

1 Atypically larger variability of resource allocation  
2 accounts for visual working memory deficits in  
3 schizophrenia

4 Running title: visual working memory in schizophrenia

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24 **Abstract**

25 Schizophrenia patients are known to have profound deficits in visual working  
26 memory (VWM), and almost all previous studies attribute the deficits to decreased  
27 memory capacity. This account, however, ignores the potential contributions of  
28 other VWM components (e.g., memory precision). Here, we measure the VWM  
29 performance of 60 schizophrenia and 61 healthy control subjects. Moreover, we  
30 thoroughly evaluate several established computational models of VWM to compare  
31 the performance of the two groups. Surprisingly, none of the models reveal group  
32 differences in memory capacity and memory resources. We find that the model  
33 assuming variable precision across items and trials is the best model to explain the  
34 performance of both groups. According to the variable-precision model,  
35 schizophrenia subjects exhibit abnormally larger variability of allocating memory  
36 resources rather than resources or capacity per se. These results challenge the  
37 widely accepted decreased-capacity theory and propose a new perspective on the  
38 diagnosis and rehabilitation of schizophrenia.

39

40 **Keywords:** Schizophrenia, Visual working memory, Memory precision, Memory  
41 capacity, Bayesian inference, Perceptual variability

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45 **Introduction**

46 Schizophrenia is a severe mental disorder accompanied by a range of dysfunctions  
47 in perceptual and cognitive behavior, among which working memory deficit is  
48 considered as a core feature <sup>1–4</sup>. Working memory refers to the ability to temporally  
49 store and manipulate information in order to guide appropriate behavior, and it has  
50 been shown to link with a broad range of other brain functions, including perception,  
51 attention, problem-solving and executive control <sup>5–8</sup>. Dysfunctions in working  
52 memory therefore might cascade into multiple mental processes, causing a wide  
53 spectrum of negative consequences <sup>2,3,9</sup>.

54 A well-established finding in lab-based experiments is that people with  
55 schizophrenia (SZ) exhibit worse performance than healthy control (HC) in visual  
56 working memory (VWM) tasks <sup>2</sup>. This phenomenon has long been attributed to  
57 decreased VWM capacity in SZ <sup>2,10,11</sup>. This theory was supported by previous  
58 studies using various VWM or other WM tasks, including the ‘span’ tasks (e.g.,  
59 digit span, spatial span, verbal span) <sup>12,13</sup>, the N-back task <sup>14–16</sup>, the delayed-  
60 response task <sup>17–19</sup>, the change detection task <sup>20–24</sup>, and the delay-estimation task <sup>25–</sup>  
61 <sup>27</sup>. Despite the considerable differences across tasks, almost all previous studies  
62 converged to the same conclusion that decreased-capacity is the major cause of the  
63 VWM deficits in SZ.

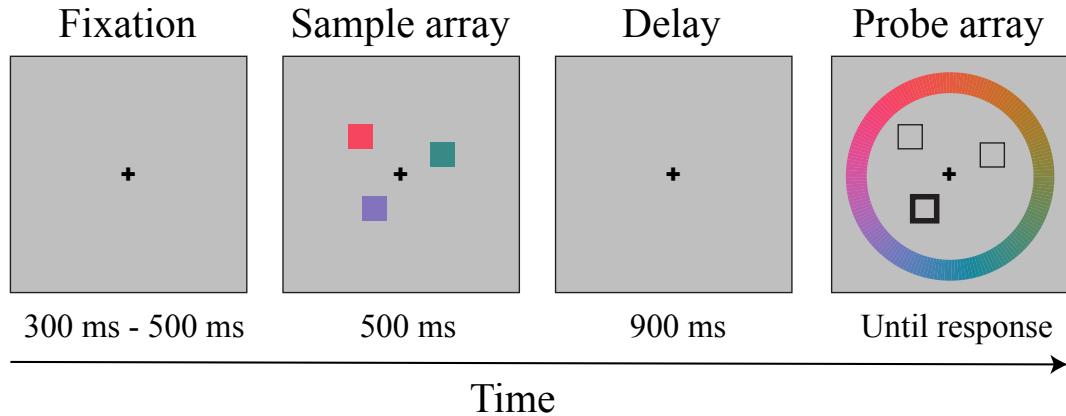
64 Besides capacity, in the basic research of VWM, people have increasingly  
65 recognized memory *precision* as another pivotal determinant of VWM performance  
66 <sup>28</sup>. Precision reflects the amount of memory resources assigned to individual  
67 items—a larger amount of resources leads to higher memory precision. At the  
68 neural level, low perceptual precision might arise from either the intrinsic noise in  
69 neural processing <sup>29–31</sup> or the fluctuations of cognitive factors (e.g., arousal,  
70 attention) <sup>31,32</sup>. Atypically increased variability in both behavioral and neural  
71 responses has been discovered in patients with mental diseases such as autism  
72 spectrum disorder <sup>33,34</sup>, dyslexia <sup>35</sup>, and attention-deficit/hyperactivity disorder <sup>36</sup>.  
73 These theoretical and empirical studies raise the possibility that SZ and HC might  
74 differ in memory precision rather than capacity—that is, these two groups might be  
75 able to remember an equal number of items (i.e., comparable capacity) but SZ

76 generally process and maintain items in a less precise manner. Only a few studies  
77 have attempted to simultaneously quantify memory capacity and precision in  
78 schizophrenic or schizotypy subjects, and the results are not consensus<sup>25,26</sup>.

79 Despite the confound of the possible cause in different VWM components, it is  
80 unclear whether SZ and HC employ the same computational strategies (i.e.,  
81 observer model) in VWM. Most prior studies only used one model and implicitly  
82 assumed the model was the best one for both SZ and HC. But without systematic  
83 model comparisons model optimality cannot be firmly warranted, and endowed  
84 results might be biased by the choice of a particular model. Given that several  
85 influential models have been proposed to explain the VWM behavior in normal  
86 subjects<sup>28</sup>, it remains unclear which one is the best for SZ. If the best model for SZ  
87 differs from the one for HC, it indicates that the two groups use qualitatively  
88 different computational strategies to complete behavioral tasks. If SZ and HC share  
89 the same best model, it indicates that they use the same strategy but quantitatively  
90 different parameters. These possibilities, however, have yet been thoroughly tested.

91 In the present study, we aim to systematically disentangle the impact of  
92 memory capacity and precision, as well as other factors (i.e., variability in  
93 allocating resources and variability in choice) in SZ. In this study, the performance  
94 of SZ and demographically matched HC was measured in a standard VWM  
95 delayed-estimation task (Fig. 1). Using a standard task allows us to compare our  
96 results to that from previous studies<sup>25,37-40</sup>. Most importantly, in contrast to most  
97 prior studies, we evaluated and compared almost all mainstream computational  
98 models in visual working memory research. This approach allows us to take an  
99 unbiased perspective and search a large space of both models and parameters. We  
100 believe that a well-controlled task and thorough computational modeling will shed  
101 new light on the mechanisms of VWM deficits associated with schizophrenia.

102



103

104 **Figure 1.** Color delay-estimation task. This figure depicts an example trial (i.e.,  
105 set size = 3) of the color delay-estimation task. Subjects are instructed to first  
106 memorize the colors of all squares on the screen, and after a 900ms delay  
107 choose the color of the probed square (the one in the left lower visual field in  
108 this example) on a color wheel. Response error is the difference between the  
109 reported color and the real color of the probe in the standard color space.  
110

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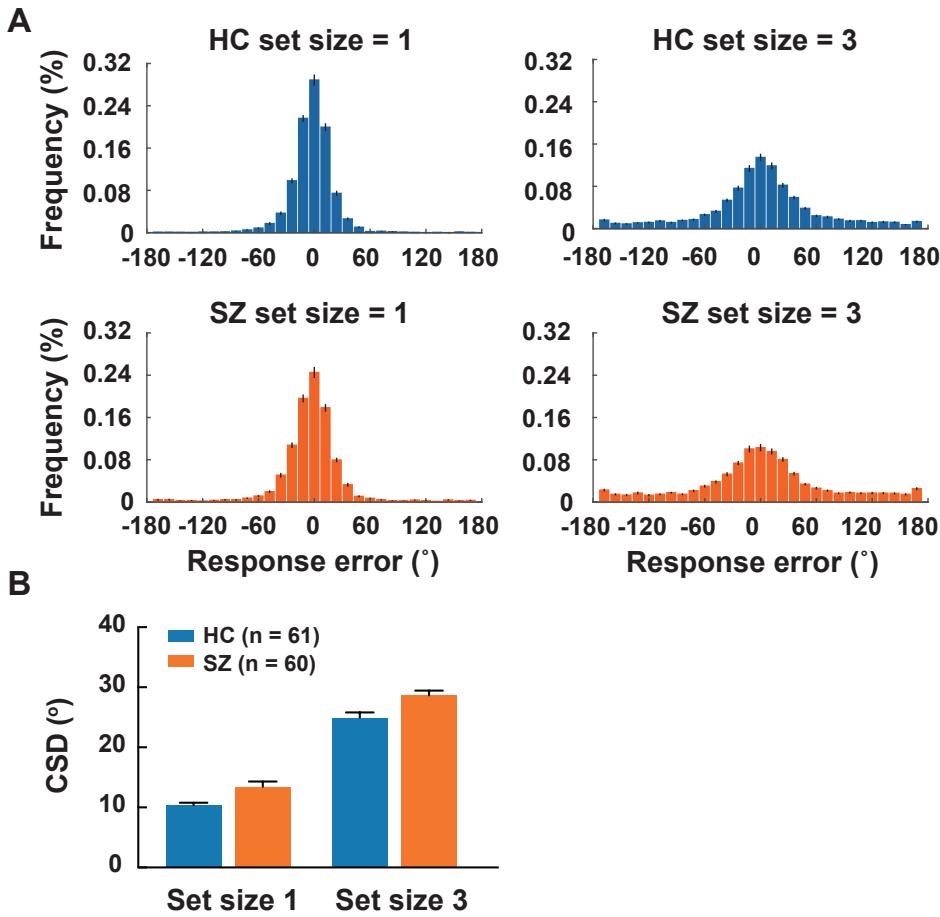
## Results

112

### Worse VWM performance in SZ

113

We first look at the histograms of raw response errors (the circular distance between  
114 the original color and the chosen color, Fig. 2A). The circular standard deviation  
115 (CSD) of the response errors was calculated to indicate VWM performance. A  
116 repeated-measure ANOVA was performed with CSD as the dependent variable, set  
117 size (1/3) as the within-subject variable, group as the between-subject variable (Fig.  
118 2B). As demonstrated by previous studies, VWM performance was worse when set  
119 size was higher ( $F(1,119) = 641,703$ ,  $p < 0.001$ , partial  $\eta^2 = 0.844$ ), and  
120 unsurprisingly, HC performed significantly better than SZ ( $F(1,119) = 13.651$ ,  $p <$   
121  $0.001$ , partial  $\eta^2 = 0.103$ ) did. The interaction between set size and group was not  
122 significant ( $F(1,119) = 0.229$ ,  $p = 0.633$ , partial  $\eta^2 = 0.002$ ), indicating that set size  
123 equally affected the performance in both groups. Taken together, we replicated the  
124 widely documented VWM deficits in SZ.



