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## Abstract

Spatial navigation is impaired in early stages of Alzheimer's disease (AD), and may be a defining behavioral marker of preclinical AD. Nevertheless, limitations of diagnostic criteria for AD and within animal models of AD make characterization of preclinical AD difficult. A new rat model (TgF344-AD) of AD overcomes many of these limitations, though spatial navigation has not been comprehensively assessed. Using the hidden and cued platform variants of the Morris water task, a longitudinal assessment of spatial navigation was conducted on TgF344-AD (n=16) and Fischer 344 (n=12) male and female rats at three age ranges: 4 to 5 months, 7 to 8, and 10 to 11 months of age. TgF344-AD rats exhibited largely intact navigation at 4-5 and 7-8 months of age, with deficits in the hidden platform task emerging at 10-11 months of age. In general, TgF344-AD rats displayed less accurate swim trajectories to the platform and a wider search area around the platform region compared to wildtype rats. Impaired navigation occurred in the absence of deficits in acquiring the procedural task demands or navigation to the cued platform location. Together, the results indicate that TgF344-AD rats exhibit comparable deficits to those found in individuals in the early stages of AD.

## 47 **Introduction**

48 Alzheimer's disease (AD) is the most common form of dementia in the United  
 49 States and is characterized by progressive cognitive decline and neurodegeneration  
 50 (Association and others, 2017). AD pathology, marked by amyloid plaques and  
 51 neurofibrillary tangles that accumulate throughout the limbic system and hippocampus, is  
 52 predicted to initiate up to 20 years prior to the onset of behavioral symptoms (Gao et al.,  
 53 1998; Mielke et al., 2014). Although the long preclinical period poses a significant  
 54 challenge to early diagnosis of AD (Dubois et al., 2016; Graham et al., 2017) subtle  
 55 changes in memory, as observed in amnesic mild cognitive impairment (aMCI), can  
 56 indicate an increased risk of progressing to dementia (Petersen, 2004; Winblad et al.,  
 57 2004). However, because not all cases of aMCI progress to AD, there is a growing need  
 58 for sensitive behavioral assessments for AD diagnosis.

59 Mounting evidence suggests that spatial disorientation, sometimes referred to as  
 60 wandering, are among the earliest memory complaints in AD (Bianchini et al., 2014; Bird  
 61 et al., 2010; Chan et al., 2016; Guariglia and Nitrini, 2009; Pai and Jacobs, 2004; Yew et  
 62 al., 2013). In general, disorientation is characterized as deficient localization of hidden  
 63 goals (Hort et al., 2007), a loss of direction sense (Cushman et al., 2008; deIpoli et al.,  
 64 2007; Monacelli et al., 2003; Tu et al., 2015), or an impairment in correctly identifying  
 65 familiar spatial scenes after a small change in view-point (Bird et al., 2010; Chan et al.,  
 66 2016). Deficits in establishing or utilizing map-like (allocentric) frameworks for  
 67 navigation are frequently linked with the earliest stages of AD, while later stages are  
 68 associated with deficits in simpler forms of navigation, such as approaching cues, or  
 69 utilizing egocentric movements to guide behavior (Hort et al., 2007). Navigation can

70 therefore serve as an early marker of AD, with some studies indicating that disoriented  
71 patients are more likely to convert from aMCI to an AD diagnosis (Bird et al., 2010;  
72 Laczó et al., 2009).

73 Animal models have been utilized to better understand spatial disorientation in  
74 AD, however most fail to produce the full spectrum of amyloid and tau dysfunction (Do  
75 Carmo and Cuello, 2013; LaFerla and Green, 2012). A recent rat model of AD, called the  
76 TgF344-AD rat, has been developed to express both the Swedish form of mutant amyloid  
77 precursor protein gene and human mutant presenilin 1 and progressively develop a  
78 comprehensive profile of AD pathology (Cohen et al., 2013). Importantly, TgF344-AD  
79 rats display characteristic plaque and tangle pathogenesis, as well as neuroinflammation,  
80 and neurovascular dysfunction resulting in substantial cell loss (Joo et al., 2017).  
81 Elevated amyloid, tau, and activated microglia pathology can be detected within both the  
82 entorhinal cortex and hippocampus at 6 months of age, and by 16 months of age, the  
83 expression of amyloid and tau increase substantially (Cohen et al., 2013; Rorabaugh et  
84 al., 2017). A recent study has reported that reductions in the strength of excitatory  
85 transmission can be detected at entorhinal-to-dentate gyrus synapses as early as 6 months  
86 (Smith and McMahon, 2018). These synaptic changes precede reductions in  
87 neurotransmission at CA3-CA1 hippocampal synapses, which begin around 9 months of  
88 age. This emerging pattern of pathogenesis and altered signaling along the Trisynaptic  
89 circuit is consistent with the human condition (Braak and Braak, 1995; Thal et al., 2002).

90 Currently, only a small number of studies have investigated the time-course of  
91 spatial navigation impairment in the TgF344-AD rat model (Cohen et al., 2013;  
92 Pentkowski et al., 2017; Rorabaugh et al., 2017). While experiments have determined

that spatial deficits can be detected after 15 months of age (Cohen et al., 2013; Rorabaugh et al., 2017; Voorhees et al., 2017), the onset of navigation impairment has been less clear. Some studies have reported that TgF344-AD rats are largely unimpaired in navigating to a fixed hidden goal location between 4 and 6 months of age (Cohen et al., 2013; Pentkowski et al., 2017), while others have reported deficits at 6 months of age (Rorabaugh et al., 2017). The variability between studies could be related to subtle differences in performance by male and female TgF344-AD rats, which are often pooled into a single group. Sex differences in spatial behavior in AD have been reported in other rodent models of AD (Clinton et al., 2007; Granger et al., 2016; Mielke et al., 2014), and is additionally supported by the fact that females exhibit a disproportionately higher rate of progression to AD and increased severity of clinical dementia. Further, given the cross-sectional design of previous studies, and the fact that TgF344-AD display differing locomotor behaviors as early as 6 months of age (Cohen et al., 2013), non-spatial variables associated with procedural learning could also play a role.

The overall aim of the present study was to characterize spatial navigation in TgF344-AD rats at early stages of development. A longitudinal design was employed to capture compensatory behaviors possibly secondary to burgeoning pathology (Morganti et al., 2013) and to control for age-effects commonly attributed with procedural task demands (van Groen et al., 2002; Vicens et al., 2002). Both male and female subjects were tested at three age ranges starting from 4 to 5 months, 7 to 8 months, and 10 to 11 months in the hidden and cued platform variants of the Morris water task (Harker and Whishaw, 2002; Morris, 1984; Pentkowski et al., 2017; Vorhees and Williams, 2006). Several standard measures were used to describe the performance and spatial distribution

116 of movements in the pool, including escape latency, path length, and platform proximity.  
 117 However we also provided an assessment of directional disorientation by quantifying the  
 118 proportion of direct swims toward the platform region at each age of testing (Garthe et  
 119 al., 2009; Gehring et al., 2015). Finally, a convolution analysis was employed to  
 120 determine how switching to direct swims or becoming more efficient at less direct swims  
 121 contributes to task performance. Consistent with what has been reported in human AD,  
 122 we hypothesized that rats would express age-dependent impairments in the accuracy of  
 123 their swim trajectories in the hidden platform variant of the Morris water task. We  
 124 additionally hypothesized that these impairments would be expressed independent of  
 125 motor and procedural deficits, and co-occur with intact acquisition of cued platform  
 126 learning.

127

## 128 **Methods**

### 129 **Subjects**

130 Sixteen TgF344-AD (Tg) rats counterbalanced for sex and expressing mutant  
 131 human amyloid precursor protein (APP<sup>sw</sup>) and presenilin 1 (PS1 $\Delta$ E9) were obtained  
 132 directly from the Rat Resource & Research Center (Columbia, MO). Twelve wild type  
 133 Fischer 344 (WT) rats (Harlan laboratories, Indianapolis, IN) counterbalanced for sex  
 134 served as control subjects. Subjects were maintained under controlled temperature ( $21 \pm$   
 135  $2^\circ\text{C}$ ), were housed with Tg or WT pairs, and were kept on a 12 hour light/dark cycle  
 136 (lights off at 09:00 AM). Food and water was provided *ad libitum* throughout the  
 137 duration of the study. Animal care practices and experiments were approved by the  
 138 University of New Mexico Institutional Animal Care and Use Committee and adhered to

139 the APA ethical principles of animal use.

140

## 141 **Longitudinal Design**

142 A longitudinal mixed design was used to assess changes in navigation in the  
143 hidden and cued platform variants of the Morris water tasks at three age time-points.  
144 Subjects were placed into groups by genotype (Tg or WT) and further grouped by sex  
145 (male or female). At the start of testing, subjects' age ranged from 4.30-5.7, 7.5-8.9, and  
146 10.3-11.7 months at each respective time point. For clarity, we refer to these ages  
147 throughout the remaining manuscript as, 4-5 months, 7-8 months, and 10-11 months of  
148 age. Tasks were administered in the same temporal order at each time point (Fig. 1A),  
149 whereby the hidden platform task was administered before cued platform training.  
150 Procedures were maintained between time points and experimenters did not change  
151 throughout the duration of the study. All rats were naïve to experimentation prior to the  
152 start of the first experiments at 4 months of age.

