

1 **Horizontal Transfer and Evolution of the Biosynthetic Gene Cluster for**  
2 **Benzoxazinoid**

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9 **Abstract**

10 Benzoxazinoids are a class of protective and allelopathic plant secondary metabolites,  
11 first identified in maize (*Zea mays*) and subsequently shown to be encoded by a  
12 biosynthetic gene cluster (BGC), the Bx cluster. Data mining of mining 40  
13 high-quality grass genomes identified complete Bx clusters (containing genes *Bx1* to  
14 *Bx5* and *Bx8*) in three genera (*Zea*, *Echinochloa* and *Dichanthelium*) in the  
15 Panicoideae and partial clusters in the Triticeae. The Bx cluster originated from gene  
16 duplication of native analogues of Bx genes and chromosomal translocation. An  
17 ancient Bx cluster including additional Bx genes (e.g., *Bx6*) is found in ancestral  
18 Panicoideae. The ancient Bx cluster was gained by the Triticeae ancestor via a  
19 horizontal transfer (HT) event from the ancestral Panicoideae and later separated into  
20 three parts on different chromosomes. *Bx6* appears to have been under less  
21 constrained selection during evolution of the Panicoideae as evidenced by the fact that  
22 was translocated ~1.31-Mb away from the Bx cluster in *Z. mays*, moved to other  
23 chromosomes in *Echinochloa*, and even lost in *Dichanthelium*. Further investigation  
24 indicated that intense selection and polyploidization shaped the evolutionary  
25 trajectory of the Bx cluster in the grass family. This study provides the first case of  
26 HT of BGCs among plants and sheds new insights on the evolution of BGCs.

27 **Key words**

28 biosynthetic gene cluster | horizontal transfer | benzoxazinoid | grass | purifying  
29 selection

## 30 **Significance**

31 Biosynthetic gene clustering and horizontal gene transfer are two evolutionary  
32 inventions for rapid adaption by organisms. Horizontal transfer of a gene cluster has  
33 been reported in fungi and bacteria, but not in plants up to now. By mining the  
34 genomes of 40 monocot species, we deciphered the organization of Bx gene cluster, a  
35 biosynthetic gene cluster for benzoxazinoids in grasses. We found that the Bx cluster  
36 was formed by gene duplication of native analogues of individual Bx genes and  
37 directional translocation. More importantly, the Bx cluster in Triticeae was inherited  
38 from the Panicoideae via horizontal transfer. Compared with the native analogues, Bx  
39 clusters in grasses show constrained purifying selection underscoring their  
40 significance in environmental adaption.

## 41 **Introduction**

42 Biosynthetic gene clusters (BGCs) are specialized genomic organizations comprised  
43 of a cluster of non-homologous genes contributing to the biosynthesis of chemical  
44 defensive metabolites (Nützmann et al., 2018; Nützmann and Osbourn, 2014). The  
45 selective advantages of clustering, such as gene co-regulation and co-inheritance, may  
46 promote the formation of BGCs (Rokas et al., 2018; Nützmann and Osbourn, 2014;  
47 Nützmann et al., 2016). Natural selection has also driven the establishment and  
48 maintenance of BGCs, including long-term purifying selection, positive selection, and  
49 balancing selection (Rokas et al., 2018; Slod and Rokas, 2010; Carbone et al., 2007;  
50 Liu et al., 2020; Takos and Rook, 2012). The formation and evolution of BGCs have  
51 been studied extensively in fungi (Rokas et al., 2018). About 30 examples of BGCs in  
52 plants have been identified in recent years (Guo et al., 2018). The Bx cluster for the  
53 biosynthesis of benzoxazinoids is the first identified BGC in plants (Frey et al., 1997).

54 Gene duplication, neofunctionalization and relocation have been suggested as the  
55 origins of BGCs in most fungi and plants (Nützmann et al., 2018; Rokas et al., 2018).  
56 The DAL gene cluster involved in the allantoin metabolism originated from  
57 duplication of native genes and relocation in the yeast *Saccharomyces cerevisiae*  
58 (Wong and Wolfe, 2005). The GAL cluster found in *Candida* yeasts originated  
59 through the relocation of native unclustered genes (Slot and Rokas, 2010). Horizontal  
60 transfer (HT) also leads to the emergence and spread of BGCs and is an important  
61 source of genomic innovation (Khaldi et al., 2008; Slot and Rokas, 2011; Reynolds et  
62 al., 2018; Kominek et al., 2019). In the fungus *Aspergillus clavatus*, the ACE1 gene  
63 cluster originated by HT from a donor closely related to the rice blast fungus  
64 *Magnaporthe grisea* (Khaldi et al., 2008). The GAL cluster of *Schizosaccharomyces*  
65 yeasts was acquired from a *Candida* yeast (Slot and Rokas, 2010). A full operon  
66 encoding siderophore biosynthesis genes was horizontally transferred from bacteria to

67 a group of budding yeasts (Kominek et al., 2019). In animals, bdelloid rotifers, small  
68 freshwater invertebrates, appear to have acquired a BGC for cell wall peptidoglycan  
69 biosynthesis comprised of a racemase and a ligase, from bacteria (Gladyshev et al.,  
70 2008). In plants, BGCs were not likely to be derived from microbes via HT  
71 (Nützmann et al., 2018) and no BGCs via HT have been identified.

72 Benzoxazinoids are a class of indole-derived protective and allelopathic secondary  
73 metabolites that function in plants to defend against insect herbivores, microbial  
74 pathogens and neighboring competing plants (reviewed in Frey et al., 2009).  
75 2,4-dihydroxy-1,4-benzoxazin-3-one (DIBOA) and its 7-methoxy analog DIMBOA  
76 are the predominant representatives of benzoxazinoids in plants (Frey et al., 1997;  
77 Frey et al., 2009) and these compounds have been identified in many plants, including  
78 maize (*Zea mays*), wheat (*Triticum aestivum*), and barnyardgrass (*Echinochloa*  
79 *crus-galli*) (Frey et al., 2009; Guo et al., 2017). In *Echinochloa*, a weed species,  
80 DIBOA functions as an allelopathic compound against rice in paddy fields (Guo et al.,  
81 2017).

82 The pathway of benzoxazinoid biosynthesis has been elucidated extensively in *Z.  
83 mays* (Fig. 1a). The first step is the biosynthesis of indole from  
84 indole-3-glycerolphosphate in the chloroplast by Bx1, a homolog of the  $\alpha$ -subunit of  
85 tryptophan synthase. Four P450 monooxygenases from CYP71C subfamily (Bx2 to  
86 Bx5) add four oxygen atoms at four position of the indole to synthesize DIBOA, the  
87 simplest benzoxazinoid (Frey et al., 1997). Two UDP-glucosyltransferases (UGTs),  
88 Bx8 and Bx9, attach a glucose moiety to DIBOA to produce DIBOA-Glc (Rad et al.,  
89 2001). Bx6, a 2-oxoglutarate-dependent dioxygenase (2-ODD), oxidizes DIBOA-Glc  
90 to TRIBOA-Glc and subsequently Bx7 (OMT, O-methyltransferase) methylates  
91 TRIBOA-Glc to produce DIMBOA-Glc (Jonczyk et al., 2008). Four OMTs (Bx10 to  
92 Bx12, and Bx14) catalyze the conversion of DIMBOA-Glc to HDMBOA-Glc with  
93 functional redundancy (Meihls et al., 2013). Bx13, a Bx6-like 2-ODD, converts  
94 DIMBOA-Glc to TRIMBOA-Glc and TRIMBOA-Glc is further methylated to  
95 produce DIM2BOA-Glc by Bx7 (Handrick et al., 2016). Bx14 catalyzes the reaction  
96 from DIM2BOA-Glc to HDIM2BOA-Glc by methylation (Handrick et al., 2016).

97 In maize, six Bx genes (*Bx1-Bx5*, and *Bx8*) encoding enzymes functioning in the first  
98 few steps of DIMBOA biosynthesis form a well-defined BGC (named the Bx cluster),  
99 located at the tip region of chromosome 4 (Frey et al., 1997; Frey et al., 2009). Bx  
100 genes have been identified in barnyardgrass within an intact cluster, and in wheat and  
101 rye within disperse sub-clusters (Guo et al., 2017; Sue et al., 2011). Previous studies  
102 indicated a monophyletic origin of Bx genes in benzoxazinoid biosynthesis (Frey et  
103 al., 2009; Sue et al., 2011; Dutartre et al., 2012; Nützmann and Osbourn, 2014). The  
104 progenitors have evolved Bx genes before the divergence of the Triticeae and the  
105 Panicoideae (Sue et al., 2011; Dutartre et al., 2012). However, it should be noted that  
106 limited sampling may bring over-interpretation of gene phylogeny. The frequent gene  
107 loss and rearrangements, and patchy distribution across divergent species complicated

108 the understanding to the evolution of BGCs (Lind et al., 2017). The broad availability  
109 of high-quality genomes of important crops and wild grasses has facilitated the  
110 discovery of more BGCs (Guo et al., 2018) enabling us to trace the organization and  
111 evolution of BGCs more comprehensively and reliably.

112 Here, we identified all Bx genes in the grass family using 40 high-quality monocot  
113 genomes and further explored the origin of the Bx cluster and reconstructed its  
114 evolutionary trajectory. Through analysis of sequence similarities, phylogenies and  
115 genomic synteny, we provide evidence that the Bx clusters currently observed in  
116 grasses originated from a complex evolution processes that included HT. The HT  
117 event and further intense selection shaped the presence of the Bx cluster in the grass  
118 family.

119 **Results**

120 **Identification and distribution of Bx genes in the grass family**

121 Key genes in the benzoxazinoid biosynthesis pathway of *Z. mays*, including those in  
122 the Bx cluster (*Bx1* to *Bx5*, *Bx8*) and Bx genes dispersed in the genome (*Bx6*, *Bx7*,  
123 *Bx9* to *Bx14*) were used as baits to search the Bx genes in the genomes of 39 other  
124 species, covering five subfamilies of core grasses (Bambusoideae, Oryzoideae and  
125 Pooideae from BOP lineage, and Chloridoideae and Panicoideae from PACMAD  
126 lineage) and basal group of Poaceae (*Pharus latifolius*) (Fig. 1b; Table S1). All  
127 analogues of Bx genes were identified based on their sequence similarities, phylogeny,  
128 and genomic physical positions (Fig. 1b; Table S2).

