

1 Acute-phase CD4+ T cell responses targeting invariant viral regions are associated with
2 control of live-attenuated simian immunodeficiency virus

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12 Running Head: Acute-phase CD4 T cells to invariant regions in SIV

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16 **Abstract: (word count: 245)**

17 We manipulated SIVmac239Δnef, a model of MHC-independent viral control, to
18 evaluate characteristics of effective cellular responses mounted by Mauritian cynomolgus
19 macaques (MCMs) who express the M3 MHC haplotype that has been associated with
20 poor control of pathogenic SIV. We created SIVΔnef-8x to test the hypothesis that
21 effective SIV-specific T cell responses targeting invariant viral regions can emerge in the
22 absence of immunodominant CD8+ T cell responses targeting variable epitopes, and that
23 control is achievable in individuals lacking known protective MHC alleles. Full proteome

24 IFNy ELISPOT assays identified six newly targeted immunogenic regions following
25 SIV Δ nef-8x infection of M3/M3 MCMs. We deep sequenced circulating virus and found
26 that four of the six newly targeted regions rarely accumulated mutations. Six animals
27 infected with SIV Δ nef-8x targeted at least one of the four invariant regions and had a
28 lower set point viral load compared to two animals that did not target any invariant regions.
29 We found that MHC class II molecules restricted all four of the invariant peptide regions,
30 while the two variable regions were restricted by MHC class I molecules. Therefore, in
31 the absence of immunodominant CD8+ T cell responses that target variable regions
32 during SIVmac239 Δ nef infection, individuals without 'protective' MHC alleles developed
33 predominantly CD4+ T cell responses specific for invariant regions that may improve
34 control of virus replication. Our results provide some evidence that antiviral CD4+ T cells
35 during acute SIV infection can contribute to effective viral control and should be
36 considered in strategies to combat HIV infection.

37

38 **Importance: (word count: 147)**

39 Studies defining effective cellular immune responses to human immunodeficiency
40 virus (HIV) and simian immunodeficiency virus (SIV) have largely focused on a rare
41 population that express specific MHC class I alleles and control virus replication in the
42 absence of antiretroviral treatment. This leaves in question whether similar effective
43 immune responses can be achieved in the larger population. The majority of HIV-infected
44 individuals mount CD8+ T cell responses that target variable viral regions that accumulate
45 high-frequency escape mutations. Limiting T cell responses to these variable regions and
46 targeting invariant viral regions, similar to observations in rare 'elite controllers', may

47 provide an ideal strategy for the development of effective T cell responses in individuals
48 with diverse MHC genetics. Therefore, it is paramount to determine whether T cell
49 responses can be redirected towards invariant viral regions in individuals without
50 'protective' MHC alleles and if these responses improve control of virus replication.

51

52 **Text Body:** (word count: 4,570)

53

54 **Introduction:**

55 During HIV infection, virus-specific CD8+ T cell responses are associated with
56 resolution of peak viremia. These responses exert substantial immune pressure that often
57 results in rapid selection for viral escape variants, suggesting that limiting viral escape is
58 beneficial and may prove critical in the design of immunotherapies for HIV (1-4). There is
59 evidence that the control of viremia associated with individuals expressing specific
60 'protective' major histocompatibility complex (MHC) class I alleles may be attributed to
61 CD8+ T cells that target specific peptide epitopes within highly invariant regions where
62 mutations are likely to impart a significant fitness cost (5-8). However, this rare population
63 of 'elite controllers' is estimated at less than 1% of the infected population, while the
64 majority of HIV-infected individuals do not express 'protective' MHC alleles and more
65 frequently mount CD8+ T cell responses that target viral regions that tolerate escape
66 mutations easily (9, 10). Recently, multiple lines of evidence also indicate a nontraditional
67 cytolytic role of HIV-specific CD4+ T cells that cooperate with HIV-specific CD8+ T cells
68 to mediate suppression of virus replication and may be predictive of disease outcome
69 (11-13). It is essential for the design of vaccines and therapeutics to determine if virus-

70 specific CD8+ and/or CD4+ T cell responses can be mounted by individuals not
71 expressing ‘protective’ MHC alleles, and if these responses are effective at controlling
72 viremia. For an intervention to be truly effective, a universal approach that can contend
73 with the extraordinary sequence diversity of HIV in people with and without ‘protective’
74 HLA alleles is needed.

75 Using nonhuman primates, we can determine if acute-phase T cell responses
76 targeting invariant viral regions can control primary viremia. Similar to humans, some
77 macaques express ‘protective’ MHC class I alleles associated with control of SIV
78 replication (14–16). However, these studies have yet to define why control of virus
79 replication in individuals expressing ‘protective’ MHC alleles is incompletely penetrant,
80 and they do not address how to induce viral control in animals without ‘protective’ MHC
81 alleles (17). Far less is known about the specificity of SIV-specific CD4+ T cell responses
82 and whether they may also directly suppress virus replication. Only recently, has there
83 been interest in developing immunogens to elicit antiviral T cells targeting conserved viral
84 regions across individuals with diverse MHC alleles, *in vivo* (18-20). Mauritian
85 cynomolgus macaques (MCMs) are ideal for studying pathogen-specific T cells because
86 they have extremely restricted MHC class I and II genetics, such that nearly all of their
87 MHC alleles can be explained by 7 common haplotypes, termed M1-M7 (21). As a result,
88 MHC-identical animals with the potential to present identical T cell peptide epitopes can
89 be selected for studies (21, 22).

90 Our group and others have reported that M3/M3 MCMs poorly control infection
91 with pathogenic SIVmac239, making them a good example of individuals with ‘non-
92 protective’ MHC alleles in which to characterize favorable immune responses that could

93 be elicited in a greater proportion of the population (23, 24). Unlike pathogenic
94 SIVmac239, replication of live-attenuated SIVmac239Δnef is controlled in nearly every
95 infected animal, regardless of host MHC genetics. Control of SIVmac239Δnef replication
96 in a host with 'non-protective' MHC alleles may be a more favorable environment in which
97 to find the characteristics of effective immune responses that control pathogenic virus
98 replication in the broader population. Therefore, this unique model of MHC-independent
99 control in M3/M3 MCMs may allow the characterization of effective T cell responses in
100 animals without 'protective' MHC alleles.

101 Previously, our group reported data suggesting that control of SIVmac239Δnef
102 relied on immunodominant CD8+ T cell responses that select for escape mutations (25).
103 However, at the time of our previous study, the CD8+ T cell responses restricted by MCMs
104 expressing the M3 haplotype were incompletely known and no SIV-specific M3-restricted
105 CD4+ T cell responses were identified. Additionally, the m3KOΔnef virus used in that
106 study included additional mutations outside of known M3-restricted epitopes with
107 unknown impacts on virus replication (25). We wanted to improve upon the m3KOΔnef
108 virus by creating a virus where only known epitopes were disturbed, and mutations in
109 other regions of the virus were avoided. Since that time, we have improved our
110 understanding of M3-restricted CD8+ T cell epitopes and now know 10 epitopes in
111 SIVmac239 that select for high frequency mutations (22) (25-27).

112 In the current study, we used this new information to create a variant of
113 SIVmac239Δnef, termed SIVΔnef-8x, that ablated the eight M3 MHC class I-restricted
114 epitopes that accumulate mutations during infection with SIVmac239Δnef. We
115 hypothesized that limiting the development of CD8+ T cell responses targeting highly

116 variable epitopes may promote the development of alternate T cell responses that target
117 invariant regions to suppress SIVmac239Δnef replication in animals with ‘non-protective’
118 MHC class I alleles. We identified six immunogenic regions in SIVΔnef-8x whose
119 immunogenicity had not previously been defined in SIV-infected M3/M3 MCMs. Four of
120 these regions did not accumulate mutations, despite eliciting detectable responses.
121 Interestingly, all four invariant regions were restricted by M3 MHC class II molecules and
122 were made exclusively by animals that controlled replication of SIVΔnef-8x. These data
123 suggest that viral control is achievable in animals with ‘non-protective’ MHC alleles even
124 when immunodominant CD8+ T cell responses that are normally elicited during
125 SIVmac239Δnef infection are absent. Our findings provide support for the inclusion of
126 immunogens able to elicit CD4+ T cell responses that target invariant viral antigens in the
127 design of an effective HIV vaccine, as this approach may be applied for widespread use
128 across individuals with a diverse array of MHC genetics.

