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5 **An Ohio State Scenic River Shows Elevated Antibiotic Resistance Genes, Including**  
6 ***Acinetobacter* Tetracycline and Macrolide Resistance, Downstream of Wastewater**  
7 **Treatment Plant Effluent**

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23 **ABSTRACT**

24 The entry of antibiotic resistance genes (ARGs) into aquatic systems has been documented for  
25 large municipal wastewater treatment plants, but there is less study of the impact of smaller  
26 plants that are situated on small rural rivers. We sampled water metagenomes for ARG and taxa  
27 composition from the Kokosing River, a small rural river in Knox County, Ohio, which has been  
28 designated an Ohio State Scenic River for retention of natural character. Samples were obtained  
29 1.0 km upstream, 120 m downstream, and 6.4 km downstream from the effluent release of the  
30 Mount Vernon wastewater treatment plant (WWTP). ARGs were identified in metagenomes  
31 using ShortBRED markers from the CARD database screened against UniPROT. Through all  
32 seasons, the metagenome just downstream of the WWTP effluent showed a substantial elevation  
33 of at least 15 different ARGs, including 6 ARGs commonly associated with *Acinetobacter*  
34 *baumannii* such as *msrE*, *mphE* (macrolide resistance) and *tet(39)* (tetracycline resistance). The  
35 ARGs most prevalent near the effluent pipe persisted 6.4 km downriver. Using MetaPhlAn2  
36 clade-specific marker genes, the taxa distribution near the effluent showed elevation of reads  
37 annotated as *Acinetobacter* species as well as gut-associated taxa, Bacteroides and Firmicutes.  
38 The ARG levels and taxa prevalence showed little dependence on seasonal chlorination of the  
39 effluent. Nitrogen and phosphorus were elevated near the effluent pipe but had no consistent  
40 correlation with ARG levels. We show that in a rural river microbiome, year-round wastewater  
41 effluent substantially elevates ARGs including those associated with multidrug-resistant *A.*  
42 *baumannii*.

43 **IMPORTANCE**

44 Antibiotic resistance is a growing problem worldwide, with frequent transmission between  
45 pathogens and environmental organisms. Rural rivers can support high levels of recreational use

46 by people unaware of inputs from treated wastewater, while WWTPs can generate a small but  
47 significant portion of flow volume into a river surrounded by forest and agriculture. There is  
48 little information on the rural impacts of WWTP effluent on the delivery and transport of  
49 antibiotic resistance genes. In our study, the river water proximal to wastewater effluent shows  
50 evidence for the influx of multidrug-resistant *Acinetobacter baumanii*, an opportunistic pathogen  
51 of concern for hospitals but also widespread in natural environments. Our work highlights the  
52 importance of wastewater effluent in management of environmental antibiotic resistance, even in  
53 high quality, rural river systems.

## 54 INTRODUCTION

55 Environmental sources of antibiotic resistance increasingly threaten global public health (1–3).  
56 Antibiotics from clinical use and livestock husbandry can promote the development of resistant  
57 bacteria, and they readily pollute urban and rural waterways (4–6). Even very low concentrations  
58 of antimicrobial drugs select for resistance (7). Antibiotic resistance genes (ARGs) that enter  
59 environmental microbial communities have the potential for transfer to pathogenic bacteria (8).  
60 Yet the public is rarely aware of the potential for exposure to ARG-carrying organisms in rural  
61 aquatic systems, particularly those designated for preservation by government agencies such as  
62 the Ohio Scenic Rivers Program ([ohiodnr.gov](http://ohiodnr.gov)).

63 A major source of ARGs and antibiotics in aquatic systems is the effluent of wastewater  
64 treatment plants (WWTPs) (9–11). Wastewater treatment may actually select for increased  
65 antibiotic resistance of potential pathogens such as *Acinetobacter* species (12, 13). It is important  
66 to understand the potential of WWTP to transfer ARGs as well as resistant microbes into rural  
67 streams, where they may disturb autochthonous microbial communities and spread drug  
68 resistance to human microbiomes. We investigated the impact of WWTP effluent on the taxa  
69 distribution and ARG counts in the Kokosing River, a rural river designated as a state “Scenic”  
70 River by the Ohio Department of Natural Resources (ODNR) as well as meeting the criteria for  
71 Exceptional Habitat by the Ohio Environmental Protection Agency (Ohio EPA) due to its high  
72 species diversity and high ecological condition (14).

73 The river microbiome may be affected by WWTP effluent in various ways: by elevation  
74 of phosphorus, nitrogen, and organic nutrients; by introduction of exogenous microbes and  
75 antibiotics; and by introduction of DNA including ARGs. The WWTP in our study chlorinates  
76 effluent only during the months of May through October, so we compared both conditions.

77 While chlorination effectively decreases bacterial biomass by three log units (15, 16), it does not  
78 fully remove ARGs from effluent. Some studies show partial decrease of ARGs by chlorine (17,  
79 18), whereas others show that chlorination may increase the effluent content of ARGs and  
80 promote their conjugative transfer (19, 20). Various stress conditions in the WWTP can co-  
81 select antibiotic resistances and virulence properties (21). In some cases the release of heavy  
82 metals, antibiotics, and other compounds into receiving rivers further propagates resistance by  
83 selecting for ARGs that encode multidrug efflux pumps (10, 22–24).

84 The establishment of antibiotic resistance in environmental microbial communities can be  
85 controlled when municipalities reduce antibiotic use (25). Therefore, understanding the impact  
86 of ARG pollutants on rural river resistomes is important for understanding the lasting potential of  
87 resistance in the environment. River resistomes offer the opportunity for surveillance of  
88 opportunistic pathogens that move between environment and human host, such as the ESKAPE  
89 pathogen *Acinetobacter baumanii* (26–28). The ESKAPE acronym comprises six leading  
90 hospital-acquired pathogens with multidrug resistance (29). While *A. baumanii* is known for  
91 hospital transmission, recent reports indicate community acquisition of strains that carry ARGs  
92 on plasmids (30, 31). In the Kokosing River we examined evidence for *Acinetobacter* ARGs  
93 such as *tet*(39) (32, 33) and *msrE*, *mphE* (34).

94 To understand how WWTP effluent with secondary treatment might alter rural river  
95 microbial communities, we sampled sites upstream, just downstream, and further downstream of  
96 the effluent release of the Mount Vernon WWTP on the Kokosing River. The Kokosing river in  
97 east-central Ohio, USA, flows 92 km into the Walhonding River, a part of the watershed of the  
98 Mississippi River (35). The Kokosing is included in Ohio's Scenic Rivers Program; “scenic”  
99 designates “a waterway that retains much of its natural character for the majority of its length”

100 (ODNR, [ohiodnr.gov](http://ohiodnr.gov)). The river is designated for: Exceptional Warmwater Habitat, Agricultural  
101 Water Supply, Industrial Water Supply, and Primary Contact Recreation (14). The river is used  
102 regularly for recreation by the local residents, including students from an undergraduate college  
103 (approximately 1800 students) situated at the downstream site reported by this study.  
104 Nevertheless, the Ohio EPA recognizes some localized impairment of the Kokosing's  
105 warmwater habitat and use for recreational activities ([mywaterway.epa.gov/](http://mywaterway.epa.gov/)).

