

## GenTB: A user-friendly genome-based predictor for tuberculosis resistance powered by machine learning

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1 **ABSTRACT**

2 **Introduction.** Multidrug-resistant *Mycobacterium tuberculosis* (*Mtb*) is a significant global  
3 public health threat. Genotypic resistance prediction from *Mtb* DNA sequences offers an  
4 alternative to laboratory-based drug-susceptibility testing. User-friendly and accurate  
5 resistance prediction tools are needed to enable public health and clinical practitioners to  
6 rapidly diagnose resistance and inform treatment regimens.

7

8 **Methods.** We present Translational Genomics platform for Tuberculosis (GenTB), a web-  
9 based application to predict antibiotic resistance from next-generation sequence data. The  
10 user can choose between two potential predictors, a Random Forest (RF) classifier and a  
11 Wide and Deep Neural Network (WDNN) to predict phenotypic resistance to 13 and 10 anti-  
12 tuberculosis drugs, respectively. We benchmark GenTB's predictive performance along with  
13 leading TB resistance prediction tools (Mykrobe and TB-Profiler) using a ground truth  
14 dataset of 20,408 isolates with laboratory-based drug susceptibility data.

15

16 **Results.** All four tools reliably predicted resistance to first-line tuberculosis drugs but had  
17 varying performance for second-line drugs. The mean sensitivities for GenTB-RF and  
18 GenTB-WDNN across the nine shared drugs was 77.6% (95% CI 76.6 - 78.5%) and 75.4%  
19 (95% CI 74.5 - 76.4%) respectively, and marginally higher than the sensitivities of TB-Profiler  
20 at 74.4% (95% CI 73.4 - 75.3%) and Mykrobe at 71.9% (95% CI 70.9 - 72.9%). The higher  
21 sensitivities were at an expense of  $\leq 1.5\%$  lower specificity: Mykrobe 97.6% (95% CI 97.5 -  
22 97.7%), TB-Profiler 96.9% (95% CI 96.7 to 97.0%), GenTB-WDNN 96.2% (95% CI 96.0 to  
23 96.4%), and GenTB-RF 96.1% (95% CI 96.0 to 96.3%). Genotypic resistance sensitivity was  
24 11% and 9% lower for isoniazid and rifampicin respectively, on isolates sequenced at low  
25 depth (<10x across 95% of the genome) emphasizing the need to quality control input  
26 sequence data before prediction. We discuss differences between tools in reporting results  
27 to the user including variants underlying the resistance calls and any novel or indeterminate  
28 variants

29

30 **Conclusion.** GenTB is an easy-to-use online tool to rapidly and accurately predict  
31 resistance to anti-tuberculosis drugs. GenTB can be accessed online at  
32 <https://gentb.hms.harvard.edu>, and the source code is available at <https://github.com/farhat-lab/gentb-site>.

34 **INTRODUCTION**

35 Human tuberculosis, a chronic infectious disease caused by members of the *Mycobacterium*  
36 *tuberculosis* complex, is a leading cause of death from a bacterial infectious agent [1]. The  
37 proliferation of multidrug-resistant tuberculosis (MDR-TB) is threatening TB prevention and  
38 control activities worldwide [1]. Timely detection of antimicrobial resistance is vital to guide  
39 therapeutic options and contain transmission. Antimicrobial resistance is conventionally  
40 determined by *in vitro* drug susceptibility tests (DST) on solid or liquid antibiotic-containing  
41 culture, which uses drug-specific testing breakpoints ('critical concentration') to classify the  
42 infecting strain into drug-susceptible or drug-resistant [2]. Being contingent on  
43 mycobacteria's slow growth rate, these phenotypic tests require days to weeks and often  
44 deliver unreliable and poorly reproducible results for some drugs, such as ethambutol and  
45 pyrazinamide [3,4]. In contrast, molecular methods have emerged as rapid resistance  
46 prediction alternatives to complement and speed up traditional DST, leveraging known and  
47 reliable genotype-phenotype relationships between variants in the *M. tuberculosis* genome  
48 and *in vitro* drug resistance [5].

49

50 Over recent years, whole-genome sequencing (WGS) of *M. tuberculosis* has become an  
51 affordable tool to provide genetic information for genotypic resistance prediction and high-  
52 resolution outbreak reconstruction [6]. Large scale genotype-phenotype assessments have  
53 demonstrated high diagnostic accuracy for clinical use to predict susceptibility to first-line  
54 drugs based on WGS [7]. Following these results, public health authorities have begun to  
55 discontinue phenotypic testing when pan susceptibility is predicted from the genotype, a step  
56 with considerable cost- and time benefits [8]. Start-to-end applications which analyze  
57 sequencing data to predict resistance phenotypes and are accessible to non-bioinformatic  
58 experts are required as WGS based analyses become part of the standardized diagnostic  
59 process in clinical laboratories. A range of published tools available for command-line [9,10]  
60 or web-based/desktop use [11–13] or both [14,15] exists. These applications vary in quality  
61 control and sequence preprocessing steps and rely on detecting pre-defined resistance-

62 conferring mutations such as single nucleotide polymorphisms (SNPs) or small  
63 insertions/deletions (indels) in the WGS data to predict the resistance phenotype. They also  
64 vary in the type of information fed back to the user including error rates and specific variants  
65 detected.

66

67 Here, we present GenTB (<https://gentb.hms.harvard.edu>), an open user-friendly start-to-end  
68 application to predict drug resistance phenotypes to 13 drugs from WGS data. The GenTB  
69 analysis pipeline is also available for command-line use wrapped in *Snakemake* [16]. The  
70 online user interface allows users to interactively explore the sequencing data, prediction  
71 results and geographic distributions. Resistance prediction is made based on a previously  
72 observed set of variant positions spanning 18 resistance-associated genetic loci and a  
73 validated random forest (RF) classifier [17] as well as a wide and deep neural network  
74 (WDNN) combining a logistic regression model with a multilayer perceptron to predict the  
75 resistance phenotype [18]. In this study, we benchmark these two classification models  
76 implemented in GenTB along with two other tools with a command-line interface, *TB-profiler*,  
77 and *Mykrobe*, on a large dataset of >20k clinical *M. tuberculosis* isolates starting from raw  
78 Illumina sequence data.

79 **METHODS**

80

81 **Backend and website build**

82 GenTB is a bespoke Django website hosted by the Harvard Medical School O2 high  
83 performance computing environment and collaboratively developed on GitHub  
84 (<https://github.com/farhat-lab/gentb-site>). The website uses off-the-shelf frontend  
85 components; Bootstrap for styling and mobile-friendly delivery, nvd3 for plots and graphs,  
86 resumable.js for robust uploading and supplements these with custom Javascript  
87 functionality for integration. The backend is a Python-Django web service using a  
88 PostgreSQL database which integrates with Dropbox for file uploading, and python-chore for  
89 slurm cluster job submission and management. GenTB predict jobs are run by modular  
90 programs organized into pipelines. The modularity allows for easy maintenance and  
91 management of dependencies and outputs. Administration screens allow a non-expert  
92 developer design new program calls and construct new pipelines and integrate them without  
93 redeployment of the website. Further tools provide error tracking. GenTB predict results are  
94 integrated into the PostgreSQL database allowing website generated plots to be populated  
95 quickly. All generated files for the intermediary pipeline steps are provided for download by  
96 the user. GenTB Map uses a PostGIS database to rapidly link strain mutation and lineage  
97 information with geo-spatial objects; these are fed into the leaflet.js display to render strain  
98 information to the user. Map allows users to display strain data groupings by country,  
99 lineage, drug resistance phenotype or specific genetic mutation through tabs that can nest  
100 the groups in any order.