129

130 **Results:**

131

132 **Construction of SIVΔnef-8x.** We engineered a variant of SIVmac239Δnef with point
133 mutations in eight CD8+ T cell epitopes restricted by MHC class I molecules expressed
134 by the M3 haplotype (Figure 1a). All eight epitopes are highly immunogenic and
135 accumulate high frequency escape mutations in response to immunodominant CD8+ T
136 cell responses elicited during infection with either SIVmac239 or SIVmac239Δnef (16, 26,
137 27). For each epitope, we used sequence data from nine M3/M3 MCMs chronically
138 infected with SIVmac239 to identify common variants in the replicating virus population

139 (26). We performed IFNy ELISPOT assays with the variant peptides of each of the 8
140 epitopes. Using PBMC collected from several SIV-infected M3/M3 MCMs, we identified
141 variant peptides for each epitope that elicited a significantly lower IFNy ELISPOT
142 response *in vitro* compared to responses against the corresponding wild-type peptide
143 (data not shown). We then used either these identified variant peptide sequences or the
144 variant peptide sequences we included in m3KOΔnef into SIVmac239Δnef (25). We
145 referred to the resulting virus as SIVΔnef-8x to denote its origin as an SIVmac239Δnef
146 derivative with point mutations aimed at disrupting the immunogenicity of the eight
147 variable M3-restricted CD8+ T cell epitopes present in SIVmac239Δnef (Figure 1a).

148 To test if the epitope variants incorporated into SIVΔnef-8x affected viral fitness,
149 we performed *in vitro* co-culture competition assays with a barcoded SIVmac239Δnef
150 (BCVΔnef) containing 10 synonymous changes in *gag* that can be detected by a separate
151 qPCR assay (25) (28). Using different ratios of barcoded virus (BCVΔnef) relative to the
152 query virus (SIVmac239Δnef or SIVΔnef-8x), our data indicated that the eight variant
153 epitopes we incorporated into SIVΔnef-8x did not substantially alter viral fitness *in vitro*
154 (Figure 1b).

155

156 **Engineered variant epitopes in SIVΔnef-8x prevent the development of responses**
157 **to wild-type epitopes and are minimally immunogenic *in vivo*.** We infected eight
158 M3/M3 MCMs with SIVΔnef-8x, six M3/M3 MCMs with SIVmac239Δnef, and three M4/M6
159 MCMs with SIVΔnef-8x (Table 1). The T cell responses present in M4/M6 MCMs infected
160 with SIVΔnef-8x should mirror those that would develop in M4/M6 MCMs infected with
161 SIVmac239Δnef as these animals do not express any of the alleles of the M3 MHC

162 haplotype (21). To determine if the mutations we incorporated into SIVΔnef-8x were
163 sufficient to prevent the development of expected responses targeting the eight wild-type
164 epitopes, we performed IFNy ELISPOT assays using wild-type and variant peptides with
165 PBMC collected throughout infection. At 3 weeks post infection, PBMC from all six M3/M3
166 animals infected with SIVmac239Δnef recognized up to five of the eight M3-restricted
167 wild-type epitopes (Figure 2a, blue). In contrast, only one of eight M3/M3 animals infected
168 with SIVΔnef-8x had positive IFNy ELISPOT responses for any of the wild type epitopes
169 (Figure 2a, black). None of the M4/M6 MCMs infected with SIVΔnef-8x made responses
170 specific for the eight wild-type M3-restricted epitopes (data not shown). Similar results
171 were observed in all animals at 8 weeks post SIVΔnef-8x infection (Figure 2b, black) and
172 12 weeks post SIVmac239Δnef infection (Figure 2b, blue). Therefore, M3/M3 MCMs
173 infected with SIVΔnef-8x did not develop the previously reported CD8+ T cell responses
174 that target variable epitopes during SIVmac239Δnef infection.

175 To determine if the variants incorporated in SIVΔnef-8x were immunogenic, we
176 performed parallel IFNy ELISPOT assays using peptides that matched the variant epitope
177 sequences engineered into SIVΔnef-8x. At 3 weeks post SIVΔnef-8x infection, we
178 observed positive IFNy ELISPOT responses to only two of eight variant epitopes (Figure
179 2c). One variant epitope, Env₃₃₈₋₃₄₆RF9 K3R/W8R, was immunogenic in all eight animals
180 at 3 weeks post infection. Another variant epitope, Tat₄₂₋₄₉QA8 R4H, elicited a response
181 in two animals. These responses were diminished 8 weeks post infection, with only one
182 animal detecting the variant epitope Env₃₃₈₋₃₄₆RF9 K3R/W8R (Figure 2d).

183

184 **Majority of M3/M3 MCMs control SIVΔnef-8x.** Following infection, we assessed plasma
185 viremia in M3/M3 MCMs infected with SIVΔnef-8x or SIVmac239Δnef, and in M4/M6
186 MCMs infected with SIVΔnef-8x (Figure 3a). The limit of detection for the viral load assay
187 was 100 copies/mL. We did not observe peak viral load to be significantly different
188 ($p=0.158$) in M3/M3 MCMs infected with SIVΔnef-8x or SIVmac239Δnef. While peak
189 viremia of SIVΔnef-8x was slightly lower ($p=0.046$) in M4/M6 MCMs ($n=3$) compared to
190 M3/M3 MCMs ($n=8$), it was not significantly different ($p=0.275$) from SIVmac239Δnef in
191 M3/M3 MCMs ($n=6$) (Figure 3b). Taken together, these results strongly suggest that the
192 variants incorporated into SIVΔnef-8x did not drastically alter fitness, *in vivo*. We also
193 evaluated set point viral load, calculated as the geometric mean of viral loads between
194 14 and 30 weeks post infection with SIVmac239Δnef or SIVΔnef-8x (Figure 3c). Five of
195 six M3/M3 MCMs infected with SIVmac239Δnef established a set point viral load at or
196 near undetectable levels (median=104 vRNA copies/mL), while one animal (cy0687) had
197 a set point viral load of 18,300 vRNA copies/mL. In contrast, virus levels by week 30 were
198 more diverse in SIVΔnef-8x-infected M3/M3 MCMs and ranged from nearly undetectable
199 to over 7,000 vRNA copies/mL (median=468 vRNA copies/mL). Virus replication was
200 controlled below 1,000 vRNA copies/mL in six animals, four of which kept a set point viral
201 load below 250 vRNA copies/mL. In contrast, two animals (cy0690 and cy0755) failed to
202 control replication during the chronic phase of infection and had circulating virus levels
203 that exceeded 3,500 vRNA copies/mL. Set point viral load was undetectable in two M4/M6
204 MCMs and fluctuated around 1,000 vRNA copies/mL in one M4/M6 MCM. Set point viral
205 load comparisons revealed no significant differences between groups.

206 Next, we compared the time to control virus replication in M3/M3 MCMs infected
207 with SIVΔnef-8x to M3/M3 MCMs infected with SIVmac239Δnef and M4/M6 MCMs
208 infected with SIVΔnef-8x (Figure 3d). We modeled this with Kaplan-Meier survival curves
209 using a threshold for control similar to that of ‘elite controller’ macaques infected with
210 SIVmac239 (<1,000 vRNA copies/mL)(14) (15). Similar to comparisons of set point viral
211 load, we did not find significant differences in time to establish control of virus replication
212 between the three cohorts.

213

214 **Acute-phase T cell responses elicited in M3/M3 MCMs infected with SIVΔnef-8x**
215 **target several viral regions that do not accumulate mutations.** To determine if there
216 were T cell responses present in M3/M3 MCMs infected with SIVΔnef-8x that targeted
217 previously undefined T cell epitopes, we performed full proteome IFN γ ELISPOT assays
218 with overlapping peptide pools to scan the entire SIVmac239 proteome. Positive peptide
219 pools were then deconvoluted to assess responses to individual peptides. During acute
220 (week 3) and post-peak (week 7-9) infection, we identified six immunogenic regions in the
221 SIVΔnef-8x proteome that elicited T cell responses to peptide sequences in Gag (n=5)
222 and Env (n=1) that were not previously characterized in SIV-infected M3/M3 MCMs.
223 During acute infection, all six animals that controlled SIVΔnef-8x replication responded to
224 at least one of these new regions. Four out of these six animals made responses against
225 3 or more of the new regions (Figure 4a, left). We observed similar results at 8 weeks
226 post infection, where five out of the six animals controlling virus replication made one or
227 more new responses (median=2) (Figure 4a, right). No responses were detected to any

228 of these new regions during acute or post-peak infection in the two animals (cy0690 and
229 cy0755) that did not control SIVΔnef-8x replication.