106 Our study focused on a segment of the Kokosing in Knox County, proximal to the  
107 WWTP that serves the City of Mount Vernon (pop. 17,000). Mount Vernon includes surrounding  
108 suburban and rural homes as well as a 65-bed hospital. The WWTP system diagram is presented  
109 in Figure S1. The design flow is 5.0 MGD; actual discharge rates vary from 2.4-16.0 MGD (36).  
110 During our study dates the discharge accounted for 2-7% of the river's daily flow rate (Table S1,  
111 Supporting Material). This fraction is small compared to the base flow contribution of municipal  
112 WWTP effluent to some rivers (37). Because it represents a small proportion of the river  
113 discharge, we asked whether the WWTP effluent would affect the microbiome of the system  
114 downriver of the plant. Small wastewater plants are situated approximately 25 km upstream  
115 (Village of Fredericktown, design flow 0.70 MGD) and 8 km downstream (Village of Gambier,  
116 0.45 MGD). All of these plants disinfect their effluent by chlorination during six months of the  
117 year (May 1st through October 31st).

118 We focussed our study on the river water microbiomes upstream, midstream (proximal to  
119 effluent pipe) and downstream of the Mount Vernon WWTP. We examined how ARG numbers  
120 are associated with the WWTP; and how much ARG elevation may persist downstream of the  
121 effluent.

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124 **MATERIALS AND METHODS**

125 **Water sampling and metadata.** All water samples were obtained from the Kokosing River,  
126 Knox County, Ohio. Water samples were obtained at three sites on the river to yield data on  
127 water quality upstream of the WWTP effluent, just downstream of the WWTP in the mixing  
128 zone where wastewater is mixed with river water (Midstream site), and further downstream  
129 where plant effluent has been fully diluted (**Fig. 1**). The Upstream site (coordinates 40.38368, -  
130 82.47042) lies approximately 1.0 km upstream of the Mount Vernon WWTP effluent discharge.  
131 WWTP discharge rates and river flow rates on the dates of sample collection are presented in  
132 Table S1. The Midstream site, nearest the WWTP (40.378007, -82.467822) is located  
133 approximately 120 m downstream of the effluent release pipe, within the mixing zone of the  
134 plant, where the effluent is initially mixed with river water. The Downstream site (40.376038, -  
135 82.40346) lies approximately 6.4 km downstream of the WWTP. The next nearest site where  
136 wastewater enters the Kokosing is the Fredericktown WWTP, a small plant (0.70 MGD)  
137 approximately 25 km upstream of the Mount Vernon WWTP. The three river sites were sampled  
138 using identical procedures at six dates throughout the year: October 27, November 3, and  
139 December 3, 2019; and April 13, May 28, and June 25, 2020. The WWTP effluent undergoes  
140 chlorination before discharge only from May 1<sup>st</sup> through October 31<sup>st</sup>; thus, only the October,  
141 May and June samples occurred during the time that effluent was chlorinated.

142 On each sampling date, the three sites were sampled within a 2-h period. At each site,  
143 400 ml water was collected from the river using a dipper and sealed in sterile WhirlPak® Bags.  
144 Within 24 h of sample collection, three 100-ml samples were vacuum-filtered through a sterile  
145 0.22-micron filter, 45 mm in diameter. Filter paper was folded using sterile forceps and  
146 deposited in centrifuge tubes which were then frozen at -80°C to preserve microbial DNA. Water

147 pH, conductivity, temperature, and dissolved oxygen (DO) were measured in the field using a  
148 Hannah pH/conductivity combination meter and a YSI Pro20 DO meter (Yellow Springs  
149 Instruments). Nutrient concentrations were analyzed using collected water samples within 24 h  
150 using a portable a Hach® DR900 Multiparameter Portable Colorimeter, including nitrate (NO<sub>3</sub><sup>-</sup> -  
151 -N), ammonia (NH<sub>3</sub>-N) and phosphate (PO<sub>4</sub><sup>3-</sup>—P; **Table S1, Supporting Information**).

152

153 **DNA isolation and sequencing.** Metagenomic DNA was isolated using a ZymoBIOMICS DNA  
154 Miniprep Kit. For control samples, 2 µg of the ZymoBIOMICS Microbial Community Standard  
155 was processed under the same conditions. This community standard contains defined proportions  
156 of ten microbes (5 Gram positive, 3 Gram negative, 2 fungal).

157 Each filter was cut into small pieces and transferred to a ZR BashingBead Lysis Tube.

158 650 µl ZymoBIOMICS Lysis Solution was added, and all tubes were processed on a Vortex  
159 Genie 2 for 40 min. The remainder of the preparation was performed according to the  
160 manufacturer's protocol. Shotgun sequencing of DNA was performed by Admera Health  
161 ([www.admerahealth.com](http://www.admerahealth.com)). Libraries for sequencing were prepared using Illumina's Nextera XT  
162 DNA Library Preparation Kit, following manufacturer's instructions. Final libraries were then  
163 pooled and sequenced on Illumina HiSeq X sequencer for 150-bp read length in paired-end  
164 mode, with an output of 40 million reads per sample.

165

166 **ARG marker analysis.** Sequence reads were analyzed for ARG marker hits using ShortBRED,  
167 a computational pipeline from Huttenhower Biobakery (38). ShortBRED-Identify was used to  
168 create a database of short marker peptides specific to ARG protein families compiled from the  
169 Comprehensive Antibiotic Desistance database (CARD) (39). From the ARG families, short

170 consensus peptides were identified based on regions of amino-acid sequence identity. To  
171 maintain high specificity, the set of peptides was then filtered against the Univeral Protein  
172 Database UNIREF90 (<https://www.uniprot.org/uniref/>) (data accessed October 23, 2019). This  
173 database was used to eliminate markers that match sequences outside a specific ARG. One  
174 additional marker, ARO\_3002930 (vanRO, *Rhodococcus\_hoagii*) was removed from the marker  
175 set because it lacked specificity. The final list of markers used for our study (ShortBRED-2019)  
176 is presented in **Supplemental Table S2**.

177 The ShortBRED-2019 marker list was used to screen metagenomic reads from each of  
178 the three river sites, from six sampling dates (**Supplemental Table S3**). Total read counts per  
179 sample were determined using Trimmomatic (40) (**Supplemental Table S4**).

180

181 **Taxa profiles.** The microbial taxa were profiled using the Huttenhower lab pipeline MetaPhlAn2  
182 (Metagenomic Phylogenetic Analysis) MetaPhlAn2 (41, 42). MetaPhlAn2 assigns  
183 metagenomic reads to taxa using a set of clade-specific marker genes identified from  
184 approximately 17,000 microbial reference genomes. Taxa were grouped at the levels of phylum,  
185 class, order, family, and genus (**Supplemental Table S5**). For control, MetaPhlAn2 was also  
186 used to predict the taxa of ZymoBIOMICS Microbial Community Standards that had been  
187 prepared concurrently with our experimental samples. For all preparation sets, MetaPhlAn2  
188 consistently predicted the genera of the eight bacterial components and one fungal component of  
189 the standard (**Table S7**).

