

# **Brain neurosteroids are natural anxiolytics targeting $\alpha 2$ subunit $\gamma$ -aminobutyric acid type-A receptors**

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## **Abstract**

**Neurosteroids are naturally-occurring molecules in the brain that modulate neurotransmission. They are physiologically important since disrupting their biosynthesis precipitates neurological disorders, such as anxiety and depression. The endogenous neurosteroids, allopregnanolone and tetrahydro-deoxycorticosterone are derived from sex and stress hormones respectively, and exhibit therapeutically-useful anxiolytic, analgesic, sedative, anticonvulsant and antidepressant properties. Their main target is the  $\gamma$ -aminobutyric acid type-A inhibitory neurotransmitter receptor ( $GABA_A R$ ), whose activation they potentiate. However, whether specific  $GABA_A R$  isoforms and neural circuits differentially mediate endogenous neurosteroid effects is unknown. By creating a knock-in mouse that removes neurosteroid potentiation from  $\alpha 2$ - $GABA_A R$  subunits, we reveal that this isoform is a key target for neurosteroid modulation of phasic and tonic inhibition, and is essential for the anxiolytic role of endogenous neurosteroids, but not for their anti-depressant or analgesic properties. Overall,  $\alpha 2$ - $GABA_A R$  targeting neurosteroids may act as selective anxiolytics for the treatment of anxiety disorders, providing new therapeutic opportunities for drug development.**

## Introduction

GABA<sub>A</sub>Rs are crucial moderators of neuronal excitability in the CNS where the relative efficacy of GABA-mediated inhibition will depend, at least in part, on the positive allosteric modulation of such receptors by endogenous neurosteroids. The levels of two major neurosteroids in the brain, allopregnanolone and THDOC, are increased during pregnancy (*Luisi et al., 2000; Concas et al., 1998*) and in response to stressors (*Purdy et al., 1991; Paul and Purdy, 1992; Barbaccia et al., 1998*) or drugs such as nicotine (*Porcu et al., 2003*),  $\gamma$ -hydroxybutyrate (*Barbaccia et al., 2002*) and some antidepressants (*Uzunov et al., 1996*). Increased levels of endogenous neurosteroids may also underlie the antidepressant and anticonvulsant effects of ethanol (*Helms et al., 2012; Hirani et al., 2002; Khisti et al., 2002; VanDoren et al., 2000*). By comparison, dysfunctional neurosteroid production is frequently associated with a range of diseases, including premenstrual dysphoric disorder, panic disorder, anxiety and stress, depression, schizophrenia, bipolar disorder, eating disorders, and dementia (*Mellon and Griffin, 2002; Strous et al., 2006*).

To explore the therapeutic potential of neurosteroids, it is necessary to first define their range of physiological actions at molecular, cellular and systems levels. Their most important target is the GABA<sub>A</sub>R (*Belelli et al., 2006*), at which they potentiate receptor activation by binding to a site located at the receptor's  $\beta$ - $\alpha$  subunit interface (*Hosie et al., 2006; Laverty et al., 2017; Miller et al., 2017*). This potentiation site is highly conserved across the GABA<sub>A</sub>R family and a key residue in the  $\alpha$  subunit plays a critically important role in binding (*Hosie et al., 2009*). Thus GABA<sub>A</sub>Rs containing many combinations of  $\alpha\beta\gamma$  or  $\alpha\beta\delta$  subunits could, in principle, mediate the physiological effects of neurosteroids. We hypothesized that the actions of neurosteroids may segregate with different  $\alpha$  subunit isoforms ( $\alpha 1$ - $\alpha 6$ ), as noted previously for the benzodiazepines, for which  $\alpha 1$ -containing GABA<sub>A</sub>Rs mediate sedation, amnesia and anticonvulsion (*Rudolph et al., 1999; McKernan et al., 2000*), whilst  $\alpha 2$  and  $\alpha 3$ -containing GABA<sub>A</sub>Rs

confer their anxiolytic and myorelaxant effects (*Low et al., 2000*). If  $\alpha 2$ -type GABA<sub>A</sub>Rs are involved in the anxiolytic effects of endogenous neurosteroids, then  $\alpha 2$ -subunit-selective neurosteroid analogues should behave as non-sedating anxiolytics, potentially lacking deleterious drug-withdrawal effects (*Rupprecht et al., 2009*). This outcome could facilitate new drug development opportunities with advantages over the widely-used benzodiazepines.

To examine the physiological roles of neurosteroids, we created a knock-in mouse line by replacing the hydrogen-bonding glutamine at position 241 located in the first transmembrane domain of  $\alpha 2$  subunits, which is pivotal to neurosteroid sensitivity (*Hosie et al., 2006*). Substitution with hydrophobic methionine ( $\alpha 2^{\text{Q241M}}$ ) ablated this sensitivity, enabling the physiological roles played by endogenous positive allosteric neurosteroids when modulating  $\alpha 2$ -GABA<sub>A</sub>Rs to be deduced and explored with precision. The choice of this subunit was also influenced by the physiological importance of  $\alpha 2$ -containing GABA<sub>A</sub>Rs in controlling neuronal spike output profiles (*Nusser et al., 1996*), due to their clustered localization at the axon initial segment, and their abundant expression in the hippocampus and medial prefrontal cortex (mPFC) (*Laurie et al., 1992b; Laurie et al., 1992a; Wisden et al., 1992; Pirker et al., 2000*), where they may contribute significantly to synaptic inhibition (*Prenosil et al., 2006*).

Characterisation of this knock-in mouse line revealed that synaptic  $\alpha 2$ -GABA<sub>A</sub>Rs are clearly sensitive to modulation by endogenous neurosteroids. We also discovered that neurosteroid potentiation of tonic currents in the dentate gyrus was absent in the knock-in mice, highlighting an unexpected contribution of  $\alpha 2$  subunits to extrasynaptic GABA-mediated inhibition. At a systems level, previous work has demonstrated that knock-out of the  $\alpha 2$  subunit caused mice to become more anxious in a conditioned emotional response paradigm (*Dixon et al., 2008*). Here, we demonstrate an anxiety-like phenotype in our  $\alpha 2$  subunit knock-in line, providing unequivocal evidence for endogenous neurosteroids having a basal

anxiolytic effect in an unperturbed animal, mediated via  $\alpha 2$ -type GABA<sub>A</sub>Rs and their associated neural circuits.

## Results

### $\alpha 2^{Q241M}$ knock-in does not affect GABA<sub>A</sub> receptor subunit expression

The point mutation  $\alpha 2^{Q241M}$  specifically ablates neurosteroid modulation at recombinant  $\alpha 2\beta 2/3\gamma 2$  GABA<sub>A</sub>Rs without affecting GABA sensitivity, receptor activation or the actions of other allosteric modulators at these receptors (*Hosie et al., 2006; Hosie et al., 2009*). We therefore generated a mouse line with this mutation, using a targeting vector containing the point mutation  $\alpha 2^{Q241M}$  in exon 8 ([figure supplement 1](#)), which was electroporated into embryonic stem cells prior to blastocyst injection and breeding. The presence of mutant alleles was subsequently confirmed by Southern blotting and sequence analyses ([Figure 1a,b](#)).

The knock-in mutation did not cause any adverse phenotypes, and homozygote ( $\alpha 2^{M/M}$ ) and heterozygote ( $\alpha 2^{Q/M}$ ) knock-ins, and wild-type ( $\alpha 2^{Q/Q}$ ) littermates, were produced in approximately Mendelian ratios. Using a combination of quantitative Western blotting ([Figure 1c,d](#)) and immunohistochemistry ([figure supplements 2-4](#)) we assessed the expression patterns of GABA<sub>A</sub>R  $\alpha 1$  -  $\alpha 5$  subunits in the cortex and hippocampus. This revealed no evidence of any compensatory effects as a consequence of the  $\alpha 2$  knock-in mutation. Thus, the loss of basal neurosteroid potentiation at  $\alpha 2$ -GABA<sub>A</sub>Rs does not re-configure the expression pattern for GABA<sub>A</sub>R  $\alpha$  subunits. Consequently, any phenotypes of the knock-in mice can be attributed directly to the loss of neurosteroid potentiation at  $\alpha 2$ -GABA<sub>A</sub>Rs.

### GABA-mediated synaptic inhibition in $\alpha 2^{Q241M}$ hippocampal and prefrontal cortical slices

The functional effects of ablating the  $\alpha 2$  subunit neurosteroid binding site were assessed on synaptic inhibition using whole-cell recording from hippocampal CA1 pyramidal neurons (PNs) and dentate gyrus granule cells (DGGCs), and from layer II/III pyramidal neurons in the medial prefrontal cortex (mPFC) in acute brain slices. These brain regions strongly express  $\alpha 2$ -GABA<sub>A</sub>Rs (*Pirker et al., 2000; Sperk et al.,*

1997) that are typically associated with inhibitory synapses and rapid phasic inhibition (*Prenosil et al., 2006*) and moreover, they contain inhibitory circuitry thought to be important for the regulation of anxiety-motivated behaviours (*Engin and Treit, 2007; Engin et al., 2016*).

Initially, we examined whether synaptic  $\alpha 2$ -GABA<sub>A</sub>Rs were responsive to basal endogenous levels of neurosteroids that should be revealed by genotypic differences in baseline GABAergic neurotransmission and the kinetics of spontaneous inhibitory postsynaptic currents (sIPSCs). Typically, spontaneous IPSCs (sIPSCs) respond to neurosteroids with prolonged weighted decay times ( $\tau_w$ ) (*Otis and Mody, 1992; Nusser and Mody, 2002; Belelli and Herd, 2003; Harney et al., 2003*). Interestingly, sIPSCs from  $\alpha 2^{M/M}$  mice decayed at significantly faster rates (by up to ~20 – 30 %) compared to synaptic events recorded from wild-type littermates (CA1 PNs and DGGCs (*Figure 2a*); mPFC PNs (*figure supplement 5*), whilst sIPSC rise-times, amplitudes and frequencies remained unaltered (*table supplement 1*).

We then examined sIPSC kinetics in DGGCs in the absence of endogenous neurosteroids, by pre-incubating hippocampal slices with the neurosteroid synthesis inhibitor, finasteride, which blocks the 5 $\alpha$ -reductase enzyme and can affect the profiles of sIPSCs in brain slices (*Keller et al., 2004*). Interestingly, the genotypic difference evident in the weighted decay times for sIPSCs recorded from DGGCs for wild-type and  $\alpha 2^{M/M}$  littermates was abolished by finasteride (50  $\mu$ M; *Figure 2a - table supplement 1*). Thus, removing endogenous neurosteroids significantly reduced  $\tau_w$  in DGGCs from wild-type animals, but had no effect on  $\tau_w$  in  $\alpha 2^{M/M}$  mice. These data revealed the presence of an endogenous neurosteroid tone within the hippocampus, and highlighted the prominent role played by synaptic  $\alpha 2$ -GABA<sub>A</sub>Rs in responding to neurosteroids, thereby setting an increased level of basal inhibition in this brain region. The lack of effect of finasteride in  $\alpha 2^{M/M}$  mice further emphasised not only the absence of compensation for the

loss of the  $\alpha 2$  subunit neurosteroid binding site, but also the importance of  $\alpha 2$ -GABA<sub>A</sub>Rs in DGGCs in mediating the basal effects of neurosteroids (*Figure 2a*).