153

## 154 **Apparatus and Testing Room**

155 A circular pool (150 diameter, 48 cm high) with a white inner wall situated on a  
156 wooden frame (50 cm high) and a 16 cm x 16 cm plastic escape platform covered with a  
157 metal grate with a height of 25cm was used for all tasks. The pool was filled with water  
158 (20 - 22°C) until the level reached ~2.5 cm above the top of the platform. Non-toxic  
159 white paint (~500 ml) was used to make the water opaque. Distal environmental cues  
160 were composed of various objects (movie posters, thin particle board hangings) and  
161 furniture (desks & bookshelves) and were maintained in fixed locations during training at

each time-point. However, the cues, cue layout, pool, and platform location was modified at each time-point (Fig. 1B). An overhead camera was fastened above the pool to record swim behavior for subsequent analysis.

## **Morris Water Task - Hidden Platform**

The purpose of the hidden platform variant of the Morris water task is to assess animal navigation to a precise spatial location based on multiple allocentric spatial cues particularly those associated with the features of the distal environment (Clark et al., 2015; Harker and Whishaw, 2002; Morris, 1984; Vorhees and Williams, 2014). Rats were given 4 trials per day for 5 consecutive days. An individual trial was composed of randomly placing the rat into the pool at one of four equidistant drop locations facing the wall. Once the platform was found, the subject remained on the platform for 10 seconds. Drop location varied between time point/trials/days, but was maintained between subjects. At the end of the trial, the rat was returned to a holding cage, during which time the remaining rats in the cohort were tested (10-20min duration). Subjects were run in groups of seven for each task. At the end of the 4 trials, rats were returned to their home cages in the colony room and the same procedure was repeated the next day. Time to reach the platform was recorded by an experimenter at the end of each trial. The mean escape latencies (seconds) for each animal were calculated for each day (every 4 trials).

## **No-Platform Probe Test**

After 5 days of training in the hidden platform task, a probe trial was conducted in which the platform was removed from the swimming pool. The probe trial was conducted 24 hours after the final trial of the fifth training day. The probe trial was composed of



186 releasing rats from the side of the pool opposite the platform. Rats were permitted to  
187 swim for a total of 60 seconds. After the probe test, rats were removed from the pool and  
188 placed in a holding cage.

189

## 190 **Morris Water Task - Cued Platform**

191 A cued-platform test was performed to verify that animals could navigate toward  
192 a cue directly associated with the platform location (Clark et al., 2013; Clark and Taube,  
193 2009; Morris, 1984). In this task, a 10 cm diameter black ball with a white horizontal  
194 stripe was attached to a metal rebar and placed above the center of the platform (11.5 cm  
195 above the water). The cue was visible from any location in the pool. Subjects were  
196 trained for a single day consisting of 8 trials. Again, a trial consisted of placing a rat by  
197 hand into the water facing the wall of the pool at 1 of the 4 starting positions (trial length  
198 60 sec; time on platform 10 sec). If the platform was not found within 60 seconds, the  
199 experimenter guided the subject to the platform by hand. Time to reach the platform was  
200 recorded by an experimenter at the end of each trial.

201

## 202 **Behavioral Analysis**

203 From the video records, behavioral coders blind to experimental group manually  
204 tracked each animal's location in the pool. Tracking and analysis was performed for each  
205 trial of the hidden platform, no-platform probe, and cued platform experiments (Clark et  
206 al., 2015; Pentkowski et al., 2018). First, raw video files were converted to JPEG images  
207 in a Linux bash shell using FFMPEG (<https://www.ffmpeg.org>). Image files were then  
208 imported into Fiji (<https://imagej.nih.gov/ij/>) and x- y- coordinates of the animal's nose

209 was acquired for each video frame (10 frames/sec) using the Manual Tracking plugin.  
 210 Custom Matlab (R2017, The MathWorks, Natick, MA) scripts were designed to smooth  
 211 the tracked swim paths using the runline function from the Chronux toolbox  
 212 ([www.chronux.org](http://www.chronux.org)). The smoothed paths were then analyzed for path length (cm), swim  
 213 speed (cm/sec), platform proximity (cm), and search area. Platform proximity was  
 214 measured by summing the distance between the subject's location and the center of the  
 215 platform location over 1 sec intervals (Gallagher et al., 1993). The distance from the drop  
 216 location to the center of the platform was then subtracted from the total summed  
 217 distances as drop locations were variably distant to the platform. Search area was  
 218 obtained by first dividing the pool surface into a matrix of 50 x 50 bins (each bin = 3cm x  
 219 3cm). Search area was expressed as the proportion of the pool visited by the animal  
 220 (number of visited bins divided by the total number of bins in the matrix).

221 For no-platform probe trials, the pool was divided into four equal quadrants and  
 222 the total dwell time in each quadrant was determined. From these measures, a platform  
 223 preference score was calculated by taking the average difference in dwell time between  
 224 the platform quadrant and the opposite quadrant (Harker and Whishaw, 2002). We also  
 225 calculated an average platform proximity for the probe test by taking an average of the  
 226 distance between the subject's location and the center of the platform location across the  
 227 60 second probe test.

228 Additionally, we performed a detailed swim trajectory analysis to determine the  
 229 number of directed swim movements toward the hidden platform during training (Brody  
 230 and Holtzman, 2006; Garthe et al., 2009; Gehring et al., 2015; Graziano et al., 2003;  
 231 Ruediger et al., 2012; Stone et al., 2011; Wolfer and Lipp, 2000) Briefly, a custom

232 Matlab script automatically segmented whole paths into 200 cm increments that  
 233 overlapped 70% to minimize labelling bias secondary to segment start/end points in order  
 234 to capture the use of various movement types within a given trial (Gehring et al., 2015).  
 235 An expert coder blinded to group manually categorized the path segments into 11  
 236 movement subtypes based on previous work (Garthe et al., 2009; Gehring et al., 2015).  
 237 For clarity of analysis, the movements were further divided into 4 broad categories.  
 238 Figure 4 provides a description of each movement subtype and analysis category. Briefly,  
 239 target-direct movements included trajectories that contained no looping and path lengths  
 240 less than 200cm and were further defined as a direct movement to platform (i.e. direct) or  
 241 meandering/arched movements towards platform (i.e. circuitous-direct). Target-indirect  
 242 movements included circling or searching next to the platform (i.e. target-search), and  
 243 trajectories that passed by platform (i.e. target-scanning). Spatial-indirect movements  
 244 involved patterns indicative of spatial processing but were not directed towards the  
 245 platform location, such as maintaining a swim path set approximately the distance of the  
 246 platform to the wall (i.e. chaining), searching of a focal area in the pool (i.e. focused-  
 247 search), sustained swimming in the center of the pool (scanning), and sweeping swim  
 248 paths traversing pool quadrants (i.e. scanning-surrounding). Non-Spatial movements  
 249 including wall hugging (i.e. thigmotaxis), using the wall to head in a random trajectory  
 250 away from the pool wall (i.e. incursion), and a path that circle over itself (i.e. looping). If  
 251 a segment displayed characteristics of multiple movement types, the path was labeled  
 252 with all applicable types.

253 Lastly, an experimenter blind to group quantified the number of non-spatial  
 254 learning errors during training trials for the hidden platform experiment. As previously

described (Harker and Whishaw, 2002; Saucier et al., 1996; Saucier and Cain, 1995), non-spatial errors included diving behavior (diving below the surface of the water during a trial), floating (the absence of swimming for more than 3 sec), platform deflections (the failure to obtain purchase onto the platform after contact), mounting errors (the failure to climb the platform after 1 sec of contacting the platform), and jumping off the platform. Each error was assigned a score of 1 and a total was obtained by summing the errors (Harker and Whishaw, 2002)

## Statistical Analysis

Statistical analyses were performed using SPSS version 26 (IBM, Armonk, NY) and MATLAB. Mixed model ANOVAs were used to assess differences within or between time points. Between-subject factors consisted of sex (female, male) and genotype (Tg, WT). Outcome measures were evaluated in blocks of four trials (day 1, day 2, day 3, day 4, day 5) or time-point. Log transformations were applied to data that did not meet the assumption of normality. A Greenhouse-Geisser correction was applied to data that did not meet the assumption of sphericity. Two-way ANOVAs were used to compare performance on the cued platform task within a time point using genotype and sex as between subject factors. The results of the detailed path analyses were subjected to Chi square tests. Robust linear regressions using a Tukey's bisquare weight function to minimize the effect of outliers were used to determine the proportion of variance of task performance accounted for by convolved factors (described further in the Results section). Both significant ( $p < .05$ ) and trending non-significant (n.s.) ( $.1 > p > .05$ ) results are reported.

## 278 Results

279 One subject was excluded from the analyses at 10-11 months of age after  
280 developing glaucoma. Furthermore, one subject died during testing at 10-11 months of  
281 age and thus was not included in the analysis below.

282

### 283 Hidden Platform Task

284 *Swim Latency.* Figure 2A displays measures of escape latency for groups at each  
285 time-point. On average, animals in all groups showed a progressive decrease in escape  
286 latency at each age of testing, indicating that subjects could learn the location of the  
287 platform. This observation is supported by a significant day effect for all groups at the  
288 three time-points ( $F_s \geq 15.25$ ,  $p_s \leq .001$ ). While there were no significant genotype or sex  
289 differences at 4-5 and 7-8 months of age, swim duration by Tg rats at 10-11 months of  
290 age was appreciably greater than WT animals. This was confirmed by a significant  
291 genotype difference in escape latency at the final time-point ( $F(1, 23)=5.76$ ,  $p=.025$ ). In  
292 addition, at 10-11 months of age, there was a trend in which Tg females had greater  
293 escape latencies relative to all other groups ( $\leq 8.1s$ ,  $\pm \leq 1.1$  sec;  $F(1, 23)=3.10$ ,  $p=.092$ ).

