

1 Physiological and transcriptional response of the diatom *Corethron hystrix* under robust

2 UVR irradiation

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Abstract:

A novel close-coupled and wavelength-configurable platform was designed that allows the precise and repeatable in-vitro irradiation of target organisms to determine their metabolic, protective, mutative, and repair mechanisms as a function of varying levels of specific electromagnetic energy. This new platform and an associated method to quantify near real-time electromagnetic induced stress progression in photoautotrophic organisms, provided a methodology to alter the physiological and metabolic functions of cells in a highly controlled manner. *Corethron hystrix* was selected as the target for an in-vitro UVR irradiation period of 6-hours followed by a shielded dark period of 6-hours. Irradiation and dark periods were repeated with energy levels beginning at 0.32 mW/cm² and increasing incrementally to 1.59 mW/cm². By subjecting the organism to UV induced stress, and observing/recording the physiological and molecular responses at each energy level to both UV induced damage and subsequent repair, we discovered that the cells exhibited a negative linear decrease in the photosynthetic efficiency of photosystem II proportional to UV intensity, corresponding to a large increase in the turnover time of the quinones. Gene expression changes were consistent with UVR induced photosystem II damage with decreased expression of photosystem II reaction center proteins D1, CP43 and CP47. Down-stream metabolic pathways demonstrated mixed expression after UVR irradiation, with strong up-regulation after dark recovery. This ability to alter the physiological, molecular and metabolic makeup of an organism in a highly specific manner is a valuable research and discovery tool in DNA damage research.

Introduction:

Diatoms are microscopic photosynthetic algae that are ubiquitous throughout the surface waters of the oceans (Lohman 1960) and account for roughly 40% of oceanic primary production, or one-fifth of the Earth's total primary production (Falkowski and Raven 2007). Diatoms also play a vital role in the global carbon cycle through their uptake of dissolved CO₂ and subsequent carbon fixation which provides a large proportion of the base for the entire marine food web (Armbrust 2009). Given their global distribution, diatoms have adapted to survive under a variety of environmental conditions (Ligowski et al. 2012; Verde and Prisco 2012; Marchetti et al. 2012). Unfavorable conditions such as nutrient limitation (Allen et al. 2008; Dyhrman et al. 2012; Shrestha et al. 2012; Bender et al. 2014), varying light levels (Domingues et al. 2012; Herbstová et al. 2015) and UV exposure (Wu et al. 2015) are commonplace. The latter is of particular interest because of the damaging effects UV can have on photosynthesis as well as other metabolic pathways.

In phytoplankton, UV exposure can inhibit photosynthesis, based on the relative dose and dose rate (Cullen and Lesser 1991). An inhibition of the photosynthetic rate causes a decrease in the rate of primary production, with consequences in marine ecosystems as well as terrestrial environments. Phytoplankton can also produce protective compounds to combat the deleterious effects of UVR such as mycosporine-like amino acids (MAAs), DNA photolyases and many more undefined compounds (Helbling et al. 1996; Coesel et al. 2009). These compounds have shown promise in reducing the carcinogenic effects of UV irradiation, when added to commercial products such as sunscreen (Berardesca et al. 2012; Emanuele et al. 2013). Furthermore, despite the

tremendous diversity of diatoms, with species estimates ranging from 1×10^4 (Norton et al. 1996) to 2×10^5 (Allen et al. 2006), few studies have focused on the potential biomedical applications of bioactive compounds produced by these organisms (Coesel et al. 2009; Prestegard et al. 2009).

Fluorescence kinetics measurements are a reliable estimator of photosynthetic electron transport rates and photosystem II health (Kolber and Falkowski 1993) in photoautotrophic organisms. Fast Repetition Rate Fluorometry (FRRF) has been used to examine variations in several photosynthetic parameters in relation to light impacts on the cell (Kolber et al. 1998). Changes in parameters such as the maximum quantum yield of PSII (Fv/Fm) (Geider et al. 1993; Kolber and Falkowski 1993), the turnover time of electron transport from QA → QB (tau1) and QB → PQ (tau2) (Kolber et al. 1988), and the functional cross section of photosystem II (σ_{PSII}) -- the effective target size of the PSII antenna in $\text{\AA}^2 (\text{quanta})^{-1}$ (Kolber et al. 1998), act as proxies to monitor electron transport rates and the relative health of photosystem II. Here we use them to monitor the speed and intensity of photosynthetic damage within the cell. Changes in these parameters are a function of the dose and dosage rate of absorbed radiation, as this has a direct impact of the oxidation state of PSII electron transport chain.

In this study, the diatom *Corethron hystrix* (CCMP 308) was subjected to increasing intensities of UVR energy ranging from 0.32 mW/cm² to 1.59 mW/cm² using a custom built UVR emitter array. This gave us the ability to precisely and repeatedly control and measure damage as a decrease in the photochemical efficiency of PSII using FRRF. Fv/Fm, σ_{PSII} , and tau were monitored hourly or bihourly to measure the UV damage to PSII relative to non-irradiated conditions. Gene expression changes were

also monitored at 0.64 mW/cm². Our observations indicate that UV-induced damage to PSII is a linear function of time/energy irradiation. The changes in gene expression following UV irradiation and a shielded dark period of 6-hours further demonstrated that severe damage as well as preliminary repair occurred in response to UV irradiation. This study thus provides a comprehensive investigation of the physiological and molecular stress response to UV irradiation using a UV emitter array designed specifically to dose planktonic phototroph cultures with any desired UVR intensity. These tools and methods can be used to manipulate organisms that may have value in better understanding how organisms protect themselves against DNA damage.

Materials and Methods:

Cell Cultures

Corethron hystrix was grown at a maintenance temperature of 14 °C under 12:12 L:D at an illumination of ~40 μmol photons m⁻² s⁻¹ using white LEDs. Although 14 °C was the recommended temperature for in-vitro studies, *Corethron hystrix* has a much wider known temperature range. Duplicate cultures were grown in L1 medium (Guillard and Hargraves 1993), prepared with 0.2 μm filtered surface seawater from the Gulf of Maine (NCMA). Chlorophyll pigment extraction was accomplished in 90% acetone at -20 °C for 17 hours, under zero light conditions. Following extraction, fluorescence of each sub-sample was measured using a 10AU Fluorometer (Turner Designs, Sunnyvale, CA, USA), and chlorophyll concentrations were calculated. Cell counts were determined using a Sedgewick chamber under bright light. Specific growth rates of replicates in the cultures were estimated from the log-linear portion of growth curves constructed from

chlorophyll a fluorescence and cell counts obtained under non-irradiating conditions. During the UVR irradiation experiments chlorophyll a measurements and morphological cell counts were also collected bihourly in order to observe how these values were affected by UVR.

