

1   **The Nerve Growth Factor IB-like Receptor Nurr1 (NR4A2) Recruits CoREST**  
2   **Transcription Repressor Complexes to Silence HIV Following Proviral Reactivation**  
3   **in Microglial Cells**

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18   **Running title:** Nurr1 mediates HIV latency in microglial cells

19

## 20 ABSTRACT

21 Human immune deficiency virus (HIV) infection of microglial cells in the brain leads  
22 to chronic neuroinflammation, which is antecedent to the development of HIV-associated  
23 neurocognitive disorders (HAND) in the majority of patients. Productively HIV infected  
24 microglia release multiple neurotoxins including proinflammatory cytokines and HIV  
25 proteins such as envelope glycoprotein (gp120) and transactivator of transcription (Tat).  
26 However, powerful counteracting silencing mechanisms in microglial cells result in the  
27 rapid shutdown of HIV expression to limit neuronal damage. Here we investigated  
28 whether the Nerve Growth Factor IB-like nuclear receptor Nurr1 (NR4A2), which is a  
29 repressor of inflammation in the brain, acts to directly restrict HIV expression. HIV  
30 silencing was substantially enhanced by Nurr1 agonists in both immortalized human  
31 microglial cells (huglia) and induced pluripotent stem cells (iPSC)-derived human  
32 microglial cells (iMG). Overexpression of Nurr1 led to viral suppression, whereas by  
33 contrast, knock down (KD) of endogenous Nurr1 blocked HIV silencing. Chromatin  
34 immunoprecipitation (ChIP) assays showed that Nurr1 mediates recruitment of the  
35 CoREST/HDAC1/G9a/EZH2 transcription repressor complex to HIV promoter resulting in  
36 epigenetic silencing of active HIV. Transcriptomic studies demonstrated that in addition  
37 to repressing HIV transcription, Nurr1 also downregulated numerous cellular genes  
38 involved in inflammation, cell cycle, and metabolism, thus promoting HIV latency and  
39 microglial homoeostasis. Thus, Nurr1 plays a pivotal role in modulating the cycles of  
40 proviral reactivation by cytokines and potentiating the proviral transcriptional shutdown.  
41 These data highlight the therapeutic potential of Nurr1 agonists for inducing HIV silencing

42 and microglial homeostasis and amelioration of the neuroinflammation associated with  
43 HAND.

44 **AUTHOR SUMMARY**

45 HIV enters the brain almost immediately after infection where it infects perivascular  
46 macrophages, microglia and, to a less extent, astrocytes. In previous work using an  
47 immortalized human microglial cell model, we observed that integrated HIV constantly  
48 underwent cycles of reactivation and subsequent silencing. In the present study, we found  
49 that the Nurr1 nuclear receptor is a key mediator of HIV silencing. The functional  
50 activation of Nurr1 by specific agonists, or the over expression of Nurr1, resulted in rapid  
51 silencing of activated HIV in microglial cells. Global gene expression analysis confirmed  
52 that Nurr1 not only repressed HIV expression but also regulated numerous genes  
53 involved in microglial homeostasis and inflammation. Thus, Nurr1 is pivotal for HIV  
54 silencing and repression of inflammation in the brain and is a promising therapeutic target  
55 for treatment of HAND.

56

## 57 INTRODUCTION

58 Human immune deficiency virus (HIV) invades the brain soon after primary  
59 infection [1]. The virus infects astrocytes, perivascular macrophages, and microglial cells,  
60 but not neurons [2, 3]. However, because microglial cells are much longer-lived than  
61 astrocytes and perivascular macrophages and can support productive HIV replication,  
62 they are mostly likely to be the main cellular reservoir of HIV in the brain [4, 5]. In later  
63 stages of HIV infection, many infected patients develop HIV-associated neurocognitive  
64 disorders (HAND) [6]. Although combination antiretroviral therapy (cART) dramatically  
65 lowers the levels of HIV RNA in the brain [7, 8], it does not reduce the incidence of HAND  
66 [9, 10]. Initial studies indicated, paradoxically, that HAND did not correlate with the  
67 number of HIV-infected cells or viral antigens in the central nervous system (CNS) [11,  
68 12], but instead correlates strongly with systemic inflammation and CNS inflammation  
69 [13]. However, the early studies neglected both the side effects of anti-HIV drugs on  
70 neuronal damage, which could mask the benefits of reduced HIV expression by cART  
71 and the impact of HIV latency on the development of HAND.

72 Over the past decade, the intimate relationship between neuroinflammation,  
73 neurodegeneration and abnormal activation of microglial cells has been implicated in a  
74 wide range of diverse neurological diseases [14-19]. There are compelling reasons to  
75 believe that the physiology of microglia also plays a critical role in the development of  
76 HAND. Infected macrophages/microglia in the CNS serve as long-lived cellular reservoirs  
77 of HIV-1, even in well-suppressed patients receiving ART [20]. Microglia constitute the  
78 first barrier of the innate immune response in the brain and become activated and  
79 polarized to maintain the integrity of the CNS [21, 22]. In the normal CNS environment,

80 healthy neurons provide signals to microglia via secreted and membrane bound factors  
81 such as CX3CL1 and neurotransmitters that induce HIV-silencing. By contrast, damaged  
82 neurons not only cause activation of the microglia but also induce HIV reactivation [23].

83         Activated microglia secrete exaggerated amounts of neurotoxins such as tumor  
84 necrosis factor-alpha (TNF- $\alpha$ ), nitric oxide, interleukin-6 (IL-6), interleukin-1 beta (IL-1 $\beta$ ),  
85 reactive free radicals, and matrix metallopeptidases (MMPs) [24, 25]. The production of  
86 these cytotoxic factors is augmented by HIV infection [23, 26]. Mounting evidence  
87 indicates that HIV proteins such as transactivator of transcription (Tat), negative  
88 regulatory factor (Nef), envelope glycoprotein gp120, and viral RNA are not only directly  
89 neurotoxic, but also contribute to inflammation in the brain by activating microglial cells  
90 [27-35]. On the other hand, some of the inflammatory cytokines such as TNF- $\alpha$  strongly  
91 induce HIV expression in microglial cells through autocrine signaling, creating cycles of  
92 HIV reactivation and chronic inflammation in the brain [36]. It is therefore important to  
93 determine the factors responsible for inducing HIV reactivation and inflammation and  
94 explore cellular mechanisms that antagonize these factors in order to develop treatment  
95 for HAND.

96         A major constraint for studying HIV infection and replication in the brain is the  
97 difficulty of obtaining native microglial cells from brain biopsies. We therefore developed  
98 a microglial cell model by immortalizing human primary microglial cells with the simian  
99 virus large T-antigen (SV40) and the human telomerase reverse transcriptase (hTERT)  
100 [37]. The immortalized microglia retain the typical structure and morphology of primary  
101 microglial cells, express microglial cell markers, and display microglial cell activities such  
102 as migration and phagocytosis [37].

103 A unique feature of HIV infection of microglial cells is that the virus is able to quickly  
104 establish latency [23, 36-38]. In microglial cells, transcription initiation is primarily  
105 regulated by NF- $\kappa$ B. In resting microglia (M0 stage), NF- $\kappa$ B is sequestered in the  
106 cytoplasm [23, 36-38]. However, unlike memory T-cells, P-TEFb is not disrupted,  
107 although it is inhibited by CTIP2 [39, 40]. The provirus is also silenced epigenetically  
108 through the CoREST and polycomb repressive complex 2 (PRC2) histone  
109 methyltransferase machinery [4, 41-44]. Activation of microglia by pro-inflammatory  
110 signals, such as TNF- $\alpha$ , reversed these molecular restrictions and leads to the  
111 reactivation of dormant proviruses and neuropathology.

112 In contrast to T cells where integrated HIV eventually establishes permanent  
113 latency until it is activated by cellular signaling events, HIV in microglial cells undergoes  
114 cycles of spontaneous reactivation and subsequent silencing [36]. For example, using a  
115 co-culture of (iPSC)-derived human microglial cells (iMG) that were infected with HIV and  
116 neurons, we demonstrated that HIV expression in iMG was repressed when co-cultured  
117 with healthy neurons but induced when co-cultured with damaged neurons [23]. The  
118 dynamics of spontaneous reactivation of latent HIV and subsequent silencing of active  
119 HIV constantly typically generates two populations in culture: the GFP $^-$  population with  
120 transient latent HIV, and the GFP $^+$  population undergoing active HIV transcription.  
121 Spontaneous HIV reactivation in microglial cells could be attenuated by activation of the  
122 glucocorticoid receptor (GR) with its ligand dexamethasone (DEXA) [45], which blocked  
123 recruitment of NF- $\kappa$ B and AP-1 for HIV transactivation [36, 45]. However, since we  
124 consistently observed spontaneous reactivation of latent HIV and subsequent silencing  
125 of the active HIV in the absence of dexamethasone, and in co-cultures with neurons, we

126 reasoned that there exist other cellular factors that promote HIV silencing in microglial  
127 cells.

128 In the present study, we examined whether three members of the Nerve Growth  
129 Factor IB-like nuclear receptor family, which includes nuclear receptor 77 (Nr77,  
130 NR4A1), nuclear receptor related 1 (Nurr1, NR4A2), and neuron-derived receptor 1  
131 (Nor1, NR4A3), contribute to HIV silencing in microglial cells. These receptors play  
132 complementary roles in neurons and microglia to limit inflammatory responses. In  
133 neurons, these receptors act as positive transcriptional regulators that control expression  
134 of dopamine transporter and tyrosine hydroxylase for differentiation of dopamine neuron,  
135 as well as other key genes involved in neuronal survival and brain development [46-49].  
136 By contrast, these nuclear receptors can also act as negative transcriptional regulators in  
137 microglia cells and suppress expression of inflammatory cytokines such as TNF- $\alpha$  and  
138 IL-1 $\beta$  [50]. Because of these combined mechanisms, Nerve Growth Factor IB-like nuclear  
139 receptors play a critical role in protection of the brain during neurodegenerative diseases  
140 such as Parkinson's disease and Alzheimer's disease [51-56].

141 Here we report that Nurr1 plays a pivotal role in silencing active HIV in microglial  
142 cells by recruiting the CoREST/HDAC1/G9a/EZH2 transcription repressor complex to HIV  
143 promoter. Our data also demonstrate that Nurr1 promotes microglial homoeostasis and  
144 suppression of inflammation in the brain.

145

146 **RESULTS**

147 **Nurr1 agonists strongly induce HIV silencing in microglial cells**

148 To study the role of nuclear receptors in the control of HIV expression in the  
149 microglia, we used our immortalized human microglial (hμglia) cells [37], which were  
150 infected with a recombinant HIV-1 reporter that carried an EGFP marker for “real-time”  
151 monitoring of HIV latency and reactivation (**Fig 1A**). One representative clone, HC69 [37,  
152 45], was used for all experiments described in this study. Under normal culture conditions,  
153 most cells were GFP-negative (GFP<sup>-</sup>) (**Fig 1B & C**). Exposure of HC69 cells to TNF- $\alpha$   
154 (400 pg/ml) for 24 hours (hr), induced GFP expression (GFP<sup>+</sup>) in over 90% of the cells,  
155 demonstrating that majority of the integrated HIV provirus was in a latent state under  
156 normal culture conditions. To examine whether the reactivated HIV could revert to  
157 latency, we conducted a chase experiment by culturing the activated HC69 cells for 96 hr  
158 in fresh medium following TNF- $\alpha$  stimulation for 24 hr and washing with PBS. Notably,  
159 the numbers of GFP<sup>+</sup> cells decreased from 93.1% to 61.4% at the end of the chase  
160 experiment, suggesting the existence of an intrinsic cellular mechanism that silences the  
161 activated HIV. This substantial decrease of GFP<sup>+</sup> expression was unlikely to be caused  
162 by GR-mediated HIV silencing [45], because the cells were cultured in the absence of GR  
163 ligand glucocorticoid or dexamethasone.

164 To understand the regulatory mechanisms of HIV expression in microglial cells,  
165 we had previously undertaken a global screening for HIV silencing cellular factors [57, 58]  
166 by using a HIV-infected rat microglial cell model (CHME cells) [37, 59]. The latently  
167 infected CHME/HIV cells were superinfected with lentiviral vectors carrying a synthetic  
168 shRNA library from Cellecta Inc. (Mountain View, CA) containing a total of 82,500

169 shRNAs targeting 15,439 mRNA sequences [60-62].. Cells carrying reactivated  
170 proviruses were then purified by sorting and the shRNA sequences were identified by  
171 next-generation sequencing and classified by Ingenuity Pathway Analysis (QIAGEN).  
172 This powerful new technology, which we have also applied to the identification of latency  
173 factors in T-cells and TB-infected myeloid cells [58, 63], has revealed a wide range of  
174 factors and pathways critical for maintaining proviral latency in microglial cells. Analysis  
175 of the top 25 % “hits” led to our unexpected discovery that members of the nuclear  
176 receptors (NRs) families including Thyroid Hormone Receptor-like family members  
177 PPAR $\alpha$ , PPAR $\beta$ , PPAR $\gamma$ , and RAR $\beta$  ranked in the top 5%, the Retinoid X Receptor-like  
178 family members RXR $\alpha$  and RXR $\beta$  together with the glucocorticoid receptor (GR, NR3C)  
179 ranked in the top 15%, and the Nerve Growth Factor IB-like family members NR4A1  
180 (Nur77), NR4A2 (Nurr1) and NR4A3 (Nor1) ranked in the top 25%.

181           Agonists of the NR4A nuclear receptor family (Nur77 (NR4A1), Nurr1 (NR4A2),  
182 and Nor1 (NR4A3)) have been shown to ameliorate neuron degeneration in animal  
183 models [53, 64-67]. To confirm a role for the nuclear receptors in HIV silencing, we first  
184 treated spontaneously activated HC69 cells with the Nurr1 agonist 6-mercaptopurine (6-  
185 MP) [68, 69]. As shown in **Fig 2A**, the frequency of GFP $^+$  cells decreased in a 6-MP dose-  
186 dependent manner. Data from Western blot analysis showed that HC69 cells  
187 constitutively expressed Nurr1, as well as a very low level of Nor1 (**Fig 2B**), but Nur77  
188 expression in these cells was below the detection limit. Treatment with 6-MP slightly  
189 increased expression of Nurr1. Expression of HIV, as measured by the levels of Nef  
190 protein, was strongly inhibited in a dose-dependent manner. Notably, as a control for the  
191 role of Nurr1 in cellular gene expression, 6-MP also substantially reduced expression of

192 MMP2, which is a well-known repression target of Nurr1 and a neurotoxin involved in the  
193 development of HAND [70, 71].

194 In addition, the Retinoid X Receptor-like family members also play a critical role in  
195 silencing inflammation in the brain [72, 73]. We also screened various agonists of the  
196 nuclear receptors for their effect on HIV expression in HC69 microglia (**Fig 2C**). We  
197 induced maximum HIV expression in HC69 cells with high dose (400 pg/ml) TNF- $\alpha$  for 24  
198 hr, followed by a chase experiment during which the induced cells were cultured in the  
199 absence or presence of various agonists, alone or in combination. Consistent with our  
200 previous gene manipulation data, the RXR $\alpha$ / $\beta$ / $\gamma$  agonist bexarotene (BEX) [74-77]  
201 silenced HIV expression on its own, although it was less potent than 6-MP. Interestingly,  
202 combinations of 6-MP with DEXA and BEX displayed additive HIV silencing effects,  
203 suggesting that they each had distinct mechanisms of action.

204 **Nurr1 overexpression enhances HIV silencing**

205 To further examine how the nuclear receptors contribute to HIV silencing, we  
206 constructed lentiviral vectors expressing N-terminal 3X-FLAG-tagged Nur77, Nurr1, and  
207 Nor1 respectively under the control of a CMV promoter. Infection of HC69 cells with the  
208 different lentiviruses generated cell lines that stably expressed FLAG-tagged Nur77,  
209 Nurr1, Nor1, and empty vector, respectively, as confirmed by RNA-Seq studies (**Fig 3A**)  
210 western blots (**Fig 3B**).

211 To examine how overexpression of each of these nuclear receptors modulated HIV  
212 proviral activation and silencing, we stimulated all four cell lines with high dose (400 pg/ml)  
213 TNF- $\alpha$  for 24 hr to induce HIV transcription through activation of NF- $\kappa$ B [38], followed by  
214 a 48 hr chase experiment in which TNF- $\alpha$  was removed by washing the cells with PBS

215 followed by the addition of media lacking TNF- $\alpha$  (**Fig 3C**). As shown by western blot in  
216 **Fig 3D**, TNF- $\alpha$  strongly induced the expression of HIV Nef protein, which we used as a  
217 marker of HIV reactivation, in all cell lines at 24 hr. Notably, Nef expression decreased in  
218 all four cell lines 48 hr after TNF- $\alpha$  withdrawal. However, the reduction in Nef expression  
219 was much more pronounced in HC69 cells that express 3X-FLAG-Nurr1, suggesting that  
220 overexpression of Nurr1 enhanced silencing of active HIV in HC69 cells.

221 We rigorously confirmed these findings using the RNA-Seq data (**Fig 3E**) to  
222 measure the fluctuations in both HIV and Nurr1 expression. In the Nurr1 overexpressing  
223 cells, even in unstimulated conditions, the level of HIV proviral expression was strongly  
224 reduced. Following stimulation with either a low dose (20 pg/ml) or high dose (400 pg/ml)  
225 TNF- $\alpha$ , both vector-infected and Nurr1 overexpressing cells showed an increase in  
226 proviral expression. While the level of HIV expression was similar between control cells  
227 (vector-infected) and Nurr1-overexpressing cells after high dose TNF- $\alpha$  stimulation, Nurr1  
228 overexpressing cells had much lower proviral expression level after low dose TNF- $\alpha$   
229 stimulation (**Fig 3E**). The level of HIV mRNA after withdrawal of high dose TNF- $\alpha$  was  
230 three times lower in Nurr1 overexpressing cells than in vector-infected cells (**Fig 3E**),  
231 strongly suggesting that overexpression of Nurr1 enhanced silencing of active HIV in  
232 HC69 cells.

### 233 **Nurr1 knockdown blocks HIV silencing**

234 As a complementary approach we performed shRNA-mediated knock down (KD)  
235 of endogenous Nurr1 in HC69 cells. Cell lines that stably expressed Nurr1-specific or  
236 control shRNA were verified for effective Nurr1 KD by RNA-Seq analyses (**Fig 4A**)  
237 Following the protocol described in **Fig 4B**, control and KD cells were activated with a

238 high dose (400 pg/ml) TNF- $\alpha$  for 24 hr, followed by a 72 hr chase. Western blot analyses  
239 confirmed the Nurr1 knock down efficiency (**Fig 4C**). The blots also showed that HIV Nef  
240 protein, which is a measure of HIV transcription, was strongly induced at 24 hr post TNF- $\alpha$   
241 stimulation in both the control and the Nurr1 KD cells. However, after the chase, Nef levels  
242 decreased significantly in the control cells but remained high in Nurr1 KD cells (**Fig 4C**).

