

Dilated Saliency U-Net for White Matter Hyperintensities Segmentation using Irregularity Age Map

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2 ABSTRACT

3 White matter hyperintensities (WMH) appear as regions of abnormally high signal intensity
4 on T2-weighted magnetic resonance image (MRI) sequences. In particular, WMH have been
5 noteworthy in age-related neuroscience for being a crucial biomarker for all types of dementia
6 and brain aging processes. The automatic WMH segmentation is challenging because of their
7 variable intensity range, size and shape. U-Net tackles this problem through the dense prediction
8 and has shown competitive performances not only on WMH segmentation/detection but also
9 on varied image segmentation tasks. However, its network architecture is highly complex. In
10 this study, we propose the use of Saliency U-Net and irregularity age map (IAM) to decrease
11 the U-Net architectural complexity without performance loss. We trained Saliency U-Net using
12 both: a T2-FLAIR MRI sequence and its correspondent IAM. Since IAM guides locating image
13 intensity irregularities, in which WMH are possibly included, in the MRI slice, Saliency U-Net
14 performs better than the original U-Net trained only using T2-FLAIR. The best performance was
15 achieved with fewer parameters and shorter training time. Moreover, the application of dilated
16 convolution enhanced Saliency U-Net by recognising the shape of large WMH more accurately
17 through multi-scale context learning. This network named Dilated Saliency U-Net improved Dice
18 coefficient score to 0.5588 which was the best score among our experimental models, and
19 recorded a relatively good sensitivity of 0.4747 with the shortest training time and the least
20 number of parameters. In conclusion, based on our experimental results, incorporating IAM
21 through Dilated Saliency U-Net resulted an appropriate approach for WMH segmentation.

22 **Keywords:** White matter hyperintensities, Irregularity age map, Saliency U-Net, MRI, Segmentation, generative adversarial networks,
23 deep learning

1 INTRODUCTION

24 White matter hyperintensities (WMH) are commonly identified as signal abnormalities with intensities
25 higher than other normal regions on the T2-FLAIR magnetic resonance imaging (MRI) sequence. WMH
26 have clinical importance in the study and monitoring of Alzheimer's disease (AD) and dementia progression
27 (Gootjes et al., 2004). Higher volume of WMH has been found in brains of AD patients compared to age-
28 matched controls, and the degree of WMH has been reported more severe for senile onset AD patients than
29 presenile onset AD patients (Scheltens et al., 1992). Furthermore, WMH volume generally increases with
30 the advance of age (Raz et al., 2012; Jagust et al., 2008). Due to their clinical importance, various machine
31 learning approaches have been implemented for the automatic WMH segmentation (Admiraal-Behloul
32 et al., 2005; Bowles et al., 2017).

33 Limited One-Time Sampling Irregularity Map (LOTS-IM) is an unsupervised algorithm for detecting
34 tissue irregularities, that successfully has been applied for segmenting WMH on brain T2-FLAIR images
35 (Rachmadi et al., 2019). Without any ground-truth segmentation, this algorithm produces a map which
36 describes how much each voxel is irregular compared with an overall area. This map is usually called

37 “irregularity map” (IM) or “irregularity age map” (IAM). The concept of this map was firstly suggested in
38 the field of computer graphics to calculate pixel-wise “age” values indicating how weathered/damaged
39 each pixel is compared to the overall texture pattern of an image (Bellini et al., 2016). Rachmadi et al. then
40 proposed a similar approach to calculate the irregularity level of WMH with respect to the “normal” tissue
41 in T2-FLAIR brain MRI (Rachmadi et al., 2017, 2018b). As WMH highlight irregular intensities on T2-
42 FLAIR MRI slices, IAM can be also used for WMH segmentation. Although performing better than some
43 conventional machine learning algorithms, LOTS-IM still underperforms compared to state-of-the-art deep
44 neural networks. This is mainly because IAM essentially indicates irregular regions, including artefacts,
45 other pathological features and some grey matter regions, in addition to WMH. However, considering IAM
46 depicts irregularities quite accurately and can be generated without a training process, we propose to use
47 IAM as an auxiliary guidance map of WMH location for WMH segmentation.

48 Recently, the introduction of deep neural networks, the state-of-art machine learning approach, has
49 remarkably increased performances of image segmentation and object detection tasks. Deep neural networks
50 outperform conventional machine learning approaches in bio-medical imaging tasks as well as general
51 image processing. For example, Ciresan et al. built a pixel-wise classification scheme that uses deep neural
52 networks to identify neuronal membranes on electron microscope (EM) images (Ciresan et al., 2012). In
53 another study, Ronneberger et al. proposed a new deep neural network architecture called U-Net for
54 segmenting neuronal structures on EM images (Ronneberger et al., 2015).

55 In medical images’ segmentation tasks, U-Net architecture and its modified versions have been massively
56 popular due to the end-to-end segmentation architecture and high performance. For instance, a U-Net-based
57 fully convolutional network was proposed to automatically detect and segment brain tumors using multi-
58 modal MRI data (Dong et al., 2017). A 3D U-Net for segmenting the kidney structure in volumetric images
59 produced good quality 3D segmentation results (Çiçek et al., 2016). UResNet, which is a combination
60 of U-Net and a residual network, was proposed to differentiate WMH from stroke lesions (Guerrero
61 et al., 2018). Zhang et al. trained a randomly initialised U-Net for WMH segmentation and improved the
62 segmentation accuracy by post-processing the network’s results (Zhang et al., 2018b).

63 While there have been many studies showing that U-Net performs well in image segmentation, it has one
64 shortcoming that is long training time due to its high complexity (Briot et al., 2018; Zhang et al., 2018a). To
65 ameliorate this problem, Karargyros et al. suggested the application of regional maps as an additional input,
66 for segmenting anomalies on CT images, and named their architecture Saliency U-Net (Karargyros and
67 Syeda-Mahmood, 2018). They pointed out that extraction of relevant features from images unnecessarily
68 demands very complex deep neural network architectures. Thus, despite neural networks architecture
69 with large number of layers being able to extract more appropriate features from raw image data, it often
70 accompanies a long training time and causes overfitting. Saliency U-Net has regional maps and raw images
71 as inputs, and separately learns features from each data. The additional features from regional maps add
72 spatial information to the Saliency U-Net, which successfully delineates anomalies better than the original
73 U-Net with less number of parameters (Karargyros and Syeda-Mahmood, 2018).

74 Another way to improve the segmentation performance of deep neural networks is through the
75 recognition of the multi-scale context image information. Multi-scale learning is important particularly
76 for detection/segmentation of objects with variable sizes and shapes. A dilated convolution layer was
77 proposed to make deep neural networks learn multi-scale context better (Yu et al., 2017). Using dilated
78 convolution layers, an architecture can learn larger receptive fields without significant increase in the
79 number of parameters. Previous studies have reported improvements using dilated convolution layers in
80 medical image processing tasks (Lopez and Ventura, 2017; Moeskops et al., 2017).

81 In this paper, we propose to use IAM as an additional input data to train a U-Net neural network
82 architecture for WMH segmentation, owed to the fact that LOTS-IM can easily produce IAM without the
83 need for training using manually marked WMH ground-truth data. U-Net architecture is selected as a base
84 model for our experiments as it has shown the best learning performance using IAM (Rachmadi et al.,
85 2018a). To address the incorporation of IAM to U-Net for WMH segmentation, we propose feed-forwarding
86 IAM as regional map to a Saliency U-Net architecture. We also propose combining Saliency U-Net with
87 dilated convolution to learn multi-scale context from both T2-FLAIR MRI and IAM data, in a scheme we
88 name Dilated Saliency U-Net. We compare the original U-Net’s performance with the performances of
89 Saliency U-Net and Dilated Saliency U-Net on WMH segmentation.

90 Consequently, the contributions of our work can be summarised as follows:

91 • Proposing the use of IAM as an auxiliary input for WMH segmentation. T2-FLAIR MRI and IAM
92 complement each other when they both are used as input to the neural network, addressing challenging
93 cases especially those with few small WMH.
94 • Integration of Saliency U-Net and dilated convolution for WMH segmentation; which showed more
95 detailed boundary delineation of large WMH. It also attained the best Dice coefficient score compared
96 to our other experimental models.

