

Prioritizing putative influential genes in early life cardiovascular disease susceptibility by applying tissue-specific Mendelian randomization

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Abstract

Background: The extent to which changes in gene expression can influence cardiovascular disease risk across different tissue types has not yet been systematically explored. We have developed an analytical framework that integrates tissue-specific gene expression, Mendelian randomization and multiple-trait colocalization to develop functional mechanistic insight into the causal pathway from genetic variant to complex trait.

Methods: We undertook a transcriptome-wide association study in a population of young individuals to uncover genetic variants associated with both nearby gene expression and cardiovascular traits. Two-sample Mendelian randomization was then applied using large-scale datasets to investigate whether changes in gene expression within certain tissue types may influence cardiovascular trait variation. We subsequently performed Bayesian multiple-trait colocalization to further interrogate findings and also gain insight into whether DNA methylation, as well as gene expression, may play a role in disease susceptibility.

Results: Eight genetic loci were associated with changes in gene expression and early life measures of cardiovascular function. Our Mendelian randomization analysis provided evidence of tissue-specific effects at multiple loci, of which the effects at the *ADCY3* and *FADS1* loci for body mass index and cholesterol respectively were particularly insightful. Multiple trait colocalization uncovered evidence which suggested that changes in DNA methylation at the promoter region upstream of *FADS1/TMEM258* may also play a role in cardiovascular trait variation along with gene expression. Furthermore, colocalization

analyses were able to uncover evidence of tissue-specificity, most prominently between *SORT1* expression in liver tissue and cholesterol levels.

Conclusions: Disease susceptibility can be influenced by differential changes in tissue-specific gene expression and DNA methylation. Our analytical framework should prove valuable in elucidating mechanisms in disease, as well as helping prioritize putative causal genes at associated loci where multiple nearby genes may be co-regulated. Future studies which continue to uncover quantitative trait loci for molecular traits across various tissue and cell types will further improve our capability to understand and prevent disease.

Keywords: gene expression, DNA methylation, tissue-specificity, cardiovascular disease, Mendelian randomization, quantitative trait loci, ALSPAC

Abstract Word Count - 312

1 Introduction

2 Despite recent efforts in research and development, cardiovascular disease still poses
3 one of the greatest threats to public health throughout the world, accounting for more
4 deaths than any other cause [1]. Since their development, genome-wide association
5 studies (GWAS) have identified thousands of different genetic loci associated with
6 complex disease traits [2]. An example of their successful application within
7 cardiovascular research is the identification of numerous genetic variants associated with
8 low density lipoprotein (LDL) cholesterol levels [3], which is a causal mediator along the
9 coronary heart disease progression pathway [4,5]. However, the functional and clinical
10 relevance for the vast majority of GWAS results are still unknown, emphasizing the
11 importance of developing our understanding of the causal pathway from single nucleotide
12 polymorphism (SNP) to disease.

13

14 A large proportion of associations detected by GWAS are located in non-coding regions
15 of the genome [6], suggesting that the underlying SNPs influence complex traits via
16 changes in gene regulation [7]. Recent efforts have incorporated messenger ribonucleic
17 acid (mRNA) expression data into analyses to determine whether SNPs identified by
18 GWAS influence levels of gene expression (i.e. whether they are expression quantitative
19 trait loci [eQTL]) as well as complex traits [8]. Novel methods have integrated eQTL data
20 with summary association statistics from GWAS [9] to identify genes whose nearby (*cis*)
21 regulated expression is associated with traits of interest (widely defined as variants within
22 1 megabase (Mb) on either side of a genes transcription start site [TSS]) [10]. These types
23 of studies have been referred to as transcriptome-wide association studies (TWAS).

24

25 A recent paper has highlighted some limitations that may be encountered by studies
26 integrating transcriptome data to infer causality [11], such as intra-tissue variability and
27 co-regulation amongst proximal genes, making it challenging to disentangle putative
28 causal genes for association signals. This exemplifies the importance of developing
29 methods that investigate tissue-specificity and co-regulation of association signals
30 detected by TWAS. Therefore, there needs to be further research into the most
31 appropriate manner to harness eQTL data (across multiple tissue and cell types) in order
32 to improve the biological interpretation of GWAS findings.

33

34 We have developed a systematic framework which can be used to evaluate five potential
35 scenarios that can help explain findings from TWAS (Figure 1). Firstly, we identify putative
36 causal genes responsible for observed association signals, by evaluating the association
37 between lead SNPs and proximal gene expression using eQTL data from the
38 Framingham Heart Study (n=5,257) [8]. We then investigate the relationship between
39 gene expression and complex traits at loci of interest by applying the principles of
40 Mendelian randomization (MR); a method which uses genetic variants associated with an
41 exposure as instrumental variables to infer causality among correlated traits [12,13]. A
42 recent development in this paradigm is two-sample MR, by which effect estimates on
43 exposures and outcomes are derived from two independent datasets, allowing
44 researchers to exploit findings from large GWAS consortia [14]. Applying this approach
45 can therefore be used to help infer whether changes in gene expression (our exposure)
46 may influence a complex trait identified by GWAS (our outcome). Furthermore, as tissue-

47 specificity is fundamental in understanding causal mechanisms involving gene
48 expression, we have used data from the genotype tissue expression project (GTEx) [15]
49 in a number of tissues that could be important in cardiovascular disease susceptibility
50 (Additional file 2: Table S1) to try and disentangle co-regulation amongst proximal genes
51 (i.e. differentiating between scenarios 1, 2 and 3). We refer to this approach as tissue-
52 specific MR, which should prove increasingly valuable in investigating both the
53 determinants and consequences of changes in tissue-specific gene expression as sample
54 sizes increase [12].

55

56 We subsequently apply colocalization analyses [16] at each locus of interest to evaluate
57 whether the same underlying genetic variant is responsible for changes in both gene
58 expression and complex trait, or whether association signals may be a product of linkage
59 disequilibrium (LD) between two causal variants (scenario 4). This analysis can also
60 complement findings from the MR analysis, particularly given that the majority of genes
61 can only be instrumented with a single eQTL using GTEx data. In addition, there has been
62 recent interest in the impact that DNA methylation may have on cardiovascular disease
63 risk via modifications in gene expression [17]. Therefore, we apply multiple-trait
64 colocalization (moloc) [16] at each locus to simultaneously investigate whether the same
65 underlying genetic variant is driving the observed effect on all three traits of interest (i.e.
66 the cardiovascular trait, gene expression and DNA methylation).

67

68 Uncovering evidence suggesting that DNA methylation and gene expression may be
69 working in harmony to influence complex traits can improve the reliability of causal

70 inference in this field, as it suggests there may be underlying mechanisms which are
71 consistent with causality (i.e. DNA methylation acting as a transcriptional repressor).
72 However, a major challenge in this paradigm is the lack of accessible tissue-specific DNA
73 methylation/mQTL data akin to GTEx for gene expression. Previous studies have
74 investigated the potential mediatory role of DNA methylation between genetic variant and
75 gene expression using eQTL and mQTL data derived from blood which may act as a
76 proxy for other tissue types [18–20]. Moreover, other studies have demonstrated a
77 surprisingly high rate of replication between mQTL derived from blood and more relevant
78 tissue types for a complex trait of interest [21]. We have therefore undertaken moloc
79 analyses using eQTL derived from both blood and cardiovascular-specific tissue types.
80 Finally, it is also important to note that, along with other approaches which apply causal
81 methods to molecular data, we are currently unable to robustly differentiate mediation
82 from horizontal pleiotropy (scenario 5) [12,22]. However, within this framework we will be
83 able to accommodate additional eQTL as instrumental variables derived from future larger
84 studies in order to address this.