243 Similar results were obtained using flow cytometry (**Fig 4D**). Compared to cells  
244 expressing control shRNA with 10.5% GFP+ cells, the Nurr1 KD cells displayed 58.8%  
245 GFP+ cells even before TNF- $\alpha$  stimulation, which most likely resulted from failure of  
246 silencing spontaneously reactivated HIV in these cells due to Nurr1 depletion (**Fig 4D**).  
247 As expected, after exposure to high dose TNF- $\alpha$  for 24 hr, both the control and Nurr1 KD  
248 cell lines expressed equally high levels of GFP expression (**Fig 4D**), displaying 86.3%  
249 and 91.2% GFP+ cells respectively. However, 72 hrs after TNF- $\alpha$  withdrawal, GFP  
250 expression decreased significantly in cells expressing the control shRNA (47.2% GFP+)  
251 but remained high (74.6% GFP+) in the Nurr1 KD cells (**Fig 4D**). Finally, the overall mRNA  
252 level of the HIV measured by RNA-Seq was about 1.7 times higher in Nurr1 KD at the  
253 end of the chase experiment (**Fig 4E**).

254 Thus, both the overexpression and the reciprocal KD experiments confirmed an  
255 essential role of Nurr1 in the silencing HIV in microglial cells.

### 256 **Nurr1 drives activated microglial cells towards homeostasis**

257 Our RNA-Seq data also provided important insights into the cellular pathways that  
258 were impacted by Nurr-1 over- and under-expression. We focused our attention on the  
259 changes in cellular transcriptome during the chase step following TNF- $\alpha$  induction since,  
260 as described above, this is the stage where Nurr1 has the greatest impact on HIV gene

261 expression. As shown by the differential gene expression curves in **Fig 5A**, a small subset  
262 of genes are selectively up and down regulated during the chase. A larger number of  
263 genes were differentially expressed in Nurr1 overexpressing cells compared to control  
264 cells (**Fig 5A & S1 Fig.**). Pathways that showed the most statistically significant changes  
265 in response to Nurr1 overexpression included the downregulation of key pathways with  
266 critical roles in cellular proliferation and metabolism including: MYC, E2F and MTORC  
267 signaling and G2M checkpoint (**Fig 5B**). By contrast, KD of Nurr1 by shRNA did not  
268 selectively activate any major signaling pathways.

269 It is important to note that Nurr1 overexpression did not significantly interfere with  
270 the TNF- $\alpha$  signaling pathway during any step of these experiments (**Fig 5B**), suggesting  
271 that the cellular proliferation pathways we have identified are directly regulated by Nurr1.  
272 To further address this issue and determine whether Nurr1 simply accelerated the  
273 reversal of the normal microglial response to TNF- $\alpha$  stimulation during the chase, or if it  
274 regulated a distinct set of genes and pathways, we performed a gene trajectory analysis  
275 (**Fig 6A, S2 Fig**).

276 For the trajectory analysis we included RNA-Seq data from cells that were treated  
277 with the low dose of TNF- $\alpha$  (20 pg/ml), to simulate a sub-optimal activation signal. A  
278 pseudo-trajectory was defined containing three steps: Step 1 defines the changes in gene  
279 expression following stimulation with low dose TNF- $\alpha$  compared to untreated cells. Step  
280 2 defines additional changes after stimulation with high dose TNF- $\alpha$  compared to cells  
281 treated with low dose TNF- $\alpha$ . Step 3 defines the gene expression changes following the  
282 chase step compared to cells treated with high dose TNF- $\alpha$  (**S2 Fig**). For each of these  
283 steps we calculated whether the expressed protein-coding genes were either upregulated

284 (designated as “u”), downregulated (designated as “d”) or did not show differential  
285 expression in a statistically significant manner (designated as “n”). Genes that showed  
286 similar patterns of changes during each step were placed in the same category and  
287 named according to their pattern of change during these treatment steps. For example,  
288 those that did not show a change after low dose TNF- $\alpha$  stimulation (thus marked as n for  
289 Step 1), but were downregulated after high dose TNF- $\alpha$  treatment compared to cells  
290 treated with low dose TNF- $\alpha$  (marked as d for Step 2), and showed upregulation during  
291 the chase study compared to cells treated with high dose TNF- $\alpha$  (marked as u for step  
292 3), were therefore designated as ndu.

293 Most genes did not show any change in their expression following the above  
294 treatments (designated as the “nnn” group) in both control (vector) and Nurr1-  
295 overexpressing cells (**Fig 6A, S2 Fig**), and as expected, control cells had higher numbers  
296 of nnn group genes than Nurr1 overexpressing cells.

297 Among those genes that showed an expression change in Nurr1 overexpressing  
298 cells, the majority belonged to genes that were not differentially expressed after either a  
299 low or high dose TNF- $\alpha$  treatment and exclusively changed their expression profiles  
300 during the chase step (i.e., nnu and nnd trajectories, **Fig 6A, S2 Fig**). We also noted that  
301 the number of genes in these two trajectories were markedly higher in Nurr1  
302 overexpressing cells compared to control cells (i.e., over 800 and 1400 genes for nnu and  
303 nnd trajectories, respectively) while the number of genes in other trajectories with the  
304 exception of nnn differed by less than 100 genes (**Fig 6A, S2 Fig**).

305 We next confirmed that the genes showing the nnu and nnd trajectories in Nurr1  
306 overexpressing cells were derived from a subset of the nnn trajectory genes in the control

307 group and were therefore exclusively altered during the chase step in Nurr1  
308 overexpressing cells. To further characterize the Nurr1-specific changes in expression  
309 patterns, we used the list of genes in each of the trajectories identified in Nurr1  
310 overexpressing cells and defined their trajectory in control cells (**S3 Fig**). This analysis  
311 showed that over 1400 and ~800 of the genes that fall into the nnd or nnu trajectories in  
312 Nurr1 overexpressing cells, respectively, have the nnn trajectory in control cells (**S3 Fig**).  
313 Thus, the main transcriptomic outcome of Nurr1 overexpression compared to control cells  
314 is the induction of changes in expression of a group of genes exclusively during the chase  
315 step. Importantly, this group of genes are not differentially expressed in the control cells  
316 during either of the three steps of these studies, nor during the TNF- $\alpha$  stimulation steps  
317 in Nurr1 overexpressing cells and therefore, the action of Nurr1 during the chase step  
318 does not correspond to a reversal of the TNF- $\alpha$ -induced changes.

319 In order to define the functional impact of this Nurr1-specific set of genes, we  
320 performed pathway analysis on the subset of genes that had either nnu or nnd trajectories  
321 in Nurr1 overexpressing cells, and a nnn trajectory in control cells (**Fig 6B**). Strikingly,  
322 these Nurr1-induced changes in gene expression during the chase step once again  
323 highlighted the downregulation of several key proliferative pathways, including: MYC, E2F  
324 and MTORC signaling, G2M checkpoint regulation, metabolic pathways such as oxidative  
325 phosphorylation, and inflammatory pathways such as IFN- $\alpha$  and IFN- $\gamma$  response  
326 pathways (**Fig 6B**).

327 Heat maps of the differentially expressed genes further emphasized that the vast  
328 majority of genes in each pathway were downregulated in Nurr1 overexpressing cells.  
329 For example, among 69 and 60 represented MYC and E2F target genes, 66 and 54 were

330 downregulated in Nurr1 overexpressing cells, respectively (**S4 Fig**). Finally, another  
331 compelling way of visualizing these results is to apply the pattern of expression of the  
332 Nurr1-specific genes to the KEGG cell cycle pathway (**S5 Fig**). The strong downregulation  
333 by Nurr1 at multiple steps in the cell cycle control pathway is immediately obvious.

334 Finally, we note as another measure of the specificity of the Nurr1 pathway, that  
335 the most enriched transcription factor binding motifs in proximity of the promoters of  
336 differentially expressed genes following TNF- $\alpha$  stimulation all display promoter motifs  
337 consistent with TNF- $\alpha$  activation (**S6 Fig**).

338 Thus, the main impact of Nurr1 on the overall cellular response to inflammatory  
339 cytokines, in this case TNF- $\alpha$ , was to accelerate the cellular return to homeostasis by  
340 shutting down pathways involved in inflammation and microglial activation. While these  
341 anti-inflammatory, pro-homeostasis effects could indirectly lead to HIV proviral  
342 transcriptional shutdown, the enhanced downregulation of HIV expression in Nurr1  
343 overexpressing cells, even under basal untreated conditions (**Fig 3D**), suggests that in  
344 addition to its pro-homeostasis effects, Nurr1 may also directly regulate the expression of  
345 the HIV provirus.

346 **Nurr1 promotes the recruitment of the CoREST/HDAC1/G9a/EZH2 repressor  
347 complex to the HIV promoter**

348 Previous studies demonstrated that Nurr1 interacted with the corepressor 1 of  
349 REST (CoREST) repressor complex [50, 78]. The CoREST complex is comprised of  
350 multiple components including CoREST, repressor element-1 silencing transcription  
351 factor (REST), HDAC1/2, euchromatic histone lysine N-methyltransferase 2 (EHMT2),  
352 also known as G9a, lysine (K)-specific demethylase 1A (KDM1A), and enhancer of zeste

353 2 polycomb repressive complex 2 subunit (EZH2) [79, 80]. In microglial cells and  
354 astrocytes, after stimulation with lipopolysaccharide (LPS), Nurr1 promoted recruitment  
355 of this complex to the promoters of inflammatory genes such as IL-1 $\beta$  leading to  
356 epigenetic silencing. We postulated that the Nurr1/CoREST repression pathway might  
357 therefore also lead to direct regulation of HIV silencing as illustrated in **Fig 7A**.

358 To test this hypothesis, we first conducted co-immunoprecipitation (Co-IP) assays  
359 to confirm the association of Nurr1 with the CoREST repressor complex in HC69 cells  
360 (**S7 Fig.**). HC69-3X-FLAG-vector and HC69-3X-FLAG-Nurr1 cells were treated with and  
361 without a high dose of TNF- $\alpha$  for 4 hr (400 pg/ml) or 24 hr. After 24 hr TNF- $\alpha$  treatment  
362 the cells were chased in the absence of TNF- $\alpha$  for a further 24 hr. Total protein lysates  
363 from the differently treated cells were immunoprecipitated using a mouse monoclonal  
364 anti-FLAG antibody conjugated to magnetic beads. The anti-FLAG beads pulled down  
365 not only FLAG-tagged Nurr1 but also CoREST, HDAC1, G9a, and EZH2 from the HC69-  
366 3X-FLAG-Nurr1 cell lysates, demonstrating that in the microglial cells Nurr1 bound  
367 directly to the CoREST repressor complex. Notably, the amount of CoREST associated  
368 with Nurr1 increased after the cells were stimulated with TNF- $\alpha$ . In contrast, the amounts  
369 of G9a and EZH2 proteins associated with Nurr1 decreased at 4 hr post-TNF- $\alpha$   
370 stimulation but rebounded at 24 hr post-TNF- $\alpha$  stimulation. Together, these results  
371 suggested that the Nurr1/CoREST/HDAC1/G9a/EZH2 complex were most likely  
372 dissociated from each other during early time points of TNF- $\alpha$  stimulation but were  
373 reassembled at later time points.

374 We next conducted ChIP-Seq experiments to demonstrate recruitment of the  
375 CoREST repressor complex to the activated HIV promoter in microglial cells. As shown

376 in **Fig 7B**, CoREST, HDAC1, G9a, and EZH2 were all detected on the HIV provirus and  
377 were enriched near the promoter region following TNF- $\alpha$  activation. However, the  
378 recruitment kinetics of each component was distinct, with CoREST being recruited to HIV  
379 promoter during early time points of TNF- $\alpha$  exposure and HDAC1, G9a, and EZH2 being  
380 recruited at late time points. These results are consistent with the Co-IP results shown in  
381 **S7 Fig**. Specifically, the levels of CoREST at the HIV promoter peaked at 4 hr post-TNF- $\alpha$   
382 stimulation and decreased at 24 hr post-treatment, whereas the levels of G9a, EZH2, and  
383 HDAC1 at the HIV promoter decreased at 4 hr post-TNF- $\alpha$  stimulation when compared  
384 to un-treated cells. However, these epigenetic silencers returned to HIV promoter in a  
385 much more robust manner at 24 hr post-stimulation.

386 To provide direct evidence that Nurr1 mediates the recruitment of the  
387 CoREST/HDAC1/G9a/EZH2 complex to HIV promoter, we treated HC69 cells expressing  
388 control shRNA and Nurr1 shRNA with high dose TNF- $\alpha$ , followed by a 24 hr chase. We  
389 then conducted additional ChIP experiments and measured the ChIP products by  
390 quantitative PCR (qPCR). As shown in **Fig 7C**, CoREST was strongly recruited to HIV  
391 promoter at 4 hr post TNF- $\alpha$  stimulation in HC69 cells expressing control shRNA,  
392 however, its recruitment was substantially inhibited in Nurr1 KD cells. Similarly, G9a level  
393 in HIV promoter peaked at 24 hr post TNF- $\alpha$  stimulation in HC69 cells expressing control  
394 shRNA but its recruitment was also reduced in Nurr1 KD cells. Taken together, these  
395 results clearly demonstrated a pivotal role for Nurr1 in mediating recruitment of the  
396 CoREST/HDAC1/G9a/EZH2 repressor complex to the promoter of active HIV for  
397 epigenetic silencing consistent with the model shown in **Fig 7A**.

398 **The CoREST/HDAC1/G9a/EZH2 repressor complex silences HIV in microglial cells**

399 To further investigate how the CoREST/HDAC1/G9a/EZH2 complex contributes to  
400 HIV silencing, we treated HC69 cells with high dose (400 pg/ml) TNF- $\alpha$  for 24 hr followed  
401 by a chase in the absence or presence of epigenetic inhibitors that target the CoREST  
402 complex, specifically: HDAC inhibitor suberoylanilide hydroxamic acid (SAHA), G9a  
403 inhibitor UNC0638, and EZH2 inhibitor GSK343. The numbers of GFP+ cells dropped  
404 from 88.4% to 67.03% at 48 hr after TNF- $\alpha$  withdrawal when cells were cultured in the  
405 absence of the inhibitors (**Fig 8A**). However, in the presence of SAHA, UNC0638, or  
406 GSK343, the numbers of GFP+ cells remained higher (i.e., 77.8%, 85.5%, and 84.7%  
407 respectively), indicating that functional inhibition of these epigenetic silencers prevented  
408 active HIV from reverting to latency.

409 To confirm the role of these epigenetic silencers, we generated HC69 cell lines  
410 stably expressing CoREST-specific shRNA or CRISPR/Cas9/guide RNA (gRNA) for G9a  
411 or EZH2. We confirmed successful KD or knock out (KO) of these proteins in these cell  
412 lines by Western blot analysis (**Fig 8B & C**). The genetically modified cells were activated  
413 with a high dose of TNF- $\alpha$  (400 pg/ml) for 24 hr, followed by culturing the cells in the  
414 absence of TNF- $\alpha$  for 48 hr and measurement of GFP expression. CoREST KD  
415 substantially increased GFP expression (80.1% GFP+ vs. 25.8% in control cell) even  
416 without TNF- $\alpha$  stimulation (**Fig 8D**). Stimulation with high-dose TNF- $\alpha$  for 24 hr resulted  
417 in 94.1% and 84.9% GFP+ cells in CoREST KD and control cells respectively. However,  
418 after TNF- $\alpha$  withdrawal and subsequent culture for 48 h, the numbers of GFP+ cells  
419 decreased significantly in cells expressing control shRNA (67.7%) but remained high in  
420 CoREST KD cells (91.3%), confirming that CoREST was crucial for the silencing of active

421 HIV in microglial cells. Similar results were also seen with the G9a and EZH2 KO cell  
422 lines (**Fig 8E**).

423 Therefore, both the ChIP experiments and gene knockout results demonstrate a  
424 pivotal role for the CoREST/HDAC1/G9a/EZH2 transcription repressor complex in  
425 silencing active HIV in microglial cells.

426 **Nurr1 regulates HIV in iPSC-derived microglial cells**

427 Finally, to confirm that Nurr1 is also critical for the silencing of HIV in primary  
428 microglial cells, we infected iPSC-derived human microglial cells (iMG) with the same HIV  
429 reporter virus described earlier (**Fig 1A**). About 50% of the iMG became GFP+ two days  
430 after HIV infection (**Fig 9A**). We then treated the infected iMG with 6-MP and another  
431 Nurr1 agonist, amodiaquine (AQ) [56, 67], for four days. Both 6-MP and AQ decreased  
432 the number of GFP+ cells in a dose-dependent manner (**Fig 9B & C**) and lowered the  
433 levels of HIV un-spliced transcripts (**Fig 9D**). Both agonists also dose-dependently  
434 reduced MMP2 mRNA in iMG (**Fig 9E**). Collectively, results from both hμglia and iMG  
435 strongly suggested an important role for Nurr1 in HIV silencing in microglial cells.

436

437 **DISCUSSION**

438 **Epigenetic control of HIV latency in microglial cells**

439 Microglial cells are one of the major cellular reservoirs of HIV in the central nervous  
440 system (CNS) [4, 5]. These long-lived cells contribute to increased neuroinflammation  
441 and oxidative stress [4, 81], and development of HAND by secreting a variety of  
442 neurotoxins as well as harmful HIV proteins such as gP120, Tat, Rev, etc. [82, 83].  
443 Eradication or complete silencing of HIV-infected microglial cells is therefore crucial not  
444 only for an HIV cure, but also to prevent the development of HAND, which affects the  
445 majority of HIV infected individuals.

446 Previous studies involving HIV-1 infection of transformed cell lines suggested that  
447 epigenetic regulation plays a major role in the establishment and persistence of HIV  
448 latency in astrocytes and microglial cells [84, 85]. The cellular COUP transcription factor  
449 (COUP-TF) interacting protein (CTIP2) forms a large transcriptional repressor complex  
450 with epigenetic silences including the histone deacetylases HDAC1/2, the histone  
451 methyltransferases SUV39H1 and SET1, the lysine(K)-specific demethylase KDM1, and  
452 heterochromatin protein1 (HP1) [86, 87]. Recruitment of this complex to HIV-1 promoter  
453 leads to proviral genome silencing due to reduced histone acetylation and increased  
454 levels of histone 3 tri-methylations at lysine 9 (H3K9me3) [86-89]. At the same time,  
455 CTIP2 forms another complex with CDK9, Cyclin T1, HEXIM1, 7SK snRNA, and high  
456 mobility group AT-hook 1 (HMGA1), which is also recruited to HIV-1 promoter [87, 89]. In  
457 the absence of HIV-1 Tat, this complex with inactive pTEFb further supports HIV-1 latency  
458 by preventing elongation of RNA polymerase II for active transcription [90]. Nevertheless,

459 it remains unknown if these mechanisms also apply to HIV-infected primary microglial  
460 cells as transformed cells often behave quite differently.

461 In a previous study [37], we demonstrated that autocrine inflammatory cytokines  
462 such as TNF- $\alpha$  were major drivers for spontaneous HIV reactivation in microglial cells,  
463 and activation of GR with its specific ligands such as dexamethasone antagonized the  
464 effects of cytokines on HIV reactivation [45]. However, we observed that the reactivated  
465 HIV was subsequently silenced in microglial cells in the absence of dexamethasone,  
466 suggesting the existence of additional HIV silencing mechanisms.