2 MATERIALS AND METHODS

97 2.1 Dataset

98 MRI can produce different types of images to display normal tissues and different types of clinical
99 abnormalities. It is desirable to choose suitable image types considering the properties of biomarkers or
100 diseases targeted in the segmentation task. T2-weighted is one of the MRI sequences that emphasises fluids
101 as bright intensities. The bright intensity of fluids makes WMH difficult to identify in this MRI modality
102 because WMH are also bright on T2-weighted. T2-fluid attenuated inversion recovery (T2-FLAIR) removes
103 cerebrospinal fluid (CSF) signal from the T2-weighted sequence, increasing the contrast between WMH
104 and other brain tissues. Therefore, we have chosen T2-FLAIR MRI as the main source of image data for
105 our experiments.

106 We obtained T2-FLAIR MRI sequences from the public dataset *the Alzheimer's Disease Neuroimaging*
107 *Initiative* (ADNI)¹ which was initially launched by Mueller et al. (Mueller et al., 2005). This study has
108 mainly aimed to examine combinations of biomarkers, MRI sequences, positron emission tomography
109 (PET) and clinical-neuropsychological assessments in order to diagnose the progression of mild cognitive
110 impairment (MCI) and early AD. From the whole ADNI database, we randomly selected 60 MRI scans
111 collected for three consecutive years from 20 subjects with different degrees of cognitive impairment in
112 order to evaluate the applicability of our proposed scheme not only for cross-sectional studies but also for
113 longitudinal analyses of WMH. Each MRI scan has dimensions of $256 \times 256 \times 35$. We describe how train
114 and test dataset are composed in Section 2.8.

115 Ground truth masks were semi-automatically produced by an experienced image analyst using a
116 thresholding algorithm combined with region-growing in the Object Extractor tool of AnalyzeTM software.
117 This semi-automatic WMH segmentation used the T2-FLAIR images. Intracranial volume (ICV) and CSF
118 masks were generated automatically using optiBET (Lutkenhoff et al., 2014), and a multispectral algorithm
119 developed in-house (Hernández et al., 2015) respectively. Full details and binary WMH reference masks
120 can be downloaded from the University of Edinburgh DataShare repository².

121 2.2 Irregularity Age Map (IAM)

122 As described in Section 1, the concept of IAM was proposed with the development of the LOTS-IM
123 algorithm and its application to the task of WMH segmentation (Rachmadi et al., 2017, 2018b, 2019). This
124 algorithm was inspired by the concept of "age map" proposed by Bellini and colleagues while calculating
125 the level of weathering or damage of pixels compared to the overall texture pattern on natural images
126 (Bellini et al., 2016). Rachmadi et al. adopted this principle to compute the degree of irregularity in brain
127 tissue from T2-FLAIR MRI.

128 In this study, the GPU-powered LOTS-IM algorithm (Rachmadi et al., 2019)³ was used to generate IAM
129 from all scans. The steps of the LOTS-IM algorithm are as follows. Source and target patches are extracted
130 from the MRI slices with four different sizes (i.e., 1×1 , 2×2 , 4×4 and 8×8) to capture different
131 details in the brain tissues (Rachmadi et al., 2017). All grid fragments consisting of $n \times n$ sized patches are
132 regarded as *source patches*. On the other hand, *target patches* are picked at random locations within the
133 brain. Thus, non-brain target patches, located within the CSF mask or outside the ICV mask, are excluded
134 from computation. Then, the difference between each source patch and one target patch on the same slice

¹ <http://adni.loni.usc.edu/>

² <https://datashare.is.ed.ac.uk/handle/10283/2214>

³ <https://github.com/febrrianrachmadi/lots-iam-gpu>

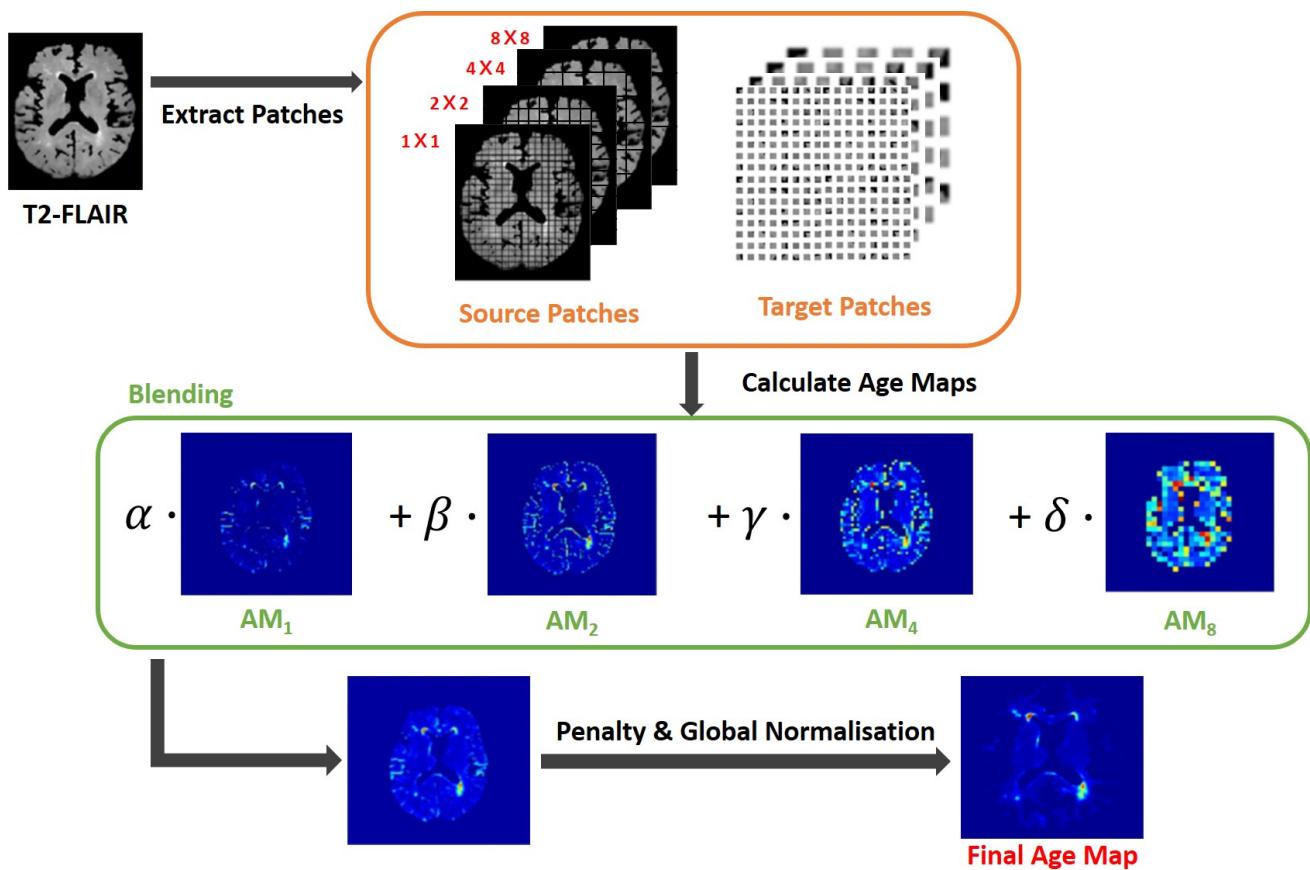


Figure 1. Flow chart illustrating the LOTS-IM algorithm proposed by Rachmadi and colleagues (Rachmadi et al., 2019) applied to WMH segmentation. This study uses the final map generated by this algorithm, and refers to it as “IAM data”.

135 is calculated by Eq 1;

$$difference = \theta \cdot |max(s - t)| + (1 - \theta) \cdot |mean(s - t)| \quad (1)$$

136 where s and t mean source patch and target patch respectively, also θ was set to 0.5 (Rachmadi et al.,
137 2018b). After difference values between a source patch and all target patches are calculated, the 100 largest
138 difference values are averaged to become the *age value* of the corresponding source patch (Rachmadi et al.,
139 2017). The rationale is that the average of the 100 largest difference values produced by an “irregular”
140 source patch is still comparably higher than the one produced by a “normal” source patch (Rachmadi et al.,
141 2017, 2018b). Furthermore, the age value is computed only for source patches within the brain to reduce
142 the computational complexity. All age maps from four different patch sizes are, then, normalised to have
143 normalised age values between 0 and 1; and each of them is up-sampled into its original image size and
144 smoothed by a Gaussian filter. The final age map is produced by blending these four age maps using the Eq
145 2;

$$Final\ age\ map = \alpha \cdot AM_1 + \beta \cdot AM_2 + \gamma \cdot AM_4 + \delta \cdot AM_8 \quad (2)$$

146 where AM_x means the age map of $x \times x$ sized patches and $\alpha + \beta + \gamma + \delta = 1$. In this study, $\alpha = 0.65$,
147 $\beta = 0.2$, $\gamma = 0.1$ and $\delta = 0.05$ (Rachmadi et al., 2019). Finally, the final age map is penalised by
148 multiplying the original T2-FLAIR image slice to reflect only the high intensities of WMH, and globally
149 normalised from 0 to 1 over all brain slices. The overall steps are schematically illustrated in Figure 1.

