

Mesolimbic dopamine projections mediate cue-motivated reward seeking but not reward retrieval

Briac Halbout^{1*}, Andrew T. Marshall¹, Ali Azimi¹, Mimi Liljeholm², Stephen V. Mahler³, Kate M. Wassum⁴, Sean B. Ostlund^{1*}

1. *Department of Anesthesiology and Perioperative Care and Irvine Center for Addiction Neuroscience, University of California, Irvine, CA, USA*
2. *Department of Cognitive Sciences, University of California, Irvine, CA, USA*
3. *Department of Neurobiology & Behavior and Irvine Center for Addiction Neuroscience, University of California, Irvine, CA, USA*
4. *Department of Psychology and Brain Research Institute University of California, Los Angeles, CA, USA.*

Corresponding authors (*):

Briac Halbout
University of California, Irvine
837 Health Science Road, 2216 GNRF
Irvine, CA 92697
Email: halboutb@uci.edu
Tel: 949-824-9826

Sean Ostlund
University of California, Irvine
837 Health Science Road, 3111GNRF
Irvine, CA 92697
Email: sostlund@uci.edu
Tel: 949-824-1347

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Abstract

Efficient foraging requires an ability to coordinate discrete reward-seeking and reward-retrieval behaviors. We used pathway-specific chemogenetic inhibition to investigate how mesolimbic and mesocortical dopamine circuits contribute to the expression and modulation of reward seeking and retrieval. Inhibiting ventral tegmental area dopamine neurons disrupted the tendency for reward-paired cues to motivate reward seeking, but spared their ability to increase attempts to retrieve reward. Similar effects were produced by inhibiting dopamine inputs to nucleus accumbens, but not medial prefrontal cortex. Inhibiting dopamine neurons spared the suppressive effect of reward devaluation on reward seeking, an assay of goal-directed behavior. Attempts to retrieve reward persisted after devaluation, indicating they were habitually performed as part of a fixed action sequence. Our findings show that complete bouts of reward seeking and retrieval are behaviorally and neurally dissociable from bouts of reward seeking without retrieval. This dichotomy may prove useful for uncovering mechanisms of maladaptive behavior.

1 Foraging and other reward-motivated behaviors tend to unfold as a sequence of
2 actions, beginning with a *reward-seeking* phase and ending with an attempt to retrieve
3 and consume any rewards produced by this activity. Coordinating the discrete reward-
4 seeking and reward-retrieval behaviors that make up these action sequences is
5 important for efficient foraging. When rewards are sparse or otherwise difficult to obtain,
6 attempts to retrieve them are often unnecessary and should therefore be withheld to
7 conserve energy and minimize opportunity costs (Stephens and Krebs, 1986; Niv et al.,
8 2007). Consistent with this, studies on self-paced instrumental behavior show that the
9 ability to efficiently pattern reward-seeking and -retrieval responses based on task
10 demands (e.g., reinforcement schedule) can strongly impact the rate at which rewards
11 are obtained (Ostlund et al., 2012; Wassum et al., 2012; Matamales et al., 2017).
12 However, such behaviors must remain sensitive to changes in internal and external
13 states. For instance, environmental cues that signal reward availability increase
14 attempts to seek out (Estes, 1948; Corbit and Balleine, 2016) and retrieve reward
15 (Marshall and Ostlund, 2018). While the ability to develop and modify action sequences
16 is normally adaptive, this process may become dysregulated in certain conditions, such
17 as obsessive-compulsive disorder (Joel and Avisar, 2001; Korff and Harvey, 2006;
18 Frederick and Cocuzzo, 2017) and drug addiction (Tiffany, 1990; Graybiel, 2008;
19 Volkow et al., 2013), leading to maladaptive behaviors. Despite this, the behavioral and
20 neural mechanisms responsible for regulating reward seeking and retrieval are not well
21 understood.

22 Previous studies strongly implicate dopamine in learning new action sequences
23 (Graybiel, 1998; Jin and Costa, 2015). While other findings suggest that dopamine is

24 not as important for the expression of well-established action sequences (Levesque et
25 al., 2007; Wassum et al., 2012), it remains possible that dopamine contributes to action
26 sequence performance when changes in task conditions prompt a reorganization of
27 reward seeking and retrieval. For instance, previous studies indicate that the tendency
28 for reward-paired cues to motivate reward-seeking behavior critically depends on
29 dopamine signaling (Dickinson et al., 2000; Ostlund and Maidment, 2011; Wassum et
30 al., 2011), particularly in the nucleus accumbens (NAc)(Wyvell and Berridge, 2000; Lex
31 and Hauber, 2008; Wassum et al., 2013; Ostlund et al., 2014; Aitken et al., 2016).
32 Interestingly, we recently found that such cues do not simply provoke reward-seeking
33 behavior (e.g., lever pressing), they also increase the likelihood that such behavior will
34 be followed by an attempt to retrieve reward (e.g., food-cup approach)(Marshall and
35 Ostlund, 2018). Although this finding suggests that reward-paired cues preferentially
36 motivate complete bouts of reward seeking and retrieval, it has yet to be established if
37 this modulation of action sequence performance depends on dopamine.

38 Dopamine may also contribute to regulating attempts to seek out and retrieve a
39 reward when the value of that reward changes. Self-paced, instrumental reward-seeking
40 actions are normally performed in a goal-directed manner, such that they are sensitive
41 to changes in reward value (Balleine and Dickinson, 1998). However, they can develop
42 into inflexible stimulus-response habits with extended training (Dickinson, 1985;
43 Dickinson et al., 1995). In contrast, it is not well understood how changes in reward
44 value modulate attempts to retrieve rewards produced through instrumental reward-
45 seeking behavior. For example, it has been suggested that rats' tendency to approach
46 the food cup after lever pressing may represent a discrete goal-directed action – one

47 that is selected independently of the initial decision to press the lever (Rescorla, 1964).
48 Alternatively, rats may concatenate the press-approach sequence to form an *action*
49 *chunk*, which can then be selected and deployed as a single unit of behavior (Lashley,
50 1951; Graybiel, 1998; Jin and Costa, 2015). Action chunks are thought to represent a
51 special form of habit, or behavioral chain, in which each element of the chain
52 automatically elicits the next response. This allows for efficient action sequencing but
53 comes with a decrease in behavioral flexibility. Once an action chunk has been initiated,
54 it should be automatically completed without further consideration of reward value
55 (Dezfouli et al., 2014; Smith and Graybiel, 2016).

56 In the current study, we applied a chemogenetic approach to investigate the role
57 of the mesocorticolimbic dopamine system in action sequence performance in rats. We
58 used a combination of well-established behavioral assays and novel microstructural
59 analyses to selectively probe the influence of reward-paired cues and expected reward
60 value on the regulation of reward-seeking and -retrieval responses. We found that
61 inhibiting dopamine neurons in the ventral tegmental area (VTA) or their inputs to the
62 NAc, but not the medial prefrontal cortex (mPFC), reversibly disrupted cue-motivated
63 reward seeking, but spared the tendency for reward-paired cues to trigger complete
64 bouts of seeking and retrieval. These dopamine manipulations had no impact on rats'
65 tendency to adjust their reward-seeking behavior in response to reward devaluation.
66 Importantly, attempts to retrieve reward were not suppressed by reward devaluation,
67 suggesting that this behavior was the product of action chunking.

68

69 **Materials and Methods**

70 *Animals*: In total, 89 male and female Long-Evans Tyrosine hydroxylase
71 (Th):Cre+ rats (hemizygous Cre+) (Witten et al., 2011; Mahler et al., 2019) and wildtype
72 (WT) littermates were used for this study. Subjects were at least 3 months of age at the
73 start of the experiment and were single- or paired-housed in standard Plexiglas cages
74 on a 12h/12h light/dark cycle. Animals were maintained at ~85% of their free-feeding
75 weight during behavioral procedures. All experimental procedures that involved rats
76 were approved by the UC Irvine Institutional Animal Care and Use Committee and were
77 in accordance with the National Research Council Guide for the Care and Use of
78 Laboratory Animals.

79 *Apparatus*: Behavioral procedures took place in sound- and light-attenuated Med
80 Associates chambers (St Albans, VT, USA; ENV-007). Individual chambers were
81 equipped with two retractable levers (Med Associates; ENV-112CM) positioned to the
82 left and right of recessed food cup. Grain-based dustless precision pellets (45 mg,
83 BioServ, Frenchtown, NJ, USA) were delivered into the cup using a pellet dispenser
84 (Med Associates; ENV-203M-45). Sucrose solution (20% wt/vol) was delivered into the
85 cup with a syringe pump (Med Associates; PHM-100). A photobeam detector (Med
86 Associates; ENV-254-CB) positioned across the magazine entrance was used to record
87 food-cup approaches. Chambers were illuminated by a houselight during all sessions.

88 *Surgery*: Th:Cre+ rats were anesthetized using isoflurane and placed in a
89 stereotaxic frame for microinjections of a Cre-dependent (DIO) serotype 2 adeno-
90 associated virus (AAV) vectors to induce dopamine neuron-specific expression of the
91 inhibitory designer receptor exclusively activated by designer drug (DREADD) hM4Di
92 fused to mCherry (AAV-hSyn-DIO-hM4Di-mCherry), or mCherry alone (AAV-hSyn-DIO-

93 mCherry) (University of North Carolina Chapel Hill vector Core, Chapel Hill, NC, USA /
94 Addgene, Cambridge, MA, USA; Experiment 2 was replicated with both sources)
95 (Witten et al., 2011; Mahler et al., 2019). The AAV was injected bilaterally into the VTA
96 (-5.5 mm AP, +/- 0.8 mm ML, -8.15 mm DV; 1uL / side). Experiment 3 rats were
97 bilaterally implanted with guide cannulae (22 gauge, Plastic One) 1 mm dorsal to NAc
98 (+1.3 AP, +/-1.8 ML, -6.2 DV) or mPFC (+3.00 AP, +/-0.5 ML, -3.0 DV) for subsequent
99 clozapine-n-oxide (CNO) microinjections. Animals were randomly assigned to virus
100 (hM4Di or mCherry) and cannula location (NAc or mPFC) groups. Animals were allowed
101 at least 5 days of recovery before undergoing food restriction and behavioral training.
102 Testing occurred at least 25 days after surgery to allow adequate time for viral
103 expression of hM4Di throughout dopamine neurons, including in terminals within the
104 NAc and mPFC.

105
106 Experiment 1: Effects of response-contingent feedback about reward delivery on reward
107 retrieval

108 *Instrumental learning.* WT rats (n = 9) underwent 2 d of magazine training. In
109 each session, 40 pellets were delivered into the food cup on a random 90-sec intertrial
110 interval (ITI). Rats then received 9 d of instrumental lever-press training. In each
111 session, rats had continuous access to the right lever, which could be pressed to deliver
112 food pellets into the food cup. The schedule of reinforcement was adjusted over days
113 from continuous reinforcement (CRF) to increasing random intervals (RI), such that
114 reinforcement only became available once a randomly determined interval had elapsed
115 since the last reinforcer delivery. Rats received one day each of CRF, RI-15s, and RI-

116 30s training, before undergoing 6 days of training with RI-60s. Each session was
117 terminated after 30 min or after 20 rewards deliveries.

118 *Varying response-contingent feedback.* Following training, rats were given a
119 series of tests to assess the influence of response-contingent feedback about reward
120 delivery on instrumental reward-seeking (lever presses) and reward-retrieval responses
121 (press-contingent food-cup approach). Rats were given 3 tests (30-min each,
122 pseudorandom order over days) during which lever pressing caused: 1) activation of the
123 pellet dispenser to deliver a pellet into the food cup (RI-60s schedule; *Food and Cues
124 Test*), 2) activation of the pellet dispenser to deliver a pellet into an external cup not
125 accessible to the rats, producing associated sound and tactile cues but no reward (also
126 RI-60s schedule; *Cues Only Test*), or 3) no dispenser activation (i.e., extinction; *No
127 Food or Cues Test*).

