

Title: Shared expression of Crassulacean acid metabolism (CAM) genes predates the origin of CAM in the genus *Yucca*.

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Title: Shared expression of Crassulacean acid metabolism (CAM) genes predates the origin of CAM in the genus *Yucca*.

Running title: Shared gene expression in C₃ and CAM *Yucca* species

Highlight: Although large differences in metabolism exist between C₃ and CAM species, we find that many CAM genes have shared expression patterns regardless of photosynthetic pathway, suggesting ancestral propensity for CAM.

Abstract: Crassulacean acid metabolism (CAM) is a carbon-concentrating mechanism that has evolved numerous times across flowering plants and is thought to be an adaptation to water limited environments. CAM has been investigated from physiological and biochemical perspectives, but little is known about how plants evolve from C₃ to CAM at the genetic or metabolic level. Here we take a comparative approach in analyzing time-course data of C₃, CAM, and C₃+CAM intermediate *Yucca* (Asparagaceae) species. RNA samples were collected over a 24-hour period from both well-watered and drought-stressed plants and were clustered based on time-dependent expression patterns. Metabolomic data reveals differences in carbohydrate metabolism and antioxidant response between the CAM and C₃ species, suggesting changes to metabolic pathways are important for CAM evolution and function. However, all three species share expression profiles of canonical CAM pathway genes, regardless of photosynthetic pathway. Despite differences in transcript and metabolite profiles between the C₃ and CAM species, shared time-structured expression of CAM genes in both CAM and C₃ *Yucca* species suggests ancestral expression patterns required for CAM may have predicated its origin in *Yucca*.

Key words: antioxidant, carbohydrates, hybrid, metabolomics, photosynthesis, RNA-seq, transcriptome, *Yucca*

1 **Introduction**

2 Crassulacean acid metabolism (CAM) is a carbon concentrating mechanism that can
3 reduce photorespiration and enhance water use efficiency relative to plants that rely solely on the
4 C₃ photosynthetic pathway. In CAM plants, stomata are open for gas exchange at night, when
5 transpiration rates are lower, and incoming CO₂ is initially fixed by phosphoenolpyruvate
6 carboxylase (PEPC) rather than RuBisCO. Carbon is temporarily stored as malic acid within the
7 vacuole, and during the day stomata close and the malic acid is decarboxylated in the cytosol,
8 ultimately resulting in high concentrations of CO₂ around RuBisCO. The extra steps of CAM –
9 carboxylation of PEP, decarboxylation of malic acid, transport into and out of the vacuole –
10 come with extra energetic costs relative to C₃ photosynthesis, but CAM plants have the
11 advantage of acquiring carbon with increased water use efficiency (WUE). In addition, RuBisCO
12 is able to act more efficiently with a high concentration of CO₂ and the risk of photorespiration is
13 thought to be significantly minimized (Cushman and Bohnert, 1997; Schulze *et al.*, 2013). CAM
14 plants are therefore adapted to habitats where water stress is unavoidable and where the energetic
15 cost of CAM is offset by reduced photorespiration under water limited conditions. CAM has
16 evolved at least 35 independent times in flowering plants (Silvera *et al.*, 2010), thus making it a
17 remarkable example of convergent evolution of a complex trait.

18 CAM has been studied from a biochemical standpoint for decades, and much is known
19 about the carbohydrate turnover, starch cycling, and enzymatic machinery of CAM plants
20 (Cushman and Bohnert, 1997; Chen *et al.*, 2002; Dodd *et al.*, 2002). Additionally, physiological
21 studies of CAM plants have revealed the importance of succulence and large cells (Kluge and
22 Ting, 1978; Nelson *et al.*, 2005; Nelson and Sage, 2008; Zambrano *et al.*, 2014). In terms of the
23 basic machinery required for CAM, carbonic anhydrase (CA) aids in the conversion of CO₂ to
24 HCO₃⁻ at night (Fig. 1A). PEPC fixes the carbon from CA into oxaloacetate (OAA), but its
25 activity is regulated by a dedicated kinase, PEPC kinase (PPCK). Phosphorylated PEPC is able
26 to fix carbon in the presence of its downstream product, malate, whereas the un-phosphorylated
27 form is sensitive to malate (Nimmo, 2000; Taybi *et al.*, 2000). As day approaches, PPCK is
28 down-regulated by a combination of two mechanisms: circadian regulation (Carter *et al.*, 1991;
29 Hartwell *et al.*, 1996) or through metabolite control of transcription which results from elevation
30 of cytosolic malate (Borland *et al.*, 1999). During the day, the stored malic acid exits the vacuole
31 and is decarboxylated by either phosphoenolpyruvate carboxykinase (PEPCK) and/or

32 NADP/NAD-malic enzyme, depending on CAM species (Holtum and Osmond, 1981).
33 NADP/NAD-ME CAM plants additionally have high levels of PPDK, which converts pyruvate
34 to PEP. This final step is important for CAM plants, as can be further used to generate
35 carbohydrates and is also required for CO₂ fixation during the following night.

36 A daily turnover of sugars or starch for PEP generation is a defining characteristic of
37 CAM plants. Carbohydrates that are laid down during the day must be broken down to PEP at
38 night to provide substrate for CO₂ fixation via PEPC. The nocturnal demand for PEP represents a
39 significant sink for carbohydrates which CAM plants must balance with partitioning of
40 carbohydrates for growth and maintenance (Borland *et al.*, 2016). The interplay between
41 carbohydrate metabolism and CAM is clearly an important regulatory mechanism; previous
42 work has shown that plants with reduced carbohydrate degradation have decreased CAM
43 function at night (Dodd *et al.*, 2003; Cushman *et al.*, 2008a). The evolution of temporally
44 integrated carbon metabolism in CAM plants presumably involves rewiring of gene regulatory
45 networks to link these processes with the circadian clock. Although timing of photosynthetic
46 gene expression is to some degree circadian controlled in both C₃ and CAM species, the links
47 between metabolism genes in CAM and circadian clock oscillators may be stronger (Hartwell,
48 2005; Dever *et al.*, 2015).

49 CAM is typically described as a complex phenotype largely because of its role in central
50 metabolism, and therefore its recurrent evolution is considered a remarkable and textbook
51 example of convergence. Yet the frequent transitions to CAM across plants suggests that the
52 evolutionary trajectory from C₃ to CAM may not be that difficult. For example, recent work has
53 suggested that increasing flux through existing pathways in C₃ species may be enough to trigger
54 low-level CAM under some scenarios (Bräutigam *et al.*, 2017), and comparative genomics
55 suggest that re-wiring of pathways, rather than creating them *de novo*, likely underpins the
56 transition to CAM (Yin *et al.*, 2018). To add to the difficulty of elucidating a mechanistic
57 understanding of CAM evolution, the CAM phenotype is more accurately represented as a
58 continuum, where plants can be C₃, CAM, or a combination of both pathways called "weak"
59 CAM (hereafter, C₃+CAM) (Silvera *et al.*, 2010; Winter *et al.*, 2015). C₃+CAM plants should
60 exhibit mixed phenotypes at both physiological and genomic scales, and are potentially powerful
61 systems for exploring the transition from C₃ to CAM.

62 Our understanding of the genetics and genome structure of CAM has come

63 predominantly from studies that involve comparisons between C₃ and CAM tissues sampled
64 from evolutionarily distant species, or from samples taken from one species under different age
65 or environmental conditions (Taybi *et al.*, 2004; Cushman *et al.*, 2008b; Gross *et al.*, 2013;
66 Brilhaus *et al.*, 2016) (but see (Heyduk *et al.*, 2017)). Recent studies have profiled gene
67 expression before and after CAM induction in *Mesembryanthemum crystallinum* (Cushman *et*
68 *al.*, 2008b) and in *Talinum* (Brilhaus *et al.*, 2016). These studies, together with comparison of
69 transcript abundance profiles in photosynthetic (green) and non-photosynthetic (white) parts of
70 pineapple (*Ananas comusus*) leaf blades have also provided insights into the regulation of
71 canonical CAM genes (Zhang *et al.*, 2014; Ming *et al.*, 2015). However, RNA-seq of closely
72 related C₃ and CAM species, as well as intermediate C₃+CAM lineages, are lacking.