Photosynthetic Kinetics

Fv/Fm, sigma, and tau measurements were monitored using a FRRF (Soliense, Inc). Cell cultures, in duplicate, were subjected to either bright white light only at $\sim 40 \mu\text{mol m}^{-2} \text{sec}^{-1}$ (control condition) or a combination of bright white light ($\sim 40 \mu\text{mol m}^{-2} \text{sec}^{-1}$) and UVR irradiation ranging from 0.32 mW/cm^2 to 1.59 mW/cm^2 (experimental condition). This was accomplished using a custom-built emitter platform (Lumenautix Inc., Reno, NV; Fig. 1). The overall experimental period consisted of both control and experimental conditions running for six hours, followed by a dark no light period of six hours.

NEST Configurable Emitter Array

The NEST array is comprised of multiple discrete solid-state emitters operating in two categories, VIS and UV connected to a control unit (Supplemental Text). The NEST emitter control unit is a custom designed four-channel isolated direct current analog power supply designed specifically to provide temperature stable and highly regulated clean DC power to each of the four emitter channels of the NEST emitter array. The output is regulated and temperature compensated for constant current DC – this allows precise control and stability of light output. Voltage and current are output for power adjustment and monitoring of each channel and each channel is limited to the LED output safe area of operation. The NEST array consists of surface mount technology LEDs.

Visible LEDs are blue (3), red (3) and white (6) and the UV emitters (3). For this work only the UV and white LEDs were used. The white LED color temperature is 3985K and the output can vary between 4 and 380 $\mu\text{mol m}^{-2} \text{sec}^{-1}$.

The platform is close-coupled and wavelength-configurable (Fig. 1). It is designed to allow the precise and repeatable incremental in-vitro irradiation of target organisms to determine their metabolic, protective, mutative, and repair properties as a function of varying levels of specific electromagnetic energy. The platform is flexible and can be configured to emit Infrared, specific narrow-band VIS, PAR, UV, or combinations thereof. The energy categories can be adjusted incrementally and independently to affect organism behavior and biological functions, stress the organism to evoke specific physiological responses or cause DNA / RNA mutations.

Net UVR organism exposure was reported from the emitter array's gross output after subtracting attenuation from surface reflection, transmittance, absorption, and diffusion of the quartz glass container and seawater. This attenuation was approximately 25% of the gross emitter output. Data was collected every hour for UVR energy intensities of 0.32 and 0.64 mW/cm^2 . At higher doses of 0.96 - 1.59 mW/cm^2 , measurements were collected every 30 minutes. Raw data was processed and plotted using the ggplot2 package in the R environment (Wickham 2009). Rate constants for both F_v/F_m and sigma were calculated using a linear regression model within R.

Illumina sequencing and Gene Expression analysis

After photosynthetic kinetic measurements were made, total RNA was extracted in duplicate from cells in the mid-exponential phase of growth directly after UVR irradiation (experimental and control cultures), as well as directly after a dark recovery

period of six hours (experimental and control cultures), using the Ambion ToTALLY RNA kit (Life Technologies, Grand Island, NY, USA). The same experimental and control cultures in duplicate were used during both harvesting periods. These extractions produced approximately ~10-12 µg of total RNA from each pellet. Samples were sent for sequencing at the Biodesign Institute at Arizona State University (Tempe, AZ, USA). Library preparation was fully automated and performed using the Apollo 324 liquid handling platform. Illumina HiSeq Sequencing yielded 2x100 bp paired-end reads. Raw sequencing reads were uploaded to the sequencing read archive (NCBI accession: SRP091884, SRX2255404) and inspected using Fastqc (Andrews 2009) to determine quality, ambiguous read percentage and relative amount of sequence reads. Illumina RNA sequencing resulted in an average of 19.4 million raw reads per cDNA library with an average quality score of Q38 (Supplementary Table S1).

Raw Sequencing reads were trimmed using the sequence trimming program Trimmomatic with the following options: Remove any Illumina adapter, cut off the end of any read where the quality score falls below 10, use a sliding window of 5 to cut and trim any base where the average quality score falls below 32 for that window and only keep trimmed reads with a minimum length of 72. (Bolger et al. 2014). De-novo transcript assembly was accomplished in Velvet (Zerbino and Birney 2008). Optimal k-mer selection, as well as read coverage cutoff selection, was determined by the VelvetOptimiser (Gladman and Seemann). Velvet-constructed contigs were assembled into full-length transcripts using the Oases transcriptome assembler, with a minimum transcript length of 150 base pairs (Schulz et al. 2012). Raw sequences were aligned to the assembled transcripts by Bowtie2 (Langmead and Salzberg 2012). Abundances of

mapped sequence reads were calculated using eXpress (Roberts and Pachter 2012), providing the estimated count of reads that mapped to each individual assembled transcript. Estimated counts from eXpress were normalized and gene expression was calculated using the algorithm of DESeq2 (Love et al. 2014). Transcripts were considered differentially expressed if their associated \log_2 fold changes were significant at a $p < 0.01$, based on the Wald test of DESeq2 (Love et al. 2014). Based on our experimental design, differential expression was compared between the UVR+white light irradiated cells (experimental treatment – in duplicate) and bright white light only cells (control treatment – in duplicate), directly after the six hour UVR irradiation ended and also after a six hour dark period.

Corethron hystrix is not well annotated at the current time. Therefore, to increase our understanding of gene expression changes for a select set of pathways, the model centric diatom *Thalassiosira pseudonana* was used as a homolog. For each pathway of interest, the entire annotated pathway was downloaded from the Kyoto Encyclopedia of Genes and Genomes (KEGG) (Kanehisa and Goto 2000; Kanehisa et al. 2013). *C. hystrix* transcripts from our de-novo assembly were in-silica translated and compared to *T. pseudonana* proteins using HHsearch, which is part of the HH-suite software package (Remmert et al. 2012). Using hidden Markov model alignments from HHsearch allowed for the discovery of any homologous proteins based on protein-protein homology (Soding 2005). Translated transcripts were considered homologs if there was a >90% probability of the translated transcript being a homolog to the *T. pseudonana* protein. For each pathway tested, translated transcripts mapping to a homolog were sometimes one of

several isoforms. To maintain consistent mapping between homologs, transcript isoforms were binned by functional annotation after translation.

In most cases, up and down regulation variation between isoforms was small, making expression patterns more evident. However, in other cases, transcript isoform variation was higher, where transcripts for the same functional annotation but different loci were both up and down regulated. Because *C. hystrix* doesn't have an annotated genome, binning by functional annotation produces an expression overview for the homolog, which accounts for transcriptional variability. Binned isoforms were visualized with a box and whisker plot using the ggplot2 package (Wickham 2009). The line in the box represents the median log₂ fold change when combining all the isoforms. The hinges are the 1st and 3rd quartile.

Results:

Chlorophyll A and Cell Counts under laboratory conditions

Corethron hystrix grew at rate of 0.37 doublings per day based on chlorophyll a concentration (Fig. S1a); this was consistent with microscopic cell counts, which produced a growth rate of 0.392 doublings per day (Fig. S1b). Under UV light of different intensities, chlorophyll a content remained relatively constant during the six-hour UVR irradiation period (Fig. S2). However, light microscopy revealed morphologically altered cells in the UVR exposed cultures compared to control cultures. The percentage of morphologically intact cells was fairly constant for the lower UVR intensity levels (0.32 - 0.64 mW/cm²), with a small decrease during the last two hours at 0.64 mW/cm² (Fig. S3). However, higher intensities (0.96 - 1.59 mW/cm²) caused a

pronounced decrease in the amount of morphologically intact cells, especially during the last two hours of UVR irradiation (Fig. S3).