150 Though regarded as WMH segmentation map in the original studies, IAM essentially calculates the
151 probability of each voxel to constitute an irregularity of the “normal” tissue. This irregular pattern includes
152 not only WMH but more features such as artefacts, T2-FLAIR hyperintensities of other nature, as well
153 as sections of the cortex that could be hyperintense. To compensate these flaws and take advantage of its

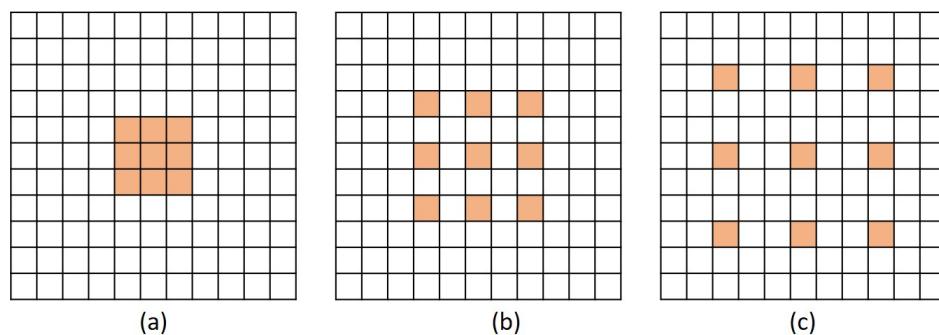


Figure 2. Examples of dilated convolution filter with 3×3 size. (a) Dilation factor = 1, (b) Dilation factor = 2 and (c) Dilation factor = 3.

154 usefulness, we developed a new scheme that uses IAM as an auxiliary guidance map for training deep
155 neural networks rather than using it for producing the final WMH segmentation.

156 **2.3 U-Net**

157 Since U-Net architecture was firstly presented (Ronneberger et al., 2015), various image segmentation
158 studies have used this architecture due to its competitive performance regardless of the targeted object types.
159 Different to the natural image segmentation, bio-medical image segmentation involves a more challenging
160 circumstance as lack of data for the training process is a common problem. U-Net deals with this challenge
161 with dense prediction of the input image using up-sampling layers that produce equal-sized input and
162 output. This approach was drew by fully convolutional networks (Long et al., 2015).

163 U-Net is comprised of two parts, the encoding part where feature maps are down-sampled by max-pooling
164 layers and the decoding part where the reduced size of feature maps are up-sampled to the original size. It
165 retains the localisation accuracy with the contracting path, which concatenates the feature maps stored in
166 the encoding part with the decoding part. These kept high resolution features help to restore the details of
167 localisation removed by max-pooling layer, when the feature maps are up-sampled in the decoding part.
168 The architecture is depicted in Figure 3 (a).

169 A drawback of U-Net is its large number of parameters. To restore the high resolution localisation, the
170 network should increase the number of feature channels in the decoding part. Training time and memory
171 usage are proportional to the number of parameters. So training a U-Net architecture is constrained by its
172 high consumption of time and memory. Moreover, the complexity of the (neural) network often induces the
173 problem of overfitting.

174 **2.4 Saliency U-Net**

175 Saliency U-Net was first introduced to detect anomalies in medical images using a combination of raw
176 (medical) images and simple regional maps (Karargyros and Syeda-Mahmood, 2018). Saliency U-Net
177 performed better than U-Net while using less number of parameters. An architecture with less number of
178 parameters is preferable as it is easier and faster to be trained. Karargyros and Syeda-Mahmood showed
179 that convolution layers are not needed to extract more relevant features from raw images if auxiliary
180 information from regional map is given as input. The Saliency U-Net architecture has two branches of
181 layers in the encoding part (Figure 3 (b)). Each branch extracts features from raw image and regional map
182 independently, and the extracted features are fused before the decoding part.

183 Segmentation results from Saliency U-Net in the original study (Karargyros and Syeda-Mahmood, 2018)
184 showed more precise localisation and better performance than the original U-Net, which contained a larger
185 number of convolutional layers. Therefore, for WMH segmentation, we propose to use Saliency U-Net
186 taking T2-FLAIR as raw input image and IAM as regional map.

187 **2.5 Dilated Convolution**

188 One common issue for image segmentation via deep neural networks is caused by the reduced size of
189 the feature maps in the pooling layer introduced to capture global contextual information. While pooling

190 layers are useful to get rid of some redundancies in feature maps, the lower size of feature maps after the
191 last pooling layer also causes loses of some of its original details/information, decreasing the segmentation
192 performance where the targeted regions are not spatially prevalent(Hamaguchi et al., 2018; Yu et al., 2017).

193 Dilated convolution solved this problem by calculating a convolution over a larger region without reducing
194 the resolution (Yu and Koltun, 2015). The dilated convolution layer enlarges a receptive field including k
195 skips between each input pixel. k is called *dilation factor*. In numerical form, a dilated convolution layer
196 with a dilation factor k and a $n \times n$ filter is formulated as follows:

$$F(r, c) = \sum_{i=-n}^{i=n} \sum_{j=-n}^{j=n} W(i, j)I(r + ki, c + kj) \quad (3)$$

197 Figure 2 (a)-(c) show examples of dilated convolution filters with dilation factors 1 to 3.

198 The additional advantage of dilated convolution is to widen the receptive field without increasing the
199 number of parameters. Large receptive fields learn the global context by covering a wider area over the
200 input feature map, but bring a memory leak and time consumption out for a growing number of parameters.
201 Dilation can expand the receptive field of the convolution layer as much as skipped pixels without extra
202 parameters. For instance, as shown in Figure 2 (a) and (c), the filter with dilation factor 3 has 7×7 sized
203 receptive field, while the filter with dilation factor 1 has 3×3 sized receptive field.

204 In this study, we propose the incorporation of dilated convolution to Saliency U-Net for WMH
205 segmentation. Since the size of WMH is variable, it is necessary to recognise different sizes of spatial
206 contexts for more accurate delineation of WMH. We believe that dilated convolutions can manage the
207 variable size of WMH from different sizes of receptive field.

208 **2.6 Our Experimental Models**

209 We examined three different U-Net models for which its original architecture was trained using input
210 data with different modalities: T2-FLAIR (model 1), IAM (model 2) and both (model 3). To feed both
211 T2-FLAIR and IAM together, we integrated T2-FLAIR and IAM as a two-channel input. As mentioned in
212 Section 2.3, U-Net architecture has encoding and decoding parts. In the encoding part, input images or
213 feature maps are down-sampled by max-pooling layers to obtain relevant features for WMH segmentation.
214 Then, in the decoding part, reduced feature maps are up-sampled again by up-sampling layers to acquire
215 the original size in the final segmentation map. Max-pooling and Up-sampling layers are followed by two
216 CONV blocks (yellow blocks in Figure 3). The CONV block contains a convolution layer, an activation
217 layer and a batch normalisation layer. Batch normalisation allows to train neural networks with less careful
218 initialisation and higher learning rate by performing normalisation at every batch (Ioffe and Szegedy, 2015).
219 All activation layers except the last one are ReLU (Nair and Hinton, 2010), but the last activation layer
220 calculates the categorical cross-entropy to yield a probability map for each label.

221 In addition, we trained Saliency U-Net and Dilated Saliency U-Net by feed forwarding both T2-FLAIR
222 and IAM separately. In this way, we assume that IAM works as a simple regional map which provides
223 localisation information of WMH rather than just being a different image channel. While the U-Net
224 architecture has one branch of the encoding part, Saliency U-Net encoding part consists of two branches
225 that learn raw images and regional maps individually. Furthermore, we applied dilation factors of 1, 2, 4
226 and 2 to the first four convolutional layers of Saliency U-Net to form the Dilated Saliency U-Net. The
227 architectures of U-Net, Saliency U-Net and Dilated Saliency U-Net can be seen in Figure 3.