190

191 **Data Analysis.** To generate an ARG heatmap from the ShortBRED data, we employed R Studio  
192 ® 1.3.073. For One-Way ANOVA analysis, we used JMP ® 14.2.0. One-Way ANOVA was

193 used to analyze the significance of ARG and taxa variances among the sites. ARG hits and  
194 metadata were correlated by the Spearman rank correlation using R (**Supplemental Table S6**).  
195

196 **Data submission.** For all DNA sequences, FASTQ files were submitted to NCBI, SRA  
197 accession number PRJNA706754.  
198  
199

200 RESULTS

201 **ARGs are elevated downstream of the WWTP effluent.** We sought to determine how the  
202 ARG distribution of the Kokosing River microbiomes was affected by the effluent from the  
203 Mount Vernon WWTP. Microbial samples were obtained from three sites on the Kokosing  
204 River, designated Upstream (1.0 km upstream of the WWTP), Midstream (120 m below the  
205 effluent pipe) and Downstream (6.4 km downstream of the effluent pipe) (**Fig. 1**). From all sites  
206 the metagenomic DNA sequences were analyzed for ARG prevalence using the ShortBRED  
207 pipeline (38) applied to the CARD database (39). For each marker, the numbers of read hits were  
208 summed across all samples and dates, and the markers were ranked according to total hits  
209 (**Supplemental Table S3**). Results for the top 60 scoring markers are presented as a heat map  
210 (**Fig. 2**).

211 Most of the top-scoring ARGs were elevated in the Midstream samples, compared to  
212 samples from either Upstream or Downstream. The elevated ARGs include resistance  
213 determinants from several organisms that are of clinical concern. Most striking, six of the  
214 abundant ARGs are associated with the ESKAPE pathogen *Acinetobacter baumanii* and related  
215 strains: *msrE*, *mphE*, *tet*(39), *CfxA6*, *oxa280*, and *aadA4* (26–28). The three top-ranked ARGs  
216 (*msrE*, *mphE*, *tet*(39)) are found together on *A. baumanii* plasmid pS30-1 (34). Overall, the four  
217 top *A. baumanii* ARGs account for 37% of the total ARG hits found.

218 We tested whether the Downstream samples show evidence of carryover from the  
219 Midstream site. First, the numbers of ARG hit reads were re-sorted by Midstream site ARG  
220 totals (**Table 1**). For each of the top-ranked Midstream ARGs, we present the difference in ARG  
221 hits between Upstream and Downstream. The top 27 most abundant Midstream ARGs, including  
222 those associated with *Acinetobacter*, all show higher numbers at the Downstream site compared

223 to the Upstream location. In addition, we ranked Midstream ARGs separately for each of the six  
224 individual sampling dates and tested the top 20 ARGs for evidence of persistence downstream,  
225 using the Wilcoxon signed rank test (**Figure S2**). Four of the six dates showed significant  
226 increase of Midstream ARGs at the Downstream site compared to the Upstream site ( $P < 0.0083$ ,  
227 with Bonferroni correction).

228 The overall percentage of reads that matched ARG markers ranged from 0.0015-0.0052%  
229 for Midstream samples, and from 0.0002-0.0010% for Upstream and Downstream samples.  
230 These numbers indicate roughly 5-fold elevation of ARG hits in the Midstream, compared to the  
231 other two sites. We considered the possible effect of sample size, that is, whether the ARG hit  
232 numbers reflect the number of reads in our samples (**Supplemental Table S4**). The read counts  
233 from individual samples deviated less than 20% from the mean. There was no significant  
234 difference in read numbers amongst the three collection sites Upstream, Midstream, and  
235 Downstream. Thus, the elevated number of ARGs near the effluent pipe was independent of the  
236 number of sequenced reads per sample.

237

238 **Taxa profiles associated with WWTP effluent.** We investigated whether the elevation of  
239 ARGs by the WWTP was associated with specific microbial taxa. The taxa structure of our river  
240 metagenomes was determined using the pipeline MetaPhlAn2 (41). The distribution of major  
241 bacterial phyla and classes in our samples is shown in **Fig. 3A**, with p-values for Wilcoxon rank  
242 sum test (**Fig. 4A**). Reads annotated to the genus *Acinetobacter* showed a striking prevalence in  
243 the Midstream, accounting for as high as 30% of predicted organisms (June sample, Midstream);  
244 and in some months elevated levels persisted downriver (December and April). By comparison,  
245 through ShortBRED, ARGs associated with *A. baumanii* ARGs accounted for 37% of the total

246 ARG hits. This result is striking, since the ShortBRED and MetaPhlAn2 pipelines use very  
247 different marker sets (ARGs versus core genome components). Thus the two pipelines offer  
248 orthogonal evidence consistent with a high level of multidrug-resistant *A. baumannii* associated  
249 with the WWTP plant effluent.

250 The Midstream site showed significantly higher proportions of several major taxa than  
251 those Upstream (**Fig. 3A**). The taxa with greater abundance include Bacteroidetes ( $p=0.002$ ),  
252 Epsilonproteobacteria ( $p=0.002$ ), Gammaproteobacteria ( $p=0.002$ ) and Firmicutes ( $p=0.005$ ).  
253 Downstream taxa appeared largely similar to those Upstream, with the exception of elevated  
254 abundance of Bacteroidetes ( $p=0.015$ ). These four taxa are consistent with a human fecal source,  
255 during the period of effluent chlorination as well as during absence of chlorination. The  
256 Upstream and Downstream sites showed higher proportions of Actinobacteria relative to the  
257 Midstream. Alphaproteobacteria and Betaproteobacteria showed high prevalence across all three  
258 sites. High levels of Actinobacteria and Betaproteobacteria are consistent with metagenomic  
259 studies of freshwater oligotrophic lakes and rivers (43).

260 We considered whether the Midstream elevated ARGs might be associated with bacterial  
261 clades that were enriched in Midstream samples. A Spearman rank correlation was performed  
262 comparing ARG hits with the major taxa identified (**Fig. 4C**). ARGs were categorized as “Top  
263 60” and “below 60” based on overall rank prevalence (**Fig. 2** and **Supplemental Table S3**). The  
264 “Top 60” were those ARG classes showing relative elevation at the Midstream site near the  
265 WWTP effluent, whereas ARGs “Below 60” (ranked below the top 60 ARGs) more likely  
266 represent autochthonous genes commonly found in a relatively undisturbed river ecosystem. The  
267 number of ARG hits at Midstream and Downstream showed a positive correlation with  
268 Firmicutes and Epsilonproteobacteria, taxa that might be expected to arise from the WWTP

269 effluent. Negative correlations were seen between ARGs and Betaproteobacteria, which are most  
270 likely native to the river.

271 If the source of “top 60” ARGs is the WWTP, are they carried by the genomes of effluent  
272 bacteria, or do they enter the river in the form of environmental DNA? The answer is unclear  
273 from our data. However, the occurrence of effluent chlorination (during the months of  
274 November, December and April) shows no significant effect on the Midstream taxa profiles (**Fig.**  
275 **3A**). If live bacteria are responsible for ARGs elevation, significant numbers must be surviving  
276 chlorination.

