



24 **Abstract**

25 The human face cues a wealth of social information, but the neural mechanisms that underpin  
26 social attributions from faces are not well known. In the current fMRI experiment, we used  
27 repetition suppression to test the hypothesis that populations of neurons in face perception and  
28 theory-of-mind neural networks would show sensitivity to faces that cue distinct trait  
29 judgments. Although faces were accurately discriminated based on associated traits, our  
30 results showed no evidence that face or theory-of-mind networks showed repetition  
31 suppression for face traits. Thus, we do not provide evidence for population coding models of  
32 face perception that include sensitivity to high and low trait features. Due to aspects of the  
33 experimental design, which bolstered statistical power and sensitivity, we have reasonable  
34 confidence that we could detect effects of a moderate size, should they exist. The null  
35 findings reported here, therefore, add value to models of neural organisation in social  
36 perception by showing instances where effects are absent or small. To test the generalisability  
37 of our findings, future work should test different types of trait judgment and different types of  
38 facial stimuli, in order to further probe the neurobiological bases of impression formation  
39 based on facial appearance.

40

41 **Introduction**

42 Faces signal information that guide social interactions (Emery, 2000). Although complex  
43 social signals such as emotional states, trait characteristics, and attentional focus are readily  
44 perceived from faces (Jack & Schyns, 2017; Todorov et al., 2015), the neural mechanisms  
45 that process social dimensions of face perception remain unclear. Here, in a functional  
46 magnetic resonance imaging (fMRI) experiment, we use repetition suppression to investigate  
47 the neural representation of how trait inferences are arrived at during social perception.

48 The majority of neuroscience research on face perception has focused on detection and  
49 recognition of identity and emotion. This research has identified face-selective patches of  
50 cortex that respond more to viewing faces than other categories of objects such as houses and  
51 cars (Duchaine & Yovel, 2015; Haxby et al., 2000; Kanwisher et al., 1997). Key regions in  
52 the face perception network include the fusiform face area (FFA; Kanwisher et al., 1997),  
53 occipital face area (OFA; Gauthier et al., 2000) and posterior superior temporal sulcus (pSTS;  
54 Allison et al., 2000; Pitcher et al., 2011). These three nodes along the ventral visual stream are  
55 suggested to perform core visual analyses of facial features, but also interact with extended  
56 circuits in anterior cortex for more elaborate representations of identity and emotional valence  
57 (Duchaine & Yovel, 2015; Haxby et al., 2000; Kanwisher, 2010).

58 Face recognition is important for initiating social interactions, but faces cue much  
59 more than the mere presence of a social agent. Indeed, impressions of others are partly formed  
60 on the basis of stable, non-emotional aspects of facial appearance (Todorov et al., 2015;  
61 Zebrowitz, 2011). As such, there is interplay between the perception of facial features and the  
62 formation of character impressions (Jack & Schyns, 2017). Models of social impressions from  
63 faces have been developed that include dimensions of valence/trustworthiness, dominance  
64 and attractiveness (Todorov et al., 2008; Sutherland et al., 2013; Wang et al., 2016). However,  
65 there is currently little known regarding the neural bases of such impression formation. For

66 example, faces that cue social evaluations of trustworthiness and attractiveness have been  
67 associated with responses in the amygdala and ventral striatum, which have been thought to  
68 index the reward value and typicality of faces (Bzdok et al., 2011; Mende-Siedlecki et al.,  
69 2013; Said et al., 2010; 2011; Todorov et al., 2013). Additionally, behavioural research has  
70 shown that personality characteristics such as extraversion are accurately perceived from  
71 static facial features (Borkenau & Liebler, 1992; Borkenau et al., 2009; Kramer & Ward,  
72 2010; Penton-Voak et al., 2006). However, beyond brain circuits associated with reward, little  
73 is currently known regarding the neural architecture supporting personality judgments that are  
74 cued during face perception.

75 Research investigating trait judgments has primarily focused on reading statements,  
76 rather than faces (Uleman et al., 2008). For example, reading trait-diagnostic statements, such  
77 as “she gave money to charity”, engages the theory-of-mind (ToM) network more than trait-  
78 neutral statements such as “she sharpened her pencil” (Heleven et al., 2017; Heleven & Van  
79 Overwalle, 2016; Ma et al., 2014; Mitchell et al., 2002; 2005; Van Overwalle et al., 2016).  
80 The ToM network is engaged when attributing mental states such as beliefs, desires and  
81 attitudes to others, as well as judging character and is thought to be central to understanding  
82 social cognition (van Overwalle, 2009; Frith & Frith, 1999). The ToM network is largely  
83 distinct from the face perception network with key nodes covering temporoparietal junction,  
84 medial prefrontal cortex, temporal poles and precuneus (van Overwalle, 2009; Frith & Frith,  
85 1999; Saxe & Kanwisher, 2003). However, the potential role of the ToM network in forming  
86 impressions based on facial appearance has not been studied in depth. As such, the cognitive  
87 and neural systems that identify perceptual features and link them to trait judgments are not  
88 well known (Over & Cook, 2018). The current study, therefore, investigates the hypothesis  
89 that impression formation from faces relies on a distributed neural architecture that spans the  
90 face perception and ToM neural networks.

