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7 DNA Extraction Method Optimized for Nontuberculous Mycobacteria Long-Read Whole Genome
8 Sequencing
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29 **Abstract**

30 Nontuberculous mycobacteria (NTM) are a major cause of pulmonary and systemic disease in at-risk
31 populations. Gaps in knowledge about transmission patterns, evolution, and pathogenicity during
32 infection have prompted a recent surge in genomic NTM research. Increased availability and
33 affordability of whole genome sequencing (WGS) techniques, including the advent of Oxford Nanopore
34 Technologies, provide new opportunities to sequence complete NTM genomes at a fraction of the
35 previous cost. However, extracting large quantities of pure genomic DNA is particularly challenging with
36 NTM due to their slow growth and recalcitrant cell wall. Here we report a DNA extraction protocol that is
37 optimized for long-read WGS of NTM, yielding large quantities of highly pure DNA. Our refined method
38 was compared to 6 other methods with variations in timing of mechanical and enzymatic digestion,
39 quantity of matrix material, and reagents used in extraction and precipitation. We also demonstrate the
40 ability of our optimized protocol to produce sufficient DNA to yield near-complete NTM genome
41 assemblies using Oxford Nanopore Technologies long-read sequencing.

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57 **Introduction**

58 The emergence of nontuberculous mycobacteria (NTM) infection in immunocompromised hosts,
59 the elderly, patients with cystic fibrosis (CF), and patients with non-CF chronic lung disease (COPD,
60 asthma, non-CF bronchiectasis) has prompted genomic investigations aimed at uncovering the
61 determinants of pathogenicity, transmission, evolution, and adaptation (1-10). Recent studies of
62 bacterial evolution and phylogenomics have been revolutionized by more available and affordable of
63 whole genome sequencing (WGS) (11-15). Whole genome sequencing of NTM has begun to shed light
64 on taxonomic conundrums, transmissibility, and global evolution (16-24). However, the unique
65 challenges of slow growth rates and inefficient DNA extraction have impeded rigorous genomic
66 investigation of NTM.

67 Over recent years, the vast majority of genomic analysis has relied on short-read, shot-gun
68 sequencing (125-500 base pairs), which can deliver exceptional accuracy, but rarely produces closed
69 genomes. Indeed, less than 10% of available microbial genomes are complete (25). Fragmented
70 assemblies are problematic because they may unlink gene clusters, fail to resolve repetitive and G+C
71 rich regions, neglect insertion and deletion elements (indels), and overlook recombination (26-29).

72 Long-read sequencing promises an enhanced ability to complete bacterial genomes. The most
73 commonly available techniques for long-read sequencing are the Single Molecule Real-Time (SMRT)
74 technology by Pacific Biosciences® (PacBio) and the newer Oxford Nanopore Technologies (ONT) (14,
75 27, 30). Unlike most short-read sequencing methods, which require only very small amounts of DNA
76 (as low as 1 ng), long-read platforms require high quantities of very pure DNA for acceptable
77 processing (Table 1). DNA purity and integrity (*i.e.*, length or molecular weight [MW]) is not only
78 essential for functionality of the sequencer, but also is directly related to the quality of downstream
79 bioinformatic analyses, as the DNA MW places a natural upper bound on the potential read length.

80 Extracting large quantities of intact, pure genomic DNA is exceptionally challenging with NTM
81 due to their hardy, lipid-laden mycobacterial cell wall. Standard extraction techniques do not yield
82 sufficient quantities of DNA for WGS while overly vigorous techniques shear DNA into suboptimal MWs
83 for long-read sequencing. Previously, Käser et al. in 2010 published a mycobacterial-specific DNA
84 extraction protocol, which is commonly used in the NTM research community (31, 32). In our

85 experience, this technique was unable to yield DNA that was of sufficient quality for ONT MinION
86 sequencing. We developed an optimized protocol over the course of performing over 100 NTM DNA
87 extractions, using components of several extraction techniques (31, 33-36). Our improved method is
88 characterized by early bead-beating (prior to enzymatic digestion) in high concentrations of sodium
89 dodecyl sulfate (SDS) followed by gentle extraction and precipitation focused on DNA purification and
90 protection of long strands of DNA. The goal of our protocol is to extract high MW, pure DNA for use in
91 long-read WGS. Here, we demonstrate its superiority to 6 variations in methodologic design and
92 validate its capacity for producing near-complete genome assemblies with the ONT MinION sequencer.

