

1 **Neural correlates of cue-induced changes in**
2 **decision-making distinguish subjects with gambling**
3 **disorder from healthy controls**

4 **Running title:** Neural correlates of pavlovian-to-instrumental transfer in gambling disorder

5

6 **Authors:**

7 Alexander Genauck¹⁾²⁾, Caroline Matthis⁴⁾²⁾, Milan Andrejevic⁷⁾, Lukas Ballon¹⁾, Francesca
8 Chiarello⁵⁾, Katharina Duecker⁶⁾, Andreas Heinz¹⁾, Norbert Kathmann³⁾, Nina Romanczuk-
9 Seiferth¹⁾

10

11 **Corresponding Author:**

12 Alexander Genauck

13 Department of Psychiatry and Psychotherapy

14 Charité - Universitätsmedizin Berlin

15 Charitéplatz 1, 10117 Berlin

16 Germany

17 +49 30 450 517-307

18 alexander.genauck@charite.de

19

20 **Affiliations:**

21 1) Charité – Universitätsmedizin Berlin, Department of Psychiatry and Psychotherapy

22 2) Bernstein Center for Computational Neuroscience Berlin

23 3) Humboldt-Universität zu Berlin, Department of Psychology

24 4) Technische Universität Berlin, Institute of Software Engineering and Theoretical
25 Computer Science, Neural Information Processing

1 5) Psychiatry Unit, Department of Health Sciences, University of Florence, Italy
2 6) Carl-von-Ossietzky Universität Oldenburg, Department of Psychology
3 7) Melbourne School of Psychological Sciences, The University of Melbourne
4

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12 AG designed the experiment, collected the data, analyzed the data, wrote the manuscript. CM
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15 manuscript, advised first author. LB collected and analyzed data. FC analyzed data, revised
16 manuscript. KD collected data, analyzed data, revised manuscript. NRS designed and
17 supervised study and experiment, oversaw manuscript drafting and data analysis.

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3 **Co-Authors' email addresses:**

4 Caroline Matthis: matthis@ni.tu-berlin.de

5 Andreas Heinz: andreas.heinz@charite.de

6 Norbert Kathmann: kathmann@hu-berlin.de

7 Nina Romanczuk-Seiferth: nina.seiferth@charite.de

8 Francesca Chiarello: francescachiarello@gmail.com

9 Katharina Duecker katharina.duecker@uni-oldenburg.de

10 Milan Andrejevic: milan.andrejevic@unimelb.edu.au

11 Lukas Ballon: lballon@uos.de

12

13 **Remarks**

14 To ensure a more convenient reviewing process, we positioned figures and tables at their
15 destined position.

16

1 ABSTRACT

2 **Background:**

3 Just as substance use disorders (SUDs), gambling disorder (GD) is characterized by an increase
4 in cue-dependent decision-making (similar to Pavlovian-to-instrumental transfer, PIT). PIT, as
5 studied in SUDs and healthy subjects, is associated with altered communication between
6 Nucleus Accumbens (NAcc), amygdala, and orbitofrontal cortex (OFC). These neural
7 differences are, however, poorly understood. For example, it is unclear whether they are due to
8 the physiological effects of substance abuse, or rather related to learning processes and/or other
9 etiological factors like innate traits associated with addiction. We have thus investigated
10 whether network activation patterns during a PIT task are also altered in GD, an addictive
11 disorder not involving substance abuse. We have specifically studied which *neural* PIT patterns
12 were best at distinguishing GD from HC subjects, all to improve our understanding of the neural
13 signatures of GD and of addiction-related PIT in general.

14 **Methods:**

15 30 GD and 30 HC subjects completed an affective decision-making task in a functional
16 magnetic resonance imaging (fMRI) scanner. Gambling associated and other emotional cues
17 were shown in the background during the task, allowing us to record multivariate neural PIT
18 signatures focusing on a network of NAcc, amygdala and OFC. We built and tested a classifier
19 based on these multivariate neural PIT signatures using cross-validated elastic net regression.

20 **Results and Discussion:**

21 As expected, GD subjects showed stronger PIT than HC subjects because they showed stronger
22 increase in gamble acceptance when gambling cues were presented in the background.
23 Classification based on neural PIT signatures yielded a significant AUC-ROC (0.70, $p = 0.013$).

1 When inspecting the features of the classifier, we observed that GD showed stronger PIT-related
2 functional connectivity between NAcc and amygdala elicited by gambling background cues, as
3 well as between amygdala and OFC elicited by negative and positive cues.

4 **Conclusion:**

5 We propose that HC and GD subjects are distinguishable by PIT-related neural signatures
6 including amygdala-NAcc-OFC functional connectivity. Our findings suggest that neural PIT
7 alterations in addictive disorders might not depend on the physiological effect of a substance of
8 abuse, but on related learning processes or even innate neural traits, also found in behavioral
9 addictions.

1 INTRODUCTION

2 Gambling disorder (GD) has been classified as an addiction alongside substance-use disorders
3 (SUDs), such as alcohol or cocaine dependence (American Psychiatric Association et al., 2013).
4 This new classification was indicated because GD and SUDs share the same core symptoms
5 (including craving, withdrawal, tolerance) and both GD and SUDs show similar neuro-
6 behavioral signatures (Clark, 2014; Clark et al., 2013; Leeman and Potenza, 2012; Petry et al.,
7 2014; Romanczuk-Seiferth et al., 2014).
8 For instance, just like patients suffering from SUDs, GD subjects show increased neural activity
9 elicited by addiction-related stimuli (i.e. “cues”) and a reduced neural response towards stimuli
10 signaling natural rewards (Crockford et al., 2005; Goudriaan et al., 2010; Potenza et al., 2003;
11 Rømer Thomsen et al., 2014). In addiction, a cue can be any formerly neutral stimulus that has
12 been repeatedly paired with the effects of the addictive behavior (Mucha et al., 2000; Potenza
13 et al., 2003). The effect of increased responsivity towards addiction-related cues is termed cue
14 reactivity and is pivotal in explaining a range of behaviors related to addictive disorders, such
15 as arousal, attentional bias, craving, and relapse (Beck et al., 2012; Carter and Tiffany, 1999;
16 Field et al., 2009; Goudriaan et al., 2010; Heinz et al., 2003; Leyton and Vezina, 2012, 2013;
17 Schacht et al., 2013; Vezina and Leyton, 2009; Wölfing et al., 2011).
18 Besides cue reactivity, and just like in SUDs, GD subjects display impaired value-based
19 decision-making. For example, GD subjects show increased risk taking, higher discounting of
20 delayed rewards (delay discounting) and reduced loss aversion (Clark et al., 2013; Dixon et al.,
21 2003; Genauck et al., 2017; Glimcher and Rustichini, 2004; Lorains et al., 2014; MacKillop et
22 al., 2011; Madden et al., 2009; Petry, 2012; Platt and Huettel, 2008; Romanczuk-Seiferth et al.,
23 2014; Wiesler and Peters, 2015). Impaired value-based decision-making in addiction may partly
24 be explained, or even further exacerbated, by cues that modulate decision-making processes.

1 The modulating influence of conditioned cues on instrumental behavior (i.e. increasing the
2 vigor with which a behavior is displayed or increasing the likelihood of choosing a certain
3 option) has been termed Pavlovian-to-instrumental transfer (PIT) (Cartoni et al., 2016; De
4 Tommaso et al., 2018; Schulreich et al., 2016; Talmi et al., 2008). PIT is one of the key effects
5 deepening our understanding of cue-controlled behaviors (Dickinson Anthony and Balleine
6 Bernard, 2002; Dickinson and Balleine, 1994; Holmes et al., 2010; Niv et al., 2007).
7 Interestingly, PIT effects can persist even when the outcome of the instrumental behavior has
8 been devalued (De Tommaso et al., 2018; Steenbergen et al., 2017), and a stronger PIT has been
9 associated with heightened impulsivity (Garofalo and Robbins, 2017) and with reduced model-
10 based behavior (Sebold et al., 2016). This is why PIT has gained considerable attention in the
11 field of addiction research. Increased PIT has been associated with SUDs in animal studies
12 (Corbit et al., 2007; Corbit and Janak, 2007, 2016; Krank et al., 2008; Saddoris et al., 2011) and
13 in human studies (Garbusow et al., 2016; Schad et al., 2018).
14 Investigating PIT in GD is of particular importance to understanding addictive disorders in
15 general, because GD is an addictive disorder independent of any neurotropic substance of abuse.
16 The study of PIT in GD therefore helps us distinguish whether PIT effects seen in SUDs are a
17 physiological result caused by the abused substance, or rather by addiction-related learning
18 (Heinz, 2017, 113ff.), or even by innate traits putatively associated with developing and
19 maintaining an addiction (Barker et al., 2012).
20 So far only a small number of studies have investigated cue-induced effects on decision-making
21 or PIT in GD subjects. It has been observed in GD subjects that delay discounting is increased
22 under the influence of high-craving gambling cues vs. low-craving gambling cues (Dixon et al.,
23 2006; Miedl et al., 2014). GD subjects also have shown to be more strongly influenced by
24 gambling cues in a response inhibition task than HC subjects (van Holst et al., 2012). To

1 investigate PIT in GD, Genauck et al. (2019) used a mixed-gambles task, i.e. a task where
2 participants have to decide whether they want to accept gambles that entail both possible gains
3 and losses. They coupled the task with emotional and gambling-related cues (affective mixed-
4 gambles task) to estimate subject-specific behavioral PIT parameters with regards to loss
5 aversion. The authors found that behavioral PIT parameters lend themselves to classify subjects
6 into HC vs. GD subjects. The most successful model to separate GD subjects from HC subjects
7 was the one explaining the shift in general gamble acceptance by the influence of different cue
8 categories, while loss aversion and loss-aversion specific PIT did not improve the distinction
9 between GD from HC. In the present study, subjects performed a very similar affective mixed-
10 gambles task in a functional magnetic resonance imaging (fMRI) scanner. Genauck et al. (2019)
11 successfully used the behavioral data of the present study as an independent sample to validate
12 their classifier.