85
86 In this study, we demonstrate the value of our framework by applying it to data from the
87 Avon Longitudinal Study of Parents and Children (ALSPAC) using early life measures of
88 cardiovascular function as outcomes. Evaluating putative causal mechanisms apparent
89 early in the life course can be extremely valuable for disease prevention and healthcare,
90 particularly given that cardiovascular disease such as atherosclerosis has been shown to
91 develop in childhood [23]. Therefore, we used ~19,000 *cis*-eQTL's observed in adults at
92 risk of cardiac events from the Framingham Heart Study [8] for our TWAS to ascertain

93 whether they influence these cardiovascular traits in young individuals (age ≤ 10). We
94 have further evaluated results using our framework by harnessing summary statistics
95 from large-scale GWAS to demonstrate the value of our approach and validate findings
96 in independent samples.

97

98 **Methods**

99 **The Avon Longitudinal Study of Parents and Children (ALSPAC)**

100 Detailed information about the methods and procedures of ALSPAC is available
101 elsewhere [24–26]. In brief, ALSPAC is a prospective birth cohort study which was
102 devised to investigate the environmental and genetic factors of health and development.
103 In total, 14,541 pregnant women with an expected delivery date of April 1991 and
104 December 1992, residing in the former region of Avon, UK were eligible to take part.
105 Participants attended regular clinics where detailed information and bio-samples were
106 obtained. The study website contains details of all the data that is available through a fully
107 searchable data dictionary [27]. All procedures were ethically approved by the ALSPAC
108 ethics and Law Committee and the Local Research Ethics Committees. Written informed
109 consent was obtained from all participants.

110

111 ***Genetic data***

112 All children were genotyped using the Illumina HumanHap550 quad genome-wide SNP
113 genotyping platform. Samples were removed if individuals were related or of non-
114 European genetic ancestry. Imputation was performed using Impute V2.2.2 against a
115 reference panel from 1000 genomes [28] phase 1 version 3 [29]. After imputation, we

116 filtered out variants and kept those with an imputation quality score ≥ 0.8 and minor allele
117 frequency (MAF) > 0.01 .

118

119 **Phenotypes**

120 The methods and procedures to acquire data for the 14 phenotypes analyzed in this study
121 are as follows. All measurements were obtained at the ALSPAC clinic. Height and weight
122 were measured at age 7 (mean age: 7.5, range: 7.1-8.8). Height was measured to the
123 nearest 0.1 cm with a *Harpenden* stadiometer (*Holtain Crosswell*), and weight was
124 measured to the nearest 0.1 kg on *Tanita* electronic scales. Body mass index (BMI) was
125 calculated as (weight [kg]/(height[m]²). Non-fasting blood samples were taken at age 10
126 (mean age: 9.9, range: 8.9-11.5). The methods on the assays performed on these
127 samples which included total cholesterol, high-density lipoprotein cholesterol, LDL
128 cholesterol (calculated using the Friedewald equation [30]), very low density lipoprotein
129 (VLDL) cholesterol, triglycerides, Apolipoprotein A1 (ApoA1), Apolipoprotein B (ApoB),
130 fasting glucose, fasting insulin, adiponectin, leptin, C-reactive protein (CRP) and
131 interleukin 6 (IL-6) have been described previously [31].

132

133 **The Framingham Heart Study**

134 We identified over 19,000 pruned lead cis-eQTLs from Joehanes et al [8] who provide in-
135 depth details of the Framingham Heart study and their analysis plan in their paper. Trans-
136 eQTLs were not considered for our analysis to reduce the likelihood of horizontal
137 pleiotropy influencing our findings and also to reduce the burden of multiple testing [32].
138 This eQTL data was chosen for the initial analysis in ALSPAC due to the larger sample

139 size of transcriptome data from the Framingham Heart Study (n=5,257) using whole blood
140 in comparison to GTEx sample sizes for other tissue types. This allowed us to maximise
141 statistical power to detect association signals which we were then subsequently able to
142 evaluate in detail using data from other tissue types.

143

144 **The Genotype-Tissue Expression (GTEx) project**

145
146 GTEx is a unique open-access online resource with gene expression data for 449 human
147 donors (83.7% European American and 15.1% African American) across 44 tissues.
148 Sample sizes vary between tissues, thus affecting statistical power to identify eQTL. In
149 depth information on the materials and methods for GTEx is available in the latest
150 publication [15]. In short, RNA sequencing samples were sequenced to a median depth
151 of 78 million reads. This is suggested to be a credible depth to quantify accurately genes
152 that may have low expression levels [33]. DNA was genotyped at 2.2 million sites and
153 imputed to 12.5 million sites. We used GTEx eQTL data in all downstream analysis
154 following the discovery analysis in ALSPAC (i.e. Mendelian randomization and multiple-
155 trait colocalization).

156

157 **Statistical analysis**

158 Data from ALSPAC were initially cleaned using STATA [version 15] and outliers defined
159 as ± 4 standard deviations from the mean were removed. We plotted histograms to check
160 the data for normality and where necessary applied log-transformation. Using PLINK
161 [version 1.9] [34,35], we undertook an age and sex adjusted TWAS to evaluate the
162 association between *cis*-eQTLs known to influence gene expression and cardiovascular

163 traits. We applied a Bonferroni correction to account for multiple testing which equated to
164 0.05/the total number of tests undertaken. Using a script derived from the qqman R
165 package [36], results were plotted using a Manhattan plot. We undertook fine mapping
166 across the region 1Mb either side of each lead SNP identified from our TWAS using
167 FINEMAP [37] software. We used the default setting which outputs a maximum of 5
168 putative causal variants.

169

170 ***Tissue-specific Mendelian randomization analysis***

171 To investigate potential causal genes at association signals detected in our TWAS, we
172 applied the principles of MR using the wald method [38] (Additional File 1: Figure S1) to
173 assess whether changes in tissue-specific gene expression (eQTLs as instrumental
174 variables) may be responsible for effects on associated traits. Furthermore, it can help
175 discern whether multiple proximal genes at a region are contributing to trait variation or
176 whether they are likely just co-regulated with causal genes in accessible tissue types such
177 as whole blood, i.e. scenario 3. Firstly, for each lead eQTL from the TWAS we used
178 tissue-specific data from GTEx to discern whether they were cis-eQTL for genes in tissue
179 types which may play a role in the pathology of cardiovascular disease ($P < 1 \times 10^{-4}$). If
180 this was not possible then we used eQTL for all genes within a 1MB distance of the lead
181 eQTL. The tissue types evaluated were; adipose – subcutaneous, adipose – visceral
182 (omentum), liver, pancreas, artery – coronary, artery – aorta, heart – atrial appendage
183 and heart – left ventricle. The mean donor age for all tissues included in this analysis
184 resided in the range of 50-55 years. In addition to this, we ran an additional analysis for

185 the association with BMI but investigating effects in the following brain tissues: pituitary,
186 anterior cingulate cortex (BA24) and frontal cortex (BA9).

187

188 For this analysis, we used data from large-scale GWAS; A full list of these with details
189 can be found within additional file 2 (Table S2) [39–41]. We then undertook a validation
190 analysis using our ALSPAC data. As cardiovascular trait data is therefore obtained at an
191 earlier stage in the life course compared to the tissue-specific expression data, any
192 associations detected in the validation analysis suggest genetic liability to cardiovascular
193 risk via changes in gene expression. These analyses were undertaken using the MR-
194 Base platform [42]. The only trait we were unable to assess in our analysis was
195 interleukin-6, due to the lack of GWAS summary statistics for this trait. Nonetheless, we
196 still performed MR for the IL-6 data we possessed in ALSPAC. We applied a multiple
197 testing threshold to the MR results to define significance ($p < 0.05/54$). We plotted the
198 results from the validation analysis using volcano plots from the ggplot2 package in R
199 [43]. We also applied the Stieger directionality test [44] to discern whether our exposure
200 (i.e. gene expression) was influencing our outcome (i.e. our complex trait) as opposed to
201 the opposite direction of effect.

