

Heterochromatin delays CRISPR-Cas9 mutagenesis but does not influence repair outcome

Eirini M Kallimasioti-Pazi¹, Keerthi Thelakkad Chathoth^{1, 2}, Gillian C Taylor¹, Alison Meynert¹, Tracy Ballinger¹, Martijn Kelder¹, Sébastien Lalevée^{3,4}, Ildem Sanlı³, Robert Feil³, Andrew J Wood^{1,5}

¹ MRC Human Genetics Unit, Institute of Genetics and Molecular Medicine, University of Edinburgh, Crewe Road, Edinburgh, EH4 2XU, UK.

² Current address: School of Biological Sciences, University of Essex, Colchester CO4 3SQ, United Kingdom

³ Institute of Molecular Genetics of Montpellier (IGMM), CNRS and University of Montpellier,
1919 route de Mende, 34293 Montpellier, France

⁴ Current address: 4-Antibody AG, Hochbergstrasse 60C, CH-4067, Basel, Switzerland

⁵ Corresponding author; email: Andrew.wood@igmm.ed.ac.uk

25 **Abstract**

26 CRISPR-Cas9 genome editing occurs in the context of chromatin, which is heterogeneous in
27 structure and function across the genome. Chromatin heterogeneity is thought to affect
28 genome editing efficiency, but this has been challenging to quantify due to the presence of
29 confounding variables. Here, we develop a method that exploits the allele-specific chromatin
30 status of imprinted genes in order to address this problem. Because maternal and paternal
31 alleles of imprinted genes have identical DNA sequence and are situated in the same
32 nucleus, allele-specific differences in the frequency and spectrum of Cas9-induced mutations
33 can be attributed unequivocally to epigenetic mechanisms. We found that heterochromatin
34 can impede mutagenesis, but to a degree that depends on other key experimental
35 parameters. Mutagenesis was impeded by up to 7-fold when Cas9 exposure was brief and
36 when intracellular Cas9 expression was low. Surprisingly, the outcome of mutagenic DNA
37 repair was independent of chromatin state, with similar efficiencies of homology directed
38 repair and deletion spectra on maternal and paternal chromosomes. Combined, our data
39 show that heterochromatin imposes a permeable barrier that influences the kinetics, but not
40 the endpoint of CRISPR-Cas9 genome editing, and suggest that therapeutic applications
41 involving low-level Cas9 exposure will be particularly affected by chromatin status.

42

43

44

45

46

47

48

49

50

51 **Introduction**

52 CRISPR-Cas9 is an RNA guided endonuclease involved in bacterial adaptive immunity,
53 which has been repurposed as a highly efficient tool for eukaryotic genome editing [1-3]. In its
54 natural form, Cas9 protein associates with a duplex of two RNA molecules: the crRNA, which
55 recognises a short section of target DNA (the “protospacer”), and a tracrRNA, which acts as
56 a scaffold to link the crRNA and Cas9 endonuclease. Most genome editing applications use a
57 single guide RNA molecule (sgRNA) resulting from an engineered fusion of these two
58 components. After target DNA cleavage, mutations arise through the action of cellular DNA
59 repair pathways. Non-homologous end-joining (NHEJ, including both classical and
60 microhomology-mediated pathways) can yield short insertions and deletions suitable for gene
61 knockout, whereas homology-directed repair pathways utilise exogenous donor templates to
62 introduce precise sequence changes.

63 It is well established that genetic properties of the genomic target site and sgRNA
64 molecule have a significant effect on the efficiency of CRISPR mutagenesis [4-6]. However,
65 Cas9, being prokaryotic in origin, did not evolve to cope with the complex chromatinised
66 environment of the eukaryotic genome. Despite prior studies in this area [4,7-14], the extent
67 to which epigenetic properties of the target site, including DNA and histone modifications,
68 influence mutation frequency and DNA repair outcome remains incompletely understood.
69 Stably positioned nucleosomes act as a barrier to Cas9 binding and function on synthetic
70 chromatin fibres [7,8,11], and in vivo [7], yet catalytically dead (d)Cas9 can open previously
71 inaccessible regions of chromatin [15,16]. It has been reported that some sgRNAs show
72 reduced activity within heterochromatin whereas others do not [13,14]. The reasons behind
73 this paradox are unclear, but presumably involve other experimental variables that modify the
74 influence of chromatin on CRISPR activity. Furthermore, it is widely accepted that double
75 strand break (DSB) repair is influenced by the chromatin environment in which DSBs arise

76 [17-21], and DSB repair is central to the mechanism of genome editing [22,23]. However, it is
77 unclear whether pre-existing epigenetic properties of the target site impact upon the specific
78 sequence changes that arise following Cas9 cleavage.

79 Genomic imprinting is a natural epigenetic process in which either the maternal or
80 paternally derived copy of a gene is transcriptionally silenced. Essential regulatory elements
81 within imprinted domains called 'imprinting control regions' undergo differential CpG
82 methylation in the male and female germline. This leads to the establishment of monoallelic
83 domains of heterochromatin in the early embryo that are maintained throughout somatic
84 development [24]. These imprinted alleles carry all known hallmarks of constitutive
85 heterochromatin, including post-translational histone modifications (H3K9me3, H4K20me3,
86 histone hypoacetylation) and heterochromatin binding proteins (HP1 γ).

87 Genomic imprinting has provided numerous insights into mechanisms of
88 transcriptional regulation [25-28]. Because active and silent alleles of imprinted loci have
89 identical DNA sequence, chromosomal position and potential exposure to diffusible
90 regulators, allele-specific chromatin modifications must be sufficient to account for their
91 differential expression [29]. Based on this principle, we postulated that genomic imprinting
92 could be used to provide new insights into the influence of chromatin modifications on
93 targeted mutagenesis.

94

95

96 **Results**

97 Mouse embryonic stem cell (mESC) lines were derived from male F1 hybrid blastocysts of
98 inter-subspecies crosses between (C57BL6/J (B6) and the *Mus m. molossinus* inbred strain
99 JF1 (Figure 1A). These cells are heterozygous for strain-specific single nucleotide
100 polymorphisms (SNPs) [30], which serve as genetic markers that distinguish maternal and
101 paternal chromosomes. To control for possible genetic effects on mutagenesis arising from
102 SNPs, we derived mESCs from reciprocal crosses (B6 female x JF1 male (BxJ), and JF1
103 female x B6 male (JxB)), and used both cell lines in parallel wherever possible.

104 We targeted three maternally imprinted CpG islands: *KvDMR1* (hereafter referred to
105 as *KvDMR*, Figure 1B, Figure S1A), *Impact* (Figure S2A) and *Inpp5f_v2* (Figure S3A).
106 Maternally imprinted loci were selected due to their greater epigenetic stability during ESC
107 culture compared to paternally inherited marks [31]. To determine whether these loci had
108 distinct epigenetic configurations on maternal and paternal alleles in BxJ and JxB mESCs,
109 we performed allele-specific DNase-I hypersensitivity assays (Figure S1B, Figure S2B,
110 Figure S3B), and allele-specific ChIP experiments for H3K9me3 and H4K20me3 (Figure 1C,
111 Figure S1C, S1D, Figure S2C, S2D, Figure S3C, S3D). In each case, paternally derived
112 alleles were substantially more sensitive to DNase-I digestion, whereas maternal alleles were
113 highly enriched for heterochromatin marks. Nonetheless, in specific instances such as
114 *Inpp5f_v2* in BxJ cells, loss of imprinting (LOI) was evident from incomplete allelic enrichment
115 of histone modifications (Figure S3D), and incomplete depletion of paternal alleles by
116 DNase-I (Figure S3B). To quantify the degree of LOI, CpG methylation levels were assessed
117 by PCR amplification of target sites from bisulfite-modified template DNA followed by high-
118 throughput amplicon sequencing. As expected, loss of imprinting was observed to a variable
119 degree in some, but not all cases, and at least one cell line maintained a substantial degree

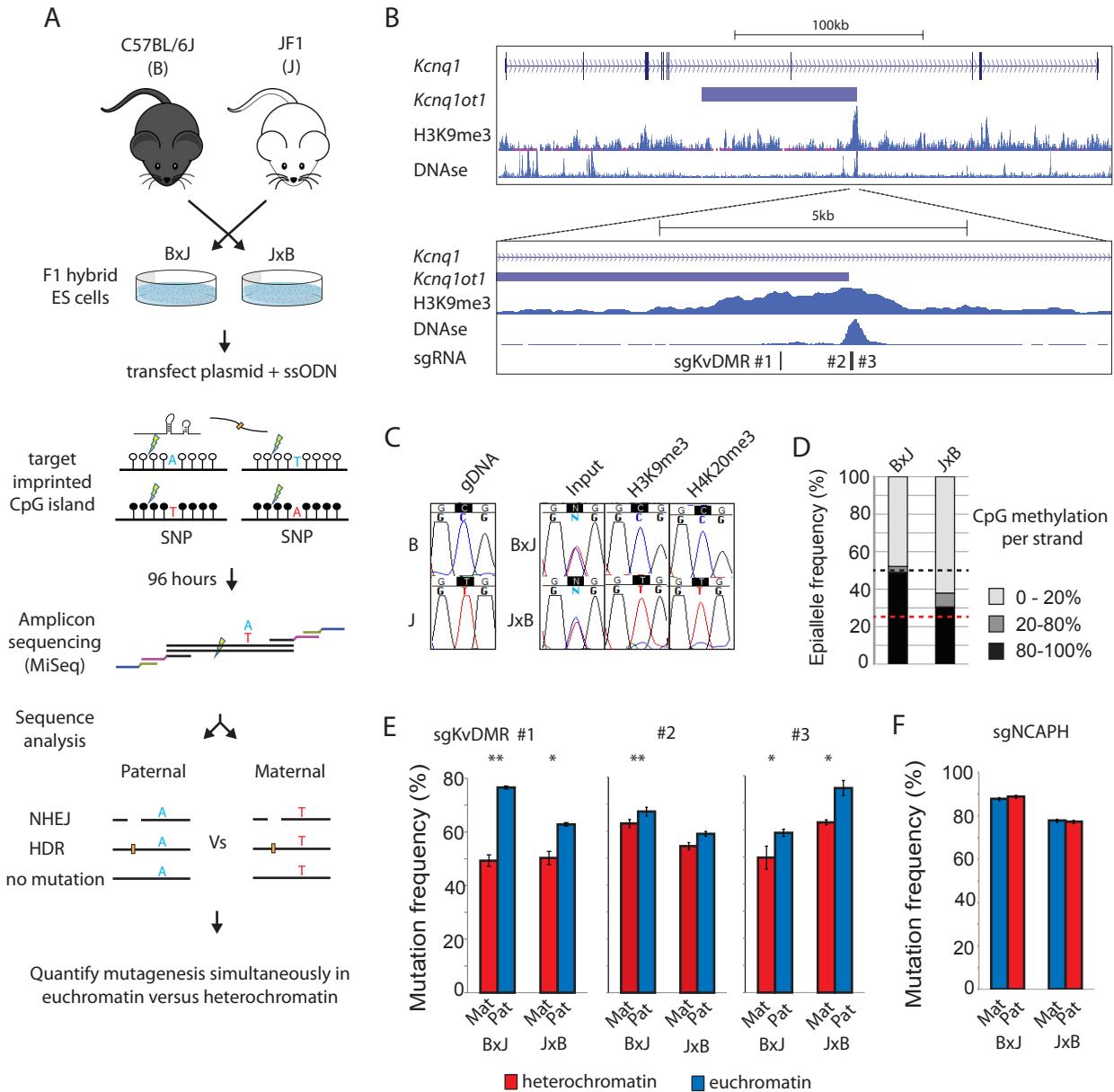


Figure 1. Imprinted chromatin as a model system to quantify epigenetic influences on genome editing. **A.** Schematic outlining the experimental workflow. Throughout the text, F1 hybrid cell lines are depicted with the maternal strain denoted before the paternal strain (ie. In BxJ – B is maternal and J paternal). sgRNAs are designed to cleave approximately 40 -100bp from a heterozygous SNP (A/T) within imprinted chromatin (open and closed circles). MiSeq amplicons span both the SNP and site of mutation, which allows simultaneous assessment of genome editing outcome and parental allele at high-throughput. **B.** (top) Schematic showing the imprinted mouse *Kcnq1* gene including H3K9me3 ChIP and DNAse-I-seq data from mouse ESCs available through Encode (ENCSR000CBH, GSM1014187) (bottom). Higher resolution view of the *KvDMR* imprinted CpG island within *Kcnq1*, showing the position of three sgRNAs used in panel E. **C.** Allele-specific enrichment of H3K9me3 and H4K20me3. PCR fragments spanning the target sites of sgKvDMR#2 & #3 were amplified from input, or ChIP DNA prior to Sanger sequencing across an allelic SNP. gDNA = genomic DNA from purebred mice. **D.** CpG methylation at the *KvDMR* locus. Bisulfite-converted genomic DNA was subjected to amplicon sequencing across a region spanning 13 CpG dinucleotides (Figure S1A), and reads were classified according to the proportion of non-converted (methylated) CpGs. The black dashed line indicates the expected level of methylation across all alleles when imprinting is completely maintained, and the red line the level with 50% loss of imprinting **E.** Allele-specific mutation frequencies for KvDMR sgRNAs #1 - 3. Error bars represent SEM of 3 biological replicates, p-values denote two-tailed paired t-tests of difference between maternal (Mat) and paternal (Pat) alleles. * $p < 0.01$, ** $p < 0.001$. **F.** Allele-specific mutation frequencies from experiments using an sgRNA (sgNCAPH) targeting a non imprinted locus, presented as in panel E.