467 **Silencing of HIV by Nurr1 and CoREST**

468 In the present study, we identified the nuclear receptor Nurr1 as a key HIV silencing  
469 factor. Overexpression of Nurr1 had little effect on preventing reactivation of latent HIV  
470 but strongly enhanced silencing of active HIV after TNF- $\alpha$  stimulation and subsequent  
471 withdrawal. Inversely, KD of endogenous Nurr1 in HC69 cells inhibited silencing of active  
472 HIV after TNF- $\alpha$  withdrawal. Thus, results from both overexpression and KD experiments  
473 unequivocally demonstrated a pivotal role of Nurr1 in silencing active HIV.  
474 Mechanistically, we demonstrated that Nurr1 interacted with the  
475 CoREST/HDAC1/G9a/EZH2 repressor complex as reported previously for cellular early  
476 response genes [50]. Nurr1 promoted the recruitment of CoREST complexes to the HIV  
477 promoter following TNF- $\alpha$  stimulation and subsequent withdrawal.

478 These epigenetic silencers likely silence active HIV by promoting histone de-  
479 acetylation and repressive di- or tri-methylations. Consistent with this hypothesis,  
480 functional inhibition with specific inhibitors or expressional KD or KO of each component  
481 of the repressor complex including CoREST, G9a, and EZH2 strongly inhibited HIV

482 silencing. Data from RNA-Seq analysis indicated that Nurr1 might also utilize this “post-  
483 TNF- $\alpha$  stimulation” epigenetic silencing mechanism to repress many host genes.

484 **Regulation of HIV latency in microglial cells by nuclear receptors**

485 Nuclear receptors are special transcription factors that turn on or turn off  
486 expression of target genes upon specific ligand binding [91]. Accumulating evidence  
487 suggest that nuclear receptors play an important role in regulating HIV expression. For  
488 example, estrogen receptor (ER) and GR have been found to promote HIV latency in T  
489 cells and microglial cells respectively [45, 63]. In this study, by using both immortalized  
490 and iPSC-derived human microglial cells, we provided comprehensive data to  
491 demonstrate that Nurr1 promoted HIV latency by silencing active HIV. In contrast, we did  
492 not see significant effects of Nur77 and Nor1 overexpression on HIV when HC69 cells  
493 were stimulated with TNF- $\alpha$ . However, we have not examined possible effects of these  
494 nuclear receptors on HIV when microglial cells are activated through other signaling  
495 pathways such as the “Toll-like” receptor signaling pathway [38]. In addition, it is well  
496 known that the different Nerve Growth Factor IB-like nuclear receptors interact with each  
497 other or with other nuclear receptors such as GR and the retinoid X receptors (RXR) [92,  
498 93]. Therefore, in future experiments, we plan to investigate how Nur77, Nurr1, and Nor1  
499 impact HIV expression in microglial cells in response to different stimuli and whether they  
500 exert any synergistic effect on HIV expression between themselves or with other  
501 interacting nuclear receptors.

502 **Role of Nurr1 in maintaining brain homeostasis**

503 The roles of Nerve Growth Factor IB-like nuclear receptors in brain development  
504 and homeostasis are well established. Both Nor1 and Nurr1 are essential for

505 differentiation and survival of dopaminergic neurons [46-49]. Nurr1 deficiency in  
506 embryonic ventral midbrain cells results in their failure to migrate and innervation of their  
507 striatal target areas [94, 95]. Nurr1 deficiency or reduced expression due to mutations in  
508 adults is a major contributing factor in the pathogenesis of Parkinson's disease [96]. Nurr1  
509 is also expressed in non-neuronal cells including monocytes, macrophages, microglia,  
510 and astrocytes. Its expression is reduced in the peripheral blood lymphocytes (PBL) of  
511 patients with Parkinson's disease compared with healthy controls [97]. Lower levels of  
512 Nurr1 in the brain and blood represents increased risks of Parkinson's disease and other  
513 neurodegenerative diseases in adults [97].

514 Nurr1 protects dopaminergic neurons from inflammation-induced neurotoxicity  
515 through the inhibition of pro-inflammatory mediator expression in microglia and astrocytes  
516 by recruiting CoREST corepressor complexes to NF- $\kappa$ B target genes [50, 98]. A reduction  
517 of Nurr1 expression in neurons does not affect their death but enhances expression of  
518 inflammatory mediators, and the survival rate of neurons decreases in response to  
519 inflammatory stimuli in the Nurr1 deficiency condition [50]. Multiple studies reported that  
520 activation of Nurr1 reduces inflammation, protects neurons, and decreases Parkinson's  
521 disease related symptoms [53, 65, 67, 99].

522 Although the pathogenesis of Parkinson's disease and other types of  
523 neurodegeneration remains obscure, increasing evidence suggests that inflammatory  
524 responses are responsible for the progression of most neurodegenerative diseases [100].  
525 These responses include accumulation of inflammatory mediators, such as inflammatory  
526 cytokines and proteases in the substantia nigra and the striatum, as well as activation of  
527 the microglia [101], which are also common features of HAND [4, 102].

528 **Anti-inflammatory role for Nurr1 in HIV-infected microglial cells**

529 Little is known on how HIV infection impacts expression or functionality of Nurr1  
530 and other nuclear receptors in the brain. Microglial activation is triggered by a series of  
531 neurochemical mediators such as IFN- $\gamma$ , inducible nitric oxide synthase (iNOS), IL-1 $\beta$ ,  
532 and TNF- $\alpha$  [103-106]. HIV infection of the brain likely further increases the levels of these  
533 mediators. Interestingly, data from our RNA-Seq experiments reveal that Nurr1  
534 overexpression pushed the activated microglial cells towards homeostasis following TNF-  
535  $\alpha$  stimulation and subsequent withdrawal by repressing NF- $\kappa$ B signaling pathway and  
536 genes involved in cellular activity and IFN- $\alpha$  and INF- $\gamma$  responses. Thus, in addition to  
537 silencing HIV, Nurr1 apparently plays a crucial role in suppression of microglia activation.  
538 This finding is consistent with a recent report that glycolysis downregulation is a hallmark  
539 of HIV-1 latency in microglial cells [107].

540 Further studies are warranted to determine the expression levels of these nuclear  
541 receptors in HIV patients and investigate whether their deficiency or malfunction  
542 contributes to development of HAND. Interestingly, multiple Nurr1 agonists exhibit strong  
543 therapeutic effects and potentials for Parkinson's disease in pre-clinical animal study and  
544 human trials [108]. In this study, we tested the Nurr1 agonists 6-MP and AQ. Both agents  
545 strongly inhibited expression of HIV and the neurotoxin MMP2 in HC69 cells and iMGs.  
546 In future studies, it would be of great interest to test additional agonists, particularly those  
547 new generations of Nurr1 agonists currently on pre-clinical and human trials, for their anti-  
548 HIV activity and eventual application in the clinic for treatment of HAND.  
549

550 **MATERIALS & METHODS**

551 **Chemicals and reagents**

552 TNF- $\alpha$  (Invitrogen, Cat. #PHC3015) was used to induce HIV-1 reactivation in  
553 microglial cells. Nor1 and Nurr1 agonists 6-mercaptopurine (6-MP) (Millipore-Sigma,  
554 Cat#38171) and amodiaquine (AQ) (Millipore-Sigma, Cat#SMB00947) were used to  
555 activate the Nerve Growth Factor IB-like nuclear receptors. GSK343 (Sigma Aldrich, Cat#  
556 SML0766), UNC0638 (Sigma Aldrich, Cat#U4885), and suberoylanilide hydroxamic acid  
557 (SAHA, Millipore-Sigma, Cat#SML0061) were used to examine the effects of EZH2, H9a,  
558 and HDAC1/2 on HIV silencing respectively.

559 Numerous antibodies were used for Western blot analysis, co-immunoprecipitation  
560 (Co-IP), and chromatin immunoprecipitation (ChIP) assays, including a mouse  
561 monoclonal anti-FLAG M2 antibody (Sigma, Cat# F1804), a rabbit polyclonal anti-Nurr1  
562 antibody (Santa Cruz Biotechnology, Cat# sc-991), a mouse monoclonal anti-Nurr1  
563 antibody (Santa Cruz Biotechnology, Cat# sc-81345), a mouse monoclonal anti-Nor1  
564 antibody (Perseus Proteomics, Cat# PP-H7833-00), a rabbit polyclonal anti-Nur77  
565 antibody (Cell Signaling, Cat# 3960S), a rabbit monoclonal anti-MMP2 antibody (Cell  
566 Signaling, Cat#40994), a rabbit polyclonal anti-CoREST antibody (EMD Millipore, Cat#  
567 07-579), a rabbit polyclonal anti-HDAC1 antibody (Santa Cruz Biotechnology, Cat# sc-  
568 7872), a rabbit polyclonal anti-G9a antibody (Cell Signaling, cat#3306S), a rabbit  
569 polyclonal anti-EZH2 antibody (Cell Signaling, Cat#5246S), a rabbit polyclonal anti-  
570 acetylated histone 3 (Ac-H3) antibody (Cell Signaling, Cat#9677S), a rabbit polyclonal  
571 anti-H3K27me3 antibody (EDM Millipore, Cat#07-449), a rabbit monoclonal anti-  
572 H3K27me2 antibody (Cell Signaling, Cat#9728S), a mouse monoclonal anti-HIV Nef

573 antibody (Abcam, Cat#ab42355), and a mouse monoclonal anti-RNA polymerase II  
574 antibody (Abcam, Cat#ab817).

575 **Cells and flow cytometry analysis of HIV/GFP expression**

576 HIV-1 infected immortalized human microglial (huglia) HC69 cells were cultured  
577 and maintained as described as previously [37]. Induced pluripotent stem cells (iPSC)-  
578 derived human microglial cells (iMG) (Tempo Bioscience, Cat#SKU 1001.1) were plated,  
579 allowed to differentiate and maintained in culture on plates pre-coated with Matrigel matrix  
580 (Corning, Cat#356254) according to the manufacturer's instructions. The iMG were  
581 infected with EGFP HIV-1 reporter virus at 1 to 1 (cell-to-virus moiety), which was  
582 produced, purified, and titrated as described previously [37]. Two days after infection, the  
583 iMG were treated with and without the Nurr1 agonists 6-MP and AQ for four days. Infected  
584 with the same EGFP-reporter HIV-1 virus (**Fig 1 A**), HIV expression in huglia and iMG  
585 cells was measured and quantified with percentage (%) of GFP+ cells by flow cytometry  
586 as described previously [45].

587 **Lenti-viral construction and production, and generation of stable cell lines**

588 Three lentiviral constructs, pLV[Bxp]-Bsd-CMV>3xFLAG-Nur77, pLV[Bxp]-Bsd-  
589 CMV>3xFLAG-Nurr1, and pLV[Bxp]-Bsd-CMV>3xFLAG-Nor1 were generated by  
590 inserting the full-length open reading frame (ORF) of human NR4A1 (Nur77), NR4A2  
591 (Nurr1), and NR4A3 (Nor1) cDNA fragment into the empty vector pLV[Bxp]-Bsd-  
592 CMV>3xFLA immediately downstream of the Kozak sequence (VectorBuilder, vector ID:  
593 VB180227-1135bmn, VB180227-1134jht, and VB180227-1136rwc). The inserted cDNA  
594 was also "in frame" fused with the coding sequence of the N-terminal 3X-FLAG peptide  
595 tag, allowing to generate N-terminal 3xFLAG-tagged proteins. Two lentiviral constructs

596 expressing human Nurr1-specific shRNA (5'GGTCGCACAGACAGTTAAA3' and  
597 5'ATACGTGTGTTAGCAAATAA3'), one lentiviral construct expressing human  
598 CoREST-specific shRNA (5'CCCAATAATGGCCAGAATAAA3'), and two lentiviral  
599 constructs expressing control shRNAs (5'CCTAAGGTTAAGTCGCCCTCG3' and 5'  
600 CAACAAGATGAAGAGCACCA3') were purchased from VectorBuilder. All lentiviral  
601 constructs carried an ampicillin resistance gene for selection in bacteria (*E. coli*) and a  
602 blasticidin resistance gene for selection of stable expression in mammalian cells.  
603 Infectious viral particles with each of these lentiviral constructs were produced by co-  
604 transfecting 293T cells with packaging plasmid psPAX2 (Addgene, Cat#12260) and Env  
605 Vector pCMV-VSVg (Addgene, Cat#138479). HC69 cells stably expressing 3X-FLAG-  
606 Nur77, 3X-FLAG-Nurr1, 3X-FLAG-Nor1, empty vector, gene-specific shRNA and control  
607 shRNA were generated by infection of the cells with purified lentiviral particles for two  
608 days, followed by culturing the cells in the presence of blasticidin at 10 µg/ml.

609 To investigate the effects of G9a and EZH2 on HIV silencing, we conducted  
610 CRISPR/Cas9 mediated “knocking out” (KO) of these genes in HC69 cells, using a dual  
611 CRISPR/Cas9 gRNA lentiviral vector. Two different guide RNAs targeting EZH2  
612 (TGAGCTCATTGCGCGGGACT and GATCTGGAGGATCACCGAGA) or G9a  
613 (TTCCCCATGCCCTCGCATCC and GTGGCAGCCCCACGGCTGAA) were cloned into  
614 lentiCRISPR v2-Blast plasmid following the protocol described previously [109].  
615 LentiCRISPR v2-Blast was a gift from Mohan Babu (Addgene plasmid # 83480). VSV-G  
616 pseudotyped viruses expressing CRISPR/Cas9 gRNAs were produced in HEK 293T cells  
617 by transfection of lentiCRISPR v2 plasmids together with psPAX2 and pCMV-VSV-G.  
618 HC69 cells infected with the EZH2 or G9a KO lentiviruses were cultured in the presence

619 of blasticidin (10 µg/ml). Successful KO of these genes in HC69 cells were verified by  
620 Western blot analysis of EZH2 and G9a proteins in the resulting cell lines.

621 **Reverse transcription and quantitative polymerase chain reaction (RT-qPCR)**

622 Total RNAs from HC69 or HIV-infected IMG cells with different treatments were  
623 isolated by using the RNeasy Plus Mini kit from Qiagen (Cat#74134). The purified total  
624 RNAs were converted to first-strand cDNAs by using a reverse transcription kit (Bio-Rad,  
625 Cat#1708891). The relative levels of HIV-1 un-spliced transcript and human MMP-2  
626 mRNA were measured by qRT-PCR using the primers 5'AGGGACCTGAAAGCGAAAG3'  
627 (HIV-1 un-spliced-forward) and 5'AATGATACGGCGACGACCNNNNNNNN3' (HIV-1  
628 un-spliced-reverse), and 5'ATAACCTGGATGCCGTCGT-3' (MMP2 forward) and  
629 AGGCACCCCTTGAAGAAGTAGC-3' (MMP2 reverse), respectively. The mRNA level of  
630 the housekeeping gene β-actin in each sample was used as reference for normalization,  
631 which was measured by qRT-PCR using the primers 5'-  
632 TCCTCTCCCAAGTCCACACAGG-3' (forward) and 5'-GGGCACGAAGGCTCATCATT-  
633 3' (reverse). Each qRT-PCR was conducted in triplicates.

634 **ChIP and ChIP-seq analyses**

635 Standard procedures were followed for all ChIP assays. Briefly, cells were fixed  
636 with 1% Formaldehyde for 10 minutes (min) at room temperature, followed by incubation  
637 in PBS containing 125 mM glycine for 10 min at room temperature. After two washes with  
638 ice-cold PBS, cells were re-suspended and allowed to swell in CE buffer [10 mM Hepes,  
639 pH7.9, 60 mM KCl, 1 mM EDTA, 0.5% NP-40, 1 mM DTT] on ice for 10 min. After  
640 centrifugation at 2,000 g for 10 min at 4°C, nuclei were re-suspended in SDS lysis buffer  
641 [50 mM Tris-HCl, 1 mM EDTA, 0.5% SDS] and incubated on ice for 10 min. Sheared

642 chromatins were prepared by sonicating the nuclei lysate to generate DNA fragments in  
643 the range of 250 to 500 bps. ChIP assays with specific antibodies were carried out in  
644 ChIP dilution buffer [16.7 mM Tris-HCl, pH 8.1, 167 mM NaCl, 1.2 mM EDTA, 1.1% Triton  
645 X-100, and 0.01% SDS] containing 5 µg antibody and 50 ul protein-A/protein-G magnetic  
646 beads per reaction at 4°C for overnight with rotation, followed by consecutive washes with  
647 low salt wash buffer [20mM Tris-HCl, pH8.1, 150 mM NaCl, 1 mM EDTA, 1% Triton X-  
648 100, 0.1% SDS], high salt wash buffer [20mM Tris-HCl, pH8.1, 500 mM NaCl, 1 mM  
649 EDTA, 1% Triton X-100, 0.1% SDS], and RIPA buffer [20 mM Tris-HCl, pH7.5, 150 mM  
650 NaCl, 5 mM EDTA, 0.5% Triton X-100, 0.5% sodium deoxycholate, and 0.1% SDS]. The  
651 washed beads were then re-suspended in elution buffer [50 mM Tris-HCl, pH 6.5, 20 mM  
652 NaCl, 100 mM NaHCO3, 1 mM EDTA, 1% SDS, 100 µg/ml proteinase K] and incubated  
653 at 50°C for 2h. Supernatants from the beads were collected and used for ChIP DNA  
654 purification using Qiagen's PCR purification kit (Cat#28104). Quantification of input and  
655 ChIP DNA corresponding to HIV-1 promoter region was conducted by qPCR using  
656 specific primers as reported previously [110].

657 For ChIP-seq analyses, the DNA products from each ChIP assay were first end  
658 repaired with end repair enzyme mix (New England Biolabs, Inc., Cat#M6630), then  
659 ligated to NEBNext adaptor included in the NEBNext® Ultra™ II DNA Library Prep Kit for  
660 Illumina® (Cat#E7645L) according to the manufacturer's instruction, followed by PCR  
661 amplification with a specific pair of bar-coded primers. Next, to enrich HIV-1 specific  
662 sequences in the library, DNA samples from all ChIP assays were pooled, denatured at  
663 98°C for 10 min, and then subjected to hybridization with 50 times excessive amount of  
664 biotin-labelled and pre-denatured HIV-1 genomic DNA in hybridization buffer containing

665 5XSSC and salmon sperm DNA (100 µg/ml) at 65°C for 1 h. Fragments hybridizing to  
666 biotin-labelled HIV-1 DNA were pulled down by incubating the hybridization reaction with  
667 streptavidin-conjugated magnetic beads (ThermoFisher Scientific, Cat#88816) at room  
668 temperature for 30 min, followed by three times washes with ion wash buffer and elution  
669 in water. The enriched ChIP library DNA was PCR amplified with Ion A and Ion P1  
670 primers, and PCR fragments in the range from 300 to 500 bps were purified from agarose  
671 gel after electrophoresis and loaded for Ion Torrent sequencing.

672 We aligned the sequence reads to NL4.3-Cd8a-EGFP-Nef+ HIV-1 genome. Raw  
673 fastq sequencing data were imported to *the public server at usegalaxy.org for analysis*  
674 [111]. We used FASTX-Toolkit for deconvolution of reads. Read mapping was performed  
675 by Bowtie2 tool with default settings using the NL4.3-Cd8a-EGFP-Nef+ HIV-1 as a  
676 reference genome [112, 113]. DeepTool2 was used to make graphs for distribution of  
677 mapped reads along HIV-1 genome [114].

