

1    **A warmer growing season triggers earlier following spring phenology**

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18

19   **Abstract:** Under global warming, advances in spring phenology due to the rising temperature  
20   have been widely reported. However, the physiological mechanisms underlying the warming-  
21   induced earlier spring phenology remain poorly understood. Here, using multiple long-term and  
22   large-scale phenological datasets between 1951 and 2018, we show that warmer temperatures  
23   during the previous growing season between May and September led to earlier spring  
24   phenology in the Northern Hemisphere. We also found that warming-induced increases in  
25   maximum photosynthetic rate in the previous year advanced spring phenology, with an average  
26   of 2.50 days °C<sup>-1</sup>. Furthermore, we found a significant decline in the advancing effect of  
27   warming during the previous growing season on spring phenology from cold to warm periods  
28   over the past decades. Our results suggest that the observed warming-induced earlier spring  
29   phenology may be driven by increased photosynthetic carbon assimilation in the previous  
30   season, while the slowdown in the advanced spring phenology arise likely from decreased  
31   carbon assimilation when warming exceeding the optimal temperatures for photosynthesis. Our  
32   study highlights the vital role of photosynthetic carbon assimilation during growing season in  
33   spring phenology under global warming.

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35

36 **Introduction**

37 Plant phenology influences the fitness of individual plants and functioning of terrestrial  
38 ecosystems, including the fluxes of water and energy and food webs<sup>1–6</sup>. Since phenological  
39 events are highly sensitive to climate variations, monitoring changes in plant phenology can  
40 provide the first clear visible signals of the impact of climate change on terrestrial ecosystems<sup>6,7</sup>.  
41 Under global warming, advanced spring phenology due to rising temperature has been widely  
42 reported<sup>8–12</sup>. However, important questions regarding the physiological mechanisms underlying  
43 this response remain unanswered<sup>13–17</sup>. This largely hinders the prediction of spring phenology  
44 and global carbon cycling under future warming conditions.

45 Generally, spring phenology is considered to be driven by temperatures in winter and  
46 spring because plants need to accumulate sufficient winter chilling to end endodormancy and  
47 spring forcing units to break ecodormancy before spring phenology<sup>18–22</sup>. Recent studies show  
48 that the response of earlier spring phenology to climate warming is declining<sup>17</sup>. However, there  
49 continues to be debate about the drivers of the slowdown in the warming-induced spring  
50 phenology. In fact, plants need to assimilate and store sufficient carbohydrates in the preceding  
51 growing season to resist to the frost temperatures in winter and support growth reactivation in  
52 spring<sup>23–26</sup>. In temperate regions, nonstructural carbohydrates (NSC; soluble sugar and starch)  
53 often reach the maximum levels in autumn before winter dormancy, but become depleted by  
54 early summer after spring growth<sup>27–29</sup>. Girdling experiments have demonstrated that a later  
55 budbreak is often associated with a lower NSC availability<sup>30,31</sup>. The timing of spring phenology  
56 is therefore likely to depend on the photosynthetic carbon assimilation during the previous  
57 growing season.

58 Under global warming, increasing temperatures may influence the photosynthetic carbon  
59 assimilation and alter spring phenology in the following year<sup>32</sup>. Photosynthetic carbon uptake  
60 tends to show a peaked response to temperature at leaf and canopy scale<sup>12,33–36</sup>. As such, an  
61 increase in temperature might increase photosynthesis in cold and temperate regions, and  
62 advance spring phenology<sup>37,38</sup>. When temperatures increase above the optimal threshold for  
63 photosynthesis, this could explain the slowdown in warming-induced advancement in spring  
64 phenology. However, previous researches have largely overlooked the effect of previous  
65 growing season climate on spring phenology<sup>39–42</sup>.

66 Using long-term phenological observations and remote-sensing chronologies collected in  
67 the Northern Hemisphere (Fig. 1), we analyzed the effect of warming during the previous  
68 growing season on spring phenology. We hypothesized that timing of spring phenology may  
69 depend on the photosynthetic carbon assimilation during the previous growing season prior to  
70 leaf senescence. According to this carbon-driven assumption, warmer temperatures during the  
71 previous growing season are expected to increase photosynthetic carbon uptake and trigger  
72 earlier spring phenology.

73

74 **Materials and Methods**

75 **PEP725 phenological network**

76 Data were provided by the European phenology database PEP725 (<http://www.pep725.eu/>),  
77 which contains phenological observations of temperate species across central Europe since  
78 1951<sup>43</sup>. We selected the date when the first leaf stalks were visible (BBCH 11 in PEP725) to  
79 represent the start of spring phenology (SOS) and date when 50% leaves had their autumnal

80 color (BBCH94 in PEP725) to represent the end of autumn phenology (EOS). Data exceeding  
81 2.5 times of median absolute deviation (MAD) were considered outliers and removed<sup>44</sup>. We  
82 selected 466,988 records of nine temperate tree species (Table S1) at 2,300 sites, for a total of  
83 171,202 species-site combinations with at least 30-year observations.

84

### 85 **PhenoCam network**

86 The PhenoCam network (<https://phenocam.sr.unh.edu/>) is a cooperative database of digital  
87 phenocamera imagery which provides the dates of phenological transition between 2000 and  
88 2018 worldwide<sup>45,46</sup>. In the PhenoCam network, the 50%, and 90% of the Green Chromatic  
89 Coordinate ( $G_{CC}$ ) were calculated daily to extract the date of greenness rising and falling based  
90 on the following formula:

91

$$G_{CC} = \frac{G_{DN}}{R_{DN} + G_{DN} + B_{DN}}, \quad (1)$$

92 where  $R_{DN}$ ,  $G_{DN}$  and  $B_{DN}$  are the average red, green and blue digital numbers (DN), respectively.

93 We selected 50% threshold of  $G_{CC\_90}$  ( $G_{CC}$  reaches 90<sup>th</sup> quantiles of its seasonal amplitude)  
94 as SOS<sup>47</sup>. We removed outliers according to the above-mentioned procedure, and we selected  
95 sites with at least 8-year observations between 2000 and 2018. We also excluded agricultural  
96 ecosystems to avoid human influence. The final dataset had a total of 738 records at 78 sites  
97 from three vegetation types: deciduous broadleaf forests, evergreen forests and grassland.

98

### 99 **GIMMS NDVI<sub>3g</sub> phenological product**

100 The Normalized Difference Vegetation Index (NDVI), a proxy of vegetation greenness and  
101 photosynthetic activity, is commonly used to derive phenological metrics<sup>48</sup>. We derived SOS  
102 from the third generation GIMMS NDVI<sub>3g</sub> dataset (<http://ecocast.arc.nasa.gov>) from Advanced  
103 Very High Resolution Radiometer (AVHRR) instruments for the period 1982-2014 with a  
104 spatial resolution of 8 km and a temporal resolution of 15 days<sup>49</sup>.