73 In this study, we compared transcript profiles among three closely related *Yucca*
74 (Agavoideae, Asparagaceae) species with contrasting photosynthetic pathways: *Y. aloifolia*
75 consistently has nighttime uptake of CO₂ with concomitant malic acid accumulation in leaf
76 tissue, as well as anatomical characteristics indicative of CAM function; *Y. filamentosa* has
77 typical C₃ leaf anatomy and showed no positive net CO₂ uptake or malic acid accumulation at
78 night; *Y. gloriosa*, a hybrid species derived from *Y. aloifolia* and *Y. filamentosa*, acquires most of
79 its CO₂ from the atmosphere through C₃ photosynthesis during the day with low-level CO₂
80 uptake at night, but when drought stressed transitions to 100% nighttime carbon uptake (Heyduk
81 *et al.*, 2016). *Yucca gloriosa*'s leaf anatomy is intermediate between the two parental species,
82 and to some extent may limit the degree of CAM it can employ (Heyduk *et al.*, 2016). Clones of
83 all three species (Supplemental Table S1) were grown in a common garden setting under both
84 well-watered and drought stressed conditions and were sampled for gene expression and
85 metabolomics over a 24-hour diel cycle.

86 Materials and Methods

87 Plant material and RNA sequencing

88 RNA was collected during experiments described in (Heyduk *et al.*, 2016). Briefly,
89 clones of the three species of *Yucca* were acclimated to growth chambers with a day/night
90 temperature of 30/17°C and 30% humidity in ~3L pots filled with a 60:40 mix of soil:sand.
91 Photoperiod was a 12 hour day, with lights on at 8 a.m and a light intensity of ~380 μmol m⁻² s⁻¹
92 at leaf level. One clone was kept well-watered for 10 days while the second clone was subjected
93 to drought stress via dry down beginning after the end of day 1. Clones of a genotype were

94 randomly assigned to watered and drought treatment. On the 7th day of the experiment, after
95 plants had water withheld for the five previous days, RNA was sampled every four hours,
96 beginning one hour after lights turned on, for a total of 6 time points; very old and very young
97 leaves were avoided, and samples were taken from the mid-section of the leaf blade from leaves
98 that were not shaded. Sampling per species was biologically replicated via 3-4 genotypes per
99 species, for a final design of 3-4 genotypes x 2 treatments x 3 species per time point sampled
100 (Supplemental Table S1). Genotypes from the three species were randomly assigned to three
101 different growth chamber experiments conducted in July 2014, October 2014, and February
102 2015. Well-watered and drought stressed clonal pairs were measured in the same experimental
103 month. RNA was isolated from a total of 130 samples (n=36, 47, and 47 for *Y. aloifolia*, *Y.*
104 *filamentosa*, and *Y. gloriosa*, respectively) using Qiagen's RNeasy mini kit (www.qiagen.com).
105 DNA was removed from RNA samples with Ambion's Turbo DNase, then assessed for quality
106 on an Agilent Bioanalyzer 2100. RNA libraries were constructed using Kapa Biosystem's
107 stranded mRNA kit and a dual-index barcoding scheme. Libraries were quantified via qPCR then
108 randomly combined into 4 pools of 30-36 libraries for PE75 sequencing on the NextSeq 500 at
109 the Georgia Genomics Facility.

110 *Assembly and read mapping*

111 Reads were cleaned using Trimmomatic (Bolger *et al.*, 2014) to remove adapter
112 sequences, as well as low-quality bases and reads less than 40bp. After cleaning and retaining
113 only paired reads, *Y. aloifolia* had 439,504,093 pairs of reads, *Y. filamentosa* had 675,702,853
114 pairs of reads, and *Y. gloriosa* had 668,870,164 pairs. Due to the sheer number of reads for each
115 species, a subset was used to construct reference assemblies for each species (14% of total reads,
116 or about 83 million read pairs per species)(Haas *et al.*, 2013). Trinity v. 2.0.6 (Grabherr *et al.*,
117 2011) was used for digital normalization as well as assembly. The full set of reads from each
118 species library were mapped back to that species' transcriptome assembly with Bowtie v. 2
119 (Langmead *et al.*, 2009); read mapping information was then used to calculate transcript
120 abundance metrics in RSEM v.1.2.7 (Li and Dewey, 2011; Haas *et al.*, 2013). Trinity transcripts
121 that had a calculated FPKM < 1 were removed, and an isoform from a component was discarded
122 if less than 25% of the total component reads mapped to it.

123 To further simplify the assemblies and remove assembly artifacts and incompletely
124 processed RNA reads, the filtered set of transcripts for each species was independently sorted

125 into orthogroups, or inferred gene families, that were circumscribed using OrthoFinder (Emms
126 and Kelly, 2015) clustering of 14 sequenced genomes (*Brachypodium distachyon*, *Phalaenopsis*
127 *equestris*, *Oryza sativa*, *Musa acuminata*, *Asparagus officinalis*, *Ananas comosus*, *Elaeis*
128 *guiensis*, *Acorus americanus*, *Sorghum bicolor*, *Vitis vinifera*, *Arabidopsis thaliana*, *Carica*
129 *papaya*, *Solanum lycopersicum*, and *Amborella tricopoda*). First, transcripts were passed through
130 Transdecoder (<http://transdecoder.github.io/>), which searches for open reading frames in
131 assembled RNA-sequencing data. Transdecoder reading frame coding sequences for each species
132 were individually matched to a protein database derived from gene models from the monocot
133 genome dataset using BLASTx (Altschul *et al.*, 1990). Best hit for each query sequence was
134 retained and used to sort the *Yucca* transcript into the same orthogroup as the query sequence.
135 Assembled *Yucca* sequences were further filtered to retain only putatively full length sequences;
136 *Yucca* transcripts that were shorter than the minimum length of an orthogroup were removed.
137 Transdecoder produces multiple reading frames per transcript, so only the longest was retained.
138 Scripts for orthogroup sorting and length filtering are available at
139 www.github.com/kheyduk/RNAseq/orthoSort. Read counts for the final set of orthogrouped
140 transcripts were re-calculated using Bowtie and RSEM and analyzed in EdgeR (Robinson *et al.*,
141 2010) in R 3.2.3, using TMM normalization.

142 To assess variation between genotypes sequenced, SNPs were calculated from the
143 RNAseq data by mapping reads from each genotype of all species to the *Y. aloifolia*
144 transcriptome, which is the least heterozygous of the three species. SNPs were compiled using
145 the *mpileup* command of samtools, followed by filtering in using bcfutils. SNP positions had to
146 have coverage between 8 and 1000, and have at least 2 alleles to be included. Indels were
147 ignored. Similarity between genotypes and species was assessed via PCA method using the
148 SNPRelate (Zheng *et al.*, 2012) package in R 3.2.3.

149 *Expression analysis of differentially expressed genes*

150 In a given *Yucca* species, all libraries were separated by time point; within each time
151 point, quantile adjusted conditional maximum likelihood via EdgeR (“classic mode”) was used
152 to find the number of up and down regulated genes in response to drought stress, using a p-value
153 cutoff of 0.05 and adjusting for multiple testing with a Holm-Bonferroni correction. Gene
154 Ontology annotations for individual genes were obtained from the TAIR10 ontology of each
155 gene family’s *Arabidopsis* members. GO enrichment tests were conducted for each time point

156 per species, comparing GO categories of DE genes in well-watered vs. drought-stressed
157 treatments, using a hypergeometric test within the phyper base function in R 3.2.3.

