

1                   **Fishing for survival: importance of shark fisheries for the livelihoods of coastal**  
2                   **communities in Western Ghana**

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22 **Abstract**

23 Small-scale shark fisheries support the livelihoods of a large number of coastal communities in  
24 developing countries. Shark meat comprises a cheap source of protein and is traded locally in  
25 many parts in developing countries, while the skins, oil, and fins are exported to the international  
26 market. This study addresses a gap in literature regarding the importance of elasmobranchs to  
27 key shark-fishing communities and the degree to which trade in shark products (meat and fins)  
28 vary in time and among fishing communities in Ghana. We interviewed 85 fishers and traders  
29 involved in shark fisheries in Axim, Dixcove, and Shama communities using semi-structured  
30 questionnaires. Fishing was the primary source of income and accounted for 58.5% of the total  
31 household income of respondents. Other important economic activities were fish processing  
32 (16.0%), fish retailing (13.3%), and small businesses (2.5%). One-third and often two-thirds of  
33 respondents generated between 80-100% of their income from shark fisheries: Axim (65%),  
34 Dixcove (68%), and Shama (35%). Shark meat consumption was common among fishers and  
35 traders and represents a substantial source of protein in the diet of the study communities.  
36 Hammerhead sharks (*Sphyrna* spp) and Bull Shark (*Carcharhinus leucas*) have the most  
37 valuable fins and meat. Further, 75% and 95% of fishers and traders, respectively, see fishing  
38 and trading of shark meat as their last safety-net and, therefore, tend to be satisfied with their  
39 jobs. Non-fishing related livelihood streams including small businesses and transportation were  
40 the major fallback activities both fishers and traders preferred to rely on if there is a ban on the  
41 exploitation of sharks in Ghana. Overexploitation of these species will compromise food  
42 ecosystem functionality and security. Thus, any shark management strategy needs to urgently  
43 restraint mortality to sustainable levels, which, in the short-term, must take into consideration the  
44 preferred livelihood fallback options outlined by fishers and traders, and implement them to  
45 ensure the long-term benefits of the intervention.

46

47 **Keywords:** Artisanal fisheries; Fallback options; Household income; Livelihood strategies;  
48 Fishers' satisfaction

49

50

51 **1. Introduction**

52 The economies of developing countries heavily depend on natural resources-based livelihood  
53 strategies, with fisheries contributing significantly to the economy (BNP, 2008). For instance, the  
54 United Nations Food and Agriculture Organization (FAO) and the World Fish Centre-Big  
55 Numbers Project (BNP) (2008) estimated that between 93 and 97 million rural households in  
56 developing countries worldwide are involved in fishing and its related activities. An estimated  
57 12.3 million people in Africa are actively involved in fishing as either fishers, processors or  
58 traders (FAO, 2014). The fisheries sector accounts for more than US\$ 24 billion or 1.3% of the  
59 joined GDP of all African nations and also supports 30% of Africa's nutrition and food security  
60 (FAO, 2014). In Ghana, the fishery sector directly or indirectly employs 2.6 million people,  
61 comprising 10% of the total population (Nunoo et al., 2015). The fishery sector is estimated to  
62 support about 3% of Ghana's GDP and 5% of Agricultural GDP (Nyemah et al., 2017). The  
63 sector also contributes 60% of animal protein consumed in Ghana with average per-capita  
64 consumption between 20 and 25 kg per annum (Nunoo et al., 2015).

65 The inshore artisanal fishery is a major source of Ghanaian fish production, accounting for 80%  
66 of the total annual fish supply (MoFAD, 2017). The main aim of artisanal fishers is to continue  
67 to supply fish to meet their household needs and for domestic markets. The artisanal fishery  
68 operates actively in over 300 landing sites in several coastal communities along the 550 km  
69 coastline of Ghana and supports approximately 124,000 fishers (Amador et al., 2006; CRC,  
70 2013). A number of coastal communities in Ghana engage in subsistence farming, small trade,  
71 artisan works, factory work, mining, and sand mining as their livelihood strategies and these are  
72 mostly undertaken concurrently with fishing-related activities (Mensah & Antwi, 2002).  
73 However, recent studies have found that artisanal fisheries are the most important livelihood  
74 strategies for coastal communities in Ghana. For example, Asiedu and Nunoo (2013) report that  
75 between 80% and 97.7% of fishers from the studied population depend on fishing as their sole  
76 occupation in the Ghanaian coastal communities of Small London, Kpong, Ahwiam, and Elmina.  
77 Similarly, Asiedu et al. (2013) report that artisanal fishing contributes 80% and 85% to the total  
78 household income of fishers in the Ahwiam and Elmina communities, respectively.

79 Livelihoods of many artisanal fishing communities in sub-Saharan Africa are under threat, as the  
80 region continues to put increasing pressure on fish resources for sustenance and income, which

81 poses many challenges for long term sustainability of the resources for food security (Andrew et  
82 al., 2007; Béné et al., 2005). This has caused many fishers to resort to diverse strategies to  
83 maintain or improve their livelihoods. The adopted strategies include geographical mobility,  
84 utilizing different methods of fishing, fishing in different locations, and adjustment from  
85 specialist to generalist fishing operations (Allison & Ellis, 2001; Smith & Mckelvey, 1986). The  
86 latter is the most common strategy among Ghanaian artisanal fishers in an effort to maintain high  
87 levels of catch in the wake of continuous declines of their formerly preferred teleost stocks  
88 (MoFAD, 2015). These generalist fishers invariably use diverse fishing gears or modify their  
89 fishing operations to target bony fishes, marine invertebrates, and other vulnerable marine  
90 megafauna (I. Seidu, pers. obs.). Elasmobranchs (sharks, rays, and skates) are among the marine  
91 megafauna that are particularly susceptible to capture in diverse fishing gears and across a  
92 magnitude of fishing operations, with fisheries posing the greatest source globally to non-natural  
93 mortalities within this group (Bonfil, 2000; Dulvy et al., 2000; Dulvy et al., 2014).

94 Elasmobranchs typically have a relatively slow life history due to their large body size, late  
95 maturity, slow growth, and low fecundity, which results in low population growth rates (Pardo et  
96 al., 2016). These traits make them exceptionally vulnerable to overfishing and typically result in  
97 decreased chances of recovery from population decline (Barrowclift et al., 2017; Dulvy et al.,  
98 2000). Traditionally, elasmobranchs primarily constituted bycatch until the rise in international  
99 demand and prices for their products, particularly for fins in the mid-1980s, which incentivized  
100 many coastal communities to target sharks and rays (Clarke, 2004). Shark fins are now rated as  
101 one of the most expensive fish products worldwide resulting in some sharks and rays being the  
102 most valuable traded wildlife (McClenachan et al., 2016). Although there has not been a robust  
103 estimate of the number of people involved in small-scale elasmobranch fisheries worldwide, this  
104 activity has been recognized to support a large number of rural coastal community livelihoods in  
105 developing countries (Bonfil, 2000). Elasmobranch meat is traded locally in many parts in  
106 developing countries and can form a cheap source of protein for the people, for example, in  
107 Southern Brazil (Bornatowski et al., 2013).

108 Recent studies in the sub-Saharan African region have demonstrated shark fisheries as an  
109 important livelihood strategy for coastal communities (Barrowclift et al., 2017; Diop & Dossa,  
110 2011; Gelber, 2018). For example, in the Sub-Regional Plan of Action for Sharks (SRPOA-

111 Sharks) project, Diop & Dossa (2011) indicate that shark fishing provides an estimated 13,000  
112 direct jobs to fishers, processors, and fish smokers in 2008 of, which 7% was generated by  
113 artisanal fishing in the Sub-Regional Fisheries Commission Zone. In Zanzibar, East Africa,  
114 Barrowclift et al. (2017) report that elasmobranchs contributed 41-60% of the total income of  
115 fishers who caught and sold sharks. They also report that 31% of merchants obtained between  
116 61-80% of their income from selling elasmobranchs. Additionally, in Ghana, trade in shark fins  
117 is the main source of income for 80% of middlemen and 38% of canoe owners of the study  
118 population (Gelber, 2018). Although these studies provide initial data on trade in shark fins  
119 (Gelber, 2018) and meat as well as livelihood strategies of fishers (Barrowclift et al., 2017), the  
120 historical trade dynamics of fins and the local consumption pattern of shark meat have not been  
121 documented. Since most rural coastal communities depend on shark meat for their protein  
122 requirements, enquiries about consumption of shark meat is relevant for designing management  
123 strategies for the sustainable benefit of the rural communities. Further, comparatively, few  
124 studies have investigated the wellbeing and income satisfaction in artisanal fisheries, which  
125 employs over 90% of fishers globally (FAO, 2014; Purcell et al., 2016). Fishers' satisfaction may  
126 be influenced mainly by income and happiness, and none-monetary factors such as adventure  
127 and self-actualization (Pollnac & Poggie, 2006; Coulthard, 2011). Satisfaction impacts the health  
128 of fishers and the relationship between fishers and management institutions, and offers  
129 opportunity to target training and development programs for fisheries (Ruiz, 2012; Trimble &  
130 Johnson, 2013).

131 Additionally, many species of elasmobranch are considered threatened as a result of direct  
132 impact from target and bycatch fisheries worldwide (Dulvy et al., 2014; 2017) and such is the  
133 case of the shark fauna in Ghana. Most fishers in a previous study reported that shark species  
134 such as Hammerhead sharks (*Sphyrna* spp), Thresher sharks (*Alopias* spp), Lemon Shark  
135 (*Negaprion brevirostris*), and Mako sharks (*Isurus* spp) among others, have declined in recent  
136 years (Seidu et al., Submitted). With the current fishing pressure, it is likely most shark  
137 populations will continue to decline and some may even go extinct if effective management  
138 measures are not urgently instigated, as has occurred for sawfishes in the region (Dulvy et al.,  
139 2016; Fernandez-Carvalho et al., 2014). Following international concern over rapidly dwindling  
140 shark populations, several mitigation measures are advocated by biologists and conservationists.  
141 Spatial closures, such as marine protected areas (MPAs) and no take marine reserves as well as

142 fishing bans are some of the fisheries management strategies that have been implemented to slow  
143 and reverse the effect of large-scale overfishing on shark populations (Ward-Paige et al., 2012).  
144 However, implementation of these measures has the potential to adversely impact regions with  
145 significant shark fisheries and stakeholders who directly depend on shark and other marine  
146 resources for their livelihoods. Thus, exploring potential effects of fishing bans or closures on  
147 fishers' behavior, especially fallback livelihood options they prefer to rely on, is not only  
148 important to ensure the welfare of fishing communities, but also to increase the chance of  
149 success of shark protection if management authorities are to implement such measures to  
150 mitigate shark decline in Ghana.

151 Ghana is among the major artisanal fishing nations, with long history of catching sharks since  
152 1700s (Jorian, 1988). Since the late 1950s, shark landings have been increasingly erratic in  
153 Ghana, peaking in 1975 with 11,478 tons (FAO, 2017). In the last decade, the total reported  
154 shark catches fluctuated considerably. The catch peaked up to 10,000 tons in 2013 and dropped  
155 to 8,152 tons in 2015 (FAO, 2020). Since 2015, however, the catch estimate trends indicate a  
156 sharp decline in shark landings (FAO, 2020). The decline in shark catch corroborates a recent  
157 study on Local Ecological Knowledge of fishers that indicates a remarkable decline in shark  
158 catches since the 2010s, suggesting that sharks are overexploited in Ghanaian waters (Seidu et  
159 al., in review).

160 Given these considerations, this study aims to address a significant data gap on the economic  
161 impact of shark fisheries to fishers' and traders' livelihoods, and assesses the trade dynamics of  
162 shark products in major shark-fishing communities in Ghana. We specifically tackle the  
163 following questions: (i) what are the existing livelihood strategies and how is shark fishery  
164 contributing to artisanal fishers and traders' household income and sustenance? (ii) What are the  
165 fallback livelihood options available to fishers and traders in the advent of a ban on shark  
166 exploitation in Ghana? (iii) How have the sales prices of commercially important shark products  
167 (fins and meat) changed over time? and (iv) Are fishers and traders satisfied with their work and  
168 the income they obtained from shark products? The findings are essential in targeting policy  
169 interventions in livelihood enhancement, food security, and poverty reduction. In addition,  
170 understanding the livelihood strategies, fallback options, and wellbeing of primary actors in

171 shark fisheries is necessary for planning management interventions for the sustainable utilization  
172 of sharks.

