100%
9	GTEX-8	<i>CELA3A</i>	rs12908	G/A	169,394	74%	Tibial Nerve	1,215	100%
10	GTEX-10	<i>CLPS</i>	rs3748050	T/C	80,019	47% C	Artery	1,117	99%

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2 One SNP site (rs7956809), was particularly informative. SNP rs7956809 (C/G),
3 located in *KRT4*, had a relatively low allelic variation, with only 5 individuals in the entire
4 GTEx cohort homozygous for the alternative allele (G). One sample (arbitrarily GTEX1)
5 was homozygous C at rs7956809 in both its DNA (VCF file) and matched esophagus
6 (RNA-Seq FASTQ data) (Fig. 1e). However, the rs7956809 SNP in the GTEX1
7 fibroblast sample was 87% G and 13% C. Six esophagus samples were sequenced on
8 the same day as the GTEX1 fibroblast sample. No other esophagus samples were
9 sequenced within 4 days. One of those six samples, GTEX2, was homozygous G at
10 rs7956809. The five other samples were homozygous C. This strongly implicates the
11 GTEX2 esophagus sample as the dominant contaminant of the GTEX1 fibroblast
12 sample.

13 We further investigated the relationship between the GTEX1 fibroblast sample
14 and the GTEX2 esophagus sample finding no clear connection. The two samples were
15 sequenced on different machines and in different flow cells. Of some interest, the
16 sequencing sample adapters (molecular indexes) were similar (Additional File 2: Table
17 S1).

18 **The extent of highly expressed, tissue-enriched gene contamination in GTEx**

1 After establishing that contamination exists in GTEx, identifying a temporal association
2 and polymorphism validation, we then attempted to address the extent of contamination
3 in the GTEx dataset. To characterize this we investigated the various levels of pancreas
4 enhanced gene expression in non-pancreatic tissue (Table 1). In the 10,298 non-
5 pancreas samples investigated, <0.5% had >10,000 read counts of PRSS1, the most
6 abundant pancreas gene (Table 3). However, at a threshold of >100 read counts, over
7 half of samples contained some PRSS1.

8
9 **Table 3** Extent of contamination of 11,092 non-pancreas samples by pancreas genes.

Gene	Read Count > 10,000	Read Count > 1,000	Read Count > 100
PRSS1	49 (0.44%)	782 (7.1%)	5802 (52.3%)
PNLIP	30 (0.27%)	278 (2.5%)	4511 (40.6%)
CELA3A	24 (0.22%)	253 (2.3%)	4102 (37.1%)
CLPS	13 (0.12%)	122 (1.1%)	2587 (23.3%)

10 Numbers indicate the amount of affected samples and their percentage

11 **PEER factor normalization does not fully correct for contamination**

12 The GTEx analysis pipeline uses probabilistic estimation of expression residuals
13 (PEER) factor to correct for possible confounders [20, 21]. This method identifies hidden
14 factors that explain much of the expression variability and can be used to normalize
15 RNA expression data. We focused on just one tissue, lung, and followed the GTEx
16 analysis pipeline to determine the extent to which PEER factor normalization can
17 identify and correct for this contamination. Sixty PEER factors were identified with the
18 top two identifying a difference between “in hospital” (short postmortem interval) and
19 “outside of hospital” (longer postmortem interval) deaths (Fig. 2a). This relationship is

1 consistent with our prior report of variation in lung [16]. Similar to the global findings of
2 Fig. 1, *PNLIP* expression was increased in lung samples sequenced on the same day
3 as a pancreas. Despite correcting for 35 or even 60 PEER factors, this difference was
4 not fully accounted for (Fig. 2b). Indeed, of five genes evaluated, only one gene (*KRT4*)
5 was fully corrected for by PEER factors (Table 4). We then explored if this lack of full
6 correction impacted eQTL analysis in the GTEx program.

7 **Table 4.** Significance of same-day sequencing of lung with contaminating tissues on
8 gene expression.

Gene	P. value before PEER correction	P. value after correcting for 35 PEER factors	P. value after correcting for 60 PEER factors	Beta estimate after correction
<i>PNLIP</i>	4.34e-14	1.38e-11	3.03e-06	0.54
<i>PRSS1</i>	6.29e-14	8.07e-11	5.18e-06	0.52
<i>CELA3A</i>	5.91e-14	8.78e-11	4.86e-06	0.52
<i>KRT4</i>	0.0034	0.055	0.22	0.15
<i>KRT13</i>	8.29e-17	3.70e-08	0.0050	0.36

10 P. values are shown before and after PEER correction.

11 Contamination affects GTEx eQTL reporting

12 Using the GTEx eQTL browser, we identified 75 tissues reported as having significant
13 eQTLs for the 19 genes listed in Table 1. Eight tissues matched the known dominant
14 expression patterns of the genes. An additional 25 tissues were deemed possible based
15 on expression patterns noted by RNA and protein immunohistochemistry in which
16 expression (in TPM) was above the basal level of all tissues. However, 42 inappropriate

1 tissues were identified as harboring eQTLs even though these genes are not natively
2 expressed in these tissues, appearing only as a result of contamination (Table 5).