91 In the current fMRI study, we addressed the extent to which face perception and ToM  
92 networks contribute to forming impressions based on facial appearance. The experiment used  
93 a repetition suppression (RS) design (Grill-Spector, Henson, & Martin, 2006; Barron et al.,  
94 2016). RS designs measure a reduced BOLD response following a repeated stimulus feature  
95 and a release from suppression following a novel stimulus feature. Compared to conventional  
96 subtraction designs, which can show if a brain region shows magnitude differences between  
97 conditions, RS studies hold the potential to study neural processes at the level of neural  
98 populations within a given brain region. A brain region that shows RS, therefore, can allow  
99 inferences about the organisation of underlying neural populations (Barron et al., 2016;  
100 Figure 1). We created face stimuli that cued high and low trait judgments and showed these  
101 stimuli to participants in a sequence that created novel and repeated events. To identify  
102 functional regions of interest, we used established face and ToM localiser tasks and to bolster  
103 statistical power we used an analysis pipeline that has been demonstrated to exhibit high  
104 functional resolution and sensitivity (Julian et al., 2012; Nieto-Castanon & Fedorenko, 2012).  
105 If the face and ToM networks are engaged in forming impressions based on facial features in  
106 the manner that we predict, we would expect to observe repetition suppression for face traits  
107 in both networks.

108

109 **Method**

110

111 *Participants*

112 Twenty-eight participants completed the experiment (14 female;  $M_{age}=23.96$ ,  $SD=5.52$ ). All  
113 participants received a monetary reimbursement (£15), had normal or corrected-to-normal  
114 vision and gave informed consent according to the local ethics guidelines.

115

116 *Stimuli and experimental tasks*

117 *Stimuli.* Face stimuli were initially selected from a face database created at Bangor  
118 University. The Bangor face database comprises photographs of participants with an  
119 emotionally neutral expression and self-report measures of various personality and subclinical  
120 traits (Kramer and Ward, 2010; Jones et al., 2012; Scott et al., 2013). Individual images were  
121 extracted from the database and transformed along four personality or health dimensions  
122 (Extraversion, Agreeableness, Neuroticism and Physical Health). These dimensions were  
123 chosen because prior work had shown that these dimensions were readily identifiable in  
124 composite stimuli, which average faces across multiple identities (Kramer & Ward, 2010).

125 All face transformations were performed in JPsychomorph (Tiddeman et al., 2001).

126 Face stimuli were produced by transforming an original face image from the database towards  
127 an average template of a high trait face (High Trait) or towards an average template of a low  
128 trait face (Low Trait). Template faces were produced by creating a composite of the 15  
129 individuals with the highest or lowest ratings along each of the four dimensions. For example,  
130 for physical health, an average composite of the 15 most physically healthy individuals in the  
131 database was created as well as an average composite of the 15 least physically healthy  
132 individuals. This process was repeated for all four dimensions (Extraversion, Agreeableness,  
133 Neuroticism and Physical Health). To avoid skin colour or make-up influencing the  
134 construction of composite images, only individuals that were white and not wearing make-up  
135 were included. Also, to simplify the design space, we only used images of female individuals.

136 Individual images were selected that were between those included in the high and low  
137 composites and also met the above inclusion criteria (i.e., white females who were not  
138 wearing make-up). Additionally, the individual in each image had provided consent that their  
139 individual face could be shown in later studies. The number of individuals fitting these  
140 criteria per trait were: Extraversion = 54, agreeableness = 53, neuroticism = 56, physical  
141 health = 54, which made a total of 217 IDs. Note that these were not unique IDs and most

142 were used across traits. An individual face image was then transformed in two ways: towards  
143 the high trait composite image by 100% and towards the low trait composite image by 100%  
144 (Figure 1). A 100% transform retains the identity cues of the original image whilst shifting  
145 the appearance by 100% of the shape, colour, and texture difference between the high and the  
146 low composite images. This produced two transformed images per original stimulus (High  
147 trait, Low trait), which made 434 images in total.

148 We transformed stimuli in this manner to exaggerate the distinctive facial features  
149 associated with particular trait characteristics, whilst maintaining a variety of facial identities  
150 by using individual faces rather than composite images. We did not use composite face  
151 images, as this would reduce the variety of identities presented during the scanning task,  
152 which may lead participants to disengage. Indeed, we wanted to maintain interest in the  
153 stimuli and thus encourage a ‘fresh’ social judgment on every trial and increasing variety of  
154 idiosyncratic facial features and identities seemed a concrete way of doing so.

155 *Pilot task.* To assess the extent to which these stimuli would cue distinct trait  
156 judgments, we ran a pilot behavioural experiment (see Supplementary Method). The pilot  
157 experiment demonstrated that judgements of Low and High Extraversion, Neuroticism and  
158 Physical health were perceived distinctly and as anticipated based on prior research (Kramer  
159 & Ward, 2010; Supplementary Figure 2). However, there was no difference in the perception  
160 of high and low agreeableness (Supplementary Figure 2). Prior work on agreeableness  
161 averaged multiple facial identities to create one composite image (Kramer & Ward, 2010). In  
162 the current study, we used individual faces that had been transformed towards High or Low  
163 trait features. Therefore, after the pilot study, it was unclear if the lack of distinct behavioural  
164 judgments based on agreeableness was due to the method of stimulus construction. We  
165 decided to leave the agreeableness stimuli in for the scanning experiment in order to see if the

166 same pattern of results persisted in new participants and, if so, if there were neural effects in  
167 the absence of distinct behavioural judgments.