678 **RNA-Seq and data analysis**

679 Approximately 2 million GFP-negative cells from each of the cell lines HC69-3X-  
680 FLAG-vector, HC69-3X-FLAG-Nor1, HC69-3X-FLAG-Nurr1, HC69-control shRNA, and  
681 HC69-Nurr1 shRNA were collected from sorting. The isolated cells were expanded in  
682 DMEM culture media with low glucose (1g/L) and 1% FBS for 48 hr in the presence of  
683 dexamethasone (1µg/ml) to maintain HIV latency as reported previously [45]. The cells  
684 were next cultured in fresh medium without dexamethasone, un-treated, or treated with  
685 low dose (20 pg/ml) and high dose (400 pg/ml) TNF- $\alpha$  for 24 h. One portion of the cells  
686 treated with high dose TNF- $\alpha$  were washed twice with PBS, followed by culturing in fresh  
687 medium in the absence of TNF- $\alpha$  and dexamethasone for 48 h. Total RNAs from each

688 cell line with different treatments were isolated by using the RNeasy Plus Mini kit from  
689 Qiagen (Cat#74134). The isolated RNAs were treated with RNase-free DNase I at 37 °C  
690 for 30 min to remove genomic DNA, followed by a second-round purification using the  
691 same RNA purification kit. For reproducibility concerns, the RNA-Seq analysis consisted  
692 of RNA samples from two independent experiments performed several months apart.

693 Total cellular RNA was subjected to 150 base long, paired end RNA-Seq on an  
694 NovaSeq 6000 instrument. RNA-Seq reads were quality controlled using Fastqc and  
695 trimmed for any leftover adaptor-derived sequences, and sequences with Phred score  
696 less than 30 with Trim Galore, which is a wrapper based on Cutadapt and FastQC. Any  
697 reads shorter than 40 nucleotides after the trimming was not used in alignment. The pre-  
698 processed reads were aligned to the human genome (hg38/GRCh38) with the Gencode  
699 release 28 as the reference annotations using STAR version 2.7.2b [115], followed by  
700 gene-level quantitation using htseq-count [116]. In parallel, the pre-processed reads were  
701 pseudoaligned using Kallisto version 0.43.1 [117], with 100 rounds of bootstrapping to the  
702 Gencode release 28 of the human transcriptome to which the sequence of the transfected  
703 HIV genome and the deduced HIV spliced transcripts were added. The resulting  
704 quantitations were normalized using Sleuth. The two pipelines yielded concordant results.  
705 Pairwise differential expression tests were performed using generalized linear models as  
706 implemented in edgeR (QL) [118], and false discovery rate (FDR) values were calculated  
707 for each differential expression value.

708 Protein-coding genes that were expressed at a minimum abundance of 5  
709 transcripts per million (TPM) were used for pathway analysis with fold change values as  
710 the ranking parameter while controlling false discovery rate at 0.05. Gene Set Enrichment

711 Analysis (GSEA) package was used to identify the enriched pathway and promoter  
712 elements using mSigDB and KEGG databases. Pathways that showed an FDR q-value  
713  $\leq 0.25$  were considered significantly enriched, per the GSEA package guidelines. The  
714 number of genes contributing to the enrichment score was calculated using the leading  
715 edge output of GSEA (tag multiplied by size).

716 **Identification of marker genes for each study group**

717 After filtration of the raw reads to remove low quality reads and mapping the clean  
718 reads to the human reference genome using STAR software, differential analysis was  
719 performed by edgeR package. For RNA-Seq data analysis, the bulk RNA-Seq data in a  
720 form of digital gene expression (DGE) matrix was analyzed using the Seurat package for  
721 R, v. 3.1.5 [119]. Variable genes were identified using the *FindVariableFeatures* function.  
722 Top fifteen markers for each cluster were identified using a Wilcoxon Rank Sum test, and  
723 a heat map was generated using the *DoHeatmap* function.

724

725 **SUPPORTING INFORMATION**

726 **S1 Fig. Nurr1 overexpression (OE) or knock-down (KD) substantially alters host**  
727 **transcriptome. A**, heatmaps representing top 15 gene markers for each treatment group.  
728 Statistically-significant ( $p < 0.001$ ) differentially expressed genes were determined using  
729 the Wilcoxon rank-sum test reflecting the impacts of Nurr1 OE by comparing the control  
730 cells HC69-3X-FLAG-vector (VT) with Nurr1 overexpressing cells HC69-3X-FLAG-Nurr1  
731 (Nurr1 OE), as well as the impacts of KD by comparing the HC69-control shRNA1 and  
732 control shRNA2 (Ctl shRNA1/2) cells with HC69-Nurr1 shRNA1 and shRNA2 (Nurr1  
733 shRNA1/2) cells, respectively. Various cell lines were cultured in the absence (untreated)  
734 or presence of high dose (400 pg/ml) TNF- $\alpha$  for 24 hr. In addition, cells were given 48 hr  
735 chase after stimulation with high dose (400 pg/ml) TNF- $\alpha$  for 24 hr and subsequent  
736 withdrawal. **B**, heatmaps showing top 15 gene transcript markers in samples from panel  
737 **A** rearranged according to their status of treatment with TNF- $\alpha$ .. The most enriched gene  
738 transcripts as the result of Nurr1 overexpression or KD are listed in columns to the left.  
739 The color-coded expression pattern of each gene transcript is shown in a heatmap to the  
740 right.

741 **S2 Fig. Nurr1 overexpression mainly impacts the recovery step following TNF- $\alpha$**   
742 **stimulation.** Trajectories of genes after stimulation with low dose (20 pg/ml) and high  
743 dose (400 pg/ml) TNF- $\alpha$  for 24 hr and following a 48 hr recovery (chase) after high dose  
744 TNF- $\alpha$  stimulation for 24 hr and subsequent withdrawal in the Nurr1 overexpression cell  
745 line HC69-3X-FLAG-Nurr1 (Nurr1 OE) were shown. Trajectories of the same genes in the  
746 control cell line HC69-3X-FLAG-vector (Ctl VT) were also shown, with a semi-transparent  
747 line connecting identical genes between the control and Nurr1-overexpressing sides of

748 each graph. Each line represented a gene, and the Y axis values indicated the log2  
749 expression levels. The number of genes showing each trajectory in Nurr1-overexpressing  
750 cells was shown on top. Genes that showed no change, were up regulated, and down  
751 regulated in statistically significant manner (FDR<0.05, fold change>2) were indicated  
752 with the letters n, u, and d respectively. Grouping of the different trajectories was based  
753 on gene responses during stimulation with low dose (Step 1) and high dose (Step 2) TNF-  
754  $\alpha$  and the recovery time after TNF- $\alpha$  stimulation and subsequent withdrawal (Step 3). For  
755 instance, the group of genes marked “ndu” represented genes that were not significantly  
756 changed in response to stimulation with low dose TNF- $\alpha$  but were down regulated with  
757 high dose TNF- $\alpha$  stimulation and then up regulated during the recovery (chase) period.

758 **S3 Fig. HC69-3X-FLAG-Nurr1 and HC69-3X-FLAG-vector cells strongly differ in the**  
759 **recovery step following TNF- $\alpha$  stimulation.** Genes that showed a different trajectory  
760 after TNF- $\alpha$  stimulation for 24 hr and following a 48 hr recovery period between HC69-  
761 3X-FLAG-vector (control) and HC69-3X-FLAG-Nurr1 cells were identified and groups  
762 containing over 100 genes were graphed. Each line represented a gene and a semi-  
763 transparent line connected identical genes between control and Nurr1-overexpressing  
764 sides of each graph. The Y axis indicated the expression level of each gene throughout  
765 the trajectory. Grouping of genes with no statistically significant changes in expression  
766 (n), up regulated (d), or down regulated (d) in the three segments was as described in **S2**  
767 **Fig.**

768 **S4 Fig. Nurr1 overexpression (OE) substantially alters host transcriptome.** Genes  
769 involved in top differentially negatively enriched pathways in **Fig 6A** are shown in  
770 heatmaps. The values shown in the heatmap correspond to the level of differential

771 expression between Nurr1 overexpressing cells (marked as “Nurr1”) versus vector-  
772 infected control cells (marked as “Vector”) during the chase step. The identities of the  
773 plotted pathways and genes involved in the pathways are shown on the top and to the  
774 right, respectively.

775 **S5 Fig. Nurr1-specific gene expression during the chase step leads to strong**  
776 **downregulated of genes involved in cell cycle.** Genes that exclusively change in  
777 expression during the chase step only in Nurr1 cells (see **S3 Fig**) were superimposed on  
778 the KEGG cell cycle graph. The color bar on the top right indicates the level of differential  
779 expression for each gene in Nurr1 cells during the chase step.

780 **S6 Fig. TNF- $\alpha$  stimulation leads to strong induction of NF- $\kappa$ B-responsive genes**  
781 **along with targets of multiple inflammatory cytokines.** The most enriched  
782 transcription factor binding motifs in proximity of the promoters of differentially expressed  
783 genes are shown. The size of the circles indicates the level of enrichment, while the color  
784 intensity reflects the statistical significance as shown by FDR. Positively- and negatively-  
785 enriched motifs are shown after each treatment (shown at the bottom) in the left and right  
786 panel, respectively. The identity of each motif, as annotated in the C3 lists of the MSIGDB  
787 database, is shown to the left.

788 **S7 Fig. Nurr1 associates with CoREST, HDAC1, G91, and EZH2 to form a**  
789 **transcription repression complex in microglial cells (HC69).** HC69-3X-FLAG-vector  
790 and HC69-3X-FLAG-Nurr1 cells were cultured in the absence (untreated) or presence of  
791 high dose (400 pg/ml) TNF- $\alpha$  for 4 hr and 24 hr respectively. A portion of these cells were  
792 also used in a chase experiment by culturing the cells for an additional 24 hr (chase) after  
793 stimulation with high dose TNF- $\alpha$  for 24 hr and subsequent washing with PBS (TNF- $\alpha$

794 24h+24h). Total protein lysates from the differently treated cells were isolated and used  
795 for co-immunoprecipitation (Co-IP) with a mouse anti-FLAG monoclonal antibody. The  
796 original protein lysates (Input) and the Co-IP products were analyzed by Western blot  
797 analysis with antibodies to FLAG, CoREST, HDAC1, G9a, EZH2, and  $\beta$ -tubulin  
798 respectively.

799

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807

808 **AUTHOR CONTRIBUTIONS**

809 J.K., F.Y. and D.A. conceived of and oversaw the study. F.Y. performed all the wet  
810 bench experiments in the manuscript except as noted and along with J.K., wrote the  
811 manuscript. D.A. performed the culture of iPSC derived microglial cells and participated  
812 in data analysis and manuscript preparation. K.N. constructed the gene knock out  
813 lentiviruses and performed the ChIP-seq data analysis. S.V. processed and analyzed the  
814 RNA-Seq dataset and performed the trajectory studies and pathway analysis, participated  
815 in manuscript preparation and submitted the RNA-Seq studies performed in this project  
816 to SRA (accession number to be provided). K.L. performed the marker gene discovery  
817 for the RNA-Seq data. Y.G. performed microglial cell culture and participated in data  
818 analysis. S.S. performed the culture of iPSC derived microglial cell and participated in  
819 data analysis. All authors read the final manuscript and commented on it.

820

821 **FIGURE LEGENDS**

822 **Figure 1. Spontaneous silencing of active HIV in microglial cells. A,** genome  
823 organization of a d2EGFP reporter HIV-1 that was cloned in the lentiviral vector pH'R'. A  
824 fragment of HIV-1<sub>pNL4-3</sub>, containing *Tat*, *Rev*, *Env*, *Vpu* and *Nef* with the green  
825 fluorescence reporter gene d2EGFP, was cloned into the lentiviral vector pH'R'. The  
826 resulted plasmid was used to produce the VSV-G HIV particles as described previously  
827 [120]. Immortalized human microglial cells (huglia) were infected with the lenti-HIV viral  
828 particles, generating multiple clones with an integrated pro-virus genome. HC69 was a  
829 representative of these clones. **B,** Schematic diagram of experimental scheme to study  
830 the role of nuclear receptors in microglial reactivation and reversion to latency. **C,**  
831 Representative phase contrast, GFP, and overlapped images of HC69 cells that were  
832 cultured in the absence (untreated, left panel) and in the presence of TNF- $\alpha$  (400 pg/ml)  
833 for 24 hr (TNF- $\alpha$  24 h, middle panel) respectively, or used in a chase experiment by  
834 continuously culturing HC69 cells in the absence of TNF- $\alpha$  for 96 hr after stimulating the  
835 cells with TNF- $\alpha$  (400 pg/ml) for 24 hr and washing with PBS (TNF- $\alpha$  24 h+96 h, right  
836 panel). The average percentages of GFP<sup>+</sup> cells indicated for each panel were measured  
837 by flow cytometry from triplicate wells.

838 **Figure 2. Activation of Nurr1 enhances HIV silencing in immortalized human**  
839 **microglial cells (huglia). A,** Impact of Nurr1 agonist 6-MP on HIV expression. Left:  
840 Representative flow cytometry histograms. Right: Quantitative results from three  
841 independent experiments. For this experiment, we used a batch of HC69 cells with high  
842 numbers of GFP<sup>+</sup> cells resulting from spontaneous HIV reactivation following multiple  
843 passages of culture in the absence of dexamethasone. These cells were cultured in the

844 presence of different doses of 6-MP for three days. The percentages of GFP<sup>+</sup> cells from  
845 the differently treated cells were measured by flow cytometry. The *p*-values of pair-  
846 sample, Student's *t*-tests comparing un-treated cells and cells treated with different  
847 doses of 6-MP were calculated from three independent experiments. **B**, Western blot  
848 detection of Nurr1, Nor1, HIV-1 Nef protein, and Nurr1 target gene MMP2 in HC69 cells  
849 described in A. The level of  $\beta$ -tubulin was used as a loading control. **C**, the nuclear  
850 receptor agonists dexamethasone (DEXA, 1  $\mu$ M), Bexarotene (BEX, 1  $\mu$ M) and 6-MP (1  
851  $\mu$ M) have additive effects on HIV silencing in HC69 cells. HC69 cells were first treated  
852 with high dose (400 pg/ml) TNF- $\alpha$  for 24 hr, followed by a 72 hr chase experiment during  
853 which the cells were washed with PBS and cultured in fresh media in the presence of  
854 placebo (DMSO) or the various NR agonists, alone or in combination. Expression of Nef  
855 and  $\beta$ -tubulin in the differently treated cells was analyzed by Western blot analysis as  
856 described in C.

857 **Figure 3. Overexpression of Nurr1 in HC69 cells enhances HIV silencing. A,**  
858 RNA-Seq confirmation of overexpression (OE) of Nurr1 in HC69 cells. Sequence read  
859 histograms for the Nurr1 locus is shown for control (vector) and Nurr1 overexpression.  
860 Annotated genes for the shown locus are indicated on the top, and the position of the  
861 locus on chromosome 2 is shown both at the top and the bottom. A read scale for each  
862 row is shown on the right, with the values for the overexpression studies drawn on a log2  
863 scale. **B**, Verification of Nur77, Nurr1, and Nor1 overexpression by Western blot analysis  
864 in HC69 cell lines stably expressing 3X-FLAG-tagged Nur77, Nurr1, and Nor1  
865 respectively. HC69 cells stably carrying the 3X-FLAG-empty vector were used as a  
866 reference for comparison. The level of  $\beta$ -tubulin was used as a loading control. Notably,

867 the levels of endogenous Nur77 and Nor1 in HC69 cells were very limited. In contrast,  
868 Nurr1 was constitutively expressed in HC69 cells. **C**, Schematic depicting the TNF- $\alpha$   
869 stimulation and chase studies. The four cell lines described in B were either untreated or  
870 treated with high dose (400 pg/ml) TNF- $\alpha$  for 24 h. To examine HIV silencing, one set of  
871 TNF- $\alpha$  induced cells were used in a chase experiment by continuous culture of the cells  
872 in the absence of TNF- $\alpha$  for an additional 48 h. The time points at which TNF- $\alpha$  is added  
873 or removed are shown by arrows on the top. **D**, Expression of HIV Nef protein in the  
874 different cell lines before and after TNF- $\alpha$  stimulation and at the end of the chase  
875 experiment was measured by Western blot analysis. The level of  $\beta$ -tubulin was used as  
876 a loading control. **E**, Expression level of HIV mRNA (black bar graph) and Nurr1 (red  
877 rectangles and lines) in transcripts per million cellular transcripts are shown for each of  
878 the treatment steps shown in panel C in both vector-infected cells (on the left) and Nurr1  
879 overexpressing cells (on the right half of the graph). For the 24 hr TNF- $\alpha$  stimulation step,  
880 both a low dose (20 pg/ml) and a high dose (400 pg/ml) are used. The values shown are  
881 the average of three replicate RNA-Seq samples with two standard deviations as error  
882 bars. The expression values for HIV and Nurr1 are shown on Y axes to the left and right,  
883 respectively.

884 **Figure 4. Nurr1 knock down (KD) in HC69 cells enhances HIV expression and**  
885 **block proviral silencing during the chase step. A**, RNA-Seq confirmation of Nurr1 KD  
886 in HC69 cells. Read histograms for the Nurr1 locus is shown for non-targeting shRNA-  
887 infected cells, and cells infected with Nurr1 specific shRNA lentiviral constructs.  
888 Annotated genes for the shown locus are indicated on the top, and the position of the  
889 locus on chromosome 2 is shown both at the top and the bottom. A read scale for each

890 row is shown on the right, with the values for the knock down studies drawn on a linear  
891 scale. **B**, Schematic depicting the TNF- $\alpha$  stimulation and chase studies. The two shRNA  
892 lentiviral transduced cell lines described in A were either untreated or treated with high  
893 dose (400 pg/ml) TNF- $\alpha$  for 24 hr. One set of TNF- $\alpha$  induced cells were used in a chase  
894 experiment in the absence of TNF- $\alpha$  for an additional 48 hr. The time points at which TNF-  
895  $\alpha$  is added or removed are shown by arrows on the top. **C**, Western blot studies measuring  
896 the expression of endogenous Nurr1, Nef, and  $\beta$ -tubulin in cells infected with either a non-  
897 targeting control shRNA or Nurr1-specific shRNA lentiviral vectors. The expression  
898 patterns from the TNF- $\alpha$  (400 pg/ml) stimulation and the chase step are shown. **D**, KD of  
899 endogenous Nurr1 strongly inhibits HIV silencing. The percentages of GFP $^+$  cells in the  
900 two cell lines, before treatment, at 24 hr post-TNF- $\alpha$  (400 pg/ml) stimulation, and at 72 hr  
901 after TNF- $\alpha$  withdrawal (chase) were analyzed by flow cytometry and calculated from  
902 three independent experiments. The difference in GFP expression between the two cell  
903 lines at 72 hr chase was statistically significant, with a  $p = 0.0078$ . **E**, Expression level of  
904 Nurr1 (red rectangles and lines) and the HIV provirus (black bar graph) in transcripts per  
905 million cellular transcripts are shown for each of the treatment steps in both non-targeting  
906 shRNA infected cells (on the left) and Nurr1-specific shRNA-infected cells (on the right  
907 half of the graph). The values shown reflect the average of three replicate RNA-Seq  
908 samples from two distinct shRNA constructs per control and Nurr1 knock down groups,  
909 with two standard deviations as error bars. The expression values for HIV and Nurr1 are  
910 shown on Y axes to the left and right, respectively.