93 **Materials and Methods**

94 Bacterial growth

95 Clinical isolates of *M. avium* complex were grown from frozen stocks to Löwenstein–Jensen slants and
96 sub-cultured to Middlebrook 7H11 plates. Single colonies from 7H11 plates were inoculated in
97 Middlebrook 7H9 broth supplemented with 10% OADC and incubated statically at 37°C for 2 weeks.

98 Bacterial cultures were pelleted (4500 rpm x 10 min) and stored at -20°C until time of extraction.

99 DNA extraction

100 The following extraction protocol described is “Method 5.” Alternate methods are described in Table 2.
101 Method 3 corresponds to the protocol by Käser et al (32). The comprehensive protocol with thorough
102 descriptions of each step and reagent recipes is provided in Supplemental Figure 1.

103 Sample Preparation. Bacterial pellets were resuspended and washed in 350 µL of 1X phosphate-
104 buffered saline (PBS) using 2 mL microcentrifuge tubes. Due to variability in starting mass between
105 bacterial isolate cultures, and for the purposes of comparing extraction methods, all weights were
106 normalized after a 2nd PBS wash and “washed weights” were recorded. The samples were heat-
107 inactivated for 60 minutes at 95°C, pelleted, and supernatant discarded.

108 “Early” Mechanical Disruption in SDS followed by Enzymatic Digestion. Bacterial pellets were
109 resuspended in 400 µL of lysis buffer and 100 µL of 20% SDS. Samples were homogenized with glass
110 beads (4 x 30 second cycles at 3000 rpm, Fisher Scientific vortex mixer, MoBio adapter) (150 mg glass
111 beads, 0.1-mm diameter, Research Products International). Subsequently, all vortexing was avoided.
112 Cell walls were additionally lysed in lysozyme (final concentration 10 mg/mL) for 1 hour at 37°C.

113 Proteinase K (final concentration 200 µg/mL) was added and samples were incubated at 37°C for 90
114 minutes with mixing by turning end-over-end by hand every 30 minutes. The lysates were centrifuged
115 (4500 rpm x 10 min followed by 14,000 rpm x 2 min) and supernatants transferred to 2 mL 5Prime Light
116 Phase Lock Gel™ (PLG, QuantaBio) microcentrifuge tubes. Variables tested in the aforementioned
117 digestion steps included enzymatic digestion prior to mechanical digestion (Methods 2, 3) and the
118 amount of matrix material added (Method 4).

119 Phenol:Chloroform:isoamyl alcohol extraction. To extract DNA, 500 µL of phenol:chloroform:isoamyl
120 alcohol (25:24:1, Tris-saturated, pH 8.0) was added to the PLG tubes. The tubes were rotated on a
121 HulaMixer at 20 rpm for 20 minutes and then centrifuged (4500 rpm x 10 min). The DNA-containing
122 aqueous layer was transferred to a new 2 mL microcentrifuge tube. Chloroform:isoamyl alcohol (24:1,
123 Tris-saturated) without phenol was tested as a variable (Methods 1, 2, 4, 6, 7).

124 Isopropanol precipitation. For DNA precipitation, 1/10 volume of 5 M sodium chloride (~20-45 µL) and 1
125 volume of room temperature isopropanol (~200-450 µL) was added to the samples. The samples were
126 incubated at room temperature overnight. The samples were then centrifuged (14,000 rpm x 30 min at
127 22°C, to avoid heating), washed with 700 µL 70% ethanol (14,000 rpm x 10 min at 22°C), and the
128 supernatant carefully discarded, with repeat of washing steps 3 times. The samples were air-dried at
129 room temperature with lids open for 15 minutes, resuspended in 100 µL of Tris-Cl Elution Buffer
130 (Qiagen), and eluted overnight on a nutator (24 rpm fixed speed, Fisherbrand™). DNA was stored at
131 4°C for 3-4 days prior to quality assessment. Variables tested during precipitation include use of cold
132 100% ethanol (Methods 3, 6) and use of an alternative salt (Methods 1-4, 6, 7).