168 *Main task.* The main task used an event-related design with two types of face stimuli  
169 presented (High trait and Low trait faces). The design of the main task is illustrated in Figure  
170 1. Each run comprised 17 blocks of 9 trials. On every trial participants were shown a face and  
171 asked to make a social judgement. At the start of each block, participants were shown a  
172 written statement and a ratings scale for 4 seconds (1=Strongly disagree, 2= Disagree, 3 =  
173 Agree, 4=Strongly agree). The task for participants was to rate how well the person matched  
174 the statement. Each trial lasted 3s and participants were instructed to make a judgment based  
175 on their initial reaction or “gut instinct”. The scale was always the same, but was included  
176 with the statement before each block as a reminder. Participants responded on a button box  
177 within the scanner by pressing the corresponding key. Between blocks a white cross was  
178 presented on a black screen for a randomly selected duration of 2, 3 or 4 seconds.

179 Each block contained High and Low versions of stimuli from one category (e.g.,  
180 Physical Health) and each trial showed a different person. However, participants were not  
181 shown high and low versions of the same person in the same category. Instead, participants  
182 were shown either a high or a low version of an individual to avoid confusion with seeing the  
183 same person transformed to opposite ends of a single dimension. Statements for each block  
184 related to the category of stimuli presented in that block. For example, in a physical health  
185 block, participants made judgments based on statements concerning physical health. Four  
186 statements per category were taken for Extraversion, Agreeableness and Neuroticism from the  
187 corresponding scales of the mini-IPIP (Donnellan et al., 2006). An example of an  
188 Extraversion statement is “Is the life of the party”. For physical health judgements, items were  
189 used from the Short-Form 12-Item Health Survey, which assesses physical health (Ware,  
190 Kosinski, & Keller, 1996). An example physical health statement is “Finds it easy to climb

191 the stairs". The first block in a run was randomly selected as a starter block. Subsequently,  
192 four blocks of each category were presented in a pseudorandom order such that each block  
193 followed each other equally often.

194 Each block began with a starter trial, which was randomly selected from that category.

195 The next 8 trials were sequenced to achieve an even number of novel and repeated trials with  
196 novel and repeated trials following each other equally often. Each trial was defined in  
197 reference to the preceding trial. For example, a High trait trial that was preceded by a High  
198 trait trial would be defined as a repeated trial, whereas a High trait trial that was preceded by a  
199 Low trait trial would be defined as a novel trial. This design produced the two conditions of  
200 interest, which were modelled as separate regressors in the general linear model:

201 Novel\_FaceTrait and Repeated\_FaceTrait. The starter trial was included as an additional  
202 regressor of no interest since the trial was not preceded by any trial and therefore it was not  
203 comparable to the other trials. Each trial was modelled from the onset of the first image for a  
204 nominal zero second duration. Across a block there were four trials per condition and across a  
205 run there were 68 trials per condition. Each participant completed two runs of the main task,  
206 which made 136 trials per condition over the entire experiment. In addition, before entering  
207 the scanner, participants completed two practice blocks of the main task.

208 *Face localiser.* To identify face-selective brain regions, we used an established face  
209 localiser (Pitcher et al., 2011). Five categories of stimuli were shown to participants (faces,  
210 bodies, scenes, objects, scrambled objects), with one category per block. Each block lasted  
211 18s and showed six 3s movie clips from that category. A total of two blocks were shown in  
212 each functional run. At the start, middle and end of each functional run, there was a rest  
213 condition for 18s. In the rest condition, a series of six uniform colour fields were presented  
214 for 3s each. The order of blocks was reversed from the first to the second block (e.g., fixation,  
215 faces, objects, scenes, bodies, scrambled objects, fixation, scrambled objects, bodies, scenes,

216 objects, faces, fixation). Throughout all blocks, participants were instructed to watch the  
217 movies but were not given an explicit task.

218 *Theory-of-mind localiser.* To localise brain regions associated with ToM, we used an  
219 established ToM-localiser (Dodell-Feder et al., 2011; <http://saxelab.mit.edu/superloc.php>).  
220 Participants read 10 short false belief stories, in which the belief characters have about the  
221 state of the world is false. Participants also read 10 false photograph stories, where a  
222 photograph, map, or sign has out-dated or misleading information. After reading each story,  
223 participants had to answer whether the subsequently presented statement is true or false. Each  
224 run started with a 12 second rest period, after which the stories (10 seconds) and questions (4  
225 seconds) were presented for 14 seconds combined. Each story was separated by a 12 second  
226 rest period. The order of items and conditions was identical for each subject. In the first run,  
227 stimuli 1 – 5 from each condition were presented, and the remaining stimuli were presented  
228 during the second block.

229 *Procedure.* Participants completed two runs of the main task. Two additional  
230 functional runs were also completed as part of another experiment – one run included a  
231 version of an imitation inhibition task (Brass et al., 2000) and one run included a version of a  
232 flanker task (Eriksen & Eriksen, 1974). These runs occurred before each run of the main task  
233 in order to add variety and offset boredom. Subsequently, participants then completed one run  
234 of the face localiser and two runs of the ToM-localiser. The ToM-localiser was always  
235 presented after participants had completed the main task, to ensure that participants were not  
236 primed towards making trait inferences during the main task. All participants completed an  
237 anatomical scan.