We next assessed the response of synaptic GABA currents to an applied neurosteroid (THDOC). In slices from WT mice, THDOC increased the duration of sIPSCs in CA1 PNs, DGGCs and mPFC PNs by increasing  $\tau_w$  without changing sIPSC amplitudes, rise-times or frequencies (*Figure 2b,c – figure supplement 5 – table supplements 2-4*). In contrast, and as predicted, sIPSC prolongation by 100 nM THDOC was significantly diminished in cells from  $\alpha 2^{M/M}$  mice compared to those from wild-type littermates (*Figure 2b,c; figure supplement 5; table supplements 2-4*) consistent with a reduced potency and/or efficacy of THDOC. Given that the Q241M mutation only prevents neurosteroid potentiation at  $\alpha 2$ -GABA<sub>A</sub>Rs, the residual neurosteroid modulation of synaptic GABA currents observed in both CA1 and mPFC PNs of  $\alpha 2^{M/M}$  slices (*Figure 2b; figure supplement 5*) can only be attributed to other  $\alpha$  subunit receptor isoforms that are expressed in these neurons (e.g.  $\alpha 1$ ,  $\alpha 3$ ,  $\alpha 4$  and  $\alpha 5$ ) (*Pirker et al., 2000*). For DGGCs there was less evidence of residual modulation (*Figure 2c*). We conclude that on the basis of differential THDOC modulation of sIPSCs in wild-type and  $\alpha 2^{M/M}$  neurons (*Figure 2b,c – figure supplement 5*),  $\alpha 2$ -GABA<sub>A</sub>Rs contribute significantly to neurosteroid regulation of synaptic inhibition in CA1 and layer II/III mPFC PNs and especially DGGCs.

The  $\alpha 2^{Q241M}$  mutation was predicted to specifically reduce modulation of sIPSC by neurosteroids, but not by benzodiazepines, which bind at a distinct site on synaptic  $\alpha\beta\gamma$ -containing GABA<sub>A</sub>Rs (*Hosie et al., 2006; Sigel and Steinmann, 2012*). To test this prediction, we assessed the effect of diazepam on sIPSCs in DGGCs. In contrast to the reduced THDOC effect, the diazepam-induced prolongation of  $\tau_w$  was unaffected by the  $\alpha 2^{Q241M}$  mutation compared to wild-type (*Figure 2c – table supplement 3*). Therefore, the



$\alpha 2^{Q241M}$  mutation specifically ablates the response to neurosteroids, and does not initiate compensatory changes that diminish synaptic GABA<sub>A</sub>R sensitivity to other positive allosteric modulators.

## **$\alpha 2$ subunit GABA<sub>A</sub> receptors contribute to tonic inhibition in the hippocampus**

We used the neurosteroid binding site mutation to assess if  $\alpha 2$ -GABA<sub>A</sub>Rs residing in the extrasynaptic domain can contribute towards GABA-mediated tonic inhibition. The consensus view of extrasynaptic GABA<sub>A</sub>Rs underlying tonic inhibition in the hippocampus and cortex is that they are most likely composed of  $\alpha 4\beta\delta$ ,  $\alpha 5\beta\gamma$  and  $\alpha 1\beta 2$ , with no expectation of a contribution from  $\alpha 2$ -GABA<sub>A</sub>Rs (*Farrant and Nusser, 2005*). We focussed on DGGCs and mPFC PNs as only very small tonic currents were evident in CA1 PNs. In the cortical neurons, there was no difference in basal tonic currents recorded from wild-type and  $\alpha 2^{M/M}$  slices, and application of 100 nM THDOC caused comparable potentiation of the tonic current in both genotypes ([table supplement 6](#)). These results indicate that neurosteroid-sensitive  $\alpha 2$ -GABA<sub>A</sub>Rs are unlikely to contribute to tonic inhibition in layer II/III mPFC PNs.

Similarly, there was no effect of  $\alpha 2^{Q241M}$  on the basal tonic current in DGGCs ([table supplement 5](#)). Application of 100 nM THDOC caused a significant inward shift in the holding current for wild-type DGGCs ([Figure 3a,b](#)), which consequently led to a large increase in the bicuculline-sensitive tonic current compared to control (139 % increase, [table supplement 5](#)). Unexpectedly, the increased holding current induced by neurosteroid was significantly reduced by ~5-fold in DGGCs from  $\alpha 2^{M/M}$  slices (27% increase, [table supplement 5](#)), suggesting that the THDOC potentiation of tonic inhibition must involve a significant  $\alpha 2$ -containing GABA<sub>A</sub>R population. Furthermore, 500 nM diazepam had no modulatory effect on the bicuculline-sensitive tonic current ([Figure 3a](#)), indicating that the GABA<sub>A</sub>Rs mediating the tonic current are mostly devoid of the  $\gamma$  subunit. These observations represent the first direct evidence of a new role for  $\alpha 2$  subunit-containing GABA<sub>A</sub>Rs, typically thought to be associated only with receptors at inhibitory synapses

(Tretter *et al.*, 2008), in mediating extrasynaptic tonic GABA currents. We speculate that these receptors are likely to be composed of  $\alpha 2\beta$  or  $\alpha 2\beta\delta$  subunits. Such unusual extrasynaptic receptors are readily assembled by co-expression of  $\alpha 2$ ,  $\beta 2$  and  $\delta$  subunits in HEK293 cells. Furthermore, the Q241M mutation prevented the neurosteroid modulation that is manifest by a small increase in GABA potency and a larger increase in GABA macroscopic efficacy by ~50 % for the  $\alpha 2\beta\delta$  receptors ([figure supplement 6 – table supplement 7](#)). This effect of neurosteroids contrasts with their modulation of  $\alpha 2\beta 2\gamma 2L$  or  $\alpha 2\beta 3\gamma 2L$  receptors where only increases in GABA potency were noted, which are ablated by Q241M ([figure supplement 6 – table supplement 7](#)).

### **Anxiogenic phenotype caused by removing neurosteroid sensitivity from $\alpha 2$ -GABA<sub>A</sub>Rs**

The physiological importance and role of neurosteroid modulation of  $\alpha 2$ -GABA<sub>A</sub>Rs was assessed *in vivo* using an array of behavioural tests. Anxiety-based phenotypes were explored using three benchmark screens: the elevated plus maze (EPM), the light-dark box (LDB), and suppression of feeding behaviour for novel food (hyponeophagia). If the physiological role(s) of neurosteroids mediated via  $\alpha 2$ -GABA<sub>A</sub>Rs involve anxiolysis, then  $\alpha 2^{M/M}$  mice might be expected to show a baseline phenotype implicating  $\alpha 2$ -GABA<sub>A</sub>Rs and basal neurosteroid tone in modulating anxiety-based behaviours. Furthermore, the response to injected neurosteroids should also be affected in the  $\alpha 2^{M/M}$  mice.

We used the EPM to establish basal anxiety-like phenotypes, and observed that  $\alpha 2^{M/M}$  mice spent significantly less time on and tended to make fewer entries into the open arms compared to wild-type littermates ([Figure 4a,b](#)). This behaviour was not caused by differences in locomotor activity since entries into closed arms, as well as total arm entries ([Figure 4c – figure supplement 7](#)), which are indicators of activity, remained unaltered on comparing  $\alpha 2^{WT}$  with  $\alpha 2^{M/M}$ . The anxiety phenotype for  $\alpha 2^{M/M}$  mice over wild-type littermates was also evident using the LDB, in which  $\alpha 2^{M/M}$  mice were not only quicker to leave,

but also spent less time in the aversive light zone and thus more time in the dark zone (*Figure 4d,e*). In addition, the  $\alpha 2^{M/M}$  mice made fewer transfers between the light and dark zones (*Figure 4f*), and showed less exploratory activity in the dark zone (*Figure 4g*) compared to wild-type. The heightened basal anxiety evident in the  $\alpha 2^{M/M}$  line was further corroborated using the hyponeophagia test. Rodents will initially suppress feeding behaviour when encountering novel food, even if the food is appealing for consumption and the animal is also hungry. The latency to approach and consume novel foods is an indicative marker of anxiety. For the  $\alpha 2^{M/M}$  mice this latency was markedly increased compared to that for  $\alpha 2^{WT}$  (*Figure 4h*). Taken together, all these observations are consistent with a more anxious phenotype for the  $\alpha 2^{M/M}$  mouse and suggest that endogenous neurosteroids perform a physiological role managing or reducing baseline anxiety by potentiating inhibition mediated by  $\alpha 2$ -GABA<sub>A</sub>Rs.

We then examined anxiety-based behaviour after pre-treating the mice with THDOC injected at doses known to be anxiolytic in both the EPM and LDB behavioural tests (*Wieland et al., 1991; Rodgers and Johnson, 1998*). In the EPM, 10 and 20 mg/kg THDOC proved anxiolytic in wild-type mice by increasing the relative number of open arm entries (*Figure 5a*) and the time spent on the open arms (*figure supplement 7*) compared to vehicle-injected control mice, without affecting their locomotor activity as determined from the number of closed and total arm entries (*Figure 5b – figure supplement 7*). By contrast, for  $\alpha 2^{M/M}$  mice on the EPM, 10 mg/kg THDOC did not reduce the aversion to the open arms, and only the 20 mg/kg THDOC dose was anxiolytic compared to control (*Figure 5a – figure supplement 7*). However, specifically for  $\alpha 2^{M/M}$  mice, THDOC injections unexpectedly reduced closed arm (*Figure 5b*) and total arm entries (*figure supplement 7*) suggesting a reduction in activity. Whilst this THDOC-induced effect might appear to confound interpretation, the relative open arm entries parameter inherently controls for the reduced activity. The knock-in mutation therefore displaced the anxiolytic dose-response relationship for THDOC towards higher doses, consistent with a reduced anxiolytic potency and/or efficacy of neurosteroids caused by the  $\alpha 2$  subunit mutation.

For wild-type animals ( $\alpha 2^{WT}$ ) in the LDB, the anxiolytic profile of 20 mg/kg THDOC was evident from an increased time to exit the light zone (*Figure 5c*), and increased exploratory activity in both light and dark zones (*figure supplement 7*) compared to vehicle-injected control animals. In comparison, for  $\alpha 2^{M/M}$  mice, THDOC (10 and 20 mg/kg) caused no increase in the time to exit the light zone consistent with a lack of anxiolytic effect (*Figure 5c*). However, as with the EPM, THDOC seemingly reduced locomotor activity with reduced transfers between zones and less exploratory activity in either zone (*figure supplement 7*). THDOC also reduced the time spent in the light zone for the homozygote mice (*Figure 5d*), which could also reflect reduced activity following injection. However, THDOC-treated  $\alpha 2^{M/M}$  mice maintained their rapid egress from the light zone of the LDB (*Figure 5c*), suggesting the active avoidance response associated with the light zone clearly overcomes any THDOC activity-reducing effect. Overall, our observations with the light-dark box therefore concur with those from the elevated plus maze that the knock-in mutation  $\alpha 2^{Q241M}$  impairs the anxiolytic response to THDOC.