120 of imprinting at all three loci (Figure 1D, Figure S2E, Figure S3E). Instances where imprinted
121 CpG methylation fell below 50% of expected levels were excluded from further analysis.

122 We designed 3 different sgRNAs to target protospacer sequences within KvDMR
123 (Figure 1B, Figure S1A). mESCs were transfected with Cas9 and sgRNA expressed from
124 plasmid pX459v2 [32], together with a single stranded oligodeoxynucleotide (ssODN) donor
125 template that introduced point mutations to prevent re-cutting following homology-directed
126 repair (HDR). Transfected cells were selected in puromycin and collected as a pool 4 days
127 after transfection. Editing was quantified by Illumina sequencing of PCR amplicons spanning
128 both the site of cleavage and an allelic SNP (Figure 1A, Figure S1A, for detailed experimental
129 protocols see Supplemental Methods). This allowed detailed assessment of mutagenic repair
130 separately on maternal and paternal chromosomes, including the ratio of edits arising via
131 either NHEJ or HDR pathways (see below).

132 We first compared the frequency of all edits (NHEJ+HDR) on maternal versus paternal
133 alleles. All three sgRNAs yielded more mutations on the active paternal allele compared to
134 the repressed maternal allele (Figure 1E), whereas a control, non-imprinted locus (*NCAPH*)
135 showed no such allelic bias (Figure 1F). The effect of imprinted chromatin was remarkably
136 subtle in this context: 1.2 – 1.6 fold, even in BxJ cells where imprinting was completely
137 maintained (Figure 1D).

138 To account for these results, we reasoned that CRISPR might less efficiently
139 overcome the heterochromatin barrier when the intracellular concentration of Cas9 is low
140 [33]. To test this hypothesis, KvDMR sgRNA#3 was expressed from plasmid pX458, in which
141 spCas9 is fused to eGFP via a self-cleaving 2A peptide. eGFP levels therefore serve as a
142 reporter of Cas9 translation (Figure 2A). Flow cytometry revealed that Cas9 translation levels
143 were highly variable between cells at 24 hours post-transfection (Figure 2B). Cells were
144 purified by fluorescent activated cell sorting (FACS) into three categories based on eGFP

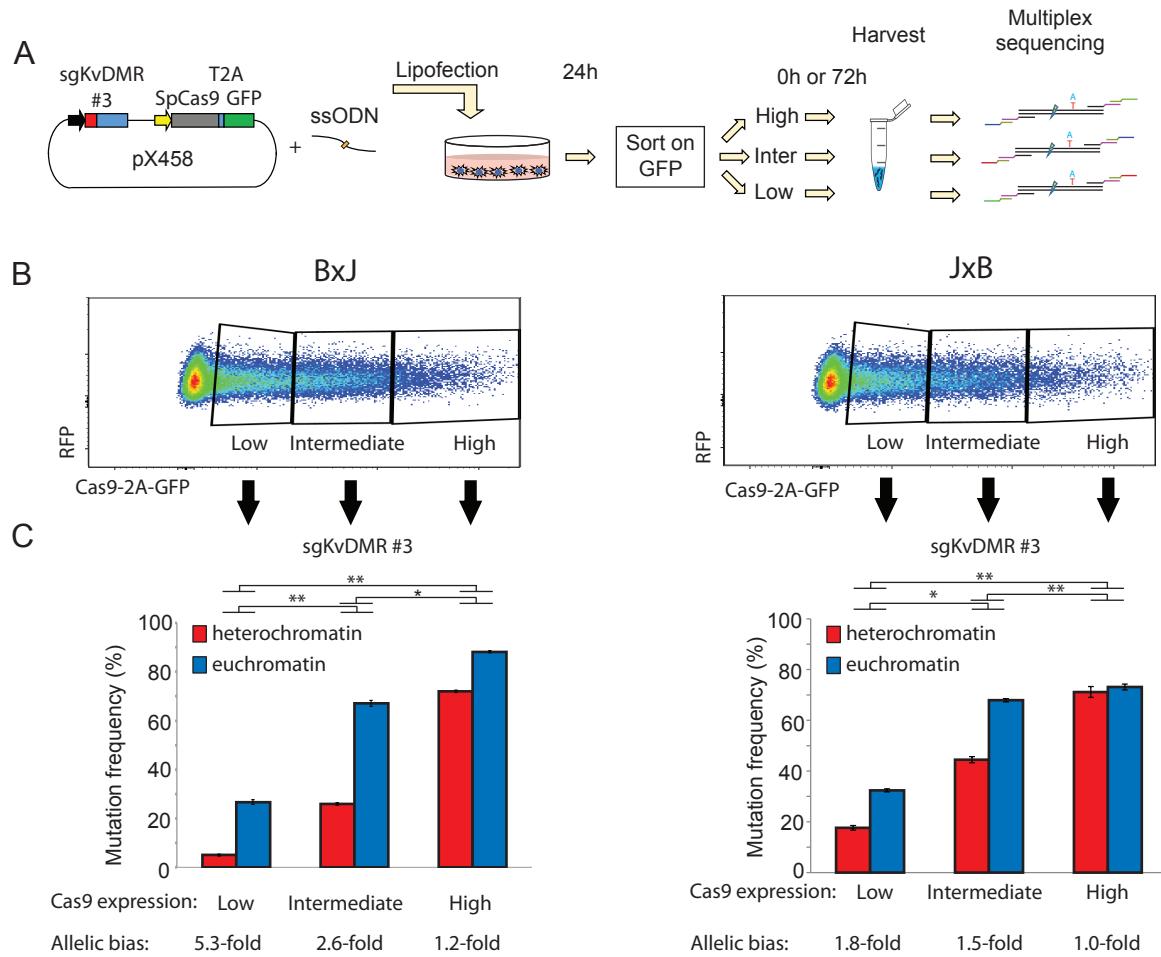


Figure 2: Heterochromatin impedes genome editing in a Cas9-concentration-dependent manner.

A. Schematic outlining the experimental workflow. After FACS, cells were either harvested immediately (Figure S4) or cultured for a further 72 hours (this figure). **B.** Flow cytometry profiles show widely variable expression of Cas9-2A-eGFP at 24 hours following transfection with guide gKvDMR#3 (Figure 1B) expressed from pX458 (see panel A). **C.** Allele-specific mutation analysis within cell populations expressing different levels of Cas9, FACS-purified 24 hours post-transfection using the gating scheme in panel B and then cultured for a further 72 hours before harvesting. Allelic differences are less pronounced in JxB cells due to partial loss of imprinted heterochromatin on maternal alleles in this cell line (Figure 1D). Error bars represent SEM of 3 biological replicates. Asterisks denote p-values for unpaired t-tests on the fold-difference between maternal versus paternal allele mutation frequencies at different levels of Cas9-eGFP expression. * $p < 0.01$, ** $p < 0.001$.

145 fluorescence and then collected either immediately (24h, Figure S4) or following a further 3
146 days in culture (Figure 2). Strikingly, BxJ cells expressing Cas9 at low levels showed a
147 profound (5.3-fold) reduction in mutation frequency on the silent maternal compared to the
148 active paternal allele after four days of exposure. At intermediate levels of Cas9-eGFP
149 expression the mutational bias was moderate (2.6-fold), whereas high expression yielded
150 only subtle differences between alleles (~1.2-fold)(Figure 2C). JxB cells showed the same
151 trend but mutations on the maternal allele were more frequent, consistent with ~30% loss of
152 imprinting (Figure 1D). Heterochromatin therefore impedes mutagenesis to a greater extent
153 when the intracellular concentration of Cas9 is low.

154 Single particle tracking experiments have shown that the efficiency of target searching
155 by catalytically dead (d)Cas9 is reduced within heterochromatin [9]. Whether this impacts
156 upon mutagenesis with Cas9 nuclease was not tested. To determine whether
157 heterochromatin delays mutation kinetics, we targeted the *Impact* imprinted locus (Figure
158 S2A-E), using a highly active sgRNA (sgImpact) that yielded similar frequencies of mutation
159 on maternal and paternal alleles after 4 days of exposure (Figure S2F). BxJ cells were
160 collected at 4 hour intervals following transfection and allele-specific mutagenesis was
161 quantified as described above (Figure 3A). As expected, the frequency of mutations across
162 both alleles increased steadily from 8 hours to 48 hours following transfection, but mutations
163 were more skewed towards the active paternal allele at earlier compared to later time points
164 (Figure 3B). Using sgRNAs targeting two additional imprinted loci (sgKvDMR#1 (Figure S1)
165 and sgInpp5f_v2 (Figure S3)), we observed stronger skewing towards allelic target sites
166 within euchromatin at early (16 hour) compared to later (96 hour) time points (Figure 3C, 3D).
167 This effect was most striking in cells exposed to high concentrations of Cas9, for which a
168 large majority (78%) of mutations present in euchromatin following 96 hours of exposure
169 were found to occur within the first 24 hours (Figure 3E). Within heterochromatin, only 23% of