277

278 **Nitrate, phosphate and ammonia levels show no correlation with elevated ARGs.** The  
279 Mount Vernon WWTP effluent commonly includes total suspended solids 1-37 mg/L,  
280 phosphorus 2.6-4.1 mg/L, nitrate plus nitrate 5.86-28.9 mg/L, ammonia 0.107-5.77 mg/L  
281 (summer), 0.31-10.5 mg/L (winter) (14). Consistent with the above data, our Midstream water  
282 samples showed elevated levels of nitrate, phosphate and ammonia relative to the Upstream and  
283 Downstream Sites (**Fig. 5** and **Supplemental Table S1**). We therefore looked for possible  
284 correlations between water chemistry and ARG prevalence. Spearman rank correlations were  
285 performed for ARG levels and various chemical and physical factors (**Supplemental Table S5**).  
286 Correlations were run separately for the sums of Top 60 ARG hits and for the sums of Below 60  
287 ARG hits. We hypothesized that the top 60 ARGs are dominated by the WWTP effluent and  
288 would therefore show stronger correlations with the Midstream chemistry.

289 In fact, the nitrate, phosphate and ammonia levels showed no consistent correlations with  
290 ARGs, either Top 60 or Below 60. This finding suggests that, despite the higher concentration of

291 these nutrients near the effluent pipe, the elevated levels of nitrogen and phosphorus are not  
292 correlated with the increased level of ARGs.

293 The plant effluent typically has a dissolved oxygen content (DO) of 5.3-10.2 mg/L (14).  
294 In the Kokosing river samples, we observed DO values ranging from 8.22-12.60 mg/L (Table  
295 S1). There was no significant correlation between river DO values and ARG prevalence  
296 (Spearman rank correlations, Table S6).

297 Electrical conductivity (EC) was measured in the Kokosing samples, which has been  
298 shown to be an indirect indicator for dissolved organic carbon (DOC) (44–46). Previous studies  
299 find connections between DOC and ARG abundance (47, 48). Over the course of our study, EC  
300 values ranged from 500-890  $\mu$ S/cm (Table S1) but no significant correlation was found with site  
301 location or season, nor with ARG levels (Table S6).

302

303 **ARG numbers increased with pH and temperature.** The strongest correlations we  
304 saw between ARGs and water chemistry were for pH and temperature (**Supplemental Table**  
305 **S5**). The range of pH values observed was pH 7.19-8.55 (**Supplemental Table S1**). At  
306 Midstream and Downstream sites, pH showed positive correlations with ARG hits, particularly  
307 the Below 60 ARGs. These results suggest the possibility that low pH might select against ARGs  
308 that commonly occur in metagenomes of the undisturbed river. In laboratory evolution  
309 experiments on *Escherichia coli*, low pH and membrane-permeant aromatic acids select for loss  
310 of ARGs and ARG regulators (49, 50).

311 Temperature showed a strongly negative correlation with ARG levels, particularly those  
312 Below 60. This finding suggests the possibility of high-temperature selection against ARGs  
313 commonly found in the river community.

314 DISCUSSION

315 Past studies have investigated ARGs in urban waterways, but there has been relatively  
316 little research on the occurrence of ARGs in rural watersheds characterized by low human  
317 population density and agricultural land use. In addition, few studies have focused on rivers that  
318 are considered to be of exceptional quality, such as the Kokosing River investigated here. Forty-  
319 seven miles of the river are designated “scenic” by the state, and the river attracts members of the  
320 public for fishing, birding and canoeing. Nonetheless portions of the river are impacted by  
321 livestock and agriculture, as well as pollution from a residential lakeside development (14). In  
322 2007, portions of the watershed were reported to be impacted by gravel mining, erosion, and  
323 conversion to row crops.

324 Despite the overall high water quality of this river system, and the relatively small  
325 contributions of WWTP effluent to stream discharge, we found substantially higher ARG  
326 abundance downriver of a WWTP compared to the more agricultural portions of the watershed  
327 that lie upstream. we found substantially higher ARG abundance downriver of a WWTP  
328 compared to the more agricultural portions of the watershed that lie upstream. The WWTP  
329 influx inputs a few percent of the total river flow rate (Supplementary Table S1). Thus, a  
330 relatively small city WWTP (catchment population 17,000) may foster the spread of ARGs in a  
331 river that is in excellent ecological condition, as has been shown for anthropogenic contaminants  
332 in large, urban centers (see for example (51)).

333 The footprint of the WWTP effluent release was evident across our data, including shifts  
334 in ARG prevalence (**Fig. 2**, **Table 1**), microbial community taxa distribution (**Fig. 3**), and  
335 chemical indicators (**Fig. 5**). The top three ARGs for ShortBRED markers ranked in our  
336 metagenomes are known to occur together on *A. baumannii* plasmid pS30-1 (34). In addition, the

337 MetaPhlAn2 taxonomic pipeline, with completely different markers, found high prevalence of *A.*  
338 *baumanii* near the WWTP effluent (**Fig. 3B**). It is possible that the multidrug-resistant *A.*  
339 *baumanii* actually comes from the WWTP. Wastewater treatment is known to increase the  
340 prevalence of multidrug resistance in *A. baumanii* from influent to the final effluent (12).

341 An effect of the wastewater effluent could be to increase community exposure to drug-  
342 resistant strains of this ESKAPE pathogen. It is also possible that the ARGs associated with *A.*  
343 *baumanii* have been acquired by other members of the native river microbial community.

344 Nevertheless, the possibility of *A. baumanii* contamination should be followed up by further  
345 studies. *Acinetobacter* species of concern are emerging worldwide, especially in warmer  
346 climates; and their prevalence likely will increase with climate change (52–54). River levels of  
347 *Acinetobacter* species can be examined by targeted metagenomic analysis (55), amplicon  
348 assessment (56) and culture-based methods (57).

349 Rural rivers have substantial economic and cultural significance for local human  
350 communities. Nevertheless, the public is rarely aware of the potential impact of WWTP ARG  
351 exposure, with the common presence of WWTP plants along rural rivers. For example, 25 km  
352 upstream of the Mount Vernon plant is the Fredericktown WWTP; and just downstream of our  
353 sampled sites in Gambier, another small WWTP releases effluent to the Kokosing. Further  
354 downstream from Gambier (20 km) lies the Danville WWTP (design flow 0.20 MGD).

355 We found evidence that detectable levels of ARGs persist in the river microbial  
356 community at least several kilometers past the effluent pipe. The Downstream site exhibited  
357 higher ARG counts than Upstream for the top 27 ARGs elevated at Midstream (**Table 1**). Thus,  
358 WWTP-associated ARGs persist and are transported in the environment at least 6.4 km  
359 downstream. Most of these ARGs are found in multiple species and may be transmitted by

360 mobile elements (39). These ARGs might become established in the river microbial resistome  
361 and could propagate to pathogenic bacteria in the future, posing a risk to human health.

362 The WWTP-proximal site also showed substantial alteration of overall taxa distributions,  
363 such as increased prevalence of Bacteroidetes and Firmicutes (Fig. 4), findings that are  
364 consistent with previous study (35). The increase in Bacteroidetes persisted 6.4 km downstream.  
365 In addition, the WWTP-proximal site showed depletion of Actinobacteria, although the levels of  
366 this river group recovered downstream.

367 There is need for future investigation regarding efficient methods of ARG control from  
368 WWTP in freshwater systems (59). In addition, the public should be more aware of the entry of  
369 wastewater into recreational waterways. Better awareness of the consequences of WWTP-  
370 effluent release into rivers will improve our ability to sustain healthy microbial communities in  
371 our freshwater systems.