173

174 **2. Methodology**

175 We first described the analytical framework for the study. Second, we described the socio-  
176 economics, geography and historical catch trends of sharks in Ghana as well as the study areas  
177 for the study. Third, we described the data collection methods and finally, we detailed our data  
178 analysis methods.

179

180 **2.1 Analytical framework**

181 The analytical framework of the study was based on the Sustainable Livelihood Approach (SLA)  
182 (Figure 1). The SLA is founded on the premise that people require a range of strengths (here  
183 called “capitals”) to achieve positive livelihood outcomes (DFID, 2000; Ellis, 2000; Scoones,  
184 1998). At the heart of the framework is the livelihood strategy, made up of natural resource-  
185 based (e.g., fishing, collection of aquatic resources, livestock farming) and non-natural resource-  
186 based (e.g., trading, artisanship, services) livelihoods in rural settings. The SLA identifies five  
187 types of capitals upon which the choice of a household to pursue a particular livelihood strategy  
188 is built, namely, natural, human, social, financial, and physical capital. Mediating institutions  
189 (e.g., policies, customs, taboos, and rules) determine access to various capitals and the choice of  
190 households to build a particular livelihood strategy. Mediating institutions further have direct  
191 influence on livelihood outcomes (e.g., whether fishers are able to achieve a feeling of inclusion  
192 and well-being) (DFID, 2000). The livelihood outcomes in turn have influence on these  
193 mediating institutions. External factors such as seasonality, critical trends, and shocks over  
194 which people have limited or no control, have influence on the wider availability of capitals and  
195 livelihoods of households (both livelihood strategy and outcome). Livelihood strategies  
196 undertaken by fishers may result in improved income levels, increased well-being, satisfaction,  
197 or sustainable use of fishery resources (livelihood outcomes). For instance, fishers may improve  
198 their income through diversifying their livelihood strategies, which will invariably reduce  
199 pressure on fishery resources resulting in sustainable use of the resources. Finally, the resulting

200 livelihood outcome of fishers' households invariably influences their capital through investment  
201 in education of household members or financial savings.

202 Information presented in this study mostly emphasizes the livelihood strategies and livelihood  
203 outcomes (income level and wellbeing) of the SLA analytical framework as highlighted in  
204 Figure 1. Other components of the framework including livelihood capitals, vulnerability, and  
205 mediating institutions have been addressed in our previous papers (Seidu et al., submitted).

206

## 207 **2.2 Study Area**

208 Ghana is a Western African nation bordered by the Burkina Faso to the north, Republic of Côte  
209 d'Ivoire (Ivory Coast) to the west, the Togolese Republic (Togo) to the east, and the Gulf of  
210 Guinea to the south. Ghana lies along the Gulf of Guinea (30 5' W and 1010' E and 40 35'N and  
211 110 N) and has an area of about 239,000 km<sup>2</sup>. Ghana's coastline is approximately 550 km long  
212 with about 90 lagoons and associated wetlands. The coastal zone covers 6.5% of land area but is  
213 inhabited by a quarter of the population (deGraft-Johnson et al., 2010) and is split into three  
214 geomorphic units. The West Coast extends from the Ghana-Côte d'Ivoire border to the Ankobra  
215 River estuary. The Central Coast from the Ankobra estuary to Tema has rocky headlands and  
216 sandbars enclosing coastal lagoons. The East Coast stretches from Tema to the Ghana-Togo  
217 border where the shoreline is sandy; this area is characterized by considerable erosion.  
218 Generally, the marine resources of Ghana encompass over 347 fish species, belonging to 82  
219 taxonomic families (deGraft-Johnson et al., 2010).

220 The study was conducted in three coastal communities in the Western Region, along the West  
221 Coast, namely, Axim, Dixcove, and Shama (Figure 2, Table 1), which are the hotspots of shark  
222 fisheries in Ghana. The communities were chosen based on three major reasons – that is, fishing  
223 is exclusive to artisanal fishers; sharks form a significant catch and characterized with local shark  
224 fin trade; and fishers were willing to cooperate with the researchers for both landing and  
225 interview data. The Axim, Dixcove, and Shama communities fall within the Nzema East  
226 Municipality, Ahanta West, and Shama Districts respectively. -Axim community, with a mean  
227 annual precipitation of 1,979 mm, exhibits the highest average rainfall pattern in Ghana (GSS,

228 2014), which favors crop farming activities. Dixcove and Shama have a mean annual rainfall of  
229 1,700 mm and 1,820 mm, respectively.

230

231 **2.3 Data collection**

232 Data collection started in February 2020 and ended in August 2020. Data were collected using a  
233 semi-structured questionnaire that was designed to gather both qualitative and quantitative  
234 information. The questions for the interview were pre-tested for clarity in Shama and Axim with  
235 ten fishers (five from each community) in February 2020, as shark meat and fin trade are  
236 prevalent in these two communities. This gave an opportunity for us to make the necessary  
237 changes to reflect the local context of the study communities. Aside from administering all  
238 interview questions to respondents, we also specifically ask them to comment on how shark  
239 fishery affects their livelihood strategies and trade dynamics, and the influence of sharks on their  
240 income.

241 A non-probability convenience sampling approach (Alexander et al., 2017) was employed to  
242 select fishers and traders for the interview. The convenience sampling approach also referred to  
243 as availability sampling is based on the availability and willingness of respondents to participate  
244 in the interview (Naderifar et al., 2017; Newing, 2010). Thus, the number of respondents  
245 interviewed in each community depended on the availability and willingness of fishers and  
246 traders to participate in the interview. This sampling scheme was chosen because most fishers  
247 and traders were aware of the global controversies surrounding sharks and the fin trade, which  
248 made it difficult for most of them to open up to researchers. Face-to-face interviews were  
249 conducted with a total of 85 respondents, comprising 58 fishers and 27 traders in the three study  
250 communities. Interviews were conducted at the landing sites for fishers and mostly at the homes  
251 of traders. The interview lasted between 45 and 60 minutes per respondent. Interviews were  
252 conducted during the morning (08:00- 10:00), afternoon (12:00-13:00) and early evenings  
253 (16:00-18:00) in the landing sites and homes of respondents. The questionnaires were  
254 administered in local languages (Asante Twi, Fante, Nzima or Ahanta) by the first author, with  
255 assistance from local volunteers in their respective communities who served as interpreters when  
256 necessary, especially with the Nzima and Ahanta languages.

257 Data were collected with the permission from chief fishers (the person in charge of fish landing  
258 stations) and their elders (people who support chief fishers in deliberation and decisions taking at  
259 a particular fishing landing station) in their various landing communities. We preceded the  
260 interview by asking participants to give an oral consent to be interviewed. We informed every  
261 respondent of the purpose of the interview, the confidentiality of information provided, and the  
262 right to omit uncomfortable questions or withdraw from the interview at any stage, prior to the  
263 interview. Some respondents did not agree to be interviewed when we approached them. Five  
264 fishers immediately asked for permissions to withdraw from the interview when they were asked  
265 about shark trade even though they initially agreed to be interviewed. The information obtained  
266 from these respondents was expunged from the final analysis. We read questions from a semi-  
267 structured, standardized, questionnaire, which were identical across interviews and communities.  
268 Questions were mostly repeated and / or altered to ensure comprehension by respondents. Photo  
269 identification sheets were also used to confirm species names with respondents, when necessary.

270 The questions were used to elicit both qualitative and quantitative data on demographics, socio-  
271 economic attributes, livelihood strategies and fallback options from respondents. For the  
272 livelihood strategies, our pilot study revealed that both fishers and traders were unwilling to  
273 disclose the average income they earned from the livelihood stream they engaged in. We  
274 therefore asked respondents to state the average amount of income they obtained from their  
275 livelihood streams using a qualitative ranking scheme from 0–100% (categorized as; 0–20, 21–  
276 40, 41–60, 61–80, 81–100). Subsistence consumption of shark products were recorded and  
277 categorized as often (i.e., once or more per week); sometimes (once per month); rarely (once or  
278 only a few times per year); and never (never at all). As a measure of fishers and traders well-  
279 being, we also captured information on their satisfaction with their work and income derived  
280 from shark fisheries. This was categorized as very satisfied, satisfied, dissatisfied, and very  
281 dissatisfied.

282 Questions were equally designed to collect data on main uses and sale prices of commercially  
283 important shark products (i.e., meat and fins), trade dynamics (including where the meat and fins  
284 are sold), and changes in the average prices of shark fins. The sales of shark fins are the sole  
285 responsibilities of the canoe owners (people who own canoe vessels) in their respective canoe  
286 business (Gelber, 2018; I. Seidu, pers. obs.). We therefore used the snowball sampling scheme to

287 track down canoe owners and asked them about the shark fins and trade dynamics in their  
288 respective communities. The snowball sampling method, also known as referral or chain  
289 sampling, is used when potential participants are difficult to find (Newing, 2010). In this  
290 sampling scheme, research participants recruit other respondents for the study (Naderifar et al.,  
291 2017). Only 15 canoe owners (five from each community) participated in the interview, and  
292 provided information on the trade dynamics of shark fins. We specifically asked them to state the  
293 average price of eight commercially important and well-known shark species in their  
294 communities over a 15-year period. To facilitate the interview, four time periods were chosen  
295 together with events in which canoe owners were most likely to remember. These time periods  
296 were: i) 2005-2010, when fishers noticed a significant decline in sharks and pelagic fish species  
297 catch; ii) 2011-2013, where there was an embargo on the trade in shark fins; iii) 2014-2015,  
298 where the embargo on fin trade was lifted; and iv) 2018-2020, when data was collected. The  
299 average price for each shark species during each time period was used in the analysis.

300 In addition, we observed and collected catch and trade data on shark species in landing sites and  
301 used the data for our analysis on the changes in shark meat trade among the various  
302 communities. Shark trade data were collected during daylight hours at the three communities  
303 from March to June 2020. We recorded the species, size in cm (especially the precaudal length,  
304 as fins were often removed from the specimen), and the sale price of each specimen in Ghana  
305 Cedis (USD 1= GH¢ 5.77). When possible, we stood close by while fishers and traders  
306 negotiated on the prices of the specimen, or alternatively asked them about the prices after we  
307 recorded their sizes. A total of 397 shark specimens were sampled and their sizes recorded  
308 (Axim,  $n = 134$ ; Dixcove  $n = 95$ ; Shama  $n = 168$ ). Sale prices were recorded for 713 specimens  
309 (Axim,  $n = 395$ ; Dixcove  $n = 111$ ; Shama  $n = 207$ ).

310

## 311 **2.4 Data analysis**

312 All interview data were translated to English and were coded and analyzed using the Statistical  
313 Package for Social Sciences (SPSS) software, version 20. The Shapiro-Wilk test was used to test  
314 for normality in the data, prior to analysis (Zar, 2010). Chi-square contingency tests were used to  
315 test for significant associations between the relative income of the various livelihood streams  
316 among the study communities. The effect of gender, occupation, educational level, ethnicity and

317 age group of respondents on relative income derived from shark fisheries and respondents' 318 satisfaction with income from shark meat and their work were also tested using Chi-square 319 contingency tests. The qualitative data were coded and analyzed using basic descriptive statistics 320 in MS Excel spreadsheet and further presented in tables and figures.

