

1 **Genetic variation in a complex polyploid: unveiling the dynamic allelic features of**  
2 **sugarcane**

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4 Danilo Augusto Sforça,<sup>1</sup> Sonia Vautrin,<sup>2</sup> Claudio Benicio Cardoso-Silva,<sup>1</sup> Melina Cristina  
5 Mancini,<sup>1</sup> María Victoria Romero da Cruz,<sup>1</sup> Guilherme da Silva Pereira,<sup>3</sup> Mônica Conte,<sup>1</sup>  
6 Arnaud Bellec,<sup>2</sup> Nair Dahmer,<sup>1</sup> Joelle Fournier,<sup>2</sup> Nathalie Rodde,<sup>2</sup> Marie-Anne Van Sluys,<sup>4</sup>  
7 Renato Vicentini,<sup>1</sup> Antônio Augusto Franco Garcia,<sup>3</sup> Eliana Regina Forni-Martins,<sup>1</sup> Monalisa  
8 Sampaio Carneiro,<sup>5</sup> Hermann Paulo Hoffmann,<sup>5</sup> Luciana Rossini Pinto,<sup>6</sup> Marcos Guimarães  
9 de Andrade Landell,<sup>6</sup> Michel Vincentz,<sup>1</sup> Helene Berges,<sup>2</sup> Anete Pereira Souza<sup>1</sup>

10

11 <sup>1</sup>Universidade Estadual de Campinas (UNICAMP), Campinas, SP, Brazil

12 <sup>2</sup>Centre National de Ressources Genomiques Vegetales (CNRGV), Institut National de la  
13 Recherche Agronomique (INRA), Castanet Tolosan, France

14 <sup>3</sup>Escola Superior de Agricultura Luiz de Queiroz (ESALQ), USP, Piracicaba, SP, Brazil

15 <sup>4</sup>Universidade de São Paulo, USP, São Paulo, SP, Brazil

16 <sup>5</sup>Universidade Federal de São Carlos (UFSCAR), Araras, SP, Brazil

17 <sup>6</sup>Centro de Cana, Instituto Agronômico de Campinas, São Paulo, SP, Brazil

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19 **Corresponding author:** Anete Pereira de Souza E-mail: anete@unicamp.br

20

21 **Abstract**

22 **Background:** Sugarcane (*Saccharum spp.*) is highly polyploid and aneuploid. Modern  
23 cultivars are derived from hybridization between *S. officinarum* and *S. spontaneum*. This  
24 combination results in a genome exhibiting variable ploidy among different loci, a huge  
25 genome size (approximately 10 Gb) and a high content of repetitive regions. Gene expression

26 mechanisms are poorly understood in these cultivars. An approach using genomic,  
27 transcriptomic and genetic mapping can improve our knowledge of the behavior of genetics  
28 in sugarcane.

29 **Results:** The hypothetical *HP600* and centromere protein C (*CENP-C*) genes from sugarcane  
30 were used to elucidate the allelic expression and genomic and genetic behavior of this  
31 complex polyploid. The genomically side-by-side genes *HP600* and *CENP-C* were found in  
32 two different homeologous chromosome groups with ploidies of eight and ten. The first  
33 region (Region01) was a *Sorghum bicolor* ortholog with all haplotypes of *HP600* and *CENP-*  
34 *C* expressed, but *HP600* exhibited an unbalanced haplotype expression. The second region  
35 (Region02) was a scrambled sugarcane sequence formed from different noncollinear genes  
36 containing duplications of *HP600* and *CENP-C* (paralogs). This duplication occurred before  
37 the *Saccharum* genus formation and after the separation of sorghum and sugarcane, resulting  
38 in a nonexpressed *HP600* pseudogene and a recombined fusion version of *CENP-C* and  
39 orthologous gene Sobic.003G299500 with at least two chimerical gene haplotypes expressed.  
40 The genetic map construction supported the difficulty of mapping markers located in  
41 duplicated regions of complex polyploid genomes.

42 **Conclusion:** All these findings describe a low synteny region in sugarcane, formed by events  
43 occurring in all members of the *Saccharum* genus. Additionally, evidence of duplicated and  
44 truncate gene expression and the behavior of genetic markers in a duplicated region was  
45 found. Thus, we describe the complexity involved in sugarcane genetics and genomics and  
46 allelic dynamics, which can be useful for understanding the complex polyploid genome.

47

48 **Keywords:** chimerical gene, genetic mapping, homologs, physical mapping, polyploid,  
49 sugarcane

50

51 **Background**

52 The *Saccharum* species are C4 grass and present a high level of ploidy. *S. officinarum*  
53 L. is octaploid ( $2n = 80$ ), with  $x = 10$  chromosomes, while *S. spontaneum* L. has  $x = 8$  but  
54 presents great variation in the number of chromosomes, with main cytotypes of  $2n = 62, 80,$   
55 96, 112 or 128. The modern sugarcane cultivars originated from hybridization between these  
56 two species and are considered allopolyploid hybrids [1, 2]. The development of these  
57 cultivars involved the process of 'nobilization' of the hybrid, with successive backcrosses  
58 using *S. officinarum* as the recurrent parent [3]. The resulting hybrids are highly polyploid  
59 and aneuploid [4-6] and have an estimated whole genome size of 10 Gb [7]. An in situ  
60 hybridization study has shown that the genomes of the commercial hybrids consist of 10-20%  
61 chromosomes from *S. spontaneum* and 5-17% recombinant chromosomes between the two  
62 species, while the remaining majority of the genome consists of chromosomes from *S.*  
63 *officinarum* [8, 9].

64 Molecular evidence suggests that polyploid genomes can present dynamic changes in  
65 DNA sequence and gene expression, probably in response to genomic shock (genomic  
66 remodeling due to the activation of previously deleted heterochromatic elements), and this  
67 phenomenon is implicated in epigenetic changes in homologous genes due to intergenomic  
68 interactions [10]. The evolutionary success of polyploid species is related to their ability to  
69 present greater phenotypic novelty than is observed in their diploid or even absent in parents  
70 [11]. Among other factors, this increase in the capacity for phenotypic variation capacity may  
71 be caused by regulation of the allelic dosage [12].

72 The Brazilian sugarcane variety SP80-3280 is derived from a cross between the  
73 varieties SP71-1088□×□H57-5028 and is resistant to brown rust, caused by *Puccinia*  
74 *melanocephala* [13]. SP80-3280, which is one of the main Brazilian cultivars [14], was  
75 chosen for transcriptome sequencing by SUCEST-FUN [15] and RNAseq [16-18]. Biparental

76 crossing of SP80-3280 has also been used to analyze rust resistance [19], quantitative trait  
77 loci (QTL) mapping [20] and genotyping by sequencing (GBS) [21]. A Brazilian initiative  
78 [22] is producing a gene-space genome sequence from SP80-3280, and a draft sugarcane  
79 genome based on whole-genome shotgun sequencing was produced [23]. In addition, QTL  
80 gene synteny from sorghum has been used to map corresponding bacterial artificial  
81 chromosomes (BACs) in SP80-3280 [24].

82 Three BAC libraries for different sugarcane varieties have been constructed. The  
83 oldest one is for the French variety R570 [25] and contains 103,296 clones with an average  
84 insert size of 130 kb, representing 1.2 total genome equivalents. A mix of four individuals  
85 derived from the self-fertilization of the elite cultivar R570 (pseudo F2) was reported by Le  
86 Cunff et al. [26] and contains 110,592 clones with an average insert size of 130 kb,  
87 representing 1.4x coverage of the whole genome. In addition, a library of SP80-3280  
88 published by Figueira et al. [27] contains 36,864 clones with an average insert size of 125 kb,  
89 representing 0.4 total genome equivalent coverage.

90 Sugarcane and sorghum (*Sorghum bicolor* (L.) Moench) share a high level of  
91 collinearity, gene structure and sequence conservation. De Setta et al. [28] contributed to  
92 understanding the euchromatic regions from R570 and a few repetitive-rich regions, such as  
93 centromeric and ribosomal regions, other than defining a basic transposable element dataset.  
94 The genomic similarity between sugarcane and sorghum has been frequently used to  
95 characterize the sugarcane genome [24, 29-32], demonstrating the high synteny of sugarcane  
96 x sorghum and high gene structure retention among the different sugarcane homeologs. In  
97 addition, these works contribute to understanding the genomic and evolutionary relationships  
98 among important genes in sugarcane using BAC libraries.

99 The segregation of chromosomes during cell division is facilitated by the attachment  
100 of mitotic spindle microtubules to the kinetochore at the chromosomal centromere. Only

101 CenH3 (histone H3) and *CENP-C* (centromere protein C) have been shown to bind  
102 centromeric DNA [33]. The centromere is marked with the histone H3 variant CenH3  
103 (*CENP-A* in human), and *CENP-C* forms part of the inner kinetochore. The *CENP-C* "central  
104 domain" makes close contact with the acidic patch of histones H2A/H2B, and the highly  
105 conserved "*CENP-C* motif" senses both the acidic patch and recognizes the hydrophobicity of  
106 the otherwise nonconserved CenH3 tail, supporting a conserved mechanism of centromere  
107 targeting by the kinetochore [34-36]. Sandmann et al. [36] reported that in *Arabidopsis*  
108 *thaliana*, KNL2, a protein with a *CENP-C*-*k* motif, recognizes centromeric nucleosomes such  
109 as the *CENP-C* protein. The *CENP-C* gene genomic structure in sugarcane has not been  
110 detailed.

111       Genome organization and expression dynamics are poorly understood in complex  
112 polyploid organisms, such as sugarcane, mainly because reconstructing large and complex  
113 regions of the genome is a challenge. However, an intriguing question is how such a complex  
114 genome can function while handling different copy numbers of genes, different allelic  
115 dosages and different ploidies of its homo/homeolog groups. For that reason, we examined  
116 the genome, transcriptome, evolutionary pattern and genetic interactions/relationships of a  
117 *CENP-C*-containing region in a genomic region of the SP80-3280 sugarcane variety (a  
118 *Saccharum* hybrid). First, we defined the genome architecture and evolutionary relationships  
119 of two physically linked genes, *HP600* (unknown function) and *CENP-C*, in detail. Second,  
120 we used the sugarcane SP80-3280 transcriptome to investigate transcription and genomic  
121 interactions in each gene (*HP600* and *CENP-C*). Ultimately, we used SNP distribution from  
122 these genes to compare the genetic and physical maps.

123

124 **Results**

125

126 ***BAC library construction***

127 The BAC library from the sugarcane variety SP80-3280 resulted in 221.184 clones,  
128 arrayed in 576 384-well microtiter plates, with a mean insert size of 110 kb. This BAC library  
129 is approximately 2.4 genome equivalents (10 Gb) and 26 monoploid genome equivalents  
130 (930 Mb, [27]). For the sugarcane variety IACSP93-3046, the library construction resulted in  
131 165.888 clones arrayed in 432 384-well microtiter plates, with a mean insert size of 110 kb,  
132 which is approximately 1.8 genome equivalents and 19 monoploid genome equivalents.

133 BAC-end sequencing (BES) results in an overview of the genome and validates the  
134 clones obtained through library construction. The SP80-3280 BAC library yielded 650  
135 (84.6%) good BES sequences, of which 319 sequences have repetitive elements, and 92  
136 exhibited similarities with sorghum genes. Excluding hits for more than one gene (probably  
137 duplicated genes or family genes), 65 sequences could be mapped to the *S. bicolor* genome  
138 (see Supplemental Figure 1, Additional File 1). The BAC library for IACSP93-3046 yielded  
139 723 (94%) good BES sequences, of which 368 sequences exhibited the presence of repetitive  
140 sequences, and 111 exhibited a similarity with some gene. Excluding genes with hits with  
141 more than one gene, 74 of the sequences could be mapped to the *S. bicolor* genome (see  
142 Supplemental Figure 1, Additional File 1).

143

144 ***BAC annotation***

145 The gene HP600 was used as target gene and showed strong evidence of being a  
146 single-copy gene when the transcripts of HP600 of sorghum, rice and sugarcane was  
147 compared. Twenty-two BAC clones from the SP80-3280 library that had the target gene  
148 *HP600* (NCBI from MH463467 to MH463488) and a previously sequenced BAC (Mancini et  
149 al. [24]; NCBI Accession Number MF737011) were sequenced by Roche 454 sequencing  
150 (see Supplemental Table 1, Additional File 1). The BACs varied in size from 48 kb

151 (Shy171E23) to 162 kb (Shy432H18), with a mean size of 109 kb. The BACs were  
152 compared, and BACs with at least 99% similarity were considered the same haplotype  
153 (Figures 1 and 2), resulting in sixteen haplotypes. Indeed, the possibility of one homeolog  
154 being more than 99% similar to another exists, but a real haplotype cannot be distinguished  
155 from an assembly mismatch.

156 Comparisons of the BAC sequences against the sugarcane SP80-3280 genome draft  
157 using BLASTN [23] resulted in matches within gene regions, but no genome contig covered  
158 a whole BAC, and the BAC transposable elements (TEs) matched with several genome  
159 contigs (see Supplemental Figure 2, Additional File 1). The matches with genes provide  
160 further support for our assembly process.

161 The BACs were first annotated regarding the TEs. The TEs accounted for 21% to  
162 65% of the sequenced bases with a mean of 40% (see Supplemental Table 1, Additional File  
163 1). Annotation of the TEs in the 22 BACs revealed 618 TEs (220 TEs were grouped in the  
164 same type) with sizes ranging from 97 bp to 18,194 bp.

165 Gene annotation (see Supplemental Tables 2 and 3, Additional File 1) resulted in  
166 three to nine genes per BAC with a mean of five genes per BAC (see Supplemental Table 1,  
167 Additional File 1). The gene Sobic.003G221500, which was used to screen the library, codes  
168 for a hypothetical protein called *HP600* in sugarcane that has been found to be expressed in  
169 sorghum and rice. A phylogenetic analysis using sorghum, rice and *Arabidopsis thaliana*  
170 transcripts revealed that this gene is probably a single-copy gene. The gene  
171 Sobic.003G221600 is a *CENP-C* ortholog in sugarcane (*S. officinarum*, haplotypes CENP-C1  
172 and CENP-C2, described by Talbert et al. [33]). The *HP600* and *CENP-C* sugarcane genes,  
173 as in *S. bicolor* and *Oryza sativa* L, were found to be side by side in the sugarcane  
174 haplotypes.

175

176 ***Relationship between Region01 and Region02***

177 Annotation of *HP600* and *CENP-C* in the sixteen BAC haplotypes revealed two  
178 groups of BACs. One group had the expected exon/intron organization when compared with  
179 *S. bicolor* *HP600* (five exons in sorghum) and *CENP-C* (fourteen exons in sorghum). This  
180 region was further designated as Region01 (see Supplemental Table 1, Additional File 1 - 10  
181 BACs and 7 haplotypes – Figure 1 - haplotype I to haplotype VII). The other group was  
182 found to have fewer exons than expected (when compared with *S. bicolor*) for both *HP600*  
183 and *CENP-C*, and it was designated Region02 (see Supplemental Table 1, Additional File 1 -  
184 13 BACs and 9 haplotypes – Figure 1 - haplotype VIII to haplotype XVI).

