

1 **Reliability of Mouse Behavioural Tests of Anxiety: a
2 Systematic Review and Meta-Analysis on the Effects of
3 Anxiolytics.**

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9 ABSTRACT

10 Animal research on anxiety and anxiety disorders relies on valid animal models of anxiety.
11 However, the validity of widely used rodent behavioural tests of anxiety has repeatedly been
12 questioned, as they often fail to produce consistent results across independent replicate
13 studies using different study populations or different anxiolytic compounds. In this study, we
14 assessed the sensitivity of behavioural tests of anxiety in mice to detect anxiolytic effects of
15 drugs prescribed to treat anxiety in humans. To this end, we conducted a pre-registered
16 systematic review of studies reporting tests of anxiolytic compounds against a control
17 treatment using common behavioural tests of anxiety in mice. PubMed and EMBASE were
18 searched on August 21st 2019 for studies published in English and 814 papers were
19 identified for inclusion. Risk of bias was assessed based on Syrcle's risk of bias tool and the
20 Camarades study quality checklist on a randomly selected subsample of 180 papers. Meta-
21 analyses on effect sizes of treatments using standardized mean differences (Hedges' g)
22 showed that only two of 17 test measures reliably detected effects of anxiolytic compounds
23 other than diazepam. Further, we report considerable variation in both direction and size of
24 effects of most anxiolytics on most outcome variables, indicating poor replicability of test
25 results. This was corroborated by high heterogeneity in most test measures. Finally, we
26 found an overall high risk of bias. Our findings indicate a general lack of sensitivity of
27 common behavioural tests of anxiety in mice to anxiolytic compounds and cast serious doubt
28 on both construct and predictive validity of most of those tests. The use of animals to model
29 human conditions can be justified only if the expected results are informative, reproducible,
30 and translatable. In view of scientifically valid and ethically responsible research, we call for
31 a revision of behavioural tests of anxiety in mice and the development of more predictive
32 tests.

33

34 INTRODUCTION

35 Animal experiments are a key component of basic and preclinical research, where the
36 mechanisms of diseases are studied and new compounds for their treatment are examined
37 for safety and efficacy before being tested in humans (fda.gov). However, the use of animals
38 for research can only be justified when the results obtained are informative (1–3), replicable*
39 (4–6), and translatable* (7,8). Furthermore, public concern for animal welfare urges
40 scientists to comply with the 3Rs principle (9), that is to refine, reduce, or replace the use of
41 animals whenever possible (10,11).

42 To achieve these goals and ensure responsible scientific practice, the validity* of animal
43 models in use is pivotal (2,12–14). A growing body of evidence indicates the lack of validity
44 of animal models as a potential cause for translational failure (13,15–17). Translational
45 failure can slow down medical advancement in the treatment of human disorders (18–20),
46 put patients in clinical trials at risk (3), waste research resources (21), and harm animals for
47 inconclusive research.

48 Anxiety disorders are amongst the most common mental health conditions, requiring still
49 new and better treatments (22–26). To study anxiety and to test the efficacy of anxiolytic
50 compounds behavioural tests in mice and other animals are commonly used (22,23,27,28).
51 Such tests are mostly based on exploiting an approach-avoidance conflict, i.e. the conflict an
52 animal may experience between exploring a new, and avoiding a potentially threatening,
53 environment (27,29,30). Amongst the various behavioural tests for rodents, the open-field
54 test is arguably the most popular one (23). This test, although with several modifications
55 (31,32), generally consists of a brightly illuminated arena, enclosed by walls. During the test,
56 an animal is placed inside the arena and behavioural outcomes are recorded. The test was
57 originally established to assess emotionality in rats, using urination and defecation as
58 measures of timidity (31,33). The use of the open-field test was then extended to assess a
59 wider range of behavioural features and psychiatric conditions (27) and adopted for other
60 species. Similar to rats, early studies which employed the open-field test in mice measured

61 defecation and freezing to assess genetic differences in behaviour (34,35). Additionally, the
62 distance travelled in the open-field test has been introduced and--since then--widely used as
63 a measure of locomotor activity to assess, for instance, the effect of sedative or stimulant
64 drugs (36). Further, thigmotaxis in the open-field, namely the tendency to explore the
65 proximity of the walls while avoiding the centre of the arena, is often recorded and
66 interpreted as a proxy for anxiety (27,32,37).

67 Similar to the open-field test, the elevated plus maze test (38) and the light-dark box test (39)
68 are based on the conflict between the exploration of a new environment and the natural
69 aversion of rodents to bright and open spaces. The rationale behind these tests as
70 measures of anxiety rests on the assumption that a state of anxiety should modulate the
71 animals' behaviour by reducing exploration, therefore reducing the exposure to (potential)
72 threats (22,27,40). Accordingly, the efficacy of anxiolytic compounds is assessed based on
73 whether and to what extent they attenuate the reduction of exploratory behaviour by the test
74 situation. Other popular tests, such as the hole-board test (41), the elevated zero maze (42),
75 the social interaction test (43), the novelty suppressed feeding test (44), and the four-plate
76 test (45), are based on the same conceptual rationale.

77 Over the years, behavioural tests for anxiety have been considered validated, because of
78 reported behavioural changes elicited by benzodiazepines, and specifically diazepam (46–
79 48). However, anxiolytic agents such as benzodiazepines also possess anti-depressant and
80 sedative effects, which implies that the observed behavioural effects may not necessarily be
81 due to a change in anxiety, but could be a result of the sedative properties of the drug (36).

82 Despite their popularity, several experimental studies, as well as literature reviews, have
83 highlighted inconsistent results in the behavioural outcomes elicited by new classes of
84 anxiolytics, therefore questioning the suitability of these outcomes as indicators for anxiety
85 (29,36,46,49,50). Benzodiazepines, although popular in the past to treat anxiety, have now
86 been replaced by better pharmacological compounds with fewer side effects and lower
87 withdrawal-related risks (51–53). Selective Serotonin Reuptake Inhibitors (SSRIs) or

88 Serotonin–Norepinephrine Reuptake Inhibitors (SNRIs), which are now used as a first-line
89 pharmacological treatment for human anxiety disorders, have failed to give reliable results in
90 rodent behavioural tests of anxiety (29,36,46,50,54).