105 We only kept areas outside tropics (latitudes >30 °N), which have a clear seasonal  
106 phenology<sup>50</sup> and excluded bare lands with annual average NDVI < 0.1 to reduce bias. We  
107 applied a Savizky-Golay filter<sup>51</sup> to smooth the time series and eliminate noise of atmospheric  
108 interference and satellite sensor, and used a Double Logistic 1<sup>st</sup> to extract phenology dates<sup>50</sup>  
109 according to the formula:

110

$$y(t) = a \left( \frac{1}{1 + e^{k(t-m)}} + \frac{1}{1 + e^{e(t-n)}} \right) + b, \quad (2)$$

111 where  $a$ ,  $k$ ,  $m$ , and  $n$  are parameters of logistic function and  $a$  is the initial background  
112 NDVI value,  $a + b$  represents the maximum NDVI value,  $t$  is time in days, and  $y(t)$  is the NDVI  
113 value at time  $t$ . The second-order derivative of the function (Eq. (2)) was calculated to extract  
114 SOS and EOS at the first and second local maximum point, respectively<sup>52,53</sup>.

115

### 116 **FLUXNET dataset**

117 The flux dataset was downloaded from FLUXNET (<https://fluxnet.org/data/>). The data were  
118 released in November 2016 (total 212 sites) worldwide<sup>54</sup>. The dataset was processed with a  
119 processing pipeline to reduce uncertainty by improving the data quality control. The pipeline

120 generates uniform and high-quality derived data products suitable for studies that compare  
121 multiple sites<sup>54</sup>. We selected 39 sites with at least 5-year observations and daily records > 300  
122 for each year between 1992 and 2014. The Singular Spectrum Analysis (SSA) filter method<sup>55</sup>  
123 was used to smooth the time series of gross primary productivity (GPP) to minimize the noise.  
124 GPP<sub>max</sub>, daily maximum GPP in a year, is considered as an important index to evaluate the  
125 carbon fixation of terrestrial ecosystems and the feedback of vegetation climate<sup>56-58</sup>. We  
126 extracted the GPP<sub>max</sub> from the smoothed GPP curve by the SSA-based de-nosing smoothing  
127 method<sup>59</sup>. SOS and EOS were extracted from smoothed daily GPP curve based on the threshold  
128 method<sup>51</sup>. The spring and autumn threshold were defined as 15% of the multi-year daily GPP  
129 maximum following previous studies<sup>60,61</sup>, and SOS and EOS were defined as the turning point  
130 when the smoothed GPP was higher or lower than spring or autumn threshold, respectively.  
131

### 132 **Climate data**

133 Gridded daily mean temperature, precipitation, solar radiation and air humidity during 1950-  
134 2015 in Europe were downloaded from the database E-OBS (<http://www.ecad.eu/>)<sup>62</sup> at 0.25°  
135 spatial resolution. Gridded monthly soil moistures during 1979-2015 were downloaded from  
136 World Meteorological Organization ([http://climexp.knmi.nl/select.cgi?id=someone@somewhere&field=clm\\_wfdei\\_soil01](http://climexp.knmi.nl/select.cgi?id=someone@somewhere&field=clm_wfdei_soil01)) at 0.5° spatial resolution and banded with PEP725  
137 dataset. Global monthly mean temperatures during 1981-2017 were downloaded from Climate  
138 Research Unit ([https://crudata.uea.ac.uk/cru/data/hrg/cru\\_ts\\_4.04/cruts.2004151.855.v4.04/](https://crudata.uea.ac.uk/cru/data/hrg/cru_ts_4.04/cruts.2004151.855.v4.04/)) at  
139 0.5° spatial resolution and matched the PhenoCam and GIMMS NDVI<sub>3g</sub> datasets. Bilinear  
140 interpolation method was used to extract climate data of each site or pixel using the *raster*  
141 package<sup>63</sup> in R version 4.0.3<sup>64</sup>. Environmental variables, including daily mean temperature (°C),  
142 shortwave radiation (Wm<sup>-2</sup>), CO<sub>2</sub> (ppm), and precipitation (mm) of FLUXNET dataset were  
143 also extracted.  
144

145

### 146 **Statistical analysis**

147 To tested our hypotheses, we primarily used the observations from the PEP725 network  
148 corresponding E-OBS climate dataset. We did this because PEP725 data was relatively more  
149 reliable than the extracted phenological metrics from imagines of PhenoCam network and  
150 GIMMS NDVI<sub>3g</sub> product because its phenological records were taken manually *in situ*. In  
151 addition, the PEP725 network covered a longer period (between 1951 and 2015) than  
152 PhenoCam (between 2000 and 2018) and GIMMS NDVI<sub>3g</sub> dataset (between 1982 and 2014).  
153 The PhenoCam and GIMMS NDVI<sub>3g</sub> phenology products were used to test the robustness and  
154 generality of the results obtained from the PEP725 network. Specifically, we calculated the  
155 temperature sensitivity (S<sub>T</sub>, change in days per degree Celsius) based on mean temperatures  
156 during the previous growing season from May to September (T<sub>GS</sub>) and timing of spring  
157 phenology using three complementary large-scale datasets (PEP725, PhenoCam, GIMMS  
158 NDVI<sub>3g</sub>) in the Northern Hemisphere. To clarify the underlying physiological mechanisms, we  
159 further examined the relationships between GPP<sub>max</sub> of previous growing season and SOS  
160 between 1992 and 2014 using FLUXNET data.  
161

### 162 **Temperature sensitivities**

163 Temperature sensitivity (S<sub>T</sub>, change in days per degree Celsius), defined as the slope of a linear

164 regression between the dates of phenological stages and the temperature<sup>21,65,66</sup>, was used to  
165 investigate the effects of T<sub>GS</sub> on leaf unfolding dates in the PEP725 network. The length of  
166 growing season was defined as the period between SOS and EOS. The mean dates of SOS and  
167 EOS from the PEP725 network were DOY 120 and DOY 280. Therefore, the period between  
168 May and September was selected to represent the growing season. Linear regression models  
169 were used to calculate S<sub>T</sub> of leaf unfolding for each species at each site. In the model, the  
170 response variable was the leaf unfolding date while the predictor was the T<sub>GS</sub>.

