

The genetic basis of cis-regulatory divergence between the subspecies of cultivated rice (*Oryza sativa*)

Malachy T Campbell ¹, Qian Du ², Kan Liu ², Sandeep Sharma ³, Chi Zhang ², Harkamal Walia ^{1*}

¹ Department of Agronomy and Horticulture, University of Nebraska Lincoln, Lincoln, NE, USA 68583

² School of Biological Sciences, University of Nebraska Lincoln, Lincoln, NE, USA 68583

³ Marine Biotechnology and Ecology Division, CSIR-CSMCRI, Bhavnagar, Gujarat, India

***Corresponding Author:**

Harkamal Walia

Department of Agronomy and Horticulture

University of Nebraska Lincoln

Lincoln, Nebraska 68583

hwalia2@unl.edu

Running title: Genetics of cis-regulatory divergence in rice

Keywords: Transcriptome, rice, expression QTL, genome-wide association, genomics

Abstract

Cultivated rice consists of two subspecies, *Indica* and *Japonica*, that exhibit well-characterized differences at the morphological and genetic levels. However, the differences between these subspecies at the transcriptome level remains largely unexamined. Here, we provide a comprehensive characterization of transcriptome divergence and cis-regulatory variation within rice using transcriptome data from 91 accessions from a rice diversity panel (RDP1). The transcriptomes of the two subspecies of rice are highly divergent. The expression and genetic diversity was significantly lower within *Japonica* relative to *Indica*, which is consistent with the known population bottleneck during *Japonica* domestication. Moreover, 1,860 and 1,325 genes showed differences in heritability in the broad and narrow sense respectively, between the subspecies, which was driven largely by environmental and genetic effects rather than differences in phenotypic variability. We leveraged high-density genotypic data and transcript levels to identify cis-regulatory variants that may explain the genetic divergence between the subspecies. We identified significantly more eQTL that were specific to the *Indica* subspecies compared to *Japonica*, suggesting that the observed differences in expression and genetic variability also extends to cis-regulatory variation. We next explored the potential causes of this cis-regulatory divergence by assessing local genetic diversity for cis-eQTL. Local genetic diversity around subspecies-specific cis-eQTL was significantly lower than genome-wide averages in subspecies lacking the eQTL, suggesting that selective pressures may have shaped regulatory variation in each subspecies. This study provides the first comprehensive characterization of transcriptional and cis-regulatory variation in cultivated rice, and could be an important resource for future studies.

Introduction

Cultivated rice consists of two subspecies: *Indica* and *Japonica*. *Indica* varieties are cultivated throughout the tropics, and account for the majority of rice production worldwide. *Japonica* varieties, on the other hand, are grown in both tropical and temperate environments, and only account for approximately 20% of rice production.

Although the domestication history of rice remains a contested topic, the most current research collectively suggests that rice was domesticated at least twice from two geographically and ecologically distinct subpopulations of *Oryza rufipogon*. The unique environmental pressures in these distinct regions, as well as preferences by early farmers for grain characteristics has resulted in large morphological and physiological differences between the two subspecies. These differences have been recognized for centuries, as evidenced by references of Keng and Hsein types of rice found in records from the Han Dynasty in China (Oka et al., 1991).

The unique natural and agronomic selection pressures placed on the wild progenitors and early proto-domesticates resulted in drastic changes at the genetic level. Work by Huang et al. (2012b) showed considerable reduction in genetic diversity in *Indica* and *Japonica* compared with *O. rufipogon*. Such drastic reductions in genetic diversity are common following domestication. Moreover, the transition from an out-crossing/heterogamous nature of *O. rufipogon* to the autogamous breeding system of cultivated rice likely led to greater partitioning of genetic diversity among the two subspecies, and further differentiation of the two groups. These large genetic differences have been recognized for nearly a century as hybrids between *Indica* and *Japonica* exhibit low fertility (Kato, 1928). More recently, these genetic differences have been realized with the availability of high density molecular markers and full genome sequences for both *Indica* and *Japonica* (Ding et al., 2007; Goff et al., 2002; Yu et al., 2002; Feltus et al., 2004; Stein et al., 2018; Koide et al., 2018; Schatz et al., 2014; Huang et al., 2008; Wang et al., 2014; Huang et al., 2012b). For instance Ding et al. (2007) showed that approximately 10% of the genes in the *Indica* and *Japonica* genomes showed evidence of presence-absence variation or asymmetrical genomic locations. Several other studies have highlighted genetic differences between the subspecies as structural variants differences, gene acquisition and loss, transposable element insertion and single nucleotide polymorphisms (Goff et al., 2002; Yu et al., 2002; Feltus et al., 2004; Stein et al., 2018; Koide et al., 2018; Schatz et al., 2014; Huang et al., 2008; Wang et al., 2014; Huang et al., 2012b).

While the morphological and genetic differences of *Indica* and *Japonica* have received considerable attention, few studies have investigated the divergence between the two subspecies at transcriptome level

(Walia et al., 2007; Lu et al., 2010; Jung et al., 2013). Walia et al. (2007) utilized genome-wide expression 32 profiling to characterize the transcriptional responses for two *Indica* and *Japonica* cultivars to salinity. This 33 study was performed to elucidate the mechanisms underlying the contrasting responses to stress exhibited by 34 the cultivars, rather than examine the transcriptional difference between the subspecies. Moreover, 35 separating genotypic differences from subspecies differences is not feasible with the low number of cultivars 36 used in these studies. Lu et al. (2010) compared transcriptional profiles of two *Indica* accessions and a single 37 *Japonica* accessions and identified many novel transcribed regions, highlighted alternative splicing differences, 38 and differentially expressed genes between accessions. Although these studies provided insights into the 39 transcriptional differences between *Indica* and *Japonica*, given the small sample size of the study it has 40 limited scope for extending conclusions to a population level. Jung et al. (2013) leveraged the large number 41 of public microarray databases to compare transcriptional diversity between the two subspecies. The 983 42 publicly available Affymetrix microarrays were classified into *Indica* and *Japonica* subspecies based on the 43 cultivar name. This study showed that considerable differences in expression levels were evident between the 44 two subspecies. However, considerable information is likely lost due to the heterogeneity in sample types (e.g. 45 tissue, developmental stage) and varying growth conditions. Thus, a more highly controlled study that 46 utilized a larger panel with genotypic information would provide greater insight into the differences in 47 expression levels, as well as provide a mechanism for connecting transcriptional differences between the two 48 subspecies with genetic variation. 49

The objective of this study is to examine genetic basis of the transcriptional variation at a population 50 level within the *O. sativa* species. By combining population and quantitative genetics approaches, we aim to 51 elucidate the genetic basis of transcriptional divergence between the two subspecies. To this end, we 52 generated transcriptome data using RNA sequencing on shoot tissue for a panel of 91 diverse rice accession 53 selected from the Rice Diversity Panel1 (RDP1) (Zhao et al., 2011; Famoso et al., 2011; Eizenga et al., 2014). 54 Here, we show that transcriptional diversity between *Indica* and *Japonica* subspecies is consistent with 55 diversity at the genetic level. Moreover, we connect transcriptional differences between the two subspecies 56 with divergent patterns of *cis*-regulatory variation and show that the absence of many *cis*-regulatory variants 57 are due to unique selective pressures experienced by each subspecies. This study is the first to document the 58 transcriptional divergence between the major subspecies of cultivated rice at a population level, and provides 59 insight into the genetic mechanisms that have shaped this transcriptional divergence. 60

Materials and Methods

61

Plant materials and growth conditions

62

This study used 91 diverse accessions from the Rice Diversity Panel1 (RDP1) (Famoso et al., 2011; Zhao et al., 2011; Eizenga et al., 2014). Seeds were obtained from the USDA-ARS Dale Bumpers Rice Research Center. The 91 accessions consisted of 13 *admixed*, 2 *aromatic*, 9 *aus*, 23 *indica*, 21 *temperate japonica*, and 23 *tropical japonica* accessions.

63

64

65

66

Seeds were dehusked manually and germinated in the dark for two days at 28°C in a growth cabinet (Percival Scientific), and were exposed to light ($120 \mu\text{mol m}^{-2}\text{s}^{-1}$) twelve hours before transplanting to acclimate them to the conditions in the growth chamber. The seeds were transplanted to 3.25" x 3.25" x 5" pots filled with Turface MVP (Profile Products) in a walk-in controlled environment growth chamber (Conviron). The pots were placed in 36" x 24" x 8" tubs, that were filled with tap water. Four days after transplanting the tap water was replaced with half-strength Yoshida solution (Yoshida et al., 1976) (pH 5.8). The pH of the solution was monitored twice daily and was recirculated from a reservoir beneath the tubs to the growth tubs. The temperatures were maintained at 28°C and 25°C in day and night respectively and 60% relative humidity. Lighting was maintained at $800 \mu\text{mol m}^{-2}\text{s}^{-1}$ using high-pressure sodium lights (Phillips).

67

68

69

70

71

72

73

74

75

RNA extraction and sequencing

76

Ten days after transplant, aerial parts of the seedlings were excised from the roots and frozen immediately in liquid nitrogen. The samples were ground with Tissuelyser II (Invitrogen) and total RNA was isolated with RNAeasy isolation kit (Qiagen) according to manufacturer's instructions. On-column DNase treatment was performed to remove genomic DNA contamination (Qiagen). Sequencing was performed using Illumina HiSeq 2500. Sixteen RNA samples were combined in each lane. Two biological replicates were used for each accession.