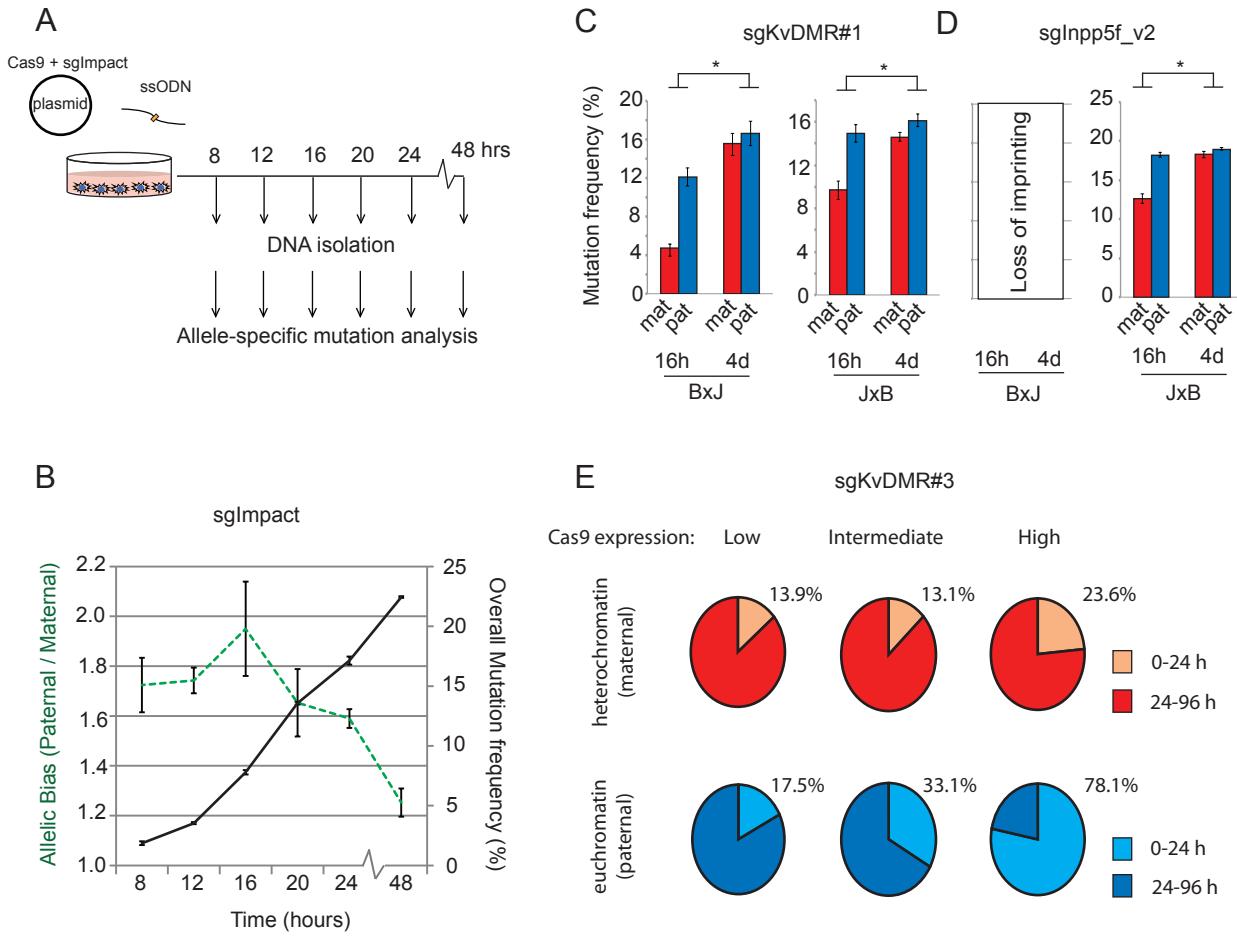


Figure 3: Heterochromatin impairs the kinetics of CRISPR mutagenesis

Schematic depicting the experimental workflow for the time-course experiment in panel B. **B.** Overlaid line graphs depict total mutation rates (black solid line, right y-axis) and skewing towards the euchromatic paternal allele (green dashed line, left y-axis) over time using sgImpact targeting the imprinted *Impact* locus (Figure S2) in BxJ cells. Error bars represent SEM of 3 biological replicates. The short time frame prevented selection for transfected cells in this experiment. **C.** Allele-specific mutation frequencies at 16 hours (16h) and 4 days (4d) post-transfection for experiments using an sgRNA targeting KvDMR (sgKvDMR#1 - Figure S1A). Asterisks denote $p < 0.05$ for unpaired t-tests on the fold difference in maternal versus paternal allele mutation frequencies between timepoints. **D.** As above, using an sgRNA targeting the imprinted *Inpp5f_v2* promoter (Figure S3A). Note that a majority of maternal chromosomes had lost imprinting at this locus in BxJ cells (Figure S3E), hence, only JxB data are shown. **E.** Pie charts show mutation frequencies observed 24 hours post transfection, expressed as a percentage of the mutation frequency in cells collected after 96 hours. Data are derived from the experiment described in Figures 2 and S4, with mutation frequencies broken down by both parental allele and Cas9 expression level. Experiments used sgKvDMR#3 in BxJ cells and were conducted in biological triplicate as described in Figure 2A, with cells collected either immediately after sorting on Cas9-2A-eGFP (24h) or after a further 72 hours in culture.

170 mutations present at 96 hours had occurred by this earlier time point (Figure 3E). We
171 conclude that heterochromatin impairs the kinetics of mutagenesis in a manner that depends
172 on the level of intracellular Cas9 expression. However, target sites within heterochromatin
173 ultimately reach similar frequencies of mutation upon sustained CRISPR exposure.

174 The repair of double strand breaks (DSBs) induced by Cas9-independent routes is
175 thought to be influenced by the pre-existing chromatin environment at the site of cleavage
176 [17,19-21]. However, whether DNA accessibility and/or epigenetic modification of DNA and
177 histone proteins can influence the outcome of CRISPR mutagenesis, particularly the
178 frequency of mutations arising via NHEJ versus HDR-mediated pathways, is not known.
179 Imprinted genes provide an ideal system with which to address this question.

180 For 5 sgRNAs targeting imprinted heterochromatin, mutational profiles were calculated
181 separately from sequencing reads originating from maternal (repressed) versus paternal
182 (active) alleles (Figure 4A, Supplementary Methods). Surprisingly, no consistent allelic biases
183 were evident in the NHEJ:HDR ratios at four days post-transfection (Figure 4B), but the rate
184 of HDR varied by up to 3-fold between loci. This suggests that DNA sequence features of the
185 target and HDR template molecules [5,34] are more important than epigenetic properties in
186 determining HDR efficiency. Even at earlier time points, when overall mutation frequencies
187 are significantly higher on paternal chromosomes (Figure 3B), the ratio of HDR to NHEJ was
188 not significantly different on maternal and paternal alleles (Figure 4C). It is important to stress
189 that our assay cannot, in its current form, measure non-mutagenic DSB repair that does not
190 lead to genome edits. Nonetheless, the data suggest that the relative efficiency of sequence
191 changes occurring via NHEJ or HDR is not substantially affected by the prior chromatin state.
192 We note that a recent study in *Drosophila* found that DSB repair kinetics and pathway choice
193 were similar in euchromatin versus heterochromatin following I-SceI cleavage [35].

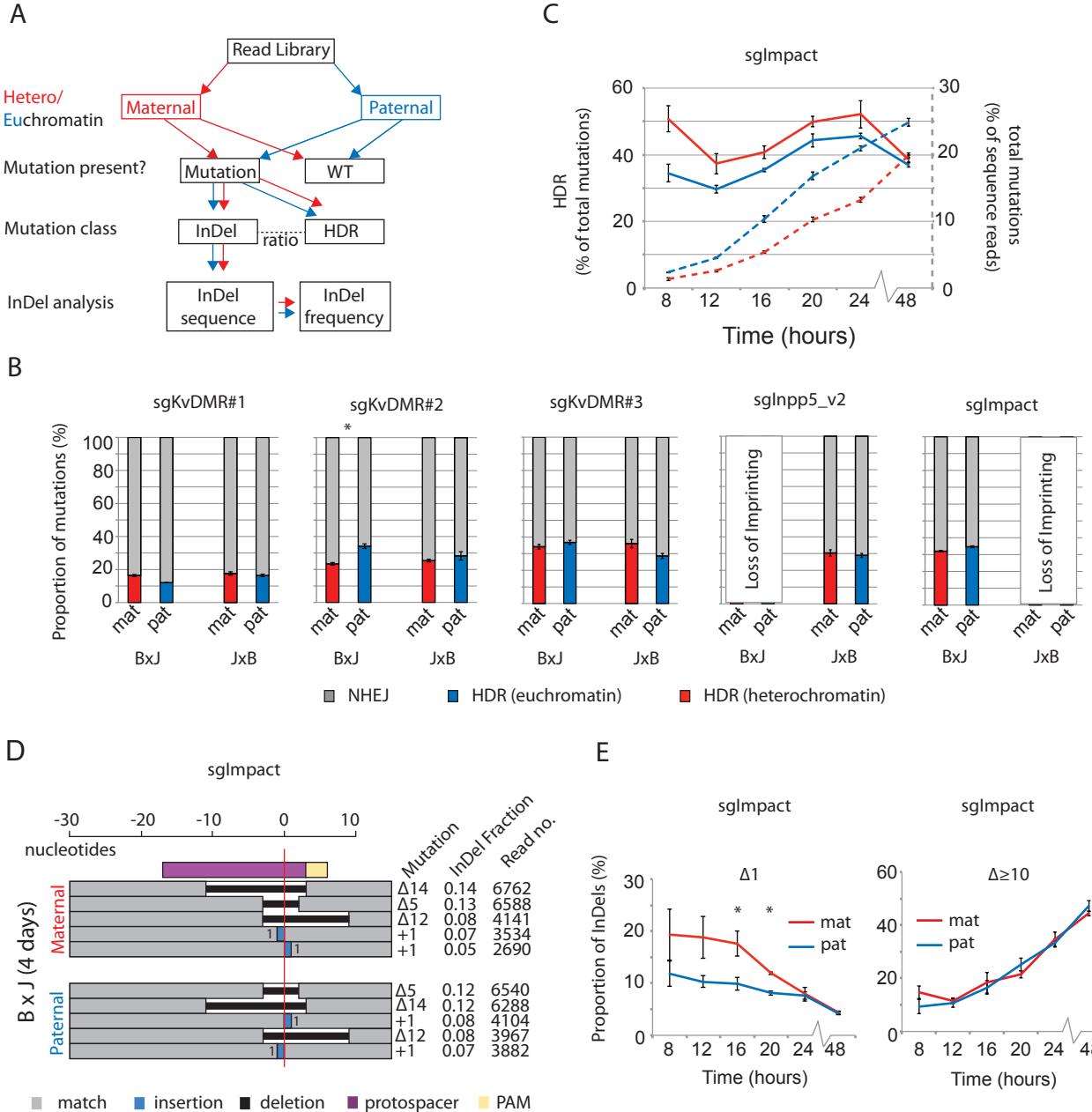


Figure 4: The efficiency of homology-directed repair is unaffected by heterochromatin

A. Schematic outlining the sequence analysis pipeline for allele-specific mutation analysis, described in full in the Methods. **B.** The relative frequency of mutations arising from NHEJ versus HDR in cells collected at 4 days post-transfection, for 5 sgRNAs with target sites within imprinted heterochromatin (Figure S1A, S2A, S3A). Experiments at which imprinted CpG methylation fell below 50% of expected levels (Figure S2E, S3E) were excluded. Asterisk denotes bonferroni-corrected p-value of < 0.05 from paired t-tests of difference between HDR frequencies on maternal versus paternal alleles. **C.** Allele-specific proportions of mutations occurring via HDR (solid lines, left y-axis) over the time course experiment featured in Figure 3B. Allele-specific frequencies of all mutations (HDR + NHEJ) are shown as dashed lines (right y-axis) for comparison. Despite subtly higher frequencies of HDR on maternal alleles, p-values for paired t-tests were > 0.05 at all timepoints. **D.** The size and frequency of the top 5 most common InDels 4 days following transfection with an sgRNA targeting the Impact locus (Figure S2A), broken down by parental allele. The horizontal red line denotes the predicted cleavage site. Deletion sizes are depicted against the scale bar at the top, and for insertions the number of inserted bases is indicated next to the blue rectangle. The fraction of Indels was calculated as the number of reads corresponding to each specific mutation expressed as a proportion of all Indel-containing reads (Supplementary Methods). **E.** Changes in the proportion of reads containing deletions of a single nucleotide ($\Delta 1$) or 10 or more nucleotides ($\Delta \geq 10$) over a 48 hour time course experiment using Impact sgRNA in BxJ cells (Figure 2A, 2B). Error bars represent SEM from 3 biological replicate experiments. Asterisks denote p-values < 0.05 for paired t-tests of difference between maternal and paternal alleles.

194 Next we asked whether chromatin modifications influenced the spectrum and
195 frequency of different InDel mutation classes produced via non-homologous end-joining. In
196 line with a recent large-scale deep sequencing study of InDels induced by Cas9 in cancer cell
197 lines [23], we found that each sgRNA produced its own characteristic InDel pattern, with the
198 top 5 recurrent mutations comprising 30 - 60% of all sequencing reads in cells collected 4
199 days following transfection (Figure 4D, Figure S5). Strikingly, the same mutations recurred on
200 maternal and paternal chromosomes (Figure 4D, Figure S5) despite these allelic target sites
201 starting in very different epigenetic states (Figure 1C, D, Figure S1, S2, S3).

202 In time course experiments, Van Overbeek *et al.* showed that the relative frequency of
203 different InDel classes changed over time when Cas9 was continually expressed [23]. Our
204 data confirmed that single nucleotide deletions tend to be more frequent at early time points,
205 but larger deletions make up a progressively greater fraction of all InDels as the mutation
206 profile matures (Figure 4E). This pattern likely stems from the susceptibility of single
207 nucleotide deletions to repeated cycles of cleavage and repair until the sgRNA binding site is
208 destroyed[23]. Although this trend was evident in both heterochromatin and euchromatin,
209 single nucleotide deletions were more frequent on silenced compared to active alleles at
210 earlier, but not late time points (Figure 4E). We note that overall mutation frequencies are
211 lower in heterochromatin at these earlier time points (Figure 3). This might suggest that
212 euchromatin provides an environment in which cycles of cleavage and repair can occur more
213 rapidly, causing the InDel profile to mature at a quicker rate.

214

215

216 **Discussion**

217 In this study, we have used the classical epigenetic model system of genomic imprinting to
218 determine the effect of chromatin context on CRISPR-Cas9 genome editing. This internally
219 controlled approach allowed us to identify key experimental parameters (intracellular Cas9
220 expression level and duration of exposure) that determine the extent to which repressed
221 chromatin impairs mutagenesis. Our findings are consistent with and extend those of
222 previous studies in this area. The inhibitory effect of nucleosomes on Cas9 binding and
223 cleavage is well established [7,8,11], and the sgRNAs used in this study targeted regions of
224 allele-specific DNase hypersensitivity (Figure S1B, Figure S2B, Figure S3B). On
225 hypersensitive alleles, nucleosome-DNA interactions are less stable due to chromatin
226 remodelling activities associated with RNA Polymerase II transcription [36].

227 We propose that the dynamic nature of chromatin at these sites would provide more
228 opportunities for Cas9 complexes to bind and cleave their targets per unit of time.
229 Conversely, mutations accumulate more slowly in heterochromatin, where nucleosomes
230 marked by H3K9me3 and H4K20me3 more effectively occlude Cas9 complexes.
231 Mutagenesis still occurs within heterochromatin, albeit at a lower rate, presumably due to
232 residual nucleosome breathing [11] and remodelling associated with DNA replication.
233 Elevated concentrations of Cas9 increase the likelihood of mutation through mass action: an
234 effect that we observed in both heterochromatin and euchromatin (Figure 2C), and which
235 caused saturation to be reached more rapidly. Under optimal experimental conditions where
236 no loss of imprinting occurred (Figure 1D), heterochromatin impeded mutagenesis by almost
237 7-fold (Figure S4).