101

102 **Raw read processing**

103 Upon uploading single-end or paired-end FastQ files, GenTB first validates the input using  
104 *fastQValidator* (Fig. 1). Low-quality reads and sequencing adapters are then trimmed with  
105 *fastp* [19]. Read mapping taxonomy is assessed with a custom-built *Kraken* database

106 comprising *M. tuberculosis* complex reference sequences [20] followed by *minimap2*  
107 alignment (parameters: default) of reads to the H37Rv reference genome (AL123456) [21].  
108 *Samtools* is used for sorting the aligned reads, removing duplicates, and indexing [22].  
109 Sequence read datasets with a coverage of <95% at 10x or less across the genome or that  
110 had a mapping percentage of <90% to *M. tuberculosis* complex strains will not be further  
111 processed, and an error message is displayed to the user. Variants are called with *pi*lon  
112 (parameters: default) [23] to obtain SNPs and indels in the variant calling format (VCF)  
113 requiring that they have a PASS or Amb filter tags with read allele frequency >0.40. *Fast-*  
114 *Lineage-Caller* then detects the *M. tuberculosis* lineage based on five lineage typing  
115 schemes as implemented by Freschi *et al.* [24]. Subsequently, invariant sites in the VCF file  
116 are removed, and a custom Perl script annotates each variant as frameshift, synonymous or  
117 non-synonymous, stop codon, indel along with the H37Rv locus tag for each respective  
118 gene. A custom python script generates a matrix file with all model features/variables in the  
119 columns used as input to the two prediction steps specified below. These scripts are  
120 available from Github (<https://github.com/farhat-lab/gentb-site>) and are open source  
121 (AGPLv3 license). All intermediate sequence files are accessible to the user for download  
122 and verification.

123

#### 124 **Operation**

125 Users must create an account to run predictions and track uploaded datasets, intermediary  
126 files and results. Users with low internet bandwidth can use the *Dropbox* integration to  
127 upload files. Both raw sequence reads and variants in variant call format (VCF) can be  
128 uploaded for resistance prediction. The user can select an option to delete uploaded source  
129 data after prediction or otherwise to save it for their future access through GenTB. Files are  
130 user specific and not shared or accessible by others.

131

132 GenTB online interface has been tested with batches of up to 300 isolates. For batch  
133 processing of larger numbers of raw sequence data, we provide a command-line GenTB

134 workflow based on *Snakemake* v5.20.1 [16] where dependent software will be sourced via  
135 conda [25]. The *Snakemake* workflow can be accessed via Github (<https://github.com/farhat-lab/gentb-snakefile>). The README file details how resistance prediction results on a  
136 paired-end sample can be obtained.  
137

138

139 **Genotypic resistance prediction using two statistical models**

140 Two multivariate models are used to predict the resistance phenotype, an RF model  
141 (GenTB-RF) and a WDNN (GenTB-WDNN). GenTB-RF was trained on isolates with  
142 available resistance phenotype data and was validated as previously described [17]. Briefly,  
143 1,397 clinical isolates sampled as detailed in reference [17] underwent targeted sequencing  
144 at 18 drug resistance loci using molecular inversion probes and in parallel underwent binary  
145 drug culture-based DST to 13 drugs. One RF was built for each drug using the  
146 randomForest R package (v. 4.6.7) with a subset of the total 992 SNPs/indels observed.  
147 Variants of highest importance for resistance prediction to each drug were selected by  
148 iteratively paring down the model and measuring loss of performance. Important variants are  
149 shown in Suppl. Figure S1 for isoniazid and rifampicin.

150

151 Pyrazinamide resistance is known to rely on a large number of individually rare variants.  
152 Given the large increase in published *M. tuberculosis* WGS and linked DST data as well as  
153 the recent implication of novel resistance loci we retrained the pyrazinamide RF here using a  
154 newer version of randomForest R package (v. 4.6.14) on variants in the genes *pncA*, *panD*,  
155 *c/pC1*, *c/pP* [26]. We used 75% (15,267 isolates) of the dataset to train the model and 25%  
156 (5,098 isolates) to validate its performance. During retraining, we excluded silent variants,  
157 those that occurred only in phenotypically susceptible isolates, or known phylogenetic  
158 variants, and the final model was trained on 393 variants occurring in 3,262 phenotypically  
159 pyrazinamide resistant isolates [24]. We chose the randomForest *mtry* variable that yielded  
160 the smallest out-of-bag error and varied the *classwt* variable to maximize the sum of  
161 sensitivity and specificity.

162

163 GenTB-WDNN is a multitask logistic regression model combined with a multilayer  
164 perceptron. It has been previously shown to have equal or higher performance than the RF  
165 architecture when both are trained on the same data [18]. GenTB-WDNN was trained on  
166 3,601 isolates (sampled as detailed in reference [18]) for 11 drugs using the Keras 2.2.4  
167 library in Python 3.6 with a TensorFlow 1.8.0 backend. The model uses 222 features (i.e.,  
168 SNPs or small insertions/deletions) along with derived variables (i.e., the number of non-  
169 synonymous SNPs across all resistance-conferring genes) to predict the resistance  
170 phenotype.

171

### 172 **Validation sequencing and phenotype data**

173 We collated a database of 20,408 Illumina raw sequence read datasets for which laboratory-  
174 based phenotypic DST data was available from public sources (Suppl. Table S1). Sequence  
175 data was downloaded from NCBI nucleotide databases. Custom scripts were used to pool  
176 the phenotype data from NCBI, Patric, ReseqTB, and the supplementary information from  
177 published literature (detailed methods in <https://github.com/farhat-lab/resdata-ng>). Sequence  
178 data was merged in case of multiple sequencing runs per isolate for downstream processing  
179 and resistance prediction. In isolates where >10% of reads did not classify as *M.*  
180 *tuberculosis* complex, we removed unclassified reads using seqtk  
181 (<https://github.com/lh3/seqtk>).

182

### 183 **Performance of GenTB and comparison with other tools**

184 To assess the performance of GenTB for predicting resistance, all isolates were processed  
185 through the GenTB pipeline. We compared the diagnostic accuracy with two leading  
186 resistance prediction tools, *TB-profiler* 2.8.12 [14] and *Mykrobe* v0.9.0 [15], that were run  
187 with default parameters. The two tools and two GenTB prediction models' predictive ability  
188 were obtained by comparing the genotypic prediction to the phenotype data that was  
189 considered the ground truth. We calculated the true positive rate (sensitivity), the true

190 negative rate (specificity), and area under the receiver operating curve (AUC for short) to  
191 measure test accuracy for each drug and tool. We evaluated 1,000 probability thresholds per  
192 drug to call resistance or susceptibility for GenTB-RF while using the GenTB-WDNN  
193 thresholds previously described [18] (Suppl. Fig S2 and S3).

