

1 **Gene expression response to sea lice in Atlantic salmon skin: an RNA-Seq  
2 comparison between resistant and susceptible animals**

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20 **ABSTRACT**

21 **Background:** Sea lice are parasitic copepods that cause large economic losses to  
22 salmon aquaculture worldwide. Frequent chemotherapeutic treatments are typically  
23 required to control this parasite, and alternative measures such as breeding for improved  
24 host resistance are desirable. Insight into the host-parasite interaction and mechanisms

25 of host resistance can lead to improvements in selective breeding, and potentially novel  
26 treatment targets. In this study, RNA sequencing was used to study the skin  
27 transcriptome of Atlantic salmon parasitized with sea lice (*C. rogercresseyi*). The  
28 overall aims were to compare the transcriptomic profile of skin at louse attachment sites  
29 and 'healthy' skin, and to assess differences between animals with varying levels of  
30 resistance to the parasite.

31 **Results:** Atlantic salmon were challenged with *C. rogercresseyi*, growth and lice count  
32 measurements were taken for each fish. 21 animals were selected and RNA-Seq was  
33 performed on skin from a louse attachment site, and skin distal to attachment sites for  
34 each animal. These animals were classified into family-balanced groups according to  
35 the traits of resistance (high vs low lice count), and growth during infestation (an  
36 indication of tolerance). Overall comparison of skin from louse attachment sites versus  
37 healthy skin showed that 4,355 genes were differentially expressed, indicating local up-  
38 regulation of several immune pathways and activation of tissue repair mechanisms.  
39 Comparison between resistant and susceptible animals highlighted expression  
40 differences in several immune response and pattern recognition genes, and also  
41 myogenic and iron availability factors. Genomic regions showing signs of  
42 differentiation between resistant and susceptible fish were identified using an Fst  
43 analysis.

44 **Conclusions:** Comparison of the skin transcriptome between louse attachment sites and  
45 healthy skin has yielded a detailed profile of genes and pathways with putative roles in  
46 the local host immune response to *C. rogercresseyi*. The difference in skin gene  
47 expression profile between resistant and susceptible animals led to the identification of  
48 several immune and myogenic pathways potentially involved in host resistance.  
49 Components of these pathways may be targets for studies aimed at improved or novel

50 treatment strategies, or to prioritise candidate functional polymorphisms to enhance  
51 genomic selection for host resistance in commercial salmon farming.

52

53 **Keywords:** *Caligus rogercresseyi*, *Salmo salar*, aquaculture, disease, parasite, RNA-  
54 Seq, transcriptome, differential expression

55

## 56 **BACKGROUND**

57 Aquaculture is currently the fastest growing food industry [1] and is essential to meet  
58 increasing global demands for fish. However, the sustainability and prolonged success  
59 of any farming industry depends on effective disease prevention and control, and this  
60 tends to be particularly challenging for aquaculture. The aquatic environment and high  
61 stock density can expedite pathogen spread, which has historically resulted in periodic  
62 mass mortality events and ongoing challenges in disease prevention and control. While  
63 biosecurity measures, vaccination, nutrition and medicines all play vital roles for several  
64 diseases, selective breeding to produce more resistant and tolerant aquaculture stocks is  
65 rapidly becoming a key component of the battle to prevent these outbreaks [2, 3].

66 Sea lice, ectoparasites of the family Caligidae, are one of the major disease problems  
67 facing the aquaculture industry, and specifically for salmon farming. Atlantic salmon is  
68 the most important species in aquaculture with a production value of 14.7 billion US  
69 dollars in 2014 [1], therefore control of sea lice is a primary goal for the industry. Sea  
70 lice-related economic losses to worldwide salmonid aquaculture were estimated at ~430  
71 million USD per annum [4]. Two lice species present the primary concerns for salmon  
72 farming: *Lepeophtheirus salmonis* in the Northern Hemisphere and *Caligus*

73 *rogercresseyi* in the Southern [5]. These copepods parasitize salmon during the marine  
74 phase of the lifecycle by attaching to their skin or fins, and feeding on the blood and  
75 tissue. This leads to open wounds which can facilitate the entry of other pathogens. The  
76 impaired growth and secondary infections cause significant negative animal welfare and  
77 economic impact [6]. Interestingly, the outcome of sea lice infestation varies for  
78 different salmonid species, with coho salmon (*Oncorhynchus kisutch*) showing rapid  
79 inflammatory response and epithelial hyperplasia, leading to parasite encapsulation and  
80 more than 90% reduction in lice loads [7]. In comparison, Atlantic salmon (*Salmo*  
81 *salar*) is highly susceptible to sea lice infestation and seemingly cannot mount an  
82 effective immune response [7].

83 Despite extensive use of both chemical and non-chemical treatments to control sea lice,  
84 their negative impact on salmon aquaculture has increased in the past years [8]. Various  
85 sea lice populations have been reported to be resistant to the most common chemicals  
86 available for therapeutic control [9]. Therefore, alternative methods to control sea lice  
87 are currently being studied, including the use of probiotics to reduce salmon  
88 attractiveness for sea lice [10] or cohabitation with lice-eating species [11, 12]. A  
89 promising and potentially complementary approach to existing control measures is to  
90 exploit natural genetic variation in farmed salmon populations to breed stocks with  
91 enhanced resistance to the parasite. Current evidence indicates that host resistance to sea  
92 lice in Atlantic salmon has a highly polygenic genetic component [13, 14, 15, 16, 17].  
93 The presence of significant genetic variation for resistance against *Caligus*  
94 *rogercresseyi*, with heritability values ranging between 0.1 up to 0.34, demonstrates the  
95 feasibility of improving this trait by selective breeding in Atlantic salmon [18, 19].  
96 While selective breeding for resistance can be effective without any prior knowledge of  
97 the underlying genes, understanding the functional basis underpinning genetic

98 resistance is a major research goal. The benefits of this include the prioritisation and  
99 testing of putative functional (causative) variants which may be directly affecting host  
100 resistance, for which the new Functional Annotation of All Salmonid Genomes  
101 (FAASG) project will be integral [20]. Such variants can enhance selective breeding by  
102 improving accuracy of prediction of the genetic merit, particularly across distant  
103 relatives or populations. Finally, these causative variants could be introduced into  
104 populations or species where it has never been present through the use of genome  
105 editing, for example using CRISPR-Cas9 technology which has been successfully  
106 applied in salmon [21].