Photosystem II

Photosynthesis is often illustrated using a simplified diagram called the Z Scheme, with the first major complex being photosystem II. To monitor the changes in photosystem II during exposure to UVR irradiation from a custom built UV emitter (Fig. 1), photosynthetic kinetic measurements were recorded for *C. hystrix* using FRRF (Fig. 2). There were several parameters produced by the FRRF. First, F_v/F_m measures the maximum quantum yield of PSII (Fig. 2A) (Geider et al. 1993; Kolber and Falkowski 1993). F_v/F_m is a dimensionless parameter but represents how efficiently absorbed photons are used for electron flow (Suggett et al. 2009). Higher values of F_v/F_m often indicate greater efficiency and low values indicate lower efficiency. A second parameter, sigma is a proxy for the functional cross section for photosystem II and is roughly proportional to the PSII antenna size, or the number of chlorophyll molecules per photosystem II reaction center (Fig. 2B) (Mauzerall 1986; Oxborough et al. 2012).

F_v/F_m decreased in a linear manner with the rate of decay increasing with intensity (Supplementary Tables S2-S7). Conversely, sigma increased in a linear manner with increasing intensity although at the higher intensities there was a drop in the rate as photosynthesis becomes severely inhibited (Supplementary Tables S2-S7). Overall, UVR had a powerful effect on the fluorescence kinetics of PSII, especially at the higher UVR intensities (0.96 - 1.59 mW/cm², measured at 285 nm) (Fig. 2, S4-S8). For example, sigma increased only 15% over the entire irradiation period at the lowest UVR intensity of 0.32 mW/cm², with the largest increases evident after 3 hours under irradiation (Fig

S4, Supplementary Table S3). In comparison, at 0.96 mW/cm^2 , we observed a similar 15% increase in sigma within the first 2 hours of UVR irradiation, with a total increase in sigma of approximately 56% over the irradiation period (Fig. S6, Supplementary Table S5). Furthermore, UVR intensities of 0.96 mW/cm^2 and 1.28 mW/cm^2 had very strong and similar responses. The rate of change of sigma for each of these two treatments was almost identical and approximately 44% faster than the rate of change observed at 0.64 mW/cm^2 (Supplementary Table S2) and 72% faster than the rate observed at 0.32 mW/cm^2 . At the highest intensity of 1.59 mW/cm^2 , the rate of change for sigma was slower than the rate at 0.96 mW/cm^2 and 1.28 mW/cm^2 , likely because of extreme damage to the photosynthetic reaction centers. We also observe the photosynthetic efficiency at 0.64 mW/cm^2 after 4 hours is approximately the same as the as it is at 1.59 mW/cm^2 after roughly 2.5 hours. Together these results demonstrate the ability of our light engine to directly manipulate photosynthetic efficiency and target physiological states based on UVR exposure.

We also used comparative transcriptomics to evaluate the expression response of *C. hystrix* photosystem II transcripts to UV irradiation (0.64 mW/cm^2 UVR intensity). To monitor *C. hystrix* gene expression in the context of specific pathways, our reads were in-silica translated and mapped to the proteins from the ubiquitous model centric diatom *Thalassiosira pseudonana*, as the majority of its cellular pathways are at least partially annotated by KEGG (Kanehisa and Goto 2000; Kanehisa et al. 2013). There were 22 photosystem II transcripts that were differentially expressed directly after UVR irradiation (Fig. 3). Most (19/22, 86%) transcripts, after in-silica translation, mapped to photosynthetic *T. pseudonana* photosystem II homologs that demonstrated little variation

in their fold changes, indicating a strong and coordinated transcriptional response (Fig. 3, Supplementary Table S8). The majority of the photosystem II associated transcripts (20/22, 90%) were down regulated, including the reaction center core D1 protein and reaction center core antenna proteins CP43 and CP47, correlating with decreases in the photosynthetic efficiency. Moreover, in-silica translated transcripts mapping to water splitting cytochrome c550 and photosystem II PsbU proteins were also strongly down regulated.

Reoxidation of the Electron Acceptors

Tau 1 or the fast rate constant approximates the turnover time for an electron to reduce the primary quinone acceptor, QA (Falkowski and Raven 2007). Tau 2 or the slow rate constant, measures the turnover time for an electron to reduce the secondary plastoquinone acceptor, QB (Falkowski and Raven 2007). Results show that tau 1 increases as energy increases (Fig. 2C). There was very little change in tau 1 during the first 2.5 hours for any dose of UVR irradiation. After 2.5 hours, turnover time began to increase for the larger UVR doses: 0.96, 1.28, and 1.59 mW/cm² (Fig. 2). Overall, tau 1 turnover time increased from 26.61 μs at 0.32 mW/cm² to 1114.0 μs at 1.28 mW/cm² -- an approximately 42x increase (Supplementary Table S9). At the highest UVR energy (1.59 mW/cm²), tau 1 turnover time initially followed the same trend as 1.28 mW/cm². However, there was a significant decline in the turnover time after 5 hours (Fig. 2C, Supplementary Table S9), likely because photosynthesis had become severely inhibited.

Tau 2 reacted similarly to tau 1 during UVR irradiation, although turnover time for tau 2 was much longer, on the order of seconds instead of microseconds (Fig. 2D). For the first two hours of irradiation, there was no significant change in the turnover rate

for tau 2 (Fig. 2D). After 2.5 hours, tau 2 increased with each successive increase in UVR energy, with the exception of 1.59 mW/cm², which decreased after 5 hours, similar to the behavior of tau 1 for the same treatment (Fig. 2D, Supplementary Table S10).

Together, tau 1 and tau 2 again demonstrate the ability of our emitter to induce certain physiological states based on set doses and dosage rates. For example, the turnover time for both tau 1 and tau 2 at 5 hours under a UVR intensity of 0.64 mW/cm² was the same as the turnover time for 1.28 mW/cm² at just 2 hours of irradiation. Also, doubling the UVR energy cut the time in half for tau 1 and 2 to reach specific turnover times -- a 1:1 ratio.

Comparative transcriptomics for the supplemental electron transport portion of the Z scheme are similar to the observations regarding photosystem II expression. There were 14 transcripts related to supplemental electron transport, most of which are a functional subunit of the cytochrome b6 complex with the “Pet” moniker. Differential expression of the 14 transcripts show 11 decreased in abundance and 3 increased, illustrating that there is a coordinated down-regulation of the supplemental electron genes. This is consistent with kinetic analysis where we see an increase in tau 1 and tau 2 turnover times for the 0.64 mW/cm² UVR intensity.

Photosystem I

Photosynthetic kinetic measurements for photosystem I are not reported in this study. However, gene expression measurements were conducted to see what photosystem I genes were specifically altered during UVR irradiation (Fig. 3). There were 13 photosystem I related transcripts; 12 of them decreased in abundance compared to non-irradiated samples after UVR irradiation. Included in these 12 transcripts are six variants

of *psaB*, a main photosystem I reaction center protein. Each one of them was strongly decreased in abundance compared to the control samples.

