

# Potent Cas9 inhibition in bacterial and human cells by new anti-CRISPR protein families

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35 #Equal contributions

37 **CRISPR-Cas systems are widely used for genome engineering technologies, and in**  
38 **their natural setting, they play crucial roles in bacterial and archaeal adaptive**  
39 **immunity, protecting against phages and other mobile genetic elements. Previously**  
40 **we discovered bacteriophage-encoded Cas9-specific anti-CRISPR (Acr) proteins that**  
41 **serve as countermeasures against host bacterial immunity by inactivating their**  
42 **CRISPR-Cas systems<sup>1</sup>. We hypothesized that the evolutionary advantages conferred by**  
43 **anti-CRISPRs would drive the widespread occurrence of these proteins in nature<sup>2-4</sup>.**  
44 **We have identified new anti-CRISPRs using the bioinformatic approach that**  
45 **successfully identified previous Acr proteins<sup>1</sup> against *Neisseria meningitidis* Cas9**  
46 **(NmeCas9). In this work we report two novel anti-CRISPR families in strains of**  
47 ***Haemophilus parainfluenzae* and *Simonsiella muelleri*, both of which harbor type II-C**  
48 **CRISPR-Cas systems<sup>5</sup>. We characterize the type II-C Cas9 orthologs from *H.***  
49 ***parainfluenzae* and *S. muelleri*, show that the newly identified Acrs are able to inhibit**  
50 **these systems, and define important features of their inhibitory mechanisms. The *S.***  
51 ***muelleri* Acr is the most potent NmeCas9 inhibitor identified to date. Although**  
52 **inhibition of NmeCas9 by anti-CRISPRs from *H. parainfluenzae* and *S. muelleri***  
53 **reveals cross-species inhibitory activity, more distantly related type II-C Cas9s are not**  
54 **inhibited by these proteins. The specificities of anti-CRISPRs and divergent Cas9s**  
55 **appear to reflect co-evolution of their strategies to combat or evade each other.**  
56 **Finally, we validate these new anti-CRISPR proteins as potent off-switches for Cas9**  
57 **genome engineering applications.**

58  
59 Clustered, regularly interspaced, short, palindromic repeats (CRISPR) and their CRISPR-associated  
60 (*cas*) genes constitute a prokaryotic adaptive immune defense system against foreign genetic elements  
61 such as phages and plasmids<sup>6-8</sup>. The components of CRISPR-Cas systems that allow recognition and  
62 destruction of invading genetic elements are extremely diverse, and form the basis for the current  
63 CRISPR-Cas classification framework<sup>9</sup>, which includes two broad classes, six types, and multiple  
64 subtypes. In class 1 CRISPR systems, effector modules form a multi-protein complex, whereas class 2  
65 systems use a single effector protein to target foreign nucleic acids. Cas9 is an effector protein in the  
66 best-studied class 2 system, type II, which is further divided into three subtypes (II-A, -B, and -C)  
67 based on Cas9 phylogeny and the presence or absence of additional adaptation-related Cas proteins<sup>9</sup>.  
68 Cas9 is a single-component, RNA-guided endonuclease that employs the CRISPR RNA (crRNA) as a  
69 sequence-specific guide to target foreign DNA<sup>10</sup>, with the help of a trans-activating RNA  
70 (tracrRNA)<sup>11</sup>, which can be fused to the crRNA to form a single guide RNA (sgRNA)<sup>12</sup>. The  
71 robustness and ease of Cas9 programmability have greatly facilitated its rapid adoption in genome  
72 editing and modulation<sup>13</sup>.

73        Although Cas9s have attracted unprecedented attention for genome engineering applications,  
74    their natural function in bacterial defense plays a crucial role in the ongoing battle against phages and  
75    other invading mobile genetic elements (MGEs). As countermeasures against such a powerful barrier,  
76    phages and MGEs have evolved numerous, distinct strategies to overcome bacterial defenses<sup>14</sup>. Anti-  
77    CRISPR (Acr) proteins provide one way to directly disarm CRISPR-Cas systems. The existence of  
78    Acrs was first shown in phages that successfully infect *Pseudomonas aeruginosa* strains despite the presence  
79    of active type I CRISPR-Cas systems and phage-matched CRISPR spacers<sup>15</sup>. Type I Acr families do  
80    not share common structural similarities or sequences, but are frequently encoded adjacent to putative  
81    transcriptional regulator genes known as anti-CRISPR-associated (*aca*) genes<sup>16</sup>. The first type II-  
82    specific *acr* genes were identified as previously uncharacterized open reading frames (ORFs) adjacent  
83    to predicted *aca* genes in MGEs of bacteria harbouring type II CRISPR-Cas systems<sup>1</sup>. Additional Acrs  
84    have been found by identifying candidate *acr* genes in lysogens embedded within genomes harboring  
85    potentially self-targeting type II CRISPR systems<sup>17</sup>, or by screening lytic phages for the ability to resist  
86    type II CRISPR defenses<sup>18</sup>. Type II Acrs are of particular interest because they can potentially  
87    provide temporal, spatial, or conditional control over Cas9-based applications.

88        Thus far, three families of type II-C Acrs<sup>1</sup> and five families of type II-A Acrs<sup>17, 18</sup> have been  
89    reported, and inhibitory mechanisms are known in a few cases<sup>1, 17, 19</sup>. For instance, AcrIIA4<sub>*Lmo*</sub>, a type  
90    II-A Acr that can inhibit the most widely-used Cas9 ortholog from *Streptococcus pyogenes* (SpyCas9),  
91    prevents Cas9 DNA binding<sup>17</sup> by occupying the protospacer adjacent motif (PAM)-interacting domain  
92    and masking the RuvC nuclease domain, in part via DNA mimicry<sup>20-22</sup>. Conversely, a type II-C Acr,  
93    AcrIIC1<sub>*Nme*</sub>, does not prevent target DNA binding by *Neisseria meningitidis* Cas9 (NmeCas9, from strain  
94    8013), but rather binds and inhibits the enzyme's HNH nuclease domain<sup>19</sup>. Yet another type II-C  
95    Cas9 inhibitor, AcrIIC3<sub>*Nme*</sub>, prevents target DNA binding<sup>1</sup> in a manner that is accompanied by  
96    NmeCas9 dimerization<sup>19</sup>.

97        Because Acrs provide obvious fitness advantages<sup>23</sup> to phages and MGEs, we hypothesized that  
98    many more type II Acrs likely remain to be discovered. Here, we identify two new type II-C Cas9  
99    inhibitors from strains of *H. parainfluenzae* (AcrIIC4<sub>*Hpa*</sub>) and *S. muelleri* (AcrIIC5<sub>*Smu*</sub>). We characterize  
100    their cognate Cas9 proteins from *H. parainfluenzae* and *S. muelleri* and show that these proteins are  
101    functional *in vivo* and *in vitro*. Further, we show that AcrIIC5<sub>*Smu*</sub> is the most potent NmeCas9 inhibitor  
102    reported to date. While both of these Acrs inhibit DNA binding by Cas9, including during  
103    mammalian genome editing applications, they differ in their phylogenetic ranges of Cas9 inhibition.

## 104    **Results**

### 105    **Identification of novel type II-C anti-CRISPR proteins**

106        We previously developed a 'guilt-by-association' bioinformatics approach that allowed the  
107    identification of novel families of anti-CRISPR proteins encoded in phages and MGEs of diverse

110 bacterial species<sup>1, 16</sup>. In this pipeline, new *acr* gene candidates are identified by their proximity to  
111 predicted helix-turn-helix (HTH) transcriptional regulator genes known as anti-CRISPR associated  
112 (*aca*) genes<sup>16</sup>. We identified ORFs encoding uncharacterized small [~50-150 amino acids (aa)] proteins  
113 immediately upstream of *aca* homologues, focusing on genomic regions near putative phage- or MGE-  
114 associated sequences<sup>3, 4, 24</sup>. These criteria led us to focus on two putative Acr candidates: an 88 aa  
115 hypothetical protein in the genome of *H. parainfluenzae* strain 146\_HPAR (NCBI RefSeq accession  
116 WP\_049372635) and a 120aa hypothetical protein in the genome of *S. muelleri* strain ATCC 29453  
117 (WP\_002642161.1; Supplementary Table 1). Both are located upstream of apparent *aca* orthologs,  
118 near potential phage or MGE genes (Fig. 1a), and both strains encode predicted type II-C CRISPR-  
119 Cas machineries with Cas9 orthologs that exhibit 59% and 62% identity with NmeCas9, respectively  
120 (Supplementary Table 2). Based on these similarities, the previously established abilities of some type  
121 II anti-CRISPRs to inhibit Cas9 orthologs outside of their host species<sup>1, 17, 19, 25</sup>, and the existence of  
122 apparent orthologues of the *S. muelleri* candidate Acr in multiple examples from *Neisseria*  
123 (Supplementary Table 3), we first tested for anti-CRISPR activity against the well-characterized  
124 NmeCas9. We cloned each candidate Acr sequence into a bacterial expression vector, purified  
125 recombinant proteins from *Escherichia coli*, and tested their abilities to prevent DNA cleavage by  
126 NmeCas9 *in vitro* (Fig. 1b). When each of the purified candidate Acrs was added to parallel reactions,  
127 cleavage was inhibited in a concentration-dependent manner, with complete inhibition being reached  
128 at ~15-fold (*H. parainfluenzae* candidate) and ~4-fold (*S. muelleri* candidate) molar excess of Acr (Fig.  
129 1b). Incubation with AcrE2, an 84aa type I-E anti-CRISPR<sup>1, 16</sup> included as a negative control, did not  
130 affect target DNA cleavage by NmeCas9. When we compared the ability of Acrs to inhibit DNA  
131 cleavage when first added to the apo or sgRNA-loaded forms of NmeCas9, both candidate Acrs  
132 inhibited the two forms of NmeCas9 to a comparable extent (Supplementary Fig. 1). This observation  
133 is in contrast to previously described orthologous anti-CRISPRs AcrIIC1<sub>Boe</sub> and AcrIIC1<sub>Nme</sub>, which  
134 were less potent when added to the NmeCas9:sgRNA complex (Supplementary Fig. 1). Because these  
135 initial tests confirmed the anti-CRISPR activities of the two candidates from *H. parainfluenzae* and *S.*  
136 *muelleri*, we named them AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub>, respectively, to conform with established Acr  
137 nomenclature<sup>4, 26</sup>.