202

203 ***Multiple-trait colocalization (moloc)***

204 Blood samples were obtained from 1,018 ALSPAC mothers as part of the accessible
205 resource for integrated epigenomics studies (ARIES) [45] from the 'Focus on Mothers 1'
206 time point (mean age = 47.5). Epigenome-wide DNA methylation was derived from these
207 samples using the Illumina HumanMethylation450 (450K) BeadChip array. From this

208 data, we obtained effect estimates for all genetic variants within a 1MB distance of lead
209 eQTL from the TWAS and proximal CpG sites (again defined as < 1MB). We then used
210 the moloc [16] method to investigate 2 questions:

211 1) Is the same underlying genetic variant influencing changes in both proximal gene
212 expression and cardiovascular trait (i.e. investigating scenario 4)

213 2) Does the genetic variant responsible for these changes also appear to influence
214 proximal DNA methylation levels, suggesting that changes in this molecular trait may also
215 play a role along the causal pathway to disease.

216 As such, at each locus we applied moloc using genetic effects on 2 different molecular
217 phenotypes (gene expression and DNA methylation (referred to as eQTL and mQTL
218 respectively) along with the associated cardiovascular trait from our GWAS summary
219 statistics. Since we included three traits (i.e. gene expression, DNA methylation and
220 cardiovascular trait), moloc computed 15 possible configurations of how the traits are
221 shared: detailed information on how these are calculated can be found in the original
222 moloc paper [16]. For each independent trait-associated locus, we extracted effect
223 estimates for all variants within 1MB distance of the lead TWAS hit, for all molecular
224 phenotypes and relevant cardiovascular GWAS traits. We subsequently applied moloc in
225 a gene-centric manner, by mapping CpG sites to genes based on the 1MB regions either
226 side of our TWAS hit. Moloc was subsequently applied to all gene-CpG combinations
227 within each region of interest. We ran this analysis twice, once using expression data from
228 whole blood and again using expression data from a tissue type which was associated
229 with the corresponding trait in the tissue-specific MR analysis (Additional file 2: Table S3).

230 Only regions with at least 50 SNPs (MAF $\geq 5\%$) in common between all three datasets
231 (i.e. gene expression, DNA methylation and cardiovascular trait) were assessed by moloc
232 based on recommendations by the authors. We computed summed PPAs for all
233 scenarios where GWAS trait and gene expression colocalized. When summed PPAs
234 were $\geq 80\%$, we reported findings as evidence that genetic variation was influencing
235 cardiovascular traits via changes in gene expression. Furthermore, when summed PPAs
236 relating to DNA methylation were $\geq 80\%$, there was evidence that DNA methylation may
237 also reside on the causal pathway to complex trait variation via changes in gene
238 expression. In all analyses we used prior probabilities of 1e-04, 1e-06, 1e-07 and 1e-08
239 as recommended by the developers of moloc based on their simulations [16].

240

241 **Results**

242 **Identifying putative causal genes for measures of early life cardiovascular
243 function**

244 We carried out 273,742 tests to evaluate the association between previously identified cis-
245 eQTLs [8] with 14 cardiovascular traits in turn within ALSPAC (19,553 cis-eQTLs \times 14
246 traits). After multiple-testing corrections, we identified 11 association signals across 8
247 unique genetic loci which provided strong evidence of association ($p < 1.8 \times 10^{-7}$
248 [Bonferroni corrected threshold: $p < 0.05/273,742$]). These results can be found in Table 1
249 and are illustrated in Figure 2. The region near *SORT1* was associated with total
250 cholesterol, LDL cholesterol and ApoB. Additionally, the *LPL* region was associated with
251 both triglycerides and VLDL cholesterol.

252

253 We undertook fine-mapping 1Mb either side of the lead SNP at each locus identified in
254 our initial analysis to investigate which SNP(s) may be driving the observed effects of
255 complex traits. Posterior probability of association's (PPA) from FINEMAP [37] suggested
256 that there was most likely only a single variant influencing trait variation for seven of the
257 eleven total loci. For the other four loci, FINEMAP suggested there may be multiple
258 variants influencing traits (Additional file 2: Table S4).

Table 1. Results of the TWAS between Genetic Variants Influencing Gene Expression and Cardiovascular Traits in ALSPAC

Tag SNP	Gene(s)	Trait	Sample Size	Beta	SE	P-Value
rs646776	<i>SORT1; CELSR2; PSRC1</i>	Total Cholesterol	4543	-0.099	0.016	1.10 x 10 ⁻⁹
rs646776	<i>SORT1; CELSR2; PSRC1</i>	LDL Cholesterol	4543	-0.110	0.015	7.74 x 10 ⁻¹⁴
rs646776	<i>SORT1; CELSR2; PSRC1</i>	ApoB	4546	-2.695	0.328	2.66 x 10 ⁻¹⁶
rs12129500	<i>IL6R</i>	IL-6	4503	-0.126	0.018	4.96 x 10 ⁻¹²
rs11693654	<i>ADCY3; NCOA1; CENPO</i>	BMI	6387	0.200	0.036	3.57 x 10 ⁻⁸
rs80026582	<i>LPL</i>	Triglycerides	4334	-0.101	0.018	1.49 x 10 ⁻⁸
rs80026582	<i>LPL</i>	VLDL Cholesterol	4334	-0.100	0.018	1.57 x 10 ⁻⁸
rs600038	<i>ABO</i>	IL-6	4496	-0.207	0.021	4.12 x 10 ²²
rs174538	<i>FADS1; FADS2; TMEM258</i>	Total Cholesterol	4539	-0.080	0.015	5.03 x 10 ⁻⁸
rs2727784	<i>APOA1; TAGLN</i>	ApoA1	4018	3.047	0.468	8.05 x 10 ⁻¹¹
rs10419998	<i>GATAD2A; MAU2; TM6SF2</i>	ApoB	4404	-2.024	0.376	7.96 x 10 ⁻⁸

Abbreviations for the column headings from left to right: single nucleotide polymorphism, gene or gene cluster associated with SNP, associated trait, sample size for this effect, observed effect size (standard deviation), standard error of the effect size, p value for observed effect

259
260 **Disentangling causal mechanisms using tissue-specific Mendelian randomization**
261 After adjustment for the number of tests performed across all tissues and complex traits
262 ($p < 9.3 \times 10^{-4}$ [$p < 0.05/54$]), we identified 34 associations between tissue-specific gene
263 expression and cardiovascular traits (Additional file 2: Tables S5-S15). In the validation
264 analysis in ALSPAC, we observed consistent directions of effect for 30 of the
265 associations. The potential value of this approach in terms of disentangling causal genes

266 (i.e. scenarios 2 and 3) was exemplified at the BMI associated region on chromosome 2.
267 Of the 3 cis- and potentially causal genes for this signal, only *ADCY3* provided strong
268 evidence of being the putative causal gene in two types of adipose tissue (adipose
269 subcutaneous ($P = 6.8 \times 10^{-40}$) and adipose visceral ($P = 3.1 \times 10^{-48}$)) (Figure 3a). This
270 suggests that changes in *ADCY3* expression in adipose tissue could influence BMI levels.
271 In contrast, there was a lack of evidence that changes in *NCOA1* expression in the
272 analyzed tissue types influence BMI. We were unable to undertake MR of *CENPO*
273 expression in this analysis as were unable to harmonise effect estimates between
274 exposure and outcome. As an additional analysis, we repeated the MR on BMI using
275 eQTL effect estimates derived from *ADCY3* expression in brain tissue (pituitary), although
276 there was limited evidence of association (Beta (SE): 0.008 (0.006) , P: 0.177).