77

78

79

80

81

82

Sequence alignment, expression quantification, and differential expression analysis

83

84

Quality control for raw reads was performed using the package FastQC (Andrews et al., 2010). The Illumina 101-bp single-end reads were screened and trimmed using Trimmomatic to ensure each read has average quality score larger than 30 and longer than 15 bp, and were aligned to the rice genome (*Oryza sativa* MSU Release 6.0) using TopHat (v.2.0.10), allowing up to two base mismatches per read. Reads mapped to

85

86

87

88

multiple locations were discarded (Trapnell et al., 2009; Bolger et al., 2014). The number of reads for each
89
gene sequence was counted using the HTSeq-count tool with the “union” resolution mode (Anders et al.,
90
2015). For down-stream genetic analyses, a variance stabilized transformation was performed on raw read
91
counts to provide approximately homoskedastic values in DEseq2 (Love et al., 2014).
92

To identify genes that exhibited differential expression between the two subspecies, a mixed linear model
93
was fit that included subspecies as the main fixed effect and accession as a random effect in lme4 (Bates
94
et al., 2015). This ‘full’ model was compared to a reduced model that lacked subspecies as a fixed effect using
95
a likelihood-ratio test. Prior to differential expression analysis, expression levels were quantile normalized to
96
ensure a Gaussian distribution. Benjamini and Hochberg’s method was used to control the false discovery
97
rate, and genes with an FDR ≤ 0.001 were considered differentially expressed (Benjamini and Hochberg,
98
1995).
99

Genes showing differences in presence-absence expression variation (PAV) was determined using a
100
mixed-effects logistic regression model. Briefly, for each sample the expressed genes (number of reads > 10)
101
were assigned 1, while those with 10 or less reads were assigned a 0. A logistic regression model was fit using
102
the ‘glmer’ function in ‘lme4’ and included subspecies as a fixed effect and accession as random (Bates et al.,
103
2015). The significance of the fixed effect of subspecies was determined by comparing the full model above
104
with a reduced model that lacked subspecies using a likelihood-ratio test. Benjamini and Hochberg’s method
105
was used to control the false discovery rate, and genes with an FDR ≤ 0.001 were considered as having
106
presence-absence expression variation (Benjamini and Hochberg, 1995).
107

Subspecies classification

The 91 accessions were classified into two subspecies using the software STRUCTURE (Pritchard et al.,
109
2000). Briefly, the software was run using the 44k SNP data, assuming two subpopulations (K=2), with
110
20000 MCMC replicates and a burn-in of 10000 MCMC replicates.
111

Expression and genetic diversity analyses

Principle component analysis of gene expression was conducted for the 91 accessions using 22,675 genes after
113
variance stabilizing transformation. For, PCA of SNP data the 44k dataset described by Zhao et al. (2011)
114
was used. SNPs with a MAF < 0.10 were removed prior to PCA analysis.
115

The coefficient of variation (CV) was used to estimate the diversity in gene expression within the *Indica*
116
and *Japonica* subspecies. Prior to estimating CV genes with low expression (i.e. those with read counts of \leq
117

10 in $\geq 20\%$ of the samples) were removed, leaving a total of 22,503 genes in *Japonica* and 21,719 genes in 118
Indica. For the estimation of π , SNPs were extracted for each subspecies and SNPs with MAF ≥ 0.05 were 119
excluded. In total 201,891 SNPs were retained for *Indica* and 161,715 for *Japonica*. π was estimated at each 120
SNP using the site-pi function in VCFtools (Danecek et al., 2011). 121

Heritability estimates 122

Heritability, both in the broad (H^2) and narrow sense (h^2), was estimated across subspecies for 22,675 genes 123
that were expressed in both *Indica* and *Japonica*. To estimate H^2 a mixed model was fit using lme4 where 124
accession was considered a random effect, and significance of H^2 was assessed using a restricted 125
likelihood-ratio test in the RLRTsim package (Bates et al., 2015; Scheipl et al., 2008). Benjamini and 126
Hochberg's method was used to control the false discovery rate, and genes with an FDR ≤ 0.001 were 127
considered to have significant genetic variability (Benjamini and Hochberg, 1995). To assess heritability in 128
the narrow sense (h^2) a mixed model was fit in asreml-R (Butler et al., 2009). Briefly, a genomic relationship 129
matrix (G) was estimated according to VanRaden (2008) using the approximately 36,901 SNPs described by 130
Zhao et al. (2011). G is estimated as $G = \frac{Z_{cs}Z_{cs}'}{m}$, where Z_{sc} is the centered and scaled marker matrix and 131
 m is the number of markers. A likelihood-ratio test was used to assess significance and Benjamini and 132
Hochberg's method was used to control the false discovery rate. Genes with an FDR ≤ 0.001 were considered 133
to have significant genetic variability (Benjamini and Hochberg, 1995). 134

Heritability was assessed within subspecies using the same approaches as described above. However, due 135
to the unequal sample size for the *Indica* and *Japonica* subspecies, a random set of 35 *Japonica* accessions 136
were selected. Genes showing low expression (≤ 10 reads in $\leq 20\%$ of samples) in either subspecies were 137
removed prior analysis, leaving 22,444 genes in *Japonica* and 22,068 genes in *Indica*. 138

Assessing differences in genetic variability between subspecies 139

To identify genes showing significant differences in genetic variability (H^2 or h^2) between subspecies, a 140
permutation approach was used. Here, the 91 accessions were randomly partitioned into two groups of equal 141
size (35 accessions each). Heritability was estimated as described above and the difference in heritability 142
between each group was calculated. The resampling approach was repeated 100 times for both H^2 and h^2 . 143
This process effectively estimated a null distribution of ΔH^2 and Δh^2 values. The heritability estimates for 144
each subspecies was used to calculate the differences in H^2 and h^2 between the two subspecies as 145
 $\Delta H^2 = H_J^2 - H_I^2$ or $\Delta h^2 = h_J^2 - h_I^2$. These values were compared with the null distribution to assess 146

significance.

147

Joint cis-eQTL analysis

148

eQTLs were jointly detected using the eQTL-BMA (Bayesian model averaging) described by Flutre et al. 149 (2013) for 26,675 genes and 274,499 SNPs (MAF > 0.10) McCouch et al. (2016). Prior to eQTL mapping 150 BLUPs for each gene was calculated and the gene expression level of each gene was transformed into the 151 quantiles of a standard Normal distribution with ties broken randomly. To control for the effects of 152 population structure the first four PCs derived from PCA analysis of 44k SNP dataset were included in the 153 linear model. Briefly, to identify eQTL and control false discovery rate (FDR) a gene-level permutation 154 approach was used within the eQTL-BMA software. Using the eqtlbma.bf program, 10,000 permutations 155 were performed with the following settings: –maf 0.1, –nperm 10000, –trick 1, –tricut 10 and –error uvrl. 156 Genes were considered to have an eQTL if the *FDR* < 0.05. These permutations were used to estimate π_0 , 157 the probability for a gene to have no eQTL in any subspecies. Here, expression from both *Japonica* and 158 *Indica* samples were analyzed together with the option –error uvrl specified. Next, a hierarchical model with 159 an expectation–maximization algorithm was used to estimate hyper-parameters and configuration 160 probabilities using the eqtlbma_hm program. These configurations were *Indica*-specific, *Japonica*-specific, 161 and present in both subspecies. Lastly, the eqtlbma_avg_bfs program was run to obtain (i) the posterior 162 probability (PP) of a gene to have an eQTL in at least one subspecies, (ii) PP for a SNP to be the causal 163 SNP for the eQTL, (iii) PP for the SNP to be an eQTL, (iv) PP for the eQTL to be present in one 164 subspecies, and (v) PP for the eQTL to be present for a specific configuration. SNP-gene pairs were 165 determined to be specific to a given subspecies or shared if the PP > 0.5 for a given configuration. 166

Detecting evidence of selection at cis-eQTL

167

To determine whether the absence of an eQTL was due to of selection, first SNPs from the HDRA dataset 168 within 100kb of each significant eQTL were extracted for the 91 accessions McCouch et al. (2016). For each 169 SNP, nucleotide diversity was determined using the site-*pi* function in VCFtools and was averaged across the 170 100kb window (Danecek et al., 2011). Secondly, a genome-wide diversity level was determined for each 171 subspecies. Here, SNPs that were within 100kb of an eQTL were excluded, as well as those that exhibited low 172 diversity in both subspecies (MAF < 0.1 in both *Indica* and *Japonica*). Nucleotide diversity was determined 173 as described above for each SNP, and the average was taken for 100kb windows. For each class of eQTL (e.g. 174 *Indica*-specific, *Japonica*-specific, and shared), a two-sided Student's *t*-test was performed to assess whether 175

the mean π was different from the genome-wide average for each subspecies and class of eQTL. 176

A similar approach was taken for the 3kg data (Alexandrov et al., 2014). For each eQTL SNP, all SNPs 177
within 100kb of the eQTL SNP was extracted from the 4.8M core SNP data. The MAF was determined for 178
each of the 12 subpopulations in the 3kg data, and SNPs that had low diversity (MAF < 0.01) in 10 of the 12 179
subpopulations were excluded from further analyses. As above, π was calculated for each site. An average π 180
was determined for each subpopulation at each eQTL by taking the average π across the 100kb window. To 181
obtain a genome wide average, eQTL regions were excluded and π was obtained for each subpopulation by 182
averaging π across the 100kb region. Finally, as above a two-sided Student's *t*-test was performed to assess 183
whether the mean π was different from the genome-wide average for each subpopualtion and class of eQTL. 184

Results

185

We selected 91 accessions to represent the genetic diversity within Rice Diversity Panel 1 (RPD1). Using the subpopulation assignment described by Zhao et al. (2011) and Famoso et al. (2011), shoot transcriptome data was generated for 23 *tropical japonica*, 23 *indica*, 21 *temperate japonica*, 13 *admixed*, 9 *aus*, and 2 *aromatic* accessions. Genes with low variance or expression within the expression set were filtered out, as these genes are uninformative for downstream analyses focused on natural variation in gene expression. A total of 25,732 genes were found to be expressed (> 10 read counts) in at least one or more of the 91 accessions. This equates to about 46% of the genes present in the rice genome (total of 55,986 genes in MSUv7 build).

Divergence between the *Indica* and *Japonica* subspecies are evident at the genetic and transcriptional levels

To examine patterns of variation within the transcriptomics data, we performed principle component analysis (PCA) of transcript levels for the 91 accessions. Prior to PCA, lowly expressed genes were removed if they were not expressed (< 10 reads) in at least 20% of the samples. This filtering removed approximately 33,311 genes, resulting in a total of 22,675 genes that were used for the principal component analysis based on the normalized read counts. For the genetic analysis, we used 32,849 SNPs. PCA analysis of the expression matrix resulted in a clear separation between the two subspecies along PC1, suggesting a significant transcriptional divergence between *Indica* and *Japonica* (Figure 1C,D). The first PC accounted for approximately 26.8% of the variation in gene expression. While PC1 was able to differentiate between the two subspecies at the transcriptional level, no clear clustering of accessions was observed along other PCs (Figure 1). These results suggest that the the two subspecies of cultivated rice have divergent transcriptomes,