138

139 ***H. parainfluenzae* and *S. muelleri* encode type II-C CRISPR-Cas systems that function  
140 *in vitro***

141 Anti-CRISPRs are most likely to inhibit the Cas9 ortholog expressed by the same strain, but to our  
142 knowledge, little was known about the Cas9 orthologs from *H. parainfluenzae* and *S. muelleri*. To address  
143 this, we characterized these type II-C CRISPR-Cas systems (Fig. 2a). First, we identified a 1,054aa  
144 *cas9* ORF in *H. parainfluenzae* DSM 8978, a strain closely related to *H. parainfluenzae* 146\_HPAR for  
145 which genomic DNA was available. We identified a predicted tracrRNA adjacent to *cas9*, and noted  
146 that the CRISPR repeat sequence included a likely minimal promoter that initiates transcription in

147 the flanking spacer, as found previously with other type II-C systems<sup>5</sup> (Supplementary Fig. 2a). The  
148 predicted transcriptional start site would yield a crRNA with a 24-nt spacer, similar to *N. meningitidis*  
149 strain 8013<sup>27</sup>. We then used tracrRNA/crRNA complementarity to predict an sgRNA scaffold. These  
150 components were then used to generate expression constructs for recombinant HpaCas9 in *E. coli*, and  
151 for its sgRNA via *in vitro* transcription, for biochemical analyses (below).

152 Unlike *H. parainfluenzae* DSM 8978, the CRISPR-*cas* locus of *S. muelleri* ATCC 29453  
153 appeared to be degenerate (Supplementary Fig. 2a). There is no apparent *cas1* ORF, and the *cas2*  
154 ORF lacks a canonical ATG start codon. However, the *cas9* ORF (1,065aa) is intact and has all the  
155 predicted functional domains found in other Cas9 orthologs, which suggested that SmuCas9 itself  
156 might be active. When we attempted to define an appropriate guide RNA scaffold for SmuCas9, we  
157 could not predict its tracrRNA (based in part on crRNA complementarity) from nearby genomic  
158 sequences. Instead, we found an IS5 integrase upstream of *cas9*, where a *tracrRNA* locus is often  
159 observed (Supplementary Fig. 2a). Although we sequenced ~2 kb flanking the CRISPR locus to fill  
160 gaps in the genome assembly, we could not detect a tracrRNA sequence. As an alternative, we took  
161 advantage of the non-orthogonality of sgRNAs from closely related Cas9 orthologs<sup>28, 29</sup> and used the  
162 NmeCas9 sgRNA to test the cleavage activity of SmuCas9.

163 To define the PAM requirements for HpaCas9 and SmuCas9, a library of short DNA  
164 fragments containing a unique protospacer and 10-nt randomized PAM sequences was subjected to *in*  
165 *vitro* digestion using purified, recombinant Cas9 proteins and T7-transcribed sgRNAs. Next, digested  
166 products were gel-purified and deep-sequenced. PAM sequences were identified from the resulting  
167 sequencing data based on the frequency of nucleotides at each position of the digested products.  
168 HpaCas9 had strong preference for 5'-N<sub>4</sub>GATT-3' PAM sequence (Fig. 2b). Notably, this PAM is  
169 similar to the consensus PAM sequence of NmeCas9<sup>27, 28, 30-32</sup>. We extracted spacer sequences from  
170 the *H. parainfluenzae* 146\_HPAR CRISPR locus and identified two candidate protospacers. When we  
171 aligned the nucleotide sequences adjacent to the protospacers, we noted that both contained a 5'-  
172 N<sub>4</sub>GATT-3', which is consistent with the PAM discovered *in vitro* (Fig. 2b and Supplementary Fig. 2b).  
173 We found that SmuCas9 had strong preference for the 5'-N<sub>4</sub>C-3' PAM sequence (Fig. 2b). This single  
174 cytosine at the 5<sup>th</sup> position from the protospacer appears to be the most critical PAM nucleotide by  
175 far, although moderate preferences for other nucleotides at other positions cannot be excluded from  
176 this analysis. We validated these putative PAMs by performing *in vitro* cleavage of a non-degenerate  
177 substrate and confirmed efficient cleavage of a DNA target bearing a 5'-N<sub>4</sub>GATT-3' PAM for  
178 HpaCas9 and a 5'-N<sub>4</sub>C-3' PAM for SmuCas9 (Fig. 2c).  
179

180 **AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> inhibit their native, cognate Cas9 proteins and close  
181 orthologs *in vitro* and in bacteria**

182 We next examined the ability of AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> to inhibit HpaCas9 and SmuCas9, which  
183 share 59% and 62% sequence identity with NmeCas9, respectively. Our *in vitro* DNA cleavage

184 analyses show that these Acrs can inactivate their cognate Cas9 proteins (Fig. 2c). Given that some  
185 type II Acrs can inhibit orthologous Cas9 within the same subtype<sup>17, 18, 33</sup>, we tested *Neisseria*  
186 representatives of the three other type II-C Acr families (AcrIIC1<sub>Nme</sub>, AcrIIC2<sub>Nme</sub>, and AcrIIC3<sub>Nme</sub>) for  
187 inhibition of these two newly characterized Cas9 proteins. We found that all three of these previously  
188 characterized Acrs inhibit the DNA cleavage activity of both HpaCas9 and SmuCas9 (Fig. 2c).

189 To further characterize the physical interactions of AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> with HpaCas9  
190 and SmuCas9, we co-expressed each 6xHis-tagged Cas9 together with untagged Acr proteins in *E.*  
191 *coli*. Using Ni<sup>2+</sup>-affinity chromatography, we determined that AcrIIC4<sub>Hpa</sub> directly bound HpaCas9 and  
192 SmuCas9 (Fig. 3a). This is similar to the results observed for the previously characterized type II-C  
193 Acrs, which are known to bind to NmeCas9<sup>19, 33</sup>. By contrast, AcrIIC5<sub>Smu</sub> did not co-purify with any of  
194 the tested Cas9 proteins under these conditions (Fig. 3a).

195 Previous work has shown that some Acrs, such as AcrIIC1 family members, inhibit Cas9s  
196 from *Campylobacter jejuni* (CjeCas9) and *Geobacillus stearothermophilus* (GeoCas9), in addition to  
197 NmeCas9<sup>34</sup>. CjeCas9 shares 32% sequence identity with NmeCas9, and GeoCas9 shares 39%  
198 (Supplementary Table 2). To determine the range of activity of AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub>, we tested  
199 their inhibitory effects on type II-C Cas9s that have been validated for mammalian genome editing  
200 (Supplementary Fig. 2c). Despite the abilities of both AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> to inhibit DNA  
201 cleavage by NmeCas9 *in vitro*, neither prevented target DNA cleavage by CjeCas9 or GeoCas9.

202 To confirm these *in vitro* results, we also performed *E. coli*-based phage targeting assays to  
203 assess the ability of AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> to inhibit the activity of the various Cas9 orthologs. In  
204 this assay, Cas9 expressed from a plasmid in *E. coli* with an sgRNA that targets phage Mu led to a  
205 reduction in phage titer of ~10<sup>6</sup> plaque-forming units (pfu)/mL (Fig. 3b). AcrIIC4<sub>Hpa</sub> expression  
206 completely inhibited the activity of HpaCas9 and decreased the activity of NmeCas9 by ~100-fold  
207 (Fig. 3b and Supplementary Fig. 3). Similarly, AcrIIC5<sub>Smu</sub> completely inhibited the activity of both  
208 NmeCas9 and HpaCas9 (Fig. 3b), allowing phage Mu to plaque robustly. We were unable to test  
209 inhibition of SmuCas9 activity in *E. coli* because it failed to interfere with phage Mu plaquing even in  
210 the absence of Acr proteins, perhaps due to compromised function *in vivo* with the non-cognate  
211 NmeCas9 sgRNAs. Consistent with the *in vitro* results, neither AcrIIC4<sub>Hpa</sub> nor AcrIIC5<sub>Smu</sub> inhibited  
212 phage targeting by GeoCas9 or CjeCas9 (Fig. 3b). These results, together with the *in vitro* DNA  
213 cleavage assays (Fig. 1), indicate that AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> exhibit cross-species inhibitor activity  
214 (based on NmeCas9 inhibition) but have a narrower inhibitory spectrum than AcrIIC1<sup>19</sup>.