129 In addition to the Bx clusters previously reported in *Z. mays* and *Echinochloa* (Frey,  
130 1997; Guo et al., 2017), a Bx cluster was also found in *Dichanthelium oligosanthes*,  
131 Scribner's rosette grass, a C3 panicoid grass (Fig. 1b). In the Triticeae, the Bx cluster  
132 was split into three sub-clusters located on three different chromosomes. In total, 12  
133 clusters were found in six grass species, of which 10 were in *Echinochloa* genus, with  
134 one cluster in each monoploid genome (except one subgenome in *Echinochloa colona*  
135 with two copies). The Bx gene orders in clusters were entirely consistent among *Z.*  
136 *mays*, *D. oligosanthes* and *Echinochloa*, implying a single origin of the Bx clusters  
137 (Fig. 1b). Although the Bx cluster was split in the Triticeae, the order of *Bx3* to *Bx5*  
138 were same as the Bx cluster in the Panicoideae, which showed potential close  
139 relationship between Bx genes in BOP and PACMAD lineages. *Bx6* was distant  
140 1.31-Mb away from Bx cluster in *Z. mays* genome, although both the gene and cluster  
141 were located on chromosome 4. *Bx6* was also identified in *Digitaria* and *Setaria* from  
142 Panicoideae. *Bx6* was located on chromosome 2 in Triticeae and chromosome 9 in  
143 *Echinochloa*. *Bx7* was an ancient gene, distributed in both BOP and PACMAD  
144 lineages, in spite of massive loss.

145 **Formation and HT of the Bx cluster (*Bx1* to *Bx5* and *Bx8*)**

146 With *P. latifolius* from Pharoideae (N1 in Fig. 2a) serving as an outgroup, the gene  
147 tree of *Bx1* was divided into two lineages of BOP and PACMAD, in line with the  
148 species tree (Fig. 2a). *Bx1* genes formed a monoclade, composed by *Bx1* copies from  
149 previous identified species with Bx clusters. To distinguish other Bx homologs from  
150 *Bx1* copies, we called the other *Bx1* homologs as *Bx1* analogues. The *Bx1* analogues  
151 were native and extraordinarily conserved across the grass family and were in good  
152 synteny among genomes (Fig. 2b). The *Bx1* analogue AET5Gv21022100 (N0 in Fig.  
153 2a) in *Aegilop tauschii* from Pooideae subfamily was syntenic to the *Bx1* analogue  
154 PI3g34340 (N1) in *P. latifolius* from the basal lineage of Poaceae, as well as the *Bx1*  
155 analogues LOC\_Os3g58300 (N2) in *Oryza sativa* from Oryzoideae, Et\_4A\_034058  
156 (N5) in *Eragrostis tef* from Chloridoideae, Sevir.9G054600 (N6) in *Setaria viridis*  
157 from Paniceae, Panicoideae, and Zm00008a005484 (N8) in *Z. mays* from  
158 Andropogoneae, Panicoideae. Sequence alignments showed they were conserved with  
159 the domain of tryptophan synthase (Fig. 2c; Fig. S1). In contrast to the native *Bx1*  
160 analogues, the clade of *Bx1* copies, which is nested between native analogues of  
161 Chloridoideae and Panicoideae and sister to native copies of Panicoideae, is an extra  
162 lineage-specific copy duplicated in the ancestor of Panicoideae (Fig. 2a). To ensure  
163 the lineage-specific duplication event, local synteny of *Bx1* was scanned between *Z.*  
164 *mays* and other genomes (Fig. 2d). The two flanking genomic regions of Bx cluster in  
165 *Z. mays* showed high synteny to *Brachypodium distachyon* and *A. tauschii* from  
166 Pooideae, *O. sativa* from Oryzoideae, *Sorghum bicolor* from Andropogoneae,  
167 Panicoideae and *S. viridis* from Paniceae, Panicoideae. However, the Bx cluster was  
168 entirely absent in these genomes. Considering the species phylogeny in the Poaceae,  
169 the presence of Bx cluster in *Z. mays* is not ancestral but rather derived likely by  
170 translocation from other genomic positions. Comparing the gene positions of Bx  
171 clusters between *Z. mays* and *Echinochloa haploclada* from *Echinochloa*, their Bx  
172 clusters were in a large syntenic block, and the orders of Bx genes were consistent,  
173 implying the common origin of Bx cluster in their common ancestor before the  
174 divergence of Andropogoneae and Paniceae, although there was a translocation  
175 between them. Although the scaffold harboring the Bx cluster in *D. oligosanthes* was  
176 short, five Bx genes were assembled and their orders were in line with those in *Z.*  
177 *mays*, further supporting the origin of Bx cluster in ancestral Panicoideae. Sequence  
178 alignment among *Bx1* genes and their native analogues showed *Bx1* lineage-specific  
179 deletion and substitution, confirming a single origin of *Bx1* genes (Fig. 2c).

180 Within the *Bx1* clade, *Bx1* genes from the Triticeae form a monoclade nested among  
181 *Bx1* genes from Panicoideae, indicating a single origin of these genes. Given that the  
182 divergence between the Pooideae and Panicoideae is ancient, estimated at more than  
183 50 million years ago (Ma et al., 2021) and native *Bx1* analogues are present, the  
184 positional congruence of the Triticeae *Bx1* clade is not likely to be derived from  
185 sexual hybridization, incomplete lineage sorting (ILS) or convergent evolution, but

186 HT from the Panicoideae (Fig. 2a). To further confirm the robustness of the  
187 phylogeny of *Bx1* based on protein sequences, the phylogenetic trees of *Bx1* based on  
188 coding sequence (CDS), codon12 (first and second codon positions) and codon3 (third  
189 codon position) were built and the topologies confirmed the existence of gene  
190 duplication and HT of *Bx1* (Fig. S2).

191 We built the phylogeny and scanned the genomic synteny of *Bx2-Bx5* and *Bx8* across  
192 the whole Poaceae (Figs. S3 and S4). Native analogues of *Bx2* could be traced and  
193 were highly conservative (Fig. S3). *Bx3*, *Bx4* and *Bx5* were three tandemly duplicated  
194 CYP71C genes from cytochrome P450 superfamily. The native ancestral analogues of  
195 *Bx3* to *Bx5* were massively lost but the retained analogues showed high genomic  
196 synteny among subfamilies (Fig. S3). Based on the phylogeny of *Bx8*, *Bx8* genes were  
197 products of native analogues and *Bx8* genes in the Triticeae were nested within those  
198 in Panicoideae. *Bx9* was a maize-specific duplicate of *Bx8* (Fig. S4). In brief,  
199 topologies of the five Bx genes (*Bx2-Bx5* and *Bx8*) were similar to what was observed  
200 with *Bx1*, implying that Bx genes in the cluster were derived from a single origin and  
201 Bx genes in Triticeae were likely acquired via HT of an intact Bx cluster from  
202 Panicoideae.

203 To formally test the hypothesis of a Panicoideae origin of the Bx genes in Triticeae,  
204 we reconstructed phylogenies under constraints that the Bx genes in Triticeae were  
205 derived from Panicoideae Bx clade origin (PO) or outside of that clade (Non-PO). To  
206 determine whether the PO phylogenies statistically were better explanations than  
207 non-PO phylogenies we employed the approximately unbiased (AU) test, the  
208 resampling estimated log-likelihood method (RELL), and the Shimodaira-Hasegawa  
209 (SH) test. All tests of all Bx genes in cluster (*Bx1-Bx5* and *Bx8*) strongly rejected the  
210 alternative hypothesis that Bx genes in Triticeae were not derived from Panicoideae  
211 (all p values < 0.001 for AU tests) (Table S3). The results indicated that the obtained  
212 tree topologies of all Bx genes were highly robust and reflected a HT event of Bx  
213 genes from Panicoideae to Triticeae.

## 214 **Co-evolution between *Bx6* and the Bx cluster**

215 The *Bx6* gene whose encoded product is responsible for oxidizing DIBOA-Glc to  
216 TRIBOA-Glc, the subsequent enzymatic step following the activity of the Bx cluster  
217 genes in maize was located away from the Bx cluster (Fig. 1a). The phylogeny of *Bx6*  
218 showed a similar pattern as *Bx1*, in that the Bx clade was duplicated from native *Bx6*  
219 analogues and HT from Panicoideae was likely responsible for the inheritance of the  
220 *Bx6* genes in Triticeae (Fig. 3a). Multi-species genome synteny analyses supported the  
221 above results (Fig. 3b). Topology tests confirmed the robustness of *Bx6* phylogeny  
222 and *Bx6* genes in Triticeae were nested within Panicoideae *Bx6* clade (p value < 0.001  
223 for AU test) (Table S3). Hence, it is reasonable to speculate that *Bx6* co-evolved with  
224 the Bx cluster with similar evolutionary trajectories. Notably, besides species  
225 harboring Bx cluster, *Bx6* genes could be identified in *Setaria* and *Digitaria* from

226 Panicoideae (Fig. 1b; Fig. 3a). The wide distribution of *Bx6* across the Panicoideae  
227 implies that *Bx6* originated by duplication at the common ancestor of Panicoideae. We  
228 also observed that *Bx13* is a maize-specific duplicate of *Bx6* (Fig. 3a).

229 We also identified the presence of other dispersal Bx genes and built phylogenetic  
230 trees to trace their evolutionary histories. *Bx7* catalyzes the conversion of  
231 TRIBOA-Glc to DIMBOA-Glc (Fig. 1a). Only limited homologs could be identified  
232 in grasses and its phylogenetic tree revealed that *Bx7* was conserved in evolution  
233 without congruence to species phylogeny, although massive losses occurred (Fig. S5).  
234 *Bx10/Bx11/Bx12/Bx14* encoded OMTs, acting as metabolic switches between  
235 caterpillar and aphid resistance, by transforming DIMBOA-Glc to HDMBOA-Glc (Li  
236 et al., 2018). From the phylogenetic analyses, the clade of *Bx10/Bx11/Bx12/Bx14* were  
237 maize-specific duplicates, and was in a well-defined Panicoideae-specific clade (Fig.  
238 S6). Within the clade, no Triticeae homologs were found. While in wheat (*T.*  
239 *aestivum*), two OMT genes were characterized as functional DIMBOA-Glc OMTs  
240 both designated as *TaBx10* but phylogenetically close to *Bx7*, rather than *Bx10* in *Z.*  
241 *mays*, indicating the convergence in function of OMT genes in grasses during the  
242 process of O-methylation (Li et al., 2018). This case implied that other paralogs of  
243 OMTs could function as *Bx10/Bx11/Bx12/Bx14* in the process of O-methylation and  
244 *Bx10/Bx11/Bx12/Bx14* are not compulsory for benzoxazinoid biosynthesis. Taken  
245 together, *Bx7* and *Bx10/Bx11/Bx12/Bx14* were alternative and dispensable to some  
246 extents in the Bx pathway. Hence in the following analyses, we focused on the Bx  
247 cluster and *Bx6*.

