

1 Different evolutionary trends form the twilight zone of the bacterial pan-  
2 genome

3  
4 Gal Horesh (1), Alyce Taylor-Brown (1), Stephanie McGimpsey (1), Florent Lassalle (1), Jukka  
5 Corander (1,2,3), Eva Heinz\* (4), Nicholas R. Thomson\* (1,5)

6  
7 1 Parasites and Microbes, Wellcome Sanger Institute, Wellcome Genome Campus, Hinxton,  
8 Cambridgeshire, CB10 1RQ, UK

9 2 Helsinki Institute for Information Technology HIIT, Department of Mathematics and Statistics,  
10 University of Helsinki, Helsinki, Finland

11 3 Department of Biostatistics, University of Oslo, Oslo, Norway

12 4 Departments of Vector Biology and Clinical Sciences, Liverpool School of Tropical Medicine,  
13 Liverpool, L3 5QA, UK

14 5 Department of Infectious and Tropical Diseases, London School of Hygiene & Tropical  
15 Medicine, London, WC1E 7HT, UK

16

17 \* corresponding authors

18 [nrt@sanger.ac.uk](mailto:nrt@sanger.ac.uk); [eva.heinz@lstmed.ac.uk](mailto:eva.heinz@lstmed.ac.uk)

19 **Abstract**

20 The pan-genome is defined as the combined set of all genes in the gene pool of a species.  
21 Pan-genome analyses have been very useful in helping to understand different evolutionary  
22 dynamics of bacterial species: an open pan-genome often indicates a free-living lifestyle with  
23 metabolic versatility, while closed pan-genomes are linked to host-restricted, ecologically  
24 specialised bacteria. A detailed understanding of the species pan-genome has also been  
25 instrumental in tracking the phylodynamics of emerging drug resistance mechanisms and drug  
26 resistant pathogens. However, current approaches to analyse a species' pan-genome do not  
27 take the species population structure into account, nor do they account for the uneven  
28 sampling of different lineages, as is commonplace due to over-sampling of clinically relevant  
29 representatives. Here we present the application of a population structure-aware approach for  
30 classifying genes in a pan-genome based on within-species distribution. We demonstrate our  
31 approach on a collection of 7,500 *E. coli* genomes, one of the most-studied bacterial species  
32 used as a model for an open pan-genome. We reveal clearly distinct groups of genes,  
33 clustered by different underlying evolutionary dynamics, and provide a more biologically  
34 informed and accurate description of the species' pan-genome.

## 35    **Keywords**

36    Pan-genome, evolutionary dynamics, *E. coli*, HGT

## 37    **Main**

38    Advances in whole genome sequencing in the last two decades and the ability to sequence  
39    multiple isolates of the same species have revealed that, often, only a small fraction of genes  
40    are shared by all species members. Conversely, a substantial proportion of the combined pool  
41    of genes within a species – the pan-genome – consists of highly mobile genetic material with  
42    heterogeneous distributions across its members (Brockhurst et al. 2019).

43

44    In a traditional pan-genome analysis, genes are divided into core genes, describing those  
45    present across the majority of the members of the species, and accessory genes, which are  
46    only present in some. The accessory genome is often further subdivided into rare and  
47    intermediate genes based on their frequency in the dataset. However, measuring gene  
48    frequencies across the whole dataset does not account for the population structure or biased  
49    sampling of the genomes in the dataset. Such simple classification can be particularly  
50    problematic when the population of interest consists of multiple deep-branching lineages that  
51    are unevenly represented in the collection. For example, if 50% of a genome collection is  
52    represented by one lineage that was heavily over-sampled compared to other lineages, and  
53    all isolates of that lineage have a particular gene which is absent in all other lineages, this  
54    gene will simply be defined as an “intermediate” gene. Based on these definitions alone, it  
55    would not be differentiated from a gene that is found in all isolates of all the other lineages, or  
56    evenly distributed across the different lineages comprising 50% of the total isolates. Notably,  
57    ecological adaptation of a globally disseminated lineage may be driven by a large set of genes  
58    found in all isolates of that lineage, which are rare outside the lineage (Lassalle et al. 2017).  
59    Hence, the biological reality requires more refined concepts when classifying genes in the  
60    pan-genomic context.

61

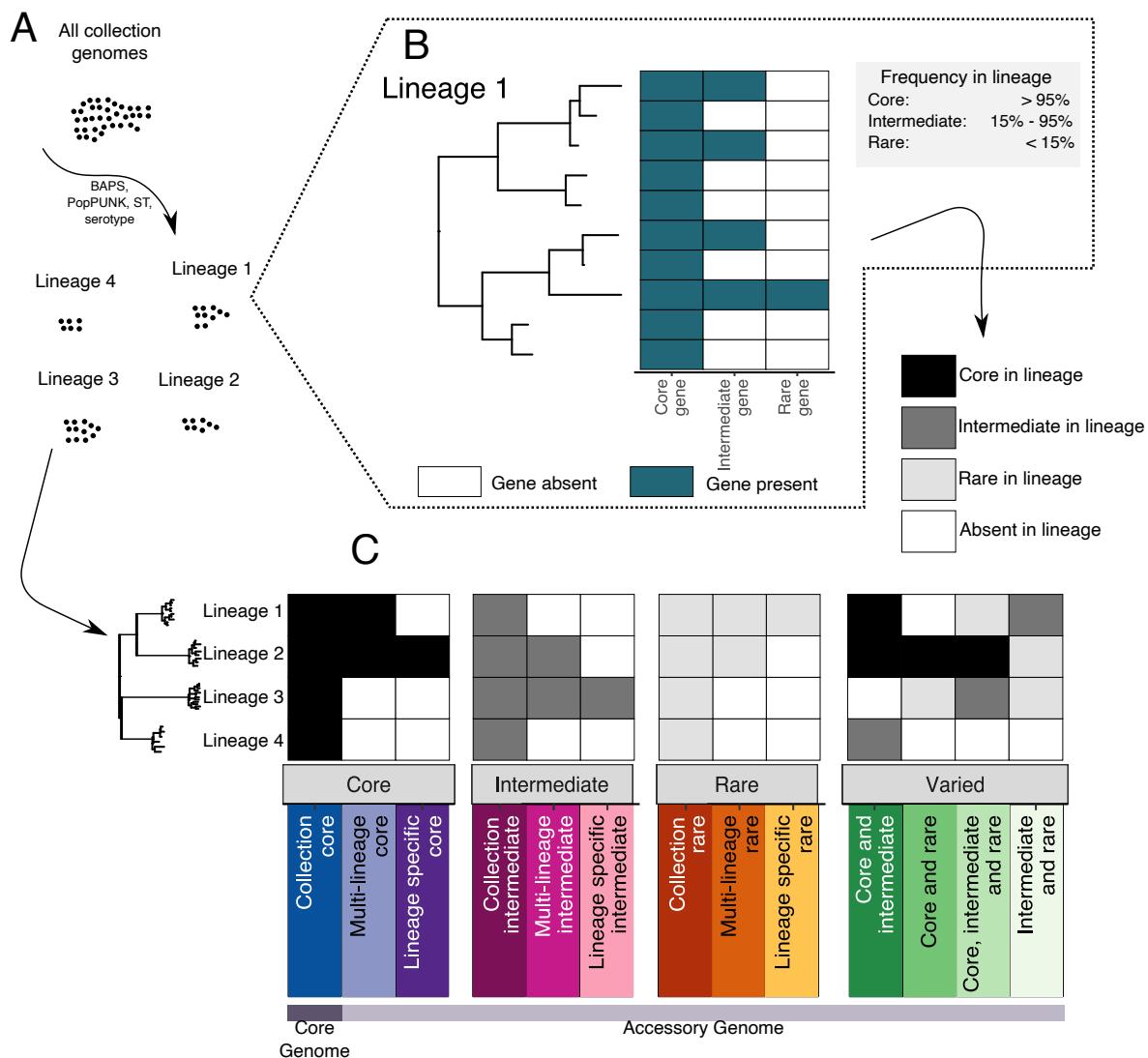
62    Here, we introduce a population structure-aware approach to classify the genes of a pan-  
63    genome beyond accessory and core categories, which accounts for the relative representation  
64    of the lineages in the population being studied. This refined classification allows us to better  
65    describe the pan-genome and its underlying evolutionary dynamics in organisms with complex  
66    population structures. Recent hypotheses on the evolution of the pan-genome have  
67    highlighted that different evolutionary mechanisms are required to explain the observed  
68    patterns of large open pan-genomes (Vos and Eyre-Walker 2017; Andreani, Hesse, and Vos