321 The selling prices of sharks were mostly based on their sizes (primarily the precaudal length, 322 after the fins had been removed), as no mechanism was put in place to weigh the specimen in the 323 various landing sites. Thus, price per unit size (GH¢/cm) was computed for a total of 713 shark 324 specimens in the three communities. Shark species that were less than 10 sale values were 325 expunged from the analysis due to the low sample size. Prices at first sale and size data were 326 tested to investigate if there were significant differences among the communities. Differences in 327 price/cm of individual shark species among the three communities were tested with Kruskal 328 Wallis tests and further compared with Bonferroni pairwise tests if there were significant 329 differences among the communities. Statistical tests were conducted using PAST version 3.12 330 (Hammer et al., 2001), with a significance level of 5%.

331

### 332 **3. Results**

#### 333 **3.1 Socioeconomic characteristics of sampled respondents**

334 The average household size was  $3.59 \pm 1.26$  people (Table 2). Many of the respondents ( $n = 35$ ) 335 were between the ages of 36-45 years. Most respondents (81%) belong to the Fante ethnic group 336 (Table 2). The ethnicity of respondents varies significantly among the study communities, with 337 all respondents from Shama belonging to the Fante ethnic group. There was a significant 338 difference in educational level of respondents among the communities, with most respondents 339 having no formal education. The range of occupations of respondents also varies significantly 340 among the study communities.

341

#### 342 **3.2. Fishing practices**

343 All the study sites have both a multi-gear and multi-canoe fishery. The gears used by fishers are 344 dependent on the size of canoe, fishing grounds (i.e., oceanic or coastal) and in many instances 345 the finances of the canoe owners. The gear types predominantly used in these communities

346 include longlines, handlines and trolling lines, purse seine nets, ring nets, drift gillnets, and  
347 bottomset gillnets. Sharks and rays are caught with two major gear types; drift gillnets  
348 complemented with longlines and bottom-set gillnets respectively. Baited hooks ranging from  
349 110 to 250 are deployed as secondary longline gears, which are set alongside the drift gillnet in  
350 the same fishing grounds. These types of fishing gears are now widely used in the Axim,  
351 Dixcove and Shama communities and are used to target sharks and other pelagic species.  
352 Wooden canoes are the only vessels used by artisanal fishers in Ghana. Artisanal elasmobranch  
353 fishers use three types of canoe; large, medium and small (Table 3). Details of the fishing  
354 operations of the various types of canoe mostly used in the fishing communities and Ghana have  
355 been provided in table 3.

356

### 357 **3.3 Reviews of Institutional frameworks on fishing and shark fishery in Ghana**

358 Ghana has ratified a number of international and regional wildlife and fisheries frameworks that  
359 are relevant for the conservation of wildlife and fisheries resources. Regulations that apply to  
360 Ghana's marine megafauna are limited to dolphins and sea turtles. Regulatory action in Ghana is  
361 complicated by the socio-economic vulnerability of coastal fishing communities. However, the  
362 country has demonstrated its commitment to sustainable fishing as party to the 1982 United  
363 Nations Convention on the Law of the Sea (UNCLOS), the International Commission for the  
364 Conservation of Atlantic Tuna (ICCAT) and the Ministerial Conference on Fisheries  
365 Cooperation among African States Bordering the Atlantic Ocean (ATLAFCO). Ghana, as a  
366 member of the World Trade Organization (WTO), is subjected to the regulations governing fish  
367 trade and a signatory to a number of multilateral environment agreements including the 1973  
368 Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES); the  
369 1979 Convention on Migratory Species of Wild Animals (CMS) and the CMS Memorandum of  
370 Understanding on the Conservation of Migratory Sharks; and the 1992 Convention on Biological  
371 Diversity (CBD). Ghana is also a member of the Fisheries Committee for the West Central Gulf  
372 of Guinea (FCWC). The FCWC is the regional body mandated to work towards a regional  
373 collaboration on management of the shared stocks and the regional integration of the national  
374 fisheries policies. Though the 1999 Food and Agricultural Organization International Plan of  
375 Action for the Conservation and Management of Sharks (IPOA-Sharks) is a voluntary and non-

376 binding legal instrument, it was adopted to ensure the long term sustainable use of sharks by  
377 embracing the precautionary approach and calls upon maritime states to develop their tailored  
378 National Plan of Action for the Conservation and Management of Sharks (NPOA-Sharks).  
379 However, Ghana is yet to develop its own NPOA-Sharks.

380 Shark fisheries are not strictly regulated in Ghana. At the national level, the management of  
381 Ghanaian fisheries fall under the ambit of the Ministry of Fisheries and Aquaculture  
382 Development (MoFAD), which in turn delegates functions, including implementation, to a semi-  
383 autonomous body; the Fisheries Commission (FC). The FC was established under the Fisheries  
384 Commission Act of 2002 (Act, 625). The FC uses the Fisheries Regulation (L.I. 1968) and the  
385 Fisheries Law (PNDCL 256, 1991) to regulate the fishery sector in Ghana.

386 The Fisheries Act, 2002 (Act 625) is the main regulation of the fisheries sector of Ghana, which  
387 application is intended through the Fisheries Regulation, 2010 (L.I. 1968). The Act consolidates  
388 all the foregoing laws on fisheries, Decrees, Laws, Legislative instruments and other subsidiary/  
389 subordinate legislation on the fisheries sector that are still in force. The Acts sets out to integrate  
390 international agreements into the country's national legislation. It sets out provisions for the  
391 regulation and management of fisheries, the development of the fishing industry, and the  
392 sustainable exploitation of fishery resources. Details of the laws and regulations relating to the  
393 management of fishery activities in Ghana are listed in Table 4.

394 Further, notwithstanding national law, fisheries activities are also under the responsibilities of  
395 traditional, customary authorities, like chief fishers and local fishing councils, who manage local  
396 fisheries and mediate conflict among fishers. The chief fishers and elders have their rules, taboos  
397 and norms, which support the regulation of fishing activities. Such taboos include prohibition of  
398 fishing on Tuesdays and the taboo on the catch and trade of whale sharks *Rhincodon typus* in  
399 some communities in Western Ghana.

400

#### 401 **3.4 Livelihood strategies**

402 Fishing was the primary source of income and accounted for 58.5% of the total income of  
403 respondents (Table 5). Fish processing (16.0%) was the second most important livelihood  
404 strategy, followed by fish retailing (13.3%) and small businesses (2.5%). There were no

405 significant differences in the mean income of all the livelihood strategies among the study  
406 communities, except fish processing ( $X^2 = 34.44$ ,  $df = 18$ ,  $p = 0.011$ ), which was higher in  
407 Dixcove compared to other communities (Table 5). In Axim and Dixcove, fish processing was  
408 the second most important livelihood activity after fishing. In contrast, fish retailing was the  
409 second most important source of income in Shama.

410 Across all communities, 67% of respondents only had one livelihood activity while 26% had two  
411 livelihood streams. Only 6% of respondents had more than two livelihood strategies even though  
412 they get less income from them compared to fishing-related activities.

413

### 414 **3.5 Income from shark fisheries**

415 Many fishers and traders generated between 80-100% of their income from shark fisheries. Most  
416 of the respondents in Axim (65% of 23 respondents) and Dixcove (68% of 22 respondents)  
417 received between 80-100% of their income from sharks. However, at Shama, only 35% of 40  
418 respondents generated between 80-100% of their income from sharks. The remaining fishers and  
419 traders had income from sharks at different income ranges (Figure 3). Gender, occupation,  
420 educational level and ethnicity of respondents did not have any statistically significant influence  
421 on the range of income generated from shark fisheries. However, age of respondents had a  
422 significant effect on income produced from shark fisheries ( $X^2 = 41.36$ ,  $df = 20$ ,  $p = 0.003$ ), with  
423 36-45-year-old respondents deriving more income from sharks than any other age group.

424

### 425 **3.6 Subsistence consumption of shark meat**

426 Consumption of shark meat was common in the three study communities (Figure 4). More than  
427 80% of fishers and traders in each community ate sharks “often” or “sometimes”. Sixty-five  
428 percent of respondents at Shama stated that they often eat shark. Bull sharks (*Carcharhinus*  
429 *leucas*) and Hammerhead sharks (*Sphyrna* spp) were favored for consumption but other available  
430 species were also often eaten, including Blue Shark (*Prionace glauca*), Mako sharks (*Isurus*  
431 spp), and other requiem sharks (*Carcharhinus* spp). Many respondents (57%) in Axim ate shark  
432 “sometimes” and mostly preferred Sand Tiger Shark (*Carcharias taurus*) and Hammerhead  
433 sharks (*Sphyrna* spp). These fishers normally eat shark meat on Sundays and/or Tuesdays during

434 fishing holidays and often ate Blue Shark and other requiem sharks, as they are easily available  
435 in their respective communities. At Dixcove and Shama, less than 10% of fishers and traders  
436 reported rarely eating sharks. Two of these fishers reported that they used to eat sharks often but  
437 now they no longer eat shark meat due to medical reasons, as their physicians have barred them  
438 from eating more meat. Only one trader had never eaten sharks before and attributed it to the  
439 nauseating way in which they are processed (i.e., the salting and/or smoking process).

440

### 441 **3.7 Fallback livelihood options of fishers and traders**

442 Fallback livelihood options are activities that fishers and traders would pursue to generate most  
443 of their income if there should be a ban on shark fishing and trading in Ghana. Non-fishing  
444 related livelihood streams were the major fallback activities both fishers and traders preferred to  
445 rely on if they could no longer engage in shark fisheries. Small businesses were the most  
446 common fallback livelihood option reported among the study communities (Figure 5). Some  
447 respondents, especially traders, mentioned some small businesses they would like to engage in as  
448 purchasing and selling of food items and other assortments in kiosks. Some fishers would like to  
449 acquire capital to purchase and sell clothing, men's underwear, shoes, and slippers in the capital  
450 city and other nearby markets. Transport business was the next important alternative livelihood  
451 option for some respondents, followed by artisanship like carpentry, barbering, and others. Some  
452 fishers were interested in driving taxis if they could get the skills in driving and money to  
453 purchase their own cars. Other respondents noted that they will be willing to work in the state  
454 transport cooperation (transport business own by the state) or other transport corporations in the  
455 country as cleaners, drivers, and conductors. Some respondents expressed their interest in  
456 exploiting and trading in other commercially important species like sardinellas, tunas, turtles,  
457 rays, anchovies, and cetaceans. They stated that they will modify their gears to target these  
458 species if there should be a moratorium on the harvest of sharks. Fewer than 20% of respondents  
459 from Dixcove and Shama stated that they will rely on government's support, aquaculture and  
460 poultry farming (Figure 5).

461 When asked about the tools they require to implement these livelihood options, especially the  
462 non-fishing related activities, most respondents (68%,  $n = 58$ ) stated funding as the major  
463 requirement they need before initiating these livelihood streams. Few respondents (35%,  $n = 30$ )

464 also reported that they need to acquire the skills and technical know-how before they can kick-  
465 start their preferred livelihood options. However, five fishers who recounted having enough  
466 capital to start farming were increasingly concerned about the general unsuitable environment  
467 characterizing the sale of farm products and were afraid to lose their capital when they invest in  
468 farming.

469

### 470 **3.8 Dynamics of trade in shark fins**

471 A total of 15 respondents, who were mainly canoe owners, participated in the interview on shark  
472 fin trade as canoe owners are the ones solely responsible for the sale of fins in their respective  
473 canoe businesses. Fishers' perceptions on price dynamics over a 15-year period from 2005 to  
474 2020 revealed that prices of all shark species had sharply reduced from 2011 to 2013 and  
475 increased 2-3-fold from 2014 to 2015 and continued to increase to 2018 (Table 6 and Figure 6).  
476 After 2018, however, prices remained virtually stable until 2020, as indicated by all canoe  
477 owners. The price of fins is mostly determined by buyers and marginally increases with time.  
478 Bull Shark, Hammerhead sharks, and Milk Shark (*Rhizoprionodon acutus*) consistently had the  
479 most valuable fins. Conversely, Blue Shark, Tiger Shark, and Thresher Shark are consistently the  
480 least valuable sharks in the local fin market. We initially anticipated some variation among  
481 respondents and communities but this was not the case as fishers indicated that prices of shark  
482 fins differ marginally among fishers and communities. Fishers reported that the local shark fin  
483 market is characterized by small number of foreign merchants who operate across all three study  
484 communities and therefore offer virtually similar prices.