3 **Table 5** Distribution of GTEx eQTLs by tissue type in contaminating genes

Genes	Appropriate Tissues	Possible tissues	Inappropriate tissues
<i>PRSS1</i>	--	Small intestine	Liver, coronary, skin, lung
<i>PNLIP</i>	--	--	--
<i>CPA1</i>	--	--	Coronary
<i>GP2</i>	--	--	Brain
<i>CELA3A</i>	Pancreas	Stomach	Liver
<i>KRT13</i>	Vagina	--	Lung
<i>PGC</i>	--	Lung, pancreas	Tibial artery
<i>KRT4</i>	Esophagus	Skin, lung	Colon, brain, thyroid
<i>PRL</i>	--	--	Gastroesophageal junction, skin, tibial artery
<i>LIPF</i>	Stomach	--	--
<i>CLPS</i>	Pancreas	--	--
<i>CTRB2</i>	Pancreas	--	Aorta, brain, lung, thyroid
<i>FGA</i>	Liver	Stomach	--
<i>HP</i>	--	Whole blood, adipose (2), artery (3), lung, tibial nerve	brain, esophagus mucosa, heart,
<i>CKM</i>	--	--	Aorta, whole blood
<i>FGG</i>	Liver	Lung, adrenal	--
<i>MYBPC1</i>	--	Heart, prostate, brain (2)	Esophagus (2), colon, lung, thyroid
<i>MYH2</i>	--	--	Colon, lung
<i>ZG16B</i>	--	Skin (2), stomach, prostate, colon	Adipose, adrenal, esophagus, fibroblasts, lung, pituitary, spleen, testis, thyroid, whole blood

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5 **Non-GTEx data sets confirm contamination**

6 To determine if highly-expressed tissue-enriched contamination is a feature of
7 sequencing in general, we searched for RNA-Seq datasets that had similar protocols to
8 GTEx, that both included or did not include pancreas samples. We identified an HPA
9 sequencing study which included pancreas [22] and a pharmacogenetics study which

1 did not include pancreas [23]. Both studies were sequenced on Illumina 2000 or 2500
2 sequencers. The HPA study multiplexed their samples, 15 per lane, but the
3 pharmacogenetics study did not report multiplexing. These data sets demonstrate
4 *PRSS1* contamination of the HPA data (N=19), with essentially no *PRSS1*
5 contamination in the pharmacogenetics study (N=74) (Fig. 2c).

6

7 Discussion

8 The GTEx dataset represents an ideal resource to study sequence
9 contamination. Its 11,000+ samples from 700+ individuals from a diverse set of tissues
10 with all library preparation and sequencing performed at one center is unique. During
11 our initial variation analysis of 46 tissues spanning 10,294 samples, we detected a
12 variable signal of pancreas genes in 24 of those tissues. From there we noticed genes
13 that were highly expressed in esophagus, stomach, pituitary and other tissues also
14 appearing in shared clusters across unrelated tissues. These highly expressed, tissue-
15 enriched genes were found at low, variable levels in other organs and represented
16 some of the most frequent causes of variation between samples of the same tissue
17 type.

18 We found that contamination is best linked to the date of sequencing (linear
19 regression model, $p = 2.66e-75$). However, both due to contamination being noted in
20 some samples that are sequenced a few days apart from a possible contaminating
21 source and the SNP-based evidence, we suspect the majority of the contamination

1 1 occurred during library preparation rather than the sequencing itself. Library preparation
2 2 dates were not documented (personal communication, GTEx Help Desk).

3 3 A variety of contamination causes have been reported, all of which could have
4 4 had some role in our findings. Contamination during the collection of samples from
5 5 individuals is possible, especially if non-disposable tools such as forceps are not
6 6 cleaned properly in between collections [24]. During tissue manipulation, a “floater” or
7 7 tiny piece of tissue could end up in the fixation kit (PAXgene) [24]. Although we did not
8 8 see either type of contamination, it would be the hardest to prove due to the shared
9 9 genotype.