13 We mentioned studies that suggest that GD is associated with increased PIT, despite the disorder
14 being independent of any substance of abuse. However, it is unclear if there are also *neural* PIT
15 signatures associated with cue-induced decision-making which distinguish GD from HC
16 subjects, just like there are between SUD and HC subjects. If there are neural PIT signatures
17 associated with GD then this would be additional evidence for functional brain changes related
18 to addictive disorders independent of a substance of abuse (Goudriaan et al., 2010; Koehler et
19 al., 2013; Romanczuk-Seiferth et al., 2015; Sescousse et al., 2013; van Holst et al., 2012). Our
20 study is the first to investigate functional brain changes in GD compared to HC related to cue-
21 induced changes in value-based decision making. We expected that neural PIT signatures
22 derived from SUD studies should underlie behavioral PIT increase also in GD, and thus lend
23 themselves to distinguish GD from HC subjects.

1 At the neural level, PIT depends on the functions of amygdala and the ventral striatum (VS)
2 (Corbit et al., 2001; Corbit and Balleine, 2005; de Borchgrave et al., 2002; Hall et al., 2001;
3 Prévost et al., 2012; Talmi et al., 2008). The VS denotes the ventral parts of caudate and
4 putamen in humans and it is often used interchangeably with the nucleus accumbens (NAcc)
5 region. Garbusow et al. (2016) distinguished alcohol dependent relapsers from abstainers using
6 a nucleus accumbens (NAcc) PIT signal, reaching an accuracy of 71% in leave-one-out cross-
7 validation. Note that cue reactivity, which PIT arguably is based upon, is also associated with
8 altered activity of amygdala and NAcc in addictive disorders (Kühn and Gallinat, 2011; Schacht
9 et al., 2013).
10 In addition to possible activity differences in limbic regions being associated with PIT, recent
11 literature suggests that functional NAcc-amygdala connectivity plays a role in decision-making
12 changes due to emotional cues (Charpentier et al., 2015). Other authors have argued that
13 Pavlovian influence on instrumental behavior require the modulation of ongoing processes in
14 the striatum by the amygdala (Cardinal et al., 2002; Guitart-Masip et al., 2010). Bi-directional
15 NAcc-amygdala connectivity could thus be enhanced in GD subjects during presentation of
16 addiction-relevant cues. Holmes et al. (2010) and Cardinal et al. (2002) further suggest a
17 contribution of the orbital frontal cortex in integrating information about Pavlovian and
18 instrumental processes, together with the striatum and amygdala. The ANDREA (affective
19 neuroscience of decision through reward-based evaluation of alternatives) model makes similar
20 predictions when explaining transient changes in gamble acceptance in decision-making tasks
21 (Litt et al., 2008) (**Fig. 2**). In particular, this model suggests that the evaluation of a gamble
22 involving possible gains and losses leads to a subjective value signal in the OFC. Amygdala
23 inputs to OFC can modulate those subjective value representations when positively valued or
24 salient stimuli (e.g. gambling cues) are shown in the background. Since there is some evidence

1 that GD subjects show cue-induced changes in instrumental behavior and decision-making in
2 response to gambling cues, putatively related to stronger behavioral PIT effects (Dixon et al.,
3 2006; Genauck et al., 2019; Miedl et al., 2012; van Holst et al., 2012), this could mean that
4 gambling cues increase the subjective gamble value represented in OFC via amygdala
5 projections. We thus expected that stronger gambling-cue PIT-related functional connectivity
6 from amygdala to OFC should help distinguish GD from HC.

7 In summary, we hypothesized that a neural PIT signature made up of several PIT-related fMRI
8 contrasts could distinguish GD from HC subjects. We therefore compiled per subject a feature
9 vector comprised of cue reactivity and PIT-related contrasts in amygdala and NAcc, and of
10 functional connectivity parameters in a network of NAcc, amygdala and OFC. Hence the feature
11 vector represented each subject's neural PIT signature, in the form of multiple functional
12 magnetic resonance imaging (fMRI) aggregates (Seo et al., 2018; Whelan et al., 2014). We used
13 all subjects' neural PIT signatures to estimate a classifier which would distinguish GD from HC
14 subjects. We expected that PIT-related predictors would be found among the most important
15 ones followed by the cue-reactivity predictors. Using cross-validation we assessed the
16 generalizability of this classifier to new samples. Classifying GD and HC subjects using
17 multivariate patterns aims to bring us closer to a clinically relevant characterization of the neural
18 disturbances related to GD, especially when there are many relevant variables involved (Ahn et
19 al., 2016; Ahn and Vassileva, 2016; Cerasa et al., 2018; Gabrieli et al., 2015; Guggenmos et al.,
20 2018; Yarkoni and Westfall, 2017). To our knowledge, our study is the first one to use fMRI-
21 based classification for investigating GD and its neural basis of increased PIT.

1 METHODS AND MATERIALS

2 Sample

3 The GD group consisted of subjects who were active gamblers, while the HC group consisted
4 of subjects that had none or little experience in gambling. We recruited GD subjects via eBay
5 classifieds, and notices in Berlin casinos and gambling halls. GD subjects were diagnosed using
6 the German short questionnaire for gambling behavior (KFG) (cutoff ≥ 16) (Petry and Baulig,
7 1996). The KFG classifies subjects according to DSM-IV criteria for pathological gambling.
8 However, in the following we use the DSM-5 term “gambling disorder” interchangeably,
9 because the criteria largely overlap (Rodríguez-Testal et al., 2014). Any known history of a
10 neurological disorder or a current psychological disorder (except tobacco dependence) as
11 assessed by the Screening of the Structured Clinical Interview for DSM-IV Axis I Disorders
12 (SCID-I) (First et al., 2002) led to exclusion from the study. For further information on
13 administered questionnaires, see **Supplements (Section 1.1)**. There were 13 subject dropouts
14 due to technical errors, positive drug screenings, incidental cerebral anatomical findings or MRI
15 contraindications. We dropped five more subjects to improve the matching of the groups on
16 covariates of no interest (age, smoking severity, education, and see below). The final sample
17 consisted of 30 GD and 30 HC subjects (**Tab. 1**). According to the South Oaks Gambling Screen
18 (Lesieur and Blume, 1987; Stinchfield, 2002) (3-point Likert scales), GD subjects differed in
19 gambling habits to HC only in frequency of playing slot machines (most frequent answer of
20 GD: “3: once a week or more”, HC: “1: not at all”) ($t = 5.35$, $p < 0.001$), casinos (most frequent
21 answer of GD: “3: once a week or more”, HC: “1: not at all”) ($t = 3.67$, $p = 0.001$), and sports
22 betting (most frequent answer of GD: “2: less than once a week”, HC: “1: not at all”) ($t = 2.84$,
23 $p = 0.003$). GD and HC were matched on relevant variables (net personal income, age, alcohol

1 use), except for years in school (primary and secondary). We thus tested for stability of our
2 classifier by adjusting for years in school.

3 **Table 1: Sample characteristics, means and p-values calculated by two-sided permutation test.**

variable	HC (30)	se	GD (30)	se	pooled se	p perm test
years in school	10.87	0.19	10.13	0.24	0.21	0.031
vocational school	2.73	0.29	2.07	0.25	0.27	0.108
net personal income	1028.61	92.27	1105.89	138.93	115.6	0.667
personal debt	8500	3396.88	24000	9590.36	6493.62	0.097
Fagerström	1.97	0.43	3.03	0.51	0.47	0.138
age	35.37	1.66	37.37	2.01	1.84	0.459
AUDIT	4.8	0.59	4.87	1.05	0.82	1
BDI-II	5.1	1.03	11.57	1.72	1.38	0.002
SOGS	1.73	0.47	8.8	0.67	0.57	<0.001
KFG	2.37	0.74	35	1.64	1.19	<0.001
BIS-15	31.8	0.99	36.33	1.08	1.03	0.004
GBQ persistence	1.96	0.2	3.28	0.19	0.2	<0.001
GBQ illusions	2.41	0.24	3.73	0.22	0.23	<0.001
ratio female	0.20	-	0.20	-	-	1.000
ratio unemployed	0.17	-	0.20	-	-	1.000
ratio smokers	0.60	-	0.77	-	-	0.262
ratio right-handed	0.97	-	0.84	-	-	0.204

4 *chi-square test used; se: bootstrapped standard errors; years in school: years in primary and secondary school; vocational
5 school is vocational school and university; Fagerström: smoking severity (Heatherton et al., 1991); AUDIT: alcohol use
6 disorders identification test (Dybek et al., 2006); BDI II: Beck's Depression Inventory (Beck et al., 1996), SOGS: South Oaks
7 Gambling Screen (Lesieur and Blume, 1987); KFG: Kurzfragebogen zum Glückspielverhalten, Short Questionnaire Pathological
8 Gambling, German diagnostic tool and severity measure based on the DSM-IV (Petry and Baulig, 1996); BIS-15: short version
9 of the Barratt Impulsiveness Scale for impulsivity (Meule et al., 2011; Patton et al., 1995); GBQ persistence and GBQ illusions:
10 from the Gamblers' Beliefs Questionnaire (Steenbergh et al., 2002)