128

129 Experiments 2 and 3: Role of mesocorticolimbic dopamine in cue-motivated reward
130 seeking and retrieval:

131 *Pavlovian conditioning.* Th:Cre+ rats (n = 60) underwent 2 d of magazine training,
132 as in Experiment 1 (40 pellets on 90s random ITI). Rats then received 8 daily Pavlovian
133 conditioning sessions. Each session consisted of a series of 6 presentations of a two-min
134 audio cue (CS+; either a pulsating 2 kHz pure tone (0.1s on and 0.1s off) or white noise;
135 80dB), with trials separated by a 5-min variable ITI (range 4-6-min between CS onsets).
136 During each CS+ trial, pellets were delivered on a 30-s random time schedule, resulting
137 in an average of 4 pellets per trial. Rats were separately habituated to an unpaired
138 auditory stimulus (CS-; alternative audio stimulus; 2-min duration). CS- exposure

139 procedures differed slightly across experiments. For Experiment 2, which assessed the
140 effects of system-wide dopamine neurons inhibition, rats received a final Pavlovian
141 conditioning session consisting of four trials with the CS+ (reinforced, as described above)
142 followed by four trials with the CS- (nonreinforced), separated by a 5-min variable ITI. In
143 Experiment 3, which assessed the effects of local inhibition of dopamine terminals in NAc
144 or mPFC, rats were given 2 days of CS- only exposure (8 nonreinforced trials per session,
145 5-min variable ITI) following initial CS+ training. Conditioning was measured by
146 comparing the rate of food-cup approach between the CS onset and the first pellet
147 delivery (to exclude unconditioned behavior) to the rate of approach during the pre-CS
148 period.

149 *Instrumental training.* Following Pavlovian conditioning, rats were given 9 d of
150 instrumental training, as in Experiment 1, with one day each of CRF, RI-15s, RI-30s,
151 and 6 days of RI-60s. Sessions ended after 30 min or 20 rewards were earned.

152 *Pavlovian-to-instrumental transfer (PIT) test.* After the last instrumental training
153 session, rats were given a session of Pavlovian (CS+) training, identical to initial
154 training. They were then given a 30-min extinction session, during which lever presses
155 were recorded but had no consequence (i.e., no food or cues). On the next day, rats
156 were given a PIT test, during which the lever was continuously available but produced
157 no rewards. Following 8 min of extinction, the CS+ and CS- were each presented 4
158 times (2 min per trial) in pseudorandom order and separated by a 3-min fixed ITI. Before
159 each new round of testing, rats were given two sessions of instrumental retraining (RI-
160 60s), one session of CS+ retraining, and one 30-min extinction session, as described
161 above. Test procedures differed slightly between Experiments 2 and 3.

162 *Experiment 2: Th:Cre+ rats expressing hM4Di (n = 18) or mCherry only (n = 14)*
163 in VTA dopamine neurons were used to assess the effects of system-wide inhibition of
164 the mesocorticolimbic dopamine system on PIT performance. These groups were run
165 together and received CNO (5mg/kg, i.p.) or vehicle (5% DMSO in saline) injections 30
166 min prior to testing. They underwent a second test following retraining (described
167 above), prior to which the alternative drug pretreatment was administered.

168 *Experiment 3: In Experiment 3A, Th:Cre+ rats expressing hM4Di in VTA*
169 dopamine neurons were used to assess the impact of locally inhibiting dopaminergic
170 terminals in the NAc (n = 7) or mPFC (n = 9) on PIT performance. Because
171 microinjection procedures produced additional variability in task performance, rats in
172 this experiment underwent a total of 4 tests. Rats received either CNO microinfusions
173 (1mM, 0.5uL/side or 0.3uL/side, for NAc and mPFC respectively) or vehicle (DMSO 5%
174 in aCSF) 5 min before the start of each test and were given two rounds of testing each
175 with CNO and vehicle (test order counterbalanced across other experimental
176 conditions). To determine if the effects of CNO microinjections depended on hM4Di
177 expression, a separate control study (Experiment 3B) was run using Th:Cre+ rats
178 expressing mCherry only in VTA dopamine neurons. Experiments 3A and 3B were run
179 and analyzed separately.

180

181 *Experiment 4: Role of mesocorticolimbic dopamine in goal-directed action selection:*

182 *Instrumental Training.* Th:Cre+ rats expressing hM4Di (n = 11) or mCherry only
183 (n = 9) in VTA dopamine neurons began with 2 d of magazine training, during which
184 they received 20 grain-pellets and 20 liquid sucrose rewards (0.1 mL of 20% sucrose

185 solution, wt/vol) in random order according to a common 30s random ITI. This was
186 followed by 11 d of instrumental training with two distinct action–outcome contingencies
187 (e.g., left-lever press → grain; right-lever press → sucrose). The reinforcement schedule
188 that was gradually shifted over days with 2d of CRF to increasingly effortful random ratio
189 (RR) schedules, with 3 d of RR-5, 3 d of RR-10, and 3d of RR-20 reinforcement. The
190 left and right lever-press responses were trained in separate sessions, at least 2 h
191 apart, on each day. Action-outcome contingencies were counterbalanced across
192 subjects. Sessions were terminated after 30 min elapsed or 20 pellets were earned.

193 *Devaluation Testing.* To selectively devalue one of the food rewards prior to
194 testing, rats were satiated on grain pellets or sucrose solution by providing them with 90
195 min of unrestricted access to that food in the home cage. After 60 min of feeding, rats
196 received CNO (5mg/kg, i.p.) or vehicle injections. After an additional 30 min of feeding,
197 rats were placed in the chamber for a test in which they had continuous access to both
198 levers. The test began with a 5-min nonreinforced phase (no food or cues), which was
199 immediately followed by a 15-min reinforced phase, during which each action was
200 reinforced with its respective reward (CRF for the first 5 rewards, then RR-20 for the
201 remainder of the session). Rats were given a total of 4 devaluation tests, 2 after CNO
202 and 2 after vehicle, alternating the identity of the devalued reward across the 2 tests in
203 each drug condition (test order counterbalanced across training and drug conditions).

204

205 *Histology:* Rats were deeply anesthetized with a lethal dose of pentobarbital and
206 perfused with 1x PBS followed by 4% paraformaldehyde. Brains were postfixated in 4%
207 paraformaldehyde, cryoprotected in 20% sucrose and sliced at 40 µm on a cryostat. To

208 visualize hM4Di expression, we performed immunohistochemistry for Th and mCherry
209 tag. Tissue was first incubated in 3% normal donkey serum PBS plus Triton X-100
210 (PBST; 2 h) and then in primary antibodies in PBST at 4°C for 48 hours using rabbit
211 anti-DsRed (mCherry tag; 1:500; Clontech; 632496), and mouse anti-Th (1:1,000,
212 Immunostar; 22941) antibodies. Sections were incubated for 4 h at room temperature in
213 fluorescent conjugated secondary antibodies (Alexa Fluor 488 goat anti-mouse (Th;
214 1:500; Invitrogen; A10667) and Alexa Fluor 594 goat anti-rabbit (DsRed; 1:500;
215 Invitrogen; A11037)).

216

217 *Drugs:* CNO was obtained from NIMH (Experiments 2 and 4) or Sigma-Aldrich (St.
218 Louis, MO, USA; Experiment 3), and dissolved in 5% DMSO in saline, or aCSF for
219 microinjection.

220

221 *Behavioral measures:*

222 Reward-seeking actions were quantified as the total number (frequency) of lever
223 presses performed per unit time. Based on microstructural analyses described below,
224 lever presses that were followed by a food-cup approach (≤ 2.5 sec) were distinguished
225 from presses that were not followed by an approach. The proportion of presses that
226 were followed by an approach response served as our primary measure of press-
227 contingent reward retrieval. We also analyzed bouts of noncontingent food-cup
228 approach (occurring > 2.5 sec after the most recent press or approach), which served
229 as a measure of spontaneous or cue-evoked reward retrieval.

230

231 *Statistical analysis:*

232 Data were analyzed using general(ized) linear mixed-effects models (Pinheiro
233 and Bates, 2000), which allows for simultaneous parameter estimation as a function of
234 condition (fixed effects) and the individual rat (random effects) (Pinheiro and Bates,
235 2000; Bolker et al., 2008; Boisgontier and Cheval, 2016). Analyses on count data (e.g.,
236 response frequency) incorporated a Poisson response distribution and a log link
237 function (Coxe et al., 2009). Fixed-effects structures included an overall intercept and
238 the full factorial of all primary manipulations (Experiment 2: Group, Drug, CS Type, CS
239 Period; Experiment 3: Site, Drug, CS Type, CS Period; Experiment 4: Group, Drug,
240 Lever), and the random-effects structures included by-subjects uncorrelated intercepts
241 adjusted for the within-subjects manipulations (i.e., Experiments 2 and 3: Drug, CS
242 Type, and CS Period; Experiment 4: Drug, Lever). “CS Type” refers to the distinction
243 between the CS+ and CS-, while “CS Period” refers to the distinction between the 120-s
244 CS duration and the 120-s period preceding its onset. Proportion data were square-root
245 transformed prior to analysis to correct positive skew, but are plotted in non-transformed
246 space for ease of interpretation. These data were collapsed across pre-CS+ and pre-
247 CS- periods, such that the factor “CS Period” had 3 levels (CS+, CS-, and Pre-CS). The
248 fixed- and random-effects structures of this analysis was identical to the frequency
249 analysis above with the exception that CS Type was not included in the analysis, and
250 the random-effects structure only included by-subjects intercepts.

251 All statistical analyses were conducted using the Statistics and Machine Learning
252 Toolbox in MATLAB (The MathWorks; Natick, MA, USA). The alpha level for all tests

253 was .05. As all predictors were categorical in the mixed-effects analysis, effect size was
254 represented by the unstandardized regression coefficient (Baguley, 2009), reported as *b*
255 in model output tables. Mixed-effects models provide *t*-values to reflect the statistical
256 significance of the coefficient relative to the population mean (i.e., simple effects).
257 These simple effects are indicative of main effects and interactions when a factor has
258 only two levels. For factors with at least 3 levels, *F*-tests were conducted to reveal the
259 overall significance of the effect or interaction(s) involving this factor. The source of
260 significant interactions was determined by secondary mixed-effects models identical to
261 those described above but split by the relevant factor of interest. For analyses in which
262 a significant main effect had more than two levels, post-hoc tests of main effects
263 employed MATLAB's *coefTest* function, and interactions were reported in-text as the
264 results of ANOVA *F*-tests (i.e., whether the coefficients for each fixed effect were
265 significantly different from 0).

266 When analyzing data from PIT experiments, the ability of the CS+ to selectively
267 increase performance of a response (relative to the CS-) over baseline (pre-CS) levels
268 was indicated by a significant CS Type * CS Period interaction. We were particularly
269 interested in treatment-induced alterations in the expression of this effect, as indicated
270 by significant 3-way and 4-way interactions involving this CS Type * CS Period term, in
271 combination with Drug and/or Group factors. We were also interested in potential main
272 effects of Drug and/or Group factors, reflecting broad, cue-independent behavioral
273 effects. While statistical output tables include a summary of all fixed effects included in
274 the model, only these theoretically interesting findings are discussed in the main text.
275 Lower level interactions involving only CS Type or CS Period, but not their combination,

276 are provided in the output tables but are not discussed in the main text given that they
277 may be the product of incidental or spurious behavioral differences across cue
278 conditions.