To determine whether the apparent reduced activity of  $\alpha 2^{M/M}$  mice represents a motor-impairing effect of injected THDOC, motor coordination was studied directly using the accelerating rotarod (RR) test. The Q241M mutation was not inherently motor-impairing as no basal genotypic differences were observed in initial performances on the rod (*Figure 5e*). After an initial training period (see Methods), mice were re-assessed following THDOC injection. No genotypic differences in performance were apparent at either THDOC dose tested (*Figure 5f*). Only at 20 mg/kg was THDOC motor-impairing (~ 20% decline in performance relative to pre-steroid condition), but this dose equally affected  $\alpha 2^{WT}$  and  $\alpha 2^{M/M}$  mice. Importantly, although 10 mg/kg THDOC has clear activity-reducing effects in  $\alpha 2^{M/M}$  mice in the EPM and LDB tests, it produced no motor impairment in the rotarod test (*Figure 5f*). Thus, the apparent activity-reducing effects of THDOC are not due to enhanced motor impairing effects in the knock-in mice.

## Depression and neurosteroid sensitivity of $\alpha 2$ -GABA<sub>A</sub>Rs

The  $\alpha 2$ -GABA<sub>A</sub>R has also been closely associated with depression (*Smith and Rudolph, 2012; Mohler, 2012*). To examine the physiological contribution that neurosteroids may make to this behavioural phenotype, we first used the tail suspension test which equates a depressive phenotype to an increased amount of time spent in an immobile state following tail suspension (*Cryan et al., 2005*). Essentially, following tail suspension, the animals eventually adopt an immobile state following epochs of motor activity. These periods spent in an immobile posture (expressed as mean immobility time) for wild-type and  $\alpha 2^{M/M}$  mice were not significantly different, indicating that there are no basal anti-depressant effects of endogenous neurosteroids mediated via  $\alpha 2$ -GABA<sub>A</sub>Rs revealed by this test (*Figure 6a*). This lack of effect was corroborated by using another commonly applied test for assessing depression-type behaviour in rodents, the forced swim test (*Porsolt et al., 1977*). The initial tendency to escape the water is eventually replaced by immobility and this duration is considered to reflect a depressive phenotype. For both wild-type and knock-in mice the mean immobility times for this test were also similar (*Figure 6b*) again suggesting basal neurosteroid tone via  $\alpha 2$ -GABA<sub>A</sub>Rs plays little role in depression.

We next explored whether injected neurosteroids could have an anti-depressant effect by using the tail suspension test. However, injecting THDOC at 5 or 10 mg/kg into both genotypes did not affect the immobility times for either  $\alpha 2^{WT}$  or  $\alpha 2^{M/M}$  mice, when compared to vehicle-injected controls (*Figure 6c*). As a control for the tail suspension test being indicative of depression, we also examined the effect of the anti-depressant, desipramine. Using an anti-depressant dose of 20 mg/kg (Ref (*Cryan et al., 2005*)), desipramine significantly reduced the mean times spent in an immobile posture for both wild-type and  $\alpha 2^{M/M}$  mice when compared to vehicle-injected controls (*Figure 6d*).

## Pain sensation and neurosteroid sensitivity of $\alpha 2$ -GABA<sub>A</sub>Rs

GABA<sub>A</sub>Rs are implicated in the process of pain sensation, particularly those containing  $\alpha 2$  subunits, and analgesia can also be attained by targeting receptors that contain  $\alpha 2$  or  $\alpha 3$  subunits (*Zeilhofer et al., 2009; Zeilhofer et al., 2012; Knabl et al., 2008*). In addition, inflammatory pain can lead to enhanced spinal inhibition following an upregulated production of neurosteroids (*Poisbeau et al., 2005*). Accordingly, we examined whether a basal neurosteroid tone on  $\alpha 2$ -GABA<sub>A</sub>Rs was important for normal pain sensation by measuring the hindlimb withdrawal reflex, following exposure to a range of nociceptive modalities, including: mechanical nociception (using von Frey filaments); cold hypersensitivity (using droplets of acetone applied to the skin); and thermal sensitivity (with a focused laser light applied to the skin). For all three assessments of pain, the wild-type mice showed equal sensitivity compared with  $\alpha 2^{M/M}$  mice, indicating that a basal neurosteroid tone acting via  $\alpha 2$ -GABA<sub>A</sub>Rs was unlikely to be important for innate pain sensation (*Figure 6e-g*).

## Discussion

There are many putative physiological roles that are attributed to the neurosteroids in the brain ranging from anxiolytic, anti-depressant, anti-convulsant, and anti-stress, to roles in cognition, learning and memory (*Reddy, 2010*). Of these, we have established here that their innate ability to act as anxiolytics (*Purdy et al., 1991; Barbaccia et al., 1998; Wieland et al., 1991; Crawley et al., 1986*) principally depends upon potentiation of GABA activation at  $\alpha 2$  subunit-containing GABA<sub>A</sub>Rs. The introduction of a single point mutation into the  $\alpha 2$  receptor in a mouse line, replacing H-bonding glutamine with hydrophobic methionine (Q241M), was singularly sufficient to ablate neurosteroid activity via these receptors. This residue switch demonstrated unequivocally that GABA<sub>A</sub>Rs are natural targets for endogenous neurosteroids. Moreover, at basal levels, neurosteroids modulate synaptic inhibition in neural circuits that are closely associated with anxiety in the hippocampus and the medial prefrontal cortex. This important

role resonates with the reported ability of other positive allosteric modulators, namely subtype-selective benzodiazepines to target  $\alpha 2$  and  $\alpha 3$  subunit-containing GABA<sub>A</sub>Rs and exhibit anxiolytic profiles (*Low et al., 2000; Dias et al., 2005*).

We used patch clamp electrophysiology to reveal the basis for neurosteroid modulation of GABA-mediated inhibition at  $\alpha 2$  subunit-containing GABA<sub>A</sub>Rs in hippocampal and cortical slices, which revealed some unexpected facets. Firstly it was clear on comparing recordings from neurons in  $\alpha 2^{WT}$  and  $\alpha 2^{MM}$  slices from both brain regions that there is an endogenous neurosteroid tone that modulates GABA transmission. By using the 5 $\alpha$ -reductase inhibitor finasteride to remove neurosteroids from hippocampal slices, the effect of the  $\alpha 2^{Q241M}$  mutation on DGGC sIPSC decay times was abolished. A similar tone has been noted previously in the neocortex, revealed by inhibiting neurosteroid synthesis (*Puia et al., 2003*), but it has not been resolved at the level of individual GABA<sub>A</sub>R isoforms.

Whilst  $\alpha 2$ -GABA<sub>A</sub>Rs are considered to be representative of classical synaptic-type GABA<sub>A</sub> receptors, the extent of inhibition mediated by this receptor subtype in hippocampal CA1 and layer II/III mPFC pyramidal neurons was far larger than expected. By using the loss of THDOC modulation in the  $\alpha 2^{Q214M}$  line as an index of  $\alpha 2$  subunit involvement, GABA<sub>A</sub>Rs with this subunit seemingly accounted for a high proportion of inhibition in both cell types since THDOC prolonged the decay time of sIPSCs by ~25-30% for wild-type neurons compared to only ~10-15% for  $\alpha 2^{MM}$  counterparts. Although neurosteroid modulation of GABA synaptic transmission is reduced in both  $\alpha 2^{MM}$  pyramidal neuron populations, it is not blocked, and presumably other GABA<sub>A</sub>R isoforms, with intact neurosteroid sensitivity, are available to mediate the sIPSC decay. Interestingly, in dentate gyrus granule cells,  $\alpha 2^{MM}$  is sufficient to abolish neurosteroid modulation of sIPSC decays suggesting an even larger role for  $\alpha 2$  GABA<sub>A</sub>Rs in mediating synaptic inhibition in this area of the hippocampus. It is unlikely that GABA<sub>A</sub>R subunit compensation is affecting



synaptic inhibition since our western blot and immunohistochemical data indicated no changes to the expression patterns of the major  $\alpha$  subunits. Moreover, the benzodiazepine diazepam equally prolonged the sIPSC decays for  $\alpha 2^{WT}$  and  $\alpha 2^{M/M}$  in dentate gyrus neurons. Also, the amplitudes of the sIPSCs remained largely unaffected by  $\alpha 2^{M/M}$  indicating there are no major changes to the levels of expressed synaptic GABA<sub>A</sub>Rs at the cell surface. Taken overall, these data suggest that  $\alpha 2$  subunit GABA<sub>A</sub>Rs play a key role in synaptic transmission and in the modulatory effects of the neurosteroids.

An unexpected finding from examining GABA inhibition in the  $\alpha 2$  knock-in mouse line was the clear evidence that  $\alpha 2$  subunit-containing receptors can mediate tonic inhibition in the dentate gyrus, most likely via receptors of an as-yet unreported subunit composition. Addition of THDOC caused the predicted increase in tonic current in dentate neurons and this was remarkably reduced by ~74 % in  $\alpha 2^{M/M}$  neurons implying an important role for  $\alpha 2$  subunits in the neurosteroid modulation of tonic GABA inhibition. By comparison, tonic current was largely insensitive to diazepam, eliminating the likelihood that extrasynaptic GABA<sub>A</sub>Rs containing  $\gamma 2$  subunits are supporting the tonic current with  $\alpha 2$  subunits. What then is the likely subunit composition of the  $\alpha 2$  extrasynaptic GABA<sub>A</sub>Rs? We know that GABA<sub>A</sub>Rs absolutely require co-assembly of a  $\beta$  subunit for efficient cell surface expression (*Connolly et al., 1996a; Connolly et al., 1996b*) and if the  $\gamma 2$  subunit is not required following the insensitivity of the tonic current to diazepam, then  $\alpha 2$  subunit receptors mediating tonic inhibition are most likely to be perisynaptic or extrasynaptic receptors comprising a combination of  $\alpha 2\beta$  or  $\alpha 2\beta\delta$  subunits – both of which are novel isoforms of the GABA<sub>A</sub>R (*Olsen and Sieghart, 2009*).

The importance of the neurosteroid tone via  $\alpha 2$ -GABA<sub>A</sub>Rs became evident from the behavioural tests. Three tests for anxiety suggested removing the basal endogenous neurosteroid modulation in  $\alpha 2^{M/M}$  mice caused an anxiogenic phenotype. This was manifest by  $\alpha 2^{M/M}$  mice spending less time on the open arms



of the elevated plus maze and in the light dark box being quicker to leave, spending less time in, and making fewer returns to, the light zone. The reduced activity noted in the dark zone is also in accord with a heightened state of anxiety. In addition, the increased latency to sample novel food in the hyponeophagia test for  $\alpha 2^{M/M}$  is again indicative of increased basal anxiety.