69 2017; Shapiro 2017; McInerney, McNally, and O'Connell 2017). Several competing and non-  
70 exclusive hypotheses have been proposed, including the selectively neutral spread of  
71 accessory genes – including, but not limited to highly mobile selfish elements (Andreani,  
72 Hesse, and Vos 2017; Vos and Eyre-Walker 2017), or indeed adaptive evolution (McInerney,  
73 McNally, and O'Connell 2017). Here we illustrate how an analysis of the patterns of within-  
74 species gene distribution informed by population structure can provide a more precise view of  
75 genes following different evolutionary trajectories. We demonstrate this on a compiled dataset  
76 of over 7,500 carefully curated *Escherichia coli* genomes: one of the most-studied bacterial  
77 species and used frequently as a model to illustrate an open pan-genome (Touchon et al.  
78 2009; Rasko et al. 2008; Gordienko, Kazanov, and Gelfand 2013).

## 79 Results

80 Case study: population structure-aware pan-genome analysis of a  
81 collection of 7,500 *E. coli* genomes.

82 To demonstrate how one can refine a pan-genome description while accounting for population  
83 structure, we used a recently published genome collection that includes over 7,500 *E. coli* and  
84 *Shigella* sp. genomes isolated from human hosts, referred to as the Horesh collection (Horesh  
85 et al. 2021). Shigellae are in fact specialised pathotypes of *E. coli* and were thus included  
86 (Pettengill, Pettengill, and Binet 2015; Chattaway et al. 2017). Briefly, the genomes in the  
87 Horesh collection were collated from publications and other public resources, representing the  
88 known diversity of the clinical *E. coli* isolate genomes available in public databases and  
89 underwent quality-control steps to ensure a final set of high-quality genomes. The genomes  
90 were grouped into lineages of closely related isolates (Figure 1A) using a whole genome-  
91 based clustering method that was designed to determine bacterial within-species population  
92 structure (Lees et al., 2019.). In total, the collection featured 1,158 lineages representing the  
93 *E. coli* species (as described in (Horesh et al. 2021)). We restricted our population-structure  
94 aware pan-genome analysis to the largest 47 lineages, which represented the majority of this  
95 dataset (7,692/10,158 genomes). Importantly regarding the demonstration of our approach,  
96 70% (5,349/7692) of all genomes in this collection belong to six highly overrepresented  
97 lineages. The pan-genome of the Horesh collection was classified into 50,039 homologous  
98 gene clusters (as described in (Horesh et al. 2021)).



99

100 **Figure 1: Twilight pan-genome analysis workflow.** **A** A collection of genomes are grouped  
 101 into lineages of closely related isolates. **B** Each gene is classified as core, intermediate or rare  
 102 in each lineage, depending on its frequency within the lineage (as defined in the grey box). **C**  
 103 The classification of the entire gene pool across all lineages consists of a total of 13 distribution  
 104 classes. These include the number of lineages in which a gene is present (all lineages, multiple  
 105 lineages or a single lineage), and the combination of frequency assignments of the gene in  
 106 those lineages (core, intermediate or rare).

107 The classical definition of the core genome is heavily influenced by the  
 108 underlying biases of the studied datasets