133 Quality measures. DNA was heated to 65°C x 1 hour prior to quality assessment. DNA purity was
134 assessed with NanoDrop 2000 UV-Vis Spectrophotometer (260/280, 260/230) and concentrations
135 measured with Qubit® 2.0 Fluorometer (dsDNA BR Assay). Gel electrophoresis (0.6% agarose ethidium
136 bromide gel, 40V x 2 hours) estimated molecular weights and shearing. One-way ANOVA with post-hoc
137 Tukey's multiple comparison test in Prism 7.0d for Mac OS X (GraphPad Software, La Jolla California
138 USA, www.graphpad.com) was used to determine significance differences.

139 Whole genome sequencing. Two representative samples of *M. avium* subsp. *hominissuis*
140 (CHOP101034 and CHOP101174) were prepared for WGS with library preparations of Nextera XT

141 (Illumina) and Rapid Barcoding Kit (ONT), and libraries were sequenced on their respective platforms of
142 Illumina HiSeq 2500 and ONT MinION sequencer (FLO-MIN107). Read qualities were assessed with
143 FastQC and MultiQC (37, 38). Genome assemblies were constructed with short-reads only, long-reads
144 only, and with a combination of short and long-reads (hybrid) with error correction. Raw reads were
145 trimmed and demultiplexed with Trim Galore (39, 40), *de novo* assembled with Unicycler (41), and
146 assembly graphs generated by Bandage (42). Long-read and hybrid assemblies were additionally
147 polished and circularized with Circlator (43). Assembly quality control measurements were assessed
148 with QUAST (44).

149 **Results**

150 Initial bacterial pellets averaged a normalized “washed weight” of 26.4 mg. With the exception of
151 Method 6, all methods tested produced sufficient total DNA quantity and concentration (Figure 1a, 1b).
152 Methods 1 and 3 produced the highest total amounts of DNA with 12.45 and 11.43 µg of DNA,
153 respectively (Table 3). All methods except Method 6 gave sufficient 260/280, indicating low protein
154 contamination overall (Table 3, Figure 2a). Method 3 and 5 produced the highest 260/280
155 measurements, which were significantly higher than other methods. Only Method 5 produced sufficient
156 260/230 for use with long-read sequencers without the need for any clean-up steps (Table 3, Figure
157 2b). Despite apparent variation in DNA quantity, all methods produced high MW DNA as evidenced on
158 an ethidium bromide gel, indicating preservation of long reads of genomic DNA (Figure 3).

159 Representative samples of DNA from single colony extractions (CHOP101034 and
160 CHOP101174) were sequenced by both Illumina NGS and ONT MinION with quality control statistics of
161 reads listed in Table 3. Remarkably, ONT reads averaged over 1000 bp without size selection with
162 longest reads of approximately 35,000 bp. Such high read lengths supplied higher coverage for ONT
163 reads despite lower base calling accuracy. In this regard, Illumina and ONT read qualities generated
164 expected results. Comparison of mean Phred scores of Illumina reads (37) and ONT reads (13)
165 demonstrated a lower probability of a miscalled base at any given position with Illumina sequencing
166 (0.02% versus 5.01%).

167 Quality control statistics of assembled genomes computed in QUAST and assembly graphs
168 generated by Bandage are featured in Figure 4 (42, 44). Short-read only assemblies were considerably

169 more fragmented with an average of 90 contigs compared to the long-reads only and hybrid
170 assemblies, which averaged 13 and 7 contigs, respectively. The best assembly (CHOP101174, hybrid)
171 was 5.15 Mb in length with 5 contigs and an N50 of 3.0 Mb. Notably, ONT-only assemblies were overall
172 similar to hybrid assemblies by basic quality statistic measures, but have significantly more errors
173 based on Phred scores of input reads (Table 3).