294 *Path Length.* Measures of path length mirrored that of escape latency in showing  
295 that animals reduced the length of their swims during training at each time-point (Fig.  
296 2B). Again, a mixed ANOVA indicated significant day effects for all groups at the three  
297 time-points ( $F_s \geq 15.01$ ,  $p_s \leq .001$ ). Sex differences in swim length were detected at 4-5  
298 months of age ( $F(1, 24)=4.69$ ,  $p=.040$ ), but not at 7-8 or 10-11 months of age. Although  
299 there were no genotype differences at 4-5 and 7-8 months of age, Tg rats had  
300 significantly longer paths by 10-11 months ( $F(1, 23)=5.18$ ,  $p=.032$ ).

301           *Spatial Distribution of Swim Paths.* The greater path length displayed by Tg rats  
 302   at 10-11 months of age may reflect a pattern of behavior in which movements are  
 303   restricted to specific non-platform locations or distributed over wide areas of the pool. To  
 304   better understand the spatial distribution of swim paths during hidden platform training,  
 305   we analyzed the overall search area and the relative proximity to the platform of swim  
 306   paths during each training trial (Fig. 3). ANOVAs conducted on search area and platform  
 307   proximity indicated a significant day effect at each age ( $F_{s} \geq 30.72$ ,  $p_{s} \leq .001$ ), however  
 308   there was no evidence of a significant genotype or sex difference at 4-5 or 7-8 months of  
 309   age. Nonetheless, Tg rats displayed wider search distances relative to the platform at 10-  
 310   11 months (Fig. 3A). The observation is supported by significant genotype ( $F(1,$   
 311   23)=5.75,  $p=.025$ ) and genotype by day effects ( $F(4, 92)=2.58$ ,  $p=.043$ ). Similarly, Tg  
 312   rats displayed a search area that was wider compared to WT animals at 10-11 months of  
 313   age (Fig. 3B). This difference was confirmed by a significant ANOVA for genotype at  
 314   10-11 months ( $F(1, 23)=4.69$ ,  $p=.041$ ). Collectively, these findings indicate that the swim  
 315   behavior of Tg rats was less confined to the platform region and distributed to wider  
 316   regions of the pool.

317           *Swim Trajectory Analysis.* In well trained control animals, swim trajectories are  
 318   typically composed of movements characterized by direct paths beginning at the start  
 319   point and ending at the platform location. The findings summarized in the sections above  
 320   suggest that 10-11-month-old Tg rats may express fewer of these directed swims. To  
 321   address this possibility, we classified swim paths into 11 movement categories (Fig. 4).  
 322   These swim movements were then merged into 4 categories based on their directedness  
 323   to the platform (target-direct), a lack of directedness but proximity to the platform (target-

indirect), or a tendency to organize movements in non-platform locations (spatial-indirect), or in a random search (non-spatial).

Figure 5 and 6 show the proportion of each movement category for groups across the three experimental ages and testing days. As expected, both Tg and WT rats displayed an increasing number of direct trajectories as a function of training day at each age (Fig. 6A). However, the overall proportion of target-direct trajectories by Tg rats was significantly lower at 10-11 months of age ( $\chi^2(1) = 12.43, p < .001$ ). Interestingly, the proportion of direct trajectories by female Tg rats was appreciably lower compared to all other groups ( $\chi^2s \geq 5.96, ps \leq .014$ ). Additionally, inspection of target-direct trajectories at earlier ages suggest that Tg rats made fewer of these movements relative to WT animals. Indeed, group comparisons reached significance at 7-8 months of age ( $\chi^2(1) = 5.82, p = .015$ ), and although group differences were not significantly different at 4-5 months of age, Tg females made fewer target-direct trajectories compared to WT females ( $\chi^2(1) = 4.07, p = .043$ ). Together, these results support the conclusion that Tg rats make less directionally precise movements at 7-8 and 10-11 months of age, with a significantly lower proportion of these movements by female Tg rats.

The reduced frequency of target-direct paths by Tg rats suggest that other movements, including those directed near the platform (target-indirect) or in other non-platform locations (spatial-indirect and non-spatial), may be favored by Tg animals (Fig. 6B-D). Consistent with this hypothesis, Tg rats were found to express a greater proportion of target-indirect movements at 10-11 months of age ( $\chi^2(1) = 5.93, p = .015$ ). Furthermore, with respect to target-indirect movements, there was a clear sex difference with Tg males showing a significantly greater proportion of these paths relative to all

other groups ( $X^2s \geq 6.75$ ,  $ps \leq .009$ ). Interestingly, this finding was apparent at 4-5 months, however; at that age Tg females had a greater proportion of target-indirect paths relative to all other groups ( $X^2s \geq 6.82$ ,  $ps \leq .009$ ). Additionally, Tg rats performed a greater number of spatial-indirect trajectories ( $X^2(1) = 14.31$ ,  $p < .001$ ) and fewer non-spatial movements at 10-11 months ( $X^2(1) = 14.61$ ,  $p \leq .001$ ). In sum, the reduced frequency of direct trajectories by Tg rats at 10-11 months of age corresponds with an increase in spatially restricted swim paths near the platform location (target-indirect) or in other pool locations (spatial-indirect).

*Convolution Analysis.* Collectively, the results described in the previous sections indicate that while general performance measures decreased across testing days, group differences were detected at 10-11 months of age. Tg rats expressed fewer direct trajectories toward the platform location at 10-11 months, and at earlier testing ages, suggesting that they utilized other movements to navigate to the platform locations. In other words, it is possible that repeated spatial training and procedural learning may have allowed Tg animals to utilize less-direct strategies that result in similar performance on standard measures. For example, an animal may become better at estimating the distance of the platform to the pool wall (i.e. chaining) over time. Thus, this movement may result in progressively shorter paths to the platform.

Given Tg rats perform a lower proportion of directed movements (either target-direct or target-indirect movements) at 10-11 months, we tested the hypothesis that Tg rat performance is more highly explained by becoming more efficient at non-direct movements rather than switching between non-direct to direct movement categories. To evaluate this hypothesis we utilized a convolution analysis (Brody and Holtzman, 2006;



Garthe et al., 2009), which determines whether a change in the frequency of movement subtypes, or a change in the efficiency of swim movements, predicts trial path length (Fig. 7). First, an estimate of the frequency of each movement was calculated by dividing the number of movements in each category by the total number of path segments (Fig. 7B). Second, we estimated the efficiency of a given movement by multiplying the frequency of that movement during a trial by the trial path length. Thus, efficiency scores for a given movement subtype reflects the contribution by that movement to trial path length. Two models allowed us to assess the power of changes in efficiency or changes in frequency of movement subtypes in predicting trial path length. Changes in efficiency ( $\Delta\text{Eff}$ ) scores were derived by keeping frequency constant across trials whereas changes in frequency ( $\Delta\text{Freq}$ ) scores were derived by keeping efficiency constant across trials (Fig 7C). Overall values for efficiency (i.e. average efficiency across all 20 trials) and frequency (i.e. frequency of each strategy across all 20 trials) were used as constants. Predicted path length therefore reflects the contribution of the non-constant factor on the trial path length (Fig 7D).

Figure 8 summarizes the regression results which indicate that switching between movement categories and becoming efficient at swim movements is significantly predictive of trial path length for all animals. However, switching between movements takes up 27% less of the variance for Tg males and 16% less of the variance for Tg females relative to WT males and WT females, respectively (Fig. 9). Interestingly, Tg male and females exhibited differences in the predictive power of each factor. Specifically, at 10-11 months, switching between movements is a stronger predictor of performance for Tg males ( $\beta=4.12$ ,  $t(18)=4.406$ ,  $p<.001$ ) relative to Tg females ( $\beta=1.77$ ,

393  $t(18)=4.36, p<.001$ ). Furthermore, although changes in efficiency take up a larger  
 394 proportion of the variance for task performance in Tg females relative to Tg males (67%  
 395 versus 45%, respectively), changes in efficiency hold less predictive weight for Tg  
 396 females ( $\beta=.993, t(18)= 5.72, p<.001$ ) relative to Tg males ( $\beta=1.80, t(18)= 3.67, p<.001$ ).  
 397 Overall, these results indicate that the performance of Tg animals is less associated with  
 398 switching between movement categories than WT animals, supporting our hypothesis  
 399 that Tg animals are more likely to improve the efficiency of their movements than  
 400 switch to more direct trajectories.

401 *Swim speed and Non-Spatial Errors.* To determine whether the impairments  
 402 described above might be influenced by deficits in acquiring the task procedures and  
 403 sensorimotor behavior, we acquired measurements of swim speed during each training  
 404 trial (Fig. S1). On average, swim speeds increased as a function of test day within each  
 405 time-point ( $F_s \geq 4.20, p_s \leq .02$ ). In addition, female rats exhibited faster swim speeds  
 406 compared male rats—an observation that was consistent at each time-point ( $F_s \geq 15.67,$   
 407  $p_s \leq .001$ ). Nevertheless, there were no significant transgenic differences as Tg and WT  
 408 animals demonstrated similar swim speeds at 10-11 months of age.