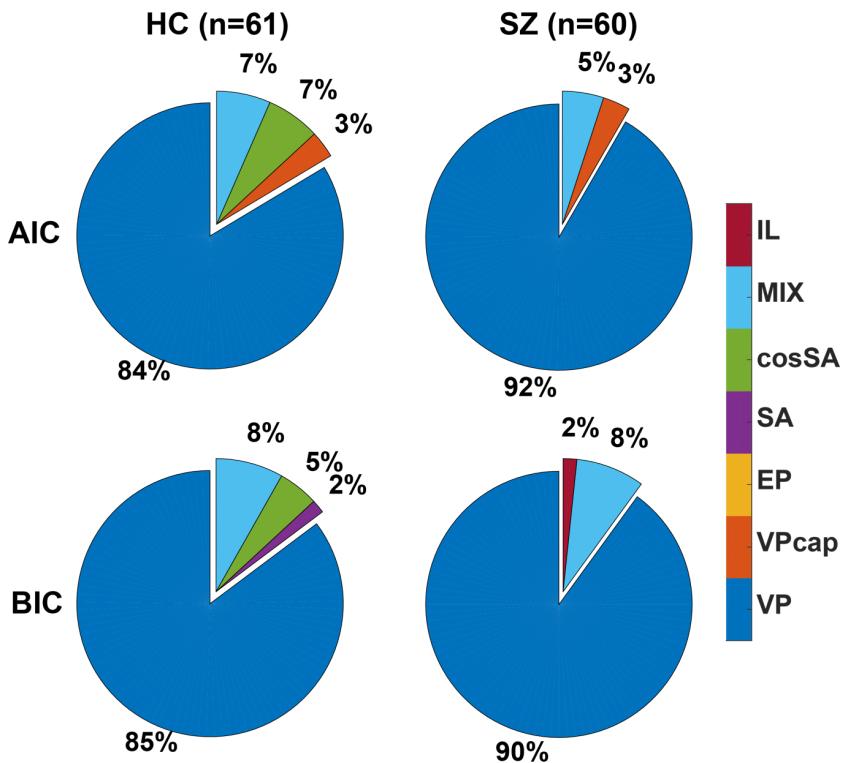
125  
126 **Figure 2.** Visual working memory performance in SZ and HC. **A.** Histograms  
127 of circular response errors under set size 1 and 3 for both groups. **B.** Circular  
128 standard deviations of response errors corresponding to Panel A. SZ show  
129 higher CSDs (i.e., worse performance) than HC. All error bars represent SEM  
130 across subjects.  
131

132 **Variable-precision model accounts for VWM behavior in both HC and**  
133 **SZ**

134 To systematically compare the VWM performance of SZ and HZ, we evaluated  
135 almost all mainstream computational models of VWM. We provide some brief  
136 introductions here, and readers may consider to skip the following paragraph to  
137 directly reach the after results or go to Supplementary Notes 1&2 for detailed  
138 mathematical and intuitive explanations of the models, depending on the reading  
139 preference.

140 The first one is the item-limit (IL) model. The IL model assumes no  
141 uncertainty in the sensory encoding stage, and that each subject has a fixed memory

142 capacity and a fixed response variability across set size levels <sup>41</sup>. The second one is  
143 the mixture (MIX) model, similar to the IL model but assuming response variability  
144 is set-size dependent <sup>25,26</sup>. Compared with the MIX model, the slots-plus-averaging  
145 (SA) model <sup>37</sup> further elaborates the idea that memory resources manifest as  
146 discrete chunks, and these chunks can be flexibly assigned to multiple items. We  
147 also explored a modified version of the SA model, dubbed cosSA model, which  
148 inherits the idea of discrete memory resources and further assumes that response  
149 bias is stimulus-dependent and can be described as empirically derived periodic  
150 functions. The fifth one is the equal-precision (EP) model, which is similar to the  
151 variable-precision (VP) model below but assumes that the memory resources are  
152 evenly distributed across items and trials <sup>42,43</sup>. The VP model proposes that memory  
153 resources are continuous, and the amount of resource assigned to individual items  
154 varies across items and trials. Note that the VP model does not include the capacity  
155 component thus we also constructed a variable-precision-with-capacity (VPcap)  
156 model that not only acknowledges the variable precision mechanisms and but also  
157 explicitly estimates the capacity of individual subjects. Note that the IL, MIX, SA  
158 and cosSA, and VPcap models have the parameter of capacity, and the EP and VP  
159 models do not. Here, capacity is operationally defined as the maximum number of  
160 items that can be stored in memory. Some items are out of memory if set size  
161 exceeds capacity, and the subject has to randomly guess the color if one of these  
162 items is probed.



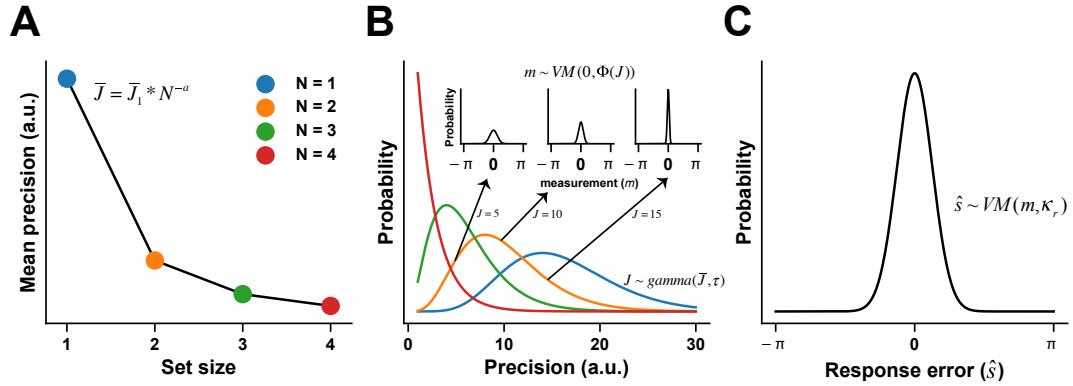
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164 **Figure 3.** Model comparison results. We compared seven models in each  
165 subject. The pie charts illustrate the proportion of subjects for whom each  
166 model is their best-fitting model. The VP model is the best-fitting model for  
167 over 84% of subjects in both groups and under both AIC and BIC metrics. This  
168 result indicates both groups share a qualitatively similar internal process of  
169 VWM.

170

171 We compared all seven models using the Akaike information criterion (AIC)  
172 and the Bayesian information criterion (BIC)<sup>44,45</sup>. We found that (Fig. 3), among all  
173 models, the VP model was the best-fitting model for over 84% of subjects in the  
174 HC group under both metrics, replicating previous results in normal subjects<sup>46,47</sup>.  
175 Most importantly, the VP model (Fig. 4) was also the best-fitting model for over 90%  
176 of subjects in the SZ group. This result indicates that both groups use the same  
177 observer model to perform the task.

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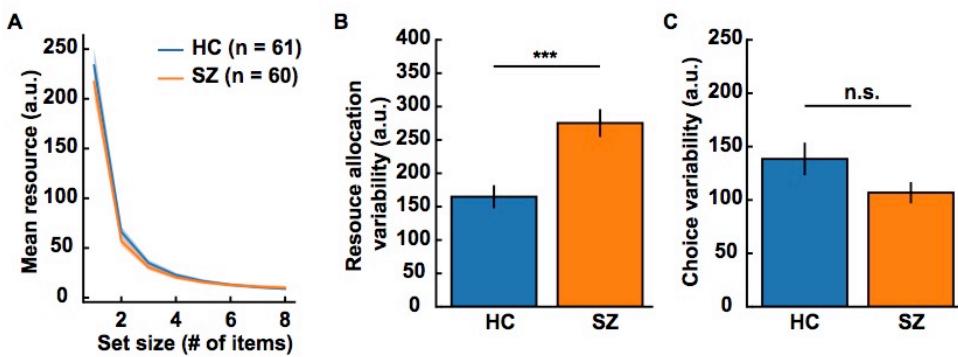
180 **Figure 4.** Variable-precision model of VWM. **A.** Resource decay function.  
181 The VP model assumes that the mean resource ( $\bar{J}$ ) for processing a single item  
182 declines as a power function of set size  $N$ , a trend characterized by two free  
183 parameters—initial resources ( $\bar{J}_1$ ) and decaying exponent ( $a$ ). **B.** The resources  
184 across items or trials follow a gamma distribution with the mean resource ( $\bar{J}_1$ )  
185 determined by the resource decay function (panel A) and the resource  
186 allocation variability ( $\tau$ ). Larger amounts of resources ( $J$ ) indicate higher  
187 precision and therefore generate narrower von Mises distributions (three small  
188 axes indicating the precision equals to 5, 10 and 15 respectively) of stimulus  
189 measurement ( $m$ ). The widths of the von Mises distributions indicate the  
190 degree of trial-by-trial sensory uncertainty. **C.** The eventual behavioral choice  
191 given the internal stimulus measurement ( $m$ ) is also uncertain, following a von  
192 Mises distribution with the choice variability ( $\kappa_r$ )<sup>80</sup>. In the VP model, initial  
193 resources ( $\bar{J}$ ), decaying exponent ( $a$ ), resource allocation variability ( $\tau$ ) and  
194 choice variability ( $\kappa_r$ ) are four free parameters to estimate (see details in SI and  
195 van den Berg *et al.*<sup>46</sup>). All numbers here are only for illustration purposes and  
196 not quantitatively related to the model fitting results in this paper.  
197

198 It is worth highlighting two findings here. First, the superior performance of  
199 the VP model suggests the important role of variable precision in VWM processing.  
200 Second, we found that the VP model was better than the VPCap model. This result  
201 suggests that adding the capacity parameter in the VPCap model seems unnecessary  
202 from the modeling perspective. This result is also in line with the literature showing  
203 that a fixed capacity might not exist in VWM<sup>48,49</sup>. Although systematically  
204 examining the existence of a fixed capacity is beyond the scope of this paper, this  
205 result at least invites a rethink of whether memory capacity should be considered as  
206 a key factor that limits VWM performance in SZ.