171 In addition, a linear mixed-effects model was used to exclude the co-variate effects of other  
172 climate factors and autumn phenology, and further examine the overall effect of T<sub>GS</sub> on leaf  
173 unfolding dates by pooling all records across species and study sites. In the model, the response  
174 variable was leaf unfolding dates, and the predictors were temperature, radiation, precipitation,  
175 soil moisture, humidity during the previous growing season between May and September and  
176 leaf senescence dates of the previous year, with random intercepts among species and sites. In  
177 addition, we quantified and compared the effects of climate variables of the previous growing  
178 season on leaf unfolding dates using boosted regression tree, an ensemble statistical learning  
179 method<sup>67</sup>, which has been widely applied in ecological modeling and prediction<sup>68,69</sup>. Because  
180 radiation and soil moisture data were only available since 1980, we selected phenology and  
181 climate datasets between 1984 and 2015 to perform the linear mixed-effects model and boosted  
182 regression tree. Linear mixed-effects model fitting was conducted using the *lme4* package<sup>70</sup> of  
183 R<sup>64</sup>. Significance testing of the fixed effects terms was done using the Satterthwaite method  
184 incorporated into the *lmerTest* package<sup>71</sup> of R<sup>64</sup>, where *P* values less than 0.05 were considered  
185 significant. We performed the boosted regression trees using the *gbm* package<sup>72</sup> in R<sup>64</sup>, where  
186 10-fold cross validation was used to determine the optimal number of iterations.

187

### 188 **Effect of past climate change on spring phenology**

189 Following Fu et al.<sup>17</sup>, we assessed the effects of past climate warming on spring phenology.  
190 First, we calculated the mean T<sub>GS</sub> across all the 2,300 sites in Europe from 1951 to 2015. Using  
191 a 15-year smoothing window, we identified the coldest and warmest periods: 1955-1969 and  
192 2000-2014 over the past 60 years. We calculated the S<sub>T</sub> of leaf unfolding in response to the T<sub>GS</sub>  
193 during the two periods for each species at each site. One-way analysis of variance (ANOVA)  
194 was used to test the difference in the S<sub>T</sub> of leaf unfolding during 1955-1969 and 2000-2014.

195

### 196 **Structural equation modeling**

197 We used a structural equation model (SEM) to analyze the relationships between climate,  
198 GPP<sub>max</sub> and SOS from the 39 flux sites. The climate variables in the structural equation model  
199 included temperature, radiation, soil moisture, CO<sub>2</sub> and precipitation during previous growing  
200 season. Because the daily GPP started to increase from DOY 120, peaking at DOY 180, then  
201 decreased until DOY 300 (Fig. S2), the period between May and September was also selected  
202 as the growing season. This is also consistently with the period of growing season identified by  
203 the dates of leaf unfolding and leaf senescence in PEP725 network. The SEM was fitted using  
204 the *lavaan* package<sup>73</sup> in R<sup>64</sup>.

205 All data analyses were conducted using R version 4.0.3<sup>64</sup>.

206

207

## 208 Results

209 Temperature sensitivity ( $S_T$ , change in days per degree Celsius), is often used to describe the  
210 response of plant phenology to warmer temperatures. We calculated the  $S_T$  of spring phenology  
211 based on  $T_{GS}$  and dates of spring leaf unfolding obtained from PEP725 network, and start of  
212 season (SOS) metrics extracted from PhenoCam, and GIMMS NDVI<sub>3g</sub> images (see Methods).  
213 The calculated  $S_T$  of spring phenology based on three datasets is shown in Fig. 2. Using the  
214 PEP725 network, the mean  $S_T$  of leaf unfolding across nine temperate tree species between  
215 1951 and 2015 was  $-2.50 \text{ days} \cdot ^\circ\text{C}^{-1}$  (Fig. 2a). This suggested that a warmer previous growing  
216 season advanced leaf unfolding dates. The  $S_T$  was negative across all selected nine temperate  
217 tree species (Fig. 2b). The response of *Quercus robur* to  $T_{GS}$  were the strongest, with an average  
218 of  $-2.82 \text{ days} \cdot ^\circ\text{C}^{-1}$ , significantly stronger than those of *Tilia cordata* ( $-1.04 \text{ days} \cdot ^\circ\text{C}^{-1}$ ) and *Tilia*  
219 *platyphylllos* ( $-1.16 \text{ days} \cdot ^\circ\text{C}^{-1}$ ).

220 In addition to temperature, spring phenology has been reported to be influenced by other  
221 climate variables and autumn phenology. We used a linear mixed effects model to exclude these  
222 co-variate effects and further examined the effects of  $T_{GS}$  on spring leaf unfolding. We  
223 consistently observed that leaf unfolding dates were advanced by increasing temperature by an  
224 average of  $-2.67 \text{ days} \cdot ^\circ\text{C}^{-1}$  (Table S2). Using boosted regression tree, we found the temperature  
225 had the strongest effect on leaf unfolding dates (84.67%), followed by radiation (6.33%), soil  
226 moisture (3.93%), precipitation (3.32%), humidity (1.75%) (Fig. S1).

227 Our PEP725 results were corroborated by PhenoCam and remote sensing data.  
228 Specifically, we observed a negative effect of  $T_{GS}$  on SOS in deciduous broad-leaved forests,  
229 evergreen forests and grasslands using phenological metrics extracted from the PhenoCam  
230 network between 2000 and 2018 (Fig. 2c). According to the calculated  $S_T$ , the SOS in response  
231 to warming of the previous growing season was the strongest in deciduous broad-leaved forests,  
232 followed by evergreen forests and grasslands (Fig. 2c). Using the phenology metrics extracted  
233 from remote sensing dataset between 1982 and 2014, we also observed that increasing  $T_{GS}$   
234 advanced SOS across different vegetation types in the Northern Hemisphere (Fig. 2d). Among  
235 all vegetation types, the  $S_T$  of the Tundra was the lowest, followed by Temperate Broadleaf &  
236 Mixed Forests and Savannas & Shrublands (Fig. 2d).

237 To test whether earlier spring phenology was driven by increased photosynthetic carbon  
238 assimilation, we further examined the relationship between daily maximum photosynthetic rate  
239 ( $GPP_{max}$ ) of the previous growing season and SOS between 1992 and 2014 using FLUXNET  
240 data. We found that the timing of SOS showed a significant negative correlation with the  $GPP_{max}$   
241 during the growing season between 1992 and 2014 (correlation coefficient =  $-0.36$ ,  $P < 0.01$ ,  
242 Fig. 3a). This suggested that spring phenology tended to occur earlier with the increased  
243 photosynthetic carbon assimilation during previous growing season. To further test the carbon-  
244 driven hypothesis, we constructed a structural equation model (SEM) that included climate  
245 variables,  $GPP_{max}$  and SOS (Fig. 3b). We found that spring phenology (SOS) was advanced by  
246 increased  $GPP_{max}$  (slope =  $-2.331$ ,  $P < 0.001$ ). In addition, the effect of temperature on  $GPP_{max}$   
247 was the strongest (slope =  $0.319$ ,  $P < 0.001$ ), followed by soil moisture (slope =  $0.167$ ,  $P < 0.001$ ),  
248 while radiation (slope =  $0.005$ ,  $P > 0.05$ ),  $CO_2$  (slope =  $0.002$ ,  $P > 0.05$ ) and precipitation (slope  
249 =  $0.001$ ,  $P > 0.05$ ) almost had no effects on  $GPP_{max}$ . The detailed statistics of the SEM are listed  
250 in Table S3.