107 In addition to the value to selective breeding programs, knowledge of the interaction  
108 between salmon and sea lice can help devise more effective prevention and treatment  
109 strategies. RNA sequencing can provide a relatively holistic view of the host response  
110 to parasite infection, which in turn can highlight specific genes, pathways and networks  
111 involved in the host-parasite interaction. RNA-Sequencing can also be used to identify  
112 genetic markers in transcribed regions, and to assess the putative impact of those  
113 markers on transcript and protein function. The effect of these markers on gene  
114 expression (and ultimately host resistance) can be assessed, leading to a shortlist of  
115 candidate functional variants. The overall aims of the current study were to compare the  
116 transcriptome profile of salmon skin at louse attachment sites and ‘healthy’ skin, and to  
117 evaluate differences in these profiles between animals with varying levels of resistance  
118 to the parasite. To achieve this, challenged animals were classified into family-balanced  
119 groups according to the traits of resistance (based on high vs low lice count), and  
120 growth during infestation (an indication of tolerance) and RNA-Seq was performed on  
121 individual samples. While individual growth rate during challenge is not strictly  
122 ‘tolerance’ (because it is not scaled according to parasite burden [22]), this is the term

123 used herein to refer to this trait. By comparing these groups, genes, pathways and  
124 genetic variation related to local immune response and host resistance were identified  
125 and discussed.

126

## 127 **RESULTS AND DISCUSSION**

### 128 **Disease challenge**

129 A total of 2,632 fish belonging to 105 families from a commercial breeding program  
130 were challenged with *Caligus rogercresseyi*, and killed for sampling eight days post  
131 challenge. Average lice burden per fish was  $38 \pm 16$ . Fish were selected for RNA  
132 sequencing based on the traits of resistance, measured as number and concentration of  
133 lice per fish, and tolerance, defined in this study as weight and length gain since the  
134 start of the challenge. The selected fish allowed for 8 vs 8 comparisons between family-  
135 matched fish showing differential resistance ( $26.2 \pm 5.5$  vs  $54.9 \pm 13.5$  sea lice per fish)  
136 and differential tolerance ( $7.0 \pm 4.3$  vs  $28.8 \pm 12.3$  weight gain percentage). A total of  
137 42 samples (21 fish, skin from sites of louse attachment and healthy skin) were  
138 sequenced, resulting in an average of  $\sim 27.9 \pm 2.7$  million reads per sample. After  
139 trimming, these were aligned against the salmon reference genome (ICSASG\_v2;  
140 Genbank Accession GCF\_000233375.1 [23]) and levels of gene expression were  
141 estimated according to the official salmon genome annotation (NCBI *Salmo salar*  
142 Annotation Release 100). 25% of the aligned reads were discarded due to  
143 multimapping, and the position of an additional 11% did not overlap with any known  
144 gene. Following these filters, an average of 19 M reads per sample were assigned to  
145 genes and used for downstream analyses of gene expression. All raw sequence data is  
146 available in NCBI's Sequence Read Archive (SRA) under BioProject accession number

147 SRP100978, and may be a useful contribution to the functional annotation of all  
148 salmonid genomes initiative (FAASG [20]).

149

150 **Louse attachment sites versus healthy skin**

151 Hierarchical clustering of samples according to their normalized gene expression did  
152 not initially reveal an obvious clustering according to any of the measured phenotypes,  
153 nor between samples from louse attachment sites and those from healthy skin  
154 (Additional file 1). Despite this, after a single outlier sample was removed, a total of  
155 1,711 differentially expressed (DE) genes were identified between healthy and injured  
156 skin (Figure 1A). These DE genes showed relatively small log2 fold change (FC)  
157 differences. The expression levels for the most significant DE genes from this list ( $p <$   
158 0.001, 156 genes) are shown in a heatmap (Figure 1B), which highlights a gene  
159 expression signature of lice attachment sites and healthy skin. However, a small number  
160 of lice attachment site samples did not cluster as expected and were removed. The  
161 differential expression was repeated using 13 vs 13 samples (Figure 1C). Despite the  
162 lower statistical power due to the reduced sample size, the number of significant DE  
163 genes was substantially larger ( $n = 4,355$ , Additional file 2), with a higher number of  
164 up-regulated than down-regulated genes when comparing attachment sites to healthy  
165 skin ( $n = 3,114$  vs  $n = 1,241$ ).

166 Among these DE genes were well-known components of the innate immune response  
167 like interleukins, interferon response factors and complement components (Figure 2A).  
168 GO term and KEGG pathway analyses (Additional file 2) revealed a clear enrichment of  
169 immune pathways and functions among the up-regulated genes (Figure 2B),  
170 highlighting a localised immune response strongly related to cytokines. A similar

171 scenario has been observed in other salmonids such as coho salmon where resistance to  
172 sea lice has been associated with early inflammation in skin and head kidney, which  
173 results in epithelial hyperplasia and often parasite encapsulation and removal of the sea  
174 lice within two weeks [24, 25]. In pink salmon (*Oncorhynchus gorbuscha*), an early and  
175 high expression of pro-inflammatory genes (IL-8, TNF $\alpha$ -1, IL-1 $\beta$ ) has been suggested  
176 as a mechanism of rapid louse rejection [26]. The classical complement pathway has  
177 also been linked to resistance of host fish to parasitic copepod infection [7]. The results  
178 presented here indicate that despite a marked up-regulation of the local inflammatory  
179 response and complement pathway in Atlantic salmon, resembling those of coho salmon  
180 or pink salmon, the response does not seem to be sufficient to successfully respond to  
181 the louse attachment and feeding.