194

195 **Statistical Analyses and data visualization**

196 Prediction files from all tools were parsed and analyzed in Jupyter Notebooks running  
197 Python 3.7 using the Pandas [27] and JSON libraries. Receiver operating characteristic  
198 curves were plotted using the Seaborn library [28]. The Vioplot package was used for violin  
199 plots [29]. Summary tables were created in R version 3.6.3 [30] using the packages from the  
200 tidyverse [31] and kable (<https://cran.r-project.org/web/packages/kableExtra/index.html>).  
201 Sequencing depth in resistance loci was calculated and plotted using *Mosdepth* version  
202 0.2.9 [32]. Confidence intervals were obtained by bootstrapping, comparing 5000 predictions  
203 per tool and drug on a resampled dataset.

204

205 **Code and Data Availability**

206 Code is available here: <https://github.com/farhat-lab-gentb-site>. The *snakemake*  
207 implementation is available here: <https://github.com/farhat-lab/gentb-snakefile>.

208

209 **Comparison of output between tools**

210 We collated the output files and information produced by the GenTB online application, the  
211 webserver of TB-Profiler (<https://tbdr.lshtm.ac.uk>, version 3.0.0), and the Desktop version of  
212 Mykrobe (MacOS app v0.90) using one example raw sequence dataset (accession  
213 ERR1664619). The tools' output was compared based on the following criteria: 1) Type and  
214 accessibility of output data formats; 2) Communication of genotypic prediction results, i.e.  
215 binary classification versus probability; 3) Disclosure of the prediction model's error rate; 4)  
216 Description of known resistance conferring variants identified, 5) Reporting any novel  
217 mutation not listed in the resistance variant database, 6) Detailed account of detected

218 lineage variants and what lineage typing scheme was used, 7) Report quality metrics on the  
219 input sequence data.

220 **RESULTS**

221

222 **A user-friendly application to analyze *M. tuberculosis* sequencing data**

223 GenTB was developed as a free and benchmarked online application to help public health  
224 and clinical practitioners deconvolute the complexity of *M. tuberculosis* WGS data. *GenTB*  
225 *Predict* allows users to predict resistance to 13 anti-TB drugs from a clinical isolate's raw  
226 Illumina sequence data (FASTQ). Two validated machine learning models are used to make  
227 predictions: GenTB-RF and GenTB-WDNN (**Methods** and [17,18]). GenTB-RF is the default  
228 prediction model. In addition to the *GenTB Predict* function that we focus on here, the web-  
229 application has additional features for sharing, mapping, and exploring *M. tuberculosis*  
230 genetic and phenotypic data (Fig. 2). *GenTB Data* enables researchers to store, version, and  
231 share *M. tuberculosis* sequence and phenotype data and is powered by the Dataverse  
232 research data repository [33]. Users can select an option to delete source files upon  
233 processing the prediction. *GenTB Map* enables users to geographically visualize genetic and  
234 phenotype data. Users can explore the subset of 20,408 isolates with geographic tags (n=  
235 12,547 isolates) used for GenTB predict validation (**Methods**), or can upload and explore  
236 their own data in enriched-VCF format ([https://gitlab.com/doctormo/evcf/-/blob/master/docs/Enriched\\_VCF\\_Format.md](https://gitlab.com/doctormo/evcf/-/blob/master/docs/Enriched_VCF_Format.md)). Raw data and results can be exported to a  
237 tabular data format.

239

240 **Dataset description**

241 We curated a dataset of 20,408 *M. tuberculosis* isolates with known phenotypic resistance  
242 status to benchmark *GenTB Predict* performance (**Methods** and Suppl. Table S1). We  
243 excluded 29 isolates as they failed FastQ validation. Of the remaining, 1,339 isolates did not  
244 pass our taxonomy filter criterion, and their non-*M. tuberculosis complex* reads were  
245 removed. The GenTB pipeline identified an additional 499 isolates where more than 5% of  
246 the genome was covered at depth <10x and these isolates were excluded from further  
247 analysis. These isolates had a median depth of 21x (IQR 17 to 26). The remaining 19,880

248 isolates with high quality sequencing data were majority lineage 4 (52%), with lesser  
249 representation of lineage 2 (21%), lineage 3 (15%), lineage 1 (10%), *M. bovis* (0.6%),  
250 lineage 6 (0.3%), and lineage 5 (0.2%). Completeness of phenotypic DST data varied by  
251 drug and was highest for the first-line drugs rifampicin (98.3%), isoniazid (96.4%),  
252 ethambutol (77.5%), and pyrazinamide (71.5%) (Suppl. Table S2). The second and third-line  
253 drug phenotype data ranged from 35.1% completeness for streptomycin to 7.8% for  
254 ethionamide. Of the 20,408 isolates, 13,817 were phenotypically susceptible to first line  
255 drugs, 4,743 (23.3%) were phenotypically MDR (i.e., resistant to isoniazid and rifampicin)  
256 and 396 (1.9%) were phenotypically XDR (MDR and resistant to fluoroquinolones and the  
257 second-line injectables – amikacin, kanamycin or capreomycin). We ran GenTB-RF and  
258 GenTB-WDNN to predict resistance on 19,880 isolates and compared the predictions to  
259 phenotypic data.

260

## 261 **Predictive performance of the GenTB-Random Forest**

262 We assessed each tools' predictive performance by comparison with phenotypic culture-  
263 based DST results. Overall, the four tools had comparable performance characterized by  
264 varying sensitivities and high specificities (Tables 1 & 2, Fig 3A). Diagnostic performance  
265 was better for first-line than second-line drugs. As sensitivity varied most widely, we discuss  
266 it by drug class below. Specificities varied less by tool or by drug. GenTB-RF's diagnostic  
267 specificity was >92% for all drugs including the second-line injectables and fluoroquinolones  
268 with the exception ethionamide (specificity = 78% [95% CI 75-80]) and streptomycin  
269 (specificity = 89% [95% CI 88-90]). GenTB-RF's specificities were similar or higher than the  
270 other three tools with the exception of pyrazinamide (94% [95% CI 93-95]) and streptomycin  
271 (89% [95% CI = 88-90]) compared to TB-Profiler (96% and 95%, respectively) as well as  
272 Mykrobe (98% and 95%, respectively).

273

274 First-line drugs: Rifampicin resistance prediction by GenTB-RF was most accurate  
275 compared to other tools: AUC 0.96 (95% CI = 0.95-0.96), sensitivity 93% (95% CI = 93-94),

276 second highest sensitivity was for TB-Profiler at 92% (95% CI = 91-93) (Tables 1 & 2, Figure  
277 4). The accuracy of isoniazid resistance prediction was high and comparable across three of  
278 the four tools including GenTB-RF (sensitivity 91% [95% CI =91-92]). For ethambutol,  
279 GenTB-RF and TB-Profiler had the best and comparable performance with sensitivity 86%  
280 (95% CI =85-87).