207

208 **Larger resource allocation variability in SZ**

209 Analyses above have established that HC and SZ employ the qualitatively  
210 same observer model to complete the VWM task. Their behavioral differences thus  
211 should arise from the differences on some parameters in the observer model. We  
212 next compared the fitted parameters of the VP model in the two groups. Results  
213 showed that the two groups had comparable resource decay functions (Fig. 5A,  
214 initial resources,  $t(119) = 0.689$ ,  $p = 0.492$ ,  $d = 0.125$ ; decaying exponent,  $t(119) =$   
215  $1.065$ ,  $p = 0.289$ ,  $d = 0.194$ ), indicating a similar trend of diminished memory  
216 resources as set size increases. SZ, however, had larger variability in allocating  
217 resources (Fig. 5B, resource allocation variability,  $t(119) = 4.03$ ,  $p = 9.87 \times 10^{-5}$ ,  $d$   
218  $= 0.733$ ). This suggests that, although the two groups have on average the same  
219 amount of memory resources across different set size levels, SZ allocate the  
220 resources across items or trials in a more heterogeneous manner, with some items in  
221 some trials receiving considerably larger amounts and vice versa in other cases.  
222 This is theoretically suboptimal with respect to completing the task since the probe  
223 was randomly chosen among all presented items with an equal probability. The  
224 optimal strategy therefore should be to assign an equal amount of resources to every  
225 item and in every trial to tackle the unpredictable target. Furthermore, our VP  
226 model explicitly distinguishes the variability in processing items and the variability  
227 in exerting a behavioral choice (e.g., motor or decision noise). We found no  
228 significant group difference in the choice variability (Fig. 5C,  $t(119) = 1.7034$ ,  $p =$   
229  $0.091$ ,  $d = 0.31$ ), excluding the possibility that the atypical performance of SZ arises  
230 from larger variability at the choice stage.



231  
232 **Figure 5.** Fitted parameters of the VP model. No significant group differences  
233 are noted between two groups in resource decay functions (panel A), and  
234 choice variability (panel C). SZ have larger resource allocation variability than

235 HC (panel B). The individual resource decay functions are computed by  
236  $\bar{J} = \bar{J}_1 * N^{-a}$ , where  $N$  is the set size,  $\bar{J}_1$  and  $a$  are the estimated initial  
237 resources and the decaying exponent of one subject. The solid lines represent  
238 the averaged resource decay functions across subjects. The shaded areas in  
239 panel A and all error bars in panel B and C represent  $\pm$ SEM across subjects.  
240 Significance symbol conventions are \*\*\*:  $p < 0.001$ ; n.s.: non-significant.

241

242

243 ***No capacity difference between HC and SZ***

244 Although the VP model is the most appropriate model for both groups, we believe it  
245 is also valuable to examine other suboptimal models for several reasons. First, the  
246 VP model does not have the concept of capacity. Thus, we cannot completely rule  
247 out the influence of capacity. One might argue that resource allocation variability  
248 and limited capacity might jointly manifest in SZ and a hybrid model that  
249 aggregates the two factors might yield a better explanation. Second, conclusions  
250 based on a single model might be unreliable as its fitted parameters may arise from  
251 specific model settings or possible idiosyncratic model fitting processes.

252 First, we emphasize that the VPcap model is such a hybrid model that  
253 accommodates both the variable precision mechanism and a fixed capacity. The  
254 results from the VPcap model largely replicated the results of the VP model. Again,  
255 we found a significantly larger resource allocation variability in SZ ( $t(119) = 3.891$ ,  
256  $p = 1.65 \times 10^{-4}$ ,  $d = 0.707$ ), see full statistical results in Supplementary Note 4). This  
257 result suggests that the effect of resource allocation variability is quite robust even  
258 though we alter the model structure.

259 We further examined the estimated capacity of all subjects in all models that  
260 contain the capacity parameter (i.e., IL, MIX, SA, cosSA, and VPcap models).  
261 Consistently, none of the models showed decreased capacity in SZ (see full stats in  
262 Supplementary Note 4 and Supplementary Figure 4). This result further rules out  
263 capacity deficits in SZ.

264 In sum, we found robustly larger resource allocation variability in SZ in  
265 both the VP and the VPcap models. Also, we found no evidence for decreased  
266 capacity in SZ in all models that include the capacity parameter. These results

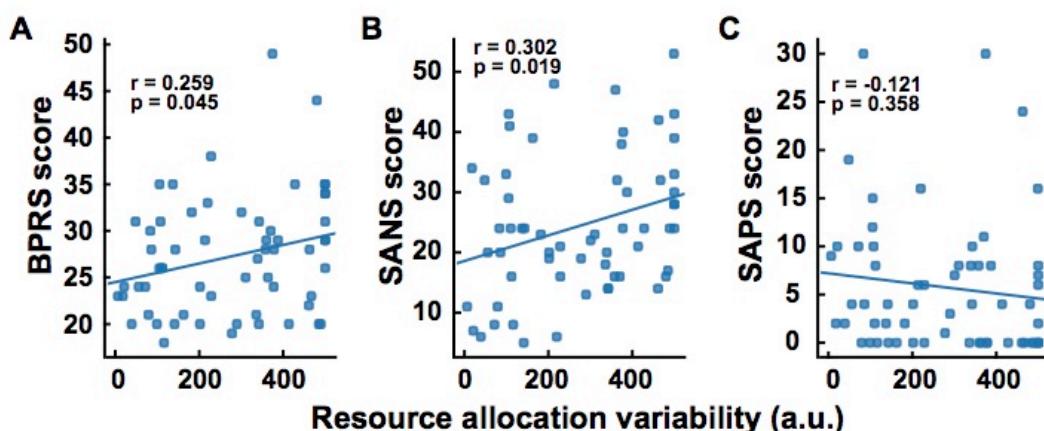
267 directly challenge the widely accepted decreased-capacity account and highlight the  
268 role of resource allocation variability in VWM deficits of SZ.

269

270 ***Resource allocation variability predicts the severity of schizophrenic symptoms***

271 We next turned to investigate whether the results from the VP model can  
272 predict clinical symptoms. A set of correlational analyses was carried out to link the  
273 estimated resource allocation variability to the schizophrenia symptomatology in  
274 each subject (BPRS, SANS, and SAPS).

275 We noticed that the estimated resource allocation variability of individual  
276 subjects correlates with their BPRS scores (Fig. 6A,  $r = 0.259$ ,  $p = 0.045$ ) and the  
277 SANS scores (Fig. 6B,  $r = 0.302$ ,  $p = 0.019$ ) in SZ. No significant correlation was  
278 noted on the SAPS scores (Fig. 6C,  $r = -0.121$ ,  $p = 0.358$ ). These results suggest  
279 that resource allocation variability not only is the key factor describing VWM  
280 behavior in SZ but also can quantitatively predict the severity of clinically  
281 measured symptoms.



282

283 **Figure 6.** Individual differences in resource allocation variability predict the  
284 scores in symptom assessments. Estimated resource allocation variability  
285 values in the SZ group significantly correlates with their scores on BPRS  
286 (panel A) and SANS (negative symptoms, panel B) but not on SAPS (positive  
287 symptoms, panel C).

288

289

290 **Discussion**

291 The mechanisms of VWM deficits in schizophrenia have been a matter of debate  
292 over the past few years. One widely accepted view proposes decreased capacity as  
293 the major cause of the deficits in SZ. In the present study, we re-examine this  
294 conclusion by comparing the performance of SZ and HC using all mainstream  
295 computational models of VWM proposed so far. We first establish that the VP  
296 model is the best model to characterize performance of both groups, indicating a  
297 qualitative similar internal process in both groups. We then further evaluate  
298 different components in the VP model as well as other suboptimal models, with  
299 special focuses on memory capacity and the declining trend of mean precision as a  
300 function of set size. Surprisingly, we find that SZ and HC differ in none of these  
301 two diagnostic features of VWM. Interestingly, we find that SZ have larger  
302 variability in allocating memory resources. Furthermore, individual differences in  
303 resource allocation variability predict variation of patients' symptom severity,  
304 highlighting the clinical functionality of this factor. Taken together, our results  
305 challenge the long-standing decreased-capacity explanation for the VWM deficits in  
306 schizophrenia and propose for the first time that resource allocation variability is  
307 the key factor that limits their performance.

308 A large body of literature has documented that SZ perform poorly in various  
309 forms of working memory tasks <sup>2,3,50,51</sup>. They reached the same conclusion: memory  
310 capacity is decreased in schizophrenia. However, through a careful examination of  
311 the literature, we find that the definition of capacity varies substantially across  
312 studies. Many studies directly equated worse performance with decreased capacity  
313 without quantitatively demonstrating how capacity modulates performance. For  
314 example, memory capacity was defined as the number of digits that can be recalled  
315 in the longest strand in digit span tasks <sup>12</sup>. In N-back tasks, capacity was defined as  
316 the number of backs corresponding to a certain accuracy level <sup>14-16</sup>. Moreover, the  
317 calculation of capacity resembled the d-prime metric in change detection tasks <sup>22-</sup>  
318 <sup>24,41,52</sup>. The majority of these metrics are behavioral thresholds related to capacity  
319 rather than direct quantifications of capacity. Although these metrics indeed suggest  
320 worse performance in SZ, they cannot directly reveal decreased capacity given the  
321 presence of other components such as memory resource or choice variability. It is

322 still unclear how these components jointly determine performance. This is partly  
323 because we lack appropriate computational models for the majority of the tasks.  
324 The VP model is advantageous as it describes the generative process of the delay-  
325 estimation task and the change-detection task <sup>46</sup>. As such, it allows to disassociate  
326 the effect of capacity from other VWM components.