## 248 **Constrained purifying selection on the Bx cluster**

249 Natural selection shapes the evolutionary dynamics of BGCs (Rokas et al., 2018; Slod  
250 and Rokas, 2010; Liu et al., 2020). The selection pressure was measured by  $\omega$  (dN/dS,  
251 the ratio between non-synonymous sites substitution and synonymous sites  
252 substitution) in each lineages of the individual Bx genes. Generally, both the Bx genes  
253 and their native analogues were under purifying selection ( $\omega < 1$ ). Compared to  
254 outgroup lineage N-Chloridoideae (native Bx analogues in Chloridoideae),  
255 constrained purifying selections were detected in all of the native Bx genes for  
256 Panicoideae, with the exception of *Bx6*. The native analogues of *Bx6* in Panicoideae  
257 suffered relaxed selection with a higher  $\omega$  value, relative to other Bx native genes.  
258 Compared to the native analogues, the  $\omega$  values were lower for the Bx genes in  
259 Panicoideae (B-Panicoideae) in cluster, while no difference in selection was found for  
260 *Bx6*, which was not clustered together with Bx cluster. This selection bias in  
261 Panicoideae corresponded to the presence-and-absence (PAV) of Bx genes and their  
262 analogues (Fig. S7). The loss of native analogues of Bx genes in cluster was more  
263 frequent than those of Bx genes in cluster, which mirrored the relaxed selection,  
264 especially for *Bx2*, *Bx5* and *Bx8*. The presence of *Bx6* native analogues was highly  
265 conserved, with one copy within one single analyzed genome, corresponding to  
266 unbiased selection pressure compared to *Bx6* genes (Fig. S7). While in Triticeae, all of

267 the Bx genes exhibited constrained selection, despite the conserved presence of Bx  
268 native analogues (Fig. 4a; Fig. S7). Although Bx genes in Triticeae were inferred to be  
269 gained from Panicoideae, stronger selection was detected in Triticeae Bx genes than  
270 those in Panicoideae, especially for *Bx1* and *Bx6*. To eliminate the effects by biases  
271 from species sampling and PAV of Bx genes or native analogues, the selection  
272 pressure was measured focusing on *Echinochloa* and Triticeae. The results further  
273 confirmed the selection profiling of Bx genes (Fig. S8).

274 **Dominance of Bx cluster genes in polyploids**

275 In species whose genomes contained Bx genes, polyploids are commonly seen  
276 (hexaploid *T. aestivum*, *E. crus-galli* and *E. colona*, and tetraploid *Triticum*  
277 *dicoccoides* and *Echinochloa oryzicola* in this study). We investigated the effects of  
278 polyploidization on Bx clusters or genes from three different views: PAV, selection,  
279 and gene expression. Duplicated genes tend to be lost due to gene redundancy or  
280 dosage effects in polyploids (Soltis and Soltis, 2009; Van de Peer et al., 2017). Not  
281 unexpectedly, Bx genes tended to be lost in polyploids, especially in *Echinochloa*  
282 (Fig. 1b; Fig. S7). In diploid *Echinochloa haploclada*, the core Bx gene set was intact,  
283 while Bx losses were found in three polyploid *Echinochloa* species. In this case, only  
284 one intact copy of the core Bx gene set was retained in one subgenome in each species  
285 (e.g. BT in *E. oryzicola*, CH in *E. crus-galli* and DH2 in *E. colona*).

286 The selection strengths to homologous duplicates usually varies in polyploids (Ye et  
287 al., 2020). The genomes of *E. crus-galli* and its progenitors (*E. oryzicola* and *E.*  
288 *haploclada*) provided a model to study the selection dominance of multi-copy  
289 homologous Bx genes and we calculated the  $\omega$  values of Bx genes in each subgenome  
290 between *E. crus-galli* and its parents (Fig. 4b). Bx genes in subgenome A were  
291 generally under relaxed purifying selection, with higher  $\omega$  values compared to  
292 subgenomes B and C (e.g. *Bx1* and *Bx8*). For native analogues, the selection on  
293 subgenome A copy was relaxed in the example of *Bx6*. In general, biased selection  
294 was observed for Bx genes in *Echinochloa* and Bx genes in subgenome A were under  
295 less constrained selection in the post-hexaploidization.

296 Expression dominance has been commonly observed in polyploids (Ye et al., 2020;  
297 Van de Peer et al., 2017). Response contribution of subgenomes (relative changes of  
298 expressed transcripts from each subgenome, compared to the total expression change)  
299 is also biased among subgenomes (Ye et al., 2020). To explore the effect of  
300 polyploidization on gene expression of multi-copy Bx genes, we compared the  
301 expression levels of Bx genes in *E. crus-galli* with and without allelopathy treatment  
302 (i.e., co-culture with rice) (Guo et al., 2017). Expression and response contribution  
303 were both suppressed for Bx genes in subgenome AH (Fig. 4c). The dominance of  
304 selection and gene expression or response were associated such that Bx genes in  
305 subgenome A suffering less constrained selection, were suppressed in expression and

306 response contribution (Fig. S8).

307 **Discussion**

308 **Evolutionary trajectory of the Bx gene cluster in grass**

309 Given that the Bx cluster and *Bx6* catalyze the first seven steps in the benzoxazinoid  
310 biosynthesis and are sufficient to synthesize benzoxazinoid compounds without other  
311 *Bx* genes (e.g. in wheat), we considered Bx cluster (*Bx1* to *Bx5* and *Bx8*) and *Bx6* as  
312 the core set of Bx genes in the pathway (Fig. 1a). Based upon the results from all of  
313 the phylogenetic analyses of core Bx genes, the evolutionary trajectory of Bx genes  
314 could be assumed (Fig. 5). Native Bx analogues could be found in all phylogenetic  
315 trees of core Bx genes and were evolutionarily conserved with good genomic synteny  
316 among subfamilies. Therefore, the Bx genes in the Bx cluster and *Bx6* should  
317 originate from duplication of native Bx analogues. Previous studies proposed *Bx1*  
318 evolved from duplication and modification of the alpha subunit of the tryptophan  
319 synthase (TSA) (Grun et al., 2005; Frey et al., 2009). Here, we comprehensively  
320 identified the native analogues of the Bx genes. Gene duplication, followed by  
321 neofunctionalization and/or subfunctionalization, and recurrent genomic translocation,  
322 gathered Bx genes together to form Bx cluster (Fig. 5). The processes of gene  
323 duplication and translocation may have been induced by activities of retrotransposon  
324 elements.

325 The positional relationship between Bx cluster and *Bx6* appears to be dynamic. *Bx6*  
326 and the Bx cluster are both located on chromosome 4 in *Z. mays* while they are  
327 separated into different chromosomes in Triticeae and *Echinochloa* (Fig. 1b).  
328 However, given that the genes in Bx cluster and *Bx6* showed almost the same  
329 evolutionary phylogenies and *Bx6* catalyzes the reaction following those catalyzed by  
330 the gene products encoded in the Bx cluster, we speculated that *Bx6* co-evolved with  
331 the Bx cluster and were located in an ancient Bx cluster (Fig. 5). It is difficult to date  
332 accurately when the ancient Bx cluster formed, due to the unreliability of dating based  
333 on individual genes. However, we could infer that the duplication of Bx genes  
334 occurred at the common ancestor of Paniceae and Andropogoneae (supported by the  
335 *Bx1* and *Bx6* phylogenetic trees) or a common ancestor of Panicoideae and  
336 Chloridoideae (supported by the *Bx2* and *Bx8* phylogenies), and the Bx cluster was  
337 organized before the divergence of Paniceae and Andropogoneae.

338 Previously it was proposed that the genes of Bx biosynthesis in the grasses were of  
339 monophyletic origin before the divergence of the Triticeae and Panicoideae (Frey et  
340 al., 2009; Grun et al., 2005). Here, the integrated evidence indicates strongly that the  
341 Bx genes in Triticeae originated from Panicoideae via HT (Fig. 5). Triticeae and  
342 Panicoideae diverged more than 50 mya, which ruled out the possibility of natural

343 hybridization between them and ILS. Previous studies also found that no  
344 benzoxazinoid biosynthesis can be detected in *Brachypodium* (basal genus in  
345 Pooideae) (Frey et al., 2009), corresponding to the absence of identifiable Bx genes in  
346 two *Brachypodium* genomes (Fig. 1b). Benzoxazinoids could be produced in wild  
347 *Hordeum* but not in cultivated *Hordeum* (*H. vulgare* in Triticeae), indicating the Bx  
348 genes were retained in wild *Hordeum* but lost in cultivated *Hordeum* (Grun et al.,  
349 2005; Sue et al., 2011). Therefore, it was speculated that the transfer occurred at the  
350 common ancestor of Triticeae after the divergence with *Brachypodium*. To trace the  
351 potential donor of Bx genes, we considered the topology between Bx genes of  
352 Triticeae, Andropogoneae (e.g. *Z. mays*) and Paniceae (e.g. *Echinochloa*, *D.*  
353 *oligosanthes*) (Fig. 5). Four Bx genes supported the common ancestor of  
354 Andropogoneae and Paniceae as the donor of Bx genes in Triticeae. However, three  
355 Bx genes showed discordant topology, implying the transfer event may have taken  
356 place at a time close to the divergence between Andropogoneae and Paniceae, which  
357 would result in an ILS-like phylogeny. With massive genome reshuffling in Triticeae,  
358 the intact ancient cluster (Bx cluster plus *Bx6*) was split into segments and scattered  
359 on four chromosomes (Frey et al., 2009). Gene loss resulted in the partial loss of Bx  
360 genes (e.g. *T. urartu*) and entire loss (e.g. *H. vulgare*) in Triticeae. It is noteworthy  
361 that phylogenies of individuals genes based on different sequence types (e.g., amino  
362 acid or nucleotide sequences), different substitution models, or other different  
363 parameters, are sometimes misleading. For example, the phylogenies of *Bx1* based on  
364 amino acid sequences and nucleotide sequences (CDS, codon12 and codon3) were  
365 incongruent since in Triticeae and Andropogoneae (*Z. mays*) *Bx1* formed a monoclade  
366 whereas *Bx1* formed a monoclade in Triticeae and Paniceae (Fig. 2a; Fig. S2).