281

282 GenTB-RF predictions for pyrazinamide using the original model (v1.0) had low sensitivity at  
283 56% (95% CI 54-58) with adequate specificity (98% [95% CI = 98-99]) compared to the other  
284 tools when evaluated on the 19,880 isolates (2,336 phenotypically resistant and 11,932  
285 susceptible) [17]. Pyrazinamide resistance is known to be caused by a large number of  
286 individually rare variants in the gene *pncA* [34]. Given the large interval increase in available  
287 WGS data and recent implication of novel resistance loci (*panD*, *clpC1*, *clpP*) [26] since  
288 GenTB-RF was last trained, we assessed the number of rare variants in the four  
289 aforementioned genes linked to pyrazinamide resistance. In a random 75% subset of the  
290 20,379 isolates, we detected a total of 393 different variants in *pncA*, *panD*, *clpC1* and *clpP*  
291 with 40% (158/393) occurring only once. The majority of these variants, i.e., 73% (285/393)  
292 were not previously seen by the original model. As a result of these observations, we  
293 retrained a GenTB-RFv2.0, on 75% of the data using all 393 non-synonymous variants  
294 including singletons and insertion/deletion variants from *pncA*, *panD*, *clpC1* and *clpP*. The  
295 retrained model, when benchmarked on an independent validation dataset of 5,098 isolates,  
296 offered a sensitivity similar to that of the other tools (79%, 76 to 83) (Table 1).

297

298 Second-line drugs: For second-line drugs, larger discrepancies between genotype and  
299 resistance phenotype have been previously described compared with first-line drugs [14,15].  
300 Resistance to the second-line injectable drugs amikacin and kanamycin ranged between 63-  
301 68% across the four tools, with the exception of a sensitivity of 55% by TB-Profiler for  
302 amikacin (Table 1). For the fluoroquinolone ofloxacin, sensitivity ranged from 62%-68%  
303 across the four tools. Three drugs had too few isolates with known phenotypic resistance

304 (ciprofloxacin [n = 63], levofloxacin [n = 111], and para-aminosalicylic acid [n = 46]), and  
305 hence the tool's predictions had wide confidence intervals for these drugs (Supplemental  
306 Tables S3 and S4).

307

308 **Predictive performance of GenTB-WDNN.**

309 Similar to GenTB-RF, the overall GenTB-WDNN performance was marked by high prediction  
310 accuracy of first-line drug resistance and lower accuracy of second-line resistance (Table 1).  
311 AUC 95% CI overlapped for all drugs between the two models except for ofloxacin and  
312 rifampicin for which the GenTB-RF AUC was higher (Table 2). For streptomycin the GenTB-  
313 WDNN offered the best sensitivity and specificity of all four models (sensitivity 87%, 95% CI  
314 85-88%, specificity 87% (95%CI 86-88%). Specificities were >95% for all drugs except for  
315 streptomycin (87%, 95% CI 85 to 88) and ethambutol (93%, 95% CI 93 to 94).

316

317 **Predictive performance depends on sequencing depth**

318 We evaluated the need for quality control on sequencing depth as several tools do not  
319 currently implement this prior to resistance prediction [9,14,15]. We observed predictive  
320 performance to be highly dependent on sequencing depth as indicated by lower sensitivity to  
321 predict rifampicin or isoniazid resistance by all four tools for the 499 isolates that did not  
322 meet the threshold of  $\geq 10x$  depth across >95% of the genome (median depth of 21x, IQR 17  
323 to 26, Figures 3E,3F). Using GenTB-RF, the mean sensitivity of isoniazid and rifampicin  
324 prediction was 84.6% (SD 3.6) and 87.3% (SD 3.6) respectively among low-depth isolates,  
325 compared with 91% and 93%, respectively, on high-depth isolates (Suppl. Table S5, Figures  
326 3E, 3F). Loss of sensitivity due to low sequencing depth was comparable across the four  
327 tools.

328

329 **Discordant resistance predictions**

330 To gain insight into model performance, we probed discrepancies between GenTB-RF's  
331 genotype-based prediction and the resistance phenotype. We focused on this model as it

332 had the highest overall sensitivity. We examined specifically rifampicin and isoniazid as  
333 resistance to these two drugs defines MDR-TB, and their genetic resistance mechanisms  
334 are well understood. We investigated isolates for which GenTB-RF predicted resistance  
335 while the phenotype was reported as susceptible (false positives) and isolates for which  
336 GenTB-RF predicted susceptibility with a resistant phenotype (false negatives). We  
337 confirmed that false negative predictions were not due to low sequencing depth in relevant  
338 drug resistance loci (*i.e.* that depth was  $\geq 10x$  across all bases, Suppl. Figures S4 and S5).

339

340 Rifampicin false positives: Variants causative of rifampicin resistance are concentrated in a  
341 81bp window in the *rpoB* gene *a.k.a* the rifampicin resistance determining region (RRDR,  
342 H37Rv coordinates 761081 to 761162, accession AL123456) [35]. For rifampicin, we  
343 observed 254 false positive predictions (phenotypically susceptible isolates predicted  
344 resistant). GenTB-RF detected one or more non-silent RRDR variants in 198 of these 254  
345 isolates (78%). The most common RRDR variants were S450L (occurred in 49/254 isolates),  
346 L430P (in 33/254), and H445N (in 31/254) (Suppl. Table S6). The remaining 56 of 254  
347 isolates, harbored non-RRDR variants, the two most common were *rpoB* I491F (occurred in  
348 29/56) and *rpoB* V695L (occurred in 24/56). Twenty eight of the 56 isolates (50%) were  
349 phenotypically resistant to isoniazid and a further 16 (29%) were resistant to ethambutol.

350

351 Rifampicin false negatives: Among the 333 false negative rifampicin predictions  
352 (phenotypically resistant isolates predicted susceptible), 96 (29%) isolates harbored a  
353 variant in *rpoB* and of these 75 (23% of the 333) were in the RRDR (Suppl. Table S6). These  
354 included most commonly three base pair insertion in *rpoB* codon 433 (occurred in 14/333  
355 isolates) and *rpoB* codon 443 (occurred in 9/333 isolates) and *rpoB* substitution Q432L (in  
356 9/333) [36]. These *rpoB* variants were not previously seen by the GenTB-RF model when  
357 initially trained. For the remaining 237 of 333 isolates (71%) phenotypic resistance remained  
358 unexplained.

359

360 Isoniazid false positives: For isoniazid, we observed 315 false positive predictions  
361 (phenotypically susceptible isolates predicted resistant by GenTB-RF). Among these  
362 isolates, 119/315 (38%) had a total of 40 unique non-silent non-lineage variants in genes  
363 linked to isoniazid resistance (*inhA*, *katG*, *ahpC*, *fabG1*) (Suppl. Table S7). Most variants,  
364 36/40, were rare, occurring in only 2 or fewer isolates. Five out of the 40 unique mutations  
365 detected in 75/315 (24%) isolates are considered important for isoniazid resistance  
366 prediction by GenTB-RF [17]. The most frequent INH resistance variants were the canonical  
367 isoniazid resistance mutation *katG* S315T [37] (occurred in 56/315 isolates) and non-silent  
368 variants at *inhA* codon 94 (occurred in 14/315 isolates). Seventy-six of the 315 (24%)  
369 apparent false positive isolates were phenotypically resistant to rifampicin and 189 (60%)  
370 isolates had a phenotypic resistance to at least one other drug.