230 We deep sequenced viral populations in parallel to determine if these six newly
231 targeted regions accumulated point mutations. During acute infection only one of the six
232 new regions, Gag₅₇₋₇₁CG15, accumulated high frequency mutations in a majority of
233 animals (Figure 4b, left). When we examined the sequences from virus populations
234 isolated during post-peak infection (weeks 7-9), four of the six new regions remained
235 nearly identical to the inoculum, while Gag₅₇₋₇₁CG15 and Env₃₂₉₋₃₄₇VG19 had
236 accumulated high-frequency mutations (Figure 4b, right). To determine whether these 4
237 apparently invariant regions can accumulate mutations during pathogenic SIV infection,
238 we examined variant accumulation in these 4 regions in virus populations isolated and
239 sequenced from 9 M3/M3 MCMs who had been infected with SIVmac239 for ~52 weeks
240 for a previous study by our group (Figure 4c) (26). In 3 of these regions, we found that
241 70-99% of sequences (median=97%) matched wild type SIVmac239 in all nine animals.
242 In eight animals, more than 80% of Gag₂₅₋₃₉GN15 sequences matched wild-type
243 SIVmac239, while one animal had only 30% of sequences that matched wild-type
244 SIVmac239. Taken together, our data suggests that it is possible to exert viral control
245 when animals develop acute-phase T cell responses targeting regions that do not readily
246 accumulate mutations.

247

248 **CD4+ T cells targeting regions that do not accumulate mutations are common**
249 **during SIVΔnef-8x infection.** We wanted to determine whether CD4+ or CD8+ T cells
250 were responsible for targeting the six immunogenic regions identified in the IFNy

251 ELISPOT assays. We grew T cell lines specific for these six peptides, and mapped MHC
252 restriction. Of the four invariant viral regions that elicited responses, Mafa-
253 DRA*01:02:01/DRB1*10:02 restricted both Gag₂₄₉₋₂₆₃WY15 and Gag₂₉₇₋₃₁₅Y19, while
254 Mafa-DPA1*13:01/DPB1*09:02 restricted both Gag₂₅₋₃₉GN15 and Gag₄₁₃₋₄₂₇GC15
255 (Figure 5a). MHC restriction was also mapped for the two responses targeting viral
256 regions that accumulated high-frequency mutations during SIVΔnef-8x infection. Using
257 the optimal peptides contained within the regions, we found that Gag₅₇₋₇₁CG15 was
258 restricted by Mafa-B*011:01, while Env₃₂₉₋₃₄₇VG19, was restricted by Mafa-A1*063:02
259 (Figure 5b). A summary of these newly targeted regions and their restricting alleles is
260 shown in Table 2. Thus, acute phase CD4+ T cells targeting viral regions that do not
261 accumulate mutations are common during acute infection in animals without favorable
262 MHC genetics that ultimately control virus replication.

263

264 **Discussion:**

265 Rare individuals that express ‘protective’ MHC alleles control HIV replication
266 without antiretroviral treatment and often make CD8+ T cell responses that target highly
267 invariant, possibly evolutionarily conserved, viral regions (7, 8, 37). In contrast, responses
268 to invariant viral regions are frequently subdominant in HIV-infected individuals that do
269 not express ‘protective’ MHC alleles (10). It is hypothesized that an effective vaccine will
270 need to limit T cell responses made to highly immunogenic variable regions, in order to
271 maximize the likelihood of developing T cell responses against invariant viral regions (38–
272 40). Using SIVΔnef-8x, we directly tested this hypothesis in M3/M3 MCMs that do not

273 express 'protective' MHC alleles and observed that control of SIVmac239Δnef can still be
274 achieved.

275 Cellular responses that target Gag during acute HIV infection have been
276 associated with low viral load and improved disease outcome (2, 8, 9). Out of the six
277 regions that elicited T cell responses in M3/M3 MCMs infected with SIVΔnef-8x, five were
278 located within Gag. All six animals that controlled SIVΔnef-8x replication made T cell
279 responses during acute infection that recognized one or more of these five regions that
280 span the majority of Gag: Gag₂₄₉₋₂₆₃WY15 and Gag₂₉₇₋₃₁₅YK19 are located within the p27
281 capsid (CA), Gag₅₇₋₇₁CG15 and Gag₂₅₋₃₉GN15 are located within the p15 matrix (MA),
282 and Gag₄₁₃₋₄₂₇GC15 is located within p6. Of note, the first 9 amino acids of Gag₂₉₇₋₃₁₅YK19
283 are present in an evolutionarily conserved motif known as the major homology region
284 (MHR) and share three of the four residues identified as highly conserved within the MHR
285 (41). The MHR is also contained within the C-terminal subdomain of CA (CA-CTD), one
286 of only two regions of HIV-1 Gag that is absolutely required for assembly, suggesting this
287 region may represent an ideal target for T cell-based vaccines (42). In all six animals that
288 controlled SIVΔnef-8x, four of the five Gag regions that were targeted did not accumulate
289 mutations. Interestingly, the same four regions did not accumulate high-frequency
290 mutations in nearly all nine M3/M3 MCMs chronically infected with SIVmac239 (>52
291 weeks) that were sequenced by our group for a previous study (Figure 4c) (26).

292 Besides the four invariant regions, we found two regions that accumulated
293 mutations during acute SIVΔnef-8x infection. Gag₅₇₋₇₁CG15, accumulated both a Q58R
294 and V63A mutation by 3 weeks post infection that was present at a frequency of 7%-37%
295 and 4%-88%, respectively. By 9 weeks post infection, less than 1% of the circulating virus

296 matched the inoculum in Gag₅₇₋₇₁CG15 for all eight M3/M3 MCMs infected with SIVΔnef-
297 8x (Figure 4b, right). Even though the V63A mutation in Gag₅₇₋₇₁CG15 was observed
298 coincident with breakthrough viremia in an 'elite controller' rhesus macaque infected with
299 SIVmac239 (43), we found the V63A mutation was present in virus populations from both
300 controllers and non-controllers of SIVΔnef-8x (data not shown). Our results suggest that
301 this mutation, alone, does not confer breakthrough replication, so perhaps targeting this
302 region of Gag may still offer some immunological benefit.

303 We also observed high-frequency mutations in Env₃₂₉₋₃₄₉VG19; a region that is
304 located at the C-terminus of the V3 loop (44) . This region also contains the variant epitope
305 Env₃₃₈₋₃₄₆RF9 K3R/W8R that we engineered into SIVΔnef-8x and was immunogenic
306 during acute infection in all eight animals (Figure 2c). The most common mutation we
307 observed within the newly targeted Env₃₂₉₋₃₄₉VG19 was an R to W change at position 345
308 of Env that restores one of the two mutations in the Env₃₃₈₋₃₄₆RF9 back to the original
309 SIVmac239 sequence. It is possible that the R to W reversion was a consequence of the
310 development of T cells targeting Env₃₃₈₋₃₄₆RF9 K3R/W8R during acute infection.
311 Alternately, it is possible that tryptophan at position 345 may be more favorable than the
312 arginine we engineered into the virus. Given the proximity of this arginine to the C311-
313 C344 link that serves as the base of the V3 loop, it seems possible that the polarity of the
314 amino acid at position 345 may impact the formation of the V3 loop. The relatively
315 conserved nature of the V3 loop, as well as its role in determining coreceptor tropism,
316 further support this region as a site for effective T cell responses to exert their antiviral
317 function during acute infection (44–46).

318 There is growing evidence that HIV-specific CD4+ T cells may play a bigger
319 cytolytic role in control of virus replication than previously thought (11, 37, 47, 48). SIV-
320 specific CD4+ responses have been previously detected in macaques infected with
321 pathogenic SIV (49, 50). While observed to be typically subdominant in comparison to
322 many CD8+ T cell responses, immune pressure imparted by CD4+ T cells has been
323 sufficient to select for escape variants in certain cases (28, 43, 51). We found four
324 immunogenic regions that elicited acute phase CD4+ T cells in M3/M3 animals infected
325 with SIVΔnef-8x. All four of these were located in Gag and did not accumulate mutations
326 by 9 weeks post infection. Notably, the same regions of Gag did not routinely accumulate
327 mutations in M3/M3 MCMs infected with SIVmac239 for a year (Figure 4c). To our
328 knowledge, this is the first identification of MHC class II-restricted SIV-specific T cell
329 responses in MCMs. Although we were unable to dissect a mechanism of CD4+ T cell
330 mediated control of virus replication during acute SIVΔnef-8x infection, cytolytic CD4+ T
331 cells have been previously implicated in control of viral infections (11, 47, 52). This
332 argument lies in contrast to virus-specific CD4+ T cells as preferential targets of infection,
333 and may be explained by distinct transcriptional and functional signatures of cytolytic
334 CD4+ T cells that mirror CD8+ T cells more than Th1 CD4+ cells (13, 53, 54). Together,
335 we provide evidence that acute phase CD4+ T cells may improve control of SIV
336 replication, and we provide a model in which to further explore this mechanism in future
337 studies.