Other Metabolic Pathway Expression

Fine control of the glycolytic regulation in plants is accomplished by 3 main genes: hexokinase, phosphofructokinase and pyruvate kinase (Plaxton 1996). From the glycolysis pathway, there were 33 differentially expressed in-silica translated transcripts mapping to 12 homologous *T. pseudonana* proteins. The majority of the translated transcripts mapping to homologous proteins phosphofructokinase, phosphoglycerate kinase and enolase decreased in abundance directly after UVR irradiation (Fig. 4, Supplementary Table S11). The hexokinase homolog did not map to any translated transcript hits in our data. The expression for the rest of the glycolytic transcripts was mixed with up and down regulated transcripts, however; the slight majority of transcripts were increased in abundance directly after UVR irradiation.

The TCA cycle, the second phase of carbohydrate catabolism, is an important source of the cellular reducing agent NADH, which helps generate the proton gradient that is critical for the production of ATP through electron transport (Nelson and Cox 2005). For this pathway, we observed 47 differentially expressed in-silica translated transcripts mapping to 10 homologous proteins in the TCA cycle (Supplementary Table S12). The rate limiting step of the TCA cycle, Isocitrate Dehydrogenase, did not have homologous proteins currently identified in *T. pseudonana*. We used Blastx against the non-redundant protein database to identify a single possible Isocitrate Dehydrogenase transcript in our dataset, however it was not significantly differentially expressed. The overall expression of the TCA cycle was mixed with approximately the same number of

up and down-regulated transcripts: 22 transcripts (46.8%) increased in abundance while 25 (53.2%) decreased (Fig. 5, Supplementary Table S12). Moreover, we observed that homologs near the end of the TCA cycle, such as succinate dehydrogenase, fumarate hydratase and malate dehydrogenase produced mixed expression as well (Fig. 5).

The Calvin-Benson-Bassham (CBB) cycle, a light independent pathway necessary for CO₂ reduction, also produced mixed gene expression changes when sampled after UVR irradiation (Nelson and Cox 2005) (Fig. 6, Supplementary Table S13). There were homologs to 13 of 16 proteins identified in KEGG, with 95 total transcript variants (Supplementary Table S13). The large subunit of RuBisCO mapped to 17 in-silica translated transcripts which were the isoforms of 4 gene loci (Supplementary Table S13). The overall expression of the 17 RuBisCO transcripts was mixed, although the majority of them were decreased in abundance (12/17 transcripts) (Fig. 6).

Preliminary Recovery

In contrast to the results seen directly after UVR irradiation and cellular damage, transcripts in the three auxiliary metabolic pathways greatly increased in abundance after dark recovery (Fig. 3-6, Supplementary Tables S14-S17). Approximately 90% of the in-silica translated transcripts that mapped to homologous glycolytic, TCA and CBB cycle pathway homologs increased their abundance, even after a short dark recovery period of 6 hours. Those transcripts produced large log₂ fold changes, with several greater than log₂ of 7. Moreover, several photosynthetic transcripts were observed to reverse their expression after six hours of dark recovery.

Discussion:

Controlled laboratory studies describing the impact of UV on phytoplankton have the potential to improve our understanding of the photosynthetic damage and repair. To develop a controlled laboratory methodology for studying the impacts of UVR on photosynthetic and metabolic pathways, we developed a UV light emitter to deliver repeatable doses at a high resolution across a wide spectrum of intensities. As a proof of concept test of our emitter array, we selected a cosmopolitan open-ocean diatom, of which members of its genus are found in the Southern Ocean where UV intensity is high due to ozone depletion. For example, during the spring, pennate and centric diatoms comprise a large portion of the Southern Ocean biomass, and the genus *Corethron* is one of the top four genera (Vincent 1988). We chose the diatom *Corethron hystrix* for our study.

Growth Rate and Cell Morphology

The growth rate for laboratory grown *C. hystrix* under normal photosynthetically active radiation (PAR, $\sim 40 \mu\text{mol m}^{-2} \text{s}^{-1}$) conditions was similar to published values for several diatoms under analogous light levels (Gilstad and Sakshaug 1990). Higher level plants that can maintain chlorophyll levels during UVR irradiation may have a higher tolerance to UVR stress, as they are able to more efficiently transfer their excitation energy into photosystem II reaction center proteins (Bornman and Vogelmann 1991; Greenberg et al. 1997). During the 6 hour UVR irradiation experiment, total chlorophyll a did not change at any level of UVR irradiation. There was no significant increase in cell growth over the irradiation period. However, chloroplast morphology was altered at UVR irradiation levels of $0.64\text{-}1.59 \text{ mW/cm}^2$ with the largest decreases in intact chloroplasts occurring during the last two hours of irradiation. Morphological alterations consisted of

jagged chloroplasts that migrated toward the center of the cell, which could be a response similar to the “chloroplast clumping” phenomenon seen in other organisms as a form of UV protection (Sharon et al. 2011).

Photosynthetic Kinetics and Gene Expression

We used 285nm UVR irradiation (12nm FWHM; 0.32-1.59 mW/cm²), which allowed us to manipulate the dose and rate of photosystem II damage in an approximately linear fashion by fine adjustments to the output. Photosystem II damage was affected by both intensity and time under irradiation in our study: damage from the highest UVR irradiation treatments ultimately resulted in a loss of photosynthetic function – Fv/Fm becomes approximately zero (Fig. 2A, S4-S8). There was an inverse correlation of sigma and Fv/Fm for all doses of UVR in this study, with sigma increasing and Fv/Fm decreasing as damage accumulated (Fig. 2 AB, S4-S8). The observed decrease in Fv/Fm is likely caused by UVR directly damaging photosystem II reaction centers causing a decrease in the energy transfer between its reaction center proteins. We hypothesize that the corresponding increase in sigma may be an environmental adaption to the extreme conditions. Under these conditions, chlorophyll molecules may be funneling their excitation energy away from the damaged reaction centers and into the remaining functional reaction centers, thus increasing the energy transfer of those intact reaction centers.

We observed that UVR intensity begins to have a significant effect on the photosynthetic efficiency and energy transfer by 3 hours at the 0.32 mW/cm² dose. At this point, it is likely that the repair system responsible for photosystem II damage has been overwhelmed and cannot keep up with the damage that is occurring to the reaction

centers, thus we see an increase in sigma and decrease in Fv/Fm. At higher irradiances (0.96 – 1.28 mW/cm²), the increase in energy transfer is much faster, perhaps because more reaction centers are being irrevocably damaged and at a quicker pace. This leaves more excitation energy for the chlorophyll molecules to pass on to the functional reaction centers. We observed a noticeable decrease in sigma as the experimental period ends for both 0.96 and 1.28 mW/cm², which possibly indicates that the majority of the reaction centers have been damaged or that chlorophyll can no longer funnel their excitation energy through the functional reaction centers (i.e., a loss of photosynthetic function). This also may correlate to the slower increase in energy transfer at the highest irradiation of 1.59 mW/cm² compared to 0.96 and 1.28 mW/cm². The damage may be so intense at that irradiation that the chlorophyll molecules cannot funnel their energy into the functional reaction centers as efficiently as they do at the lower irradiances. We also observed that our emitter array linearly allows us to specifically set dose and dosage rate to reach a certain physiological state, as evidenced by the culture irradiated at 1.59 mW/cm² reaching a photosynthetic efficiency in roughly half the time it would have taken a culture irradiated at 0.64 mW/cm². This would allow future applications to determine whether molecular changes under a fast damage rate are the same as those changes under a more gradual damage rate. Moreover, at very quick damage rates, certain metabolites may be produced by the cell that would not be observed at slower rates of damage.