By raising neurosteroid levels using THDOC injections into  $\alpha 2^{WT}$  and  $\alpha 2^{M/M}$  mice, divergent effects were apparent. For  $\alpha 2^{WT}$  using the EPM, clear anxiolytic effects were manifest by increased open arm entries and increased time spent on the open arms without any activity changes. Similarly in the LDB, there was no inclination to exit the light zone and mice also showed increased general exploration. However, for the  $\alpha 2^{M/M}$  mouse, it was apparent that THDOC seemingly reduced locomotor activity in both the EPM and LDB. This reduction in activity is not caused by a THDOC-induced motor impairment in the knock in mice since the rotarod test revealed no differences in motor performance for  $\alpha 2^{WT}$  and  $\alpha 2^{M/M}$  even at the highest doses of THDOC. Whilst the THDOC-induced reduction in activity makes clear interpretation of anxiety-motivated behaviour more difficult, there is sufficient compelling evidence that the anxiolytic effect of THDOC is compromised in the  $\alpha 2^{M/M}$  mice. In the EPM the proportion of open arm entries was less sensitive to being increased by THDOC, and, in the LDB, THDOC was clearly ineffective at increasing the time taken to exit the light zone. Of importance, both these parameters should be uncompromised by any apparent reduction in activity. Hence, our data indicates that the anxiolytic effects mediated by both basal and elevated levels of neurosteroids are significantly diminished by removing modulation at  $\alpha 2$ -GABA<sub>A</sub>Rs.

It is frequently noted that anxiety and depression are co-morbid (*Mohler, 2012*). These disease phenotypes are often the result of repeated stress episodes, which can affect nervous system activity by causing variations in neurosteroid levels (*Gunn et al., 2011; Maguire and Mody, 2007; Sarkar et al., 2011*), the results of which are manifest by signalling via GABA<sub>A</sub>Rs and consequently altered behaviour.

There is growing evidence to support a role for  $\alpha 2$  and  $\alpha 3$  subunit-containing GABA<sub>A</sub>Rs in depression, from the use of subtype-selective modulators (*Mohler, 2012*). However, from our study, at least in terms of basal neurosteroid tone, signalling via  $\alpha 2$ -GABA<sub>A</sub>Rs does not perform a physiological role in depression ascertained with the tail suspension test and forced swim test. Moreover in the former test, a classical anti-depressant, desipramine, is equally effective at reducing depressive-like behaviour for both the wild-type and  $\alpha 2^{M/M}$  animals.

GABA<sub>A</sub>Rs have also been reported to exert some basal control over neuronal responses to pain (*Reeve et al., 1998*). Furthermore, a reduction in GABA<sub>A</sub>R mediated synaptic inhibition is important in the dorsal horn of the spinal cord for the development of pain, such that GABA<sub>A</sub>Rs are considered to be therapeutic targets for analgesic agents (*Knabl et al., 2008; Zeilhofer et al., 2012*). In particular, targeting  $\alpha 2$ - and  $\alpha 3$ -GABA<sub>A</sub>Rs with selective benzodiazepine agents has provided potentially useful analgesics for treating inflammatory and neuropathic pain (*Knabl et al., 2008; Zeilhofer et al., 2009*). Our examination of basal neurosteroid tone acting via  $\alpha 2$ -GABA<sub>A</sub>Rs in mediating pain sensation, as manifest by measurements of mechanical, cold and thermal sensitivities, indicated a minimal, if any, role for basal neurosteroid signalling via these GABA<sub>A</sub>Rs.

Overall, our knock-in animal model, which lacks neurosteroid potentiation at  $\alpha 2$ -GABA<sub>A</sub>Rs, reveals that neurosteroids are physiologically active in the brain. It provides the first direct evidence that they can act as endogenous anxiolytics in a remarkably specific, GABA<sub>A</sub>R isoform selective manner, with little or no impact on depression and pain sensation, both of which have previously been associated with  $\alpha 2$ -GABA<sub>A</sub>Rs. These findings form a platform for the development of therapeutic agents for the specific treatment of anxiety, and stress-related mood disorders, relatively unencumbered by debilitating side effects which blight so many current clinical therapies. Furthermore, on the basis of ablating neurosteroid

action via  $\alpha 2$  GABA<sub>A</sub>Rs, we predict that other important physiological and pathophysiological actions of neurosteroids are likely to co-associate with specific GABA<sub>A</sub>R isoforms and neural circuits.

## Materials and Methods

### Animals

All procedures were performed in accordance with the Animals (Scientific Procedures) Act 1986. The  $\alpha 2^{Q241M}$  knock-in mice were generated as described in the Supplementary Information, and maintained on a C57BL/6J background. Given that steroid hormones of the oestrus cycle have a strong influence on brain neurosteroid levels in female mice (*Corpechot et al., 1997*), our experiments used only males.

A targeting vector containing exon 8 of the GABA<sub>A</sub>R  $\alpha 2$  subunit gene with base pair changes (CAA to ATG) for the point mutation Q241M (*figure supplement 1*) was made utilising an RP23 Bacterial Artificial Chromosome library clone derived from a C57BL/6J mouse. Homologous recombination (GenOway, Lyon, France) was achieved by introducing the targeting vector into 129Sv/Pas embryonic stem (ES) cells using electroporation. PCR and Southern blotting techniques identified ES cell lines that incorporated the Q241M mutation (the mutagenesis silently created an Nco-I restriction site, allowing identification of homologous recombinants by the change in size of Nco-I digestion products – see *Figure 1a*). These ES cell lines were used to generate chimeric mice expressing  $\alpha 2^{Q241M}$ . Germline-transmitted pups from a chimera x C57BL/6J cross (F0 generation) were bred with C57BL/6J Cre-recombinase-expressing mice to remove the neomycin resistance cassette (F1 generation – see *figure supplement 1*). Further backcrosses were performed between heterozygote  $\alpha 2^{Q241M}$  mice and C57BL/6J mice. The mice used in the experiments described here are from generations F4 - F6. Animals were maintained as heterozygous pairs.

### Antibodies

For Western blotting, the following primary antibodies were used: mouse anti- $\alpha 1$ -GABA<sub>A</sub>R (1:1000 dilution, Neuromab), guinea-pig anti- $\alpha 2$ -GABA<sub>A</sub>R (1:1000, Synaptic Systems), rabbit anti- $\alpha 2$ -GABA<sub>A</sub>R

(1:500, from Werner Sieghart, University of Vienna), rabbit anti- $\alpha 3$ -GABA<sub>A</sub>R (1:1000, Alomone Labs), rabbit anti- $\alpha 4$ -GABA<sub>A</sub>R (from Werner Sieghart), mouse anti- $\beta$ -tubulin (Sigma-Aldrich). The following horseradish-peroxidase-conjugated secondary antibodies (IgG(H&L)) were used: goat anti-rabbit (1:10000, Rockland Immunochemicals), goat anti-mouse (1:10000, Rockland Immunochemicals), donkey anti-guinea-pig (1:1000, Jackson ImmunoResearch Laboratories).

For immunohistochemistry, the following primary antibodies were used: rabbit anti- $\alpha 1$ -GABA<sub>A</sub>R (1:20000, from Jean-Marc Fritschy, University of Zurich), guinea-pig anti- $\alpha 2$ -GABA<sub>A</sub>R (1:1000, from Jean-Marc Fritschy), rabbit anti- $\alpha 3$ -GABA<sub>A</sub>R (1:1000, Alomone Labs), rabbit anti- $\alpha 4$ -GABA<sub>A</sub>R (1:500, Werner Sieghart), rabbit anti- $\alpha 5$ -GABA<sub>A</sub>R (1:500, Werner Sieghart). Alexa Fluor 555-conjugated goat anti-rabbit and goat anti-guinea pig (IgG (H&L)) were purchased from Invitrogen Ltd and used at 1:2000.

## Western blotting

Hippocampi and cortices from postnatal age P18 - 30 mice were lysed to isolate total protein. Equal amounts of total protein were subjected to Western blotting to assess the expression of each GABA<sub>A</sub>R  $\alpha$  subunit, using  $\beta$ -tubulin as a loading control. Total protein was isolated from each brain area by homogenisation in ice-cold RIPA buffer, followed by cell disruption with repeated freeze-thaw cycles. Equal amounts of total protein were subjected to sodium-dodecyl-sulphate polyacrylamide gel electrophoresis and transferred to nitrocellulose membranes. Blotting was performed using 4% w/v milk in 0.1% v/v TWEEN-supplemented phosphate buffered saline (PBS), with blocking for 1 h at room temperature (RT), exposure to primary antibody overnight at 4°C and secondary antibody for 2 h at RT. Following incubation with each antibody, membranes were washed 3x (20 min, RT). Blots were finally rinsed with PBS and developed using chemiluminescent substrate and visualised with an ImageQuant LAS4000 imager. Images were quantified using the Western blot plug-in on ImageJ software (Version

1.44p, National Institutes of Health, USA). After blotting for the relevant GABA<sub>A</sub>R  $\alpha$  subunit, blots were subjected to a mild stripping procedure (10 - 20 min incubation in a buffer comprising 200 mM glycine, 0.1% w/v SDS, 1% v/v TWEEN-20, pH 2.2), washed and re-blotted for quantifying  $\beta$ -tubulin expression. Three samples of each genotype were run on each blot, and three blots were performed (i.e. 9 each of wild-type,  $\alpha 2^{Q/M}$  and  $\alpha 2^{M/M}$  samples in total). The density of each  $\alpha$  subunit band was first normalised to its corresponding  $\beta$ -tubulin band, then signals within each Western blot were normalised to the average signal of the wild-type bands in that blot.

## Immunofluorescence

Cardiac perfusion and tissue sectioning were performed as previously described (*Tochiki et al., 2012*). Slices were permeabilised/blocked for 1h at RT in permeabilisation/blocking solution (0.5% v/v bovine serum albumin, 2% v/v normal goat serum, 0.2% v/v triton-x-100 in PBS). Primary (overnight, 4°C) and secondary (2 h, RT) antibody incubations were performed in the same permeabilisation/blocking solution. After each antibody incubation, slices were washed 4x in PBS (15 min, RT). Controls for non-specific labelling by secondary antibodies were performed by staining as described, but omitting primary antibody. Images were acquired using a Zeiss LSM510 confocal microscope (Carl Zeiss) with a 63x immersion objective. Immunofluorescent staining assessed the expression of  $\alpha 1$  -  $\alpha 5$  subunits in coronal hippocampal slices (40  $\mu$ m) from P42 - P70 mice. The  $\alpha 6$  subunit isoform was not studied because of its restricted spatial expression to granule cells of the cerebellum and the cochlear nucleus (*Laurie et al., 1992a; Pirker et al., 2000*). For each subunit and cell-type, three slices were imaged per animal, capturing data as z-stacks, with images in each plane acquired as a mean of 8 scans in 8 bits. Blinded image analyses were performed using ImageJ (Version 1.44p) to determine the mean fluorescence intensity for separate regions of interest for the dendrites and cell bodies. In both cases, to ensure that the value is representative of the staining throughout the brain slice, three readings were taken per image: one from the top, one central and one at the bottom of the z-stack; the average of these values was taken to

represent the expression of the subunit in that slice. Results are normalised to the mean fluorescence intensity observed in wild-type slices.