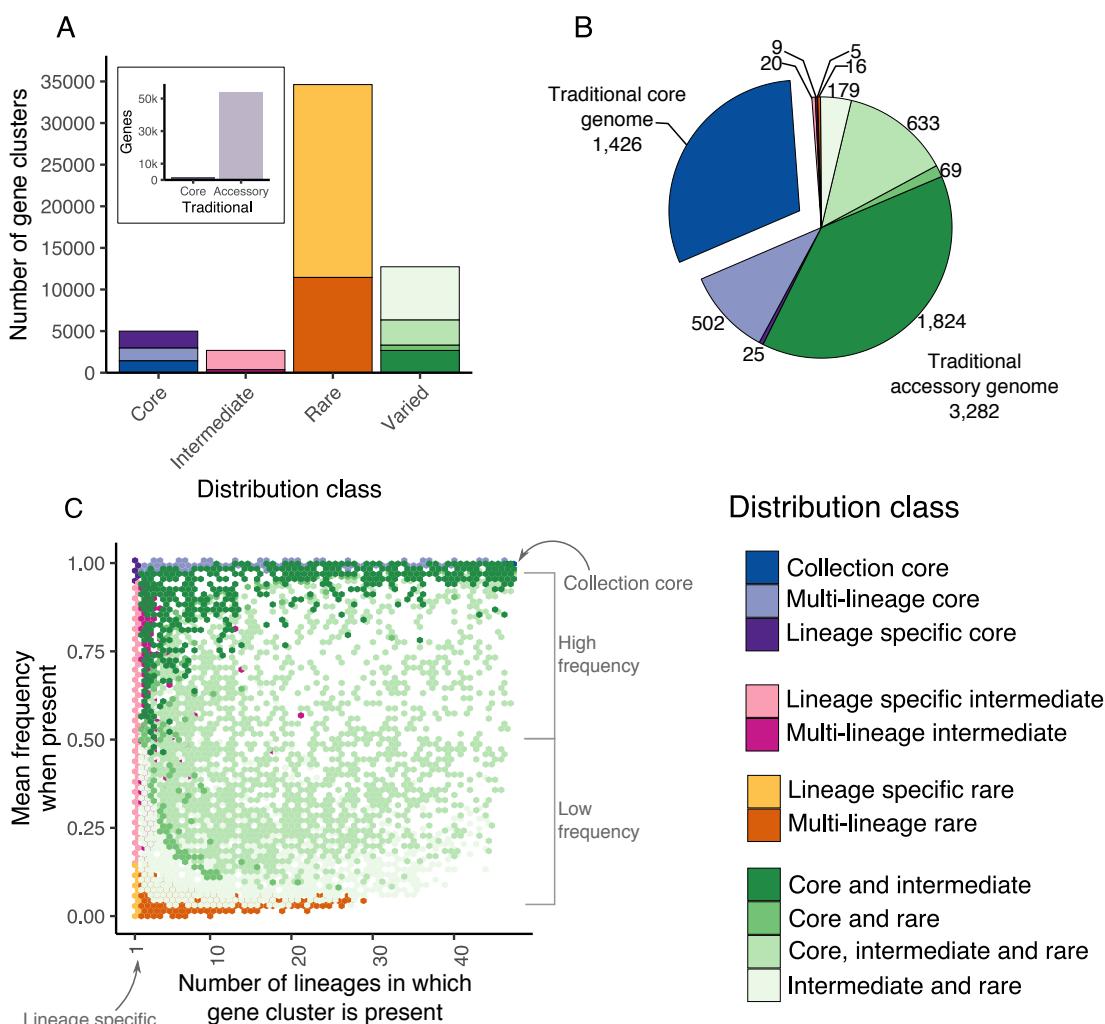
109 We defined the distribution for each gene cluster in the *E. coli* and *Shigella* genome dataset  
 110 by considering their frequency in each of the above-defined lineages independently. A gene  
 111 cluster can thus be core, intermediate, rare or absent based on its frequency within each  
 112 respective lineage (Figure 1B) but can have varied distributions in different lineages (Figure

113 1C, e.g. core in some and rare in other lineages). We summarised the combination of gene  
114 cluster occurrence patterns across lineages into a set of 13 species-wide distribution patterns,  
115 which we propose as novel categories for a more appropriate description of datasets with  
116 complex underlying population structure (Figure 1C). Compared to traditional pan-genome  
117 analyses, the “collection core” genes represent the classical definition of the core genome,  
118 whereas we consider the accessory genome as subdivided into 12 new classes, informed by  
119 the population structure, whose distribution reflects several different evolutionary dynamics.  
120

121 Figure 2A illustrates the new distribution classes, based on the number of lineages in which  
122 they were observed and their mean frequency within those lineages. Only the top right corner  
123 represents the traditional set of core genes. The rest of the pane is what is usually summarised  
124 as the accessory genome; the colours describe the underlying distribution classes. The plot  
125 shows the continuity of gene frequencies across the entire collection, with genes present  
126 across almost the entire distribution frequency spectrum.  
127

128 Within this expanded classification, “collection core genes” are equivalent to the traditional  
129 classification of core (assuming a threshold of  $\geq 95\%$  of the genomes in the collection encoding  
130 for a gene for it to be defined as core). In this analysis, the collection core is comprised of  
131 1,426 gene clusters; representing 3% of the total number of gene clusters comprising the *E.*  
132 *coli* pan-genome (1,426/50,039) and 30% of the total number of genes in a typical *E. coli*  
133 genome (defined as the weighted median across the 47 lineages, see methods, Figure 2B,C,  
134 Supplementary Table S1).  
135

136 An additional 1,532 gene clusters (3% of the pan-genome) are now defined as multi-lineage  
137 core: that is, they are present in  $\geq 95\%$  of isolates per lineage in multiple (but not all) lineages  
138 (2-46 lineages, Figure 2B). Another 2,040 genes (4% of all genes) were core to only a single  
139 lineage (Figure 2B). Both classes would have been assigned to the accessory genome  
140 following the classical definition of the pan-genome, as genes that are core to lineages with  
141 low representation in the dataset would have been categorised as rare genes. Importantly,  
142 these two additional distribution classes allow us to capture more recent acquisition or loss  
143 events that have remained fixed in a respective lineage or lineages.  
144



145

146 **Figure 2: Population-structure aware pan-genome of *E. coli*.** **A** Hexagonal binning of all  
 147 genes of the *E. coli* pan-genome, presented as the number of lineages in which each gene  
 148 was observed (x-axis) against the mean frequency across the lineages containing it (y-axis).  
 149 Each hexagon is coloured by the most common distribution class on the pane (see colour  
 150 key). **B** Number of gene clusters of the *E. coli* pan-genome from each of the novel distribution  
 151 classes. **C** The relative abundance and gene count of each of the distribution classes in a  
 152 typical *E. coli* genome in the collection. Only the collection core genes represent the traditional  
 153 set of core genes, the rest represent what would usually all be summarised as the accessory  
 154 genome.

155 The majority of rare and intermediate genes are lineage-specific

156 The majority of the *E. coli* gene clusters were classified as “rare genes” (Figure 2B, defined  
 157 as present in <15% of isolates of a lineage) in one or multiple lineages within the dataset. In  
 158 total, 63% (34,624/55,039) of the *E. coli* pan-genome was classified as rare, with 67% of all

159 rare genes being specific to a single lineage (23,175/34,624; Figure 2B). In relation to a single  
160 *E. coli* genome, these genes only form 0.1% of a typical genome (Figure 2C).

161

162 Intermediate frequency gene clusters on the contrary formed only 4% (2,685/55,039) of the  
163 entire gene pool; however, similar to the rare gene clusters, 86% of intermediate gene clusters  
164 (2,329/2,685) were only observed in a single lineage. Rare and intermediate genes observed  
165 in multiple lineages were most commonly observed in up to four lineages (Figure 2C,  
166 Supplementary Figure S1). We did not observe any rare or intermediate genes present across  
167 more than 30 lineages, and there were no collection rare or collection intermediate genes in  
168 this dataset (Figure 1A, 2A,B, Supplementary Figure S1).