10 10 While the nucleic acid isolation date was only modestly associated with
11 11 contamination, physical contamination can easily occur at this stage. GTEx RNA
12 12 isolation was manually done in batches of 12 tissues, purposefully with a mix of donors
13 13 and tissues to minimize batch effects. Samples were individually cut and placed into
14 14 cryovials for homogenization, followed by further manipulations [25].

15 15 At the stage of library preparation or sequencing where our data indicates most
16 16 of the contamination occurred, there are multiple steps that could be implicated. The
17 17 library preparation was completed automatically in 96 well plates with a mix of tissues
18 18 and individuals to prevent batch effects [25]. Fluidic carryover could have occurred here.
19 19 At the sequencing level, a major concern is index contamination where index
20 20 oligonucleotides used for multiplexing can ligate to other sample transcripts, thus
21 21 contaminating the data after demultiplexing. Index based contamination is machine and
22 22 lane specific and can even occur at the creation of the indexes when multiple indexes
23 23 are purified on the same high-performance liquid chromatography column [26].

1 1 Additionally, if steps to clean libraries of free adapters/primers are not properly
2 2 executed, the remaining indexes can contaminate clusters in the flow cells [11].
3 3 Molecular recombination of indexes during sequencing can also lead to read
4 4 misassignment as multiplex clusters can become contaminated by other samples that
5 5 acquire the indices of the native sample (index hopping). GTEx's use of dual indices
6 6 reduces the amount of index hopping that can occur [25, 26].

7 7 Using other sequencing datasets with similar sequencing methods, HPA and the
8 8 pharmacogenetics study, we validated that it is contamination, not low-level
9 9 transcription, which causes these unusual expression findings. This also shows the
10 10 generalizability of this type of contamination regardless of the labs in which they take
11 11 place.

12 12 So how big is the contamination problem? It depends on how the data is to be
13 13 used. Fortunately, in the GTEx data, the levels are overall low with only 0.46% of
14 14 samples having relatively high levels of *PRSS1*. Thus, for many uses of GTEx data, this
15 15 level is irrelevant. However, for groups that are investigating differential expression in
16 16 the GTEx dataset, these genes will repeatedly appear due to their variable levels of
17 17 contamination. As well, we note that the GTEx standard normalization pipeline using
18 18 PEER factors did not entirely eliminate this source of variation and an abundance of
19 19 eQTLs that were identified for the 19 genes described herein were located in incorrect
20 20 tissues (84%).

21 21 Many publications have reported rare, but variable gene expression in their
22 22 samples claiming their importance or disease-related behaviors [27]. Our findings call
23 23 these reports into question. The extent of cross-contamination, where one laboratories'

1 samples get prepped and sequenced at the same time as a different laboratories'
2 unrelated samples through a university core sequencing facility or sequencing company
3 is unknown, but likely frequent [28, 29]. The xenomiR story, that rice miRNAs are found
4 in human blood through dietary means [30], was shown to result from library preparation
5 contamination [31, 32]. Also, our work supports that work flows must be considered
6 carefully in very-low DNA mutation detection analysis in clinical cancer samples as
7 samples with higher tumor burdens may contaminate samples with lower tumor burdens
8 and falsely suggest treatment approaches [33, 34]. Specific to GTEx, their data is
9 available in many outlets including the UCSC Genome Browser and variable, low-level
10 expression of *PRSS1*, *CELA3A* and others may falsely intrigue researchers, particularly
11 within the reported eQTLs.

30
31 **Conclusion**
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35 We described low-level, variable expression contamination in the GTEx RNA-
36
37 Seq dataset. The contamination was most noticeable for 19 highly-expressed, tissue-
38 enriched genes. This contamination strongly correlates with the library preparation and
39 sequencing of the samples. Similar contamination was observed in the HPA dataset,
40 suggesting a universality to this type of contamination. Evaluating low-level variable
41 gene expression in RNA-Sequencing data sets must be performed with precaution and
42 awareness of potential sample contamination.

51
52 **Methods**
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55 **21 Retrieval of GTEx RNA-Seq dataset, FASTQ files, and sample Data**
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1 The gene read counts of the RNA-Seq GTEx version 7 dataset (GTEx_Analysis_2016-
2 01-15_v7_RNASeQCv1.1.8_gene_reads.gct.gz) were downloaded from the GTEx
3 Portal (<https://gtexportal.org/home/datasets>), along with the de-identified sample
4 annotations (GTEx_v7_Annotations_SampleAttributesDS.txt). From dbGaP with the
5 required permissions, the FASTQ files of the tissue samples and the variant call file
6 (VCF) files of appropriate individuals were downloaded.