11 **Procedure and data acquisition**

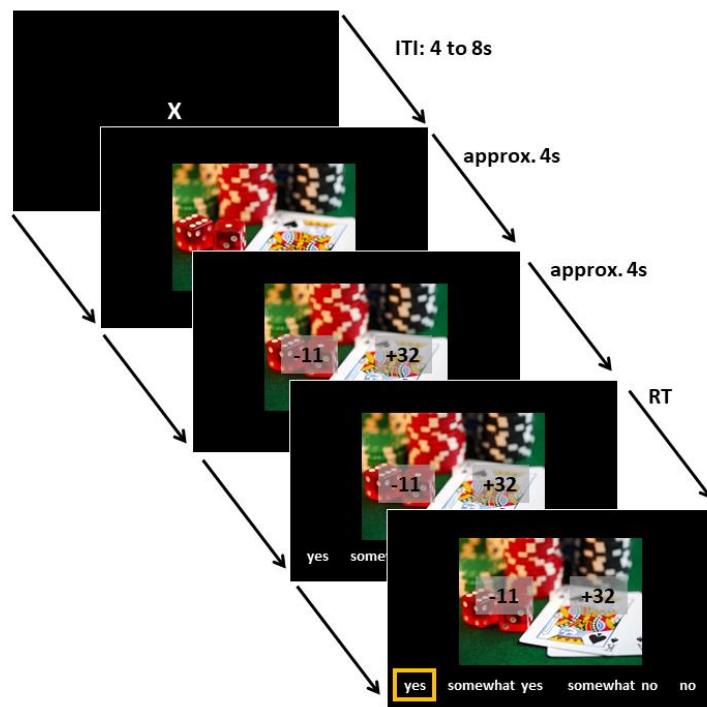
12 Before scanning, all subjects underwent urine drug testing to exclude any influence of cannabis,
13 amphetamines, cocaine, methamphetamines, opiates, or benzodiazepines. They then were
14 instructed on the task and completed the PIT task in a 3-Tesla SIEMENS Trio MRI (2 runs of

1 about 23 minutes). EPI scans were acquired, as well as structural MRI. For further details on
2 MRI sequences see **Supplements (Section 1.5)**.

3 **Affective mixed-gambles task**

4 We were inspired by established mixed-gambles decision-making tasks (Genauck et al., 2017;
5 Tom et al., 2007) and mixed-gambles decision-making tasks with the influence of affective cues
6 (Charpentier et al., 2015; Genauck et al., 2019). As affective cues, four sets of images were
7 assembled: 1) 67 gambling images, showing a variety of gambling scenes, and paraphernalia
8 (*gambling cues*); 2) 31 images showing negative consequences of gambling (*negative cues*); 3)
9 31 images showing positive effects of abstinence from gambling (*positive cues*); 4) 24 neutral
10 IAPS images (*neutral cues*). For a description of the images and their categories see
11 **Supplements (Section 1.2)**. The cues of all categories were presented in random order and each
12 gambling cue only appeared once. For negative, positive, and neutral cue categories, we
13 randomly drew images from each pool until we had presented 45 images of each category and
14 each image at least once. Hence, we ran 202 trials in each subject. Subjects were each given
15 20€ for wagering. Every trial began with a fixation cross (inter-trial-interval, ITI, 4s to 8s). Then
16 a cue as described above was presented and subjects were instructed to remember each cue for
17 a paid recognition task after the experiment. After 4s (jittered), a mixed gamble, involving a
18 possible gain and a possible loss, with probability $P = 0.5$ each, was superimposed on the cue.
19 After another 4s (jittered) of decision time, we asked subjects to indicate how willing they were
20 to accept the gamble by button press. This way we kept decision and motor processes apart.
21 Subjects had to choose how willing they were to accept the gamble on a 4-point Likert-scale to
22 ensure task engagement (Tom et al., 2007) (**Fig. 1**). Gambles were created by randomly drawing
23 with replacement from a matrix with possible gambles consisting of 12 levels of gains (14, 16,
24 ..., 36) and 12 levels of losses (-7, -8, ..., -18) (**Fig. 1**) (Genauck et al., 2017; Kahneman and

1 Tversky, 1979; Tom et al., 2007; Tversky and Kahneman, 1992). In every subject, we stratified
2 gambles according to mean and variance of gain, loss, gamble variance, and Euclidean distance
3 from gamble matrix (*ed*, i.e. gamble difficulty). We informed subjects that after completing the
4 experiment five of their gamble decisions with ratings of “somewhat yes” or “yes” would be
5 randomly chosen and played for real money.



1

2 **Figure 1: The affective mixed-gambles task.** One trial is depicted. Subjects first saw a fixation cross with variable
3 inter-trial-interval (ITI, 4s to 8s). Then a cue with randomly chosen affective content (67 drawn from 67 gambling
4 related, 45 drawn from 31 with positive consequences of abstinence, 45 drawn from 31 with negative
5 consequences of gambling, 45 drawn from 24 neutral images) was presented for about 4s. Subjects were
6 instructed to remember the cue for a paid recognition task after all trials. Then a gamble involving a possible gain
7 and a possible loss was superimposed on the cue (e.g. -11 and +32). Subjects were instructed to shift their
8 attention at this point to the proposed gamble and evaluate it. Position of gain and loss was counterbalanced
9 (left/right). Gain was indicated by a '+' sign and loss by a '-' sign. After 4s (jittered) subjects were asked to make
10 a choice between four levels of acceptance (yes, somewhat yes, somewhat no, no; here translated from German
11 version which used "ja, eher ja, eher nein, nein"). Direction of options (from left to right or vice versa) and side
12 of gain amount was random. Directly after decision, the ITI started. If subjects failed to respond within 2.5s, ITI
13 started and trial was counted as missing. RT: reaction time.

1 **Cue ratings**

2 After the affective mixed-gambles task, subjects rated all cues using the Self-Assessment
3 Manikin (SAM) assessment technique (valence, arousal, dominance) (Bradley and Lang, 1994)
4 and additional visual analogue scales. Additional questions were: 1) “How strongly does this
5 image trigger craving for gambling?”; 2) “How appropriately does this image represent one or
6 more gambles?”; 3) “How appropriately does this image represent possible negative effects of
7 gambling?”; 4) “How appropriately does this image represent possible positive effects of
8 gambling abstinence?”. All cue ratings were z-standardized within subject. Cue ratings were
9 analyzed one-by-one using linear mixed-effects regression, using lmer from the lme4 package
10 in R (Bates et al., 2015), where cue category (and, in the respective models, clinical group)
11 denoted the fixed effects and subjects and cues denoted the sources of random effects. Model
12 comparisons were used to test for the effect of cue category and group and their interaction
13 using χ^2 -square difference tests. We report relevant contrast- β 's only if the overall effect of the
14 relevant factor (group, category, groupXcategory) was significant. For significance testing of
15 those contrast- β 's, we use Wald z-tests as implemented in lme4.

16 **Behavioral data**

17 We modeled the choice data within each subject's behavioral data by submitting dichotomized
18 choices (somewhat no & no: 0; somewhat yes & yes: 1) into logistic regression. We
19 dichotomized choices to increase the precision when estimating behavioral parameters, in line
20 with previous studies (Barkley-Levenson et al., 2013; Genauck et al., 2017, 2019; Tom et al.,
21 2007). Predictors were centralized values of gain, centralized absolute values of loss, Euclidean
22 distance (*ed*) from gamble matrix as indicator of gamble simplicity (see **Fig. S1**) (Tom et al.,
23 2007), and cue category (**c**). 12 steps of gain (14, 16, 18, ..., 36) and 12 steps of loss (-7, -8, -9,

1 ... , -18) formed a 12-by-12 gamble matrix, which was aggregated to 4-by-4 (e.g. gain steps 14,
2 16, 18 were all denoted as 16 and loss steps -18, -17, -16 were denoted as -17) as done in
3 previous fMRI versions of this task (Genauck et al., 2017; Tom et al., 2007). We defined the
4 gamble value (Q) on single-trial level as:

5
$$Q = \beta_0 + x_{gain} * \beta_{gain} + x_{loss} * \beta_{loss} + ed * \beta_{ed} + c^T * \beta_c \quad [1]$$

6 We call this model the **laec** model. Here c^T is a transposed column vector, denoting the dummy
7 code of the cue's category on any given trial and β_c is a column vector holding the regression
8 weights describing the shift in gamble value with respect to the cue category. Hence, $c^T * \beta_c$ is
9 a scalar product describing the additive effect of cue category. We fit the logistic regression
10 based on Eq. [1] with...

11
$$P(\text{gamble acceptance}) = 1/(1 + \exp(-Q)) \quad [2]$$

12 within a generalized linear mixed-effects model, using `glmer` from the `lme4` package in R (Bates
13 et al., 2015). Here, gain, loss, ed , cue category denoted the fixed effects and subjects and cues
14 denoted the sources of random effects. To test if the groups differed in the parameters of the
15 **laec** model, we expanded the model by an additional fixed effect of group modulating the effect
16 of gain, loss, ed , and cue category (**laecg**). Statistical testing of the model comparison was
17 performed using χ^2 -square difference tests, as well as the comparison of Akaike and Bayesian
18 information criterion (AIC, BIC). For statistical tests of single parameters in the **laecg** model,
19 we used Wald z-tests as implemented in `lme4`. For more analyses of the behavioral data, please
20 see **Supplements (Sections 1.4, 2.1)**.