238 In practical terms, our findings suggest that chromatin state is a particularly important
239 consideration during procedures where the level of Cas9 exposure is kept low. This would be
240 relevant in a clinical setting, where it is desirable to minimise exposure in order to avoid

241 undesirable off-target mutations [37]. Indeed, our data support the use of epigenomic data for
242 the prediction of off-target mutagenesis [38], and suggest that reducing Cas9 exposure would
243 increase target specificity to the greatest extent when off-target sites are embedded within
244 heterochromatin but on-target sites are within accessible regions.

245 We also addressed, to our knowledge for the first time, whether local chromatin state
246 influences the relative frequency of CRISPR-Cas9 genome edits occurring via NHEJ versus
247 HDR. We found that this important aspect of genome editing was not significantly different
248 between heterochromatin and euchromatin. This is somewhat surprising in light of prior
249 reports that chromatin modifications influence repair pathway choice in other contexts
250 [19,20]. It is possible that chromatin remodelling events associated with Cas9 binding [15,16]
251 are sufficient to overcome any prior differences in chromatin state, which might otherwise
252 influence the outcome of DNA repair.

253 In summary, we show that allele-specific epigenetic model systems such as genomic
254 imprinting can provide new insights into mechanisms of genome editing in a physiological
255 setting. Given the expanding range of synthetic DNA binding proteins now used in research,
256 biotechnology and medicine [39-43], this approach can provide further insights into their
257 mode of interaction with chromatin *in vivo*. In the future, it will be of interest to extend this
258 study to assess other chromatin states, such as transcribed versus non-transcribed imprinted
259 gene bodies, and between targets on the active versus inactive X chromosome.

260

261

262

263

264 **Materials and Methods**

265 **Cell culture and transfection**

266 ESC lines were derived from male F1 hybrid blastocysts in 2i, serum-free medium using
267 previously described methods[44]. ESCs were maintained on gelatin-coated dishes in
268 ESGRO-complete-plus medium (Millipore, SF001-500P), under serum- and feeder-free
269 conditions. All experiments, including mutagenesis and validation of allele-specific chromatin
270 status, were performed on cells at passages 6 – 12. A modal chromosome number of 39 was
271 confirmed by counting metaphase chromosomes of cells at passage 11. Protospacer
272 sequences were selected using the online tool hosted by the Broad Institute
273 (<https://portals.broadinstitute.org/gpp/public/analysis-tools/sgrna-design>), within three loci
274 previously described in the literature to exhibit allele-specific CpG methylation[45]. In all
275 experiments, both Cas9 and sgRNA were expressed from the same plasmid, which was
276 transfected together with a 150 nucleotide single stranded oligonucleotide (ssOligo) which
277 served as a template for homology-directed repair. ssOligos introduced nucleotide
278 substitutions which removed the NGG PAM motif to prevent further cleavage. For the
279 experiments presented in Figure 2 and Figure 3E, sgRNA and Cas9-2A-eGFP were
280 expressed from plasmid backbone pX458, whereas all other experiments used plasmid
281 backbone pX459v2[32]. Sequences of guides and donor oligonucleotides are listed in Table
282 S2. Transfections were performed in duplex, i.e. each transfection mix contained two
283 separate plasmids encoding sgRNA and ssODNs to target two loci simultaneously.
284 Experiments examining the effect of Cas9 expression level on mutagenesis (Figure 2, Figure
285 3E) were the exception; here, plasmids were transfected individually.
286 Approximately 16 hours before transfection, 3×10^5 cells were seeded in each well of a 6 well
287 plate. Transfections were conducted using Lipofectamine 2000 (Invitrogen) according to the
288 manufacturer's protocol with the following modification: Transfection mix comprised a total of

289 3 μ g plasmid and 150ng oligonucleotide donor in 10 μ l of P2000 reagent. Transfection
290 efficiencies ranged from 15 – 50%. For all editing experiments that did not involve time points
291 or Cas9-2A-eGFP selection, successfully transfected cells were selected in medium
292 containing puromycin (1.6 μ g/ml) 24 hours following transfection. Puromycin was washed out
293 together with dead cells at 48 hours following transfection, then genomic DNA was harvested
294 from pooled cells at 96 hours. For the experiments in Figure 2 and 3E, cells were FACS
295 purified using the gating strategy shown in Figure 2B at 24 hours following transfection. Each
296 sorted population was split 50:50, with half harvested immediately and the remainder after a
297 further 72 hours in culture. Transfected cells were not selected during any of the time course
298 experiments presented in Figures 3 & 4. Sequences of guides and donor oligonucleotides are
299 listed in Table S2.

300

301 **Locus specific amplification and MiSeq library preparation**

302 DNA was isolated from edited cells using the DNeasy Blood and Tissue Kit (Qiagen) with
303 RNase treatment according to the manufacturer's protocol. Each biological replicate used
304 50ng of template DNA, corresponding to 8,333 diploid genomes. Adaptors and barcodes
305 necessary for multiplexed high-throughput amplicon sequencing were added using a two
306 round PCR procedure. In the first round, locus- specific primers were designed to span
307 regions encompassing both the editing site and an allelic SNP which allowed the origin of
308 each sequence read to be traced to the maternal or paternal allele. First round primers
309 contained 5' extensions with a random hexamer, binding sites for illumina sequencing
310 primers, and binding sites for universal primers necessary for the second round of cycling.
311 Edited loci were amplified for 25 cycles using High Fidelity Phusion Polymerase (NEB). PCR
312 products were purified using AMPure beads (Beckman Coulter) according to the
313 manufacturer's instructions and eluted in 50 μ l. 10 μ l of eluate was taken forward to a second

314 round of PCR for 8 cycles. The second round of PCR used universal primers that contained
315 unique indices based on the i5 and i7 sequences from the Nextera library prep kit (Illumina).
316 This enabled multiplexing of libraries on a single flow cell. Locus specific and universal primer
317 sequences are listed in Table S2. Amplified products were purified using AMPure beads,
318 eluted in 25 μ l and then concentration and product size were verified on an Agilent
319 Bioanalyser. Libraries were pooled at equimolar ratio and run on an Illumina MiSeq to obtain
320 150bp paired-end reads. Library details including read numbers are listed in Table S1.

321

322 **Bisulphite sequencing**

323 DNA was purified from unedited control cells harvested at equivalent passage number to
324 edited populations (8 – 12) using the DNeasy Blood and Tissue Kit (Qiagen). 0.5 μ g of DNA
325 was subjected to bisulfite conversion using the EZ DNA methylation kit (Zymo) according to
326 the manufacturer's instructions. Each converted sample was eluted in a 10 μ l volume, of
327 which 2 μ l was used as a PCR template. The generation of libraries for illumina sequencing
328 proceeded as described above with one modification: the first round of PCR comprised 35
329 cycles rather than 25. A single library was generated for each locus.

330

331 **Chromatin Immunoprecipitation**

332 The H3K9me3 ChIP-Seq track (GSM1000147) shown in Figure 1B and 1D is from the
333 ENCODE mouse embryonic stem cell line BRUCE4 (C57BL/6J strain), visualised using the
334 UCSC genome browser on GRC37/mm9. All ChIP assays presented in Figures 1, S1, S2
335 and S3 were performed on the hybrid lines used for mutagenesis studies. H3K9me3 (07-442,
336 batch 2664282) and H4K20me3 (07-643, batch 2586586) antibodies used in ChIP
337 experiments were purchased from Millipore. Approximately 10 million cells were harvested at
338 approximately 80% confluency, trypsinised and washed in ice cold Phosphate Buffered

339 Saline (PBS). Following centrifugation at 500.g, cells were resuspended in 1ml of ice cold
340 NBA buffer (85mM NaCl, 5.5% sucrose, 10mM Tris-HCl pH 7.5, 0.2mM EDTA, 0.2mM
341 PMSF, 1mM DTT, protease inhibitors). 1ml of NBB buffer (NBA buffer with 0.1% NP-40) was
342 added, cells were incubated for 5 minutes on ice then centrifuged at 1000.g for 5 minutes at
343 4°C. The pellet was resuspended in 200µl of NBR buffer (85mM NaCl, 5.5% sucrose, 10mM
344 Tris-HCl pH 7.5, 3mM MgCl₂, 1.5mM CaCl₂, 0.2mM PMSF, 1mM DTT) and centrifuged for a
345 further 5 minutes at 4°C, then resuspended in 600ul NBR buffer. 10ul of RNaseA (10mg/ml)
346 was added and incubated for 5 minutes at room temperature. 40 Boehringer units of MNase
347 (Sigma) were added, mixed by pipetting and incubated at 20°C for 10 minutes, with a further
348 mix by pipetting after 5 minutes. Digestion was stopped by adding 600ul of MNase stop
349 buffer (215mM NaCl, 10mM TrisHCl pH8, 20mM EDTA, 5.5% sucrose, 2% TritonX100,
350 0.2mM PMSF, 1mM DTT, 2x PMSF) and samples were stored at 4°C overnight.

351 40ul of protein A dynabeads (Invitrogen) were used per sample. After prewash in block
352 solution (0.5% BSA in PBS), beads were mixed with 2.5ug antibody in 1ml block solution,
353 incubated for 2 hours on a rotating wheel at 4°C and then washed in 200ul block solution.
354 Chromatin was centrifuged at 13000RPM for 5 minutes at 4°C, and the supernatant
355 transferred to a fresh tube with 10% set aside for use as input. 1ml of supernatant was added
356 to the antibody bound beads together with 5ul of BSA (5mg/ml), before incubation at 4°C for
357 3 hours on a rotating wheel.

358 Three washes with ChIP-W1 buffer (150mM NaCl, 10mM Tris HCL pH8, 2mM EDTA,
359 1% NP40, 1% Sodium Deoxycholate) were performed in 1ml volume on a rotating wheel for
360 10 minutes at 4°C, followed by 1 wash in TE Buffer at room temperature without rotation.
361 After the last wash beads were resuspended in 100ul of elution solution (0.1mM NaHCO₃,
362 1% SDS), vortexed briefly and incubated at 37°C in a shaking thermomixer at 700rpm. The
363 pH was adjusted to pH8 by adding 7ul of 2M Tris-Hcl pH6.8. Dynabeads were removed and

364 the remaining solution (and input samples) was treated with 20ug of proteinase K for 1 hour
365 at 55°C. ChIP and input DNA were purified on Qiagen PCR purification columns.

366 For relative quantification of ChIP DNA by real-time qPCR, DNA isolated from 10% of
367 total MNase digested native chromatin was used to generate a standard curve (fivefold
368 dilutions, from 10-0.08% total input) for IP samples. qPCR was performed in triplicate using
369 SYBR Select mastermix (Applied Biosystems) on a LightCycler 480 II (Roche) with thermal
370 cying as follows: Initial Cycle 50°C for 2 minutes, 95°C for 2 minutes, then 40 cycles of 95°C
371 for 15 seconds, 60°C for 50 seconds, 60°C for 10 seconds with a single acquisition. 0.5uL
372 input or ChIP DNA was used in a total reaction volume of 20uL. For allele-specific enrichment
373 analysis, regions spanning an allelic SNP were amplified using GoTaq (Promega), and
374 amplicons were purified using AMPure beads and then subjected to Sanger sequencing.
375 Primer sequences are listed in Table S2.