158 *Temporal profile clustering of gene expression*

159 To assess larger patterns in the expression data while taking into account temporal
160 patterns across time points, we employed maSigPro (Conesa *et al.*, 2006), using options for read
161 count data (Nueda *et al.*, 2014). maSigPro is a two-step algorithm for profile clustering; the first
162 step involves finding transcripts with non-flat time series profiles by testing generalized linear
163 models with time and treatment factors (using a negative binomial error distribution) against a
164 model with only an intercept ($y \sim 1$); the second step involves assessing the goodness of fit for
165 every transcript's regression model and assessing treatment effects. This two-step method is
166 advantageous in that it rapidly reduces a large number of transcripts to only those that show
167 significant variation across time, and it also readily allows users to select transcripts that have a
168 clear expression profile (as assessed by goodness of fit of the model). For the *Yucca* data, gene
169 regression models were initially computed on transcripts mapped per million (TMM) normalized
170 read counts with a Bonferroni-Holm corrected significance level of 0.05. Gene models were then
171 assessed for goodness of fit via the `T.fit()` function, which produces a list of influential genes
172 whose gene models are being heavily influenced by a few data points (in this case, samples).
173 Those genes were removed, and regression models and fit were re-calculated. Genes with
174 significant treatment effects can either have a) different regression coefficients for the two
175 treatments or b) different intercepts (i.e., magnitude of expression) between the two treatments.
176 To cluster transcripts with similar profiles, we employed fuzzy clustering via the “mfuzz” option
177 in maSigPro. The clustering steps require a user-defined value for k number of clusters. We
178 assessed the optimal number of clusters for each species' data by examining the within group
179 sum of squares for $k=1:20$ clusters. A k was chosen where the plot has a bend or elbow, typically
180 just before the group sum of squares levels off for higher values of k . A k of 9, 12, and 15 was
181 used for *Y. aloifolia*, *Y. gloriosa*, and *Y. filamentosa*, respectively. To estimate m , the
182 “fuzzification parameter” for fuzzy clustering, we employed the `mestimate()` function in the
183 `Mfuzz` package. m of 1.06, 1.05, and 1.05 was estimated for *Y. aloifolia*, *Y. gloriosa*, and *Y.*
184 *filamentosa*.

185 By default, the clustering steps in maSigPro are run on genes that are not only significant
186 with regards to temporal expression (non-flat profiles across time), but also only on the subset of

187 genes that are significantly different between treatments. We modified the code for the
188 `see.genes()` function to fuzzy cluster all transcripts that had non-flat profiles, regardless of
189 whether they showed a significant change in expression as a result of drought stress. Afterwards,
190 we found transcripts that were significantly different between treatments with an R-squared cut
191 off of 0.7. The modified code for the `see.genes()` function, as well as detailed guide to the steps
192 taken for this analysis, are available at www.github.com/kheyduk/RNAseq.

193 For genes of interest, additional tests were done to assess whether species differed
194 significantly in their temporal pattern of expression. Because count data cannot be accurately
195 compared between species, we instead used TPM values. For each gene family of interest, we
196 selected a single transcript per species, typically one that was highest expressed and had time-
197 structured expression as determined by maSigPro. TPM values were scaled within each species'
198 transcript, separately for well-watered and drought-stressed libraries, by the maximum TPM
199 value. All TPM values for each gene for each treatment had a polynomial model fit with
200 degree=5 without distinguishing species, and a second polynomial model that included species as
201 a factor. Using ANOVA, we compared the fits of the two models. For genes that had a
202 significantly better fit when species was treated as a factor, we report the t-statistic and p-value
203 for the species that had a significant coefficient.

204 *Gene annotation and gene tree estimation*

205 All transcripts were first annotated by their membership in gene families; gene family
206 annotations were based on the *Arabidopsis* sequences that belong to the gene family, using
207 TAIR10 annotations. To address homology of transcripts across species, gene trees were
208 constructed from protein-coding sequences of gene families of interest, and included *Yucca*
209 transcript sequences as well as the 14 angiosperm sequenced genomes. Nucleotide sequences
210 were first aligned via PASTA (Mirarab *et al.*, 2014). Gene trees were estimated via RAxML
211 (Stamatakis, 2006) using 200 bootstrap replicates and GTRGAMMA nucleotide model of
212 substitution.

213 *Metabolomics*

214 Samples for starch and metabolomics were collected from a separate experiment
215 conducted in February 2017 at the University of Georgia greenhouses. Growth conditions in the
216 chamber were identical to conditions used when harvesting tissue for RNA-Seq (above), and
217 plants used were the same genotype, but not the same clone, as for RNA-Seq. As this was only a

218 preliminary analysis, samples for starch and metabolites were collected only for the parental
219 species, and only under well-watered conditions. Samples were collected every 4 hours starting 1
220 hour after the lights turned on from 6 replicate plants per species; replicates were from different
221 genotypic backgrounds (see Supplemental Table S1). Gas exchange data was collected
222 concurrently to ensure plants were behaving as when RNA was collected previously
223 (Supplemental Figure S1). Tissue for starch was flash frozen in liquid N₂, then later dried in a
224 forced air oven. Samples were ground and 0.02 g was washed first with room temperature
225 acetone, then with 80% EtOH, and finally heated at 90C in 1% hydrochloric acid and centrifuged
226 to pellet any remaining tissue (Hansen and Moller, 1975; Oren *et al.*, 1988). A 1:40 dilution of
227 5% Lugol's iodine was added to starch extracts and measured in a spectrophotometer at 580
228 nm. Values were compared against a standard curve made from corn starch dissolved in 1%
229 hydrochloric acid.

230 Tissue for metabolic analysis was flash frozen in liquid N₂ then stored at -80°C until
231 samples were freeze-dried. A 1:1 mixture of MeOH and chloroform (400µL) was added to 10mg
232 of freeze-dried, ball-milled (Mini-beadbeater, Biospec products Bartlesville OK, USA) tissue
233 along with adonitol as an internal standard. Mixtures were sonicated for 30 minutes at 8-10°C,
234 equilibrated to room temperature, and polar metabolites recovered by liquid phase partitioning
235 after 200µL H₂O was added to the extract. Ten µL of the aqueous-methanol phase was dried and
236 derivatized for GCMS by adding 15µL methoxyamine hydrochloride and incubating at 30°C for
237 30 minutes, then by adding 30µL MSTFA and incubating at 60°C for 90 minutes. Derivatized
238 samples were analyzed via gas chromatography as in Frost *et al* (2012). Chromatograms were
239 deconvoluted using AnalyzerPro (SpectralWorks, Runcom, UK). Peak identities were based on
240 NIST08, Fiehnlib (Agilent Technologies, (Kind *et al.*, 2009)), and in-house mass spectral
241 libraries. Peak matching between samples was based on the best library match according to
242 AnalyzerPro and retention index (Jeong *et al.*, 2004). Initial metabolite peak calls were filtered
243 first by the confidence level of their best library match (>0.5) and then by raw peak area (>1000).
244 Filtered metabolite peak areas were then normalized based on adonitol peak areas. Standard
245 curves were run for ascorbate, sucrose, malic acid, and citric acid to determine absolute
246 concentrations in umol/g of dry weight (Supplemental Figure S5).

247 Normalized values were imported into R v. 3.3.3 and, where appropriate, multiple
248 metabolite peaks were summed to obtain a single value per metabolite. Time points 3 and 6 (last

249 day time point and last night time point) were removed from analysis due to errors in
250 derivitization steps. Remaining values were filtered for sample presence, retaining only
251 metabolites that were found in at least 25% of all samples. The resulting 217 metabolites were
252 imported into maSigPro, where we tested for time-structured expression using species as a
253 treatment in the design matrix, allowing for polynomials with degree=3, and using a
254 quasipoisson distribution in the glm model.

255 Data generated is available on NCBI's Short Read Archive (RNA-seq data, BioProject
256 #PRJNA413947), or at github.com/karohey/RNAseq_Yucca/C3-CAM (for count matrices and
257 metabolite data).

258 **Results**

259 *Photosynthetic phenotypes*

260 As described in previous work, *Y. aloifolia* conducts atmospheric CO₂ fixation at night
261 via CAM photosynthesis, while *Y. filamentosa* relies only on daytime CO₂ fixation and the C₃
262 cycle. *Yucca gloriosa*, a C₃-CAM intermediate species, uses mostly daytime CO₂ fixation with
263 low levels of nocturnal gas exchange under well-watered conditions, then relies solely on CAM
264 photosynthesis under drought stress (Fig. 1B). Gas exchange and titratable acidity measurements
265 shown in Fig. 1 are from prior work when RNA was sampled, though gas exchange patterns
266 were largely consistent in *Y. aloifolia* and *Y. filamentosa* during a second round of sampling for
267 metabolites (Supplemental Figure S1).

268 *Assembly and differential expression*

269 After filtering to remove low abundance transcripts (FPKM<1) and minor isoforms
270 (<25% total component expression), an average of 55k assembled transcripts remained per
271 species. Transcripts were then sorted into gene families (orthogroups) circumscribed by 14
272 sequenced plant genomes and removed if their length was shorter than the minimum length for a
273 gene family. Considering only transcripts that sorted into a gene family and had the proper
274 length, transcriptome sizes were reduced further: 19,399, 23,645, and 22,086 assembled
275 transcripts remained in *Y. aloifolia*, *Y. filamentosa*, and *Y. gloriosa*, respectively. SNPs showed
276 greater variation between species rather than among genotypes (Fig. 2A), although *Y.*
277 *filamentosa* exhibited more SNP variation among genotypes than the other two species. *Yucca*
278 *gloriosa* genotypes used in this study were found to be slightly more similar to *Y. aloifolia* than
279 *Y. filamentosa* based on PCA analysis of SNP distances; this is likely a consequence of choosing

280 *Y. gloriosa* accessions that showed a propensity for CAM (and therefore were potentially more
281 similar to *Y. aloifolia*) under drought stress for RNA-seq.