91 Here, we aimed to assess the validity of common behavioural tests of anxiety in mice by
92 evaluating their responsiveness to anxiolytic compounds prescribed to humans, a process
93 known as 'reverse translation' (55,56). To this end, we performed a pre-registered
94 systematic review of research papers that had used these tests on laboratory mice, for a
95 broad range of anxiolytic compounds. We investigated the overall effect size for a range of
96 test measures of common behavioural tests as well as the variation of the reported
97 outcomes across the published literature. Additionally, we evaluated sample heterogeneity
98 and estimated the quality of reporting through a risk of bias assessment.

99 ***Glossary of key terms**

100 1. **Replicability**: the likelihood with which results can be replicated by an independent
101 study.
102 o Relevant literature: (5,6,57–61)

103 2. **Translatability**: the extent to which results obtained in an animal model can be
104 replicated in the system which is being modelled.
105 o Relevant literature: (16–18,62–64)

106 3. **Validity**: to be fit for use in research, and therefore be considered to be a valid
107 animal model, a test or animal model should meet several criteria of validity,
108 including:
109 i. **Construct validity**: the extent to which the test can measure what it is
110 supposed to measure
111 ii. **Predictive validity**: the extent to which a test can predict a certain outcome
112 in the system that is being modelled.
113 o Relevant literature: (1,2,12,28,65,66)

114 **METHODS**

115 **PRE-REGISTRATION.**

116 Prior to data extraction, in November 2019, this study was pre-registered at SYRCLE (see
117 supplementary information for the pre-registration protocol).

118 **SEARCH STRATEGY.**

119 The search strategy consisted of i) a list of anxiolytic compounds, ii) the keyword “mice”, and
120 iii) a list of behavioural tests for anxiety. To define the list of anxiolytic compounds, we used
121 a combination of the following databases to list compounds that are commonly used to treat
122 anxiety disorders in humans: DrugBank (drugbank.ca); FDA Drug Approval Databases
123 (fda.gov); Anxiety and Depression American Association (adaa.org). We selected the
124 following compounds: alprazolam, amitriptyline, buspirone, chlordiazepoxide, citalopram,
125 clomipramine, clonazepam, clorazepate, desipramine, diazepam, doxepin, duloxetine,
126 escitalopram, fluoxetine, flurazepam, fluvoxamine, hydroxyzine, imipramine, lorazepam,
127 maprotiline, mirtazapine, nortriptyline, oxazepam, paroxetine, protriptyline, sertraline,
128 temazepam, trazodone, triazolam, trimipramine, venlafaxine. A literature search allowed us
129 to identify behavioural tests commonly used to assess anxiety in mice (Table 1). Each test
130 that yielded more than 10 results, when searched on PubMed (on date July 15th 2019) in
131 combination with the aforementioned list of compounds, and the keyword “mice”, was
132 included in the search (Supplement 1). The search was performed on PubMed
133 (ncbi.nlm.nih.gov/pubmed) and EMBASE (embase.com), on August 21st, 2019.

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| Test | Test measure | N Outcomes | Included retrieved |
|---------------------------------|---|---------------|-----------------------|
| Elevated plus maze (EPM) | eca: Number of entries into closed arms. | 206 | yes |
| | eo: Number of entries into open arms. | 296 | yes |
| | toa: Time (both in percentage and in time unit) spent in the open arms. | 552 | yes |
| Elevated zero maze (EZM) | ecc: Number of entries into the closed compartment. | 2 | no |
| | eoc: Number of entries into the open compartment. | 5 | no |
| | toc: Time (both in percentage and in time unit) spent in the open compartment. | 14 | yes |
| Four-plate test (FPT) | cross: Number of punished crossings. | 42 | yes |
| Holeboard test (HBT) | hd: Number of head dips. | 137 | yes |
| | dark: Time spent in the dark compartment. | 35 | yes |
| | light: Time (both in percentage and in time unit) spent in the light compartment. | 187 | yes |
| Light-dark box (LDB) | trans: Number of transitions between the two compartments. | 107 | yes |
| | lat: Latency to eat (sec). | 37 | yes |
| | cent: Time (both in percentage and in time unit) spent in the center (as defined by the authors). | 87 | yes |
| Open field test (OF) | dist: Distance travelled. | 125 | yes |
| | rear: Number of rearings. | 207 | yes |
| | sqr: Number of squared crossed. | 362 | yes |
| Social interaction test (SI) | time: Time (sec) spent in social interaction. | 26 | yes |
| Staircase test (STC) | rrs: Number of rearings. | 27 | yes |
| | stps: Number of steps climbed. | 29 | yes |
| Vogel conflict test (VC) | dbo: Number of drinking bouts. | 7 | no |
| | shck: Number of shocks accepted or received. | 9 | no |

138

139 **Table 1** – Behavioural tests for anxiety in mice and relative test measures included in the
140 search.

141

142 **STUDY SELECTION**

143 After reference retrieval, we excluded paper duplicates using the reference manager
144 software Citavi 6.4 (Swiss Academic Software GmbH, Wädenswil, CH). The main reviewer
145 (MR) scanned the titles, abstracts and/or methods of these papers, and excluded all those,
146 which did not use the behavioural tests of interest (Table 1), mice, or the selected anxiolytic

147 compounds. Additionally, we excluded papers that were not original research papers and
148 papers that were not written in English. After the first scan, two independent reviewers (main
149 reviewer: MR, second reviewers: RW, AL, NS) performed the full paper screening and the
150 data extraction.

151 STUDY CHARACTERISTICS

152 Studies were included or excluded according to the pre-specified inclusion/exclusion criteria
153 (Supplement 1). For each paper, two reviewers independently extracted information about
154 the animals (i. strain, ii. sex, iii. age, iv. transgenic ID; v. stress or defeat treatment), about
155 the treatment (vi. compound, vii. dosage, viii. route of administration, ix. time of
156 administration before testing), and about testing (x. open field size, xi. test duration). For
157 each test, we selected test measures suggested by the authors as measures of anxiety
158 (Table 1). For each test measure, we extracted mean values, sample size, and either
159 standard deviation or standard error of the mean, for both treatment and control group. We
160 accepted any control group as declared by the authors (e.g. administrating water, saline
161 solution, etc.). Information from graphical data was extracted using the online software
162 Automeris (<https://apps.automeris.io/wpd/>).