367 In Panicoideae, genes in the Bx cluster and *Bx6* all showed a single common origin  
368 before the divergence of Andropogoneae and Paniceae based on data from analysis of  
369 gene phylogeny, genomic synteny and Bx gene orders. The common ancestor of  
370 Panicoideae had a cluster of Bx genes including *Bx6*. After the divergence between  
371 Andropogoneae and Paniceae, different genomic rearrangements happened in the two  
372 tribes (Fig. 5). In Andropogoneae, Bx cluster and *Bx6* were retained in *Z. mays* while  
373 being lost completely in other species (e.g., *S. bicolor* and *Miscanthus sinensis*).  
374 Furthermore, *Bx6* was separated away from the Bx cluster by translocation in *Z. mays*,  
375 although they were still on the short arm of chromosome 4. In Paniceae, massive  
376 losses were found in Bx genes. The Bx cluster was retained in *D. oligosanthes* but  
377 *Bx6* was lost. In contrast, *Bx6* was retained in *Setaria* and *Digitaria*, but Bx clusters  
378 were missing. Both Bx cluster and *Bx6* were absent in *Panicum*, *Cenchrus* and  
379 *Alloteropsis*. *Echinochloa* is the only genus in which the Bx cluster and *Bx6* are on  
380 two chromosomes (Fig. 1b).

381 **HT of gene cluster in plants**

382 HT is an important driving force of trait innovation in various levels of organisms

383 (Soucy et al., 2015). In plants, HT were commonly seen between parasites and  
384 corresponding host species, and between grafting rootstock and scion, due to intimate  
385 physical cell-to-cell contacts (Kim et al., 2014; Fuentes et al., 2014). HT could also  
386 emerge without direct contact, a phenomenon that has been studied somewhat in  
387 grasses (Hibdige et al., 2021; Dunning et al., 2019; Park et al., 2021). A total of 135  
388 transferred candidate genes were identified across 17 grass species (Hibdige et al.,  
389 2021). Besides gene elements, transposon elements have also been detected to have  
390 been transferred among divergent grass species, as in the case for *Echinochloa* genus  
391 and *Oryza punctata* lineage (Park et al., 2021). In these reported HT events, a few  
392 have involved large genomic segments. A block containing 10 protein-coding genes  
393 was transmitted from *Iseilema membranaceum* (Andropogoneae) to *Alloteropsis*  
394 *semialata* (Panicoideae) (Dunning et al., 2019). Here, we provided strong,  
395 unambiguous evidence that established that at least seven Bx biosynthetic genes in  
396 Triticeae are derived from donor ancestral Panicoideae as an intact ancient Bx cluster  
397 (including *Bx6*) via HT (Fig. 5). HT occurred more frequently between closely related  
398 species (Soucy et al., 2015; Hibdige et al., 2021), while Triticeae and Panicoideae  
399 were split more than 50 mya. The DNA transfer events from Panicoideae to Triticeae  
400 have been reported before. Several nuclear ribosomal DNA (rDNA) sequences in wild  
401 *Hordeum* and *Elymus* species were *Panicum*-like, indicating their foreign origins  
402 (Mahelka et al., 2010; Mahelka et al., 2017). Recently, a large chromosomal segment  
403 (~68 kb long) harboring five stress-related protein-coding genes, has been reported to  
404 be transferred from *Panicum* to wild *Hordeum* species (Mahelka et al., 2021; Verhage,  
405 2021). Some of these genes remained functional in the recipient *Hordeum* genomes.  
406 These cases reflected that the transfer of exotic DNA was not as rare among plants as  
407 previously supposed (Mahelka et al., 2021), at least in grass from Panicoideae to  
408 Triticeae. It is reasonable to infer that more HT events could be detected from  
409 Panicoideae to Triticeae in future studies and this unidirectional and biased HT  
410 pathway has accelerated the capacity to environmental stress in Triticeae.

411 Compared to prior reported plant-to-plant transfers, here we provide the first case of  
412 HT event of an intact gene cluster functioning in the biosynthesis of multi-effect  
413 chemical compounds in plants. The clustering of a series of biosynthetic genes  
414 facilitates the heritage and stress response by co-inheritance and co-expression in  
415 organisms, which is an ingenious invention in the long-term adaptive evolution. When  
416 combining HT and gene clustering together, it offers a rapid strategy to acquire highly  
417 efficient weapons to defend external stress. It seems this phenomenon is rare but  
418 universal in the kingdom of life, because transfers of BGC have been detected in  
419 fungi (Khaldi et al., 2008; Slot and Rokas, 2011; Reynolds et al., 2018). As for how  
420 the transfer between phylogenetically distant plant species occurs, one possible  
421 explanation is that it takes place because of occasional contact (e.g., like natural  
422 grafting) or is facilitated by vector transfer (e.g., insects, fungi, viruses) (Xia et al.,  
423 2021; Wang et al., 2020). The transfer of DNA between insect vectors and plants has  
424 been reported recently. For example, whitefly has acquired the plant-derived phenolic  
425 glucoside malonyltransferase gene *BtPMA1* from a plant host enabling it to

426 neutralize plant toxin phenolic glucosides (Xia et al., 2021). Similarly, the transfer of  
427 *Fhb7* from fungus *Epichloë* to *Thinopyrum* wheatgrass (Triticeae) provides broad  
428 resistance to both *Fusarium* head blight and crown rot in wheat (Wang et al., 2020).

429 **Selection on gene clusters**

430 The driving forces for the organization and maintenance of BGCs remain in debate.  
431 Nevertheless, it is widely accepted that natural selection must inevitably shape their  
432 evolution. The selection analysis to BGCs were rare, due to limited identifications of  
433 BGCs and comparable sequences. In Saccharomycetes, the galactose BGCs are  
434 widely conserved in terms of sequence and function, suggesting the influence of  
435 long-term purifying selection (Slot and Rokas, 2010). Balancing selection also plays  
436 roles in maintaining diversity of BGCs, as in the case of the aflatoxin gene cluster in  
437 fungus *Aspergillus parasiticus* (Carbone et al., 2007). In *Arabidopsis*, the thalianol  
438 BGC appear to be under relaxed selection when compared with genes in the  
439 phytosterol biosynthetic pathway, but is still under strong purifying selection (Liu et  
440 al., 2020). In this study, we utilized multiple copies of Bx genes and their  
441 corresponding native analogues across a broad range of grass species to profile the  
442 selection landscapes of Bx clusters. Similar to what was found in the thalianol BGC,  
443 Bx genes in both Panicoideae and Triticeae showed purifying selection. When  
444 compared with native analogues, the selection on Bx genes in cluster was more  
445 constrained (Fig. 4a). The selection pressure was similar for *Bx6* and its native  
446 analogues in Panicoideae, possibly the result of dispersal of *Bx6* away from other core  
447 Bx genes in cluster. It is suggested that lateral pathway genes were less constrained  
448 than the early pathway genes in the biosynthesis of thalianol in *Arabidopsis* (Liu et al.,  
449 2020). Here, we noticed that *Bx6*, functioning after the reactions by genes in the Bx  
450 cluster, exhibited the highest  $\omega$  value among the seven core Bx genes (Fig. 4a). *Bx8*,  
451 which is within Bx cluster, was less constrained than other Bx genes in the cluster. All  
452 identified Bx clusters or genes were transcribed in the various genomes and  
453 functioned in stress response, further indicating purifying selection in conserving the  
454 functions of Bx clusters.

455 **Subgenome dominance of gene clusters in polyploids**

456 We found that several species identified to have Bx clusters or whole-set core Bx  
457 genes are polyploids (Fig. 1b). In most cases, polyploidization provides stronger  
458 growth and higher tolerance to environmental stress than original diploid status (Soltis  
459 and Soltis, 2009; Van de Peer et al., 2017). On this basis, biosynthetic gene clustering  
460 further offers these species a powerful weapon to response external stimulus. To some  
461 extent, the existence of BGCs in these polyploids assisted in allowing these species to  
462 become main crops under artificial selection (e.g., hexaploid and tetraploid wheat, and  
463 paleo-tetraploid maize) or successful agricultural weeds (hexaploid and tetraploid  
464 barnyardgrass). In polyploids, the subgenome dominance usually exists in selection

465 and gene expression. The dominance of BGCs in polyploids has not been well studied.  
466 Differential expression of Bx genes in hexaploid wheat was detected (Nomura et al.,  
467 2005). The main contribution in hexaploid and tetraploid wheat is by subgenome B.  
468 In the hexaploid barnyardgrass *E. crus-galli*, we found an obvious suppression in  
469 expression of Bx genes on subgenome AH, compared with other two subgenomes  
470 (Fig. 4c). The dominance pattern of Bx genes was consistent with overall profiling  
471 across whole subgenomes with a significantly higher proportion of suppressed genes  
472 occurring in subgenome AH (Ye et al., 2020). Highly expressed metabolic genes tend  
473 to be retained preferentially after polyploidization due to selective pressure (Gout et  
474 al., 2009). The selection on Bx genes on subgenome A was indeed less constrained  
475 than that on other two Bx homologs (Fig. 4b; Fig. S8). Furthermore, three out of four  
476 Bx gene losses in the *E. crus-galli* pedigree were from subgenome A (Fig. S7). Gene  
477 loss is the extreme result of relaxed selection. Differential transposon element  
478 contents among three subgenomes may be one of the driving forces of expression  
479 suppression and relaxed selection on subgenome A in *Echinochloa*. More transposon  
480 elements on subgenome A somewhat increased the degree of methylation, which will  
481 inactivate the gene expression (Ye et al., 2020). As seen in the cases of wheat  
482 (Nomura et al., 2005) and barnyardgrass, the genomic bias in the expression of Bx  
483 genes in polyploids was putatively derived from the diploid progenitors. Subsequent  
484 selection would shape the presence-and-absence of Bx genes on each genome. Clearly,  
485 additional studies are needed to decipher the mechanism of dominance of BGCs in  
486 polyploids.