327 The most notable result in our study is that no group difference is discovered  
328 in capacity in all models that estimate capacity. One potential limitation here might  
329 be that we only tested set size 1 and 3 given the limited number of trials we were  
330 able to collect on SZ patients. We acknowledge that high set size levels that  
331 challenge the subjects' VWM ability would lead to more accurate estimates of  
332 capacity. But we tended to be conservative when designing the experiment as SZ  
333 had already shown significant guessing behavior on set size 3 in our pilot  
334 experiment (also see Fig. 2A). Moreover, the fact that no capacity differences in all  
335 models are unlikely driven by the parameter setting in a particular model. One  
336 might also argue that adding the capacity parameter in for example the SA and MIX  
337 models might not significantly improve goodness of fit but will be penalized by  
338 AIC and BIC metrics, rendering worse models in terms of model comparison. We  
339 exclude this possibility by performing model comparisons using AIC and BIC  
340 without considering the capacity parameter (see Supplementary Note 3). Results  
341 replicated our main conclusions here. Future studies might need to test more  
342 conditions and more behavioral tasks.

343 Only a few studies have quantitatively estimated capacity and precision in  
344 schizophrenia. Gold et al <sup>25</sup> employed the same delay-estimation task as in our  
345 study and estimated individual's capacity and precision using the MIX model.  
346 Results in that study echoed the decreased-capacity theory. The MIX model  
347 assumes that response errors arise from a mixture distribution that combines a von  
348 Mises distribution whose variance reflects memory precision, and a uniform  
349 distribution that accounts for the random guessing if set size exceeds capacity. The  
350 MIX model, however, does not consider two important factors. First, the model  
351 assumes an equal precision across items in memory. Second, the model does not  
352 separate the variability for processing stimuli (i.e., sensory uncertainty,  $\kappa$  in

353 Supplementary Eq. S5) and the variability in exertion of a choice (i.e., choice  
354 uncertainty,  $\kappa_r$  in Supplementary Eq. S6). Such distinction is important since it  
355 highlights different types of uncertainty in encoding and decoding stages of VWM.  
356 Mathematically, these two types of uncertainty can be distinguished by  
357 manipulating set size since the encoding variability depends on set size but the  
358 choice variability does not. The issues of the MIX model have been symmetrically  
359 addressed in recent work<sup>53</sup>.

360 Compared with capacity and precision—the two diagnostic features of  
361 VWM, resource allocation variability emerges as a new concept in VWM. It  
362 describes the heterogeneity of allocating resources across multiple items and trials.  
363 Recent work suggests that such variability might not only manifest in VWM and  
364 but also act as a ubiquitous mechanism when processing multiple objects in vision  
365<sup>54</sup>. We speculate that resource allocation variability reflects the stability of  
366 attentional control when the brain processes multiple objects. Two aspects of  
367 available evidence support this argument. First, it has been shown that attention and  
368 WM are two core components of executive control and tightly linked with each  
369 other<sup>55,56</sup>. Second, schizophrenia is known to have deficits in top-down attentional  
370 modulation<sup>51,55</sup>. Particularly, recent studies discovered the phenomenon of spatial  
371 hyperfocusing in schizophrenia patients<sup>19,57–59</sup>. If schizophrenia patients overly  
372 attend to one item and ignore others in the memory encoding stage, unbalanced  
373 resource allocation will likely occur. But we want to emphasize that such variability  
374 is not equivalent to attentional lapse. A higher attentional lapse rate will lead to  
375 overall fewer resources, a phenomenon we did not observe in our study.

376 What are the neural mechanisms of this resource allocation variability?  
377 Recent neurophysiological studies proposed that the neural representation of a  
378 stimulus may follow a doubly stochastic process<sup>60,61</sup>, which suggests that the  
379 variability in encoding precision is a consequence of trial-to-trial and item-to-item  
380 fluctuations in attentional gain<sup>32,46,62</sup>. A recent study combined functional magnetic  
381 resonance imaging and the VP model, showing that the superior intraparietal sulcus  
382 (IPS) is the cortical locus that controls the resource allocation<sup>63</sup>. Interestingly,  
383 schizophrenia patients have been known to have IPS deficits<sup>64</sup>. Note that besides

384 top-down factors, we cannot rule out the contribution of bottom-up neural noise in  
385 perceptual and cognitive processing<sup>60,61</sup>, as found in several other mental diseases  
386<sup>33-36</sup>.

387 The current results also reveal links between resource allocation variability  
388 and patients' negative symptoms, but not positive symptoms (Fig. 6). These  
389 findings are consistent with several experimental and meta-analysis studies  
390 claiming dissociable mechanisms underlying the cluster of negative symptoms  
391 versus that of positive symptoms<sup>65-68</sup>. More broadly, a growing collection of  
392 evidence suggests that visual perceptual deficits in schizophrenic patients are more  
393 likely to link to negative rather than positive symptom severity<sup>69-73</sup>. Negative  
394 symptoms in turn might produce improvised social functioning. Humans depend  
395 heavily on VWM to interact with multiple agents and complete social tasks.  
396 Deficits in distributing processing resources over multiple agents therefore might  
397 cause disadvantages in social cognition.

398 In conclusion, our study proposes a new explanation that the resource  
399 allocation variability accounts for the atypical VWM performance in schizophrenia.  
400 This view differs from the decreased-capacity theory and provides a new direction  
401 for future studies that attempt to promote diagnosis and rehabilitation for  
402 schizophrenic patients.

403

## 404 **Methods**

### 405 **Ethics Statement.**

406 All experimental protocols were approved by the institutional review board at the  
407 East China Normal University. All research was performed in accordance with  
408 relevant guidelines and regulations. Informed written consent was obtained from all  
409 participants.

410

### 411 **Subjects.**

412 61 HC and 60 SZ participated in the study. SZ were clinically stable inpatients (N =  
413 33) and outpatients (N = 27) who met DSM-IV criteria<sup>74</sup> for schizophrenia. All  
414 patients were receiving antipsychotic medication (2 first-generation, 43 second-

415 generation, 15 both). Symptom severity was evaluated by the Brief Psychiatric  
416 Rating Scale (BPRS) <sup>75</sup>, the Scale for the Assessment of Negative (SANS) and  
417 Positive Symptoms (SAPS) <sup>76,77</sup>. HC were recruited by advertisement. All HC had  
418 no current diagnosis of axis 1 or 2 disorders as well as no family history of  
419 psychosis nor substance abuse or dependence. All subjects are right-handed with  
420 normal sight and color perception.

421 The two groups were matched in age ( $t(119) = 1.58$ ,  $p = 0.118$ ,  $d = 0.284$ ),  
422 gender (31 females and 29 males) and education level of parents ( $t(119) = 0.257$ ,  $p$   
423 = 0.798,  $d = 0.047$ ). Inevitably, the SZ had fewer years of education than the HC  
424 ( $t(119) = 5.51$ ,  $p = 2.09 \times 10^{-7}$ ,  $d = 1.00$ ). The detailed demographic information is  
425 summarized in the Table 1.

426 **Table 1. Demographics and clinical information of people with schizophrenia**  
427 **(SZ) and healthy control subjects (HC)**

	SZ (N = 60)		HC (N = 61)	
	Mean	SD	Mean	SD
age	35.67	6.58	33.82	9.90
range	23-48	n/a	21-57	n/a
Female/male	31/29	n/a	29/32	n/a
Inpatient/outpatient	33/27	n/a	n/a	n/a
Subject's education (in years)	12.03	2.24	15.13	3.70
Paternal education (in years) <sup>a</sup>	9.89	2.53	9.76	2.95
Maternal education (in years)	9.62	2.91	9.29	3.63
BPRS	27.25	6.27	n/a	n/a
SAPS	5.77	7.02	n/a	n/a
SANS	24.43	11.45	n/a	n/a

428 <sup>a</sup> Average of mother's and father's years of education

429 BPRS: Brief Psychiatric Rating Scale <sup>75</sup>; SAPS: Scale for the Assessment of  
430 Positive Symptoms <sup>77</sup>; SANS: Scale for the Assessment of Negative Symptoms <sup>76</sup>.

431

432

433

434 **Stimuli and Task.**

435 The subjects sat 50 cm away from an LCD monitor. All stimuli were generated by  
436 Matlab 8.1 and Psychtoolbox 3<sup>78,79</sup>, and then presented on a LCD monitor.

437

438 **Color delay-estimation VWM task**

439 In the color delay-estimation VWM task (Fig. 1), each trial began with a fixation  
440 cross presented at center-of-gaze for a duration randomly chosen from a sequence  
441 of 300, 350, 400, 450 and 500 ms. Subjects shall keep their fixation on the cross  
442 throughout the whole experiment. A set of colored squares (set size = 1 or 3) was  
443 shown on an invisible circle with 4° radius. Our pilot experiment showed that SZ  
444 patients exhibit a high dropout rate if the task is longer than 30 mins or too hard  
445 (i.e., set size > 4). We therefore limited our task to set size level 1 and 3. The  
446 sample array lasted 500 ms. Each square was 1.5° × 1.5° of visual angle. Their  
447 colors were randomly selected from the 180 colors that are equally distributed along  
448 the wheel representing the CIE L\*a\*b color space. The color wheel was centered at  
449 (L = 70, a = 20, b = 38) with a radius of 60 in the color space<sup>37</sup>. The sample array  
450 then disappeared and was followed by a 900 ms blank period for memory retention.  
451 After the delay, an equal number of outlined squares were shown at the same  
452 location of each sample array item, with one of them bolded as the probe. In the  
453 meantime, a randomly rotated color wheel was shown. The color wheel was 2.1°  
454 thick and centered on the monitor with the inner and the outer radius as 7.8° and  
455 9.8° respectively. Subjects were asked to choose the remembered color of the probe  
456 by clicking a color on the color wheel using a computer mouse. Subjects shall  
457 choose the color as precisely as possible and response time was not constrained.  
458 Every subject completed 2 blocks for the set size 1 and 3, respectively. The order of  
459 the two blocks was counterbalanced across subjects. Each block had 80 trials. The  
460 difference between the reported color and the true color of the target is considered  
461 as the response error.