485 When asked about the details of buyers and factors that determine the price of shark fins, 67% of  
486 fishers recounted that they sold their fins to foreign merchants from Benin, Guinea, Mali and  
487 Senegal. Two canoe owners stated that they sell their fins to a Nigerian and has done so for the  
488 past six to eight years. Only one fisher from Axim claimed to sell his fins to a Chinese merchant  
489 since 2019. He indicated that the Chinese merchant used to conduct his business at Apam in the  
490 Central Region of Ghana but has recently relocated to Axim as the fin trade is booming there.  
491 Most foreign buyers do not stay within these shark fisheries communities, but rather they mostly  
492 stay on the outskirts of the various communities where canoe owners send their fins to them for  
493 sale. In certain circumstances, these foreign merchants go to the communities to buy the fins,

494 especially when a canoe owner gets large quantities of fins and is unable to transport to the  
495 buyer's station.

496 Many fishers also stated that in rare situations, Ghanaian middlemen travel from one fishing  
497 community to another to buy fins from canoe owners. The prices they offer are relatively low  
498 compared to that of the foreign nationals. Fishers mentioned that they prefer to sell their fins to  
499 foreign nationals as they have built long standing relationships with them and they offer them  
500 good prices. Most fishers (87%,  $n = 13$ ) reported that they mostly sell their fins from one to ten  
501 different buyers and have been doing so for over five to fifteen years now.

502 With the question of what the buyers do with the fins, 60% of fishers reported that they do not  
503 know who the buyers sell the fins to and/or what they do with it, and do not care to know  
504 because that is not their business. They stated that they were only interested in the money they  
505 receive from their sale and whatever the buyers do with their fins should not be their concern.  
506 Only three respondents stated that the buyers export the fins to China and other European  
507 countries and further said they are used for medications and as food in these countries.

508 Fishers reported that even though the prices are fixed some qualities are considered before the  
509 merchant buys the fins at that fixed price. For example, if the fins have not been properly sun-  
510 dried, the buyer will reduce the price on the fins. The dry weight and species type also affect the  
511 prices of fins. Fishers further recounted that seasons and level of demand for shark fins,  
512 especially in periods where there is scarcity of fins, affect the local market prices. Fishers were  
513 quick to add that scarcity of fins occur when there is shortage of premix fuel, which halts their  
514 fishing operations and in these periods if you get enough fins, you are likely to negotiate with the  
515 buyers for an increase in price. Further, ability of a buyer to finance fishing trips is also another  
516 factor reported to affect fins prices. Most foreign merchants sponsor the activities of shark  
517 fisheries by providing quick loans to canoe owners. The canoe owners pay back the loans after  
518 they get substantial shark catches, with the buyers paying a reduced price for the fins, as a form  
519 of deducting interest on the loans.

520 When queried about their satisfaction with the income they derived from the sale of fins, nine  
521 fishers stated that they are very satisfied or satisfied with the price and income they get from the  
522 sale of shark fins. These fishers recounted that the sale of shark fins gives them additional money

523 to support their operations and stated that sharks are now increasingly becoming more lucrative  
524 than bony fish, as they get double income from the sale of fins and meat from sharks. Five  
525 fishers stated that they are dissatisfied with the price the buyers offer to them. Only one fisher  
526 reported that he is very disgruntled with the prices of fins as he feels the buyers are cheating  
527 them. He stated that he does not understand why for the past three years fin prices have not been  
528 increased, notwithstanding the increases in the price of fuel and the Ghana Cedis to the dollar  
529 exchange rate. He further stated that a merchant from Guinea once told him the prices of fins  
530 increases with the dollar exchange rate and sometimes with the fuel increment when he began  
531 selling fins, but later found that out not to be true.

532

### 533 **3.10 Trade in shark meat**

#### 534 **3.10.1 Trade data from landing sites survey**

535 Fins of medium- to large-sized sharks were removed, with the remainder auctioned in parts or  
536 whole, while smaller sharks were sold whole without the fins removed. After buying the  
537 specimen, traders would slice the meat into smaller pieces and then transport them to their  
538 various destinations. The prices at first sale of 713 specimens comprising nine shark species  
539 landed at the three study communities are documented in Table 7. Hammerhead sharks (*Sphyrna*  
540 spp) provided fishers with the highest mean price/length (cm), ranging from GH¢ 572/cm in  
541 Shama, to GH¢ 227.5/cm in Axim and GH¢ 216.7/cm in Dixcove. In Axim, Thresher sharks  
542 (*Alopias* spp) were the second highest valued species, averaging GH¢ 192.5/cm, followed by  
543 Mako sharks (*Isurus* spp) (GH¢ 175.7/cm) and Silky Shark (*Carcharhinus falciformis*) (GH¢  
544 176.4/cm). Conversely, Tiger Shark (*Galeocerdo cuvier*) (GH¢ 219.2/cm) was the second most  
545 valuable shark in Dixcove, followed by Bull Shark (*Carcharhinus leucas*) (GH¢ 147.5/cm), and  
546 Spinner Shark (*Carcharhinus brevipinna*) (GH¢ 105.0/cm). In Shama, Mako sharks (GH¢  
547 293.9/cm) exhibited the second highest mean price/cm, followed by Thresher sharks (GH¢  
548 200.0/cm), and Sand Tiger Shark (*Carcharias taurus*) (GH¢ 182.5/cm) (Table 7).

549 The prices of shark meat were further analyzed to investigate if there were any statistically  
550 significant differences in the price at first sale among the various study communities. There was  
551 a statistically significant difference in the mean price/cm of Blue Shark (*Prionace glauca*) ( $K=$   
552 44.31,  $p= 2.070 \text{ E-14}$ ), Silky Shark ( $K = 7.12$ ,  $p= 0.027$ ), Spinner Shark ( $K = 6.13$ ,  $p = 0.046$ )

553 and Sand Tiger Shark ( $K = 9.64$ ,  $p = 0.008$ ) among the various communities (Table 6). Dixcove  
554 community differed significantly in the mean price/cm of Blue Shark in the Bonferroni pairwise  
555 comparison with Axim ( $p < 0.001$ ) and Shama ( $p = 2.011 \times 10^{-12}$ ). Similarly, the mean price/cm of  
556 Silky Shark differed significantly in the pairwise comparison between Axim and Dixcove ( $p =$   
557 0.020); the price of Spinner Shark varied significantly between Shama and Axim ( $p = 0.026$ );  
558 while Sand Tiger Shark varied between Axim and Dixcove ( $p = 0.012$ ) as well as Shama ( $p =$   
559 0.019).

560 **3.10.2 Determinants of prices of shark meat**

561 Generally, fishers reported that the price of shark meat is mostly dependent on the type of  
562 species and their sizes. Fishers stated that with equal sizes, Hammerhead sharks, Bull Shark and  
563 Mako sharks are the most valuable sharks, while Thresher sharks, Tiger Shark and Blue Shark  
564 are the least valuable in the local shark meat market. The level of demand and season were also  
565 other factors that affect the prices of shark meat. During traditional festive periods such as  
566 Kundum festival in Axim or Dixcove and Pra Nye-Eyi festival in Shama, fishers are mostly  
567 barred from going to the sea from one to two weeks and this affects the supply of shark meat in  
568 the local markets. Further, continuous shortages of premix fuel always halt the operations of  
569 fishers and thus, during these periods prices of shark meat are generally high for fishers who land  
570 sharks.

571 When asked about the changes in price of shark meat, most fishers and traders (62% of 85  
572 respondents) indicated that they adjust prices of shark meat every time. Fishers confirmed that  
573 prices can either increase or decrease depending on their catch and the quantity a merchant buys  
574 from them. Only 29% of respondents stated that they change the prices of their meat every year  
575 or in season. Fishers also noted that the relationship with their buyers can affect the variations in  
576 price of their products. For instance, a trader noted that the prices of shark meat sold to local  
577 community members are less expensive than the prices they sell in other towns and regions in the  
578 country.

579 Most fishers sell their meat to two to five different merchants, with the highest bidder getting the  
580 product at the various landing sites. Only 31% of fishers sold their meat to a single merchant and  
581 in most cases such merchants happen to be their wives. In most cases, the wives provide a loan to  
582 support their husbands' fishing operations, which is repaid in kind by selling the meat directly to

583 them. The merchant processed the meat in the form of smoking and salting and/or sun drying,  
584 which are sold in local markets as dried meat called “Kako”. Most traders stated that they sell  
585 their meat to local consumers in their various communities and outside their communities,  
586 especially in Takoradi, the regional capital, or Kumasi in the Ashanti Region, Tema and Accra in  
587 the Greater Accra Region, and Sefwi in the Western North Region of Ghana. Only four  
588 merchants recounted selling frozen shark meat to foreign nationals, mostly Chinese and  
589 Togolese, and have been doing that for more than two years. However, the traders were not  
590 aware whether these foreign nationals export the meat to other countries.

591

### 592 **3.11 Satisfaction level of fishing and income from shark meat**

593 As a measure of fishers and traders wellbeing in the shark fisheries, they were asked to indicate  
594 their satisfaction in the income they derived from shark meat and their work as a whole. Over  
595 60% of fishers indicated that they are dissatisfied or very dissatisfied with the income they get  
596 from selling their meat (Figure 7a), while over 90% of traders were satisfied or very satisfied  
597 with their income derived from shark fisheries (Figure 7b). Dissatisfied fishers gave emotive  
598 responses to show how disgruntled they are with the prices traders offer to them. Many stated  
599 that catching sharks has become increasingly difficult and their work is now demanding more  
600 finances, energy and time and these are usually not taken into account when traders are buying  
601 their meat. In addition, 75% and 95% of fishers and traders respectively are satisfied or very  
602 satisfied with their work in fishing and trading shark meat. Most respondents reported that  
603 fishing-related livelihood activities are the only job they have learned and also their last  
604 livelihood resort to fall on for now, and that they are left with no option but to be okay with it. A  
605 trader stated that she learned how to process and trade shark and other bony fish since her  
606 childhood and that was the only training her parents gave her; but she is satisfied with her work  
607 because it at least offers her an income to pay her children’s school fees and house rent.

608 There was no difference in satisfaction with income derived from shark meat by educational  
609 level ( $X^2 = 14.84$ ,  $df = 9$ ,  $p = 0.095$ ), ethnicity ( $X^2 = 10.55$ ,  $df = 6$ ,  $p = 0.103$ ) and age group ( $X^2 =$   
610  $8.14$ ,  $df = 15$ ,  $p = 0.920$ ). However, there was a significant effect of occupation on income  
611 satisfaction from shark meat ( $X^2 = 4.69$ ,  $df = 3$ ,  $p = 0.016$ ), with traders exhibiting more  
612 satisfaction than fishers. Further, there were no significant differences in satisfaction with the

613 work of fishing and trading fish between gender ( $X^2 = 1.88$ ,  $df = 3$ ,  $p = 0.059$ ), or among  
614 occupation ( $X^2 = 2.19$ ,  $df = 3$ ,  $p = 0.053$ ), educational level ( $X^2 = 9.21$ ,  $df = 9$ ,  $p = 0.418$ ),  
615 ethnicity ( $X^2 = 5.67$ ,  $df = 6$ ,  $p = 0.461$ ), and age group of respondents ( $X^2 = 15.35$ ,  $df = 15$ ,  $p =$   
616 0.427).