251 To examine the potential effects of climate warming on leaf unfolding, we used the  
252 PEP725 dataset to calculate and compare the  $S_T$  between the coldest and the warmest 15-year  
253 periods: 1955-1969 and 2000-2014, respectively (Figs. 4 and S3). We found that the  $S_T$  of leaf  
254 unfolding decreased by 63.1% from  $-1.76 \pm 0.04$  days $\cdot^{\circ}\text{C}^{-1}$  during 1955-1969 to  $-0.65 \pm 0.04$   
255 during 2000-2014 (Fig. 4a). Between 1955 and 1969, the  $S_T$  of early-successional species is  
256  $-2.37$  days $\cdot^{\circ}\text{C}^{-1}$  and  $-1.23$  days $\cdot^{\circ}\text{C}^{-1}$  for late successional species. Between 2000 and 2014,  $S_T$   
257 of the early-and late-successional species were  $-0.13$  days $\cdot^{\circ}\text{C}^{-1}$  and  $-0.92$  days $\cdot^{\circ}\text{C}^{-1}$ ,  
258 respectively. The  $S_T$  of the early successional species decreased more from the coldest to the  
259 warmest periods ( $-2.24 \pm 0.15$  days $\cdot^{\circ}\text{C}^{-1}$ ) than that of late successional species ( $-0.31 \pm 0.16$   
260 days $\cdot^{\circ}\text{C}^{-1}$ ) (Figs. 4b and S3).

261

## 262 Discussion

263 Global warming advances budbreak and leafing worldwide<sup>21,74-77</sup>. Using three long-term and  
264 large-scale phenological datasets, we show that warmer temperatures of the previous growing  
265 season drive earlier phenology in the following spring in the Northern Hemisphere. We also  
266 find that warming increased photosynthetic carbon assimilation, suggesting a physiological  
267 mechanism by which global warming is triggering earlier spring phenology (Fig. 5).

268 In deciduous tree species, carbon gained through photosynthesis is often stored in the form  
269 of non-structural carbohydrates (NSC-soluble carbohydrates and starch), which supports the  
270 growth of buds and leaves in the following spring before newly grown leaves can supply  
271 photosynthesis<sup>78-80</sup>. For instance, 95% of starches stored in the branches of *Fagus sylvatica* and  
272 *Quercus petraea* were consumed when spring bud-break occurred<sup>79</sup>. Needle growth of *Larix*  
273 *gmelinii* in spring drew nearly 50% of the carbohydrates fixed in the previous year<sup>81,82</sup>. Phloem  
274 girdling showed that deficient carbon storage can significantly delay the timing of spring  
275 budbreak and reduce bud size<sup>27</sup>.

276 During winter dormancy, temperate tree species also need to store sufficient carbohydrates  
277 prior to leaf senescence for respiration to maintain baseline functions and protect cells from  
278 frost damage and ensure survival<sup>83,84</sup>. Therefore, warmer temperatures in the previous growing  
279 season may advance spring phenology by increasing carbon storage, supported by the negative  
280 correlations between spring phenology and maximum photosynthetic rate in the previous year.

281 Recently, Zani et al.<sup>32</sup> has reported that increased carbon assimilation during the growing  
282 season drives earlier autumn leaf senescence in temperate ecosystems. When leaf senescence  
283 occurred earlier, trees advanced the endodormancy<sup>5,85</sup>. In this context, the requirement of  
284 chilling units may be also fulfilled earlier. As a result, earlier autumn phenology facilitates an  
285 earlier spring phenology<sup>86</sup>. Therefore, increased carbon assimilation may directly drive autumn  
286 phenology, and, in turn, influence spring phenology. In our analyses, we excluded the co-variate  
287 effect of autumn phenology and isolated the effect of temperature of the previous growing  
288 season on leaf unfolding. The relationship was negative, confirming our hypothesis that  
289 increased carbon assimilation of previous season triggers an earlier spring phenology.

290 We observed that early-successional species showed a stronger response to the warming  
291 during growing season compared to late-successional species. In addition to temperature, spring  
292 phenology is also under photoperiodic control<sup>87</sup>. Because photoperiod remains stable regardless  
293 of climate change, plants are expected to show relatively conservative climatic responses when  
294 they rely on photoperiod to determine spring phenology. However, photoperiod sensitivities

295 often vary among species<sup>87</sup>. For example, late-successional species are reported to have a higher  
296 photoperiod sensitivity compared to early-successional species<sup>87,88</sup>. The higher photoperiod  
297 sensitivity of late-successional species may, therefore, explain their conservative climatic  
298 responses compared to early-successional species<sup>87,89</sup>.

299 Recent studies have reported that the warming-induced earlier spring phenology has  
300 slowed down over the past decades<sup>21,90,91</sup>. Fu et al.<sup>17</sup> reported that  $S_T$  of leaf unfolding decreased  
301 by 40% from  $4.0 \pm 1.8$  days $\cdot$ °C $^{-1}$  during 1980-1994 to  $2.3 \pm 1.6$  days $\cdot$ °C $^{-1}$  during 1999-2013.  
302 The observed declining effect of warming on spring phenology is generally considered a result  
303 of chilling reduction in winter<sup>92</sup>. However, the carbon-driven earlier spring phenology is also  
304 slowing down in recent decades, especially for early-successional species as found here. Duffy  
305 et al.<sup>12</sup> showed that the mean temperatures in the warmest quarter passed the optimal for  
306 photosynthesis over the past decade, with a sharp declining photosynthesis. The increased heat  
307 and water stress of the last decades may lead to a spreading growth decline of forests<sup>93-95</sup>.  
308 Therefore, the observed decline in the  $S_T$  may involve reductions in carbon assimilation by heat  
309 waves and/or drought events under global warming<sup>96,97</sup>.