169 A fifth of the pan-genome consists of genes observed in different  
170 frequencies across the lineages

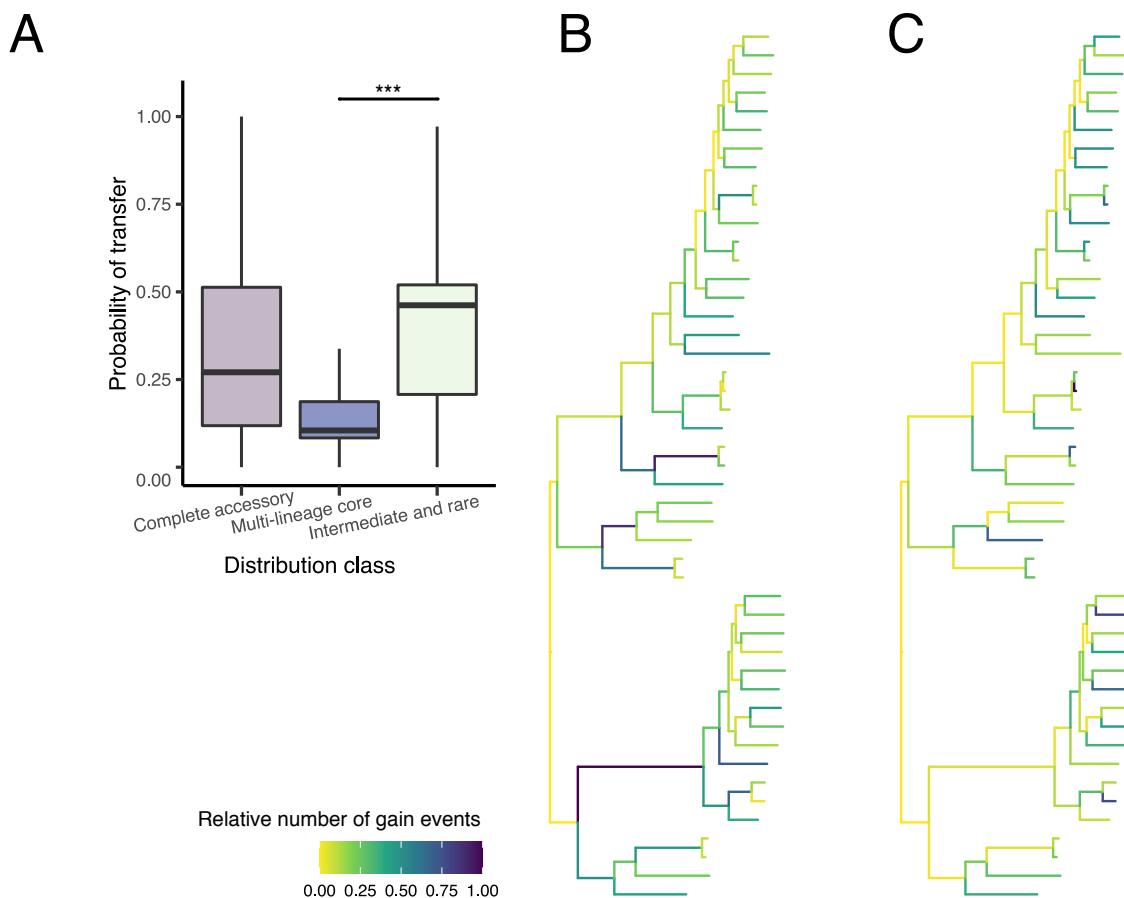
171 “Varied genes” were defined as those observed in several lineages, but at different  
172 frequencies within the respective lineages (e.g. core in one and intermediate in another  
173 lineage). These represented 23% of the pan-genome (12,732/55,039) (Figure 2B) and 57% of  
174 all genes in a typical *E. coli* genome (Figure 2C). To summarise all of these observations,  
175 genes were categorised as “core and intermediate”, “core, intermediate and rare”, “core and  
176 rare” or “intermediate and rare” depending on the combination of frequencies in which they  
177 appeared (Figure 1C). “Core and intermediate” genes were commonly observed in more  
178 lineages and in higher frequencies within those lineages and represented 38% of the genes  
179 in a typical *E. coli* genome (Figure 2A,C Supplementary Figure S1). On the other hand, the  
180 group of “intermediate and rare” had a lower frequency and were observed in fewer lineages  
181 (Figure 2A, Supplementary Figure S1).

182 Low frequency genes are four times more likely to have been horizontally  
183 transferred than high frequency genes.

184 As the pan-genome in any collection represents a snapshot of the gene pool at the time of  
185 sampling, our refined view of the different distribution classes may be used to infer how the  
186 genes are gained and lost and can indicate a gene’s future trajectory within a population. For  
187 instance, genes that are self-mobile or carried as cargo on mobile genetic elements will have  
188 a markedly different pattern of distribution relative to genes that may be in the process of being  
189 selectively lost in any particular lineage.

190

191 To assess whether genes from the different distribution classes showed varying evidence of  
192 levels of mobility and estimate the probability of genes having been horizontally transferred,  
193 we applied a species-tree gene-tree reconciliation method (Morel et al. 2020) to each gene  
194 cluster of the pan-genome. As expected, higher frequency genes (Figure 2B), ie. those present  
195 in the “collection core”, “core and intermediate” and “multi-lineage core”, gene sets were  
196 estimated to have the lowest probabilities of having been horizontally transferred (median  
197 0.12, 0.13 and 0.1, respectively) (Figure 3A, Supplementary Figure S2). Conversely, the lower  
198 frequency gene classes, i.e. “multi-lineage rare”, “multi-lineage intermediate”, “intermediate  
199 and rare” and “core, intermediate and rare” gene sets were estimated to be up to four times  
200 more likely to have been horizontally transferred than the high frequency genes (median  
201 probabilities of 0.48, 0.46, 0.44 and 0.31, respectively, Supplementary Figure S2). Consistent  
202 with this, by counting the total number of gene gain events predicted to have occurred on each  
203 branch using ancestral state-reconstruction, multi-lineage core gene gains most commonly  
204 occurred along the internal branches (Figure 3B) whereas “intermediate and rare” genes were  
205 predominantly gained at the branch tips (Figure 3C).



206  
207 **Figure 3: Different evolutionary dynamics of genes within the accessory genome. A**  
208 *Inferred probability of transfer using species-tree gene-tree reconciliation for the entire*  
209 *accessory genome (i.e. all 12 distribution classes which make up the accessory genome), only*

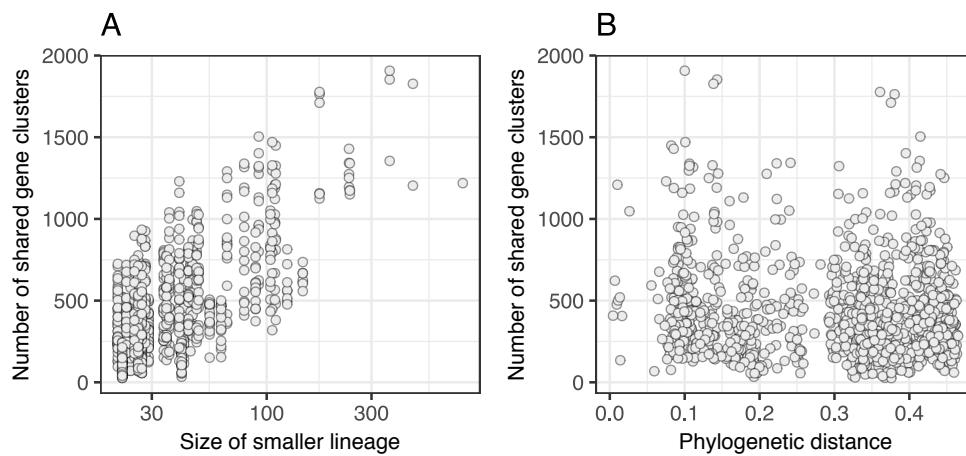
210 the “multi-lineage core” genes, and only ‘intermediate and rare’ genes (Wilcoxon rank sum  
211 test, \*\*\* $p < 0.001$ ). **B,C** number of gain events estimated to have occurred on each branch  
212 using ancestral state reconstruction when considering the ‘multi-lineage core’ genes (**B**) or all  
213 the ‘intermediate and rare’ genes (**C**). Darker colours represent more gain events were  
214 estimated to have occurred on a branch.