911 **Figure 5. Nurr1 overexpression leads to the inhibition of critical cellular**  
912 **proliferation pathways. A**, Patterns of differential gene expression during the chase step

913 in vector-infected (top) and Nurr1 overexpressing (Nurr1 OE) cells. Dotted lines indicate  
914 the two-fold cut off level. **B**, Pathway analyses of Nurr1 overexpression at baseline, during  
915 TNF- $\alpha$  stimulation, and following the recovery period after TNF- $\alpha$  stimulation. The  
916 identities of specific highly enriched pathways are shown on the Y axis, and the  
917 comparisons are shown at the bottom. The color and size of circles correspond to  
918 statistical significance, as shown by FDR, and normalized enrichment values,  
919 respectively. Positive and negatively enriched pathways are shown in the left and right  
920 plot, respectively.

921 **Figure 6. Nurr1 overexpression accelerates homeostasis of activated**  
922 **microglial cells by shutting down pathways involved in the maintenance of cellular**  
923 **activation and inflammation. A**, Identification of genes selectively altered as a result of  
924 Nurr1 overexpression (Nurr1 OE), compared to the control empty vector (Ctl VT) cells, by  
925 trajectory analysis. Genes that are unaltered (n), downregulated (d) or upregulated (u)  
926 were identified during the activation and the chase steps and were clustered into families  
927 with similar profiles. The total number of genes in each category is indicated for both the  
928 control and Nurr1-overexpressing cells. Note that the major differences in the gene  
929 expression profiles are seen in genes that are either upregulated or downregulated during  
930 the chase (highlighted by asterisks). To enable the visualization of the trajectories with  
931 low, medium and high membership, the X axis for each group is shown separately. **B**,  
932 Pathway analysis using the Hallmark gene lists of the MSigDB database was performed  
933 on non-TNF- $\alpha$ -responsive genes that are exclusively altered in expression during the  
934 chase step in Nurr1 overexpressing cells, corresponding to genes which follow nnu and  
935 nnd trajectories in Nurr1 cells and an nnn trajectory in control cells (see **S3 Fig**). The

936 identity of each pathway is shown to the left, and the direction of enrichment (+ or -) is  
937 shown at the bottom. The color and size of circles corresponded to statistical significance,  
938 as shown by FDR, and normalized enrichment values, respectively.

939 **Figure 7. Nurr1 promotes recruitment of the CoREST repressor complex to**  
940 **HIV promoter. A,** Schematic illustration of Nurr1-mediated epigenetic silencing of active  
941 HIV in microglial cells by recruiting the CoREST/HDAC1/G9a/EZH2 repression complex  
942 to HIV promoter. **B,** ChIP-seq signals (numbers of sequence reads on Y axis) along the  
943 reporter HIV-1 pro-viral genome (Figure 1A) on the X axis, resulting from ChIP-seq  
944 analysis with antibodies to EZH2, G9a, HDAC1, CoREST, and control IgG, respectively,  
945 and sheared chromatins prepared from HC69 cells that were un-treated, induced with  
946 TNF- $\alpha$  (400 pg/ml) for 4 hr and 24 hr respectively, or used in a chase experiment by  
947 continuously culturing HC69 cells in the absence of TNF- $\alpha$  for 24 hr after stimulating the  
948 cells with TNF- $\alpha$  (400 pg/ml) for 24 hr and washing with PBS. Construction of ChIP-seq  
949 DNA libraries with the ChIP products, enrichment for HIV-1 specific sequences, and data  
950 analysis following Ion Torrent sequencing were described in Materials & Methods.  
951 Positions of ChIP sequence reads along the viral genome were marked. **C & D,** levels of  
952 CoREST (C) and G9a (D) in HIV 5'LTR (+30 to +134) in HC69-control shRNA (Control)  
953 and HC69-Nurr1 shRNA (Nurr1 KD) cell lines that were treated as described in B. The  
954 levels of CoREST and G9a in HIV 5'LTR were measured by qPCR and calculated as  
955 percentages of the amounts of ChIP products over input DNA from triplicate qPCR.

956 **Figure 8. The CoREST repressor complex plays a pivotal role in silencing**  
957 **active HIV in microglial cells. A,** Inhibition of HDAC1, G9a, and EZH2 blocked silencing  
958 of activated HIV in HC69 cells. HC69 cells were stimulated with high dose (400 pg/ml)

959 TNF- $\alpha$  for 24 hr. After washing with PBS, the cells were cultured in the presence of DMSO  
960 (placebo, Control), HDAC inhibitor SAHA (2  $\mu$ M), G9a inhibitor UNC0638 (2.5  $\mu$ M), and  
961 EZH2 inhibitor GSK343 (2.5  $\mu$ M), respectively, for 48 hr. The levels of GFP expression  
962 for each treatment were measured by flow cytometry and calculated from three  
963 independent experiments, with  $p$  values between the control and treatment with each  
964 inhibitor indicated. **B**, Verification of CoREST KD by Western blot detection of CoREST  
965 protein expression in HC69 cell lines stably expressing control shRNA or CoREST-  
966 specific shRNA. **C**, Verification of EZH2 and G9a KO by Western blot detection of G9a  
967 and EZH2 protein expression in HC69 cells stably expressing CRISPR/Cas9 and G9a or  
968 EZH2 specific gRNA, which were compared to the control HC69 cells stably expressing  
969 CRISPR/Cas9 without gRNA.  $\beta$ -tubulin was used as a loading control for all Western blot  
970 analysis. **D**, CoREST KD prevents HIV silencing. The HC69-control shRNA and HC69-  
971 CoREST-shRNA cells were untreated, induced with high dose (400 pg/ml) TNF- $\alpha$  for 24  
972 hr, or used in a chase experiment by continuous culturing the cells for 48 hr after TNF- $\alpha$   
973 stimulation for 24 hr and washes with PBS. GFP expression levels of all cells were  
974 measured by flow cytometry and the mean values were calculated from three  
975 independent experiments. Significant differences were observed between the HC69-  
976 control shRNA and HC69-CoREST shRNA cell lines. **E**, G9a and EZH2 KO prevents HIV  
977 silencing. Evaluation of the HC69 cell lines expressing G9a or EZH2 specific gRNA or  
978 empty vector by flow cytometry following the same protocol as in panel D. There was a  
979 significant difference between HC69-vector and HC69 EZH2 or G9a KO cell lines at 48  
980 hr after TNF- $\alpha$  withdrawal, with  $p$  < 0.01.

981 **Figure 9. Nurr1 Mediates HIV silencing in iPSC-derived microglial cells (iMG).**

982 **A**, Representative phase contrast, GFP, and overlapped images of iMG that were un-  
983 infected or infected with the reporter HIV-1 shown in Fig 1A, at 48 hr post-infection (hpi).  
984 HIV-infected iMG were treated with different doses of Nurr1 agonist 6-MP or AQ for four  
985 days, followed by flow cytometry analysis of GFP expression. **B**, The average levels of  
986 GFP expression in iMG treated with various doses of 6-MP. **C**, The average levels of GFP  
987 expression in iMG treated with various doses of AQ were calculated from three replicates.  
988 **D**, The levels of HIV RNA (un-spliced) in the cells described in panels A and B, were  
989 measured by RT-qPCR. **E**, The mRNA level of Nurr1 target gene MMP2 in the same cells  
990 was measured by qRT-PCR. The average levels of HIV transcript and MMP2 mRNA in  
991 each sample were calculated from triplicates of qRT-qPCR. Differences in HIV and MMP2  
992 mRNA levels between un-treated cells and cells treated with different doses of 6-MP or  
993 AQ were statistically significant (\*\* *p*-values <0.001). HIV transcripts were only detected  
994 in infected iMG cells (panel D). MMP2 mRNA was significantly elevated in HIV infected  
995 iMG (panel E).

996

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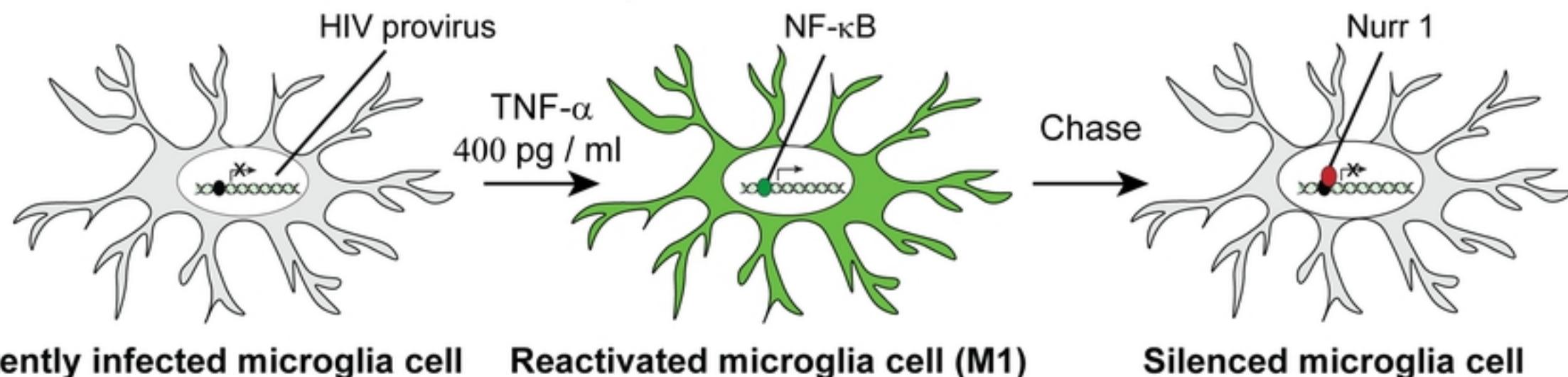
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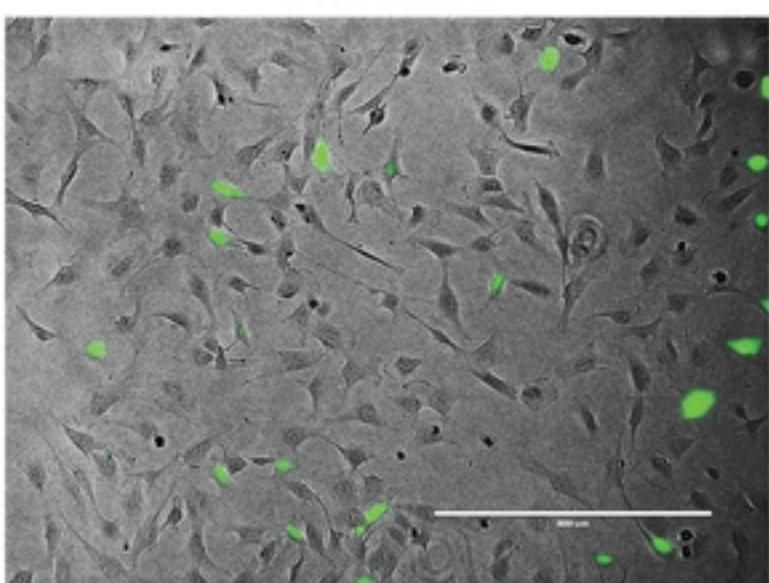
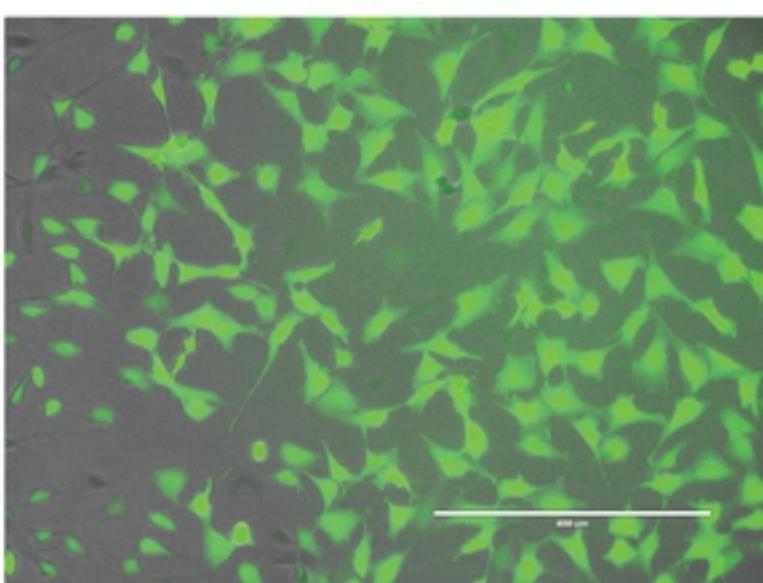
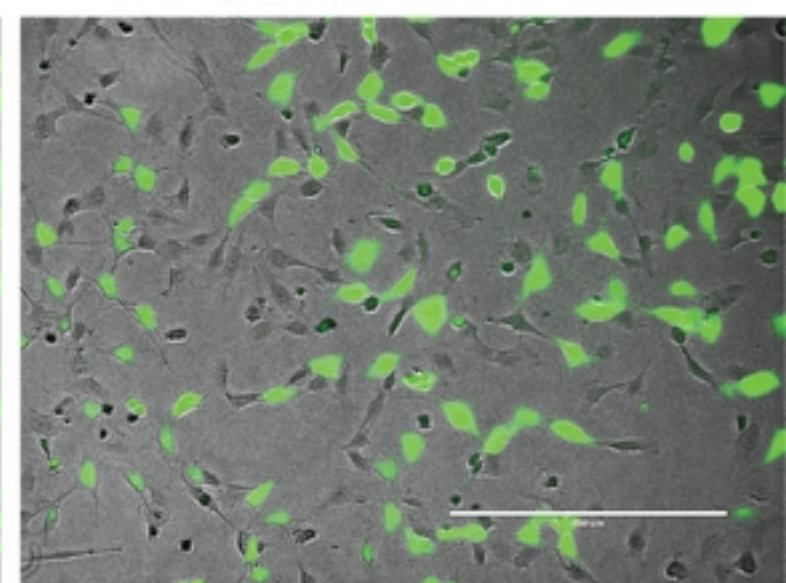
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**A****Proviral HIV Structure****B****Experimental work flow****C****Reactivation and subsequent silencing of active HIV in h $\mu$ glia cells (HC69)**

Untreated

TNF- $\alpha$  24 hrTNF- $\alpha$  24 hr + 96 hr Chase**Figure 1**

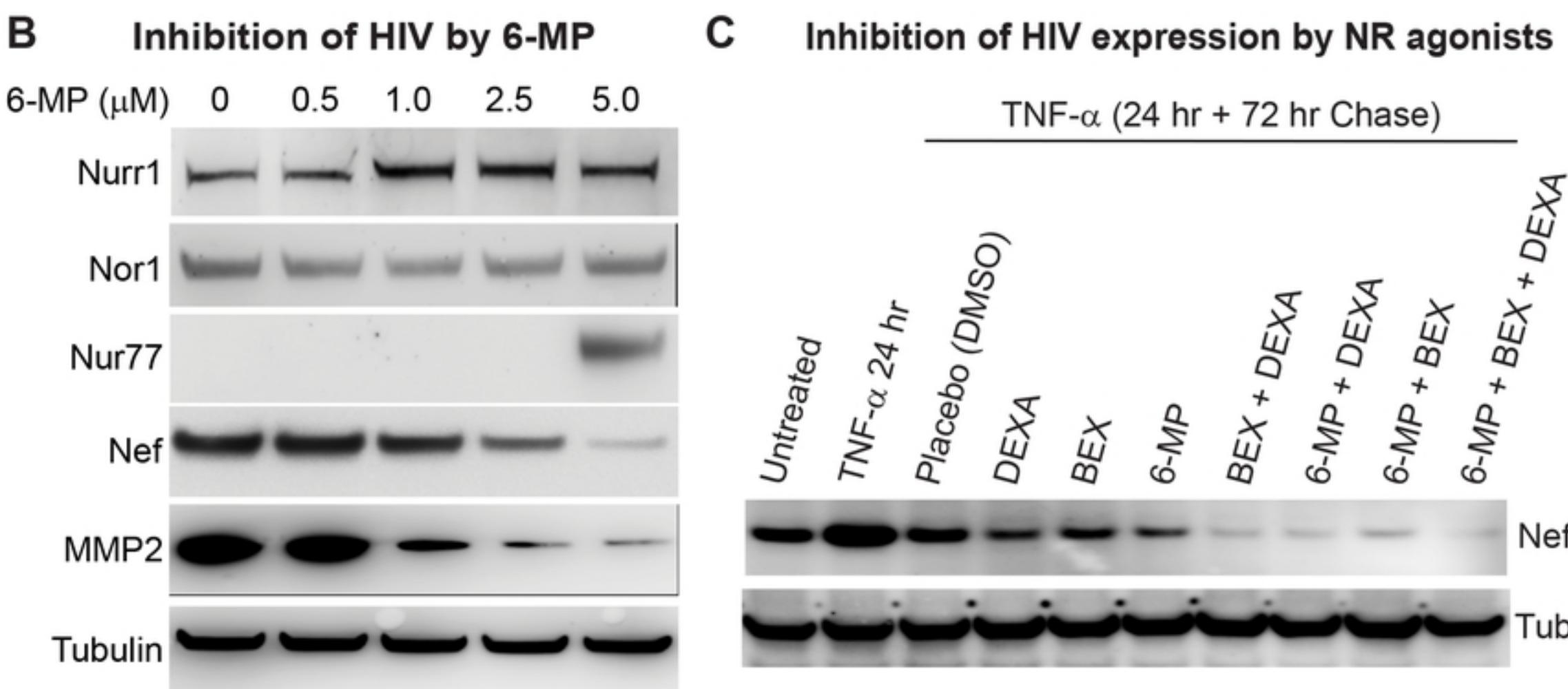
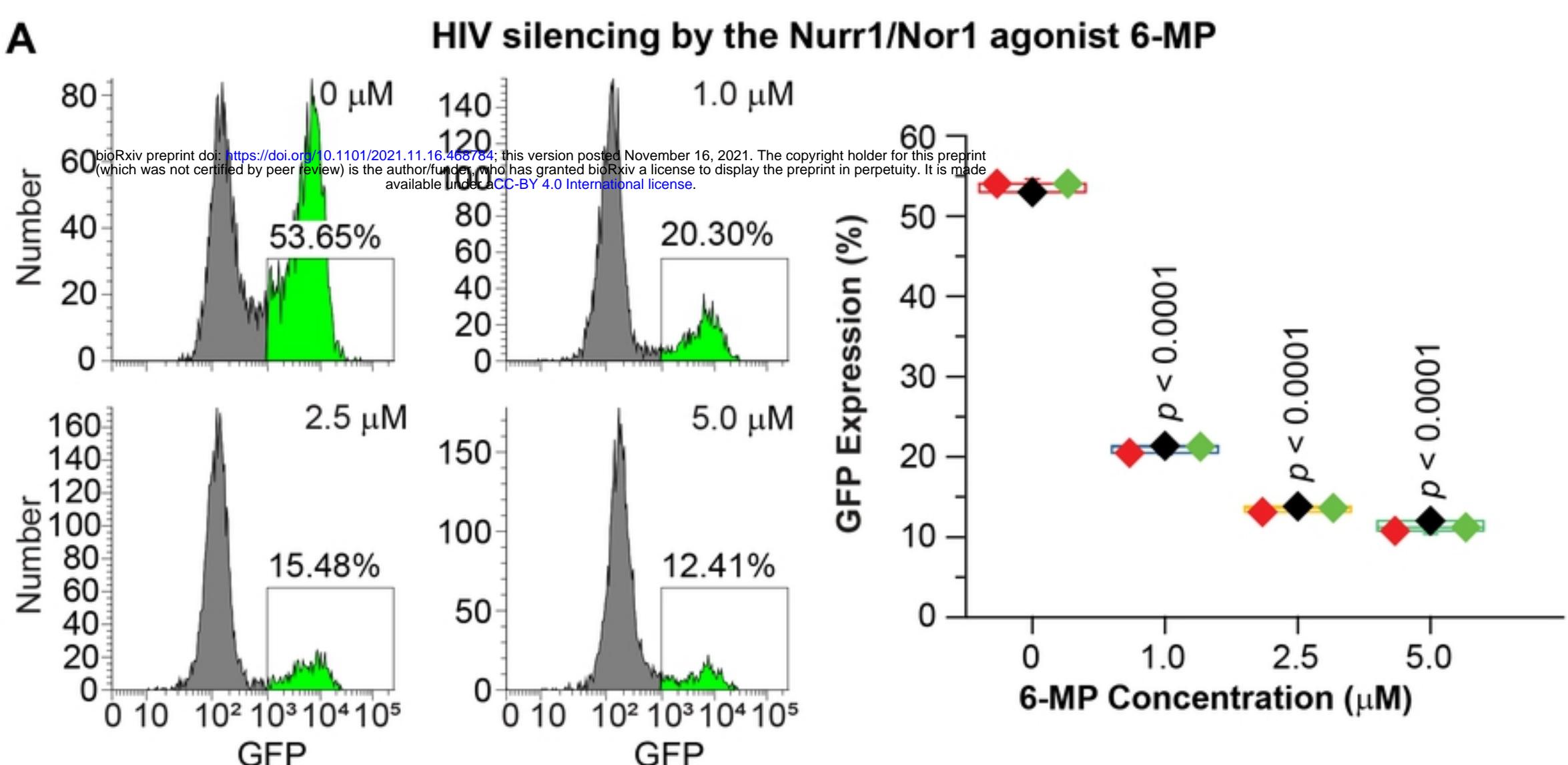