1 FMRI data

2 *Preprocessing and single-subject model of fMRI data*

3 Imaging analyses were performed in SPM12 running on Matlab (R2014a). Please see

4 **Supplements (Section 1.5)** for description of preprocessing of MRI data. We modeled the

5 preprocessed fMRI single-subject data using three onset regressors:

6 1) Onset “cue” from 0s, boxcar, denoting moments of cue presentation vs. none presentation (1

7 vs. 0, duration: 4s plus jitter, i.e. time for showing the cue and then cue plus gamble). This onset

8 regressor had three parametric modulators (serially orthogonalized). pmod(1): gamble cue >

9 neutral cue, pmod(2): negative cue > neutral cue, pmod(3): positive cue > neutral cue (always

10 coded 1 vs. -1).

11 2) Onset “cue plus gamble” from 4s plus jitter, boxcar, modeled the time when gamble

12 presentation was on (1 vs. 0, duration: 4s plus jitter, i.e. the time when cue and gamble were

13 presented but no response options available yet). This onset regressor had seven parametric

14 modulators (serially orthogonalized). pmod(1-3): gain, loss, *ed*, mean-centered aggregated from

15 twelve to four steps, see behavioral analysis; pmod(4): acceptance of gamble > non-acceptance

16 (1 vs. -1); pmod(5-7): PIT modulators for the three cue categories (Garbusow et al., 2016; Schad

17 et al., 2018). For example, the PIT regressor “acceptXgambling”, pmod(5), modeled

18 “acceptance during gambling cues vs. not accepting during gambling cues” > “accepting during

19 neutral cues vs. not accepting during neutral cues”, i.e. (1 vs. -1) > (1 vs. -1).

20 3) Onset “cue plus gamble plus response options” from 8s plus jitter, boxcar, modeled the time

21 when motor response could be performed (1 vs. 0, duration: reaction time until response was

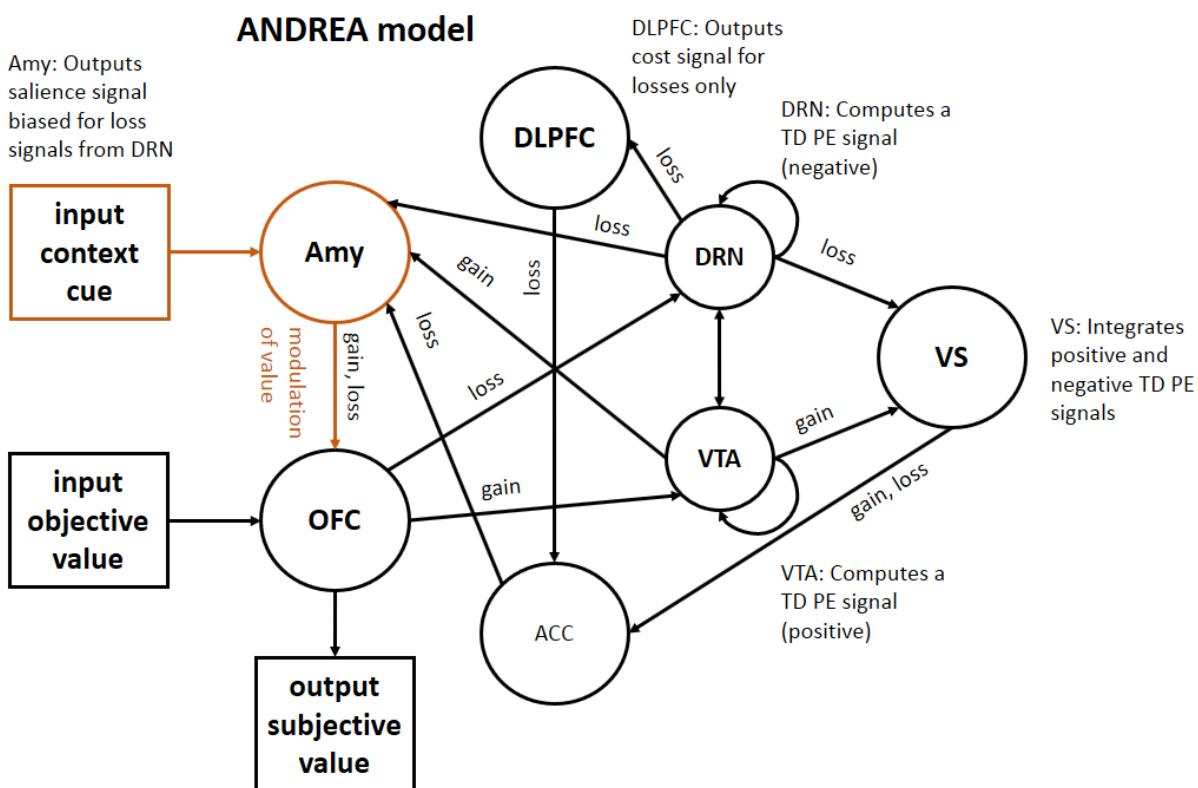
22 made)

1 Missing trials were modeled with a boxcar regressor (1 vs. 0), with duration set at length of
2 trial. Regressors were convolved with the canonical hemodynamic response function,
3 downsampled to match the number of EPI scans and entered into a GLM (**Fig. S2**).

4 *Extracting fMRI features for classifier building*

5 We were interested whether PIT fMRI contrasts from a number of brain regions (regions of
6 interest, ROIs) could predict if a subject belongs to the HC or the GD group. We hence extracted
7 the mean activity for cue reactivity (gambling, negative, positive; pmod(1-3) of onset regressor
8 1) and for the PIT contrasts (acceptXgambling, acceptXnegative, acceptXpositive; pmod(5-7)
9 of onset regressor 2) using the within-subject means from the ROIs NAcc R/L and amygdala
10 R/L. NAcc and amygdala ROIs were taken from the Neuromorphometrics SPM12 brain atlas.
11 To keep in line with accounts of PIT depending on NAcc-Amy connectivity (Charpentier et al.,
12 2015; Guitart-Masip et al., 2010) and on amygdala-OFC connectivity (Holmes et al., 2010; Litt
13 et al., 2008) (**Fig. 2**), we also extracted functional connectivity (generalized psycho-
14 physiological interaction, gPPI) (McLaren et al., 2012) for the PIT contrasts. We used the seeds
15 amygdala R/L and NAcc R/L (**see Supplements, Section 1.7**). For the seeds amygdala R/L we
16 extracted the mean from target ROIs OFC R/L (4 subregions on either side), and from target
17 ROIs NAcc R/L. For the seeds NAcc R/L, we extracted from the target ROIs Amy R/L.
18 Information from left medial OFC was not available due to signal loss in that region. Collecting
19 all the extracts per subject, we had at this point for each subject a vector representing his or her
20 specific neural PIT pattern. We z-standardized this vector for each subject. We then reduced the
21 dimensionality of this vector for each subject by computing means (For cue reactivity: mean
22 between respective left and right ROI; For functional connectivity: mean connectivity value
23 between respective left and right ROI with respect to each PIT contrast, e.g. for the connectivity
24 from NAcc to posterior OFC with respect to the PIT contrast “acceptXgambling” the mean of

1 connectivity values from R NAcc to R posterior OFC, from L NAcc to R posterior OFC, from
2 R NAcc to L posterior OFC, and from R NAcc to L posterior OFC).
3 To ensure that the task had produced meaningful signal, we checked for PIT effects in amygdala
4 and NAcc across groups and for cue reactivity difference between groups in amygdala, NAcc
5 and OFC using years in school as a covariate of no interest in all cases.



6

7 **Figure 2: The ANDREA model.** The model describes how loss aversion may arise in the brain during a mixed-
8 gambles task and in addition the model makes a specific prediction how contextual cues can influence the
9 subjective representation of gain and loss (this part of the model is highlighted in red). Namely, the amygdala is
10 encoding and forwarding the value signal of the contextual cue, thereby modulating the subjective value
11 representation in OFC (Litt et al., 2008). GD subjects should show a stronger functional connectivity from
12 amygdala to OFC with respect to accepting gambles during presentation of e.g. gambling cues because this would
13 increase the value of the gamble stored in OFC into positive direction and thus increase the likelihood of gamble
14 acceptance.