228 Performance of these models are compared to each other in Section 3. We additionally conducted
229 experiments on the original U-Net models trained only with T2-FLAIR and only with IAM in order to see
230 how using both T2-FLAIR and IAM as inputs affects learning WMH segmentation. Our five experimental
231 models are listed in Table 1.

232 **2.7 Preprocessing**

233 In machine learning, data preprocessing is needed to standardise the data into a comparable range. It is
234 especially important when we deal with MRI data whose intensity is not in a fixed range. Differences in
235 the intensity range are caused by differences in MRI acquisition protocols, scanner models, calibration
236 settings, etc. (Shah et al., 2011).

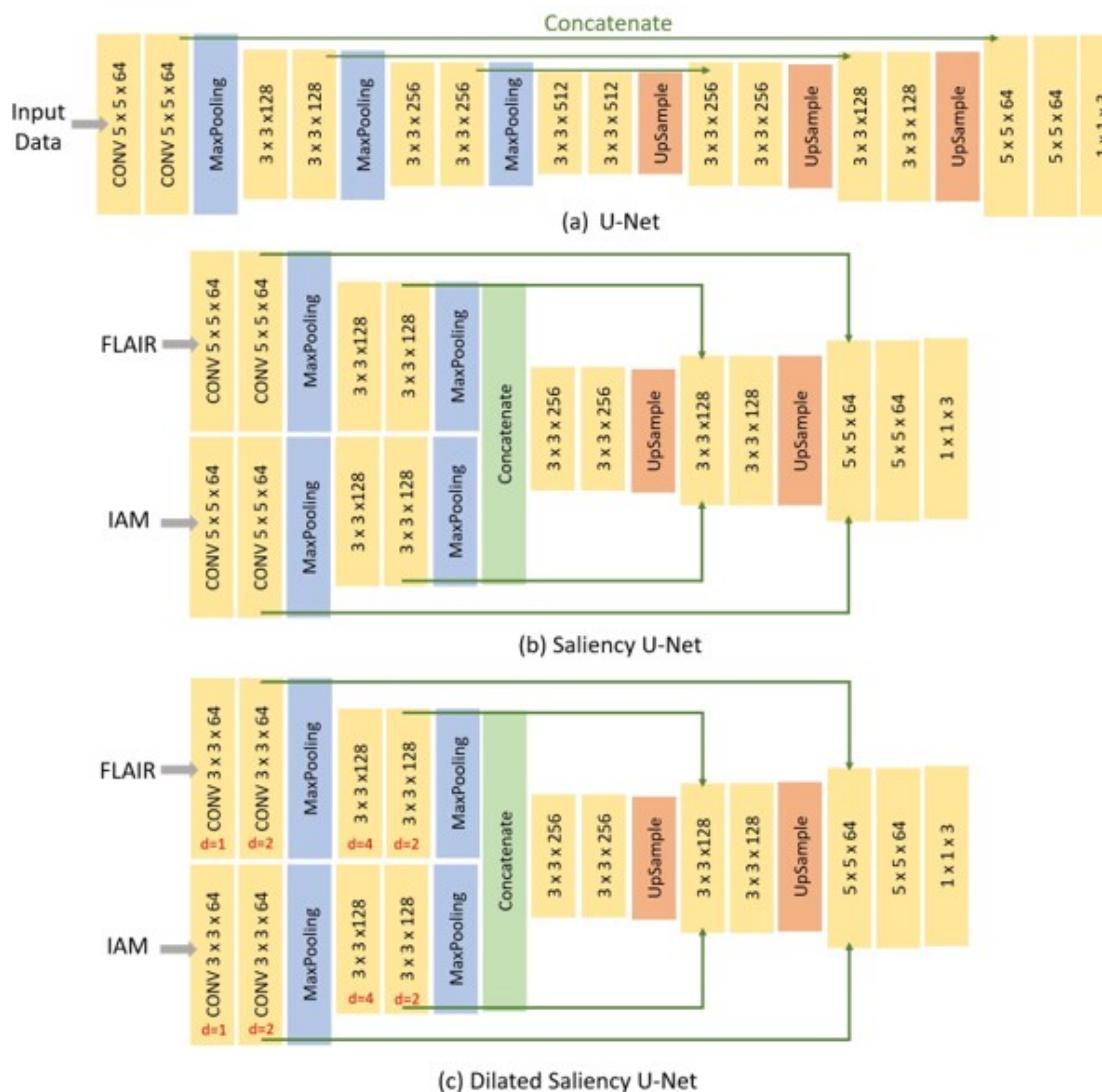


Figure 3. Architecture of three different networks used in this study. (a) the original U-Net, (b) Saliency U-Net and (c) Dilated Saliency U-Net. Three numbers of CONV block (yellow block) represents *filter size* \times *filter size* \times *filter channels*. For the Dilated Saliency U-Net model, red numbers mean a dilation factor for the convolution layer in each CONV block.

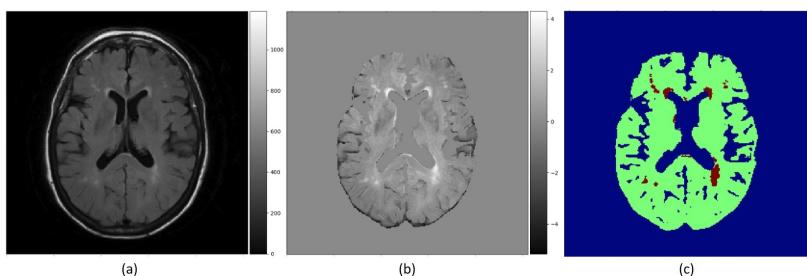


Figure 4. (a) Raw T2-FLAIR image, (b) T2-FLAIR input after preprocessing and normalisation, (c) Ground truth data with three labels. Blue region is non-brain area, green region is non-WMH brain tissues and red is WMH.

237 For this reason, we normalised the intensity of the brain tissue voxels in our train and test data. The
238 image intensity of the majority of non-brain tissue voxels of an MRI slice is zero or near-zero, although
239 few non-brain voxels can have peak intensity values above the intensity range of the brain tissue. Thus
240 normalising intensities from all voxels together can bias the intensity values towards zero and reduce the
241 effect of WMH on brain tissue voxels. Brain tissue voxels were filtered using CSF and the intracranial
242 volume (ICV) masks as follows:

$$\text{Brain Tissue Region} = \text{MRI scan} \cap (\neg \text{CSF} \cap \text{ICV}) \quad (4)$$

243 We normalised the brain tissue voxels on each slice into a distribution with zero-mean and unit variance by
244 subtracting the mean value from each voxel value and dividing the result by the standard deviation.

245 Although WMH segmentation can be regarded as the binary classification of voxels, we re-labelled the
246 ground-truth data assigning voxels one of the three following labels: non-brain, non-WMH brain tissue and
247 WMH. However, when evaluating the segmentation results, we considered both non-brain and non-WMH
248 brain tissue labels as non-WMH labels to calculate sensitivity and Dice similarity coefficient which are
249 metrics for the binary classification. Figure 4 shows the example of a T2-FLAIR slice, the same slice after
250 preprocessing and normalisation, and the ground-truth slice.

251 **2.8 Training and Testing Setup**

252 For training, 30 MRI scans of the ADNI dataset described in Section 2.1 were randomly selected. These
253 30 MRI scans were collected from 10 subjects for three consecutive years. We trained our networks with
254 image patches generated from these MRI scans, not slices, to increase the amount of training data. If we
255 train our models using slice images, the amount of training data is only $35 \times 30 = 1050$ slices, which is
256 not ideal for training a deep neural network architecture. Instead, by extracting 64×64 sized patches from
257 each image slice, we could have 30,000 patches for training data.

258 For testing, we used the rest 30 scans of the ADNI sample, which are not used during training. These
259 scans were also obtained from another 10 subjects for three consecutive years. The testing dataset was
260 comprised of image slices without patch extraction. Slice image data is necessary to analyse the results
261 from our models according to the distributions or volumes of WMH. Our testing dataset holds 1050 of
262 256×256 image slices in total as each scan contains 35 slices.

263 All experimental models were trained using the same network configuration. We set learning rate to $1e^{-5}$
264 and batch size to 16. As an optimisation method, we selected the Adam optimisation algorithm (Kingma
265 and Ba, 2014), although the original U-Net scheme used the stochastic gradient descent (SGD) optimiser.
266 This is because the Adam optimiser can handle sparse gradients. It is highly possible that our training data
267 produce sparse gradients as non-brain voxels, which are the majority, have zero intensity. We applied the
268 Adam optimiser accordingly, considering this data property.