163

164 **DATA ANALYSIS**

165 The statistical analysis was performed in R (1.4.1103) (67) with the package metafor 2.4-0
166 (68). For each study, we computed the standardized mean difference Hedges' g between
167 the control and the treatment group as the chosen indication of effect size (metafor::escalc).
168 We included any test measure that yielded at least 10 results. Consequently, four measures
169 (EZM-eoc, EZM-ecc, VT-shcks, VT-dbs) were excluded from further analysis. For the
170 measures LDB-dark, EPM-eca, NSF-lat, STC-rrs we reversed the sign of the effect size,
171 because a decrease in behaviour manifestation is expected as a result of treatment. Our
172 data pool was subset by test measure and a meta-regression model was fitted for every
173 subset.

174 rma (yi, vi, mods= ~ factor (compound) - 1, random = list(~ 1 | study/observation,~ 1 | strain))
175 Standardized mean differences (Hedges' g) were tested with the modifier 'compound'
176 (anxiolytic compounds) against the null hypothesis of the estimated effect size for each
177 compound group equalling zero. Publication and strain were added as random effects. To
178 assess the overall estimated effect size, independent of anxiolytic compound, the same
179 model syntax was used, excluding the factor modifier. Total and partial I^2 , indicating the
180 percentage of sample variation, were used as a measure of heterogeneity, and were
181 calculated using the methods proposed in (69).

182 **RISK OF BIAS**

183 Due to the large sample size, an assessment of quality was made on a subsample
184 consisting of 180 randomly selected papers. The assessment was done by two independent
185 reviewers (MR, CP), who evaluated 80 different papers each, as well as 20 papers that were
186 reviewed by both investigators, to estimate inter-rater reliability. We used an adapted
187 combination of the CAMARADES study quality checklist and SYRCLE's risk of bias tool
188 (Supplement 1).

189

190 **RESULTS**

191 **STUDY SELECTION**

192 Our search retrieved 744 papers from PubMed and 2533 papers from EMBASE of which
193 1764 were excluded in the first steps of the review (Fig 1). In particular, 533 were excluded
194 as paper duplicates, and 1231 were excluded based on abstract and/or method section
195 screening. The full texts of 1513 papers were screened and 814 of those papers were
196 included in the data extraction process according to the pre-specified criteria. As the search
197 strategy identified key words in all fields of the text, several papers not relevant to us were
198 identified; 331 papers were excluded because the sample size was unclear or not reported,
199 62 papers were excluded because the text was unavailable publicly, 59 papers were
200 excluded because compounds other than the ones of interest were used, or compounds
201 were used in combination with other compounds, 48 papers were excluded because of
202 issues in the reporting of the outcomes, 40 papers were excluded because they had formats
203 other than research papers, 33 papers were excluded because the behavioural tests used
204 were different from the ones of interest, 25 papers were excluded due to ambiguity regarding
205 the measure of variance of the reported outcomes, 24 papers were excluded because they
206 used animals other than mice, or because of ambiguity in the species of animal used, and 13
207 papers were excluded for other reasons (i.e. missing controls, treatment administered to
208 mothers, etc.).

209 **STUDY CHARACTERISTICS**

210 All the eligible studies used mice, which were tested in behavioural tests after administration
211 of anxiolytic compounds. The Supplementary table illustrates the details of data distribution
212 in the different test measures of interest in combination with each compound. Due to
213 reporting of multiple outcomes per paper, a total of 2476 outcomes were distributed across
214 17 different test measures, in combination with 25 different anxiolytic compounds. The test
215 measures from the elevated plus maze and the open field made up the great majority of
216 outcomes (74%, Table 1), followed by the light-dark box test and the holeboard test

217 contributing a total of 13% and 5% of the outcomes, respectively. A minor contribution was
218 attributed by the staircase test (the staircase test, n = 56, “rrs” n = 27, “stps” n = 29), the
219 four-plate test (n = 42), the novelty suppressed feeding test (n = 37), the social interaction
220 test (n = 26), and the elevated zero maze (n = 14). The great majority of these measures
221 were recorded when used in combination with benzodiazepines (72%), with diazepam being
222 the most frequently used compound (65%). SSRIs was the second most common compound
223 class (20%), with fluoxetine (12%) being its most frequently used representative.

224 RISK OF BIAS

225 A sub-sample of 180 papers was analysed in detail to assess the risk of bias across 17
226 different items (Table 2). All the scored papers were published in peer-reviewed journals,
227 and most of them reported mouse strain (95%), sex (90%) and housing temperature (75%).
228 31% of the papers reported details regarding compliance with animal welfare regulations,
229 43% of the papers reported details on the statistical analysis, and 34% of the papers
230 reported details on the blinding procedures. For the following five items, we scored a high
231 risk of bias: automatic allocation to treatment group (97%), randomized order of testing
232 (92%), a-priori sample size calculation (98%), random housing (95%), and blinding of
233 investigators (95%). Further details are reported in Table 2.

| Question | High | Medium | Low |
|---|-------|--------|-------|
| was an automatic randomization method used to allocate animals to groups? | 97.22 | 2.78 | 0 |
| were animals randomly allocated to treatment/control group? | 65.56 | 34.44 | 0 |
| was the test order randomized or counterbalanced? | 92.78 | 6.11 | 1.11 |
| was the sample size declared to be appropriately calculated? | 98.89 | 1.11 | 0 |
| where animals randomly housed? | 95.56 | 4.44 | 0 |
| compliance with animal welfare regulations declared? | 19.44 | 48.89 | 31.67 |
| were the investigators blinded during the experiment? | 95.56 | 3.89 | 0.56 |
| is the statistical analysis described? | 2.22 | 54.44 | 43.33 |
| Is the housing temperature reported? | 25 | 0 | 75 |
| Is the sex of the animals reported? | 10 | 0 | 90 |

| | | | |
|---|-------|---|-------|
| Is the strain of the animals reported? | 5 | 0 | 95 |
| conflict of interest declaration | 52.78 | 0 | 47.22 |
| publication in a peer-reviewed journal? | 0 | 0 | 100 |
| were the outcome assessors blinded during the experiment? | 65.56 | 0 | 34.44 |

234

235 **Table 2:** Results of the risk of bias assessment. Values in the table indicate percentages of
236 papers, which scored either as high, medium, or low risk of bias in each item (row).