279 PIT Scores (CS+ – pre-CS+) were calculated for more focused analysis of CS+
280 elicited lever pressing. One-sample *t*-tests were used to assess the effect of CNO for
281 each group. Because inhibiting VTA dopamine neurons or their NAc terminals
282 predominantly disrupted the ability of the CS+ to elicit lever presses that were not
283 followed by an approach response, we also assessed if differences across rats in their
284 tendency to exhibit such behavior in the Vehicle Test (PIT score; presses without
285 approach) correlated with differences in their sensitivity to the response-suppressive
286 effect of CNO on CS+ elicited lever pressing (CNO – Vehicle; PIT score, all presses).

287

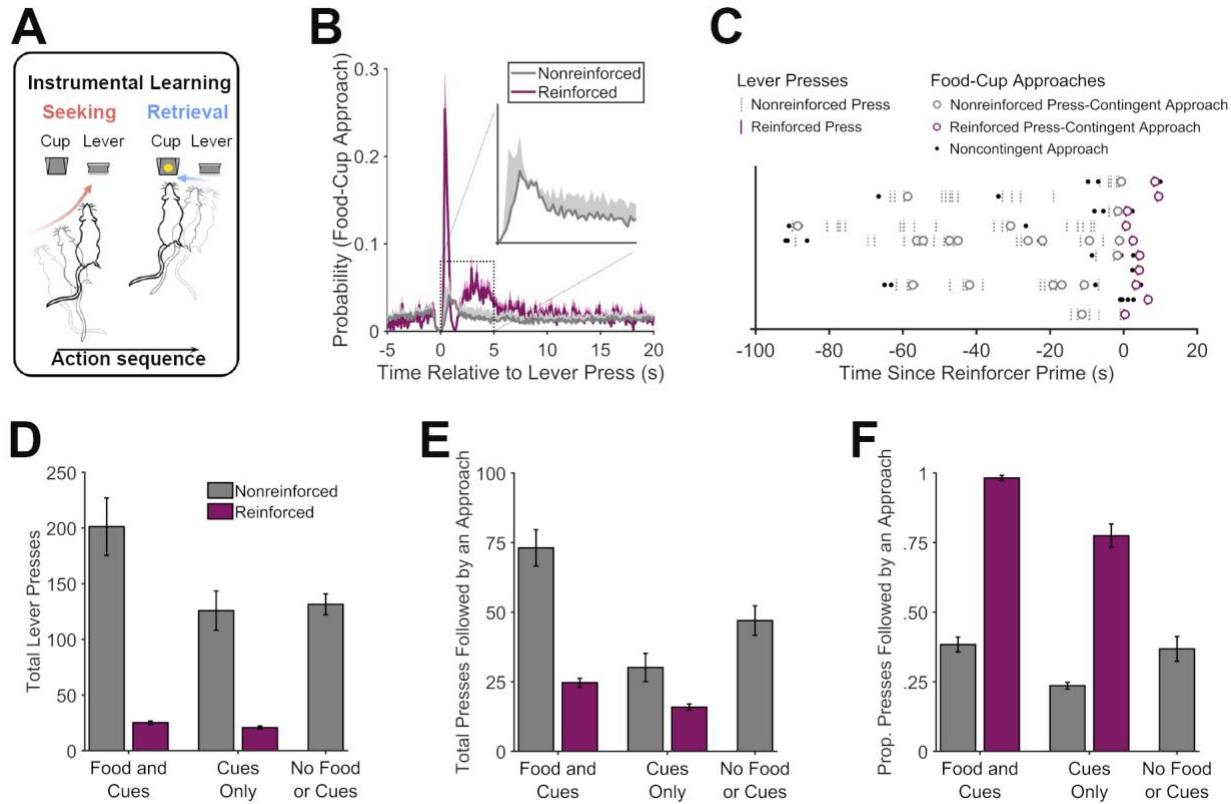
288 **Results**

289 ***Effects of response-contingent feedback about reward delivery on reward*** 290 ***retrieval***

291 We first characterized the relationship between reward-seeking and -retrieval
292 responses when rewards are sparse (Figure 1A). Rats were trained to lever press on a
293 RI-60s schedule, such that this action was often nonreinforced and only occasionally
294 earned food pellet delivery into a recessed food cup. Not surprisingly, we found that the
295 probability of food-cup approach was elevated for several seconds after performance of
296 the lever-press action (Figures 1B and 1C). This timeframe for press-contingent food-
297 cup approach behavior is consistent with previous reports (Nicola, 2010; Marshall and
298 Ostlund, 2018), and was relatively consistent across the current experiments (see

299 Figure 3-Supplement 1). We therefore used a cutoff value of 2.5 sec to identify reward-
300 retrieval attempts. To control for reward-retrieval *opportunities*, which were contingent
301 on lever pressing, our analysis focuses on a normalized measure – the proportion of
302 lever presses that were followed by food-cup approach.

303 We found that rats were much more likely to approach the food cup after
304 reinforced presses than after nonreinforced presses ($t(8) = 19.33, p < .001$), suggesting
305 they could detect when pellets were delivered based on sound and tactile cues
306 produced by the dispenser. This was confirmed in subsequent tests, during which lever
307 pressing produced either 1) pellet dispenser cues and actual pellet delivery (Food and
308 Cues), 2) pellet dispenser cues only (Cues Only), or 3) no pellet dispenser cues or
309 pellet delivery (No Food or Cues). Here too, we found that food-cup approaches were
310 more likely after reinforced than nonreinforced lever presses, regardless of whether
311 pellet dispenser cues were presented alone or together with actual food delivery (Figure
312 1F; $ts(8) \geq 13.74, ps < .001$; the overall frequency of lever pressing (Figure 1D) and the
313 frequency of complete bouts of presses that were followed by an approach (Figure 1E)
314 are presented for comparison). Although pellet dispenser cues were clearly an effective
315 trigger for rats to shift from the lever to the food cup, they also made these shifts
316 spontaneously, indicating that they had developed the tendency to perform the
317 complete press-approach action sequence. These unprompted approaches occurred
318 after a relatively small subpopulation of nonreinforced lever presses, which is consistent
319 with our previous data (Marshall and Ostlund, 2018).



320

Figure 1. Microstructural organization of instrumental behavior. **A.** Hungry rats were trained to perform a self-paced "reward seeking" task, in which pressing a lever was intermittently reinforced with food pellets (RI-60s schedule). Press-contingent food-cup approaches were taken as a measure of attempted "reward retrieval". **B.** Probability of food-cup approaches as a function of time surrounding reinforced (purple) and nonreinforced (gray) lever presses. **C.** Representative pattern of food-cup approach behavior for an individual rat surrounding reinforced and nonreinforced lever presses. Individual reinforced trials are separately presented across the y-axis aligned at the point at which the lever became activated (i.e., primed for reinforcement). **D, E.** Effects of manipulating instrumental reinforcement contingency on the organization of reward-seeking and -retrieval responses. Total lever presses (D) or presses followed by an approach (E) during tests in which lever pressing was intermittently reinforced (RI-60s) either with food pellets and associated cues (Food and Cues) or with pellet dispenser cues but no actual food delivery (Cues Only). Rats were also tested without any reinforcement (No Food or Cues). **F.** The proportion of lever presses that were followed by food-cup approach was higher for reinforced presses than for nonreinforced presses, regardless of whether pressing was reinforced with Food and Cues, or Cues Only. Rats also continued to sporadically check the food cup after nonreinforced lever presses, albeit at a much lower level than after reinforced presses.

321

322 ***Inhibiting dopamine neurons during Pavlovian-to-instrumental transfer***

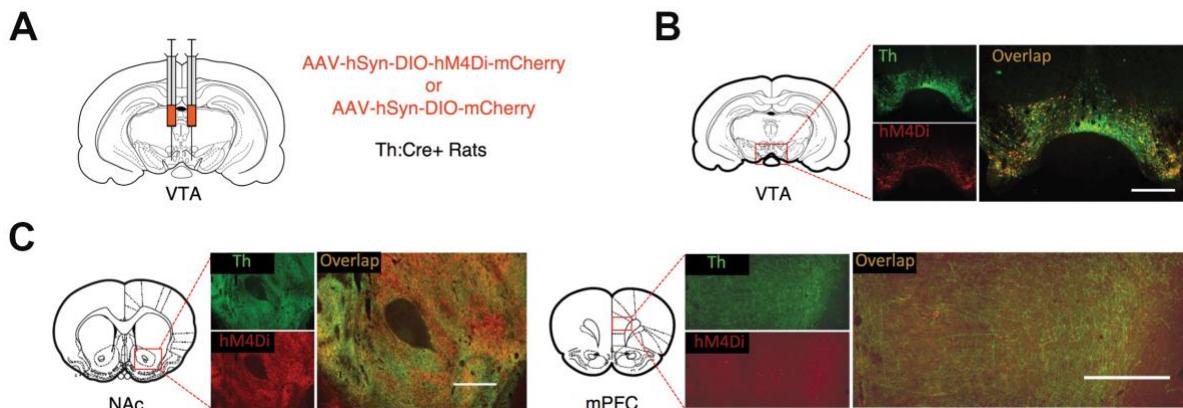
323 ***preferentially disrupts cue-motivated reward seeking, but not reward retrieval***

324 Our previous findings suggest that reward-predictive cues *both* invigorate

325 reward-seeking behavior (i.e., the PIT effect) and increase the likelihood that such

326 actions will be followed by an attempt to retrieve reward from the food cup (Marshall and
327 Ostlund, 2018). Experiment 2 investigated the contributions of the mesocorticolimbic
328 dopamine system to these distinct behavioral effects of reward-paired cues.

329 Rats with dopamine neuron-specific expression of the inhibitory DREADD hM4Di
330 or mCherry in the VTA (Figure 2) were trained on a PIT task (Figure 3A) consisting of a
331 Pavlovian conditioning phase, in which two different auditory cues were paired (CS+) or
332 unpaired (CS-) with food pellets, and a separate instrumental training phase, in which
333 rats were trained to lever press for pellets. During PIT testing, we noncontingently
334 presented the CS+ and CS- while rats were free to lever press and check the food cup
335 without response-contingent food or cue delivery.



336

Figure 2. DREADD expression in Th:Cre+ rats. **A.** Th:Cre+ rats received bilateral injections of AAV-hSyn-DIO-hM4Di-mCherry or AAV-hSyn-DIO-mCherry in the VTA. **B.** Representative expression of the mCherry-tagged inhibitory DREADD hM4Di (red) in VTA Th positive neurons (green) of Th:Cre+ rats, as well as in neuronal terminals (**C.**) projecting to the nucleus accumbens (NAc) and medial prefrontal cortex (mPFC). Scale bar is 500um.

337

338 We found that rats selectively increased their lever press performance during
339 CS+ presentations, relative to the CS- and pre-CS response rates (Figure 3B; CS
340 Period * CS Type interaction, $p < .001$; see Supplementary File Table 1 for full
341 generalized linear mixed-effects model output). This effect was significantly attenuated

342 by CNO in a group-specific manner (Group * Drug * CS Period * CS Type interaction, p
343 = .002). Analysis of data from CS+ trials (only) found that CNO selectively suppressed
344 cue-induced lever pressing in hM4Di relative to mCherry rats (Drug * Group * CS Period
345 interaction, p = .013). Further analysis found that the mCherry group displayed a
346 pronounced increase in lever pressing during CS+ trials (CS Period * CS Type
347 interaction, p < .001), and this effect was not altered by CNO (Drug * CS Period * CS
348 Type interaction, p = .780). In contrast, CNO pretreatment significantly disrupted
349 expression of CS+ induced lever pressing in the hM4Di group (Drug * CS Period * CS
350 Type interaction, p < .001). hM4Di rats showed a CS+ specific elevation in lever
351 pressing when pretreated with vehicle (CS Period * CS Type interaction, p < .001) but
352 not CNO (CS Period * CS Type interaction, p = .684). While these findings indicate that
353 CNO selectively disrupted the response-invigorating influence of the CS+ by inhibiting
354 VTA dopamine neurons in hM4Di rats, there was also some indication that CNO may
355 have produced a nonspecific, group-independent, suppression of PIT performance
356 (Drug x CS Period x CS Type, p = .007). We therefore conducted a more focused
357 analysis of CS+ induced changes in lever-press performance (PIT score: CS+ - pre-
358 CS+; Figure 3C), which confirmed that CNO significantly suppressed this behavioral
359 effect in the hM4Di group ($t(17)$ = -3.83, p < .001), but not in the mCherry group ($t(13)$
360 = -1.21, p = .249). This is in line with recent findings that similar CNO treatment does
361 not significantly alter PIT performance in DREADD-free rats (Collins et al., *in press*).