1 *Building the classifier based on fMRI data*

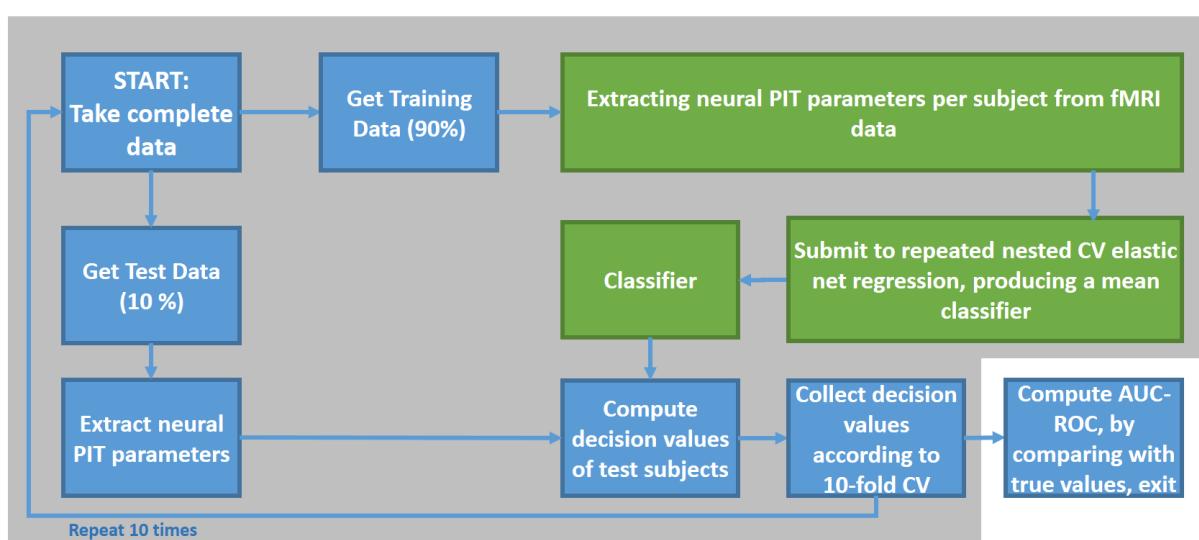
2 The neural PIT vectors per subject were stacked into a data set. Since HC and GD were not
3 perfectly matched on years in school, we added this variable to the data set, which was then
4 submitted to logistic elastic net regression, with group as dependent variable. Elastic net
5 regression is well suited for cases where there are few observations and many predictor variables
6 that may contain groups of correlated variables (Ahn and Vassileva, 2016; Whelan et al., 2014;
7 Zou and Hastie, 2005) (see **Supplements 1.8**). Using tuning of its two hyper-parameters (Zou
8 and Hastie, 2005) it is also well suited to produce models that do not over-fit but generalize well
9 to new data, especially when using cross-validation for tuning (Arlot and Celisse, 2010; Bratu
10 et al., 2008; Varma and Simon, 2006). The algorithm tuned for optimal generalization
11 performance on out-of-sample data using the area under the receiver-operating curve, AUC-
12 ROC, (Ahn et al., 2016; Ahn and Vassileva, 2016; Whelan et al., 2014; Zacharaki et al., 2009).
13 AUC-ROC ranges from 0.5 (chance) to 1 (perfect sensitivity and specificity) (Provost et al.,
14 1998).

15 We assessed the generalizability of the above algorithm 1000 times via 10-fold cross-validation
16 (Arlot and Celisse, 2010) which yielded a distribution of classifiers and thus of AUC-ROC's.
17 Note that the cross-validation to estimate generalizability led to the cross-validations used in
18 the elastic net regression to become *nested* (Arlot and Celisse, 2010; Bratu et al., 2008; Varma
19 and Simon, 2006; Whelan et al., 2014). For a graphical illustration of the algorithm with cross-
20 validation to estimate the generalization performance, see **Fig. 3**. The data and R Code can be
21 found here: https://github.com/pransito/PIT_GD_MRI_release. We computed the mean of the
22 obtained AUC-ROC's and estimated its p-value by performing the exact same 1000 CV rounds
23 but each time with only “years in school” as predictor (baseline classifier). We then subtracted
24 the AUC-ROC's of the baseline classifiers one-by-one from the 1000 AUC-ROC's of the full

1 classifiers. This yielded a distribution of classification improvement (i.e., improvement of
2 AUC-ROC due to using the full classifier instead of the baseline classifier). We tested this
3 distribution against the value of classification improvement under the null-hypothesis (i.e. zero
4 improvement) to obtain a p-value of significance of classification improvement.
5 After assessing the generalizability of the model by cross-validation, we then fit the model to
6 the entire data set (no splitting in training and test data) in order to build the final interpretable
7 and reportable classifier. Since the modelling is probabilistic, we repeated this 1000 times. We
8 plotted the ensuing distribution of regression weight vectors as per-parameter means with 95%
9 percentile bounds.

PREDICTION OF GROUP

1000 REPETITIONS OF 10-FOLD CROSS-VALIDATION OF ALGORITHM:



10
11 **Figure 3: Classifier building algorithm with cross-validation (CV) to estimate generalization error.** Nested CV
12 was used for tuning the hyperparameters of the elastic net regression (Varma and Simon, 2006; Zou and Hastie,
13 2005). This was done repeatedly with different nested CV folds (10 times, 10-fold nested CV) to estimate a robust
14 mean model within each repetition of classifier estimation.

1 *Inspecting the classifier based on fMRI data*

2 In order to interpret the final classifier's regression weights as an *activation pattern (a)*, i.e. to
3 know how greatly each predictor contributed to distinguishing GD from HC subjects in the
4 classifier, we calculated:

$$5 \qquad \qquad \qquad \mathbf{a} = cov(\mathbf{X}) * \mathbf{w} \qquad \qquad \qquad [3]$$

6 (Haufe et al., 2014), where w is the regression weight vector (a column vector), or in other
 7 words, the classifier. X is the matrix of predictors for all subjects and $\text{cov}(X)$ is the covariance
 8 matrix of X . Additionally, we calculated between-group t-tests (HC vs. GD) for all predictors.

9 Ethics

10 Subjects gave written informed consent. The study was conducted in accordance with the World
11 Medical Association Declaration of Helsinki and approved by the ethics committee of Charité
12 - Universitätsmedizin Berlin.

1 RESULTS

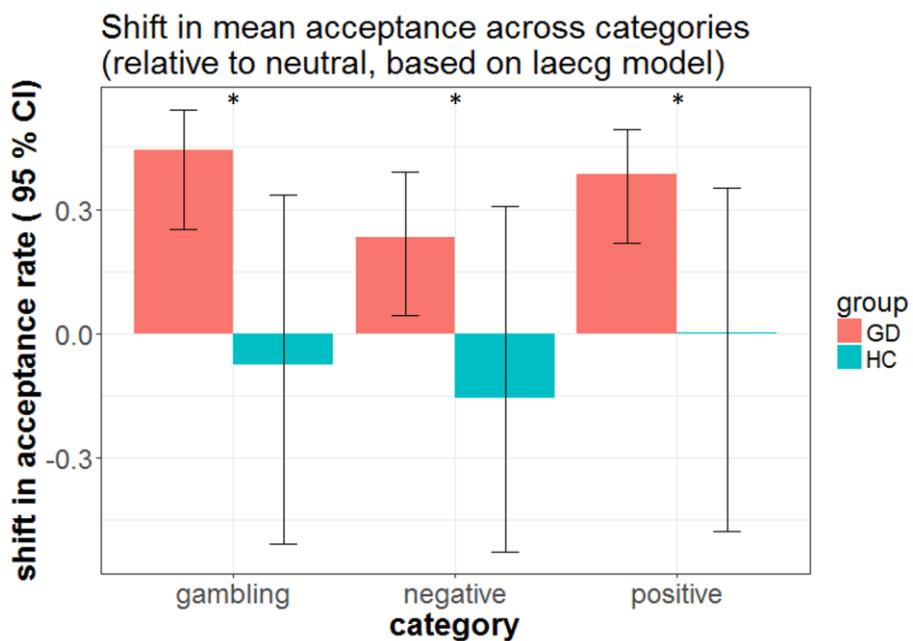
2 Cue ratings

3 Gambling cues were seen as more appropriately representing one or more gambling games than
4 any other cue category: gambling > neutral ($\beta = 1.509$, $p < 0.001$), gambling > negative ($\beta =$
5 1.142, $p < 0.001$), gambling > positive ($\beta = 1.459$, $p < 0.001$). They elicited more craving
6 compared to neutral in GD subjects than in HC subjects (GD gambling > neutral: $\beta = 1.749$, HC
7 gambling > neutral: $\beta = 0.719$, $p(GD > HC) < 0.001$). HC subjects indicated significantly more
8 craving in response to gambling cues compared to neutral cues ($p < 0.001$). GD subjects did not
9 rate gambling cues as more positively valenced than HC: GD > HC ($\beta = -0.055$, $p < 0.712$). GD
10 subjects did not rate gambling cues as more arousal-inducing compared to HC subjects (GD
11 gambling > neutral: 0.142, HC gambling > neutral: 0.047, $p = 0.525$). HC subjects did not rate
12 gambling cues as more arousal inducing than neutral cues ($p = 0.662$). Gambling cues lead to
13 higher dominance ratings overall: gambling > neutral ($\beta = 0.368$, $p < 0.001$). GD subjects rated
14 gambling cues as more dominance inducing than HC subjects: GD > HC ($\beta = 0.328$, $p = 0.021$).
15 Negatively valenced cues were seen as evoking smaller valence ratings than all other categories:
16 negative < neutral ($\beta = 0.651$, $p < 0.001$), negative < positive ($\beta = 1.538$, $p < 0.001$), negative <
17 gambling ($\beta = 0.977$, $p < 0.001$). Negative cues lead to lower dominance ratings ($\beta = -0.297$, p
18 < 0.001). There were no group differences on any rating scale with regards to the negative cues.
19 Negative cues were more representative of negative effects of gambling than any other group:
20 negative > neutral ($\beta = 1.398$, $p < 0.001$), negative > positive ($\beta = 1.388$, $p < 0.001$), negative >
21 gambling ($\beta = 0.826$, $p < 0.001$). GD subjects perceived negative cues as less representative for
22 negative consequences of gambling than HC subjects (HC: 2.03, GD: 1.388, $p < 0.001$). Positive
23 cues were more representative of positive effects of abstinence from gambling than any other

1 category: positive > neutral ($\beta = 0.970$, $p < 0.001$), positive > negative ($\beta = 0.848$, $p < 0.001$)
2 and positive > gambling ($\beta = 0.639$, $p < 0.001$), and rated as more positive (valence) than any
3 other category: positive > neutral ($\beta = 0.886$, $p < 0.001$), positive > negative ($\beta = 1.538$, $p <$
4 0.001) and positive > gambling ($\beta = 0.561$, $p < 0.001$). Positive cues lead to higher dominance
5 ratings: positive > neutral ($\beta = 0.683$, $p < 0.001$). There were no group differences on any rating
6 scale with regards to the positive cues. (**Fig. S3**).