617

618 **4. Discussion**

619 Our study is the first to characterize the livelihood strategies of shark fishers and traders and  
620 highlights the price dynamics of shark products in Ghana, and is one of the few from West  
621 Africa. Artisanal fishers in the study communities are considered to have limited livelihood  
622 opportunities. Most shark fishers and traders depend solely on fisheries-related livelihood  
623 strategies, while few had other alternative livelihood activities even though they generate less  
624 income from them. This finding corroborates the study by Barrowclift et al. (2017) regarding  
625 shark fishers and merchants in Zanzibar, where fishing was reported to be the primary  
626 occupation and main income source, with few fishers relying on secondary livelihood streams. In  
627 Ghana, Aseidu and Nunoo (2013) report that between 80% to 98% of fishers in Small London,  
628 Kpong, Ahwiam, and Elmina depend on fishing as their primary occupation and main source of  
629 income, while few (4-20%) had other minimal alternative livelihood options, including crop  
630 farming, livestock rearing, teaching, and trading in non-farm items. Sulu et al. (2015) analyzed a  
631 range of livelihood strategies adopted in the Malaita Province, Solomon Islands, and found that  
632 all respondents were engaged in multiple livelihoods activities, with fishing and gardening  
633 reported to be the most important livelihood streams. Generally, most available alternative  
634 income sources in the communities may entail unattractive returns on labor; a phenomenon that  
635 forces fishers and traders to expend almost all their time and energy on fishing-related strategies,  
636 which are deemed as lucrative in the study communities. Fishers and traders from Axim enjoy  
637 greater access to crop farming than those in Dixcove and Shama, owing to differences in soil and  
638 rainfall patterns (see Table 1); a reason why crop farming was among the secondary livelihood  
639 options in Axim community. Soil in Axim is fertile and combined with the high rainfall pattern  
640 favors farming activities in this area, which is among the mainstay of the people of Axim (GSS,  
641 2014). Small-scale businesses and artisanship like barbering, masonry, hair dressing, carpentry,  
642 and others were secondary income sources for some respondents in Axim and Shama, likely

643 related to having high levels of industrial development, which provide an enabling environment  
644 for such livelihood opportunities. Axim and Shama are capital towns in their respective districts,  
645 which are characterized with high population densities and significant levels of infrastructure  
646 development, with both private and government work opportunities (GSS, 2014). Prior to the  
647 survey, it was therefore expected that the relative infrastructure development in these  
648 communities will instigate many fishers and traders to rely partially on salary work for their  
649 alternative income source. However, only one fisher from Shama was a government worker and  
650 was receiving a monthly salary. This is as a result of high illiteracy rates of many fishers and  
651 traders, which disqualify them from applying for opportunities in government or private work  
652 that entails formal education. Even though many respondents did not have formal education,  
653 salary work was significant secondary income sources for fishers in other parts of Ghana (Asiedu  
654 & Nunoo, 2013), which contrast the findings of the present study. Further, in New Caledonia,  
655 salaried income work was an important secondary income source for fishers, and this was as a  
656 result of a high level of industrial development and a large mining sector in the country (Purcell  
657 et al., 2016).

658 Fishers and traders would mostly turn to non-fishing related livelihood activities, which include  
659 small business, transportation and artisanship as fallback livelihood options should there be a  
660 moratorium on shark fisheries. Restriction of fishers from shark fisheries may reduce fishing  
661 pressure, in the light of declining shark stocks (Ward-Paige et al., 2012). However, this study  
662 revealed that a significant number of fishers would simply switch to target other marine fish  
663 resources. The other marine resources stated by fishers such as turtles, rays, anchovies, and  
664 cetaceans are already threatened with extinction globally and require measures to safeguard  
665 them. This indicates that fisher's co-depend on various marine resources in small-scale fisheries  
666 because of the ease of shifting to other species, especially in light of marginal economic returns  
667 or restrictions on fishing certain stock (Purcell et al., 2016). Thus, a holistic approach needs to be  
668 adopted to simultaneously manage artisanal fisheries to encompass all economically important  
669 stocks, which may experience reduced fishing pressure, even when other stocks are the main  
670 target.

671 Similar to expectations that subsistence consumption of shark meat is prevalent in developing  
672 countries (Bornatowski et al., 2013), we found consumption common among fishers and traders

673 in the study communities. Generally, the regular consumption of shark meat among fishers and  
674 traders suggest that shark meat represents substantial source of protein in the diets of the study  
675 communities and therefore over-exploitation of the shark stock may directly impact food  
676 security. In efforts to address food security, shark meat was promoted in the late 1950s as  
677 alternatives to augment the contemporaneous decline of bony fish, which has led to its wide  
678 utilization (Lehr, 2015). Currently, shark meat is widely traded and utilized as a cheap source of  
679 protein in many poorer communities in developing countries including Ghana (Bornatowski et  
680 al., 2013). Similar to the consumption pattern of shark meat in Ghana, Glaus et al., (2019)  
681 reported that Fiji's small-scale coastal shark fisheries are driven to mainly meet dietary needs.  
682 They reported that 79.3% of fishers that retain sharks utilized them as food source and/ or for  
683 cultural purposes and 19.8% sold shark products. Even in developed countries like Oman sharks  
684 are widely consumed and have formed the basis of many traditional food dishes (Henderson et  
685 al., 2006). Further, in the United Arab Emirates, fishers confirmed that the consumption of  
686 sharks has been integrated in their culture and has traditionally been consumed (Jabado et al.,  
687 2015).

688 Many fishers and traders generated between 80-100% of their income from shark fisheries, with  
689 most of these fishers from Axim and Dixcove. Shark fisheries are increasingly representing a key  
690 source of employment and providing major income for fishers in these communities. The high  
691 monetary incentive is the major driver of proliferation in shark exploitation in Ghana, as fishers  
692 are getting double their usual income in the form of sale of shark fins and meat. In agreement  
693 with these findings, Barrowclift et al. (2017) reported that most of fishers in Zanzibar that caught  
694 and sold elasmobranchs generated between 41-60% of their income from sharks, and 31% of  
695 merchants also got 61-80% of their income from selling elasmobranchs. In Ghana, Gelber (2018)  
696 found that the shark fin trade is the main income source for 80% of middlemen and 38% of  
697 canoe owners of the study population.

698 The fin prices of commercial shark species were found to be high between 2005 and 2010; the  
699 period where fishers reported that they experienced a dramatic decline in the catch of sharks and  
700 other large pelagic species. The decline in shark catch may have resulted in the high prices of  
701 fins, as demand might have been high in this period. Fishers started noticing a significant drop in  
702 fin prices in 2011, and the prices continuously remained lower until the end of 2013. Similar to

703 our findings, fishers in Eastern Indonesia perceived changes in prices of shark fins over a 20 year  
704 period from 1992/93 to 2012/2013 and indicated that the prices steadily increased in 2002/2003,  
705 and decreased for all species in 2012/2013 (Jaiteh et al., 2017). Fishers in Eastern Indonesia gave  
706 diverse reasons for the fall in shark fin prices, including awareness campaigns targeting  
707 consumers in China, increasing demand for live reef fish at Chinese banquets, and international  
708 campaigns concerning the consumption of shark fin (Jaiteh et al., 2017), which contrast the  
709 reasons being given by shark fishers in Western Ghana. According to fishers in Ghana, from  
710 2011 to 2013 there was an embargo on the trade in shark fins in Ghana, and merchants from  
711 neighboring West African countries migrated to their home countries. Several Ghanaian  
712 middlemen in these communities started buying and hoarding fins at very cheap prices during  
713 these periods. The moratorium on the trade on shark fins was thought to have occurred due to a  
714 number of reasons, including an investigation into the increasing cases of narcotics there were  
715 smuggled in fin cargo, of which the government of Ghana was concerned about (Gelber, 2018).  
716 Some canoe owners also related the ban on trade of shark fins to health issues, stating that there  
717 was spread of diseases owing to consumption of shark fins and this resulted in the ban on its  
718 exportation to China and other European countries. In 2014/2015, the fin trade ban was lifted and  
719 buyers started trading in shark fins and the prices increased exponentially as more buyers from  
720 neighboring West African countries moved into these communities to buy shark fins. In these  
721 periods, the demand for shark meat and fins was high but most fishing operations halted owing  
722 to long, incessant shortages of premix fuel. Only a few fishers were able to embark on long  
723 fishing trips to oceanic habitats and spent over six days at sea to catch sharks and these fishers  
724 got high prices for their fins. The prices of fins have since been increasing marginally from 2015  
725 till 2017, and since 2018 the prices have virtually remained stable. In contrast to the current  
726 study, Glaus et al. (2019) documented a reduction in shark fin trade in 2017 in Fiji and linked the  
727 changes to the closure of the local sea cucumber market, which hampered the frequent visit of  
728 middlemen who used to encouraged shark targeting in the various fishing villages. Furthermore,  
729 annual shark fin income was estimated to have fallen by 75% following the sea cucumber fishery  
730 closure in that same year in Papua New Guinea (Vieira et al., 2017).

731 The prices of shark fins in Western Ghana were reported to vary among species. For example,  
732 the fins of Hammerhead sharks and Bull Shark were reported to be of high quality and therefore  
733 priced higher, while Tiger Shark and Thresher sharks were the least valuable species in the study

734 communities. Similar to our findings, the lower caudal fins of Hammerhead sharks and Blue  
735 Shark and the fins of Shortfin Mako (*Isurus oxyrinchus*) have been cited to possess the best  
736 quality fin needles for human consumption by traders and regarded as among the most valuable  
737 fins in the international market (Clarke et al., 2007). Several factors influence the commercial  
738 value of shark fins globally, which include fin needles, type of fin (dorsal or caudal), the general  
739 appearance (thickness, color, length, and needle texture) and the species type (Clarke et al.,  
740 2007; Vannuccini, 1999) of which the latter is mostly known and used by fishers and merchants  
741 during fin trading in the study communities. Other factors reported by fishers as having an  
742 influence on the price of shark fins were dry weight, demand, and season. Though these factors  
743 were not statistically analyzed and inference on the prices was beyond the scope of this study,  
744 the data reported by fishers demonstrates the importance of fishers' knowledge in understanding  
745 the complex drivers influencing their fishing business and operations.

746 Further, the variation in prices of meat of Blue Shark, Silky Shark, Spinner Shark, and Sand  
747 Tiger Shark among the study communities may be attributed to the size differences of the  
748 specimen landed and sold. Sizes of shark species is an important factor that affects the prices of  
749 specimen, as larger specimens are given priority and priced higher. The mean size of Spinner  
750 Shark and Blue Shark was smaller in Dixcove while the mean size of Silky Shark and Tiger  
751 Shark were larger in Axim and Shama respectively, hence the variation in price among the  
752 communities (see Table 4). Additionally, the 'quality' of the meat for consumption was reported  
753 to vary among species and therefore to influence the price of sharks as well. For example,  
754 species such as Mako sharks, Thresher sharks, and Hammerhead sharks are considered high  
755 quality and priced higher by fishers and traders, which concurs with the international shark meat  
756 market (Hanfee, 2001; Lehr, 2015; Rose, 1996). Similarly, fishers in the United Arab Emirates  
757 reported several species of sharks they considered most valuable, which included Hammerhead  
758 Sharks (Jabado et al., 2015). In contrast to the sale prices in Ghana, Bull shark were reported to  
759 fetch the highest price, resulting from their larger sizes in Zanzibar (Barrowclift et al., 2017).  
760 Further, Vannuccini (1999) reports that the spiny dogfish *Squalus acanthias*, sold in Italy for  
761 US\$8.13– 9.91 per kg, was the most expensive shark species. Other interacting factors such as  
762 level of demand and season are also noted by fishers and traders to cause variation in shark  
763 prices in the study communities and this concurs with the study of Barrowclift et al. (2017).