277
278 Figure 3b illustrates results observed at the cholesterol associated region on
279 chromosome 11. There was evidence that *FADS1* expression was associated with total
280 cholesterol in 3 different tissues (adipose subcutaneous ($P = 2.2 \times 10^{-40}$), heart left
281 ventricle ($P = 1.0 \times 10^{-35}$) and pancreas ($P = 2.2 \times 10^{-40}$)). Interestingly, the strength of
282 evidence was comparable between subcutaneous adipose and pancreas tissues despite
283 the differences in GTEx sample sizes (Pancreas: 220 & Adipose Subcutaenous: 385)
284 (Additional file 1: Figure S2). *TMEM258* expression provided strong evidence of
285 association in one tissue type (adipose subcutaneous ($P = 7.2 \times 10^{-34}$)), whereas
286 association between *FADS2* expression and total cholesterol was observed in multiple
287 tissue types (adipose subcutaneous ($P = 5.1 \times 10^{-11}$), adipose visceral ($P = 4.2 \times 10^{-20}$),
288 artery aorta ($P = 5.8 \times 10^{-10}$), heart – atrial appendage ($P = 6.3 \times 10^{-5}$) and pancreas ($P =$

289 6.3×10^{-5}). The most parsimonious explanation may be that multiple genes at this locus
290 influence cholesterol levels, however further analyses are required to robustly
291 differentiate between scenarios 2 and 3 here (Figure 1).

292
293 At other loci evaluated (Additional File 1: Figure's S3-S9), *LPL* showed evidence of
294 association with triglycerides in a single tissue (adipose subcutaneous ($P = 9.6 \times 10^{-168}$))
295 implying that this effect may be more tissue-specific compared to those observed at other
296 loci in this study (Additional file 1: Figure's S8 & S9, Additional file 2: Tables S14 & S15).
297 On chromosome 1, there was strong evidence that gene expression in liver influences
298 total cholesterol (Additional file 1: Figure S6) and LDL (Additional file 1: Figure S7) ($p <$
299 3.22×10^{-120}). However, this was observed for all three genes in the region (*SORT1*,
300 *CELSR2* and *PSRC1*). In these analyses alone, we were unable to determine whether a
301 particular gene is driving this observed effect, with the other proximal genes being co-
302 regulated, or whether there are multiple causal genes for these traits (i.e. scenario 2).
303 However, evidence from the literature implicates *SORT1* as the most likely causal gene
304 for this association signal [11,46]. Our MR results from ALSPAC provided evidence
305 between *ABO* expression and IL-6 in 4 different tissues (Additional file 2: Table S12).
306 Although, caution is required when interpreting this signal based on previous evidence
307 across a diverse range of traits [47]. Finally, to test the direction of effect at each locus
308 (i.e. are changes in gene expression causing changes in trait or vice versa), we ran a
309 causal direction test [44]. In all scenarios, the test provided evidence that gene expression
310 influences traits at these loci rather than the opposite direction of effect (Additional file 2:
311 Tables S5-S15).
312

313 **Ascertaining whether DNA methylation resides on the causal pathway to**
314 **disease**

315 We identified evidence of colocalization (PPA \geq 0.8) for 7 unique genes across 5 loci
316 across various tissue types (Additional file 2: Tables S16-S20). Building upon results from
317 the tissue-specific MR analysis, we found strong evidence that *ADCY3* is the functional
318 gene for the BMI associated signal on chromosome 2 (maximum PPA of 0.99 between
319 gene expression and BMI). We identified evidence of colocalization between BMI and
320 *ADCY3* expression in both whole blood and subcutaneous adipose tissue. There was
321 also evidence that distributions between DNA methylation at cg04553793 (at the
322 promoter region of *ADCY3*) colocalized with BMI and *ADCY3* expression in whole blood
323 (PPA = 0.88). However, the lead mQTL for this observed effect (rs13401333) was not
324 correlated with the lead eQTL and GWAS hit (rs6745073, $r^2=0.02$), which suggests that
325 in-depth analysis with multiple tissue types is necessary to confirm whether DNA
326 methylation influences disease susceptibility at this locus.

327
328 There was also evidence that changes in DNA methylation at a CpG site in the promoter
329 region for *FADS1* (cg19610905) colocalized with total cholesterol variation. There was
330 evidence of colocalization for all 3 traits using gene expression for *TMEM258* (PPA=0.85)
331 (Figure4a), where the lead GWAS variant (rs174568) and mQTL were in perfect LD
332 (rs1535, $r^2=1$). This effect was only observed in whole blood. Evidence of colocalization
333 between all three traits using *FADS1* expression narrowly missed the cut-off (PPA=0.77).
334 Finally, we found limited evidence that changes in DNA methylation at this CpG site
335 colocalized with *FADS2* expression, although as with the previously evaluated locus, this

336 was not surprising given that cg19610905 is located downstream of *FADS2*. Gene
337 expression of *TMEM258* in whole blood was negatively associated with DNA
338 methylation at cg19610905. The directionality test suggested that DNA methylation
339 influences *TMEM258* expression at this locus rather than the opposite direction of effect
340 ($P < 1 \times 10^{-16}$).

341
342 We did not identify evidence in the colocalization analysis suggesting that DNA
343 methylation plays a role in trait variation at the *SORT1* region. However, there was
344 evidence of tissue specificity in liver tissue which supports evidence identified in our MR
345 analysis. The first plot in Figure 4b illustrates how effects on *SORT1* gene expression and
346 total cholesterol at this region colocalizes in liver tissue. In contrast, the neighbouring plot
347 depicts the same analysis but in whole blood, whereby no evidence of colocalization was
348 detected. Furthermore, we see the same tissue-specific colocalization for the effect on
349 *ApoB* in the same region (Additional file 2: Table S16). The *CELSR2* gene showed similar
350 evidence for tissue specificity in liver, whereas *PSRC1* expression colocalized with
351 GWAS traits in both whole blood and liver.

352

353 **Discussion**

354 In this study we have developed a framework to elucidate transcriptional mechanisms in
355 disease which can help explain the functional relevance of GWAS findings. This is
356 achieved by adapting the principles of MR to evaluating the putative effect of tissue-
357 specific gene expression on complex traits, which can be complemented with moloc and
358 harnessing large-scale summary statistics. We demonstrate the value of this approach by

359 evaluating 11 signals identified in a TWAS study undertaken in a cohort of young
360 individuals from the ALSPAC cohort. Tissue-specific analyses helped infer whether
361 individual or multiple genes were potentially responsible for observed signals at each
362 locus. Moloc suggested that changes in gene expression and proximal DNA methylation
363 may influence disease susceptibility at the *FADS1* locus.

364

365 The *ADCY3* locus has been reported to be associated with BMI in young individuals in
366 previous studies [48,49]. Our MR analyses identified evidence that changes in *ADCY3*
367 expression in adipose tissues may influence BMI, whereas weaker evidence was
368 observed based on the expression of other proximal genes (*NCOA1*). Specifically, we
369 found that the magnitude of the effect for *ADCY3* expression was observed most strongly
370 in adipose tissue, aligning with other research [50,51]. Furthermore, recent work has
371 uncovered a variant in *ADCY3* associated with an increase in obesity levels [52]. In
372 contrast, moloc showed a lack of evidence of colocalization for *NCOA1* expression.
373 Moreover, although the *CENPO* gene was evaluated as part of our original association
374 analysis, there were no eQTL for this gene for any of the tissues we analyzed. From this,
375 we believe that *ADCY3* is likely the functional gene impacting BMI at this locus, although
376 only with in-depth follow up analyses can this be determined with confidence. Our
377 additional analysis indicated no tissue-specific effects using eQTL effect estimates
378 derived from brain tissue, which suggests that the influence of *ADCY3* expression on BMI
379 levels may be confined to adipose tissue. However, extended analyses using molecular
380 data derived from brain tissue is necessary to confirm this, particularly given that previous
381 work has linked gene expression in brain tissue with obesity-related traits [50,53].