185 A comparison of the BAC haplotypes from Region01 and Region02 revealed an 8-kb  
186 shared region. The 8-kb duplication spanned from the last three exons of *HP600* to the last  
187 seven exons of *CENP-C*. *HP600* and *CENP-C* were physically linked, but the orientation of  
188 the genes was opposite (see Supplemental Figure 3, panel B, Additional File 1). A  
189 phylogenetic tree was constructed to examine the relationships among this 8-kb region (see  
190 Supplemental Figure 3, panel A, Additional File 1). The orthologous region from *S. bicolor*  
191 was used as an outgroup, and the separation in the two groups (Region01 and Region02)  
192 suggests that the shared 8-kb sequence appeared as the consequence of a sugarcane-specific  
193 duplication.

194 Region01 exhibited high gene collinearity with *S. bicolor*. However, in the BAC  
195 haplotype VII, a change in gene order involving the sorghum orthologs Sobic.003G221800  
196 and Sobic.003G221400 was observed (Figure 1, dotted line). We were unable to determine  
197 whether this alteration resulted from a duplication or a translocation since we do not have a  
198 single haplotype that covers the entire region. Sobic.003G221800 is missing in this position  
199 from haplotypes I, II and VI.

200       Region01 and Region02, except for the genes *HP600* and *CENP-C*, contain different  
201       sorghum orthologous genes (Figure 1). Region02 was found to be noncollinear with *S.*  
202       *bicolor* (Figures 1 and 2), which reinforces the notion of a specific duplication in sugarcane.  
203       Region02 appeared as a mosaic formed by different sorghum orthologous genes distributed in  
204       different chromosomes and arose by duplication after the separation of sorghum and  
205       sugarcane.

206       In Region02, the Sobic.008G134300 orthologous gene was found only in haplotype  
207       VIII, and the Sobic.008G134700 ortholog was found in a different position in haplotype IX  
208       (Figure 1, dotted line in Region02 and Figure 2). The phylogenetic analysis of  
209       Sobic.008G134700 and sugarcane orthologs demonstrated that sugarcane haplotype IX are  
210       more closely related to sorghum than to other sugarcane homeologs (see Supplemental Figure  
211       4, Additional File 1). In addition, the orientation of transcription of the Sobic.008G134700  
212       ortholog in haplotype IX is opposite that of the other sugarcane haplotypes (Figures 1 and 2).  
213       This finding suggests that this gene could be duplicated (paralogs) or translocated (orthologs)  
214       in haplotypes X, XIV, XV and XVI. No *S. bicolor* orthologous region that originated from  
215       Region02 could be determined, since it contained genes from multiple sorghum  
216       chromosomes.

217       Twenty long terminal repeat (LTR) retrotransposons were located in the two regions,  
218       but no LTR retrotransposons were shared among the haplotypes from Region01 and  
219       Region02, suggesting that all LTR retrotransposon insertions occurred after the duplication.  
220       In addition, ancient LTR retrotransposons could be present, but the sequences among the  
221       sugarcane haplotypes are so divergent that they could not be identified. The oldest LTR  
222       retrotransposon insertions were dated from 2.3 Mya (from haplotype VIII from Region02, a  
223       DNA/MuDR transposon, similar to MUDR1N\_SB), which means that there is evidence that  
224       this duplication is at least 2.3 Mya old. Four LTR retrotransposons similar to

225 RLG\_scAle\_1\_1-LTR had identical sequences (Region01: Sh083P14\_TE0360 – haplotype  
226 III and Sh040F02\_TE0180 – haplotype XI; Region02: Sh285K15\_TE0060 – haplotype XII  
227 and Sh452C23\_TE0090 – haplotype XIII), which indicates a very recent insertion into the  
228 duplication from both regions.

229 To estimate the genomic diversity in sugarcane haplotypes from both regions  
230 (analyzed together and separately), the shared 8-kb region (duplication) was used (see  
231 Supplemental Table 4, Additional File 1), and the SNPs were identified. The diversity in the  
232 *HP600* and *CENP-C* genes was analyzed, and one SNP was observed every 43 bases  
233 (Region02) and 70 bases (Region01). We searched for SNPs that could distinguish each  
234 region (see Supplemental Table 5, Additional File 1) in the *HP600* and *CENP-C* genes, and  
235 one SNP was found for every 56 bases (20 SNPs in total). In addition, small (3-10 bases) and  
236 large (30 – 200 bases) insertions were found. These results revealed a high level of diversity  
237 in sugarcane, i.e., a high number of SNPs in each region, which could be used to generate  
238 molecular markers and to improve genetic maps. In addition, the diversity rate of both  
239 regions together could be used as an indicator of a duplicated gene, i.e., a rate < 20 (see  
240 Supplemental Table 4, Additional File 1).

241

#### 242 **HP600 and CENP-C haplotypes and phylogenetics**

243 Gene haplotypes, i.e., genes with the same coding sequences (CDSs), from *HP600*  
244 and *CENP-C* that have the same coding sequence (i.e., exons) in different BAC haplotypes  
245 were considered the same gene haplotype. In Region01, four haplotypes of *HP600* were  
246 identified. In sorghum, the size of *HP600* is 187 amino acids (561 base pairs). *HP600* has  
247 two different sizes in sugarcane haplotypes of 188 amino acids (564 base pairs – haplotype  
248 I/II/VI, haplotype IV/V and haplotype VII) and 120 amino acids (360 base pairs – haplotype

249 III). *HP600* haplotype III has a base deletion at position 77, causing a frameshift that results  
250 in a premature stop codon.

251 In Region02, *HP600* exhibited six haplotypes: haplotype VIII, haplotype IX,  
252 haplotype X/XI/XII/XIII/XIV, haplotype XV, and haplotype XVI. *HP600* Haplotype IX  
253 carried an insertion of eight bases in the last exon that caused a frameshift.

254 In *S. bicolor*, *CENP-C* is formed by 14 exons [33] encoding 694 amino acids (2082  
255 base pairs). In sugarcane, the haplotypes from Region01 had 14 exons that give rise to a  
256 protein of 708 or 709 amino acids (2124 or 2127 bases). Talbert et al. [33] described two  
257 haplotypes in sugarcane EST clones [15], *CENP-C1* and *CENP-C2*, which correspond to the  
258 haplotypes I/II and haplotypes IV/V, respectively. In addition to *CENP-C1* and *CENP-C2*,  
259 three other *CENP-C* haplotypes were observed: haplotype III, haplotype VI, and haplotype  
260 VIII.

261 In Region02, the sugarcane duplication of *CENP-C* consisted of the last seven exons  
262 (exons eight to fourteen from *CENP-C* in Region01), and six haplotypes were found:  
263 haplotype VIII, haplotype IX, haplotypes XI/XII/XIII, haplotype XIV, haplotype XV, and  
264 haplotype XVI. The haplotype X BAC sequence finished before the *CENP-C* gene (Figure  
265 1).

266 To reconstruct a phylogenetic tree for *HP600* and *CENP-C* from both regions, the  
267 orthologs from *O. sativa* and *Zea mays* L. were searched. The rice *HP600* and *CENP-C*  
268 orthologs, LOC\_Os01g43060 and LOC\_Os01g43050, were recovered, respectively. Maize  
269 has gone through tetraploidization since its divergence from sorghum approximately 12  
270 million years ago [37]. The maize *HP600* ortholog search returned three possible genes with  
271 high similarity: GRMZM2G114380 (chromosome 03), GRMZM2G018417 (chromosome 01)  
272 and GRMZM2G056377 (chromosome 01). The *CENP-C* maize ortholog search returned

273 three possible genes with high similarity: GRMZM2G114315 (chromosome 03),  
274 GRMZM2G134183 (chromosome 03), and GRMZM2G369014 (chromosome 01).

275 Given the gene organization among the BACs, sorghum and rice revealed that *HP600*  
276 and *CENP-C* were side by side, and the expected orthologs from maize could be  
277 GRMZM2G114380 (*HP600*) and GRMZM2G114315 (*CENP-C*) because only these two  
278 genes are physically side by side. The other maize orthologs were probably maize paralogs  
279 that resulted from specific duplications of the *Z. mays* genome.

280 Two phylogenetic trees were constructed (see Supplemental Figure 5, Additional File  
281 1), one for *HP600* (see Supplemental Figure 5, panel A, Additional File 1) and the other for  
282 *CENP-C* (see Supplemental Figure 5 panel B, Additional File 1), using sugarcane *HP600* and  
283 *CENP-C* haplotypes from both regions. The results demonstrated that the haplotypes from  
284 Region01 and Region02 are more similar to themselves than they are to those of sorghum or  
285 rice. Thus, the results also suggest that Region02 contains paralogous genes from Region01.

286 The divergence times among sugarcane *HP600* haplotypes and sorghum ranged from  
287 1.5 Mya to 4.5 Mya. For *CENP-C*, the haplotype divergence time rates were 0.3-0.7 Mya,  
288 and the comparison with sorghum indicated 4.2-4.5 Mya for the highest values. The  
289 estimated sugarcane x sorghum divergence time was 5 Mya [38] to 8-9 Mya [29, 39].

290

### 291 ***Chromosome number determination and BAC-FISH***

292 The determination of the range of *CENP-C* and *HP600* loci that are present in the  
293 sugarcane genome was performed using *in situ* hybridization. First, the number of  
294 chromosomes in sugarcane variety SP80-3280 was defined, but the number of clear and well-  
295 spread metaphases for the variety SP80-3280 was less than 10 (see Supplemental Table 6,  
296 Additional File 1). We expanded the analysis to four more varieties of sugarcane (SP81-3250,  
297 RB835486, IACSP95-3018 and IACSP93-3046) to improve the conclusions (see

298 Supplemental Figure 6 – Panel A-E – and Supplemental Table 6, Additional File 1). The  
299 most abundant number of chromosomes was  $2n = 112$  (range:  $2n = 98$  to  $2n = 118$   
300 chromosomes). The chromosome number of the *Saccharum* hybrid cultivar SP80-3280 was  
301 found to be  $2n = 112$  (range:  $2n = 108$  to  $2n = 118$  chromosomes - see Supplemental Table 6,  
302 Additional File 1). Vieira et al. [40] also identified  $2n = 112$  chromosomes in the IACSP93-  
303 3046 variety, corroborating our data. The  $2n = 112$  chromosome number should indicate  
304 convergence in the number of chromosomes in the *Saccharum* hybrid cultivar.

305 As second step, we used two varieties with the best chromosome spreads, i.e.,  
306 IACSP93-3046 and IACSP95-3018, for the CMA/DAPI banding patterns (see Supplemental  
307 Figure 6 – Panel F-I, Additional File 1). The variety IACSP93-3046 exhibited at least six  
308 terminal CMA<sup>+</sup>/DAPI bands, one chromosome with CMA<sup>+</sup>/DAPI<sup>0</sup> and two chromosomes  
309 with adjacent intercalations of CMA<sup>+</sup> and DAPI<sup>+</sup> in the same chromosome (see Supplemental  
310 Figure 6 – Panel F and G, Additional File 1). The variety IACSP95-3018 revealed seven  
311 terminal CMA<sup>+</sup>/DAPI bands, and at least two chromosomes exhibited adjacent CMA<sup>+</sup> and  
312 DAPI<sup>+</sup>; one was in the intercalary position, and the other was in the terminal position (see  
313 Supplemental Figure 6 – Panel H and I, Additional File 1). Additionally, the equal number of  
314 chromosomes and the divergent number of bands could indicate different chromosomal  
315 arrangements and/or different numbers of homeologs in each variety.

316 Finally, we performed BAC-FISH in the better metaphases of variety SP80-3280  
317 using Shy064N22 (haplotype VII) from Region01; 64 metaphases with some signal of  
318 hybridization were obtained, and for the BAC-FISH of Shy048L15 (haplotype XI) from  
319 Region02, 69 were obtained. At least six metaphases for each region were used to determine  
320 the number of signals. For BAC Shy064N22 Region01, eight signals could be counted  
321 (Figure 3 – Panel A), and for BAC Shy048L15 in Region02, ten signals could be defined  
322 (Figure 3 – Panel B). These results detail the numbers of haplotypes in sugarcane for

323 Region01 and Region 02. Moreover, the numbers of BAC haplotypes found in each region  
324 are appropriate considering the BAC-FISH results, suggesting a missing haplotype for each  
325 region.

326 The results observed so far suggest differences between the haplotypes, i.e., different  
327 TEs, insertions and even gene insertions/translocations. We used an identity of 99% to  
328 determinate the same BAC haplotype. The possibility of haplotypes with more than 99%  
329 similarity *in vivo* could not be tested with our data, since it is not possible distinguish a  
330 mismatch in sequence assembly from a real haplotype.

331

332 ***Expression of HP600 and CENP-C haplotypes***

333 The transcriptomes of the sugarcane variety SP80-3280 from the roots, shoots and  
334 stalks were mapped on *HP600* and *CENP-C* (NCBI SRR7274987), and the set of transcripts  
335 was used for the transcription analyses. All of the haplotypes of *HP600* from Region01 were  
336 covered by the reads, including haplotype III with a premature stop codon. None of the  
337 haplotypes of *HP600* from Region02 were found, suggesting *HP600* from Region02 is not  
338 expressed (see Supplemental Figure 3, Additional File 1). For the *CENP-C* gene from  
339 Region01, the haplotypes IV/V were found to be expressed. Furthermore, haplotypes I/II,  
340 haplotype VI and haplotype VII were fully covered by the reads, except for the first three  
341 SNPs, but these SNPs were described in the work of Talbert et al. [33] under the haplotype  
342 CENP-C1, suggesting that the set of reads did not cover this region. For haplotype III, one  
343 SNP was not found, but nine exclusive SNPs of this haplotype were represented. Therefore,  
344 all haplotypes of *CENP-C* from Region01 were considered to be expressed.

345 The *CENP-C* haplotypes I/II, III and VI from Region01 have large retrotransposons in  
346 the introns (Figure 2 – black rectangles). Additionally, no evidence of substantial influence

347 on expression could be found for this gene, which may indicate the silencing of these LTR  
348 retrotransposons, as discussed by Kim and Zilberman [41].

349 The mapping of the transcript reads in the *CENP-C* haplotypes from Region02  
350 revealed evidence of a chimerical gene (Figure 1, dotted rectangle and Figure 4). The  
351 chimeric gene was formed by the first five exons of the sugarcane orthologous gene of  
352 Sobic.003G299500 and the eighth to fourteenth exons of *CENP-C* (Figure 4 – Panel C).  
353 RNAseq reads overlapped the region corresponding to the union of the chimerical gene  
354 (position 1253 of the *CENP-C* haplotypes from Region02 by 38 reads - Figure 4 – Panel F).  
355 This result provided robust evidence for the formation of the chimerical gene and its  
356 expression.