764 Fishing marine resources contribute significantly to the degradation of the world's marine  
765 ecosystems and fishing pressure could possibly be reduced if primary actors, especially fishers in  
766 the industry, are induced to move out (Bavinck et al., 2012). Whether fishers may be inclined to  
767 do so or not, mainly depends partially on their wellbeing or satisfaction on their job and the  
768 profitability of their fallback options. Comparative studies have thrown light on the level of  
769 fishers' satisfaction on their professions. For example, Ruiz (2012) found that fishing is  
770 satisfying as an occupation, yet fishers can be dissatisfied about their earnings. Similar to our  
771 findings, most fishers in the present study were dissatisfied with the income earned from shark  
772 fisheries. This was because they expected a standard price for shark specimens but are mostly  
773 offered lower prices by traders. Fishers mostly compare their cost, time, and energy they expend  
774 on catching sharks and expect the prices to be higher than what they are offered by traders. The  
775 satisfaction of these primary actors in the shark fisheries industry is linked to the inadequate  
776 livelihood opportunities for fishers and traders in the study communities in, which they are  
777 invariably forced to stay and accept their occupation. Fishers have a high investment of their  
778 time in the fishery and often have few other viable livelihood options (Purcell et al., 2016). Most  
779 fishers may wish to switch job but the opportunities available are narrowed and most of them are  
780 not favorable to them owing to their training and level of education. The wellbeing of fishers,  
781 including their level of satisfaction, is advocated as an important consideration for development  
782 policy (Hair et al., 2016; Koczberski et al., 2006) and further offers more holistic means of  
783 assessing the social impacts of change in fisheries (Coulthard, 2012). Additionally, an insight of  
784 job satisfaction among the fishers and traders will support in developing management strategies  
785 that can offer required alternative work for these actors displaced by interventions for reductions  
786 in effort (Bavinck et al., 2012).

787

## 788 **5. Conclusions and recommendations**

789 Our study revealed that fishers and traders in shark fisheries in Western Ghana have marginal  
790 livelihood opportunities, with most respondents depending solely on fishing-related activities as  
791 their primary source of income. Secondly, shark fisheries contribute a significant income to these  
792 fishing communities, and shark meat is regularly used in the diet of both fishers and traders.  
793 Thirdly, non-marine fishing-related occupations, which include small business, transportation

794 and artisanship, were the major fallback livelihood options both fishers and traders preferred to  
795 rely on if they are restricted from shark fisheries, but they require funding and adequate skills for  
796 their implementation. Fourthly, prices of shark fins reduced significantly between 2011 and  
797 2013, but sharply increased in 2014/2015. The price of fins steadily increased until 2018, and has  
798 remained virtually stable till 2020. Hammerhead sharks *Sphyrna* spp have the most valuable fins  
799 and meat in the study communities. Fifth, over half of fishers were disgruntled with the income  
800 they get from selling shark meat, while most traders were satisfied with their income from  
801 sharks. Finally, most fishers and traders are limited with livelihood options and see fishing and  
802 trading of shark meat as their last safety-net and thus, are inclined to be satisfied with their jobs.

803 Inadequate alternative economic activities for fishers and traders of sharks may impede any  
804 management interventions to mitigate the impacts of their activities on shark populations. Thus,  
805 any management strategy would do well to consider various fallback livelihood streams outlined  
806 by fishers and traders. Failing to provide such incentives could result in opposition from fishers  
807 against any management intervention. However, the benefits of long-term higher sustainable  
808 yields would be worth the transition challenges.

809

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1032 **Tables**

1033 Table 1. Characteristics of the study communities

Community	District Assembly	Mainstay	Population	Number of canoes	Dominant ethnic group
Axim	Nzema East Municipal	Fishing and farming	27,719	220	Nzema and Fante
Dixcove	Ahanta West	Fishing, farming, and petty trading	30,000	201	Ahanta
Shama	Shama District	Fishing, petty trading	23,699	265	Fante

1034 Source population data: Ghana Statistical Service (2014). Source of mainstay data: CRC (2010)

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1051 Table 2. Socioeconomic characteristics of respondents

Socioeconomic characteristics	Axim (n = 23)	Dixcove (n = 22)	Shama (n = 40)	Total (n = 85)	p-value
Household size (mean)	3.78 (1.09)	3.73 (1.12)	3.40 (1.41)	3.59 (1.26)	0.478
No. of dependent household members (mean)	3.04 (1.30)	2.73 (1.16)	2.70 (1.32)	2.80 (1.27)	0.963
Age of respondents					0.377
17 – 24	1	0	1	2 (2%)	
25 – 35	3	6	7	16 (19%)	
36 – 45	12	11	12	35 (41%)	
46 – 55	6	2	10	18 (21%)	
56 – 65	1	2	6	9 (11%)	
66 – 75	0	1	4	5 (6%)	
Occupation					0.020
Fishery	19 (22%)	10 (12%)	29 (34%)	58 (68%)	
Trading	4 (5%)	12 (14%)	11 (13%)	27 (32%)	
Education level					0.033
Illiterate	9	19	22	50 (59%)	
Junior school	10	1	13	24 (28%)	
Senior High	4	1	4	9 (11%)	
Tertiary	0	1	1	2 (2%)	
Ethnic groups					0.000
Ahanta	0	6 (7%)	0	6 (7%)	
Fante	15 (18%)	14 (16%)	40 (47%)	69 (81%)	
Nzima	8 (9%)	2 (2%)	0	10 (12%)	

1052 1. Percentage sign (%) represents percentage of total respondents for each socio-economic variable; 2.  
 1053 Standard deviation in parenthesis without percentage sign (%); 3. Chi-square test for all statistical tests; 4.  
 1054 p-values represent significant differences in the socio-economic variables of respondents among the  
 1055 various study communities.

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1061 Tale 3. Fishing operations and gears types used by the various type of canoes in the study  
1062 communities and Ghana

Fishing operations		Canoe types	
Canoes used	Large	Medium	Small
Size of the canoe	16-25 m long and 2-4 m wide	9-15 m long and 1-2 m wide	4-8 m long and 1-2 m wide
Outboard motor engine capacity	40 HP	15 HP, 25 HP, 30 HP or 40 HP	8 HP, 25 HP or 40 HP
Gears mostly used	Drift gillnets	Drift gillnet, bottomset gillnets, and ring nets gears	bottomset gillnets
# of crews	4-8	4-8	3-6
# of fishing trips in a month	4 times	5-6 times	6-7 times
Distance covered (km)	129- 290	32-80	9- 16
Trip duration	6 days	2-4 days	1- 2 days
# of nets used	20- 32 nets	15- 20 nets	15- 25 nets

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1074 Table 4. Laws and regulations governing Ghanaian fisheries

Laws and regulations	Brief description
Fishery Act 2002 (Act 625)	<p>Prohibits the use of local, industrial or semi-industrial fishing vessel and the use of canoes without license and stipulates the process, application and qualification of acquiring a license. Regulates artisanal fishery.</p> <p>Ban large semi-industrial vessels or industrial fishing vessels from fishing inside the Inshore Exclusive Zone (IEZ). Declaration of closed seasons, including their duration for fishing in specified areas of the coastal waters. Specified the types and sizes of devices and nets that are prohibited for fishing activities. Ban the use of any fishing method that aggregate fish either by light attraction, use of bamboo for purposes of aggregating fish, or use of explosives, or any obnoxious chemicals for fishing, or operating pair trawling. Ban the use of un-prescribed mesh net sizes for fishing.</p>
Fishery Regulation 2010	Regulates fishing vessels, gears and equipment and the issuing of fishing licenses. Prohibits fishing methods such as light attraction, portable generators, switchboards, and paired trawling. Bans all multifilament set-nets and monofilament set-nets of mesh size of less than 50 mm and 75 mm, respectively, in stretched diagonal length in the marine waters. Stipulates minimum landing size of commercially important species.
Fisheries Amendment Act of 2014 (ACT 880)	An Act to amend the Fisheries Act, 2002 (Act 625) to give effect to international conservation and management obligations, to empower the Minister of Fishery and Aquaculture Development to make Regulations to combat Illegal, Unreported and Unregulated fishing in accordance with the international obligations of the Republic and to provide for related matters.
Ministerial Directives in 2016	Directs all fishing vessels to maintain a minimum sanitary condition on board the fishing vessels.
Ministerial Directives in 2016	Declared closed seasons for industrial trawlers for the periods 1 <sup>st</sup> – 30 <sup>th</sup> November 2016 and 1 <sup>st</sup> February – 31 <sup>st</sup>

Laws and regulations	Brief description
	March 2017.
Fisheries Amendment Regulation 2015 (L.I. 2217)	Stipulates the various requirements for the registration of a fishing vessel as a Ghanaian fishing vessel.
Fisheries Management Plan of Ghana, 2015	Imposes license conditions to reduce the number of fishing days of various vessels available. Declares closed seasons for two months, up to four months from May- June and/ or November- December (to be determined). Increase the traditional one day fishing holiday per week to two days. Controls new entrants to the fishery sector. Implement co-management for artisanal fishery. Strict compliance with ICCAT. Strict adherence to licensing and monitoring of vessels. Stipulates the creation of marine habitat protection areas to protect nursery areas and spawning grounds, mainly in estuaries and mangrove areas.
Ministerial Directives in 2021	Declared closed seasons for artisanal and inshore fleets for periods of 1 <sup>st</sup> to 30 <sup>th</sup> July, 2021 and industrial fleets from 1 <sup>st</sup> to 31 <sup>st</sup> August, 2021.

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1087 Table 5. Mean income from livelihood strategies of respondents in the study communities

Livelihood strategies	Average income	Share of income sources (%)			p - value
		Axim	Dixcove	Shama	
Livestock farming	0.6	0.0	0.9	0.8	0.272
Poultry farming	0.2	0.0	0.0	0.5	0.566
Crop farming	2.3	3.5	3.2	0.3	0.355
Aquaculture	0.4	0.0	0.0	1.3	0.680
Salary work	0.4	0.0	0.0	1.3	0.566
Rural transportation	0.2	0.0	0.0	0.7	0.680
Barbering, carpentry, and other artisanship	4.1	6.9	0.2	5.0	0.581
Small businesses	2.5	3.5	1.6	2.5	0.744
Fishing	58.5	72.2	46.4	57.0	0.072
Fish processing	16.0	7.3	29.5	11.2	0.011
Fish retailing	13.3	6.2	17.7	16.0	0.307
Net weaving and repairing	1.4	0.4	0.5	3.4	0.781

1088 1. Chi-square test for all statistical tests; 2. *p*-values represent significant differences in mean income  
1089 generated from the various livelihood strategies of respondents among the various communities.

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1102 Table 6. Average prices per dry kilogram of fins paid to canoe owners in the study communities

Common name	Shark species	Local name	Average prices per period (GH₵)			
			2005-2010	2011-2013	2014-2015	2018-2020
Blue Shark	<i>Prionace glauca</i>	Gogorow	70	17	120	130
Mako Sharks	<i>Isurus</i> spp	Edu	120	80	200	285
Thresher Sharks	<i>Alopias</i> spp	Polley	32	10	55	70
Hammerhead Sharks	<i>Sphyrna</i> spp	Anto	156	65	300	330
Bull Shark	<i>Carcharhinus leucas</i>	Esuoa	113	78	300	345
Tiger Shark	<i>Galeocerdo cuvier</i>	Epoagyina moah	48	21	63	100
Sand Tiger Shark	<i>Carcharias taurus</i>	Ewiabere	98	55	120	240
Milk Shark	<i>Rhizoprionodon acutus</i>	Semin	117	40	241	313

1103 Note: as of the time of data collection, USD 1 was equivalent to GH₵ 5.77

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1105 Table 7. Mean precaudal length (cm) and price (GH₵/cm) of nine shark species recorded in the three study communities in Western  
 1106 Ghana between 15 March and 11 June 2020

Common name	Scientific name	Mean length per community (cm)			Mean price per community (GH₵/cm)			p value
		Axim	Dixcove	Shama	Axim	Dixcove	Shama	
Blue Shark	<i>Prionace glauca</i>	174.4 (58)	167.4 (46)	176.6 (120)	115.1 (150)	148.2 (54)	106.2 (144)	2.066 E-10
Silky Shark	<i>Carcharhinus falciformis</i>	157.8 (6)	129.6 (7)	147.0 (6)	176.4 (7)	61.3 (8)	96.0 (5)	0.027
Mako sharks	<i>Isurus</i> spp	154.4 (27)	132.0 (10)	173.3 (14)	175.7 (30)	139.6 (11)	293.9 (18)	0.059
Hammerhead sharks	<i>Sphyrna</i> spp	161.0 (8)	179.5 (4)	213.8 (5)	227.5 (6)	216.7 (6)	572.0 (5)	0.126
Tiger Shark	<i>Galeocerdo cuvier</i>	155.0 (3)	173.0 (4)	211 (4)	147.7 (7)	219.2 (9)	280.0 (7)	0.172
Thresher sharks	<i>Alopias superciliosus</i>	170.0 (11)	192.7 (6)	228.6 (7)	192.5 (12)	138.8 (8)	200.0 (10)	0.538
Bull Shark	<i>Carcharhinus leucas</i>	143.9 (7)	130.8 (6)	106.7 (3)	136.0 (5)	147.5 (10)	179.2 (6)	0.562
Spinner Shark	<i>Carcharhinus brevipinna</i>	152.0 (9)	115.3 (8)	159.8 (5)	51.8 (11)	105.0 (10)	108.0 (5)	0.046
Sand Tiger Shark	<i>Carcharias taurus</i>	156.2 (5)	158.3 (4)	136.8 (4)	56.0 (3)	121.0 (5)	182.5 (7)	0.008

1107 Note: 1. The number of specimens used to calculate mean values is reported in parentheses. 2. As of the time of data collection, USD 1  
 1108 was equivalent to GH₵ 5.7; 3. p-values represent significant differences in mean price of shark specimen among the various communities.