282 Differential expression analysis at each time point between well-watered and drought-
283 stressed samples showed distinct patterns in the three species (Fig. 2B). The effect of drought on
284 expression was greatest one hour after the start of the light period in the CAM species *Yucca*
285 *aloifolia*, but just before light in the C₃ species *Y. filamentosa*. *Yucca gloriosa* (C₃-CAM
286 intermediate) had near constant levels of differentially expressed transcripts across the entire
287 day/night cycle. Gene Ontology (GO) enrichment tests showed general processes, such as
288 metabolism and photosynthesis, as being commonly enriched in the differentially expressed
289 transcripts (Supplemental Table S2).

290 Transcripts of each species were classified as time structured if their expression across
291 time under either well-watered and drought conditions could be better described with a
292 polynomial regression, rather than a flat line, with significance-of-fit corrected for multiple tests.
293 There were 612, 749, and 635 transcription factor annotated transcripts with time-structured
294 expression profiles in *Y. aloifolia*, *Y. filamentosa*, and *Y. gloriosa*, respectively. Of those, 92, 62,
295 and 83 were differentially expressed in *Y. aloifolia*, *Y. filamentosa*, and *Y. gloriosa*, respectively,
296 under drought (Supplemental Table S3). Putative CAM pathway genes (Fig. 1) largely showed
297 the expected expression patterns in *Y. aloifolia*, and additionally all three species shared time-
298 structured gene expression patterns for some canonical CAM genes regardless of photosynthetic
299 pathway. In all three *Yuccas*, PEPC, its kinase PPCK (Fig. 3A and B), as well as decarboxylating
300 enzymes NAD/P-me, PPDK and, PEPCK showed time-structured expression (Supplemental
301 Figure S2). PEPC and PPCK (Fig. 3A and B) exhibited time-structured expression in all three
302 species, though they were only differentially expressed between well-watered and droughted
303 treatments in *Y. gloriosa*. Expression of PEPC in *Y. filamentosa* was much lower in terms of
304 TPM (transcripts per kilobase million), but had the same temporal pattern as both *Y. aloifolia* and
305 *Y. gloriosa* (well-watered: $F_{(12,46)} = 1.38$, $p < 0.212$; drought: $F_{(12,42)} = 0.53$, $p < 0.866$). In all 3
306 species, PPCK was most highly expressed at night (Fig. 3B), consistent with its role in activating
307 PEPC for dark carboxylation, and showed no difference in temporal expression across species
308 (well-watered: $F_{(12,46)} = 0.85$, $p < 0.605$; drought: $F_{(12,42)} = 1.21$, $p < 0.309$). Carbonic anhydrase
309 (CA), involved in conversion of CO₂ to HCO₃⁻, had only 3 transcripts that were temporally
310 structured in their expression in *Y. aloifolia*; two α -CA and one γ . In none of these cases did

311 expression increase at night as might be expected (Supplemental Figure S3).

312 *Metabolomics*

313 Of the 214 metabolites that were present in at least 25% of samples, 87 had a significant
314 fit to a polynomial regression line (Fig. 4), with 16 having significant differences in either
315 abundance or temporal regulation between the *Y. aloifolia* and *Y. filamentosa* ($R^2 > 0.5$)
316 (Supplemental Table S4). Starch degradation is one possible route CAM plants can use for the
317 nightly regeneration of PEP. Whilst starch content overall was comparable between the C₃ and
318 CAM species, there was no net dark depletion of starch in the CAM species, suggesting little
319 reliance on starch for nocturnal generation of PEP in the CAM Yucca (Fig. 5A). In contrast,
320 starch is degraded at night in the C₃ species and hybrid, with increased levels of α -glucan
321 phosphorylase (PHS), a gene responsible for phosphorolytic degradation of starch (Smith *et al.*,
322 2005; Borland *et al.*, 2016). Maltose, a starch-derived breakdown product, was substantially
323 elevated in the C₃ species compared to the CAM (Fig. 5B). The difference in maltose content
324 was reflected by higher expression of the maltose exporter MEX1 gene in *Y. filamentosa* (Fig.
325 5B). Malic acid had greater turnover in *Y. aloifolia*, and transcript abundance of malate
326 dehydrogenase (MDH), responsible for interconversion of malic acid and oxaloacetate (Fig. 5C),
327 was likewise higher in the CAM species.

328 An alternative source of carbohydrates for PEP can come from soluble sugars. Several
329 soluble sugars had higher abundance in *Y. filamentosa*, including fructose and glucose (Fig. 6A).
330 Fructose (but not glucose or sucrose) had a significant temporal difference between *Y. aloifolia*
331 and *Y. filamentosa* (Supplemental Figure S4), with concentrations in *Y. filamentosa* decreasing
332 during the dark period while concentrations in *Y. aloifolia* remained flat. Both species
333 accumulate similar amounts of sucrose (Fig. 6A), indicating no difference in the amount of
334 hexoses dedicated to sucrose production. There is a slight temporal change across the day-night
335 period in *Y. aloifolia*, but it was not significant based on polynomial regression analysis. Gene
336 expression also does not implicate conversion of hexose to triose phosphates as a mechanism for
337 generating differences in hexose concentrations: both *Y. aloifolia* and *Y. filamentosa* express
338 fructose 1,6-bisphosphate aldolase (FBA) at equal levels, although different gene copies are used
339 in *Y. aloifolia* vs. *Y. filamentosa* (Fig. 6A), and *Y. filamentosa* has a significantly different timing
340 of expression relative to *Y. aloifolia* under both well-watered ($F_{(12,46)} = -4.293$, $p = 8.99e-05$) and
341 drought-stressed conditions ($F_{(12,42)} = -6.79$, $p = 3.55e-08$). The different FBA paralogs

342 expressed in *Y. aloifolia* and *Y. gloriosa* compared to *Y. filamentosa* represent alternative
343 localizations; the FBA homolog expressed in *Y. filamentosa* has an *Arabidopsis* ortholog which
344 localizes to the chloroplast, while the copy expressed in *Y. aloifolia* and *Y. gloriosa* has cytosolic
345 *Arabidopsis* ortholog. FBA in the chloroplast is responsible for the production of metabolites for
346 starch synthesis, implicating starch synthesis and breakdown in *Y. filamentosa*, consistent with
347 this species' increase in maltose production. The cytosolic version found in the CAM and
348 C₃+CAM intermediate species is thought to be involved in glycolysis and gluconeogenesis.

349 Triose phosphates are too small to measure through GC-MS metabolomics methods, but
350 genes associated with interconversion of glyceraldehyde 3-phosphate and dihydroxyacetone
351 phosphate (triose phosphate isomerase, TPI) as well as genes involved in transport (triose
352 phosphate transporter, APE2) show higher expression in *Y. aloifolia* compared to both *Y.*
353 *filamentosa* and *Y. gloriosa* (Fig. 6B), though genes do not significantly differ in temporal
354 expression pattern based on post-hoc linear model tests. G3PDH (glyceraldehyde-3-phosphate
355 dehydrogenase), an enzyme involved in downstream branches of glycolysis, likewise has highest
356 expression in *Y. aloifolia*; accounting for species in the linear model of gene expression
357 significantly increases fit of the model under both well-watered ($F_{(12,46)} = 2.45$, $p < 0.015$) and
358 drought-stressed conditions ($F_{(12, 42)} = 4.94$, $p < 4.97e-5$).