174 **Discussion**

175 Our protocol was used to supply sufficient input DNA for long-read WGS with the ONT MinION
176 sequencer. To demonstrate direct comparisons to alternative methods, we completed DNA extraction
177 with 6 variations of methodology with normalized starting DNA for head-to-head comparisons. Method 5
178 demonstrated superiority as the only method to provide appropriate quality control measurements
179 without requiring any clean-up steps. Method 5 was characterized by early bead-beating in high-SDS
180 concentration, gentle phenol-based extraction, and room temperature isopropanol precipitation. While
181 Method 5 was the only method to use NaCl as the precipitation salt, later direct comparisons of NaCl
182 versus NaOAc alone did not demonstrate any superiority of NaCl. Thus, while either salt is appropriate,
183 we recommend NaCl over NaOAc because it does not require pH titration. In addition to the variables
184 presented in this manuscript, we also trialed an alternative buffer, higher concentrations of lysozyme
185 and proteinase K, variable starting weights of bacterial pellets, extraction without bead-beating, and
186 bead-beating with and without SDS,

187 In comparison to a widely-used method (Method 3, Käser et al.), we noted improvements in the
188 purity of DNA (260/280 and 260/230) with modifications of the composition of lysis buffer (See
189 Supplemental Figure 1), the timing of bead beating (early vs. late), the use of Phase Lock Gel™ tubes,
190 and precipitation in room temperature isopropanol as opposed to cold 100% ethanol. Others have
191 shown improved DNA purity with isopropanol extractions compared to cold ethanol extractions with less
192 salt carry-over, albeit at the expense of reduced DNA yields (31, 32). While Method 1 and 3 gave more
193 total DNA, neither reached a suitable 260/230. Thus, total DNA yield may need to be sacrificed in order
194 to achieve high DNA purity.

195 The trademark of the mycobacterial cell wall is its heavily lipophilic exterior. In addition,
196 mycobacterial peptidoglycans are characterized by an oxidation modification rendering lysozyme less

197 effective at cleaving the $\beta(1,4)$ linkages between N-acetylmuramic acid and N-acetyl-D-glucosamine
198 residues (45). Thus, it is no surprise that mechanical digestion is necessary for DNA extraction. We
199 reason that *early* mechanical digestion allows the exterior mycolic acid cell wall and peptidoglycan layer
200 to be broken down first, with subsequent enzymatic disruption with lysozyme and proteinase K to digest
201 the remainder of the cell wall and expose the cellular contents. In our preliminary trials, early
202 mechanical digestion demonstrated superiority to late mechanical digestion. Although not seen in the
203 head-to-head comparisons presented here, we have noted increased shearing with late mechanical
204 digestion, resulting in homogenously distributed smears of lower MW DNA on gel electrophoresis. In
205 addition, we found that early addition of high concentrations of SDS during early beat-beating was also
206 independently superior to early bead-beating without SDS (data not shown). The detergent properties
207 of SDS likely assist with mechanical lysis and may additionally protect exposed DNA from degradation.

208 There are several reasons why long-read sequences are important for the interpretation of
209 genomic evolution and phylogeny. Studies aimed at understanding patterns of transmission and intra-
210 host adaptation must pay specific attention to unique genomic characteristics, such as mosaicism (46),
211 recombination tracks (19), and large repeat regions (28, 29, 47), which can all function to confound
212 phylogenetic inference. Current short-read techniques cannot obtain the contig sizes necessary to
213 detect these genomic features. Complete WGSs also allow for optimal reference sequence generation
214 for comparison of clonal relatives because they contain a more complete picture of genome content
215 and organization and may detect genomic changes that would be missed otherwise. Thus, with the
216 exception broad phylogenetic estimations, comprehensive variation-based analyses warrant
217 supplementation with long-read assemblies.