376

377 **DNase-I accessibility assay**

378 DNasel digestion was performed using a published protocol[46] with the following
379 modifications. 20 x 10⁶ cells were trypsinised and resuspended in 5mL buffer A (15 mM Tris
380 HCl (pH 7.6), 60 mM KCl, 15 mM NaCl, 1 mM EDTA, 0.5 mM EGTA, 0.5 mM spermidine,
381 0.15 mM spermine). Cells were lysed in the presence of 0.5 % (v/v) NP40, and nuclei were
382 collected by centrifugation (2000g/5 minutes) and resuspended in 1mL digestion buffer
383 (buffer A supplemented with 3 mM CaCl₂, 75 mM NaCl). Digestions were carried out at 37
384 °C with 0–60 units of DNasel (Sigma) per 100μL nuclei, for five minutes before the reaction
385 was stopped by the addition of an equal volume of stop buffer (0.1 M NaCl, 0.1 % (w/v) SDS,
386 50 mM Tris-HCl (pH 8.0), 100 mM EDTA). The samples were treated with 2μg proteinase K
387 at 55C overnight and DNA was recovered after extraction with phenol/chloroform and
388 precipitation in ethanol. The DNA was then resuspended in TE buffer (10 mM Tris-HCl (pH

389 8.0), 1 mM EDTA), and concentration was measured using fluorometric quantitation (Qubit).
390 Digested DNA was amplified for 30 cycles across regions containing an allelic SNP.
391 Amplicons were purified using AMPure beads and then subjected to Sanger sequencing
392 across regions of 300-600bp spanning an allelic polymorphism. Primer sequences
393

394 **Analysis of high throughput sequencing data**

395 *All samples.* Reads were de-multiplexed and duplicate read pairs removed by FastUniq
396 v1.1[47], and adaptors trimmed with TrimGalore v0.4.1
397 (https://www.bioinformatics.babraham.ac.uk/projects/trim_galore/).
398 *Genomic sequencing of edited samples.* Trimmed and de-duplicated read pairs were aligned
399 to mouse genome build GRCm38 using BWA v0.7.12[48]. Read pairs were extracted by the
400 expected genomic region for each experiment, and assigned to the C57BL/6J or JF1
401 chromosome based on nucleotide identity at known polymorphic SNPs
402 (<http://molossinus.lab.nig.ac.jp/msmdb/index.jsp>). Read pairs containing mutations originating
403 from HDR were identified based on the expected sequence changes introduced from the
404 oligonucleotide donors (Table S2); whereas read pairs containing insertions and deletions
405 within 10bp of the cleavage site were identified as originating from fragments which had
406 undergone NHEJ. Read pairs with evidence of neither were labelled as wild type. Indel length
407 and type (insertion or deletion) were extracted from the NHEJ read pairs via a custom Perl
408 script.

409 *Bisulfite sequencing of unedited samples.* Trimmed and de-duplicated read pairs were
410 aligned to the bisulfite conversion indexed mouse genome build GRCm38 using Bismark
411 v0.16.3[49] with Bowtie v2.2.6[50]. Read pairs that did not align were then separated and
412 each end of the pair aligned as single end reads. The three resulting alignments were
413 merged. Read pairs were extracted by the expected genomic region for each experiment,

414 and assigned to the C57BL/6J or JF1 chromosome based on nucleotide identity at known
415 polymorphic SNPs. The number of methylated CpGs in each read pair was counted using a
416 custom Perl script examining the XM tag for each read in the relevant BAM file. All
417 sequencing data have been deposited in the Sequence Read Archive under Study Accession
418 SRP126405.

419

420

421 **Acknowledgements**

422 We thank Feng Zhang's laboratory for sharing the CRISPR plasmids used in this study
423 through Addgene, Edinburgh Genomics for high throughput sequencing, and the IGMM Flow
424 Cytometry Facility for FACS. We are also grateful to Wendy Bickmore and Rebecca Holmes
425 for comments on the manuscript, and to Nick Gilbert for useful discussions. AW's laboratory
426 is funded by a Sir Henry Dale Fellowship from the Wellcome Trust and Royal Society
427 (102560/Z/13/Z). RF Acknowledges grant funding from the Fondation Recherche Medicale
428 (FRM, grant DEQ20150331703).

429

430 **Competing interests statement**

431 The authors have no competing financial or non-financial interests relevant to this work

432

433

434 **Figure Legends**

435

436 **Figure 1. Imprinted chromatin as a model system to quantify epigenetic influences on**
437 **genome editing.**

438 **A.** Schematic outlining the experimental workflow. Throughout the text, F1 hybrid cell lines
439 are depicted with the maternal strain denoted before the paternal strain (ie. In BxJ – B is
440 maternal and J paternal). sgRNAs are designed to cleave approximately 40 -100bp from a
441 heterozygous SNP (A/T) within imprinted chromatin (open and closed circles). MiSeq
442 amplicons span both the SNP and site of mutation, which allows simultaneous assessment of
443 genome editing outcome and parental allele at high-throughput. **B.** (top) Schematic showing
444 the imprinted mouse *Kcnq1* gene including H3K9me3 ChIP and DNase-I-seq data from
445 mouse ESCs available through EncODE (ENCSR000CBH, GSM1014187) (bottom). Higher
446 resolution view of the *KvDMR* imprinted CpG island within *Kcnq1*, showing the position of
447 three sgRNAs used in panel E. **C.** Allele-specific enrichment of H3K9me3 and H4K20me3.
448 PCR fragments spanning the target sites of sgKvDMR#2 & #3 were amplified from input, or
449 ChIP DNA prior to Sanger sequencing across an allelic SNP. gDNA = genomic DNA from
450 purebred mice. **D.** CpG methylation at the *KvDMR* locus. Bisulfite-converted genomic DNA
451 was subjected to amplicon sequencing across a region spanning 13 CpG dinucleotides
452 (Figure S1A), and reads were classified according to the proportion of non-converted
453 (methylated) CpGs. The black dashed line indicates the expected level of methylation across
454 all alleles when imprinting is completely maintained, and the red line the level with 50% loss
455 of imprinting **E.** Allele-specific mutation frequencies for KvDMR sgRNAs #1 - 3. Error bars
456 represent SEM of 3 biological replicates, p-values denote two-tailed paired t-tests of
457 difference between maternal (Mat) and paternal (Pat) alleles. * p < 0.01, ** p < 0.001. **F.**

458 Allele-specific mutation frequencies from experiments using an sgRNA (sgNCAPH) targeting
459 a non imprinted locus, presented as in panel E.

460

461 **Figure 2: Heterochromatin impedes genome editing in a Cas9-concentration-
462 dependent manner.**

463 **A.** Schematic outlining the experimental workflow. After FACS, cells were either harvested
464 immediately (Figure S4) or cultured for a further 72 hours (this figure). **B.** Flow cytometry
465 profiles show widely variable expression of Cas9-2A-eGFP at 24 hours following transfection
466 with guide gKvDMR#3 (Figure 1B) expressed from pX458 (see panel A). **C.** Allele-specific
467 mutation analysis within cell populations expressing different levels of Cas9, FACS-purified
468 24 hours post-transfection using the gating scheme in panel B and then cultured for a further
469 72 hours before harvesting. Allelic differences are less pronounced in JxB cells due to partial
470 loss of imprinted heterochromatin on maternal alleles in this cell line (Figure 1D). Error bars
471 represent SEM of 3 biological replicates. Asterisks denote p-values for unpaired t-tests on the
472 fold-difference between maternal versus paternal allele mutation frequencies at different
473 levels of Cas9-eGFP expression. * p < 0.01, ** p < 0.001.

474

475 **Figure 3: Heterochromatin impairs the kinetics of CRISPR mutagenesis**

476 Schematic depicting the experimental workflow for the time-course experiment in panel B. **B.**
477 Overlaid line graphs depict total mutation rates (black solid line, right y-axis) and skewing
478 towards the euchromatic paternal allele (green dashed line, left y-axis) over time using
479 sgImpact targeting the imprinted *Impact* locus (Figure S2) in BxJ cells. Error bars represent
480 SEM of 3 biological replicates. The short time frame prevented selection for transfected cells
481 in this experiment. **C.** Allele-specific mutation frequencies at 16 hours (16h) and 4 days (4d)
482 post-transfection for experiments using an sgRNA targeting KvDMR (sgKvDMR#1 - Figure

483 S1A). Asterisks denote $p < 0.05$ for unpaired t-tests on the fold difference in maternal versus
484 paternal allele mutation frequencies between timepoints. **D.** As above, using an sgRNA
485 targeting the imprinted *Inpp5f_v2* promoter (Figure S3A). Note that a majority of maternal
486 chromosomes had lost imprinting at this locus in BxJ cells (Figure S3E), hence, only JxB data
487 are shown. **E.** Pie charts show mutation frequencies observed 24 hours post transfection,
488 expressed as a percentage of the mutation frequency in cells collected after 96 hours. Data
489 are derived from the experiment described in Figures 2 and S4, with mutation frequencies
490 broken down by both parental allele and Cas9 expression level. Experiments used
491 sgKvDMR#3 in BxJ cells and were conducted in biological triplicate as described in Figure
492 2A, with cells collected either immediately after sorting on Cas9-2A-eGFP (24h) or after a
493 further 72 hours in culture.

494

495 **Figure 4: The efficiency of homology-directed repair is unaffected by heterochromatin**
496 **A.** Schematic outlining the sequence analysis pipeline for allele-specific mutation analysis,
497 described in full in the Supplemental Methods. **B.** The relative frequency of mutations arising
498 from NHEJ versus HDR in cells collected at 4 days post-transfection, for 5 sgRNAs with
499 target sites within imprinted heterochromatin (Figure S1A, S2A, S3A). Experiments at which
500 imprinted CpG methylation fell below 50% of expected levels (Figure S2E, S3E) were
501 excluded. Asterisk denotes bonferroni-corrected p-value of < 0.05 from paired t-tests of
502 difference between HDR frequencies on maternal versus paternal alleles. **C.** Allele-specific
503 proportions of mutations occurring via HDR (solid lines, left y-axis) over the time course
504 experiment featured in Figure 3B. Allele-specific frequencies of all mutations (HDR + NHEJ)
505 are shown as dashed lines (right y-axis) for comparison. Despite subtly higher frequencies of
506 HDR on maternal alleles, p-values for paired t-tests were > 0.05 at all timepoints. **D.** The size
507 and frequency of the top 5 most common InDels 4 days following transfection with an sgRNA

508 targeting the Impact locus (Figure S2A), broken down by parental allele. The horizontal red
509 line denotes the predicted cleavage site. Deletion sizes are depicted against the scale bar at
510 the top, and for insertions the number of inserted bases is indicated next to the blue
511 rectangle. The fraction of Indels was calculated as the number of reads corresponding to
512 each specific mutation expressed as a proportion of all InDel-containing reads
513 (Supplementary Methods). **E.** Changes in the proportion of reads containing deletions of a
514 single nucleotide ($\Delta 1$) or 10 or more nucleotides ($\Delta \geq 10$) over a 48 hour time course
515 experiment using Impact sgRNA in BxJ cells (Figure 2A, 2B). Error bars represent SEM from
516 3 biological replicate experiments. Asterisks denote p-values < 0.05 for paired t-tests of
517 difference between maternal and paternal alleles.

518

519 **Figure S1: Allele-specific chromatin states at the imprinted *KvDMR* locus**

520 **A.** UCSC screen drop showing the *KvDMR* locus, including the transcriptional start site for
521 the *Kcnq1ot1* non-coding RNA, which is active from the paternal allele. H3K9me3 ChIP and
522 DNase-I-seq data from mESCs are available through EncODE (ENCSR000CBH,
523 GSM1014187). Positions of sgRNA target sites and PCR amplicons used during the analysis
524 are indicated. **B.** Allele-specific DNase-I sensitivity of regions indicated in panel A. Note that
525 Target 2 is within an annotated DNase-I hypersensitive site whereas Target 1 is not. mESC
526 nuclei were subjected to digestion with increasing concentrations of DNase-I for 5 minutes at
527 37°C, before DNA extraction and Sanger sequencing across SNPs to reveal allele-specific
528 differences in digestion at the regions indicated in panel A. **C.** Native ChIP enrichment for
529 H3K9me3 and H4K20me3 marks at regions corresponding to sgRNA target site 1, and 2&3
530 (amplicons indicated in panel A). Enrichments are expressed relative to input, and error bars
531 represent SEM of 3 technical replicates. qPCR primers spanning Intracisternal A particle
532 (IAP) retrotransposons and the actb promoter serve as positive and negative controls,

533 respectively. **D.** Allele-specific enrichment in ChIP DNA for the Target 1 region shown in
534 panel A determined by RFLP analysis. The data are representative of two biological
535 replicates for each mESC line.

536

537

538 **Figure S2: Allele-specific chromatin states at the imprinted *Impact* locus**

539 **A.** UCSC screen drop showing the transcriptional start site for the *Impact* gene, which is
540 active from the paternal allele. H3K9me3 ChIP and DNase-I-seq data from mESCs are
541 available through EncODE (ENCSR000CBH, GSM1014187). Positions of the sgRNA target
542 site and PCR amplicons used during the analysis are indicated. **B.** Allele-specific DNase-I
543 sensitivity for a region spanning the target site, as indicated in panel A. **C.** ChIP enrichment
544 for H3K9me3 and H4K20me3 marks at the *Impact* sgRNA target site. Enrichments are
545 presented in the same manner as Figure S1C. **D.** Allele-specific enrichment of ChIP DNA at
546 the *Impact* sgRNA target site determined by Sanger sequencing from ChIP DNA across an
547 allelic SNP. ChIP experiments are representative of two biological replicates for each mESC
548 line. **E.** CpG methylation at the *Impact* promoter presented as described for Figure 1D. The
549 black dashed line indicates the expected level of methylation across all alleles when
550 imprinting is completely maintained, and the red line the level with 50% loss of imprinting
551 (LOI). **F.** Allele-specific mutation analysis from experiments using sgImpact in cells collected
552 4 days post-transfection. Data are presented as described in Figure 1E. Error bars depict
553 SEM, n=3 biological replicates.