7 **Describing the behavioral choice data**

8 Here we present results comparing the **laec** model against the **laecg** model (i.e. with an effect
9 of group onto the fixed effects of gain, loss, *ed* and category). Comparing the two models, we
10 observed a significant χ^2 difference test result ($\chi^2 = 26.6$, $df = 7$, $p < 0.001$; with $\Delta AIC = 12.6$,
11 $\Delta BIC = -39.0$). Inspecting the estimated parameters of the **laecg** model, we observed that
12 acceptance rate during neutral images with all other parameters at zero (i.e. at their mean, except
13 for *ed*, actually zero) was for HC: 59.0% and for GD: 38.8%, $p_{Wald} = 0.155$. Gambling cues
14 were associated with stronger increase in gamble acceptance in GD subjects ($\Delta\% = 44$) than in
15 HC subjects ($\Delta\% = -8$, $p_{Wald} = 0.003$). The same was true for negative (GD: $\Delta\% = 23$, HC: $\Delta\%$
16 = -16, $p_{Wald} = 0.049$) and positive cues (GD: $\Delta\% = 23$, HC: $\Delta\% = 0$, $p_{Wald} = 0.030$) (**Fig. 4**). For
17 further behavioral results, please see **Supplements (Section 2.1)**.



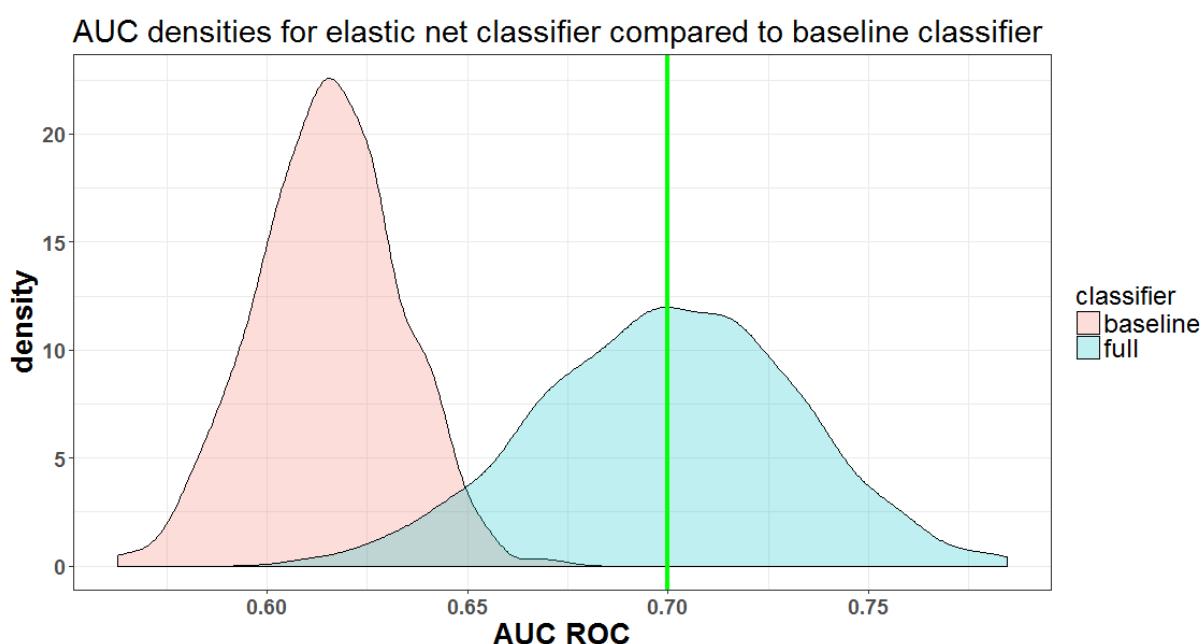
1

2 **Figure 4: Shift in acceptance rate during gambles per category and group.** Based on the laecg model. GD subjects
3 show stronger increase in gamble acceptance (compared to neutral) in comparison to HC subjects during the
4 presentation of all three cue categories in the background. CIs based on standard errors of parameter estimates.
5 Stars denote significant post-hoc contrasts.

6 Prediction of group using fMRI data

7 Across groups and in line with previous findings (Garbusow et al., 2016; Guitart-Masip et al.,
8 2010; Prévost et al., 2012; Talmi et al., 2008), there was for gambling-cues PIT a significant
9 effect in right amygdala: [15 -6 -15], psvc = 0.027, puncor = 0.003, k = 17, trendwise effects in
10 left NAcc: [-6 12 -3], psvc = 0.082, puncor = 0.015, k = 6, right NAcc: [6 12 -3], psvc = 0.060,
11 puncor = 0.014, k = 9, and in left amygdala: [-24 -3 -18], psvc = 0.071, puncor = 0.009, k = 31.
12 In line with previous findings (Goudriaan et al., 2010; Limbrick-Oldfield et al., 2017; Potenza
13 et al., 2003), there was for the cue reactivity contrast GD > HC (gambling cues) a trendwise
14 effect in right amygdala: [18 -3 -15], psvc = 0.091, puncor = 0.012, k = 5. Further, there was for
15 HC > GD (positive cues) a significant effect in left NAcc: [-6 6 -6], psvc = 0.033, puncor = 0.005,

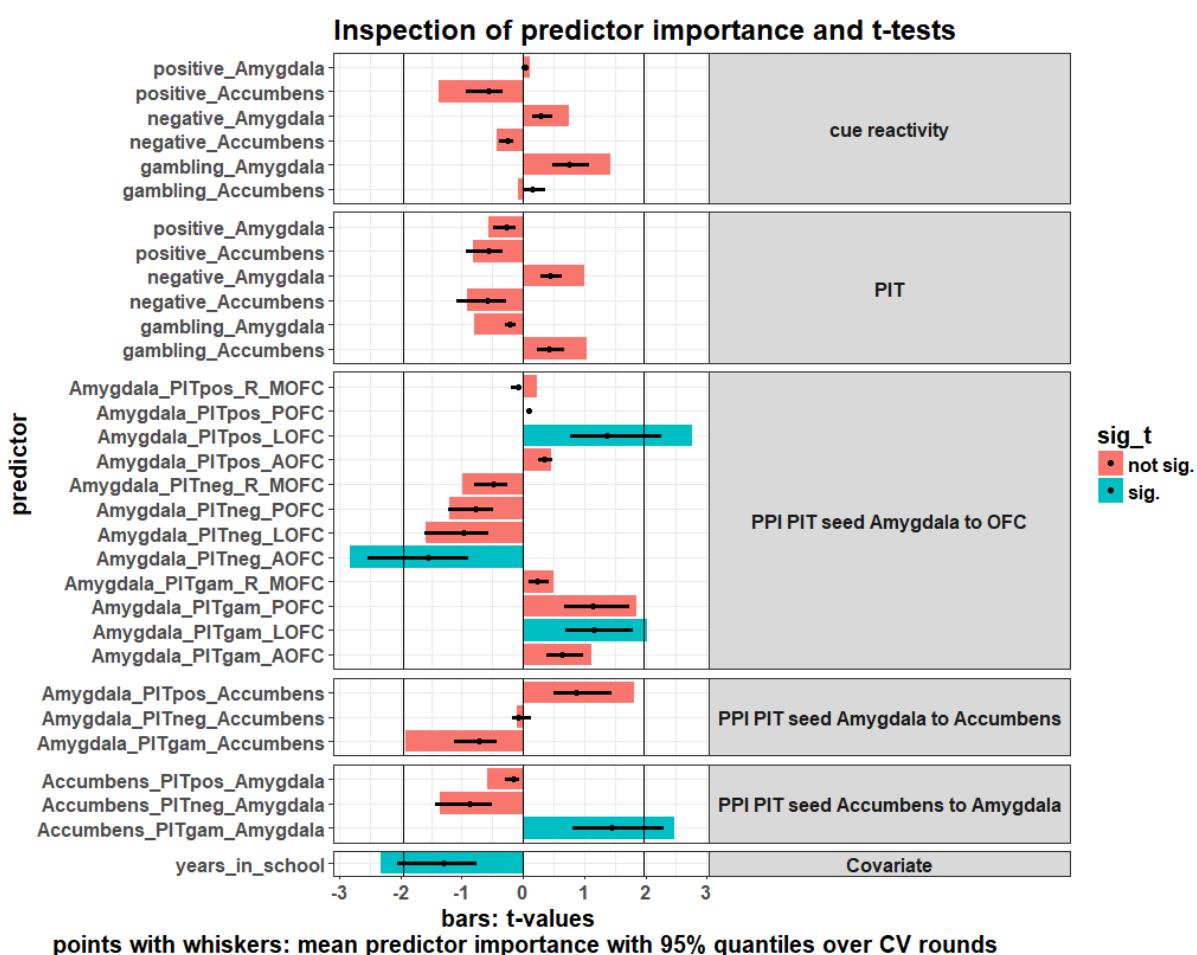
1 $k = 4$, and in right NAcc: [6 9 -6], $p_{\text{svc}} = 0.035$, $p_{\text{uncor}} = 0.007$, $k = 4$. For HC > GD (negative
2 cues), there was a trendwise effect in left lateral OFC: [-36 36 -18], $p_{\text{svc}} = 0.089$, $p_{\text{uncor}} = 0.004$,
3 $k = 24$, and in right anterior OFC: [24 45 -12], $p_{\text{svc}} = 0.080$, $p_{\text{uncor}} = 0.005$, $k = 8$.
4 The mean AUC-ROC of the full classifier using neural PIT signatures was 70.0% (mean for the
5 baseline classifier, i.e. covariate-only classifier: 61.5%, $p = 0.013$) (**Fig. 5**).
6