Low Fv/Fm measurements often signal a decrease in the efficiency of photosynthesis (i.e., dynamic photoinhibition), as seen in the coccolithophorid *Emiliania huxleyi* due to high photon flux densities (Critchley 2000; Ragni et al. 2008).

Photoinhibition is typically caused by environmental stressors such as too high or low intensities of photosynthetically active radiation (PAR), adverse temperatures, and water limitation. Our decreased Fv/Fm measurements after UVR irradiation appear to mimic photoinhibition, which is likely due to direct damage to PSII by UVR. We collected transcriptomic data after 6 hours of UVR at the intensity of 0.64 mW/cm² to explore the molecular changes undergone by the cell. As stated above photosynthetic efficiency is strongly decreased for UVR intensities of 0.64 – 1.59 mW/cm². Photosystem II is known to be the most sensitive part of the photosynthetic system to UVR irradiation, especially the oxygen evolving complex (Post et al. 1996; Szilárd et al. 2007). Transcriptomic analysis shows that oxygen evolving complex genes, *psbO* and *psbQ*, mapped to transcripts that decreased in abundance. *PsbO* codes for a photosystem II oxygen-evolving enhancer protein and *psbQ* codes for a photosystem II oxygen-evolving enhancer protein 3.

Furthermore, it is highly likely that there was other reaction center damage, as the D1 protein and the aromatic tyrosine electron donors were previously shown to be sensitive to UV irradiation (Vass et al. 1996; Bouchard et al. 2006). D1 is one of two main reaction center proteins, while tyrosine amino acids are part of the donor side of photosystem II absorbing energy at 285nm, thus making them a possible target for UVR irradiation (Vass et al. 1996). Transcriptome changes demonstrate that the D1 reaction center protein, encoded by the *psbA* gene, decreased in abundance during UVR irradiation compared to non-irradiated cells. It has been determined that the overall changes in the expression of *psbA* differ based on the specific organism (Surplus et al. 1998; Huang et al. 2002), however, the decrease in abundance of our *psbA* transcripts

during UVR was similar to the changes produced by *Arabidopsis thaliana* (Surplus et al. 1998). Other reaction center proteins, such as the CP47 chlorophyll apoprotein which along with its sister protein CP43 channel the energy from the light harvesting complexes to the reaction center core, (Falkowski and Raven 2007) also displayed large decreases in abundance after UVR irradiation. Previously, it was observed that both CP47 and CP43 expression levels decreased in the cyanobacteria *Spirulina platensis* during UVR irradiation (Rajagopal et al. 2000), and our observations were consistent with that study. This result also lends credence to the hypothesis that the functional cross section of PSII increases because of damage to reaction center proteins and the re-allocation of energy transfer to functional centers.

Tau is a proxy for the turnover time for the photosystem II reaction center (Kolber et al. 1988). Both tau 1 and tau 2 turnover times increase significantly at UVR irradiations of 0.64-1.59 mW/cm² (Fig. 2CD). This is likely because the plastoquinones QA and QB are directly susceptible to UVR damage (Melis et al. 1992). Our data also illustrate that turnover time is inversely correlated higher intensities (Fig. S4-S8, Tables S3-S7, S9 & S10). With turnover times increasing to 1 – 1.5 seconds in certain cases (Fig. 2D), these long reoxidation times likely have a detrimental effect on photosynthesis, thus explaining the almost complete loss of photosynthetic function at higher intensities of UVR.

Transcriptome analysis of supplemental photosynthetic electron transport genes corresponds with our photosystem II expression analysis, as the majority of the transcripts were down-regulated compared to the control samples. Most of the translated transcripts in this subset of data mapped to homologous cytochrome b6-f complex

proteins. There are 4 major subunits that make up the cytochrome b6-f complex in algae (Pierre et al. 1995). We discovered in our data that both of the heme-bearing subunits of the cytochrome b6-f complex were strongly down-regulated after UVR irradiation. We didn't have any homologous hits to the other two cytochrome b6-f subunits, however, the other supplemental photosynthetic electron genes, such as *petF* and *petH*, were also decreased in abundance compared to the non-irradiated control samples.

The final stage of the photosynthetic light reaction is characterized by photosystem I. Photosystem I isn't directly affected by UVR irradiation, and is more resistant to environmental stressors such as high-light levels (Teramura and Ziska 1996; Zhang et al. 2016). However, due to interaction between photosystem II and photosystem I during photosynthesis, UVR irradiation will unavoidably have an effect on photosystem I as well. We didn't measure any kinetic data for photosystem I, however; because of the correlation between the kinetic data and photosystem II expression, our transcriptional analysis provided some insight into the health of photosystem I. All 13 in-silica translated transcripts from photosystem I, mapping to four *T. pseudonana* homologs, decreased in abundance during UVR irradiation. One transcript, *psaB* which codes for photosystem I P700 chlorophyll a apoprotein A2, had 6 variants with very similar abundance changes. *PsaB* binds hydrophobically to *psaA* to form the major reaction center of photosystem I (Falkowski and Raven 2007). The decreased abundance in *psaA*, a core reaction center protein, likely indicates that the entire photosystem I reaction center complex was down-regulated, correlating with the other photosystem I and II genes.

Other Metabolic Pathway Expression

UVR irradiation is known to cause other metabolic gene expression changes in higher level plants (Jenkins 2009). We determined the gene expression changes for the CBB cycle, the TCA cycle, and the glycolytic cycle. The glycolytic cycle is the first phase in the catabolism of cellular carbohydrates (Nelson and Cox 2005). The TCA cycle, the second phase of catabolism, is an important source of the cellular reducing agent NADH, which helps generate the proton gradient that is critical for the production of ATP through electron transport (Nelson and Cox 2005). Finally, the CBB cycle performs carbon fixation for the cell, which provides the carbon skeletons necessary for carbohydrate catabolism. In our study, glycolysis, the TCA cycle and the CBB cycle produced a mixed gene expression pattern with many up and down regulated transcripts directly after UVR irradiation. There are likely a variety of causative factors behind this mixed pattern of expression including the susceptibility of certain enzymes to UV, as well as direct transcriptomic regulation of certain enzymes which could in turn influence the regulation of the entire pathway.