7 **Retrieval of Human Protein Atlas tissue enriched gene list**

8 We obtained the HPA tissue enriched genes by downloading a CSV file from this filtered
9 site
10 (https://www.proteinatlas.org/search/tissue_specificity_rna:any;Tissue%20enriched+AND+sort+by:tissue+specific+score, visited on 6/21/18).

12 **Bulk sequencing processing**

13 The acquired raw read counts were segmented into separate tissue subsets (48
14 tissues with ≥ 70 samples each) and their read counts were normalized using the
15 Variance Stabilizing Transformation feature in DESeq2 version 1.22.1 in R version 3.5.1
16 [17]. This method incorporates estimated size factors based on the median-ratio
17 method, and transformed by the dispersion-mean relationship. We then filtered the
18 56,202 genes based on their mean expression (mean transformed count > 5) to reduce
19 noise and lessen the inflated effect of low expressing genes on correlations.

20 **Identification of highly variable genes and clusters**

21 All analyses were completed in R version 3.5.1 (2018/07/02). In each tissue a
22 threshold of a >4 variance of normalized read counts was used as our cut off for highly

1 variable transcripts. These genes were then clustered using hierachal clustering on a
2 distance generated by 1 - Kendall's rank-correlation coefficient. A tau critical value was
3 calculated based on the number of samples and genes expressed. The correlation-
4 based dendrogram was cut to produce gene clusters with average within cluster
5 correlation of at least the tau critical value.

6 **Calculation of average gene expression Z-Scores**

7 Approximate z-scores were calculated by subtracting the mean expression and
8 dividing by the median absolute deviation of the expression values for each gene across
9 all samples within a given tissue. These Z-scores provide a standard measure of
10 expression for all genes and allow one to summarize the expression of a gene cluster in
11 a sample by the average Z-score of the genes in that cluster.

12 **Base pair incongruency analysis**

13 Base pair incongruency analysis required a contaminated tissue expression
14 FASTQ, a native tissue expression FASTQ, and the individual's VCF file. FASTQ files
15 were mapped to the Genome Reference Consortium Human Build 37 (hg19) using the
16 software HISAT2 version 2.1.0 [35]. The output SAM files were turned into BAM files
17 and indexed using samtools version 1.9 [36, 37]. Preliminary analysis and development
18 of figures were generated using the Integrative Genome Viewer version 2.4.13 [38, 39]).
19 Protein coding SNPs, rare variants, and personal variants (collectively referred to as
20 variants in this paper), were manually selected using IGV as a reference. Using the tool
21 bam-readcount version 0.8.0 in combination with a Python 3.6.2 script, a list of RNA-
22 Seq and genomic incongruencies were generated for the acquired sample BAM files.

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1 PEER factor analysis

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2 We obtained the GTEx RNA-Seq dataset from lung (N=427). The data underwent

3 trimmed mean of m-values (TMM) normalization and filtering out of lowly expressed

4 genes (< 0.1 TPM for 80% or more of the samples) before running PEER to identify

5 potential confounders [20]. Following GTEx's pipeline

6 (<https://gtexportal.org/home/documentationPage#staticTextAnalysisMethods> visited),

7 we then performed an inverse normal transformation (INT) on the expression values for

8 each gene in order to reduce the effect of outliers [21]. Z-scores for each gene are

9 based on TMM-normalization, inverse-normal transformation, and scaling/centering at

10 zero.

11 Cross-referencing eQTLs with contamination findings

12 We obtained and tallied eQTL reports for the 19 genes in Table 1 from the GTEx

13 eQTL browser (<https://gtexportal.org> visited on March 26, 2019). eQTLs were identified

14 by tissue association and conservatively placed in one of three categories: appropriate

15 expression, possible expression, and inappropriate expression. The appropriateness of

16 expression in any tissue was based on the evaluation of TPM levels in the tissue and

17 immunohistochemistry staining patterns as noted in the Human Protein Atlas [40].

18 Acquiring Human Protein Atlas and Pharmacogenetic Study Variation RNA-Seq

19 Data

20 Using the R package recount version 1.8.2, we downloaded HPA RNA-Seq data,

21 accession ERP003613 [22], and the RNA-Seq data of a pharmacogenetic

22 transcriptomic study, accession SRP060355 [23]. The HPA RNA-Seq was performed

1 across 27 tissues including the pancreas and the pharmacogenetic RNA-Seq was
2 across 4 tissues not including pancreas. We filtered samples down to only the shared
3 tissues of liver, heart, and adipose.

4 **Additional files**

5 **Additional File 1:** Figure S1 A correlation heatmap of the highly variable gene clusters
6 in 343 transformed fibroblast samples. Red shows a positive correlation. Genes within
7 the contamination cluster are given. A, B and C represent other groups of co-variable
8 genes.