3 RESULTS

269 In this section, we present how experiments were conducted, and analyse and compare the experimental
270 results.

271 **3.1 Evaluation Metrics**

272 We use sensitivity, positive predictive value (PPV) and Dice similarity coefficient (DSC) to evaluate the
273 models. Sensitivity measures the rate of true positives as below:

$$\text{Sensitivity} = \frac{TP}{TP + FN} \quad (5)$$

274 where TP means true positive, and FN means false negative. PPV also measures the rate of true positives
275 but from the total of positive calls like below:

$$\text{PPV} = \frac{TP}{TP + FP} \quad (6)$$

276 where FP refers to false positive. DSC is a statistic method to compare the similarity between two samples
277 of discrete values (Dice, 1945). It is one of the most common evaluation metrics in image segmentation.

278 The formula is as follow:

$$DSC = \frac{2TP}{2TP + FP + FN} \quad (7)$$

279 where TP and FN are as per Equation 5 and FP means false positive. DSC is interpreted as the overlapping
280 ratio to the whole area of prediction and target objects, while sensitivity measures the correctly predicted
281 region of the target object. If the prediction includes not only true positives but also wrong segmentation
282 results (false positives), the DSC score can be low despite the high sensitivity.

283 **3.2 The Effects of IAM as an Auxiliary Input Data**

284 Table 1 shows overall performances of our five experimental models. The adoption of IAM as an auxiliary
285 input data for U-Net (i.e., U-Net(F+I)) improved sensitivity to 0.4902 but had lower DSC score than the
286 model that used only the T2-FLAIR image as input. On the other hand, Saliency U-Net(F+I) improved the
287 DSC scores achieved by U-Net to 0.5535 while Dilated Saliency U-Net(F+I) achieved the best DSC score
288 of 0.5588. Dilated Saliency U-Net(F+I) yielded the second best sensitivity rate after U-Net trained with
289 T2-FLAIR and IAM (i.e., U-Net(F+I)). U-Net(IAM) achieved the best PPV value of our five models and
290 Dilated Saliency U-Net(F+I) achieved the second highest value of PPV. From these results, we can see that
291 the three models trained with T2-FLAIR and IAM particularly increased the sensitivity performance of the
292 network architectures.

293 Saliency and Dilated Saliency U-Net included considerably less parameters than the three U-Net models.
294 As shown in Table 1, Saliency and Dilated Saliency U-Net have more than three times less parameters and
295 slightly shorter training time than the original U-Net while having better if not similar performance on
296 WMH segmentation.

297 With regards to training time, although feeding both T2-FLAIR and IAM together into U-Net involved the
298 calculation of more parameters due to the two-channel input, the training time for this model was shorter
299 than that of U-Net(FLAIR) and U-Net(IAM). In deep learning studies, visual attention, which gives larger
300 weight on the region of interest, speeds up learning by leading the model to concentrate on the relevant
301 regions. This has been experimentally demonstrated in previous studies (Najibi et al., 2018; Choi et al.,
302 2017). In our case, IAM confers the visual attention effect to the network architecture. Despite having fewer
303 parameters, Saliency U-Net took longer time to train than U-Net(F+I). Feed-forward and back-propagation
304 proceed separately in each encoding part. Dilated Saliency U-Net significantly decreased the training
305 time compared to the other models by skipping voxels that reduce the computational complexity, when
306 calculating the convolution.

Model	DSC	Sensitivity	PPV	Training Time	# Parameters
U-Net(FLAIR)	0.5440	0.4594	0.6275	1h 52m 55s	7,859,715
U-Net(IAM)	0.5274	0.4179	0.6769	1h 53m 52s	7,859,715
U-Net(F+I)	0.5281	0.4902	0.6268	1h 24m 22s	7,861,315
Saliency U-Net(F+I)	0.5535	0.4730	0.6034	1h 30m 1s	2,756,803
Dilated Saliency U-Net(F+I)	0.5588	0.4747	0.6374	1h 4m 18s	2,623,683

Table 1. Dice Similarity Coefficient (DSC), sensitivity, positive predictive value (PPV), training time and number of parameters for our five experimental models. Values in bold are the highest scores and in italic the second highest. In the brackets after the model names, the input data type is specified. “FLAIR” is equivalent to T2-FLAIR and “F+I” refers to taking both T2-FLAIR and IAM as input.

307 Figure 5 presents training and validation losses for our five models. Same colour lines correspond to the
308 same model. Solid and dashed lines represent training loss and validation loss each. For all models, both
309 training and validation losses properly converged. Thus, our models are not overfitted on the training data.

310 We also evaluated whether the median and the distribution of DSC scores throughout the testing set
311 differed significantly between the five models evaluated. We conducted two tests: 1) the Wilcoxon ranksum,
312 as implemented by the function `ranksum` in MATLAB, to evaluate whether the medians of the DSC
313 scores from each model across the testing dataset were significantly different between each other; and 2)
314 the Kruskal-Wallis test, as implemented by the MATLAB function `kruskalwallis`, to evaluate whether

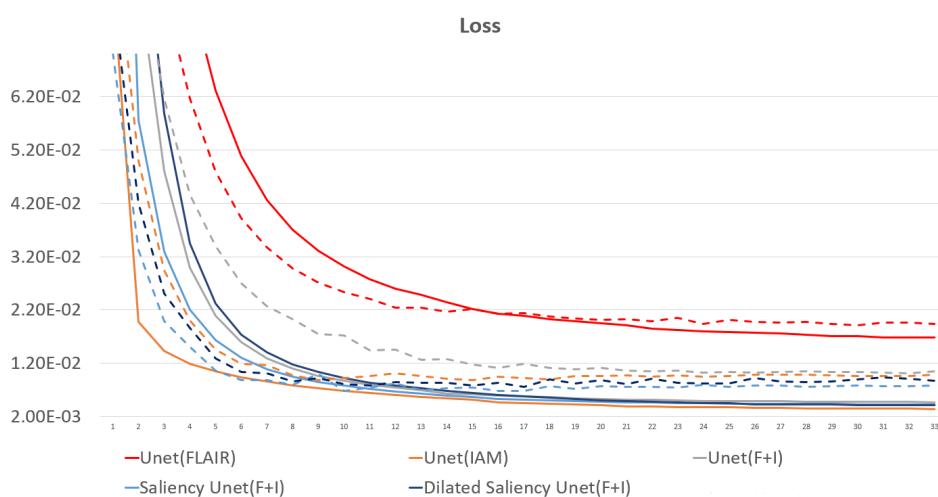
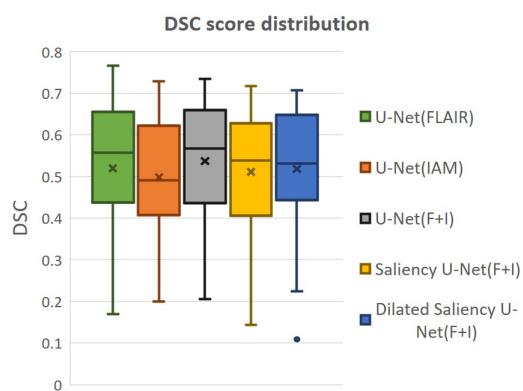


Figure 5. Loss graph of our five models. While solid lines indicate training loss, dashed lines represent validation loss.

315 the distributions of these DSC values were statistically significantly different between the models. Neither
316 the medians nor the DSC distributions obtained by these five models significantly differed. The result of the
317 Kruskal-Wallis test is shown in Table 2. The *p-value* obtained from the ANalysis Of VAriance (ANOVA)
318 of the DSC distributions from the five models across all cases is 0.7786, indicating that the results of these
319 five models did not differ significantly from each other in terms of the distribution of DSC across the
320 testing set. This emphasises that Dilated Saliency U-Net model can produce similar level of performance
321 as the original U-Net models even with less number of parameters and shorter training time. Figure 6 also
322 illustrates that the DSC scores obtained from applying our models are similarly distributed to each other.



323 **Figure 6.** Distributions of DSC score by
our five models.

Source	SS	df	MS	F-value	p-value
Models	3334.7	4	833.68	1.77	0.7786

Table 2. ANOVA table for our five models. SS refers to the sum of squares. df and MS mean degrees of freedom and mean squares respectively.