218 Undoubtedly, long-read assembled genomes are the way of the future. As technology improves,
219 assembly construction will be less and less reliant on short-read sequencing. However, we will remain
220 at the mercy of the cell wall, and continue to be faced with the delicate challenge of mining unscathed
221 DNA from a distinctly robust substrate. Here, we presented a finely-tuned extraction method designed
222 for preparation of highly purified DNA to be used for long-read sequencing and demonstrated the ability
223 to produce complete (or near complete) genome assemblies.

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421 **Table 1. DNA specifications by long-read WGS platform**

	PacBio	MinION
Minimum Input DNA	5 µg	400 ng
260/280	1.6-1.8	1.6-1.8
260/230	2.0	2.0
Expected Library Insert Size	500bp – 20 Kb	unlimited
Expected DNA read length	up to 500 Kb	unlimited
Expected GB per flow cell	20 GB	10 – 20 GB

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439 **Table 2. Differentiation of Tested Methods by Variable**

	Method 1	Method 2	Method 3	Method 4	Method 5	Method 6	Method 7
Early vs. Late bead-beating*	Early	Late	Late	Early	Early	Early	Early
Bead Quantity	150 mg	150 mg	150 mg	75 mg	150 mg	150 mg	150 mg
Phenol vs. No Phenol†	No phenol	No phenol	Phenol	No phenol	Phenol	No Phenol	No phenol
Precipitation Temp/ Reagent‡	RT/2-Prop	RT/2-Prop	Cold ETOH	RT/2-Prop	RT/2-Prop	Cold ETOH	RT/2-Prop
Precipitation Salt§	NaOAc	NaOAc	NaOAc	NaOAc	NaCl	NaOAc	NaOAc
Number of washes	3	3	3	3	3	3	5

440 * “Early” bead-beating refers to the timing *prior* to enzymatic digestion; “Late” bead-beating refers to timing *after* enzymatic digestion.

441 All Early bead-beating was done in high SDS concentration, see Supplemental Figure 1.

442 † DNA extractions in “Phenol” were extracted as described in the Materials and Methods with phenol:chloroform:isoamyl alcohol

443 (25:24:1, Tris-saturated, pH 8.0); extractions in “No phenol” were extracted using chloroform:isoamyl alcohol (24:1, Tris-saturated).

444 ‡ Precipitation reagent was either RT 2-Prop (room temperature isopropanol) or Cold ETOH (100% ethanol chilled at -20°C).

445 § Precipitation salt was either 3 M sodium acetate (pH 5.2) or 5 M NaCl.

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458 **Table 3. Average Quality and Quantity Measurements by Method.** All reported values are averages of
459 extractions performed in triplicate with \pm standard deviation. The highlighted method achieved sufficient QC on all
460 measurements.

	Method 1	Method 2	Method 3	Method 4	Method 5	Method 6	Method 7
260/280	1.77* \pm 0.01	1.73* \pm 0.03	1.87* \pm 0.05	1.64* \pm 0.04	1.89* \pm 0.01	0.743 \pm 0.02	1.75* \pm 0.03
260/230	1.15 \pm 0.05	1.03 \pm 0.07	0.905 \pm 0.47	0.833 \pm 0.15	1.95* \pm 0.03	0.46 \pm 0.01	1.09 \pm 0.06
Conc. DNA (ng/uL)	124.5* \pm 29.3	99.0* \pm 12.6	114.3* \pm 17.4	54.97* \pm 26.7	72.63* \pm 5.0	7.22 \pm 4.9	78.67* \pm 13.7
Total DNA (ug)	12.45* \pm 2.93	9.903* \pm 1.26	11.43* \pm 1.74	5.497* \pm 2.67	7.263* \pm 0.5	0.722 \pm 0.49	7.867* \pm 1.37

461 *Sufficient QC measurement for long-read sequencing.

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480 **Table 4. Quality Statistics of Isolates Sequenced for Long-Read Hybrid Assembly.** Statistics were generated
481 with FastQC and MultiQC (37, 38).