In plants, glycolytic regulation has been shown to often be dependent on the tissue, as well as the external environment (Plaxton 1996). Phosphofructokinase, important for fine control of glycolysis, mapped to two translated transcripts which significantly decreased during UVR irradiation (Plaxton 1996). Phosphofructokinase is the first committed step in the glycolysis pathway, and one of the major regulators of the glycolytic pathway (Nelson and Cox 2005). The regulation of phosphofructokinase is so important, in fact, that it can oftentimes overrule the regulatory roles of the other glycolytic enzymes (Usenik and Legiša 2010). In fact, it has been observed that the repression of a phosphofructokinase isoform can largely repress glycolysis and increase

the movement of glucose of through the pentose phosphate pathway, in order to increase nucleotide production after UV induced DNA damage (Franklin et al. 2016). Like the TCA cycle, the in-silica translated transcripts that mapped to homologs near the end of the cycle (phosphoglycerate kinase – enolase), decreased in abundance after UVR irradiation. Nonetheless, the slight majority of transcripts are increased directly after UVR irradiation, possibly to try and keep a basal level of active glycolytic flux.

The TCA cycle also plays an important part in cellular respiration. In higher plant species, such as *Arabidopsis thaliana*, previous research has shown UVR irradiation can cause a mixed expression profile for the TCA pathway (Kusano et al. 2011; Cavalcanti et al. 2014). Our data also illustrate a mixed expression pattern for the TCA cycle after UVR irradiation. The first reaction in the TCA cycle, catalyzed by citrate synthase, was strongly decreased during UVR irradiation with a single transcript variant. Moreover, it was previously shown in plants that enzymes near the end of the TCA cycle reduced their expression after UVR irradiation (Cavalcanti et al. 2014). For our experiment, the last three enzymes in the cycle produced a mixed expression pattern.

Like TCA and glycolysis, the CBB cycle expression was mixed during UVR irradiation. The CBB cycle is broken up into 3 main stages for the overall assimilation of CO₂ fixation within the cell (Nelson and Cox 2005). The first stage is the fixation of CO₂ into 3-phosphoglycerate, which is catalyzed by the protein RuBisCO. RuBisCO is the most abundant protein on Earth due the large amount needed by all plants for CO₂ fixation, and accounts for > 99.5% of inorganic carbon assimilated by primary producers (Raven 2009; Raven 2013). Our data demonstrated a mixed expression pattern for the in-silica translated transcripts mapping to the RuBisCO homolog, though the majority were

decreased in abundance compared to non-irradiated cells. Previous research on higher order plants demonstrated that UVR irradiation caused a large decrease in the activity and expression of RuBisCO when compared to control samples in pea leaves (Strid et al. 1990; Mackerness et al. 1999). Similar RuBisCO decreases were also observed in jackbeem leaves (Choi and Roh 2003).

The second stage of the CBB cycle involves the reduction of 3-phosphoglycerate to glyceraldehyde 3-phosphate (Nelson and Cox 2005). Expression for this stage was a mix of up-regulated and down-regulated transcripts, with the phosphoglycerate kinase homolog mapping to 2 translated transcripts that decreased in abundance, and the glyceraldehyde 3-phosphate homolog mapping to 1 translated transcript that decreased and 2 that increased in abundance.

The final stage of the CBB cycle involves the regeneration of RuBisCO. Several enzymes are responsible for this process, including sedoheptulose-bisphosphatase, which is an enzyme unique to the plastids. Sedoheptulose-bisphosphatase has no homolog in non-photosynthetic organisms, and likely plays a key role in the regulation of the carbon flux in the CBB cycle (Raines et al. 1999). There were 3 translated transcripts that mapped to the sedoheptulose-bisphosphatase homolog, all of them significantly increased in abundance over control levels (Supplementary Table S13). Possibly the cell is working to regenerate the available pools of RuBisCO, which were likely decreased due to UVR irradiation.

Preliminary Recovery

After 6 hours of recovery in dark conditions, several photosynthetic transcripts reversed their expression and displayed large increases in abundance compared to the

non-irradiated samples (Supplementary Table S14). This may indicate that the cell is actively trying to repair certain aspects of its UV damaged photosynthetic clusters in order to continue to survive. For example, transcripts that code for photosystem II psbV and psbU proteins were part of the group that increased in abundance. These are extrinsic proteins that help stabilize the photosystem II and the electron transfer (Roose et al. 2007). Both also have a large role in oxygen evolution, a major byproduct of the water splitting reaction. As previously mentioned, the water splitting complex is a major target of UVR irradiation (Post et al. 1996; Szilárd et al. 2007), thus possibly making it a primary repair point. Homologous transcripts that were part of the cytochrome b6/f complex or maintained roles in photosynthetic electron transport were also increased in abundance after night recovery. The largest change in abundance was displayed by a PetF transcript, which codes for the photosynthetic ferredoxin protein. In fact all of the increased transcripts, which when translated map to cytochrome b6/f or photosynthetic electron transport proteins, were part of the Pet family of genes. This may indicate that the cells are trying to restart and repair electron transport before light begins to re-energize the photosynthetic system. In summary, increased gene expression of may be a cellular mechanism to restore the lost metabolic activity during UVR irradiation, a necessary survival method.

Still, the majority of the photosystem I and II transcripts are significantly decreased (Supplementary Table S14). In some cases UVR damage can be so detrimental that certain species become more susceptible to permanent photosynthetic damage, thus making the amount of recovery for the cell negligible (Neale et al. 1998; Fritz et al. 2008). Our kinetic data support this notion as the photosynthetic efficiency and

turnover time of the organism are consistent for the first hour and a half, irrelevant of energy intensity, as repair mechanisms mitigate the UVR induced damage (Fig. 2). However, after an hour and a half at the highest intensities, and later at the lower intensities, the repair system has possibly become overwhelmed and cannot keep up with the increasing cell damage, thus there are large changes in electron transport rate and turnover time. The absence of transcripts with increased abundance that map to photosystem I and II genes may indicate that photosynthesis has become permanently photoinhibited or *C. hystrix* has a very slow recovery rate. Different species employ different repair mechanisms, and this may be a consequence of that (Bischof et al. 2006).

Metabolic recovery, based on the transcriptomic results, was evident as all three of the pathways tested were strongly up-regulated after dark recovery (Fig. 4-6, S15-S17). The median log₂ fold change for every homolog of the three pathways was significantly increased in abundance compared to the non-irradiated control, except for the large subunit of RuBisCO. Taken as a whole, these results indicate a coordinated transcriptomic response to up regulate necessary metabolic survival genes after intense UVR irradiation. These metabolic enzymes provide the energy the cells need to duplicate and survive, and indicate an active recovery response. Without these enzymes, the cell will most certainly face a quick demise.