## Electrophysiology

### Recombinant GABA<sub>A</sub>Rs

Whole-cell currents were recorded from transfected HEK-293 cells 48 hr after transfection. Cells were voltage clamp at −30 mV with series resistances less than 10 MΩ. Borosilicate glass patch electrodes (resistances of 3–5 MΩ) were filled with an internal solution containing (mM): 120 CsCl, 1 MgCl<sub>2</sub>, 11 EGTA, 30 KOH, 10 HEPES, 1 CaCl<sub>2</sub>, and 2 K<sub>2</sub>ATP; pH – 7.2. HEK-293 cells were superfused with a solution containing (mM): 140 NaCl, 4.7 KCl, 1.2 MgCl<sub>2</sub>, 2.52 CaCl<sub>2</sub>, 11 Glucose, and 5 HEPES; pH 7.4. Membrane currents were filtered at 5 kHz (−3 dB, 6th pole Bessel, 36 dB per octave).

Concentration response relationships for GABA-activated membrane currents, in the presence and absence of THDOC, were compiled by measuring the current (I) at each GABA concentration and normalizing these currents to the maximal GABA current (I<sub>max</sub>). The concentration response relationship was fitted with the Hill equation:

$$I/I_{\max} = (1 / (1 + (EC_{50}/[A])^n))$$

where A is the concentration of GABA, EC<sub>50</sub> is the concentration of GABA causing 50% of the maximal GABA current, and n is the slope. All concentration response data were curve fitted in Origin (Ver 6).

### Brain slices

GABA-mediated membrane currents were recorded from P18 - P30 coronal (350 μm) hippocampal slices. Slices were prepared in ice-cold artificial cerebrospinal fluid (aCSF) comprising (mM): 130 K-gluconate, 15 KCl, 0.05 EGTA, 20 HEPES, 4 Na-pyruvate, 25 glucose and 2 kynurenic acid, pH 7.4. Over 1 h, slices

were incubated at 37°C, whilst the solution was exchanged for electrophysiology to aCSF (mM): 125 NaCl, 2.5 KCl, 1.25 Na<sub>2</sub>H<sub>2</sub>PO<sub>4</sub>, 26 NaHCO<sub>3</sub>, 2 CaCl<sub>2</sub>, 1 MgCl<sub>2</sub>, 25 glucose. All aCSF solutions were continuously bubbled with 95%O<sub>2</sub>/5%CO<sub>2</sub> (BOC Healthcare). Whole-cell recordings were undertaken at RT from single neurons located using infra-red optics and patch pipettes (3 - 5 MΩ) filled with an internal solution containing (mM): 140 CsCl, 2 NaCl, 10 HEPES, 5 EGTA, 2 MgCl<sub>2</sub>, 0.5 CaCl<sub>2</sub>, 2 Na-ATP, and 2 QX-314 bromide. Slices were continuously perfused with recording aCSF supplemented with kynurenic acid, to isolate GABAergic synaptic events. THDOC and diazepam were prepared as stock solutions (10 mM and 100 mM, respectively) in DMSO, and diluted to the appropriate final concentration in recording aCSF. Drugs were allowed to equilibrate in the bath for at least 5 min; control or 'mock' recordings were performed with the DMSO vehicle. Experiments were terminated if series resistance changed by more than 20%. Properties of individual sIPSCs were assessed by fitting at least 100 isolated events using MiniAnalysis software (Synaptosoft Inc., New Jersey, USA) to define the average synaptic event rise time, amplitude and decay times. Mono- and bi-exponentially decaying events were analysed together by transforming to weighted decay time,  $\tau_w$ , according to the equation,

$$\tau_w = (A_1 \cdot \tau_1 + A_2 \cdot \tau_2) / (A_1 + A_2),$$

where  $\tau_1$  and  $\tau_2$  are exponential decay time constants, and  $A_1$  and  $A_2$  are the relative amplitude contributions of  $\tau_1$  and  $\tau_2$ , respectively (for mono-exponential events:  $A_2 = 0$ ). The frequency of sIPSCs was measured from the inter-event interval for all events within a 60 s recording period. Results are expressed as a percentage change for the test epoch relative to the control epoch. Tonic currents were measured by applying 20  $\mu$ M bicuculline which reduced the holding current. These were analysed with WinEDR (version 3.1; J Dempster, University of Strathclyde, UK). Effects of THDOC or diazepam on tonic current were measured from absolute changes relative to controls. To examine sIPSC kinetics in the absence of endogenous neurosteroids, biosynthesis was blocked by supplementing aCSF solutions with 50  $\mu$ M finasteride. Slices were incubated in this solution for at least 6 h before recording, to allow for the clearance of neurosteroids already present in the slice before blocking the 5 $\alpha$ -reductase enzyme.



## Behaviour

Experimentally naïve mice (P42 - P108) were used in single behavioural tests. Each test used a separate cohort of mice and typically each cohort contained 7 – 10 mice. When used, THDOC was dissolved in 0.9% w/v NaCl with 25% w/v 2-hydroxypropyl- $\beta$ -cyclodextrin and administered intraperitoneally at 10 ml per kg body weight 15 min before the start of the test. Note that for the light dark box and elevated plus maze testing, animals were tested in pairs (one  $\alpha 2^{MM}$  mouse and one wild-type), and the drug dose received and the order in which mice were tested (wild-type first vs.  $\alpha 2^{MM}$  first) was randomised. Within the rotarod test, the drug dose administered to each mouse was pre-determined by a counterbalanced randomisation procedure. Scoring was performed blind to both the genotype and drug administered. Blinding used a random number based method independently applied to the investigator.

## Elevated Plus Maze

The EPM apparatus was as described previously (*Lister, 1987*), except that the walls on the closed arms were black. The maze was elevated 500 mm above the floor of the experimental room, and the illumination across the arms was uniform and low intensity (approximately 25 lux, equivalent to 25 lumen per m<sup>2</sup>). Mice were placed in the centre of the maze, initially facing one of the closed arms, and movements within the maze over a 5 min trial were monitored manually with a single scorer blinded to genotype with a digital camcorder mounted 1.5 m directly above the maze. The percentage time in an arm is expressed as (time in arm / total time of experiment) x 100. Entry into an arm was defined by all four paws crossing the arm threshold.

## Light-Dark Box

LDB dimensions were 20 x 45 cm, which were divided into a light zone (two-thirds total area, illumination 850 lux) and a dark zone (one-third total area, 50 lux) connected by a small doorway. The floors of each

zone were marked with a grid to allow scoring of exploratory activity as lines crossed per unit time. A mouse was placed initially in the light zone, facing away from the doorway, and was then allowed to freely explore the box for 10 min. Mouse movements were monitored using video recording and exploratory activity scored blind to genotype.

### **Hyponeophagia**

The hyponeophagia test was performed as previously described (*Deacon, 2011*). Briefly, mice were deprived of food for 12-16 hrs prior to testing (1g lab food per mouse). Prior to testing, mice were moved to individual cages for 30 min to avoid social transmission of any preference for the novel food. Animals were positioned facing away from the food placed on a small platform containing a well filled with ~1 ml condensed milk (Nestle carnation; diluted 50:50 with water). Between trials the setup was cleaned with 70% v/v ethanol. The time taken to consume the milk (>2s continuous drinking) was measured by an observer blinded to the genotype of the animals. If an animal did not consume any milk within 3 min the trial was terminated.

### **Tail Suspension test**

For the tail suspension test, mice were secured to vertical rods using hypoallergenic, inert tape applied to the tails, in 5 discrete chambers. The test duration was 6 mins and the total amount of time they spent in an immobile state was recorded (mean immobility time) once this had occurred for more than 20 s.

### **Forced Swim test**

The apparatus consisted of a large beaker (diameter: 20 cm; height: 35 cm) half-filled with water at 22-23°C. Animals were transferred to individual cages inside the experimental room 45 min before testing.

During the test, animals were carefully lowered into the beaker for 6 min while their behaviour was monitored with a webcam (PS3 eye camera). After the trial was complete each animal was dried with a towel before being returned to its home cage. The time spent immobile was scored from the video files for the last 4 min of each trial by an observer blinded to the genotype of the mouse according to criteria previously described (*Can et al., 2012*).

## **Rotarod**

Mice were placed on a five-station rotarod treadmill apparatus (Med Associates Inc., Vermont, USA) with the rod rotating initially at 4 r.p.m. The rod accelerated uniformly to a final speed of 40 r.p.m. over 5 min. Scoring assessed the time at which a mouse failed the test, either by falling from the rod, or by passively rotating with the rod. If a mouse completed the full test, the 'time to failure' was simply set as the duration of the test (300 s). The performance of each mouse on their first encounter with the rod was used as a measure of the baseline motor coordination. Each mouse was subjected to further training (5 trials per day for 4 consecutive days), such that a consistent performance was attained before the effect of drug was assessed. Any impairment of motor coordination caused by THDOC was determined by expressing this performance relative to their average performance on the rod on the preceding drug-free training day.

## **Pain**

Mechanical sensitivity – Mice were acclimatised to the behavioural suite for 1 hr prior to testing. Animals were then placed in a chamber containing a wire mesh floor and allowed another 15 min to settle. Mechanical sensitivity was then assessed using von Frey filaments (Touch-Test, North Coast Medical, USA) applied to the hindlimb until they buckled for 5 - 6 s. Any withdrawal, lifting, flinching and shaking were defined as a positive response. We determined the threshold for 50% withdrawal using the up-down method as previously described (*Chaplan et al., 1994*) with von Frey filaments delivering forces of 0.07 to 0.4 g.

Cold sensitivity – This was assessed by applying a drop of acetone using a 0.5 ml syringe to the upper surface of one of the hind paws. Acetone was applied 5 times, with at least 3 min recovery between applications. The number of withdrawals out of 5 was recorded. Licking, flinching and shaking were considered as positive responses.

Thermal sensitivity – The withdrawal reflex was measured by focussing an infrared neodymium-doped yttrium aluminium perovskite (Nd:YAP;  $\lambda$  1.34  $\mu\text{m}$ ) laser onto the upper surface of one of the hindlimbs (*Patel et al., 2013*) and then increasing laser power, over a range of 1 – 3.5 J, until a thermal threshold was observed, ascertained by hindlimb withdrawal. A thermal cut-off was set at 3.5 J to avoid tissue damage.

## Statistics

Parametric comparisons were performed for normally distributed, equal variance data (analysis of variance (ANOVA) and t-test). Where data did not satisfy these criteria, they were first transformed (e.g. logarithmic transform), and the criteria reassessed before proceeding with any parametric comparison. ANOVA comparisons (with the Benjamini-Hochberg adjustment) were performed using InVivoStat (British Association for Psychopharmacology, Cambridge, UK), and used to report the Fisher's least-significant difference (LSD) p-values from planned individual pairwise comparisons. Where data transformation was unable to satisfy the criteria for parametric comparison, non-parametric analysis was used, e.g., Kruskal-Wallis test. Where appropriate, and only if the overall Kruskal-Wallis test indicated a significant variation between discrete groups, individual pairwise comparisons were performed using the Mann-Whitney test.