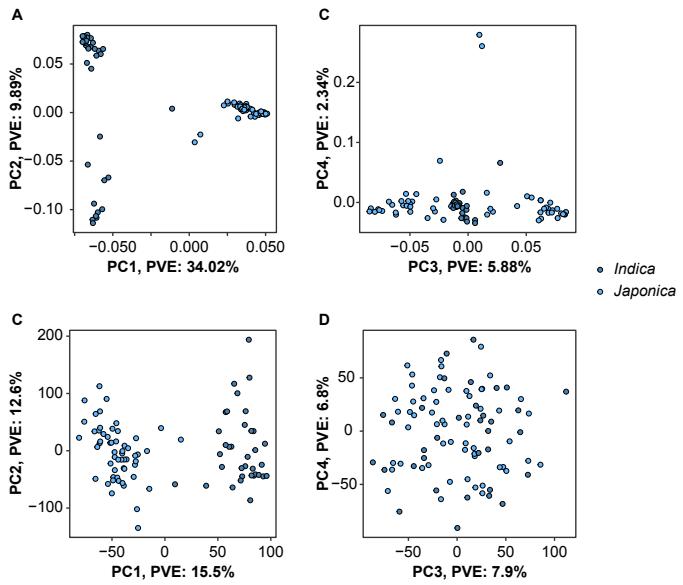


Figure 1. Principle component analysis of markers and gene expression matrices. The top four principle components from PCA analysis of the expression data are pictured in A and B to illustrate the divergence of the major subpopulations in rice. The panels in C and D summarize PCA of genotypic data. PVE: percent variation explained by each component.

but the transcriptomes of the subpopulations are more similar. Consistent with these results observed for
215
PCs 1 and 2, differentiation between the subspecies was clearly evident along PC1 using the genetic (SNP)
216
data alone (Figure 1A,B). However, the clustering of accessions along PCs 2-4 for the SNP data were
217
consistent with those described by Zhao et al. (2011) (Figure 1), and were effective in discerning the two
218
subpopulations in rice. These results collectively suggest that the two subspecies are vastly divergent at
219
genetic and transcriptional level.
220

Differential expression analysis reveals contrasting expression between 221 subspecies 222

To further explore the differences and identify genes that display divergent expression between the two
223
subspecies, the 91 accessions were first classified into *Indica* and *Japonica*-like groups, using the program
224
STRUCTURE with the assumption of two groups and no admixture (Pritchard et al., 2000). A total of 35
225
accessions were assigned to the *Indica* subspecies, while 56 were assigned to the *Japonica* subspecies. Next, a
226
linear mixed model was fit for each of the 26,675 genes, where subspecies was considered a fixed effect and
227
accession as a random effect. A total of 7,417 genes were found to exhibit contrasting expression between the
228
two subspecies (FDR ≤ 0.001 , Supplemental File S1). Of these genes, 4,210 (57%) showed significantly
229
higher expression in *Japonica* relative to *Indica*, while 3,207 (43%) showed higher expression in *Indica*
230
relative to *Japonica*.
231

This divergent expression levels observed between the two subspecies could be the result of the presence
232
or absence of genes within the subspecies. To this end, we sought to identify genes showing a
233
presence-absence expression variation (PAV). Genes with a read count greater than 10 were considered as
234
expressed and coded as 1 while those with read counts less than 10 were coded as 0. These genes were
235
further filtered, so that genes that were expressed in at least 20%, but no more than 80% of the samples were
236
retained for downstream analyses. A logistic mixed effects model was fit for the 4,263 genes meeting this
237
criteria. In total, 1,980 genes showed evidence of PAV between the two subspecies ($FDR < 0.001$;
238
Supplemental File S1). This analysis, enriched for genes that were expressed at higher frequency in *Japonica*
239
rice compared to *Indica*. For instance, 1,435 genes were found to be expressed at a significantly greater
240
frequency in *Japonica* relative to *Indica*, while only 545 were found to be expressed predominately in *Indica*.
241
Moreover, we detected significant enrichment for GO terms associated stress response GO:00006950)and
242
response to biotic stress (GO:0009607), as well genes with kinase activity (GO:0016301). Within
243
Indica-specific genes, only a single GO category was enriched for oxygen binding activity (GO:0019825; Table
244

S1). Moreover, 173 were identified with no evidence of expression in *Indica* while only 18 were identified in *Japonica*. Collectively, these results suggest that the divergence between *Indica* and *Japonica* subspecies may be due, in part, to differences in mean expression levels as well as presence-absence expression variation.

Japonica subspecies exhibits reduced genetic and transcriptional diversity

Several studies have shown that the unique domestication history of the two subspecies has resulted in large differences in the overall genetic diversity between the two subspecies, with *Indica* being more genetically diverse than *Japonica* Caicedo et al. (2007); Huang et al. (2010, 2012b); Mather et al. (2007). We next explored the variation in gene expression within each subspecies. Two metrics were used to examine the differences in diversity at both the genetic and transcriptional levels within each subspecies: nucleotide diversity (π) and the coefficient of variation (CV). Diversity analyses within each subspecies may be influenced by differences in sample size. Since the number of *Japonica* accessions were greater than *Indica*, a subset of 35 *Japonica* accessions were randomly selected for diversity analyses. The results for the full set of 56 *Japonica* accessions are provided as Figure S1.

Expression diversity was estimated using the coefficient of variation (CV) for 22,675 genes. CV was significantly different between the two subspecies (Wilcoxon rank sum test, $p < 0.0001$; Figure 2). The *Indica* subspecies exhibited approximately 12.6% higher expression diversity compared to *Japonica*. On average, CV in the *Indica* subspecies was 3.46, while in the *Japonica* subspecies the mean CV was 3.07. These results suggest that the transcriptional diversity is lower in the *Japonica* subspecies compared to *Indica*. CV estimates using the complete set of *Japonica* accession were similar (CV: 3.46 and 3.10 for *Indica* and *Japonica*, respectively; Figure S1).

Genetic diversity within each subspecies was estimated using π for 33,543 SNPs in randomly selected 35 *Indica* and 35 *Japonica* accessions. Similar differences were observed for π as CV, however the differences between subspecies was much greater (Wilcoxon rank sum test, $p < 0.0001$; Figure 2). The *Indica* subspecies

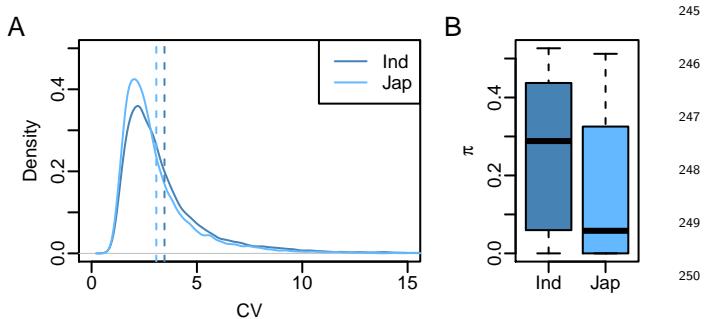


Figure 2. Genetic and expression diversity within *Indica* and *Japonica* accessions. (A) The coefficient of variation was used as an estimate of the diversity in gene expression within each subspecies. A subset of 35 *Japonica* accessions were randomly selected for diversity analyses to ensure that sample sizes were equal between the two subspecies. The vertical dashed lines represent the mean CV within each subspecies. (B) Site-wise nucleotide diversity (π) was used as an estimate of the genetic diversity within each of the subspecies using 36,901 SNPs described by Zhao et al. (2011).

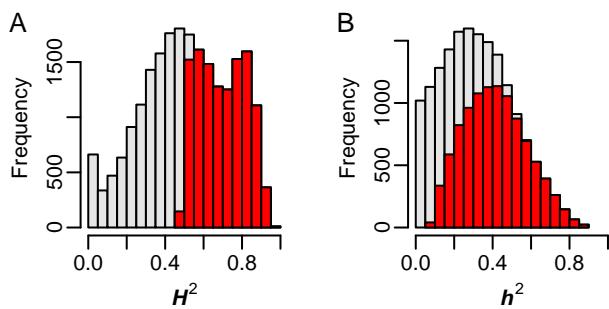


Figure 3. Heritability of gene expression across *O. sativa* subspecies. Distribution of broad-sense heritability (H^2) and narrow-sense heritability (h^2) for 22,675 genes are pictured in panels A and B, respectfully. Bars highlighted in red indicate genes with significant genetic effects ($FDR < 0.001$).

Gene expression is heritable in cultivated rice

The above analyses shows a strong differentiation between the subspecies at transcriptional and genetic levels, and presents a possible linkage between expression and genetic diversity. However, the extent of variation in gene expression that can be accounted by genetic variation is not yet determined. To estimate the extent to which variation in gene expression is under genetic control, a mixed model was fit to the expression of each of the 22,675 genes and the variance between accessions was estimated. The significance of the random *between – accession* term was determined using a likelihood-ratio test. The broad-sense heritability (H^2) was estimated as the proportion of the total variance explained by between-accession variance to total variance. A total of 11,895 genes showed a significant *between – accession* variance ($FDR < 0.001$; $H^2 \geq 0.47$), which accounts for approximately 53% of the genes expressed in at least 20% of the samples (Figure 2A; Supplemental File S2). H^2 ranged from 0.97 to 0.47, with 4,606 genes showing highly heritable expression ($H^2 > 0.75$), 7,145 showing moderate H^2 ($0.5 < H^2 \leq 0.75$), and the remaining 146 showing low H^2 .

To determine the extent to which additive genetic effects could explain variance in gene expression, a genomic relationship matrix was constructed using 32,849 SNPs following VanRaden (2008) and variance components were estimated using a mixed linear model for each gene. A total of 10,125 genes were identified with significant h^2 (Supplemental File S2). Of these, 234 genes had highly heritable expression ($h^2 \geq 0.75$), while 2,750 genes showed moderate heritability ($0.5 \leq h^2 < 0.75$) (Figure 3B). An additional 7,141 genes showed low narrow sense heritability ($h^2 < 0.5$). Collectively, these results indicate that a large portion of the rice transcriptome is under genetic control.

showed a 64.7% higher nucleotide diversity (π) compared to *Japonica*. On average, π estimates were 0.26 for *Indica* and 0.17 for *Japonica*. These results are consistent with reports by Huang et al. (2012b) and Garris et al. (2005), and are in agreement with the expression diversity reported above. Together these data suggest that the *Japonica* subspecies exhibits less genetic and transcriptional diversity compared to *Indica*.