357 The sugarcane gene orthologous to Sobic.003G299500 was represented by BAC  
358 BAC267H24 (GenBank KF184671) from the sugarcane hybrid R570 as published by De  
359 Setta et al. [28] under the name “SHCRBa\_267\_H24\_F\_10” (Figure 4 – Panel D). This  
360 finding indicated that the ancestral genes from sorghum (orthologs) were retained in the  
361 sugarcane genome (Figure 4 – Panel B and D) and that the chimerical gene was formed by  
362 the fusion of a partial duplication of *CENP-C* and the sorghum ortholog gene  
363 Sobic.003G299500 (Figure 4 – Panel C).

364 Two chimerical *CENP-C* haplotypes from Region02 were fully mapped with  
365 transcripts, i.e., haplotypes XI/XII/XIII and haplotype XIV. The chimerical *CENP-C*  
366 haplotypes IX and XVI from Region02 were not fully mapped, but exclusive SNPs from  
367 these haplotypes were recovered. The *CENP-C* haplotypes VIII and XV from Region02  
368 exhibited no exclusive SNPs in the transcriptome, and evidence for the expression of these  
369 two haplotypes remains undefined.

370

371 ***How locus number of homeologs influences expression***

372 We searched the SNPs in the BAC sequences and RNAseq reads (i.e., only in the  
373 transcriptome of the sugarcane variety SP80-3280 from the roots, shoots and stalks – NCBI  
374 SRR7274987) and compared the correspondences to the genes *HP600* and *CENP-C*. For  
375 Region01 and Region02, we defined the ploidies as eight and ten, respectively, based on the  
376 BAC-FISH data. The numbers of BAC haplotypes recovered for Region01 and Region02  
377 were seven and nine, respectively, which indicated one missing BAC haplotype in each  
378 region.

379 The missing BAC haplotypes were determined by searching for SNPs present only in  
380 the transcriptome. For the *HP600* haplotypes from Region01 (Table 1), six SNPs were found  
381 in the transcriptome and not in the BAC haplotypes, including a (GAG)3 -> (GAG)2 deletion.  
382 For the *CENP-C* gene (Table 2), eight SNPs were not represented in the genomic haplotypes.  
383 The presence of SNPs only in transcript data corroborates the assumption that (at least) one  
384 genomic haplotype was missing in each region.

385

386 **Table 1.** Genomic frequencies of the SNPs in the *HP600* haplotypes in Region01. Genome and transcriptome SNPs was used. The global  
 387 expression (in diverse tissues) was used to determine whether the genomic frequency could explain the transcription frequency ( $H_0$ ). The  
 388 binomial test was used to verify  $H_0$ . The highlighted p-values reflect the acceptance of  $H_0$ .

SNP	Name	Change	Polymorphism Type	Position	Coverage	Variant Coverage	Genomic Detected	Transcriptome Proportion	Missing haplotype for more common SNP			Missing haplotype for variant SNP			P-value (binomial test)	
									Genomic Variant	Genomic	Genomic Proportion	Genomic Variant	Genomic	Genomic Proportion		
1	C	G -> C	SNP (transversion)	12	443	101	Yes	0.23	1	7	0.125	2.32E-09	2	6	0.25	2.98E-01*
2	-	-C	Deletion	78	515	28	Yes	0.05	1	7	0.125	1.13E-07	2	6	0.25	4.76E-32
3	T	C -> T	SNP (transition)	133	542	38	Yes	0.07	1	7	0.125	5.16E-05	2	6	0.25	1.62E-27
4	A	G -> A	SNP (transition)	153	577	33	Yes	0.06	1	7	0.125	9.76E-08	2	6	0.25	1.56E-34
5	TT	GG -> TT	Substitution	166	699	137	Yes	0.2	1	7	0.125	1.18E-07	2	6	0.25	8.85E-04
6	T	C -> T	SNP (transition)	263	569	55	No	0.1	1	7	0.125	4.23E-02	1	7	0.125	4.23E-02
7	(GAG)3 -> (GAG)2		Deletion (tandem repeat)	283	654	42	No	0.06	1	7	0.125	4.35E-07	1	7	0.125	4.35E-07
8	C	T -> C	SNP (transition)	429	849	83	No	0.1	1	7	0.125	1.68E-02	1	7	0.125	1.68E-02
9	A	G -> A	SNP (transition)	434	993	69	No	0.07	1	7	0.125	1.68E-08	1	7	0.125	1.68E-08
10	C	G -> C	SNP (transversion)	436	1035	275	Yes	0.27	2	6	0.25	2.51E-01*	3	5	0.375	1.196E-13
11	T	G -> T	SNP (transversion)	463	936	56	No	0.06	1	7	0.125	5.11E-11	1	7	0.125	5.11E-11
12	A	C -> A	SNP (transversion)	519	679	57	No	0.08	1	7	0.125	9.10E-04	1	7	0.125	9.10E-04

389

390

391

392 **Table 2.** Genomic frequencies of the SNPs in the *CENP-C* haplotypes in Region01 and Region02. Genome and transcriptome SNPs was used.  
 393 The global expression (in diverse tissues) was used to determine whether the genomic frequency could explain the transcription frequency ( $H_0$ ).  
 394 The binomial test was used to verify  $H_0$ . The highlighted p-values reflect the acceptance of  $H_0$ .

SNP	Name	Change	Polymorphism Type	Position	Coverage	Variant Coverage	Yes	Genomic Detected	Transcriptome Proportion	Missing haplotype for more common SNP			Missing haplotype for variant SNP				
										Genomic Variant	Genomic	Genomic Proportion	P-value (binomial test)	Genomic Variant	Genomic	Genomic Proportion	P-value (binomial test)
1	G	C > G	SNP (transversion)	106	16	13	Yes		0.81	5	3	0.63	1.95E-01*	4	4	0.5	2.13E-02
2	G	A > G	SNP (transition)	150	19	8	Yes		0.42	1	7	0.13	1.25E-03	2	6	0.25	1.08E-01*
3	C	G > C	SNP (transversion)	246	34	7	Yes		0.21	1	7	0.13	1.87E-01*	2	6	0.25	6.93E-01*
4	T	A > T	SNP (transversion)	369	65	7	Yes		0.11	1	7	0.13	8.51E-01*	2	6	0.25	6.14E-03
5	A	G > A	SNP (transition)	371	68	19	No		0.28	1	7	0.13	6.21E-04	1	7	0.13	6.21E-04
6	C	T > C	SNP (transition)	390	64	15	No		0.23	1	7	0.13	1.32E-02	1	7	0.13	1.32E-02
7	G	T > G	SNP (transversion)	513	46	12	Yes		0.26	3	5	0.38	1.28E-01*	4	4	0.5	1.64E-03
8	A	G > A	SNP (transition)	518	45	10	Yes		0.22	2	6	0.25	7.34E-01*	3	5	0.375	4.40E-02
9	T	G > T	SNP (transversion)	731	54	8	Yes		0.15	2	6	0.25	1.14E-01*	3	5	0.375	3.58E-04
10	C	A > C	SNP (transversion)	1008	56	9	No		0.16	1	7	0.13	4.17E-01*	1	7	0.13	4.17E-01*
11	T	C > T	SNP (transition)	1061	91	29	Yes		0.32	2	6	0.25	1.46E-01*	3	5	0.375	2.81E-01*
12	T	C > T	SNP (transition)	1088	77	41	Yes		0.53	4	4	0.50	6.48E-01*	3	5	0.375	6.37E-03
13	T	C > T	SNP (transition)	1190	76	9	Yes		0.12	2	6	0.25	7.49E-03	3	5	0.375	1.10E-06
14	A	G > A	SNP (transition)	1209	76	20	No		0.26	1	7	0.13	1.31E-03	1	7	0.13	1.31E-03
15	T	A > T	SNP (transversion)	1251	62	10	Yes		0.16	2	6	0.25	1.41E-01*	3	5	0.375	3.29E-04
16	G	A > G	SNP (transition)	1255	62	55	Yes		0.89	6	2	0.75	1.19E-02	5	3	0.625	5.15E-06
17	-	-ATG	Deletion	1307	75	9	Yes		0.12	1	7	0.13	1.00E+00*	2	6	0.25	7.38E-03
18	G	A > G	SNP (transition)	1314	90	23	Yes		0.26	1	7	0.13	6.50E-04	2	6	0.25	9.03E-01*
19	G	T > G	SNP (transversion)	1347	103	13	Yes		0.13	2	6	0.25	2.88E-03	3	5	0.375	3.09E-08
20	A	T > A	SNP (transversion)	1384	101	37	Yes		0.37	1	7	0.13	5.30E-10	2	6	0.25	1.09E-02
21	G	C > G	SNP (transversion)	1424	80	9	No		0.11	1	7	0.13	8.66E-01*	1	7	0.13	8.66E-01*
22	A	C > A	SNP (transversion)	1437	84	10	Yes		0.12	1	7	0.13	1.00E+00*	2	6	0.25	5.12E-03
23	TT	AA > TT	Substitution	1481	62	7	No		0.11	1	7	0.13	1.00E+00*	1	7	0.13	1.00E+00*
24	G	A > G	SNP (transition)	1527	106	90	Yes (duplication)		0.85								
25	C	T > C	SNP (transition)	1540	139	86	Yes (duplication)		0.62								

26	A	T > A	SNP (transversion)	1584	253	235	Yes (duplication)	0.93								
27	A	G > A	SNP (transition)	1638	247	39	Yes (duplication)	0.16								
28	C	A > C	SNP (transversion)	1648	209	106	Yes (duplication)	0.51								
29	A	C > A	SNP (transversion)	1739	122	16	Yes (duplication)	0.13								
30	T	C > T	SNP (transition)	1751	132	32	Yes (duplication)	0.24								
31	A	G > A	SNP (transition)	1753	138	16	Yes (duplication)	0.12								
32	A	C > A	SNP (transversion)	1762	131	21	No (duplication)	0.16								
33	T	A > T	SNP (transversion)	1776	125	75	Yes (duplication)	0.6								
34	C	G > C	SNP (transversion)	1796	88	31	No (duplication)	0.35								
35	G	C > G	SNP (transversion)	1808	37	25	Yes	0.68	4	3	0.57	0.00E+00*	4	4	0.57	8.90E-01*
36	T	C > T	SNP (transition)	1808	78	41	Yes (duplication)	0.53								
37	T	C > T	SNP (transition)	1814	78	27	Yes (duplication)	0.35								
38	T	C > T	SNP (transition)	1827	68	7	Yes (duplication)	0.1								
39	A	T > A	SNP (transversion)	1830	65	8	Yes (duplication)	0.12								
40	A	G > A	SNP (transition)	1839	62	23	Yes (duplication)	0.37								
41	A	G > A	SNP (transition)	1853	52	6	Yes (duplication)	0.12								
42	C	A > C	SNP (transversion)	1866	47	30	Yes (duplication)	0.64								
43	A	C > A	SNP (transversion)	1910	152	34	Yes (duplication)	0.22								
44	A	G > A	SNP (transition)	1917	158	103	Yes (duplication)	0.65								
45	G	T > G	SNP (transversion)	1922	165	110	Yes (duplication)	0.67								
46	T	A > T	SNP (transversion)	1938	170	41	Yes (duplication)	0.24								
47	A	C > A	SNP (transversion)	2039	196	37	Yes (duplication)	0.19								
48	T	C > T	SNP (transition)	2043	196	143	Yes (duplication)	0.73								
49	G	T > G	SNP (transversion)	2080	177	88	Yes (duplication)	0.5								
50	C	A > C	SNP (transversion)	2123	126	89	Yes (duplication)	0.71								

395 Using the results obtained from the RNAseq mapping of haplotypes, we also assumed  
396 that all haplotypes of the gene *HP600* were expressed in Region01 and that none were  
397 expressed in Region02. For *CENP-C*, all haplotypes from Region01 were considered  
398 expressed, and it was not possible to identify how many haplotypes were expressed in  
399 Region02 (chimerical gene); thus, we used only the nonduplicated portion of *CENP-C* (exons  
400 one to seven of the *CENP-C* gene).

401 We formed three assumptions using the previous results: (I) there is a missing  
402 haplotype for each region; (II) all haplotypes of *HP600* from Region01 are expressed, and  
403 there is no expression of *HP600* in Region02; and (III) *CENP-C* is expressed in both regions,  
404 but only in Region01 is it possible to infer that all haplotypes are expressed. Using these  
405 premises, we investigated the possibilities of one BAC haplotype being expressed at a higher  
406 or lower level than the others. Therefore, if the haplotypes contribute equally to expression,  
407 one SNP found in a BAC should have the same ratio (dosage) for the transcriptome data.  
408 Since we found evidence for a missing haplotype, two tests were performed: (I) we  
409 determined whether the missing BAC haplotype contributed to the dosage of more common  
410 SNPs, and (II) we determined whether the missing BAC haplotype contributed to the dosage  
411 of the variant SNP.

412 For the *HP600* haplotypes from Region01 (Table 1), only the SNPs 10 and 1 had  
413 significant p-values for hypotheses (I) and (II), respectively. These results suggested that the  
414 BAC haplotype ratio does not explain the transcriptome ratio. The transcript frequencies of  
415 SNPs 2, 3, and 4 (Table 1) were less than 0.125 (the minimum expected ratio for 1:7). To  
416 explain these frequencies, the dosage of the SNPs should be higher than a ploidy of eight  
417 (i.e., more than twelve), and our data do not support this possibility. The three variant SNPs  
418 came from *HP600* haplotype III. This finding could be evidence of some differential

419 expression of the gene haplotypes, which could suggest that haplotype III is expressed at a  
420 lower level than the others for the HP600 gene.

421 For *CENP-C*, only the nonduplicated portions of the haplotypes from Region01 were  
422 used. At least one hypothesis was accepted for 17 (70%) SNPs (Table 2). The mean coverage  
423 of the SNPs was 64 reads per SNP, which could be considered a low coverage when an eight-  
424 ploidy region (Region01) is being inspected (Table 2). Moreover, the result suggests that the  
425 haplotypes from Region01 are equally expressed.

426

#### 427 ***Genetic mapping***

428 For the genetic mapping, 44 SNPs (see Supplemental Table 7, Additional File 1) were  
429 used to develop molecular markers (Figure 5), and they were used to construct a genetic map.  
430 The SuperMASSA [42] software calculates all possible ploidies for a locus and produces the  
431 most probable ploidy. Moreover, it is possible to define a fixed ploidy for a locus. The first  
432 option was used to call the dosages, which were ultimately used to construct the genetic map  
433 (Figure 6), and this map was compared with the fixed ploidy according to the BAC haplotype  
434 results (Figure 5). In fact, when using a Bayesian approach similar to that from the  
435 SuperMASSA, providing prior information about the ploidy level might improve the dosage  
436 estimates.