215

## 216 **AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> inhibit NmeCas9-mediated genome editing in mammalian 217 cells**

218 Validation of anti-CRISPR activity *in vitro* and in bacteria prompted us to test whether AcrIIC4<sub>Hpa</sub> and  
219 AcrIIC5<sub>Smu</sub> inhibit genome editing in mammalian cells. First, we used co-immunoprecipitation  
220 experiments to confirm that the NmeCas9/AcrIIC4<sub>Hpa</sub> physical interaction observed with

221 recombinant proteins *in vitro* (Fig. 3a) can also be detected in lysates from mammalian cells  
222 (Supplementary Fig. 4a). Consistent with AcrIIC5<sub>Smu</sub> inhibition of NmeCas9 DNA cleavage activity *in*  
223 *vitro* (Fig. 1b), we also detected AcrIIC5<sub>Smu</sub>/NmeCas9 co-immunoprecipitation in mammalian lysates  
224 (Supplementary Fig. 4a), even though purified, recombinant NmeCas9 did not pull down  
225 recombinant AcrIIC5<sub>Smu</sub> expressed in *E. coli* (Fig. 3a). To assess the inhibition of NmeCas9 genome  
226 editing, we co-transfected HEK293T cells transiently with plasmids expressing anti-CRISPR protein,  
227 NmeCas9 and sgRNAs targeting genomic sites. We then used T7 endonuclease 1 (T7E1) digestion to  
228 estimate genome editing efficiency. In agreement with our *in vitro* data, expression of AcrIIC4<sub>Hpa</sub> or  
229 AcrIIC5<sub>Smu</sub> reduced NmeCas9-mediated mutagenesis to undetectable levels at both tested sites (Fig.  
230 4a). In contrast, they had no effect on genome editing at the same genomic sites by SpyCas9, which  
231 belongs to the type II-A CRISPR-Cas subtype and is very distantly related to NmeCas9 (Fig. 4a).  
232 Titration of plasmids expressing AcrIIC4<sub>Hpa</sub> or AcrIIC5<sub>Smu</sub> demonstrated potency against NmeCas9  
233 that was comparable or superior to that of AcrIIC3<sub>Nme</sub> (Supplementary Fig. 4b), which had previously  
234 been defined as the most potent NmeCas9 inhibitor in mammalian cells<sup>1</sup>. For more rigorous  
235 quantitation of NmeCas9 editing, we used targeted deep sequencing at a distinct editing site (NTS1C)  
236 and detected little to no editing at higher doses of AcrIIC4<sub>Hpa</sub> or AcrIIC5<sub>Smu</sub> plasmids (Supplementary  
237 Fig. 4c).

238 We previously noted a discrepancy in the potency of AcrIIC3<sub>Nme</sub>, which was least active in  
239 inhibiting *N. meningitidis* transformation but was most potent in cultured human cells<sup>1</sup>. To address  
240 whether anti-CRISPR expression or stability correlates with inhibitory effect in mammalian cells, we  
241 estimated Acr protein abundance by western blots using lysates from HEK293T cells transiently  
242 transfected with Acr expression plasmids (identical in all respects other than Acr ORF). Inhibition  
243 potency correlated well with the abundance of the anti-CRISPR, with AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub>  
244 showing the highest protein signal at steady state (Supplementary Fig. 4d). To bypass the difference in  
245 protein abundance, we delivered a preformed ribonucleoprotein (RNP) complex of NmeCas9,  
246 sgRNA, and each Acr to HEK293T cells by electroporation. Then, we confirmed genome editing  
247 inhibition by AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> using tracking of indels by decomposition (TIDE) analysis<sup>35</sup>  
248 (Fig. 4b). Acrs still displayed variations in activities even with RNP delivery, suggesting differences in  
249 protein stability, off-rate, or other intrinsic properties. Of note, however, AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub>  
250 consistently exhibited strong inhibitory potency both *in vitro* and in cultured cells (Fig. 1b and Fig. 4).  
251 Furthermore, AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> co-expression increased the steady-state accumulation of  
252 NmeCas9 (with or without sgRNA co-expression), consistent with the possibility of a stabilizing  
253 physical interaction (Supplementary Fig. 4e). Overall, these data demonstrate that the two new anti-  
254 CRISPRs directly bind to NmeCas9 and specifically inhibit its DNA cleavage activity in human cells.  
255

## 256 **AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> prevent stable DNA binding by NmeCas9**

257 Once we confirmed the anti-CRISPR inhibition of sgRNA-guided NmeCas9 DNA cleavage *in vitro*  
258 (Fig. 1) and genome editing in cells (Fig. 4), we then addressed the mechanisms of NmeCas9 inhibition  
259 by AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub>. Since structural and biochemical analysis of the anti-CRISPRs  
260 characterized to date suggest diverse and unique inhibitory mechanisms<sup>2-4, 24</sup>, we tested multiple  
261 hypotheses: Acrs prevent sgRNA loading, DNA target binding [like AcrIIC3<sub>Nme</sub><sup>1</sup>], or DNA target  
262 cleavage [like AcrIIC1<sub>Nme</sub><sup>1, 19</sup>]. First, we checked whether sgRNA loading onto NmeCas9 is inhibited  
263 by either anti-CRISPR. We carried out electrophoretic mobility shift assays (EMSA) by incubating  
264 NmeCas9 and sgRNA with or without Acr, and then visualizing sgRNA mobility after native gel  
265 electrophoresis by SYBR Gold staining. In the absence of any anti-CRISPR, incubation of NmeCas9  
266 with its cognate sgRNA resulted in a gel shift that indicates formation of a stable RNP complex (Fig.  
267 5a). When NmeCas9 was incubated with a negative control anti-CRISPR (AcrE2) before the addition  
268 of sgRNA, NmeCas9:sgRNA complex formation was unaffected. Similarly, when incubated with  
269 AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub>, efficient NmeCas9:sgRNA complex formation was again observed,  
270 suggesting that neither Acr protein significantly affected RNP assembly.

271 To test if target DNA engagement by the NmeCas9:sgRNA complex is prevented by either  
272 AcrIIC4<sub>Hpa</sub> or AcrIIC5<sub>Smu</sub>, we performed EMSA and fluorescence polarization assays after incubating  
273 the RNP with each Acr, before adding target DNA (Fig. 5b and Supplementary Fig. 5a). To inhibit  
274 DNA target cleavage, we omitted divalent metal ions from the reactions. While the target DNA  
275 exhibited the expected mobility shift in the absence of Acr, or in the presence of AcrE2 or AcrIIC1<sub>Nme</sub>  
276 [as expected<sup>19</sup>], both AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> prevented NmeCas9 RNP binding to the target  
277 DNA. We also performed fluorescence polarization assays to measure the equilibrium binding  
278 constants of NmeCas9 RNP (0 - 2  $\mu$ M) to target DNA (8 nM) in the presence or absence of Acrs (10  
279  $\mu$ M). As shown in Supplementary Fig. 5a, AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> significantly impair the DNA  
280 binding activity of NmeCas9:sgRNA, confirming our EMSA results. The measured  $K_d$  of the  
281 NmeCas9 RNP to this target DNA (without Acr inhibition) is  $86 \pm 4$  nM, similar to a previous  
282 measurement ( $70 \pm 5$  nM) with a different sgRNA/target site combination<sup>19</sup>. The addition of  
283 AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> reduced apparent DNA affinity by ~9-fold (to  $750 \pm 150$  nM) and ~6-fold  
284 (to  $450 \pm 50$  nM), respectively (Supplementary Fig. 5a), similar to the ~10-fold inhibition of NmeCas9  
285 DNA binding by AcrIIC3<sub>Nme</sub><sup>19</sup>.

286  
287 **AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> are potent inhibitors of dNmeCas9-based tools in**  
288 **mammalian cells**  
289 Many applications (e.g. CRISPRi and CRISPRa) have been developed for catalytically inactive  
290 (“dead”) Cas9 (dCas9) derivatives fused or tethered to various effector domains<sup>36</sup>. To extend our  
291 findings from *in vitro* studies to mammalian cells, we tested whether AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub>  
292 prevent stable DNA binding of dNmeCas9 using previously established methods for live-cell imaging

293 of telomeric foci. Briefly, transfection of plasmids expressing dCas9 orthologs fused to fluorescent  
294 proteins, as well as cognate sgRNAs targeting telomeres, enables telomeric foci to be visualized in  
295 U2OS cells<sup>37</sup>. Orthogonal dNmeCas9-(sfGFP)<sub>3</sub> and dSpyCas9-(mCherry)<sub>3</sub> can be used in this fashion  
296 simultaneously to bind telomeres and generate co-localizing sfGFP and mCherry telomeric foci<sup>38</sup>.  
297 Transfection of a third plasmid, marked with mTagBFP2 and encoding an anti-CRISPR protein, can  
298 be used to assess the anti-CRISPR's effects on telomeric DNA binding in live cells<sup>1</sup>. AcrE2 had no  
299 effect on telomeric foci formed by dNmeCas9-(sfGFP)<sub>3</sub> and dSpyCas9-(mCherry)<sub>3</sub>, as seen previously<sup>1</sup>;  
300 however, co-expression of AcrIIC4<sub>Hpa</sub> or AcrIIC5<sub>Smu</sub> resulted in loss of green foci formation by  
301 dNmeCas9-(sfGFP)<sub>3</sub> without abolishing the red telomeric foci formed by dSpyCas9-(mCherry)<sub>3</sub> (Fig.  
302 5c). We then quantified the number of cells exhibiting telomeric dNmeCas9-(sfGFP)<sub>3</sub> foci in a blinded  
303 experimental setup (Supplementary Fig. 5b). We observed dNmeCas9-(sfGFP)<sub>3</sub> foci in approximately  
304 80% of cells in the absence of any Acr protein, in 70% of cells expressing AcrE2 protein (negative  
305 control), and in 0% of cells in the presence of AcrIIC3<sub>Nme</sub> (as a positive control<sup>1, 19</sup>). We found that 0%  
306 of cells exhibited dNmeCas9-(sfGFP)<sub>3</sub> telomeric foci when the two novel anti-CRISPRs were co-  
307 expressed (0 out of 78 for AcrIIC4<sub>Hpa</sub>, and 0 out of 82 for AcrIIC5<sub>Smu</sub>) (Supplementary Fig. 5b). These  
308 results confirm that AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> inhibit stable DNA binding of dNmeCas9 in a cellular  
309 context, indicating their potential utility as potent off-switches for dNmeCas9-based applications.