371  
372 Isoniazid false negatives: Among the 518 false negative isoniazid predictions (phenotypically  
373 resistant isolates predicted susceptible by GenTB-RF), 194/518 (37%) harbored non-silent  
374 variants in isoniazid resistance associated genes (Suppl. Table S7). Only 13 of the 139  
375 unique variants observed in the 518 isolates were seen before by GenTB-RF and none of  
376 these were considered important isoniazid resistance mutations. *KatG* W328L was the  
377 variant detected most frequently (occurred in 10/518 isolates predicted false negative) and  
378 although not previously seen by GenTB-RF was described to occur in 0.2% of isoniazid  
379 resistance in one study [38]. Most variants linked to isoniazid resistance observed in these  
380 isolates were rare, i.e., 134/139 (96%) occurred in  $\leq 3$  isolates.

381  
382 **Output comparison across the three tools**  
383 All four tools are accessible to the non-experienced user via either an online interface  
384 (GenTB, TB-Profiler) or via a Desktop application. We compared each tool's output using the  
385 criteria specified in **Methods** (Table 3). GenTB-RF provides a heatmap indicating the  
386 probability of resistance including the models' error rate with all prediction and intermediary  
387 files available for download. TB-Profiler and Mykrobe present binary (resistant or

388 susceptible) predictions in overview tables with download options in CSV or JSON formats,  
389 respectively. TB-Profiler and GenTB present resistance causing variants and variants not  
390 associated with resistance. All tools provide the lineage call made but GenTB also specifies  
391 the lineage typing schemes used.

392 **DISCUSSION**

393

394 The increasing affordability of WGS and our improving comprehension of mycobacterial drug  
395 resistance mechanisms has placed sequencing at the forefront of *M. tuberculosis* resistance  
396 diagnosis in clinical and public health laboratories (e.g. Public Health England in the United  
397 Kingdom and the Centers for Disease Control and Prevention in the United States) [7,39].

398 Yet, the complexity of resistance biology is such that large and diverse bacterial isolate  
399 datasets are needed to confirm the accuracy of genotype-based resistance prediction and its  
400 generalizability. Further, the required computational resources and knowledge to conduct  
401 sequencing analysis prohibit both the access to and confidence in WGS based resistance  
402 prediction in clinics in both low- and high-incidence settings. High confidence automated  
403 tools that are systematically benchmarked on diverse datasets are needed to facilitate  
404 adoption, and to act as the standard for future tool development and regulation by oversight  
405 agencies such as the World Health Organization (WHO).

406

407 GenTB is an automated open tool for resistance prediction from WGS. Here we  
408 benchmarked its two prediction models against two other leading TB prediction tools. Both  
409 GenTB models predicted resistance and susceptibility against first-line drugs with high  
410 accuracy. Predictive performance for second line drugs showed lower sensitivity, although  
411 with high specificity for some of those drugs, i.e., capreomycin, kanamycin, and ofloxacin.  
412 This high specificity may be used to rule out resistance when no resistance conferring  
413 variant for these drugs was found. A detailed analysis of discrepant predictions made by  
414 GenTB-RF illustrated that a number of false positive predictions were supported by  
415 canonical resistance variants, e.g., non-silent mutation in the *rpoB* RRDR in case of  
416 rifampicin, suggesting that their phenotypes were erroneously labeled as susceptible.  
417 Similarly, nearly half (48%) of the variants found in isoniazid false positive predictions are  
418 canonical resistance variants. These isoniazid resistance variants, the large proportion  
419 (60%) of phenotypic resistance to another drug among these isolates, and the knowledge

420 that isoniazid is usually a gateway drug resistance, suggest that some phenotypes were  
421 erroneously characterized as susceptible [40]. Accordingly, specificity of genotype-based  
422 prediction in practice maybe even higher than reported here (Table 1).

423

424 For isolates with a resistant rifampicin phenotype that were predicted susceptible by GenTB-  
425 RF, we found a mutation in the *rpoB* RRDR in a nearly a quarter (23%) of isolates that  
426 reasonably accounts for the resistance phenotype, but had not been seen by the model  
427 previously. For the remaining majority of false negatives (71% for rifampicin) no relevant  
428 resistance variant was found. In these cases, phenotypic resistance remained unexplained  
429 and could be due to erroneous phenotypes or yet unknown resistance mechanisms. For  
430 isolates with a resistant isoniazid phenotype predicted susceptible, no important resistance  
431 conferring mutations were found. In these cases, phenotypic resistance could be due to rare  
432 and yet undescribed resistance variants. A substantial proportion of false negative  
433 predictions to isoniazid or rifampicin had genotypic resistance to at least another drug (48%  
434 of rifampicin false negatives and 40% of isoniazid false negatives). These observations  
435 overall suggest that a viable option to reduce false negative predictions by current models  
436 would be to leverage genotypic predictions to other drugs and flag such isolates for  
437 complementary phenotypic DST. In the future as new larger datasets of paired genotype and  
438 resistance phenotype are curated, e.g. by efforts sponsored by the WHO [41], retraining  
439 existing resistance prediction models will improve diagnostic sensitivity.

440

441 The final output produced by the four tools varies in terms of detail and type of variants  
442 reported with GenTB providing the most detail. GenTB's output reports novel variants not  
443 linked to resistance in addition to those that are resistance associated. The phylogenetic  
444 lineage calling procedure implemented in GenTB [24] uses currently available typing  
445 schemes, including the spoligotype nomenclature, to facilitate comparisons across lineage  
446 schemes.

447

448 Unlike other published resistance prediction tools that rely on a curated list of resistance  
449 conferring mutations that call resistance when a specific variant is present, GenTB-RF and  
450 GenTB-WDNN use multivariable statistical models to predict resistance phenotype. These  
451 models are better suited to account for the complex relationships between resistance  
452 genotype and phenotype. Among the advantages of multivariate prediction models is that  
453 relationships between variables are taken into account as both individual variants and gene-  
454 gene interactions cause phenotypic drug resistance. As such, the two models provide a  
455 probability value that a given isolate is resistant or susceptible rather than a binary  
456 classification. This is relevant in case of variants that, if present alone, confer only weak to  
457 no resistance, but may confer complete resistance if present in combination. Also, each  
458 variable in a multivariable model has different weights depending on the strength of  
459 association with resistance in the training data, reflecting the biological reality where variants  
460 cause differing levels of resistance. The benchmarking data presented here confirm that  
461 these multivariate models offer gains in sensitivity over the other two tools that use curated  
462 mutation lists, however this comes at a small decrease in specificity overall. Seen its higher  
463 overall performance GenTB-RF is currently implemented as the default prediction model. As  
464 larger and more diverse data will become available for model training, especially for  
465 prediction of resistance more quantitatively, i.e., to predict minimum inhibitory concentrations  
466 or MICs, we anticipate multivariate models including the more complex GenTB-WDNN  
467 architecture to have an even bigger advantage over direct association of mutation lists.  
468  
469 This study was not without limitations. An important prerequisite for reliable genotypic  
470 resistance prediction is the quality of the raw sequencing data. Variants and small indels in  
471 resistance conferring genes can be accurately and confidently called from Illumina raw  
472 sequence data if the genes are adequately covered at an acceptable sequencing depth  
473 [Marin *et al.*, in preparation]. However, short-read sequencing data is recognized to have  
474 lower sensitivity for detecting more complex genomic variants including long indels or  
475 structural variation and these may have been missed in this study. But these latter types of

476 variants are expected to be rare. Our finding of 'apparent' false positive predictions (i.e.,  
477 resistance call by GenTB-RF while susceptible phenotype) in isolates harboring canonical  
478 resistance variants portends some erroneous phenotypes in our ground truth dataset. Due to  
479 the scale and public nature of the dataset used for benchmarking in this study, we were  
480 unable to retest the laboratory-based drug susceptibility profiles of isolates with discordant  
481 predictions, but hope that it provides a test closer to a 'real-world' scenario for these tool's  
482 application.