## 487 Materials and Methods

### 488 Datasets

489 Amino acid sequences of whole-genome protein and coding nucleotide sequences of  
490 39 grass genomes (including grass basal group: *Pharus latifolius*; Oryzoideae: *Zizania*  
491 *latifolia*, *Leersia perrieri*, *Oryza brachyantha*, *Oryza punctata*, *Oryza rufipogon*,  
492 *Oryza sativa*, *Oryza barthii* and *Oryza glaberrima*; Bambusoideae: *Olyra latifolia* and  
493 *Bonia amplexicaulis*; Pooideae: *Brachypodium distachyon*, *Brachypodium stacei*,  
494 *Hordeum vulgare*, *Triticum aestivum*, *Triticum dicoccoides*, *Aegilops tauschii* and  
495 *Triticum urartu*; Chloridoideae: *Eragrostis curvula*, *Eragrostis nindensis*, *Eragrostis*  
496 *tef*, *Oropetium thomaeum*, *Cleistogenes songorica* and *Zoysia japonica*; Panicoideae:  
497 *Zea mays*, *Sorghum bicolor*, *Miscanthus sinensis*, *Dichanthelium oligosanthes*,  
498 *Digitaria exilis*, *Panicum hallii*, *Setaria italica*, *Setaria viridis*, *Cenchrus purpureus*,  
499 *Cenchrus americanus*, *Alloteropsis semialata*, *Echinochloa crus-galli*, *Echinochloa*  
500 *oryzicola*, *Echinochloa colona* and *Echinochloa haploclada*) and outgroup species  
501 *Ananas comosus* were downloaded from Phytozome  
502 (<https://phytozome-next.jgi.doe.gov>) and NGDC(<https://ngdc.cncb.ac.cn>)(Table S1).  
503 Polyploids with chromosome-level assemblies (hexaploid *T. aestivum*, *E. crus-galli*  
504 and *E. colona*, and tetraploid *T. dicoccoides*, *E. tef*, *C. songorica*, *M. sinensis*, *D.*

505 *exilis*, *C. purpureus* and *E. oryzicola*) were split into subgenomes (Table S1). A total  
506 of 53 diploid or diploid-like genomes were used to construct grass phylogeny.  
507 OrthoFinder was used to identify single-copy orthologs in the 40 species genomes  
508 (Emms and Kelly, 2019). Individual phylogenetic trees of 45 single-copy genes were  
509 constructed using IQ-TREE (v1.6.12) with the best substitution model Model Finder  
510 (Nguyen et al., 2015) and integrated into a species tree using ASTRAL (v5.7.4)  
511 (Zhang et al., 2018). The divergence time was adopted from TimeTree database  
512 ([www.timetree.org](http://www.timetree.org)) (Kumar et al., 2017).

### 513 **Identification of Bx genes in grass**

514 The protein sequences of Bx genes in *Z. mays* (*Bx1*, Zm00008a014942; *Bx2*,  
515 Zm00008a014943; *Bx3*, Zm00008a014937; *Bx4*, Zm00008a014938; *Bx5*,  
516 Zm00008a014940; *Bx6*, Zm00008a014884; *Bx7*, Zm00008a015292; *Bx8*,  
517 Zm00008a014941; *Bx9*, Zm00008a003056; *Bx10*, Zm00008a001636; *Bx11*,  
518 Zm00008a001638; *Bx12*, Zm00008a001639; *Bx13*, Zm00008a010377; *Bx14*,  
519 Zm00008a008314) were used as baits to search Bx genes in grass species by BLASTP.  
520 The homologs of individual Bx genes were filtered by parameters of *e*-value less than  
521 1e-30 and identity greater than 50%. Homologs were then aligned using MAFFT  
522 (v7.310) (Katoh and Standley, 2013) and phylogenetic trees were built using  
523 IQ-TREE under the substitution model parameter ModelFinder with 1000 times of  
524 bootstrap replicates (Nguyen et al., 2015). Using the homologs in *A. comosus* or *P.*  
525 *latifolius* as outgroup, we only kept the closest homologous copies of Bx genes across  
526 the grass family as native analogues. For Bx trees where Bx homologs could not be  
527 found in outgroup species *A. comosus* and *P. latifolius*, we referred to the topological  
528 relationship among homologs in five subfamilies, to determine Bx genes and their  
529 native analogues.

### 530 **Phylogenetic analysis**

531 Bx homologs (Bx genes and native analogues) were re-aligned using MAFFT (Katoh  
532 and Standley, 2013). Substitution models were selected using ModelFinder and the  
533 maximum-likelihood phylogenetic trees were reconstructed by IQ-TREE using  
534 Ultrafast Bootstrap Approximation (1000 replicates) for branch support (Nguyen et al.,  
535 2015). Tests of tree topologies, including RELL approximation,  
536 Shimodaira-Hasegawa (SH) test and approximately unbiased (AU) test, were  
537 performed using IQ-TREE with 10000 bootstrap replicates (Nguyen et al., 2015). To  
538 eliminate the effects of protein sequence alignment gaps, we also used Gblocks  
539 (Castresana, 2000) to remove gaps from alignments with parameter “-b4=5 -b5=h”.  
540 The trimmed alignments of conserved regions were used in topology tests. The  
541 phylogeny constructions of Bx genes based on coding sequence, codon12 (first and  
542 second positions within a codon) and codon3 (third position within a codon) were  
543 performed using MAFFT for alignment and IQ-TREE with the best substitution  
544 model (ModelFinder) and 1000-replicate ultrafast bootstrap analysis (Nguyen et al.,  
545 2015).

546 **Genome synteny analysis**

547 Whole-genome protein sequences were compared pairwise among the 39 grass  
548 species using BLASTP. The best hit of each blast was kept. We also required that the  
549 *e*-value should be less than 1e-30 and identity greater than 50%. According to the  
550 physical positions of the genes on each chromosome of each species, the genes or  
551 proteins were ordered. We performed the gene-to-gene synteny analysis among grass  
552 species based on their orders within each genome.

553 **Selection analysis**

554 Selection pressure was measured by indicator  $\omega$ , the ratio between non-synonymous  
555 substitution rate (dN) and synonymous substitution rate (dS), with usually  $\omega = 1$   
556 meaning neutral mutations,  $\omega < 1$  purifying selection, and  $\omega > 1$  diversifying positive  
557 selection. Bx homologs whose lengths of CDS or protein sequences were longer than  
558 two-times or shorter than half of the lengths of Bx genes or proteins in *Z. mays* were  
559 removed. Within each clade in the phylogenetic tree of each Bx gene, only one copy  
560 was kept in following analysis within one (sub)genome for tandem duplicates and the  
561 copy of duplicates with abnormal sequence length (usually much shorter) was  
562 removed. The CDS and protein sequences were aligned using MAFFT and PAL2NAL  
563 (Suyama et al., 2006). The dN and dS values were calculated using KaKs\_calculator  
564 in the NG model for all pairs of genes within each clade (Bx clade or native analogue  
565 clade) (Zhang et al., 2006).

566 **Gene expression analysis**

567 RNA-seq data from an analysis of *E. crus-galli* seedlings under the conditions of  
568 mono-culture and co-culture with rice were downloaded from NCBI (BioProject  
569 PRJNA268892) (Guo et al., 2017) and the low-quality reads were removed using the  
570 NGSQC toolkit (v2.3.348) (Patel and Jain, 2012). The clean reads were mapped to the  
571 chromosome-level reference genome of *E. crus-galli* (STB08) using TopHat (v2.1.1)  
572 (Trapnell et al., 2012). Relative gene expression levels were quantified and  
573 normalized to FPKM values using Cufflinks (v2.2.1) (Trapnell et al., 2012). The  
574 determination of expression dominance and response contributions of Bx genes in  
575 subgenomes of *E. crus-galli* followed a previously described approach (Ye et al.,  
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732 **Author contributions**

733 L. F. and D.W. conceived and designed research. L.F. and C.-Y.Y. supervised the  
734 research. D.W. and B.J. carried out the data analysis. D.W., L.F., M.P.T, and C.-Y.Y.  
735 analyzed the findings and wrote the manuscript.

736 **Competing interests**

737 The authors declare no competing interests.

738 **Additional information**

739 Supporting information is available for this paper online.

740 **Figure legends**

741 **Fig. 1. Benzoxazinoid biosynthesis pathway and distributions of Bx genes in**  
742 **grass.** (a) Biosynthesis pathway of benzoxazinoid secondary metabolites in maize.  
743 The pathway(Bx)-related genes in the Bx cluster are marked in red. (b) Phylogeny and  
744 Bx gene distribution of grass species. Background colors represent different  
745 sub-families in Poaceae. The lineage divergence time is adopted from TimeTree  
746 database ([www.timetree.org](http://www.timetree.org)). Each rectangle represents one gene element. A red slash  
747 refers two different chromosomes for the neighbouring genes and a black dashed slash  
748 refers to a same chromosome but not clustered.

749 **Fig. 2. Phylogeny and genomic synteny of Bx1 in grass.** (a) Maximum-likelihood  
750 phylogenetic trees of *Bx1* in grass with *P. latifolius* as an outgroup species. Bootstrap  
751 value of 1000 replicates is labeled at each branch. The node label is composed of  
752 genome abbreviation and gene ID. Background filled colors represent subfamilies.  
753 The *Bx1* clade is highlighted as Bx-copy (e.g. B1-B4) and the paralogs of *Bx1* are  
754 labeled as native-copy (e.g. N0-N7). Left bottom tree shows the phylogenetic

755 relationship of five subfamilies. (b) Genomic synteny among native *Bx1* analogues  
756 between species. Red dots represent the native *Bx1* analogues are syntenic. (c) Local  
757 protein sequence alignments among *Bx1* genes and their native analogues. Bx-copy  
758 specific deletion and amino acid substitution are marked in gray rectangles. (d)  
759 Genomic synteny between *Z. mays* and other species around the position of *Bx1*. For  
760 each species, the local synteny around *Bx1* is zoomed in at the right panel.