310 As with AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub>, type II anti-CRISPRs described thus far have been  
311 shown to inhibit Cas9 orthologs only within a single sub-type (II-A or II-C)<sup>17, 18, 33</sup>. Recently, SpyCas9  
312 fusions to NmeCas9 or dNmeCas9 have been developed for the induction of precise segmental  
313 deletions, or to prevent SpyCas9 off-target editing, respectively (Bolukbasi *et al.*, manuscript in  
314 revision). In the latter system, a PAM-attenuated SpyCas9 (SpyCas9<sup>MT3</sup>) fused to a programmable  
315 DNA-binding domain (pDBD) enables SpyCas9 editing to be restricted to the intended on-target site,  
316 with off-target editing suppressed<sup>39</sup>. Zinc-finger proteins, TALE proteins<sup>39</sup>, or orthogonal dCas9s can  
317 each serve as the pDBD. AcrIIC4<sub>Hpa</sub> or AcrIIC5<sub>Smu</sub> both suppressed genome editing by SpyCas9<sup>MT3</sup>-  
318 dNmeCas9 (Supplementary Fig. 6a), indicating that these type II-C anti-CRISPRs can cross sub-type  
319 boundaries and serve as SpyCas9 editing off-switches in this context. As expected, AcrIIC1 orthologs  
320 do not inhibit editing by SpyCas9<sup>MT3</sup>-dNmeCas9, either because they act downstream of dNmeCas9  
321 DNA binding<sup>19</sup>, or because they fail to bind to the mutated HNH domain of dNmeCas9  
322 (Supplementary Fig. 6a). SpyCas9 fusion to nuclease-active NmeCas9 can also be used to induce  
323 efficient segmental deletion via simultaneous DNA cleavage by both orthologs (Bolukbasi *et al.*,  
324 manuscript in revision) (Supplementary Fig. 6b). Type II-C anti-CRISPRs specifically inhibit editing  
325 activity of NmeCas9 in the fusion context, leading to the appearance of small indels at the SpyCas9  
326 site rather than a segmental deletion (Supplementary Fig. 6b). These data from mammalian cells  
327 confirm the potential utility of AcrIIC4<sub>Hpa</sub> or AcrIIC5<sub>Smu</sub> (as well as other type II anti-CRISPRs)  
328 proteins for modulating Cas9-dependent genome engineering applications across subtypes.  
329

330 **Discussion**

331 The prevalence of CRISPR-Cas immune systems in bacteria and archaea has driven phages to evolve  
332 anti-CRISPR proteins. Indeed, numerous anti-CRISPRs against type I and type II systems have been  
333 discovered in both bacteria and archaea since the first examples were reported in 2013<sup>15</sup>, with a range  
334 of inhibitory mechanisms for impairing Cas protein function<sup>2-4, 24</sup>. Here, we report two new families of  
335 type II-C anti-CRISPR proteins, AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub>, and their cognate Cas9 proteins from *H.*  
336 *parainfluenzae* and *S. muelleri*. We define PAMs for the newly characterized HpaCas9 and SmuCas9  
337 orthologs and show that they are functional *in vitro* and that HpaCas9 confers phage immunity in  
338 bacteria, expanding the functional Cas9 repertoire. These additional anti-CRISPRs and Cas9s total  
339 five anti-CRISPR families that differentially inactivate five different type II-C Cas9 orthologs (Fig. 6).

340 AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> inhibit NmeCas9, HpaCas9, and SmuCas9 activity *in vitro*, as well  
341 as CRISPR interference activity in bacteria, and both also prevent NmeCas9-mediated genome  
342 editing in mammalian cells. The two new Acr families are the most potent among the type II-C Acrs,  
343 prevent substrate DNA binding by NmeCas9 and dNmeCas9, and exhibit higher specificities for  
344 inhibition of type II-C Cas9s in comparison to AcrIIC1<sup>19</sup>. AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> activity was  
345 found to be specific to closely related Cas9 orthologs, as they did not inhibit the more distantly related  
346 CjeCas9 and GeoCas9 type II-C orthologs. Cross-species inhibitory effects of each Acr may be graded  
347 depending on the similarity of the Cas9 orthologs. Subtle differences may be sufficient to distinguish  
348 each anti-CRISPR's breadth of inhibition as broad-spectrum or highly specific, with gradations  
349 between these two extremes. For example, the AcrIIC1 family of Acrs can inhibit multiple Cas9s,  
350 likely because they bind to the highly conserved HNH domain<sup>19</sup>, whereas other type II-C Acrs may  
351 bind to Cas9 domains that are less conserved (like the PID). The evolutionary pressure on Cas9s to  
352 evolve away from anti-CRISPR inhibition may promote diverse PAM specificities and other  
353 mechanistic distinctions between Cas9 orthologs. Similarly, distinct anti-CRISPR specificities for  
354 inhibiting Cas9 orthologs could suggest different mechanisms of action. We show that AcrIIC4<sub>Hpa</sub> and  
355 AcrIIC5<sub>Smu</sub> prevent binding of Cas9 to target DNA, like AcrIIC3 and AcrIIA4 but unlike AcrIIC1<sup>1, 19</sup>.  
356 Target DNA binding could be prevented by precluding initial recognition of the PAM (similar to the  
357 strategy of AcrIIA4<sup>20-22</sup>), by preventing one of the stages of R-loop formation and Cas9 structural  
358 rearrangement<sup>40</sup>, or a combination of these.

359 Moreover, we have demonstrated the potential utility of Acr-mediated control of Cas9 and  
360 dCas9-based technologies by AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub>. Recently, AcrIIA4<sup>17</sup> was used as an inhibitor  
361 of dSpyCas9 fused to a DNA demethylase, Tet1, to inactivate dSpyCas9-Tet1 DNA target binding<sup>41</sup>.  
362 Separately, AcrIIA families were shown to prevent a gene-drive propagation in *Saccharomyces cerevisiae*<sup>42</sup>.  
363 These are a few examples of the potential utility of Acrs as Cas9 off-switches. Many applications stand  
364 to benefit from increasing the numbers, specificities, and inhibitory mechanisms of anti-CRISPRs, for  
365 instance through combinatorial control over multiple Cas9/dCas9 proteins. For example, both broad-  
366 spectrum (e.g., AcrIIC1<sub>Nme</sub>) and highly specific (e.g., AcrIIC3<sub>Nme</sub>, 4<sub>Hpa</sub>, or 5<sub>Smu</sub>) anti-CRISPR proteins

367 could be used to control multiple Cas9s simultaneously, or specific Cas9s but not others, upstream or  
368 downstream of target recognition, to achieve maximal flexibility of both genome manipulation and  
369 regulation.

370 Apart from potential uses in biotechnology, CRISPR-Cas systems and anti-CRISPR proteins  
371 that inactivate them are in strong accord with the Red Queen hypothesis, which proposes that  
372 bacteria must evolve new mechanisms to resist invaders while the invaders simultaneously evolve  
373 countermeasures. The widespread prevalence and extreme diversity of CRISPR-Cas9 systems in  
374 bacteria and archaea, as well as the adaptive nature of the resulting defenses, pose a significant  
375 challenge to phages and other MGEs. Anti-CRISPR proteins provide phages with an effective tactic  
376 to inactivate CRISPR-Cas systems and likely contribute to phage persistence in the face of host  
377 defense mechanisms. Many gaps remain in our understanding of the origins of these anti-CRISPRs  
378 and how they function in the context of phage predation. It is likely that these proteins have emerged  
379 independently and repeatedly through convergent evolution, as indicated by a lack of sequence or  
380 structural similarities among many reported Acrs<sup>24, 24</sup>. A structural study of a capsid protein from a  
381 phage that infects *Thermus thermophilus* shares a common core β-barrel domain with AcrIIC1,  
382 suggesting an evolutionary source for an anti-CRISPR protein<sup>43</sup>. Our ability to address these  
383 outstanding questions is limited by the relatively small number of examples of known anti-CRISPR  
384 proteins and their striking diversity in sequence and structures. Expanding the collection of diverse  
385 anti-CRISPR families and their cognate CRISPR effectors will help further our understanding of the  
386 arms race between phages and their hosts.

387

## 388 **Methods**

389

### 390 Characterization of HpaCas9 and SmuCas9

391 CRISPRfinder (<http://crispr.i2bc.paris-saclay.fr>) was used to identify the CRISPR locus of  
392 *Haemophilus parainfluenzae*. The spacers targeting the phage sequences were blasted via CRISPRTarget  
393 (<http://bioanalysis.otago.ac.nz/CRISPRTarget>) to predict the PAM present on the 3' sequences.  
394 DNA and protein sequences of HpaCas9 and SmuCas9 orthologs are provided in Supplementary  
395 Table 1.

396

### 397 Plasmid Construction

398 Plasmids used in this study are described in Supplementary Table 4.

399 *Cas9/sgRNA and anti-CRISPR vector for bacterial expression, protein purification and in vitro transcription*  
400 The pMCSG7-NmeCas9 expression vector and the sgRNA for *in vitro* transcription are as previously  
401 described<sup>1</sup>. To make the HpaCas9 expression vector pEJS-MCSG7-HpaCas9, genomic DNA  
402 sequence from *H. parainfluenzae* DSM 8987 was obtained from DSMZ and cloned into the pMCSG7-  
403 NmeCas9 expression plasmid, replacing the NmeCas9 sequence using Gibson Assembly (NEB). The

404 GeoCas9-expressing plasmid (expressing the GeoCas9 ortholog from *G. stearothermophilus* strain ATCC  
405 7953) was obtained from Addgene (#87700) and similarly cloned into the pMCSG7 vector. To make  
406 GeoCas9 from strain L300, a gBlock (IDT) containing the PID was used to replace the PID of  
407 GeoCas9 from *G. stearothermophilus* strain ATCC 7953. For construction of sgRNA scaffolds for  
408 HpaCas9 and GeoCas9, the tracrRNA was predicted by crRNA repeat complementarity as well as  
409 homology to the NmeCas9 tracrRNA. These sgRNA scaffolds were ordered as gBlocks (IDT) along  
410 with overhangs to clone into pLKO.1 plasmid<sup>1, 39</sup> using Gibson Assembly (NEB). The CjeCas9  
411 sgRNA plasmid was used as previously reported<sup>19, 44</sup>. All sgRNA scaffolds were used as templates to  
412 create *in vitro*-transcribed sgRNAs.