8 **Figure 5: Classification performance of classifier using fMRI neural PIT signatures.** Blue is the density plot of
9 1000 AUC-ROCs obtained from running 1000 repetitions of cross validation of the full classifier using neural PIT
10 signatures. The green line shows the mean of these 1000 AUC-ROCs. In red you see the same density estimate
11 for the baseline classifier, i.e. the covariate-only classifier, as a control condition. The full classifier performs
12 significantly better ($p = 0.013$).

13 We ran the algorithm on the complete data set of fMRI variables. Inspecting the classifier's
14 logistic regression weights (see **Fig. S4**) (after transformation to predictor importance, see Eq.
15 3, and according to t-tests), we saw that the top predictor was negative-cues-PIT-related
16 functional connectivity from amygdala to anterior OFC, with a negative sign (**Fig. 6**). This

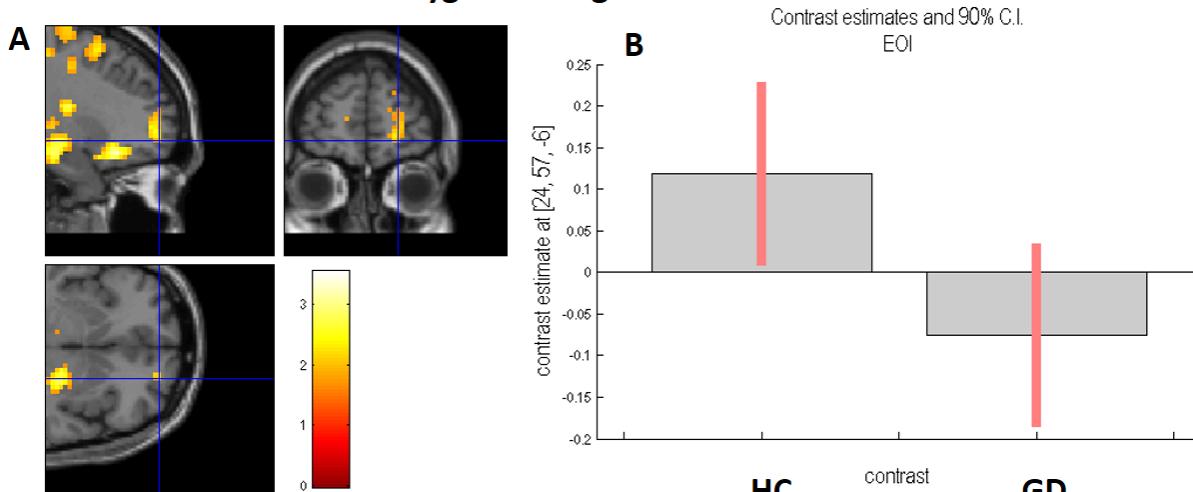
1 means that the stronger not accepting a gamble was associated with increase in correlation
 2 between amygdala and anterior OFC, the *less* likely the subject was a GD person (and rather a
 3 HC subject). In other words, GD subjects showed lower such functional connectivity than HC.
 4 The next top three predictors were gambling-cues-related functional connectivity from NAcc to
 5 amygdala (positive sign), positive-cues-related functional connectivity from amygdala to lateral
 6 OFC (positive sign), and years in school (negative sign) (see **Fig. 6, 7**).



7
 8 **Figure 6: Estimated predictor importance.** Points and quantiles are estimated predictor importance with 95%-
 9 quantiles over 1000 classifier estimation rounds. The larger the absolute size of an importance value the stronger
 10 the predictor adds to distinguishing HC from GD in the classifier. Bars show t-values of simple between- group t-
 11 tests. Significant t-tests are highlighted (Welch-test, $p < 0.05$, two-sided). Delimitations are at 1.96 and -1.96 to
 12 mark points of statistical significance for t-test. Importance values/t-values are grouped by the kind of fMRI

1 predictor: cue reactivity related, PIT related, Psychological-physiological-interaction (i.e. PPI) related. PPIs are
2 further grouped by seed region and target extraction (e.g. “to OFC”). PIT: pavlovian-to-instrumental transfer;
3 OFC: orbital frontal cortex; AOFC, LOFC, POFC, MOFC: anterior, lateral, posterior, medial orbital frontal cortex; R:
4 right
5

**PIT during negative cues:
PPI from the seed left Amygdala to right anterior OFC**



6
7 **Figure 7: Generalized psycho-physiological interaction (gPPI) T-map for Pavlovian-to-instrumental transfer**
8 **(PIT) during negative cues contrast (HC > GD).** Displayed at $p < 0.05$. Illustration of contrast that contributed to
9 most important predictor of the classifier. **A:** from left amygdala to right anterior orbito-frontal cortex (OFC),
10 centered at peak within region of interest, [24, 57, -6], $p_{unc} = 0.024$, $k > 0$. Cluster extends into right superior
11 frontal gyrus. Also visible is significant activity in right posterior OFC, which was also picked up by the classifier.
12 **B:** plot of subjects' beta values at peak voxel for the contrast in A. HC: healthy controls, GD: gambling disorder
13 subjects

14

1 DISCUSSION

2 GD is characterized by impaired decision making (Wiehler and Peters, 2015) and craving in
3 response to gambling associated images (Crockford et al., 2005; Goudriaan et al., 2010). There
4 is evidence that there is significant influence of cue-induced emotional states onto value-based
5 decision-making in GD subjects (Dixon et al., 2006; Genauck et al., 2019; Miedl et al., 2012;
6 van Holst et al., 2012). The influence of cues onto value-based decision-making may be
7 regarded as a form of Pavlovian-to-Instrumental Transfer (PIT), the increase of which has been
8 associated with addictive disorders in general (Corbit and Janak, 2007; Garbusow et al., 2016;
9 Genauck et al., 2013; Mitchell et al., 2016; Schad et al., 2018).

10 In the current study, we hypothesized that GD subjects should be distinguishable by neural PIT
11 signatures based on fMRI contrasts recorded during an affective mixed-gambles task. We
12 therefore built a classifier using fMRI PIT contrasts to distinguish GD from HC subjects
13 focusing on brain structures known to be relevant in PIT, like amygdala and NAcc (ventral
14 striatum). We also incorporated amygdala's connectivity to OFC, and amygdala's and NAcc's
15 connectivity to each other. We further included neural cue reactivity contrasts as predictors. All
16 these predictors yielded a neural PIT signature per subject which could be used to classify
17 subjects into the GD or HC group.

18 Our results support our first hypothesis, showing that neural PIT signatures based on fMRI data
19 gathered from the affective mixed-gambles task may successfully classify out-of-sample
20 subjects into GD and HC, with a cross-validated mean AUC-ROC of 70.0% ($p = 0.013$). This
21 performance on out-of-sample data is similar to other studies using MRI data for classification
22 in the field of addictive disorders (Guggenmos et al., 2018; Pariyadath et al., 2014; Seo et al.,
23 2015, 2018; Whelan et al., 2014). To our knowledge, however, the present study is the first one
24 to use fMRI classification for investigating a behavioral addiction, namely GD, and the neural

1 basis of increased PIT. This means that it is possible to characterize a non-substance related
2 addiction to a considerable degree by a single neuro-functional signature, namely a neural PIT
3 signature in a network of amygdala, NAcc and OFC, derived from PIT and SUD literature. This
4 further implies that addictive disorders, in general, may be associated with PIT-related neural
5 changes, independent of a substance of abuse, which means that neural PIT changes may be a
6 product of addiction-related learning and neural plasticity or even of an innate trait (Barker et
7 al., 2012).