Genetic variability of gene expression is considerably different between subspecies

The analyses above indicate that the two subpopulations differ at the transcriptional and genetic levels, and that for many genes, variation in expression can be explained by genetic effects. We next asked whether the heritability of gene

expression is different between the two subspecies. To this end, the expression dataset was partitioned into *Indica* and *Japonica* subsets and genes with low expression in each subspecies were removed (expressed in less than 20% of the samples). Since the number of accessions for the two subspecies are unequal, 35 *Japonica* accessions were randomly sampled to ensure the two samples were of equal size, and the number of genes that were expressed in each subspecies were quantified. Here, a gene was considered expressed if 10 or more reads mapped to the gene in 20% or more of the samples. A total of 22,444 genes were found to be expressed in at least 20% of the samples for the *Japonica* subspecies, while 22,068 were found to be expressed in the

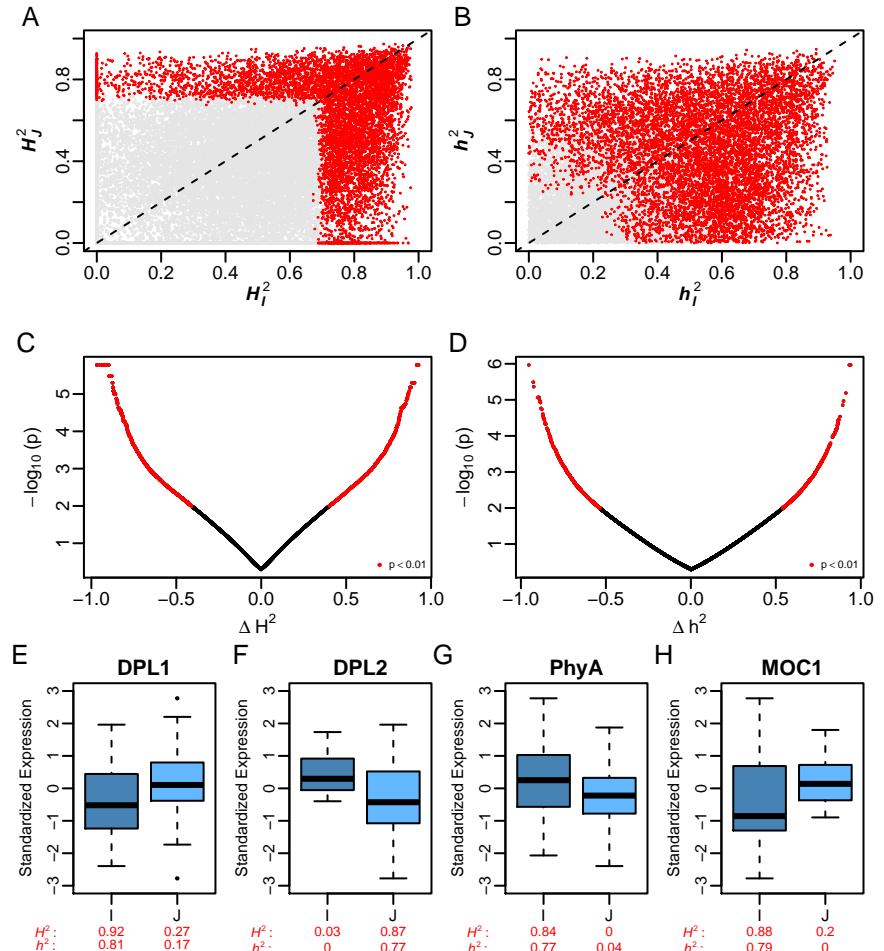


Figure 4. Divergent genetic variability between subspecies. (A) Comparison of broad-sense heritability between *Indica* (H_I^2) and *Japonica* (H_J^2). (B) Comparisons of narrow sense heritability between the two sub-species. Red colored points in B and C indicate genes with significantly heritable expression ($FDR < 0.001$). Differences in broad (C) and narrow sense heritability (D) between *Indica* and *Japonica*. The difference in heritability is calculated as $H_J^2 - H_I^2$ or $h_J^2 - h_I^2$. (E-H) Standardized expression of agriculturally important genes showing differences in genetic variability between subspecies. The heritability is provided below each box plot. I: *Indica*, J: *Japonica*

Indica subspecies. A large number of genes were common to both subspecies (21,166 genes). A total of 1,278 334 genes were found to be uniquely expressed in *Japonica*, and 902 were found to be uniquely expressed in 335 *Indica*. 336

A total of 5,005 genes exhibited significant H^2 in *Indica* and 3,338 genes in *Japonica* ($FDR < 0.001$; 337 Supplemental File S3). For these genes, H^2 ranged from 0.67 to 0.98 in *Indica* and 0.67 to 0.97 in *Japonica*. 338 A larger number of genes were identified with significant additive genetic variance, with 6,804 identified in 339 *Indica* and 5,103 found in *Japonica*. For these genes, narrow-sense heritability ranged from 0.201 to 0.953 in 340 *Indica* and 0.220 to 0.948 in *Japonica*. Interestingly, few genes showed significant heritable expression in both 341 subspecies. For instance, only 1,681 and 2,644 genes were found to have significant H^2 and h^2 , respectively, 342 in both *Indica* and *Japonica*. Moreover, a comparison of H^2 and h^2 between subspecies showed that for 343 many genes, heritability estimates were considerably different between *Indica* and *Japonica* (Figure 4). 344

To systematically identify genes showing significant differences in H^2 or h^2 (ΔH^2 and Δh^2 , respectively) 345 between subspecies, accessions were randomly partitioned into two groups of equal size and the difference in 346 heritability was estimated between groups. The resampling approach was repeated 100 times. A total of 347 1,860 genes showed significant differences in H^2 ($p < 0.01$) between the two subspecies, with a minimum 348 absolute difference in H^2 of 0.40. Fewer genes were identified with a significant difference in h^2 between 349 *Japonica* and *Indica* (Supplemental File S4). Only 1,325 genes were found with significant differences in h^2 350 between *Indica* and *Japonica*, and the absolute difference in h^2 ranged from 0.54 to 0.95 (Figure 4). 351

These differences in heritability may be due to insufficient phenotypic variation (e.g. lack of expression 352 diversity), or changes in the genetic or environmental factors that contribute to phenotypic variation. Thus, 353 to further examine the potential causes of the observed differences in heritability, we quantified the 354 expression diversity (CV), genetic variation and environmental variation within each subspecies for genes 355 exhibiting ΔH^2 and Δh^2 , as well as those with shared heritable variation. For genes exhibiting 356 subspecies-specific genetic variability, the loss of heritability was largely due to an increase in environmental 357 effects on phenotypic variation in the subspecies lacking heritability rather than loss of phenotypic variation. 358 This is clearly evident in Supplemental Figure S2. The mean CV for ΔH^2 genes decreased slightly in 359 subspecies lacking genetic variability. However, for these same genes the proportion of phenotypic variation 360 that was explained by environmental effects increased significantly in subspecies lacking genetic variability. 361 Collectively, these results suggest that the differences in heritability exhibited between the subspecies is 362 driven largely by loss of genetic variability and an increase in environmental effects rather than a loss of 363 phenotypic variation. 364

Interestingly, several genes that have been reported to have divergent genetic variants between *Indica* and 365

Japonica were found within ΔH^2 and Δh^2 genes. For instance, *DOPPELGANGER1* (*DPL1*) showed 366 significantly higher H^2 and h^2 in *Indica* relative to *Japonica* (H^2 : 0.92 and 0.27, respectfully, $p_{\Delta H^2} = 0.011$; 367 h^2 : 0.81 and 0.17, $p_{\Delta h^2} = 0.004$; 4E). However for *DOPPELGANGER2*, the converse was true. 368 Significantly higher H^2 and h^2 was observed in *Japonica* relative to *Indica* (H^2 : 0.87 and 0.03, 369 $p_{\Delta H^2} < 0.001$; h^2 : 0.77 and 0, respectfully, $p_{\Delta h^2} = 0.005$; Figure 4F). Mizuta et al. (2010) showed that 370 *DPL1* and *DPL2* are important regulators of *Indica-Japonica* hybrid incompatibility, and non-functional 371 alleles arose independently for *DPL1* and *DPL2* within the *Indica* and *Japonica* subspecies respectively. 372 Thus the results reported by Mizuta et al. (2010) are consistent with the divergent genetic variability in 373 expression observed in our study. In addition to *DPL1* and *DPL2*, a gene that is important for the 374 regulation of shoot growth/ architecture, *MOC1*, also displayed divergent genetic variability between 375 subspecies. *MOC1* showed significant differences in both H^2 and h^2 (Figure 4H). Collectively, these results 376 show that the two subspecies are divergent at the transcriptional and genetic levels. Moreover, many genes 377 exhibit large differences in genetic variability between the *Indica* and *Japonica*, suggesting that these genes 378 may be regulated by divergent genetic mechanisms. 379

Joint eQTL analysis assesses *cis*-regulatory divergence between subspecies 380

The differences in the narrow-sense heritability between subspecies observed for some genes suggest a 381 divergence in the genetic regulation of these genes. Using the transcriptional and genotypic data for this 382 population, we next sought to identify genetic variants that could explain this divergent genetic regulation. 383 To this end, a joint eQTL analysis was conducted across subspecies using the eQTL Bayesian model 384 averaging (BMA) approach described by Flutre et al. (2013). With this approach, the posterior probability 385 of specific configurations can be formally tested; in other words, the probability that an eQTL is 386 present/active in both the *Indica* and *Japonica* subspecies or unique to a given subspecies can be determined. 387 The 91 accessions were classified into *Indica* and *Japonica* subspecies using STRUCTURE as described 388 earlier, yielding 35 *Indica*-type and 56 *Japonica*-type accessions. eQTLs were modeled for genes showing 389 significant H^2 in at least one subspecies (6,307 genes) and 274,499 SNPs. For each gene, associations were 390 tested for SNPs within 100kb of the transcription start site. A total of 5,097 genes were detected with one or 391 more eQTL at an FDR of 0.05 (Supplemental File S5). This equates to approximately 81% of the genes 392 displaying heritable expression, and indicates that a large portion of genes with heritable expression are 393 regulated by variants in close proximity to the gene. 394

To identify eQTL genes that were specific to a given subspecies, the SNP with the highest probability of 395

being the eQTL was selected for each gene, and the posterior probability for all three configurations (*Indica*-specific, *Japonica*-specific, and across subspecies) was compared. Of the 5,097 eQTL genes detected, 80% (4,077 genes; 3,826 unique SNPs) were detected across subspecies, 18% (914 genes; 880 unique SNPs) were detected for *Indica* accessions, and 2% (106 genes; 103 unique SNPs) were detected only in *Japonica* accessions. These results indicate that while a large portion of *cis*-eQTLs are shared across the two subspecies of cultivated rice, many genes are regulated by unique *cis* regulatory mechanisms that are specific to the *Indica* subspecies.