1109 **Figure legends**

1110 Figure 1. Analytical framework of sustainable livelihoods. Adapted from DFID, (2000), Ellis  
1111 (2000) and Scoones (1998, 2015)

1112 Figure 2. Map of Western Ghana showing the three study communities

1113 Figure 3. Income generated from shark fisheries in the study communities of Axim ( $n = 23$ ),  
1114 Dixcove ( $n = 22$ ), and Shama ( $n = 40$ )

1115 Figure 4. Frequency of subsistence consumption of sharks by fishers and traders. Stacked bars  
1116 represent the consumption of sharks of the study communities in Axim ( $n = 23$ ), Dixcove ( $n =$   
1117 22), and Shama ( $n = 40$ )

1118 Figure 5. Preferred livelihood fallback options of fishers and traders if they could no longer  
1119 harvest and sell sharks

1120 Figure 6. Trends in the prices for a kilogram of fins for eight shark species

1121 Figure 7. Fishers' and traders' satisfaction with income derived from fishing and selling shark  
1122 meat

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1137 Figure 1

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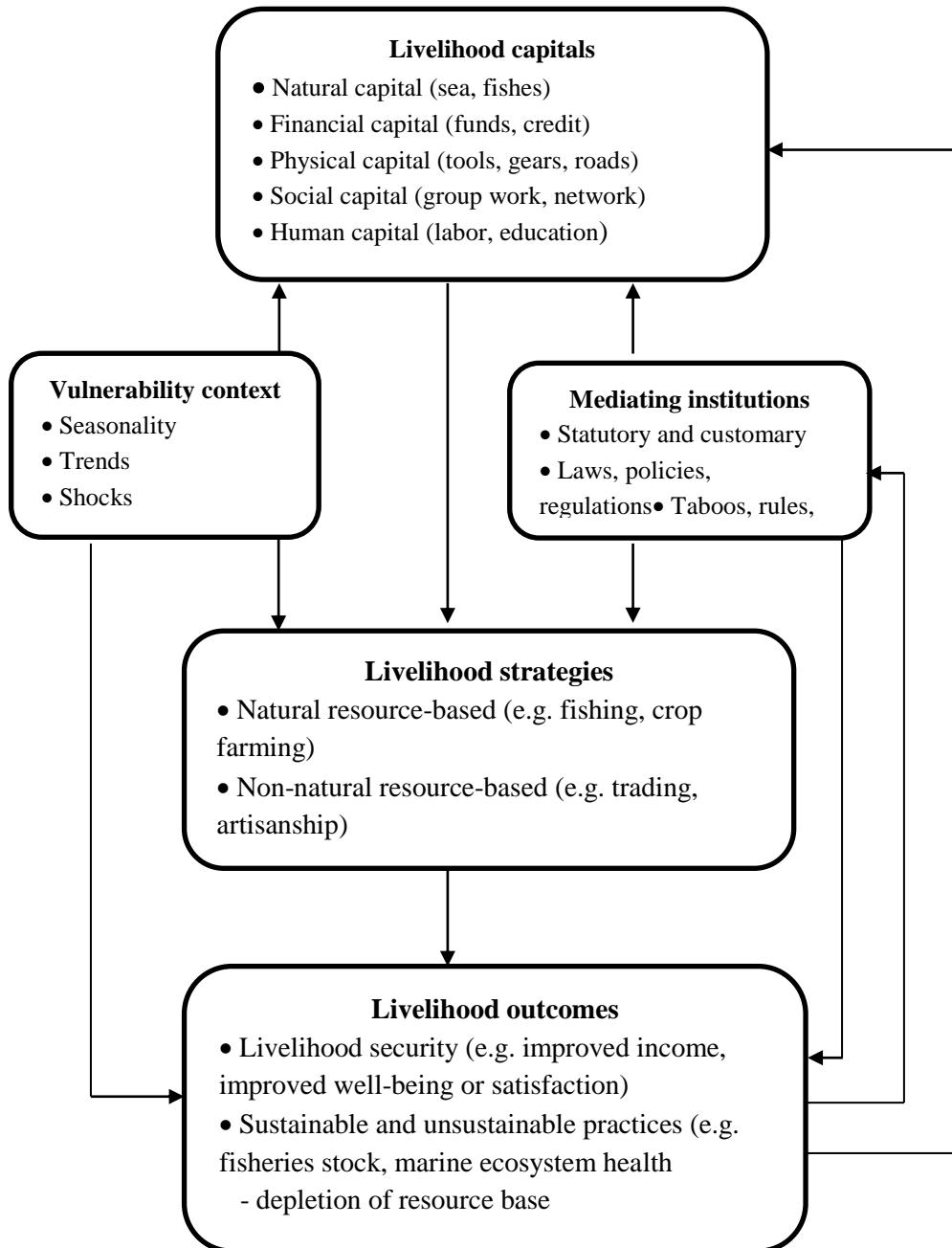
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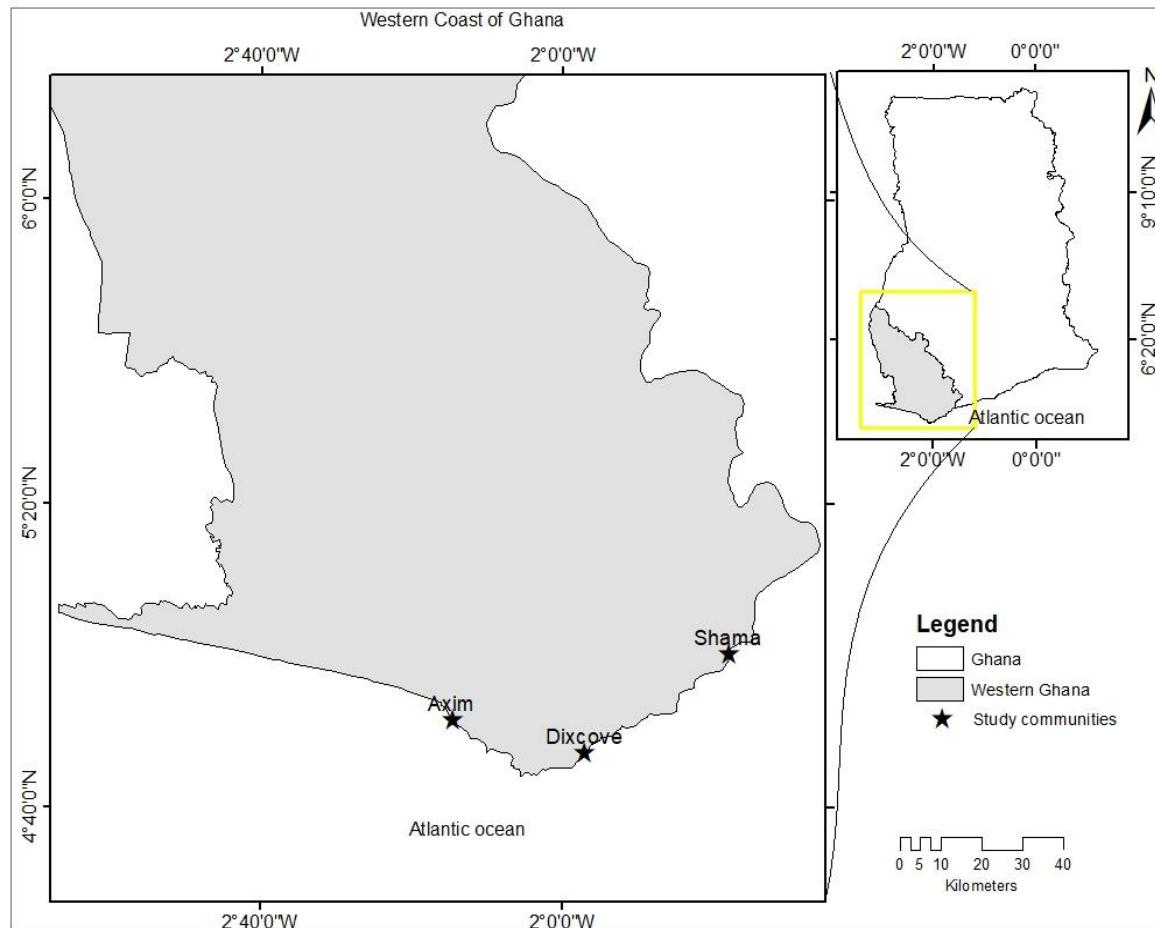
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1162 Figure 2



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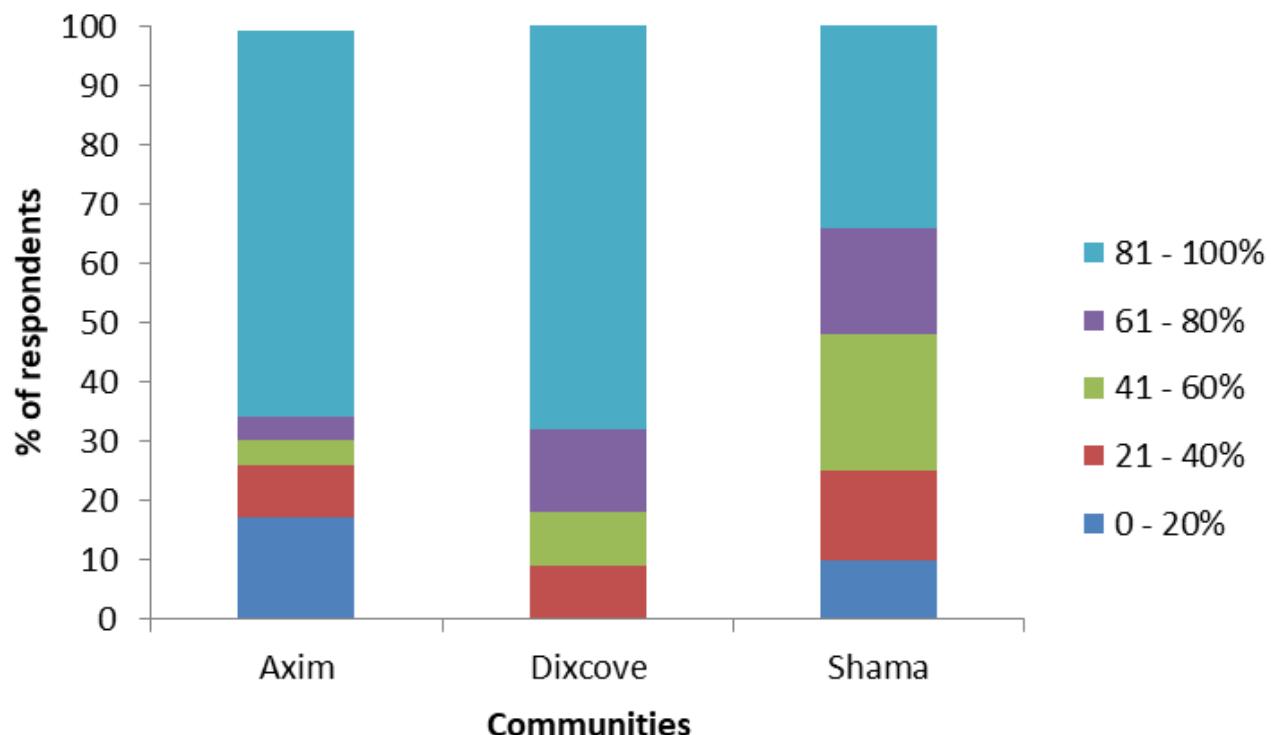
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1173 Figure 3