413 DNA sequences encoding candidate anti-CRISPR proteins were synthesized and cloned into a  
414 pUC57 mini (AmpR) vector with a *N. meningitidis* 8013 Cas9 promoter sequence for bacterial work, as  
415 done previously for other anti-CRISPRs<sup>1</sup>. For anti-CRISPR protein purification, the Acr insert was  
416 amplified and inserted into the pMCSG7 backbone by Gibson Assembly (NEB), resulting pMCSG7-  
417 Acr. Supplementary Table 1 contains the DNA and protein sequences of the anti-CRISPRs tested in  
418 this study.

419

420 *Cas9/sgRNA and Acr vectors for mammalian expression*

421 For editing of genomic dual target sites by both SpyCas9 and NmeCas9, we used Cas9 and cognate  
422 sgRNA expression vectors that are described previously<sup>1</sup>. To generate the Acr expression vector, the  
423 Acr ORF was amplified from pUC57-Acr and inserted into XhoI-digested pCDest2 by Gibson  
424 assembly (NEB).

425

426 *Vectors for fluorescence microscopy*

427 pHAGE-TO-DEST dSpyCas9-(mCherry)<sub>3</sub> and dNmeCas9-(sfGFP)<sub>3</sub> plasmids<sup>38</sup> were purchased from  
428 Addgene (#64108 and #64109, respectively) and used directly for no-sgRNA control experiments.  
429 dNmeCas9-(sfGFP)<sub>3</sub> and dSpyCas9-(mCherry)<sub>3</sub> all-in-one plasmids have been described previously  
430 (Pawluk et al., 2016). To make Acr plasmids, we amplified an mTagBFP2 cassette and incorporated it  
431 into pCDest2 vectors expressing the respective Acr by Gibson Assembly (NEB).

432

433 Expression and Purification of Acr and Cas9 proteins

434 The expression and purification of Acrs and Cas9s was performed as described<sup>1, 12</sup>. 6xHis-tagged anti-  
435 CRISPRs and Cas9s were expressed in *E. coli* strain BL21 Rosetta (DE3). Cells were grown in LB or  
436 2X YT medium at 37 °C to an optical density (OD<sub>600 nm</sub>) of 0.6 in a shaking incubator. At this stage  
437 the bacterial cultures were cooled to 18 °C, and protein expression was induced by adding 1 mM  
438 IPTG. Bacterial cultures were grown overnight at 18 °C (~16 hrs), after which cells were harvested  
439 and resuspended in Lysis buffer [50 mM Tris-HCl (pH 7.5), 500 mM NaCl, 5 mM imidazole, 1 mM  
440 DTT] supplemented with 1 mg/mL Lysozyme and protease inhibitor cocktail (Sigma). Cells were

441 lysed by sonication and the supernatant was then clarified by centrifugation at 18000 rpm for 30 min.  
442 The supernatant was incubated with pre-equilibrated Ni-NTA agarose (Qiagen) for 1 hr. The resin  
443 was then washed twice with Wash buffer [50 mM Tris-HCl (pH 7.5), 500 mM NaCl, 25 mM  
444 imidazole, 1 mM DTT]. The proteins were eluted in Elution buffer containing 300 mM imidazole.  
445 For Acr proteins, the 6xHis tag was removed by incubation with His-tagged Tobacco Etch Virus  
446 (TEV) protease overnight at 4 °C followed by a second round of Ni-NTA purification to isolate  
447 successfully cleaved, untagged anti-CRISPRs (by collecting the unbound fraction). Cas9s were further  
448 purified using cation exchange chromatography using a Sepharose HiTrap column (GE Life  
449 Sciences). Size exclusion chromatography was used to purify NmeCas9 further in 20 mM HEPES-  
450 KOH (pH 7.5), 300 mM KCl and 1 mM TCEP.

451

#### 452 In vitro DNA cleavage

453 For the *in vitro* DNA cleavage experiments with NmeCas9 (Fig. 1C and Supplementary Fig. 1),  
454 NmeCas9 sgRNA targeting N-TS4B was generated by *in vitro* T7 transcription (NEB). NmeCas9 (150  
455 nM) was incubated with purified, recombinant anti-CRISPR protein (0-5 pM) in cleavage buffer [20  
456 mM HEPES-KOH (pH 7.5), 150 mM KCl, 1 mM DTT] for 10 minutes. Next, sgRNA (1:1, 150 nM)  
457 was added and the mixture was incubated for another 15 minutes. Plasmid containing the target  
458 protospacer NTS4B was linearized by ScaI digestion. Linearized plasmid was added to the  
459 Cas9/sgRNA complex at 3 nM final concentration. The reactions were incubated at 37 °C for 60  
460 minutes, treated with proteinase K at 50 °C for 10 minutes, and visualized after electrophoresis in a  
461 1% agarose/1xTAE gel.

462

#### 463 Phage immunity

464 Plasmids expressing Cas9 targeting *E. coli* phage Mu were co-transformed into *E. coli* strain  
465 BB101 with plasmids expressing the anti-CRISPRs<sup>19</sup>. Cells carrying both plasmids were grown in  
466 lysogeny broth (LB) supplemented with streptomycin and chloramphenicol. Anti-CRISPR gene  
467 expression was induced using 0.01 mM IPTG for three hours. A lawn of 200 µL of cells in top agar  
468 was applied to LB agar plates supplemented with streptomycin, chloramphenicol, 200 ng/mL  
469 anhydrotetracycline (aTc), 0.2% arabinose +/- 200 ng/mL aTc, and 10 mM MgSO<sub>4</sub>. Ten-fold serial  
470 dilutions of phage Mu were spotted on top of the lawn and the plates were incubated overnight at 37  
471 °C. To confirm the expression levels of the anti-CRISPR proteins in this assay, 500 µL aliquots of  
472 cells applied to the top agar were pelleted by centrifugation, resuspended in 100 µL of SDS-PAGE  
473 loading buffer, run on a 15% Tris-Tricine gel, and the resulting protein gel was visualized by  
474 Coomassie Blue.

475

#### 476 Cas9-Acr co-purification

477 Cas9 proteins were expressed from plasmid pMCSG7 with an N-terminal 6-His affinity tag in *E. coli*  
478 Rosetta cells. Untagged Acrs were co-expressed in the same cells from plasmid pCDF1b. Cells were  
479 grown in LB to an OD<sub>600</sub> of 0.8 and protein production was induced with 2 mM IPTG overnight at  
480 16 °C. Cells were collected by centrifugation, resuspended in binding buffer (20 mM Tris, pH 7.5, 250  
481 mM NaCl, 5 mM imidazole), lysed by sonication, and cellular debris was removed by centrifugation.  
482 The cleared lysates were applied to Ni-NTA columns, washed with binding buffer supplemented with  
483 30 mM imidazole, and eluted with 300 mM imidazole. Protein complexes were analyzed by SDS-  
484 PAGE followed by Coomassie staining.

485

#### 486 PAM discovery

487 A library of a protospacer with randomized PAM sequences was generated using overlapping PCRs,  
488 with the forward primer containing the 10-nt randomized sequence flanking the protospacer. The  
489 library was subjected to *in vitro* cleavage by purified recombinant HpaCas9 or SmuCas9 proteins as  
490 well as *in vitro* transcribed sgRNAs. Briefly, 300 nM Cas9:sgRNA complex was used to cleave 300 nM  
491 of the target fragment in 1X reaction buffer (NEBuffer 3.1) at 37 °C for 60 minutes. The reaction was  
492 then treated with proteinase K at 50 °C for 10 minutes and run on a 4% agarose gel with 1X TAE.  
493 The segment of a gel where the cleavage products were expected to be was purified and subjected to  
494 library preparation as described<sup>45</sup>. The library was sequenced using the Illumina NextSeq500  
495 sequencing platform and analyzed with custom scripts.

496

#### 497 Electrophoretic Mobility Shift Assay (EMSA)

498 1 μM NmeCas9 was incubated with 1 μM sgRNA in 1x Binding buffer [20 mM Tris-HCl (pH 7.5),  
499 150 mM KCl, 2 mM EDTA, 1 mM DTT, 5% glycerol, 50 μg/mL Heparin, 0.01% Tween 20, 100  
500 ug/mL BSA] for 20 minutes at room temperature to form the RNP complex. Acrs were added to a  
501 final concentration of 10 μM and incubated for an additional 20 minutes. Finally the FAM-tagged  
502 NTS4B protospacer oligonucleotide was added to the mixture and incubated at 37 °C for 1 hr. The  
503 mixture was loaded onto a native 6 % acrylamide gel and the FAM-tagged DNA was visualized using  
504 a Typhoon imager.

505

#### 506 sgRNA EMSA

507 NmeCas9 (1.5 μM) and anti-CRISPR (20 μM) proteins were pre-incubated in 1x Binding buffer for  
508 10 minutes and then sgRNA (0.15 μM) was added to the reaction for additional 10 minutes. The  
509 complexes were resolved on a 6% polyacrylamide native gel, stained by SYBR Gold (ThermoFisher)  
510 and visualized with a Typhoon imager.

511

#### 512 Mammalian genome editing

513 Plasmids for mammalian expression of NmeCas9, SpyCas9, their respective sgRNAs, and the anti-

514 CRISPR proteins are listed in Supplementary Table 4. Plasmid transfections, collection of genomic  
515 DNA, and T7E1 digestions were as described<sup>1</sup>.