		Total reads	GB Data	Avg. read length (bp)	Longest read (bp)	%GC	Coverage*	Mean Phred score	% Error Probability†
Illumina	CHOP101034	2,521,793	1.6	126	126	65%	122x	37	0.01995%
	CHOP101174	2,348,600	1.5	126	126	67%	113x	37	0.01995%
ONT	CHOP101034	545,494	1.3	1,139	34,615	66%	119x	13	5.0119%
	CHOP101174	875,670	2.0	1,122	36,523	66%	188x	13	5.0119%

482 * Coverage calculated by $C = LN/G$, where L=average read length, N=number of reads, G=genome size of 5.2 Mb.

483 † Error probability percentage is a function of Mean Phred score, where probability $P\% = 100 \times 10^{(-\text{Phred}/10)}$.

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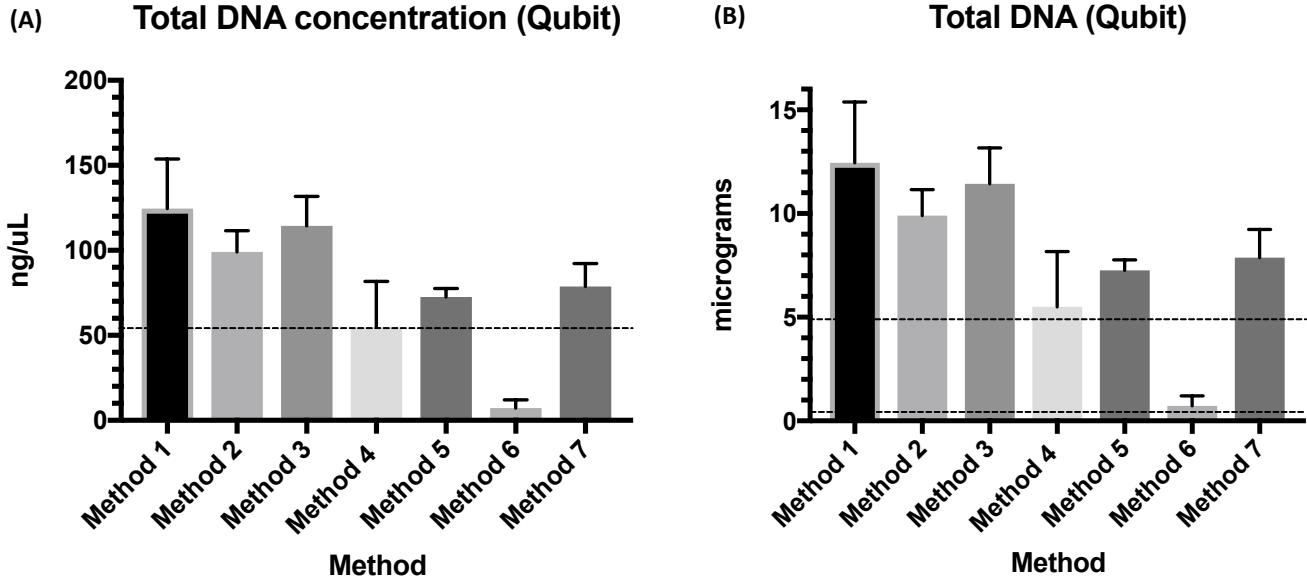
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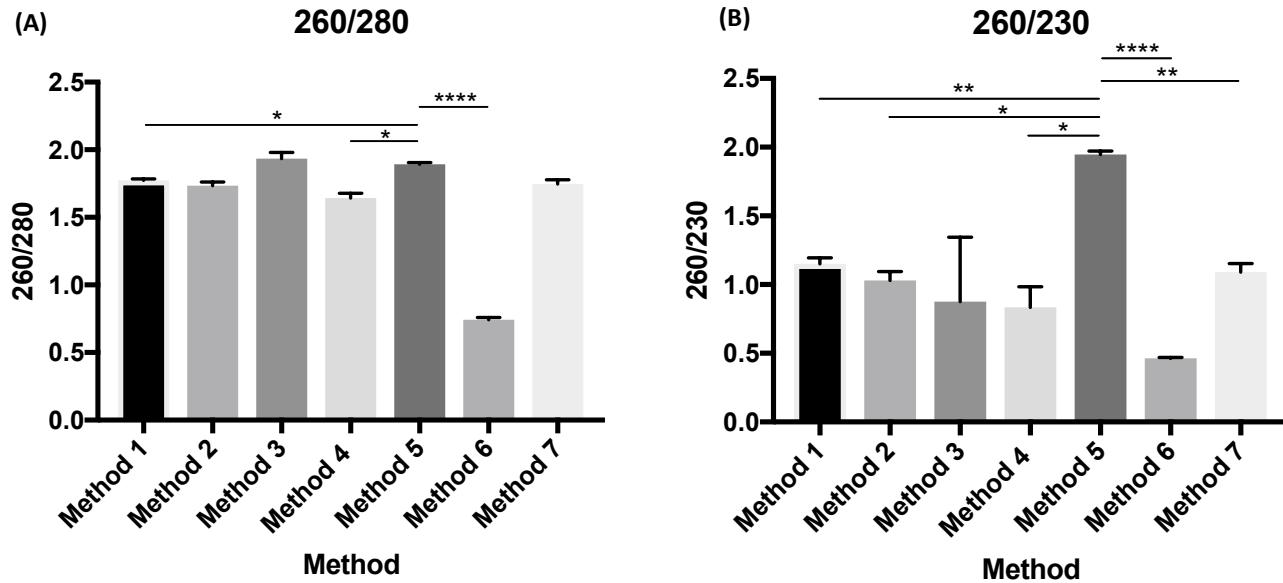
508 **Figure 1. Quantification of DNA by Method.** (A) DNA concentration as measured by Qubit fluorometry with
509 dotted line indicating the minimum required input DNA concentration for PacBio (PB) and Oxford Nanopore
510 Technologies MinION (ONT). (B) Total DNA as measured by Qubit fluorometry with dotted lines indicating
511 minimum required input DNA for PB and ONT sequencing.
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529 **Figure 2. Quality and Quantity Measurements by Method.** All values are presented as averages of each tested
530 method in triplicate with error bars indicating standard deviation. Significance was computed with one-way
531 ANOVA with post-hoc Tukey's multiple comparison test. For clarity, significance bars were only depicted for
532 comparisons against Method 5. (A) 260/280 by method and (B) 260/230 by method.
533 Significance depicted by p-values as follows: 0.0332 (*), 0.0021 (**), 0.0002 (***), <0.0001 (****).