382

383 We also identified evidence of colocalization for gene expression, DNA methylation and
384 complex trait variation at the cholesterol associated region on chromosome 11. This was
385 observed for *TMEM258* expression in whole blood, although *FADS1* narrowly missed the
386 0.8 cut-off (PPA = 0.77). This was based on DNA methylation levels at a CpG site located
387 in the promoter region of *FADS1* (cg19610905). This effect was observed using data from
388 whole blood (which is the only tissue we had accessible DNA methylation for in this study),
389 which is potentially acting as a proxy for the true causal/relevant tissue type for this effect
390 [18]. However, there was no indication that methylation played a role in the expression of
391 *FADS2*. *TMEM258* has been proposed as a regulatory site for cholesterol in '*abdominal*
392 *fat*' previously [54]. Interestingly, our MR analyses identified a single hit for this gene in
393 adipose tissue, suggesting that *TMEM258* expression is highly tissue-specific. *FADS1*
394 has previously been associated with cholesterol levels in young individuals [55].
395 Additionally, genetic variation at this region is associated with DNA methylation levels at
396 cg19610905 based on cord blood in ARIES, which suggests that these methylation
397 changes may influence the expression of *FADS1/TMEM258* from a very early age.
398 Overall at this region, our results suggest that scenario 2 is a likely explanation for the
399 association signal, where it is biologically plausible that multiple causal genes influence
400 complex trait variation. Specifically, our analyses suggest that *TMEM258* and *FADS1* are
401 potential causal genes, however, further work is needed to elucidate whether *FADS2* is
402 directly influencing cardiovascular traits or is simply co-regulated with the nearby
403 functional loci.

404

405 The *LPL* locus was not subject to co-regulation/uncertainty over the likely causal gene
406 and is therefore likely attributed to scenario 1. *LPL* has been previously reported to
407 influence lipid and triglyceride levels [56–58] and there is also evidence from gene
408 knockout experiments [59]. The tissue-specificity of *LPL* has also previously been
409 explored, although not by recent studies [60]. 2SMR analyses provided robust evidence
410 of highly specific gene expression in adipose tissue, corroborating previous research
411 [60,61].

412

413 For other regions evaluated in our study, there was evidence that multiple genes may
414 potentially influence traits. The *SORT1* locus has been previously studied in detail with
415 regards to its effect on cholesterol levels [46,62]. Our MR analyses provided additional
416 evidence of an effect using expression derived from liver tissue for *SORT1*, *CELSR2* and
417 *PSRC1*, as well as in pancreas tissue for *SORT1* and *CELSR2* only. Our subsequent
418 moloc analysis identified evidence of colocalization for *SORT1* and *CELSR2* expression
419 with cholesterol only in liver tissue, suggesting that *PSRC1* could be less tissue-specific
420 than the other 2 genes in this region. Previous research supports these observations with
421 regards to the effects of *SORT1* and *CELSR2* in liver [11,63], as well as the lack of tissue-
422 specificity for the *PSRC1* locus [64]. There was limited evidence that DNA methylation
423 was affecting gene expression at this region, although future work with methylation data
424 derived from liver tissue is warranted.

425 This study has demonstrated the value of our systematic framework in terms of
426 distinguishing between scenarios 1, 2, 3 and 4. However, an important limiting factor, as
427 with any study applying single-instrument MR, is the inability to separate mediation from

428 horizontal pleiotropy (i.e. scenario 5). Given that *trans*-eQTLs likely regulate genes
429 through a non-allele-specific mechanism [65], we selected only eQTLs that were
430 influencing proximal genes. As more eQTL are uncovered across the genome by future
431 studies, across a wide range of tissue and cell types, our framework should become
432 increasingly powerful to evaluate all 5 outlined scenarios.

433

434 In terms of limitations in this study, we recognise the varying sample sizes between
435 tissues in GTEx will determine the relative power to detect eQTL (Additional file 1: Figure
436 S2). Increased sample sizes in GTEx [66] and similar endeavours will help address this
437 limitation. Furthermore, the DNA methylation data we incorporated within our framework
438 from the accessible resource for ARIES [45] project was only obtained in whole blood.
439 However, in general, investigating the potential mediatory role of DNA methylation in
440 whole blood is a limitation, as this assumes that whole blood is acting as a proxy for
441 another, more relevant tissue type [67] . Furthermore, recent work has suggested that
442 promoter DNA methylation may not be sufficient on its own to influence transcriptional
443 changes [68]. Future work will need to incorporate DNA methylation data from various
444 tissues as and when these data become available so we can better understand the role
445 of this epigenetic process on transcriptional activity. For this purpose, a resource
446 concerning tissue-specific DNA methylation would be extremely valuable.

447

448 Another constraint of relatively modest sample sizes in GTEx is that we did not detect
449 evidence of co-localization at some loci despite investigating the functionally relevant
450 gene. For example, we can be reasonably certain that circulating ApoA1 levels are

451 influenced by the expression of *APOA1*. The complexity of gene regulation is often under-
452 estimated due to factors such as feedback loops, hidden confounders in expression data
453 and regulatory activity not always being detected in relevant tissues [69]. However, we
454 are beginning to better understand regulation across tissues [64], which should provide
455 us with further opportunities to detect cross-tissue regulatory activity and develop our
456 biological understanding of disease.

457

458 **Conclusions**

459 We have identified a number of tissue-specific effects at several regions throughout the
460 genome. Our results suggest that DNA methylation may also influence complex traits
461 through gene expression pathways for observed effects on BMI and cholesterol. In-depth
462 evaluations of the loci identified in our study should help fully understand the causal
463 pathway to disease for these effects. Furthermore, as these genetic loci influence
464 cardiovascular traits early in the life course, these endeavours should allow a long window
465 of intervention for disease susceptibility. Finally, the framework outlined in this study
466 should prove particularly valuable for future studies as increasingly large datasets
467 concerning tissue-specific gene expression become available.

Abbreviations

- GWAS; Genome-wide association study
- LDL; Low-density lipoprotein
- SNP; Single nucleotide polymorphism
- mRNA; Messenger ribonucleic acid
- eQTL; Expression quantitative trait loci
- Mb; Megabase
- TSS; Transcription start site
- TWAS; Transcription-wide association study
- MR; Mendelian Randomization
- GTEx; Genotype tissue expression project
- LD; Linkage Disequilibrium
- Moloc; Multiple-trait colocalization
- mQTL; Methylation quantitative trait loci
- ALSPAC; Avon Longitudinal Study of Parents and Children
- MAF; Minor allele frequency
- BMI; Body mass index
- VLDL; Very low-density lipoprotein
- ApoA1; Apolipoprotein A1
- ApoB; Apolipoprotein B
- CRP; C-Reactive protein
- IL-6; Interleukin 6
- ARIES; Accessible resource for integrated epigenomic studies
- PPA; Posterior probability of association

Declarations

Ethics approval and consent to participate

All procedures were ethically approved by the ALSPAC ethics and Law Committee and the Local Research Ethics Committees. Written informed consent was obtained from all participants. We were granted access to ALSPAC data under project B2965 “Evaluating the causal effect of gene expression on cardiovascular function” (04/10/2017).

Consent for publication

This project has been approved for publication by the ALSPAC executive committee.

Availability of data and material

Access to ALSPAC and ARIES data is available to all bona fide researchers submitting a research proposal at www.bristol.ac.uk/alspac. GTEx (<https://www.gtexportal.org/home/>) and large-scale GWAS data (refer to Additional file 2:Table S4) is publicly available data which does not require a proposal for access.

Competing Interests

The authors declare no conflict of interest.

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Authors contributions

TGR led the design of the project. TGR and TRG supervised the project. KT undertook statistical and bioinformatics analysis. KT and TGR drafted the manuscript. Comments

were provided by TRG, GDS and CLR. All authors approved the final version of the manuscript.