362 We also investigated if VTA dopamine neuron inhibition impacts the tendency for
363 the CS+ to increase attempts to retrieve reward after performing the reward-seeking
364 response (Figures 3D and 3E; see Figure 3-Supplement 1 for illustration of the

365 probability of food-cup approach surrounding lever presses during nonreinforced PIT
366 trials). We found that the CS+ ($p < .001$) but not the CS- ($p = .501$) increased the
367 proportion of lever presses that were followed by a food-cup approach, even though no
368 rewards were actually delivered at test (see Supplementary File Table 2 for full
369 generalized linear mixed-effects model output; see Figure 3-Supplements 2 and 3 for
370 analysis of total press-contingent and noncontingent approaches, respectively).
371 Importantly, CNO did not alter this response to the CS+ in a group-specific manner
372 (Group * Drug * CS+ Period, $p = .835$), indicating that VTA dopamine neuron function is
373 not required for this behavior. However, CNO did induce some nonspecific, group-
374 independent alterations in the proportion of presses that were followed by food-cup
375 approach, lowering the overall likelihood of this behavior (Drug effect, $p = .019$), but
376 *enhancing* the effect of the CS+ (Drug * CS+ Period, $p < .037$).

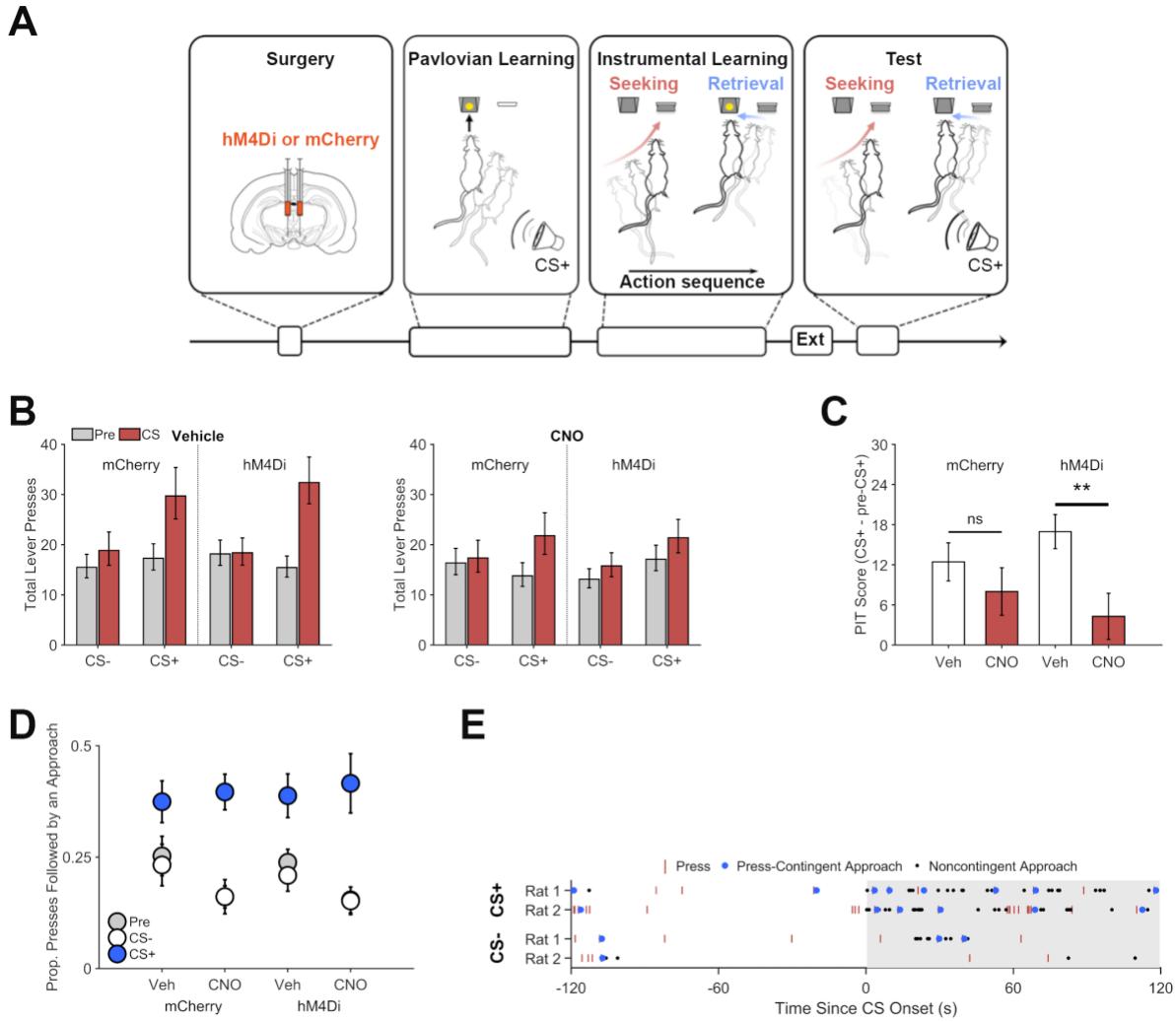


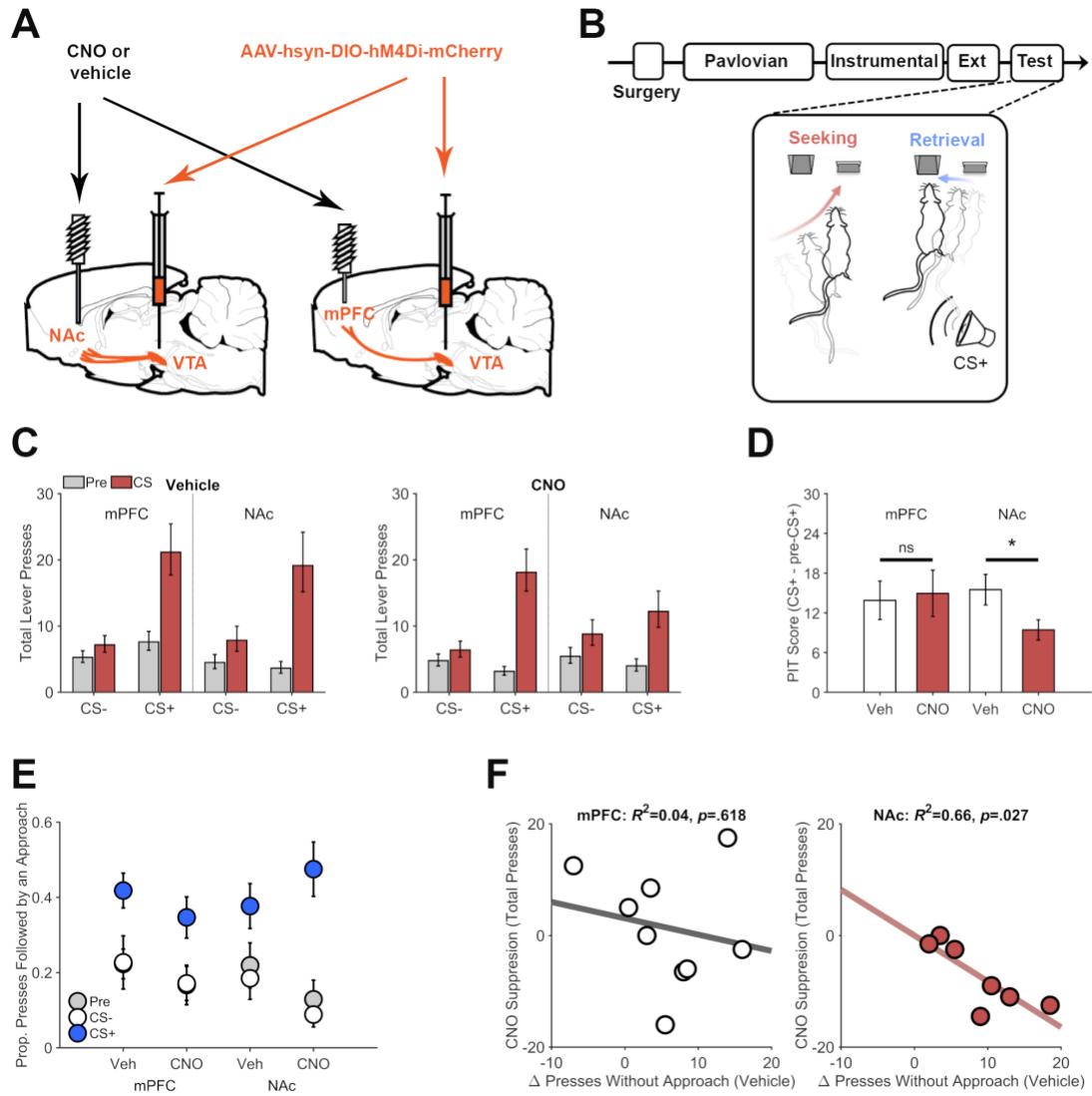
Figure 3. Chemogenetic inhibition of dopamine neurons on Pavlovian to instrumental transfer (PIT) performance. **A.** Experimental design: Following viral vectors injections and recovery, rats received Pavlovian training, during which they learned to associate an auditory cue (CS+) with food pellet delivery. During instrumental conditioning, rats performed the same lever-press task used in Experiment 1. Lever pressing was extinguished (Ext) before rats were submitted to a PIT test, which included separate noncontingent presentations of the CS+ and an unpaired control cue (CS-). **B.** Chemogenetic inhibition of VTA dopamine neurons disrupted cue-motivated reward seeking. Total lever presses during PIT trials for rats expressing the inhibitory DREADD hM4Di or mCherry following vehicle (left) or CNO (5mg/kg, right) treatment prior to test. Presses during pre-CS (gray) and CS periods (red) are plotted separately. **C.** PIT expression is specifically impaired in hM4Di expressing Th:Cre+ rats. PIT scores (total presses: CS+ - pre-CS+) show that the CS+ increased lever pressing after vehicle treatment for both groups, but that CNO suppressed this effect in the hM4Di group but not the mCherry group. **p<0.01. **D.** The CS+ increased the proportion of lever presses that were followed by a food-cup approach during PIT testing. Inhibiting VTA dopamine neurons did not disrupt expression of this effect. Instead, rats in both groups showed a modest increase in their likelihood of checking the food cup after lever pressing when treated with CNO. **E.** Representative organization of the effects of the CS+ and CS- on attempts to seek out and retrieve reward during PIT. Data show lever presses and food-cup approaches (press-contingent or noncontingent) for two control rats (Th:Cre+ rats expressing mCherry and receiving vehicle).