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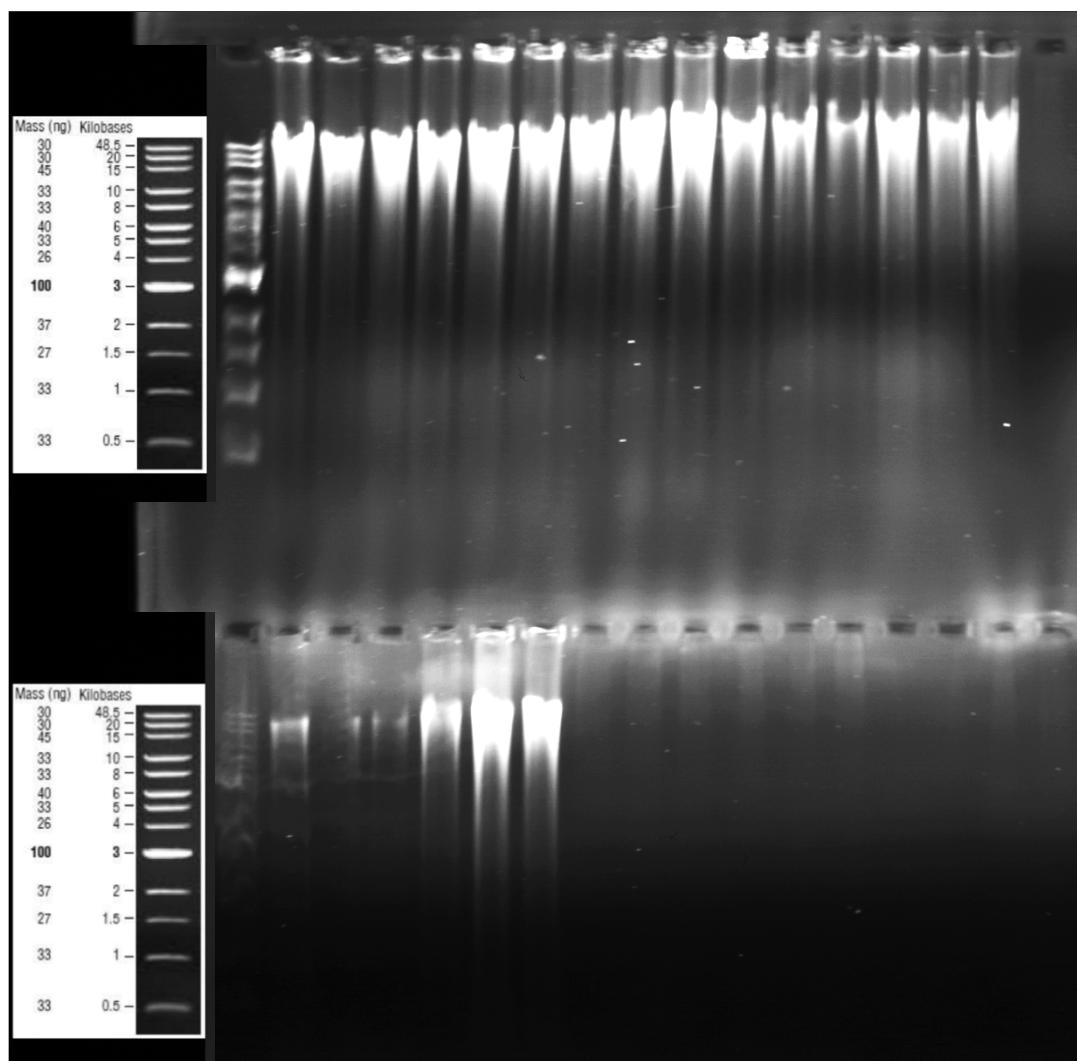
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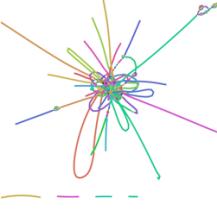
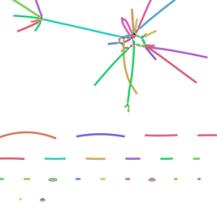
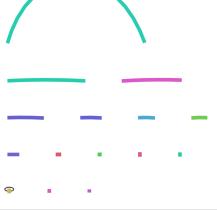
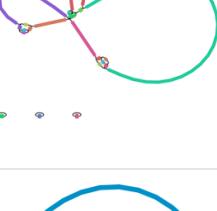
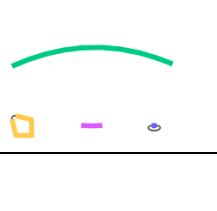
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550 **Figure 3. Gel Electrophoresis of gDNA.** Methods 1-7 in triplicate (left to right) on a 0.6% EtBr gel demonstrating
551 high MW genomic DNA.



580 **Figure 4. Assembly Statistics and Graphs.** All assemblies were *de novo* assembled in Unicycler (41) with
581 hybrid assemblies circularized with Circlator (43). Assembly statistics by QUAST (44) demonstrate considerably
582 more complete assemblies with utilization of ONT long-reads and assembly graphs generated by Bandage (42)
583 provide visualization of more complete assemblies with long-read based assemblies.

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		Length	N. of Contigs	N50	Largest contig	GC%	Assembly graph
Short-read Only Assemblies	CHOP101034	5,245,237	113	166,829	535,319	69.04	
	CHOP101174	5,047,590	68	219,394	293,364	69.21	
Long-read ONT-only Assemblies	CHOP101034	5,626,623	16	908,880	2,330,090	68.97	
	CHOP101174	5,626,623	10	336,829	1,985,353	69.17	
Long-read Hybrid Assemblies	CHOP101034	5,380,960	9	2,969,173	2,969,173	68.96	
	CHOP101174	5,145,196	5	2,981,005	2,981,005	69.15	

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