182 In addition to the expected innate immune response observed above, cell division  
183 related processes were also clearly up-regulated at louse attachment sites, and well-  
184 characterised genes involved in tissue repair such as fibroblast growth factor-binding  
185 protein 1 and Epigen showed significant differences between lice attachment sites and  
186 healthy skin (FC > 3). Several genes related to the cell matrix and cell adhesion also had  
187 higher expression at attachment sites (i.e. cadherin-13, integrin alpha-2, desmoplakin, or  
188 various keratin and collagen genes). Cell proliferation is the main response to skin  
189 wounds in fish [27], and these results are consistent with those previously found in the  
190 early response to *Lepeophtheirus salmonis* [28]. Several mucins were also found to have  
191 higher expression at attachment sites, pointing towards increased mucus production and  
192 secretion which can also be a typical response to wounding in fish [7].

193

194 **Resistance**

195 The Atlantic salmon samples used for RNA-Seq were taken from a broader experiment  
196 relating to genetic resistance to sea lice, and were measured for several traits of  
197 relevance to resistance and host response to infection. These traits were defined as  
198 resistance, measured as number and concentration of lice per fish, and tolerance,  
199 defined in this study as weight gain since the start of the challenge. The samples for  
200 RNA sequencing were chosen to enable 8 vs 8 comparisons between family-matched  
201 fish with high and low values for both resistance ( $26.2 \pm 5.5$  vs  $54.9 \pm 13.5$  sea lice per  
202 fish) and tolerance ( $7.0 \pm 4.3$  vs  $28.8 \pm 12.3$  weight gain percentage).

203 *Differential expression*

204 There were 43 genes significantly differentially expressed between resistant and  
205 susceptible fish, although all but one were from comparison of healthy skin samples  
206 between the two groups (Additional file 3). The susceptible group had higher expression  
207 levels for genes involved in muscle contraction like troponins and myosins, which was  
208 also highlighted by GO enrichment analyses (Figure 3). Myosins and troponins have  
209 previously been identified as genes that respond to sea lice attachment in salmon skin  
210 [29]. Further, *Caligus* infection is known to induce increased enzyme activity in muscle  
211 tissue [30], and behavioural changes in the fish such as flashing and jumping are  
212 associated with ectoparasite removal [31, 32]. It has been recently reported that  
213 inactivity or reduced swimming activity contribute to resistance to sea lice [33], so it is  
214 possible that the high lice counts of susceptible fish in this study are due to higher  
215 activity levels with associated expression of muscle contraction related genes. In turn,  
216 high lice burden can provoke behavioural responses increasing fish activity, which  
217 results in the up-regulation of muscle genes, increasing the expression differences  
218 between resistant-passive-low lice fish and susceptible-active-high lice fish.

219 Two heme oxygenase genes (HO), encoding enzymes which catalyze the degradation of  
220 heme, also had higher expression levels in susceptible samples (Figure 3). These genes  
221 have been previously shown to be up-regulated in response to *Caligus* infection [34].  
222 Reduction of iron availability within the host has been observed in Atlantic salmon  
223 infected with *L. Salmonis* [35], as has reduced hematocrit and anemia in Pacific salmon  
224 [36]. Finally, three immune receptors showed higher expression in susceptible samples  
225 (Figure 3); C-X-C chemokine receptor type 2 (CXCR2) is a receptor for IL-8, its  
226 binding causes activation of neutrophils; while C type lectin receptors A and B  
227 (CLECA, CLECB) are involved in pathogen recognition and immunity [37]. While it is  
228 clear that resistance and host response to sea lice is multifactorial in nature, these genes  
229 related to muscle contraction, iron availability and immunity may be targets for  
230 functional validation in future studies, and for cross-referencing with genome-wide  
231 association analyses to identify candidate causative genes and variants.

232 *Network analysis*

233 As an alternative approach to investigate the gene expression profiles associated with  
234 resistance and tolerance to sea lice in these samples, a network correlation analysis was  
235 performed. For this analysis, instead of categorizing the animals into two groups and  
236 testing differential expression, gene expression values were used to group genes with  
237 similar expression profiles into different networks. The average expression profile of  
238 each network was then correlated with the measured traits to identify significant  
239 associations between groups of genes and the resistance phenotypes (Figure 4). One  
240 gene network with a total of 76 genes was associated with resistance (as measured by  
241 lice counts) in healthy skin (Additional file 3). A single gene from this network was also  
242 differentially expressed in the previous analysis between high and low resistant fish, C-  
243 type lectin receptor A (FC = - 1.34). As previously mentioned, this gene is a receptor

244 involved in antigen recognition and immune response [37]. Four other immune  
245 receptors involved in innate immunity and inflammation were assigned to this network  
246 and found to be positively correlated with sea lice counts (Table 1). Macrophage  
247 mannose receptor 1 (MRC1) shows the highest expression difference between louse  
248 attachment vs healthy skin DE genes (FC = 4.79) and also the highest positive  
249 correlation with number of sea lice ( $r = 0.87$ ). MRC1 is also a c-type lectin receptor,  
250 expressed in macrophages, dendritic cells and skin in humans. MRC1 plays a role both  
251 in innate and adaptive immunity and also acts as a recognition receptor for different  
252 pathogens such as bacteria, virus or fungi [38]. Lectins such as MRC1 and CLEC4E  
253 have been found to be induced by glucosinolate-enriched feeds in Atlantic salmon,  
254 which also reduced lice counts between 17-25% [39]. Lectins have been reported to  
255 activate the immune system in response to different parasites in different species [40,  
256 41], therefore modulation of these genes represents a possible route to enhance Atlantic  
257 immune responses to sea lice. Two immune receptors were negatively correlated with  
258 number of sea lice, CD97 ( $r = -0.84$ ) and suppressor of cytokine signalling 5 (SOCS5;  $r$   
259 =  $-0.76$ ). CD97 regulates cytokine production and T-cell activation and proliferation  
260 [42, 43]; while SOCS5 is part of the cytokine-mediated signalling pathway, and acts as  
261 a negative regulator of inflammatory response and other immune-related pathways [44].  
262 The correlation of these genes with number of sea lice is probably indicating that the  
263 immune system of the host responds proportionally to the degree of infestation, but  
264 nonetheless may be important in the louse-salmon interaction.