437 The markers from introns and exons were drawn along Region01 (Figure 5,  
438 “Location” column), including the duplicated region found in Region02. Among them, seven  
439 exhibited no variant presence in genotyping (Figure 5 – “×” marked), but five were detected  
440 in the RNAseq reads. Two markers (Figure 5 – “+” marked) were detected only for the  
441 “SuperMASSA best ploidy”, which was a ploidy higher than the “SuperMASSA expected  
442 ploidy”. In addition, two SNP loci were genotyped two times using different capture primer  
443 pairs (SugSNP\_sh061/SugSNP\_sh084 and SugSNP\_sh067/SugSNP\_sh092), and, as

444 expected, at higher ploidy levels (> 12), the dosages of the loci diverge. These results could  
445 be explained by intrinsic problems in molecular biology that occur during the preparation of  
446 the samples, which affects the signal intensity of the Sequenom iPLEX MassARRAY®  
447 (Sequenom Inc., San Diego, CA, USA) data.

448 The SuperMASSA best ploidy was equal to the genomic ploidy for six SNPs (Figure  
449 5), and the allelic dosage confirmed in four of them. When the ploidy for the loci was fixed (8  
450 for Region01 and 18 for Region01 and Region02 SNPs), 24 SNPs had their dosage confirmed  
451 by SuperMASSA (Figure 5 – “SuperMASSA expected ploidy” columns). Notably, the  
452 estimation of the ploidy could also be a difficult task [43], but when the ploidy used was  
453 found in BAC-FISH, the estimated dosage was in agreement with the dosage found in the  
454 BACs in 63% (28) of the SNPs (Figure 5).

455 For the genetic mapping, ten markers were used according to the SuperMASSA best  
456 ploidy results. First, attempts were made to add each marker to the existing linkage groups  
457 published by Balsalobre et al. [21], but none of the markers could be linked to the groups.  
458 Then, the markers were tested for linkage among themselves. Two linkage groups could be  
459 created (Figure 6 – panel A) with 27.4 cM and 32.7 cM, respectively. The two linkage groups  
460 were too large; therefore, the markers SugSNP\_sh065 and SugSNP\_sh099 were excluded,  
461 since both markers were in the duplicated region (Figure 6 – panel B).

462 Then, attempts were made to add the remaining markers to the groups again, and the  
463 marker SugSNP\_sh005 was inserted into Linkage group 02 (Figure 6 – panel C). The  
464 markers that were in the wrong positions according to the physical map (BACs) were also  
465 excluded, and the marker SugSNP\_sh005 was excluded from Linkage group 01 but remained  
466 in Linkage group 02 (Figure 6 – panel C). Then, an attempt was made to form one linkage  
467 group with the remaining markers by forcing OneMap to place the markers in a single group.  
468 Again, the size of the group was too large (60.3 cM - Figure 6 – panel D). Therefore, the best

469 representation of the region was two linkage groups, Linkage group 01 with 2.1 cM, and  
470 Linkage group 02 with 0 cM (Figure 6 – panel E).

471

## 472 **Discussion**

473 The genetic, genomic and transcriptome interactions among sugarcane homeologs  
474 remain obscure. Several works have attempted to understand these interactions [24, 27-31,  
475 43-47]; and others. The high polyploidy in sugarcane cultivars make the detection of the  
476 ploidy of a locus a challenge [43, 45-47]; and other.

477 The chromosome number of the main Brazilian varieties was determined. The  
478 chromosome number determination showed an equal number of chromosomes ( $2n = 112$ ,  
479 range:  $2n = 98-118$ ). The aneuploid nature of sugarcane hybrid cultivars [9, 48] means that  
480 they contain different numbers of homeologous chromosomes. A number of differences in  
481 the CMA/DAPI patterns were found among the different varieties analyzed in this study,  
482 suggesting differences in chromosome content, i.e., differences in homeologous arrangement.  
483 Vieira et al. [40] analyzed several sugarcane pollen cells showing metaphase chromosomes  
484 that did not line up at the plate, lagging chromosomes and chromosomal bridges, tetrad cells  
485 with micronuclei and dyads with asynchronous behavior. They concluded that the presence of  
486 chromatin bridges indirectly indicates the occurrence of chromosomal inversions.

487 For genetic and genomic studies, information about genomic organization is very  
488 important. Here, we report the construction of two new BAC libraries for two important  
489 Brazilian cultivars, SP80-3280 and SP93-3046, with a larger number of clones and higher  
490 sugarcane genome coverage than previously reported [25-27]. The number of clones in a  
491 library is directly related to the number of homeologous regions that can be recovered.

492 The approach of mapping the BES in the *S. bicolor* genome, already performed for  
493 other libraries [27, 49, 50], revealed high synteny with the *S. bicolor* genome and a large

494 number of TEs in the sugarcane genome. Kim et al. [49] showed BES anchorage of  
495 approximately 6.4%, and Figueira et al. [27] showed anchorage of approximately 22%. Our  
496 data showed 10% BES anchorage in the sorghum genome for both libraries constructed.  
497 These results are more similar to those of Kim et al. [49], since they used only BES  $\geq$  300  
498 bp, and we used BES  $\geq$  100 bp.

499 The sugarcane genome has been reported to be composed of approximately 40% TEs  
500 [27, 28, 49]. We also found that the average percentage of TEs was 40%, but this value has a  
501 very large variance among the haplotypes, with a minimum of 21% and a maximum of 65%.  
502 Figueira et al. [27] and De Setta et al. [28] also revealed an inflation of the sugarcane genome  
503 in comparison with the *S. bicolor* genome. De Setta et al. [28] reported a very significant  
504 expansion that mainly occurred in the intergenic and intronic regions and was primarily  
505 because of the presence of TE, and we confirmed this report by comparing our data with data  
506 on the *S. bicolor* genome. Several studies have reported a very significant sugarcane genome  
507 expansion [24, 27-31, 44].

508 A hypothetical gene *HP600* and the *CENP-C* gene were used in this work as a case  
509 study. The function of *HP600* is unknown, but the ortholog of this gene is present in the  
510 genomes of rice (LOC\_Os01g43060), maize (GRMZM2G114380) and sorghum  
511 (Sobic.003G221600). Sobic.003G221600 (ortholog of *HP600*) was also found in a QTL for  
512 BRIX (sugar accumulation) that was mapped by Murray et al. [51] and based on the sorghum  
513 consensus map reported by Mace and Jordan [52]. The *CENP-C* protein is a kinetochore  
514 component [35, 36] located next to *HP600*. Here, we have demonstrated the existence of  
515 paralogous genes for *HP600* and *CENP-C* that are localized in two different homeologous  
516 sugarcane chromosome groups. The BAC haplotypes could be separated into two sugarcane  
517 homeologous groups as follows: Region01 contained the collinearity region between  
518 sorghum and sugarcane *HP600* and *CENP-C* genes, and Region02 contained their paralogs.

519       Region01 is a recurrent case of high gene conservation and collinearity among  
520       sugarcane homeologs and the *S. bicolor* genome as reported by other authors [24, 28-31].  
521       Region02 contains parts of the genes *HP600* and *CENP-C* (paralogs). No synteny was found  
522       between the sugarcane Region02 and the sorghum genome. In Region02, a third partial gene  
523       (ortholog of Sobic.003G299500) was also found next to *CENP-C*, and transcriptome analysis  
524       revealed the fusion of the *CENP-C* partial exons with the partial exons of the sugarcane  
525       ortholog of Sobic.003G299500 to form a chimerical gene. Region02 is a scrambled sugarcane  
526       sequence that was possibly formed from different noncollinear ancestral sequences, but the  
527       exonic structure of the genes was retained. The phylogenetic analysis of gene haplotypes  
528       from *HP600* and *CENP-C* provided evidence that the multiple genes found in maize are the  
529       result of specific duplications in the maize taxa, as expected.

530       The nature of sugarcane hybrid cultivars, especially the processes of polyploidization  
531       [1, 2] and nobilization [3], are the main reason for the genomic variability, gene  
532       pseudogenization and increases in new genes [10]. It is possible that the structure found in  
533       Region02 could be a result of the polyploidization and domestication of sugarcane [6, 9, 48,  
534       53]. However, the presence of a set of sugarcane homeologs with very similar gene structures  
535       leads us to speculate that the occurrence of an ancestral event prior to polyploidization  
536       resulted in this structure.

537       Rearrangement events can also be caused by TEs, but they are normally caused by the  
538       formation of a pseudogene [54, 55]. In the case of Region02, multiple events resulted in this  
539       region, but the number and types (TE, translocations) of events could not be determined with  
540       our data.

541       BAC-FISH hybridization was used to indicate the ploidy of each region. Eight signals  
542       were found for Region01 and 10 for Region02. These results are highly consistent with the  
543       BAC haplotype and suggest that at least one BAC haplotype is missing in each region. Casu

544 et al. [45], Xue et al. [46] and Sun and Joyce [47] reported different methods to quantify the  
545 copy number of endogenous gene, some of which resulted in odd copy numbers. Sun and  
546 Joyce [47] reported that the low or odd numbers could be explained by the contribution of  
547 only the *S. spontaneum* or the *S. officinarum* genome. The presence of genes without  
548 collinearity among the sugarcane homeologs could also explain the result as verified for the  
549 orthologs Sobic.003G221800 and Sobic.008G134700.

550 The genomic SNP variation in sugarcane coding regions has been estimated to be one  
551 SNP every 50 bp [56] and one every 86 bp [16]. For the coding Region01 one SNP was  
552 found per 70 bases. Feltus et al. [57] showed that different ratios of SNPs occur across the  
553 genome. When we compared Region01 and Region02 one SNP was found per 12 bases using  
554 only the data for one sugarcane variety SP-803280. In light of the possible existence of at  
555 least one more haplotype, this number could be underestimated.

556 Once established, the polyploidy might now fuel evolution by virtue of its polyploid-  
557 specific advantages. Vegetative propagation can lead to the retention of genes. Meiosis may  
558 or may not play a role in either the origin or maintenance of a polyploid lineage [58].  
559 Vegetative propagation is widely used to propagate sugarcane (even for nondomesticated  
560 sugarcanes) and could explain the high variation in sugarcane and the high level of gene  
561 retention. However, it is not the only factor, with sugarcane polyploidization and nobilization  
562 also contributing to these effects.

563 The homologous gene expression in polyploids can be affected in different ways, i.e.,  
564 the homologous genes may retain their original function, one or more copies may be silenced,  
565 or the genes may diversify in function or expression [59-62]. In complex polyploids, the roles  
566 of ploidy and genome composition in possible changes in gene expression are poorly  
567 understood [63]. Even in diploid organisms, this task is difficult, since different interactions  
568 can affect the expression of a gene, and not all homologs are guaranteed to contribute to a

569 function [12]. The expression of the *HP600* and *CENP-C* haplotypes in Region01 could be  
570 confirmed. In Region02, the haplotypes of *HP600* were not found in the transcriptome  
571 dataset [16, 18], but at least two haplotypes of the gene *CENP-C* were expressed.

572 The gene haplotypes of *HP600* from Region01 exhibited unbalanced expression; i.e.,  
573 for some reason, some haplotypes were expressed at greater levels than others. These  
574 findings could mean that apart from the duplication, *HP600* might be expressed as a single-  
575 copy gene wherein only the haplotypes of the *HP600* in Region01 were expressed. In  
576 addition, we could not identify the mechanisms contributing to the unbalanced expression.  
577 Therefore, the transcripts from different tissues make us speculate that some kind of tissue-  
578 specific expression could be occurring.

579 Numerous molecular mechanisms are involved in the creation of new genes, such as  
580 exon shuffling, retrotransposons and gene duplications (reviewed in Long et al. [64]). Gene  
581 fusions allow the physical coupling of functions, and their occurrence in the genome  
582 increases with the genome size [65]. Sandmann et al. [36] describes the function of the  
583 protein KNL2, which uses *CENPC-k* motifs to bind DNA sequence independently and  
584 interacts with the centromeric transcripts. The *CENPC* motif is characteristic of *CENP-C*.  
585 The *CENPC* motif of the rat *CENP-C* protein can bind directly to a chimeric H3/cenH3  
586 nucleosome *in vitro* suggesting that this motif binds to cenH3 nucleosomes *in vivo*.  
587 Consequently, it is involved directly in cell division [35, 36]. The *CENPC* motifs described  
588 by Sandmann et al. [36], were compared with those of *CENP-C* genes in *A. thaliana*, *O.*  
589 *sativa*, *Z. mays* and *S. bicolor* (see Supplemental Figure 7, Additional File 1). The *CENP-C*  
590 haplotypes from Region02 (chimerical gene) have the same *CENPC* motif as that in sorghum.  
591 The *CENP-C* haplotypes from Region01 have one variation in the second residue of the  
592 *CENPC* motif, which is a glycine in sorghum and a valine in *CENP-C* haplotypes from  
593 Region01. This result suggests that the chimerical gene retained the ancestral residue at this

594 site, whereas a mutation occurred in *CENP-C* haplotypes from Region01. Therefore, the  
595 mutation could have occurred in sorghum and in the haplotypes from Region02, but this is  
596 unlikely. This result suggests that the *CENP-C* haplotypes from Region01 and Region02 are  
597 able to bind to cenH3 nucleosomes.

598 The presence of the motif in the *CENP-C* haplotypes from the Region02 proteins  
599 could indicate a chimerical protein with a similar function, specific to sugarcane, that is  
600 involved in the organization of centromeric regions. Moreover, the presence of large LTR  
601 retrotransposons in the intronic region of the *CENP-C* haplotypes in Region01 does not  
602 influence the gene expression. Furthermore, two studies [66, 67] identified the inactivation of  
603 the same gene, IBM2/ANTI-SILENCING 1 (ASI1), which causes gene transcripts with  
604 methylated intronic transposons that terminate within the elements. The complete  
605 mechanisms that control LTR retrotransposon methylation require further clarification [41].

606 These results have several implications for the integration of transcriptome data and  
607 genomic data. First, for example, a gene such as *HP600* that demonstrates single-copy  
608 behavior in the transcriptome data and the genomic behavior of a duplicated gene can cause  
609 bias in genetic mapping. Second, a chimerical gene such as the *CENP-C* haplotypes in  
610 Region02 can result in different levels of expression of the duplicated and nonduplicated  
611 gene regions in the transcriptome data. Using the *CENP-C* gene as an example, if the gene  
612 expression quantification probe recovers the nonduplicated portion of the *CENP-C* gene, it  
613 will give an expression level only for the *CENP-C* haplotypes in Region01. In contrast, this  
614 probe quantifies the duplicated region of *CENP-C*, it will result in the quantification of  
615 *CENP-C* from both Region01 and Region02 and thus overestimate the expression of *CENP-C*.  
616 Consequently, analyses of the expression of the gene for functional studies for evaluating  
617 the balance of gene expression will be biased.