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## Figure Legends

### Figure 1. Creating and validating a neurosteroid binding site $\alpha 2^{Q241M}$ knock-in mouse line.

**(a)** Southern blot screen of ES cell lines: the Q241M mutation silently introduces a novel restriction site – allowing a screen for restriction fragment length polymorphism. Lanes 1 - 5, heterozygous recombinants; lanes 6 - 7, wild-type littermate controls. **(b)** Verifying the point mutation by DNA sequencing. Exon 8 was PCR-amplified from mouse genomic DNA of wild-type ( $\alpha 2^{Q/Q}$ : left),  $\alpha 2^{Q/M}$  (middle) and  $\alpha 2^{M/M}$  (right) animals, then sequenced. Sequence data around the point mutation are shown. **(c,d)** GABA<sub>A</sub>R  $\alpha 1$ - $\alpha 4$  subunit expression levels were determined by Western blotting total protein isolated from the cortex **(c)** and hippocampus **(d)**. Left panels, quantitation of expression in  $\alpha 2^{Q/M}$  (grey) and  $\alpha 2^{M/M}$  (white) relative to wild-type ( $\alpha 2^{Q/Q}$ , black) brain samples. Right panels, representative Western blots from cortex and hippocampus for wild-type (WT),  $\alpha 2^{Q/M}$  and  $\alpha 2^{M/M}$  mice. Images show blots between 45 and 66 kDa markers. Loading controls with tubulin are omitted for clarity. Data are mean  $\pm$  sem, one-way ANOVA, n = 6-9. There are no statistically significant differences between groups.

The following figure supplements are available for figure 1:

**Figure supplement 1.** Targeting GABA<sub>A</sub>R  $\alpha 2$  subunits to create a neurosteroid binding site knock-in mouse line.

**Figure supplement 2.** Immunofluorescent staining in CA1 of the hippocampus

**Figure supplement 3.** Immunofluorescent staining in the dentate gyrus

**Figure supplement 4.** Unaltered GABA<sub>A</sub>R  $\alpha 1$  -  $\alpha 5$  subunit immunofluorescence in the  $\alpha 2^{Q241M}$  knock-in.

### Figure 2. Synaptic currents in $\alpha 2^{Q241M}$ knock-in hippocampal slices

**(a)** Peak-scaled averaged sIPSCs recorded from: Top, CA1 principal cells (PC) for wild-type (black) and  $\alpha 2^{M/M}$  (red) hippocampal slices; Middle, DGGCs, to reveal the effect of genotype (wild-type (black),  $\alpha 2^{M/M}$  (red)) on sIPSC decay times under control conditions (left panel), and after treatment with 50  $\mu$ M finasteride (right panel); Bottom, DGGCs, demonstrating how control sIPSC decays for wild type (grey) and  $\alpha 2^{M/M}$  (red) are differentially affected by finasteride pre-treatment (black and pink respectively). **(b and c)** Bar charts for THDOC and diazepam (DZP) effects on weighted decay times ( $\tau_w$ ) for sIPSCs recorded from CA1 neurons **(b)** and DGGCs **(c)** for wild-type (black) and  $\alpha 2^{M/M}$  (white) slices. Insets show representative peak-scaled averaged sIPSCs for wild-type and  $\alpha 2^{M/M}$  cells for control epochs (black) and after addition of 100 nM THDOC (red) or 500 nM diazepam (green). Data are mean  $\pm$  sem. Statistical significance indicated as: \*  $p < 0.05$ , \*\*  $p < 0.01$ , and \*\*\*  $p < 0.001$  for the effects of THDOC or diazepam compared to mock/vehicle; +  $p < 0.05$  for diazepam vs. THDOC, and for effect of 100 nM THDOC vs. 50 nM THDOC; #  $p < 0.05$  and ###  $p < 0.001$  for effect of genotype (i.e.  $\alpha 2^{M/M}$  vs. wild-type). See Table supplements 1-3 for statistical analyses.

The following figure supplements are available for figure 2:

**Figure supplement 5.** Synaptic currents recorded from mPFC pyramidal neurons in  $\alpha 2^{Q241M}$  knock-in slices.

**Table supplement 1.** Properties of sIPSCs across genotypes

**Table supplement 2.** THDOC-induced changes in CA1 PN sIPSCs

**Table supplement 3.** Drug-induced changes in DGGC sIPSCs

**Table supplement 4.** THDOC-induced changes in layer II/III mPFC PN sIPSCs

### Figure 3. Tonic inhibition in $\alpha 2^{Q241M}$ knock-in hippocampal slices

**(a)** Bar chart representing changes in the holding current (-pA) for DGGCs from wild-type (black) and  $\alpha 2^{M/M}$  (white) after application of DMSO (mock), THDOC (100 nM) or diazepam (DZP, 500 nM). **(b)** Holding currents from wildtype and  $\alpha 2^{M/M}$  DGGCs under control conditions, and during the application of: 100 nM THDOC (open bar); and 20  $\mu$ M bicuculline (black bar). Data in (a) are mean  $\pm$  sem. Statistical significance indicated as: \*p <0.05 for the effects of THDOC compared to mock/DMSO; ++ p<0.01 for the effect of diazepam vs THDOC; # p<0.05 for the effect of genotype ( $\alpha 2^{M/M}$  vs wild-type).

The following figure supplements are available for figure 3:

**Figure supplement 6.** THDOC modulation of recombinant synaptic and extrasynaptic-type GABA<sub>A</sub>Rs

**Table supplement 5.** Tonic currents in DGGCs

**Table supplement 6.** Tonic currents in layer II/III mPFC PNs

**Table supplement 7.** THDOC modulation of recombinant synaptic- and extrasynaptic-type GABA<sub>A</sub>Rs

### Figure 4. Anxiogenic phenotype of $\alpha 2^{Q241M}$ knock-in

**(a and b)**  $\alpha 2^{M/M}$  mice (white) spent less time on the open arms of the elevated plus maze (EPM) than  $\alpha 2^{WT}$  (black) mice and tend to make fewer entries into the open arms. This difference is not due to differences in locomotor activity as closed arm entries **(c)** are unchanged. **(d-g)** In the light-dark box (LDB),  $\alpha 2^{M/M}$  mice (white) were faster to leave the light zone (LZ) **(d)**, spent less time in the light zone and more time in the dark zone **(e)**, made fewer transitions between zones **(f)**, and showed less exploratory activity **(g**, the number of grid lines crossed per second in the light zone (LZ, top) and dark zone (DZ, bottom)) than wild-type mice (black). **(h)** latency (s) to consume a novel food for  $\alpha 2^{WT}$  and  $\alpha 2^{M/M}$  mice in the hyponeophagia (HNP) test. Data are mean  $\pm$  sem **(a-c)**, n = 9; **d-g**, n = 8 and **h**, n = 9 ( $\alpha 2^{WT}$ ), with n = 15 ( $\alpha 2^{M/M}$ ). #p<0.05 and ##p<0.01 for the effect of genotype ( $\alpha 2^{M/M}$  vs wild-type), t test.

The following figure supplements are available for figure 4:

**Figure supplement 7.** Additional data from EPM and LDB tests

**Figure 5. Anxiolytic effect of THDOC is impaired in  $\alpha 2^{Q241M}$  without affecting motor coordination**

**(a and b)** Using the EPM, both 10 and 20 mg/kg THDOC were anxiolytic in wild-type mice (black), evidenced by increased open arm entries **(a)** without a change in locomotor activity **(b)** compared to vehicle (veh)-treated animals. Only 20 mg/kg THDOC was anxiolytic in  $\alpha 2^{M/M}$  mice (white) **(a)**. **(c and d)** In the LDB, THDOC (20 mg/kg) was anxiolytic in wild-type mice (black) but not  $\alpha 2^{M/M}$  mice (white) as measured by the mean time to exit the light zone (LZ). **(c)** Injection of THDOC caused  $\alpha 2^{M/M}$  mice to spend less time in the light zone **(d)**. **(e and f)** Motor coordination, examined by the time taken to fail the accelerating rotarod (RR) test; baseline coordination is no different between wild-type (black) and  $\alpha 2^{M/M}$  (white) mice **(e)**. 20 mg/kg THDOC impairs performance on the rotarod in both genotypes relative to the untreated condition **(f)**. Data are mean  $\pm$  sem with \*  $p < 0.05$ , \*\*  $p < 0.01$ , and \*\*\*  $p < 0.001$  for the effects of THDOC compared to vehicle; +  $p < 0.05$  for effect of 20 mg/kg THDOC vs. 10 mg/kg THDOC; #  $p < 0.05$ , ##  $p < 0.01$  and ###  $p < 0.001$  for effect of genotype (i.e.  $\alpha 2^{M/M}$  vs. wild-type). Statistical tests used are: **a and b** ( $n = 7$ ) and **c and d** ( $n = 9$ ), ANOVA with the Benjamini-Hochberg adjustment; **e and f** ( $n = 7$ ) comparisons used the Behrens-Fisher test.

The following figure supplements are available for figure 5:

**Figure supplement 7.** Additional data from EPM and LDB tests

## Figure 6. Basal neurosteroid tone on $\alpha 2$ -GABA<sub>A</sub>Rs in acute depression and pain sensation

**(a)** Bar graph of the mean time spent immobile during the tail suspension test (TST) for wild-type (black) and  $\alpha 2^{M/M}$  (white) mice. There is no effect of genotype on basal depression-like behaviour ( $P > 0.05$ ;  $n = 8$  per group). **(b)** Mean immobility time (s) in the forced swim test (FST) is the same for  $\alpha 2^{WT}$  and  $\alpha 2^{M/M}$  mice ( $n(\alpha 2^{WT}) = 7$ ;  $n(\alpha 2^{M/M}) = 14$ ; t-test,  $p = 0.67$ ). **(c)** THDOC is not antidepressant in wild-type or  $\alpha 2^{M/M}$  mice assessed by measuring the mean immobility time in the tail suspension test ( $P > 0.05$ ;  $n = 7$  per group).

**(d)** Bar graph of the mean time spent immobile following i/p administration of the anti-depressant, desipramine in the tail suspension test. Desipramine is effective in wild-type and  $\alpha 2^{M/M}$  mice at 20 mg/kg. \* -  $p < 0.05$ , \*\* -  $p < 0.01$ . For **a – d**, statistical comparisons were made using Bonferroni-corrected, Fisher's least significant difference test. P values are for all comparisons,  $n = 7 - 14$  per group. **(e-g)** Bar graphs measuring hind-limb withdrawal reflexes for mechanical nociception (**e**, using von Frey filaments); cold hypersensitivity (**f**, using acetone droplets), and thermal sensitivity (**g**, using laser light). Units in plots are: g = grams, s = seconds, J = Joules. No differences were observed between  $\alpha 2^{WT}$  and  $\alpha 2^{M/M}$  mice ( $P > 0.05$ ;  $n = 8$ ). Statistical comparisons were made using the t-test.