238

239 *Data acquisition*

240                   The experiment was conducted on a 3 Tesla scanner (Philips Achieva), equipped with  
241                   a 32-channel SENSE-head coil. Stimuli were displayed on a MR safe BOLD screen  
242                   (Cambridge Research Systems: <http://www.crltd.com/>) behind the scanner, which  
243                   participants viewed via a mirror mounted on the head-coil. T2\*-weighted functional images  
244                   were acquired using a gradient echo echo-planar imaging (EPI) sequence with the following  
245                   parameters: acquisition time (TR) = 2000 ms; echo time (TE) = 30ms; flip angle = 90°;  
246                   number of axial slices = 35; slice thickness = 4mm; slice gap = 0.8mm; field of view = 230 x  
247                   230 x 167mm<sup>3</sup>. After the functional runs were completed, a high-resolution T1-weighted  
248                   structural image was acquired for each participant (voxel size = 1 mm<sup>3</sup>, TE = 3.8 ms, flip  
249                   angle = 8°, FoV = 288 × 232 × 175 mm<sup>3</sup>). Four dummy scans (4 \* 2000 ms) were routinely  
250                   acquired at the start of each functional run and were excluded from analysis. 291 volumes per  
251                   functional run were collected, except for participant 1 where 288 and 289 volumes were  
252                   collected in block 1 and 2 respectively.

253

254                   *Behavioural data analysis*

255                   During scanning, faces were rated on four dimensions in a similar manner to the pilot  
256                   experiment. The four dimensions included Extraversion, Agreeableness, Neuroticism and  
257                   Physical Health and the ratings scale ranged from 1 to 4 (1 = Strongly disagree, 2 = Disagree,  
258                   3 = Agree, 4 = Strongly agree). Ratings on each of these dimensions were compared between  
259                   high and low transformed stimuli. We expected high transformed stimuli to be rated in a  
260                   manner that is more consistent with descriptions of the trait category. For instance, based on  
261                   prior work (Kramer & Ward, 2010), as well as our behavioural pilot data, we would expect  
262                   stimuli transformed towards high physical health to be rated in a manner consistent with  
263                   higher physical health. To compare high and low transformed stimuli, we computed difference  
264                   scores between high and low stimulus categories as well as interval estimates using 95%

265 confidence intervals (Cumming, 2013). We also computed a paired-samples t-test and a  
266 standardised effect size for each difference score (Cohen's d.; Cohen, 1992; Lakens, 2013).

267

268 *fMRI data preprocesing and analysis*

269 *Preprocessing.* Head motion was examined for each participant on each task, with an  
270 exclusion criteria if displacement across either task exceeded 3 millimetres. We report for  
271 each task how many runs or participants were removed for each experiment. fMRI data were  
272 analysed with Statistical Parametric Mapping software (SPM8; Wellcome Trust Department  
273 of Cognitive Neurology, London, UK: [www.fil.ion.ucl.ac.uk/spm/](http://www.fil.ion.ucl.ac.uk/spm/)). Data were realigned,  
274 unwarped, corrected for slice timing, and normalised to the MNI template with a resolution of  
275 3mm<sup>3</sup>. Images were then spatially smoothed (5mm).

276 *Analysis.* We used spm\_ss to perform our primary analyses (Julian et al., 2012; Nieto-  
277 Castanon & Fedorenko, 2012; [http://www.nitrc.org/projects/spm\\_ss](http://www.nitrc.org/projects/spm_ss)). Spm\_ss enables a  
278 subject-specific approach to fMRI data analysis. Like other ROI approaches, functional  
279 regions of interest (fROI) are defined and tested in separate data to ensure that the analyses  
280 are not circular (Kriegeskorte et al., 2009). The advantage of spm\_ss is that it uses an  
281 algorithm (or functional parcels from prior datasets) to define fROIs in a group-constrained  
282 and subject-specific manner (GSS). This means that the approach benefits from showing  
283 group consistency across participants, without requiring complete voxel-level overlap across  
284 participants. As such, the approach integrates single-subject specificity within individuals  
285 with group-constrained consistency across individuals.

286 We used GSS to define fROIs using separate localiser data. fROIs were first defined  
287 using Face and ToM network localisers before we tested how these fROIs responded in our  
288 main task contrasts of interest (RS FaceTraits). To do so, the following steps were taken. 1)  
289 Using localiser data, we computed activation maps in individuals, thresholded these images (p

290 < 0.001, uncorrected) and overlaid them on top of one another. The resultant overlay map  
291 contains information on the percentage of individuals that show an above threshold response.  
292 2) The overlay map was then divided into regions by an image parcellation algorithm. 3) The  
293 resulting regions are then investigated in terms of the proportion of subjects that show some  
294 suprathreshold voxels. 4) Regions that overlap in a substantial number of participants (>50%)  
295 are then interrogated using independent data (i.e., data from the main task). Statistical tests  
296 across participants were performed on percent signal change values extracted from the fROIs  
297 according to contrasts of interest.

298 *Main task contrasts.* For the fMRI data analysis of the main task, we computed our  
299 primary contrast of interest: RS Face Traits (Novel\_FaceTrait > Repeated\_FaceTrait).

300 *Face localiser contrasts.* Each block was modelled from the onset of the first trial for  
301 the entire block (18 seconds). A design matrix was fit for each participant with five regressors  
302 per block (Faces, Bodies, Scenes, Objects, Scrambled objects). To identify face-selective  
303 regions, a Face > All baseline contrast was evaluated in individual participants (Dynamic  
304 Faces > Dynamic Scenes + Objects + Scrambled Objects)

305 *ToM localiser contrasts.* A design matrix was fit for each participant with 2  
306 regressors, one for each experimental condition (false beliefs and false photographs). The  
307 ToM-network was revealed by contrasting false beliefs with false photographs (False Beliefs  
308 > False Photographs).