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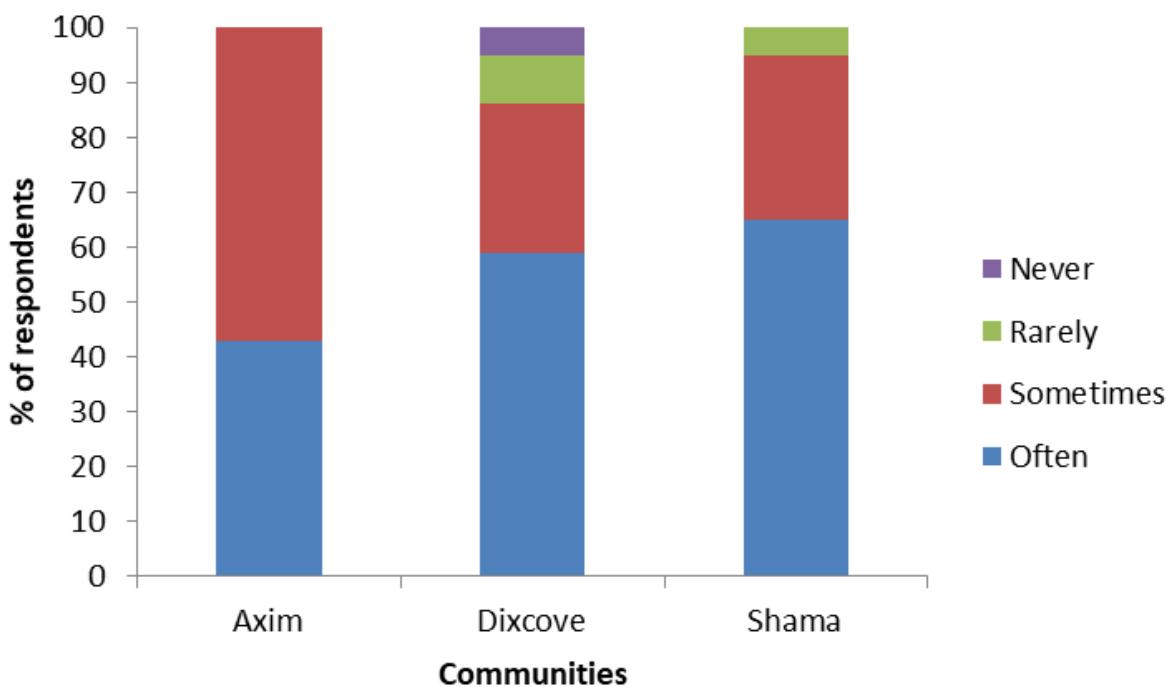
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1187 Figure 4



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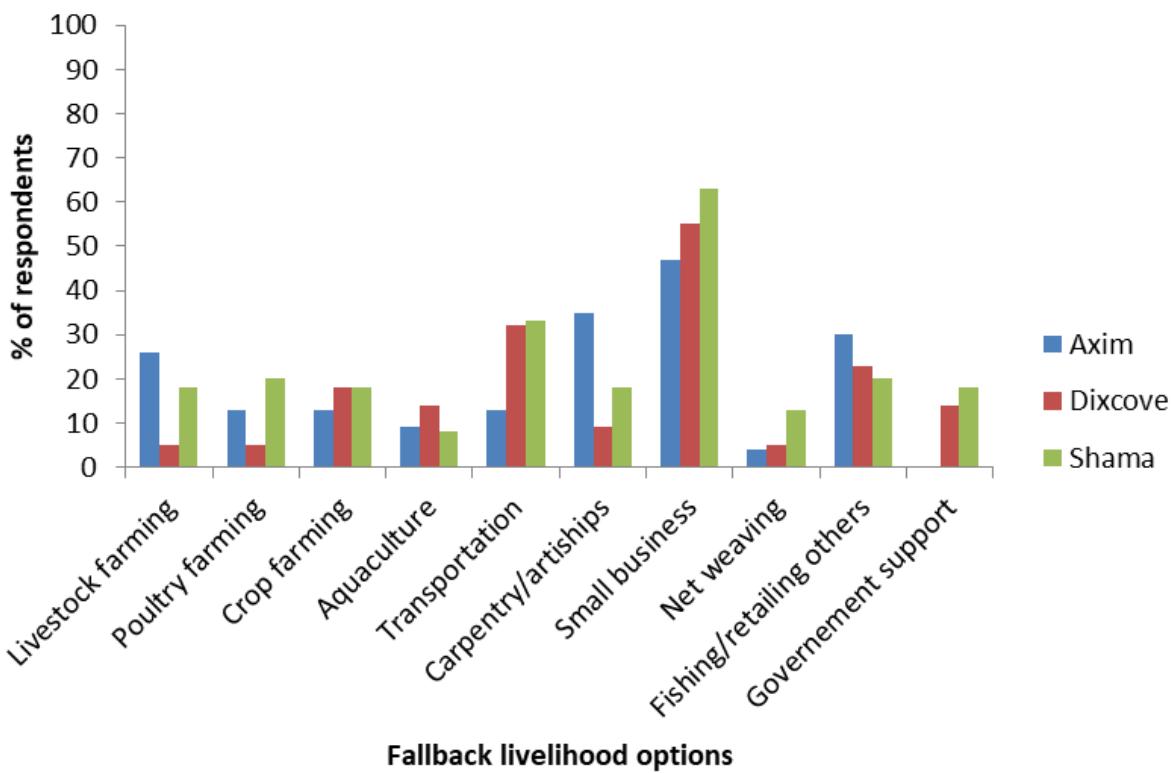
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1200 Figure 5



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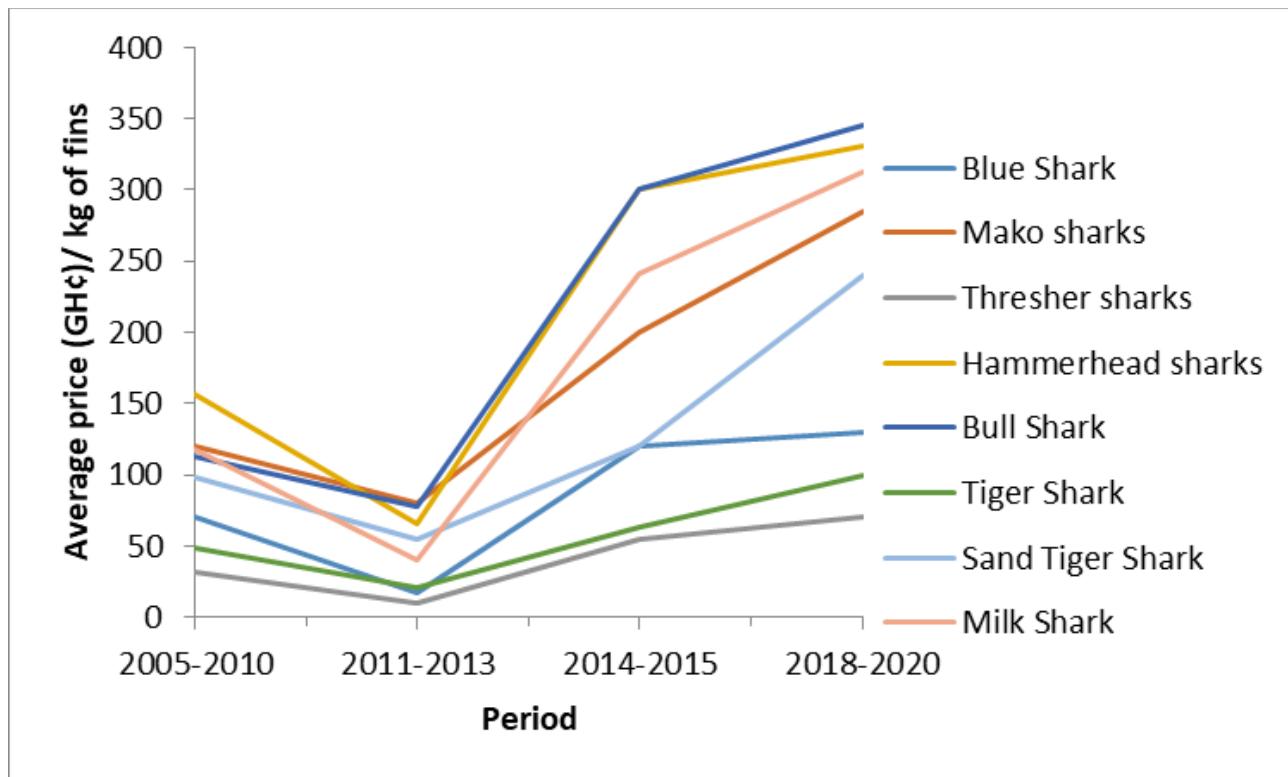
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1212 Figure 6



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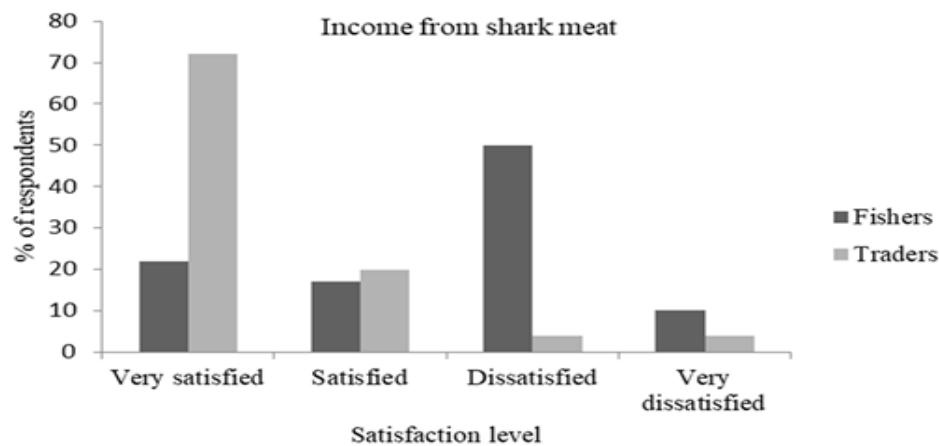
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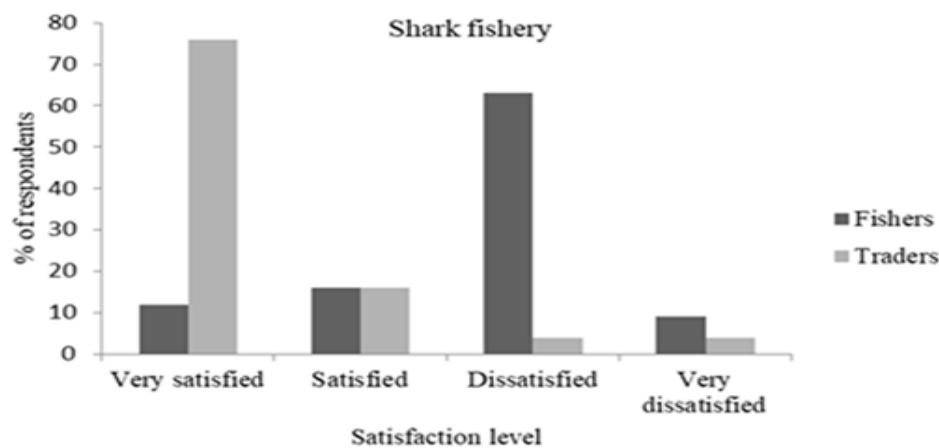
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1226 Figure 7

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