Figure 2

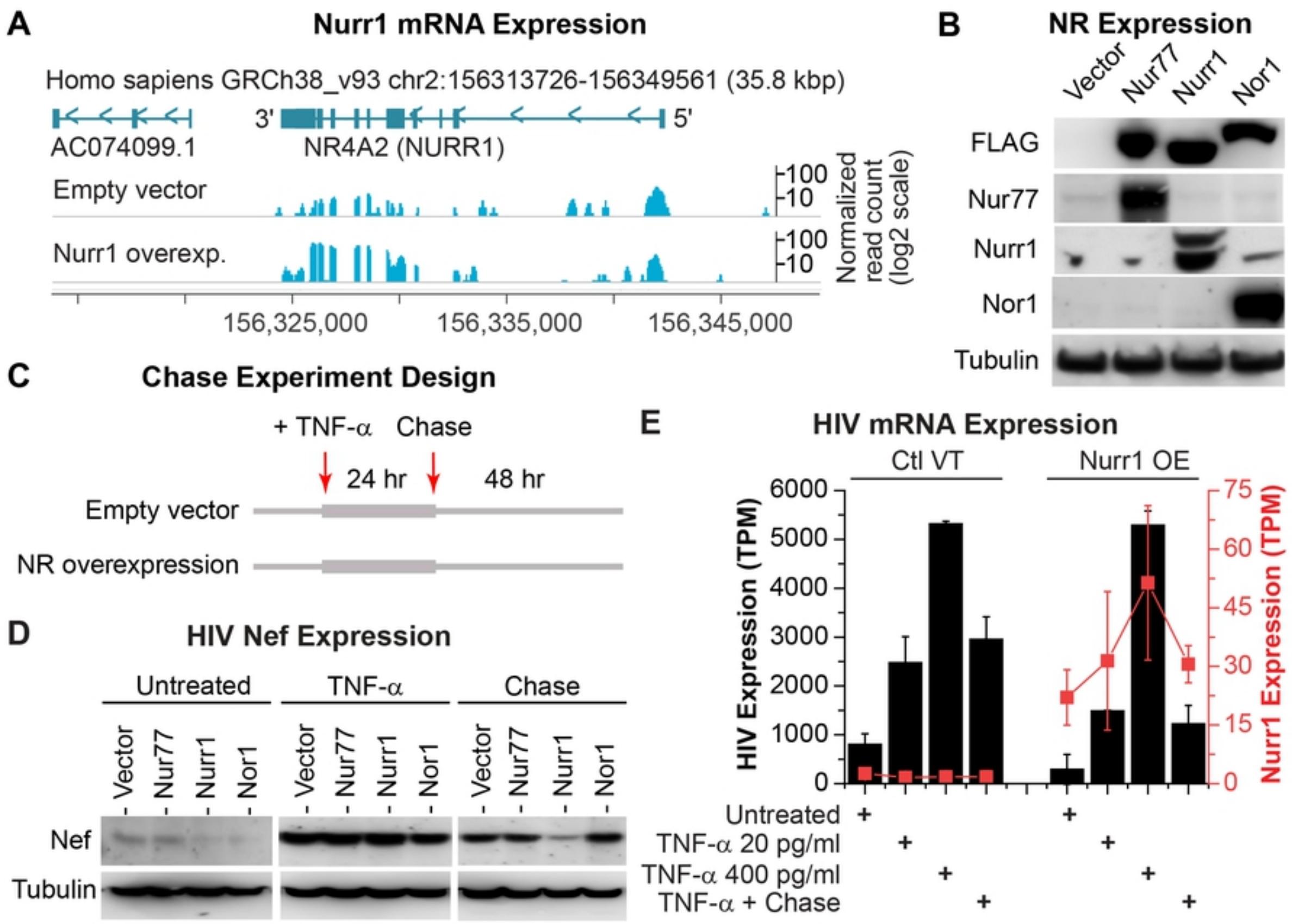


Figure 3

**A****Nurr1 mRNA Expression**

Homo sapiens GRCh38\_v93 chr2:156313726-156349561 (35.8 kbp)



Control shRNA

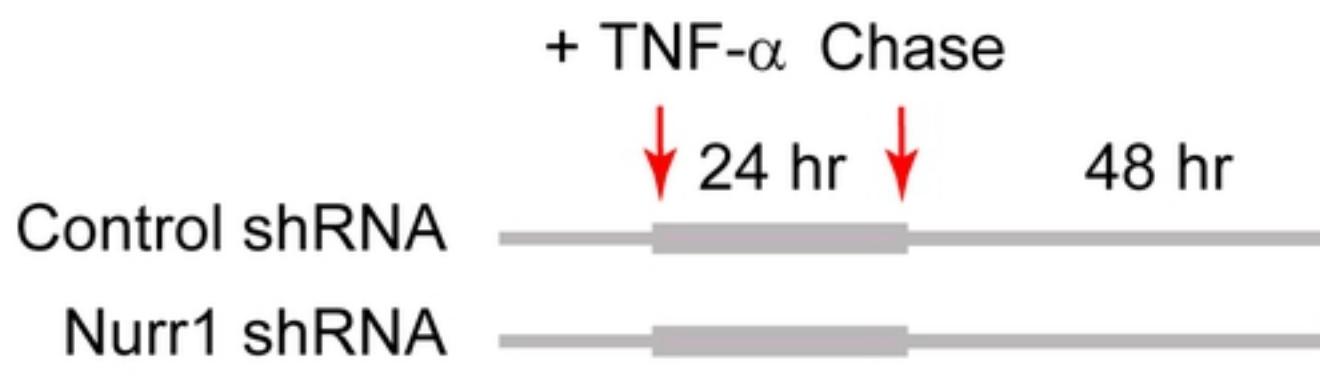
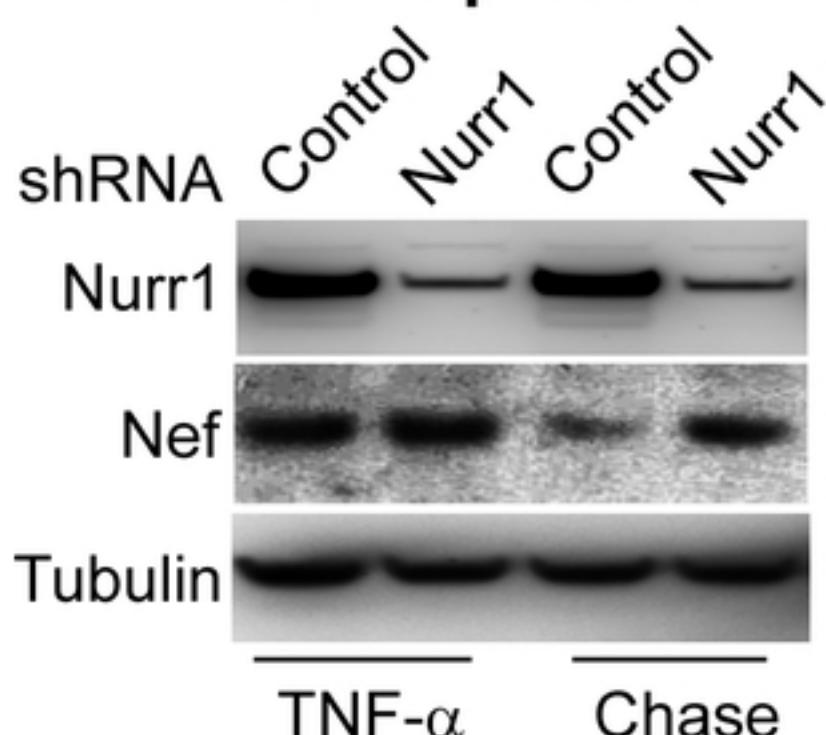
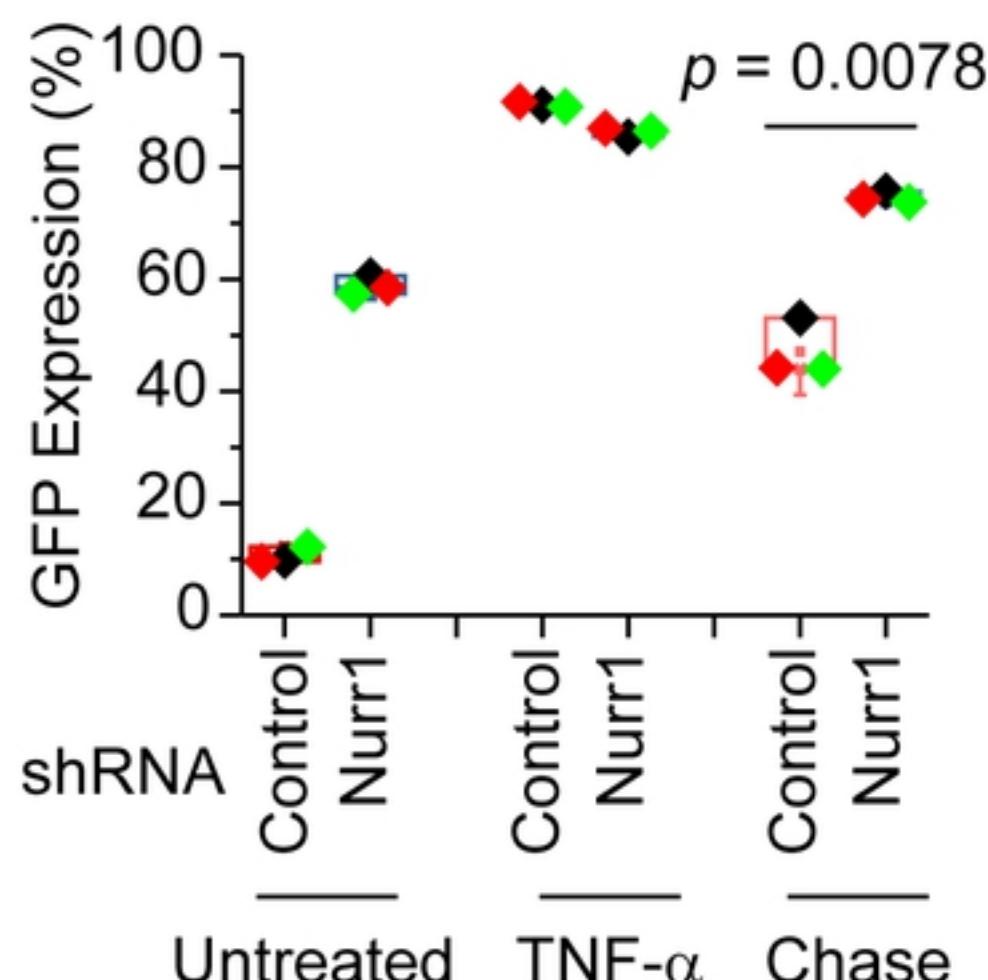
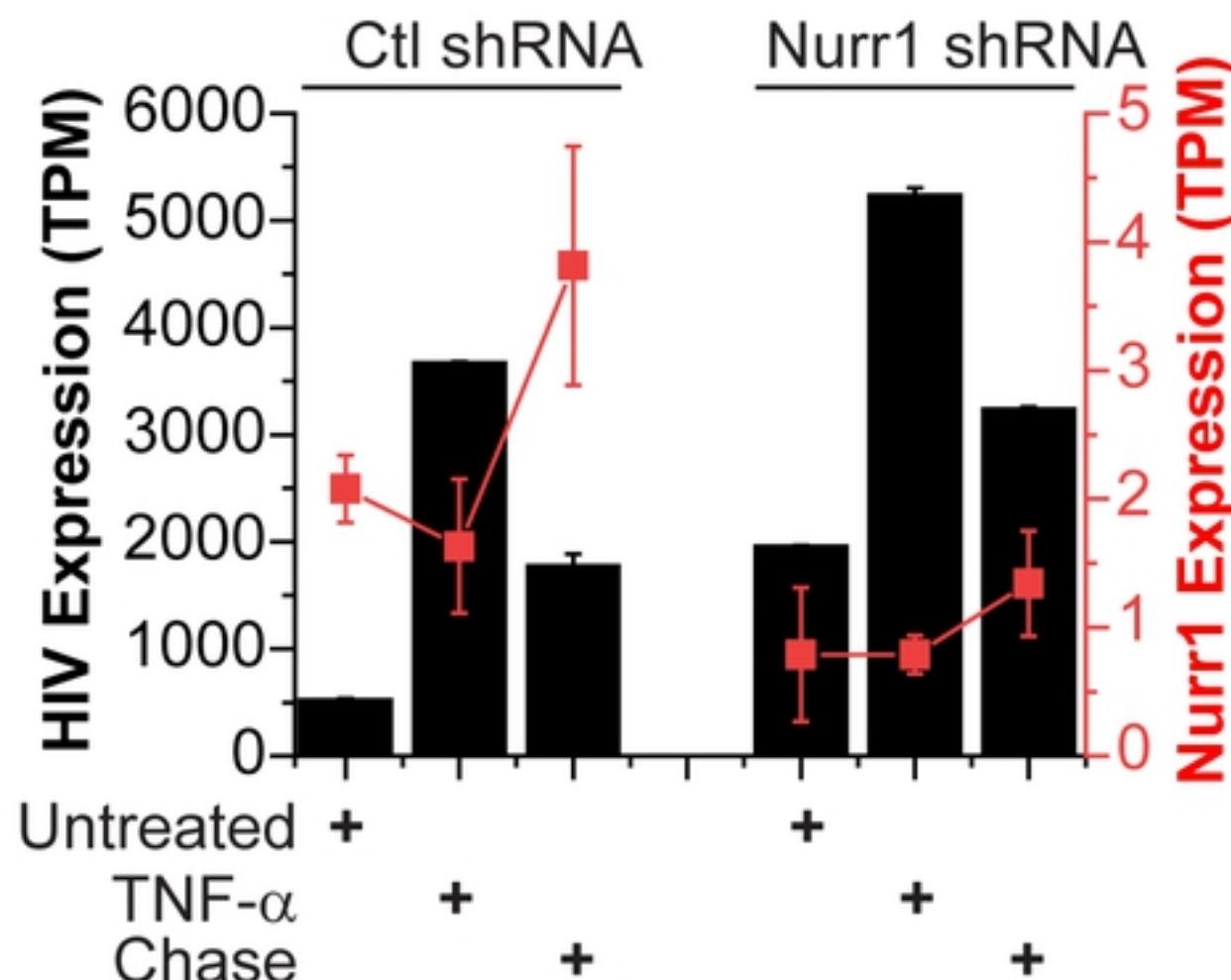
Nurr1 shRNA

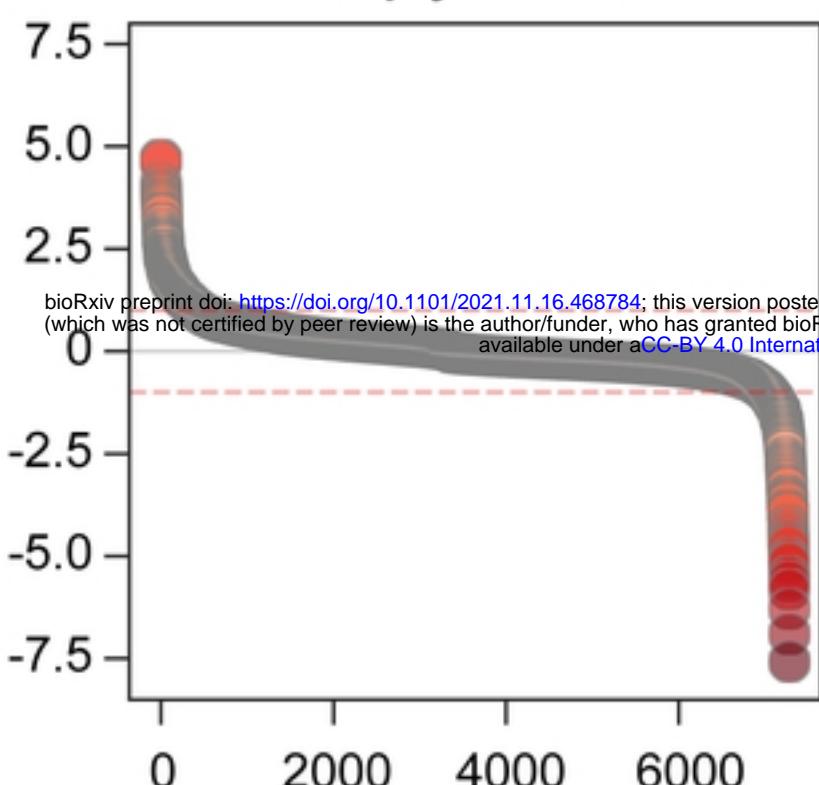
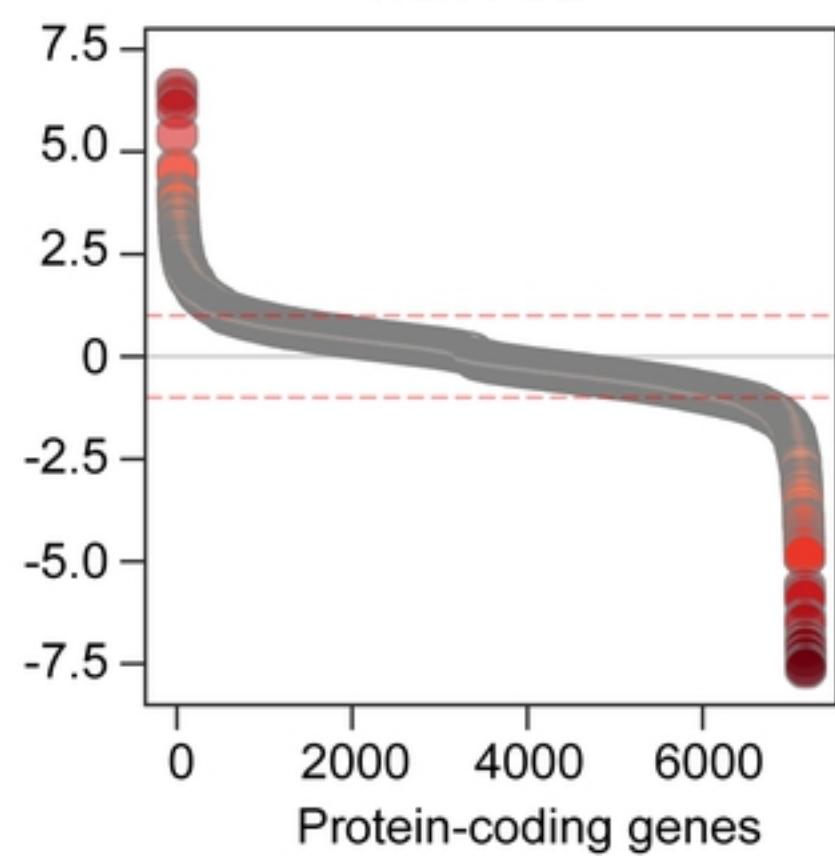
156,325,000      156,335,000      156,345,000