309

## 310 **Results**

### 311 *Behavioural data*

312 During scanning, high trait faces were rated more consistent with trait characteristics than low  
313 trait faces for extraversion  $t(27)=10.88$ ,  $p < 0.001$ ,  $d_z = 2.06$ , neuroticism  $t(27)=4.50$ ,  $p < 0.001$ ,  
314  $d_z = 0.85$ , and physical health  $t(27)=3.73$ ,  $p < 0.001$ ,  $d_z = 0.71$  (Figure 2). There was no

315 difference between high and low trait faces for judgments of agreeableness  $t(27)=-0.33$ ,  $p =$   
316  $0.63$ ,  $d_s = -0.06$  (Figure 2). This pattern of results closely replicates our pilot data.

317

318 *fMRI data*

319 The GSS analysis using the face localiser data revealed nine regions where a majority  
320 of participants showed a greater response to faces than all other baseline conditions. Three of  
321 these regions are of particular interest given our predictions as they represent the core face  
322 perception network. These regions include rOFA, rFFA and r STS/STG. None of the three  
323 regions of interest showed RS for Face Traits estimated from data from the main task (Figure  
324 3A; Table 1). If we widen the search to all nine face responsive regions, we do not find RS for  
325 Face Traits in any of the ROIs (Supplementary Table 4).

326 The GSS analysis using the ToM localiser data revealed nine regions where a majority  
327 of participants showed a greater response to false belief stories than false photograph stories.  
328 Four of these regions are of particular interest given our predictions regarding specific nodes  
329 of the ToM network. These regions include rTPJ, mPFC and r anterior STG / temporal pole.  
330 None of these regions showed RS for Face Traits estimated from data from the main task  
331 (Figure 3B; Table 1). If we widen the search to all nine regions from the ToM localiser, we do  
332 not find RS for Face Traits in any of the ROIs (Supplementary Table 4).

333 As judgements of agreeableness showed no behavioural differences in perceptions of  
334 trait character or health (Figure 2), we removed agreeableness blocks from the analysis, but  
335 the results remained the same in both brain networks of interest.

336 Finally, we completed an exploratory whole-brain analysis, in order to test if regions  
337 outside of the Face and ToM networks showed RS for Face Traits. Using SPM8, we  
338 calculated Novel > Repeated Face Traits at the single subject level before completing a  
339 random effects analysis at the group level using the same contrast. At the group level, no

340 significant clusters of activity were found ( $p < 0.001$ ,  $K=10$ ,  $p < 0.05$  family wise error  
341 corrected). Even at a more liberal threshold ( $p < 0.001$ , uncorrected for multiple  
342 comparisons), no clusters emerged from this contrast.

343 Data from this experiment are freely available, including the behavioural and fROI  
344 data (osf.io/7knrp), as well as data from the whole-brain analysis  
345 (<https://neurovault.org/collections/HDLVMPQU/>).

346

### 347 **Discussion**

348 Here we show that faces readily cued accurate person judgments regarding extraversion,  
349 neuroticism and physical health, but the neural networks associated with face perception and  
350 ToM showed no sensitivity in terms of repetition suppression to trait judgements. As such, we  
351 do not provide evidence that supports population coding models of face perception that  
352 include dimensions for high and low trait features along the ventral visual stream and in the  
353 ToM network. Due to aspects of the experimental design and analysis pipeline, which  
354 bolstered statistical power and sensitivity, we have reasonable confidence that we could detect  
355 effects of a moderate size, should they exist. However, it remains possible that these regions  
356 are sensitive to other trait dimensions of person perception such as trustworthiness or other  
357 types of facial stimuli, such as synthetic stimuli. The null findings reported here, therefore,  
358 add value to models of neural organisation by showing instances where effects are absent or  
359 small. In addition, by publishing null results, we provide a less biased scientific record, one  
360 that future studies can build upon by appropriately powering studies (Open Science  
361 Collaboration, 2015; Simmons et al., 2011). Indeed, future work can use these results to guide  
362 further interrogation of what is fundamentally an interesting scientific and social question that  
363 relates to understanding the neural mechanisms associated with how trait inferences are cued  
364 from facial appearance.

365

366 **Understanding the neural basis of impression formation based on facial appearance**

367 The current experiment provides no evidence that populations of neurons in face perception  
368 or ToM networks code for facial features that are associated with distinct trait judgements of  
369 extraversion, neuroticism or physical health. Moreover, a whole-brain analysis showed no  
370 effects in the amygdala or ventral striatum, which have previously been associated with social  
371 evaluations of faces based on valence (Bzdok et al., 2011; Mende-Siedlecki et al., 2013; Said  
372 et al., 2010; 2011; Todorov et al., 2013). Observers were able to accurately discriminate faces  
373 on the basis of the social trait being displayed for the majority of person dimensions.

374 However, we were unable to uncover the neural substrates for this discrimination. In  
375 particular, we could not find evidence for our hypothesis that brain regions representing  
376 features and judgements for high traits might be separable from those representing low traits.  
377 Rather than distinct populations of neurons in the same neural region coding for high and low  
378 trait features and judgments, which a neural response consistent with RS would support  
379 (Grill-Spector, Henson, & Martin, 2006; Barron et al., 2016), the results may suggest that face  
380 perception and ToM networks have a common neural parameter that codes for the perceptual  
381 and judgement space under investigation. If so, the same neural populations would be  
382 engaged on all trials, whether novel or repeated. For example, if the same features of the face  
383 cue high and low judgements, they would be engaged equally on novel and repeated trials.  
384 The ultimate judgment would differ between high and low trait faces, but the underlying  
385 neural architecture would be similar. This proposal is speculative, however, and would  
386 require further testing and confirmation.