761 **Fig. 3. Phylogeny and genomic synteny of *Bx6* in grass.** (a) Maximum-likelihood  
762 phylogenetic trees of *Bx6* in grass. Bootstrap value of 1000 replicates is labeled at  
763 each branch. Background filled colors represent subfamilies. The *Bx6* clade is  
764 highlighted as Bx-copy (e.g. B1-B8) and the native analogues of *Bx6* were labeled as  
765 native-copy (e.g. N1-N7). The other duplicates of *Bx6* native analogues are labeled as  
766 duplicate-copy (e.g. D1-D3). Left bottom tree shows the phylogenetic relationship of  
767 five subfamilies. (b) Genomic synteny among *Bx6* genes and their analogues between  
768 species based on gene order in each genome. Red dots represent the *Bx6* genes or  
769 analogues are syntenic in genome.

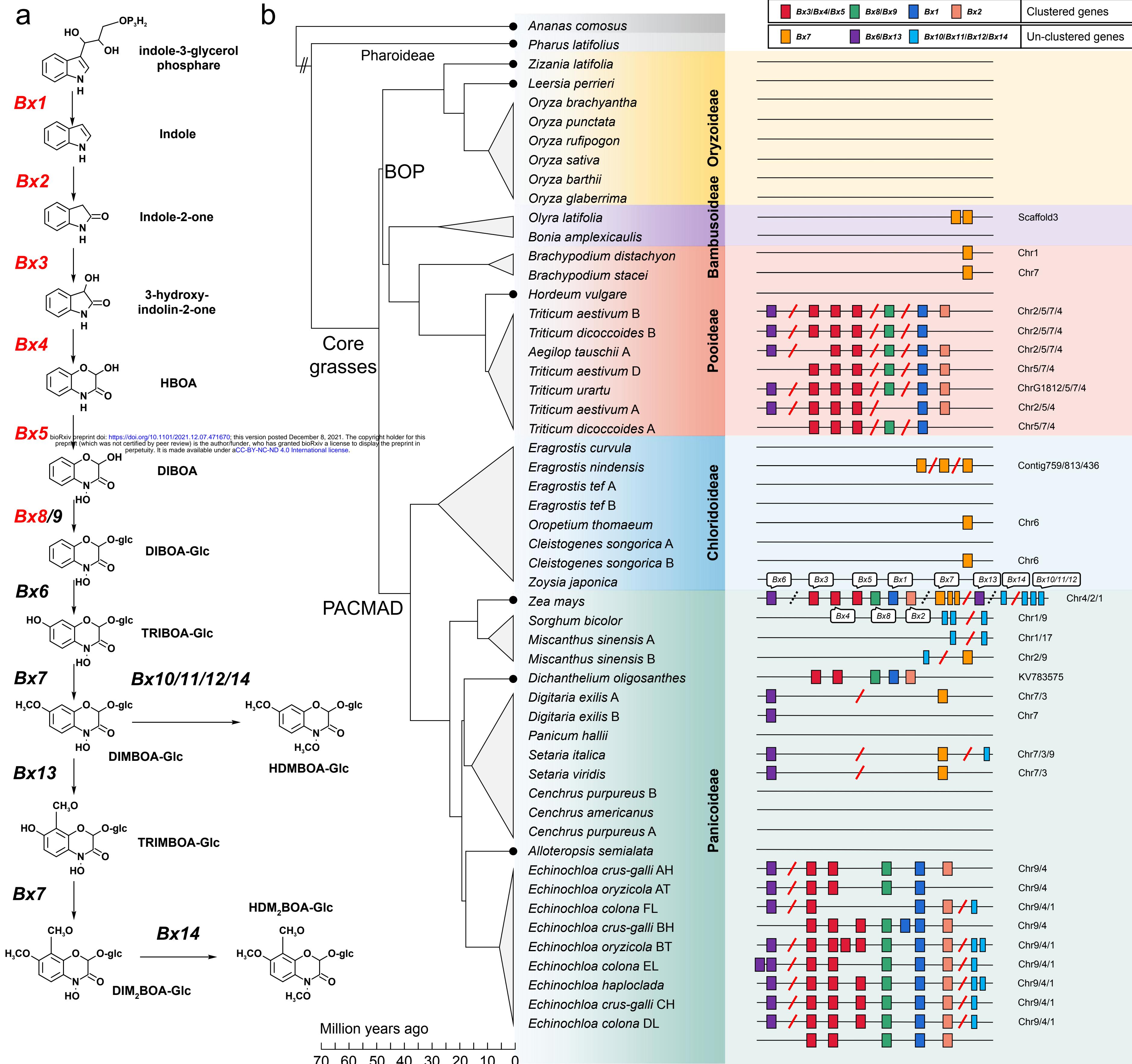
770 **Fig. 4. Selection and polyploidization effects on the Bx genes.** (a) selection  
771 pressure estimated by  $\omega$  of Bx genes and analogues. N-Chloridoideae, native  
772 analogues of Bx genes in Chloridoideae; N-Panicoideae, native analogues of Bx genes  
773 in Panicoideae; B-Panicoideae, Bx genes in Panicoideae; N-Triticeae, native  
774 analogues of Bx genes in Triticeae; B-Triticeae, Bx genes in Triticeae. In the box plots  
775 the horizontal line shows the median value, and the whiskers show the 25% and 75%  
776 quartile values of  $\omega$ . Pairwise *t*-test are performed to evaluate significant differences.  
777 n.s., not significant; \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.0001$ . (b) pairwise  $\omega$  of Bx  
778 genes and analogues in subgenomes A, B and C between *E. crus-galli* and its  
779 progenitors (*E. haploclada* and *E. oryzicola*). The topology shows the phylogenetic  
780 relationship among subgenomes in the three *Echinochloa* species, where AT and AH  
781 belong to subgenome A, BT and BH belong to subgenome B, and *E. haploclada* and  
782 CH belonged to subgenome C. (c) relative expression (upper ternary diagram) and  
783 relative response contribution (lower ternary diagram) of multi-copy homologous Bx  
784 genes in *E. crus-galli* subgenomes (AH, BH and CH) under control and allelopathy  
785 treatment.

786 **Fig. 5. A proposed scenario for origin and evolution of the Bx cluster in grass.**  
787 Top left shows different topologies of Bx genes or analogue in different lineages. Top  
788 right shows the relative divergence time of grass lineages. Blue shades represent the  
789 potential time range when Bx cluster was organized. Pink shade represents potential  
790 time range when the ancient Bx cluster (the current Bx cluster+*Bx6*) was transferred  
791 to Triticeae. Bottom shows the evolutionary trajectories of core Bx genes. TMRCA,  
792 The most recent common ancestor.

793 **Supporting information**

794 Table S1. A list of plant genomes used in this study  
795 Table S2. Core Bx genes (*Bx1* to *Bx6* and *Bx8*) and corresponding native analogues  
796 in grass genomes  
797 Table S3. Topology tests of two hypothesis on transfer of Bx genes in Triticeae from  
798 Panicoideae

799 Fig. S1. Alignment of amino acid sequences of *Bx1* gene in Fig. 2c  
800 Fig. S2. Phylogenies of *Bx1* based on CDS, codon12 and codon3 datasets, related to  
801 Fig. 2a.  
802 Fig. S3. Phylogeny of *Bx2* to *Bx5* and genomic synteny of *Bx2* and *Bx5* regions  
803 across the grass family  
804 Fig. S4. Phylogeny of *Bx8* and *Bx9* across the grass family  
805 Fig. S5. Phylogeny of *Bx7* across the grass family  
806 Fig. S6. Phylogeny of *Bx10* to *Bx12* and *Bx14* across the grass family  
807 Fig. S7. Presence and absence of Bx genes (B-copy) and native analogues (N-copy).  
808 Blue grids represent presence and white represent absence.  
809 Fig. S8. Selection pressure of Bx genes in *Echinochloa* and Triticeae. In the box  
810 plots the horizontal line shows the median value, and the whiskers show the 25% and  
811 75% quartile values of  $\omega$ . B-copy, Bx genes; N-copy, native analogues of Bx genes.  
812 Fig. S9. Negative relationship between selection indicator  $\omega$  values and expression  
813 or response dominance.