Signatures of selection are evident among subspecies specific eQTL

The presence or absence of *cis*-regulatory variants within a given subspecies may be the result of the unique domestication histories that have shaped *Indica* and *Japonica*, and/or driven by environmental adaptation of the wild progenitors from which they were derived. The absence of variation at the eQTL SNP could be due to sampling during differentiation of the wild progenitors or during domestication (e.g. lost purely by chance), or due to selective pressures imposed by the environment or humans. In the case of selection, we expect to see reduced genetic diversity around the eQTL compared to the rest of the genome. To determine whether the absence of subspecies-specific eQTL are the result of selection, we calculated the average nucleotide diversity (π) in 100 Kb windows around significant subspecies-specific eQTL within each subspecies and compared these values to the overall average π for 100 Kb windows across the genome within

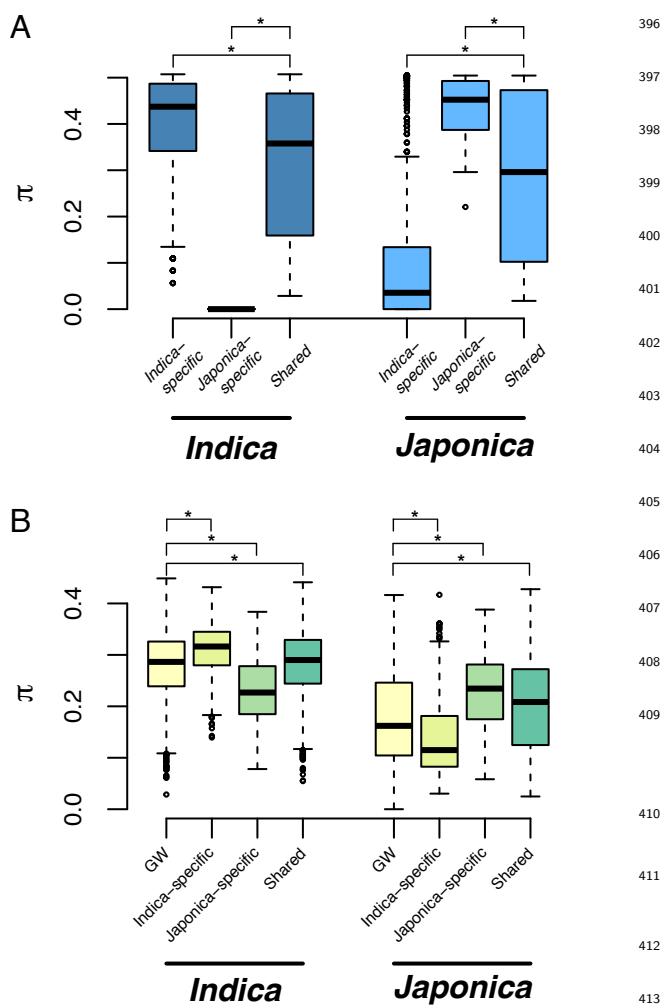


Figure 5. Nucleotide diversity at *cis*-eQTL. (A) Nucleotide diversity (π) for the most significant SNP for each *cis*-eQTL. The distribution of π is pictured for each subspecies and each eQTL type. (B) Distribution of π for 100 Kb windows around the most significant SNP for each *cis*-eQTL. Genome-wide (GW) π was determined by randomly selecting X SNPs that were more than 100 kb from a *cis*-eQTL and low diversity SNPs (MAF < 0.1 in both subspecies) were removed prior to analyses. Asterisks indicate a significant differences determined via Tukey's test between eQTL types ($p < 1 \times 10^{-8}$).

each subspecies using a two-sided t -test. Comparisons within each subspecies of π for eQTLs and the genome-wide average should account for the inherent differences in π between the two subspecies.

Consistent with what would be expected under selection, a significant reduction in nucleotide diversity was observed for eQTL SNPs that were absent in a subspecies, as well as for regions around subspecies-specific eQTL (Figure 3). For instance, for *Indica*-specific eQTL, the average π in *Japonica* was approximately 22% lower than the genome-wide average (0.138 and 0.176, respectively; $p < 1 \times 10^{-15}$). Similarly, the average π in *Indica* for *Japonica*-specific eQTL was about 16% lower than the genome-wide average (0.235 and 0.279, respectively; $p = 3.85 \times 10^{-10}$). Interestingly, slightly higher nucleotide diversity was observed for regions around subspecies-specific eQTL in subspecies in which they were detected compared to genome-wide nucleotide diversity, as well as for shared eQTL when compared to genome-wide nucleotide diversity. Collectively, these results indicate that the absence of eQTL within a given subspecies may be the result of selective pressures that reduced genetic diversity within the eQTL regions.

Given the small sample size in the current study ($n = 91$) we sought to confirm these results using resequencing data for a larger population of 3,024 diverse rice accessions (Wang et al., 2018; Mansueto et al., 2016a,b; Alexandrov et al., 2014). To this end, we extracted SNP information for 3,024 rice accessions in the same 100 Kb window surrounding eQTL, and examined π within each subpopulation for these regions. As above, π within these regions were compared with genome-wide averages for 100 kb windows. The 3,024 rice accessions are classified into 12 subpopulations: *admix* (103 accessions), *aromatic* (76 accessions), *aus* (201 accessions), *indica1A* (209 accessions), *indica1B* (205 accessions), *indica2* (285 accessions), *indica3* (475 accessions), *indica-X* (615 accessions), *japonica-X* (83 accessions), *subtropical japonica* (112 accessions), *temperate japonica* (288 accessions), and *tropical japonica* (372 accessions). The *Indica* subspecies are represented by *indica1A*, *indica1B*, *indica2*, *indica3*, and *indica-X*; while the *Japonica* subspecies consists of the *japonica-X*, *subtropical japonica*, *temperate japonica*, and *tropical japonica* subpopulations.

Consistent with the results derived from the 91 accessions, π within subspecies-specific eQTL was lower in subpopulations lacking the eQTL. For instance, for the *Japonica* subpopulations (*japonica-x*, *subtropical japonica*, *temperate japonica*, and *tropical japonica*) π estimates for *Indica*-specific eQTL were considerably lower than those for *Indica* subpopulations (*indica-1A*, *indica-1B*, *indica-2*, *indica-3*, and *indica-x*). The converse was true for *Japonica*-specific eQTL, with lower π observed in *Indica* subpopulations relative to *Japonica*. However for the shared eQTL, π estimates were higher than the genome-wide averages, suggesting that genetic diversity within regions that regulate gene expression is maintained.

To identify specific loci that may have been targeted by selection, we selected eQTL regions with an average π within a 100 Kb window that was below the the 5% quantile for genome-wide average for a given subspecies. Consistent with the results above, we observed a greater frequency of low diversity eQTL regions in subspecies lacking the subspecies-specific eQTL. For instance, approximately 11% of the 880 *Indica*-specific eQTL were found in regions of low diversity in *Japonica* ($\pi_{Jap} \leq 0.0645$). While for *Japonica*-specific eQTL, 14% (14 of the 103) eQTL regions were lying in regions of low diversity in *Indica* ($\pi_{Ind} \leq 0.1617$). However, for shared eQTL and for subspecies in which the subspecies-specific eQTL was detected, the converse was true. Only a small percentage of eQTL regions were found within regions of low diversity. For instance, approximately 3.5% of shared eQTL were found in regions of low diversity in both *Indica* and *Japonica*, and less than 1% of subspecies eQTL were found in regions of low diversity in the subspecies in which they were detected. Collectively these results suggest that selective pressures may have shaped the cis-regulatory divergence of the *Indica* and

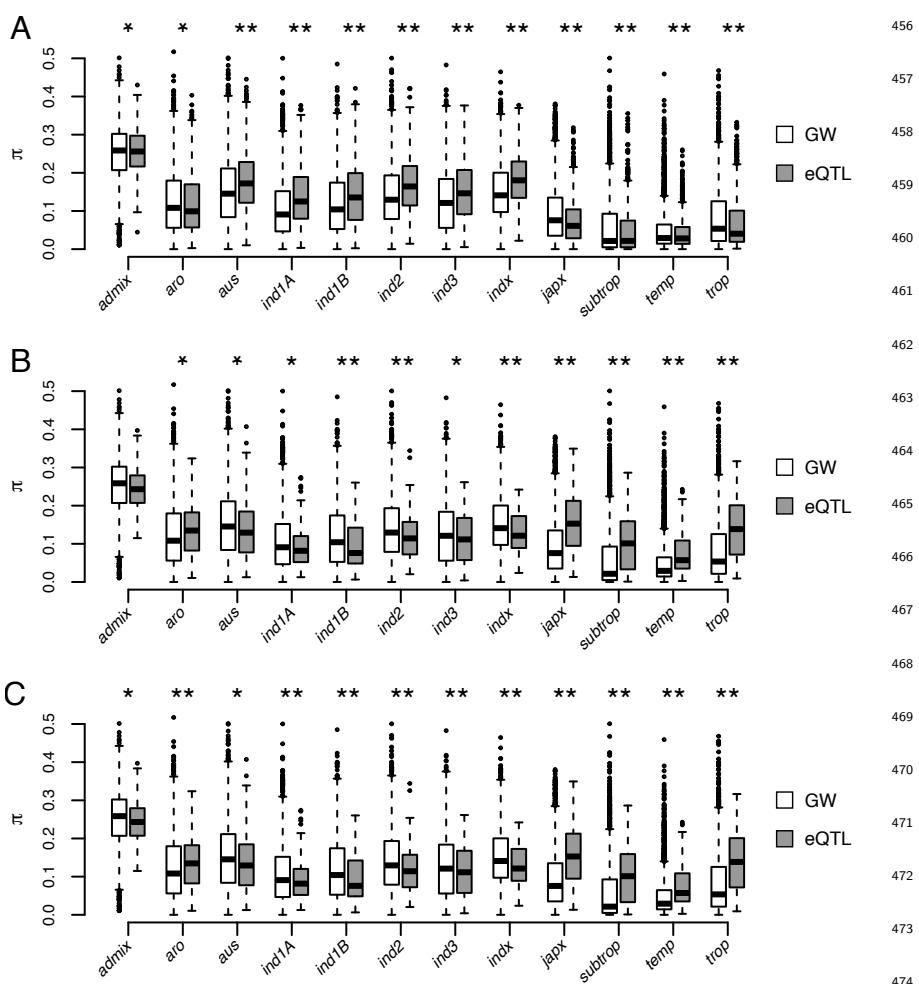


Figure 6. Nucleotide diversity at cis-eQTL within subpopulations for 3,053 rice accessions. Average nucleotide diversity (π) for 100 kb regions surrounding *Indica*-specific, *Japonica*-specific, and shared eQTL are pictured in panels A, B, and C, respectively. For each, subpopulation and class of eQTL (e.g. *Indica*-specific, *Japonica*-specific, and shared) π was calculated for each SNP within 100 kb of the most significant eQTL SNP. π for the eQTL windows were compared to a genome wide (GW) average in which regions with eQTL and site with low diversity (MAF < 0.01 in 10 of 12 subpopulations) were excluded. Asterisks indicate significant differences between GW and eQTL regions determined using a two-sided Student's t -test (* $p < 0.05$; ** $p < 0.001$). Subpopulations are named following Wang et al. (2018) (aro: aromatic; *ind1A*: *indica*-1A; *ind1B*: *indica*-1B; *ind2*: *indica*-2; *indx*: *indica*-X; *japx*: *japonica*-X; *subtrop*: subtropical *japonica*; *temp*: temperate *japonica*; *trop*: tropical *japonica*).