324 Figure 7 visualises the examples of WMH segmentation results by our experimental models. In most
325 cases, the use of two data sources (i.e., IAM and T2-FLAIR images) in training the network complements
326 each other's effect detecting tricky WMH regions. Depending on the contrast/size of WMH or the quality
327 of IAM, there are some cases in which WMH are distinguishable on IAM but unclear in T2-FLAIR and
328 vice versa. For example, if WMH clusters are too small, it is hard to differentiate them on T2-FLAIR,
329 but they are better observable on IAM, where WMH and normal brain tissue regions have better contrast.
330 On the other hand, in the presence of other irregular patterns such as extremely low intensities of brain
331 irregularities around WMH, T2-FLAIR can indicate WMH clearly than IAM. In Figure 7 Row (a), U-
332 Net(FLAIR) produced better WMH segmentation result than U-Net(IAM) due to the poor quality of IAM.
333 Conversely, U-Net(FLAIR) could not detect WMH well due to unclear intensity contrast on T2-FLAIR
334 while U-Net(IAM) could segment these WMH regions as IAM enhanced them as anomalies (Figure 7 Row

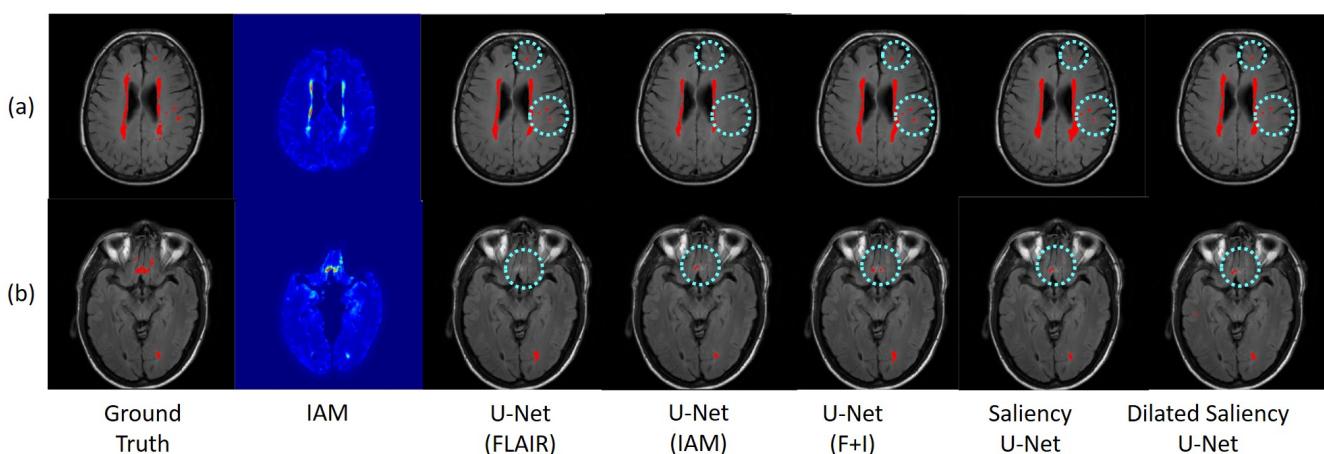


Figure 7. Examples of WMH segmentation results by our experimental models. Cyan circles indicate WMH detected only by one of the original U-Net models, i.e. U-Net(FLAIR) or U-Net(IAM). Row (a) shows a case where WMH is distinguishable exclusively in the T2-FLAIR image, while row (b) shows a case where IAM highlights WMH clearly. By training networks using T2-FLAIR and IAM, both WMH regions are detected.

335 (b)). Furthermore, incorporating both T2-FLAIR and IAM together as input data produced better WMH
336 segmentation in general (5th-7th columns from left to right of Figure 7).

337 3.3 WMH Volume analysis

Group	Range of WMH volume (mm ³)	# Scans
Large	10,000 \leq WMH Vol	6
Medium	4,000 \leq WMH Vol $<$ 10,000	10
Small	1 \leq WMH vol $<$ 4,000	14

Table 3. Criteria sorting MRI scans according to WMH voxel volume. “# Scans” means the number of included MRI scans. Most of scans are included in Small and Medium groups.

338 In this experiment, we evaluate our models based on the WMH volumes of the MRI scan (i.e., WMH
339 burden) to examine the influence of WMH burden on the performance of WMH segmentation. The WMH
340 volume of each MRI scan is calculated by multiplying the number of WMH voxels by the voxel size. We
341 grouped MRI scans into three groups according to the range of WMH volume. Table 3 shows the range of
342 WMH volume used as criteria for forming the groups, and the number of scans included in each group.
343 Figure 8 (a) shows the lack of ambiguity or overlap in the classification of the MRI scans in each group.

344 Figure 8 (b) plots the DSC scores yielded by the MRI scans in the different WMH volume groups by
345 our five experimental models. Please, note that the DSC scores referred in this section correspond to the
346 evaluation of the WMH segmentation results in each MRI scan, not per slice which are used for overall
347 performance evaluation in Section 3.2 Table 1. Hence scans of the Large group might have several small
348 WMH rather than one large region with confluent WMH.

349 All models tested in this study showed high median values of DSC scores in the Medium group, for which
350 all models performed better than the other groups. In the Large group, U-Net(FLAIR) and U-Net(F+I)
351 models performed similarly well, while U-Net(IAM) performed worst compared with the rest of the models.
352 Mean, median and standard deviation (std.) values of DSC score distribution in each group are shown in
353 Table 4. Overall, the performance of the models for scans with Small and Medium WMH burden was quite
354 similar (see also Figure 8 (b)). However, large variations in DSC scores were observed among the scans of
355 the Small group, especially for the U-Net(FLAIR) model.

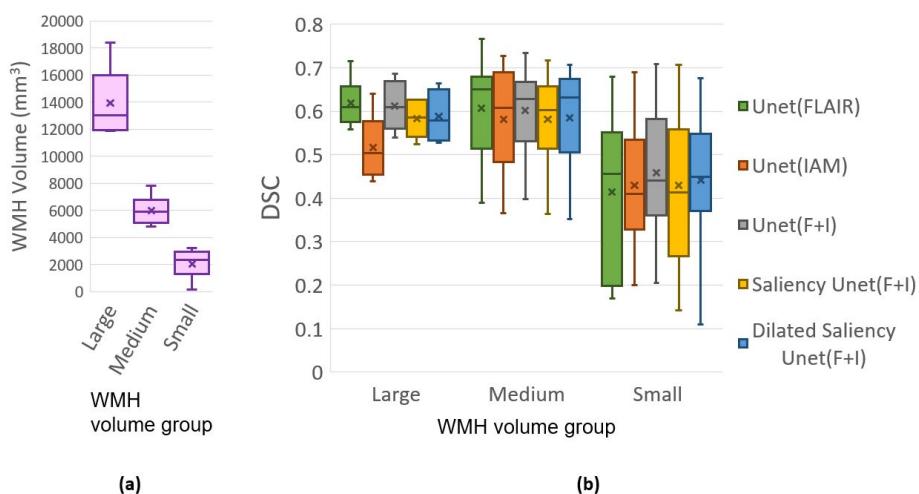


Figure 8. (a) Distributions of data (MRI scan) grouped together based on WMH volume. (b) DSC distributions yielded by tested five models based on WMH volume. “x” and bar at the middle of box indicate mean and median each. Bottom and top of each box mean the first and third quartile.

Model	DSC Mean.			DSC Median.			DSC std.		
	Large	Medium	Small	Large	Medium	Small	Large	Medium	Small
U-Net(FLAIR)	0.6184	0.6070	0.4147	0.6987	0.6499	0.4559	0.0524	0.1076	0.1746
U-Net(IAM)	0.5168	0.5817	0.4294	0.5036	0.6080	0.4106	0.0668	0.1111	0.1455
U-Net(F+I)	0.6124	0.6025	0.4580	0.6092	0.6276	0.4400	0.0548	0.0931	0.1460
Saliency U-Net(F+I)	0.5824	0.5812	0.4299	0.5853	0.6023	0.4134	0.0377	0.0956	0.1687
DSU-Net_1224	0.5722	0.5929	0.4003	0.5814	0.6286	0.3876	0.0592	0.0965	0.1733
DSU-Net_4221	0.5711	0.5768	0.4253	0.5776	0.6152	0.4250	0.0574	0.1097	0.1640
DSU-Net_1242	0.5882	0.5852	0.4407	0.5782	0.6320	0.4498	0.0536	0.1069	0.1558

Table 4. Mean, median and standard deviation values of the distributions of DSC scores from our experimental models per WMH volume groups. Model name *DSU-Net_abcd* refers to Dilated Saliency U-Net model with dilation factors a, b, c, d in order from the first to the fourth convolution layers, and its trend of dilation factor pattern is specified in the bracket. These dilation factors are applied on convolution layers in the encoding part (i.e., before concatenating T2-FLAIR and IAM feature maps) of the CONV blocks, which consists of convolution, ReLU, and batch normalisation layers. These different Dilated Saliency U-Net models are described in Section 3.6. DSU-Net_1242 was used for the Dilated Saliency U-Net model evaluated in Section 3.3.