409 We also analyzed the number of non-spatial errors per rat at each age point. The  
 410 number of errors were summed across days to produce a non-spatial error score (Harker  
 411 and Whishaw, 2002). Overall, animals in both groups displayed near zero non-spatial  
 412 error scores at each age of testing (Fig. S2). By 10-11 months of age, only half of the  
 413 animals in each group expressed 1 or 2 errors over the 5 days of hidden platform testing,  
 414 indicating an absence of procedural learning deficits in the Tg group ( $X^2(2)= 7.54,$

415  $p=.37$ ). Thus, given the absence of clear group differences in non-spatial behaviors, it is  
416 unlikely that procedural errors contributed to the deficits described in the sections above.

417

#### 418 **No-Platform Probe Test**

419 At each testing age, a no-platform probe test was conducted 24 hours after the  
420 final day 5 training trial. Figure 10A shows heat maps representing the dwell time in each  
421 location of the pool collapsed across animals in each of the Tg and WT groups. The heat  
422 maps suggest that rats from each group organized their movements around the trained  
423 platform location and spent a disproportionate amount of time near this region. To  
424 determine whether the preference for the platform region is expressed for the full  
425 duration of the probe session, we divided the analysis into four 15 second bins (Fig. 10B).  
426 At each test age, measures of average proximity increased as a function of time bin,  
427 suggesting that animals made fewer swims near the platform location by the end of each  
428 probe session ( $F_s \geq 3.30$ ,  $p_s \leq .025$ ). However, measures of target preference score  
429 indicated significant differences at 7-8 months of age only, whereby all animals had  
430 significantly lower preference for the platform quadrant in the last 15s versus all other  
431 time bins ( $F(3,72)=3.132$ ,  $p=.031$ ). Neither tests of proximity nor preference score  
432 revealed significant Tg and sex differences or interaction effects at each test age,  
433 indicating that groups displayed an equivalent search preference for the platform location  
434 by the end of training.

435

#### 436 **Morris Water Task - Cued Platform**

437 In the cued platform task, Tg and WT rats showed similar performance at each

438 testing age (Fig. S3). Mixed ANOVAs conducted on escape latencies at each time-point  
 439 indicated all animals had decreased escape latencies in the second trial block versus the  
 440 first trial block at 4-5 months and 7-5 months ( $F_s \geq 4.46$ ,  $p_s \leq 0.045$ ), but only trending  
 441 differences were observed at 10-11 months ( $F(1,23)=3.81$ ,  $p=.063$ ). Importantly, there  
 442 were no group differences detected between Tg and WT groups at the three test ages.  
 443 Further, there were no main effects of sex at each testing age. At 4-5 months of age, there  
 444 was a significant sex by block effect, whereby female animals were slightly slower to  
 445 reach the platform relative to male animals in the first trial block. Furthermore, Tg  
 446 females had slightly elevated latencies to reach the platform relative to Tg males, though  
 447 this genotype by sex effect only trended towards significance ( $F(1,24)=2.97$ ,  $p=.098$ ).

## 448 Discussion

449 The primary conclusion of the present study is that clear spatial navigation  
 450 impairments by TgF344-AD rats were identified at 10-11 months of age. Specifically,  
 451 TgF344-AD rats demonstrated increased escape latencies and path lengths, and they  
 452 searched a wider area of the pool and were less precise in their search for the platform  
 453 location (Figs 2 and 3). In addition, by 10-11 months of age, the directionality of their  
 454 trajectories to the platform (Fig. 5 and 6) and switching from less direct to more direct  
 455 trajectory types was attenuated in Tg rats (Fig. 8 and 9). While navigation impairments  
 456 were detected during training in the hidden platform task, a 60 second no-platform probe  
 457 test conducted on the 6<sup>th</sup> day indicated that both Tg and WT groups displayed a similar  
 458 preference for the platform quadrant (Fig. 10). This pattern of impairments at 10-11  
 459 months supports the conclusion that although Tg animals are impaired at executing an

460 optimal trajectory and search pattern near the hidden platform region, Tg rats can express  
461 a preference for that location by the end of the experiment.

462         The deficits reported in the present study were observed in the absence of group  
463 differences in sensorimotor or procedural learning. Several analyses support this  
464 conclusion: first, measures of swim speed failed to indicate significant differences  
465 between Tg and WT groups at any age of testing. This observation is also consistent with  
466 recent work (Rorabaugh et al., 2017). Secondly, measures of non-spatial errors at each  
467 age of testing failed to indicate Tg and WT differences. Lastly, Tg and WT animals  
468 performed similarly on the cued platform task at each test age, indicating that Tg animals  
469 could learn to navigate by approaching a cue directly marking the goal. These findings  
470 strongly suggest that Tg and WT animals were equivalently capable of acquiring the non-  
471 spatial demands of the Morris water task.

472         The results of the present study offer some clarification regarding the time-course  
473 of spatial navigation impairment observed in this model. Only a small number of studies  
474 have investigated navigation in early stages of pathogenesis in the TgF344-AD model,  
475 with one study demonstrating clear deficits in the Morris water task at 6 months of age  
476 (Rorabaugh et al., 2017), and others showing largely intact navigation between 4 and 6  
477 months (Cohen et al., 2013; Pentkowski et al., 2017). While the clearest navigation  
478 deficits were detected much later at 10-11 months of age in the present study, our results  
479 are also consistent with previous work in showing that Tg rats displayed a significant  
480 decrease in the directedness of their swim trajectories by 7 months of age. Notably, Tg  
481 and WT animals were equivalent in standard measures of water task performance at 7-8  
482 months of age (i.e., escape latency and path length). One possible explanation for the

latter finding is that repeated spatial training and procedural learning may have allowed Tg animals to utilize strategies that result in similar performance on standard measures. Indeed, a convolution analysis indicated that Tg rats get better at less direct movements to find the platform than switching to more direct movements. Finally, it is important to note that previous studies report that Tg rats tend to have greater spatial difficulties in manipulations involving “reversal” tests in which the goal is moved to a novel location. Because Tg rats display intact navigation in standard tests at this age range (Cohen et al., 2013; Pentkowski et al., 2017; Rorabaugh et al., 2017), it is possible that reversal impairments may reflect the increasing demands on behavioral flexibility rather than navigation *per se*. This possibility should be explored in future work.

Overall, the spatial impairment in TgF344-AD rats found here also closely correspond to those observed in individuals with preclinical or prodromal AD (Guariglia and Nitrini, 2009; Pai and Jacobs, 2004). Although platform memory remained intact across all time points, Tg subjects exhibited less accurate trajectories and platform search patterns indicative of allocentric navigation impairments. In contrast, the intact cued platform navigation is suggestive of intact egocentric navigation. This pattern of impaired allocentric, and intact egocentric navigation, is consistent with impairments observed in human subjects with early AD (Serino et al., 2014). Thus, it is likely the preclinical phase of TgF344-AD rats lies prior to 10 months, and greater spatial navigation and memory impairment would be observed later. Prior characterization of pathological markers of AD in TgF344-AD rats supports this notion. Specifically, TgF344-AD rats develop neuropathic changes and entorhinal-to-hippocampus synaptic changes as early as 6 months of age (Cohen et al., 2013; Smith and McMahon, 2018) and neurovascular

dysfunction and CA3-to-CA1 synaptic changes at 9 months (Joo et al., 2017; Smith and McMahon, 2018), while significant amyloid plaques, inflammation, tauopathy and cell loss occurs at 16 months. Thus, the navigation differences observed at 10-11 months in TgF344-AD males could be considered a putative MCI phase, though further characterization of pathology and behavior is needed.

Although there were no clear differences between male and female Tg rats on measures of path length, platform proximity, or search area, we did observe a trend for greater escape latency at 10-11 months of age. Additionally, our detailed path analyses indicated that female Tg rats performed significantly fewer direct trajectories toward the hidden platform at 10-11 months of age. Interestingly, performance of direct paths by female Tg rats was similar at 7-8 and 10-11 months of age, suggesting a potential 7-month onset of subtle changes in swim path trajectory. Male Tg rats demonstrated a slightly decreased, yet apparent impairment, in direct navigation at 10-11 months. Thus, the attenuated directional deficits found in male Tg rats relative to female Tg rats may reflect sex-specific progression profiles like that found in various models of AD-like pathology (Clinton et al., 2007; Granger et al., 2016; King et al., 1999; Melnikova et al., 2016), and is consistent with human studies in which the prevalence and rate of conversion to AD is higher in females (Gao et al., 1998; Mielke et al., 2014; Pike, 2017). Finally, our observations suggest that detailed path analyses might have greater sensitivity at detecting group differences than general performance measures.

The hippocampus has been a strong focus in AD research, despite various other limbic circuit structural involvement in AD (Aggleton et al., 2016). Cohen and colleagues (2013) identified inflammation and initial tau changes at 6 months of age in the

529 hippocampus, and recent work has shown that this early expression of pathology also  
 530 impacts the medial entorhinal cortex and entorhinal-hippocampal synaptic plasticity  
 531 (Rorabaugh et al., 2017; Smith and McMahon, 2018). This coincides with the reported  
 532 early incidence of pathology in humans associated with the entorhinal cortex (Braak and  
 533 Braak, 1995), but fails to address whether TgF344-AD pathology emerges in other limbic  
 534 regions such as the anterior thalamic nuclei or retrosplenial cortex. This is particularly  
 535 important given cell types coding for head direction are found in both regions (Clark and  
 536 Taube, 2012; Taube, 2007), and damage to both regions can produce deficits in the  
 537 directional accuracy of navigation (Clark and Harvey, 2016; Harvey et al., 2017; Vann et  
 538 al., 2009). Whether TgF344-AD rats exhibit AD pathology and disrupted spatial  
 539 signaling in limbic-thalamic and limbic-cortical regions at early stages of development is  
 540 unknown and warrants investigation.