9 **Additional File 2:** Table S1: A technical comparison of the GTEx1 fibroblast sample
10 and its main contaminating GTEx2 esophagus sample.

11 **Figure Legends –**

12 **Fig. 1** Identification and explanation of sequencing contamination **a** A correlation
13 heatmap of highly variable subcutaneous adipose tissue genes across 442 subjects.
14 Red shows a positive correlation. The genes within the contamination cluster and the
15 sex cluster are given. Clusters A, B, and C represent other groups of co-variable genes.
16 **b** Z-score values of non-pancreas tissue sample *PRSS1* reads coded by relationship to
17 being sequenced on the same day as a pancreas tissue. ($p < 1.21e-67$, linear model)
18 over ~3 years. **c** Violin plot of the same data showing a strong, but not complete
19 correlation of sequencing on a pancreas day. **d** Ranked order of all samples either
20 sequenced on the same day as a pancreas sample (black) or on a non-pancreas
21 sequencing day (colors) for *PRSS1* in log10. Among samples not sequenced on a
22 pancreas day, 91% of samples with >100 reads were sequenced within 4 days of a

1 known sequenced pancreas. The dashed line represents 100 reads. **e** Contamination of
2 GTEX1's fibroblast RNA-Seq predominately came from GTEX2. By DNA and RNA of
3 the appropriate tissue source of *KRT4*, sample GTEX1 is homozygous for the C allele at
4 rs7956809. The fibroblast sample is 87% G reads, primarily matching sample GTEX2.
5 The read count depth at the SNP in the GTEX1 esophagus was 85,803 and 204 for the
6 GTEX1 fibroblast.

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8 **Fig. 2** Impact of PEER factors on contamination. **a** The top two PEER factors separated
9 in hospital from out of hospital deaths. **b** With no PEER factor correction there is a
10 significant increase in *PNLIP* expression Z-scores in lung samples if sequenced on the
11 same day as a pancreas (No = 96, Yes = 331; $p = 4.34\text{e-}14$). After 35 ($p = 1.38\text{e-}11$) or
12 60 ($p = 3.03\text{e-}06$) PEER factor corrections, the difference remained. **c** *PRSS1*
13 contamination across three data sets. Only in data sets where pancreas was collected
14 and sequenced (GTEX and HPA) are there notable contaminating PRSS 1 reads. Key:
15 Pharma = Pharmacogenomics data set.

16
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4 **Availability of data and material** – All data used in this study is available through
5 dbGap or recount2.

6 **Authors contributions** – M.K.H., M.N.M and A.Z.R conceived of the experiments and
7 assisted with the manuscripts. T.O.N. performed the experiments, analyzed the data
8 and wrote the manuscript. S.Y., V.P. and D.E.A. performed experiments and assisted
9 on the manuscript.

10 **Ethics Approval** – All human data was publicly available or used with approval of the
11 GTEx consortium. Consent was obtained by those studies.

12 **Competing interests** – The authors declare no competing interests.