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Additional files

Additional file 1 – Supplementary figures: **Figure S1.** MR effect estimates are based on the Wald ratio test, where $\hat{\beta}_Y|Z$ is the coefficient of the genetic variant in the regression of the exposure (e.g. gene expression) and $\hat{\beta}_X|Z$ is the coefficient of the genetic variant in the regression of the outcome (e.g. cardiovascular trait). **Figure S2.** Scatter plot illustrating how eGene discovery increases as sample size increases ($R^2 = 0.84$). Figure adapted from the Genotype Tissue Expression Project (Aguet et al 2017). **Figure S3.** Volcano plot from our tissue-specific Mendelian randomization analysis for the Apolipoprotein A1 associated region (rs2727784). Outcome data from Kettunen et al (2016). **Figure S4.** Volcano plot from our tissue-specific Mendelian randomization analysis for the Apolipoprotein B associated region (rs646776). Outcome data from Kettunen et al (2016). **Figure S5.** Volcano plot from our tissue-specific Mendelian randomization analysis for the Apolipoprotein B associated region (rs10419998). Outcome data from Kettunen et al (2016). **Figure S6.** Volcano plot from our tissue-specific Mendelian randomization analysis for the cholesterol associated region (rs646776). Outcome data from Willer CJ et al (2016). **Figure S7.** Volcano plot from our tissue-specific Mendelian randomization analysis for the low density lipoprotein associated region (rs646776). Outcome data from Willer CJ et al (2016). **Figure S8.** Volcano plot from our tissue-specific Mendelian randomization analysis for the triglyceride associated region (rs80026582). Outcome data from Willer CJ et al (2016). **Figure S9.** Volcano plot from our tissue-specific Mendelian randomization analysis for the very low density lipoprotein associated region (rs80026582). Outcome data from Kettunen et al (2016).

Additional file 2 – Supplementary tables: **Table S1.** Tissues used for tissue-specific Mendelian randomization. **Table S2.** Results of fine mapping analysis. **Table S3.** Tissues used for moloc analysis. **Table S4.** Details on the GWAS datasets used. **Table S5.** Tissue-specific Mendelian Randomization results for the Apolipoprotein A1 associated region on chromosome 11 (rs2727784). **Table S6.** Tissue-specific Mendelian randomization results for the Apolipoprotein B associated region on chromosome 1 (rs646776). **Table S7.** Tissue-specific Mendelian randomization results for the Apolipoprotein B associated region on chromosome 19 (rs10419998). **Table S8.** Tissue-specific Mendelian randomization results for the body mass index associated region chromosome 2 (rs11693654). **Table S9.** Tissue-specific Mendelian randomization results for the cholesterol associated region on chromosome 1 (rs646776). **Table S10.** Tissue-specific Mendelian randomization results for the cholesterol associated region on chromosome 11 (rs174538). **Table S11.** Tissue-specific Mendelian randomization results for the interleukin-6 associated region on chromosome 1 (rs12129500). **Table S12.** Tissue-specific Mendelian randomization results for the interleukin-6 associated region on chromosome 9 (rs600038). **Table S13.** Tissue-specific Mendelian randomization results for the low density lipoprotein associated region on chromosome 1 (rs646776). **Table S14.** Tissue-specific Mendelian randomization results for the triglyceride associated region on chromosome 8 (rs80026582). **Table S15.** Tissue-specific Mendelian randomization results for the very low density lipoprotein associated region on chromosome 8 (rs80026582). **Table S16.** Moloc results for the apolipoprotein B associated region on chromosome 1. **Table S17.** Moloc results for the cholesterol associated region on chromosome 1. **Table S18.** Moloc results for the body mass index associated region on chromosome 2. **Table S19.** Moloc results for the cholesterol associated region on chromosome 11. **Table S20.** Moloc results for the low density lipoprotein associated region on chromosome 1.

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Figure legends

Figure 1. Explanations for observed associations between SNPs and traits.

- 1) The genetic variant influences the trait, mediated by the expression of a single gene at a locus.
- 2) The genetic variant influences the trait via multiple genes which are co-regulated with one another.
- 3) The genetic variant influences the trait via a single gene which is co-regulated with other non-causal genes.
- 4) The genetic variant that influences the trait is in linkage disequilibrium with another variant which is responsible for changes in gene expression that does not affect the trait.
- 5) The genetic variant influences both gene expression and the trait outcome by two independent biological pathways (horizontal pleiotropy).

Figure 2. Manhattan plot illustrating observed associations between eQTLs and cardiovascular traits in ALSPAC

Analysed SNPs are plotted on the x-axis ordered by chromosomal position against -log10 p values which are plotted on the y-axis. SNPs that survived the multiple testing threshold (1.8×10^{-7} – represented by the red horizontal line) are coloured according to their associated trait and annotated with potential causal gene symbols.

Figure 3. Volcano plots illustrating tissue-specific MR results

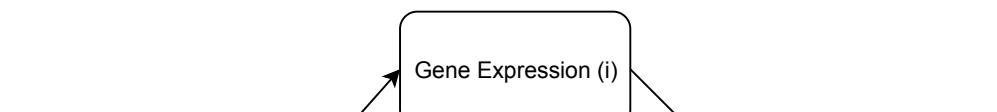
- (a) Tissue-specific MR results for the observed effect on BMI. *ADCY3* gene expression provided strong evidence that it influenced BMI in comparison to the *NCOA1* gene.
- (b) Tissue-specific MR results for the observed effect on total cholesterol. All 3 genes provided strong evidence of association with total cholesterol at this region across various cardiovascular-specific tissue types.

Figure 4. Multiple-trait colocalization analyses between cardiovascular traits and molecular phenotypes

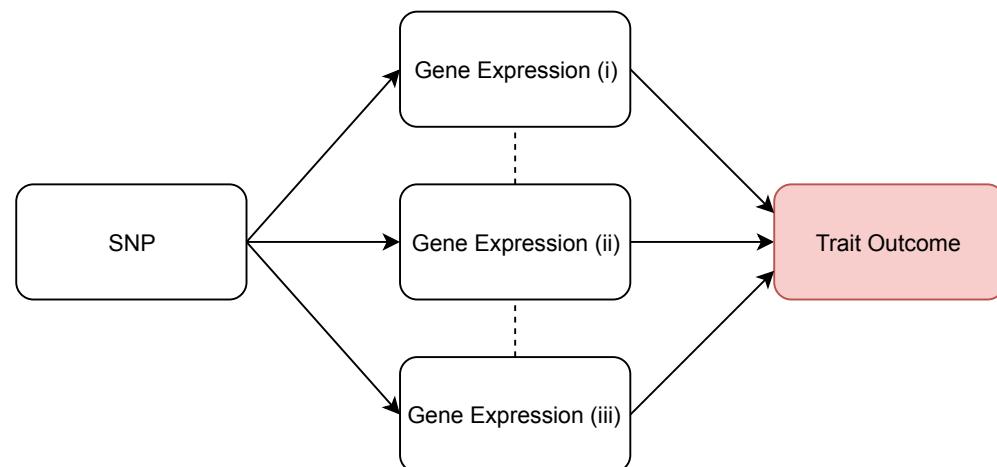
- (a) Evidence of colocalization between *TMEM258* expression and total cholesterol (left) as well as DNA methylation at cg19610905 and total cholesterol (right) using data derived from whole blood.
- (b) Evidence of colocalization between *SORT1* expression using data derived from liver and total cholesterol (left). However, this evidence diminished when undertaking the same analysis for *SORT1* expression data derived from whole blood (right).