## Figure 1

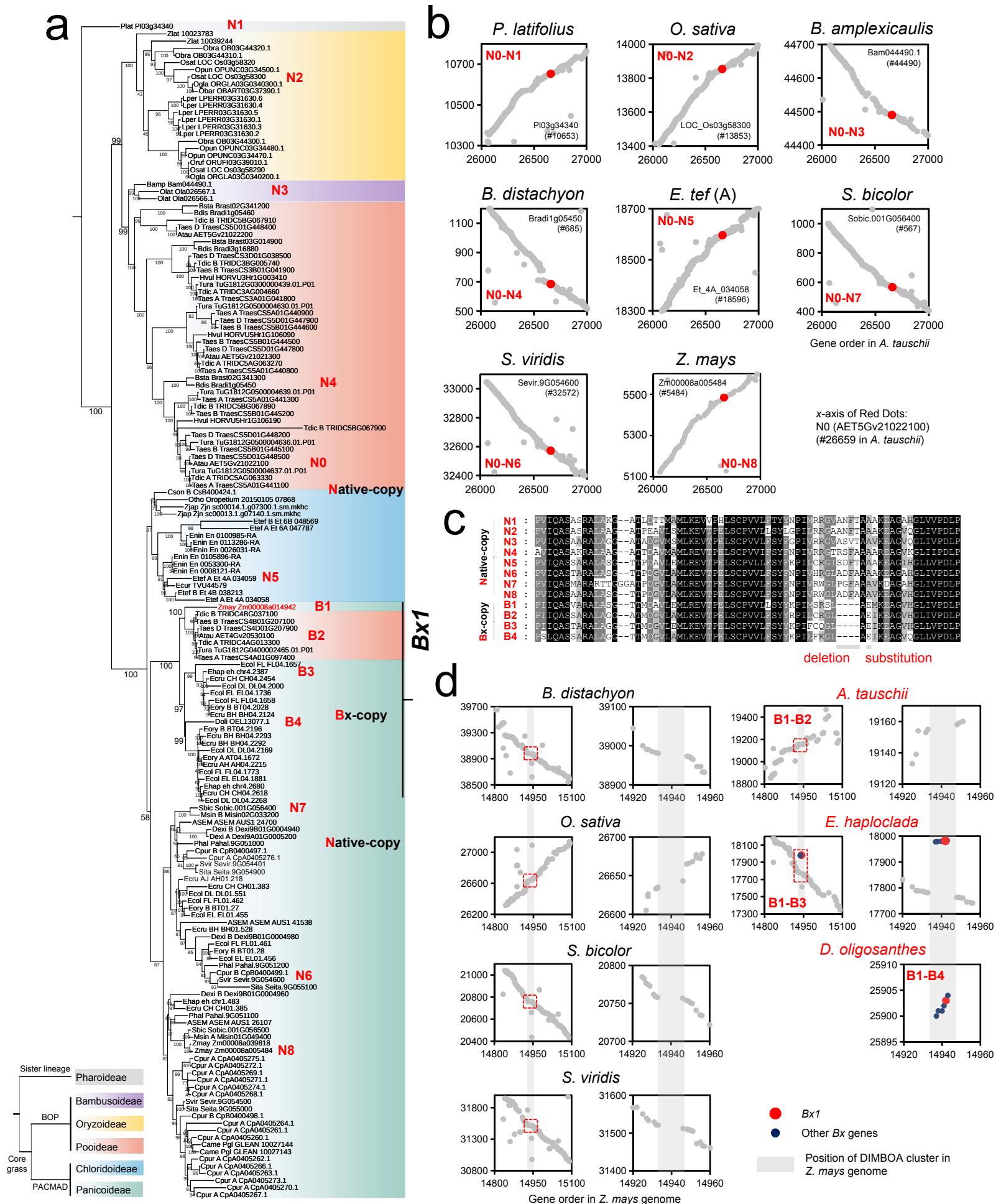


Figure 2

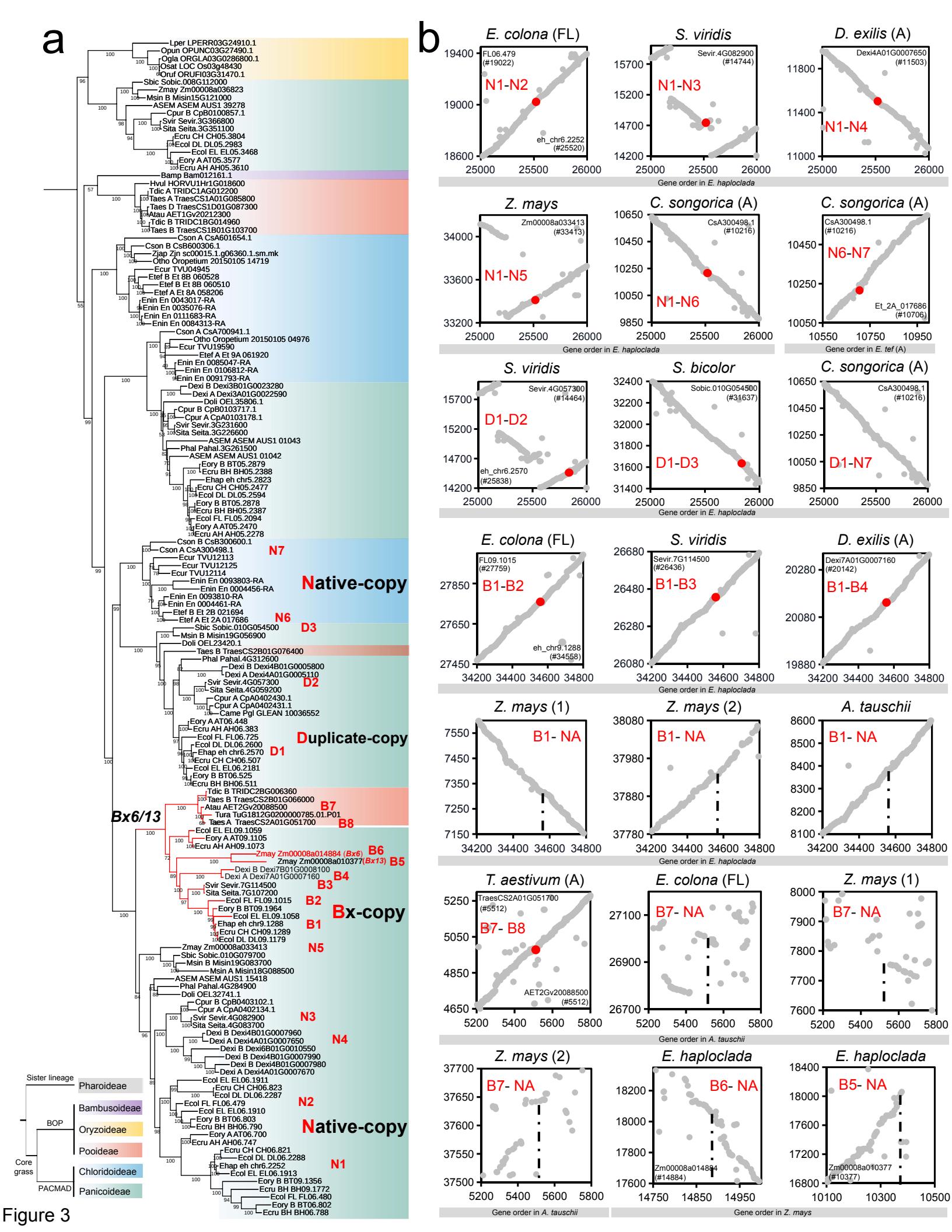


Figure 3

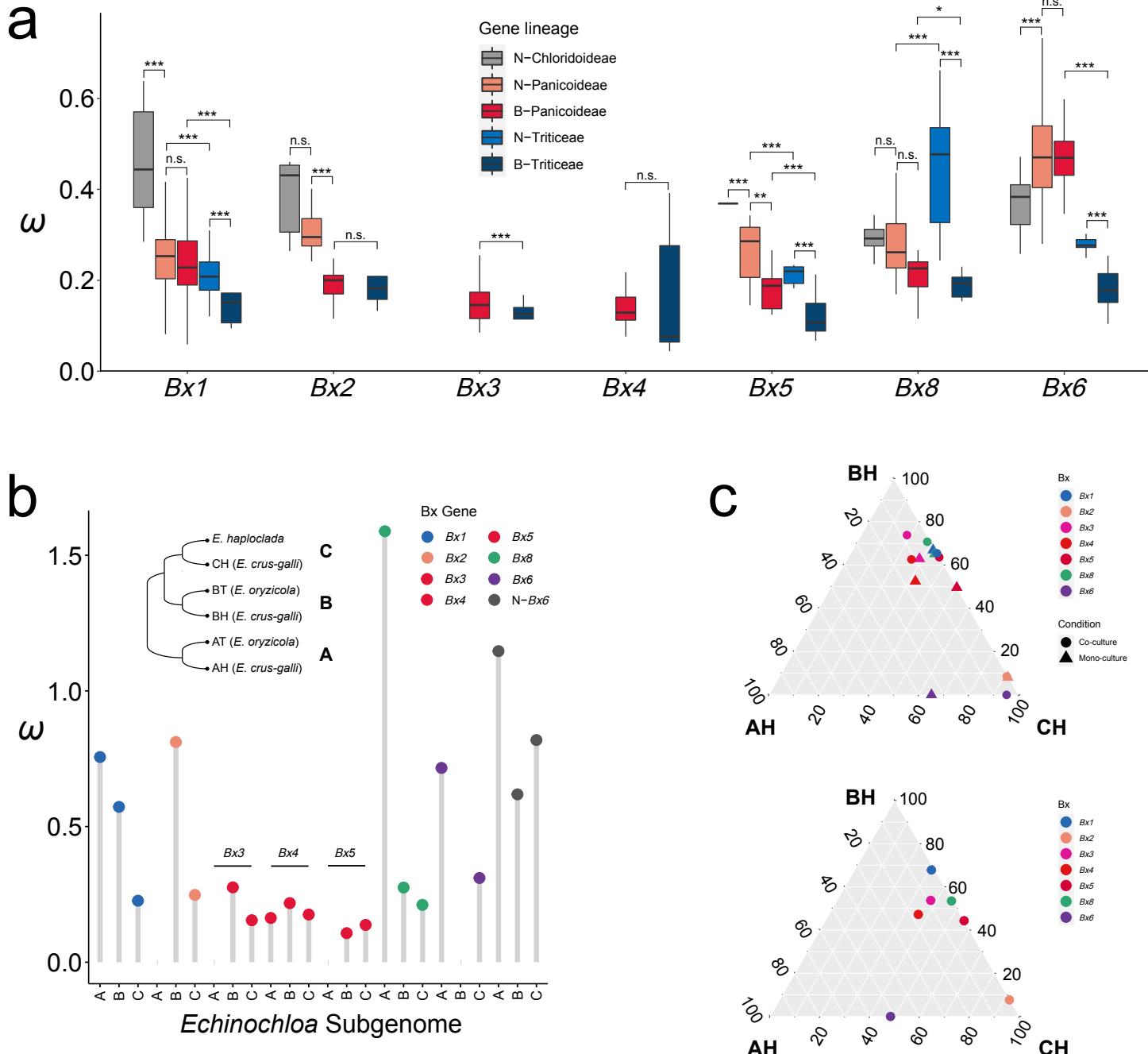
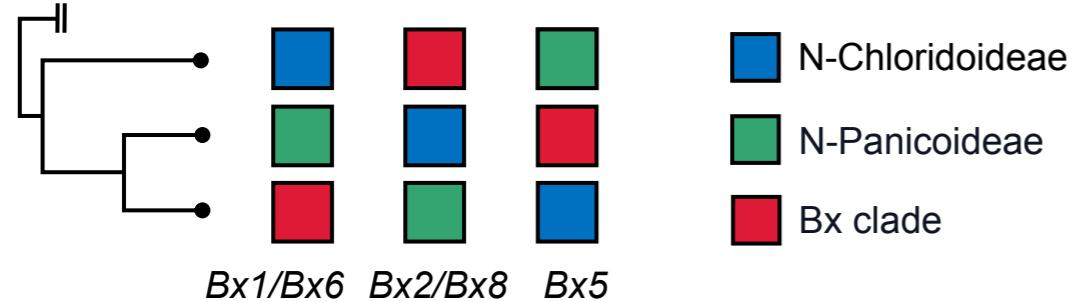
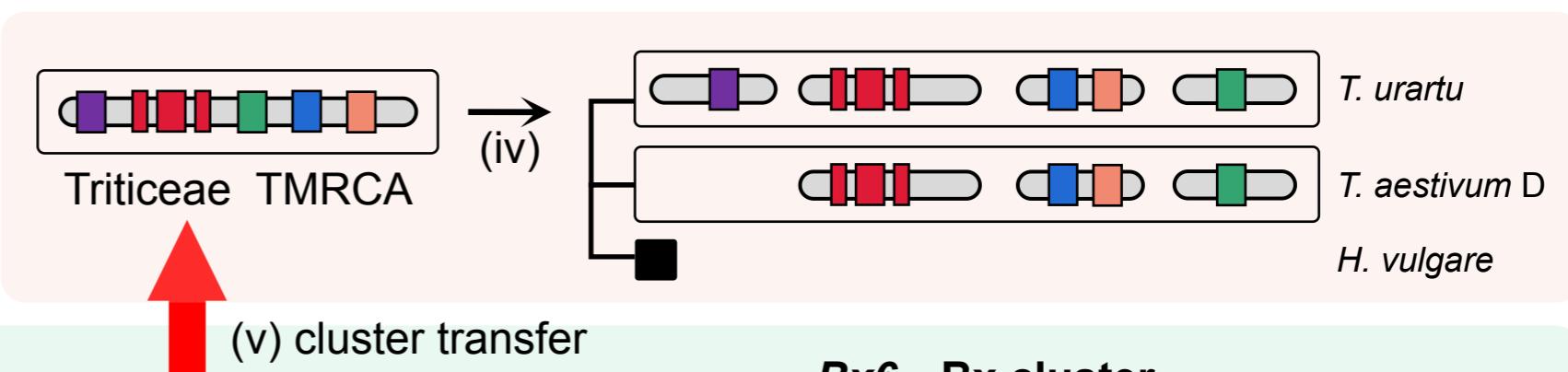
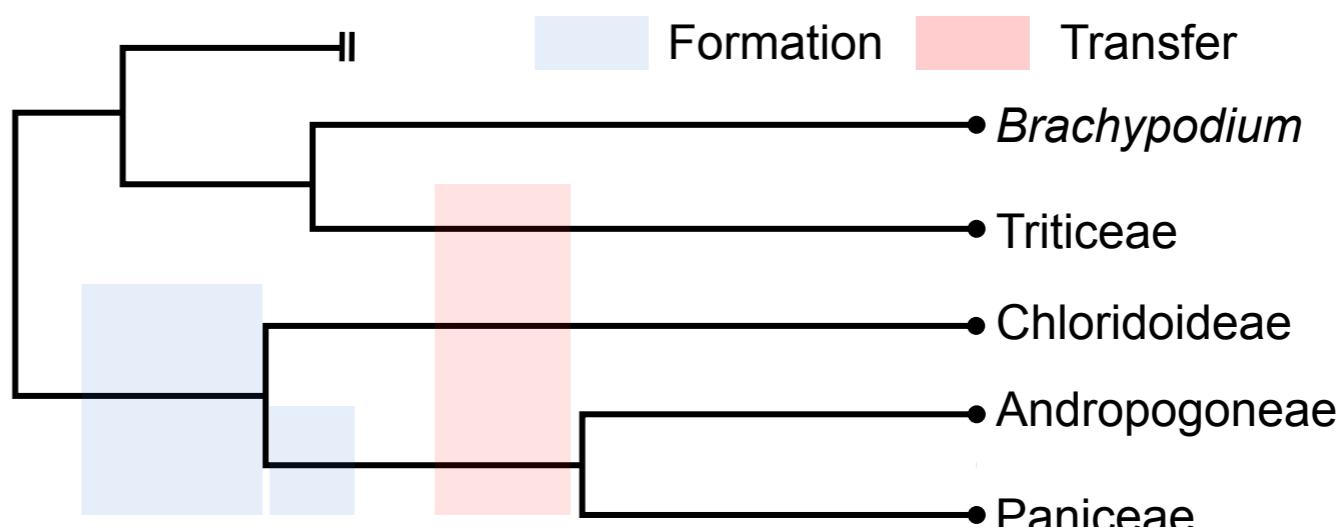