Normalized read count (linear scale)

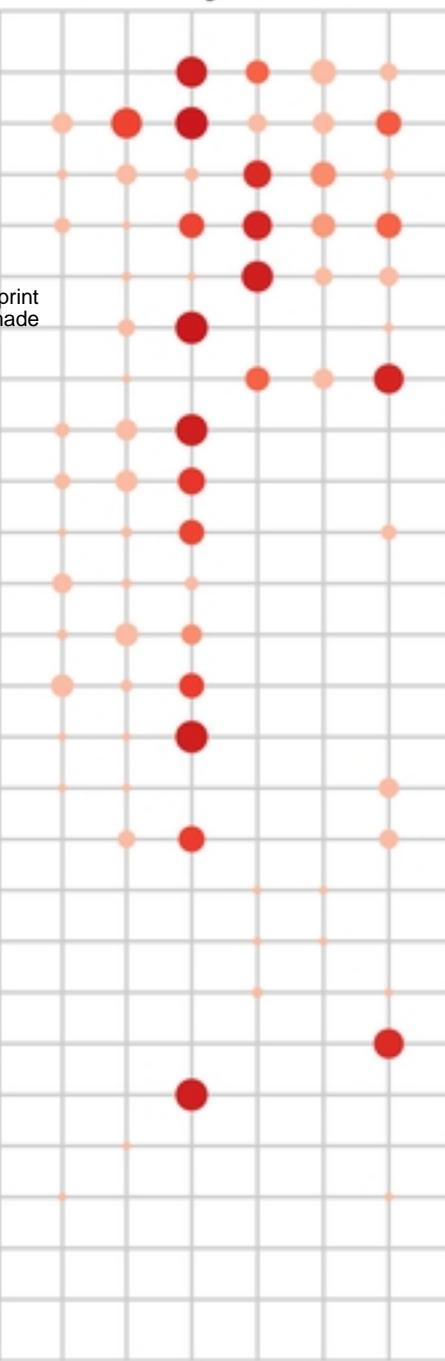
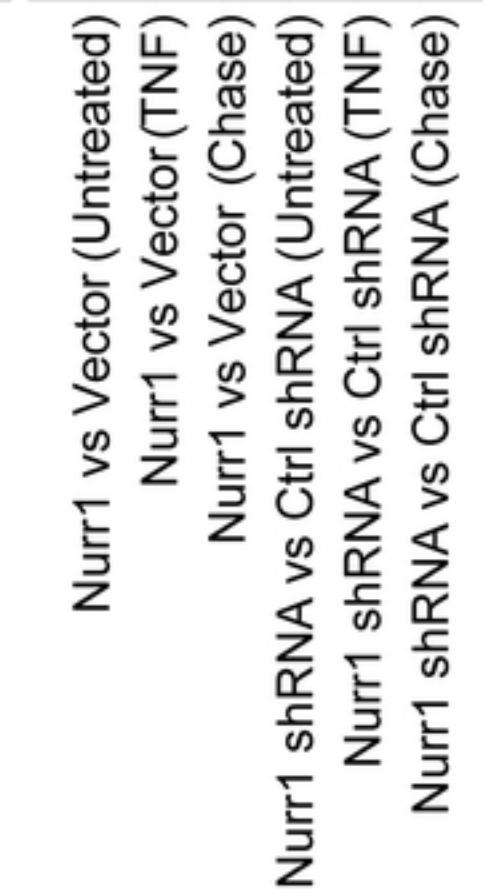
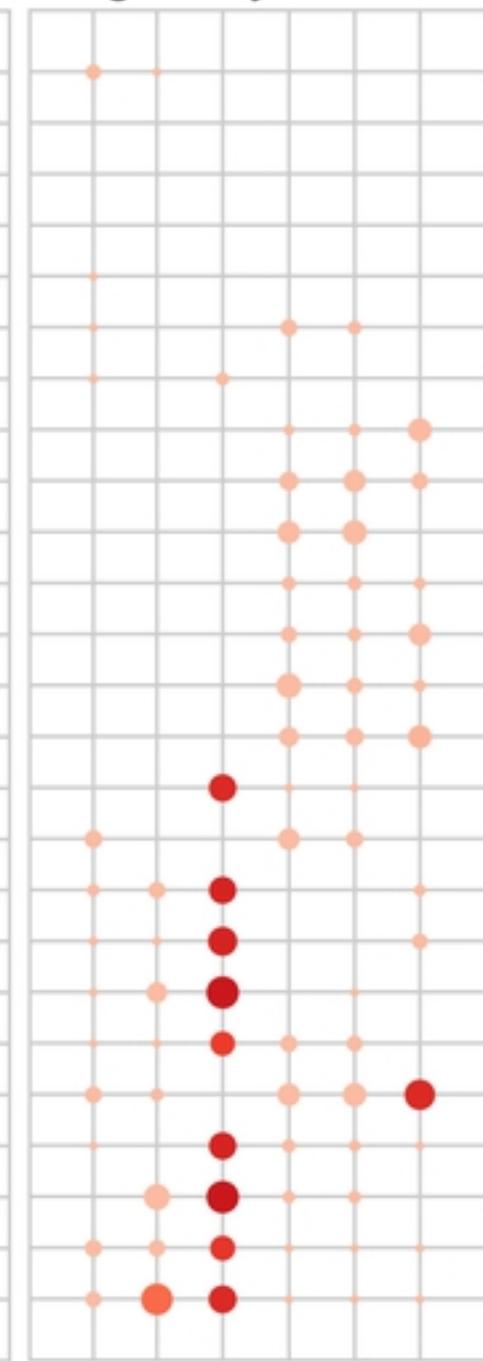
**B**

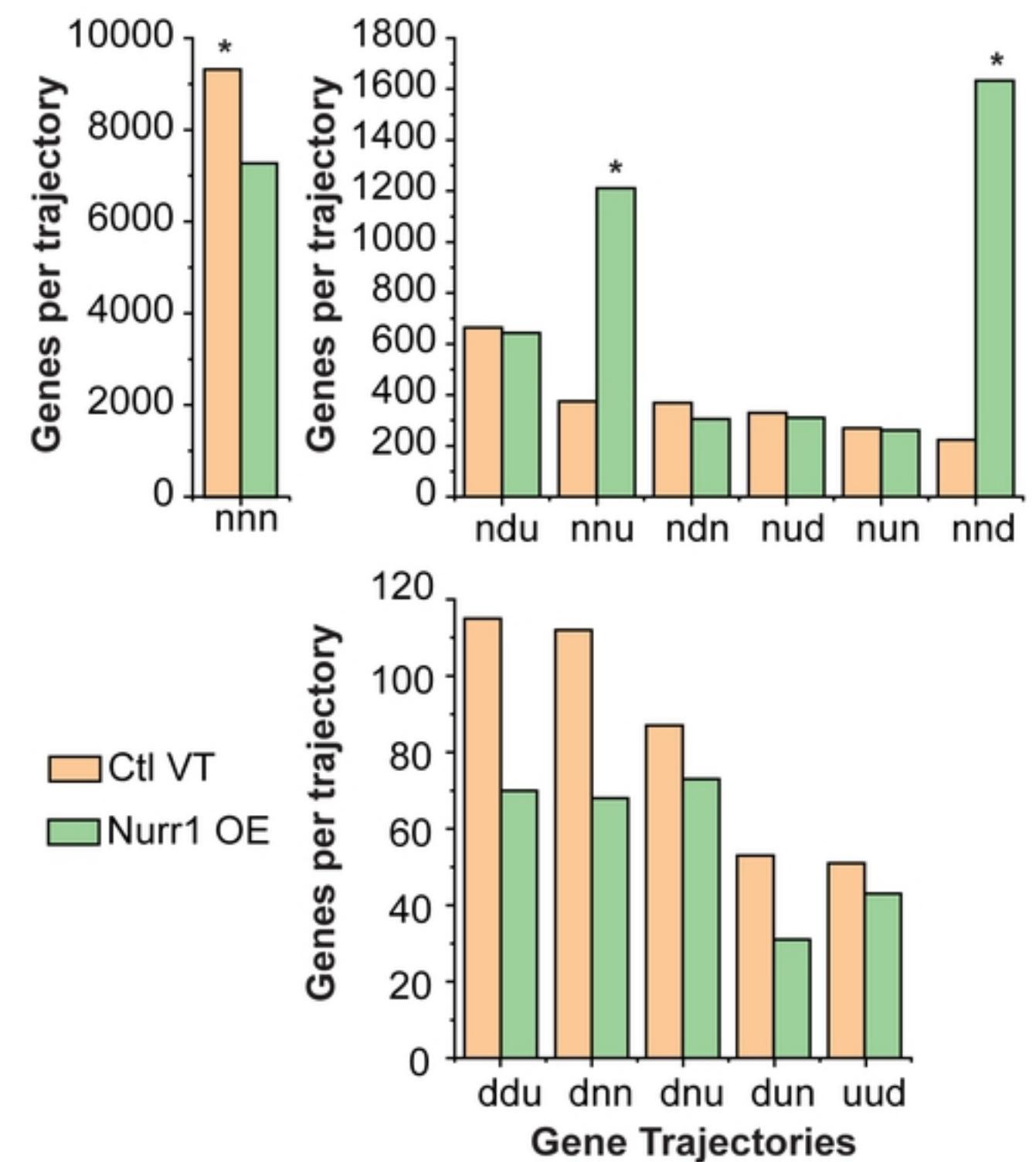
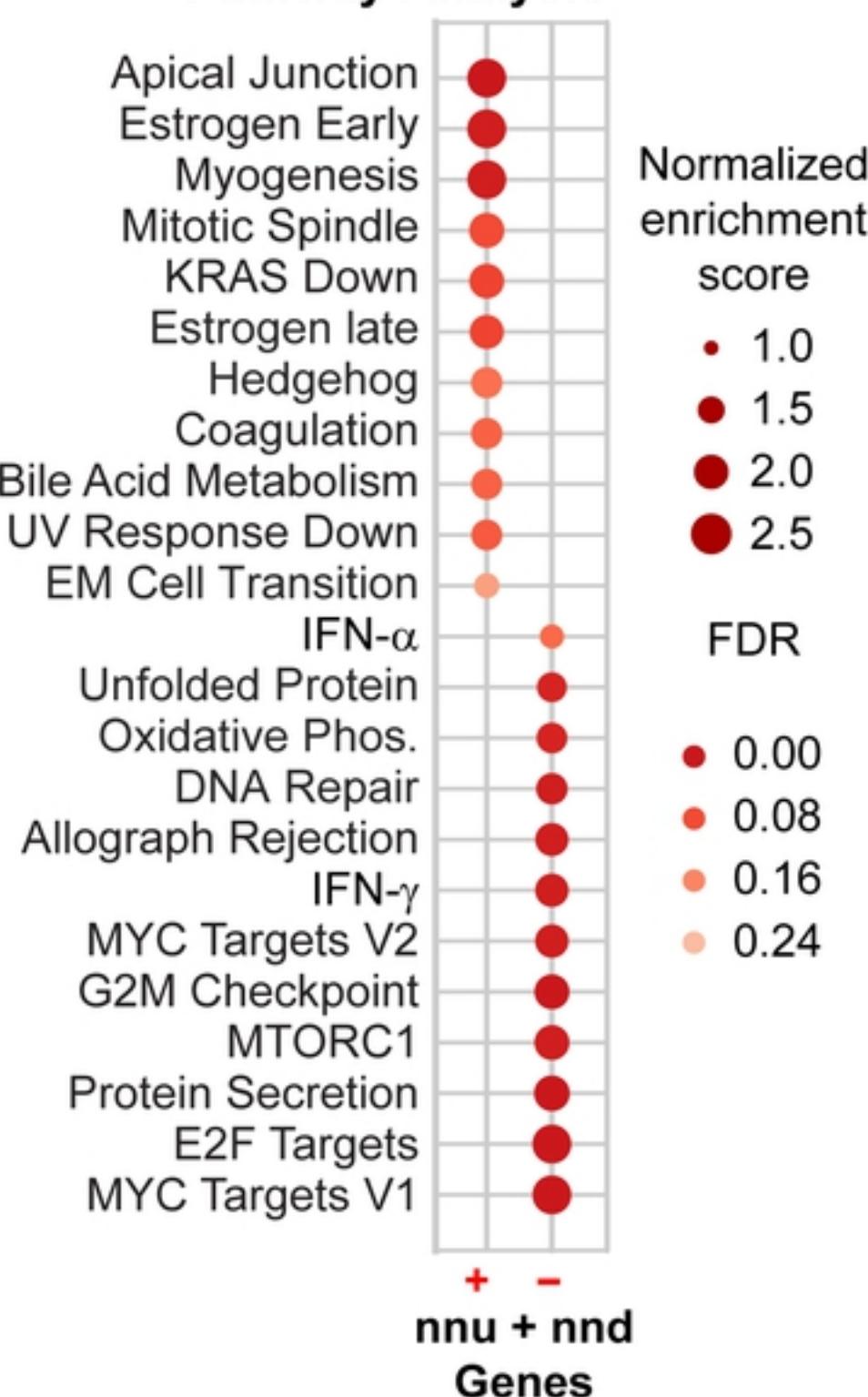
bioRxiv preprint doi: <https://doi.org/10.1101/2021.11.16.468784>; this version posted November 16, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY 4.0 International license.

**Chase Experiment Design****C****HIV Nef Expression****D****Flow Cytometry****E****HIV mRNA Expression****Figure 4**

**A****Differential Gene Expression****B****Pathway Analysis****Empty vector**Differential Expression ( $\log_2$ )**Nurr1 OE****Figure 5**

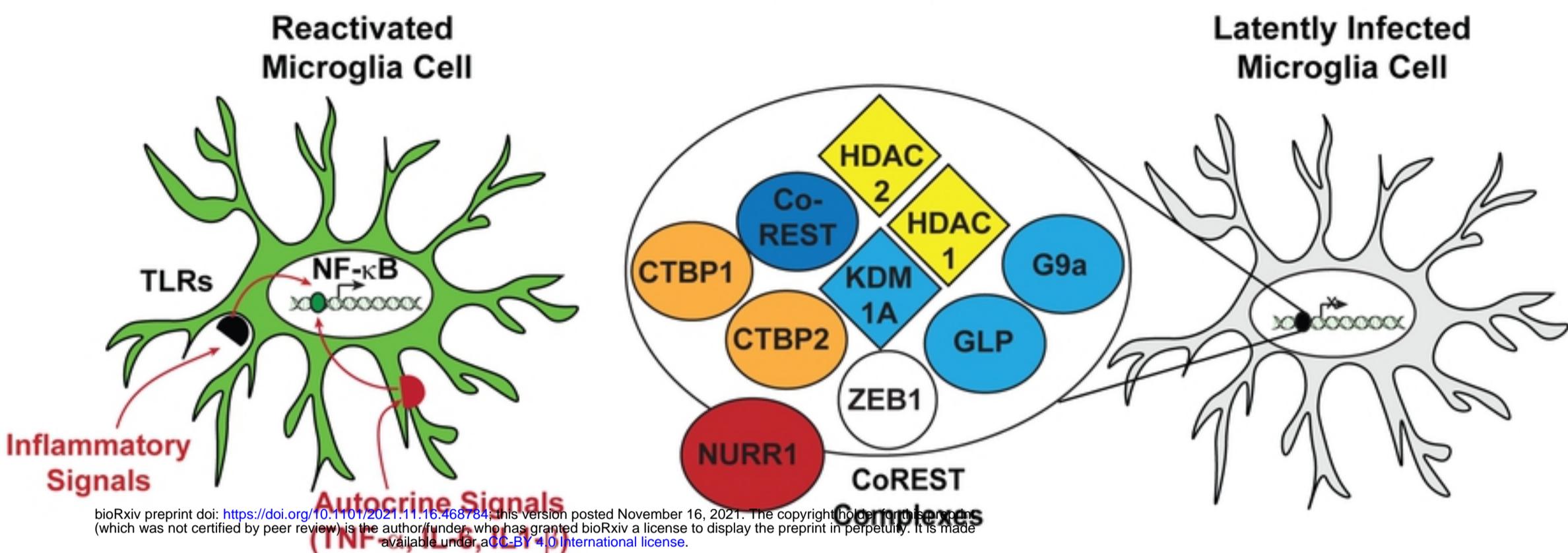
Hedgehog  
KRAS down  
IL2 STAT5  
Apical Surface  
Inflammatory  
Myogenesis  
IL6 JAK STAT3  
Estrogen early  
Estrogen Late  
Angiogenesis  
P53  
TNF $\alpha$  via NF $\kappa$ B  
Notch  
Apical Junction  
DNA Repair  
KRAS Up  
G2M Checkpoint  
Protein Secretion  
E2F Targets  
Oxidative Phos.  
EM Cell Transition  
Spermatogenesis  
MYC Targets V1  
MTORC1  
Unfolded Protein