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238 **SYNTHESIS OF RESULTS**

239 Estimated effect sizes varied greatly across the majority of the test measures and
240 compounds (Fig 2). The overall estimated effect size allows determining whether there is
241 evidence of an anxiolytic effect on the behavioural measures elicited by a range of anxiolytic
242 compounds. Ten out of the 17 test measures yielded a positive overall effect size
243 significantly different from zero (EPM-eca, EPM-eoa, EPM-toa, FPT-cross, LDB-light, LDB-
244 *trans*, NSF-*lat*, OF-*cent*, SI-*time*, STC-*rrs*), while overall effects of the remaining seven did
245 not significantly deviate from zero.

246 For each meta-analysis, the factor 'compound' was tested for significance to assess whether
247 any of the anxiolytic compounds affected behavioural outcomes. For this, the null hypothesis
248 to be tested assumes the estimated effect sizes for all compounds to be zero (68). After
249 family-wise correction for multiple testing for the 17 meta-analyses performed, five measures
250 showed no significant effect, namely EZM-*toc*, LDB-*dark*, NSF-*lat*, OF-*dist*, and SI-*time*
251 (Table 3).

252 For each test measure, we calculated total and partial I^2 as a measure of heterogeneity. For
253 15 out of 17 measures, total I^2 was above 85%. The partial I^2 attributed to 'strain' contributed
254 little to the total I^2 , except for SI-*time*, where it accounted for 48% of the total heterogeneity.
255 Partial I^2 attributable to within-study heterogeneity varied greatly across measures: in 10
256 cases being <10%, while being more pronounced in others (e.g. 64% for FPT-cross).
257 Between-study heterogeneity explained the greater part of the total heterogeneity for 14 out
258 of the 17 measures (Table 3).

259 Given the 25 compounds and 17 test measures, there are a total of 425 compound-by-
260 measure combinations. We found reported study outcomes for 182 of those compound-by-
261 measure combinations (details summarized in the Supplementary Table). The number of
262 outcomes per combination varied from 1 to 413, with 118 compound-measure combinations
263 with more than one outcome recorded. Of these, only 32 had a positive and significant effect
264 size (i.e. the lower bound of the 95% confidence interval being larger than zero), while 86

265 combinations did not show a positive effect (Fig 2 and Supplementary Table). Diazepam was
266 the compound that elicited a significant positive effect size in 9 out of 17 test measures.
267 Overall, most of the combinations with a significant effect size were due to benzodiazepines,
268 with 20 positive effects out of 32. LDB-*light* yielded a positive effect size for most of the
269 anxiolytic compounds tested, 8 out of 11, and EPM-*toa* yielded a positive effect size for 5 out
270 of 15 anxiolytic compounds. The rest of the test measures detected an effect for at most two
271 anxiolytic compounds, across the range with which they were tested.

272 The percentage of individual observations that detected a positive significant effect varied
273 greatly across the different combinations of test measures and anxiolytic compounds,
274 ranging from 0% to 100% (Table 4). As all the compounds included in this analysis have
275 been shown to reduce anxiety in humans, we assessed the sensitivity of behavioural tests
276 outcomes to detect the expected anxiolytic effect of these compounds in mice based on the
277 logic of reverse translation. Thus, we used the proportion of individual studies reporting a
278 significant positive effect as a measure of sensitivity and an estimate of the true positive rate.

279 To conclude that a behavioural test reliably detects an anxiolytic effect, we require that
280 individual studies detect significant effects (positive effect size with a 95% confidence
281 interval not including zero) in at least three out of four cases (i.e. 75%). The majority of
282 behavioural measures failed to reliably detect an effect for the majority of the compounds.

283 In 89 out of 118 combinations for which more than one outcome was recorded, less than
284 75% of individual studies reported significant positive effects, while only for 29 combinations,
285 the proportion was greater than 75%. Table 4 suggests that diazepam was the compound
286 that most often elicited a behavioural change detectable in five test measures. Here, we
287 also observe a higher number of studies as compared to other compounds. Out of the 29
288 'reliable' combinations, benzodiazepines were the dominant compound class, showing
289 reliable results in 14 combinations. LDB-*light* seems to be the most promising candidate to
290 detect an anxiolytic effect, with the majority of individual studies detecting an effect in seven
291 out of 11 anxiolytic compounds across compound classes. Furthermore, EPM-*eoA* and EPM-

292 toa reliably detected effects for 3 and 4 anxiolytic compounds, respectively. Similarly, OF-
293 sqrs, reliably detected an effect of 3 anxiolytic compounds, but the number of individual
294 studies was far lower than for the EPM. Forest plots (Fig 3 and Supplementary Material)
295 show how for some measures the estimated effect sizes for individual studies range from
296 highly negative values to highly positive ones, spreading in an almost symmetrical fashion
297 across the null. Clear examples of such pattern can be seen in the forest plots of EPM-eca,
298 HBT-hd, LDB-trans, NSF-lat, OF-dist, OF-rear, OF-sqrs, and STC-stps.

299

| Test | Measure | Significance of factor 'compound' | I ² Total | I ² between studies | I ² within study | I ² Strain |
|------|-----------------|--------------------------------------|----------------------|--------------------------------|-----------------------------|-----------------------|
| EPM | eca | * | 90.3 | 84.4 | 5.5 | 0.4 |
| | eo ^a | * | 87.4 | 57.3 | 9.1 | 21 |
| | toa | * | 94.3 | 73.5 | 4.5 | 16.4 |
| EZM | toc | ns | 85.3 | 0 | 0 | 85.3 |
| FPT | cross | * | 85.5 | 21.5 | 64 | 0 |
| HBT | hd | * | 97.7 | 97.7 | 0 | 0 |
| | dark | ns | 99.2 | 99.1 | 0.1 | 0 |
| | light | * | 96.2 | 92.4 | 0.7 | 3.2 |
| LDB | trans | * | 69.4 | 64.7 | 0 | 4.7 |
| | lat | ns | 91.8 | 54.9 | 36.9 | 0 |
| | cent | * | 90.2 | 77.9 | 11.1 | 1.1 |
| OF | dist | ns | 82.9 | 57 | 19.4 | 6.5 |
| | rear | * | 93.4 | 91.3 | 2.1 | 0 |
| | sqrs | * | 95.1 | 85.9 | 8.4 | 0.8 |
| SI | time | ns | 94.6 | 0 | 45.9 | 48.7 |
| STC | rrs | * | 86.2 | 60 | 26.2 | 0 |
| | stps | * | 97.1 | 78.5 | 0 | 18.6 |

300

301 **Table 3:** Significance level of moderator effect (treatment × compounds interaction), total and
302 partial I² estimates per test measure.