483

#### 484 **CONCLUSION**

485

486 The rapid emergence and affordability of sequencing of *M. tuberculosis* along with the herein  
487 confirmed high accuracy of several genotypic resistance prediction tools supports the use of  
488 informatically assisted treatment design in the clinical setting. Independent benchmarking  
489 efforts will facilitate regulatory reviews and assessments and build confidence in the tools'  
490 performances. As genotypic resistance predictions will accompany and increasingly replace  
491 laboratory-based resistance phenotyping performance criteria will need to be defined to  
492 guide clinical and public health laboratories in their use. Lastly, it will be important to  
493 communicate the confidence and uncertainty that is inherent to all genotypic predictions to  
494 clinicians, and provide clear diagnostic algorithms in case of genotype-phenotype  
495 discordances.

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## **GenTB: A user-friendly genome-based predictor for tuberculosis resistance powered by machine learning**

### **TABLES AND FIGURES**

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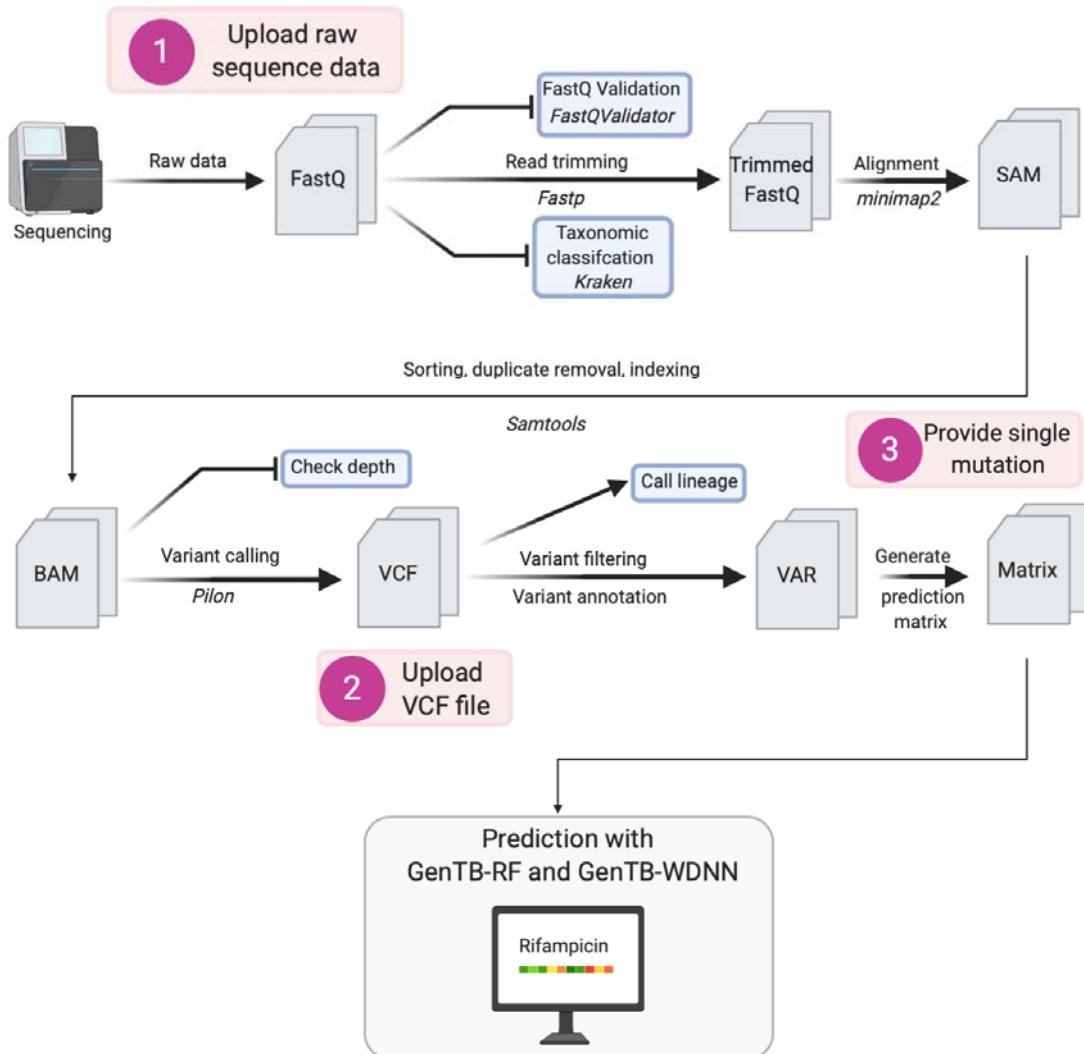
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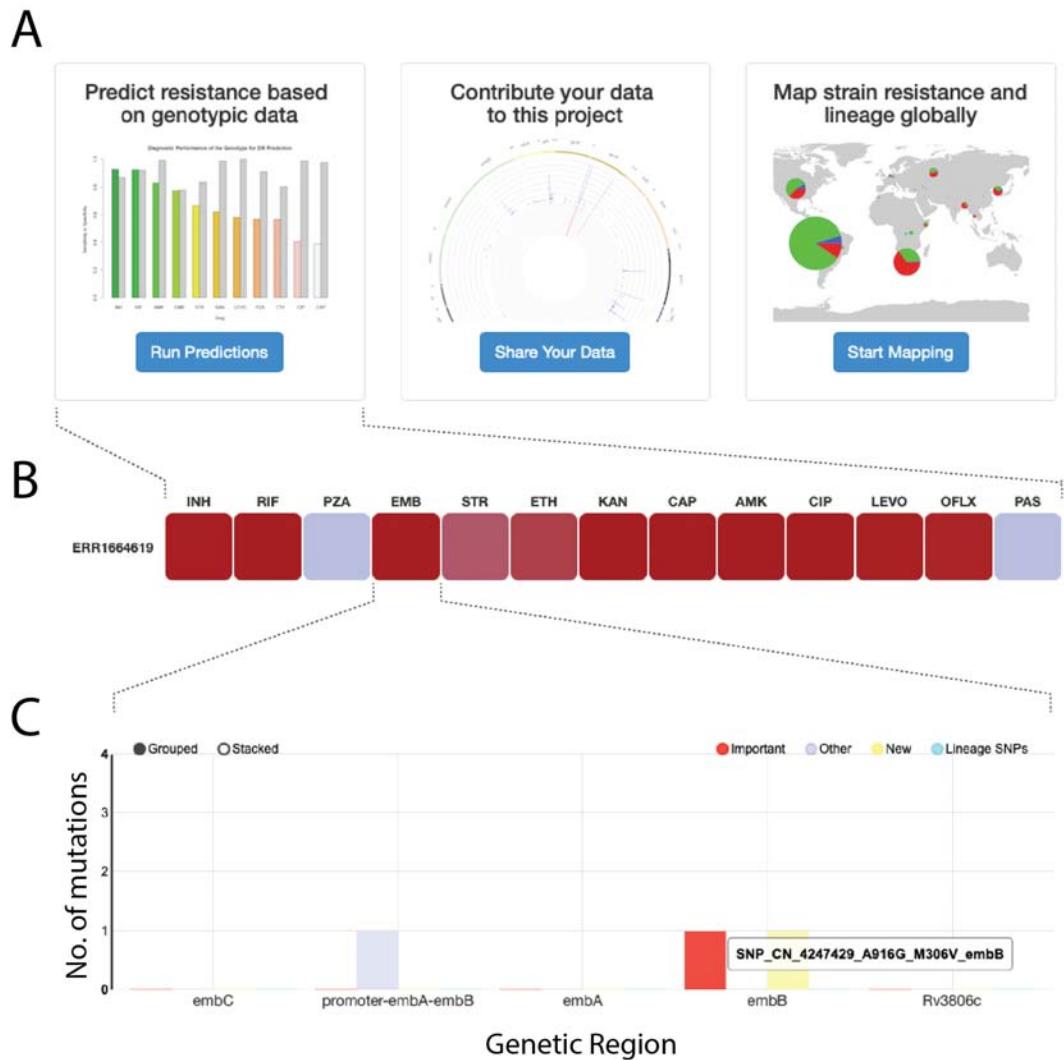
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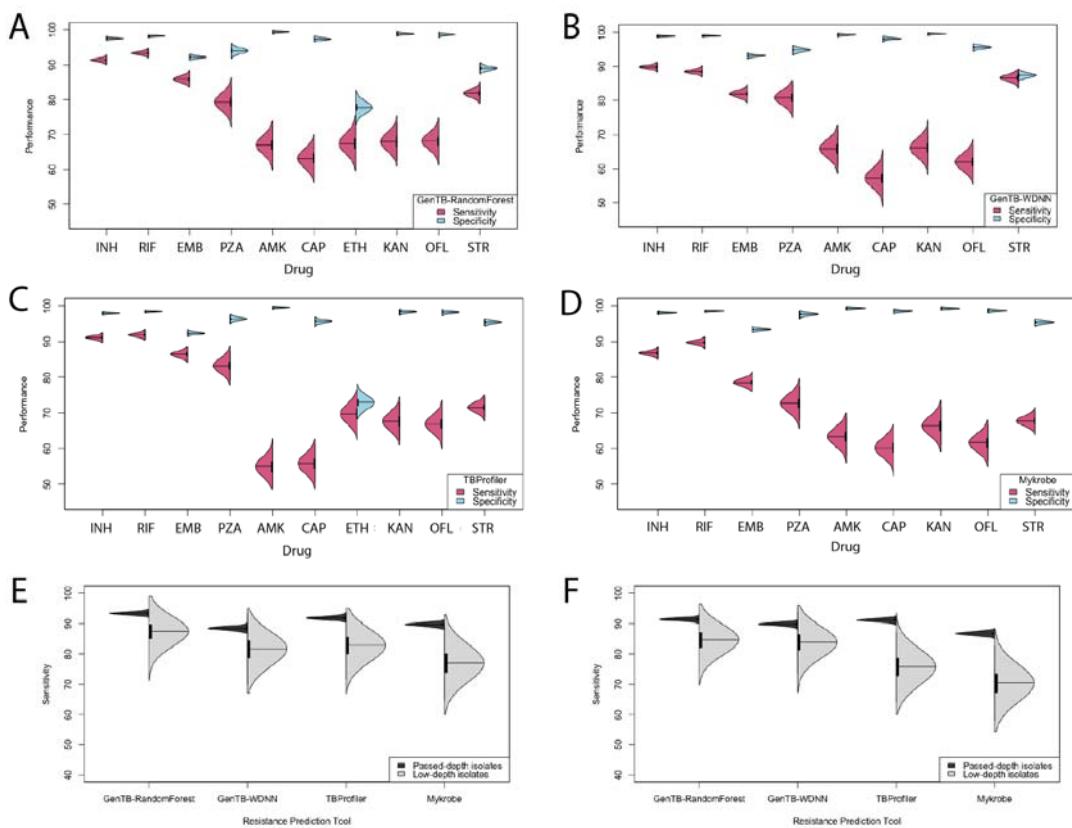
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**Figure 1. Schematic overview of the GenTB pipeline.** Raw sequence data is quality checked and adapter trimmed before alignment to the H37Rv reference strain (accession AL123456). Variants are called with Pilon, and a variant matrix used by the prediction models are prepared using custom scripts available on Github. The analysis will fail if quality criteria are not met (blunt end arrows). Numbers represent the three moments in the pipeline where users can upload their data to predict resistance for their isolate.