265

266 **Table 1. Immune receptors showing correlation with sea lice counts**

Gene	Full name	Corr. ( $r$ )	GO terms
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MRC1	Macrophage mannose receptor 1	0.87	- Cellular response to interferon gamma - Cellular response to interleukin-4
CLEC4E	C-type lectin receptor A	0.81	- Innate immune response - Positive regulation of cytokine secretion
CR2	Complement receptor type 2	0.78	- Innate immune response - Complement activation, classical pathway
LIFR	Leukemia inhibitory factor receptor	0.78	- Cytokine-mediated signalling pathway
CD28	T-cell-specific surface glycoprotein CD28	0.77	- Positive regulation of inflammatory response to antigenic stimulus - Cytokine biosynthetic process
SOCS5	Suppressor of cytokine signaling 5	-0.76	- Cytokine-mediated signalling pathway - Negative regulation of inflammatory response
CD97	CD97 antigen	-0.84	- Inflammatory response

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267

268 Amongst genes without a (well-known) immune function, there was an association  
269 between SUMO1 ( $r = 0.76$ ) and SUMO3 ( $r = -0.91$ ) expression and resistance. Small  
270 Ubiquitin-like Modifier (SUMO) proteins are small proteins similar to ubiquitins that  
271 are covalently attached to other proteins to modify their function. According to the gene  
272 expression data, SUMO1 seems to be preferred over SUMO3 in salmon upon sea lice  
273 infestation. Although post-translational modifications have been barely explored in fish,  
274 in mice SUMOylation has been shown to be involved in modulation of host innate  
275 immune response to pathogens [45]. SUMOylation is also a very active field of research  
276 in plants, where SUMO is known to be involved in many important processes such as  
277 plant response to environmental stresses, including pathogens [46]. It would be

278 interesting to further study the role of SUMO in modulating Atlantic salmon responses  
279 to sea lice.

280

281 **Tolerance**

282 Differences in weight gain percentage from the start to the end of the trial were also  
283 investigated; a trait which was defined as tolerance. Tolerance did not show any  
284 significant correlation with initial weight ( $r = -0.27$ ,  $p = 0.10$ ), sea lice counts ( $r = 0.12$ ,  
285  $p = 0.45$ ) or sea lice density ( $r = 0.19$ ,  $p = 0.24$ ) in our dataset, and the means for these  
286 three traits are not significantly different between our tolerant and not-tolerant groups ( $t$ -  
287 test  $p$ -values  $> 0.35$ ).

288 *Differential expression*

289 A total of 24 and 1 genes were found differentially expressed between fish showing  
290 high and low tolerance in healthy and sea louse attachment site samples respectively  
291 (Additional file 4). The gene differentially expressed in injured skin, solute carrier  
292 family 15 member 1 (SLC15A1), also showed the lowest  $p$ -value and highest fold  
293 change in healthy skin ( $FC = 3.38$ ,  $p = 0.003$ ). SLC15A1 protein is a membrane  
294 transporter that mediates the uptake of dipeptides and tripeptides, in humans this gene is  
295 expressed in the intestinal epithelium and plays a major role in protein absorption [47].  
296 Another interesting DE gene is myogenic regulatory factor 6 (MYF6;  $FC = 0.72$ ,  $p =$   
297  $0.04$ ). Myogenic regulatory factors are transcription factors that regulate muscle  
298 development [48]; in *Senegale sole* decreased expression of these factors was observed  
299 in fast muscle when fed with a high-lipid content diet which caused reduced growth  
300 [49]. While skin is unlikely to be a highly suitable tissue to study genes underlying fish  
301 growth during sea lice infestation, both myogenic factors and increased nutrient

302 absorption, and specifically MYF6 and SLC15A1, are good candidates to better  
303 understand growth impairment differences under sea lice infestation.

304

305 *Network analysis*

306 A gene network correlation analysis was also performed for tolerance, finding five  
307 associated networks, one of them common between healthy and injured datasets (Figure  
308 4). A total of 373 genes showed correlation values  $> 0.75$  with weight gain percentage  
309 (Additional file 4). These genes are fairly heterogeneous, showing no GO or KEGG  
310 enrichment. This is perhaps not that surprising; here we have defined tolerance as  
311 impact of sea lice on growth performance, but most likely growth performance is only  
312 one of the aspects of tolerance. A more tolerant animal will be less affected by the  
313 parasite in many ways, and therefore it is logical to find genes involved in different  
314 functions correlated with our definition of tolerance. Several immune related genes were  
315 positively correlated with weight gain, such as C-X-C chemokine receptor type 4,  
316 interferon regulatory factor 2, caspase-8 or TNF receptor-associated factor 5. Very  
317 different immune responses for IPNV resistant and IPNV susceptible Atlantic salmon  
318 families have been observed [50], so it is well possible that Atlantic salmon can also  
319 elicit different immune responses against sea lice, leading to different outcomes.  
320 However, while IPNV resistance is mainly explained by a single locus [51], sea lice  
321 tolerance is most likely highly polygenic, with probably different mechanisms operating  
322 in the different families, which hinders the understanding of tolerance (and resistance)  
323 mechanisms.