359 Metabolites involved in reactive oxygen species (ROS) scavenging pathways also
360 showed large differences between *Y. aloifolia* and *Y. filamentosa*. Vitamin C, or ascorbic acid,
361 was present at much higher levels in *Y. aloifolia* (Fig. 7A), as was the oxidized form
362 dehydroascorbic acid (Fig. 7B). Neither had a temporal expression pattern, however, indicating
363 constant levels of both metabolites across the day-night period. Previous work has implicated
364 increases in ascorbic acid as a method for CAM plants to remove ROS produced by high
365 nocturnal respiration (Abraham *et al.*, 2016), but genes involved in mitochondrial respiration
366 (cytochrome-C, CYTC) are only slightly elevated in *Y. aloifolia* at night (Fig. 7C). The
367 biogenesis of ascorbate through galactono-gamma-lactone dehydrogenase (GLDH) does not
368 seem to differ between the two parental *Yucca* species either, based on gene expression (Fig.
369 7C).

370 Alternatively ROS might be produced from daytime activities, however whether or not
371 CAM plants reduce oxidative stress (via reduced photoinhibition, (Adams and Osmond, 1988;
372 Griffiths *et al.*, 1989; Pieters *et al.*, 2003)) or instead produce high levels of O₂ (from increased

373 electron transport behind closed stomata, (Niewiadomska and Borland, 2008)) remains unclear in
374 the literature. Regardless, the photorespiratory pathway has been proposed as a means of
375 protection from oxidative stress (Kozaki and Takeba, 1996), but reduced photorespiration is
376 thought to be a key benefit of CAM photosynthesis. Kinetic modeling of RuBisCO
377 oxygenase/carboxylase activity suggested CAM plants have either equivalent levels of
378 photorespiration to C₃ species or reduced by as much as 60% (Lüttege, 2010). An increase in
379 antioxidants like ascorbic acid would therefore be beneficial in CAM plants if photorespiration is
380 reduced relative to C₃ while O₂ and ROS are still produced at the same rate. In support of this
381 hypothesis, phosphoglycolate phosphatase (PGP) – a gene involved in the first step in breaking
382 down the photorespiratory product 2-phosphoglycolate – is elevated in *Y. filamentosa* and *Y.*
383 *gloriosa* relative to the CAM species (Fig. 7F). Metabolites that take part in photorespiration,
384 including glycine and serine, peak in the day period in C₃ *Y. filamentosa*, and are generally much
385 higher in *Y. filamentosa* than seen in *Y. aloifolia* (Fig. 4) (Scheible *et al.*, 2000; Novitskaya *et al.*,
386 2002), suggesting CAM *Y. aloifolia* may instead rely on increased ascorbic acid concentrations
387 to reduce ROS stress.

388 Discussion

389 CAM pathway genes

390 Time-structured expression of key CAM genes in a C₃ species of *Yucca* suggests
391 ancestral expression patterns required for CAM may have predated its origin in *Yucca*. This
392 important observation is in line with recent suggestions that the frequent emergences of CAM
393 from C₃ photosynthesis was facilitated by evolution acting directly on a low flux pathway
394 already in place for amino acid metabolism (Bräutigam *et al.*, 2017). Flux analysis using ¹³C
395 labelled substrates has shown that C₃ plants can use organic acids at night to fuel amino acid
396 synthesis (Gauthier *et al.*, 2010; Szecowka *et al.*, 2013). Such carbon labeling experiments, in
397 addition to shared gene expression shown here, suggest that the evolution of CAM may simply
398 require increasing the flux capacity of existing carboxylation pathways in C₃ plants, without the
399 need for extensive re-wiring or diel rescheduling of enzymes (Bräutigam *et al.*, 2017).

400 Carbohydrate metabolism

401 To provide the nightly supply of PEP needed as substrate for CO₂ and PEPC, CAM
402 plants either break down soluble sugars (including polymers of fructose in fructans) or starches
403 to regenerate PEP via glycolysis. Work in the closely related genus *Agave* indicates that soluble

404 sugars are the main pool for nightly PEP regeneration (Abraham *et al.*, 2016). As seen in *Agave*,
405 the CAM *Yucca* species use soluble sugars as a carbohydrate reserve for PEP requirements,
406 while C₃ *Y. filamentosa* likely relies on starch pools. Although starch concentrations were largely
407 equal in *Y. aloifolia* and *Y. filamentosa*, degradation of starch to form maltose was significantly
408 higher in *Y. filamentosa*. The low levels of MEX1 and PHS1 expression in *Y. aloifolia* further
409 suggests that starch degradation was recently lost (or gained in *Y. filamentosa*) as *Y. aloifolia* and
410 *Y. filamentosa* diverged only 5-8MYA (Good-Avila *et al.*, 2006; Smith *et al.*, 2008). *Yucca*
411 *gloriosa* has intermediate expression of MEX1 and PHS relative to its parental species,
412 indicating some reliance on starch for carbohydrates like its C₃ parent.

413 Soluble sugars, such as glucose, fructose, and sucrose, can serve as an alternative source
414 of carbohydrates for glycolysis. In *Agave*, fructans (chains of fructose monomers) are the
415 predominant source of nocturnal carbohydrates for PEP (Wang and Nobel, 1998; Arrizon *et al.*,
416 2010). *Agave*, relative to *Arabidopsis*, has temporal regulation of soluble sugar production and a
417 10-fold increase in abundance (Abraham *et al.*, 2016). In general, there was a lack of diel
418 turnover in soluble sugars in *Y. aloifolia*, although it is possible unmeasured fructans constitute
419 the majority of the carbohydrate pool. With one exception neither species shows temporal
420 fluctuation of abundance of soluble sugars (*Y. filamentosa* exhibits time-structured variation in
421 fructose concentrations, Supplemental Figure S4). Sucrose concentrations are largely equal
422 between the two species, while glucose and fructose are elevated in C₃ *Y. filamentosa*. Glucose
423 and fructose are the building blocks of sucrose, but it is unclear from the metabolite and
424 transcript data alone whether these are elevated in *Y. filamentosa* due to degradation of sucrose,
425 or for some other purpose.

426 Many of the genes involved in glycolytic processes had much higher expression in *Y.*
427 *aloifolia*, suggesting that the breakdown of triose phosphates into PEP is occurring at a higher
428 rate in CAM *Yuccas*. Fructose bisphosphate aldolase (FBA) acts as a major control point for
429 glycolysis by converting fructose 1,6-bisphosphate into triose phosphates and is also involved in
430 the reverse reaction in the Calvin Cycle (formation of hexose from triose phosphates). FBA
431 expression is initially high in both parental species (Fig. 6A), then rapidly drops in the C₃ species
432 and is sustained throughout the day period in both *Y. aloifolia* and *Y. gloriosa*, although
433 alternative copies of this gene are used in CAM and C₃ parental species. FBA is thought to be
434 driven toward triose phosphate production within the cytosol (the gene copy expressed in the

435 CAM species), whereas the chloroplastic copy expressed in the C₃ species is involved in Calvin
436 Cycle carbohydrate synthesis. Gene expression patterns therefore suggest that while *Y. aloifolia*
437 expresses FBA for production of triose phosphates for glycolysis and PEP regeneration, *Y.*
438 *filamentosa* uses the reverse reaction to synthesize greater concentrations of soluble sugars.

439 In total, metabolite data and gene expression suggest soluble sugar pools in and of
440 themselves are not the critical part of carbon metabolism for CAM in *Yucca*; instead, it is more
441 likely that flux through the system, particularly through glycolysis, is important for the
442 maintenance of PEP and thus effective CAM function. The apparent variation in *which*
443 carbohydrate pool is used – starch for C₃, soluble sugars for CAM – is surprising, given the
444 relatively short evolutionary distance between the two species. The functional importance of
445 large glucose and fructose accumulation and retention in *Y. filamentosa* relative to *Y. aloifolia* is
446 unclear. Roles for the hexoses glucose and fructose in C₃ plants include hormonal signaling
447 (Zhou *et al.*, 1998; Arenas-Huertero *et al.*, 2000; Leon and Sheen, 2003), plant growth and
448 development (Miller and Chourey, 1992; Weber *et al.*, 1997), and gene expression regulation
449 (Koch, 1996); because CAM plants undergo all of the same metabolic processes, the stark
450 difference in concentrations of these hexoses in C₃ and CAM *Yucca* remains to be investigated.
451 Metabolite data presented in this study is preliminary, and was meant to highlight differences in
452 metabolite pools between these closely related C₃ and CAM species. Further studies to describe
453 the parental C₃ and CAM species metabolomes behave under drought stress, as well as the
454 metabolic profile of the C₃-CAM *Yucca* hybrid, will provide a greater understanding for the links
455 between metabolites, carbon metabolism, and photosynthesis.