1)

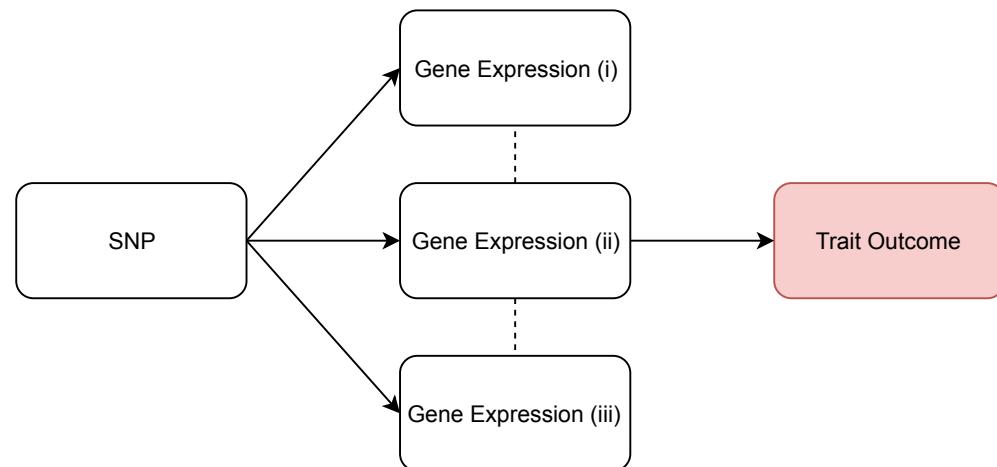
bioRxiv preprint doi: <https://doi.org/10.1101/298687>; this version posted June 22, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY 4.0 International license.

Mediation

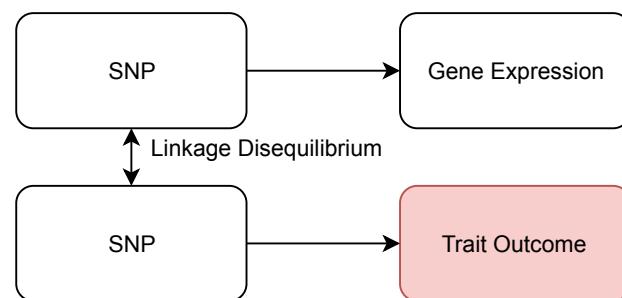
2)

**Co-Regulation (a)**

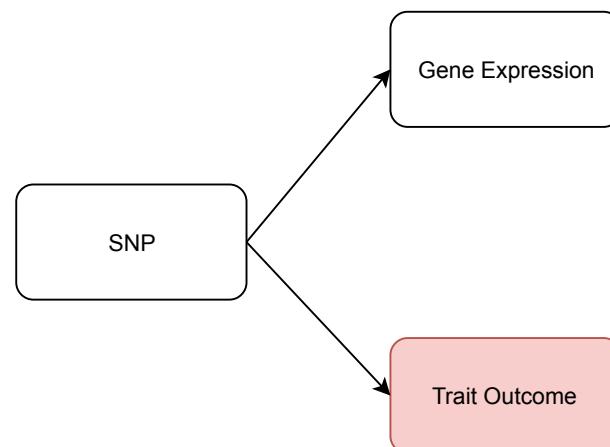
3)

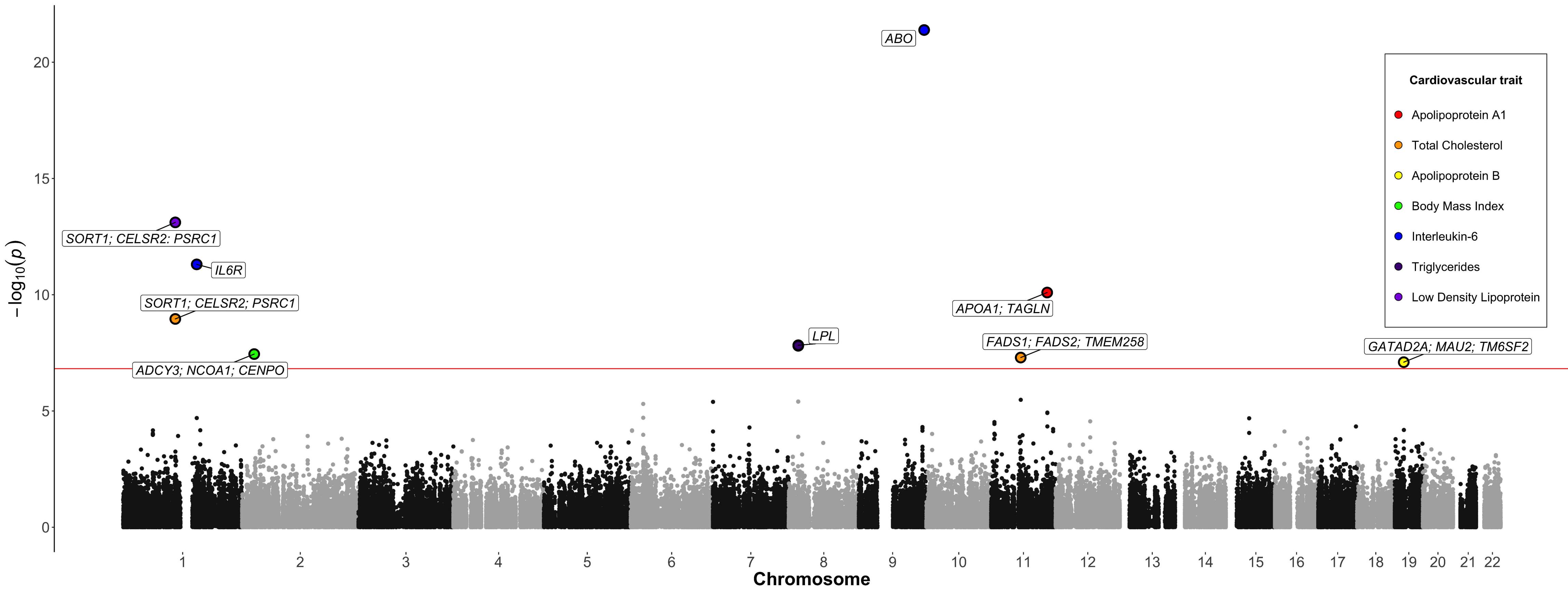
**Co-Regulation (b)**

4)