310

## 311 Conclusion

312 Despite the warming-induced spring phenology observed worldwide, the underlying causes and  
313 physiological mechanisms still remain unclear. In this study, we used multiple long-term and  
314 large-scale datasets to provide evidence that spring phenology is advanced by warmer  
315 temperatures of the previous growing season. Correspondingly, we observed that leaf unfolding  
316 occurred earlier under enhanced maximum photosynthetic capability. These findings suggest  
317 that an increased carbon assimilation under global warming could be involved in the observed  
318 earlier leafing of trees. In addition, we observed a decline in the carry-over effect of growing-  
319 season warming on spring phenology resulted likely from the reduced photosynthetic carbon  
320 assimilation by heat and water stress under global warming. With an increase in projected  
321 drought frequency under warming scenarios<sup>93,98</sup>, we expect that temperate trees will slow down  
322 the advancement of spring phenology. This may reduce the strength of forest carbon sinks under  
323 future climate conditions<sup>17</sup>. Our study provides new insights into the warming-induced change  
324 in spring phenology under global climate change to predict spring phenology and vegetation-  
325 atmosphere feedbacks under future climatic scenarios.

326

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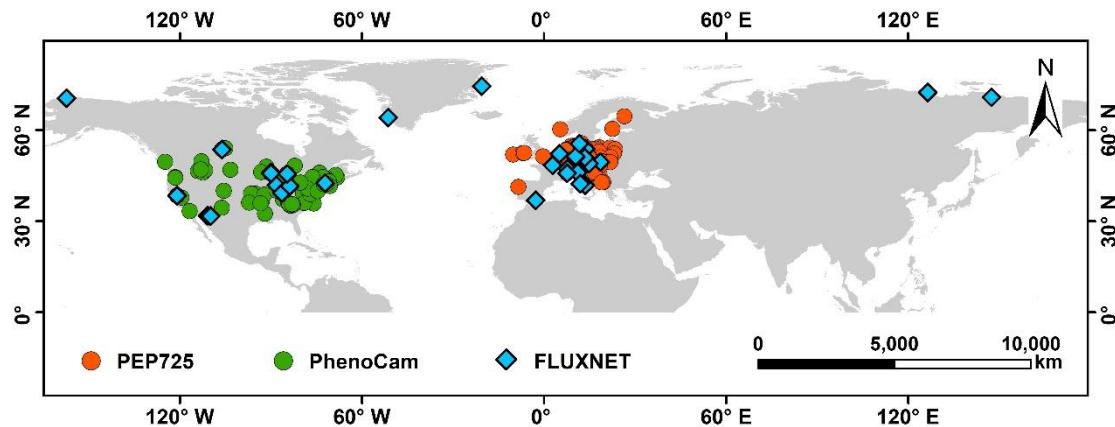
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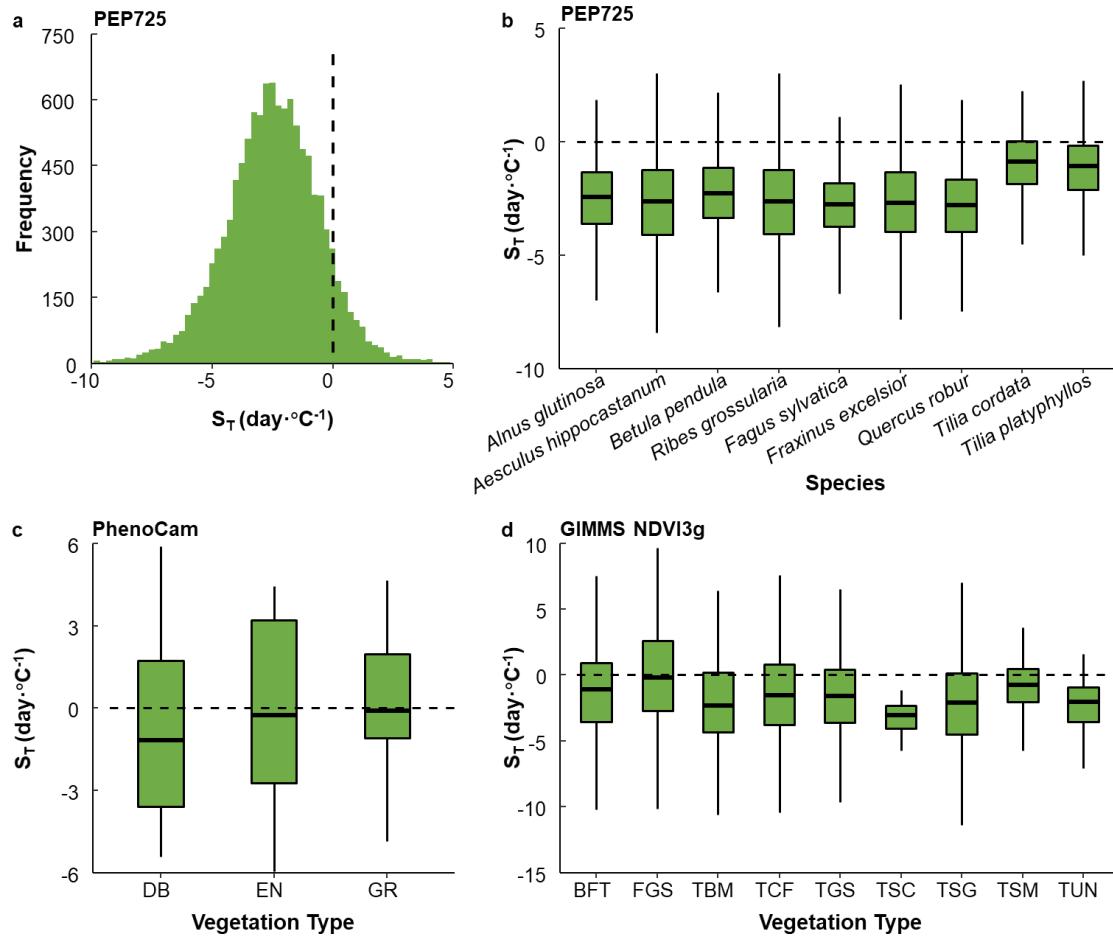
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557 **Fig. 1** Distributions of the phenological observation sites in this study. Orange dots represent  
558 the 2,300 sites selected from the PEP725 dataset across central Europe. Green dots and blue  
559 diamonds represent 78 sites in North America from the PhenoCam network and 39 FLUXNET  
560 sites, respectively.

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**Fig. 2** Temperature sensitivities ( $S_T$ , change in days per degree Celsius) of spring phenology in response to increasing temperature during previous growing season. The calculated  $S_T$  was based on (a, b) records of spring leaf unfolding for nine temperate tree species at 2,300 sites in Europe, and phenological metrics extracted from (c) the PhenoCam network and (d) the GIMMS NDVI<sub>3g</sub> products for different biomes. DB, EN and GR in (c) represents deciduous broad-leaved forests, evergreen forests and grasslands, respectively. In (d), the biomes included Boreal Forests/Taiga (BFT), Flooded Grasslands & Savannas (FGS), Temperate Broadleaf & Mixed Forests (TBM), Temperate Conifer Forests (TCF), Temperate Grasslands, Savannas & Shrublands (TGS), Tropical & Subtropical Coniferous Forests (TSC), Tropical & Subtropical Grasslands, Savannas & Shrublands (TSG), Tropical & Subtropical Moist Broadleaf Forest (TSM) and Tundra (TUN). The black dash lines indicate when the  $S_T$  is equal to zero.