372

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563 TABLES

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565

566 **Table 1. Top-ranked Midstream ARG hits: Difference in hit numbers between**

567 **Downstream and Upstream Sample Sites\***

Mid Rank	ARG Family	Host Organism for ARG (example)	Reads: Down - Up
1	<i>msrE</i>	<i>Acinetobacter baumannii</i>	60
2	<i>mphE</i>	<i>Acinetobacter baumannii</i>	64
3	<i>tet(39)</i>	<i>Acinetobacter baumannii</i>	51
4	<i>CfxA6</i>	<i>Acinetobacter baumannii</i>	70
5	<i>OXA-256</i>	<i>Enterobacter cloacae</i>	28
6	<i>Mef(En2)</i>	<i>Bacteroides fragilis</i>	36
7	<i>AAC(3)-VIIa</i>	<i>Streptomyces rimosus</i>	34
8	<i>OXA-5</i>	<i>Pseudomonas aeruginosa</i>	9
9	<i>QnrS6</i>	<i>Aeromonas hydrophila</i>	25
10	<i>OXA-226</i>	<i>Pseudomonas aeruginosa</i>	16
11	<i>ANT(4_-)-lb</i>	<i>Staphylococcus aureus</i>	38
12	<i>mtrA</i>	<i>Mycobacterium tuberculosis</i>	8
13	<i>sul1</i>	<i>Vibrio fluvialis</i>	12
14	<i>OXA-280</i>	<i>Acinetobacter johnsonii</i>	11
15	<i>tetE</i>	<i>Escherichia coli</i>	4
16	<i>EreA2</i>	<i>Providencia stuartii</i>	3
17	<i>mefC</i>	<i>Photobacterium damselaе</i>	7
18	<i>tetQ</i>	<i>Bacteroides fragilis</i>	9
19	<i>OXA-46</i>	<i>Pseudomonas aeruginosa</i>	9
20	<i>MOX-5</i>	<i>Aeromonas caviae</i>	11
21	<i>CblA-1</i>	<i>Bacteroides uniformis</i>	2
22	<i>ErmB</i>	<i>Enterococcus faecium</i>	17
23	<i>(aadA4)</i>	<i>Acinetobacter baumannii</i>	1
24	<i>mphG</i>	<i>Photobacterium damselaе</i>	6
25	<i>ErmG</i>	<i>Bacteroides thetaiotaomicron</i>	4
26	<i>dfrF</i>	<i>Enterococcus faecalis</i>	2
27	<i>ErmF</i>	<i>Bacteroides fragilis</i>	14

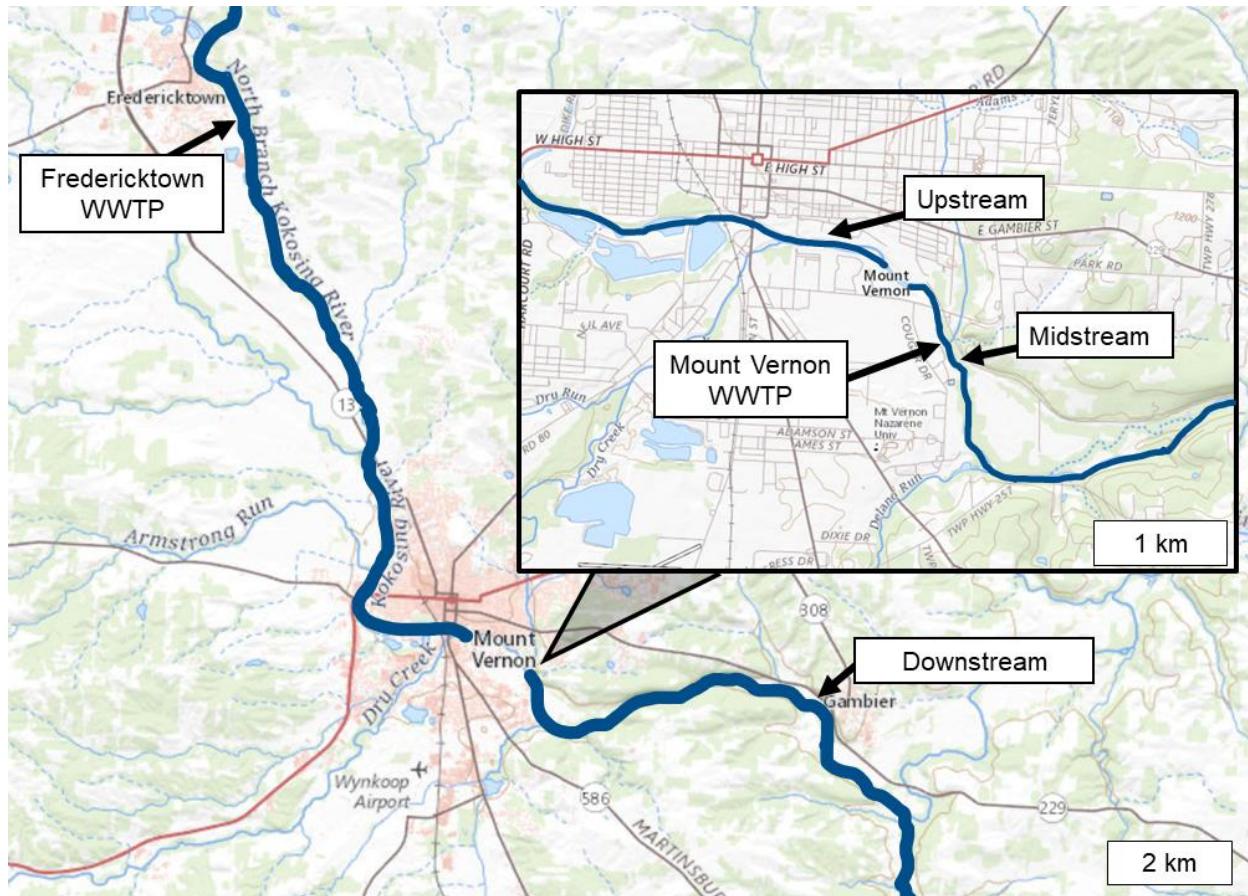
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570 \*ARGs shown represent the top 30 most abundant ARGs from Midstream sites. Values in right-  
571 hand column indicate the difference between total Downstream reads and total Upstream reads  
572 that match the marker shown.

573

574 FIGURES



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577 **Figure 1. Map of water sampling sites on the Kokosing River.** The Upstream site is 1 km  
578 upstream of the Mount Vernon City Wastewater Treatment Plant (WWTP). Midstream site is  
579 located 9 m downstream of the WWTP. Downstream site is 6 km downstream of the WWTP.  
580 Map was generated using the National Wild and Scenic Rivers System (2021).