324

325 **Signatures of differentiation**

326 A total of 126,741 SNPs were identified from the RNA-Seq of the 21 individual fish.

327 These were used to compute Fst values between the resistant and susceptible samples,

328 and between tolerant and not tolerant samples, through a sliding window approach (100

329 Kb windows every 50 Kb). Only windows with  $\geq 5$  SNPs were retained for further

330 analysis. Genomic windows with Fst values  $\geq 0.20$  were considered to show putative

331 signs of differentiation between both groups (35 out of 15,321 total windows, 99.8

332 percentile). 35 differential genomic regions were found to be associated with resistance

333 and 28 with tolerance (Additional file 5). While the tolerance results did not overlap

334 with differentially expressed genes, genomic regions showing signs of differentiation

335 between resistant and susceptible sample did highlight some interesting candidate genes

336 (Figure 5). The genomic region showing the clearest sign of differentiation between

337 resistant and susceptible samples was found in chromosome 5 between 18.50 and 18.75

338 Mb, with four consecutive windows with Fst values between 0.237 and 0.306. There are

339 29 SNPs and 7 genes in this genomic region (Figure 5B). One of these genes,

340 Neurexophilin And PC-Esterase Domain Family Member 3 (NXPE3), was also

341 differentially expressed between resistant and susceptible samples ( $p\text{-val} = 0.02$ ,  $FC = -$

342 0.92). One of the SNPs in the region ( $Fst = 0.333$ ) codes for a non-synonymous

343 mutation in NXPE3, and four additional SNPs ( $Fst = 0.421-0.454$ ) could fall within

344 coding regions of NXPE3 as well (these SNPs fall in a transcribed region without

345 annotation in the official salmon annotation; blast searches against NCBI's nr database

346 match NXPE3). Despite being conserved in vertebrates there is not much information

347 about this gene. In humans it binds alpha neurexins, a group of presynaptic

348 transmembrane receptors that promote adhesion between dendrites and axons [52].

349 Neurexins have been linked to behavioural response to parasites in bees [53] and in host

350 response to parasite infection in hard clam, *Mercenaria mercenaria* [54]. While not an  
351 obvious functional candidate, NXPE3 is worthy of further investigation in host  
352 resistance to sea lice due to its differential expression and overlap with a genomic  
353 region showing putative differentiation between resistant and susceptible fish. Another  
354 interesting gene in the same region of chromosome 5 is COP9 signalosome complex  
355 subunit 6 (COPS6). This gene is highly expressed in our samples and contains three  
356 SNPs, the one with the highest Fst (0.312) codes for a synonymous mutation. This gene  
357 is part of the COP9 signalosome complex, which is a regulator of the ubiquitin  
358 conjugation pathway but is also involved in phosphorylation-mediated regulation of  
359 various immune-related genes, such as NF-kappa-B-inhibitor alpha or IRF8.

360

## 361 CONCLUSIONS

362 The results of this study highlight that the early gene expression response of Atlantic  
363 salmon to sea lice involves up-regulation of many different components of the immune  
364 system (inflammatory response, cytokine production, TNF and NF-kappa B signalling  
365 and complement activation) along with tissue repair activation. The comparison of  
366 resistant versus susceptible animals highlighted enrichment of pathways related to fish  
367 activity, iron availability and receptors modulating pathogen recognition and immune  
368 response, and identified a few candidate genomic regions potentially involved in genetic  
369 resistance. Overall, this study contributes to an improved understanding of Atlantic  
370 salmon early response to sea lice in skin, and into the gene expression profiles  
371 underpinning genetic resistance to sea lice in salmon. The identified pathways and  
372 genes may be targets for future studies aimed at development of new treatments,  
373 vaccines or prevention strategies. The data can also be cross-referenced with high power

374 genome-wide association studies to help prioritise putative causative genes and variants  
375 that have potential to improve genomic selection programs for genetic improvement of  
376 resistance to this industry's most serious disease.

377

## 378 **METHODS**

### 379 **Experimental design**

380 2,668 Atlantic salmon (*Salmo salar*) pre-smolts (average weight 136 g) from 104  
381 families from the breeding population of Salmones Chaicas, Xth Region, Chile, were  
382 experimentally challenged with *Caligus rogercresseyi* (chalimus II-III). Briefly,  
383 infestation with the parasite was carried out by using 13 to 24 copepodids per fish and  
384 stopping the water flow for 6 h after infestation. Eight days after the infestation fish  
385 were euthanized and fins from each fish were collected and fixed for processing and lice  
386 counting.

387 42 samples from 21 fish from six different families (2 to 5 fish per family) were  
388 selected for RNA sequencing (Additional file 6) based on the traits of interest (number  
389 of sea lice attached to their fins and growth during challenge). Skin samples (both from  
390 attachment sites and health skin) were obtained from each animal and stored in  
391 RNAlater at 4 °C for 24 h, and then at -20°C until RNA extraction for sequencing.

### 392 **RNA extraction and sequencing**

393 For all the 42 samples a standard TRI Reagent RNA extraction protocol was followed.  
394 Briefly, approximately 50 mg of skin was homogenized in 1 ml of TRI Reagent (Sigma,  
395 St. Louis, MO) by shaking using 1.4 mm silica beads, then 100 µl of 1-bromo-3-  
396 chloropropane (BCP) was added for phase separation. This was followed by  
397 precipitation with 500 µl of isopropanol and posterior washes with 65-75 % ethanol.

398 The RNA was then resuspended in RNase-free water and treated with Turbo DNase  
399 (Ambion). Samples were then cleaned up using Qiagen RNeasy Mini kit columns and  
400 their integrity was checked on Agilent 2200 Bioanalyzer (Agilent Technologies, USA).  
401 Thereafter, the Illumina Truseq mRNA stranded RNA-Seq Library Prep Kit protocol  
402 was followed directly. Libraries were checked for quality and quantified using the  
403 Bioanalyzer 2100 (Agilent), before being sequenced on three lanes of the Illumina  
404 Hiseq 4000 instrument using 75 base paired-end sequencing at Edinburgh Genomics,  
405 UK. Raw reads have been deposited in NCBI's Sequence Read Archive (SRA) under  
406 accession number SRP100978.

407 **Read mapping**

408 The quality of the sequencing output was assessed using FastQC v.0.11.5  
409 (<http://www.bioinformatics.babraham.ac.uk/projects/fastqc/>). Quality filtering and  
410 removal of residual adaptor sequences was conducted on read pairs using Trimmomatic  
411 v.0.32 [55]. Specifically, Illumina specific adaptors were clipped from the reads, leading  
412 and trailing bases with a Phred score less than 20 were removed and the read trimmed if  
413 the sliding window average Phred score over four bases was less than 20. Only reads  
414 where both pairs were longer than 36 bp post-filtering were retained. Filtered reads were  
415 mapped to the most recent Atlantic salmon genome assembly (ICSASG\_v2; Genbank  
416 accession GCF\_000233375.1 [23]) using STAR v.2.5.2b [56], the maximum number of  
417 mismatches for each read pair was set to 10 % of trimmed read length, and minimum  
418 and maximum intron lengths were set to 20 bases and 1 Mb respectively. Uniquely  
419 mapped paired-reads were counted and assigned to genes (NCBI *Salmo salar*  
420 Annotation Release 100) using FeatureCounts [57], included in the SourceForge  
421 Subread package v.1.5.0. Only reads with both ends mapped to the same gene were  
422 considered in downstream analyses.