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| Test | Measure | | Compounds | | | | | | | | | | | |
|------|-----------------|-----------------|----------------|------------------|-------------|------------|-----------|-------------|------------|-------------|-----------|------------|--------------|------------|
| | | | Benzodiazepine | | | | | Other | SNRI | | SSRI | | | |
| | | | alprazolam | chlordiazepoxide | clorazepate | diazepam | lorazepam | hydroxyzine | duloxetine | venlafaxine | buspirone | citalopram | escitalopram | fluoxetine |
| EPM | eca | n % sign. | | 21 5% | | 138 38% | | 2 100% | 2 0% | | 22 32% | | 2 0% | 13 8% |
| | | n % sign. | 4 75% | 17 59% | | 221 82% | | 2 100% | 2 100% | | 25 20% | | | 14 21% |
| | eo _a | n % sign. | 8 75% | 24 71% | | 413 84% | 2 50% | 3 100% | 4 0% | 3 33% | 32 34% | 3 0% | 5 40% | 35 23% |
| EZM | toc | n % sign. | | 4 50% | | 4 100% | | | | | | | | |
| FPT | cross | n % sign. | 4 50% | | | 34 74% | | | | | | | | |
| HBT | hd | n % sign. | | 2 0% | | 120 35% | | | | | | | 4 0% | 5 60% |
| LDB | dark | n % sign. | | | | 27 67% | | | 2 50% | | | | | |
| | light | n % sign. | 4 100% | 8 63% | | 142 80% | 3 100% | 5 60% | 4 50% | | 3 100% | 2 100% | | 10 50% |
| | trans | n % sign. | 2 50% | 7 29% | 2 100% | 84 62% | 2 100% | | | | | | | 4 0% |
| NSF | lat | n % sign. | | 2 100% | | 3 67% | | | | | | | 3 0% | 21 19% |
| OF | cent | n % sign. | | | | 37 59% | | | | 2 0% | | | 6 0% | 2 0% |
| | dist | n % sign. | | | | 28 18% | | | | 5 0% | 3 0% | 9 44% | 2 0% | 56 13% |
| | rear | n % sign. | 2 100% | clonazepam | | 121 21% | 2 50% | 2 100% | | 3 33% | 3 33% | | | 43 26% |
| | sqrs | n | 3 | 2 | triazolam | 207 | 2 | 2 | 2 | 5 | 6 | 3 | 6 | 69 |

| | | | | | | | | | | | | | | | |
|-----|------|---------------------|-----|----|----------|-----------|------|------|-----|-----|-----|-----|----|-----|----------|
| | | % sign. | 33% | 0% | | 25% | 100% | 100% | 50% | 20% | 17% | 33% | 0% | 17% | 0% |
| SI | time | <i>n</i> % sign. | | | | 14 71% | | | | | | | | | 9 44% |
| STC | rrs | <i>n</i> % sign. | | | 2 50% | 19 79% | | | | | | | | | |
| | | <i>n</i> % sign. | | | 2 0% | 21 48% | | | | | | | | | |

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308 **Table 4:** Number of studies and percentage of positive studies, per combination of test
309 measure and anxiolytic compounds. Cells in grey indicate a percentage of positive studies
310 <75%. Coloured cells highlight a percentage of positive studies >75%. Colour gradient
311 indicates an increasing number of studies. Combinations with only one study were excluded
312 from the table.

313

314 **DISCUSSION**

315 With the present study, we aimed at providing a synthesis of the reliability of mouse
316 behavioural tests of anxiety. We assessed their sensitivity to a broad range of anxiolytic
317 compounds approved for the treatment of anxiety in humans, using a systematic and unbiased
318 approach. Briefly, we found reported effects to vary greatly across studies and test measures,
319 in addition to overall high heterogeneity and important risks of reporting bias.

320 We found that for five of the 17 test measures, none of the anxiolytic compounds had a
321 significant effect, whereas, for the remaining 12 test measures, an effect of at least one
322 anxiolytic compound was detected. Additionally, we investigated the overall estimated effect
323 size for each test measure, irrespective of anxiolytic used, and found null or negative overall
324 effects for seven test measures.

325 For the majority of the test measures and specific compounds, we have observed great
326 variation in the estimated effect sizes, ranging from highly negative to highly positive values,
327 and resulting in estimated cumulative effect sizes close to zero (e.g. in OF-sqrs and in OF-
328 *rear*, and in HBT-*hd*). Additionally, we observed that the effect size estimates of individual
329 studies, which reported a significant effect of a compound also varied greatly even for those
330 combinations in which the overall estimated effect size was positive. Because all of the
331 compounds included in our study were shown to have anxiolytic effects in humans, we
332 consider the proportion of individual studies as a measure of how reliably such behavioural
333 tests can detect behavioural changes elicited by anxiolytic compounds. Overall, only 1254 out
334 of all 2476 contrasts (i.e. 50%) showed significant treatment effects.

335 Investigation of the total and partial heterogeneity showed that the greater portion (median
336 74%) of the sample heterogeneity, across test measures, is produced by differences between
337 studies. Such a high level of between-study heterogeneity seems to be common in several
338 fields of animal research (70–73).

339 There were only two test measures in which the between-study heterogeneity was as low as
340 expected due to random variation alone: *SI-time* and *EZM-toc*. These test measures were,
341 however, not sensitive to effects of anxiolytic compounds. Within-study heterogeneity varied
342 greatly across measures but was overall lower than other partial heterogeneity measures,
343 hinting at high levels of standardization within laboratories.

344 Even though our results show that most of the test measures do not reliably detect behavioural
345 changes elicited by several anxiolytic compounds, we have found two test measures - *EPM-*
346 *toa* and *LDB-light* - that appear to be sensitive both in terms of detecting a positive effect of
347 anxiolytic compounds and to reliably detect a positive effect in the majority of the individual
348 studies. Additionally, these test measures show significant positive effect sizes for a wider
349 range of anxiolytic compounds than the other measures. With 73% (*EPM-toa*) and 78% (*LDB-*
350 *light*), respectively, of individual studies reporting a positive effect, the false-negative rates
351 approach the minimally recommended threshold of 0.2. Thus, these measures seem to be
352 promising starting points for refinement and the development of reliable test procedures.