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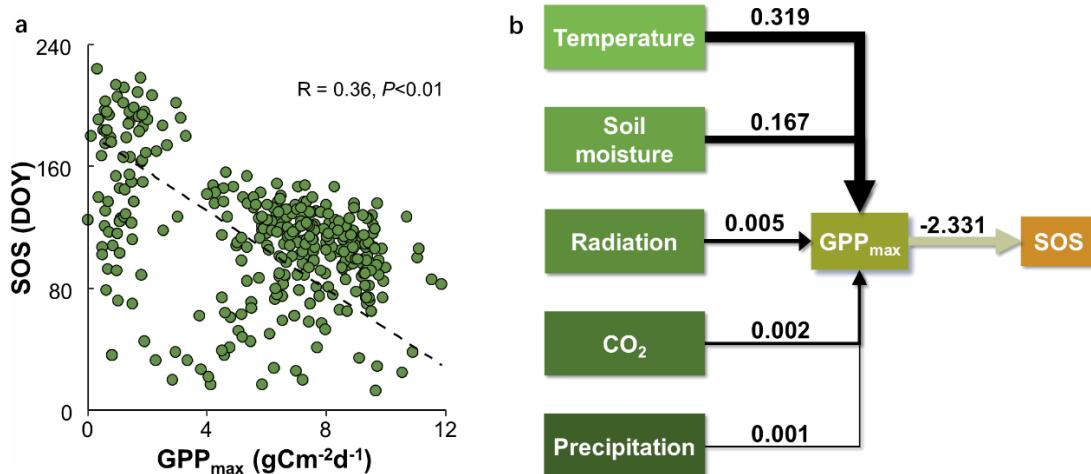
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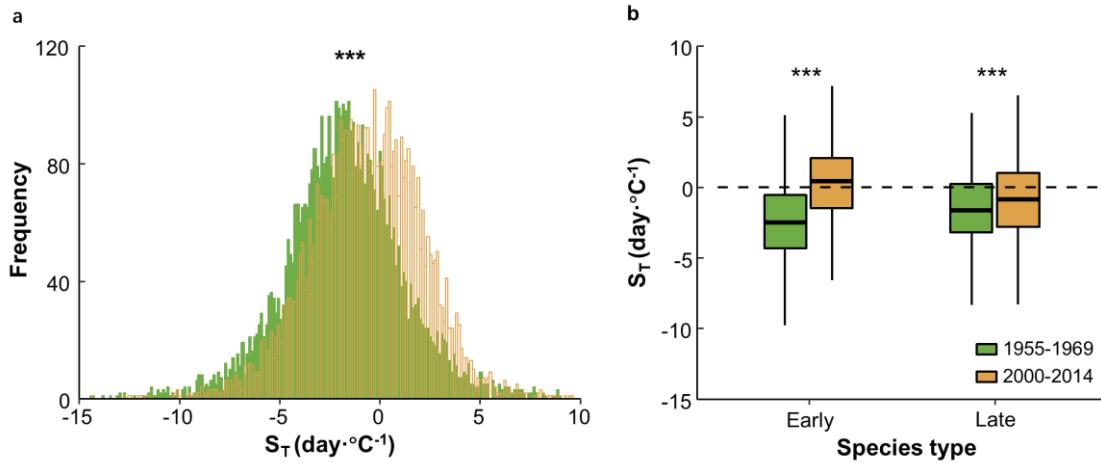
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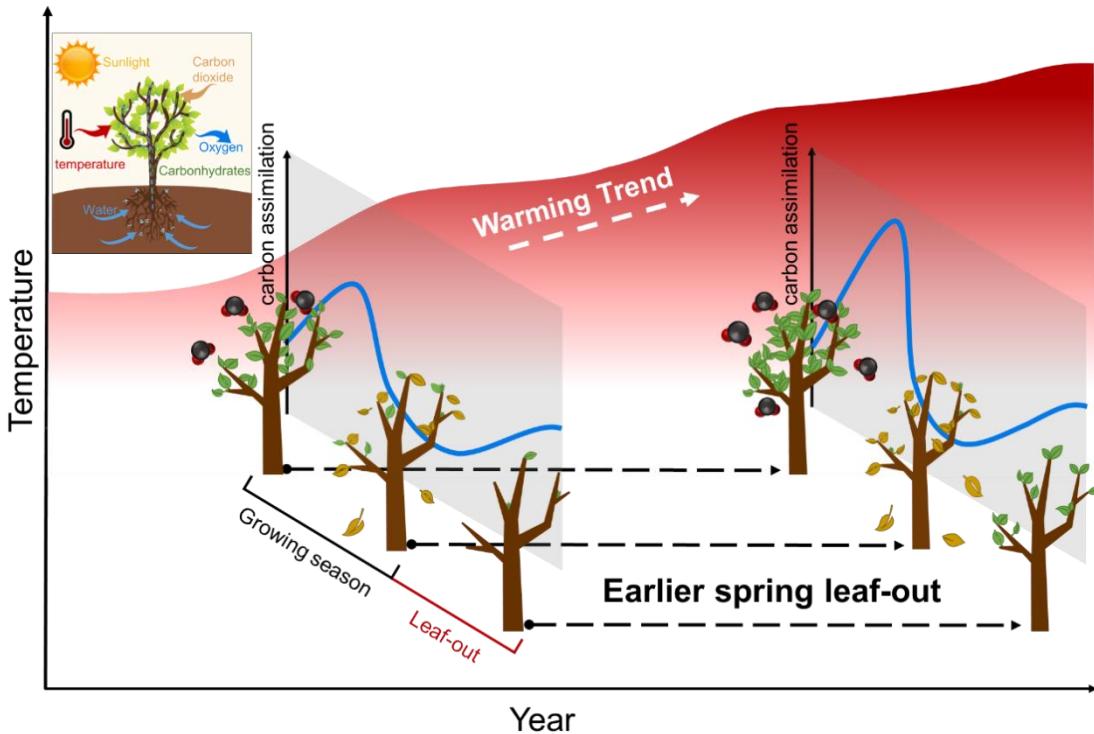
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578 **Fig. 3** (a) Relationship between spring phenology (SOS) and GPP<sub>max</sub> and (b) the constructed  
579 structural equation model using the data of 39 FLUXNET sites between 1992 and 2014. The  
580 black dash line represents the fitted linear regression line ( $SOS = 182.38 - 12.88 \times GPP_{max}$ ). The  
581 used variables in the structural equation model included climate variables (temperature,  
582 radiation, soil moisture, CO<sub>2</sub> and precipitation), SOS and GPP<sub>max</sub>.  
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586 **Fig. 4 (a)** Distributions of temperature sensitivities ( $S_T$ , change in days per degree Celsius) of  
587 leaf unfolding during the coldest (1955-1969) and the warmest (2000-2014) periods and **(b)**  
588 differences of  $S_T$  between early- and late-successional species during these two periods. The  
589 calculated  $S_T$  was based on the temperature during previous growing season and leaf unfolding  
590 dates obtained from the PEP725 database. The length of each box indicates the interquartile  
591 range, the horizontal line inside each box the median, and the bottom and top of the box the  
592 first and third quartiles respectively. The asterisks indicate a significant difference in the  $S_T$   
593 1955-1969 and 2000-2014 ( $P<0.001$ ). The black dashed horizontal line indicates when the  $S_T$   
594 is equal to zero.