554

555 **Figure S3: Allele-specific chromatin states at the imprinted *Inpp5f_v2* locus**

556 **A.** UCSC screen drop showing the transcriptional start site for the *Inpp5f_v2* transcript, which
557 initiates from the paternal allele. H3K9me3 ChIP and DNase-I-seq data from mouse ESCs

558 available through EncODE (ENCSR000CBH, GSM1014187). Positions of the sgRNA target
559 site and PCR amplicons used during the analysis are indicated. **B.** Allele-specific DNase-I
560 sensitivity for a PCR amplicon spanning the *Inpp5f_v2* sgRNA target site, as described in
561 Figure S1B. ND = not done due to poor PCR amplification in these samples. **C.** ChIP
562 enrichment for H3K9me3 and H4K20me3 marks at the *Impact* sgRNA target site.
563 Enrichments are presented in the same manner as Figure S1C. **D.** Allele-specific enrichment
564 in ChIP experiments at the *Inpp5f_v2* sgRNA target site determined by RFLP analysis of
565 PCR products amplified from ChIP DNA. ChIP experiments are representative of two
566 biological replicates for each mESC line. **E.** CpG methylation at the *Impact* promoter
567 presented as described for Figure 1D. The black dashed line indicates the expected level of
568 methylation across all alleles when imprinting is completely maintained, and the red line the
569 level with 50% loss of imprinting. Note the partial loss of imprinting which is evident in panels
570 B, D and E, particularly in the BxJ mESC line.

571
572 **Figure S4: Heterochromatin impedes mutagenesis in a Cas9-concentration-dependent**
573 **manner.**

574 **A.** BxJ cells from the transfection shown in Figure 2A were FACS purified according to the
575 gating scheme shown. Note that this panel depicts the same data shown in panel 2A. **B.**
576 Allele-specific mutation analysis within cell populations expressing different levels of Cas9, as
577 shown in panel A, FACS-purified 24 hours post-transfection and then subjected to allele-
578 specific mutation analysis immediately, without further time in culture. Insufficient JxB cells
579 were obtained following FACS to assess mutagenesis after 24h. Error bars represent SEM of
580 3 biological replicates. Asterisks denote p-values for unpaired t-tests on the fold-difference
581 between maternal versus paternal allele mutation frequencies at different levels of Cas9-
582 eGFP expression. * p < 0.05.

583

584 **Figure S5: The same InDel classes recur in heterochromatin and euchromatin 4 days**
585 **post-transfection**

586 The size and frequency of the top 5 most common InDels (broken down by parental allele)
587 produced by 4 different sgRNAs targeting imprinted heterochromatin. Edited genomic DNA
588 was extracted 4 days following transfection with sgRNAs targeting the *KvDMR* (A, B, C) or
589 *Inpp5f_v2* (D) imprinted loci in BxJ (left) and JxB (right) cells. Note that a majority of maternal
590 chromosomes had lost imprinting at the *Inpp5f_v2* locus in BxJ cells (Figure S3E), hence,
591 only JxB data are shown. Deletion sizes are depicted against the scale bar at the top of each
592 panel, and the number of inserted bases is indicated next to the blue rectangle. The
593 horizontal red line denotes the predicted cleavage site, and the colour key for all panels is
594 situated at the bottom left of the figure. The fraction of Indels was calculated as the number of
595 reads corresponding to each specific mutation class, expressed as a proportion of all InDel-
596 containing reads (Supplementary Methods).

597

598 **References**

- 599 1. Mali P, Yang L, Esvelt KM, Aach J, Guell M, DiCarlo JE, et al. RNA-Guided Human
600 Genome Engineering via Cas9. *Science*. 2013;339: 823–826.
601 doi:10.1126/science.1232033
- 602 2. Cong L, Ran FA, Cox D, Lin S, Barretto R, Habib N, et al. Multiplex Genome
603 Engineering Using CRISPR/Cas Systems. *Science*. 2013;339: 819–823.
604 doi:10.1126/science.1231143
- 605 3. Jinek M, East A, Cheng A, Lin S, Ma E, Doudna J. RNA-programmed genome editing
606 in human cells. *eLife*. eLife Sciences Publications Limited; 2013;2: e00471.
607 doi:10.7554/eLife.00471
- 608 4. Chari R, Mali P, Moosburner M, Church GM. Unraveling CRISPR-Cas9 genome
609 engineering parameters via a library-on-library approach. *Nat Meth*. 2015;12: 823–826.
610 doi:10.1038/nmeth.3473
- 611 5. Moreno-Mateos MA, Vejnar CE, Beaudoin J-D, Fernandez JP, Mis EK, Khokha MK, et
612 al. CRISPRscan: designing highly efficient sgRNAs for CRISPR-Cas9 targeting in vivo.
613 *Nat Meth*. 2015;12: 982–988. doi:10.1038/nmeth.3543
- 614 6. Liu X, Homma A, Sayadi J, Yang S, Ohashi J, Takumi T. Sequence features
615 associated with the cleavage efficiency of CRISPR/Cas9 system. *Sci Rep*. Nature
616 Publishing Group; 2016;6: 19675. doi:10.1038/srep19675
- 617 7. Horlbeck MA, Witkowsky LB, Guglielmi B, Replogle JM, Gilbert LA, Villalta JE, et al.
618 Nucleosomes impede Cas9 access to DNA in vivo and in vitro. *eLife*. eLife Sciences
619 Publications Limited; 2016;5: e12677. doi:10.7554/eLife.12677
- 620 8. Hinz JM, Laughery MF, Wyrick JJ. Nucleosomes Inhibit Cas9 Endonuclease Activity in
621 Vitro. *Biochemistry*. 2015;54: 7063–7066. doi:10.1021/acs.biochem.5b01108
- 622 9. Knight SC, Xie L, Deng W, Guglielmi B, Witkowsky LB, Bosanac L, et al. Dynamics of
623 CRISPR-Cas9 genome interrogation in living cells. *Science*. American Association for
624 the Advancement of Science; 2015;350: 823–826. doi:10.1126/science.aac6572
- 625 10. Wu X, Scott DA, Kriz AJ, Chiu AC, Hsu PD, Dadon DB, et al. Genome-wide binding of
626 the CRISPR endonuclease Cas9 in mammalian cells. *Nat Biotechnol*. 2014;32: 670–
627 676. doi:10.1038/nbt.2889
- 628 11. Isaac RS, Jiang F, Doudna JA, Lim WA, Narlikar GJ, Almeida R. Nucleosome
629 breathing and remodeling constrain CRISPR-Cas9 function. *eLife*. eLife Sciences
630 Publications Limited; 2016;5: e13450. doi:10.7554/eLife.13450
- 631 12. Chen X, Rinsma M, Janssen JM, Liu J, Maggio I, Gonçalves MAFV. Probing the impact
632 of chromatin conformation on genome editing tools. *Nucleic Acids Research*. Oxford
633 University Press; 2016;: gkw524. doi:10.1093/nar/gkw524
- 634 13. Daer RM, Cutts JP, Brafman DA, Haynes KA. The Impact of Chromatin Dynamics on
635 Cas9-Mediated Genome Editing in Human Cells. *ACS Synth Biol*. 2017;6: 428–438.
636 doi:10.1021/acssynbio.5b00299

637 14. Fujita T, Yuno M, Fujii H. Allele-specific locus binding and genome editing by CRISPR
638 at the p16INK4a locus. *Sci Rep.* Nature Publishing Group; 2016;6: 30485.
639 doi:10.1038/srep30485

640 15. Barkal AA, Srinivasan S, Hashimoto T, Gifford DK, Sherwood RI. Cas9 Functionally
641 Opens Chromatin. *PLoS ONE.* Public Library of Science; 2016;11: e0152683.
642 doi:10.1371/journal.pone.0152683

643 16. Polstein LR, Perez-Pinera P, Kocak DD, Vockley CM, Bledsoe P, Song L, et al.
644 Genome-wide specificity of DNA binding, gene regulation, and chromatin remodeling
645 by TALE- and CRISPR/Cas9-based transcriptional activators. *Genome Research.* Cold
646 Spring Harbor Lab; 2015;25: 1158–1169. doi:10.1101/gr.179044.114

647 17. Clouaire T, Legube G. DNA double strand break repair pathway choice: a chromatin
648 based decision? *Nucleus.* 2015;6: 107–113. doi:10.1080/19491034.2015.1010946

649 18. Goodarzi AA, Jeggo P, Löbrich M. The influence of heterochromatin on DNA double
650 strand break repair: Getting the strong, silent type to relax. *DNA Repair.* 2010;9: 1273–
651 1282. doi:10.1016/j.dnarep.2010.09.013

652 19. Aymard F, Bugler B, Schmidt CK, Guillou E, Caron P, Briois S, et al. Transcriptionally
653 active chromatin recruits homologous recombination at DNA double-strand breaks. *Nat*
654 *Struct Mol Biol.* 2014;21: 366–374. doi:10.1038/nsmb.2796

655 20. Burman B, Zhang ZZ, Pegoraro G, Lieb JD, Misteli T. Histone modifications predispose
656 genome regions to breakage and translocation. *Genes & Development.* Cold Spring
657 Harbor Lab; 2015;29: 1393–1402. doi:10.1101/gad.262170.115

658 21. Lemaître C, Grabarz A, Tsouroula K, Andronov L, Furst A, Pankotai T, et al. Nuclear
659 position dictates DNA repair pathway choice. *Genes & Development.* Cold Spring
660 Harbor Lab; 2014;28: 2450–2463. doi:10.1101/gad.248369.114

661 22. Bothmer A, Phadke T, Barrera LA, Margulies CM, Lee CS, Buquicchio F, et al.
662 Characterization of the interplay between DNA repair and CRISPR/Cas9-induced DNA
663 lesions at an endogenous locus. *Nat Comms.* 2017;8: 13905.
664 doi:10.1038/ncomms13905

665 23. van Overbeek M, Capurso D, Carter MM, Thompson MS, Frias E, Russ C, et al. DNA
666 Repair Profiling Reveals Nonrandom Outcomes at Cas9-Mediated Breaks. *Molecular*
667 *Cell.* 2016;63: 633–646. doi:10.1016/j.molcel.2016.06.037

668 24. Kelsey G, Feil R. New insights into establishment and maintenance of DNA methylation
669 imprints in mammals. *Philos Trans R Soc Lond, B, Biol Sci. The Royal Society;*
670 2013;368: 20110336. doi:10.1098/rstb.2011.0336

671 25. Hark AT, Schoenherr CJ, Katz DJ, Ingram RS, Levorse JM, Tilghman SM. CTCF
672 mediates methylation-sensitive enhancer-blocking activity at the H19/Igf2 locus.
673 *Nature.* 2000;405: 486–489. doi:10.1038/35013106

674 26. Murrell A, Heeson S, Reik W. Interaction between differentially methylated regions
675 partitions the imprinted genes Igf2 and H19 into parent-specific chromatin loops. *Nat*
676 *Genet.* 2004;36: 889–893. doi:10.1038/ng1402

677 27. Wood AJ, Schulz R, Woodfine K, Koltowska K, Beechey CV, Peters J, et al. Regulation
678 of alternative polyadenylation by genomic imprinting. *Genes & Development*. 2008;22:
679 1141–1146. doi:10.1101/gad.473408

680 28. Sleutels F, Zwart R, Barlow DP. The non-coding Air RNA is required for silencing
681 autosomal imprinted genes. *Nature*. 2002;415: 810–813. doi:10.1038/415810a

682 29. Ferguson-Smith AC. Genomic imprinting: the emergence of an epigenetic paradigm.
683 *Nat Rev Genet*. 2011;12: 565–575. doi:10.1038/nrg3032

684 30. Takada T, Ebata T, Noguchi H, Keane TM, Adams DJ, Narita T, et al. The ancestor of
685 extant Japanese fancy mice contributed to the mosaic genomes of classical inbred
686 strains. *Genome Research*. Cold Spring Harbor Lab; 2013;23: 1329–1338.
687 doi:10.1101/gr.156497.113

688 31. Bar S, Schachter M, Eldar-Geva T, Benvenisty N. Large-Scale Analysis of Loss of
689 Imprinting in Human Pluripotent Stem Cells. *Cell Reports*. 2017;19: 957–968.
690 doi:10.1016/j.celrep.2017.04.020

691 32. Ran FA, Hsu PD, Wright J, Agarwala V, Scott DA, Zhang F. Genome engineering using
692 the CRISPR-Cas9 system. *Nat Protoc*. 2013;8: 2281–2308.
693 doi:10.1038/nprot.2013.143