Conclusions:

Our custom emitter array produced morphological, physiological and molecular responses with extremely high resolution and reproducibility. We demonstrate based on *C. hystrix* physiological measurements, that with our emitter array we were able to

609 directly manipulate the speed and strength of photosynthetic damage based on the
610 specific applied UVR energy intensities. We can also induce metabolic expression
611 changes, which could change the entire metabolome of organisms. This ability to study
612 specific physiological and metabolic responses over a large spectrum of UVR intensities
613 could in the future provide further insights into UV induced damage and repair cycles in
614 other complex organisms. It also allows us to test many types of organisms under UV
615 stress conditions to identify those that are less susceptible to UV damage. These
616 organisms that are well adapted to high UV fluxes may contain novel mechanisms or
617 compounds that are used to alleviate damage, which could increase our understanding of
618 the diseases resulting from DNA damage.
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Figure Legends

Figure 1: Schematic of custom built UVR emitter array. The numbers in the legend to the left of the diagram correspond to the specific component of our setup.

Figure 2: **A:** F_v/F_m as a measure of the maximum quantum yield of PSII. **B:** Sigma as a proxy for functional cross section and effective target size of the PSII antenna in \AA^2 (quanta) $^{-1}$. **C:** Tau 1 turnover time. During every hour at 0.32 mW/cm^2 and 0.64 mW/cm^2 , and every half hour at 0.96-1.59 mW/cm^2 the tau 1 turnover time was calculated using Fast Repetition Rate Fluorometry (FRRF). Irradiation time is on the x-axis with the turnover time in μs on the y-axis. **D:** Tau 2 turnover time. During every hour at 0.32 mW/cm^2 and 0.64 mW/cm^2 , and every half hour at 0.96-1.59 mW/cm^2 the tau 2 turnover time was calculated using Fast Repetition Rate Fluorometry (FRRF). Irradiation time is on the x-axis with the turnover time in μs on the y-axis. Note the drop in turnover time at 1.28 and 1.59 mW/cm^2 .

Figure 3: Box and whisker plot for *T. pseudonana* photosynthetic homologs. Log₂ fold changes directly after UVR irradiation are represented in the left figure – labeled “Day” – while log₂ fold changes after six-hour dark recovery – labeled “Night” – are represented in the figure to the right. The line within the box is the median of the log₂ fold changes for that specific homolog. The hinges are the 1st and 3rd quartile. The upper whisker starts from the hinge and ends at the highest value that is within 1.5 * inter-quartile range of the hinge. The lower whisker extends from the hinge to the lowest value that is within 1.5 * inter-quartile range. The x-axis is consistent between both figures, therefore some

homologs (e.g. cytochrome c6 – directly after UVR irradiation; photosystem II CP47 – after dark recovery) will have missing data in their specific figure, as there were no differentially expressed translated transcripts mapping to that homolog during that time.

Figure 4: Box and whisker plot for *T. pseudonana* glycolysis homologs. Log₂ fold changes directly after UVR irradiation are represented in the left figure – labeled “Day” – while log₂ fold changes after six-hour dark recovery – labeled “Night” – are represented in the figure to the right. The line within the box is the median of the log₂ fold changes for that specific homolog. The hinges are the 1st and 3rd quartile. The upper whisker starts from the hinge and ends at the highest value that is within 1.5 * inter-quartile range of the hinge. The lower whisker extends from the hinge to the lowest value that is within 1.5 * inter-quartile range. The x-axis is consistent between both figures, therefore some homologs (e.g. phosphoglucomutase – directly after UVR irradiation) will have missing data in their specific figure, as there were no differentially expressed translated transcripts mapping to that homolog during that time.

Figure 5: Box and whisker plot for *T. pseudonana* TCA homologs. Log₂ fold changes directly after UVR irradiation are represented in the left figure – labeled “Day” – while log₂ fold changes after six-hour dark recovery – labeled “Night” – are represented in the figure to the right. The line within the box is the median of the log₂ fold changes for that specific homolog. The hinges are the 1st and 3rd quartile. The upper whisker starts from the hinge and ends at the highest value that is within 1.5 * inter-quartile range of the hinge. The lower whisker extends from the hinge to the lowest value that is within 1.5 *

inter-quartile range. The x-axis is consistent between both figures, therefore some homologs (e.g. 2-oxoglutarate dehydrogenase E1 – directly after UVR irradiation) will have missing data in their specific figure, as there were no differentially expressed translated transcripts mapping to that homolog during that time.

Figure 6: Box and whisker plot for *T. pseudonana* CBB Cycle homologs. Log₂ fold changes directly after UVR irradiation are represented in the left figure – labeled “Day” – while log₂ fold changes after six-hour dark recovery – labeled “Night” – are represented in the figure to the right. The line within the box is the median of the log₂ fold changes for that specific homolog. The hinges are the 1st and 3rd quartile. The upper whisker starts from the hinge and ends at the highest value that is within 1.5 * inter-quartile range of the hinge. The lower whisker extends from the hinge to the lowest value that is within 1.5 * inter-quartile range. The x-axis is consistent between both figures, therefore some homologs (e.g. ribose 5-phosphate isomerase A – directly after UVR irradiation) will have missing data in their specific figure, as there were no differentially expressed translated transcripts mapping to that homolog during that time.

Figure #1.

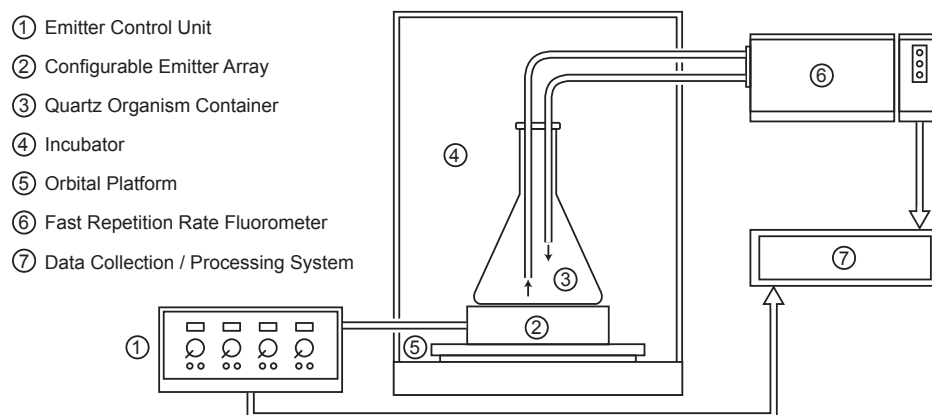


Figure #2.