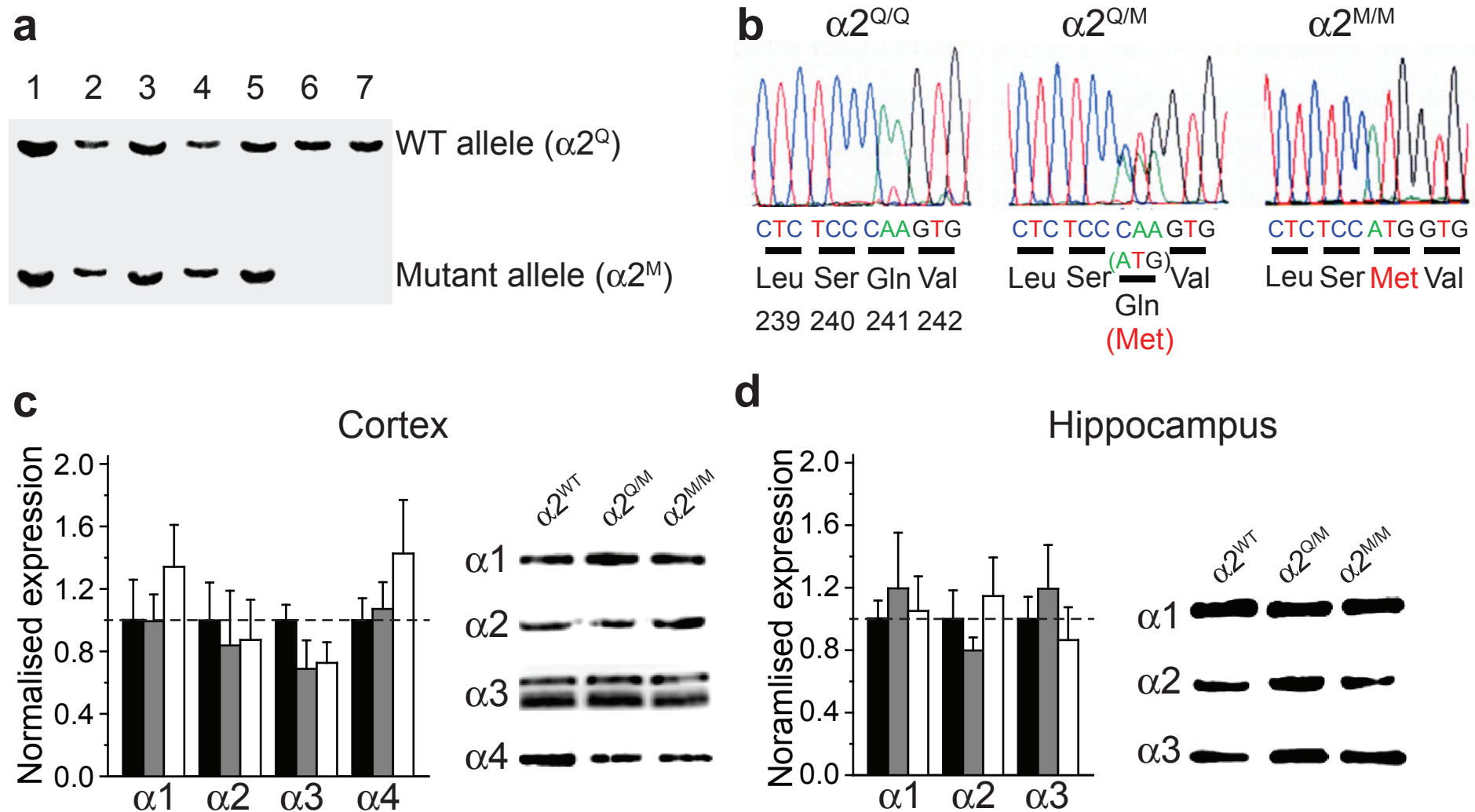


Figure 1

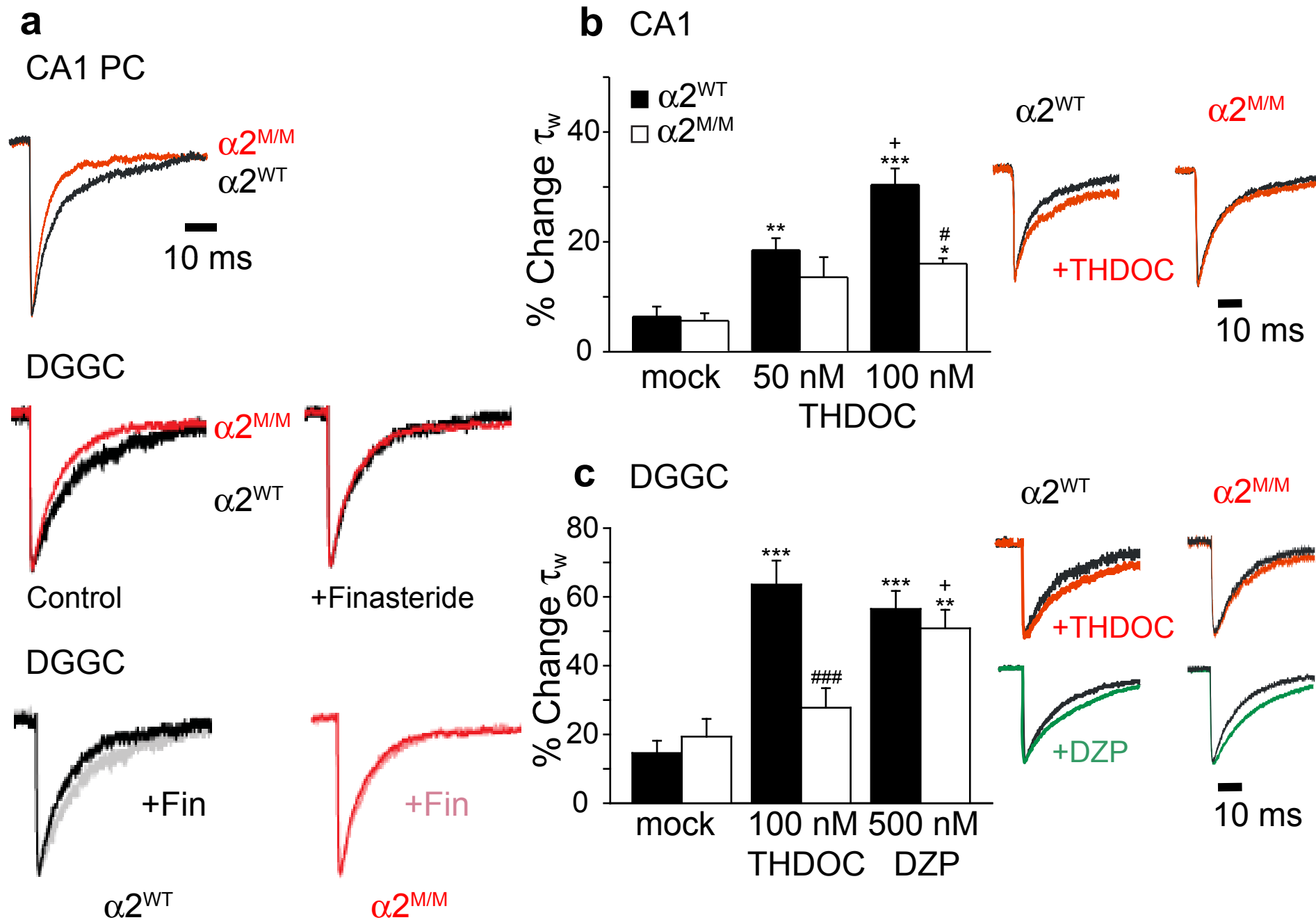


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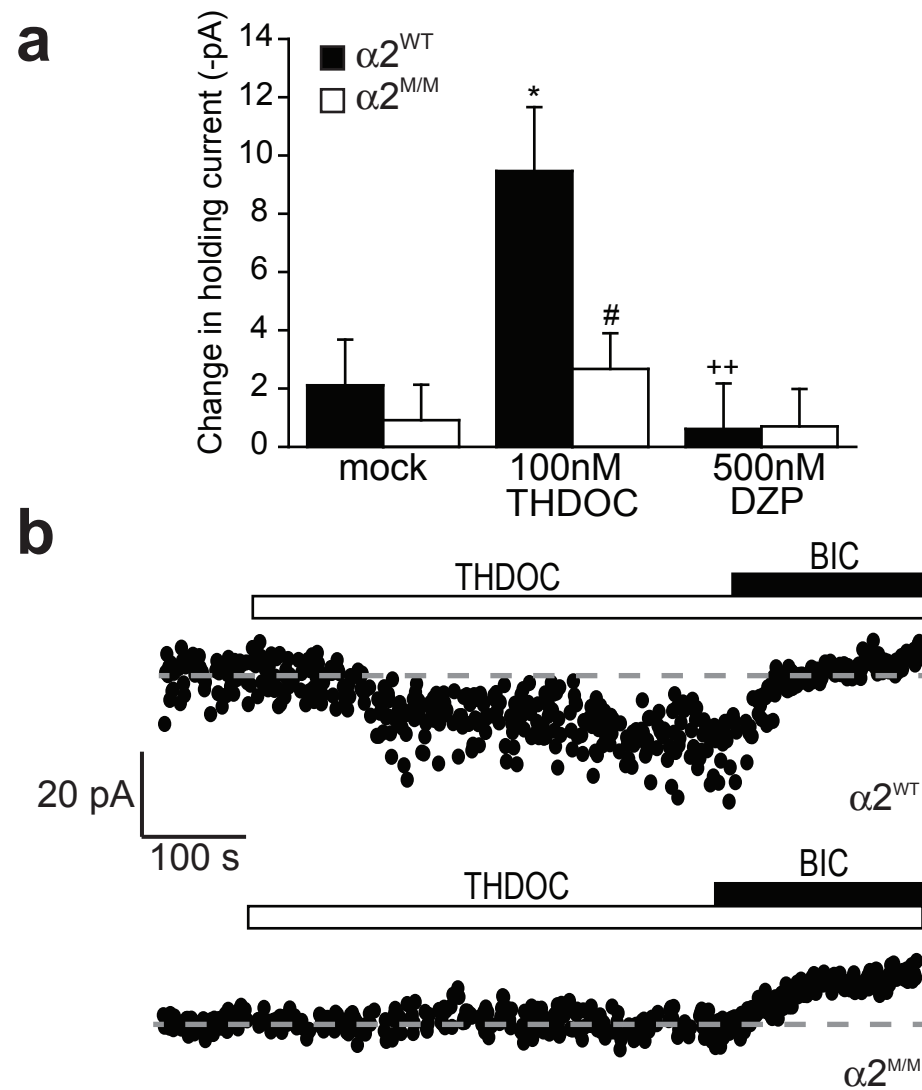