694 33. Jones DL, Leroy P, Unoson C, Fange D, Ćurić V, Lawson MJ, et al. Kinetics of dCas9
695 target search in *Escherichia coli*. *Science*. American Association for the Advancement
696 of Science; 2017;357: 1420–1424. doi:10.1126/science.aah7084

697 34. Richardson CD, Ray GJ, DeWitt MA, Curie GL, Corn JE. Enhancing homology-directed
698 genome editing by catalytically active and inactive CRISPR-Cas9 using asymmetric
699 donor DNA. *Nat Biotechnol*. Nature Publishing Group; 2016;34: 339–344.
700 doi:10.1038/nbt.3481

701 35. Janssen A, Breuer GA, Brinkman EK, van der Meulen AI, Borden SV, van Steensel B,
702 et al. A single double-strand break system reveals repair dynamics and mechanisms in
703 heterochromatin and euchromatin. *Genes & Development*. Cold Spring Harbor Lab;
704 2016;30: 1645–1657. doi:10.1101/gad.283028.116

705 36. Cairns BR. The logic of chromatin architecture and remodelling at promoters. *Nature*.
706 2009;461: 193–198. doi:10.1038/nature08450

707 37. Cameron P, Fuller CK, Donohoue PD, Jones BN, Thompson MS, Carter MM, et al.
708 Mapping the genomic landscape of CRISPR-Cas9 cleavage. *Nat Meth*. 2017;14: 600–
709 606. doi:10.1038/nmeth.4284

710 38. Singh R, Kuscu C, Quinlan A, Qi Y, Adli M. Cas9-chromatin binding information
711 enables more accurate CRISPR off-target prediction. *Nucleic Acids Research*.
712 2015;43: e118. doi:10.1093/nar/gkv575

713 39. Miller JC, Tan S, Qiao G, Barlow KA, Wang J, Xia DF, et al. A TALE nuclease
714 architecture for efficient genome editing. *Nat Biotechnol*. Nature Publishing Group;
715 2011;29: 143–148. doi:10.1038/nbt.1755

716 40. Trevino AE, Zhang F. Genome editing using Cas9 nickases. *Meth Enzymol*. Elsevier; 717 2014;546: 161–174. doi:10.1016/B978-0-12-801185-0.00008-8

718 41. Komor AC, Kim YB, Packer MS, Zuris JA, Liu DR. Programmable editing of a target 719 base in genomic DNA without double-stranded DNA cleavage. *Nature*. 2016;533: 420– 720 424. doi:10.1038/nature17946

721 42. Nishida K, Arazoe T, Yachie N, Banno S, Kakimoto M, Tabata M, et al. Targeted 722 nucleotide editing using hybrid prokaryotic and vertebrate adaptive immune systems. 723 *Science*. American Association for the Advancement of Science; 2016;353: aaf8729. 724 doi:10.1126/science.aaf8729

725 43. Gaudelli NM, Komor AC, Rees HA, Packer MS, Badran AH, Bryson DI, et al. 726 Programmable base editing of A•T to G•C in genomic DNA without DNA cleavage. 727 *Nature*. Nature Publishing Group; 2017;551: 464–471. doi:10.1038/nature24644

728 44. Ying QL, Nichols J, Chambers I, Smith A. BMP induction of Id proteins suppresses 729 differentiation and sustains embryonic stem cell self-renewal in collaboration with 730 STAT3. *Cell*. 2003;115: 281–292. doi:10.1038/nrm1288

731 45. Schulz R, Woodfine K, Menheniott TR, Bourc'his D, Bestor T, Oakey RJ. WAMIDEX: a 732 web atlas of murine genomic imprinting and differential expression. *Epigenetics*. 733 2008;3: 89–96.

734 46. McArthur M, Gerum S, Stamatoyannopoulos G. Quantification of DNaseI-sensitivity by 735 real-time PCR: quantitative analysis of DNaseI-hypersensitivity of the mouse beta- 736 globin LCR. *J Mol Biol*. 2001;313: 27–34. doi:10.1006/jmbi.2001.4969

737 47. Xu H, Luo X, Qian J, Pang X, Song J, Qian G, et al. FastUniq: a fast de novo 738 duplicates removal tool for paired short reads. *PLoS ONE*. Public Library of Science; 739 2012;7: e52249. doi:10.1371/journal.pone.0052249

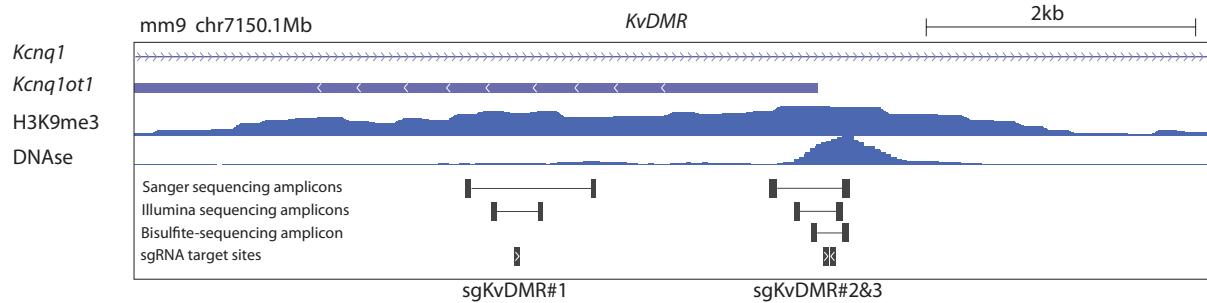
740 48. Li H, Durbin R. Fast and accurate long-read alignment with Burrows-Wheeler 741 transform. *Bioinformatics*. 2010;26: 589–595. doi:10.1093/bioinformatics/btp698

742 49. Krueger F, Andrews SR. Bismark: a flexible aligner and methylation caller for Bisulfite- 743 Seq applications. *Bioinformatics*. 2011;27: 1571–1572. 744 doi:10.1093/bioinformatics/btr167

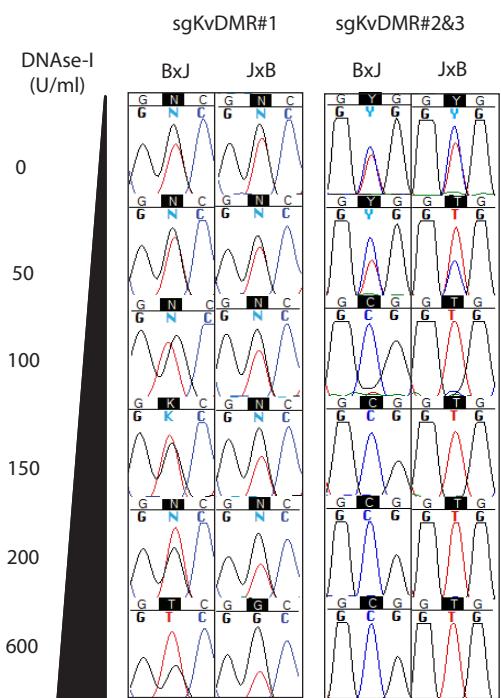
745 50. Langmead B, Salzberg SL. Fast gapped-read alignment with Bowtie 2. *Nat Meth*. 746 Nature Publishing Group; 2012;9: 357–359. doi:10.1038/nmeth.1923

747

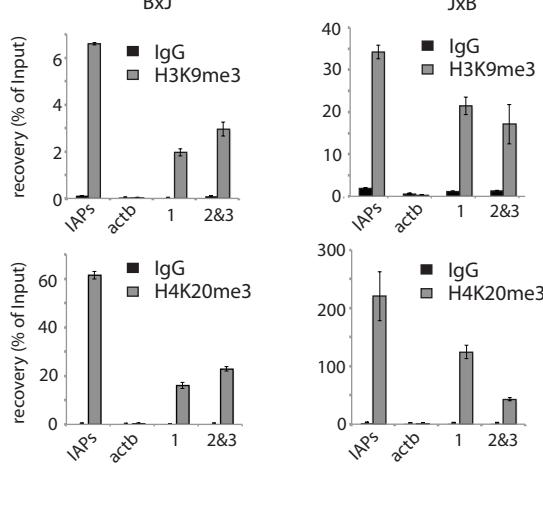
A



B



C



D

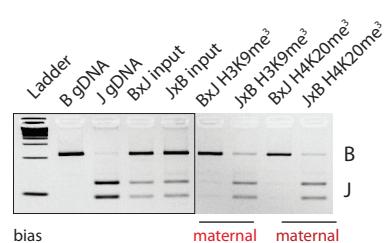
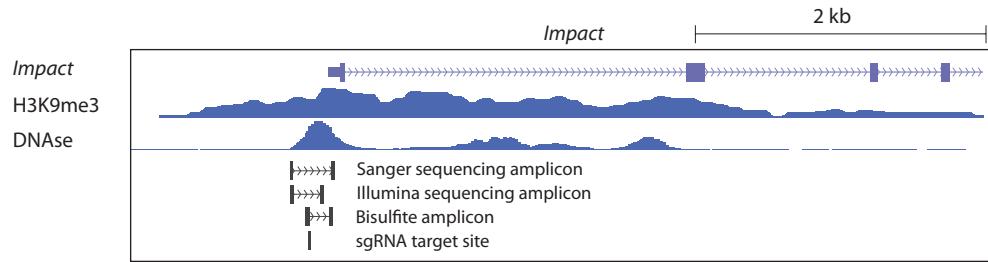


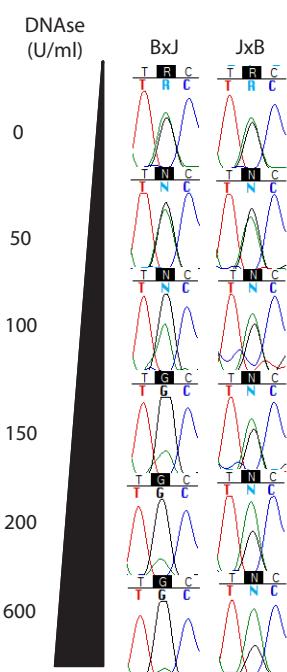
Figure S1: Allele-specific chromatin states at the imprinted KvDMR locus

A. UCSC screen drop showing the KvDMR locus, including the transcriptional start site for the *Kcnq1ot1* non-coding RNA, which is active from the paternal allele. H3K9me3 ChIP and DNAse-I-seq data from mESCs are available through EncODE (ENCSR000CBH, GSM1014187). Positions of sgRNA target sites and PCR amplicons used during the analysis are indicated. **B.** Allele-specific DNAse-I sensitivity of regions indicated in panel A. Note that sgKvDMR#2&3 bind within an annotated DNAse-I hypersensitive site whereas sgKvDMR#1 does not. mESC nuclei were subjected to digestion with increasing concentrations of DNAse-I for 5 minutes at 37°C, before DNA extraction and Sanger sequencing across SNPs to reveal allele-specific differences in digestion at the regions indicated in panel A. **C.** Native ChIP enrichment for H3K9me3 and H4K20me3 marks at regions corresponding to sgRNA target site 1, and 2&3 (amplicons indicated in panel A). Enrichments are expressed relative to input, and error bars represent SEM of 3 technical replicates. qPCR primers spanning Intracisternal A particle (IAP) retrotransposons and the actb promoter serve as positive and negative controls, respectively. **D.** Allele-specific enrichment in ChIP DNA for the Target 1 region shown in panel A determined by RFLP analysis. The data are representative of two biological replicates for each mESC line.