541

## 542 **Conclusions**

543       The present study found that TgF344-AD rats express clear navigation  
 544 impairments at 10-11 months of age. A detailed path analysis indicated that subtle  
 545 deficits in the directedness of trajectories to the hidden platform can be detected at earlier  
 546 ages and can be sensitive to sex differences. The latter observations may underlie the  
 547 subtle differences between Tg and WT rats that were found using classic measures of  
 548 water maze (escape latency, path length, search proximity and search area) at these ages,  
 549 and are likely not due to factors associated with non-spatial task demands. Furthermore,  
 550 spatial memory was intact for all animals across all ages indicating that developing  
 551 deficits observed at 10-11 months in Tg animals may be indicative of an MCI stage of



disease progression. Future work should identify whether the observed navigational impairments in this model map onto brain regions involved in directional computation, such as the anterior thalamus or retrosplenial cortex. Overall, the TgF344-AD rat model provides substantial promise for elucidating neurobiological mechanisms of spatial disorientation in AD.

557

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562

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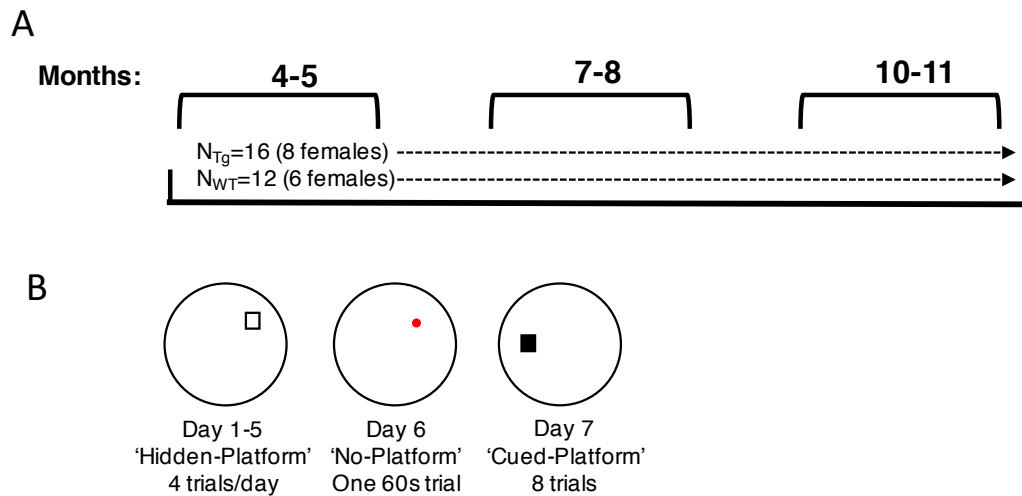
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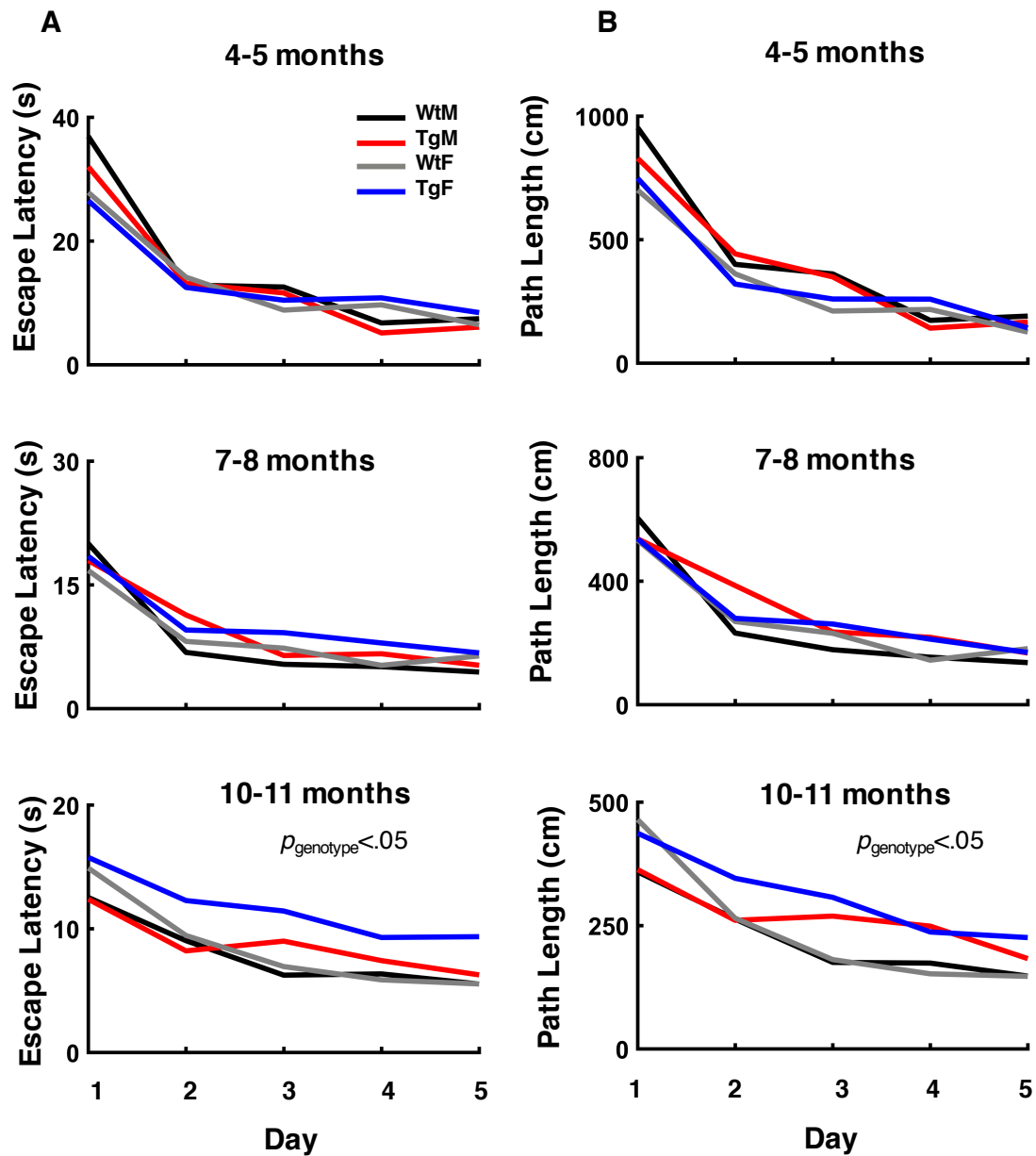
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**Figure 1.** Study Time line. (A) TgF344-AD (n=16) and wild-type F344 rats (n=12) underwent testing at three age points (shown in months). (B) The temporal order of testing consisted of 5 days of training followed by a no-platform probe trial and finally a cued-platform test on day 7. White square=hidden platform, red circle=prior platform area, black square=cued-platform.