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597 **Fig. 5** A schematic diagram of the earlier leaf-out in response to warming during previous  
598 growing season. Warmer temperatures during the previous growing season drivers earlier spring  
599 leaf-out by increasing photosynthetic carbon assimilation.

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## Supporting Information

603

**Table S1.** List of the 9 temperate species selected from the PEP725 phenological network.

Number	Latin name	Successional type
1	<i>Aesculus hippocastanum</i> L.	Early
2	<i>Betula pendula</i> Roth	Early
3	<i>Alnus glutinosa</i> (L.) Gaertn.	Early
4	<i>Ribes grossularia</i> L.	Early
5	<i>Fraxinus excelsior</i> L.	Late
7	<i>Fagus sylvatica</i> L.	Late
7	<i>Quercus robur</i> L.	Late
8	<i>Tilia cordata</i> Mill.	Late
9	<i>Tilia platyphyllos</i> Scop.	Late

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605

606 **Table S2.** Results of linear mixed model that the effect of temperature during previous growing  
607 season on spring phenology (SOS) after excluding the influence of other climatic factors  
608 (radiation, precipitation, soil moisture, humidity) and autumn phenology (EOS).

Variables	Estimate	SE	t value	P value
Intercept	170.52	3.86	44.16	<0.001
Temperature	-2.67	$5.59 \times 10^{-2}$	-47.85	<0.001
Radiation	-0.02	$4.54 \times 10^{-3}$	-4.75	<0.001
Precipitation	0.01	$2.37 \times 10^{-3}$	0.57	<0.001
Soil moisture	0.37	$2.16 \times 10^{-2}$	17.14	<0.001
Humidity	-0.56	$1.91 \times 10^{-2}$	-29.21	<0.001
EOS	0.07	$2.77 \times 10^{-3}$	25.36	<0.001

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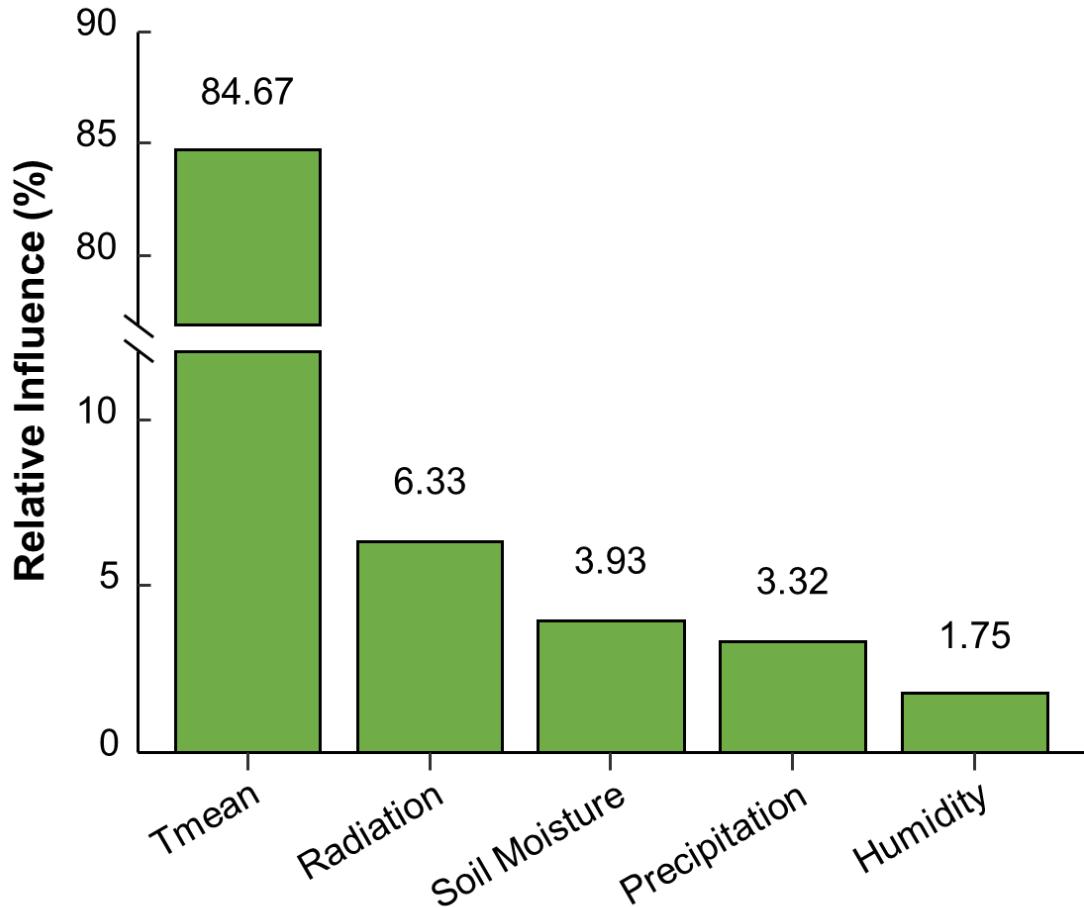
611 **Table S3.** Statistics of the structural equation models (SEMs). To display model performance,  
612 we calculated the Comparative Fit Index (CFI) and the root-mean square error (RMSEA).