423 **SNP identification**

424 SNPs were identified and genotypes for individual samples were called using samtools  
425 v1.2 [58]. Reads with mapping quality  $< 30$  and bases with phred quality scores  $< 30$   
426 were excluded. To call a SNP, a read depth  $\geq 10$  and  $\geq 3$  reads for the alternative allele  
427 were required. Only SNPs called in  $> 75\%$  of the samples were retained for downstream  
428 analyses. The putative effect of the SNPs was assessed using the official salmon  
429 genome annotation (NCBI *Salmo salar* Annotation Release 100) and the SnpEff v.4.2  
430 software [59].

431 **Differential Expression**

432 Statistical analyses related to differential expression and pathway enrichment were  
433 performed using R v.3.3.1 [60]. Gene count data were used to estimate differential gene  
434 expression using the Bioconductor package DESeq2 v.3.4 [61]. The Benjamini-  
435 Hochberg false discovery rate (FDR) was applied, and transcripts with corrected p-  
436 values  $< 0.05$  and absolute  $\log_2$  fold change values (FC)  $> 0.5$  were considered  
437 differentially expressed genes. Samples were hierarchically clustered according to gene  
438 read counts after a variance stabilizing transformation, using Euclidean as the distance  
439 measure and complete-linkage as the agglomeration method (R package flashClust  
440 [62]). Heatmaps of gene expression were created using the R package gplots v3.0.1  
441 heatmap.2 function, using read counts after regularized log transformation (DESeq2  
442 [61]).

443 **Pathway Enrichment**

444 Gene Ontology (GO) enrichment analyses were performed using Blast2GO v.4.1 [63].  
445 Briefly, genes showing  $> 10$  reads in  $> 90\%$  of the samples were annotated against the  
446 manually curated protein database Swiss-Prot [64] and GO terms were assigned to them

447 using Blast2GO. GO enrichment for specific genes lists was tested against the whole set  
448 of expressed genes using Fisher's Exact Test. GO terms with  $\geq 5$  DE genes assigned and  
449 showing a Benjamini-Hochberg FDR corrected p-value  $< 0.05$  were considered  
450 enriched. Kyoto Encyclopedia of Genes and Genomes (KEGG) enrichment analyses  
451 were performed using KOBAS v3.0.3 [65]. Briefly, genes showing  $> 10$  reads in  $> 90\%$   
452 of the samples were annotated against KEGG protein database [66] to determine KEGG  
453 Orthology (KO). KEGG enrichment for specific gene lists was tested by comparison to  
454 the whole set of expressed genes using Fisher's Exact Test. KEGG pathways with  $\geq 5$   
455 DE genes assigned and showing a Benjamini-Hochberg FDR corrected p-value  $< 0.05$   
456 were considered enriched.

#### 457 **Network Correlation Analysis**

458 Gene expression network correlation analyses were performed using the R package  
459 WGCNA [67]. Read counts after variance stabilizing transformation were used as a  
460 measure of gene expression. The genes were clustered using a power of 6 (scale free  
461 topology index  $> 0.9$ ), a minimum of 30 genes per cluster and merging those clusters  
462 with a distance  $< 0.25$  between them. Correlation between network summary profiles  
463 and external traits was quantified, and network-trait association showing p-values  $<$   
464 0.05 were considered significant. Genes showing network membership significance  
465 values  $< 0.05$  and  $|r| > 0.75$  were considered to be correlated with the trait of interest.

#### 466 **Fst Analyses**

467 Weir and Cockerham's Fst statistics were calculated using VCFtools v0.1.14 [68].  
468 Mean Fst values were calculated using a sliding window approach with 100 Kb  
469 windows and a step of 50 Kb. Only those windows containing at least 5 SNPs were  
470 considered.

471

472 **DECLARATIONS**

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476 challenges.

477 **Authors' contributions**

478 RDH, JMY and DR were responsible for the concept and design of this work. AB  
479 managed the collection of the samples. AP performed the molecular biology  
480 experiments. DR performed bioinformatic and statistical analyses. DR, RDH and JMY  
481 drafted the manuscript. All authors read and approved the final manuscript.

482 **Competing interests**

483 The authors declare that they have no competing interests.

484 **Ethics approval and consent to participate**

485 The lice challenge experiments were performed under local and national regulatory  
486 systems and were approved by the Animal Bioethics Committee (ABC) of the Faculty  
487 of Veterinary and Animal Sciences of the University of Chile (Santiago, Chile),  
488 Certificate N° 01-2016, which based its decision on the Council for International  
489 Organizations of Medical Sciences (CIOMS) standards, in accordance with the Chilean  
490 standard NCh-324-2011.

491 **Consent to publish**

492 Not applicable

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500 **Availability of data and materials**

501 The datasets generated during the current study are available in the NCBI's Sequence  
502 Read Archive (SRA) repository under accession number SRP100978  
503 [<https://www.ncbi.nlm.nih.gov/sra/?term=SRP100978>].

504

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693

694 **FIGURES**

695 **Figure 1. Sample clustering and differential expression.** A) Volcano plot showing  
696 the result of differential expression analysis between healthy and injured skin (all  
697 samples). Level of expression is shown in the x-axis and  $\log_2$  fold change in y-axis,  
698 genes with false discovery rate corrected p-values  $< 0.05$  are shown in red. B) Heatmap  
699 showing the gene expression of the most significant 156 DE genes ( $p < 0.001$ ) between  
700 healthy (blue) and injured (red) skin. C) Volcano plot showing the result of differential  
701 expression analysis between healthy and injured skin using those animals whose injured  
702 skin shows some sort of response to *Caligus*. Level of expression is shown in the x-axis  
703 and  $\log_2$  fold change in y-axis, genes with false discovery rate corrected p-values  $< 0.05$   
704 are shown in red.