338 We did find that two M3/M3 MCMs infected with SIVΔnef-8x (cy0690 and cy0755)
339 and one M3/M3 MCM infected with SIVmac239Δnef (cy0687) were unable to control virus
340 replication, similar to that seen in the animals of the Harris et. al. study. Both cy0690 and

341 cy0755 did not have T cell responses detectable by IFNy ELISPOT assays. When we
342 sequenced virus populations isolated from cy0690 and cy0687, we found an additional
343 deletion in *nef* that has previously been shown restore the reading frame and result in
344 increased pathogenicity (data not shown) (55). This observation prompted us to re-
345 examine the sequences of virus populations replicating during chronic infection from the
346 M3/M3 MCMs of the Harris et. al. study. We found similar sequence changes within the
347 same region of *nef* in virus populations isolated from these animals (data not shown). The
348 precise mechanism by which *nef* is restored in certain animals and whether functional
349 advantages are conferred remains to be determined and requires further investigation.

350 Together, our study suggests that perhaps expanding subdominant virus-specific
351 CD4+ T cells towards invariant viral regions during early infection may improve viral
352 control. Even though not every animal in our study was able to mount these responses
353 and elicit viral control, our data provides compelling evidence that CD4+ T cell responses
354 targeting MHC class II-restricted epitopes have the potential to be effective and can be
355 mounted by individuals without ‘protective’ MHC alleles. Future nonhuman primate
356 studies may consider focusing on effective SIV-specific CD4+ T cell responses and
357 evaluating their direct contribution to controlling virus replication *in vivo*.

358

359 **Materials and Methods:**

360 **Animal care and use.** Seventeen MCMs were purchased from Bioculture Ltd and were
361 housed and cared for by the Wisconsin National Primate Research Center (WNPRC)
362 according to protocols approved by the University of Wisconsin Graduate School Animal
363 Care and Use Committee. Animals were chosen based on expression of particular MHC

364 alleles as previously described (29–33). Eight M3/M3 MCMs and three M4/M6 MCMs
365 were infected intravenously with 10ng of p27 SIVΔnef-8x. Four M3/M3 MCMs and two
366 functional M3/M3 MCMs were infected intravenously with 10ng p27 of SIVmac239Δnef.
367 Four of these MCMs were infected as part of a previous study (34), and two animals
368 (cy0749 and cy0752) were functionally M3/M3 (Table 1).

369

370 **Creation of virus stocks.** We created plasmids (pUC57) containing the 5' and 3' viral
371 genomes of SIVmac239Δnef by custom gene synthesis (GenScript, Piscataway, NJ) as
372 done previously (25). For SIVΔnef-8x, ten substitutions were then incorporated into
373 SIVmac239Δnef by site-directed mutagenesis (GenScript, Piscataway, NJ). The plasmids
374 containing the 5' and 3' halves of the corresponding genomes were digested with SphI,
375 treated with antarctic phosphatase, precipitated, and ligated together. Vero cells were
376 transfected with the ligated products and co-cultured with CEMx174 cells for 48 hours.
377 Infected CEMx174 cells were grown for ~2 weeks to produce high-titer viruses and
378 harvested daily during the last week. Plasma SIV loads were determined by qRT-PCR
379 and the p27 content of the virus stocks was determined by enzyme-linked immunosorbent
380 assay (ELISA; ZeptoMetrix Corp., Buffalo, NY) according to the manufacturer's protocol.
381 The p27 content of SIVmac239Δnef was 327 ng/mL, and the viral load was 2.19e9
382 copies/mL. The p27 content of SIVΔnef-8x was 245 ng/mL, and the viral load was 1.39e9
383 copies/mL.

384

385 ***In vitro* co-culture competition fitness assays.** In triplicate, SIVmac239Δnef or
386 SIVΔnef-8x were mixed with BCVΔnef at p27 content ratios of 1:1, 1:9, and 9:1. Each

387 virus mixture was incubated with 1e6 CEMx174 cells at 37°C for 4 hours. After washing,
388 5e5 cells were plated and grown for 1 week, with supernatant being sampled at days 3,
389 5, and 7. A discriminating qPCR assay was used to quantify the copies of SIVmac239Δnef
390 or SIVΔnef-8x virus and the BCVΔnef virus, as done previously (28) (25). Briefly, viral
391 RNA (vRNA) was isolated from the inoculum and each supernatant and then quantified
392 with the SuperScript III Platinum One-Step quantitative PCR kit (Invitrogen, Carlsbad,
393 CA). In one reaction, primers and probes targeting an 84-bp region of *gag* were used to
394 quantify SIVmac239Δnef and SIVΔnef-8x. A separate reaction with a distinct set of
395 primers and probes was used to quantify BCVΔnef. The p27 content ratio of SIVΔnef-8x
396 or SIVmac239Δnef to BCVΔnef in each supernatant was normalized to the ratio that was
397 present in the inoculum, and replicative differences between viruses were assessed at
398 each time point with unpaired Student's *t* tests (GraphPad Prism, La Jolla, CA). All data
399 represent mean with standard deviation of triplicate values and is plotted on a log₂ scale.
400

401 **Plasma viral load analysis.** Plasma was isolated from whole blood by ficoll-based
402 density centrifugation and cryopreserved at -80°C. SIV *gag* loads were determined as
403 previously described (34). Briefly, vRNA was isolated from plasma, reverse transcribed,
404 and amplified with the Superscript III Platinum one-step quantitative reverse transcription-
405 PCR (qRT-PCR) system (Invitrogen). The detection limit of the assay was 100 vRNA copy
406 equivalents per mL of plasma (copies/mL). The limit of detection value (100 copies/mL)
407 was reported when the viral load was at or below the limit of detection.

408

409 **Peptides.** The NIH AIDS Research and Reference Reagent Program (Germantown, MD)
410 provided 15-mer peptides overlapping by 11 amino acid positions spanning the full
411 SIVmac239 proteome. Additional peptides used for mapping were created by custom
412 synthesis (GenScript, Piscataway, NJ). All peptide sequences were derived from the
413 SIVmac239 proteome.

414
415 **IFN- γ ELISPOT assays.** Gamma interferon (IFN- γ) enzyme-linked immunospot
416 (ELISPOT) assays were performed using fresh and frozen PBMC as previously described
417 (26, 34). Each of the eight variant epitopes were selected using frozen PBMC collected
418 from at least five M3/M3 MCMs during acute or chronic SIV infection. Fresh peripheral
419 blood mononuclear cells (PBMCs) were isolated from EDTA-anticoagulated blood by
420 ficoll-based density centrifugation. A precoated monkey IFN- γ ELISPOTplus plate
421 (Mabtech, Mariemont, OH) was blocked and individual peptides were added to each well
422 at a final concentration of 1 μ M. Multiple peptide pools containing 15-mer peptides that
423 span the full SIVmac239 proteome, each overlapping by 11 amino acids, were used to
424 assess new responses that emerged during infection. Peptide-pools totaled 10 μ M (1 μ M
425 each peptide) and were added to cells at a final pool concentration of 1 μ M. Each peptide
426 or peptide-pool was tested in duplicate, as was concanavalin A (10 μ M) that was used as
427 a positive control. Four to ten wells did not receive any peptides and served as a negative
428 control for calculating background reactivity. Assays were performed according to
429 manufacturer's protocol and wells were imaged with an AID ELISPOT reader. Positive
430 responses were determined using a one-tailed *t* test and an alpha level of 0.05, where
431 the null hypothesis was that the background level would be greater than or equal to the

432 treatment level (35). Positive responses were considered valid only if each duplicate well
433 had a value of at least 50 SFCs per 10^6 PBMCs. If statistically positive, reported values
434 represent the average of the test wells minus the average of all negative control wells.

435

436 **Generation of M3/M3 BLCLs.** MHC-matched B-lymphoblastoid cell lines (BLCLs) were
437 generated as previously described (Budde et al., 2011, #86642). Briefly, PBMCs were
438 isolated by density-based centrifugation from whole blood containing EDTA. B cells were
439 then immortalized with medium from an S549 cell line containing herpesvirus papio. Cells
440 were maintained in R-10 medium and sequenced to verify the presence of appropriate
441 MHC alleles.

442

443 **Generation of peptide-specific T cell lines.** CD8+ T cell lines were generated by
444 incubating 5e6 freshly isolated PBMCs with 2 μ M peptide in complete medium (RPMI 1640
445 medium supplemented with 15% fetal calf serum, 1% antibiotic/antimycotic, and 1% L-
446 glutamine) with 100 IU of interleukin-2 (NIH AIDS Research and Reference Reagent
447 Program). The cell lines were restimulated weekly with peptide-pulsed irradiated (9,000
448 rads) BLCLs, as previously described (27). CD4+ T cell lines were generated by depleting
449 freshly isolated PBMC of CD8+ cells using NHP-specific anti-CD8 microbeads via
450 magnetic separation according to the manufacturer's protocol (Miltenyi Biotech, San
451 Diego, CA) Then 5e6 CD8+-depleted cells were incubated with 2 μ M peptide. CD4+ T cell
452 lines were maintained similarly to CD8+ T cell lines, with media additionally containing a
453 final concentration of 50 ng/mL interleukin-7 (BioLegend, San Diego, CA).