**Statistics of the structural equation models (SEMs)**

Left-hand side	Option	Right-hand side	Estimate	SE	Z value	P value
GPP <sub>max</sub>	~	Temperature	0.319	0.033	9.569	<0.001
GPP <sub>max</sub>	~	Soil moisture	0.167	0.026	6.347	<0.001
GPP <sub>max</sub>	~	Radiation	0.005	0.004	1.179	>0.05
GPP <sub>max</sub>	~	CO <sub>2</sub>	0.002	0.007	0.325	>0.05
GPP <sub>max</sub>	~	Precipitation	0.001	0.152	0.004	>0.05
SOS	~	GPP <sub>max</sub>	-2.331	0.551	-4.229	<0.001

CFI = 0.89; RMSEA = 0.20

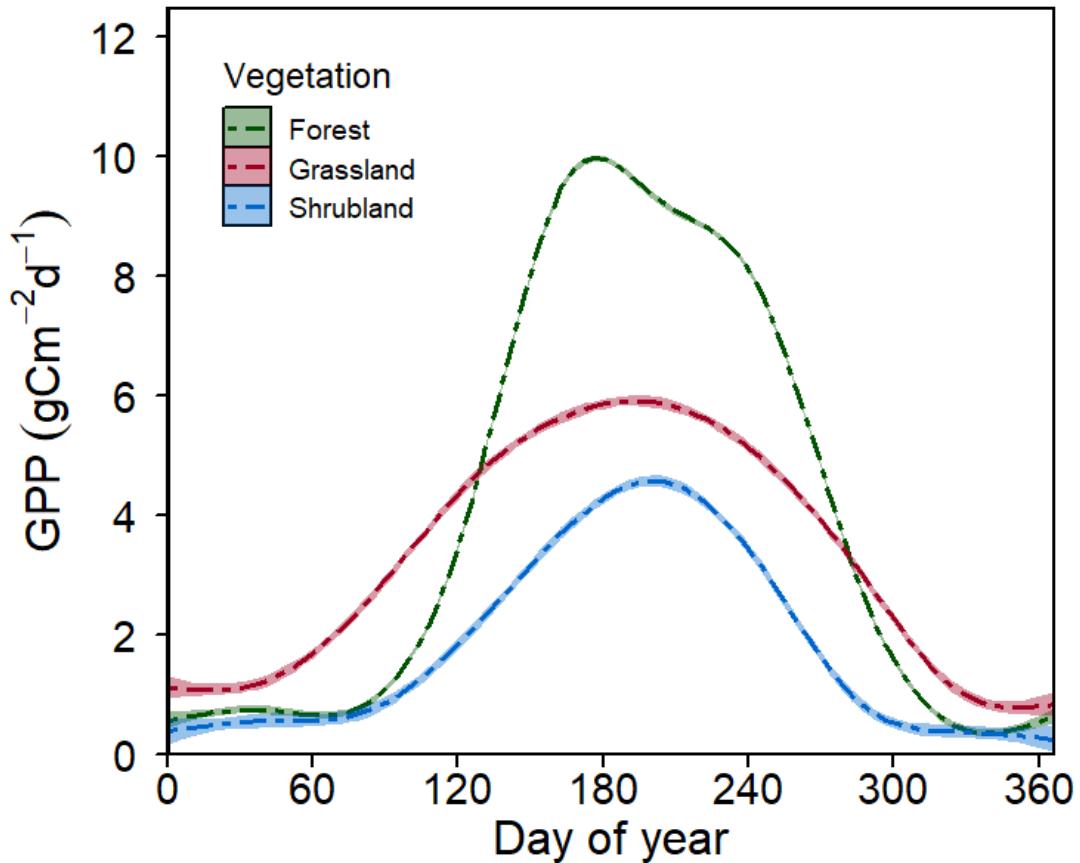
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615 **Fig. S1** The relative influence of climatic factors during previous growing season on spring leaf  
616 unfolding between 1984 and 2015 obtained from the PEP725 database.

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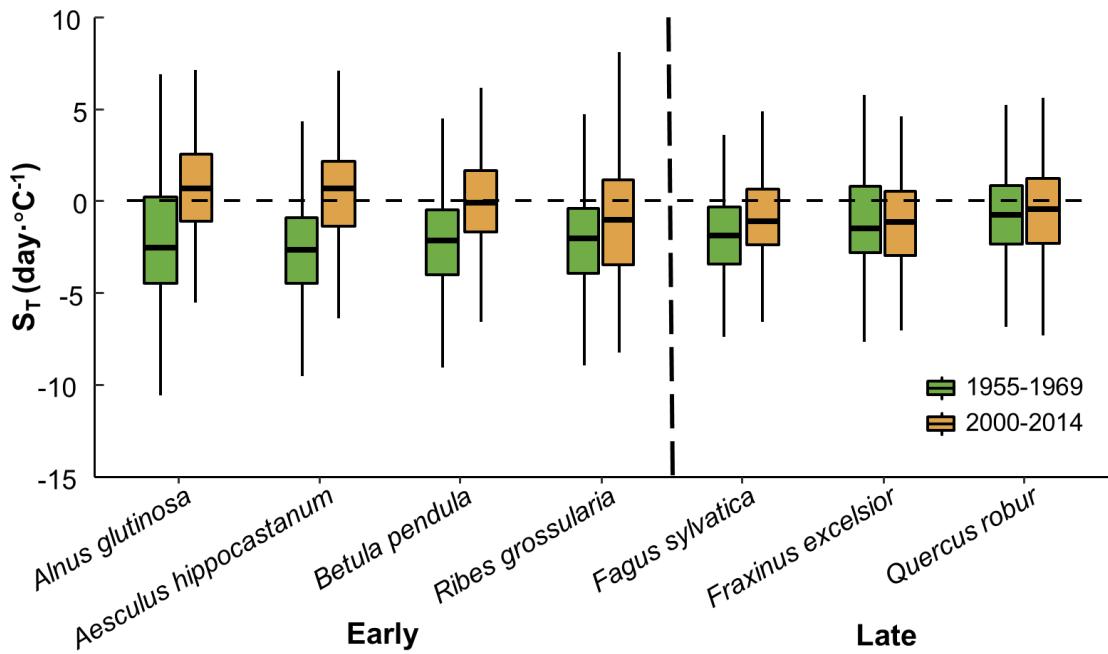


618

619 **Fig. S2** Daily gross primary productivity (GPP) changes in three vegetation types based on  
620 FLUXNET.

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622



623

624 **Fig. S3** Temperature sensitivities ( $S_T$ , change in days per degree Celsius) of leaf unfolding in  
625 early- and late-successional species during 1955-1969 and 2000-2014. The calculated  $S_T$  was  
626 based on the temperature during previous growing season and leaf unfolding dates obtained  
627 from the PEP725 database. The length of each box indicates the interquartile range, the  
628 horizontal line inside each box the median, and the bottom and top of the box the first and third  
629 quartiles respectively. The black dashed horizontal line indicates when the  $S_T$  is equal to zero.