705 **Figure 2. Healthy vs injured skin.** A) Important immune-related genes showing  
706 differential expression between healthy and injured skin. B) Selection of GO terms  
707 enriched amongst DE genes between healthy and injured skin.

708 **Figure 3. Up-regulation in susceptible fish.** A) Genes DE between resistant and  
709 susceptible fish, being up-regulated in the latter. B) Enriched GO terms amongst DE  
710 genes up-regulated in susceptible fish.

711 **Figure 4. Network correlation analyses.** Correlation between gene networks and  
712 different traits of interest in healthy and injured skin.

713 **Figure 5. Fst between resistant and susceptible fish.** A) Manhattan plot showing the  
714 chromosomes and position in the x-axis and Fst in the y-axis. B) High Fst region in  
715 chromosome 5.

716

717 **ADDITIONAL FILES**

718 **Additional file 1.**

719 PNG (.png)

720 Hierarchical cluster of the whole dataset.

721 Hierarchical clustering for all the samples using the expression of all the genes in our  
722 dataset. Healthy (blue) and injured (red) sample phenotypes are shown using a white  
723 (minimum) to red (maximum) color code.

724

725 **Additional file 2.**

726 Excel (.xlsx)

727 Comparison between healthy and injured skin.

728 Differentially expressed genes (1<sup>st</sup> sheet), GO term and KEGG pathway enrichment (2<sup>nd</sup>  
729 sheet) are shown for the comparison between healthy and injured skin.

730

731 **Additional file 3.**

732 Excel (.xlsx)

733 Comparison between resistant and susceptible samples.

734 Differentially expressed genes (1<sup>st</sup> sheet), GO term and KEGG pathway enrichment (2<sup>nd</sup>  
735 sheet), and network analysis results (3<sup>rd</sup> sheet) are shown for the comparison between  
736 resistance and susceptible samples.

737

738 **Additional file 4.**

739 Excel (.xlsx)

740 Comparison between tolerant and not tolerant samples.

741 Differentially expressed genes (1<sup>st</sup> sheet) and network analysis (2<sup>nd</sup> sheet) are shown for  
742 the comparison between tolerant and not tolerant samples.

743

744 **Additional file 5.**

745 Excel (.xlsx)

746 Fst values for resistance and tolerance comparisons.

747 Genomic regions showing Fst values > 1 for resistance (1<sup>st</sup> sheet) and tolerance (2<sup>nd</sup>  
748 sheet) comparisons.

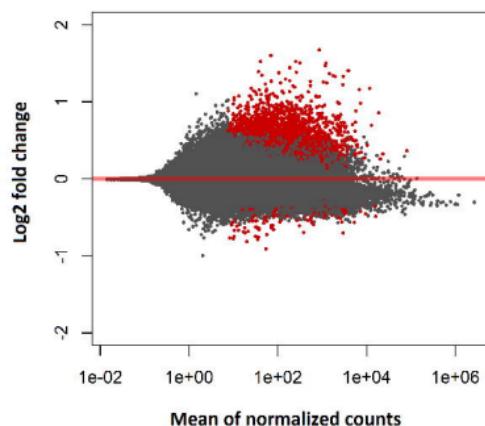
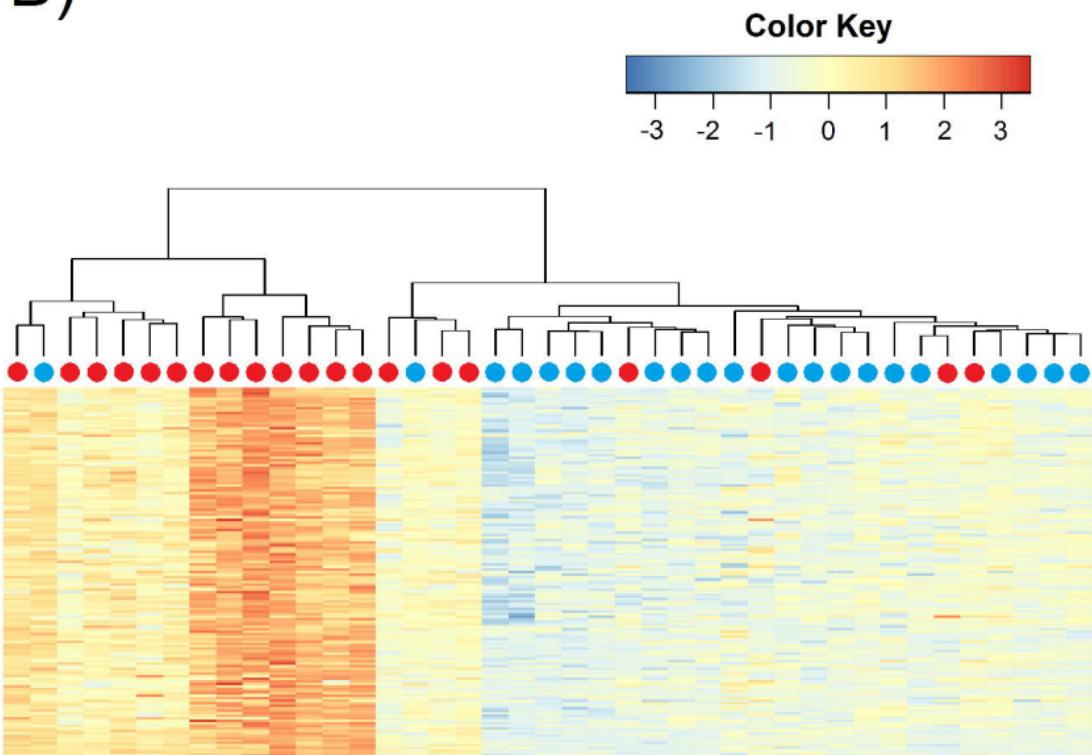
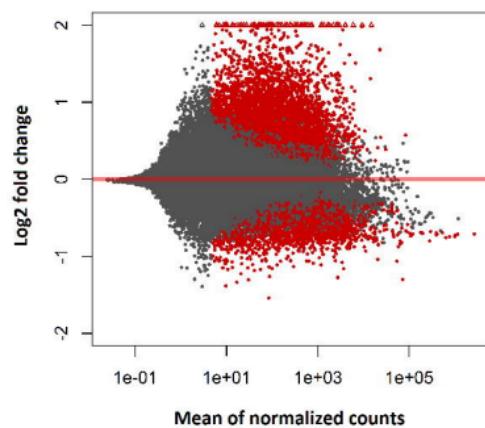
749