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ARG	Taxon of Origin or Prevalence	October			November			December			April			May			June			Total
		Up	Mid	Down	Up	Mid	Down	Up	Mid	Down	Up	Mid	Down	Up	Mid	Down	Up	Mid	Down	
1 <i>msrE</i>	<i>Acinetobacter baumannii</i>	0	60	5	0	97	4	8	244	33	2	210	6	0	110	2	2	1011	22	1816
2 <i>mphE</i>	<i>Acinetobacter baumannii</i>	0	56	6	0	99	4	2	174	29	2	178	9	0	95	0	0	964	20	1638
3 <i>tet(39)</i>	<i>Acinetobacter baumannii</i>	0	26	6	0	100	2	3	101	30	0	83	11	0	124	2	0	154	3	645
4 <i>CfxA6</i>	<i>Acinetobacter baumannii</i>	0	21	10	0	36	0	0	207	50	0	63	6	2	48	2	0	85	4	534
5 <i>AAC(3)-VIIa</i>	<i>Streptomyces rimosus</i>	10	119	43	9	40	20	11	44	8	13	7	13	11	9	5	12	13	11	398
6 <i>ANT(4)</i>	<i>Staphylococcus aureus</i>	0	73	98	0	0	0	17	21	11	0	0	1	61	80	0	1	1	7	371
7 <i>OXA-256</i>	<i>Enterobacter cloacae</i>	3	65	16	0	48	5	2	97	11	0	29	2	3	32	2	0	54	0	369
8 <i>Mef(En2)</i>	<i>Bacteroides fragilis</i>	0	11	2	0	17	0	2	88	25	0	42	1	0	23	10	0	52	0	273
9 <i>OXA-5</i>	<i>Pseudomonas aeruginosa</i>	0	28	1	0	50	0	3	32	10	0	41	0	0	15	1	0	62	0	243
10 <i>QnrS6</i>	<i>Aeromonas hydrophila</i>	0	16	0	0	29	3	0	65	16	1	33	4	0	27	0	0	29	3	226
11 <i>OXA-226</i>	<i>Pseudomonas aeruginosa</i>	0	13	7	0	22	0	2	73	10	0	13	0	0	19	1	0	51	0	211
12 <i>mtrA</i>	<i>Mycobacterium tuberculosis</i>	0	14	2	2	12	3	3	20	1	0	24	0	0	9	2	3	95	8	198
13 <i>sul1</i>	<i>Vibrio fluvialis</i>	0	42	2	0	12	0	1	53	6	0	11	3	0	14	2	2	40	2	190
14 <i>OXA-280</i>	<i>Acinetobacter johnsonii</i>	0	7	0	0	23	1	0	34	10	0	8	0	1	21	0	0	67	1	173
15 <i>tet(E)</i>	<i>Escherichia coli</i>	0	2	0	0	32	2	1	40	5	2	25	0	0	1	0	0	43	0	153
16 <i>mefC</i>	<i>Photobacterium damselae</i>	0	14	2	0	32	0	0	22	4	0	8	0	0	20	1	0	31	0	134
17 <i>EreA2</i>	<i>Providencia stuartii</i>	0	58	0	0	32	2	0	13	1	0	0	0	0	5	0	0	19	0	130
18 <i>ACT-35</i>	<i>Enterobacter cloacae</i>	3	5	6	8	4	9	8	6	16	6	4	9	13	6	1	5	6	4	119
19 <i>OXA-156</i>	<i>Pandorea pulmonicola</i>	3	10	4	2	0	7	3	14	7	12	9	10	3	13	5	1	3	7	113
20 <i>tetQ</i>	<i>Bacteroides fragilis</i>	0	0	0	0	6	0	0	59	7	0	11	2	0	3	0	0	14	0	102
21 <i>OXA-46</i>	<i>Pseudomonas aeruginosa</i>	0	12	0	0	16	0	0	29	4	0	12	4	1	4	0	0	17	2	101
22 <i>ErmB</i>	<i>Enterococcus faecium</i>	0	1	0	0	2	0	0	34	17	0	17	0	1	6	0	0	15	1	94
23 <i>MOX-5</i>	<i>Aeromonas caviae</i>	0	6	1	0	8	0	1	6	8	0	10	0	0	11	2	0	37	1	91
24 <i>Erm(O)-Irm</i>	<i>Streptomyces lividans</i>	0	2	0	0	3	3	3	2	2	3	11	9	2	18	1	9	9	3	83
25 <i>CblA-1</i>	<i>Bacteroides uniformis</i>	0	1	0	0	7	0	0	31	1	0	11	1	0	13	0	0	15	0	80
26 <i>SHV-100</i>	<i>Klebsiella pneumoniae</i>	2	13	7	2	2	3	2	4	1	4	5	4	5	7	2	3	10	2	78
27 <i>mphG</i>	<i>Photobacterium damselae</i>	0	6	2	0	10	0	0	19	3	0	7	0	0	6	0	0	21	1	75
28 <i>OXA-443</i>	<i>Ralstonia mannitolilytica</i>	5	3	4	5	7	5	2	15	0	0	5	1	3	4	4	2	7	3	75
29 <i>aadA4</i>	<i>Acinetobacter baumannii</i>	0	2	0	0	36	0	0	16	1	0	2	0	0	13	0	0	4	0	74
30 <i>ErmF</i>	<i>Bacteroides fragilis</i>	0	0	0	0	1	2	0	36	5	0	5	2	0	3	5	0	12	0	71
31 <i>cmlA5</i>	Gram-negative species	0	1	0	4	15	0	1	22	2	1	7	2	1	2	1	0	9	1	69
32 <i>FOX-3</i>	<i>Klebsiella oxytoca</i>	0	0	6	1	7	0	1	11	0	2	6	0	1	8	5	3	15	3	69
33 <i>ErmG</i>	<i>Bacteroides thetaiotaomicron</i>	0	1	0	0	5	1	0	38	3	0	14	0	0	3	0	0	2	0	67
34 <i>bcrC</i>	<i>Bacillus licheniformis</i>	0	3	0	6	3	0	2	29	2	1	5	0	0	2	0	2	8	1	64
35 <i>OXA-137</i>	<i>Brachyspira pilosicoli</i>	6	5	2	4	7	1	1	11	0	5	8	3	2	3	1	1	3	1	64
36 <i>dfrF</i>	<i>Enterococcus faecalis</i>	0	0	0	0	4	0	0	25	0	0	10	1	0	10	0	0	12	1	63
37 <i>tetW</i>	<i>Butyrivibrio fibrisolvens</i>	0	0	4	0	1	0	0	29	0	0	5	0	5	5	2	2	9	0	62
38 <i>MOX-9</i>	<i>Citrobacter freundii</i>	2	1	10	0	1	3	1	10	1	0	4	1	3	6	7	6	6	0	62
39 <i>mefB</i>	<i>Escherichia coli</i>	0	5	0	0	4	0	0	17	2	0	5	0	0	7	0	0	13	0	53
40 <i>qacH</i>	<i>Vibrio cholerae</i>	0	4	0	0	12	0	2	16	0	0	5	1	0	3	0	0	10	0	53
41 <i>AER-1</i>	<i>Aeromonas hydrophila</i>	0	8	2	0	5	0	0	13	1	0	4	0	0	0	0	0	17	0	50
42 <i>ANT(3)</i>	<i>Serratia marcescens</i>	0	2	1	0	7	0	0	26	0	0	1	0	0	7	0	0	4	1	49
43 <i>EreD</i>	<i>Riemerella anatum</i>	0	2	0	0	14	0	0	7	0	0	0	0	2	8	0	0	14	2	49
44 <i>aadA27</i>	<i>Acinetobacter lwoffii</i>	0	2	0	0	7	2	4	8	1	3	3	5	0	5	0	3	4	2	49
45 <i>GES-21</i>	uncultured bacterium	2	1	0	0	1	0	0	19	0	0	9	0	0	1	0	0	15	0	48
46 <i>InuC</i>	<i>Streptococcus agalactiae</i>	0	3	0	0	6	0	0	16	3	2	6	1	0	5	0	0	6	0	48
47 <i>sul2</i>	<i>Vibrio cholerae</i>	0	9	0	0	6	0	0	14	0	0	2	0	0	8	0	0	3	0	42
48 <i>mphA</i>	<i>Escherichia coli</i>	0	4	0	0	3	0	0	18	3	0	2	0	0	0	0	0	11	1	42
49 <i>APH(6)-Id</i>	<i>Pseudomonas aeruginosa</i>	0	6	0	0	4	0	0	16	2	0	5	0	0	0	0	0	8	0	41
50 <i>OXA-31</i>	<i>Pseudomonas aeruginosa</i>	0	7	0	0	7	0	2	13	1	0	1	0	0	5	0	0	5	0	41
51 <i>tet(L)</i>	<i>Geobacillus stearothermophilus</i>	0	12	7	0	0	0	2	2	0	0	0	9	9	0	0	0	0	0	41
52 <i>IsaE</i>	<i>Enterococcus faecalis</i>	0	0	1	0	3	0	0	14	2	0	7	0	0	1	0	0	12	0	40
53 <i>imrS</i>	<i>Aeromonas veronii</i>	0	0	0	0	5	0	2	14	4	0	3	0	0	5	0	0	4	0	37
54 <i>tet(40)</i>	<i>Clostridoides</i> sp.	0	0	2	0	0	0	0	17	4	0	5	0	0	4	1	0	4	0	37
55 <i>ANT(3)</i>	<i>Escherichia coli</i>	0	5	1	0	0	2	0	9	2	0	4	1	0	5	0	0	4	1	34
56 <i>OXA-45</i>	<i>Pseudomonas aeruginosa</i>	0	2	0	0	1	0	0	16	0	0	1	0	0	5	0	0	7	0	32
57 <i>mel</i>	<i>Streptococcus pyogenes</i>	0	0	0	0	2	0	0	13	0	0	6	1	0	0	0	0	9	0	31
58 <i>APH(3)-IIIa</i>	<i>Campylobacter coli</i> CVM N29710	0	1	0	0	1	0	0	11	2	0	1	0	0	6	0	0	7	0	29
59 <i>tetX</i>	<i>Bacteroides fragilis</i>	0	1	0	0	1	0	0	6	1	0	5	0	0	4	1	0	8	0	27
60 <i>aads</i>	<i>Transposon Tn4551</i>	0	2	0	0	1	0	0	10	2	0	0	1	0	9	0	0	2	0	27