618 The SNPs were also used to compare the ploidy found in BACs with the results of  
619 SuperMASSA software [43]. SuperMASSA uses segregation ratios to estimate ploidy, which  
620 is not the same as estimating ploidy by chromosome counting because of the differences in  
621 estimation and the real ploidy visualized. The SNPs present in a duplication were mapped in  
622 a linkage group and demonstrated a high distance between the markers in the linkage map.  
623 The size of a genetic map is a function of the recombination fraction, so two factors influence  
624 the map size: (I) the number of recombinations found between two markers, and (II)  
625 genotyping errors. In this case, the mapping of duplicated markers is an error and is  
626 interpreted by OneMap in a recombination fraction, which inflates the map.

627 Two markers classified with a ploidy of 10 and one with a ploidy of 8 formed the  
628 linkage group 02. The ploidy is not a determinant for the OneMap construction of a linkage  
629 group, but the recombination fraction is. In other words, recombination fractions can still be  
630 computed between single-dose markers classified in different ploidy levels. In fact, most of  
631 nulliplex, simplex and duplex individuals will have the same dosage call using either 8 or 10  
632 as the ploidy level. In addition, the genome data (BACs and BAC-FISH) demonstrated that  
633 all markers had the same ploidy of eight and that the physical distances among the markers  
634 were too small and thus probably resulted in the lack of recombination. The fact that we  
635 obtained two linkage groups can be explained by the possibility that single-dose markers may  
636 be linked in repulsion, and insufficient information is available to assemble all of the markers  
637 in one group. Trying to calculate the recombination fraction between markers D1 and D2  
638 (according to the nomenclature of Wu et al. [68]) in diploids presents the same obstacle.

639 We observed the relationship between a linkage map and the physical map of a region  
640 in sugarcane. Indeed, it is a small region to observe whereas sugarcane has a large genome,  
641 and a linkage map is constructed based on the recombination fraction. However, it was  
642 possible to observe what happens in the genetic map when a duplicated locus was mapped.

643 The combination of divergent genomes within a hybrid can lead to immediate,  
644 profound and highly varied genome modifications, which could include chromosomal and  
645 molecular structural modifications [69-72] as well as epigenetic changes [73] and global  
646 transcriptomic changes [61, 62]. The integration of the genetic, genomic and transcriptomic  
647 data was used to explain the interaction of the two regions in sugarcane. *HP600* is a  
648 hypothetical gene that is next to the *CENP-C* gene, a kinetochore component responsible for  
649 the initiation of nucleosomes. The sugarcane gene haplotypes of *HP600* in Region01 and the  
650 *CENP-C* haplotypes in Region01 were duplicated in another group of homeologous  
651 chromosomes. The duplication of the *HP600* haplotypes in Region01 resulted in a  
652 paralog pseudogene in the *HP600* haplotypes in Region02. The duplication of *CENP-C* in the  
653 haplotypes of Region02 resulted in fusion with another gene, which contained the first five  
654 exons of the orthologous gene Sobic.003G299500 and exons eight to fourteen of *CENP-C*.  
655 The region where this duplication was inserted (Region02) contained at least three more  
656 genes that probably arose due to duplication, which indicates that multiple duplication events  
657 occurred in this region.

658 The *HP600* and *CENP-C* duplication described in this work occurred sometime after  
659 the separation of sugarcane and sorghum and before the polyploidization of the *Saccharum*  
660 genus. This result is supported by the following information: (I) the molecular clock time, (II)  
661 the genes are present in a homeologous group of chromosomes; and (III) the *CENP-k* motifs  
662 of the *CENP-C* haplotypes in Region02 are more similar to sorghum than to its paralog in  
663 sugarcane. The formation of a chimeric gene and the scrambled Region02 exhibited a specific  
664 moment of formation before *Saccharum* polyploidization, which makes us wonder which  
665 genomic event could be the result this formation. TEs carrying this region could not be found.  
666 It is also possible that TEs were inserted in this region, and the TE sequences were

667 subsequently lost. An event that resulted in some genome instability could also be a reason.

668 Additionally, multiple events could also have occurred.

669 The transcripts from SP80-3280 revealed full expression of the haplotypes of *HP600*  
670 in Region01 (in an unbalanced manner) and the lack of expression of the haplotypes *HP600*  
671 in Region02. The expression of the *HP600* haplotypes in Region01 can be considered a  
672 single-copy gene, despite the presence of the duplication. The *CENP-C* gene can be  
673 considered fully expressed, despite the low coverage of the transcriptome data. The *CENP-C*  
674 haplotypes in Region02 have four haplotypes that are considered expressed.

675 Currently, only markers with low dosages can be used to construct the genetic map in  
676 sugarcane, which is a limitation of the mapping method in polyploids. We attempted to map a  
677 duplicated region, which is a difficult task even for diploid organisms. Again, it is important  
678 to observe that we used a sugarcane variety with asexual reproduction and performed the  
679 genetic mapping in artificial progeny. We have no idea how the progeny genome responded  
680 to the cross, since sugarcane is aneuploid. In addition, the premise that each individual of the  
681 progeny did not miss any chromosome in the cross (aneuploidy) and the ploidy of a locus is  
682 the same in both the parents and all individuals in the progeny could be biologically  
683 untruthful. The genetic mapping demonstrates that there are obstacles that still need to be  
684 overcome in the genetic mapping of complex polyploids.

685

## 686 Conclusion

687 This study sheds light on the influence of the genome arrangement for transcriptome  
688 and genetic map analyses in the sugarcane polyploid genome. The integration of genomic  
689 sequence arrangements, transcription profiles, cytogenetic organization and the genetic  
690 mapping approach might help to elucidate the behavior of gene expression, the genetic  
691 structure and successful sequence assembly of the sugarcane genome. Such integrated studies

692 will undoubtedly help to enhance our understanding of complex polyploid genomes including  
693 the sugarcane genome.

694 Particular emphasis should be given to the determination studies of the ploidy level  
695 and of the duplication loci with the intention of better understanding complex polyploids.  
696 Such studies remain the most original and challenging in terms of understanding the  
697 sugarcane genome. From this perspective, this work presents an integrated approach to  
698 elucidate the allelic dynamics in polyploid genomes.

699

## 700 **Methods**

701

### 702 *Plant material*

703 The sugarcane varieties SP80-3280 and SPIAC93-3046 were collected from  
704 germplasm at the active site located in the Agronomic Institute of Campinas (IAC) Sugarcane  
705 Center in Ribeirão Preto, São Paulo, Brazil. The leaves were collected on dry ice and stored  
706 at -80°C until use.

707

### 708 *BAC library construction and BAC-end analyses*

709 The high-molecular-weight (HMW) DNA was prepared from the leaves as described  
710 by Peterson et al. [74] with modifications as described by Gonthier et al. [75]. The HMW  
711 DNA was embedded in low melt agarose (Lonza InCert™ Agarose, Lonza Rockland Inc.,  
712 Rockland, ME, USA) and partially digested with HindIII (New England Biolabs, Ipswich,  
713 MA, USA). Next, two size selection steps were performed by pulsed field gel electrophoresis  
714 (PFGE) with a Bio-Rad CHEF Mapper system (Bio-Rad Laboratories, Hercules, CA, USA),  
715 and the selected DNA was ligated into the pIndigoBAC-5 HindIII-Cloning Ready vector  
716 (Epicenter Biotechnologies, Madison, WI, USA) as described by Chalhoub et al. [76]. The

717 insert size was verified by preparing DNA BACs with the NucleoSpin® 96 Plasmid Core Kit  
718 (MACHEREY-NAGEL GmbH & Co., Düren, Germany), according to the kit instructions,  
719 and the DNA was digested by the NotI (New England Biolabs, Ipswich, MA, USA)  
720 restriction enzyme and analyzed by PFGE.

721 For the BES, 384 random BAC DNAs from each library were prepared with the  
722 NucleoSpin® 96 Plasmid Core Kit (MACHEREY-NAGEL GmbH & Co., Düren, Germany),  
723 according to the kit instructions. The sequencing reactions were performed according to the  
724 manufacturer's instructions for the BigDye Terminator Kit (Applied Biosystems, Foster City,  
725 CA, USA). The primers used in the reactions were T7 Forward (5'  
726 TAATACGACTCACTATAGG 3') and M13 Reverse (5' AACAGCTATGACCATG 3').

727 The PCR conditions were 95°C for 1 min followed by 90 cycles of 20 sec at 95°C, 20 sec at  
728 50°C and 4 min at 60°C. The samples were loaded on a 3730xl DNA Analyzer (Applied  
729 Biosystems). Sequence trimming was conducted by processing the traces using the base-  
730 calling software PHRED [77, 78], and reads with phred score < 20 were trimmed. The  
731 sequences were compared by using BLASTN in the *S. bicolor* genome from Phytozome  
732 v10.1 [79]. Only clones with forward and reverse sequence maps in the *S. bicolor* genome,  
733 with a maximum distance of 600 kb and with no hits with repetitive elements, were used to  
734 anchor the *S. bicolor* genome.

735

### 736 ***Target gene determination***

737 Transcripts of *S. bicolor*, *Z. mays* and *O. sativa* were obtained from Phytozome v10.1  
738 [79]. Each transcript was queried against itself, and orthologous genes that resulted in  
739 redundant sequences were eliminated. From the remaining genes, the gene  
740 Sobic.003G221600 (*Sorghum bicolor* v3.1.1 – Phytozome v. 12) was chosen because it was  
741 inserted in a QTL for Brix from a study by Murray et al. [51], which identified the QTL in

742 the SB-03 genome (*S. bicolor* v3.1.1 – Phytozome v. 12). The sequence of the gene  
743 Sobic.003G221600 was then used as query in the SUCEST-FUN database (<http://sucest-fun.org/> - [15]) and the transcriptome obtained by Cardoso-Silva et al. [16] to recover  
744 sugarcane transcripts. All the transcripts obtained were aligned (MAFFT; [80]) to generate  
745 phylogenetic trees by the maximum likelihood method (PhyML 3.0; [81]).

747 The sugarcane transcripts were split into exons according to their annotation in *S.*  
748 *bicolor*, *Z. mays* and *O. sativa*, and exon five was used to design the probe to screen both  
749 BAC libraries (F: 5' ATCTGCTTCTTGGTGTTGCTG 3', R: 5'  
750 GTCAGACACGATAGGTTGTC 3'). DNA fragments were PCR-amplified from sugarcane  
751 SP80-3280 and SPIAC93-3046 genomic DNA with specific primers targeting the gene  
752 Sobic.003G221600. The PCR amplification conditions were 95°C for 8 min; 30 cycles of 20  
753 sec denaturation at 95°C, 20 sec of annealing at 60°C, and a 40 sec extension at 72°C; and a  
754 final 10 min extension at 72°C. The probes were sequenced before the screening of the BAC  
755 library.

756

757 ***BAC library screening***

758 Both BAC libraries were spotted onto high-density colony filters with the workstation  
759 QPix2 XT (Molecular Devices, Sunnyvale, CA, USA). The BAC clones were spotted in  
760 duplicate using a 7x7 pattern onto 22 × 22 cm Immobilon-Ny+ filters (Molecular Devices).  
761 The whole BAC library from the SP80-3280 sugarcane variety was spotted in four sets of  
762 filters, each one with 55 296 clones in duplicate and the whole BAC library from SPIAC93-  
763 3046 sugarcane variety was spotted in three sets of filters each with 55,296 clones in  
764 duplicate. The filters were processed as described by Roselli et al. [82]. Probe radiolabeling  
765 and filter hybridization were performed as described in Gonthier et al. [75].

766 The SP80-3280 BAC library was used to construct a 3D pool. A total of 110,592  
767 clones were pooled into 12 superpools following the protocol used by Paux et al. [83]. The  
768 positive BAC clones from the SP80-3280 library were isolated, and one isolated clone was  
769 validated by qPCR. The insert size of each BAC was estimated by using an electrophoretic  
770 profile of NotI-digested BAC DNA fragments and observed by PFGE (CHEF-DRIII system,  
771 Bio-Rad) in a 1% agarose gel in 0.5× TBE buffer under the conditions described in Paiva et  
772 al. [84].

773

774 ***Sequencing and assembly***

775 Twenty-two positive BAC clones were sequenced in pools of 10 clones. One  
776 microgram of each BAC clone was used to prepare individual tagged libraries with the GS  
777 FLX Titanium Rapid Library Preparation Kit (Roche, Branford, CT, USA). BAC inserts were  
778 sequenced by pyrosequencing with a Roche GS FLX Life Sciences instrument (Branford, CT,  
779 USA) in CNRGV, Toulouse, France.

780 The sequences were trimmed with PHRED, vector pIndigoBAC-5 sequences and the  
781 *Escherichia coli* str. K12 substr. DH10B complete genome was masked using  
782 CROSS\_MATCH, and the sequences were assembled with PHRAP [85-87] as described by  
783 De Setta et al. [28]. A BLASTN with the draft genome [23] was performed. A search was  
784 performed in the NCBI databank to find sugarcane BACs that could possibly have the target  
785 gene *HP600*.

786

787 ***Sequence analysis and gene annotation***

788 All the BACs were aligned to verify the presence of redundant sequences of  
789 homeologs. BAC clones with more than 99% similarity were considered the same homeolog.  
790 BACs that represented the same homeologs were not combined. The BACs were annotated

791 with the gene prediction programs EUGENE [88] and Augustus [89]. The BAC sequences  
792 were also searched for genes with BLASTN and BLASTX against the transcripts of  
793 SUCEST-FUN database (<http://sucest-fun.org/>; [15]), the CDS of *S. bicolor*, *Z. mays* and *O.*  
794 *sativa* from Phytozome v12.0 and the transcripts published by Cardoso-Silva et al. [16]. The  
795 BACs were also subjected to BLASTX against Poaceae proteins. The candidate genes were  
796 manually annotated using *S. bicolor*, *O. sativa* and *Z. mays* CDS. The sequences with more  
797 than 80% similarity and at least 90% coverage were annotated as genes.

798 Repetitive content in the BAC clone sequences was identified with the web program  
799 LTR\_FINDER [90]. Afterward, the BAC sequences were tested by CENSOR [91] against  
800 Poaceae.

801 The phylogenetic trees were built by the Neighbor-Joining method [92] with nucleic  
802 distances calculated with the Jukes-Cantor model [93] in MEGA 7 software [94]. The Kimura  
803 2-parameter [95] was used as the distance mode.