462

463 **Data availability statement**

464 The data that support the findings of this study are available from the corresponding  
465 author upon reasonable request.

466

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475

476 **Author contributions**

477 Y. K., Y. Z. and X. R. designed the experiments. X. R. and L. Z. performed the  
478 experiments. Y. Z., T.M. and R-Y. Z. analyzed the data, R-Y. Z. and wrote the  
479 manuscript in consultation with Y. K. and L. Z.

480

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680

681

1    **Supplementary materials for**

2    Atypically larger variability of resource allocation accounts for visual  
3    working memory deficits in schizophrenia

4

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14    **This PDF file includes:**

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16       Supplementary Note 1: Computational models of VWM

17       Supplementary Note 2: Intuitive model explanations

18       Supplementary Note 3: Model fitting and comparisons

19       Supplementary Note 4: Results of other suboptimal models

20       Supplementary Note 5: Color perception task and results

21       Supplementary Note 6: Statistical results with the CSD in the color perception  
22       task as a co-variate.

23       Supplementary Figures. 1 to 4

24       References

25

26

27

28

29 **Supplementary Note 1: Computational models of VWM**

30 **Variable-precision model.** The variable-precision (VP) model has been shown as the  
31 state-of-the-art computational model of VWM. Details of the VP model have been  
32 documented in several previous studies <sup>1,2</sup> and the model codes are publicly available  
33 (<http://www.cns.nyu.edu/malab/resources.html>).

34 The VP model assumes a resource decaying function describing the decreasing  
35 trend of mean memory resource ( $\bar{J}$ ) assigned to individual items as the set size ( $N$ )  
36 increases <sup>3,4</sup>:

37 
$$\bar{J} = \bar{J}_1 * N^{-a}, \quad (S1)$$

38 where  $\bar{J}_1$  is the initial resources when only 1 item ( $N = 1$ ) should be memorized and  $a$  is  
39 the decaying exponent. The key component of the VP model is that the memory  
40 resources  $J$  across items and trials follow a Gamma distribution with the mean  $\bar{J}$  and the  
41 scale parameter  $\tau$ :

42 
$$J \sim \text{Gamma}(\bar{J}, \tau), \quad (S2)$$

43 Intuitively, a larger  $\tau$  indicates a more uneven distribution of memory resources across  
44 items or trials, with some items in some trials receiving a larger amount of resources  
45 while others receive comparative fewer. Note that a larger amount of memory resource  
46 produces a higher precision. Thus, we do not explicitly distinguish resource and precision  
47 and denote them as  $J$ . Defining precision as Fisher information <sup>5</sup>, precision  $J$  can be  
48 linked to the variance of the von Mises distribution of sensory measurement:

49 
$$J = \kappa \frac{I_1(\kappa)}{I_0(\kappa)}, \quad (S3)$$

50 where  $I_0$  and  $I_1$  are modified Bessel functions of the first kind of order 0 and 1  
51 respectively, with the concentration parameter  $\kappa$ . Eq. S3 specifies a one-on-one mapping  
52 between precision  $J$  and variance  $\kappa$ . We can rewrite their relationship as:

53 
$$\kappa = \Phi(J), \quad (S4)$$

54 where  $\Phi$  is the mapping function. The distribution of sensory measurement ( $m$ ) given the  
55 input stimulus ( $s$ ) can be written as:

56 
$$p(m|s) = \frac{1}{2\pi I_0(\kappa)} e^{\kappa \cos(m-s)} \equiv VM(m; s, \kappa), \quad (S5)$$

57 We further assume that the reported color ( $\hat{s}$ ) by participants also follows a von Mises  
58 distribution:

59 
$$p(\hat{s} | m) = \frac{1}{2\pi I_0(\kappa_r)} e^{\kappa_r \cos(\hat{s} - m)} \equiv VM(\hat{s}; m, \kappa_r), \quad (S6)$$

60 where  $\kappa_r$  represents the variability at the choice stage.

61 Given the four free parameters and stimulus color  $s$  in a trial, we can derive the  
62 probability of the observed response in a trial by marginalizing over sensory  
63 measurement  $m$  and variable precision  $J$ :

$$\begin{aligned} p(\hat{s} | s; \bar{J}, \tau) &= \int p(\hat{s} | s; J) p(J | \bar{J}; \tau) dJ \\ &= \int VM(\hat{s}; s, \Phi(J)) Gamma(J; \bar{J}, \tau) dJ \\ 64 \quad &= \iint VM(\hat{s}; m, \kappa_r) VM(m; s, \Phi(J)) Gamma(J; \bar{J}, \tau) dJ dm \\ &= \int \frac{I_0\left(\sqrt{\Phi(J)^2 + \kappa_r^2 + 2\Phi(J)\kappa_r \cos(s - \hat{s})}\right)}{2\pi I_0(\kappa_r) I_0(\Phi(J))} Gamma(J; \bar{J}, \tau) dJ \end{aligned} \quad ,$$

65 (S7)

66 Note that in Eq. S7, sensory measurement ( $m$ ) can be analytically eliminated. Since  
67 precision  $J$  is a random variable across items and trials, we sampled it 10000 times from  
68 the Gamma distribution with mean  $\bar{J}$  and scale parameter  $\tau$ . Note that van den Berg *et*  
69 *al.*<sup>1</sup> confirmed that 500 samples are enough in the model fitting. We then used all the  
70 samples to calculate response probability in each trial.

71 Taken together, this VP model has four free parameters:  $\bar{J}_1$ ,  $a$ ,  $\tau$  and  $\kappa_r$ .

72  
73 **Variable-precision-with-capacity model.** The variable-precision-with-capacity (VPcap)  
74 model inherits all parameters and the structure of the VP model above, except that an  
75 additional capacity parameter ( $K$ ) is introduced to estimate the memory capacity of  
76 individuals. If the set size  $N$  is smaller than capacity  $K$ , the VPcap model is identical to  
77 the VP model. If the set size  $N$  exceeds the capacity  $K$ , the model assumes that the probe  
78 is stored in the VWM with the probability  $K/N$ , and out of memory with the probability

79 1-  $K/N$ . In the latter case, a participant randomly guesses a color. The response  
 80 probability therefore can be written as:

$$81 \quad p(\hat{s}|s) = \begin{cases} \frac{K}{N} p(\hat{s}|s; \bar{J}, \tau) + (1 - \frac{K}{N}) \frac{1}{2\pi}, & K \leq N \\ p(\hat{s}|s; \bar{J}, \tau), & K > N \end{cases}, \quad (S8)$$

82 where  $p(\hat{s}|s; \bar{J}, \tau)$  is defined in Eq. S7. In essence, the VPcap model is a mixture model  
 83 of the VP model and a random guessing process when the set size exceeds the  
 84 participant's capacity. The VPcap model has five parameters, four as the same in the VP  
 85 model and the additional capacity parameter ( $K$ ).

86

87 **Item-limit model.** The item-limit (IL) model assumes no uncertainty in the sensory  
 88 encoding stage such that the internal sensory measurement  $m$  is equal to the input  
 89 stimulus  $s$ . But there exists choice variability from measurement  $m$  to the reported color ( $\hat{s}$ ).  
 90 Such choice variability does not vary across set size levels. The IL model also  
 91 assumes a fixed capacity  $K$ . The response probability is:

$$92 \quad p(\hat{s}|s) \equiv p(\hat{s}|m) = \begin{cases} \frac{K}{N} VM(\hat{s}|s, \kappa_r) + (1 - \frac{K}{N}) \frac{1}{2\pi}, & K \leq N \\ VM(\hat{s}|s, \kappa_r), & K > N \end{cases}, \quad (S9)$$

93 The IL model has two free parameters: choice variability  $\kappa_r$ , and capacity  $K$ .

94

95 **Mixture model.** The mixture model (MIX) has been used in previous clinical research <sup>6</sup>.  
 96 Similar to the IL model, the MIX model only assumes the uncertainty from stimulus  $s$  to  
 97 the reported color ( $\hat{s}$ ) and a fixed capacity  $K$ . The difference is that the uncertainty ( $\kappa$ )  
 98 reflects both sensory noise and choice variability, and thus the uncertainty is set-size  
 99 dependent (each set size has one  $\kappa$ ). The response probability can be written as:

$$100 \quad p(\hat{s}|s) = \begin{cases} \frac{K}{N} VM(\hat{s}|s, \kappa_{1/3}) + \left(1 - \frac{K}{N}\right) \frac{1}{2\pi}, & K \leq N \\ VM(s|s, \kappa_{1/3}), & K > N \end{cases}, \quad (S10)$$

101 where and denote the uncertainty for set size 1 and 3, respectively. The MIX model has  
102 three parameters: uncertainty levels  $\kappa_1$  and  $\kappa_3$ , and capacity  $K$ .

103

104 **Slots-plus-averaging model.** The slots-plus-averaging (SA) model was originally  
105 proposed in <sup>7</sup> and further elaborated in <sup>1</sup>. Unlike the IL model, the SA model  
106 acknowledges the presence of noise in the sensory encoding stage. However, the memory  
107 resources are discrete chunks, and a single chunk or multiple chunks can be assigned to  
108 one item. For one item, the SA model assumes Eq. S4 still holds as the relationship  
109 between the resource assigned to that item and the width of the von Mises distribution:

110 
$$\kappa = \Phi(SJ_s) , \quad (S11)$$

111 where  $S$  is the number of chunks and  $J_s$  is the resource of one chunk. The SA model also  
112 assumes a capacity  $K$ .