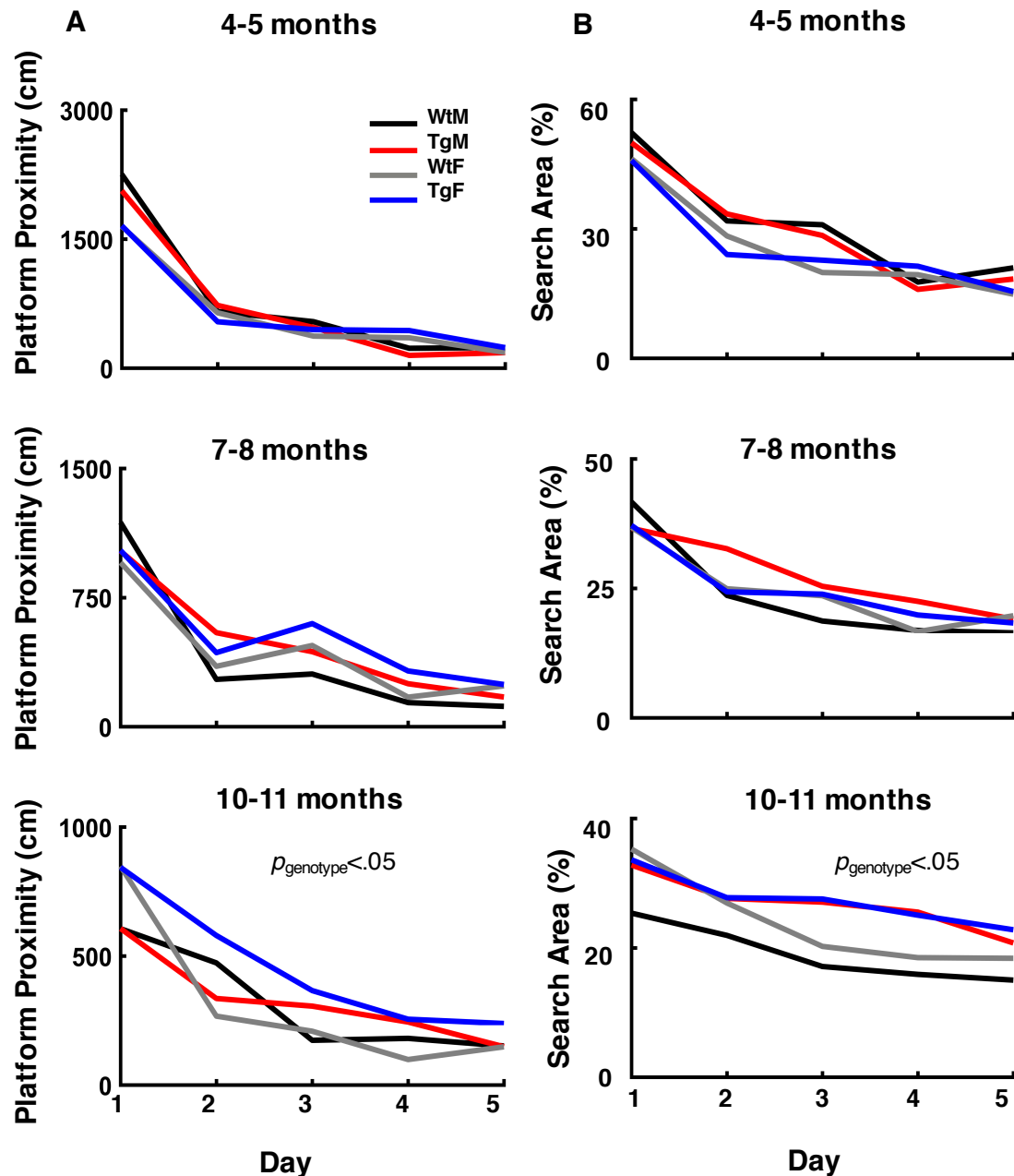
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**Figure 2.** TgF344-AD rats took more time to reach the platform and had longer path lengths during hidden-platform training compared to WT controls starting at 10-11 months of age. (A) Mean escape Latency in seconds plotted over days at all age points. Main effect of genotype observed at 10-11months, ( $p < .05$ , Mixed ANOVA). (B) Mean path length in centimeters plotted over days at all age points. Main effect of genotype observed at 10-11months, ( $p < .05$ , Mixed ANOVA). Groups distinguished by color: black=Wild Type males (WtM), red=Transgenic males (TgM), gray=Wild Type females (WtF), blue=Transgenic females (TgF).

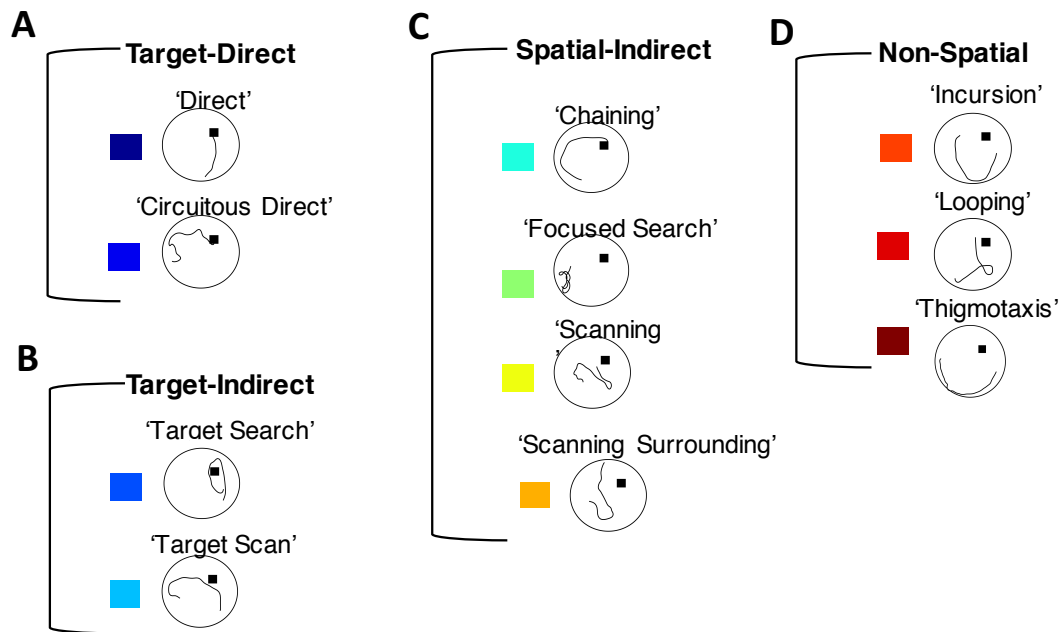
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**Figure 3.** During hidden-platform training, TgF344-AD rats swam further from the platform and swam a larger proportion of the pool area relative to WT controls starting at 10-11 months of age. (A) Mean platform proximity in centimeters plotted over days at all age points. Main effect of genotype observed at 10-11months, ( $p < .05$ , Mixed ANOVA). (B) Percentage of search area explored plotted over days at all age points. Main effect of genotype observed at 10-11months, ( $p < .05$ , Mixed ANOVA). Groups distinguished by color: black=Wild Type males (WtM), red=Transgenic males (TgM), gray=Wild Type females (WtF), blue=Transgenic females (TgF).

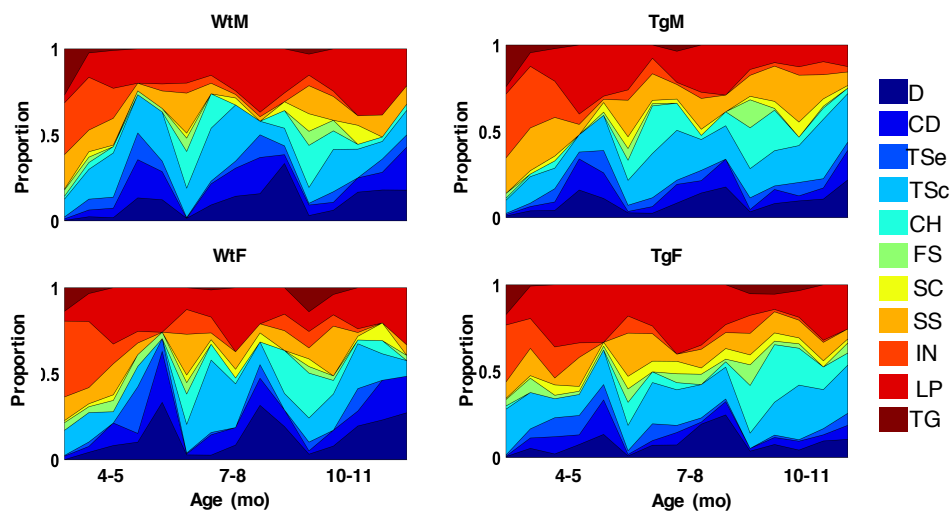
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**Figure 4.** Representative examples of individual swim segments. (A) Target-direct (platform found within first segment). (B) Target-indirect (area around platform searched). (C) Spatial-indirect (spatial components not directed at platform). (D) Non-spatial (limited spatial components). See *Methods* for operational definitions of individual strategies.