804

#### 805 ***Duplication divergence time***

806 The gene contents of *HP600* and *CENP-C* in the duplication regions were compared,  
807 and the distance “d” for coding regions was determined by Nei-Gojobori with Jukes-Cantor,  
808 available in MEGA 7 software [94]. The divergence times of the sequences shared by the  
809 duplicated regions in the BACs were estimated by  $T = d/2r$ . The duplicated sequences were  
810 used to calculate the pairwise distances (d), and “r” was replaced by the mutation rate of  $6.5 \times$   
811  $10^{-9}$  mutations per site per year as proposed by Gaut et al. [96]. For the whole duplication,  
812 the distance “d” for noncoding regions was determined with the Kimura 2-parameter model  
813 and the mutation rate of  $1.3 \times 10^{-8}$  mutations per site per year, as described by Ma and  
814 Bennetzen [97].

815 The insertion ages of the LTR retrotransposons were estimated based on the  
816 accumulated number of substitutions between the two LTRs (d) [98], using the mutation rate  
817 of  $1.3 \times 10^{-8}$  mutations per site per year, as described by Ma and Bennetzen [97].  
818

819 ***Gene expression***

820 The transcriptomes of the sugarcane variety SP80-3280 from the roots, shoots and  
821 stalks were mapped on *HP600* and *CENP-C* (NCBI SRR7274987), and the set of transcripts  
822 was used for the transcription analyses. The reads from the sugarcane transcriptomes were  
823 mapped to the reference genes with the Bowtie2 software 2.2.5 [99] with default parameters;  
824 low-quality reads and unmapped reads were filtered out (SAMtools -b -F 4); bam files were  
825 sorted (SAMtools sort); and only mapped reads to the genes were extracted from the bam  
826 files (SAMtools fastq) and recorded in a FASTQ format file. A haplotype was considered to  
827 be expressed only when the transcript reads were mapped with 100% similarity. SNPs not  
828 found in the dataset were searched in the SP80-3280 transcriptomes from Vettore et al. [15],  
829 Talbert et al. [33] and Cardoso-Silva et al. [16] to verify the SNP presence in transcripts, but  
830 they were not used in the expression analysis.

831 To test whether the haplotypes had the same proportional ratio in the genome and  
832 transcriptome, the transcripts were mapped against one haplotype of the *HP600* haplotypes in  
833 Region01 and *CENP-C* with a 90% similarity, and the SNPs found in the transcripts were  
834 identified and the coverage and raw variant reads count used to verify the presence of SNPs  
835 not found in BACs. An SNP was considered present in the transcripts if it was represented by  
836 at least six transcriptome reads [100].

837 We assumed that one haplotype of each region was missing and tested two genomic  
838 frequencies for comparison with the transcriptome sequences: (1) the missing haplotype had a  
839 higher frequency of the SNP, and (2) the missing haplotype had a lower frequency of the

840 SNP. When the SNP was not found in the genomic data, we assumed that only the missing  
841 haplotype contained the variant SNP.

842 The frequency of the genomic data was used to test the transcriptome data with R  
843 Studio [101] and the exact binomial test (*binom.test* - [102-104]). A p-value  $\geq 0.05$  is  
844 equivalent to a 95% confidence interval for considering the genomic ratio equal to the  
845 transcriptome ratio.

846

847 ***Chromosome number determination and BAC-FISH***

848 The chromosome number determination was performed as described by Guerra [105]  
849 with root tips 0.5–1.5 cm in length, treated with 5 N HCl for 20 min. The slides were stained  
850 with Giemsa 2% for 15 min. Chromosome number determination was performed for the  
851 varieties SP80-3280, SP81-3250, RB83-5486, RB92-5345, IACSP95-3018 and IACSP93-  
852 3046. CMA/DAPI coloration was performed by enzymatic digestion as described by Guerra  
853 and Souza [106]. The slides were stained with 10  $\mu$ g/ml DAPI for 30 min and 10  $\mu$ g/ml CMA  
854 for 1 h. Afterward, the slides were stained with 1:1 glycerol/McIlvaine buffer and visualized.

855 BAC-FISH was performed using the variety SP803280. For mitotic chromosome  
856 preparations, root tips 0.5–1.5 cm in length were collected and treated in the dark with p-  
857 dichlorobenzene-saturated solution in the dark at room temperature for 2 h, then fixed in a  
858 freshly prepared 3:1 mixture (ethanol: glacial acetic acid) at 4°C for 24 h and stored at –20°C  
859 until use. After being washed in water, they were digested with the following enzymes: 2%  
860 cellulase (w/v) (Serva, Heidelberg, Baden-Wurtemberg State, Germany), 20% pectinase (v/v)  
861 (Sigma, Munich, Baviera State, Germany) and 1% Macerozyme (w/v) (Sigma) at 37°C for 1  
862 h-2 h [107]. The meristems were squashed in a drop of 45% acetic acid and fixed in liquid  
863 nitrogen for 15 min. After air-drying, slides with good metaphase chromosome spreads were  
864 stored at –20°C.

865 The BACs Shy064N22 and Shy048L15, both from the BAC library for the SP80-  
866 3280 variety, were used as probes. The probes were labeled with digoxigenin-11-dUTP  
867 (Roche) by nick translation. Bacterial artificial chromosome-fluorescence in situ  
868 hybridization (BAC-FISH) was performed as described by Schwarzacher and Heslop-  
869 Harrison [108] with minor modifications. The *Cot*-100 fraction of the sugarcane variety  
870 SP80-3280 genomic DNA, which was used to block repetitive sequences, was prepared  
871 according to Zwick et al. [109]. Preparations were counterstained and mounted with 2 µg/ml  
872 DAPI in Vectashield (Vector, Burlingame, CA, USA).

873 The sugarcane metaphase chromosomes were observed and photographed, depending  
874 on the procedure, with transmitted light or epifluorescence under an Olympus BX61  
875 microscope equipped with the appropriate filter sets (Olympus, Shinjuku-ku, Tokyo, Japan)  
876 and a JAI® CV-M4 + CL monochromatic digital camera (JAI, Barrington, N.J., USA).  
877 Digital images were imported into Photoshop 7.0 (Adobe, San Jose, Calif., USA) for  
878 pseudocoloration and final processing.

879

880 ***Genetic map construction***

881 The BAC haplotypes were used to identify 44 sugarcane SNPs in the *HP600* and  
882 *CENP-C* exons. The SNP genotyping method was based on MALDI-TOF analysis performed  
883 on a mass spectrometer platform from Sequenom Inc.<sup>®</sup> as described by Garcia et al. [43]. The  
884 mapping population consisted of 151 full siblings derived from a cross between the SP80-  
885 3280 (female parent) and RB835486 (male parent) sugarcane cultivars, and the genetic map  
886 was constructed as described by Balsalobre et al. [21].

887

888 **List of abbreviations**

889 °C: Celsius degrees; BACs: Bacterial Artificial Chromosomes; BES: BAC ends sequencing;  
890 Bp: base pairs; BRIX: Soluble solid content; CDS: Coding DNA sequence; CenH3: histone  
891 H3; CENP-C: Centromere protein C; CMA: A3 Chromomycin; DAPI: 4',6-diamidino-2-  
892 phenylindole; DNA: Deoxyribonucleic acid; EST: Expressed Sequence Tag; FISH:  
893 Fluorescent in situ hybridization; Gb: Giga-base pairs; GBS: Genotyping by sequencing;  
894 HMW: High-molecular-weight; Kb: Kilo-base pairs; QTL: Quantitative Trait Loci; LTR:  
895 Long Terminal Repeat; Min: Minutes; Mya: Millions Years Ago; PCR: Polymerase chain  
896 reaction; PFGE: Pulsed Field Gel Electrophoresis; RNA: Ribonucleic acid; RNAseq: RNA  
897 sequencing; Sec: Seconds; SNP: Single Nucleotide Polymorphism; TEs: Transposable  
898 Elements;  
899

900 **Declarations**

901

902 ***Ethics approval and consent to participate***

903 Not applicable

904

905 ***Consent for publication***

906 Not applicable

907

908 ***Availability of data and materials***

909 Sequence data from this article can be found in the EMBL/GenBank data libraries under the  
910 following accession number(s):

911 BAC sequences: from MH463467 to MH463488.

912 RNAseq subset data: SRR7274987

913

914 ***Competing interests***

915 The authors declare that they have no competing interests

916

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924

925 ***Authors' contributions***

926 APS, DAS, ERFM, HB, MV, and AAFG designed the study; AB, DAS, HH, JF, MC, MCM,

927 MVRC, ND, NR and SV performed the research; CBC-S, DAS, GSP, MV, M-AVS and RV

928 contributed new analytical/computational tools; AAFG, AB, APS, CBC-S, DAS, GSP, HB,

929 LRP, MCM, MGAL, MS, MV, and SV analyzed the data; and DAS, MV and APS wrote the

930 paper. All authors critically read the text and approved the manuscript.

931

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934

935 ***Authors' information***

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1228 **Figure legends**

1229 **Figure 1.** Schematic representation of the sugarcane BAC haplotypes from Region01 and  
1230 Region02. Squares of the same color represent sugarcane genes orthologous to *Sorghum*  
1231 *bicolor* genes. Dotted lines connect the homologous genes in sugarcane at different positions.  
1232 In sugarcane Region02, the *CENP-C* haplotypes in Region02 are represented by two squares  
1233 (blue and pink), where each square represents a partial gene fusion. The dark gray strip  
1234 represents the shared region from Region01 and Region02 (duplication). The genes in light  
1235 gray (from *S. bicolor*) are not found in the sugarcane BACs. The representation is not to  
1236 scale. The orientation of transcription is indicated by the direction of the arrow at the end of  
1237 each gene.

1238 **Figure 2.** Representation of each sugarcane BAC from Region01 and Region02. Arrows and  
1239 rectangles of the same color represent the homologous genes in sugarcane. Black rectangles  
1240 represent repeat regions. Yellow lines represent gaps. Similar regions are represented by a  
1241 gray shadow connecting the BACs. The orientation of transcription is indicated by the  
1242 direction of the arrow at the end of each gene. Scale representation.

1243 **Figure 3.** FISH hybridization of the sugarcane BACs. Panel (A): BAC Shy065N22  
1244 hybridization in sugarcane variety SP-803280 mitosis showing eight signals for Region01.  
1245 Panel (B): BAC Shy048L15 hybridization in sugarcane variety SP-803280 mitosis showing  
1246 ten signals for Region02.

1247 **Figure 4.** Fusion gene formation of *CENP-C* and Sobic003G299500. Panel (A): Sorghum  
1248 *CENP-C* and Sobic003G299500 genome location. Panel (B): Sugarcane genomic *CENP-C*  
1249 haplotypes in Region01 (all expressed). Panel (C): Partially duplicated sugarcane paralogs of  
1250 *CENP-C* and Sobic003G299500 haplotypes in Region02 (only haplotypes XI/XII/XIII and  
1251 haplotype XIV have evidence of expression). Panel (D): Sugarcane ortholog of  
1252 Sobic003G299500 found in the sugarcane R570 BAC library. Panel (E): Transcripts from

1253 sugarcane SP80-3280 mapped against the CDS of sugarcane *CENP-C* haplotypes from  
1254 Region01. Panel (F): Transcripts from sugarcane SP80-3280 mapped against the sugarcane  
1255 chimerical paralogs of *CENP-C* and Sobic003G299500. As evidence of fusion gene  
1256 formation, the transcripts show the fusion point of the paralogs. Panel (G): Transcripts from  
1257 sugarcane SP80-3280 mapped against the CDS of the sugarcane R570 ortholog of  
1258 Sobic003G299500.

1259 **Figure 5.** Ploidy and dosage in the sugarcane genomic DNA (BACs) and SuperMASSA  
1260 estimation. The location of each SNP is shown by one haplotype from Region01 and one  
1261 haplotype from Region02. “SuperMASSA Best Ploidy” means the SuperMASSA best ploidy  
1262 with a posteriori probability of >0.8. “SuperMASSA Expected Ploidy” means we fixed the  
1263 ploidy of the loci in SuperMASSA according to the BAC-FISH and BAC sequencing results.  
1264 “Genomic Ploidy” means the ploidy of the loci according to the BAC-FISH and BAC  
1265 sequencing results. “\*” means the SNP was found only in the transcriptome.

1266 **Figure 6.** Schematic representation of sugarcane linkage map. The sugarcane variety SP80-  
1267 3280 SNPs was used to create multiples linkage map with information about the sugarcane  
1268 genome (BACs).

1269

1274 **Content:**

1275 **Supplemental Figure 1.** BAC-end locations in the *Sorghum* genome according to BLASTn  
1276 analysis.

1277 **Supplemental Figure 2.** BAC BLASTn analysis against sugarcane genome contigs.

1278 **Supplemental Figure 3.** Schematic representation of phylogenetics and physical  
1279 duplications.

1280 **Supplemental Figure 4.** Evolutionary relationships of the gene Sobic.008G134700.

1281 **Supplemental Figure 5.** Evolutionary relationships of HP600 and CENP-C.

1282 **Supplemental Figure 6.** Mitotic metaphases of the sugarcane varieties.

1283 **Supplemental Figure 7.** CENP-C motifs alignments.

1284 **Supplemental Table 1.** BAC assembly and annotation.

1285 **Supplemental Table 2.** Orthologous genes from Region01.

1286 **Supplemental Table 3.** Orthologous genes from Region02.

1287 **Supplemental Table 4.** Number of SNPs found in CENP-C and HP600.

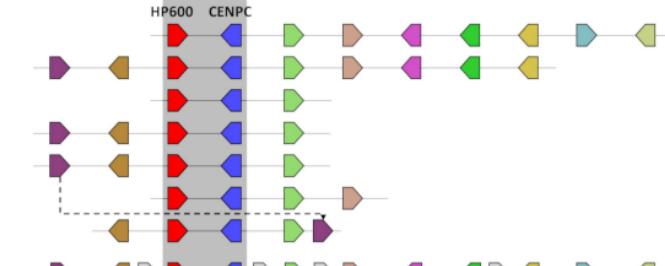
1288 **Supplemental Table 5.** Number of SNPs found in duplicated regions.

1289 **Supplemental Table 6.** Chromosome counts.

1290 **Supplemental Table 7.** Sequenom iPLEX MassARRAY® primers.