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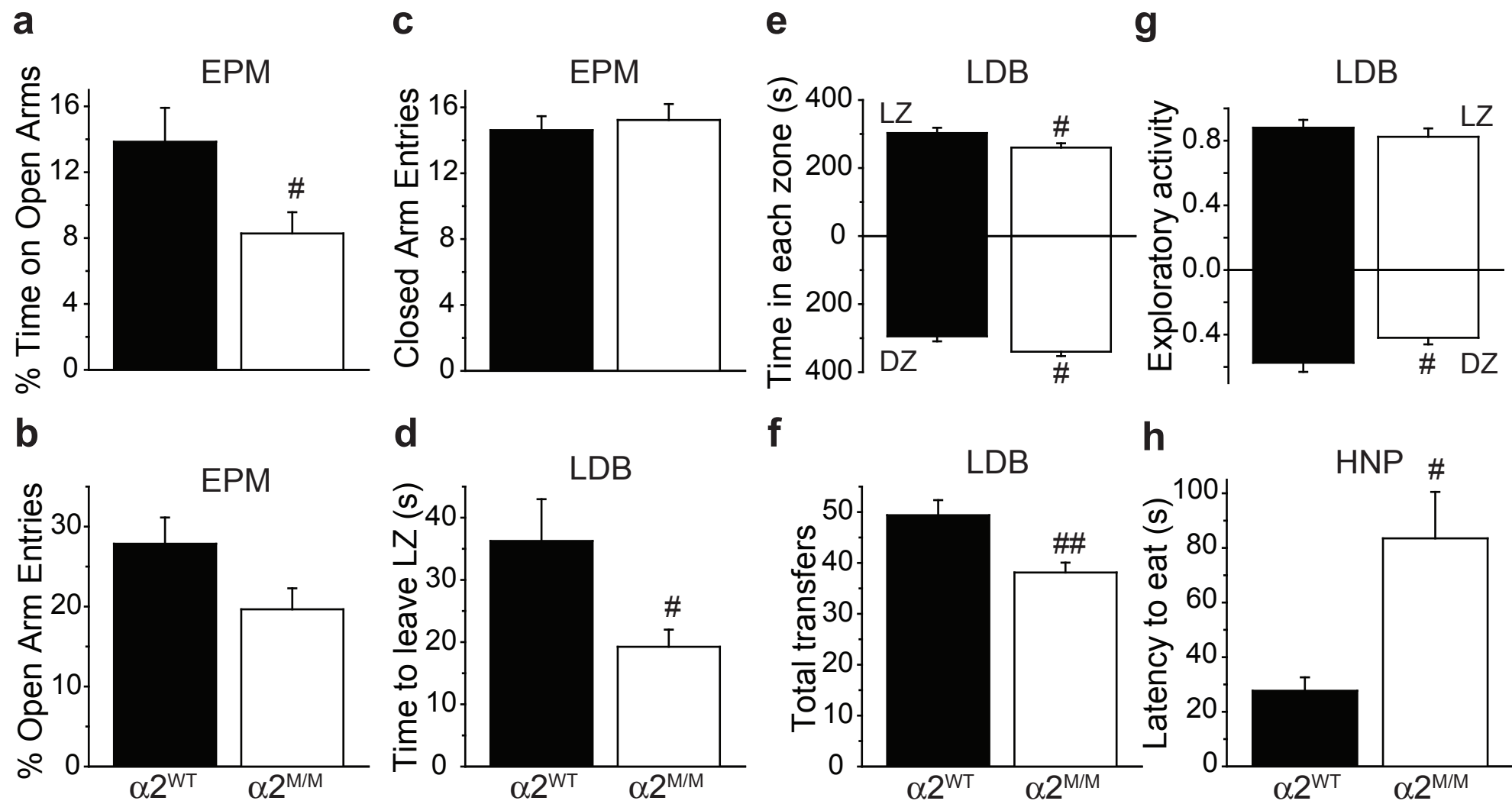


Figure 4

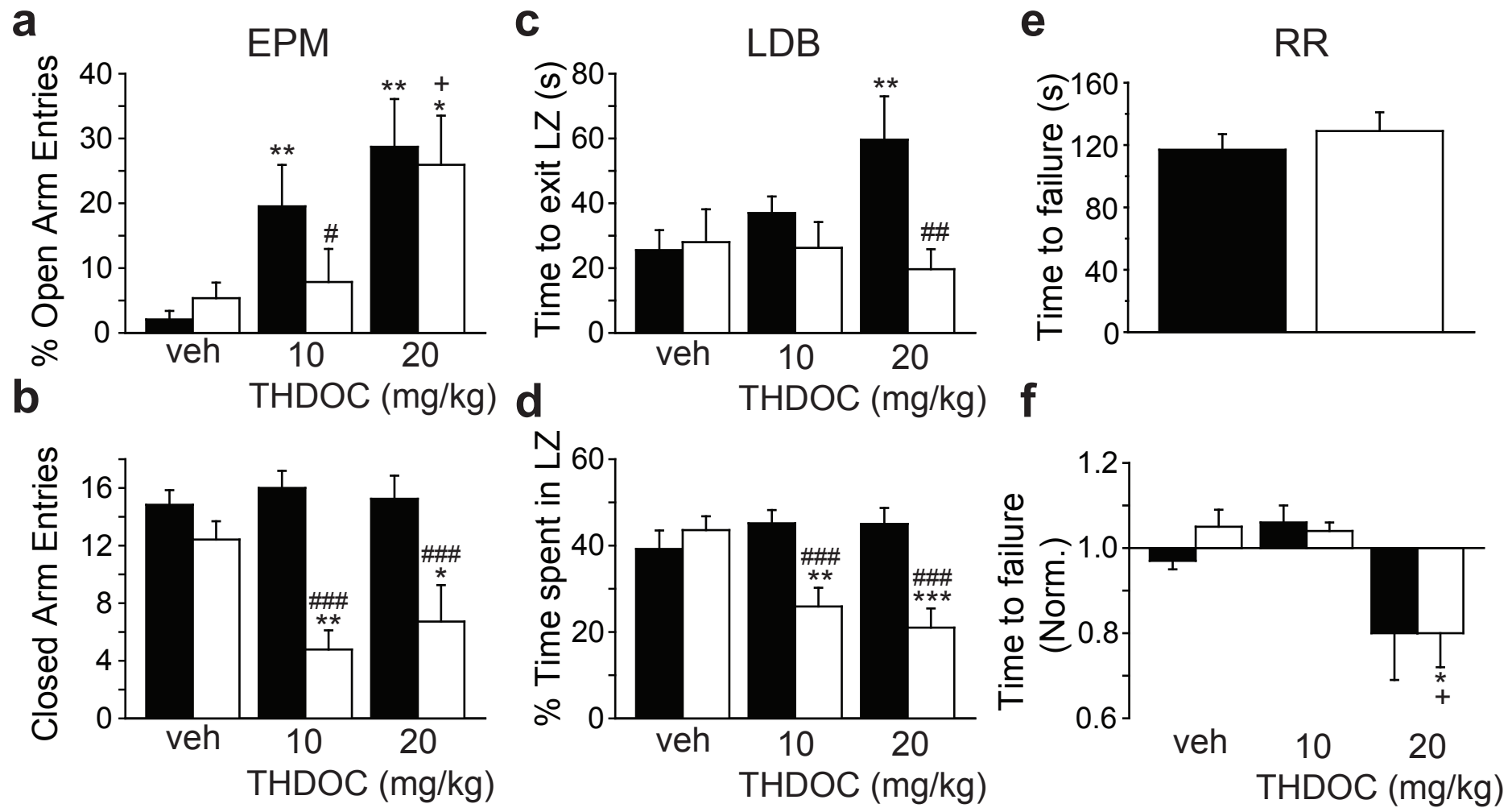


Figure 5

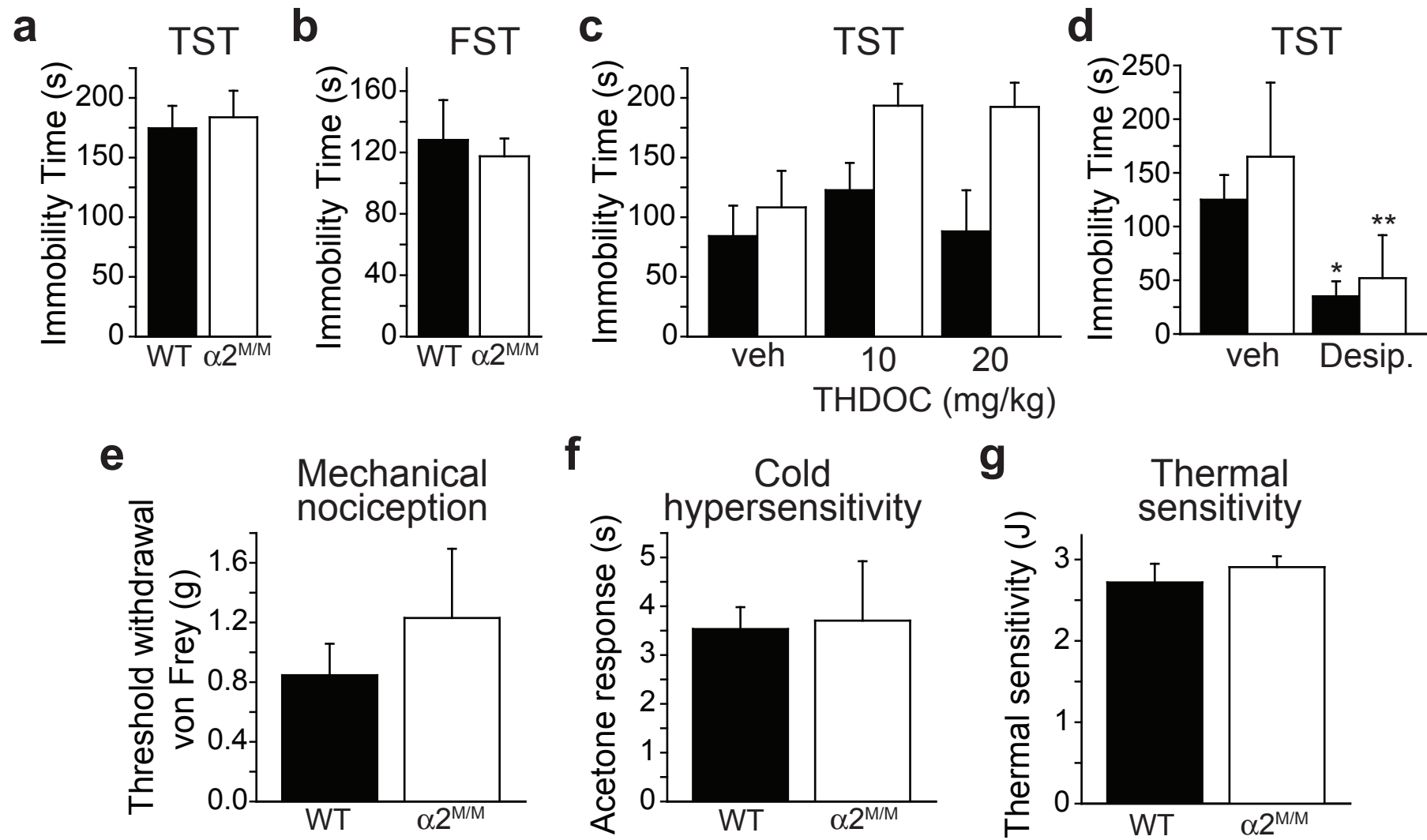


Figure 6