356 3.4 Longitudinal Evaluation

357 In the longitudinal evaluation test, we addressed the capacity of our five models in predicting WMH in
 358 subsequent years after being trained only using the first year samples. Hence, the training set was formed
 359 by the first year samples while the testing set was composed by the second and third year samples. Table 5
 360 shows the mean DSC score for each sample. In this evaluation, U-Net(IAM) and Saliency U-Net performed
 361 slightly better than the other three models, partly owed to IAM which could provide information to predict
 362 WMH occurrence. As expected, all our models predicted better WMH in the second year than in the third
 363 year.

364 3.5 U-Net vs. Saliency U-Net

365 In order to evaluate the effectiveness of the Saliency U-Net architecture, we compared the original U-Net
 366 and Saliency U-Net models trained with T2-FLAIR and IAM. As shown in Table 1, Saliency U-Net yielded
 367 higher DSC score than U-Net(F+I) despite U-Net(F+I) having higher sensitivity value. Figure 9 shows
 368 that Saliency U-Net successfully eliminates some of the false positives observed in the segmentation result
 369 from U-Net(F+I).

Model	2nd year	3rd year
U-Net(FLAIR)	0.6136	0.5878
U-Net(IAM)	0.6270	<i>0.6110</i>
U-Net(F+I)	0.6229	0.5823
Saliency U-Net	<i>0.6258</i>	0.6119
Dilated Saliency U-Net	0.6060	0.5881

Table 5. DSC score for longitudinal evaluation of our five models. We evaluated these models using data from both second and third years. As per Table 1, values in bold are the highest scores and in italics are the second highest ones.

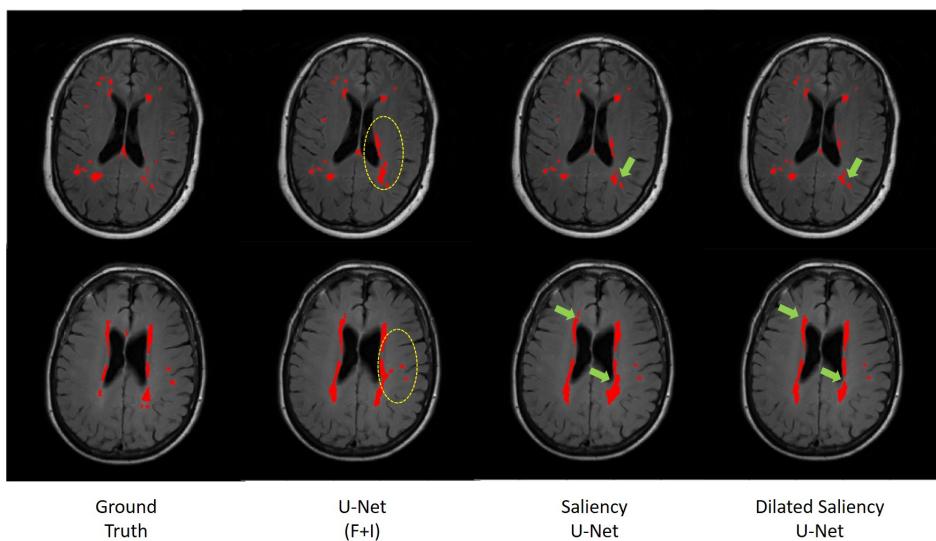


Figure 9. Comparison of WMH segmentation results from U-Net(F+I), Saliency U-Net and Dilated Saliency U-Net. Yellow circles indicate false positive results by U-Net(F+I). These false positive results are eliminated in the results from Saliency and Dilated Saliency U-Net. Green arrows are pointing to locations where boundaries are segmented in more detail by Dilated Saliency U-Net.

370 We also investigated the change in Saliency U-Net's performance in relation to its complexity when
371 the number of convolution layers increased/decreased. DSC score, training time and model complexity
372 (i.e., the number of parameters) are compared in Figure 10. The rule for changing the Saliency U-Net
373 complexity is to connect/disconnect the 2 CONV blocks that are attached/detached at both ends, through
374 a "skip" connection. However, since the encoder part is a two-branch architecture, 6 CONV blocks are
375 included at once increasing its complexity (i.e., 4 CONV blocks are added to the encoder part and 2 CONV
376 block are added to the decoder part). Similar approach is done when decreasing the complexity, where 4
377 CONV blocks and 2 CONV blocks are dropped from the encoder and decoder respectively. For clarity, our
378 original Saliency U-Net model (i.e., evaluated in Table 1 of Section 3.2) contains 14 CONV blocks and
379 each CONV block holds one convolution layer as shown in Figure 3.

380 As shown in Figure 10, adding more CONV blocks means increasing both number of parameters and
381 training time significantly. Furthermore, using too many CONV blocks (i.e., Saliency U-Net with 26 CONV
382 blocks) decreased the DSC score due to overfitting.

383 **3.6 Exploration of Dilated Saliency U-Net Architecture**

384 In this experiment, we applied different dilation factors in Dilated Saliency U-Net, which captures
385 multi-scale context information on image slices without having to change the number of parameters. As per
386 Figure 9, which visually displays the segmentation results from Saliency U-Net, the boundary delineation
387 is still poor for large WMH regions. Furthermore, we also can see in the same Figure 9 that dilated
388 convolutions help Saliency U-Net to reproduce the shape of WMH regions in more detail. Hence, it is

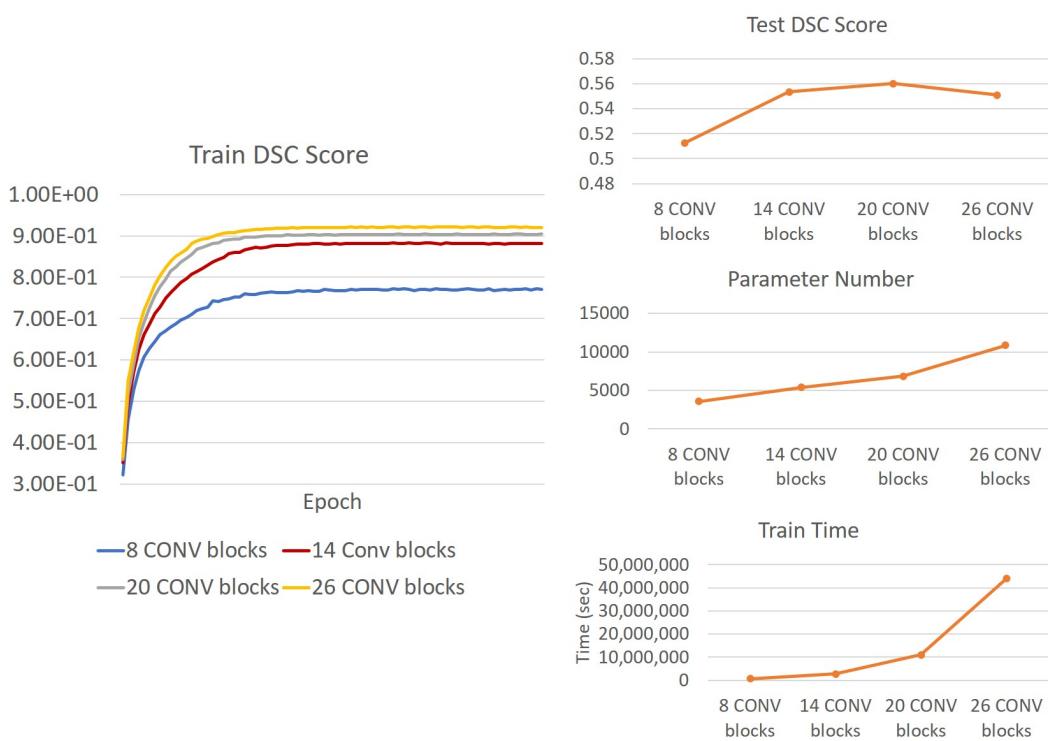


Figure 10. (Right) Trends of DSC score, training time and number of parameters of Saliency U-Net when more convolution layers are changed. It is also shown Saliency U-Net with 26 CONV blocks performance in testing (**upper Right**) and training (**Left**) decreases due to overfitting.