353 The substantial variation observed between studies using the same test measure and
354 anxiolytic compound with comparable dosages is likely to be attributed to environmental,
355 genetic, and procedural differences. Previous analyses of behavioural test outcomes for the
356 effect of mouse strain on both basal levels of performance and performance after the
357 administration of anxiolytic compounds highlighted substantial strain differences and often
358 conflicting results (46,74–77). Surprisingly, we found only weak effects of mouse strain on
359 heterogeneity for most of the test measures. Apart from genetic background, differences in
360 sex, age, housing conditions, and test environment may contribute to between-study variation.
361 Unfortunately, these are only sporadically and scantily reported. We invite the readers to
362 explore our publicly available dataset through our online application, available at
363 https://mrossovetsuisse.shinyapps.io/Shiny_SR/, which allows displaying data subset by sex,
364 strain, stress treatment and dosage.

365 Taken together, our results show that most behavioural test measures are unreliable in
366 detecting behavioural changes elicited by anxiolytic compounds other than benzodiazepines
367 and in particular diazepam. This corroborates the previously voiced suspicion that most
368 popular behavioural tests of anxiety are in fact "benzodiazepines tests" (29,47). The
369 behavioural effects elicited by benzodiazepines in these tests have been proposed to reflect
370 disruption of normal behaviour, possibly resulting in altered impulse control rather than
371 attenuated anxiety (47,78).

372 The behavioural tests included in our study heavily rely on changes in exploration patterns to
373 determine anxiety levels and such test procedures may not be able to disentangle behavioural
374 changes in exploration and anxiety (37,49,79). A clear example of this problem is the open
375 field test, which is sometimes performed to assess anxiety but sometimes to control for
376 locomotor activity in combination with other tests of anxiety (80,81). For example, if the
377 response of animals to a compound is tested in both the LDB and the open field, an increase
378 in LDB-light in the absence of a change in locomotor activity in the open field would suggest
379 that the investigated compound has a specific anxiolytic effect, but no sedative effect, which
380 is highly desirable in anxiolytics especially from a translational perspective (82–84). Upon
381 literature review, we have found as many records in which the open field was performed as a
382 test of locomotor activity (80,81,85,86), as we have found records in which it was performed
383 as a test of anxiety (87–90). Here, we identify an issue with the continuation of such tests as
384 long-held standards that may not be appropriate, due to the researcher's degree of freedom
385 in the interpretation of the test's meaning (91,92).

386 On a different note, our findings question the standard classification of effect sizes in animal
387 behavioural research. Cohen introduced what are, up to date, considered the conventional
388 thresholds for small, medium, or large effect sizes (namely, a Cohen's d of 0.2, 0.5, and 0.8
389 respectively (93)). The author warned for caution (p. 25) in using these thresholds for power
390 analysis outside the scope of the field for which they were initially thought for (psychology or
391 sociology). Study populations of laboratory animals are normally characterised by high

392 degrees of both genetic and environmental standardization (94–96). Therefore, populations of
393 animal studies are usually much more homogenous, producing much lower levels of random
394 variation, when compared to study populations of clinical studies (97). This difference has
395 important implications for the interpretation of standardized effect sizes like Cohen's d or
396 Hedges' g. Due to the higher level of standardization in animal studies and the resulting low
397 within-group variation, a given mean difference between a control and a treatment group will
398 result in a much higher standardized effect size. For example, for EPM-toa, (98) reported
399 123.8 seconds spent in the open arms for the control group and 207.3 seconds for the group
400 receiving diazepam. Given the corresponding standard errors of 0.4 and 0.7 for the control
401 and the treatment group, respectively, this amounts to a standardized effect size of 40.6, which
402 is on an entirely different scale of magnitude than a Cohen's d of 0.8, the reference for "large"
403 effects. While this is one of the more extreme examples, we note that EPM-toa had an average
404 effect size across drugs of 2.13, with 77% of the total studies reporting an effect size larger
405 than the standard large effect of 0.8. Correct estimation of expected effect sizes is essential
406 for proper power analyses and sample size calculations, with important implications for animal
407 welfare. Considering the large achieved effect sizes, the power analyses based on the
408 "standard Cohen's values" are likely to lead to unnecessarily large required sample sizes.
409 Because of this, we call for a cautious interpretation and more contextualized use of effect
410 size classification, according to each field of research.

411 Our risk of bias assessment showed overall high-risk scores for most of the items. Although
412 the common checklists and tools for risk of bias analyses assess reporting quality rather than
413 study quality, high risks of bias can have serious implications for the reproducibility and
414 replicability of study findings. Albeit efforts have been made to develop more stringent
415 guidelines for both designing and reporting of animal studies (99,100), we observed an overall
416 low quality of reporting, which likely reflects poor study design and conduct. For instance,
417 researchers failed to report the sex or the strain of the animals in 10% of the cases, and
418 important aspects of the housing conditions (e.g. light intensity and temperature),

419 randomization and blinding procedures, testing conditions (e.g. apparatus size, light intensity,
420 and time of testing), as well as sample size calculations were reported only sporadically.

421 Our study re-evaluates the suitability of behavioural tests of anxiety in mice, showing low to
422 no sensitivity to anxiolytic compounds (other than diazepam) commonly used for the treatment
423 of anxiety in humans. These finding let us expect poor predictive validity for the discovery of
424 new compounds to treat anxiety disorders in humans and points at a high false-negative rate
425 for individual studies. Additionally, our results highlight considerable idiosyncrasy in the results
426 of the behavioural tests as they are currently performed, with the majority of the tests
427 producing irreproducible and often contradicting results. These findings are corroborated by
428 previous evidence for poor replicability of behavioural tests for anxiety (46,47). Animal tests
429 that lack replicability and validity do not generate new knowledge and, consequently, lose their
430 ethical justification. Additionally, invalid pre-clinical animal trials impair scientific and medical
431 advancement, impacting human subjects in need of treatment. Following the 3Rs principle,
432 effort must be made to improve the quality of animal models for anxiety by developing more
433 informative and reproducible tests with a sound rationale producing results of high internal as
434 well as external validity. This can lead not only to a significant improvement of experimental
435 results but also to more comprehensive and conclusive evidence synthesis in systematic
436 reviews, tackling the prominent bias for positive publications.