516

517 Genome editing by Cas9 ribonucleoprotein (RNP) delivery

518 RNP delivery of NmeCas9 was performed using a Neon electroporation system following the  
519 manufacturer's instructions (ThermoFisher). Briefly, in a 10 uL reaction volume, 15 pmol of  
520 NmeCas9 and 150 pmol of anti-CRISPR protein were mixed in buffer R and incubated at room  
521 temperature for 20 minutes. 20 pmol of T7 *in vitro*-transcribed sgRNA was added to the Cas9-Acr  
522 complex and incubated at room temperature for 30 minutes. Approximately 50,000-100,000 cells  
523 were mixed with the RNP-Acr-sgRNA complex, electroporated (Neon nucleofection system), and  
524 then plated in 24-well plates. Genomic DNA was extracted 48 hours post-nucleofection using a  
525 DNeasy Blood and Tissue kit (Qiagen) according to the manufacturer's protocol. Quantification of  
526 editing (% of amplicons exhibiting lesions) was done using TIDE analysis<sup>35</sup>. PCR products spanning  
527 the target site were amplified using 2x HiFi master mix (NEB) and column-purified (Zymo). Purified  
528 amplicons were sent for Sanger sequencing (Genewiz) and trace files were analyzed by TIDE.

529

530 Fluorescence microscopy of dNmeCas9

531 Experimental procedures were as described<sup>1</sup>. Briefly, U2OS cells were co-transfected with all-in-one  
532 plasmids (150 ng of each dNmeCas9 and dSpyCas9 plasmid), additional sgRNA-expressing plasmid,  
533 and 100ng of anti-CRISPR/mTagBFP2 plasmid using PolyFect (Qiagen) according to the  
534 manufacturer's instructions. After 24 hours of incubation, live cells were imaged with a Leica DMi8  
535 microscope equipped with a Hamamatsu camera (C11440-22CU), a 63x oil objective lens, and  
536 Microsystems software (LASX). Further imaging processing was done with Fiji-ImageJ. For  
537 quantification, only cells that exhibited mTagBFP2 and sfGFP fluorescence as well as dSpyCas9-  
538 (mCherry)<sub>3</sub> telomeric foci were assessed for the presence or absence of co-localizing dNmeCas9-  
539 (sfGFP)<sub>3</sub> telomeric foci.

540

541 **Data availability**

542 Raw data files are available upon reasonable request. High-throughput sequencing data is in  
543 preparation for deposition in the NCBI Sequence Read Archive (SRA).

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550

551 **Author contributions**

552 J.L. carried out co-immunoprecipitations, western analyses, and fluorescence microscopy experiments.  
553 A.E. and A.M. characterized *H. parainfluenzae* and *S. muelleri* CRISPR loci and expressed, purified, and  
554 analyzed HpaCas9 and SmuCas9. A.E. and I.G. designed and executed PAM definitions. A.M., J.L.  
555 and H.E.L. expressed and purified anti-CRISPR and NmeCas9 proteins, and A.M. and H.E.L.  
556 conducted *in vitro* analyses of Acr proteins. B.G. designed, performed, and analyzed phage and co-  
557 purification binding assays. J.L., N.A., R.I. and X.D.G. designed, performed, and analyzed  
558 mammalian genome editing experiments, and P.L. analyzed targeted deep sequencing data. A.P.  
559 performed bioinformatic analyses identifying candidate anti-CRISPRs. A.R.D., K.L.M., and E.J.S.  
560 supervised experiments. J.L., K.L.M. and E.J.S. wrote the manuscript, and all authors edited the  
561 manuscript.

562

563 **Competing interests**

564 E.J.S. is a co-founder and scientific advisor of Intellia Therapeutics. The authors have filed for a  
565 patent related to this work.

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659 **Figure Legends**

660

661 **Figure 1. Identification and *in vitro* validation of two anti-CRISPR protein families. a,**

662 Schematic of candidate anti-CRISPR proteins and *aca* genes in the genomic context of *H.*

663 *parainfluenzae* (AcrIIC4<sub>Hpa</sub>) and *S. muelleri* (AcrIIC5<sub>Smu</sub>). Gray-colored genes are associated with mobile

664 DNA, and known gene functions are annotated as following: 'Tail' is involved in phage tail

665 morphogenesis; 'Tra' is a transposase. Arrows are not drawn to scale. **b**, *In vitro* cleavage of target

666 DNA by the NmeCas9-sgRNA complex in the presence of anti-CRISPR protein. A linearized

667 plasmid with a protospacer and PAM sequence was subjected to *in vitro* digestion by purified,

668 recombinant, sgRNA-programmed NmeCas9. Preformed NmeCas9-sgRNA RNP complex was

669 incubated with purified anti-CRISPR proteins as indicated with AcrE2 as a negative control, AcrIIC1

670 as a positive control, and candidate Acrs. Molarities of anti-CRISPR protein (relative to constant

671 Cas9 molarity) are shown at the top of each lane, and mobilities of input and cleaved DNAs are

672 denoted on the right.

673

674 **Figure 2. A diversity of Cas9 orthologs and breadth of inhibition by anti-CRISPR**

675 **proteins. a**, A phylogenetic tree of type II-C Cas9 orthologs from *N. meningitidis*, *C. jejuni*, *G.*

676 *stearothermophilus*, *H. parainfluenzae*, and *S. muelleri*. Cas9 from *S. pyogenes* is used as an outgroup. **b**, PAM

677 preferences for *H. parainfluenzae* (left) and *S. muelleri* (right) Cas9 proteins. Frequencies of nucleotides at

678 each PAM position were calculated and plotted as a WebLogo. **c**, Validation of HpaCas9 and

679 SmuCas9 cleavage activity and inhibition by anti-CRISPR proteins *in vitro*. The double asterisk

680 denotes sgRNA.

681

682 **Figure 3. Plaquing of *E. coli* phage Mu targeted by the Nme, Hpa, Cje, or Geo Cas9 in**

683 **the presence of the anti-CRISPR proteins. a**, Interaction between Acrs and NmeCas9,

684 HpaCas9, and SmuCas9 is visualized by Coomassie staining after co-purification of each 6xHis-

685 tagged Cas9 and untagged Acrs from *E. coli*. Each Cas9 ortholog and anti-CRISPRs are indicated as

686 arrowhead and yellow asterisks, respectively. **b**, Ten-fold serial dilutions of phage Mu lysate were

687 spotted on lawns of bacteria expressing the indicated Acr proteins. Data are from one plate

688 representative of  $\geq 3$  replicates.

689

690 **Figure 4. AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> inhibit genome editing by NmeCas9 in human**

691 **cells. a**, T7E1 assays of NmeCas9 or SpyCas9 editing efficiencies at two dual target sites (DTS3 and

692 DTS7) upon transient plasmid transfection of human HEK293T cells. Constructs encoding anti-

693 CRISPR proteins were co-transfected as indicated at the top of each lane. Mobilities of edited and

694 unedited bands are indicated to the right and editing efficiencies ("lesion") are given at the bottom

695 of each lane. The figure is a representative of three replicates. **b**, A bar graph of editing efficiencies

696 measured by TIDE analysis upon RNP delivery of NmeCas9-sgRNA and Acr into HEK293T cells.  
697 Statistical significance was determined by two-tailed paired student's t-test. Mean and standard  
698 deviations of three biological replicates are indicated with lines (\*;  $p < 0.05$ , \*\*;  $p < 0.01$ , \*\*\*;  $p <$   
699 0.001).  
700

701 **Figure 5. AcrIIC4<sub>Hpa</sub> and AcrIIC5<sub>Smu</sub> prevent stable DNA binding by NmeCas9. a,b,** A  
702 native gel of the sgRNA visualized by SYBR gold staining (**a**) and of the FAM-labeled target DNA (**b**),  
703 both of which were added last to NmeCas9 + Acr [and in (**b**), + sgRNA] incubation. **c**, Live-cell  
704 fluorescence images of U2OS cells transiently transfected with plasmids encoding dNmeCas9-  
705 (sfGFP)<sub>3</sub>, dSpyCas9-(mCherry)<sub>3</sub>, their respective telomeric sgRNAs, and Acrs. The plasmid encoding  
706 the Acr is also marked with the blue fluorescent protein, mTagBFP2, which is overlaid on a  
707 differential interference contrast (DIC) image of each cell. The specific version of each plasmid set  
708 (with or without sgRNAs, with or without anti-CRISPRs) is given to the right of each row. First  
709 column: differential interference contrast (DIC) and mTagBFP2 imaging, overlay. Second column:  
710 dNmeCas9-(sfGFP)<sub>3</sub>. Third column: dSpyCas9-(mCherry)<sub>3</sub>. Fourth column: dNmeCas9-(sfGFP)<sub>3</sub> and  
711 dSpyCas9-(mCherry)<sub>3</sub>, merged. Scale bars, 5  $\mu$ m.  
712