215

216 Of the multi-lineage core genes, 54% could be assigned as basic cellular processes such as  
217 metabolism, information storage and processing and cell signalling (Supplementary Figure  
218 S3). On the other hand, 73% of “intermediate and rare” genes were either assigned to a poorly  
219 characterised function (often associated with genetic mobility) or of unknown function  
220 (Supplementary Figure S4).

221 Detection of shared horizontally transferred genes between lineages is  
222 strongly dependent on unbiased sampling.

223 We observed that the number of “intermediate and rare” genes shared between every two  
224 lineages was positively correlated with the size of the two lineages being compared, with larger  
225 lineages sharing more mobile genes (Figure 4A, log linear regression,  $R^2=0.45$ ,  $p<2.2e-16$ ).  
226 Contrarily, we did not observe a relationship between the number of “intermediate and rare”  
227 genes shared between every two lineages and their phylogenetic distance (Figure 4B; linear  
228 regression,  $R^2=0.005$ ,  $p=0.01$ ). Using our population-structure aware approach to measure  
229 sharing of the genes belonging to the different distribution classes suggests a lack of barrier  
230 to gene flow between lineages. With that being said, our analysis highlights the need to  
231 increase sampling of under-studied lineages in order to overcome sampling-related biases  
232 and truly understand the level of horizontal transfer of genes between them.



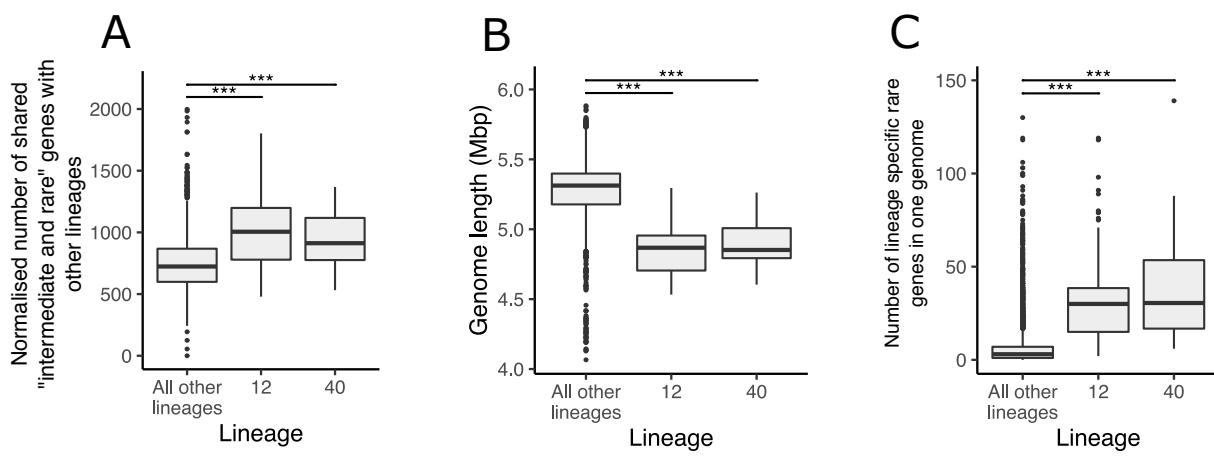
233

234 **Figure 4: Relationship between sharing of “intermediate and rare” genes, phylogenetic**  
235 **distance and lineage size. Relationship between the number of “intermediate and rare”**

236 genes shared between every two lineages and the size of the smaller lineage of the two being  
237 compared (**A**) or the phylogenetic distance between them (**B**). Pairwise comparisons were  
238 considered between every two of the 47 lineages.

239 Novel distribution classes can highlight lineages with evolutionary  
240 trajectories unusual for the species.

241 We normalised the counts of shared genes to correct for the bias led by the size of the lineages  
242 and any sharing of genes driven by phylogenetic relatedness (see Methods, Supplementary  
243 Figure S5). This revealed that two lineages (12 and 40) tended to share more “intermediate  
244 and rare” genes than expected compared to other lineages in the collection (Pairwise Wilcoxon  
245 rank sum test,  $p < 0.001$ , FDR corrected, Figure 5A, Supplementary Figure S6). Genomes in  
246 lineages 12 and 40 however, are smaller than those in other lineages (Pairwise Wilcoxon rank  
247 sum test,  $p < 0.001$ , FDR corrected, Figure 5B), and the mean number of lineage-specific rare  
248 genes in a single genome was 32 and 30 genes, respectively, compared to 5 in a typical *E.*  
249 *coli* genome (Pairwise Wilcoxon rank sum test,  $p < 0.001$ , FDR corrected; Figure 2C, Figure  
250 5C, Supplementary Figure S7). Overall, the relative fraction of lineage-specific rare genes in  
251 the genomes of these lineages was seven times higher relative to the median fraction in the  
252 entire collection (median fraction in collection = 0.001; median fraction in lineages 12 and 40:  
253 0.007; Figure 2C). Similar to the other low frequency genes, the “lineage-specific rare” genes  
254 were also most commonly predicted to be phage-derived or otherwise had other annotations  
255 related to genetic mobility (Supplementary Figure S4).



256  
257 **Figure 5: Redefining the pan-genome reveals key insights into particular lineages. A**  
258 **B** Number of shared mobile genes per isolate, for isolates belonging to lineage 12, 40 or all other  
259 lineages. Counts were normalised to consider the dependency on the lineage size, and to  
260 correct for gene sharing driven by phylogenetic relatedness (Pairwise Wilcoxon rank sum test,  
261 FDR corrected,  $*p < 0.05$ ,  $**p < 0.01$ ,  $***p < 0.001$ ). **C** Genome length of each isolate, for

262 isolates belonging to lineage 12, 40 and all other lineages (Pairwise Wilcoxon rank sum test,  
263 FDR corrected,  $*p < 0.05$ ,  $**p < 0.01$ ,  $***p < 0.001$ ). **C** Number of “lineage specific rare” genes  
264 observed in each isolate, for isolates belonging to lineage 12, 40 and all other lineages.  
265 (Pairwise Wilcoxon rank sum test, FDR corrected,  $*p < 0.05$ ,  $**p < 0.01$ ,  $***p < 0.001$ ).