454

455 **Intracellular cytokine staining assay.** To determine T-cell line peptide specificity and
456 the restricting MHC class I or class II molecule, we measured intracellular expression of
457 interferon gamma (IFNy) and tumor necrosis factor alpha (TNF α) as previously described
458 (27, 36). Briefly, 2e5 peptide-pulsed BLCLs or MHC class I or class II transfectants were
459 incubated for 1.5 hrs with peptide at 37°C, washed twice with RPMI medium containing
460 10% FBS (R10), and then combined with 2e5 cells from corresponding CD4+ or CD8+ T
461 cell lines for an additional 4 hrs at 37°C in the presence of brefeldin A (Sigma-Aldrich, St.
462 Louis, MO). Cells were incubated with LIVE/DEAD fixable near-IR dead cell stain for 15
463 min before being surface stained with CD3-AF700 (clone: SP34-2; BD Biosciences),
464 CD4-APC (clone: M-T466; Miltenyi Biotec), and CD8-Pacific Blue (clone: RPA-T8; BD
465 Biosciences) for 30 min in the dark at room temperature. Cells were fixed with 2%
466 paraformaldehyde (PFA) for at least 20 min, and then permeabilized with 0.1% saponin
467 and stained with IFNy (clone: 4S.B3; BD Biosciences) and TNF α -PerCP/Cy5.5 (clone:
468 MAAb11; BD Biosciences) for 30 min in the dark at room temperature. Flow cytometry was
469 then performed on an LSR II instrument (BD Biosciences) using 2% PFA-fixed cells, and
470 data were analyzed using FlowJo, Version 9.9.6 (Treestar, Ashland, OR).

471

472 **Deep Sequencing of SIV.** Replicating virus populations were subjected to genome-wide
473 deep sequencing, as previously described (26). Briefly, viral RNA was isolated from
474 plasma with the MinElute virus spin kit (Qiagen) and amplification of cDNA was generated
475 using the Superscript III one-step reverse transcription PCR (RT-PCR) system with high-
476 fidelity Platinum *Taq* (Invitrogen). This resulted in four overlapping amplicons spanning
477 the entire SIV coding sequence that were then purified by the MinElute gel extraction kit

478 (Qiagen) and quantified using the Quant-IT double-stranded DNA (dsDNA) HS assay kit
479 (Invitrogen). Pooled amplicons (1ng) were used to generate uniquely tagged libraries
480 using the Nextera XT kit (Illumina). In select cases, cDNA was generated using
481 SuperScript IV First Strand Synthesis System (Invitrogen) and PCR amplified with Q5
482 high-fidelity DNA polymerase (NEB) in duplicate to generate 37 overlapping amplicons
483 spanning the entire SIV coding sequence. Amplified products were quantified using the
484 Quant-IT double-stranded DNA (dsDNA) HS assay kit (Invitrogen) and diluted to 3ng/uL
485 for library preparation with TruSeq Nano HT (Illumina). Tagged libraries were then
486 quantified using the Quant-IT dsDNA HS assay kit and fragment size distribution was
487 assessed using a high sensitivity Agilent bioanalyzer chip. Libraries were then pooled and
488 sequenced on an Illumina MiSeq.

489 All sequences were analyzed with Geneious (Biomatters, Ltd.). Paired reads were
490 initially quality trimmed using BBDuk (decontamination using kmers) plugin, a part of the
491 BBTools package by Brian Bushnell, and then mapped with high sensitivity to the
492 SIVmac239 sequence; gaps up to 500-bp were allowed when mapping in order to identify
493 any addition deletions or insertions (GenBank accession number M33262). Variant
494 nucleotides were called at a threshold of 1%. The variants detected in the analyzed virus
495 populations were then compared to the mutant sites originally incorporated into SIVΔnef-
496 8x.

497

498 **Statistics.**

499 Student's *t* test was used evaluate the significance of differences between peak viral load
500 and set point viral load (geometric mean of viral loads from 15 to 30 weeks post infection).

501 All viral load measurements were \log_{10} transformed. Kaplan-Meier survival analyses were
502 used to model the time to control virus replication in M3/M3 MCMs infected with SIV Δ nef-
503 8x (n=8) or SIVmac239 Δ nef (n=6), and M4/M6 MCMs infected with SIV Δ nef-8x (n=3);
504 log-rank (Mantel-Cox) test was then used to determine significance of differences. All
505 statistical analyses were performed using GraphPad Prism (GraphPad Prism, La Jolla,
506 CA).

507

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520

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804

805 **Figure Legends**

806

807 **Figure 1:** Construction of SIVΔnef-8x. (a) Diagram of live-attenuated SIV with epitope
808 locations (top) and amino acid sequence differences (bottom) between SIVΔnef-8x and
809 SIVmac239Δnef. Point mutations were engineered into 8 M3-restricted epitopes known
810 to accumulate high frequency mutations during SIV infection. (b) SIVΔnef-8x and
811 SIVmac239Δnef in vitro co-culture fitness assay. For each assay, we included a barcoded
812 wild-type SIVmac239Δnef (BCVΔnef) as a reference at a 1:9, 1:1, or 9:1 ratio of ng p27
813 content relative to the query virus, either wild-type SIVmac239Δnef or SIVΔnef-8x, in the
814 inoculum. The number of copies of query virus and barcoded virus was determined by

815 qRT-PCR at each timepoint. A ratio of the number of query virus to barcoded virus was
816 made and compared to the ratio present in the inoculum. All data represent mean with
817 standard deviation of triplicate values. Unpaired t tests were performed at each time point.
818 (*) p-value < 0.05.

819

820 **Figure 2:** M3-restricted CD8+ T cell responses elicited during SIVmac239Δnef infection
821 are silenced in M3/M3 MCMs infected with SIVΔnef-8x. IFN γ ELISPOT assays using
822 peptides that match the wild-type epitope sequences were performed at week 3 (a) and
823 week 8 or 12 (b) post infection with SIVmac239Δnef (blue) or SIVΔnef-8x (black). IFN γ
824 ELISPOT assays using peptides that match the variant epitope sequences present in
825 SIVΔnef-8x were performed at week 3 (c) and week 8 (d) post SIVΔnef-8x infection.

826

827 **Figure 3:** M3/M3 MCMs control SIVΔnef-8x and SIVmac239Δnef similarly *in vivo*. (a)
828 Log10viral load trajectories were measured for 30 weeks after infection: M3/M3 MCMs
829 infected with SIVΔnef-8x (black), M3/M3 MCMs infected with SIVmac239Δnef (blue), and
830 M4/M6 MCMs infected with SIVΔnef-8x (red). (b) Individual peak viral load in animals
831 infected with SIVΔnef-8x or SIVmac239Δnef displayed as geometric mean with 95%
832 confidence intervals. (c) Comparisons of set point viral load (geometric mean of viral
833 loads between 14 and 30 weeks post infection). (d) Initial time to control virus replication
834 below 1,000 copies/mL. An unpaired Student's t-test was used to calculate statistics for
835 peak viral load and set point viral load. A log-rank test was used to calculate the statistics
836 between groups for time to control.

837

838 **Figure 4:** Majority of newly targeted regions do not accumulate high-frequency mutations
839 during SIV infection. Full proteome IFNy ELISPOT assays performed at an (a) acute
840 timepoint (week 3) and a post-peak timepoint (week 7-9). Peptide pools were
841 deconvoluted to assess responses to individual peptides, resulting in the identification of
842 responses targeting six viral regions previously undefined in M3/M3 MCMs. Only positive
843 responses are shown, with solid bars representing assays using freshly isolated PBMC
844 and striped bars using frozen PBMC. (b) Virus populations were deep sequenced from
845 plasma of M3/M3 MCMs during acute and post-peak infection with SIVΔnef-8x. (c) The
846 six regions were also analyzed in M3/M3 MCMs chronically infected with SIVmac239 (>52
847 weeks) from a previous study by our group. Heat maps represent the percent sequence
848 identity to inoculum. Darker colors correspond to a higher sequence identity. n.c. no
849 sequence coverage

850

851 **Figure 5:** Characterization of M3-restricted SIVΔnef-8x T cell responses. ICS assays
852 were performed to determine (a) MHC class II restriction for CD4+ T cell lines specific
853 for the four identified invariant regions and (b) MHC class I restriction for CD8+ T cell
854 lines specific for the two identified variable regions. Data represents percentage of cells
855 positive for IFNy and/or TNF α for each T cell line. MHC-matched 721.221 cells or K562
856 cells expressing the indicated M3 MHC class I alleles, or RM3 cells expressing the
857 indicated M3 MHC class II alleles were used as antigen-presenting cells. Peptide-
858 pulsed untransfected 721.221 cells, K562 cells, or RM3 cells were used as

Table 1. Animals used in this study.