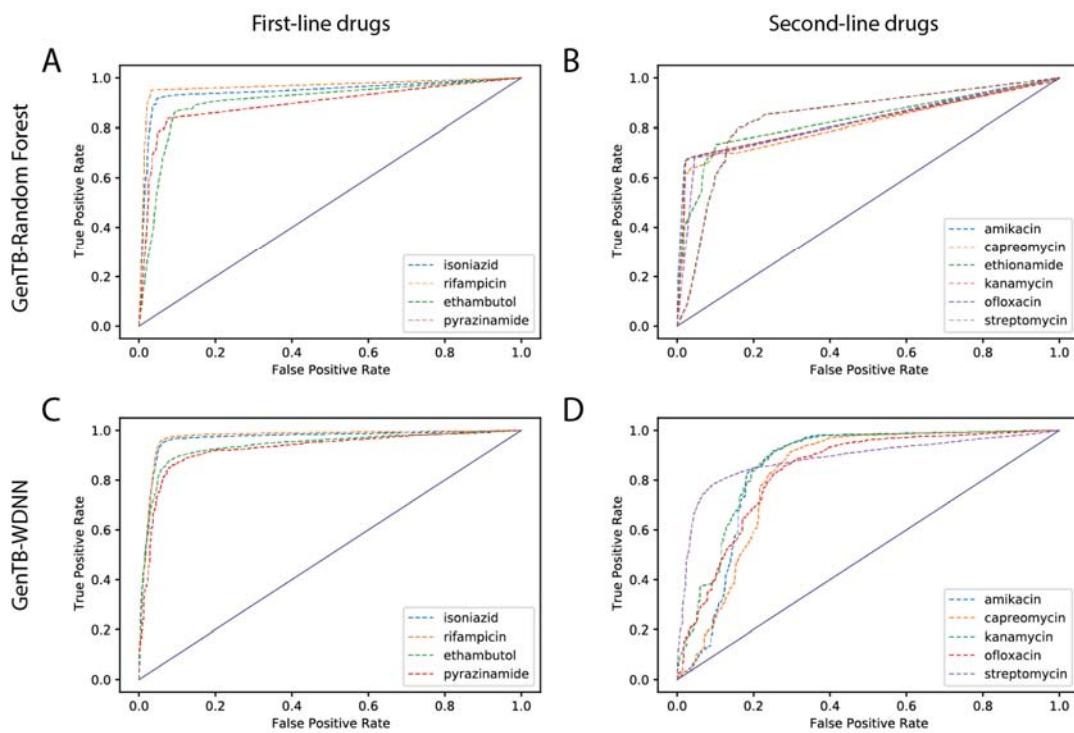


**Figure 2. GenTB online user interface. A)** The user is presented with the three main features offered by GenTB, i.e., to run predictions from user input data, to upload, share, and cite their data with the GenTB project, and to geographically map resistance frequencies or phenotype data. **B)** Example of a resistance prediction output where boxes are colored in the function of the prediction model's output probability. **C)** Mutation plot that appears when clicked on one of the drugs heatmaps in **(B)**. Mutations will be shown when hovering the mouse over the genetic loci. INH = isoniazid, RIF = rifampicin, PZA = pyrazinamide, STR = streptomycin, EMB = ethambutol, ETH = ethionamide, KAN = kanamycin, CAP = capreomycin, AMK = amikacin, LEVO = levofloxacin, OFL = ofloxacin, PAS = Para-aminosalicylic acid.



**Figure 3: Diagnostic performance of the four prediction tools across antituberculosis drugs.** Paired violin plots displaying sensitivity and specificity to predict drug resistance for **A) GenTB-Random Forest, B) GenTB-Wide and Deep Neural Network, C) TB-Profiler and D) Mykrobe.** **E)** Violinplot of diagnostic performance to predict rifampicin resistance comparing isolates passing depth filters (in black) to isolates that failed the depth-filters (in grey) arranged by prediction tool. **F)** Violinplot of diagnostic performance to predict isoniazid resistance comparing isolates passing depth filters (in black) to isolates that failed the depth-filters (in grey) arranged by prediction tool.

AMK = amikacin, CAP = capreomycin, EMB = ethambutol, ETH = ethionamide, INH = isoniazid, KAN = kanamycin, OFL = ofloxacin, PZA = pyrazinamide, RIF = rifampicin, STR = streptomycin.



**Figure 4: ROC performance curve of the GenTB-RF and GenTB-WDNN prediction models.** A ROC plot of the GenTB-Random Forest (top) and GenTB-WDNN (bottom) predictive performance on the study dataset for first line (**A**) and **C**) and second line drugs (**B**) and **D**).

**Table 1:** Diagnostic accuracy of GenTB RandomForest and GenTB Wide and Deep Neural Network compared with two other leading prediction tools on a depth filtered dataset.

DrugName	Phenotype	GenTB - RF		GenTB - WDNN		Mykrobe		TB-Profiler		
Isolates sequenced with high depth (n = 19,880)										
		R (n)	S (n)	Sensitivity (95% CI)	Specificity (95% CI)	Sensitivity (95% CI)	Specificity (95% CI)	Sensitivity (95% CI)	Specificity (95% CI)	
isoniazid	6,043	13,112	91% (91 to 92)	98% (97 to 98)	90% (89 to 91)	99% (99 to 99)	87% (86 to 88)	98% (98 to 98)	91% (90 to 92)	98% (97 to 98)
rifampicin	5,068	14,474	93% (93 to 94)	98% (98 to 98)	88% (88 to 89)	99% (99 to 99)	90% (89 to 91)	98% (98 to 99)	92% (91 to 93)	98% (98 to 99)
ethambutol	2,936	12,362	86% (85 to 87)	92% (92 to 93)	82% (80 to 83)	93% (93 to 94)	79% (77 to 80)	93% (93 to 94)	86% (85 to 88)	92% (92 to 93)
pyrazinamide	508	1,544	79% (76 to 83)	94% (93 to 95)	80% (79 to 82)	95% (94 to 95)	72% (71 to 74)	98% (97 to 98)	83% (80 to 86)	96% (96 to 97)
amikacin	618	3,458	67% (63 to 71)	99% (99 to 100)	66% (62 to 70)	99% (99 to 100)	63% (60 to 67)	99% (99 to 100)	55% (51 - 59)	99% (99 to 100)
capreomycin	648	3,733	63% (59 to 67)	97% (97 to 98)	57% (53 to 61)	98% (98 to 99)	60% (56 to 64)	98% (98 to 99)	56% (52 to 60)	96% (95 to 96)
ethionamide	502	1,094	67% (63 to 72)	78% (75 to 80)	-	-	-	-	70% (66 to 74)	73% (70 to 76)
kanamycin	576	3,707	68% (64 to 72)	99% (98 to 99)	66% (62 to 70)	100% (99 to 100)	66% (63 to 70)	99 (99 to 100)	68% (64 to 71)	98% (98 to 99)
streptomycin	2,126	4,968	82% (80 to 83)	89% (88 to 90)	87% (85 to 88)	87% (86 to 88)	68% (66 to 70)	95% (95 to 96)	71% (70 to 73)	95% (95 to 96%)
ofloxacin	743	4,038	68% (65 to 72)	99% (98 to 99)	62% (58 to 66)	96% (95 to 96)	62% (58 to 65)	99% (98 to 99)	67% (63 to 70)	98 (98 to 99)

**Table 2:** Area under the Receiver Operating Characteristic curve for GenTB-RF and GenTB-WDNN

Drug	GenTB-RF	GenTB-WDNN
Area under the ROC curve (95% CI)		
isoniazid	0.94 (0.94 to 0.95)	0.94 (0.94 to 0.95)
rifampicin	0.96 (0.95 to 0.96)	0.94 (0.93 to 0.94)
ethambutol	0.89 (0.88 to 0.9)	0.87 (0.87 to 0.87)
pyrazinamide	0.90 (0.88 to 0.91)	0.88 (0.87 to 0.88)
amikacin	0.83 (0.81 to 0.85)	0.83 (0.81 to 0.84)
capreomycin	0.80 (0.78 to 0.82)	0.78 (0.76 to 0.80)
ethionamide	0.73 (0.7 to 0.75)	-
kanamycin	0.83 (0.81 to 0.85)	0.83 (0.81 to 0.85)
streptomycin	0.85 (0.84 to 0.86)	0.87 (0.86 to 0.88)
ofloxacin	0.83 (0.82 to 0.85)	0.79 (0.77 to 0.81)

RF = Random Forest, WDNN = Wide and Deep Neural Network

**Table 3:** Output comparison across tools

Criteria	GenTB	TB-Profiler	Mykrobe
1) Output			
Type	Heatmap and barplot	Overview tables	Overview table
Download	all intermediate and output files (JSON)	yes (CSV)	Yes (JSON)
2) Genotypic predictions	Probability	Binary	Binary
3) Error rate	Yes	N.A.	N.A.
4) Resistance variants	Variant by drug	Variant by drug incl. fraction of mutant / wild-type allele	Variant by drug incl. depth of mutant and wild-type alleles
5) Unknown variants	Yes, in all genes	Yes, in candidate resistance genes	No
6) <i>M. tuberculosis</i> Lineage			
Lineage	Yes	Yes	Yes
Typing scheme	Yes	No	No
7) Quality metrics	Trimming and Kraken report downloadable	No. of reads, Percentage of reads mapped	No