750 **Additional file 6.**

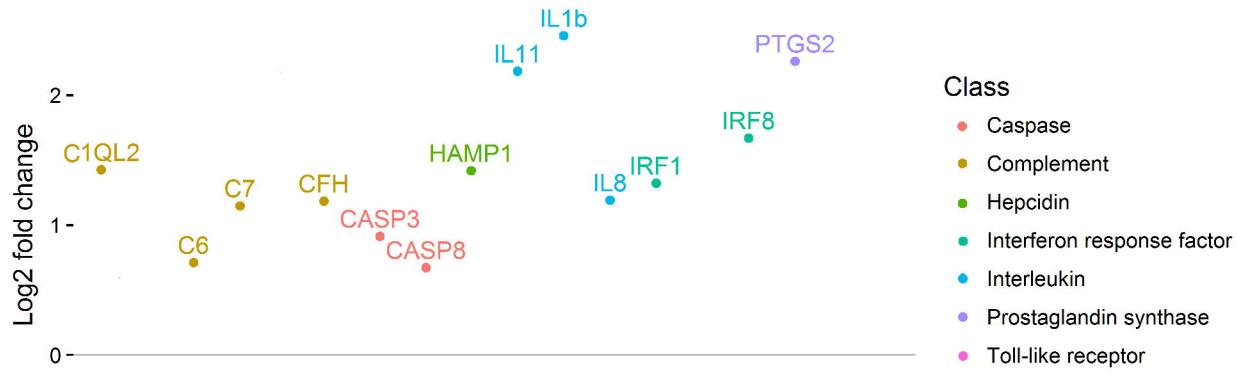
751 Excel (.xlsx)

752 RNA-seq samples

753 List of samples sequenced in this study and their phenotypes.

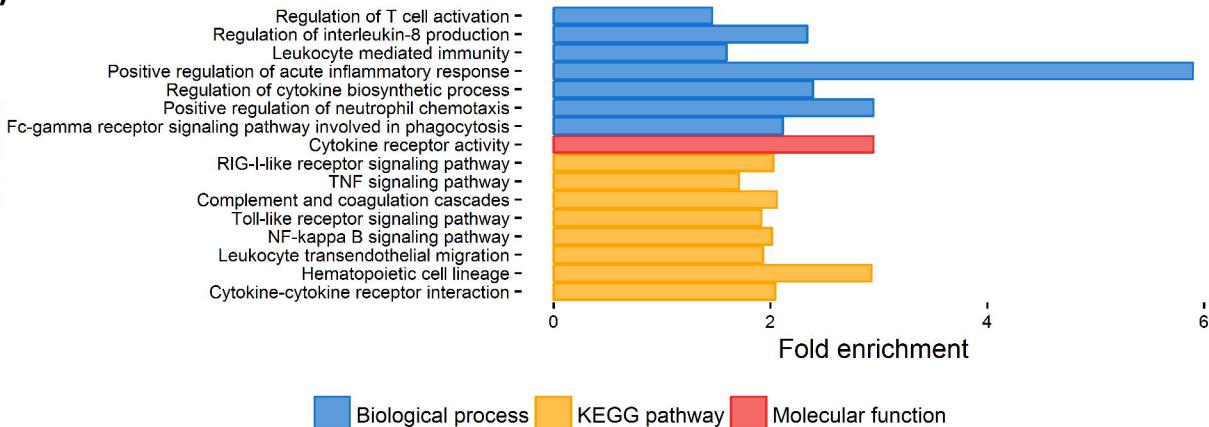
**A)****B)****C)**

A)



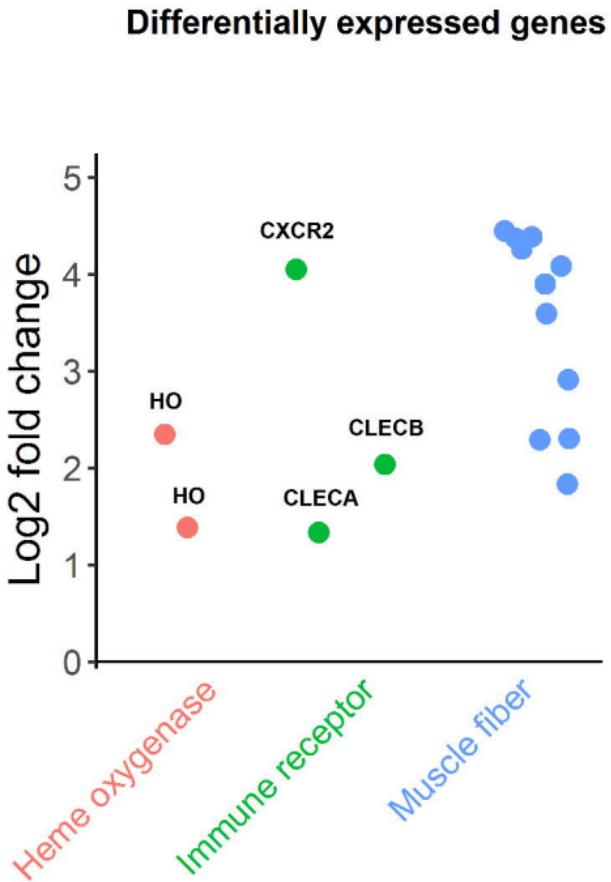
B)

GO terms



# Up-regulated in susceptible fish

A)



B)