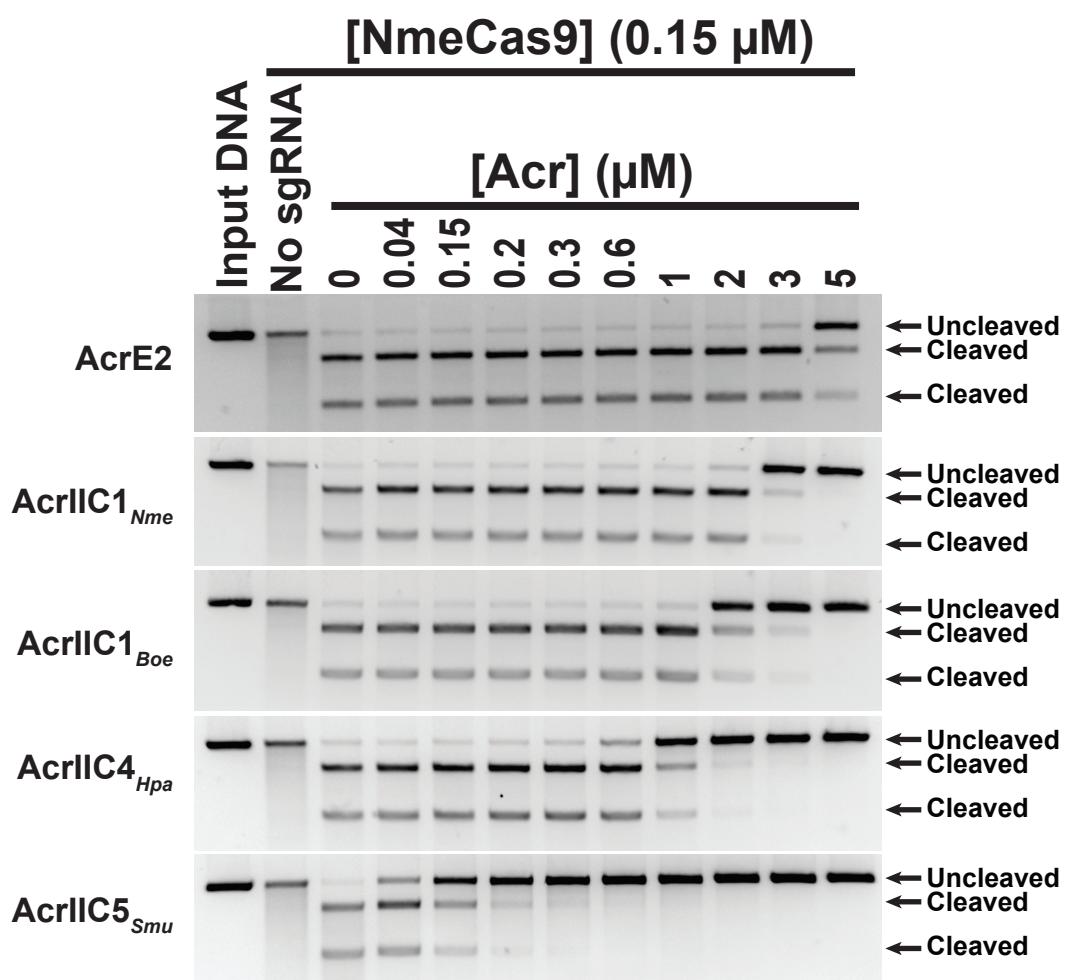
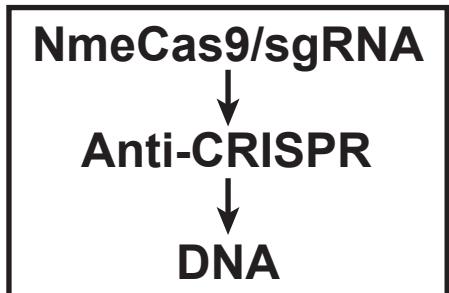
713 **Figure 6. Summary of type II-C Cas9 orthologs and anti-CRISPR families.** Type II-C  
714 anti-CRISPRs can act at distinct stages of Cas9-mediated target DNA cleavage. While AcrIIC1 binds  
715 to the HNH domain and inhibits all a broad spectrum of Cas9 orthologs, AcrIIC4 and AcrIIC5  
716 prevent DNA binding and a have narrower range of inhibition, similar to AcrIIC3.  
717

**Figure 1**

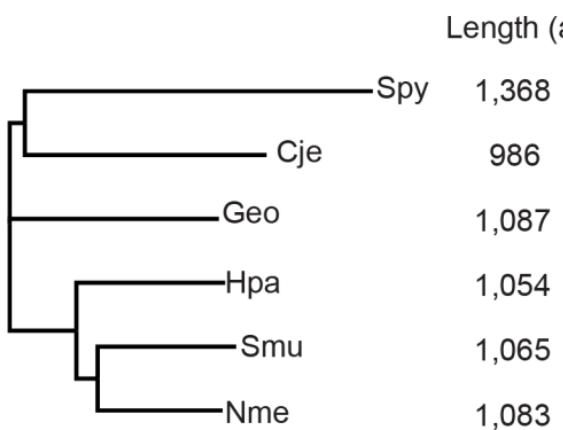
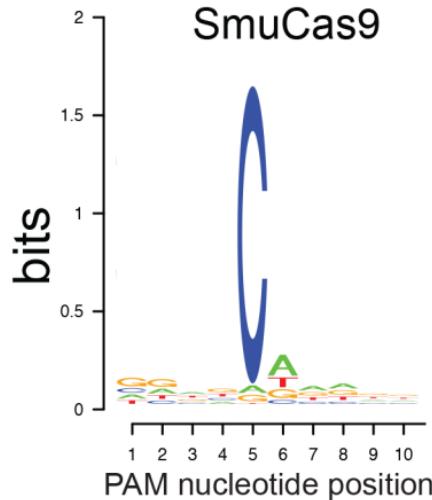
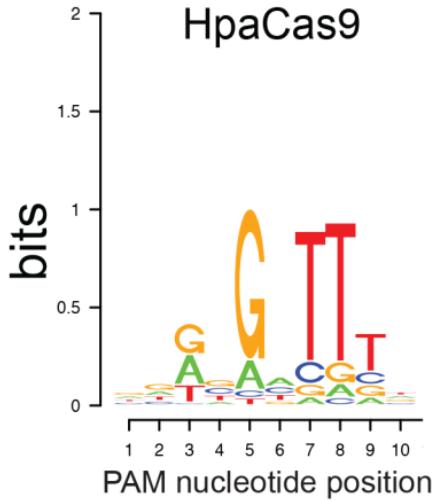
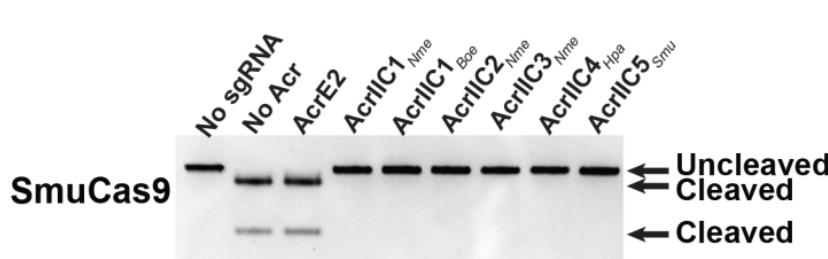
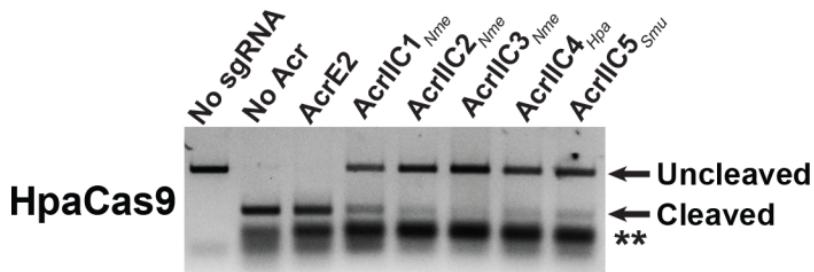
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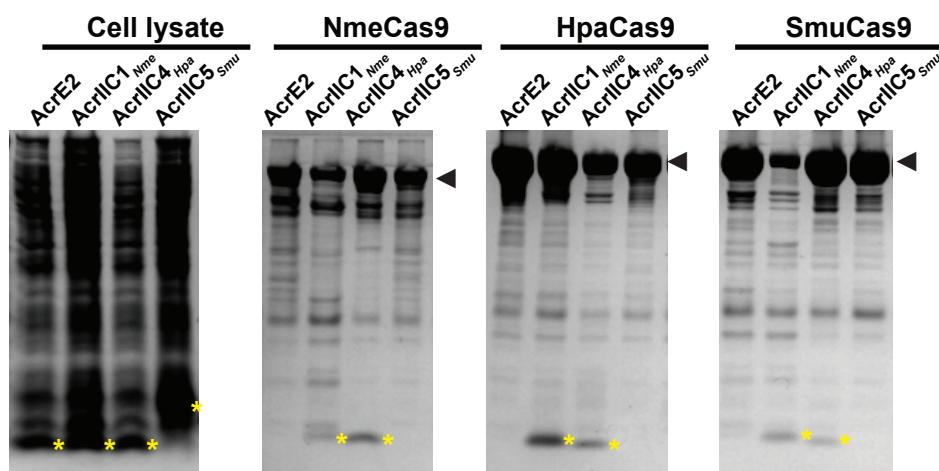
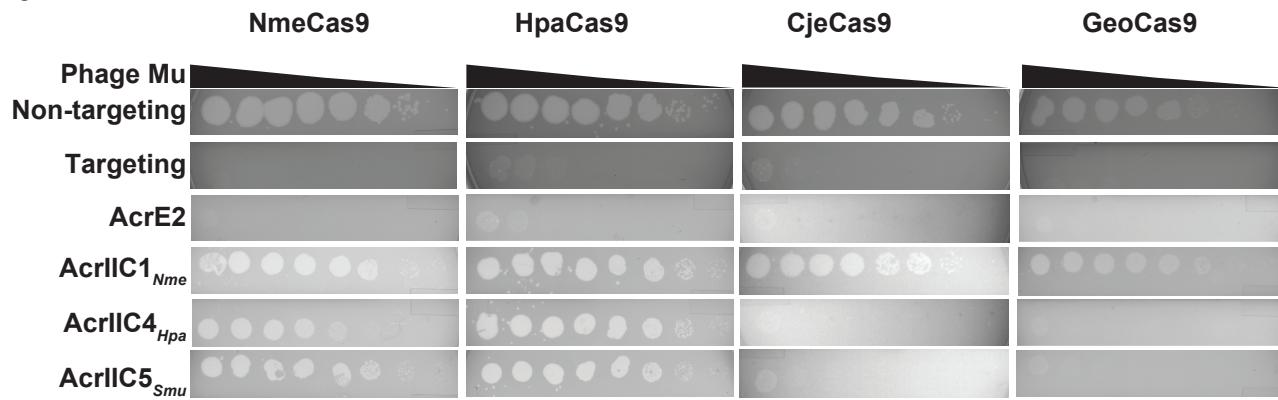
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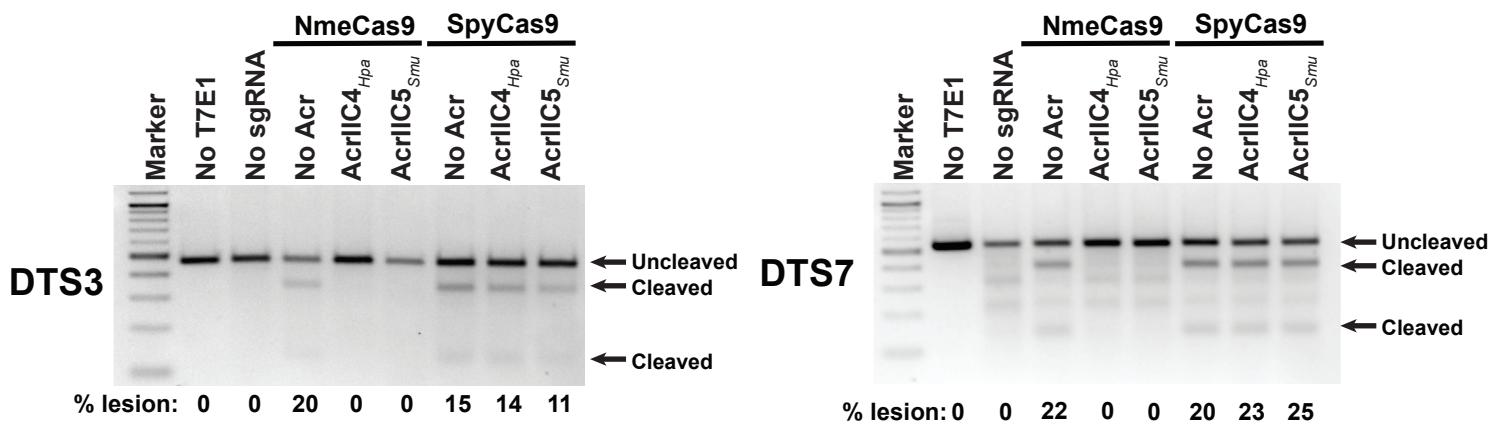
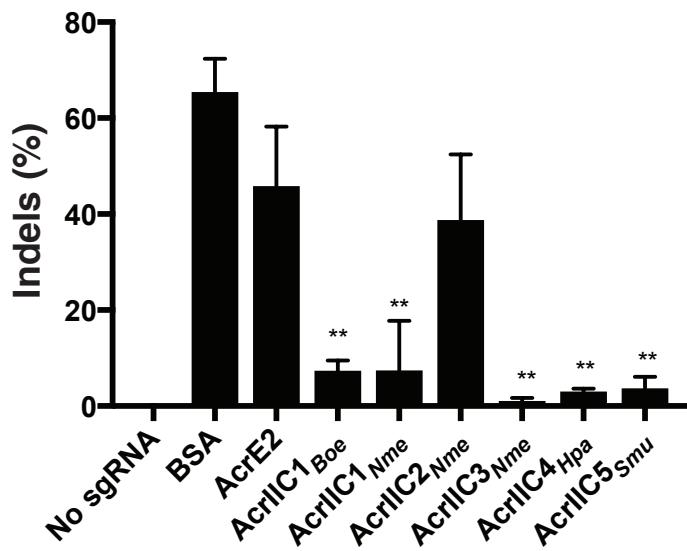