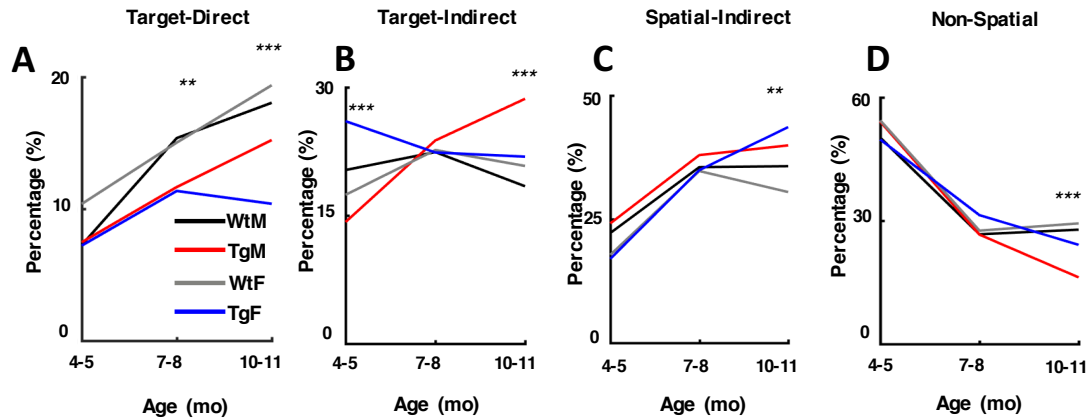
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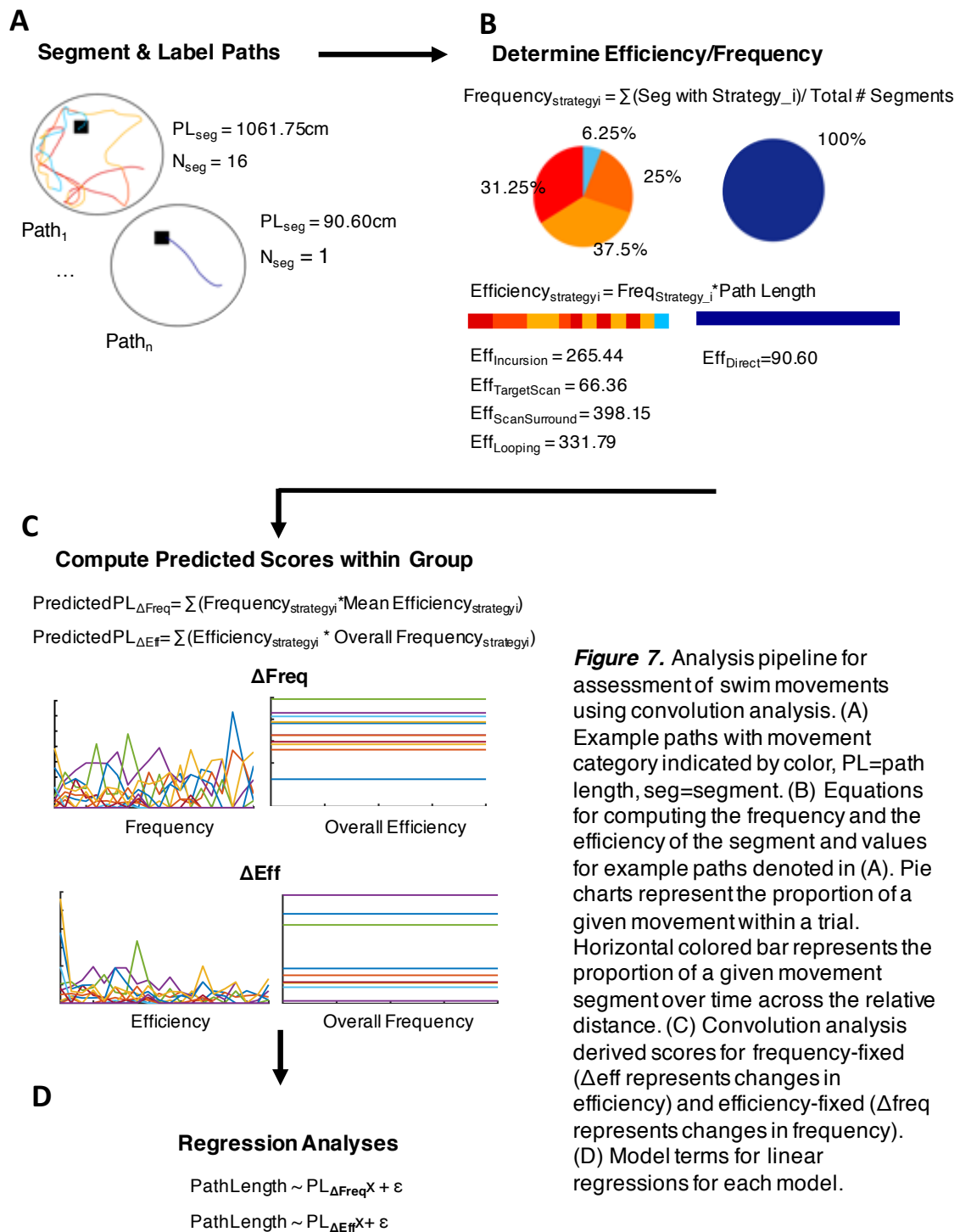
**Figure 5.** Relative proportion of swim strategies over days at each age of testing. Area plots showing the proportion of all strategies across days and age points. Colors indicate strategies, D=Direct, CD=Circuitous Direct, TSe=Target Search, TSc=Target Scan, CH=Chaining, FS=Focused Search, SC=Scanning, SS=Scanning Surroundings, IN=Incursion, LP=Looping, TG=Thigmotaxis. Note that Tg animals show fewer direct (D) and circuitous-direct (CD) compared to WT at 7-8 months and 10-11 months of age.

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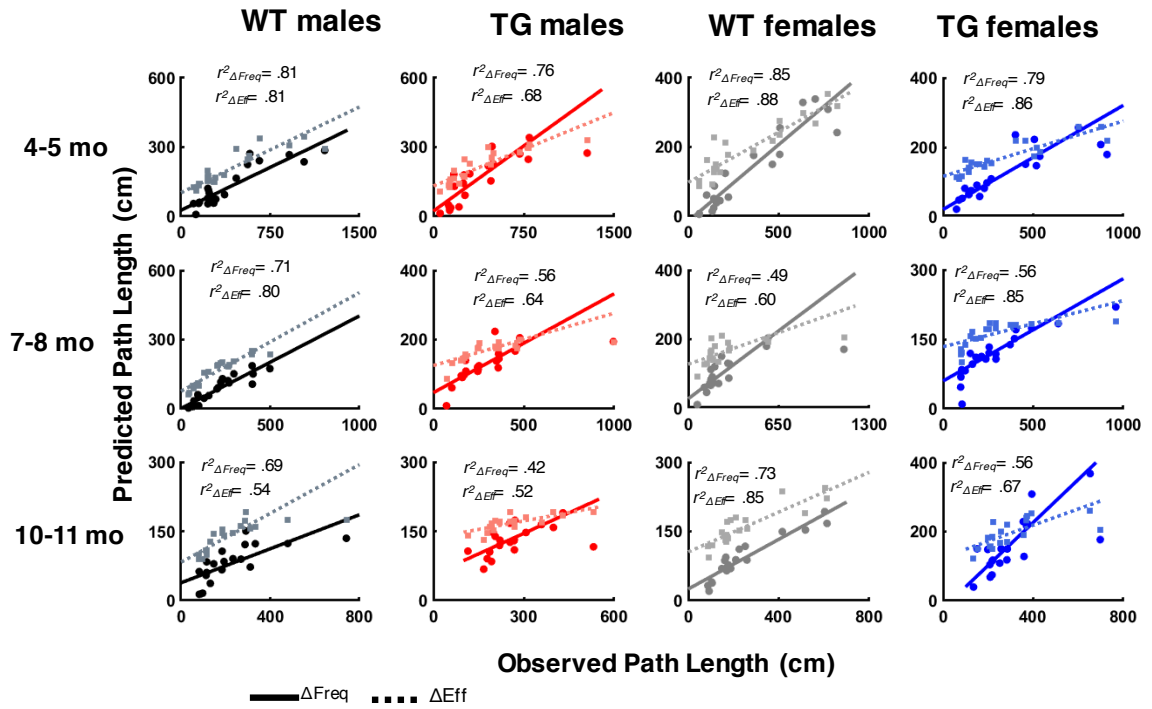
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**Figure 6.** Line plots indicating the percentage of Target-Direct (A), Target-Indirect (B), Spatial-Indirect (C) and Non-Spatial (D) categories across ages. Note that Tg animals show a lower percentage of Target-Direct strategies starting at 7-8mo of age. Tg Males have a significantly higher proportion of Target-Indirect strategies at 10-11mo while Tg females use Spatial-Indirect strategies to a greater extent than WT females at 10-11mo. Tg animals show less Non-Spatial swim strategies compared to WT at 10-11 months (\* $p < .05$ ; \*\* $p < .01$ ; \*\*\* $p < .001$ ).



**Figure 7.** Analysis pipeline for assessment of swim movements using convolution analysis. (A) Example paths with movement category indicated by color, PL=path length, seg=segment. (B) Equations for computing the frequency and the efficiency of the segment and values for example paths denoted in (A). Pie charts represent the proportion of a given movement within a trial. Horizontal colored bar represents the proportion of a given movement segment over time across the relative distance. (C) Convolution analysis derived scores for frequency-fixed (Δeff represents changes in efficiency) and efficiency-fixed (Δfreq represents changes in frequency). (D) Model terms for linear regressions for each model.