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Figure 1

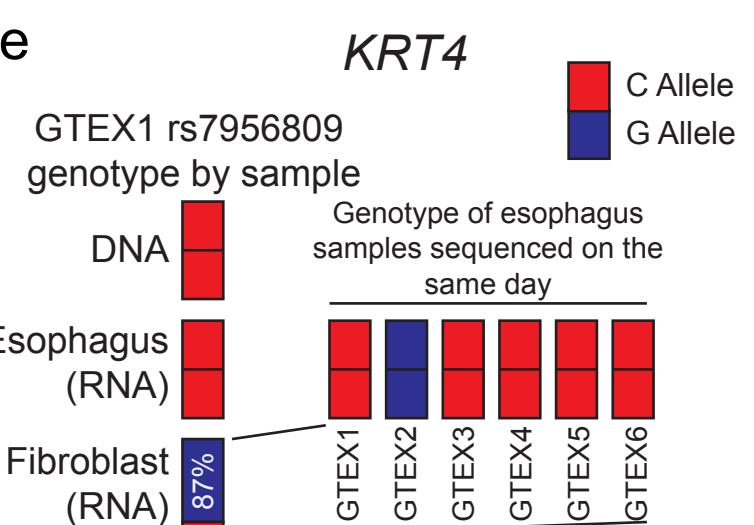
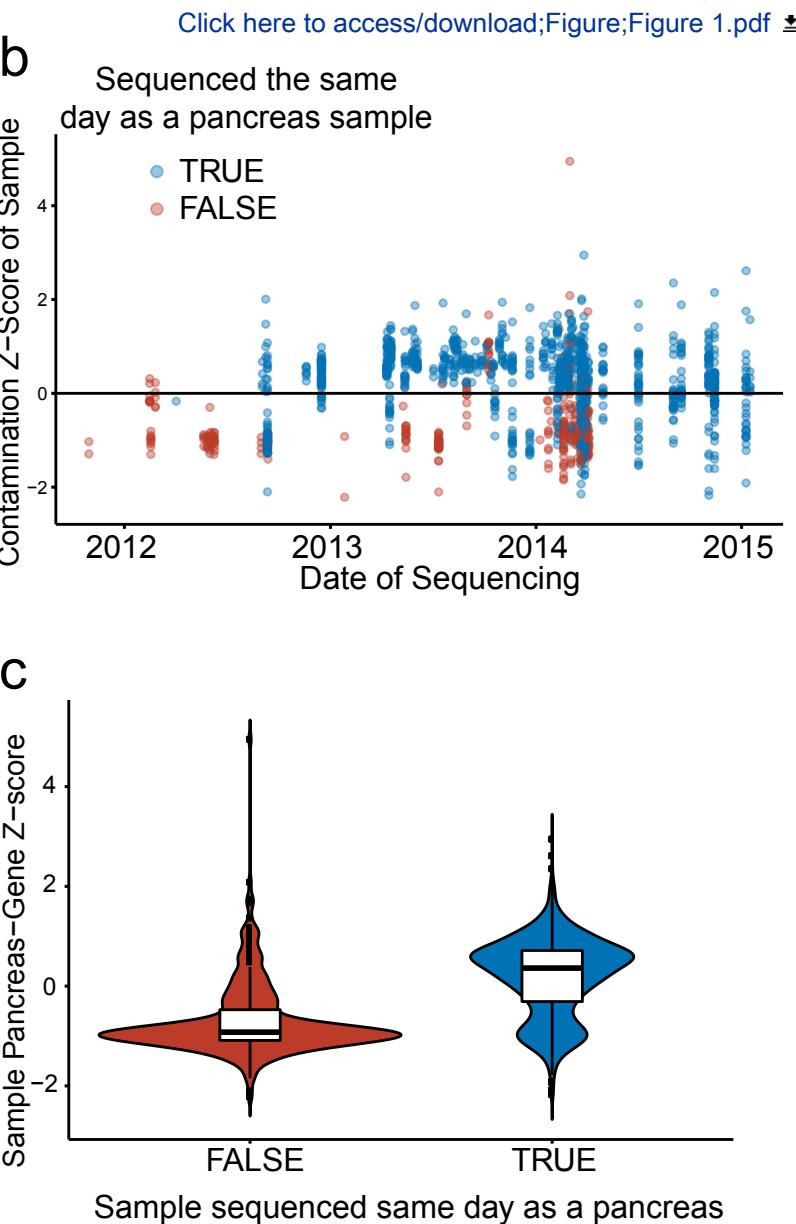