113 When  $N > K$ , an item should receive either 0 or 1 chunk. Then the allocation  
114 should be similar to the IL model. the response distribution should be a mixture of a  
115 uniform and a von Mises distributions:

116 
$$p(\hat{s} | s) = \frac{K}{N} \frac{I_0(\sqrt{\Phi(J_s)^2 + \kappa_r^2 + 2\Phi(J_s)\kappa_r \cos(\hat{s} - s)})}{2\pi I_0(\kappa_r) I_0(\Phi(J_s))} + (1 - \frac{K}{N}) \frac{1}{2\pi} \quad K < N , \quad (S12)$$

117 When  $N \leq K$ , some items receive either one or more chunks. Assuming that the  
118 resource chunks should be assigned as equally as possible across items, the  $S$  can be  
119 calculated as:

120 
$$S = \begin{cases} \left\lfloor \frac{K}{N} \right\rfloor, & \text{with probability } 1 - \frac{K \bmod N}{N} \\ \left\lfloor \frac{K}{N} \right\rfloor + 1, & \text{with probability } \frac{K \bmod N}{N} \end{cases} , \quad (S13)$$

121 where  $\lfloor x \rfloor$  represents the *floor* function in Matlab. The corresponding concentration  
122 parameter of von Mises distributions can be computed by Eqs. S11&13:

$$\begin{aligned}
 \kappa_{low} &= \Phi\left(\left\lfloor \frac{K}{N} \right\rfloor J_s\right) \\
 \kappa_{high} &= \Phi\left(\left\lfloor \frac{K}{N} + 1 \right\rfloor J_s\right)
 \end{aligned} \tag{S14}$$

123

124 The response probability in the SA model can be written as:

$$p(\hat{s} | s) = \frac{K \bmod N}{N} \frac{I_0(\sqrt{\kappa_{high}^2 + \kappa_r^2 + 2\kappa_{high}\kappa_r \cos(\hat{s} - s)})}{2\pi I_0(\kappa_r) I_0(\kappa_{high})} + \left(1 - \frac{K \bmod N}{N}\right) \frac{I_0(\sqrt{\kappa_{low}^2 + \kappa_r^2 + 2\kappa_{low}\kappa_r \cos(\hat{s} - s)})}{2\pi I_0(\kappa_r) I_0(\kappa_{low})} \quad K > N$$

125

126

127 The SA model has three free parameters: unit resource  $J_s$ , choice variability  $\kappa_r$ , and  
128 capacity  $K$ .

129

130 **Cosine slots-plus-averaging model.** A recent paper<sup>8</sup> suggests that a modified version of  
131 the SA model, dubbed cosine slots-plus-average model (cosSA), outperformed the VP  
132 model to explain the delay-matching VWM behavior. To enhance the generality of our  
133 study, we also followed that work and included this model. Briefly, the cosSA model  
134 assumes that the unit memory precision is stimulus-dependent and exhibits a cosine-like  
135 periodic fluctuation:

$$J_s = e^{J_m + J_f \cos(8s)}, \tag{S16}$$

136 where  $J_m$  and  $J_f$  describe the fluctuation of unit memory precision ( $J_s$ ) as a function of  
137 stimulus  $s$ . We can convert precision  $J_s$  to the width of von Mises distributions  $\kappa_s$   
138 according to Eq. S4. According to capacity  $K$ , the discrete memory resource allocation is  
139 described as Eq. S11-S14. Moreover, the cosSA model also assumes the response bias is  
140 periodic:

$$\mu_s = \mu_f \sin(4s), \tag{S17}$$

141 where  $\mu_f$  adjusts the magnitude of the bias. The probability of a response given the  
142 stimulus can be described as:

$$p(\hat{s} | s) = \begin{cases} \frac{K}{N} VM(\hat{s} | s + \mu_s, \kappa_s) + \left(1 - \frac{K}{N}\right) \frac{1}{2\pi}, & K \leq N \\ VM(\hat{s} | s + \mu_s, \kappa_s), & K > N \end{cases}, \tag{S18}$$

146 The cosSA model has four free parameters:  $J_m$ ,  $J_f$ ,  $\mu_f$  and capacity  $K$ .

147

148 **Equal-precision model.** The equal-precision (EP) model is very similar to the VP model,  
149 except that an equal amount of resources is assigned to every item and in any trial.  
150 Namely, the Eq. S2 does not apply to the EP model. In the EP model, the resource  
151 assigned to one item declines as a power function (as Eq. S1). Then the resource at each  
152 set size level can be converted to the width of the von Mises distribution using (Eq. S4).

153 The response probability is given by:

$$154 p(\hat{s} | s; \bar{J}_1, a, \kappa_r) = \frac{I_0(\sqrt{\Phi(\bar{J}_1 N^{-a})^2 + \kappa_r^2 + 2\Phi(\bar{J}_1 N^{-a})\kappa_r \cos(\hat{s} - s)})}{2\pi I_0(\kappa_r) I_0(\Phi(\bar{J}_1 N^{-a}))}, \quad (S19)$$

155 where  $J_1$  is the resource when set size is 1 (initial resources). The EP model has three free  
156 parameters: initial resources  $\bar{J}_1$ , decaying exponent  $a$ , and choice variability  $\kappa_r$ .

157

## 158 **Supplementary Note 2: Intuitive model explanations**

159 Despite the mathematical details provided above, we further provide intuitive  
160 explanations for each model and highlight their differences based on cartoon illustrations  
161 in Supplementary Fig. 1. Note that all stimuli are 0 because we transformed the reported  
162 color to recall errors in each trial.

163

164 **Item-limit model.** In the IL model (Supplementary Fig. 1A), if the capacity  $K$  is larger  
165 than the set size  $N$  (e.g.,  $N=2$ ,  $K=3$ , the left panel), all items can enter working memory.  
166 The reported color follows a von Mises distribution with the mean as the color of the  
167 probed stimulus. If the capacity  $K$  is smaller than the set size  $N$  (e.g.,  $N=2$ ,  $K=3$ , the right  
168 panel), a probed stimulus can be stored within memory with probability  $K/N$  and out of  
169 memory with probability  $(1-K/N)$ . If the probed stimulus is in memory, the same rule of  
170 von Mises distribution applies. If the probed stimulus is out of memory, a subject guesses  
171 a color (i.e., with probability  $1/2\pi$ , the uniform distribution of guessing).

172

173 **Mixture model.** The mixture model (Supplementary Fig. 1B) shares all components with  
174 the IL model. The key difference is that the IL model assumes the same von Mises  
175 distribution for both set size levels (i.e., same width of the blue and the orange  
176 distributions in Supplementary Fig. 1A), while the mixture model uses two von Mises  
177 distributions with different widths for the two set size levels (i.e., different widths of the  
178 blue and the orange distributions in Supplementary Fig. 1B), to compensate the potential  
179 different level uncertainty associated with two set size levels. Thus, the mixture model  
180 has one additional free parameter than the IL model.

181

182 **Slot-plus-averaging and cosine slot-plus-averaging model.** The SA model regards  
183 memory resources as several discrete chunks (Supplementary Fig. 1C). In the example of  
184 Supplementary Fig. 1C, the subject has three ( $K=3$ ) chunks of resources and the blue cups  
185 stand for individual stimulus. If two stimuli are presented (i.e., two cups, set size = 2), the  
186 scenario in which the number of resource chunks is larger than the set size, two resource  
187 chunks are assigned to one cup and another chunk to the other cup. If the number of  
188 resources is smaller than the set size (e.g., four stimuli/cups), one cup will receive no  
189 resource, and the subject has to guess if this stimulus/cup is probed. The key difference  
190 between the SA model and the three models below is that the SA model assumes discrete  
191 resource chunks.

192 The cosSA model is a modified version of the SA model with three major changes  
193 <sup>8</sup>. First, the unit memory precision is stimulus-dependent and follows a periodic function  
194 (see Eq. S16 and Fig. S1D). Second, it also includes a response bias that is also stimulus-  
195 dependent and periodic (see Eq. S17 above and Fig. S1D). Third, for simplicity it does  
196 not include the response variability and only includes one uncertainty (i.g., encoding  
197 precision) in the processing.

198

199 **Equal-precision, variable-precision and variable-precision-with-capacity models.**  
200 The EP, VP and VPcap models share one core assumption: memory resources are  
201 continuous, analogous to the amount of juice in a big mug (Supplementary Fig. 1E). A  
202 subject needs to assign the juice (i.e., resources) into different cups (i.e., stimuli). In  
203 Supplementary Fig. 1E, the orange cups stand for the mean juice amount an individual

204 cup receives in each set size condition. We can imagine that, given the total amount of  
205 juice is fixed, the more cups (i.e., larger set size) the less juice on average each cup will  
206 receive. This is reflected by the diminishing average amount of juice as set size increases  
207 (also see Eq. S1).

208 Besides the core assumption of continuous resources, the three models have  
209 slightly different specifications (Supplementary Fig. 1F). In Supplementary Fig. 1F, all  
210 orange cups stand for the mean juice amount in each set size condition, and the blue cups  
211 stand for individual stimulus. The EP model assumes that in each set size condition, each  
212 cup receives an identical amount of juice (upper row in Supplementary Fig. 1F). In the  
213 VP model, however, each cup receives a variable amount of juice even though their  
214 average amount is the same as in the EP model. Using two cups as an example, the  
215 average amount of juice might be 10 ml but one cup might have 9 ml and the other one  
216 has 11 ml. Whether the amount of juice in each cup varies is the key difference between  
217 the EP and the VP models. Moreover, both EP and VP models do not constrain the total  
218 number of cups. Therefore, a cup will more or less receive a little bit juice even though  
219 there is a large number of cups (middle row). In other words, both the EP and the VP  
220 models have no concept of capacity. In contrast, the VPcap model not only inherits the  
221 assumption of variable precision and but also constraints the maximal number of cups  
222 (i.e., capacity  $K$ ) that can receive juice. If the total number of cups (i.e.,  $N$  stimuli) is  
223 larger than the capacity  $K$ , some cups will receive no juice, and the subject has to guess  
224 the color of these stimuli.