389 important to know the influence of different dilated convolution configurations in Dilated Saliency U-Net
 390 for WMH segmentation.

Model	Encoder	DSC	Sensitivity
DSU-Net_1224 (Increasing)	CONV $3 \times 3 \times 64$, d = 1	0.5304	0.4395
	CONV $3 \times 3 \times 64$, d = 2		
	MaxPooling		
	CONV $3 \times 3 \times 128$, d = 2		
	CONV $3 \times 3 \times 128$, d = 4		
	MaxPooling		
DSU-Net_4221 (Decreasing)	CONV $3 \times 3 \times 64$, d = 4	0.5622	0.4381
	CONV $3 \times 3 \times 64$, d = 2		
	MaxPooling		
	CONV $3 \times 3 \times 128$, d = 2		
	CONV $3 \times 3 \times 128$, d = 1		
	MaxPooling		
DSU-Net_1242 (Increasing & Decreasing)	CONV $3 \times 3 \times 64$, d = 1	0.5588	0.4747
	CONV $3 \times 3 \times 64$, d = 2		
	MaxPooling		
	CONV $3 \times 3 \times 128$, d = 4		
	CONV $3 \times 3 \times 128$, d = 2		
	MaxPooling		

Table 6. Encoder architecture of Dilated Saliency U-Net with different dilation factors and their performances. Three numbers in the CONV block stands for “filter size \times filter size \times filter number” and “d” means a dilation factor.

391 In order to find the most appropriate dilation factors, we compared different sequences of dilation factors.
392 Figure 3 (c) shows the basic Dilated Saliency U-Net architecture used in this experiment. Only four dilation
393 factors in the encoding part were altered while the rest of the parameters for the training schemes stayed the
394 same. Yu and Koltun suggested to use a fixed filter size for all dilated convolution layers but exponential
395 dilation factors (e.g. 2^0 , 2^1 , 2^2 ...) (Yu and Koltun, 2015). Therefore, we assessed “increasing”, “decreasing”
396 and “increasing & decreasing” dilation factor sequences with factor numbers of 1, 2, 2, 4 and fixed filter
397 size of 3×3 . Details of these configurations are presented in Table 6. From this table, we can appreciate that
398 despite DSU-Net_4221 performed best in DSC score (0.5622), it recorded the lowest sensitivity score. The
399 best sensitivity metric was produced by DSU-Net_1242 (0.4747), but it did not outperform DSU-Net_4221
400 in DSC score.

401 Additionally, we investigated the influence of dilation factors in DSC score performance per WMH
402 volume of MRI scans. Evaluation was conducted on the three groups previously described in Table 3.
403 Figure 11 shows that DSU-Net_1242 outperformed other models in every group. The report of mean,
404 median and standard deviation of DSC score distribution in each group can be seen in Table 4.

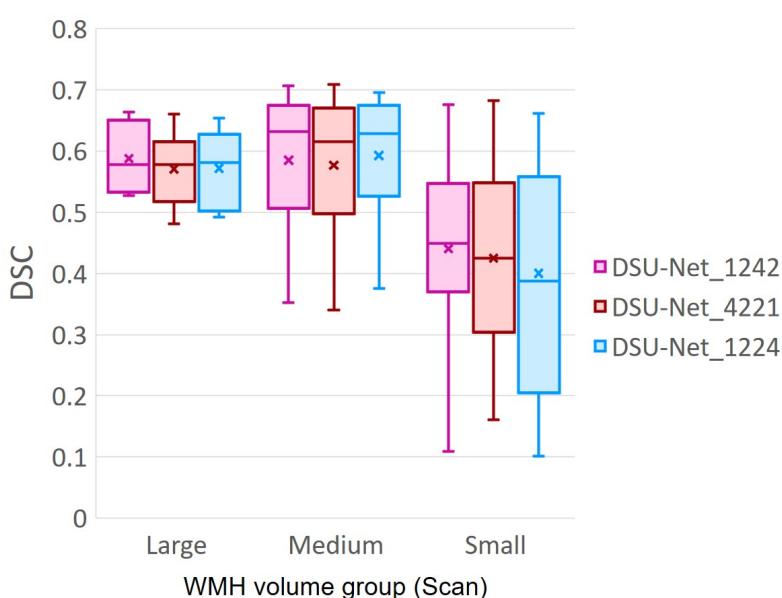


Figure 11. DSC score of three groups based on WMH volume in MRI scans. The group information is described in Table 3. “x” and bar at the middle of box indicate mean and median each. Bottom and top of each box means the first and third quartile.

4 DISCUSSION

405 In this study, we explored the use of IAM as an auxiliary data to train deep neural networks for WMH
406 segmentation. IAM produces a probability map of each voxel to be considered a textural irregularity
407 compared to other voxels considered “normal” (Rachmadi et al., 2019). While incorporating IAM as an
408 auxiliary input data, we compared three deep neural network architectures to find the best architecture for
409 the task, namely U-Net, Saliency U-Net and Dilated Saliency U-Net. It has been suggested that Saliency
410 U-Net is adequate to learn medical image segmentation task with both a raw image and a pre-segmented
411 regional map (Karargyros and Syeda-Mahmood, 2018). The original U-Net did not improve DSC score
412 despite using both T2-FLAIR and IAM as input, but the DSC score from Saliency U-Net was superior to
413 that from the original U-Net trained only with T2-FLAIR. This is because Saliency U-Net is able to learn
414 the joint encoding of two different distributions: i.e. from T2-FLAIR and IAM. Saliency U-Net generated
415 better results than U-Net despite having less parameters. We also found that Saliency U-Net had lower
416 false positive rate compared to U-Net.

417 Dilated convolution can learn spatially multi-scale context by expanding the receptive field without
418 increasing the number of parameters. We added dilation factors to the convolution layers in the encoding
419 block of Saliency U-Net to improve WMH segmentation, especially due to the high variability in the WMH
420 size. This new model is named “Dilated Saliency U-Net”. Dilated convolution improved both DSC score
421 and sensitivity with shorter training time. Dilated Saliency U-Net also yielded more accurate results in the
422 presence of large WMH volumes and worked well in Medium and Small WMH volume MRI data groups
423 which are more challenging. We identified that dilated convolution is effective when dilation factors are
424 increased and decreased sequentially.

425 To our knowledge, this is the first attempt of successfully combining dilation, saliency and U-Net. We
426 could reduce the complexity of a deep neural network architecture while increasing its performance through
427 the integrated techniques and the use of IAM. Due to the trade-off between performance and training time,
428 which is proportional to the model complexity, it is crucial to develop less complex convolutional neural
429 network (CNN) architectures without decreasing their performance.

430 Anomaly detection in the medical imaging field has been broadly studied (Quellec et al., 2016; Schlegl
431 et al., 2017). One of its difficulties relies on the inconsistent shape and intensity of these anomalies.
432 IAM helped the CNN scheme to overcome this problem by providing the localisation and morphological
433 information of irregular regions. We believe it is possible to generate IAM from different modalities of
434 medical images. Thus, the application of IAM is highly expandable to detect different imaging bio-markers
435 involving abnormal intensity values in other diseases.

DATA AVAILABILITY STATEMENT

436 The code that corresponds with the experiments described and analysed in this manuscript can be found in
437 <https://github.com/hanyangii/DilatedSaliencyUNet>.

CONFLICT OF INTEREST STATEMENT

438 All authors (Y.J., M.F.R., M.C.V.H., and T.K.) declare that the research was conducted in the absence of
439 any commercial or financial relationships that could be construed as a potential conflict of interest. The
440 funders had no role in study design, data collection and analysis, decision to publish, or preparation of the
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AUTHOR CONTRIBUTIONS

442 Y.J., M.F.R., M.C.V.H., and T.K. conceived and presented the idea. Y.J. and M.F.R. planned the experiments.
443 Y.J. carried out the experiments. All authors provided critical feedback and analysis, and edited the
444 manuscript.

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