387 An alternative possibility is that RS may not have been sensitive enough to detect the  
388 fine-grained population coding structure that was tested. To bolster statistical power, we  
389 included a large number of trials per condition for fMRI research (136), we tested 28

390 participants and we used a single-subject analysis pipeline that has been shown to have  
391 relatively high sensitivity and functional resolution in multi-subject analyses (Nieto-Castanon  
392 & Fedorenko, 2012). Nonetheless, RS may have been smaller than we could detect with  
393 reasonable confidence. Future work may consider multi voxel pattern analysis approaches  
394 (Kriegeskorte & Kievit, 2013), which have been shown to be more sensitive than RS  
395 approaches in the domain of vision (Sapountzis et al., 2010). In addition, future work may  
396 consider the relationship between face and ToM networks as prior functional connectivity  
397 research has shown that the ToM network functionally couples with nodes of body perception  
398 network (Greven et al., 2016; Greven & Ramsey, 2017a, b). The hypothesis that such future  
399 connectivity research could pursue is that the representation of trait judgments from faces  
400 may span across face perception and ToM networks rather than only within them.

401

## 402 **Limitations and constraints on generality**

403 In the current study, we do not show RS for trait inferences based on facial appearance. By  
404 contrast, other work using written descriptions of behaviour, which imply trait inferences, have  
405 shown that ventral medial prefrontal cortex (vmPFC) shows RS for trait implying behaviours  
406 (Heleven et al., 2017; Heleven & Van Overwalle, 2016; Ma et al., 2014; Van Overwalle et al.,  
407 2016). Indeed, this work shows that vmPFC encodes trait representations for familiar (Heleven  
408 & Van Overwalle, 2016) and unfamiliar individuals (Heleven et al., 2017), as well as for distinct  
409 traits such as valence and competence (Van Overwalle et al., 2016). Therefore, it is important  
410 that we acknowledge relevant constraints on the generality of our findings (Simons et al., 2017).  
411 Our data, at least with the stimuli that we used, do not support the view that vmPFC stores a  
412 person or trait code, which can be easily accessed or engaged irrespective of the type of input  
413 (face or text). It could be that written text is simply a more salient way to engage trait inferences,  
414 which could lead to the discrepant results. Alternatively, it might be that not all sources of

415 input (face, text) or all types of person judgment (extraversion, health, trustworthiness) are  
416 coded in a similar neural structure. Future work that directly tests interactions between input  
417 type and judgments type would be valuable.

418 Of particular interest for future work would be to test judgments from faces that vary  
419 on a valence / trustworthiness dimension (Todorov et al., 2008). In the current study, the  
420 behavioural data showed that participants' judgments did not distinguish between high and low  
421 agreeableness faces, which is the closest dimension to valence / trustworthiness. However,  
422 participants were sensitive to other dimensions, such as extraversion, neuroticism and physical  
423 health. Importantly, recent models of social judgments from faces have shown that appraising  
424 faces has three partly distinct dimensions including valence / trustworthiness, dominance and  
425 attractiveness (Sutherland et al., 2013). Since judgments of physical health have been associated  
426 with attractiveness (Little et al., 2011), our physical health dimension closely resembles a key  
427 dimension in the person perception (attractiveness). Therefore, it may be that health and  
428 attractiveness judgments, as well as some other types of traits judgment (extraversion,  
429 neuroticism), are not coded in the same way as valence / trustworthiness judgments. Indeed,  
430 given the role of valence judgments in guiding approach and avoidance behaviours, it may be  
431 that there is a more distinct neural architecture dedicated to perceiving such traits.

432 In the current study, we used morphed images of real human faces. Our findings,  
433 therefore, apply most directly to faces that look straightforwardly human. A complementary  
434 avenue for future research would be to test models of trait inference from synthetic, computer-  
435 generated facial stimuli. The advantage of using computer-generated stimuli would be tighter  
436 experimental control, which may boost the ability to detect effects of interest. The obvious  
437 disadvantage, however, compared to the current approach of using real photographs, is the  
438 artificial limit imposed on ecological validity (Sutherland et al., 2013). Using synthetic images  
439 that produce more extreme facial attributes, which differ from the average more, may be

440 important, given research that shows widespread neural responses to faces at high and low ends  
441 of continua (Said et al., 2010; 2011). Indeed, even though the majority of trait inferences  
442 showed reliable behavioural judgments, it is possible that the similarity between our stimuli  
443 reduced the saliency of features that cue trait judgments. Relatedly, we made sure that  
444 participants would not see stimuli morphed to different traits in the same block in order to avoid  
445 confusion between identities and facial attributes. But, by doing so, this may have made the  
446 distinction between high and low exemplars less obvious. An alternative approach would be to  
447 show high and low version in the same blocks.