| Animal ID | Gender | MHC Haplotype | Infecting Virus |
|-----------|--------|---------------|-----------------|
| cy0684 | female | M3/M3 | SIVmac239Δnef |
| cy0686 | female | M3/M3 | SIVmac239Δnef |
| cy0687 | male | M3/M3 | SIVmac239Δnef |
| cy0689 | male | M3/M3 | SIVmac239Δnef |
| cy0749 | male | M3/recM1M3* | SIVmac239Δnef |
| cy0752 | female | M3/recM2M3* | SIVmac239Δnef |
| cy0748 | male | M4/M6 | SIVΔnef-8x |
| cy0751 | female | M4/M6 | SIVΔnef-8x |
| cy0754 | male | M4/M6 | SIVΔnef-8x |
| cy0685 | female | M3/M3 | SIVΔnef-8x |
| cy0688 | male | M3/M3 | SIVΔnef-8x |
| cy0690 | male | M3/M3 | SIVΔnef-8x |
| cy0750 | male | M3/M3 | SIVΔnef-8x |
| cy0753 | female | M3/M3 | SIVΔnef-8x |
| cy0755 | female | M3/M3 | SIVΔnef-8x |
| cy0756 | female | M3/M3 | SIVΔnef-8x |
| cy0757 | female | M3/M3 | SIVΔnef-8x |

*Expressed the major MHC class I A and B alleles present in the M3 MHC haplotype, but also expressed minor MHC class I A alleles of the M1 (cy0749) and M2 (cy0752) MHC haplotypes. Transcriptionally abundant (major) MHC-I transcripts are responsible for restricting SIV-specific CD8+ T cell responses {Budde et al., 2011, #38444} and both animals expressed the major MHC class I A allele present in the M3 haplotype (A1*063). Accordingly, we include these functional M3/M3 animals in our group of M3/M3 MCMs infected with SIVmac239Δnef.

Figure 1

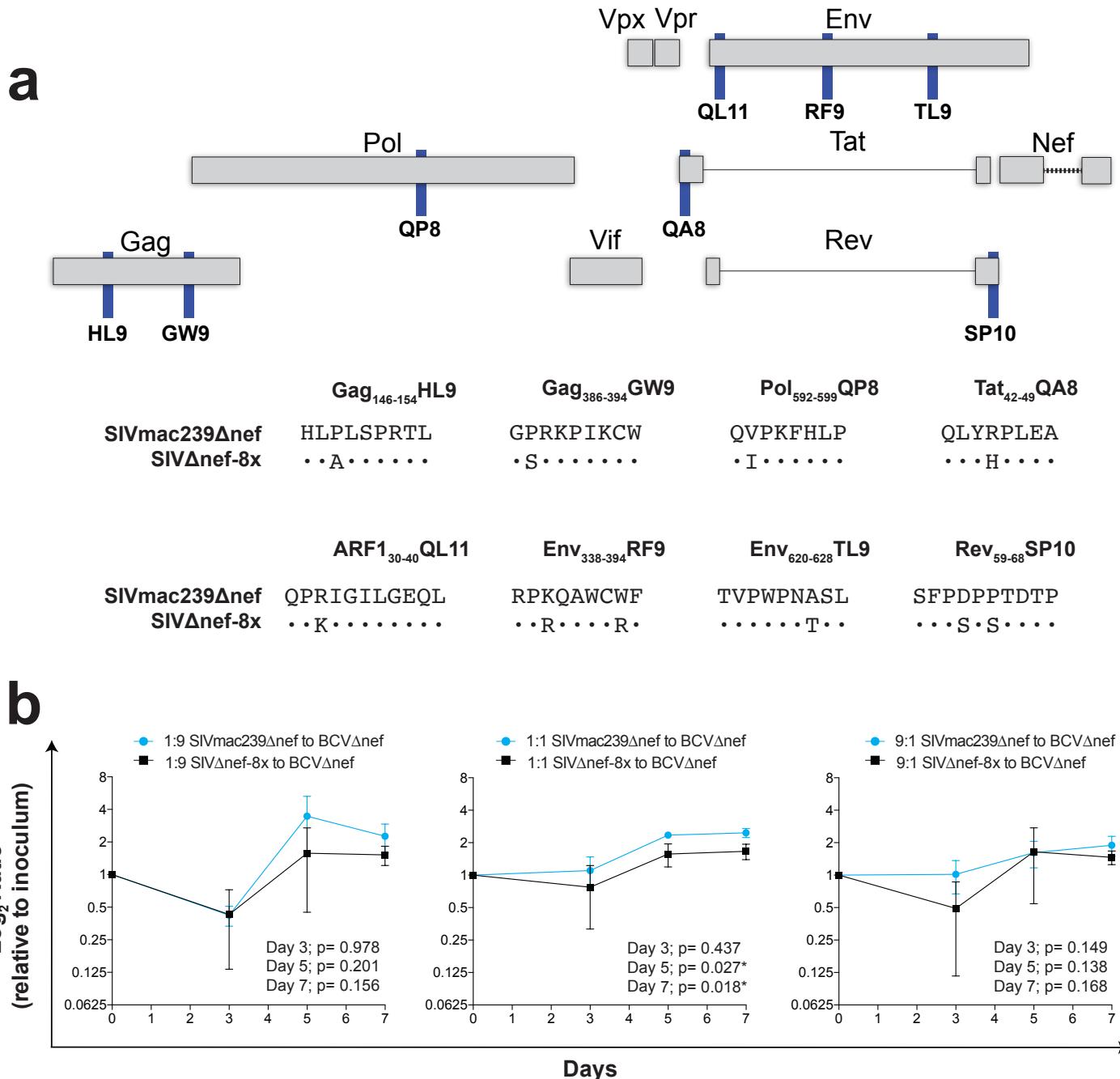
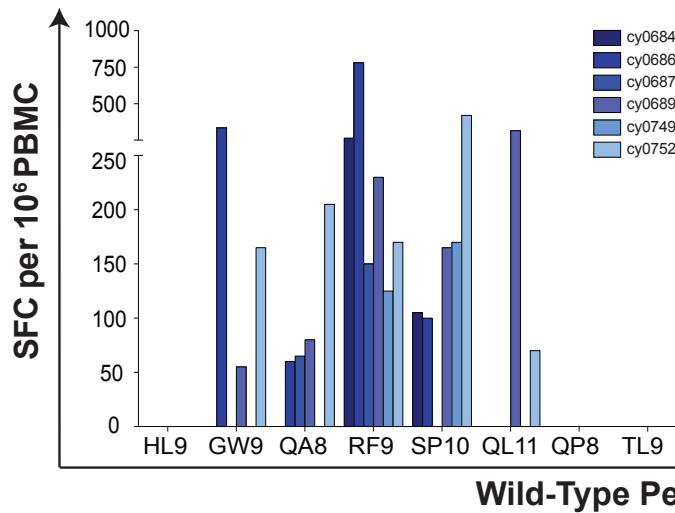