378 ***Pathway-specific inhibition of dopamine projections to NAc, but not mPFC,***
379 ***disrupts cue-motivated reward seeking but not retrieval***

380 As previously reported (Mahler et al., 2019), hM4Di expression in VTA dopamine
381 neurons resulted in transport of DREADDs to axonal terminals in the NAc and mPFC
382 (Figure 2). We took advantage of this to investigate the roles of these two pathways in
383 PIT performance, again distinguishing between the influence of reward-paired cues on
384 reward seeking and reward retrieval. Guide cannulae were aimed at the NAc or mPFC
385 in rats expressing hM4Di in VTA dopamine neurons (Experiment 3A; Figure 4A and
386 Figure 4-Supplement 1). These rats underwent training and testing for PIT (Figure 4B),
387 as described above, but were pretreated with intra-NAc or mPFC injections of CNO
388 (1mM) or vehicle to achieve local inhibition of neurotransmitter release (Mahler et al.,
389 2014; Stachniak et al., 2014; Lichtenberg et al., 2017), an approach previously shown to
390 be effective in inhibiting dopamine release (Mahler et al., 2019). Figure 4C shows that,
391 in hM4Di-expressing rats, the CS+ specific increase in lever pressing (CS Period * CS
392 Type interaction, $p < .001$) was disrupted by CNO in a manner that depended on
393 microinjection site (Drug * CS Period * CS Type * Site interaction, $p = .003$;
394 Supplementary File Table 3 for full generalized linear mixed-effects model output). After
395 intracranial vehicle injections, rats showed a CS+ specific elevation in pressing (CS
396 Period * CS Type interaction, $p < .001$), which did not differ significantly across vehicle
397 injection sites (CS Period * CS Type * Site interaction, $p = .151$). Unlike with systemic
398 CNO, the CS+ remained effective in increasing lever pressing after CNO microinjection
399 into the mPFC (CS Type * CS Period interaction, $p < .001$) and NAc (CS Type * CS
400 Period interaction, $p < .001$). However, this effect was significantly attenuated when

401 CNO was injected into the NAc versus the mPFC (CS Period * CS Type * Site
402 interaction, $p = .012$; analysis of CNO data only). A more focused analysis of CS+
403 elicited lever pressing (Fig 4D; PIT score) confirmed that CNO disrupted this effect in
404 the NAc group ($t(6) = -2.49, p = .047$), but not in the mPFC group ($t(8) = 0.34, p = .746$).
405 The disruptive effect of intra-NAc CNO administration on PIT performance did not
406 systematically vary as a function of injection site (data not presented), which is not
407 surprising given previous findings that this effect is modulated by dopamine signaling in
408 both the core and shell of the NAc (Lex and Hauber, 2008; Pecina and Berridge, 2013).

409 We also conducted a separate experiment (Experiment 3B) with rats expressing
410 the mCherry reporter (only) in VTA dopamine neurons to determine if this behavioral
411 effects of CNO microinfusion was hM4Di-dependent. While there was evidence that
412 CNO may have produced some nonspecific response suppression when injected into
413 the mPFC but not the NAc (Drug * Site * CS Period * CS Type, $p = .068$), this drug
414 treatment did not significantly disrupt expression of CS+ elicited lever pressing for either
415 injection site (p 's $> .165$; Figure 4-Supplement 2).



416

Figure 4. Pathway specific chemogenetic inhibition of dopamine on PIT performance. **A.** Th:Cre+ rats initially received VTA AAV-hSyn-DIO-hM4Di-mCherry injections and were implanted with guide cannulas aimed at the medial prefrontal cortex (mPFC) or nucleus accumbens (NAc) for microinjection of CNO (1mM) or vehicle to inhibit dopamine terminals at test. **B.** Following surgery, rats underwent training and testing for PIT, as described above. We analyzed the microstructural organization of behavior (Lever presses: seeking, and presses followed by a food-cup approach: retrieval) at test. **C.** Pathway specific inhibition of dopamine terminals in the NAc but not the mPFC disrupted cue-motivated reward seeking. Total lever presses during PIT trials for rats expressing the inhibitory DREADD hM4Di and receiving CNO or vehicle microinfusions in either the mPFC or NAc prior to test. Presses during pre-CS (gray) and CS periods (red) are plotted separately. **D.** PIT expression was specifically impaired following NAc CNO treatment. PIT scores (total presses: CS+ - pre-CS+) show that the CS+ increased lever pressing following vehicle treatment in both groups, but that CNO suppressed this effect when injected into the NAc but not the mPFC. * $p<0.05$. **E.** The CS+ increased the proportion of lever presses that were followed by a food-cup approach during PIT testing. This effect did not significantly vary as a function of drug treatment or group. **F.** Scatter plots show the relationship between individual differences in the effect of the CS+ on lever presses that were not followed by food-cup approach in the vehicle condition (Δ Presses without approach) and the suppressive effect of CNO on CS+ evoked lever pressing (PIT Score for vehicle test - PIT Score for CNO test). Data points are from individual rats receiving intra-mPFC (left panel) or intra-NAc (right panel) microinjections.

417

418 As in the previous experiment, we found that the CS+ ($p < .001$) increased the
419 proportion of lever presses that were followed by an attempt to retrieve reward from the
420 food cup (Figure 4E; Supplementary File Table 4 for full generalized linear mixed-effects
421 model output; see Figure 4–Supplements 3 and 4 for analysis of total press-contingent
422 and noncontingent approaches, respectively). CNO seemed to generally reduce the
423 likelihood that lever pressing would be followed by food-cup approach, though this effect
424 did not reach statistical significance (Drug effect, $p = .057$). If anything, intra-NAc
425 injections of CNO tended to *enhance* the effect of the CS+ on this approach response,
426 though this effect also failed to reach significance (Drug * Site * CS+ Period, $p = .093$).

427 The above findings indicate that VTA dopamine circuitry supports the
428 motivational influence of the CS+ on reward seeking but does not mediate that cue's
429 ability to promote reward retrieval. We wondered if this might account for variability in
430 the partial, response-suppressive effect of NAc dopamine terminal inhibition.
431 Specifically, we hypothesized that rats inclined to respond to the CS+ by engaging in
432 discrete bouts of lever pressing, without attempting to retrieve reward, would be
433 particularly sensitive to inhibition of NAc dopamine inputs. Consistent with this, we
434 found that for the NAc group, individual differences in the effect of the CS+ on lever
435 presses without subsequent food cup approach (during the vehicle test) were negatively
436 correlated with CNO-induced suppression of CS+ evoked lever pressing (all presses; r
437 = -0.81 , $p = .027$; Figure 4F). No such relationship was found for the mPFC group (r
438 = -0.19 , $p = .618$), which did not show sensitivity to dopamine terminal inhibition. Similar
439 analysis of data from Experiment 2 also found no correlation between these measures

440 (Figure 4-Supplement 5), which may not be surprising given that systemic inhibition of
441 VTA dopamine neurons led to a more robust and consistent suppression of CS+ evoked
442 lever pressing (Figure 3B).

443 Altogether, these findings demonstrate that the mesolimbic dopamine system
444 selectively mediates cue-motivated reward seeking, and suggest that dopamine inputs
445 to the NAc are particularly important for individuals that tend to respond to such cues
446 with discrete bouts of reward seeking without subsequent reward retrieval.

447

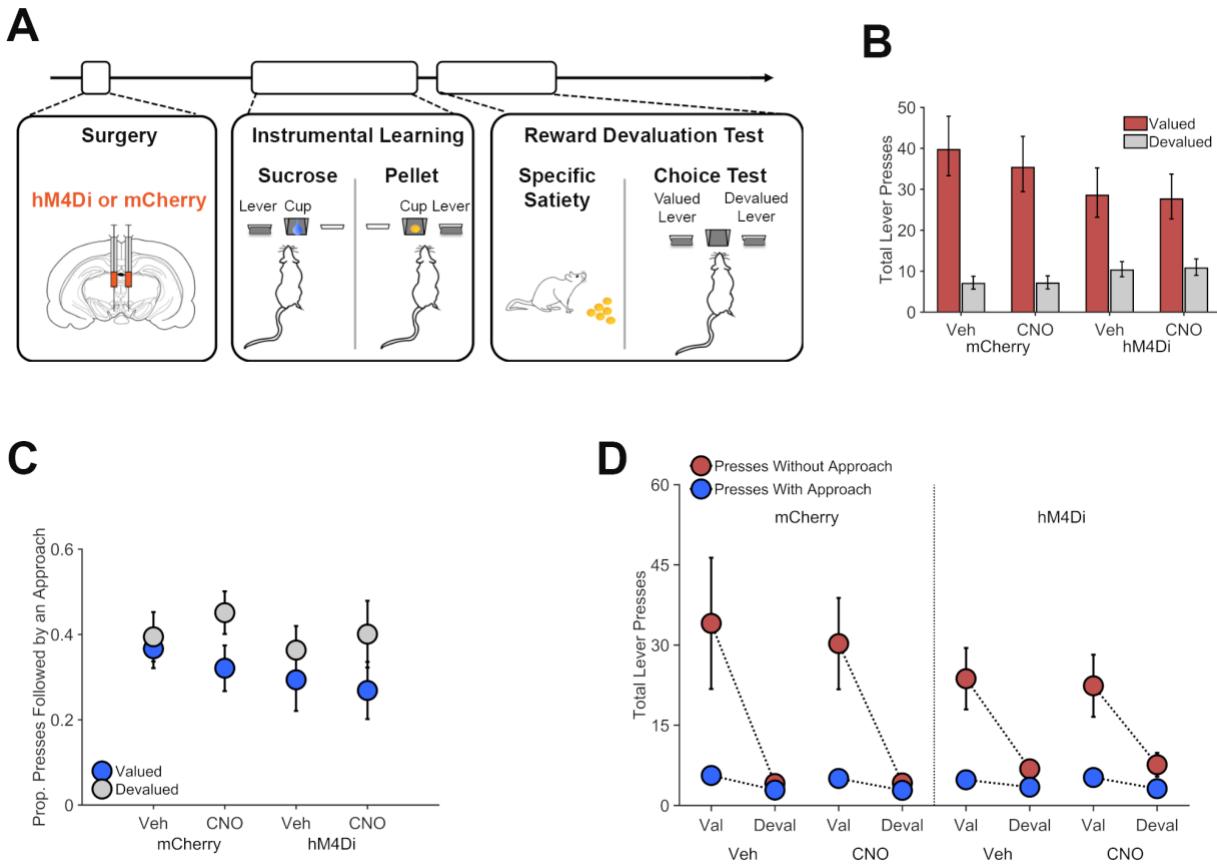
448 ***Inhibiting dopamine neurons spares the sensitivity of reward-seeking actions to
449 reward devaluation***

450 It is unclear from the above findings if rats' tendency to approach the food cup
451 after lever pressing reflects a discrete goal-directed action or if this response tends to
452 be performed habitually, as part of a fixed press-approach action chunk. We conducted
453 a reward devaluation experiment to probe this issue and investigate the role of VTA
454 dopamine neurons in goal-directed action selection. Rats expressing mCherry or hM4Di
455 in VTA dopamine neurons were trained on two distinct instrumental action-outcome
456 contingencies, after which they underwent reward devaluation testing after pretreatment
457 with CNO (5mg/kg) or vehicle (Figure 5A). Rats performed significantly fewer presses
458 on the devalued lever than on the valued lever (Figure 5B; Lever effect, $p < .001$;
459 Supplementary File Table 5 for full generalized linear mixed-effects model output). CNO
460 treatment did not significantly alter the effect of reward devaluation on lever pressing in
461 either hM4Di or mCherry rats (Drug * Lever, $p = .146$; Group * Drug * Lever interaction,
462 $p = .591$), indicating that VTA dopamine neuron function is not required for this aspect of

463 goal-directed action selection. Inhibiting VTA dopamine neurons also failed to disrupt
464 sensitivity to devaluation during reinforced testing (see Figure 5-Supplement 1).