588

589

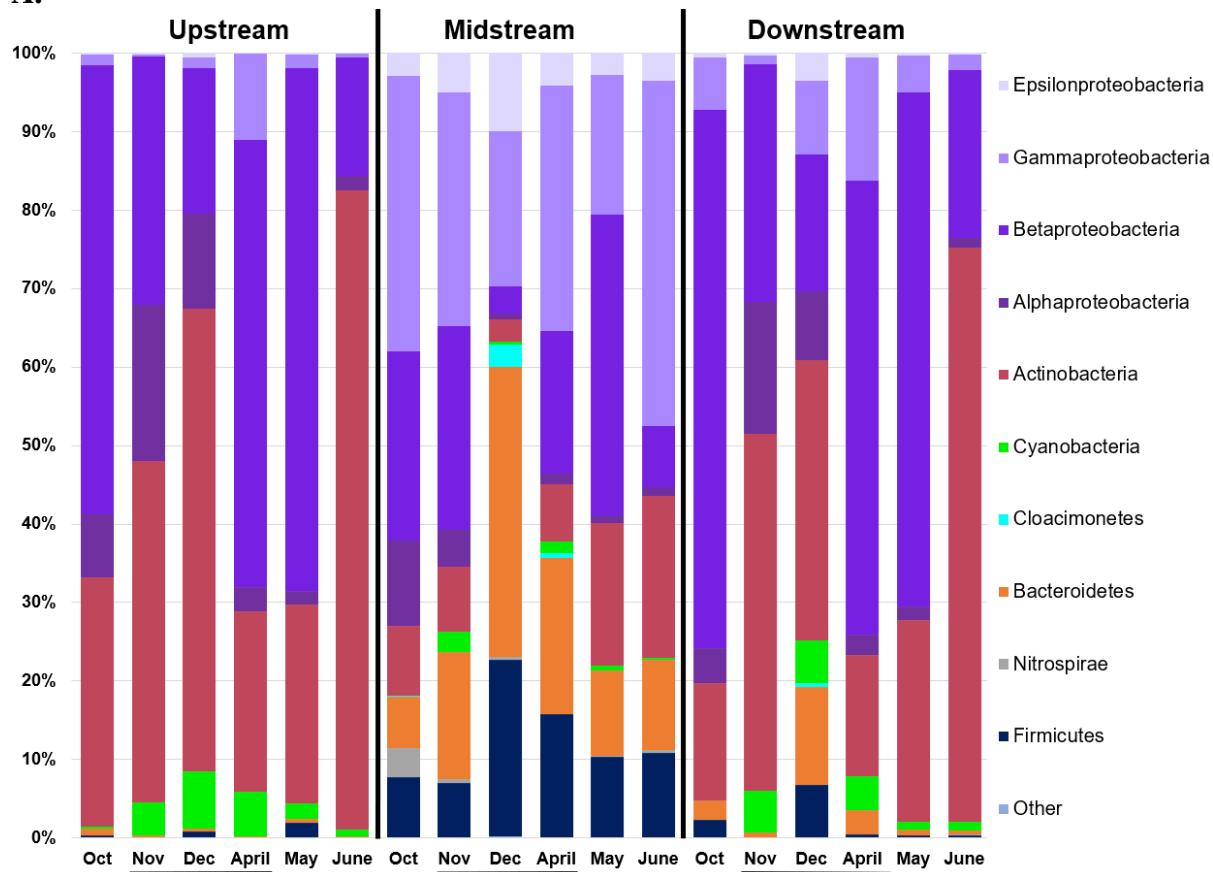
590 **Figure 2. Heatmap of relative abundance of top 60 ARG marker hits.** Read hit numbers are

591 ranked in descending order by total hits across samples. Yellow represents highest abundance,

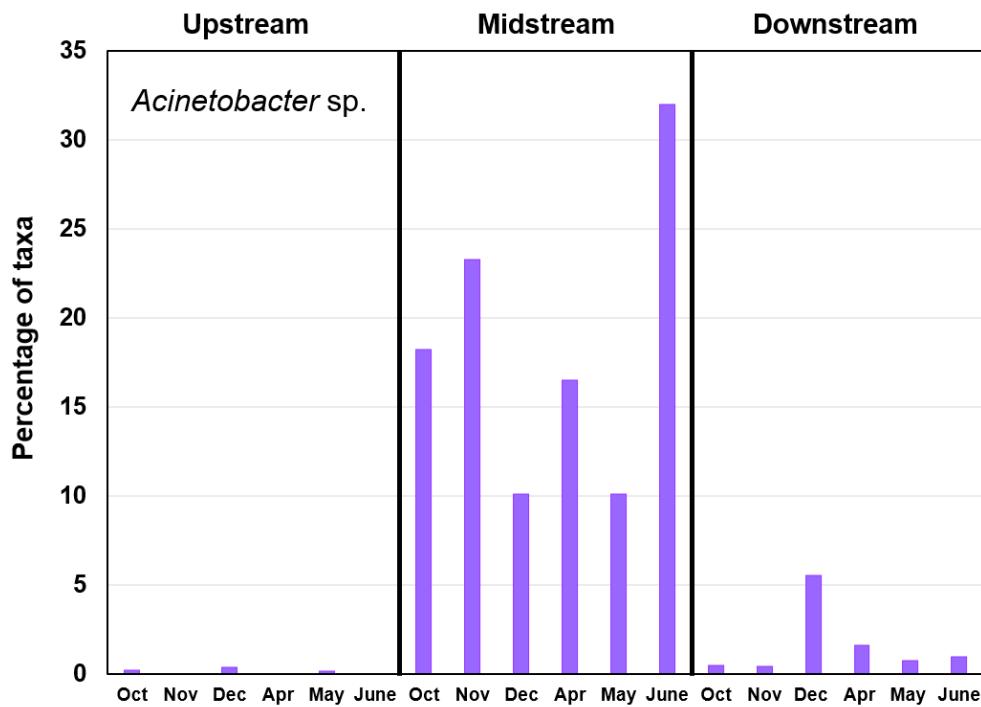
592 cyan represents lowest abundance.