456 *Antioxidant response in CAM*

457 Previous work in *Agave* discovered high levels of ascorbate and NADH activity relative
458 to C₃ *Arabidopsis*, which was thought to be due to increases in mitochondrial activity at night in
459 CAM species relative to C₃ (Abraham *et al.*, 2016). Similarly, *Yucca aloifolia* has much higher
460 levels of ascorbic acid and dehydroascorbic acid relative to its C₃ sister species and implies
461 different requirements for antioxidant response between the two species. For example,
462 respiration rates might be expected to be higher in CAM species at night to sustain the active
463 metabolism. Although citric acid abundance is nearly identical in C₃ and CAM *Yucca* species,
464 expression of cytochrome-C, a part of the mitochondrial electron transport chain, is higher in the
465 CAM *Y. aloifolia*. Alternatively, due to inhibited photorespiratory response in the CAM species,

466 an alternative form of ROS scavenging may be needed to regulate oxidation in the cells resulting
467 from either photoinhibition or O₂ accumulation from electron transport behind closed stomata
468 during the day. It is possible CAM species are using antioxidant metabolites like ascorbic acid to
469 prevent oxidative stress, rather than relying on photorespiration. Indeed genes (PGP) and
470 metabolites (glycine and serine) involved in photorespiration were more lowly expressed and
471 found in lower abundance, respectively, relative to C₃ *Y. filamentosa*. Whether or not increased
472 antioxidant response is required for CAM to efficiently function in plants is unknown, and future
473 work discerning ROS production and mitigation – particularly in the hybrid *Y. gloriosa* – will
474 inform understanding of the role of ROS scavenging and its impact on photosynthetic functions.

475 Transcriptomics and metabolomics of the parental species *Y. aloifolia* and *Y. filamentosa*
476 revealed many changes to regulation, expression, and abundance. The most notable differences
477 included degree of expression of core CAM genes and fundamental differences between the C₃
478 and CAM species in starch and soluble sugar metabolism. The increased reliance on soluble
479 sugars in the CAM species, which is not shared with the C₃ *Y. filamentosa*, indicates a recent
480 alteration to carbohydrate metabolism after the divergence of these two species and coincident
481 with the origin of the CAM pathway. The diploid hybrid species, *Y. gloriosa*, exhibited gene
482 expression profiles more similar to its CAM parent, *Y. aloifolia*, than the C₃ parent, *Y.*
483 *filamentosa*. Additionally, the CAM species *Y. aloifolia* had heightened antioxidant response
484 (both in metabolites and gene expression) relative to *Y. filamentosa*, indicating that the operation
485 of CAM imposes a significant oxidative burden. Despite these differences, similarities exist in
486 levels of gene expression of a few CAM genes (PEPC, for example) between the C₃ and CAM
487 *Yuccas* studied here, perhaps indicated shared traits in an ancestral genome that may have
488 facilitated the convergent evolution of CAM photosynthesis within the Agavoideae. Continued
489 comparative research on closely related C₃ and CAM species, as well as intermediate forms, is
490 necessary to understand the genetic underpinnings of CAM, as well as to determine whether
491 ancestral genetic enabling has facilitated the evolution of CAM.

492 **Supplemental data**

493 Table S1 - Genotypes sequenced through RNAseq
494 Figure S1 - Gas exchange data for metabolomics samples.
495 Table S2 - GO term enrichment

496 Table S3 - Differentially expressed transcription factors
497 Figure S2 - Decarboxylation gene expression
498 Figure S3 - Carbonic anhydrases gene expression
499 Table S4 - Significantly different metabolites between C₃ and CAM *Yucca*.
500 Figure S4 - Temporally variable metabolite regressions
501 Figure S5 - Calibrated concentrations of key metabolites
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Figure 1 – Overview of the CAM photosynthetic pathway and physiology of *Yucca* hybrid system. A) CAM pathway diagram. CA, carbonic anhydrase; PEP, phosphoenolpyruvate; PEPC, PEP carboxylase; PPCK, PEPC kinase; OAA, oxaloacetate; ME, malic enzyme; PEPCK, PEPC carboxykinase; PPDK, orthophosphate dikinase. B) Net CO₂ accumulation on the same samples used for RNA-seq, with error bars representing 1 standard deviation from the mean. White bar and black bar under plots represent day and night, respectively. C) Delta H⁺ (the total titratable acid accumulated during the night) measured on samples used for RNA-seq from well-watered (“W”) and drought-stressed conditions (“D”), with error bars representing one standard deviation from the mean. Both gas exchange and titratable acidity plots are modified from data published in (Heyduk et al., 2016).

Figure 2 – Overview of genetic diversity and differential gene expression across *Yucca*. A) PCA of SNP diversity from transcriptome data, and B) up/down differential expression between well-watered and drought stressed plants at each time point based on EdgeR, with counts as a proportion of total transcripts expressed.

Figure 3 – Gene expression for PEPC (A) and PPCK (B) in all three *Yucca* species, shown for day (white background) and night (grey background) time points, under both well-watered (blue bar) and drought-stressed (red bar) conditions. Mean TPM \pm one standard deviation is plotted. Transcripts shown represent all copies in each gene family (note, an alternative, but more lowly expressed gene family exists for PEPC and is not shown here), and all transcripts were significantly time-structured in all three species.

Figure 4 – Heatmap of abundance of a biologically meaningful subset of metabolites that had time-structured fluctuations in abundance (i.e., could be fit to a polynomial), shown for each species during the day (white bar) and night (black bar).

Figure 5 – Gene expression and related metabolites, shown over a day (white bar) and night (black bar) period, under both well-watered (blue bar) and drought-stress (red bar) in RNA-seq data only. Maximum TPM for each gene shown below the gene tree; asterisks indicate transcripts that were significantly time-structured, with red coloring indicating differential expression between watered and drought. Gene tree circles are color coded by species (dark grey

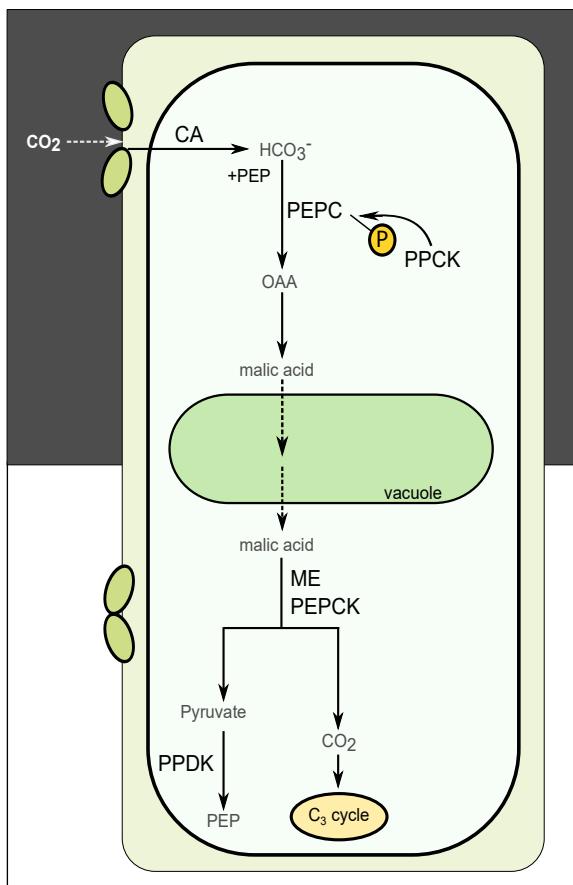
=Y. aloifolia (CAM), white=Y. filamentosa (C3), light grey=Y. gloriosa (C3-CAM). The colors are carried to the metabolite plots (dark grey bars=Y. aloifolia, white bars=Y. filamentosa). A) Starch synthase 1 (SS1), involved in the production of starch; glucan phosphorylase (PHS), involved in degradation of starch B) Maltose exporter 1 (MEX1), transports maltose out of plastids. C) Malate dehydrogenase (MDH), responsible for interconversion of oxaloacetate and malic acid.