Japonica subspecies.

488

Discussion

489

The differentiation between the *Indica* and *Japonica* subspecies of cultivated rice has been intensively studied 490 at the morphological, biochemical, and genetic levels (Kato, 1928; Terao and Mizushima, 1942; Matsuo, 1952; 491 Morinaga, 1954; Morishima and Oka, 1981; Glaszmann, 1987; Goff et al., 2002; Yu et al., 2002; Feltus et al., 492 2004; Stein et al., 2018; Koide et al., 2018; Schatz et al., 2014; Huang et al., 2008; Wang et al., 2014; Huang 493 et al., 2012b). However, the divergence at the transcriptional levels remains understudied. Here, we provide a 494 comprehensive analysis of the transcriptional and *cis*-regulatory divergence between the major subspecies of 495 rice, and show that the presence or absence of *cis* regulatory variants within the subspecies is a component of 496 this divergence. 497

The transcriptional divergence is most evident in the large number of expressed genes showing differences 498 in the magnitude or frequency of expression. Of the 25,732 genes showing evidence of expression in the 499 current study, approximately 29% showed significant differences in expression levels between the two 500 subspecies. Moreover, approximately 8% of expressed genes showed evidence of presence-absence expression 501 variation. While few studies have examined the differences in expression levels between diverse populations 502 of *Indica* and *Japonica*, recent studies have utilized whole genome sequencing to shed light on the genetic 503 differentiation between the subspecies of cultivated rice (Huang et al., 2012b; Wang et al., 2018). In a recent 504 study, Wang et al. (2018) found that on average approximately 15% of all genes showed evidence of PAV 505 between the genomes of *Indica* and *Japonica* accessions, further indicating that PAV is pervasive between the 506 subspecies of cultivated rice. While the number of PAV reported by Wang et al. (2018) are nearly two fold 507 higher than those reported in the current study, it is important to note that only a single tissue was sampled 508 for 91 accessions at a single time point. Therefore, while the expression data provides considerable insight 509 into transcriptional variation in cultivated rice, it likely captures only a portion of the total transcriptome 510 given the lack of temporal and spatial resolution. Moreover, Wang et al. (2018) captured PAV using 3,010 511 resequenced rice genomes, while the current study utilized only a fraction of the variation of Wang et al. 512 (2018) with RNA sequencing of 91 accessions. Thus, increased sample size via larger populations and more 513 sampling within tissue and developmental context may lead to a better agreement between PAV at the 514 genome and transcriptional levels. 515

Potential causes of transcriptional divergence between *Indica* and *Japonica*

516

Lower mean expression values or absence of expression in a given subspecies may be the result of both 517
heritable and non-heritable effects. The availability of high density SNP information for RDP1 allowed us to 518
begin to elucidate the genetic basis of the observed transcriptional divergence between the subspecies of 519
cultivated rice. A notable portion of genes with evidence of PAV or DE also showed differences in genetic 520
variability between the subspecies (13% and 9% of DE genes showed differences in H^2 and h^2 , respectively, 521
and 20% and 15% of PAV genes showed differences in H^2 and h^2), indicating that for many genes, the 522
genetic mechanisms that regulate expression may be different between the two subspecies. However, many 523
genes that display divergent expression patterns have non-significant differences in genetic variability. There 524
are several explanations for this. For one, the thresholds used to identify genetically divergent genes were 525
quite stringent. For instance, genes must have a difference in genetic variability in either the broad sense 526
greater than 0.4022 between subspecies to be labeled as statistically significant, and in the narrow sense 527
0.5364. Therefore, it is possible that many more DE or PAV genes have different genetic architectures in the 528
two subspecies, but were missed because of the statistical threshold selected. A second possibility is that 529
many of the genes showed divergent expression are influenced greatly by the environment, and thus have low 530
heritability. Thus, these genes would be filtered out in these genetic analyses. 531

The heritable transcriptional divergence may be due to genetic variants that influence gene expression 532
and are divergent between *Indica* and *Japonica*. These include large structural variants (e.g. deletions, 533
insertions, inversions, and/or duplications), or SNPs that may act in cis or trans to influence gene regulation. 534
While high density SNP information is available for this population and can be leveraged to identify SNPs 535
that regulate expression and are divergent between the subspecies, the identification of larger structural 536
variants that influence expression is only attainable through full genome sequencing, which is not currently 537
available for RDP1. As more genetic resources become available for RDP1 this would be a promising future 538
direction to resolve the causal basis of these transcriptional differences. 539

The availability of high density SNP information for RDP1 allowed us to begin to elucidate the genetic 540
basis of the observed transcriptional divergence between the subspecies of cultivated rice, and classify genetic 541
effects into those that are common between subspecies, or unique to a given subspecies. While the 542
eQTL-BMA approach has proven to be a powerful framework for assessing the specificity of eQTL for a 543
given tissue or population, one potential limitation of eQTL-BMA is that the framework only allows 544
modeling cis-eQTL. Trans-eQTLs are often difficult to detect due the penalties associated with the large 545
number of statistical tests performed, and because trans-eQTL often have small effect sizes and thus require 546

larger dataset for detection. Several studies in humans have shown that cis-eQTL typically only explain 547
30-40% of genetic variation in expression (Price et al., 2011; Grundberg et al., 2012; Hore et al., 2016). Thus, 548
the divergent regulatory variants captured in the current study only reflect a portion of the differences in 549
genetic variation between the two subspecies. Further studies are necessary to shed light on the contribution 550
of trans-regulatory variants on the genetic differentiation between *Indica* and *Japonica* transcriptomes. 551

The joint eQTL analysis facilitated the identification of 5,097 genes associated with one or more SNP in 552
cis. For most of these genes (81%), the cis-regulatory variant was shared between both subspecies, indicating 553
that much of the cis-regulatory variation is common between the two subspecies. This high degree of overlap 554
is somewhat expected. For one, both *Indica* and *Japonica* originate from populations of the same species, 555
Oryza rufipogon. Moreover, crosses between *Indica* and *Japonica* often produce viable offspring, indicating a 556
high degree of colinearity and functional similarity between the genomes. Thus, while considerable 557
differentiation between founder *Oryza rufipogon* populations has been reported and further divergence has 558
likely occurred since domestication, the common origin and inter-specific comparability suggests that the 559
transcriptional regulation and genome structure is similar (Huang et al., 2012b). 560

Despite the majority of cis-regulatory variants being shared between the two subspecies, approximately 561
18% of all genes with one or more eQTL were found to be unique to *Indica* or *Japonica*. The large majority 562
of these subspecies-specific eQTL were detected in the *Indica* subspecies and were nearly fixed in *Japonica* 563
indicating low genetic diversity at the eQTL. Moreover, the genetic variation surrounding subspecies-specific 564
eQTL were significantly lower than genome wide averages, indicating that selective pressures may have 565
uniquely shaped components of *cis*-regulatory variation between the two subspecies. The two subspecies are 566
derived from geographically and genetically distinct subpopulations of *Oryza rufipogon* (Huang et al., 2012b). 567
Therefore, it remains an open question whether these events occurred during the differentiation between *O.* 568
rufipogon subpopulations or during the domestication of *O. sativa*. 569

We found significantly higher nucleotide diversity in the regions surrounding eQTL compared to genome 570
wide averages. These patterns of diversity were consistent within subpopulations for shared eQTL, as well as 571
for subspecies-specific eQTL in the subspecies or subpopulations in which they were detected. Although the 572
functions for the majority of these eQTL genes are unknown, the observation that their expression is 573
regulated at a genetic level suggests that they may play a role in the regulation of some biological process. 574
Genetic diversity is a prerequisite to evolutionary change (Lewontin et al., 1974). Therefore the higher 575
nucleotide diversity at these regions compared to genome-wide backgrounds may be reflective of the 576
importance of maintaining genetic variation for these biological processes through regulation at the 577
transcriptional level. 578

Functional significance of transcriptional divergence

579

The current study sheds light on the transcription divergence between the major subspecies of cultivated rice. 580
Many of these genes found to have divergent expression, genetic variability, or regulatory variation have been 581
reported to be underlying important agronomic traits, such as photoperiod adaptation and development. 582

Therefore these observed differences may have potential agronomic significance. 583

Among these divergent genes, we identified three genes (*OsPhyA*, *OsPhyC*, and *OsCO3*), that have been 584
reported to be associated with the timing of reproductive development in response to day length that had 585
significant heritability in *Indica* only. The two phytochrome genes, *OsPhyA* and *OsPhyC* are activated under 586
long-day conditions and repress flowering time through *OsGhd7* (Takano et al., 2005; Lee et al., 2016). 587

Although no studies have shown whether *OsCO3* participate directly in the pathway involving *OsPhy* genes, 588
disruption of *OsCO3* interferes with photoperiod sensitivity and/or flowering time (Kim et al., 2008). For 589
instance, Kim et al. (2008) showed that the overexpression of *OsCO3* delayed flowering under short-day 590
conditions. In most rice varieties, short-days promote the transition from vegetative to reproductive growth 591
(Song et al., 2015). However, *temperate japonica* rice varieties adapted to higher latitudes have been selected 592
to initiate flowering in long-days to escape the negative impact of low temperatures in autumn on pollen 593
fertility (Huang et al., 2012a; Itoh et al., 2004; Naranjo et al., 2014). All genes showed heritable expression 594
only in the *Indica* subspecies, indicating that in the *Japonica* subspecies expression variation may be driven 595
largely by non-genetic effects. Moreover, the patterns of genetic variability for these genes are consistent with 596
their potential role in the adaptation of flowering in different environments for *Indica* and *Japonica*. 597

In addition to genes regulating phenology, several genes were identified that have been reported to play 598
important roles in the regulation of shoot architecture (*D18*, *MT2b*, and *MOC1*). For instance, two genes 599
dwarf18 (*D18*) and *Metallothionein2b* (*MT2b*) have been reported to regulate plant height (Itoh et al., 600
2001; Yuan et al., 2008). *D18* encodes a GA- β hydroxylase and is involved with GA biosynthesis. Loss of 601
function mutants exhibit a severe dwarf phenotype (Itoh et al., 2001). Interestingly, *D18* was found to have an 602
Indica-specific eQTL, but did not exhibit a difference in H^2 or h^2 between the two subspecies ($p = 0.046$ and 603
 $p = 0.19$, respectively), indicating that genetic differences may be confined to local regions around *D18*. The 604
diversity within the 100kb regions surrounding the eQTL region was quite high compared to the 605
genome-wide average in both subspecies ($\pi_{Ind} = 0.27$, $\pi_{Jap} = 0.18$) indicating that the absence of the *D18* 606
eQTL in *Japonica* may be due to low diversity within the eQTL SNP, rather than potential selective 607
pressures between subspecies. 608

Conclusions

609

The morphological and genetic differences between subspecies of cultivated rice have been studied extensively, 610
however the divergence of *Indica* and *Japonica* at the transcriptional and regulatory levels is largely 611
unresolved. Here, we provide, to date, the first detailed population-level characterization of transcriptional 612
diversity within cultivated rice, and assess the divergence in transcriptomes and expression variation between 613
Indica and *Japonica*. We find that many agronomically important genes exhibit differences in expression 614
levels, and/or cis-regulatory variation between the subspecies. These resources provided by this study can 615
serve as a foundation for future functional genomics studies in rice, and can be further utilized to connect 616
gene function with natural variation in gene expression. 617

Acknowledgments

618

Funding for this research was provided by the National Science Foundation (United States) through Awards 619
1238125 and 1736192 to Harkamal Walia. 620

Data Availability

621

All transcriptional data can be accessed via NCBI Gene Expression Omnibus under accession number 622
GSE98455. 623

References

Alexandrov, N., Tai, S., Wang, W., Mansueto, L., Palis, K., Fuentes, R. R., Ulat, V. J., Chebotarov, D., Zhang, G., Li, Z., et al. (2014). Snp-seek database of snps derived from 3000 rice genomes. *Nucleic acids research*, 43(D1):D1023–D1027.