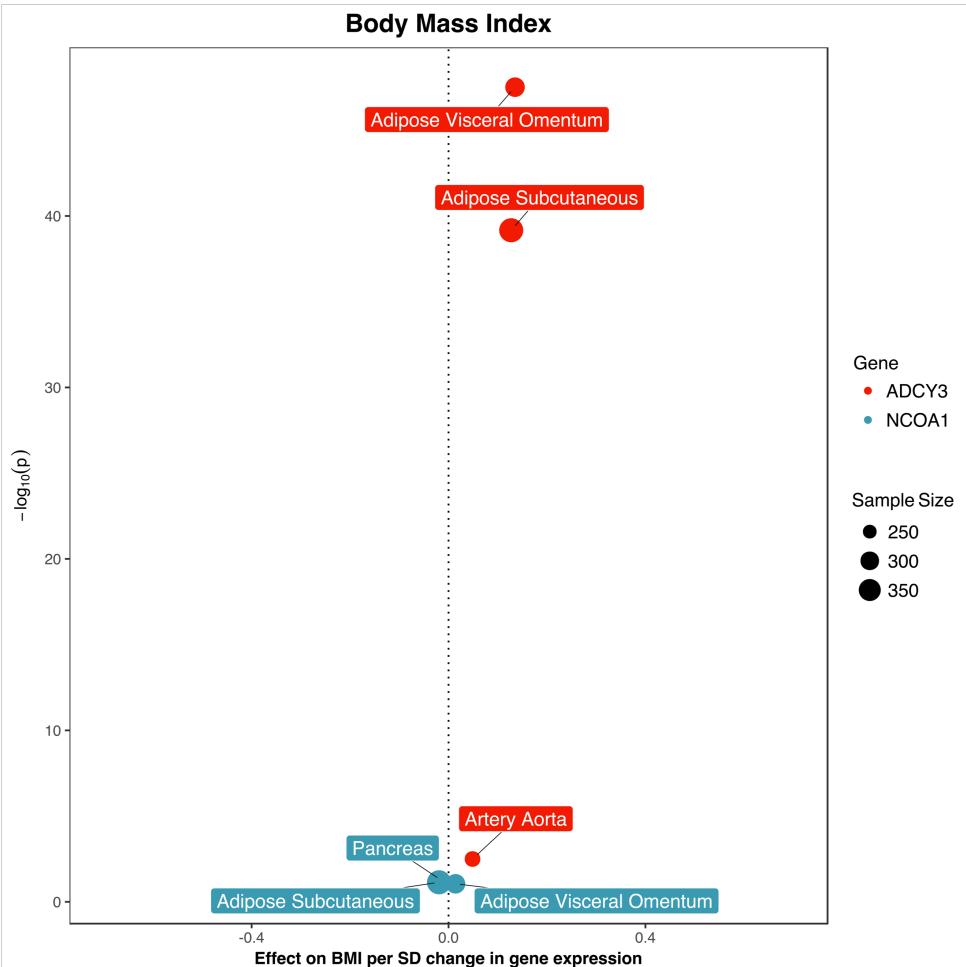
**Linkage**

5)

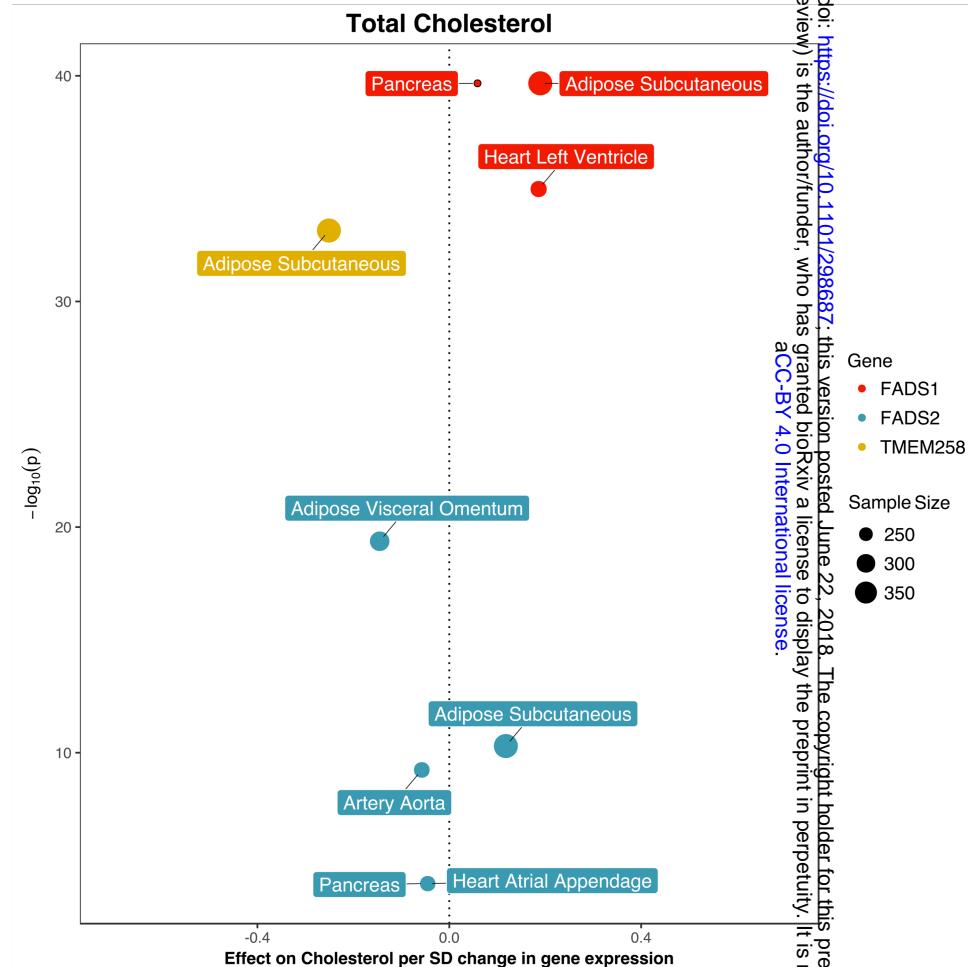
**Horizontal Pleiotropy**



a

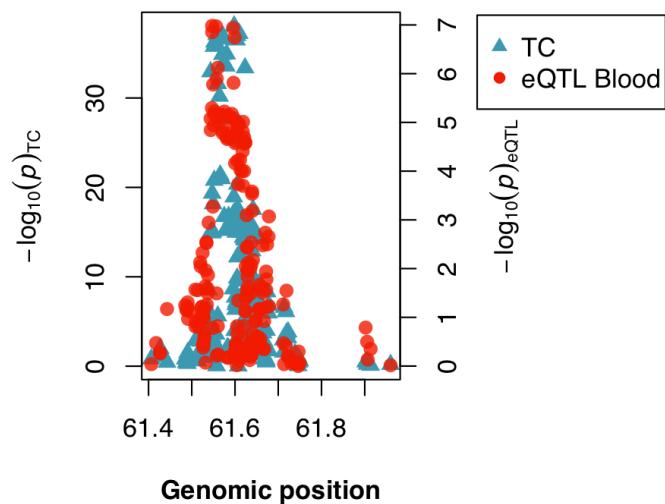


b

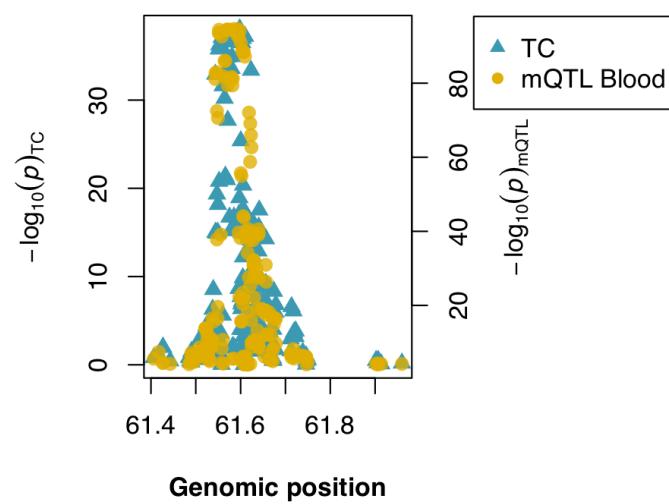


a

TMEM258 Expression (Blood) and Total Cholesterol

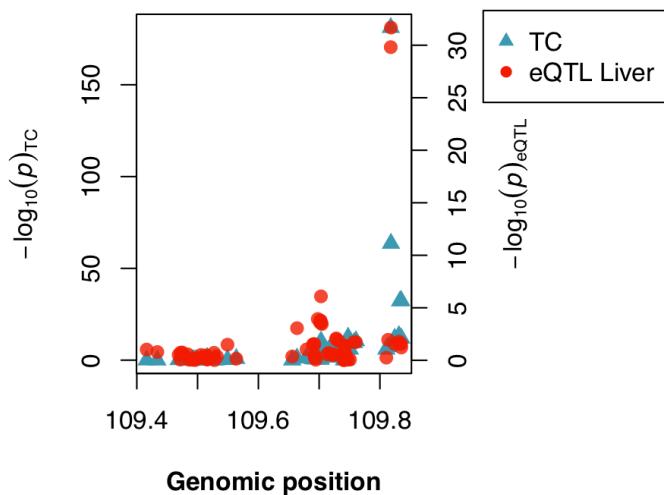


TMEM258 Methylation (Blood) and Total Cholesterol



b

SORT1 Expression (Liver) and Total Cholesterol



SORT1 Expression (Blood) and Total Cholesterol