Figure 6 – Gene expression and related metabolites, shown over a day (white bar) and night (black bar) period, under both well-watered (blue bar) and drought-stress (red bar) in RNA-seq data only. Maximum TPM for each gene shown below the gene tree; asterisks indicate transcripts that were significantly time-structured, with red coloring indicating differential expression between watered and drought. Gene tree circles are color coded by species (dark grey=Y. aloifolia (CAM), white=Y. filamentosa (C3), light grey=Y. gloriosa (C3-CAM). The colors are carried to the metabolite plots (dark grey bars=Y. aloifolia, white bars=Y. filamentosa). A) Fructose bisphosphate aldolase, responsible for interconversion of fructose-6-P and triose phosphates, and sucrose phosphatase (SPP) produces sucrose from glucose and fructose molecules. B) Triose phosphate isomerase (TPI) interconverts the two forms of triose phosphates, APE2 is a triose phosphate transporter out of the plastid, and G3PDH is involved glycolysis.

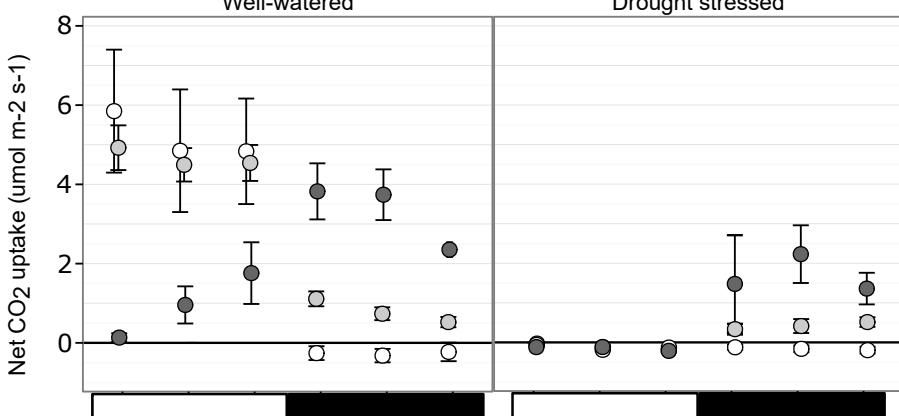
Figure 7 – Abundance over the day (white bar) and night (black bar) period for A) ascorbic acid, B) dehydroascorbic acid, and C) citric acid. Gene expression for D) cytochrome-C (CYTC), E) galactono-gamma-lactone dehydrogenase (GLDH), and F) phosphoglycolate phosphatase (PGP) over the day (white bar) and night (black bar), under both well-watered (blue bar) and drought-stressed (red bar) conditions. Maximum TPM for each gene shown below the gene tree; asterisks indicate transcripts that were significantly time-structured, with red coloring indicating differential expression between watered and drought. Gene tree circles are color coded by species (dark grey=Y. aloifolia (CAM), white=Y. filamentosa (C3), light grey=Y. gloriosa (C3-CAM). The colors are carried to the metabolite plots (dark grey bars=Y. aloifolia, white bars=Y. filamentosa).

A

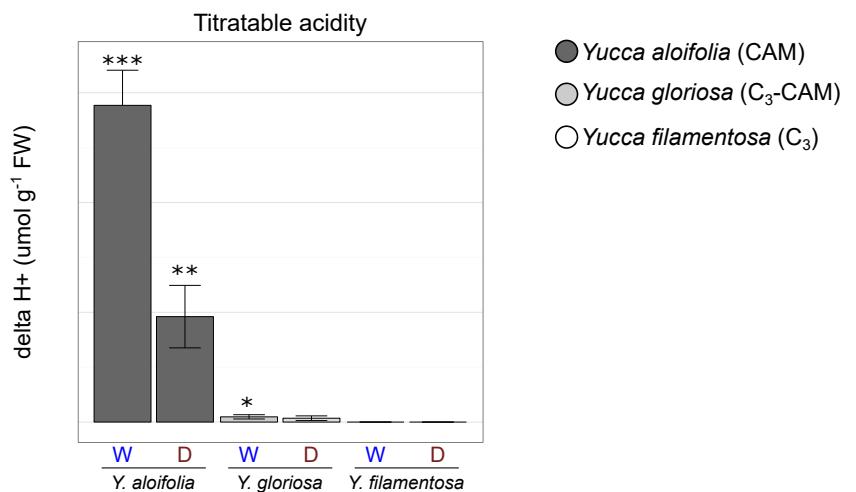
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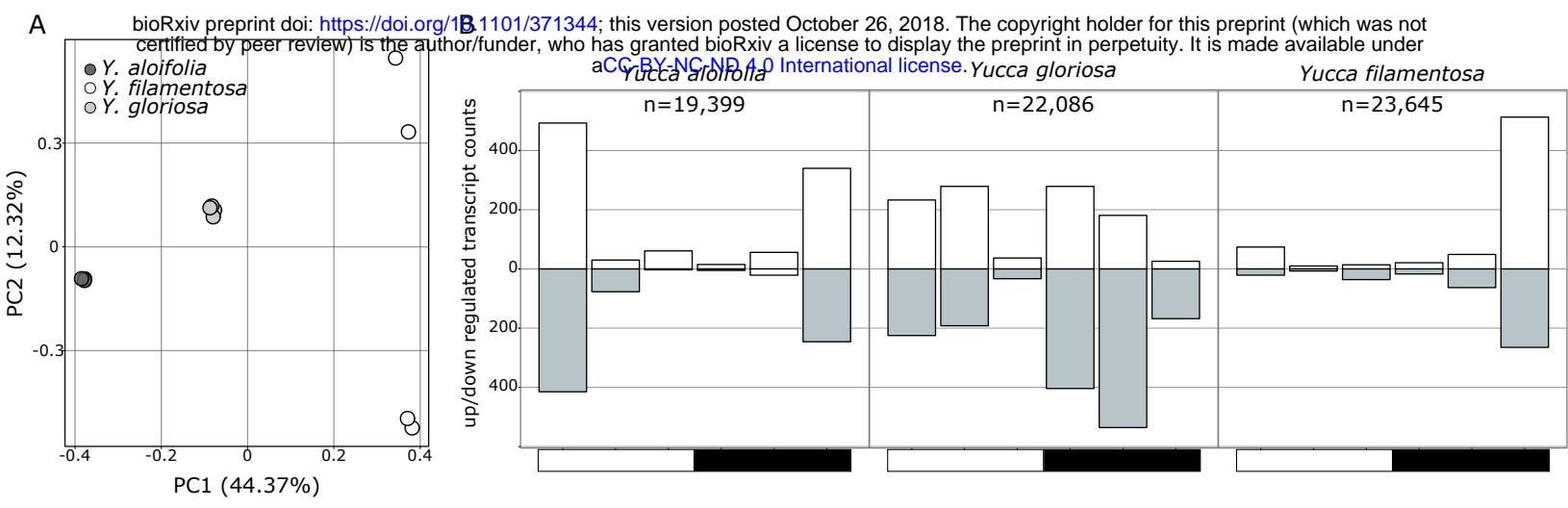


GAS EXCHANGE
Well-watered Drought stressed

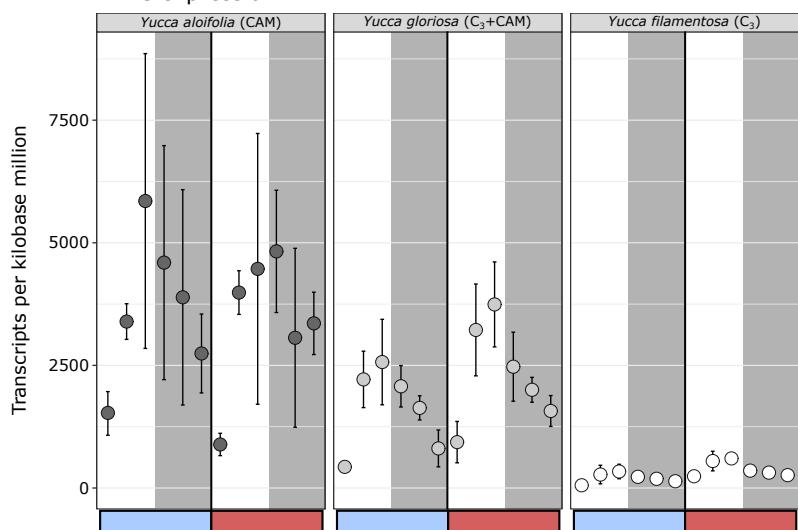


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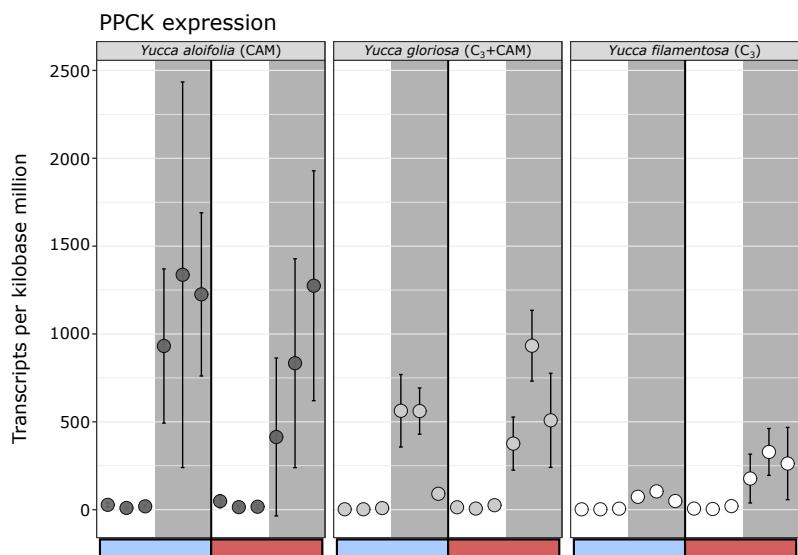