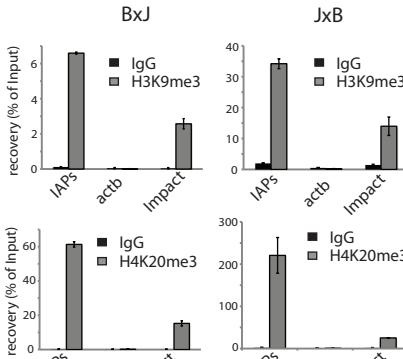
A



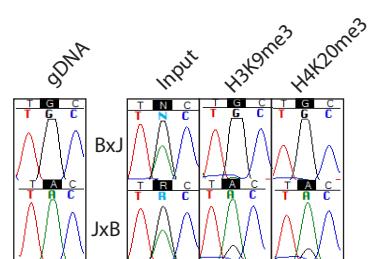
B



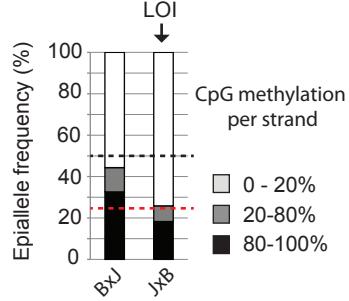
C



D



E



F

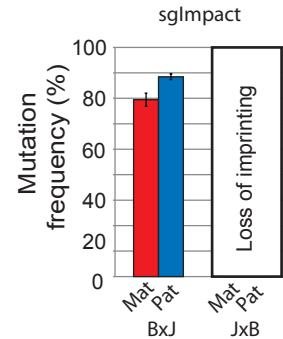
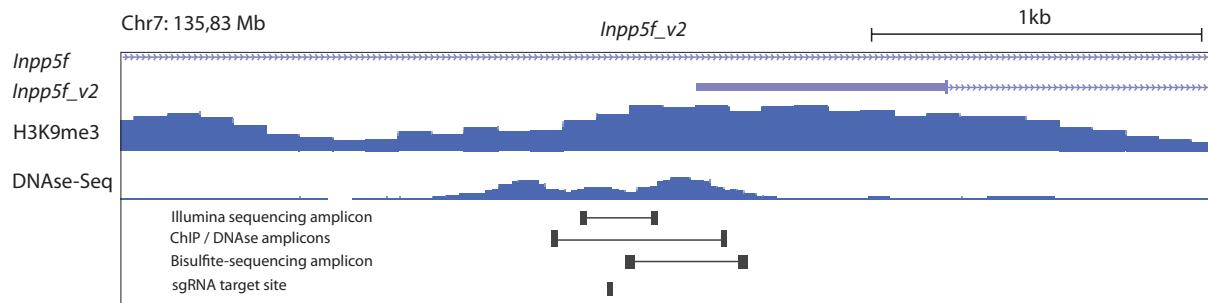


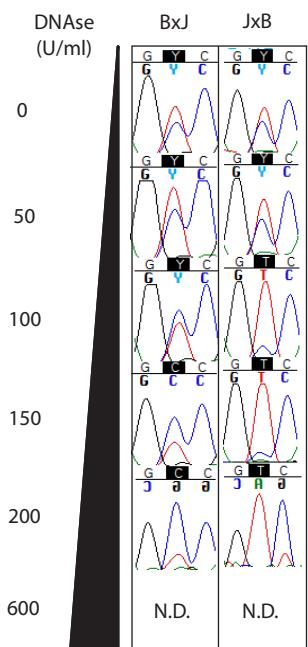
Figure S2: Allele-specific chromatin states at the imprinted *Impact* locus

A. UCSC screen drop showing the transcriptional start site for the *Impact* gene, which is active from the paternal allele. H3K9me3 ChIP and DNAse-I-seq data from mESCs are available through EncODE (ENCSR000CBH, GSM1014187). Positions of the sgRNA target site and PCR amplicons used during the analysis are indicated. **B.** Allele-specific DNAse-I sensitivity for a region spanning the target site, as indicated in panel A. **C.** ChIP enrichment for H3K9me3 and H4K20me3 marks at the *Impact* sgRNA target site. Enrichments are presented in the same manner as Figure S1C. **D.** Allele-specific enrichment of ChIP DNA at the *Impact* sgRNA target site determined by Sanger sequencing from ChIP DNA across an allelic SNP. ChIP experiments are representative of two biological replicates for each mESC line. **E.** CpG methylation at the *Impact* promoter presented as described for Figure 1D. The black dashed line indicates the expected level of methylation across all alleles when imprinting is completely maintained, and the red line the level with 50% loss of imprinting (LOI). **F.** Allele-specific mutation analysis from experiments using sglImpact in cells collected 4 days post-transfection. Data are presented as described in Figure 1E. Error bars depict SEM, n=3 biological replicates.

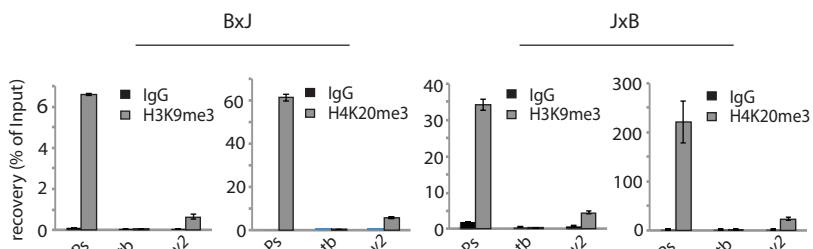
A



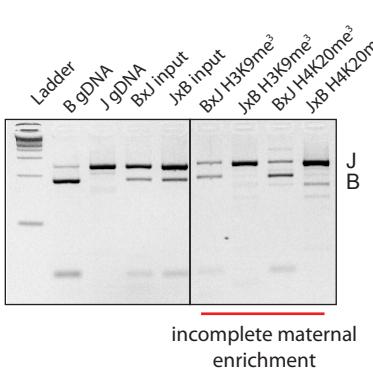
B



C



D



E

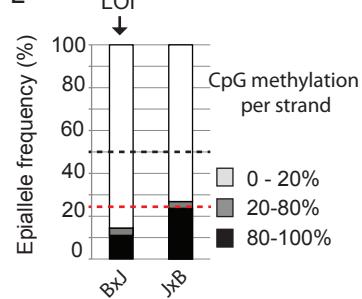


Figure S3: Allele-specific chromatin states at the imprinted *Inpp5f_v2* locus

A. UCSC screen drop showing the transcriptional start site for the *Inpp5f_v2* transcript, which initiates from the paternal allele. H3K9me3 ChIP and DNAse-I-seq data from mouse ESCs available through EncODE (ENCSR000CBH, GSM1014187). Positions of the sgRNA target site and PCR amplicons used during the analysis are indicated. **B.** Allele-specific DNAse-I sensitivity for a PCR amplicon spanning the *Inpp5f_v2* sgRNA target site, as described in Figure S1B. ND = not done due to poor PCR amplification in these samples. **C.** ChIP enrichment for H3K9me3 and H4K20me3 marks at the *Impact* sgRNA target site. Enrichments are presented in the same manner as Figure S1C. **D.** Allele-specific enrichment in ChIP experiments at the *Inpp5f_v2* sgRNA target site determined by RFLP analysis of PCR products amplified from ChIP DNA. ChIP experiments are representative of two biological replicates for each mESC line. **E.** CpG methylation at the *Impact* promoter presented as described for Figure 1D. The black dashed line indicates the expected level of methylation across all alleles when imprinting is completely maintained, and the red line the level with 50% loss of imprinting. Note the partial loss of imprinting which is evident in panels B, D and E, particularly in the BxJ mESC line.

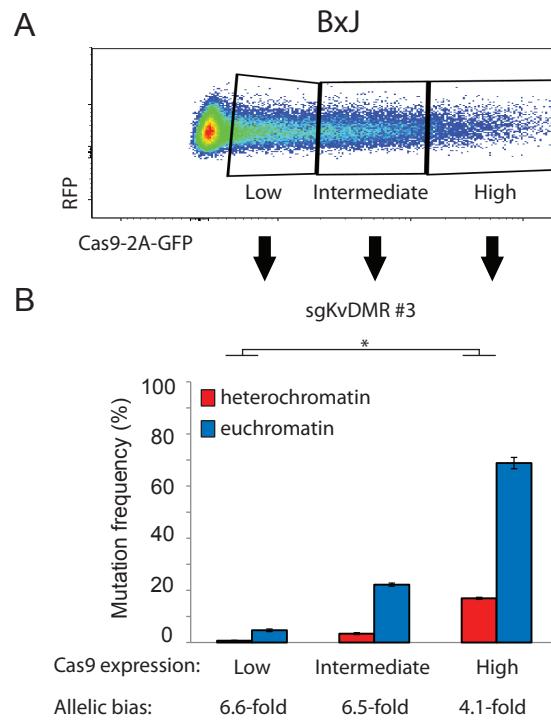


Figure S4: Heterochromatin impedes mutagenesis in a Cas9-concentration-dependent manner.

A. BxJ cells from the transfection shown in Figure 2A were FACS purified according to the gating scheme shown. Note that this panel depicts the same data shown in panel 2A. **B.** Allele-specific mutation analysis within cell populations expressing different levels of Cas9, as shown in panel A, FACS-purified 24 hours post-transfection and then subjected to allele-specific mutation analysis immediately, without further time in culture. Insufficient JxB cells were obtained following FACS to assess mutagenesis after 24h. Error bars represent SEM of 3 biological replicates. Asterisks denote p-values for unpaired t-tests on the fold-difference between maternal versus paternal allele mutation frequencies at different levels of Cas9-eGFP expression. * p < 0.05.

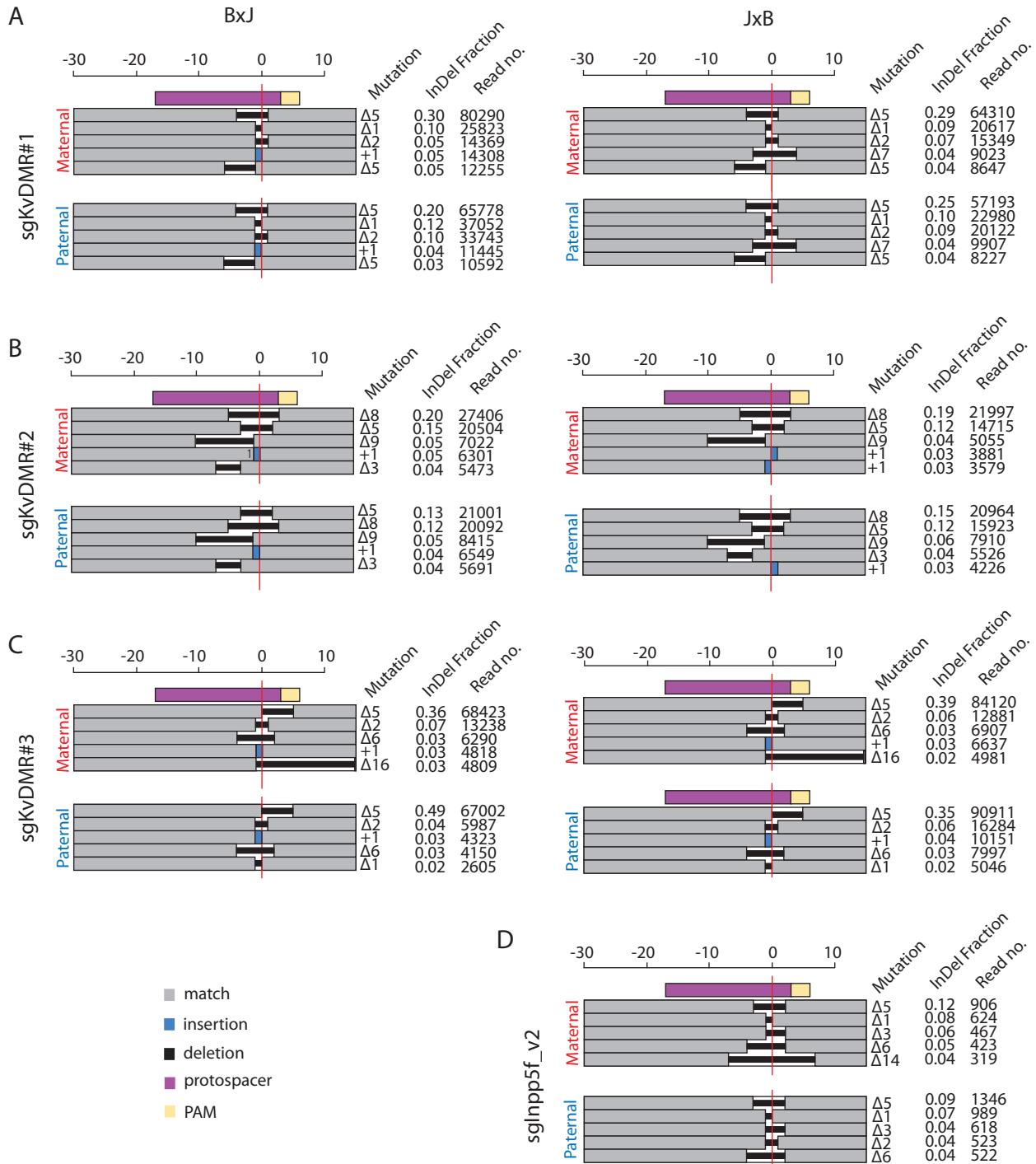


Figure S5: The same InDel classes recur in heterochromatin and euchromatin 4 days post-transfection

The size and frequency of the top 5 most common InDels (broken down by parental allele) produced by 4 different sgRNAs targeting imprinted heterochromatin. Edited genomic DNA was extracted 4 days following transfection with sgRNAs targeting the *KvDMR* (A, B, C) or *Inpp5f_v2* (D) imprinted loci in BxJ (left) and JxB (right) cells. Note that a majority of maternal chromosomes had lost imprinting at the *Inpp5f_v2* locus in BxJ cells (Figure S3E), hence, only JxB data are shown. Deletion sizes are depicted against the scale bar at the top of each panel, and the number of inserted bases is indicated next to the blue rectangle. The horizontal red line denotes the predicted cleavage site, and the colour key for all panels is situated at the bottom left of the figure. The fraction of Indels was calculated as the number of reads corresponding to each specific mutation class, expressed as a proportion of all InDel-containing reads.