8 Concerning the predictors in the classifier, we hypothesized that gambling-cue PIT-related
9 functional connectivity from amygdala to OFC should be increased. We found that multiple
10 PIT-related functional connectivities from amygdala to OFC were significant predictors in the
11 classifier. For example, gambling-cues PIT-related functional connectivity from amygdala to
12 OFC was increased in GD compared to HC subjects, in line with our hypothesis and in line with
13 the general prediction that in GD subjects amygdala modulates the value computation in OFC,
14 when addiction-related cues are presented in the background (Cardinal et al., 2002; Holmes et
15 al., 2010; Litt et al., 2008). Furthermore, the top predictor in the classifier was PIT-related
16 functional connectivity from amygdala to anterior OFC in trials with a *negative* cue, with a
17 negative predictor weight. This means that the stronger the rejection of a gamble during the
18 presentation of negative cues was associated with an increase in correlation between amygdala
19 and anterior OFC, the *less* likely the subject was a GD person (and rather a HC subject). In other
20 words, GD subjects showed weaker such functional connectivity than HC. GD subjects,
21 compared to HC subjects, showed significantly more gambling during the presentation of
22 negative cues than during the presentation of neutral cues. HC subjects may not show this effect
23 because of stronger signal transmission related to negative cues from amygdala to OFC.
24 Similarly, it has been found that reduced loss aversion in GD subjects was associated with

1 reduced loss-related functional connectivity from amygdala to ventral medial prefrontal cortex
2 in a pure mixed-gambles task (Genauck et al., 2017). This highlights that impaired decision-
3 making in GD during a pure mixed-gambles task, as well as during an affective mixed-gambles
4 task, may draw from the same functional neural substrate.

5 We looked at the next two top predictors expecting that PIT-related (as opposed to purely cue
6 reactivity related) neural predictors should be among these. Indeed, we found that the next top
7 predictor was gambling-cues PIT-related functional connectivity from NAcc to amygdala
8 (positive sign), a connectivity important for cue-induced effects in mixed-gambles tasks
9 (Charpentier et al., 2015). This means that the more gamble acceptance during presentation of
10 gambling cues was associated with an increase in correlation between NAcc and amygdala, the
11 *more* likely the subject was a GD person. In other words, GD subjects showed stronger such
12 functional connectivity than HC. NAcc is seen as encoding temporal difference prediction
13 errors, i.e. it fires when an unexpected reward signal is perceived from one moment to the next
14 (McClure et al., 2003; Niv and Schoenbaum, 2008; O'Doherty et al., 2003; Schultz et al., 1997).
15 GD subjects rated gambling pictures as more craving-inducing and reacted with significantly
16 stronger gamble acceptance increase than HC when gambling-associated cues were shown in
17 the background. We also saw an important regression weight given to gambling-cues PIT-
18 related functional connectivity from amygdala to OFC, in line with our initial hypothesis.
19 Therefore, it may be that gambling cues elicit a prediction error in NAcc that modulates
20 amygdala activity, which in turn modulates the value representation in OFC in such a way that
21 GD subjects are more inclined than HC subjects to accept the gamble at hand. This is in line
22 with a previous study, where it has been found that GD subjects display increased functional
23 connectivity from amygdala to posterior OFC related to increasing possible gains in a pure
24 mixed-gambles task (Genauck et al., 2017). This highlights again that impaired decision-

1 making in GD during a pure mixed-gambles task, as well as during an affective mixed-gambles
2 task may draw from the same functional neural substrate. Also, it has been observed before that
3 NAcc and amygdala seem to hold relevant signal related to PIT in healthy subjects (Prévost et
4 al., 2012) and to increased PIT in addicted subjects (Garbusow et al., 2016). Interestingly,
5 previous studies (Garbusow et al., 2016; Schad et al., 2018) have observed that in recently
6 detoxified treatment-seeking AD patients, images of alcoholic beverages in the background
7 have a suppressing effect on the instrumental task in the foreground. Contrarily, we have seen
8 that gambling cues elicit a stronger gamble acceptance increase in GD than in HC. This may be
9 because we have included only active non-treatment-seeking gamblers, who at that stage of
10 disease show little activity working against their automated response towards addiction-related
11 cues.

12 The third top predictor was also PIT related, in line with our hypothesis that PIT-related
13 predictors should be more important than cue reactivity predictors. It was positive-cues PIT-
14 related functional connectivity from amygdala to lateral OFC. This means that the stronger the
15 acceptance of a gamble during the presentation of positive cues was associated with an increase
16 in correlation between amygdala and OFC, the *more* likely the subject was a GD person. In
17 other words, GD subjects showed stronger such functional connectivity than HC. This may be
18 seen as parallel to the finding on behavioral level that GD subjects react with more gambling
19 increase to positive pictures than HC subjects. It seems that both positive cues and gambling
20 cues lead to increased gambling and similarly increased connectivity between amygdala and
21 OFC in GD subjects. Also, negative cues lead to increased gambling. This is surprising because
22 one could have expected to see decreased gambling during negative and positive cues or no
23 effect of those cue categories (Genauck et al., 2019). On the other hand, perhaps *all three* cue
24 categories have special salience for GD subjects, because they may have already thought about

1 and experienced the positive and negative effects of their gambling behavior and this might
2 conjure motivation to act. Negative cues might have even evoked a need for loss chasing and
3 thus more gambling (Ciccarelli et al., 2019). Thus, generally, all three cue categories may lead
4 to increased vigor/motivation, leading to a stronger propensity to accept gambles. Future studies
5 should further explore the effect of positive and negative stimuli on gambling in GD.

6 Although we discussed the top-three predictors, note that our classifier is truly multivariate. Of
7 the 30 neural PIT signature predictors, 22 received a significant regression weight (and 24 a
8 significant activation weight), despite elastic net regression allowing for total deselection of
9 predictors (Zou and Hastie, 2005). This means that just like on the behavioral level, where GD
10 subjects reacted more strongly than HC to all non-neutral categories, we see that fMRI activities
11 related to *all* non-neutral categories was relevant for characterizing GD. Cue reactivity
12 regression weights are relatively small and the classifier heavily draws on PIT-related variables
13 (the top-three predictors were PIT related). This emphasizes the importance of PIT as a defining
14 marker for addictive disorders beyond cue reactivity.

15 We used the same cues as Genauck et al. (2019) in a new sample of GD and HC subjects and,
16 in line with that study, we also observed that GD subjects rate the gambling cues as more craving
17 inducing. Also, in the other categories cues were perceived as expected. The ratings and the
18 result that neural PIT signatures successfully distinguish GD from HC subjects reinforce the
19 notion that GD subjects' cue reactivity facilitates riskier decision-making when addiction-
20 related cues are presented in the background of a gamble task.

21 Changes in NAcc's structure (Koehler et al., 2015) and function (Koehler et al., 2013; Linnet
22 et al., 2010; Miedl et al., 2014; Reuter et al., 2005; Romanczuk-Seiferth et al., 2015) related to
23 GD have been observed in previous studies. The same is true for amygdala's structure (Elman
24 et al., 2012; Takeuchi et al.) and function (Genauck et al., 2017), as well as for OFC's structure

1 (Li et al., 2018) and function (Cavedini et al., 2002; Goudriaan et al., 2010). Our study adds to
2 these findings by considering the functions of these structures concurrently and in a network.
3 Our results support the notion that GD, similar to SUD, is characterized by neural incentive
4 sensitization (Limbrick-Oldfield et al., 2017; Rømer Thomsen et al., 2014) (Limbrick-Oldfield
5 et al., 2017; Rømer Thomsen et al., 2014) such that in GD a network of amygdala, NAcc and
6 OFC facilitate gambling decisions in the face of gambling cues.

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1 STRENGTHS AND LIMITATIONS

2 The main strength of our study is that we have used a classification approach to assess the
3 usefulness of known neural PIT contrasts to characterize GD in out-of-sample data. Using this
4 approach, we have estimated the single-subject relevance of these fMRI signals. Our results
5 therefore have not only explanatory value in elucidating the basis of increased PIT in GD, but
6 also predictive value, given that they are likely to be found in new samples of GD and matched
7 HC subjects (Yarkoni and Westfall, 2017). Furthermore, we are to our knowledge the first to
8 address the neural underpinnings of PIT in a behavioral addiction using a machine learning
9 approach. Unfortunately, we have no independent validation sample to externally validate our
10 results (Genauck et al., 2019; Guggenmos et al., 2018). Further studies are needed to collect
11 such data. As we have laid out, there are multiple ways in which the brain may produce an overt
12 PIT, involving at least amygdala, NAcc and OFC. To increase statistical power, we have omitted
13 other conceptualization of PIT, e.g. as an interference task (Sommer et al., 2017), and hence
14 any limbic-dorsal-lateral-prefrontal connectivity (Bray et al., 2008). Future studies should
15 explore this. In the current study we did not address the distinction between outcome-specific
16 and general PIT (Bray et al., 2008; Corbit and Janak, 2007; Eder and Dignath, 2016; Hogarth
17 and Chase, 2012; Lewis et al., 2013; Steenbergen et al., 2017). This would be a valuable next
18 step for future studies in GD.

19

1 CONCLUSION

2 We have observed that it is possible to classify HC and GD subjects based on the neural
3 correlates of PIT in a network of NAcc, amygdala and OFC. Our findings further the
4 understanding of GD and show that PIT is relevant for characterizing non-substance-related
5 addictive disorders also on neural level. PIT alterations at the neural level related to an addictive
6 disorder might thus not depend on the direct effect of a substance of abuse, but rather on related
7 learning processes or even on innate traits.

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2 This study was conducted at the BCAN - Berlin Center of Advanced Neuroimaging.

1 ONLINE RESOURCES

2 R code and data (stored in an .RData file which is loaded with the R code) to run the classifier
3 estimation and cross-validation, as well as the classical hierarchical regression analyses can be
4 found in the following link. Further you can find there also more detailed data concerning the
5 MRI sequences, as well as the preprocessing of MRI data and the fMRI single subject design:
6 https://github.com/pransito/PIT_GD_MRI_release

7

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