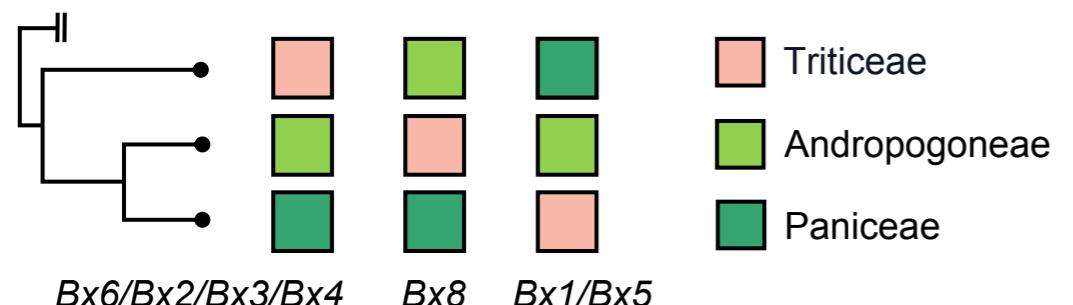


Figure 4

### 1. topology of Bx and native analogues



### 2. topology of Bx



### Native copies of Bx analogues

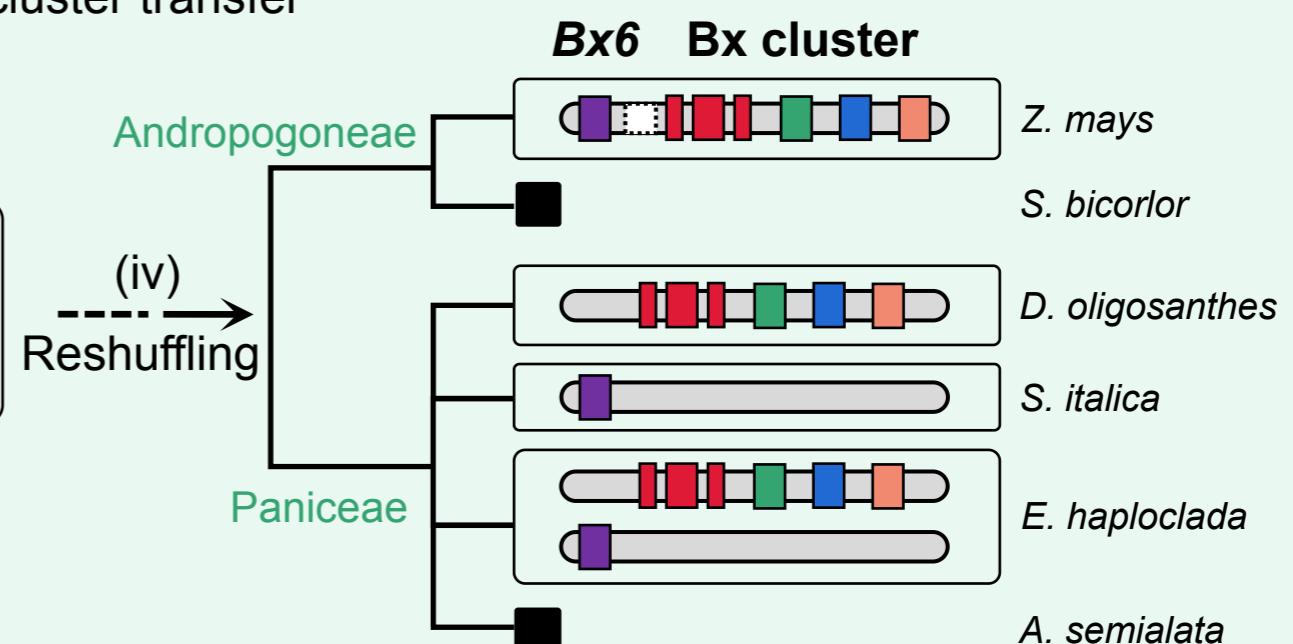
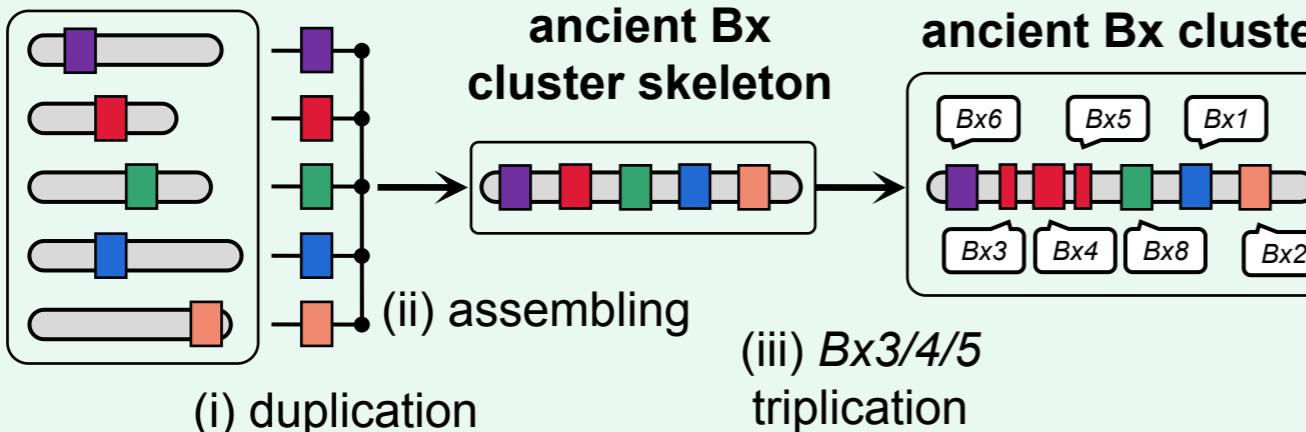


Figure 5