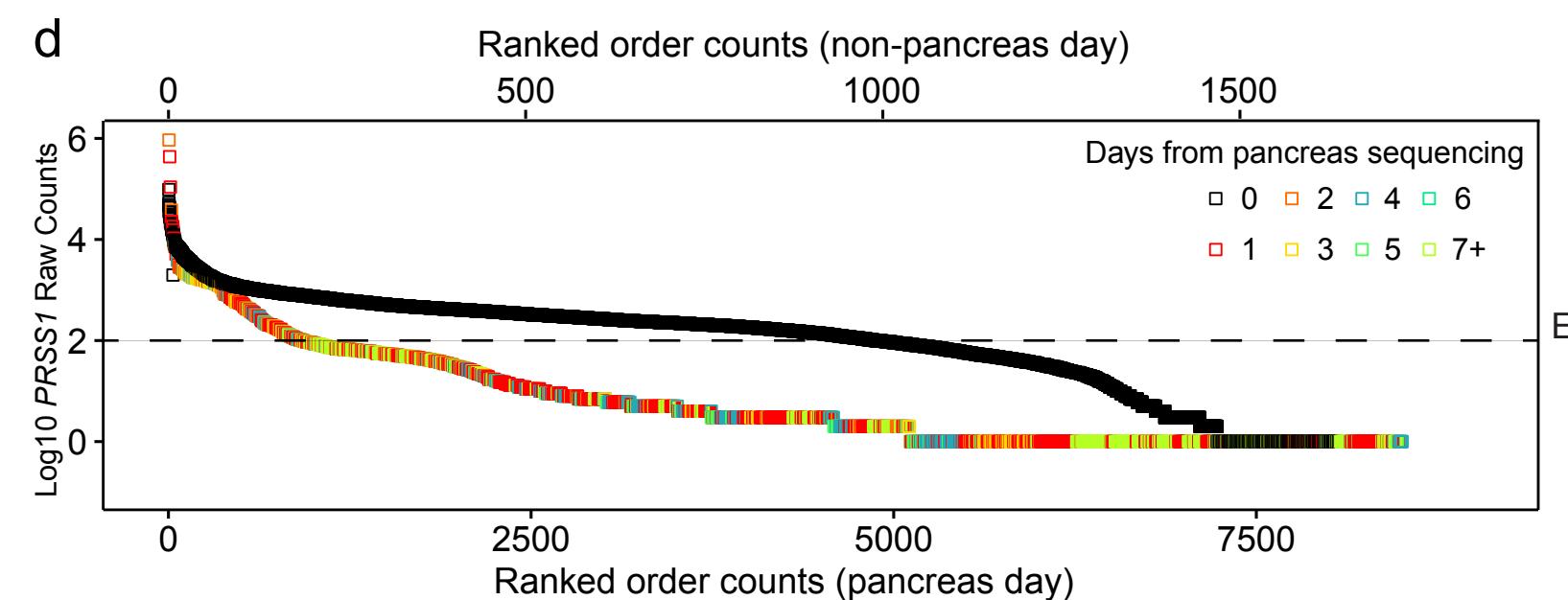
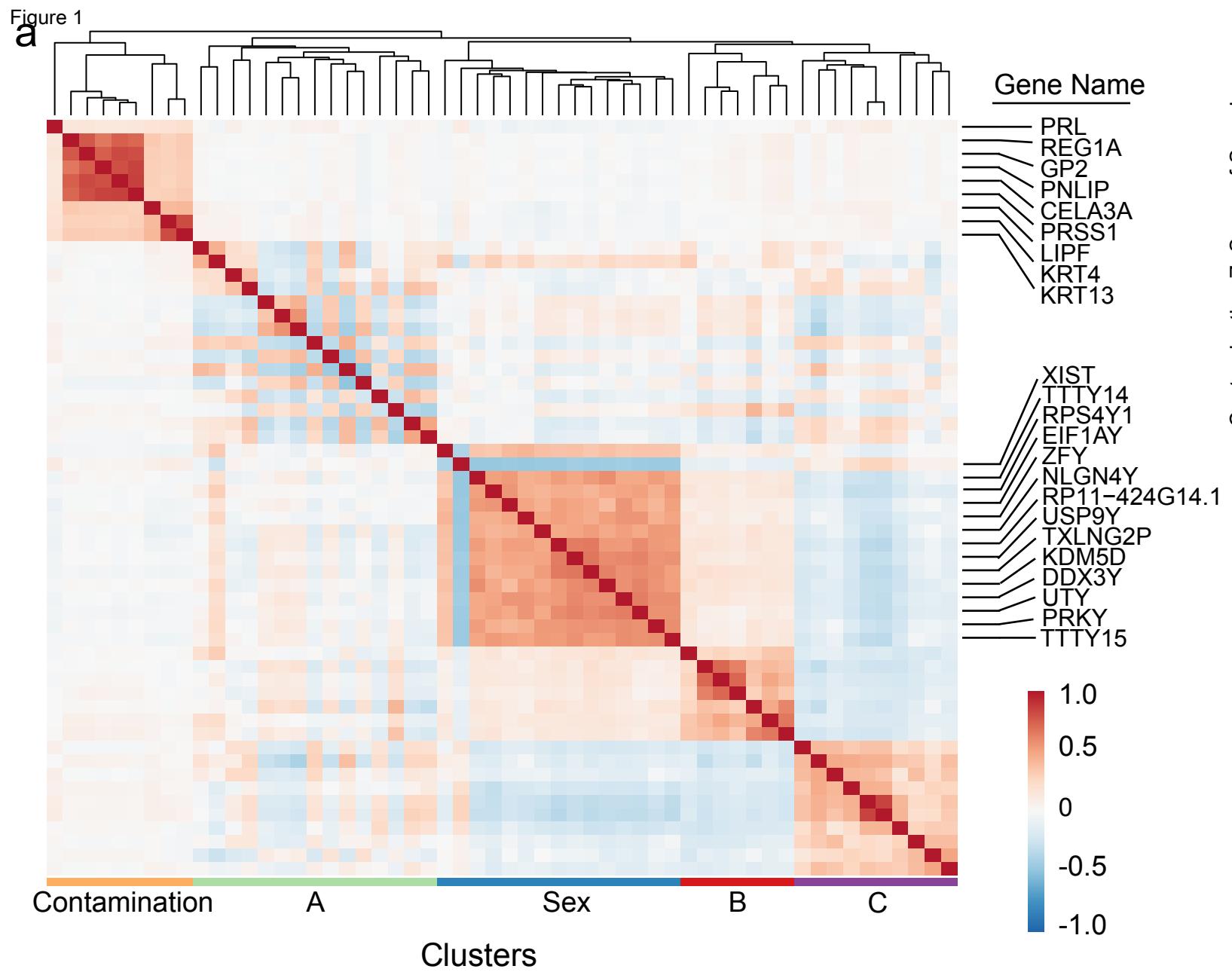
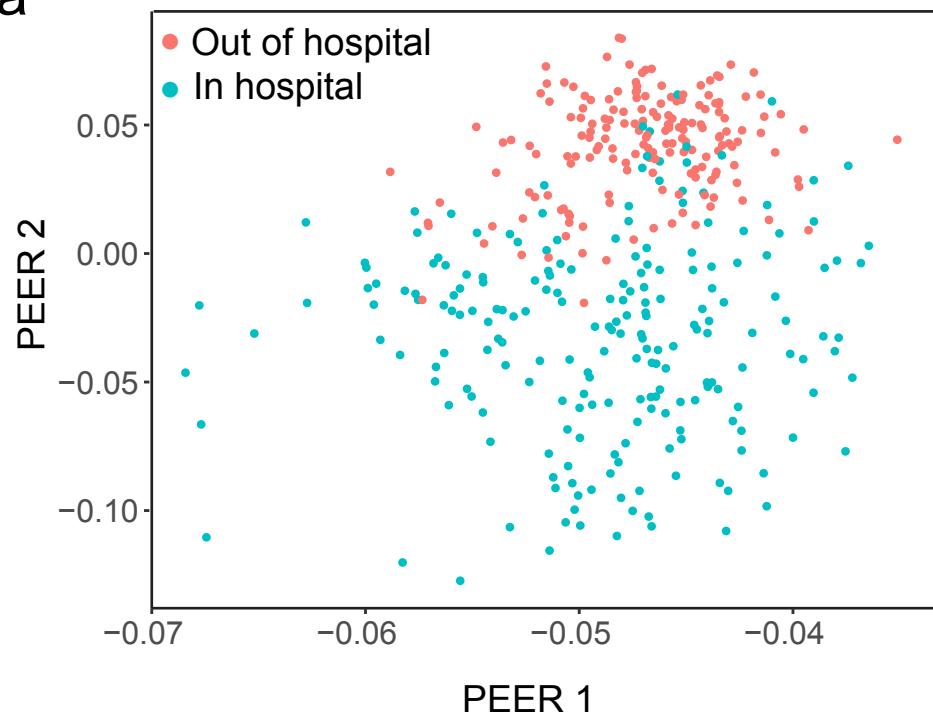