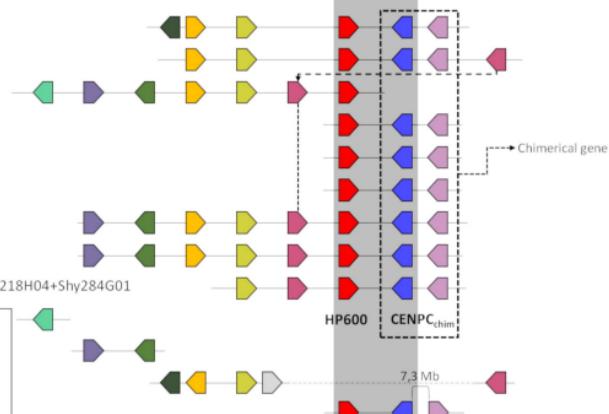
## Region01

- Hap I - Shy3280Sca006
- Hap II - Shy178F10+Shy260F01
- Hap III - Shy083P14
- Hap IV - Shy281G09
- Hap V - Shy038L23+Shy432H18
- Hap VI - Shy098J09
- Hap VII - Shy241H10+Shy064N22



### Sorghum bicolor orthologue region Chr. 03

- Hap VIII - Shy095J03
- Hap IX - Shy255C13
- Hap X - Shy231B24
- Hap XI - Shy040F02
- Hap XII - Shy285K15
- Hap XIII - Shy452C23
- Hap XIV - Shy276O20
- Hap XV - Shy048L15+Shy431A16
- Hap XVI - Shy035E13+Shy171E23+Shy218H04+Shy284G01



### Sorghum bicolor orthologue Chr. 06

### Sorghum bicolor orthologue Chr. 04

### Sorghum bicolor orthologue Chr. 08

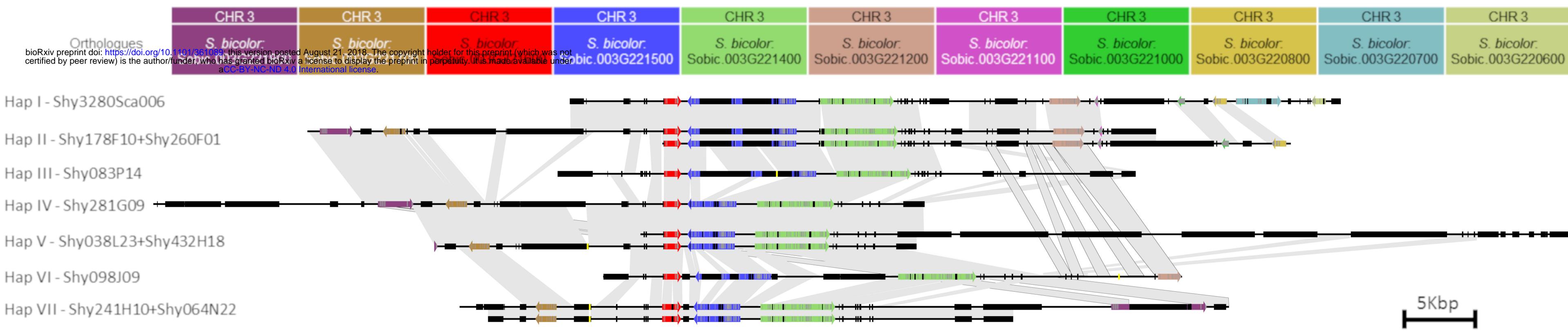
### Sorghum bicolor orthologue Chr. 03

#### Orthologues

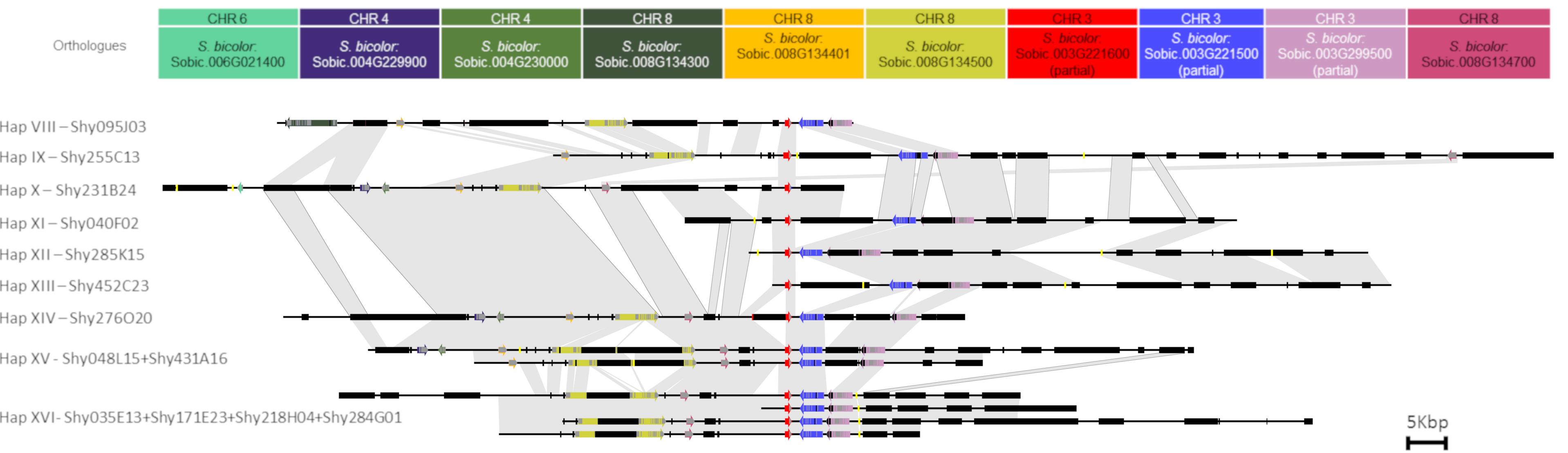


## Region02

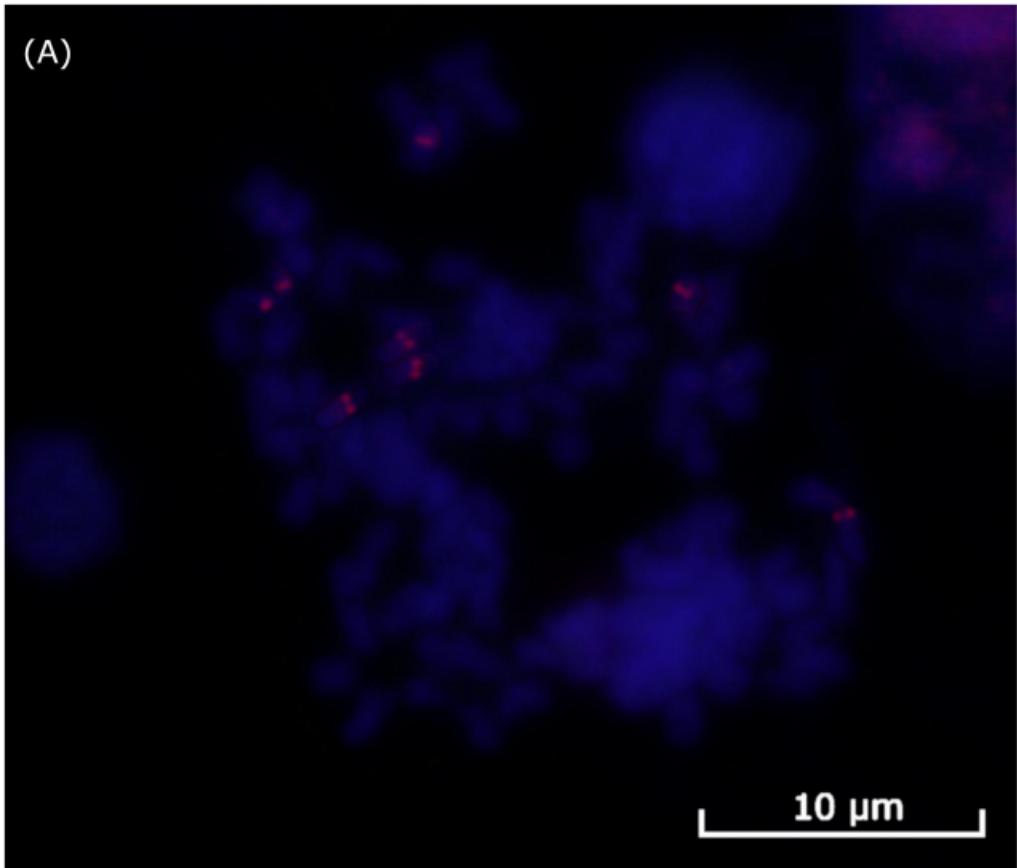
## Region01



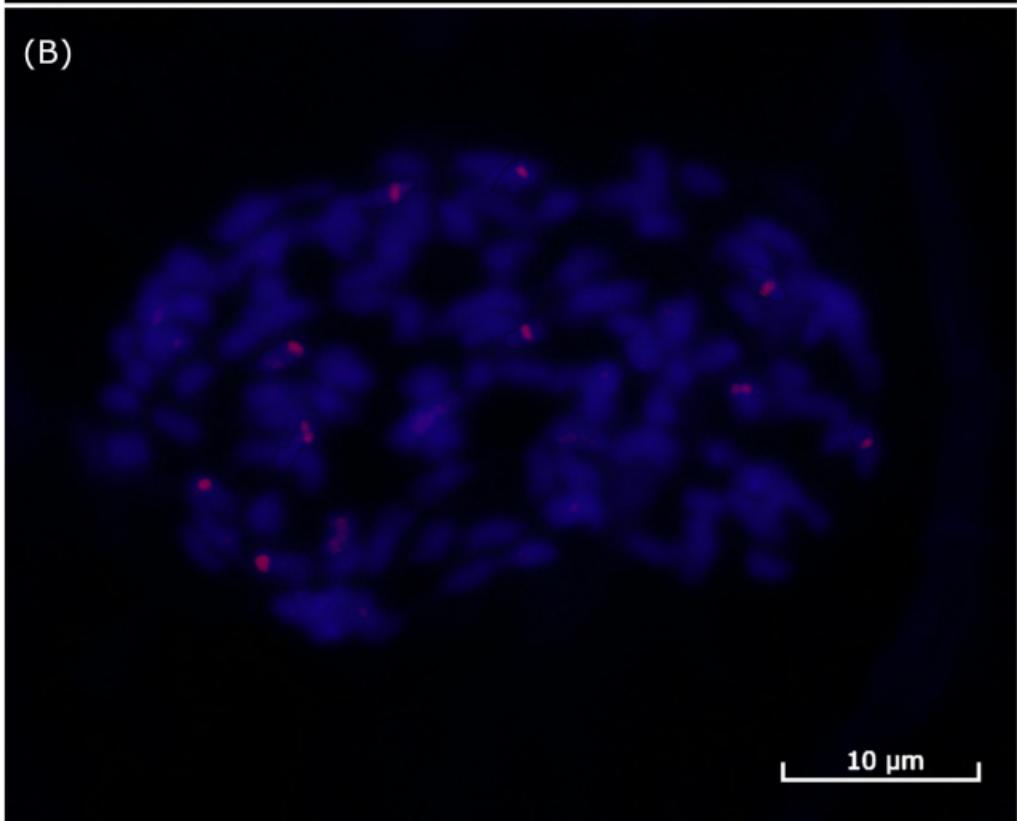
## Region02

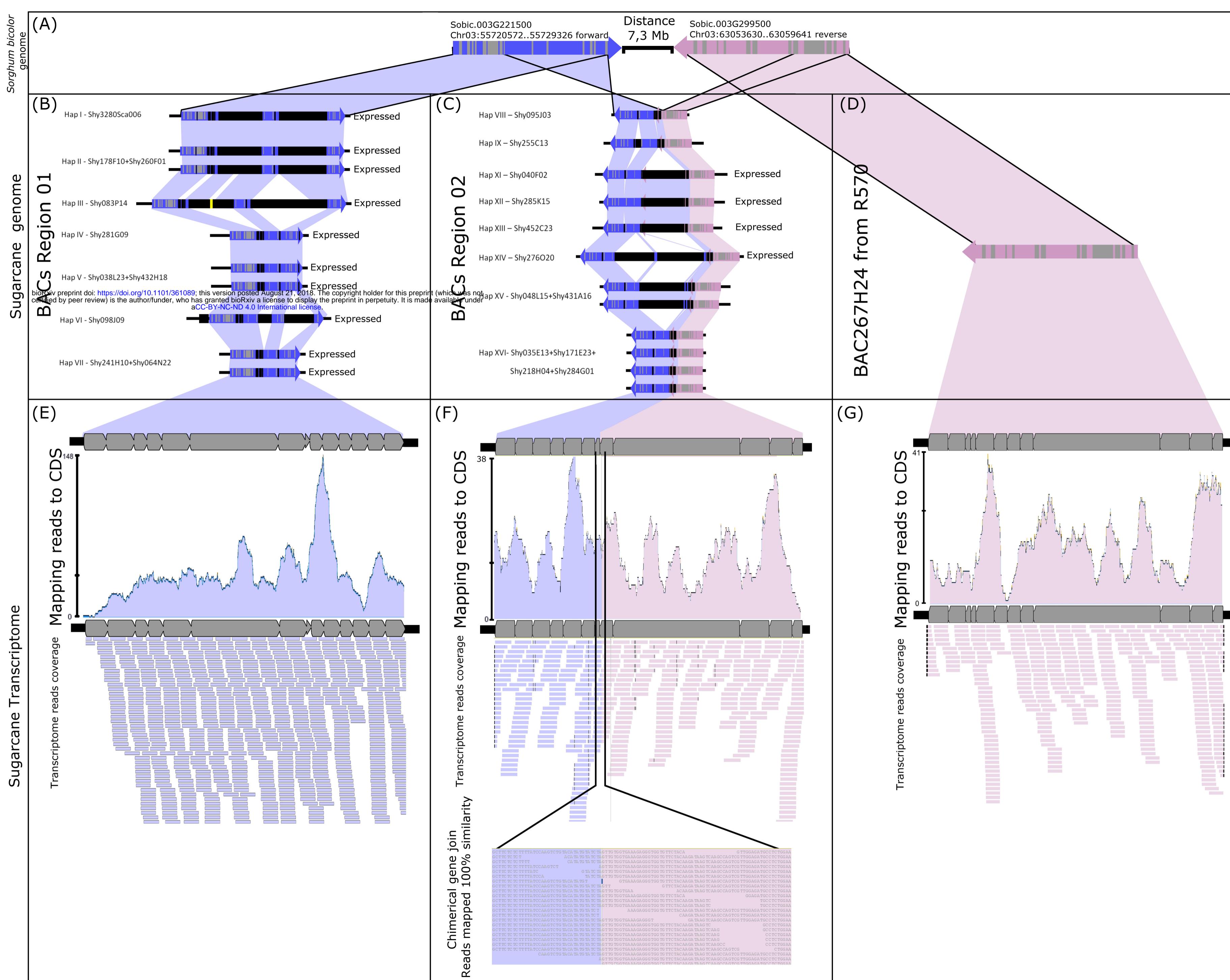


(A)



(B)