448

#### 449 **Open science and the file drawer problem**

450 Since null results and smaller effect sizes are typically relegated to the file drawer (Rosenthal,  
451 1979), the current literature has a publication bias, which prioritises statistically significant  
452 results and produces an overestimate of effect sizes. As such, null results from well designed  
453 and well powered studies are important if the field is going to move towards a more precise  
454 estimate of population effect sizes. Without greater acknowledgement of the value of null  
455 results, artificially high estimates of effect sizes will continue to bias models of cognition and  
456 brain function, skewing the design of future research and resulting in misallocation of  
457 resources (Munafo et al., 2017). Indeed, as outlined above, a null result can make several  
458 important contributions to future research (Zwaan et al., 2017). First, replications and  
459 extensions can be powered to detect smaller effects or a task can be changed to increase  
460 sensitivity. Second, other analysis methods, such as multi-voxel pattern analysis or measures  
461 of connectivity (Kriegeskorte & Kievit, 2013; Bullmore & Sporns, 2009), may be prioritised  
462 as they may more closely capture the information under investigation. As the data from this  
463 study are readily available in online open access repositories, we hope that future research can  
464 be guided by this work.

465

466 **Acknowledgements**

467

468 This work was funded by a grant from the Economic and Social Research Council (grant

469 number: ES/K001884/1 to R.R.).

470

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632

633

634 **Table 1.** Main task ROI data.

635

Region				Novel>Repeated		
	ROI size (voxels)	Average localiser mask size (voxels)	Inter-subject overlap (%)	Percent signal change (SEM)	t	p(fdr)
<i>Face localiser</i>						
Right OFA	412	84	93	-.005 (.19)	-.03	.82
Right FFA	223	44	86	.067 (.17)	-.41	.82
Right pSTS	143	24	75	-.127 (.17)	-.73	.82
<i>ToM localiser</i>						
Right TPJ	828	230	96	-.099 (.19)	-.83	.80
Right temporal pole	115	22	82	-.054 (.06)	-.88	.80
Right ant. temp cortex	225	58	93	.028 (.08)	.34	.80
Anterior mPFC	50	8	57	.017 (.12)	.15	.80

636

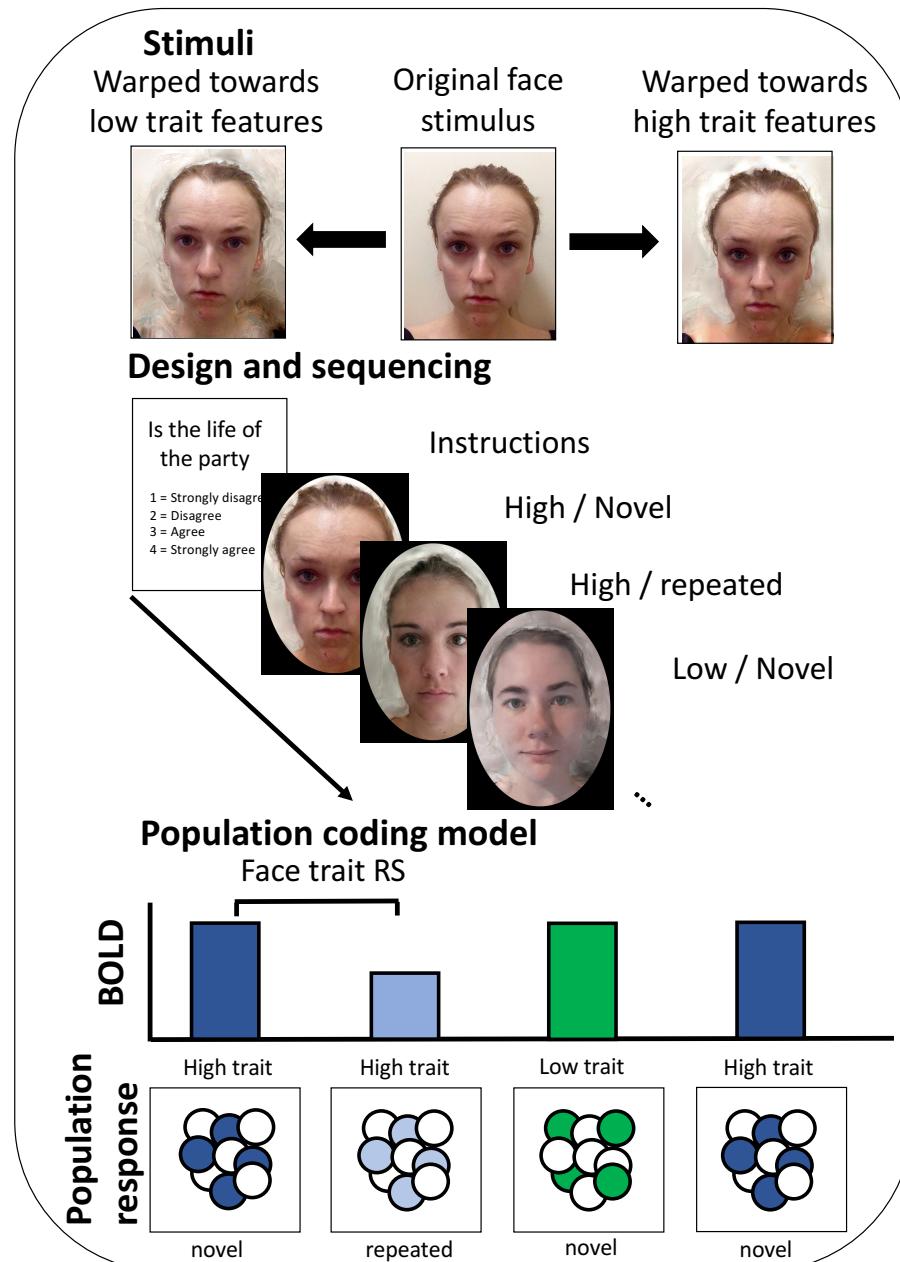
637 Abbreviations: ROI = Region of interest; fdr = false discovery rate; OFA = occipital face  
638 area; FFA = right fusiform face area; pSTS = posterior superior temporal sulcus; TPJ =  
639 temporoparietal junction; mPFC = medial prefrontal cortex; ant. Temp. = anterior temporal.