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Fig 1 - Flowchart of the screened papers and reasons for exclusion. ss: unclear or absent sample size; unav: paper unavailable; par: incompatible outcomes reported; drug: incompatible compounds used; par-report: issues with the reporting of the outcomes; paper: wrong format of paper; test: incompatible behavioural test used; animal: wrong animals used; sem-sd: unclear or absent measure of variance; other.

Fig 2: Violin plots showing the probability density distribution of the calculated effect size (x-axis) of the individual studies for each test measure. Overlapped to the violin plots, the overall estimated effect size for each test measure, indicated by the diamonds, and the relative 95% confidence interval. Points indicate the estimated mean effect size for each compound. Colours indicate anxiolytic compounds. Opacity is applied to not significant effect sizes, i.e. the lower bound of the 95% confidence interval is lower than zero. An interactive version of the Fig can be found online at https://mrossovetsuisse.shinyapps.io/Shiny_SR/.

Fig 3: Forest plots of three selected test measures: A: LDB-light, B: EPM-toa, C: OF-sqrs, sorted for increasing effect size. Different colours indicate different anxiolytic compounds, as indicated in the legend (See Supplementary material for remaining measures).

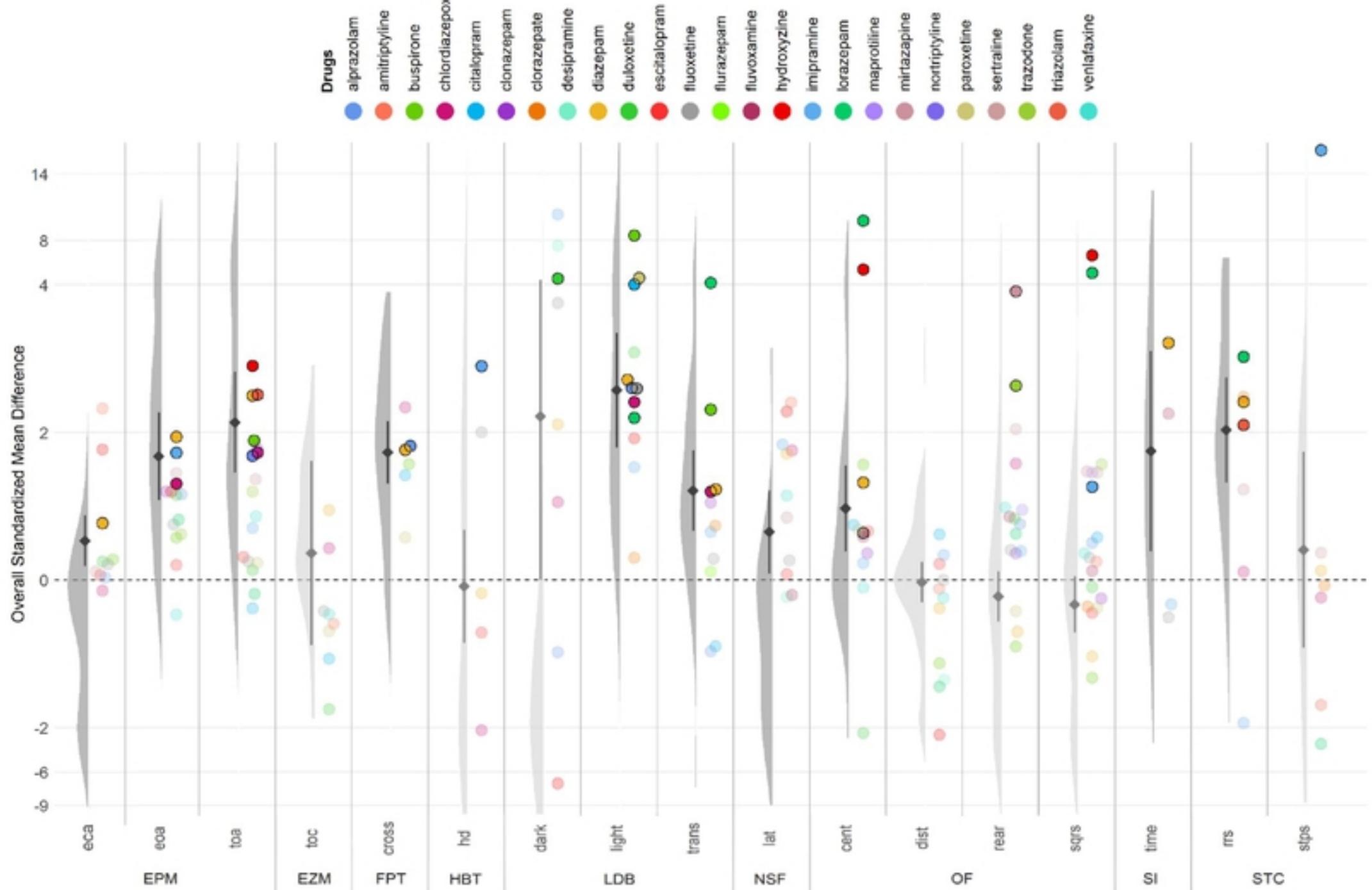


Figure 2

LDB - Time spent in light compartment

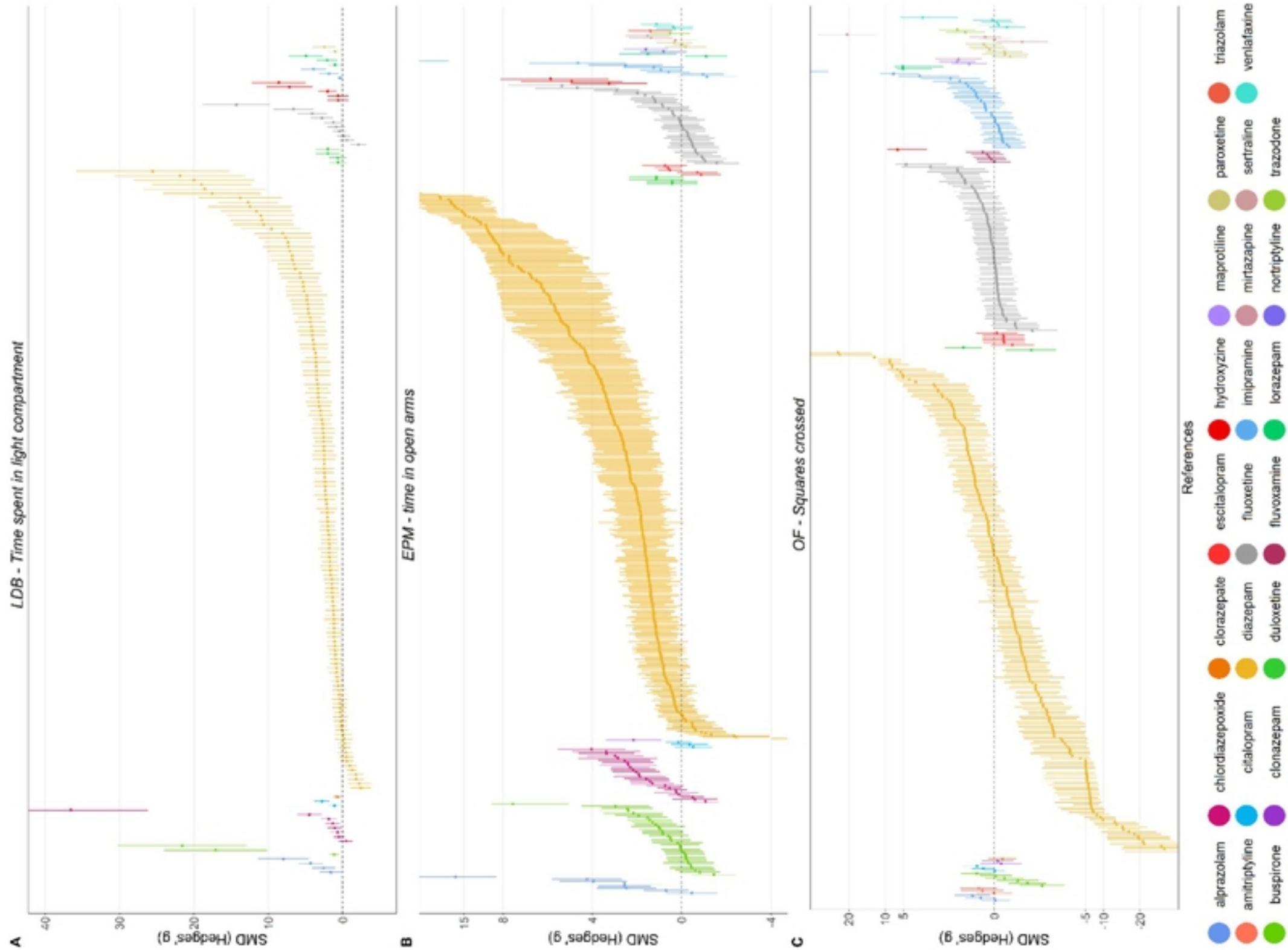


Figure 3

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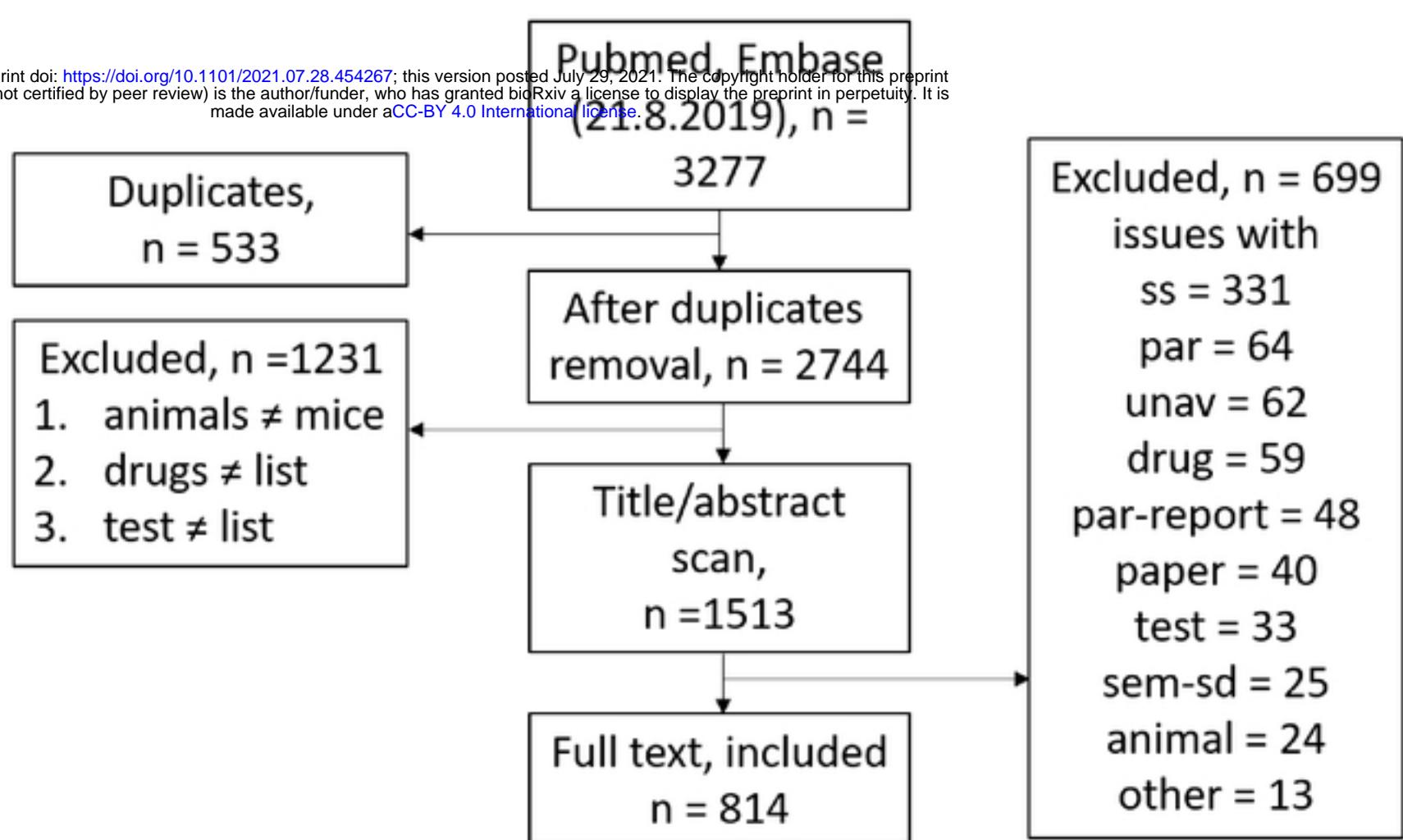


Figure 1