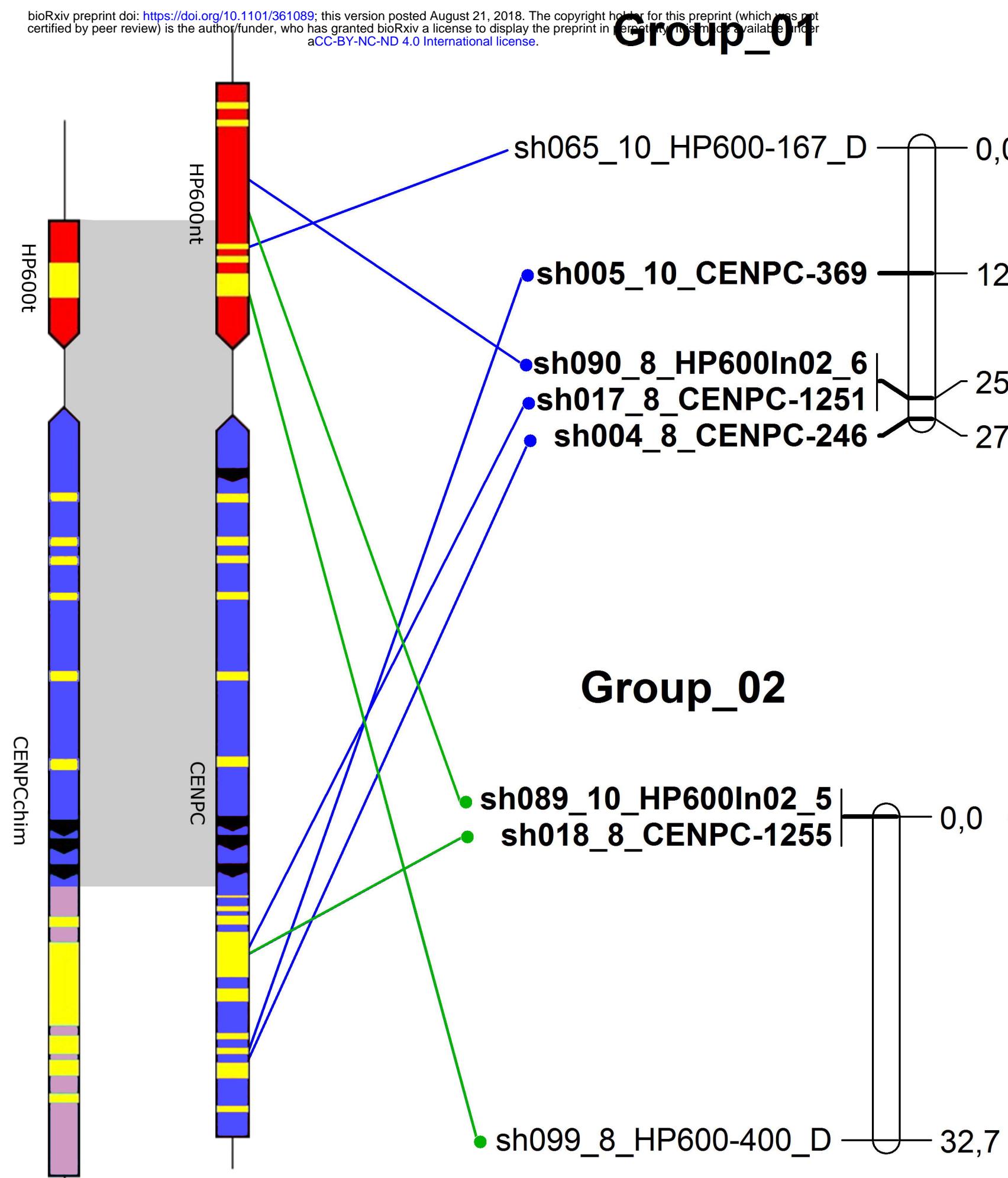




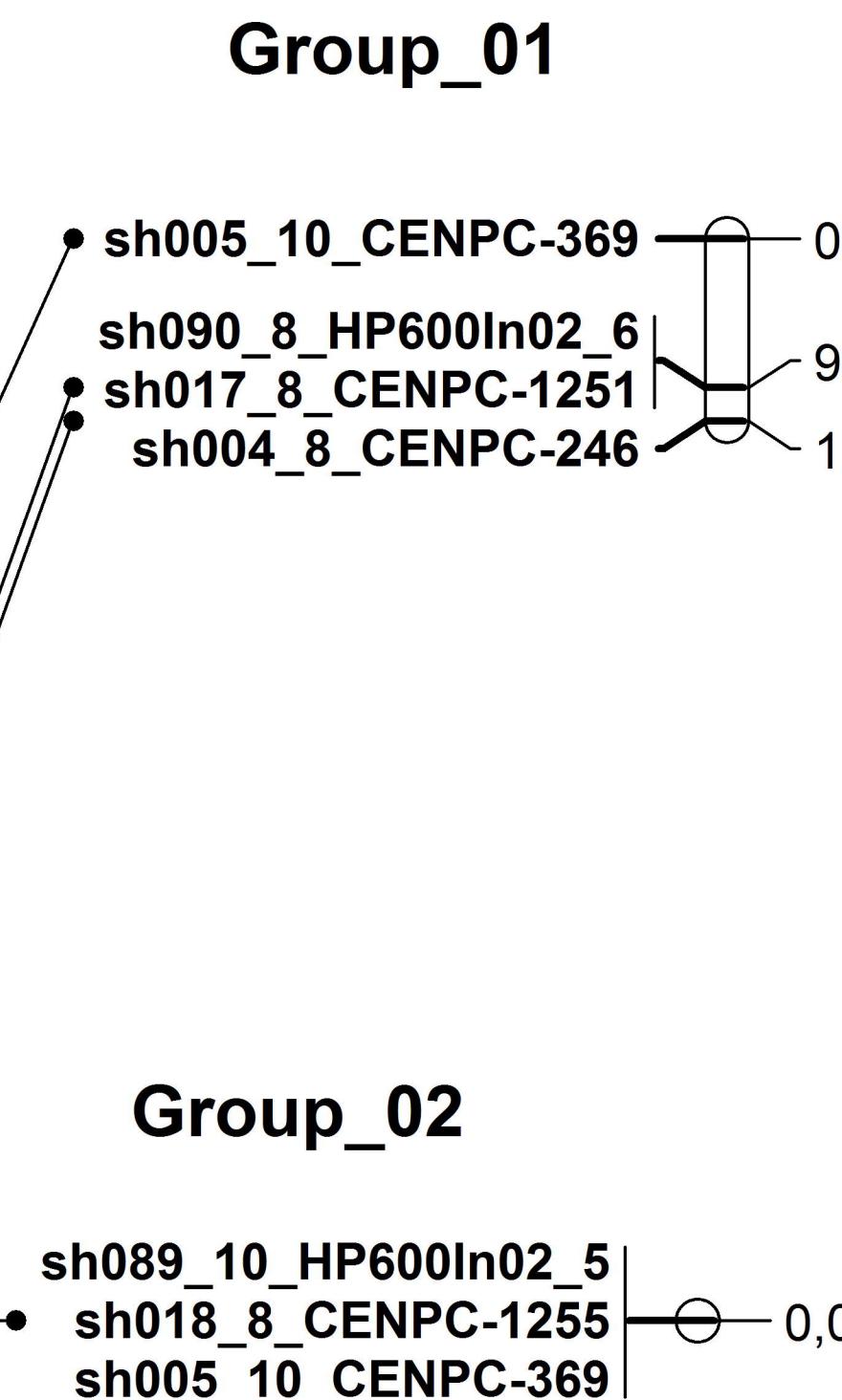
Physical map

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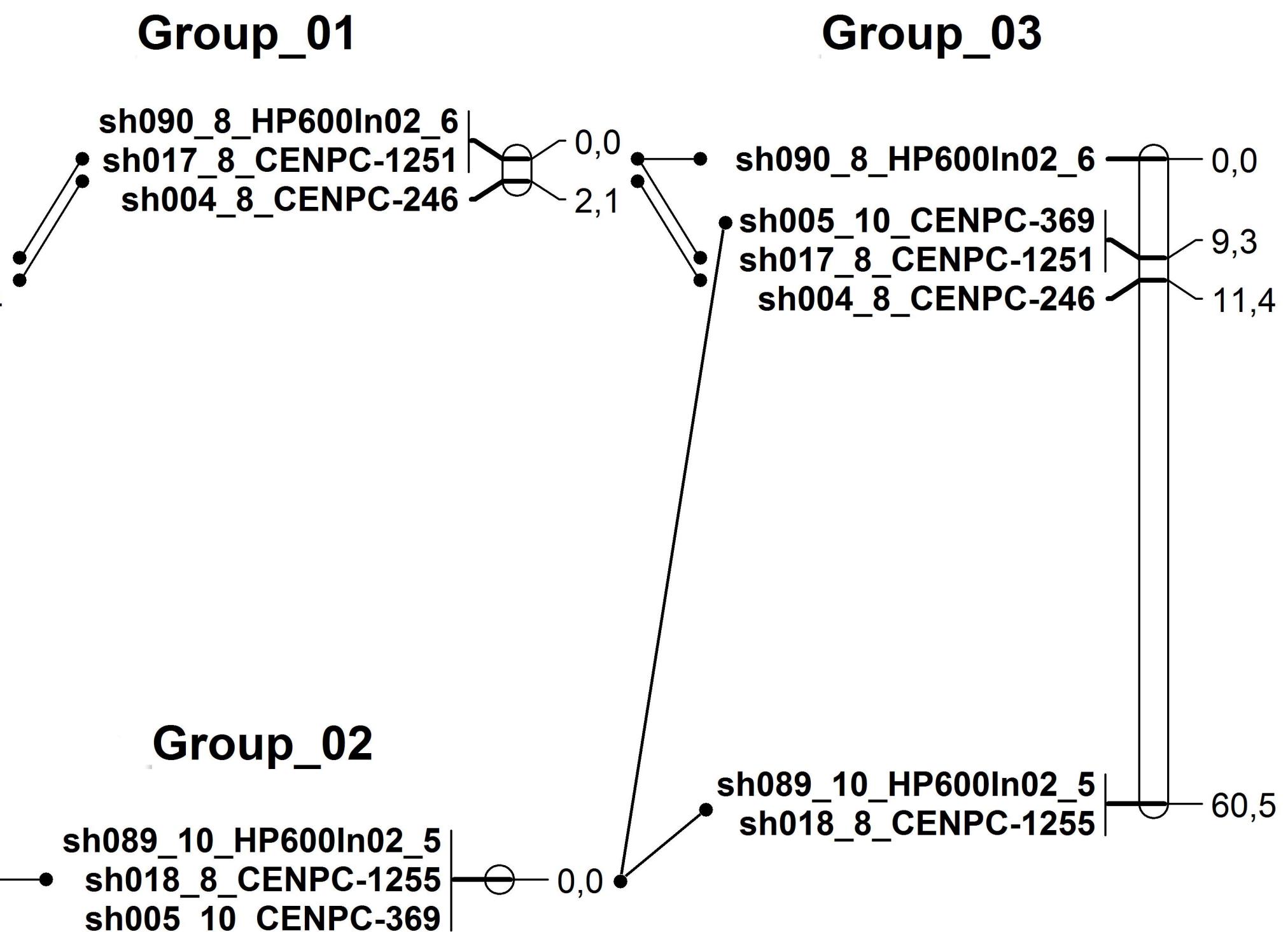
A - Two linkage groups first map



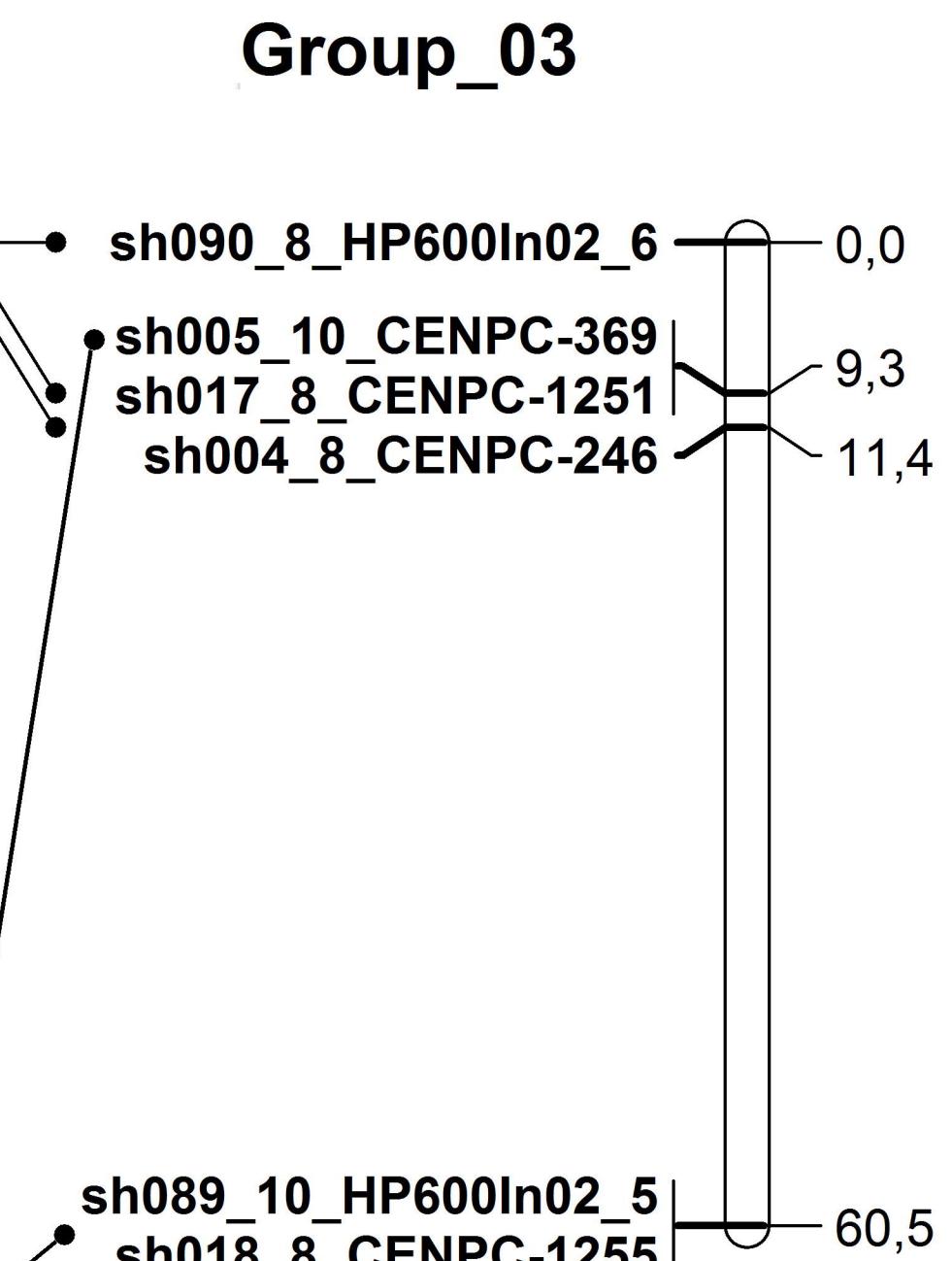
B - Two linkage groups without markers in duplication



C- Two linkage groups without markers in the wrong order according to BACs



D- Trying to create one group with markers in correct order according to BACs



E- Best linkage group using all information about the region