593

A.



B.



594 **Figure 3. ARGs and bacterial taxa distribution across sampling sites and dates.**

595 **A.** Percentages of phyla and of proteobacterial classes predicted by MetaPhlAn2. Taxa with  
596 prevalence too small to be quantified were grouped as “Other.” Samples are sorted by site, then  
597 by sampling date. Horizontal black bars indicate dates when effluent was unchlorinated.

598 **B.** Percentage of *Acinetobacter* species predicted by MetaPhlAn2.

599

**A.**

	Alphaproteobacteria	Betaproteobacteria	Epsilonproteobacteria	Gammaproteobacteria	Actinobacteria	Bacteroidetes	Cloacimonetes	Cyanobacteria	Firmicutes
Midstream -- Downstream	0.18	0.093	<b>0.015</b>	<b>0.002</b>	0.026	<b>0.015</b>	0.608	0.199	<b>0.002</b>
Upstream -- Downstream	0.699	0.818	0.093	0.093	0.589	<b>0.015</b>	0.074	0.937	0.375
Midstream -- Upstream	0.093	0.132	<b>0.002</b>	<b>0.002</b>	0.004	<b>0.002</b>	0.074	0.093	<b>0.005</b>

**B.**

Taxa and ARG prevalence: Top 60 and Below 60	Upstream Top 60	Upstream Below 60	Midstream Top 60	Midstream Below 60	Downstream Top 60	Downstream Below 60
<b>Firmicutes</b>	0.55	0.84	0.43	0.71	0.89	0.83
<b>Bacteroidetes</b>	-0.20	0.31	0.66	0.49	0.49	0.77
<b>Cyanobacteria</b>	0.54	0.26	0.03	-0.49	-0.03	0.20
<b>Actinobacteria</b>	-0.20	-0.14	-0.09	-0.26	0.03	-0.31
<b>Alphaproteobacteria</b>	-0.49	-0.20	-0.54	-0.54	0.20	0.60
<b>Betaproteobacteria</b>	0.14	0.31	-0.77	-0.94	-0.37	-0.20
<b>Gammaproteobacteria</b>	0.66	0.60	0.20	0.09	0.43	0.60
<b>Epsilonproteobacteria</b>	-0.09	0.54	0.60	0.31	0.60	0.83

600

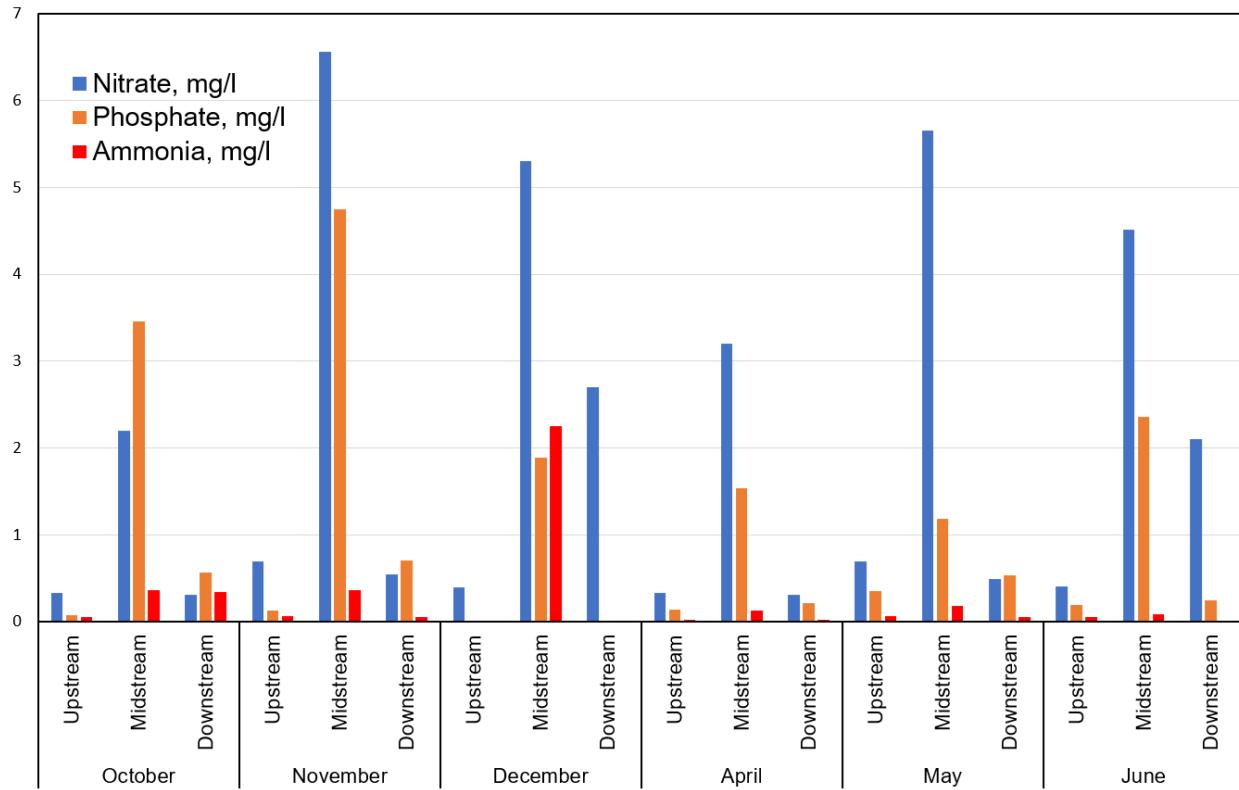
601 **Figure 4. Taxa correlations across river sites.**

602 **A.** Wilcoxon rank sum test p-values for comparison of taxa percentages at different sites,

603 grouped across all dates. p-values  $\leq 0.02$  indicate significant differences (highlighted).

604 **B.** Spearman rank correlations between bacterial taxa and ARG abundance.

605



606

607

608 **Figure 5. Nitrate, phosphate and ammonia concentrations across sites and dates.**

609 Concentrations of nitrate, phosphorus and ammonia were measured at each river site for each  
610 month. Full metadata are presented in **Supplemental Table S1**; and metadata correlations with  
611 ARG abundance in **Supplemental Table S6**.