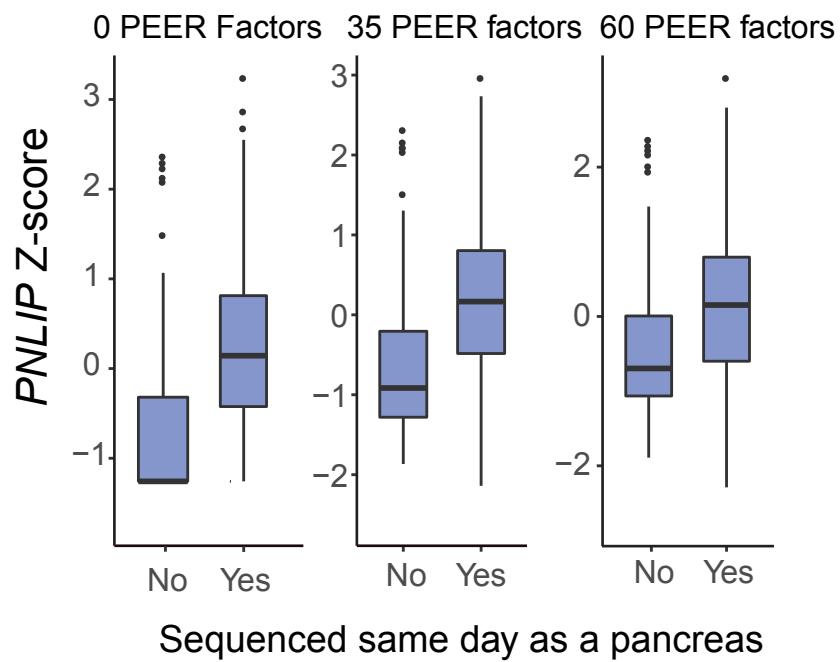


Figure 2

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