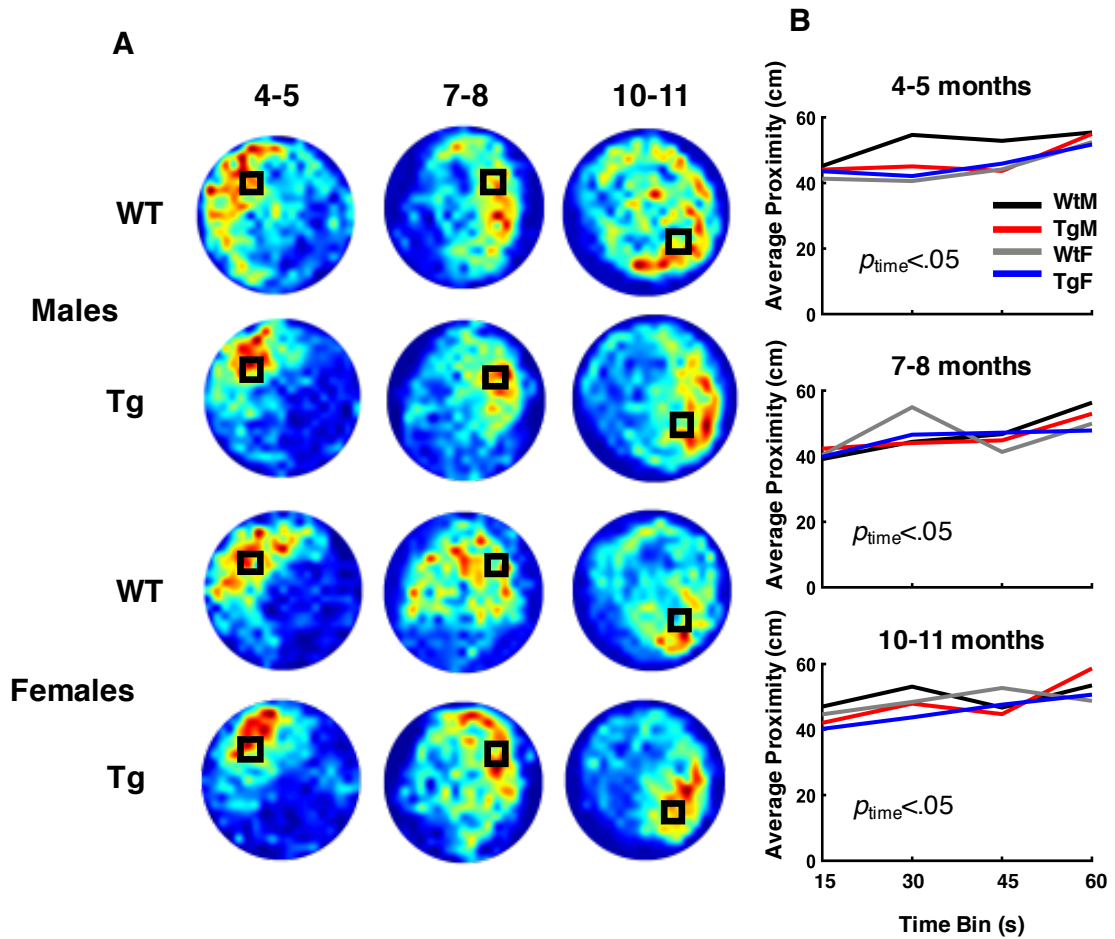


**Figure 8.** Scatter plots of derived predicted path length (cm) and observed path length (cm). Changing between movements (solid line) and their efficiency (dashed line) was significantly predictive of performance for all animals at each age of testing.

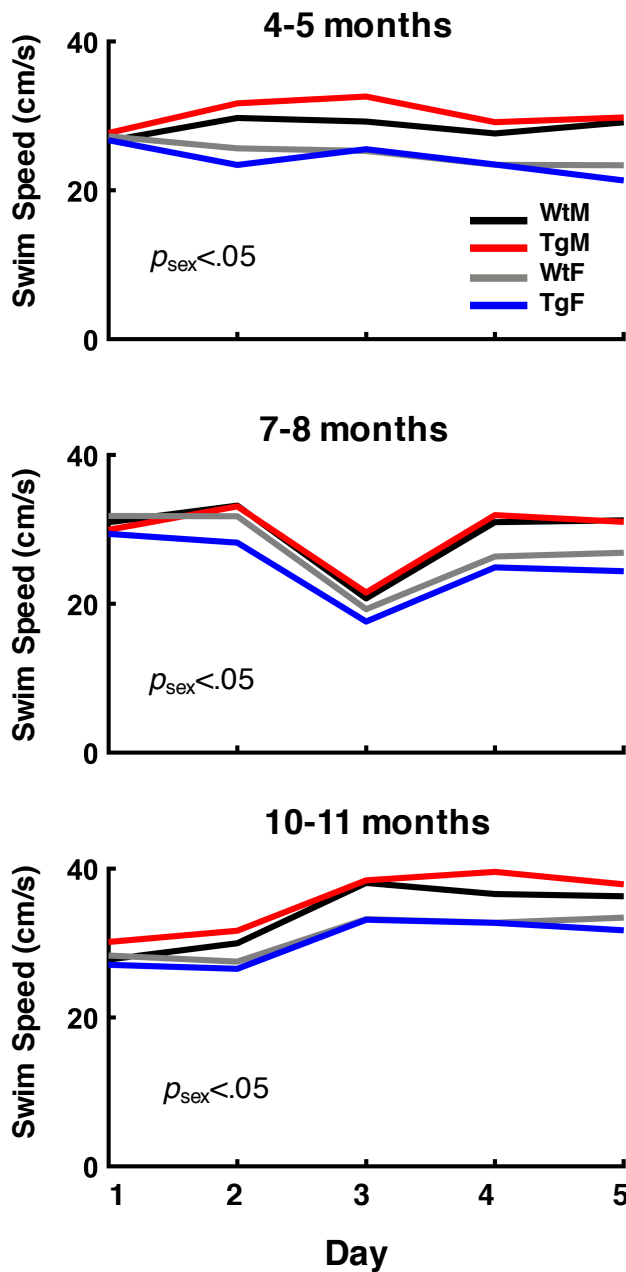
		4-5mo			7-8mo			10-11mo		
Model	Model	$\beta$	$p$	$r^2$	$\beta$	$p$	$r^2$	$\beta$	$p$	$r^2$
$\Delta_{\text{eff}}$	WT Males	2.24	***	.81	1.97	***	.80	1.86	***	.54
	TG Males	1.96	***	.68	2.24	***	.64	1.80	**	.45
	WT Females	2.99	***	.86	1.37	**	.60	2.75	***	.85
	TG Females	1.93	***	.89	3.57	***	.85	.993	***	.67
$\Delta_{\text{freq}}$	WT Males	3.21	***	.82	2.02	***	.71	2.32	***	.69
	TG Males	3.16	***	.77	3.77	***	.56	4.12	***	.52
	WT Females	2.95	***	.85	1.40	n.s	.49	3.01	***	.74
	TG Females	4.79	***	.79	3.66	***	.56	1.76	***	.56

**Figure 9.** Linear regressions indicate dynamic patterns of swim movements across testing age.  $\Delta_{\text{eff}}$ = regression model of predicted score computed by allowing efficiency to modulate over trials (i.e. frequency-fixed).  $\Delta_{\text{freq}}$  = regression model of predicted scores computed allowing frequency to modulate over trials (i.e. efficiency-fixed). \*\* $p < .01$ , \*\*\* $p < .001$ .

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**Figure 10.** All groups exhibited similar preference for the platform location during the probe trial. (A) Heat maps represent weighted occupancy across entire 60s trial. Hot colors indicate longer dwell times. The platform area is denoted with a black square. (B) Average proximity away from the platform in centimeters is plotted across 15s time bins. All groups demonstrated similar average proximity within each time bin, though proximity to the platform increased as function of time ( $p < .05$ , Mixed ANOVA).



**Figure S1.** Average swim speed (cm/s) is plotted for each age of testing. Groups distinguished by color: black=Wild Type males (WtM), red=Transgenic males (TgM), gray=Wild Type females (WtF), blue=Transgenic females (TgF). Note that males consistently swam faster than females across all age points ( $p_{\text{sex}} < .05$ , ANOVAs).

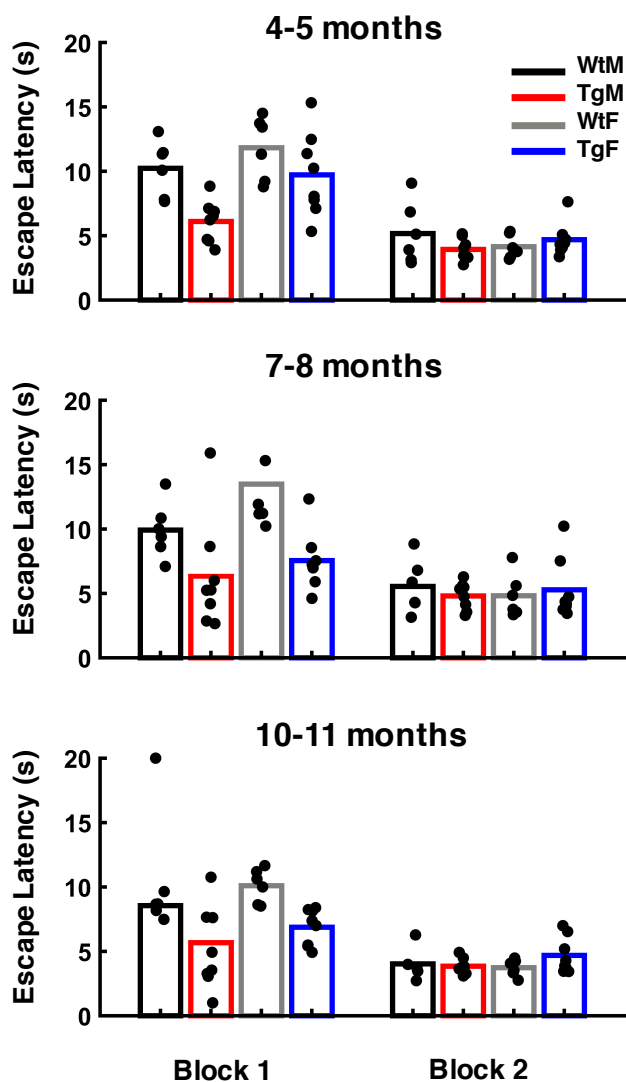
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	4-5mo		7-8mo		10-11mo	
	<i>Mean</i>	<i>SEM</i>	<i>Mean</i>	<i>SEM</i>	<i>Mean</i>	<i>SEM</i>
WT Males	.030	±.002	.050	±.003	.070	±.001
Tg+/- Males	.081	±.002	.043	±.001	.081	±.002
WT Females	.100	±.001	.083	±.004	.042	±.002
Tg+/- Females	.044	±.002	.025	±.001	.070	±.002

**Figure S2.** Table showing the means and SEM on non-spatial errors at each age of testing

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**Figure S3.** Mean escape latency (s) across trial blocks (set of 4 trials per block) during cued task was not different between groups at each age of testing. Mean latencies are plotted for each animal (black circles) to show distribution. Groups distinguished by color: black=Wild Type males (WtM), red=Transgenic males (TgM), gray=Wild Type females (WtF), blue=Transgenic females (TgF).

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