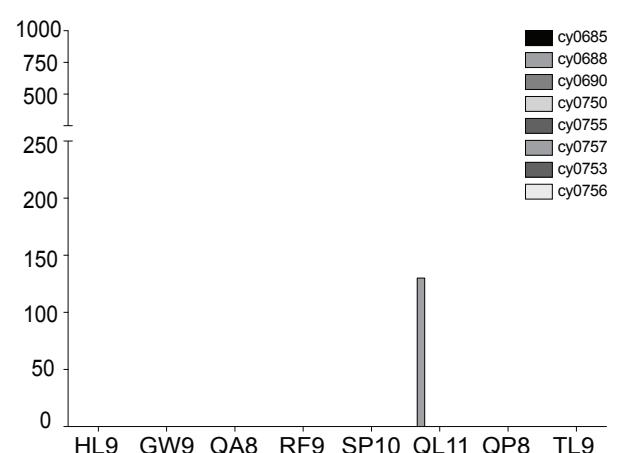
Figure 2

a

3 weeks post-SIVmac239Δnef

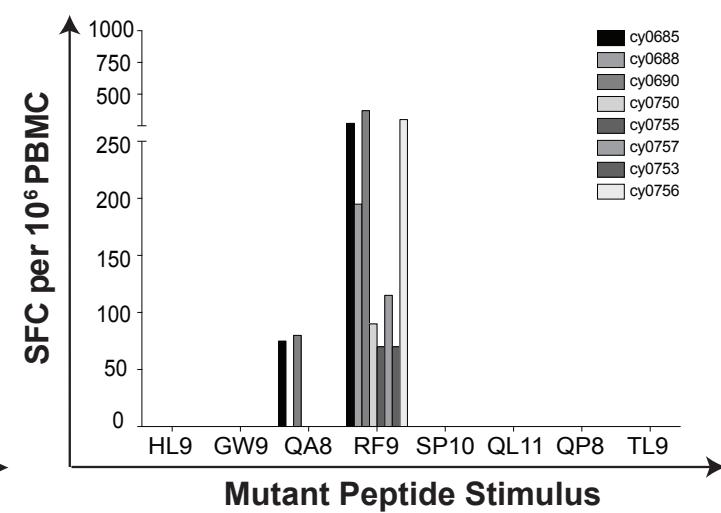


3 weeks post-SIVΔnef-8x



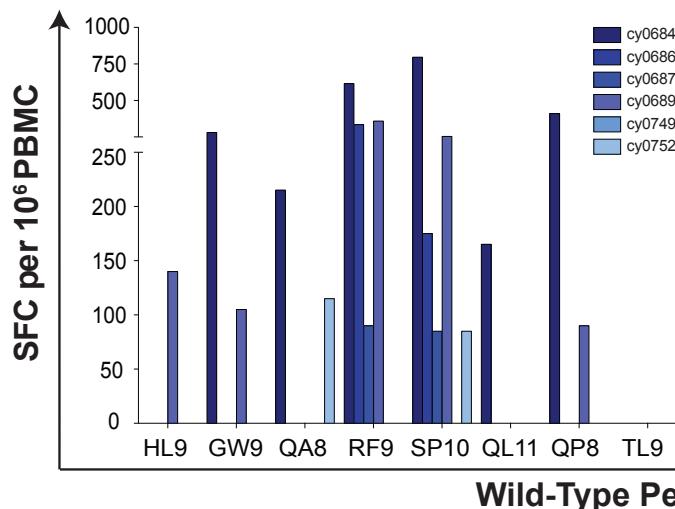
C

3 weeks post-SIVΔnef-8x

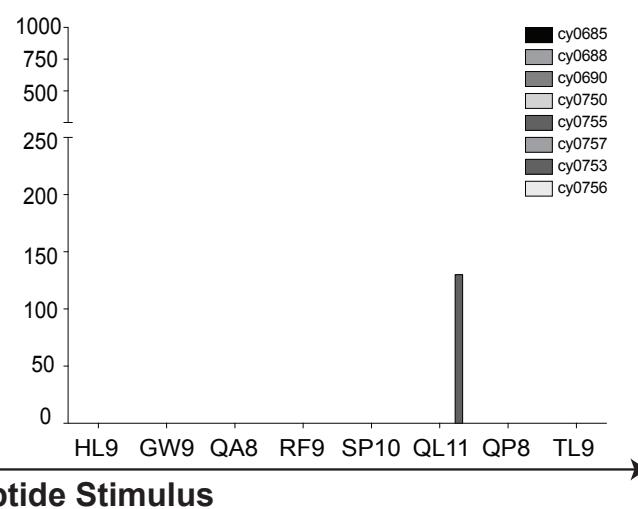


b

12 weeks post-SIVmac239Δnef



8 weeks post-SIVΔnef-8x



d

8 weeks post-SIVΔnef-8x

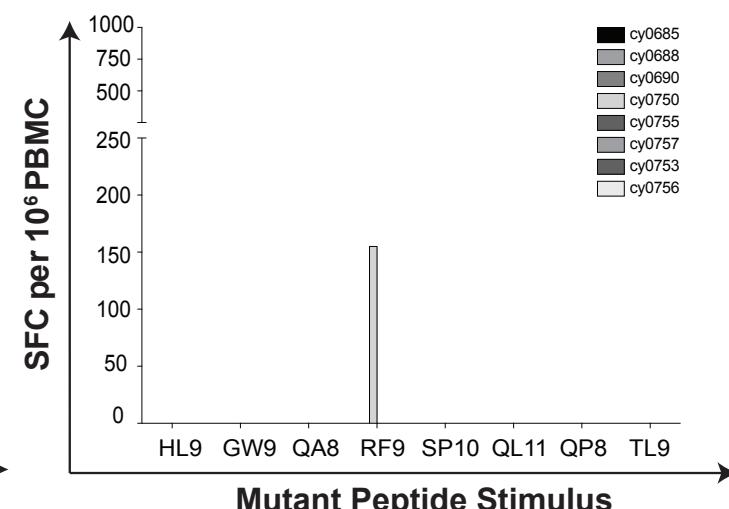


Figure 3

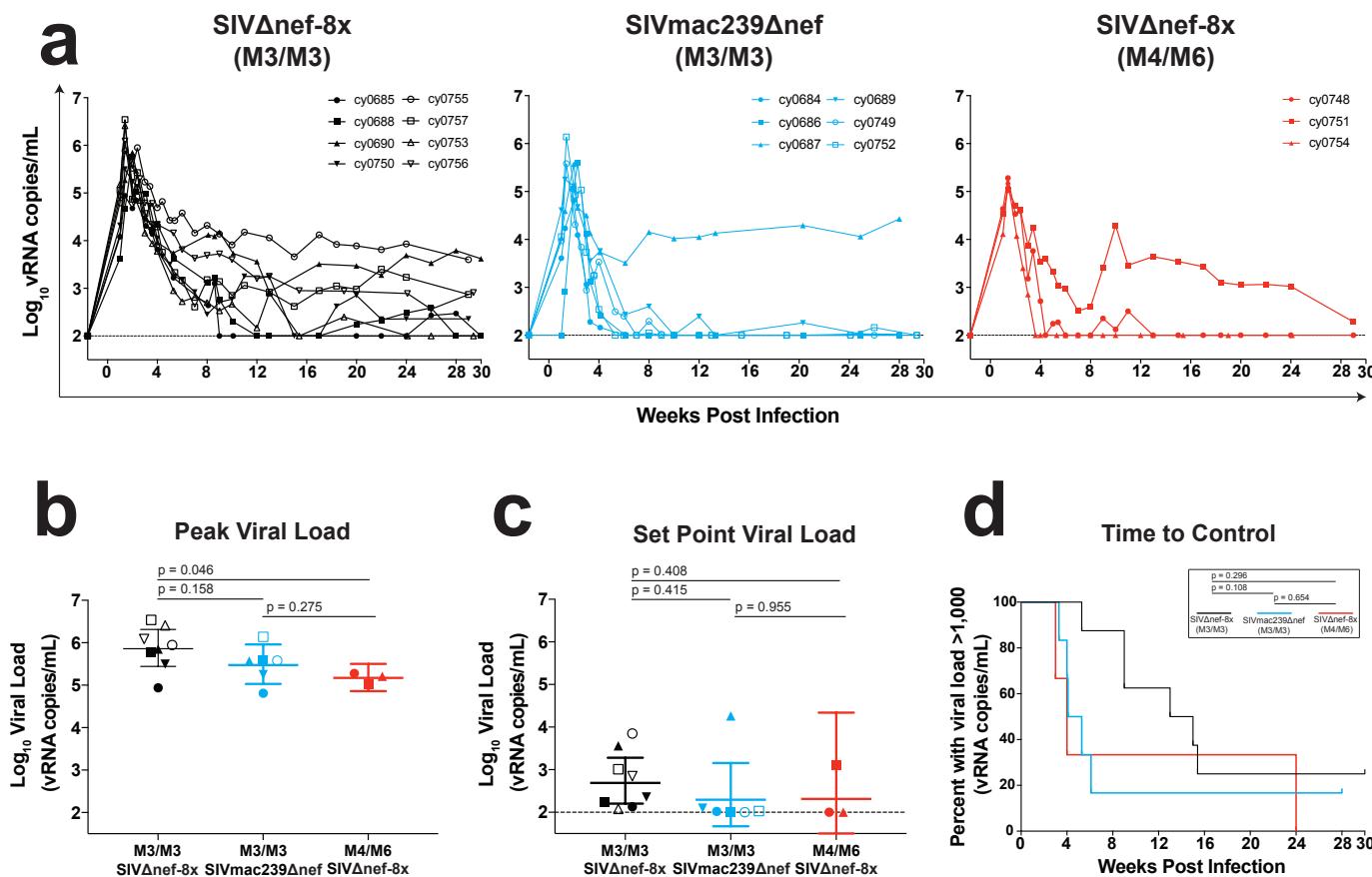
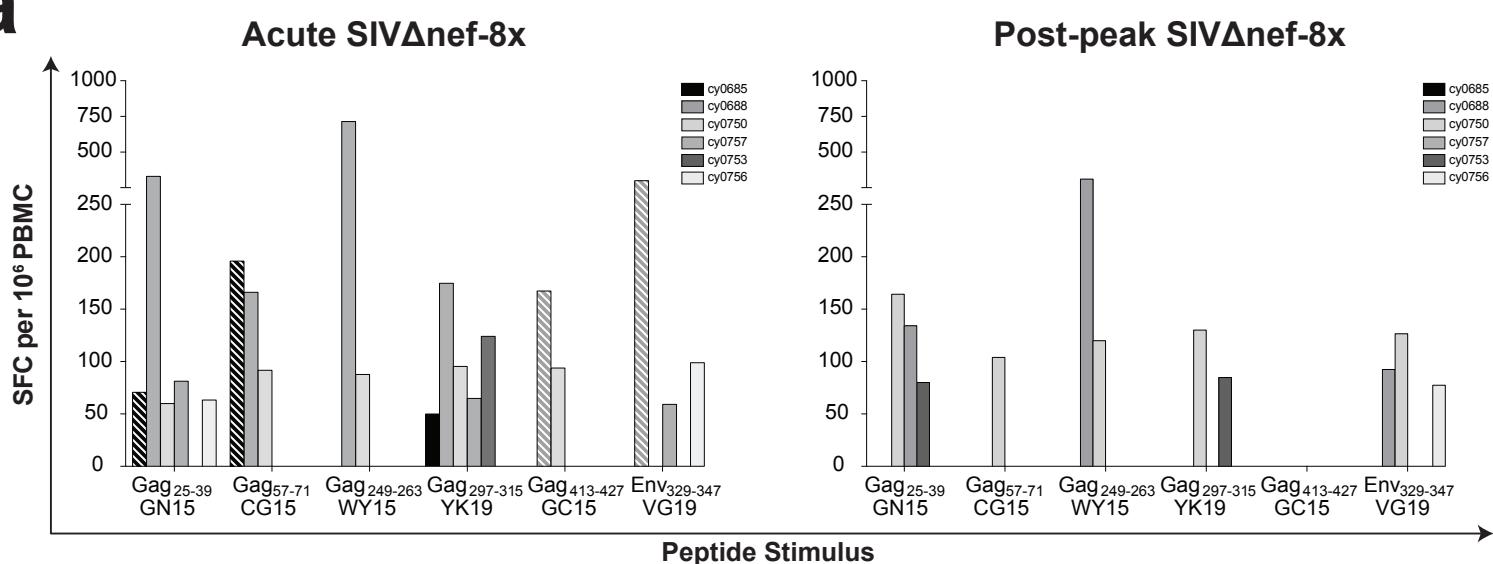
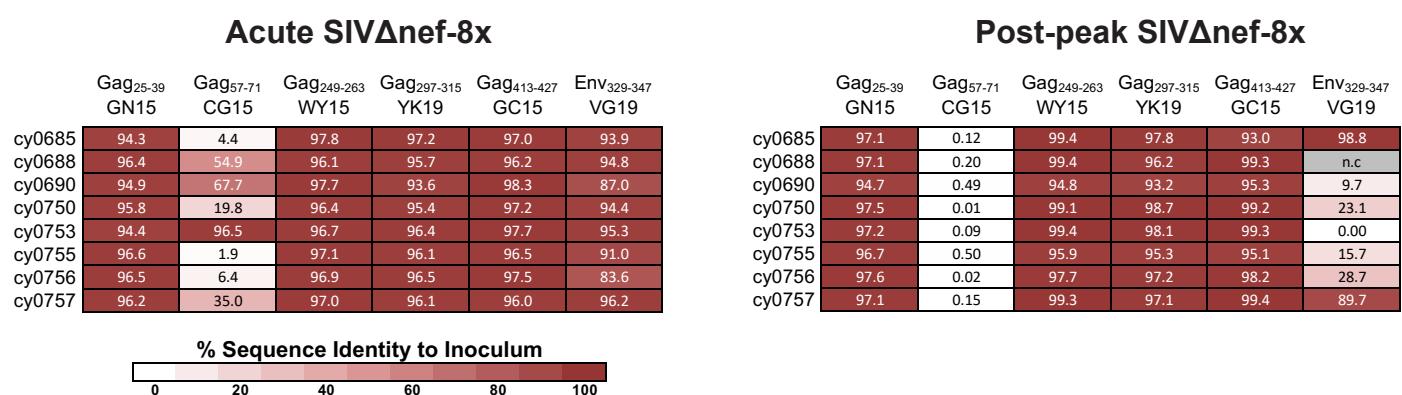