# Figure 2

**a****b****c**

# Figure 3

**a****b**

**Figure 4****a****b**

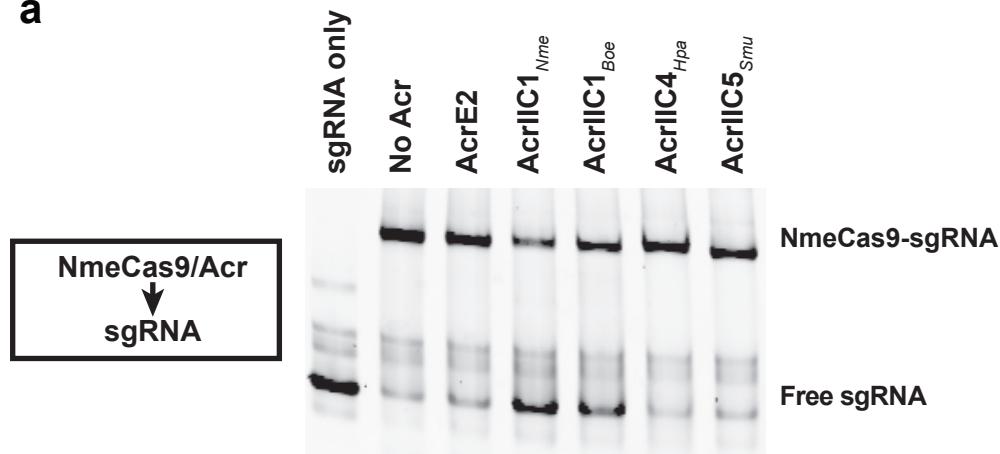
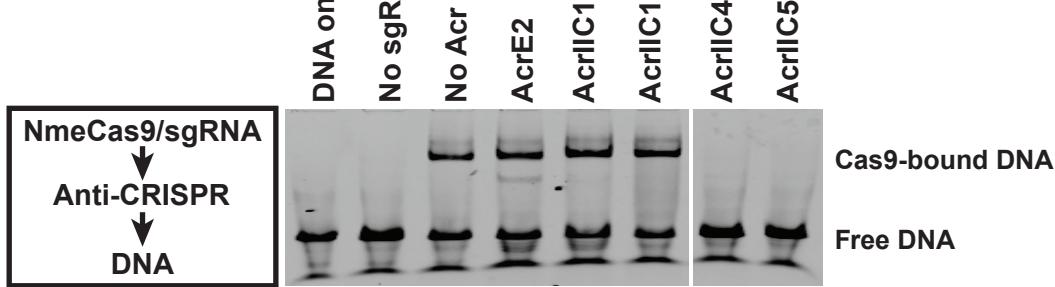
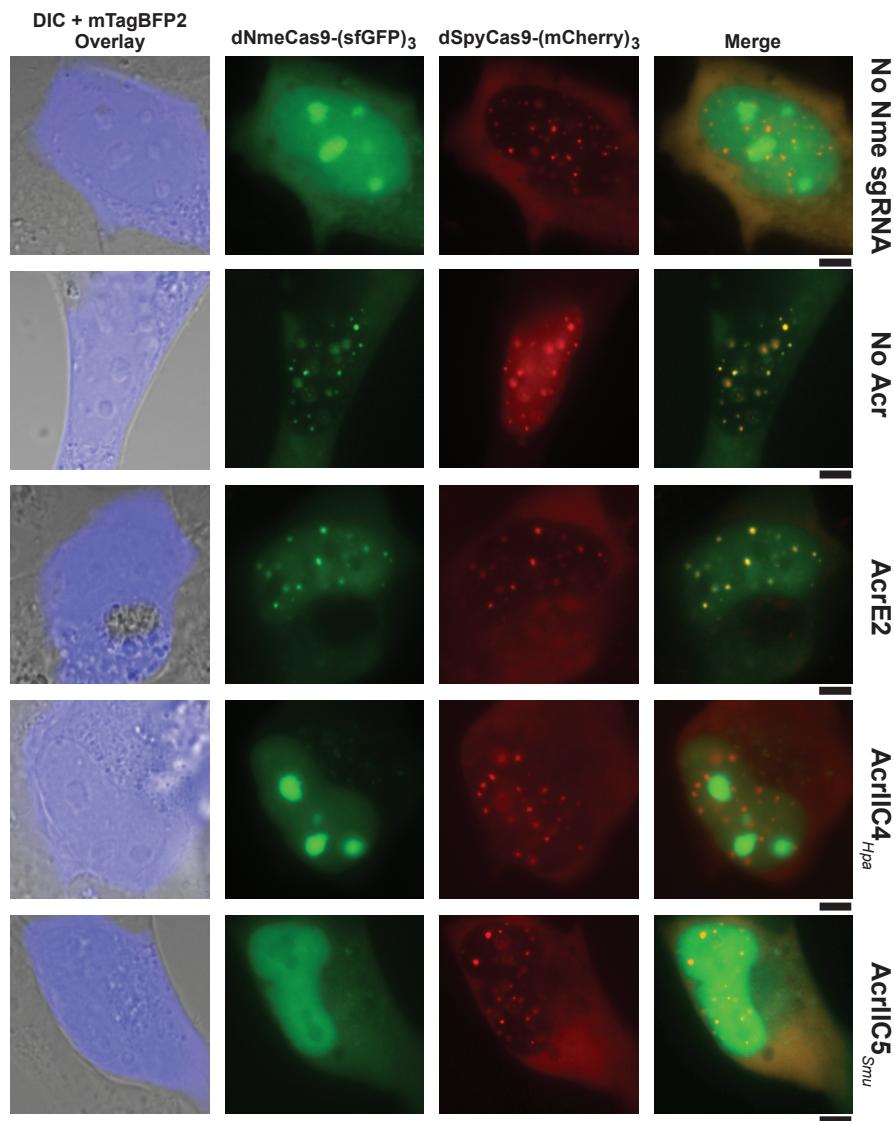
**Figure 5****a****b****c**

Figure 6