225

### 226 **Supplementary Note 3: Model fitting and comparisons**

227 **Model fitting.** The BADS optimization toolbox in MATLAB <sup>9</sup> was used to search the  
228 best-fit parameters that maximize the likelihood of response data in all trials. BADS has  
229 been shown to outperform other default nonlinear optimization algorithms in MATLAB,  
230 especially in the problems where gradients on loss function are not available or hard to  
231 compute <sup>9</sup>. We fit all models separately in each participant. To avoid local minima, we  
232 repeated the optimization process with 20 different initial seeds that are equally spaced  
233 within a lower and an upper bound. Parameters bounds were set to be very broad to avoid

234 bias. The parameters with the maximum likelihood value were used as the best-fit  
235 parameters for one subject.

236

237 **Model comparisons.** We compared the performance of all models fitted in this study.  
238 Model comparisons were performed for both groups using both Akaike information  
239 criterion (AIC) and Bayesian information criterion (BIC)<sup>10,11</sup> metrics (Supplementary  
240 Fig. 1). We derived the best model for each subject. Results showed that the VP model  
241 outperformed all other models over 84% of subjects in both groups under both AIC and  
242 BIC (Supplementary Fig. 2). Particularly, the VP model is the best-fitting model in 51 out  
243 of 61 (84%) HC and in 55 out of 60 SZ (92%) under the AIC. Using the BIC, the VP  
244 model is the best-fitting model in 52 out of 61 HC (85%) and 54 out of 60 (90%) SZ.  
245 These results strongly support the idea that the VP model assuming no fixed capacity  
246 better explains the VWM behavior. This result also questions the conventional theory  
247 whether capacity acts as a key determinant of limiting VWM performance in SZ.

248 One might argue that the SA, cosSA, and MIX models were worse than the VP  
249 model because AIC and BIC overly penalize the capacity parameter  $K$  while this  
250 parameter may not substantially improve goodness of fitting because of low set size  
251 levels (i.e., 1/3) used here. To exclude this possibility, we further compared the SA, cos  
252 SA, and MIX models to the VP model using AIC and BIC metric but without considering  
253 the capacity  $K$ — that is, we kept the likelihood of the model fitting with  $K$  but calculated  
254 AIC and BIC without  $K$ . In this case, the models fully enjoyed the potential benefits  
255 endowed by  $K$  in modeling fitting but avoided overly penalizing this additional parameter.  
256 Results showed that the VP model was still the best-fitting model in the majority of  
257 subjects in both groups and under both metrics (AIC, 51 out of 61 in the HC group and  
258 43 out of 60 in the SZ group; BIC, 52 out of 61 in the HC group and 45 out of 60 in the  
259 SZ group).

260

#### 261 **Supplementary Note 4: Results of other suboptimal models**

262 **Fitted parameters of the VPcap model.** The VPcap model is a variant of the VP model  
263 and incorporates an additional capacity parameter. Estimated parameters in the VPcap  
264 model largely replicated the results of the VP model (Supplementary Fig. 3). Again, SZ

265 have larger resource allocation variability than HC (Supplementary Fig. 3B,  $t(119) =$   
266  $3.891$ ,  $p = 1.65 \times 10^{-4}$ ,  $d = 0.707$ ) and the two groups did not significantly differ in the  
267 resource decay function (Supplementary Fig. 3A, initial resources,  $t(119) = 0.012$ ,  $p =$   
268  $0.990$ ,  $d = 0.002$ ; decaying exponent,  $t(119) = 1.142$ ,  $p = 0.256$ ,  $d = 0.208$ ). We observed  
269 a significant larger choice variability in HC (Supplementary Fig. 3C, choice variability,  
270  $t(119) = 2.365$ ,  $p = 0.02$ ,  $d = 0.43$ ). Most importantly, the estimated capacity values of  
271 two groups were statistically comparable (Supplementary Fig. 3D,  $t(119) = 0.459$ ,  $p =$   
272  $0.647$ ,  $d = 0.083$ ).

273  
274 **Comparing capacity of the two groups in suboptimal models.** We further investigated  
275 the estimated capacity of all subjects in the IL, the SA, the cosSA, the MIX and the  
276 VPcap model, the four models having the capacity parameter. We found no significant  
277 group difference in capacity measured by all five models (Supplementary Fig. 4, IL  
278 model,  $t(119) = 1.554$ ,  $p = 0.123$ ,  $d = 0.283$ ; SA model,  $t(119) = 1.03$ ,  $p = 0.306$ ,  $d =$   
279  $0.187$ ; cosSA model,  $t(119) = 0.235$ ,  $p = 0.815$ ,  $d = 0.043$ ; MIX model,  $t(119) = 0.273$ ,  $p$   
280  $= 0.786$ ,  $d = 0.050$ ; VPcap model,  $t(119) = 0.459$ ,  $p = 0.647$ ,  $d = 0.083$ ).

281

## 282 **Supplementary Note 5: Color perception task**

283 **Color perception task.** Before the main VWM task, all subjects completed a task to  
284 measure their color perception ability. The task is identical to the VWM task except for  
285 two modifications. First, only one colored object was shown in the sample array. Second,  
286 in the probe array, the colored object appeared again on the screen. A subject needed to  
287 choose its color on the color wheel while looking at it. There was 1 block with 50 trials in  
288 this task.

289

290 **Color perception results between HC and SZ.** We used the circular standard deviation  
291 (CSD) of response errors (the circular distance between the original color and chosen  
292 color in a trial) to evaluate the performance in the color task. A significant group  
293 difference was found ( $t(119) = -2.095$ ,  $p = 0.038$ ,  $d = -0.38$ ), suggesting in general worse  
294 color perception in SZ. But this result might also be explained by potential differences in  
295 choice variability (e.g., motor control). To exclude the potential confounding of color

296 perception, we further set CSD from the color perception as a co-variate and repeat all  
297 statistical analyses (see below).

298

299 **Supplementary Note 6: Statistical results with the CSD in the color perception task**  
300 **as a covariate.**

301 **VWM performance.** We added the CSD in the color perception task as a co-variate to  
302 VWM performance comparison of two groups. The repeated-measure ANCOVA (see the  
303 main text for details of variables) results again showed a worse VWM performance at  
304 higher set size level ( $F(1,119) = 100.676$ ,  $p < 0.001$ , partial  $\eta^2 = 0.46$ ). The group was  
305 also significant ( $F(1,119) = 8.902$ ,  $p = 0.003$ , partial  $\eta^2 = 0.070$ ), indicating that HC's  
306 performance was better than SZ's. The interaction between set size and group was not  
307 significant ( $F(1,119) = 0.324$ ,  $p = 0.570$ , partial  $\eta^2 = 0.003$ ). Also, the color perception  
308 ability had no influence on VWM performance ( $F(1,119) = 0.285$ ,  $p = 0.595$ , partial  $\eta^2 =$   
309  $0.002$ ). These results replicated the results from the main text.

310

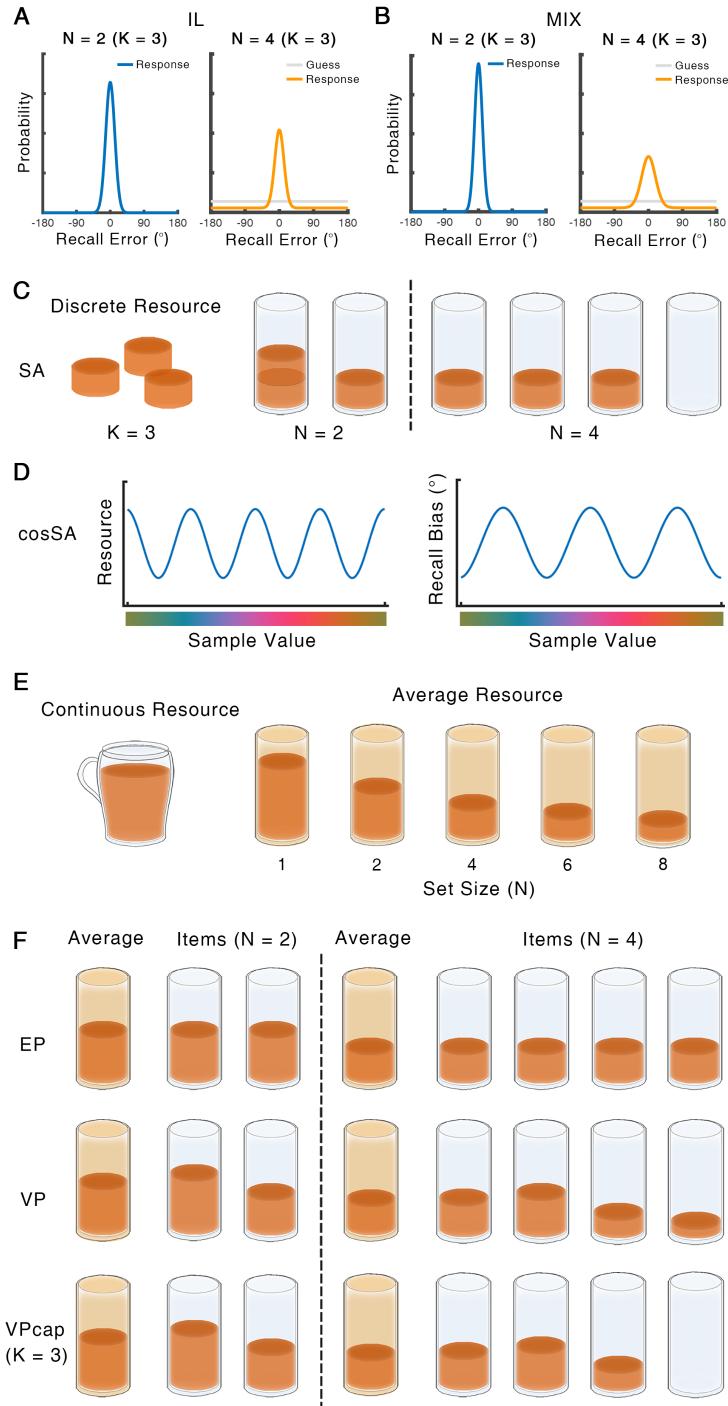
311 **Fitted parameters of the VP model.** Univariate general linear models were used for  
312 comparing fitted parameters between the two groups. We regressed out the factor of color  
313 perception by setting. Same as results in the main text (Fig. 5), comparable resource  
314 decay functions (Fig. 5A, initial resources,  $F(1,119) = 0.376$ ,  $p = 0.541$ , partial  $\eta^2 =$   
315  $0.003$ ; decaying exponent,  $F(1,119) = 0.573$ ,  $p = 0.451$ , partial  $\eta^2 = 0.005$ ) and choice  
316 variability (Fig. 5C,  $F(1,119) = 1.702$ ,  $p = 0.195$ , partial  $\eta^2 = 0.014$ ) between SZ and HC  
317 were found in this analysis. And SZ showed larger variability in allocating resources  
318 (resource allocation variability,  $F(1,119) = 15.112$ ,  $p < 0.001$ , partial  $\eta^2 = 0.114$ ).