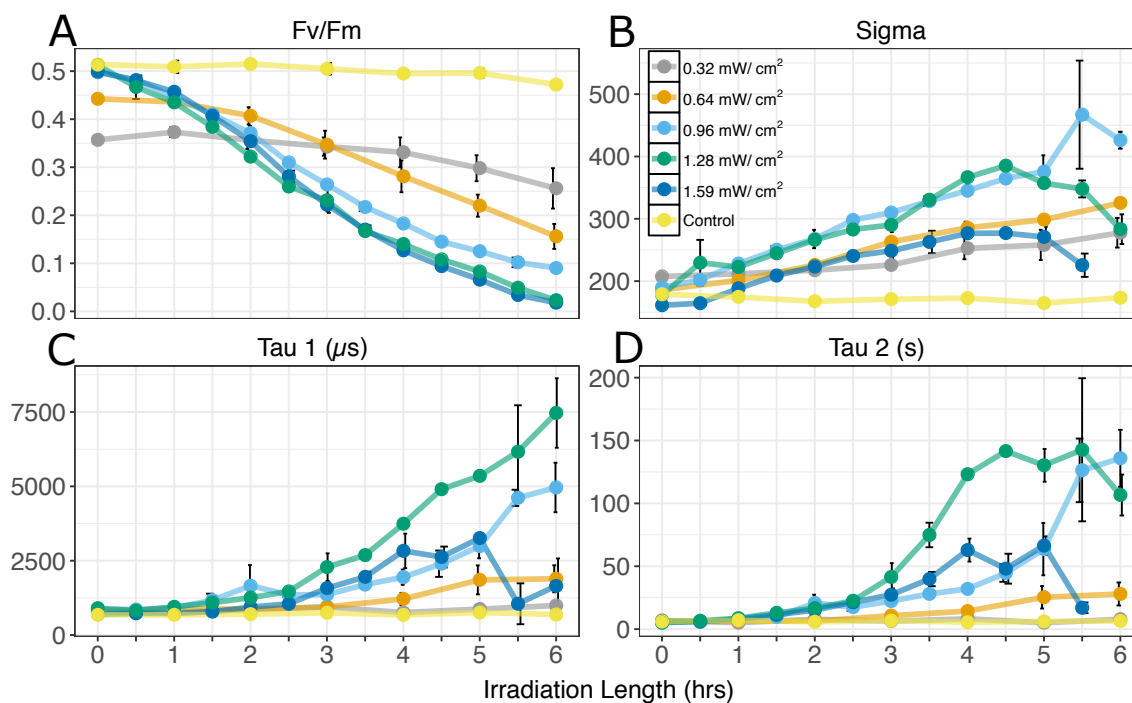


Figure #3.

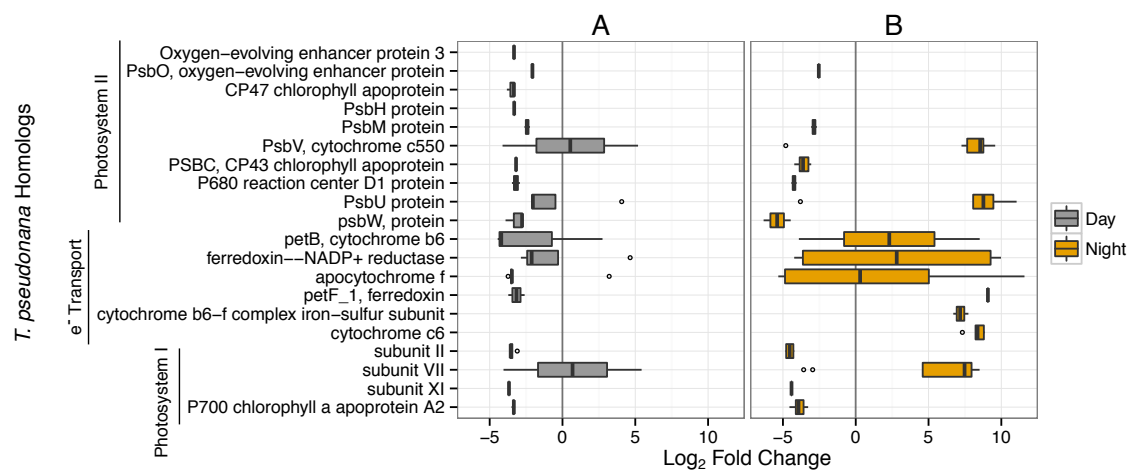


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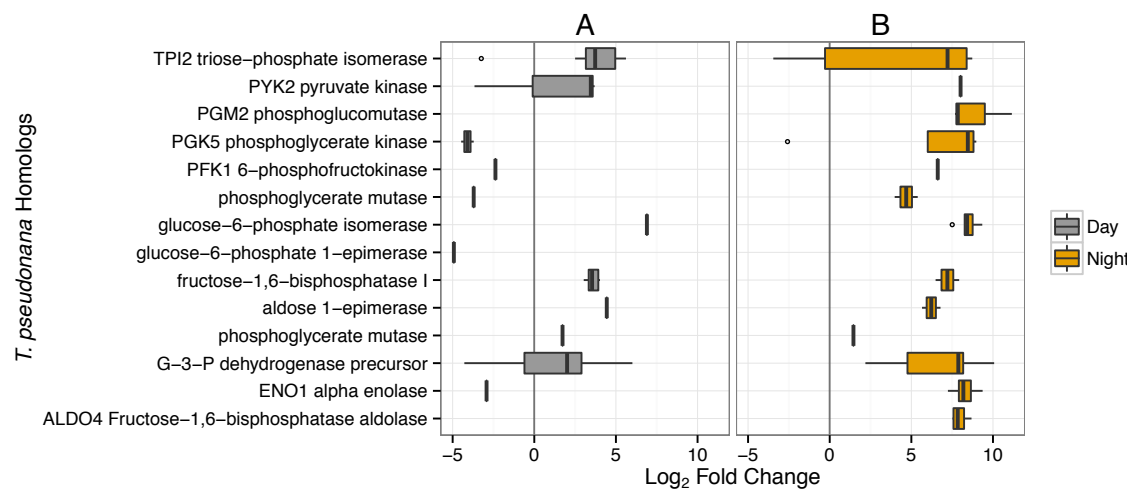


Figure #5.

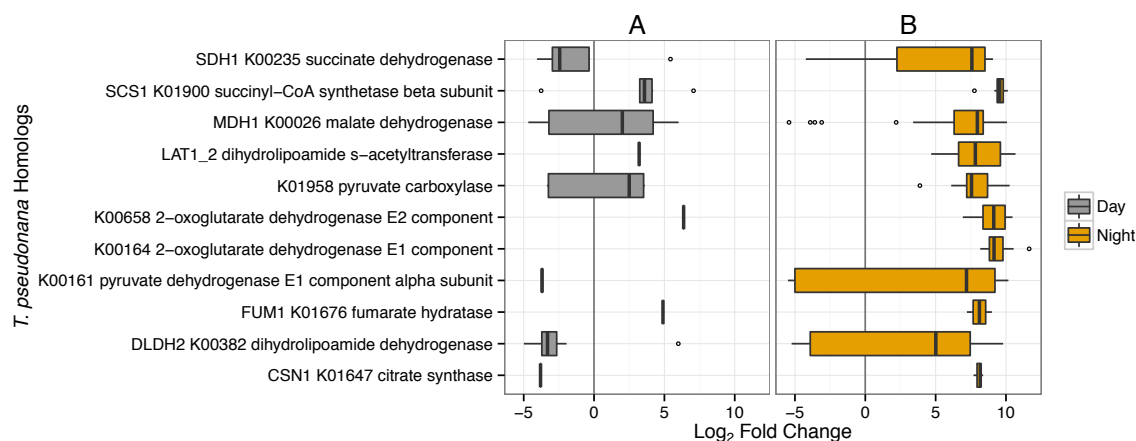


Figure #6.