## 266 Discussion

267 To date, the existence of complex population structure and diverse lineages in the bacterial  
268 populations has not been taken into account in pan-genome analyses. We introduce a  
269 population-structure aware classification of the pan-genome as an extended set of thirteen  
270 classes. Our study reveals distinctive patterns in the evolutionary dynamics of these gene  
271 classes, with differences in the relative importance of these gene classes between lineages  
272 within *E. coli*. Our approach can be further applied to other bacterial species of public health  
273 interest to provide insight into the evolutionary dynamics of genes within such species.

274

275 Subcategorising the genes of the accessory genome allowed us to distinguish the evolutionary  
276 dynamics of different gene classes within the accessory genome. Grouping all the genes of  
277 the accessory genome together showed a large spread of probabilities of genes being  
278 horizontally transferred. Our refined approach showed that low-frequency genes transfer more  
279 frequently than the high-frequency genes. Importantly, the study of outliers, which disagree  
280 with the general trend of each of the distribution classes, can reveal gene-specific evolutionary  
281 dynamics, including adaptive processes. For instance, multi-lineage core genes estimated to  
282 have high rates of transfer may represent genes that were acquired and fixed independently  
283 on multiple occasions and could be cases of convergent evolution and adaptation to similar  
284 niches.

285

286 By expanding the number of distribution classes of the accessory genome relative to traditional  
287 approaches, we were able to observe a relationship between the number of rare genes per  
288 genome and high levels of sharing of horizontally transferred genes in two lineages, 12 and  
289 40. This relationship has biological implications, as it suggests that the higher levels of gene  
290 sharing are driven by an increased ability to retain mobile genes in each genome for isolates  
291 belonging to these lineages, or an inability to prevent invasion by foreign selfish elements.  
292 78% of the isolates from lineage 12 are of ST10 and 43% of the isolates in lineage 40 are from  
293 ST23. ST10 and ST23 are ubiquitous as they have been described as both commensal and  
294 pathogenic, multidrug resistant, as well as isolated from human and animal sources (Bortolaia  
295 et al. 2011; Oteo et al. 2009). These properties have labelled these lineages as generalists  
296 and as potential facilitators of gene movement in the population (Matamoros et al. 2017). Here

297 we showed that these differences can be identified and exemplified through more refined  
298 analysis of the pan-genome of the entire dataset, as well as within each lineage separately. In  
299 doing so, we can also identify lineages that have a greater propensity as vectors for facilitating  
300 gene movement.

301

302 It is clear that as available genomic data grows, and our understanding of the population  
303 structure becomes richer, a population structure-aware approach to analysing the gene  
304 frequency distribution is necessary to overcome several biases inherent in large datasets  
305 consisting of variably sampled populations, as these biases can overshadow the true  
306 distribution of the genes in a population. For example, using a traditional approach, treating  
307 all gene counts across the entire collection equally, genes that are core and specific to a single  
308 lineage that has a low representation or penetrance in the collection could be mistaken for  
309 rare genes. Identification of these genes is highly important, as being core to only a subset of  
310 the population suggests that they have an evolutionary advantage in a particular genetic  
311 context or ecological setting (Lassalle, Muller, and Nesme 2015; Gori et al. 2020). Additionally,  
312 genes that are core to a subset of the population are particularly relevant to investigate further  
313 for their potential use in diagnostics and epidemiology.

314 **Materials and methods**

315 **Gene classification into “distribution classes”**

316 Each gene cluster was assigned to a distribution class based on its frequency within genomes  
317 belonging to the same phylogenetic clusters, termed lineages (Figure 1A). Within each  
318 lineage, a gene was defined as “core” if it was present in more than 95% of the isolates of that  
319 lineage, “intermediate” if present in 15% to 95% of isolates of the lineage, and “rare” if present  
320 in up to 15% of the isolates of the lineage (Figure 1B). Three main distribution classes, “Core”,  
321 “Intermediate” and “Rare”, contained all the genes that were always observed as being “core”,  
322 “intermediate” or “rare” respectively across the lineages in which they were present (Figure  
323 1C). “Collection core”, “collection intermediate” and “collection rare” genes were present and  
324 in their respective frequencies across all the lineages of the collection. “Multi-lineage core”,  
325 “multi-lineage intermediate” and “multi-lineage rare” genes were present in multiple lineages  
326 in their respective frequencies. “Lineage specific core”, “lineage specific intermediate” and  
327 “lineage specific rare” genes were present only in one lineage in their respective frequencies.  
328 The final main distribution class or “varied” genes, included all the genes which were observed  
329 as either combination of “core”, “intermediate” or “rare” across multiple lineages. All the  
330 possible combinations are “core, intermediate and rare”, “core and intermediate”, “core and

331 rare" and "intermediate and rare" (Figure 1C). The classification of all genes in the *E. coli*  
332 collection is available as Supplementary Table S1.

### 333 Measuring the genetic composition of each lineage

334 The number of genes from each of the thirteen distribution classes was counted in each of the  
335 7,693 *E. coli* genomes in the collection. The median number of genes from each distribution  
336 class was calculated per lineage. The genetic composition of a typical *E. coli* genome was  
337 measured as the median across the medians calculated per lineage for each distribution class.

### 338 Gene-tree species-tree reconciliation

339 GeneRax (v1.2.2) was used to infer the probability of a horizontal gene transfer event for each  
340 gene using species-tree gene-tree reconciliation (Morel et al. 2020). A multiple sequence  
341 alignment of all the representative sequences of each gene cluster which had at least four  
342 members (available as file F6 at (Horesh et al. 2021)) were performed using mafft (v7.310)  
343 (Katoh and Standley 2013). An initial tree for each gene cluster, used as the input for  
344 GeneRax, was constructed using iqtree (v1.6.10) with SH-like approximate likelihood ratio test  
345 (SH-aLRT) with 1000 replicates (Nguyen et al. 2015). The reconciliation was performed  
346 against the species tree provided in (Horesh et al. 2021) with strategy SPR, reconciliation  
347 model UndatedDTL and substitution model GTR+G. The probability of transfer was inferred  
348 by GeneRax for each of the gene-clusters when reconciled against the species tree.

### 349 Counting gain events

350 The phylogenetic tree representing the 47 lineages was downloaded from (Horesh et al. 2021).  
351 The phylogenetic distance between every two lineages was measured as the patristic  
352 distance using the function 'cophenetic' from the R package ape (v5.3) (Paradis, Claude, and  
353 Strimmer 2004). The patristic distance is the sum of the total distance between two leaves of  
354 the tree, which represent the lineages, and hence summarises the total genetic change in the  
355 core gene alignment represented in the tree.