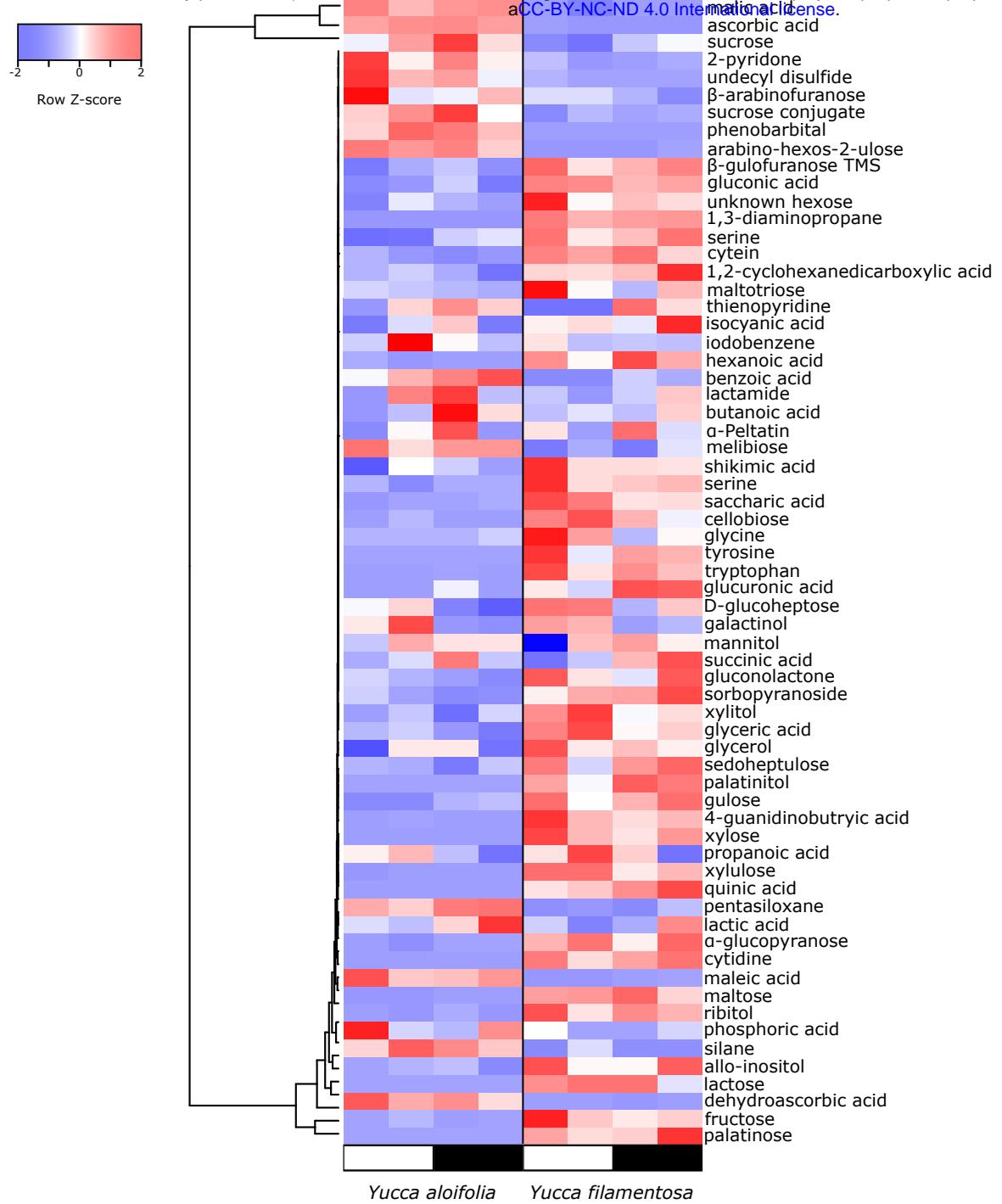


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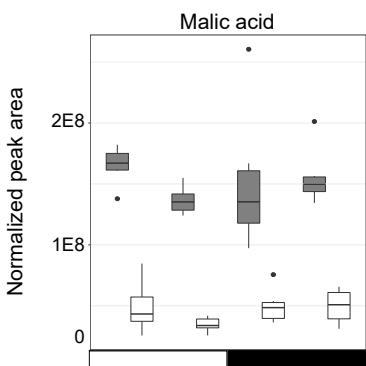
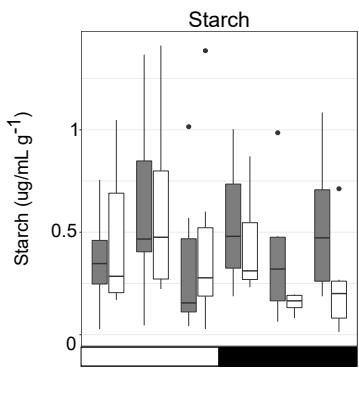
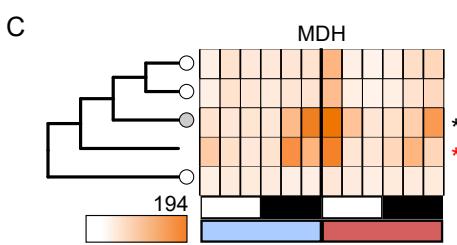
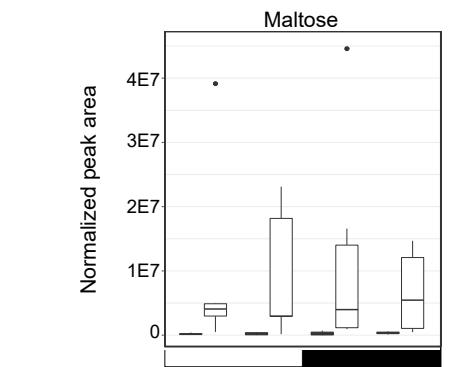
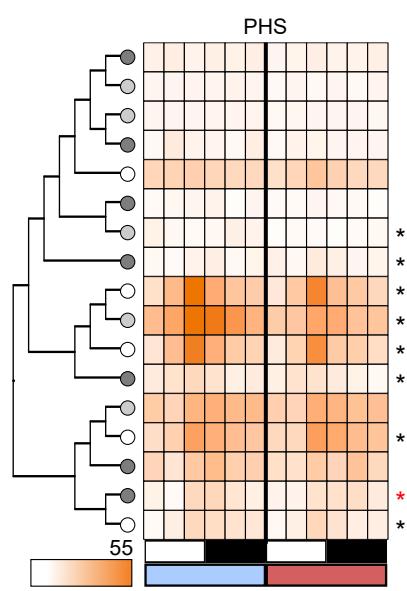
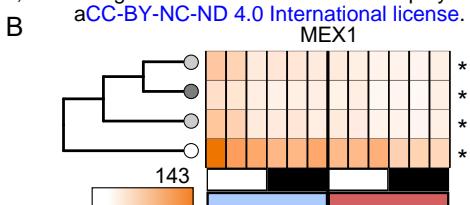
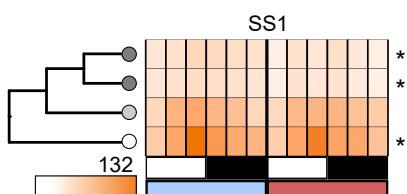


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A



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