465 VTA dopamine neuron inhibition did not significantly alter the overall likelihood of
466 press-contingent approach behavior or its sensitivity to reward devaluation (Figure 5C;
467 $ps \geq .109$; see Supplementary File Table 6 for full generalized linear mixed-effects
468 model output). Interestingly, we found that the proportion of presses that were followed
469 by a food-cup approach was actually *greater* for the devalued lever than for the valued
470 lever (Lever effect, $p = .040$). This effect was driven by the fact that lever presses that
471 were not followed by approach were more strongly suppressed by reward devaluation
472 than presses that were directly followed by an approach (Press Type * Lever interaction,
473 $p < .001$; see Figure 5D and Supplementary File Table 7 for full generalized linear
474 mixed-effects model output).

475



476

Figure 5. Chemogenetic inhibition of dopamine neurons on reward devaluation performance. **A.** Th:Cre+ rats received VTA injections of AAV-hSyn-DIO-hM4Di-mCherry or AAV-hSyn-DIO-mCherry. Following recovery, rats were trained on two distinct lever-press actions for two different rewards (Instrumental Learning). Rats then underwent reward-specific devaluation testing following treatment with CNO (5mg/kg) or vehicle. **B.** Chemogenetic VTA dopamine inhibition did not alter the impact of reward devaluation on reward seeking. Total lever presses on the valued (red bars) and devalued (gray) levers in hM4Di or mCherry expressing Th:Cre+ rats, following CNO (5 mg/kg) or vehicle treatments. **C.** Proportion of valued (blue) and devalued (gray) lever-press actions that were followed by a food-cup approach. Rats were more likely to attempt to retrieve reward after performing the devalued lever-press action. This effect was not altered by VTA dopamine neuron inhibition. **D.** Lever presses performed without a subsequent food-cup approach response (red) were more sensitive to reward devaluation than presses that were not followed by an approach (blue).

477

478 Discussion

479 We investigated the role of mesocorticolimbic dopamine circuitry in regulating
480 reward-seeking (lever pressing) and reward-retrieval responses (press-contingent food-
481 cup approach). Consistent with a recent study (Marshall and Ostlund, 2018), we found
482 that noncontingent CS+ presentations increased reward seeking, generally, but also

483 increased the likelihood that rats would attempt to retrieve reward after performing such
484 actions. These behaviors were differentially mediated by the mesolimbic dopamine
485 system. Specifically, chemogenetic inhibition of VTA dopamine neurons or their inputs
486 to NAc, but not mPFC, disrupted the excitatory influence of the CS+ on reward seeking,
487 but spared that cue's ability to increase attempts to retrieve reward. These behaviors
488 were also differentially sensitive to reward devaluation, which suppressed reward
489 seeking but actually increased the likelihood that rats would attempt to retrieve reward.
490 VTA dopamine neurons inhibition did not impact the influence of reward devaluation on
491 either component of behavior.

492 We found that attempts to retrieve reward by transitioning from the lever to the
493 food cup were executed in a habitual manner, without consideration of reward value,
494 consistent with action chunking (Dezfouli et al., 2014; Smith and Graybiel, 2016).
495 However, task performance was not limited to these press-approach action chunks.
496 When rats pressed the lever but were not reinforced (with food or cues), they would
497 occasionally check the food cup but often omitted this response. This sporadic pattern
498 of reward retrieval is adaptive given that strict press-approach action sequencing is
499 unnecessary under such conditions, when rewards are sparse and uncertain. Instead,
500 rats seemed to vacillate between two different strategies when initiating the lever-press
501 response, performing it as part of a complete action chunk (press-approach) or as a
502 discrete action (press only). These distinct patterns of reward seeking appeared to be
503 differentially sensitive to reward devaluation. While rats were generally less likely to
504 lever press for the devalued reward than for the valued reward, press-approach action
505 chunks tended to be less sensitive to reward devaluation than presses that were not

506 followed by approach. Because of this differential sensitivity to reward devaluation, the
507 *proportion* of all lever presses followed by an attempt to retrieve reward was actually
508 *greater* for devalued action than for the valued action. Such findings supports the
509 connection between action chunking and habitual behavior (Graybiel, 2008; Dezfouli et
510 al., 2014; Smith and Graybiel, 2016), and suggest that moment-to-moment control over
511 self-paced, reward-seeking behavior may shift back and forth between habit and goal-
512 directed systems.

513 PIT testing revealed that the CS+ generally increased lever pressing, but
514 disproportionately increased the performance of press-approach action chunks, at least
515 relative to their otherwise low frequency of occurring in the absence of the CS+. This
516 finding further bolsters the connection between action chunking and habitual control
517 given previous reports that habitual reward-seeking actions are particularly sensitive to
518 the motivational effects of reward-paired cues (Holland, 2004; Wiltgen et al., 2012).
519 However, while press-approach action chunks were elevated during the CS+, they still
520 accounted for only a minority (between 30% and 50%) of lever presses that were
521 performed during these trials. Most lever presses evoked by the CS+ were *not* followed
522 by a food-cup approach, and it was this component of the PIT effect that was selectively
523 disrupted by chemogenetic inhibition of VTA dopamine neurons or their inputs to NAc.
524 The ability of the CS+ to promote press-approach chunks was, in contrast, completely
525 spared by these manipulations. Consistent with this, we found that the response-
526 suppressive effect of NAc dopamine terminal inhibition varied across rats based on the
527 way they normally responded to the CS+. Rats that responded to that cue with a large
528 increase in discrete lever presses (i.e., *without* subsequent food-cup approach) showed

529 the greatest suppression. We suggest that this may reflect differences across rats in
530 their sensitivity to the dopamine-dependent motivational effects of reward-paired cues.

531 Previous studies have found that dopamine receptor antagonists either
532 selectively suppress lever pressing without affecting concomitant food-cup approach
533 (Nelson and Killcross, 2013), or suppress both types behavior to a similar extent
534 (Wassum et al., 2011; Ostlund et al., 2012). Even this latter finding is consistent with
535 dopamine contributing more to reward seeking than reward retrieval, since a reduction
536 in reward seeking creates fewer opportunities to retrieve reward. Interpreting these
537 findings is problematic, however, because such studies typically have not applied
538 microstructural analyses, like those used here, to distinguish between press-contingent
539 and noncontingent food-cup approaches. One exception is a study by Nicola (2010)
540 showing that blocking dopamine receptors in the NAc attenuates cue-triggered lever
541 pressing without impacting the latency of subsequent food-cup approach behavior.
542 Building on such findings, the current study used the PIT paradigm to show that the
543 mesolimbic dopamine system specifically mediates the motivational influence of reward-
544 paired cues on reward seeking but not their dissociable ability to increase the likelihood
545 that such actions will be followed by an attempt to retrieve reward.

546 Our previous studies monitoring mesolimbic dopamine release during PIT
547 performance are also interesting to consider together with the current findings. For
548 instance, we found that CS+ evoked phasic dopamine release in the NAc correlates
549 with that cue's effect on lever pressing (Wassum et al., 2013; Ostlund et al., 2014) but
550 not food-cup approaches (Aitken et al., 2016). We also found that individual CS+
551 evoked lever presses are temporally correlated with transient bouts of phasic dopamine

552 release (Ostlund et al., 2014). The current findings suggest that this relationship
553 between NAc dopamine release and cue-motivated reward seeking may be stronger for
554 discrete presses that are performed without a subsequent food-cup approach than for
555 complete press-approach chunks. This question remains to be investigated, and would
556 help resolve whether the mesolimbic dopamine system is involved in modulating reward
557 seeking, generally, or whether its activity becomes uncoupled from the execution of
558 action chunks, which may become differentially associated with nigrostriatal dopamine
559 system activity (Jin and Costa, 2010).

560 While dopamine is known to play a crucial role in forming new action chunks
561 (Graybiel, 1998; Jin and Costa, 2015), its role in the expression of previously learned
562 action chunks is less clear. Our findings indicate that VTA dopamine circuitry does not
563 play a necessary role in the execution of press-approach action chunks, regardless of
564 whether they are self-initiated or are prompted by a reward-paired cue. This is generally
565 compatible with previous findings. For instance, dopamine receptor blockade
566 suppresses action sequence performance early but not late in training (Levesque et al.,
567 2007; Wassum et al., 2012). Moreover, the phasic NAc dopamine release that normally
568 precedes action sequence performance tends to become attenuated as rats acquire
569 efficient task performance, presumably through action chunking (Cacciapaglia et al.,
570 2012; Wassum et al., 2012; Klanker et al., 2015; Collins et al., 2016). That said, the
571 mesolimbic dopamine system continues to contribute to action sequence tasks that
572 require considerable effort, such as the execution of a long series of lever presses
573 (Fischbach-Weiss et al., 2017).

574 Inhibiting VTA dopamine neurons did not impact rats' sensitivity to reward
575 devaluation, which is consistent with other findings in the literature (Dickinson et al.,
576 2000; Lex and Hauber, 2009; Lex and Hauber, 2010; Wassum et al., 2011). Such
577 findings are interesting given that regions innervated by this dopamine system, including
578 the NAc and mPFC, are known to make important contributions to goal-directed
579 decision making (Bradfield and Balleine, 2017; Sharpe et al., 2019). Of course,
580 dopamine likely contributes to goal-directed decision making in more demanding tasks
581 that require greater cognitive resources (Floresco, 2013; Cools, 2015; Westbrook and
582 Braver, 2016).

583 It is also notable that inhibiting mPFC dopamine terminals had no detectable
584 effects on expression of PIT, since food-paired cues are known to elicit dopamine
585 release (Bassareo and Di Chiara, 1997; Feenstra et al., 1999) and neural activity
586 (Homayoun and Moghaddam, 2009) in the mPFC. It is possible that the dissociable
587 effects of NAc versus mPFC dopamine terminal inhibition reported here may relate to
588 inherent differences between the mesolimbic and mesocortical dopamine systems,
589 which include regional differences in release kinetics and in the density of dopamine
590 terminals or receptors (Lammel et al., 2008; Vander Weele et al., 2018; Mahler et al.,
591 2019). However, previous lesion studies suggest that the mPFC may not be an
592 essential component of the circuitry that mediates PIT performance (Cardinal et al.,
593 2003; Corbit and Balleine, 2003), which is more in line with the current results.

594 Our findings may also have implications for understanding the role of dopamine
595 in pathologies of behavioral control such as obsessive-compulsive disorder (OCD). In
596 the signal attenuation model of OCD (Joel and Avisar, 2001), rats learn that response-

597 contingent cues no longer signal that an instrumental reward-seeking action will
598 produce reward. In this case, the logical organization of reward-seeking and -retrieval
599 actions disintegrates, such that rats exhibit persistent reward seeking, typically without
600 attempting to collect reward from the food cup. It was previously reported that blocking
601 D1-dopamine receptors disrupts expression of these incomplete bouts of compulsive-
602 like reward seeking, without affecting the production of complete bouts of reward
603 seeking and retrieval, which continue to be performed on some test trials (Joel and
604 Doljansky, 2003). Considered in this light, our findings suggest that the mesolimbic
605 dopamine system may mediate the tendency for reward-paired cues to promote this
606 potentially compulsive component of cue-motivated reward seeking. This link deserves
607 further research, and may facilitate research to advance understanding and treatment of
608 compulsive disorders like OCD and addiction (Joel et al., 2008; Robinson et al., 2014).
609

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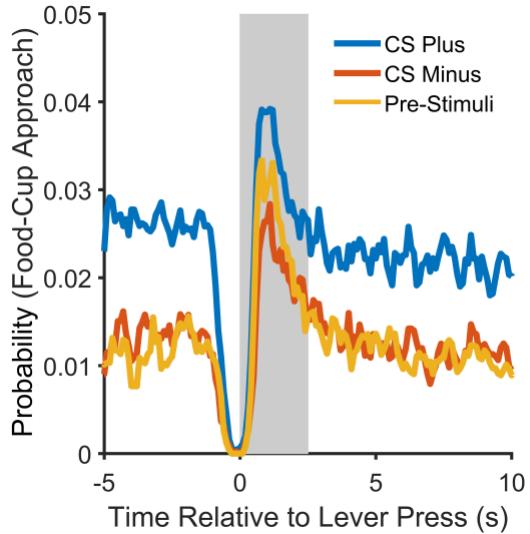


Figure 3-Supplement 1. Probability of food-cup approaches as a function of time surrounding individual lever-press responses during PIT testing, plotted separately for CS+ (blue), CS- (red), and pre-CS (yellow) periods. This analysis was restricted to vehicle tests completed by rats in Experiments 2 and 3A. Shewhart process control chart analyses were used to determine the times when food-cup approach behavior was elevated with respect to constant background rates. This value approximated 2.5 s following each lever press, as shown by the shaded box.