356

357 The leaves or tips of the phylogenetic tree represent the 47 lineages. Presence of a gene in a  
358 lineage (tree leaf) was defined as the gene being observed at least once in at least one isolate  
359 of the lineage, i.e. the frequency in the lineage was ignored. The presence or absence of a  
360 gene in an ancestral node, i.e. an internal node, was determined using accelerated  
361 transformation (ACCTRAN) reconstruction implemented in R (Farris 1970). ACCTRAN is a  
362 maximum parsimony-based approach which minimises the number of transition events on the

363 tree (from absence to presence and vice versa) while preferring changes along tree branches  
364 closer to the root of the tree.

365

366 Gain and loss events were counted based on the results of the ancestral state reconstruction.  
367 If there was a change from absence to presence from an ancestor to a child along a branch  
368 in the phylogeny, a gain event was counted. If there was a change from presence to absence  
369 a loss event was counted. The total number of gain and loss events was counted for each  
370 gene as well as on each branch for all distribution classes. ggtree (v1.16.6) was used for  
371 phylogenetic visualisation (Yu et al. 2017).

## 372 Measuring gene sharing between lineages

373 The number of genes shared from each distribution class between every two lineages was  
374 counted using custom R and python scripts. In order to identify where some lineages shared  
375 more genes than expected, we corrected for gene sharing driven by the phylogeny or by a  
376 large sample size. To correct for phylogenetically driven gene sharing, for each lineage we  
377 only counted the number of genes shared with lineages which had a patristic distance of 0.15  
378 or more from it on the species tree. This threshold was chosen based on the observation that  
379 isolates from the same phylogroup had a patristic distance lower than 0.15 (Supplementary  
380 Figure 4). To correct for the lineage size, we fitted a linear model for the number of genes  
381 shared between every two lineages against the size of the lineage, which showed a positive  
382 coefficient. We adjusted the values as follows:  $counts_{new} = count_{orig} - \beta \times log10(size) - \alpha$ ,  
383 where  $\beta$  is the coefficient of the line and  $\alpha$  is the intercept (Supplementary Figure S5). We  
384 then scaled the numbers to be larger than 0 by adding the lowest value to all counts. The new  
385 counts no longer correlated with the size of the lineages (Supplementary Figure S5).

## 386 Functional assignment of COG categories

387 The predicted function and COG category of each gene cluster were assigned using eggNOG-  
388 mapper (1.0.3) on the representative sequence of each of the gene clusters (Huerta-Cepas et  
389 al. 2017). Diamond was used for a fast local protein alignment of the representative sequences  
390 against the eggNOG protein database (implemented within eggNOG-mapper). The COG  
391 (Clusters of Orthologous Groups) classification scheme comprises 22 COG categories which  
392 are broadly divided into functions relating to cellular processes and signaling, information  
393 storage and processing, metabolism and genes which are poorly categorised (Galperin et al.  
394 2015). When no match was found in the eggNOG database, the genes were marked as "?" in  
395 their COG category.

396

397 Sub-sentences of all lengths were extracted from each of the functional predictions for each  
398 gene cluster using the function “combinations” from the python package “itertools”, while  
399 ignoring common words. For instance, for the functional prediction “atp-binding component of  
400 a transport system”, the words “of”, “a” and “system” were ignored, and the extracted sub-  
401 sentences were “atp-binding component”, “atp-binding component transport” and “component  
402 transport”. The number of times each sub-sentence appeared in each distribution class was  
403 counted. Overlapping sub-sentences which only had a difference of 3 or smaller in their total  
404 counts per distribution class were merged in the final count to include only the longer sub-  
405 sentence. For instance, if “atp-binding component transport” was counted 100 times and “atp-  
406 binding component” was counted 103 times, the final count would only include the longer sub-  
407 sentence “atp-binding component transport” with a count of 100.

## 408 Code availability

409 All analyses were performed using custom R and Python scripts, available at  
410 [https://github.com/ghoresh11/twilight/tree/master/manuscript\\_scripts](https://github.com/ghoresh11/twilight/tree/master/manuscript_scripts). The script used to  
411 classify the genes into distribution classes and generate the figures presented in this study is  
412 available at <https://github.com/ghoresh11/twilight>. The script can be applied on any other  
413 dataset, given a gene presence absence file as generated by pan-genome analysis tools and  
414 a grouping of each genome into a lineage. ggplot2 was used for all plotting (Wickham 2016).

## 415 Acknowledgements

416 This work was funded by Wellcome Sanger Institute (no. 206194), a Wellcome Sanger  
417 Institute PhD studentship (to G.H. and S.M.), Woolf Fisher Scholarship (to S.M.) and an ERC  
418 grant (742158 to J.C.). EH acknowledges funding from Wellcome (217303/Z/19/Z) and UKRI  
419 (BBSRC V011278/1). We would like to thank Leopold Parts, Simon Harris, Andres Floto and  
420 members of the Thomson team for useful discussions.

## 421 References

422 Andreani, Nadia Andrea, Elze Hesse, and Michiel Vos. 2017. “Prokaryote Genome Fluidity Is  
423 Dependent on Effective Population Size.” *The ISME Journal* 11 (7): 1719–21.  
424 Bortolaia, Valeria, Jesper Larsen, Peter Damborg, and Luca Guardabassi. 2011. “Potential  
425 Pathogenicity and Host Range of Extended-Spectrum Beta-Lactamase-Producing  
426 Escherichia Coli Isolates from Healthy Poultry.” *Applied and Environmental Microbiology*  
427 77 (16): 5830–33.

428 Brockhurst, Michael A., Ellie Harrison, James P. J. Hall, Thomas Richards, Alan McNally,  
429 and Craig MacLean. 2019. "The Ecology and Evolution of Pangenomes." *Current  
430 Biology: CB* 29 (20): R1094–1103.

431 Chattaway, Marie A., Ulf Schaefer, Rediat Tewolde, Timothy J. Dallman, and Claire Jenkins.  
432 2017. "Identification of Escherichia Coli and Shigella Species from Whole-Genome  
433 Sequences." *Journal of Clinical Microbiology* 55 (2): 616–23.

434 Farris, James S. 1970. "Methods for Computing Wagner Trees." *Systematic Biology* 19 (1):  
435 83–92.

436 Galperin, Michael Y., Kira S. Makarova, Yuri I. Wolf, and Eugene V. Koonin. 2015.  
437 "Expanded Microbial Genome Coverage and Improved Protein Family Annotation in the  
438 COG Database." *Nucleic Acids Research* 43 (Database issue): D261–69.

439 Gordienko, Evgeny N., Marat D. Kazanov, and Mikhail S. Gelfand. 2013. "Evolution of Pan-  
440 Genomes of Escherichia Coli, Shigella Spp., and Salmonella Enterica." *Journal of  
441 Bacteriology* 195 (12): 2786–92.