**Positivity Enriched****Negatively Enriched**

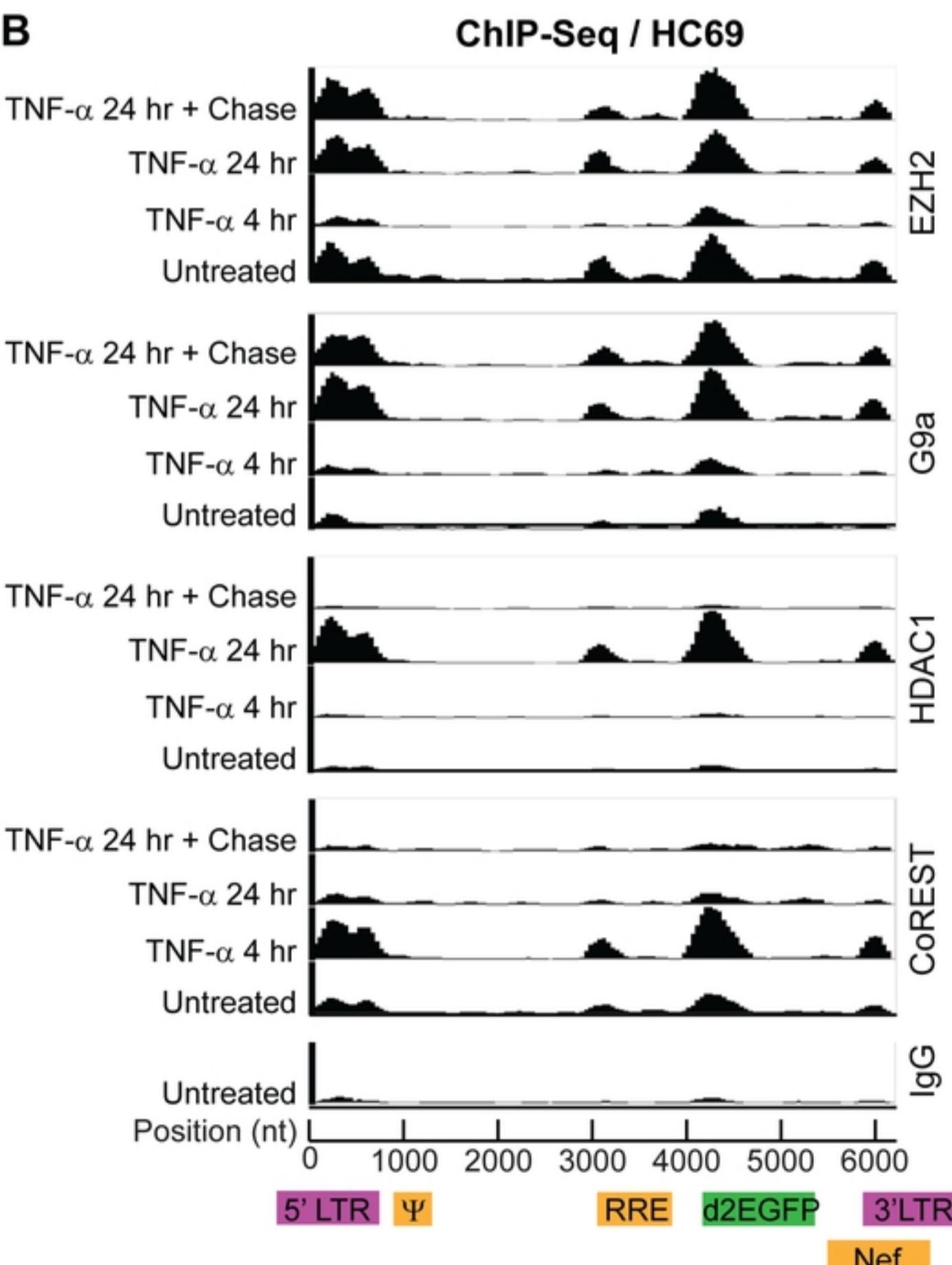
**A****Gene Trajectory Analysis****B****Pathway Analysis****Figure 6**

# Nurr1 silences active HIV by recruiting the CoREST Complex

A



B



C

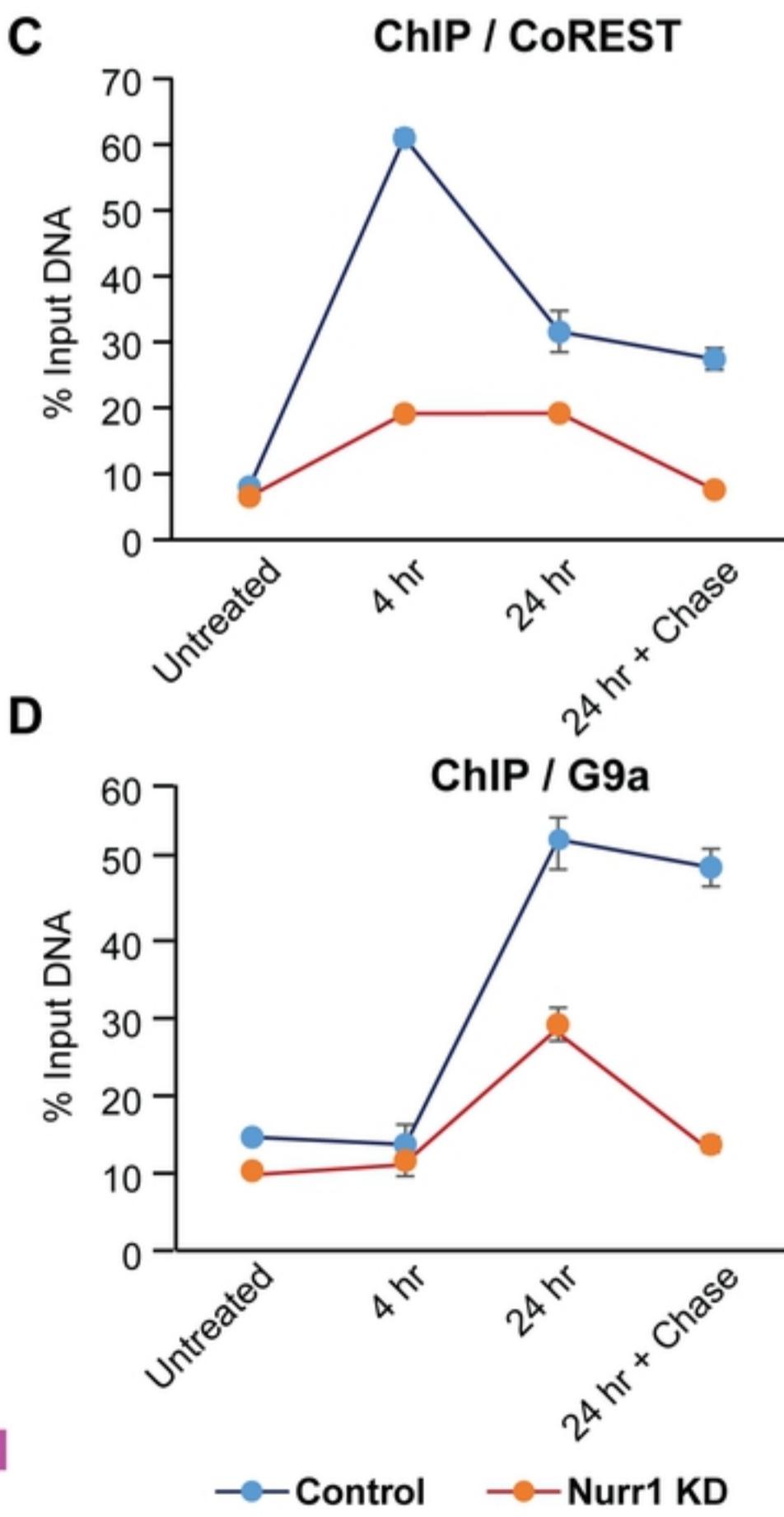


Figure 7

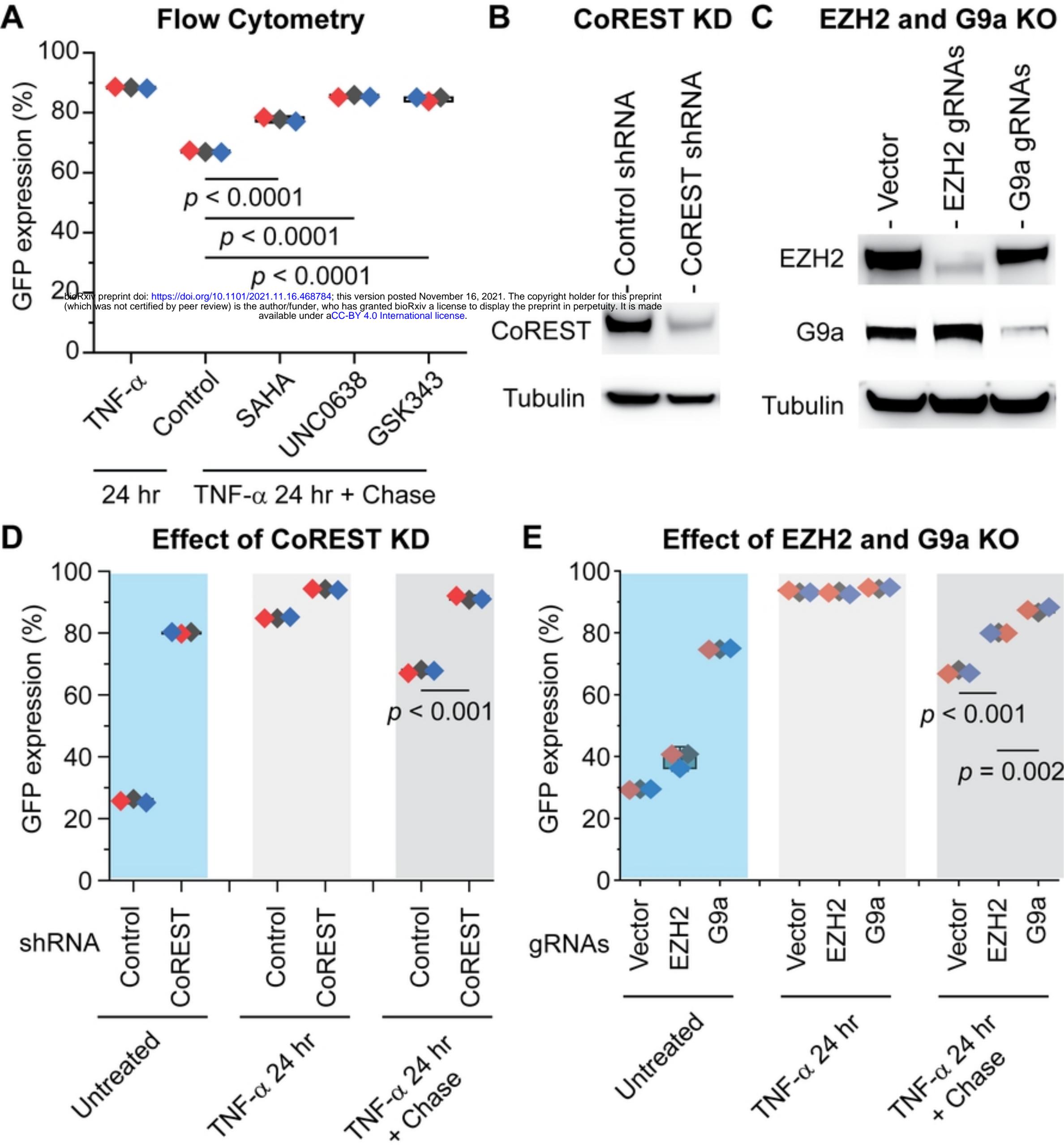
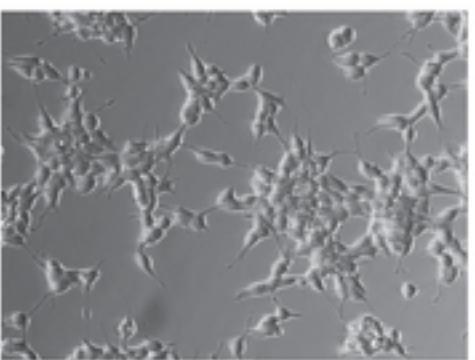
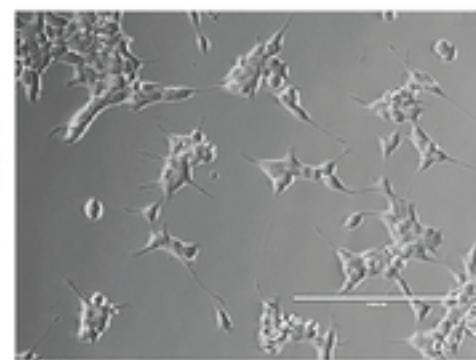


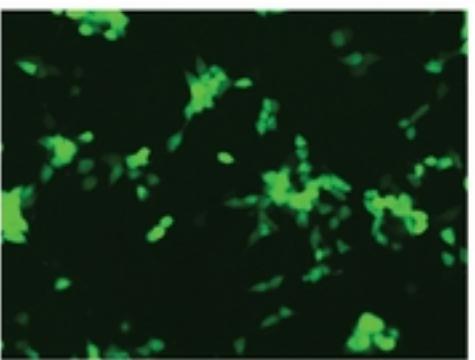
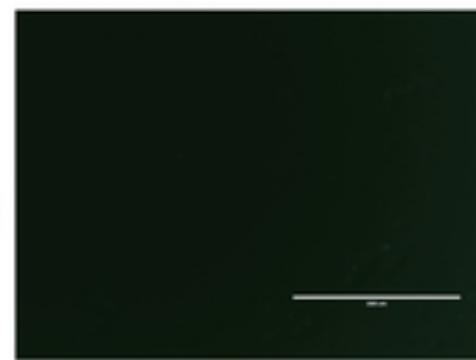
Figure 8

**A****HIV Infection of iMG**

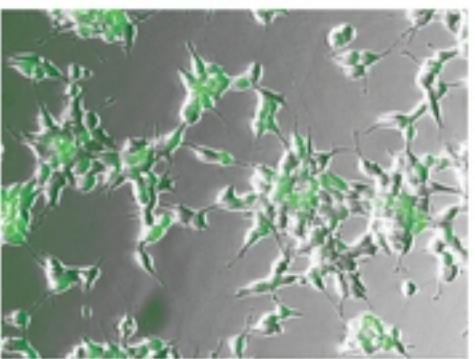
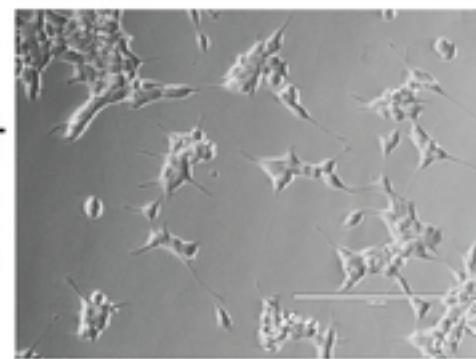
Phase



GFP

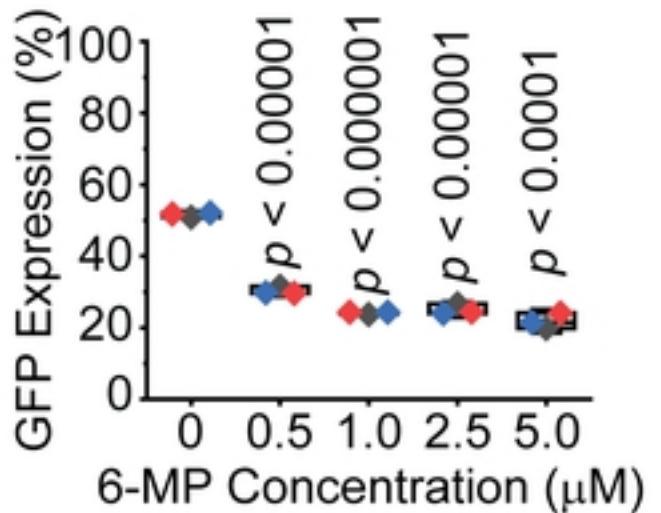
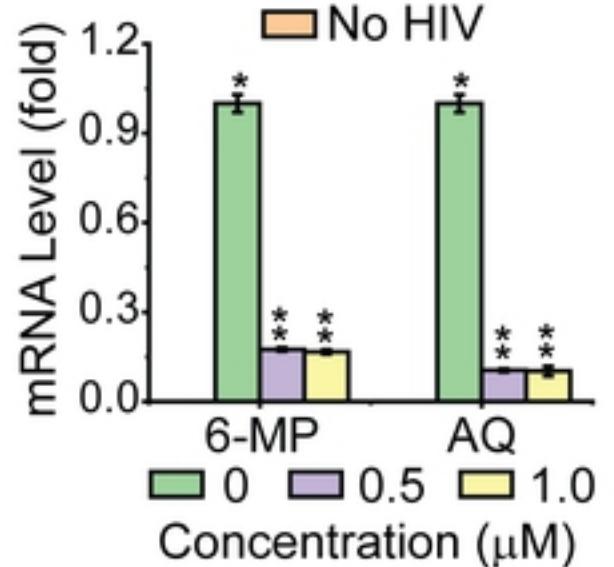
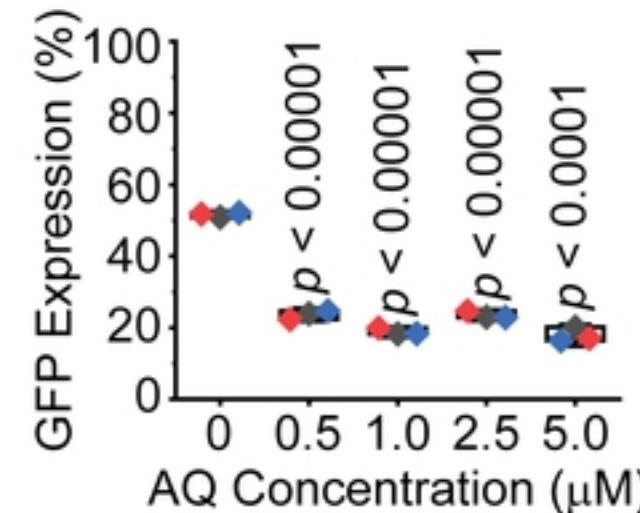
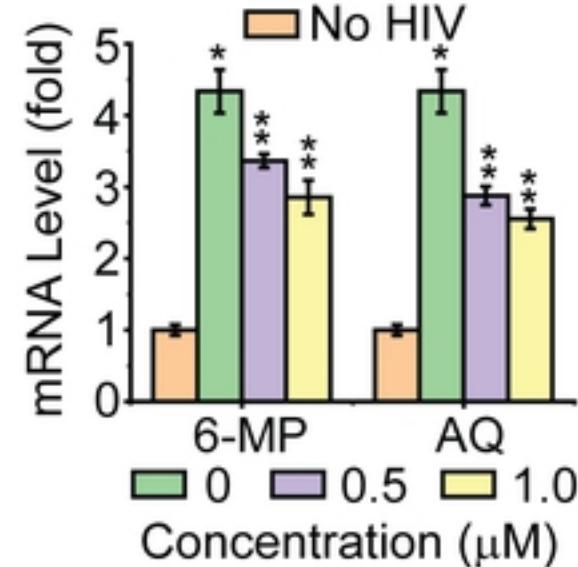


Overlap



Uninfected

HIV-GFP

**B****Inhibition by 6-MP****D****HIV RT-PCR****C****Inhibition by AQ****E****MMP2 RT-PCR****Figure 9**