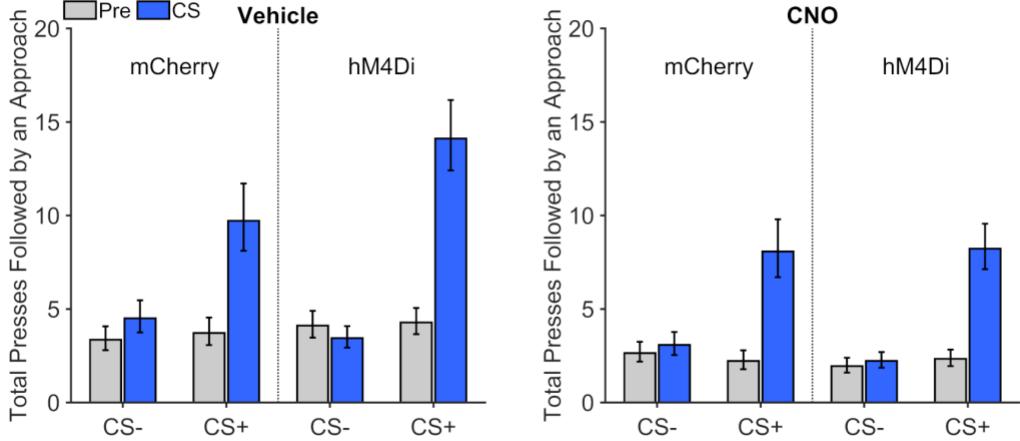


Figure 3-Supplement 2. Frequency of lever presses that were followed by a food-cup approach during PIT testing by rats expressing the inhibitory DREADD hM4Di or mCherry following vehicle or CNO (5mg/kg) treatment in Experiment 2. Data from pre-CS (gray) and CS (blue) periods are plotted separately. Error bars represent ± 1 standard error of the estimated marginal means from the corresponding fitted generalized linear mixed-effects model. We found that the CS+ but not the CS- strongly increased the frequency of these press-approach sequences (CS Period * CS Type interaction, $t(240) = 7.87$, $p < .001$), which did not vary as a function of Group or Drug treatment (interactions involving these factors, $p \geq .18$). However, while CNO administration did not disrupt the ability of the CS+ to elicit these press-approach sequences, it did result in a general, hM4Di-independent reduction in the frequency of these sequences (Drug effect, $t(240) = -4.52$, $p < .001$; Drug * Group interaction, $t(240) = -1.19$, $p = .234$).

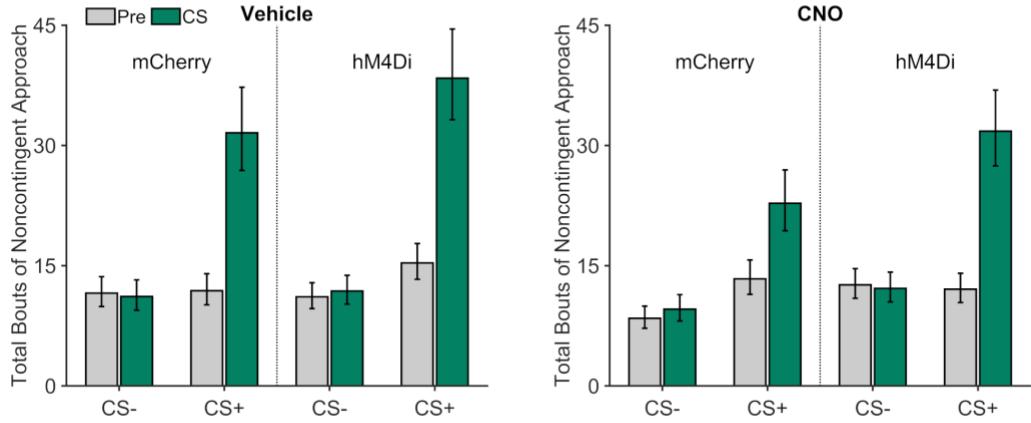


Figure 3-Supplement 3. Noncontingent (press-independent) food-cup approaches during PIT testing by rats expressing the inhibitory DREADD hM4Di or mCherry following vehicle or CNO (5mg/kg) treatment in Experiment 2. Data from pre-CS (gray) and CS (green) periods are plotted separately. Error bars represent ± 1 standard error of the estimated marginal means from the corresponding fitted generalized linear mixed-effects model. This behavior was selectively increased by the CS+ relative to the CS- (CS Period * CS Type interaction, $t(240) = 11.72, p < .001$). CNO produced a nonspecific (hM4Di-independent) suppression in this approach response (Drug effect, $t(240) = -1.99, p = .047$), which was more pronounced for the mCherry group (Group * Drug * CS Type * CS Period interaction, $t(240) = 2.73, p = .0067$).

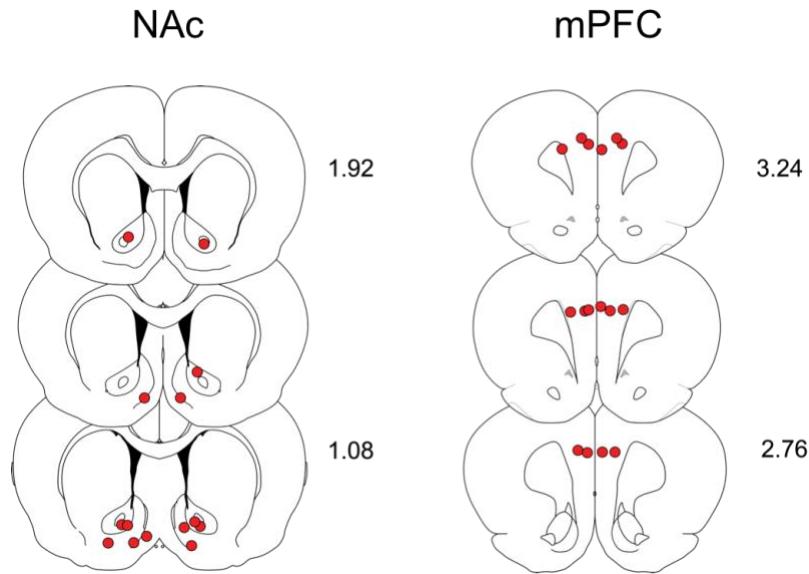


Figure 4-Supplement 1. Cannulae placements for Experiment 3A hM4Di expressing rats. Individual placements in nucleus accumbens (NAc) and medial prefrontal cortex (mPFC).

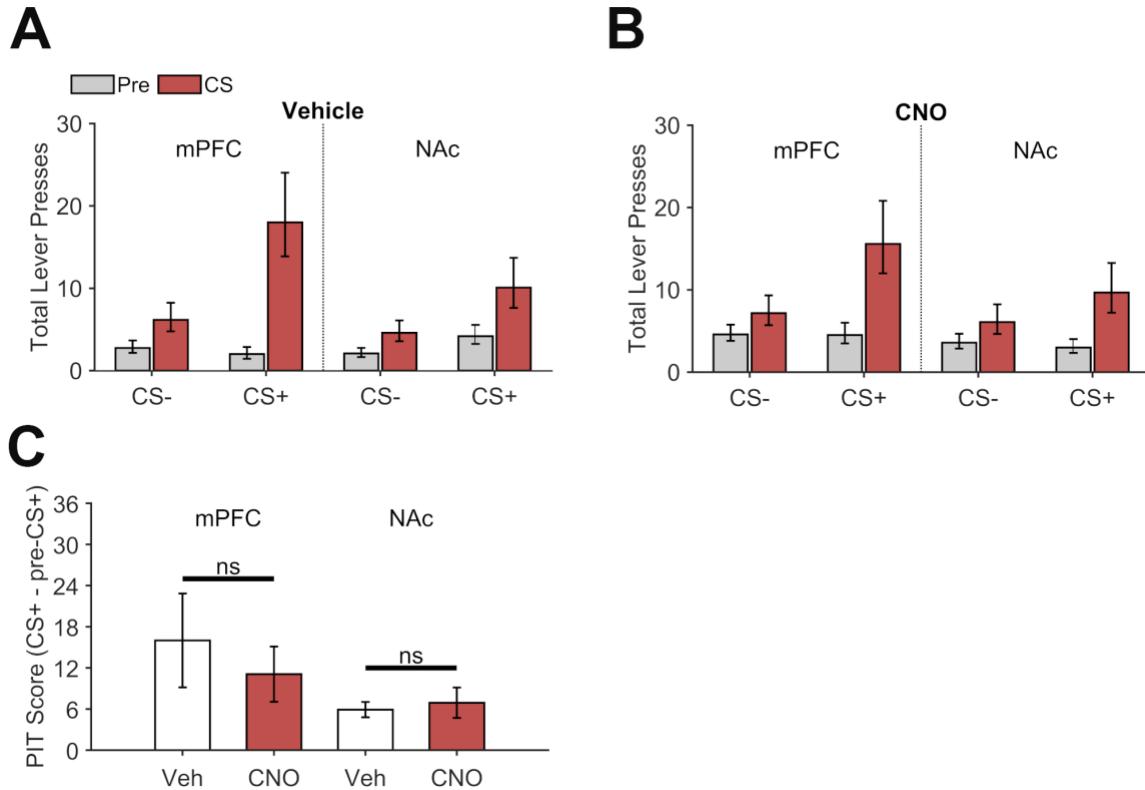


Figure 4-Supplement 2. Frequency of lever presses during PIT testing by rats expressing mCherry following microinjection of vehicle (A) or CNO (B) into the mPFC or NAc in Experiment 3B. Data from pre-CS (gray) and CS (red) periods are plotted separately. Error bars represent ± 1 standard error of the estimated marginal means from the corresponding fitted generalized linear mixed-effects model. The CS+ induced a cue-specific increase in lever pressing (CS Type * CS Period interaction, $t(176) = 4.51$, $p < .001$). Rats in the NAc group exhibited a marginally weaker CS+ specific increase in lever pressing than rats in the mPFC group (Site * CS Type * CS Period interaction, $t(176) = -1.89$, $p = .060$). CNO appeared to slightly attenuate CS+ elicited lever pressing, particularly when injected into the mPFC, though this effect was not significant (effect was not significant (Drug * CS Period * CS Type interaction, $t(176) = -0.0022$, $p = .998$; Site * Drug * CS Period * CS Type interaction, $t(176) = 1.83$, $p = .068$). C. A focused analysis of CS+ elicited lever pressing (PIT Score; ± 1 between-subjects SEM) confirmed that CNO injections did not significantly disrupt this effect in either the mPFC ($t(5) = 1.63$, $p = .165$) or NAc ($t(5) = 0.33$, $p = .753$) group. These results indicate that the tendency for intra-NAc CNO injections to disrupt CS+ elicited lever pressing in hM4Di expressing rats in Experiment 3A (see Figure 4, main text) was due to dopamine terminal inhibition and not a nonspecific CNO effect.