640

641 Note: 'ROI size' is the total number of voxels in each ROI based on data from a face  
642 perception localiser or a theory-of-mind localiser. 'Average localiser mask size' is the number  
643 of voxels that overlap in more than 50% of participants within each ROI. Right OFA, for  
644 example, consists of a 412 voxel ROI, with 84 voxels showing overlap in 93% of participants.  
645 Analyses were performed on the subset of voxels in each ROI that show overlap in a majority  
646 of participants (>50%).

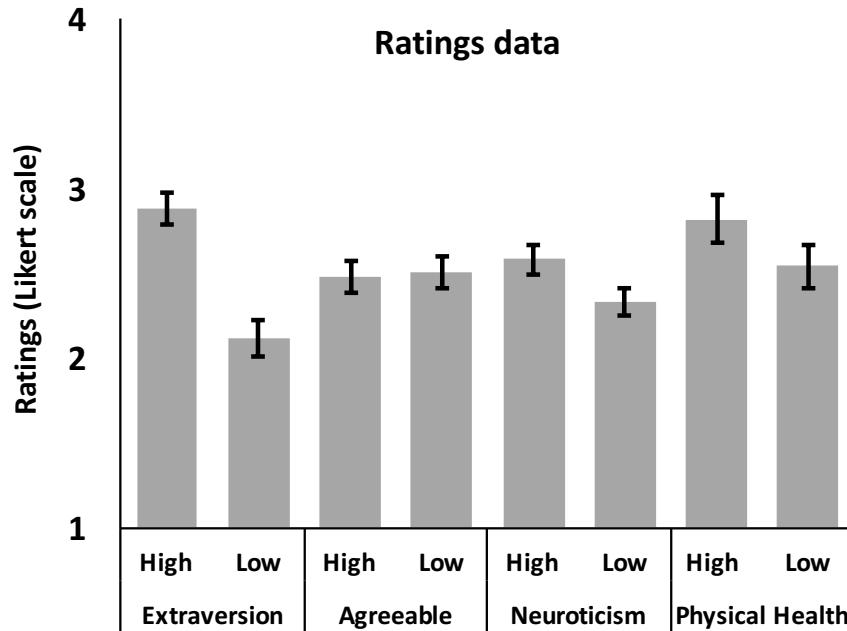
647

648 **Figure 1.** Method and design.



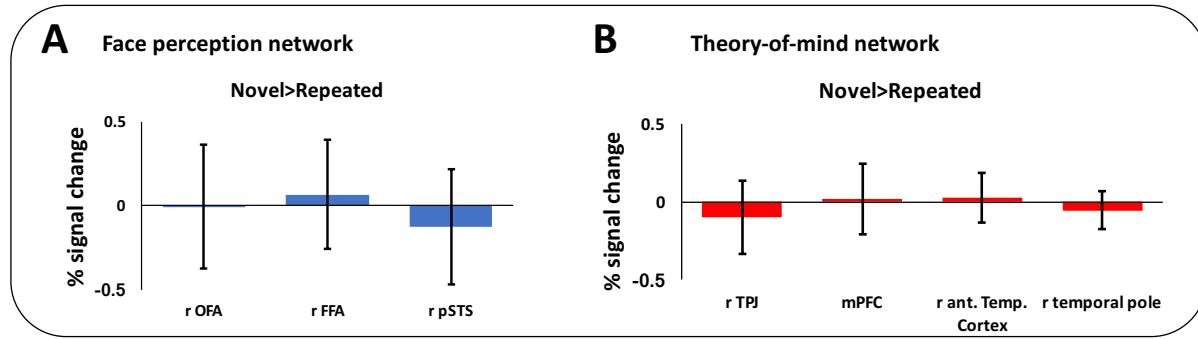
649  
650 **Figure 1.** Method and design. A) Individual face images were transformed towards high and  
651 low composite templates of trait variables (Extraversion, Agreeableness, Neuroticism,  
652 Physical health). The example shown is extraversion. The images used are for illustrative  
653 purposes and were not used in the experiment. B) During scanning, each block began with an  
654 instruction screen, which provided a statement and a reminder of the ratings scale. On each  
655 subsequent trial, participants had to make a judgment based on the face presented. As such, all  
656 trials in a mini-block were from the same category (e.g., extraversion), but all trials showed a  
657 different individual. C) An illustration of the population coding model of face perception that  
658 the repetition suppression design was testing. High and low trait features are presented in blue  
659 and green, respectively. Novel and repeated trials are presented in darker and lighter colours,  
660 respectively.

661 **Figure 2.** Ratings data



663 **Figure 2.** Mean average face ratings during scanning. Error bars are 95% confidence  
664 intervals.

665 **Figure 3.** Percent signal change in our functional regions of interest.



666  
667

668 **Figure 3.** Percent signal change for novel compared to repeated trials in the face perception  
669 (A) and theory-of-mind network (B). Error bars are standard error of the mean.  
670 Abbreviations: r = right; OFA = occipital face area; FFA = right fusiform face area; pSTS =  
671 posterior superior temporal sulcus; TPJ = temporoparietal junction; mPFC = medial prefrontal  
672 cortex; ant. Temp. = anterior temporal.