Figure 4

a



b



C

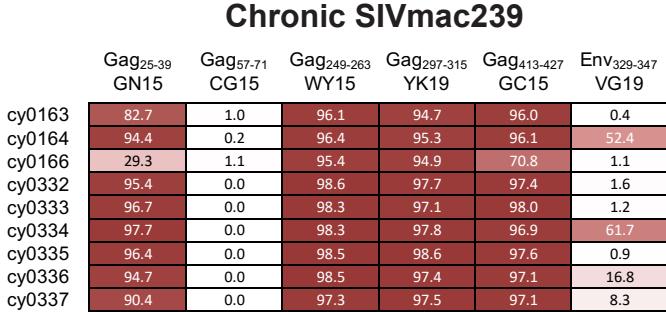


Figure 5

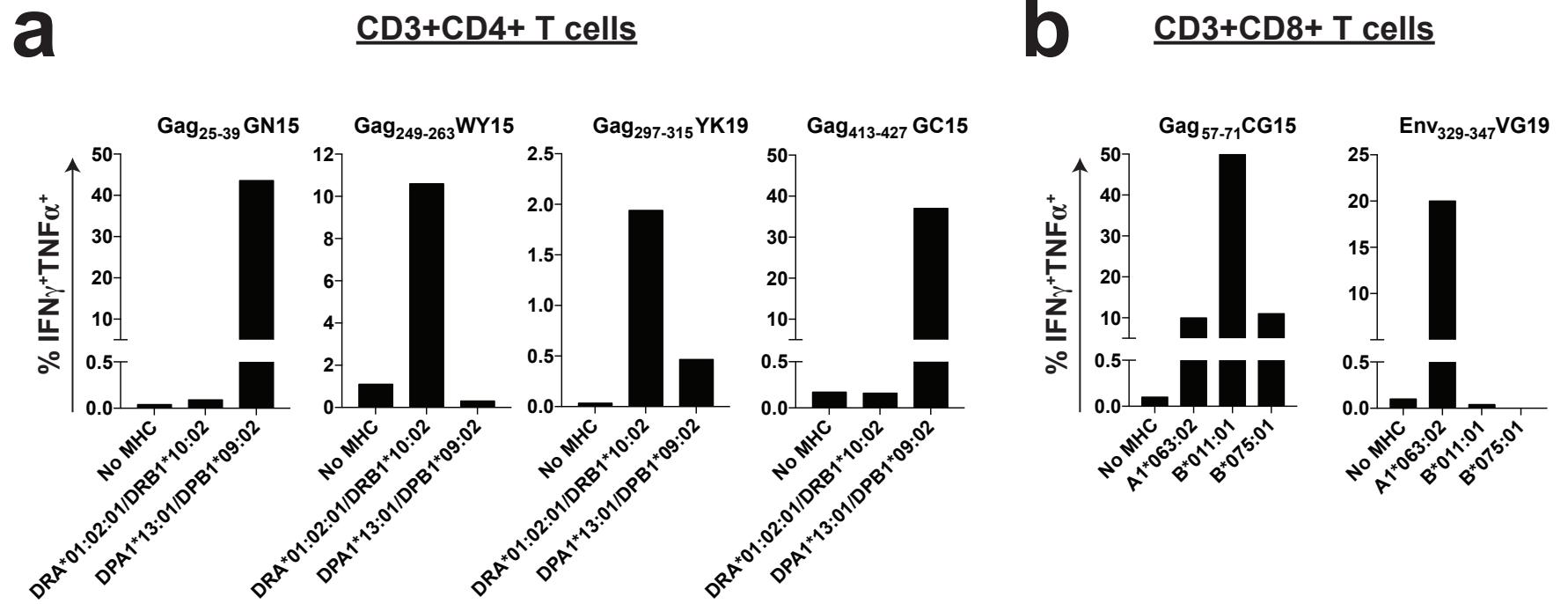


Table 2. Characterization of M3-restricted SIVΔnef-8x T cell responses

| Immunogenic | | | |
|-----------------------------|------------------|-------------------------|---------------------------|
| <u>Region</u> | <u>Invariant</u> | <u>Epitope Sequence</u> | <u>Allele Specificity</u> |
| Gag ₂₅₋₃₉ GN15 | Yes | GKKYMLKHVVWAAN | DPA1*13:01/DPB1*09:02 |
| Gag ₅₇₋₇₁ CG15 | No | CQKILSVLAPLVPTG | B*011:01 |
| Gag ₂₄₉₋₂₆₃ WY15 | Yes | WMYRQQNPIPVGNIY | DRA*01:02:01/DRB1*10:02 |
| Gag ₂₉₇₋₃₁₅ YK19 | Yes | YVDRFYKSLRAEQTDAAVK | DRA*01:02:01/DRB1*10:02 |
| Gag ₄₁₃₋₄₂₇ GC15 | Yes | GCWKCGKMDHVMAKC | DPA1*13:01/DPB1*09:02 |
| Env ₃₂₉₋₃₄₇ VG19 | No | VFHSQPINDRPKQAWCWFG | A1*063:02 |