319

320 **Fitted parameters of the VPcap model.** The two groups did not show significant  
321 differences in the resource decay function (initial resources,  $F(1,119) = 0.557$ ,  $p = 0.457$ ,  
322 partial  $\eta^2 = 0.005$ ; decaying exponent  $F(1,119) = 2.097$ ,  $p = 0.150$ , partial  $\eta^2 = 0.017$ ).  
323 SZ had larger resource allocation variability ( $F(1,119) = 11.490$ ,  $p = 0.001$ , partial  $\eta^2 =$   
324  $0.089$ ) and smaller choice variability  $F(1,119) = 5.616$ ,  $p = 0.019$ , partial  $\eta^2 = 0.045$ ) than

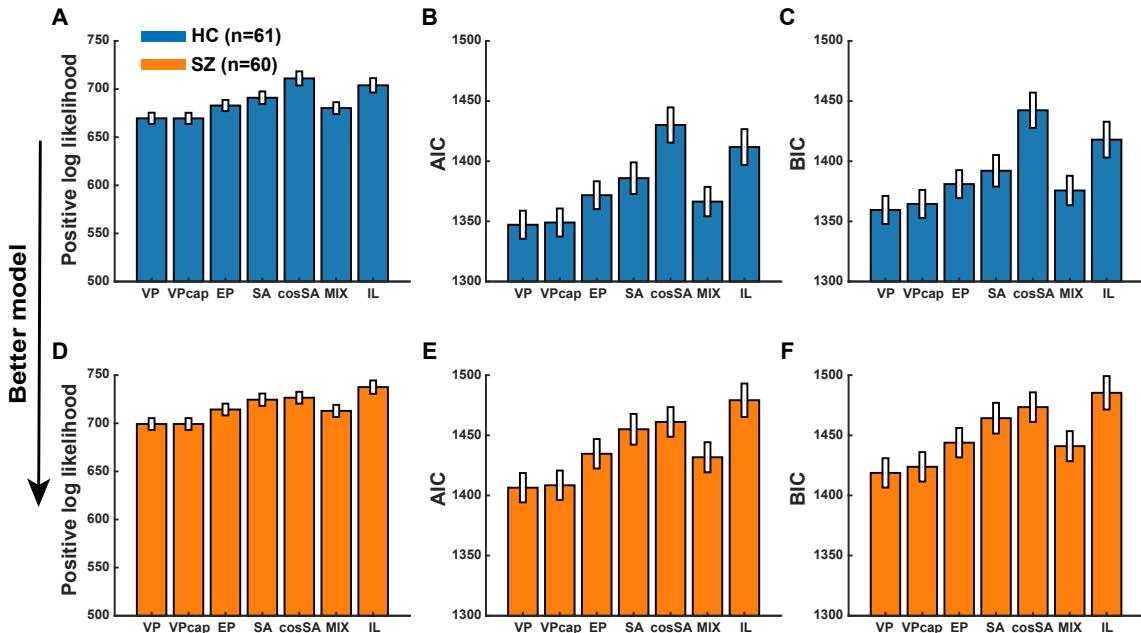
325 HC. The estimated capacity values of two groups were statistically comparable  
326 (Supplementary Fig. 2D,  $F(1,119) = 0.175$ ,  $p = 0.667$ , partial  $\eta^2 = 0.001$ ).

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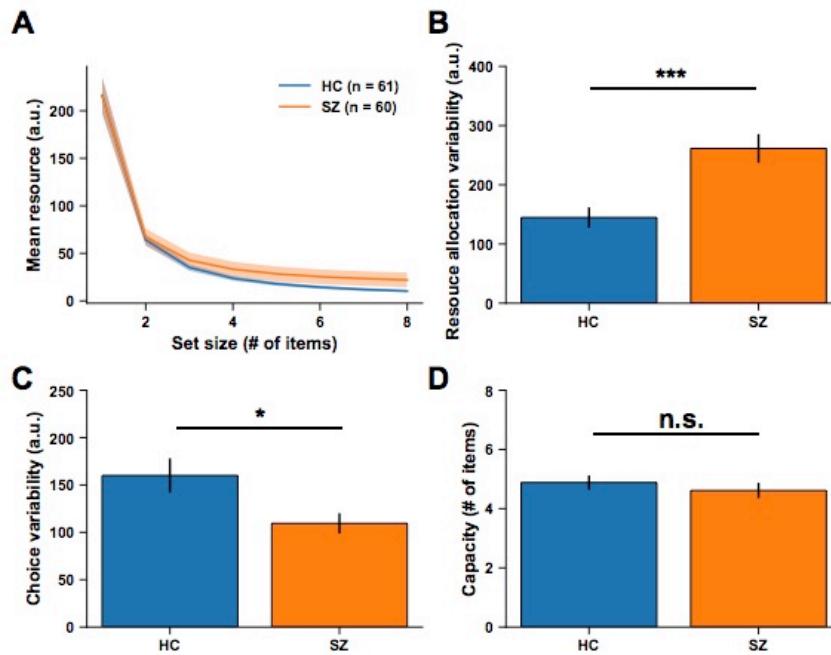
Supplementary Figure 1. Cartoon illustration of all computational models considered in this study. This figure aims to aid an intuitive understanding of the models. Detailed model explanation to Supplementary Note 2. **A.** item-limit model; **B.** MIX model; **C.** the principle of discrete slots and the SA model; **D.** cosSA model; **E.** the principle of continuous resources; **F.** EP, VP, and VPcap models.



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Supplementary Figure 2. Positive log-likelihood (panels A, D), AIC (panels B, E) and BIC (panels C, F) values for all models. Note that here we display the positive log-likelihood values to help visually compare models since maximum negative log-likelihood values are equivalent to minimum positive log-likelihood values. As such, in all panels a lower y-axis value indicates a better model. The upper (panels A-C) and lower (panels D-F) rows depict the model comparison results for HC and SZ respectively. The best-fitting model is the VP model for both groups (also see Fig. 3 in the main text).

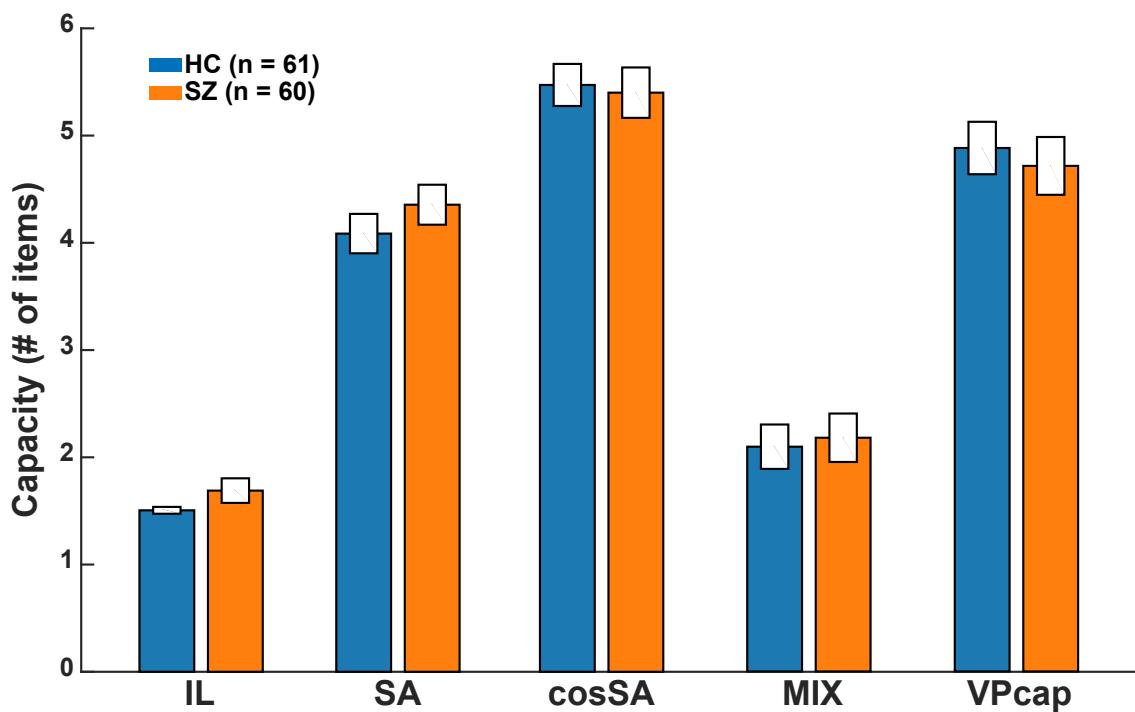
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Supplementary Figure 3. Fitted parameters (panel A: resource decay functions; panel B: resource allocation variability; panel C: choice variability; panel D: capacity) of the VPcap model. The results replicate the results in Fig. 4. Furthermore, this model estimates capacity in individual subjects and the result show that the two groups have a comparable capacity (panel D). All error bars are  $\pm$  SEM across subjects. Other figure captions are the same as in Fig. 4 in the main text. Significance symbol conventions are \*:  $p < 0.05$ ; \*\*\*:  $p < 0.001$ ; n.s.: non-significant.

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Supplementary Figure 4. The capacity of the two groups measured by five suboptimal models. None of the five models reveal the significant group differences in capacity. These results directly challenge the conventional decreased-capacity account of SZ. All error bars are  $\pm$  SEM across subjects.

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