442 Gori, Andrea, Odile B. Harrison, Ethwako Mlia, Yo Nishihara, Jia Mun Chan, Jacquline  
443 Msefula, Macpherson Mallewa, et al. 2020. "Pan-GWAS of Streptococcus Agalactiae  
444 Highlights Lineage-Specific Genes Associated with Virulence and Niche Adaptation."  
445 *mBio* 11 (3). <https://doi.org/10.1128/mBio.00728-20>.

446 Horesh, Gal, Grace A. Blackwell, Gerry Tonkin-Hill, Jukka Corander, Eva Heinz, and  
447 Nicholas R. Thomson. 2021. "A Comprehensive and High-Quality Collection of  
448 Escherichia Coli Genomes and Their Genes." *Microbial Genomics*, January.  
449 <https://doi.org/10.1099/mgen.0.000499>.

450 Huerta-Cepas, Jaime, Kristoffer Forslund, Luis Pedro Coelho, Damian Szklarczyk, Lars Juhl  
451 Jensen, Christian von Mering, and Peer Bork. 2017. "Fast Genome-Wide Functional  
452 Annotation through Orthology Assignment by eggNOG-Mapper." *Molecular Biology and  
453 Evolution* 34 (8): 2115–22.

454 Katoh, Kazutaka, and Daron M. Standley. 2013. "MAFFT Multiple Sequence Alignment  
455 Software Version 7: Improvements in Performance and Usability." *Molecular Biology  
456 and Evolution* 30 (4): 772–80.

457 Lassalle, Florent, Daniel Muller, and Xavier Nesme. 2015. "Ecological Speciation in Bacteria:  
458 Reverse Ecology Approaches Reveal the Adaptive Part of Bacterial Cladogenesis."  
459 *Research in Microbiology* 166 (10): 729–41.

460 Lassalle, Florent, Rémi Planel, Simon Penel, David Chapulliot, Valérie Barbe, Audrey  
461 Dubost, Alexandra Calteau, et al. 2017. "Ancestral Genome Estimation Reveals the  
462 History of Ecological Diversification in Agrobacterium." *Genome Biology and Evolution* 9  
463 (12): 3413–31.

464 Lees, John A., Simon R. Harris, Gerry Tonkin-Hill, Rebecca A. Gladstone, Stephanie W. Lo,

465 Jeffrey N. Weiser, Jukka Corander, Stephen D. Bentley, and Nicholas J. Croucher.  
466 2019. "Fast and Flexible Bacterial Genomic Epidemiology with PopPUNK."  
467 <https://doi.org/10.1101/360917>.

468 Matamoros, Sébastien, Jarne M. van Hattem, Maris S. Arcilla, Niels Willemse, Damian C.  
469 Melles, John Penders, Trung Nguyen Vinh, et al. 2017. "Global Phylogenetic Analysis of  
470 Escherichia Coli and Plasmids Carrying the Mcr-1 Gene Indicates Bacterial Diversity but  
471 Plasmid Restriction." *Scientific Reports* 7 (1): 15364.

472 McInerney, James O., Alan McNally, and Mary J. O'Connell. 2017. "Why Prokaryotes Have  
473 Pangenomes." *Nature Microbiology* 2 (March): 17040.

474 Morel, Benoit, Alexey M. Kozlov, Alexandros Stamatakis, and Gergely J. Szöllösi. 2020.  
475 "GeneRax: A Tool for Species Tree-Aware Maximum Likelihood Based Gene Family  
476 Tree Inference under Gene Duplication, Transfer, and Loss." *Molecular Biology and*  
477 *Evolution*, June. <https://doi.org/10.1093/molbev/msaa141>.

478 Nguyen, Lam-Tung, Heiko A. Schmidt, Arndt von Haeseler, and Bui Quang Minh. 2015. "IQ-  
479 TREE: A Fast and Effective Stochastic Algorithm for Estimating Maximum-Likelihood  
480 Phylogenies." *Molecular Biology and Evolution* 32 (1): 268–74.

481 Oteo, Jesús, Karol Diestra, Carlos Juan, Verónica Bautista, Angela Novais, María Pérez-  
482 Vázquez, Bartolome Moyá, et al. 2009. "Extended-Spectrum Beta-Lactamase-  
483 Producing Escherichia Coli in Spain Belong to a Large Variety of Multilocus Sequence  
484 Typing Types, Including ST10 complex/A, ST23 complex/A and ST131/B2."  
485 *International Journal of Antimicrobial Agents* 34 (2): 173–76.

486 Paradis, Emmanuel, Julien Claude, and Korbinian Strimmer. 2004. "APE: Analyses of  
487 Phylogenetics and Evolution in R Language." *Bioinformatics* 20 (2): 289–90.

488 Pettengill, Emily A., James B. Pettengill, and Rachel Binet. 2015. "Phylogenetic Analyses of  
489 *Shigella* and Enteroinvasive *Escherichia Coli* for the Identification of Molecular  
490 Epidemiological Markers: Whole-Genome Comparative Analysis Does Not Support  
491 Distinct Genera Designation." *Frontiers in Microbiology* 6: 1573.

492 Rasko, David A., M. J. Rosovitz, Garry S. A. Myers, Emmanuel F. Mongodin, W. Florian  
493 Fricke, Paweł Gajer, Jonathan Crabtree, et al. 2008. "The Pangenome Structure of  
494 *Escherichia Coli*: Comparative Genomic Analysis of *E. Coli* Commensal and Pathogenic  
495 Isolates." *Journal of Bacteriology* 190 (20): 6881–93.

496 Shapiro, B. Jesse. 2017. "The Population Genetics of Pangenomes." *Nature Microbiology*.  
497 Touchon, Marie, Claire Hoede, Olivier Tenaillon, Valérie Barbe, Simon Baeriswyl, Philippe  
498 Bidet, Edouard Bingen, et al. 2009. "Organised Genome Dynamics in the *Escherichia*  
499 *Coli* Species Results in Highly Diverse Adaptive Paths." *PLoS Genetics* 5 (1):  
500 e1000344.

501 Vos, Michiel, and Adam Eyre-Walker. 2017. "Are Pangenomes Adaptive or Not?" *Nature*

502        *Microbiology*.

503        Wickham, Hadley. 2016. *ggplot2: Elegant Graphics for Data Analysis*. Springer.

504        Yu, Guangchuang, David K. Smith, Huachen Zhu, Yi Guan, and Tommy Tsan-yuk Lam.

505        2017. “Ggtree : An R Package for Visualization and Annotation of Phylogenetic Trees

506        with Their Covariates and Other Associated Data.” Edited by Greg McInerny. *Methods*

507        *in Ecology and Evolution / British Ecological Society* 8 (1): 28–36.

508