Anders, S., Pyl, P. T., and Huber, W. (2015). Htseq—a python framework to work with high-throughput sequencing data. *Bioinformatics*, 31(2):166–169.

Andrews, S. et al. (2010). FastQC: a quality control tool for high throughput sequence data.

Bates, D., Mächler, M., Bolker, B., and Walker, S. (2015). Fitting linear mixed-effects models using lme4. *Journal of Statistical Software*, 67(1):1–48.

Benjamini, Y. and Hochberg, Y. (1995). Controlling the false discovery rate: a practical and powerful approach to multiple testing. *Journal of the royal statistical society. Series B (Methodological)*, pages 289–300.

Bolger, A. M., Lohse, M., and Usadel, B. (2014). Trimmomatic: a flexible trimmer for illumina sequence data. *Bioinformatics*, 30(15):2114–2120.

Butler, D., Cullis, B. R., Gilmour, A., and Gogel, B. (2009). Asreml-r reference manual. *The State of Queensland, Department of Primary Industries and Fisheries, Brisbane*.

Caicedo, A. L., Williamson, S. H., Hernandez, R. D., Boyko, A., Fledel-Alon, A., York, T. L., Polato, N. R., Olsen, K. M., Nielsen, R., McCouch, S. R., et al. (2007). Genome-wide patterns of nucleotide polymorphism in domesticated rice. *PLoS genetics*, 3(9):e163.

Danecek, P., Auton, A., Abecasis, G., Albers, C. A., Banks, E., DePristo, M. A., Handsaker, R. E., Lunter, G., Marth, G. T., Sherry, S. T., et al. (2011). The variant call format and vcftools. *Bioinformatics*, 27(15):2156–2158.

Ding, J., Araki, H., Wang, Q., Zhang, P., Yang, S., Chen, J.-Q., and Tian, D. (2007). Highly asymmetric rice genomes. *BMC genomics*, 8(1):154.

Eizenga, G. C., Ali, M., Bryant, R. J., Yeater, K. M., McClung, A. M., McCouch, S. R., et al. (2014). Registration of the rice diversity panel 1 for genomewide association studies. *Journal of Plant Registrations*, 8(1):109–116.

Famoso, A. N., Zhao, K., Clark, R. T., Tung, C.-W., Wright, M. H., Bustamante, C., Kochian, L. V., and McCouch, S. R. (2011). Genetic architecture of aluminum tolerance in rice (*Oryza sativa*) determined through genome-wide association analysis and QTL mapping. *PLoS genetics*, 7(8):e1002221.

Feltus, F. A., Wan, J., Schulze, S. R., Estill, J. C., Jiang, N., and Paterson, A. H. (2004). An snp resource for rice genetics and breeding based on subspecies indica and japonica genome alignments. *Genome research*, 14(9):1812–1819.

Flutre, T., Wen, X., Pritchard, J., and Stephens, M. (2013). A statistical framework for joint eqtl analysis in multiple tissues. *PLoS genetics*, 9(5):e1003486.

Garris, A. J., Tai, T. H., Coburn, J., Kresovich, S., and McCouch, S. (2005). Genetic structure and diversity in *Oryza sativa* L. *Genetics*, 169(3):1631–1638.

Glaszmann, J.-C. (1987). Isozymes and classification of asian rice varieties. *Theoretical and Applied Genetics*, 74(1):21–30.

Goff, S. A., Ricke, D., Lan, T.-H., Presting, G., Wang, R., Dunn, M., Glazebrook, J., Sessions, A., Oeller, P., Varma, H., et al. (2002). A draft sequence of the rice genome (*Oryza sativa* L. ssp. *japonica*). *Science*, 296(5565):92–100.

Grundberg, E., Small, K. S., Hedman, Å. K., Nica, A. C., Buil, A., Keildson, S., Bell, J. T., Yang, T.-P., Meduri, E., Barrett, A., et al. (2012). Mapping cis-and trans-regulatory effects across multiple tissues in twins. *Nature genetics*, 44(10):1084.

Hore, V., Viñuela, A., Buil, A., Knight, J., McCarthy, M. I., Small, K., and Marchini, J. (2016). Tensor decomposition for multiple-tissue gene expression experiments. *Nature genetics*, 48(9):1094.

Huang, C.-L., Hung, C.-Y., Chiang, Y.-C., Hwang, C.-C., Hsu, T.-W., Huang, C.-C., Hung, K.-H., Tsai, K.-C., Wang, K.-H., Osada, N., et al. (2012a). Footprints of natural and artificial selection for photoperiod pathway genes in *Oryza*. *The Plant Journal*, 70(5):769–782.

Huang, X., Kurata, N., Wang, Z.-X., Wang, A., Zhao, Q., Zhao, Y., Liu, K., Lu, H., Li, W., Guo, Y., et al. (2012b). A map of rice genome variation reveals the origin of cultivated rice. *Nature*, 490(7421):497.

Huang, X., Lu, G., Zhao, Q., Liu, X., and Han, B. (2008). Genome-wide analysis of transposon insertion polymorphisms reveals intraspecific variation in cultivated rice. *Plant physiology*, 148(1):25–40.

Huang, X., Sang, T., Zhao, Q., Feng, Q., Zhao, Y., Li, C., Zhu, C., Lu, T., Zhang, Z., Li, M., et al. (2010). Genome-wide association studies of 14 agronomic traits in rice landraces. *Nature genetics*, 42(11):961.

Itoh, H., Tatsumi, T., Sakamoto, T., Otomo, K., Toyomasu, T., Kitano, H., Ashikari, M., Ichihara, S., and Matsuoka, M. (2004). A rice semi-dwarf gene, tan-ginbozu (d35), encodes the gibberellin biosynthesis enzyme, ent-kaurene oxidase. *Plant molecular biology*, 54(4):533–547.

Itoh, H., Ueguchi-Tanaka, M., Sentoku, N., Kitano, H., Matsuoka, M., and Kobayashi, M. (2001). Cloning and functional analysis of two gibberellin 3 β -hydroxylase genes that are differently expressed during the growth of rice. *Proceedings of the National Academy of Sciences*, 98(15):8909–8914.

Jung, K.-H., Gho, H.-J., Giong, H.-K., Chandran, A. K. N., Nguyen, Q.-N., Choi, H., Zhang, T., Wang, W., Kim, J.-H., Choi, H.-K., et al. (2013). Genome-wide identification and analysis of japonica and indica cultivar-preferred transcripts in rice using 983 affymetrix array data. *Rice*, 6(1):19.

Kato, A. (1928). On the affinity of rice varieties as shown by the fertility of rice plants. *Centr. Agric. Inst. Kyushu Imp. Univ.*, 2:241–276.

Kim, S.-K., Yun, C.-H., Lee, J. H., Jang, Y. H., Park, H.-Y., and Kim, J.-K. (2008). Osco3, a constans-like gene, controls flowering by negatively regulating the expression of ft-like genes under sd conditions in rice. *Planta*, 228(2):355.

Koide, Y., Ogino, A., Yoshikawa, T., Kitashima, Y., Saito, N., Kanaoka, Y., Onishi, K., Yoshitake, Y., Tsukiyama, T., Saito, H., et al. (2018). Lineage-specific gene acquisition or loss is involved in interspecific hybrid sterility in rice. *Proceedings of the National Academy of Sciences*, 115(9):E1955–E1962.

Lee, Y.-S., Yi, J., and An, G. (2016). Osphya modulates rice flowering time mainly through osgi under short days and ghd7 under long days in the absence of phytochrome b. *Plant molecular biology*, 91(4-5):413–427.

Lewontin, R. C. et al. (1974). *The genetic basis of evolutionary change*, volume 560. Columbia University Press New York.

Love, M. I., Huber, W., and Anders, S. (2014). Moderated estimation of fold change and dispersion for rna-seq data with deseq2. *Genome biology*, 15(12):550.

Lu, T., Lu, G., Fan, D., Zhu, C., Li, W., Zhao, Q., Feng, Q., Zhao, Y., Guo, Y., Li, W., et al. (2010). Function annotation of rice transcriptome at single nucleotide resolution by rna-seq. *Genome research*, pages gr–106120.

Mansueto, L., Fuentes, R. R., Borja, F. N., Detras, J., Abriol-Santos, J. M., Chebotarov, D., Sanciangco, M., Palis, K., Copetti, D., Poliakov, A., et al. (2016a). Rice SNP-Seek database update: new SNPs, indels, and queries. *Nucleic acids research*, 45(D1):D1075–D1081.

Mansueto, L., Fuentes, R. R., Chebotarov, D., Borja, F. N., Detras, J., Abriol-Santos, J. M., Palis, K., Poliakov, A., Dubchak, I., Solovyev, V., et al. (2016b). SNP-Seek II: A resource for allele mining and analysis of big genomic data in *Oryza sativa*. *Current Plant Biology*, 7:16–25.

Mather, K. A., Caicedo, A. L., Polato, N., Olsen, K. M., McCouch, S., and Purugganan, M. D. (2007). The extent of linkage disequilibrium in rice (*Oryza sativa* L.). *Genetics*.

Matsuo, T. (1952). Genecological studies on cultivated rice. *Bull. Natl. Inst. Agr. Sci. Jpn. D*, 3:1–111.