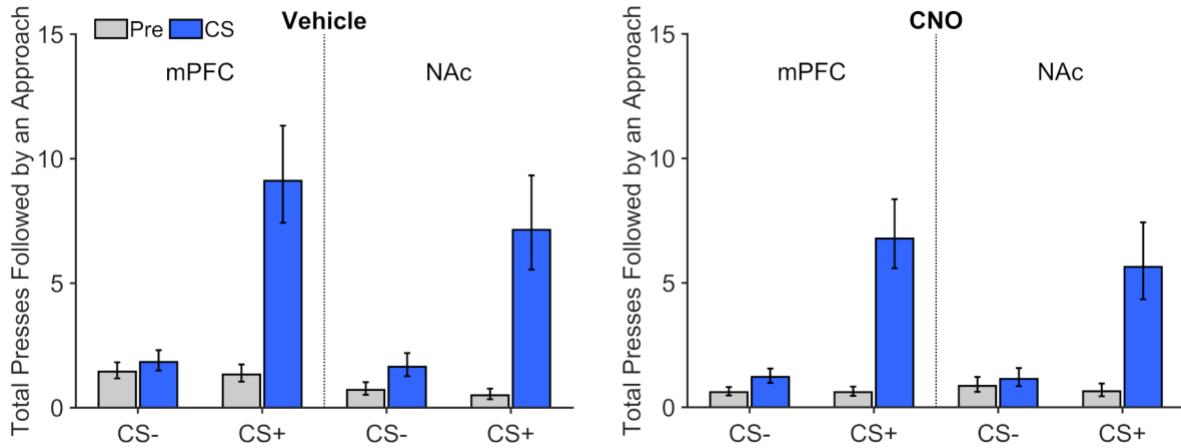


Figure 4-Supplement 3. Frequency of presses that were followed by a food-cup approach during PIT testing by rats expressing the inhibitory DREADD hM4Di following microinjection of CNO or vehicle into the mPFC or NAc in Experiment 3A. Data from pre-CS (gray) and CS (blue) periods are plotted separately. Error bars represent ± 1 standard error of the estimated marginal means from the corresponding fitted generalized linear mixed-effects model. The cue-induced increase in the frequency of these press-approach sequences was specific to the CS+ (CS Type * CS Period interaction, $t(240) = 7.34, p < .001$), which did not vary as a function of drug and/or injection site ($p > .689$), nor were there any main effects of these treatment variables (Drug effect, $t(240) = 1.05, p = .294$; Site effect, $t(240) = -0.26, p = .798$; Drug * Site interaction, $t(240) = 1.28, p = .203$).

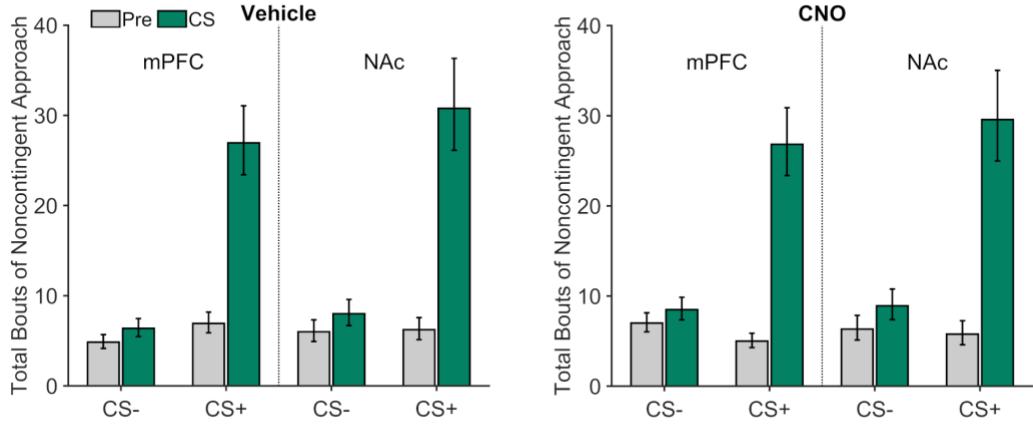


Figure 4-Supplement 4. Noncontingent (press-independent) food-cup approaches during PIT testing in rats expressing the inhibitory DREADD hM4Di following microinjection of CNO or vehicle into the mPFC or NAc in Experiment 3A. Data from pre-CS (gray) and CS (green) periods are plotted separately. Error bars represent ± 1 standard error of the estimated marginal means from the corresponding fitted generalized linear mixed-effects model. Noncontingent approach behavior was selectively elicited by the CS+ but not the CS- (CS Period * CS Type interaction, $t(240) = 12.98, p < .001$). CNO administration led to a very modest but reliable *enhancement* in the tendency for the CS+ to increase this behavior over baseline levels (Drug * CS Type * CS Period interaction, $t(240) = 2.98, p = .0032$), which did not depend on injection site (Drug * Site * CS Type * CS Period interaction, $t(240) = -1.45, p = .147$). CNO did not have any general (cue-independent) effects on this response (Drug effect, $t(240) = -0.41, p = .680$; Site effect, $t(240) = 0.75, p = .456$; Drug * Site interaction, $t(240) = -0.96, p = .338$).

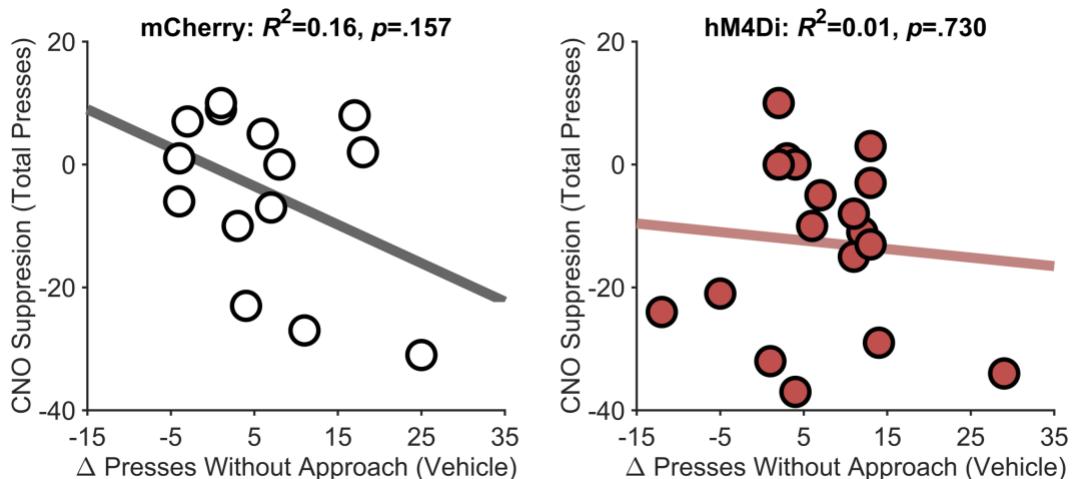


Figure 4-Supplement 5. Scatter plots show the relationship between individual

differences in the effect of the CS+ on lever presses that were not followed by food-cup approach in the vehicle condition (Δ Presses without approach) and the suppressive effect of CNO on CS+ evoked lever pressing (PIT Score for vehicle test - PIT Score for CNO test). Data points are from individual rats expressing mCherry (left panel) or hM4Di (right panel).

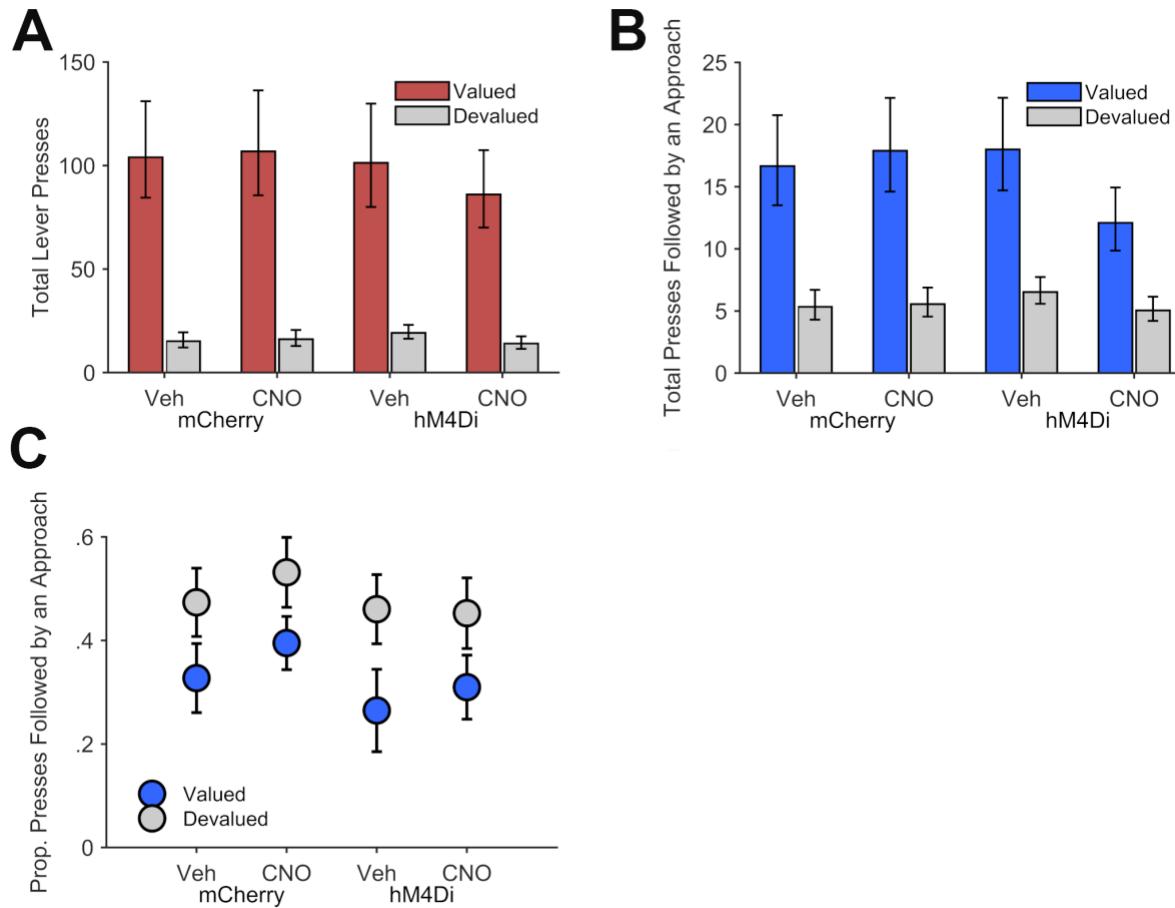


Figure 5-Supplement 1. Data from the reinforced phase of reward devaluation testing for rats expressing the inhibitory DREADD hM4Di or mCherry following vehicle or CNO treatment in Experiment 4. **(A)** Significantly fewer lever presses were performed on the devalued lever compared to the valued lever, $t(148) = -5.55, p < .001$, which did not interact with Group or Drug, $ps \geq .095$. **(B)** Similarly, the frequency of presses that were followed by a food-cup approach was lower on the devalued versus the valued lever, $t(148) = -5.46, p < .001$, which also did not depend on Group or Drug, $ps \geq .128$. In A-B, error bars represent ± 1 standard error of the estimated marginal means from the corresponding fitted generalized linear mixed-effects model. **(C)** The proportion of lever presses that were followed by a food-cup approach was significantly higher for the devalued versus the valued lever, $t(131) = 4.11, p < .001$, which also did not depend on Group or Drug conditions, $ps \geq .679$.