McCouch, S. R., Wright, M. H., Tung, C.-W., Maron, L. G., McNally, K. L., Fitzgerald, M., Singh, N., DeClerck, G., Agosto-Perez, F., Korniliev, P., et al. (2016). Open access resources for genome-wide association mapping in rice. *Nature communications*, 7:10532.

Mizuta, Y., Harushima, Y., and Kurata, N. (2010). Rice pollen hybrid incompatibility caused by reciprocal gene loss of duplicated genes. *Proceedings of the National Academy of Sciences*, 107(47):20417–20422.

Morinaga, T. (1954). Classification of rice varieties on the basis of affinity. *Jpn. J. Breed.*, 4:1–14.

Morishima, H. and Oka, H.-I. (1981). Phylogenetic differentiation of cultivated rice, xxii. numerical evaluation of the indica-japonica differentiation. *Japanese Journal of Breeding*, 31(4):402–413.

Naranjo, L., Talón, M., and Domingo, C. (2014). Diversity of floral regulatory genes of japonica rice cultivated at northern latitudes. *BMC genomics*, 15(1):101.

Oka, H. et al. (1991). Genetic diversity of wild and cultivated rice. *Rice biotechnology*, pages 55–81.

Price, A. L., Helgason, A., Thorleifsson, G., McCarroll, S. A., Kong, A., and Stefansson, K. (2011). Single-tissue and cross-tissue heritability of gene expression via identity-by-descent in related or unrelated individuals. *PLoS genetics*, 7(2):e1001317.

Pritchard, J. K., Stephens, M., and Donnelly, P. (2000). Inference of population structure using multilocus genotype data. *Genetics*, 155(2):945–959.

Schatz, M. C., Maron, L. G., Stein, J. C., Wences, A. H., Gurtowski, J., Biggers, E., Lee, H., Kramer, M., Antoniou, E., Ghiban, E., et al. (2014). Whole genome de novo assemblies of three divergent strains of rice, *Oryza sativa*, document novel gene space of aus and indica. *Genome biology*, 15(11):506.

Scheipl, F., Greven, S., and Kuechenhoff, H. (2008). Size and power of tests for a zero random effect variance or polynomial regression in additive and linear mixed models. *Computational Statistics Data Analysis*, 52(7):3283–3299.

Song, Y. H., Shim, J. S., Kinmonth-Schultz, H. A., and Imaizumi, T. (2015). Photoperiodic flowering: time measurement mechanisms in leaves. *Annual review of plant biology*, 66:441–464.

Stein, J. C., Yu, Y., Copetti, D., Zwickl, D. J., Zhang, L., Zhang, C., Chougule, K., Gao, D., Iwata, A., Goicoechea, J. L., et al. (2018). Genomes of 13 domesticated and wild rice relatives highlight genetic conservation, turnover and innovation across the genus *Oryza*. *Nature genetics*, 50(2):285.

Takano, M., Inagaki, N., Xie, X., Yuzurihara, N., Hihara, F., Ishizuka, T., Yano, M., Nishimura, M., Miyao, A., Hirochika, H., et al. (2005). Distinct and cooperative functions of phytochromes a, b, and c in the control of deetiolation and flowering in rice. *The Plant Cell*, 17(12):3311–3325.

Terao, H. and Mizushima, U. (1942). Some considerations on the classification of *Oryza sativa* L. into two subspecies, so called Japonica and Indica. *Jpn. J. Bot.*, 10:213–258.

Trapnell, C., Pachter, L., and Salzberg, S. L. (2009). Tophat: discovering splice junctions with rna-seq. *Bioinformatics*, 25(9):1105–1111.

VanRaden, P. M. (2008). Efficient methods to compute genomic predictions. *Journal of dairy science*, 91(11):4414–4423.

Walia, H., Wilson, C., Zeng, L., Ismail, A. M., Condamine, P., and Close, T. J. (2007). Genome-wide transcriptional analysis of salinity stressed japonica and indica rice genotypes during panicle initiation stage. *Plant molecular biology*, 63(5):609–623.

Wang, W., Mauleon, R., Hu, Z., Chebotarov, D., Tai, S., Wu, Z., Li, M., Zheng, T., Fuentes, R. R., Zhang, F., et al. (2018). Genomic variation in 3,010 diverse accessions of asian cultivated rice. *Nature*, 557(7703):43.

Wang, X., Kudrna, D. A., Pan, Y., Wang, H., Liu, L., Lin, H., Zhang, J., Song, X., Goicoechea, J. L., Wing, R. A., et al. (2014). Global genomic diversity of *Oryza sativa* varieties revealed by comparative physical mapping. *Genetics*, pages genetics–113.

Yoshida, S., Forno, D., Cock, J., and Gomez, K. (1976). Laboratory manual for physiological studies of rice, 3rd edn manila: International rice research institute.

Yu, J., Hu, S., Wang, J., Wong, G. K.-S., Li, S., Liu, B., Deng, Y., Dai, L., Zhou, Y., Zhang, X., et al. (2002).

A draft sequence of the rice genome (*Oryza sativa* L. ssp. *indica*). *Science*, 296(5565):79–92.

Yuan, J., Chen, D., Ren, Y., Zhang, X., and Zhao, J. (2008). Characteristic and expression analysis of a metallothionein gene, osmt2b, down-regulated by cytokinin suggests functions in root development and seed embryo germination of rice. *Plant Physiology*, 146(4):1637–1650.

Zhao, K., Tung, C.-W., Eizenga, G. C., Wright, M. H., Ali, M. L., Price, A. H., Norton, G. J., Islam, M. R., Reynolds, A., Mezey, J., et al. (2011). Genome-wide association mapping reveals a rich genetic architecture of complex traits in *Oryza sativa*. *Nature communications*, 2:467.

Supplemental Data

Table S1. Gene ontology (GO) enrichment analysis for genes exhibiting significant presence-absence expression variation (PAV) ($FDR < 0.001$). GO enrichment was conducted using AgriGO (<http://bioinfo.cau.edu.cn/agriGO>) using the MSU V7 genome build without transposable elements as a background. GO enrichment was conducted separately for genes expressed predominately in each subspecies.

Subspecies	GO term	Ont. Cat.	GO Description	No. in input	No. in back-ground	p-value	FDR
<i>Japonica</i>	GO:0006950	P	response to stress	137	4660	1.5×1^{-10}	5.2×1^{-8}
	GO:0050896	P	response to stimulus	172	6928	1.0×1^{-7}	1.7×1^{-5}
	GO:0009607	P	response to biotic stimulus	43	1404	2.4×1^{-4}	2.7×1^{-2}
	GO:0019825	F	oxygen binding	25	390	5.0×1^{-8}	4.5×1^{-6}
	GO:0000166	F	nucleotide binding	92	3490	2.4×1^{-5}	1.1×1^{-3}
	GO:0016740	F	transferase activity	120	5200	3.6×1^{-4}	9.6×1^{-3}
	GO:0003824	F	catalytic activity	271	13508	4.2×1^{-4}	9.6×1^{-3}
	GO:0016301	F	kinase activity	69	2699	6.4×1^{-4}	9.6×1^{-3}
<i>Indica</i>	GO:0019825	F	oxygen binding	13	390	1.5×1^{-4}	8.8×1^{-3}

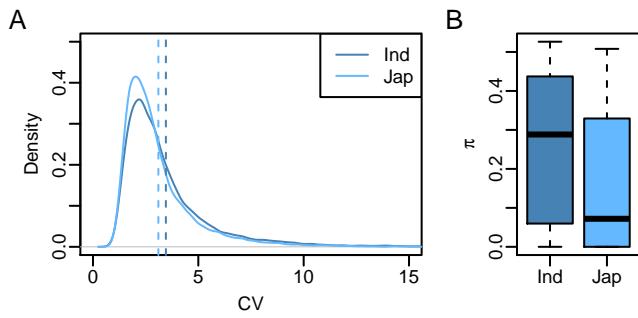


Figure S1. Genetic and expression diversity within *Indica* and *Japonica* accessions. (A) The coefficient of variation was used as an estimate of the diversity in gene expression within each subspecies. The vertical dashed lines represent the mean CV within each subspecies. (B) Site-wise nucleotide diversity (π) was used as an estimate of the genetic diversity within each of the subspecies using 36,901 SNPs described by Zhao et al. (2011).

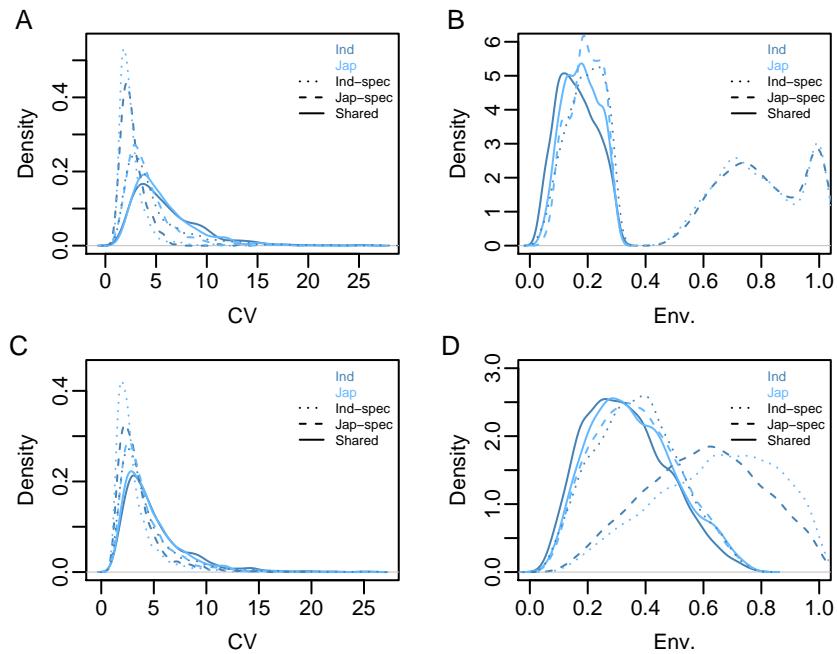


Figure S2. Assessing phenotypic variation and environmental effects for genes exhibiting genetic variability within each sub-species. Genes were classified into three categories based on their patterns of genetic variability. "Shared" refers to genes showing significant genetic variability ($FDR < 0.001$) in both subspecies. The categories "Indica-specific" and "Japonica-specific" refer to genes that showed significant differences in genetic variability (e.g. ΔH^2 or Δh^2) and had heritable expression in *Indica* and *Japonica*, respectively. Phenotypic variation was assessed using the coefficient of variation (CV) for H^2 or h^2 genes (A and C, respectively). The contribution of the environment on phenotypic variation was determined as $1 - H^2$ and $1 - h^2$ (B and D, respectively). The categories of genetic variability are indicated by line type, while the subspecies in which CV or environmental variation was measured are indicated by the color of lines.