

1 Genetic map of regional sulcal morphology in the 2 human brain

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25 Abstract

26 The human brain is a complex organ underlying many cognitive and physiological processes,
27 affected by a wide range of diseases. Genetic associations with macroscopic brain structure are
28 emerging, providing insights into genetic sources of brain variability and risk for functional
29 impairments and disease. However, specific associations with measures of local brain folding,
30 associated with both brain development and decline, remain under-explored. Here we carried
31 out detailed large-scale genome-wide associations of regional brain cortical sulcal measures
32 derived from magnetic resonance imaging data of 40,169 individuals in the UK Biobank.
33 Combining both genotyping and whole-exome sequencing data (~12 million variants), we
34 discovered 388 regional brain folding associations across 77 genetic loci at $p < 5 \times 10^{-8}$, which
35 replicated at $p < 0.05$. We found genes in associated loci to be independently enriched for
36 expression in the cerebral cortex, neuronal development processes and differential regulation
37 in early brain development. We integrated coding associations and brain eQTLs to refine genes
38 for various loci and demonstrated shared signal in the pleiotropic *KCNK2* locus with a cortex-
39 specific *KCNK2* eQTL. Genetic correlations with neuropsychiatric conditions highlighted
40 emerging patterns across distinct sulcal parameters and related phenotypes. We provide an
41 interactive 3D visualisation of our summary associations, making complex association patterns
42 easier to interpret, and emphasising the added resolution of regional brain analyses compared
43 to global brain measures. Our results offer new insights into the genetic architecture
44 underpinning brain folding and provide a resource to the wider scientific community for studies
45 of pathways driving brain folding and their role in health and disease.

46

47 Main

48 Human brain structure and function are complex drivers of basic and higher cognitive
49 processes, which vary between individuals and in numerous neurological, psychiatric and
50 cognitive disorders. Structural magnetic resonance imaging (MRI) scans provide a reliable,
51 non-invasive measure of brain structure and are widely used in research and clinical settings.
52 Genetic variants influencing brain structure and function are important to identify, as they can
53 help uncover pathophysiological pathways involved in heritable brain diseases and prioritize
54 novel targets for drug development. Several large-scale genome-wide association studies
55 (GWAS) have identified hundreds of genetic influences on variations in brain structure and
56 function¹⁻³ revealing novel insights into processes guiding brain development, and highlighting
57 potential shared genetic aetiologies with neurodegenerative and psychiatric conditions^{4,5}.

58

59 To date, most neuroimaging GWAS have focused on broad, macroscale anatomical features
60 such as subcortical volume, cortical thickness and white matter microstructure⁶. Anomalies of
61 cortical gyration - the folding of the cerebral cortex into its characteristic concave sulci
62 (fissures) and convex gyri (ridges) - contribute to many neurodevelopmental and
63 neuropsychiatric conditions^{7,8}, but the genetic underpinnings of gyration remain relatively
64 understudied⁹. Sulcal characteristics and folding patterns are altered across a range of
65 neurodevelopmental disorders, from cortical dysplasias¹⁰ to neurogenetic syndromes¹¹, and
66 radiologists often use sulcal widening as an early indicator of atrophy in degenerative
67 diseases¹², as it offers a clear and sensitive biomarker of disease progression^{13,14}. Recent
68 neuroimaging genetics investigations have broadened in scale and scope, examining specific
69 sulcal measures across the full brain^{15,16}, but without evaluating the reliability of the measures
70 at scale across MRI scanning protocols. Using four independent datasets, we recently outlined

71 a range of heritable sulcal measures that can be reliably quantified at high resolution across the
72 whole brain, irrespective of MRI platform or acquisition parameters¹⁷.

73

74 Here we conducted a comprehensive genome-wide analysis of regional sulcal shape
75 parameters, extracted from the multi-centre brain MRI scans of 40,169 participants in the UK
76 Biobank. To discover rare and common genetic variants influencing cortical gyration, we
77 conducted GWAS and exome-wide analysis of a total of 450 sulcal parameters¹⁷. Sulcal shape
78 descriptors, comprising length, mean depth, width, and surface area, were extracted from a
79 discovery cohort of 26,530 individuals of European ancestry and a replication cohort of 13,639
80 individuals. After mapping the genetic architecture of regional sulcal measures across the
81 cortex, we highlight putative biological and developmental pathway involvement as well as
82 links to neuropsychiatric conditions. Finally, we provide a portal to interactively visualise our
83 results in 3D (<https://enigma-brain.org/sulci-browser>), demonstrating varying complex
84 patterns of associations, to help inform future investigations of human cortical morphology.

85

86 Results

87 Regional brain sulcal measurements (4 shape parameters: length, width, mean depth and
88 surface area), regional delineations, and phenotype nomenclature are summarised in
89 **Supplementary Table 1 and Figure 1a**. We determined the overall clustering of the high-
90 dimensional phenotypes with *t*-SNE and found that width parameter phenotypes formed a
91 distinct cluster compared to the other three shape parameters (**Extended Data Figure 1**).
92 Notably, the *t*-SNE representation retains broad brain lobe topology for the width parameter
93 phenotypes in particular (**Figure 1b**).

94

95 Genetic architecture of regional brain sulcal folds

96 We conducted GWAS of 450 regional brain sulcal measurements separately for 11.9 million
97 combined imputed and whole-exome sequenced variants in UKB participants divided into a
98 discovery cohort (n=26,530) and a replication cohort (n=13,639) (**Methods, Extended Data**
99 **Figure 2**).

100

101 At a significance threshold of $p < 2 \times 10^{-10}$ which accounts for the effective number of
102 independent sulcal measures analysed (**Methods**) - we found, and replicated at $p < 0.05$, a total
103 of 186 specific sulci parameter associations (for at least one hemisphere) across 41 genetic loci
104 (388 associations across 77 loci at $p < 5 \times 10^{-8}$) (**Figure 1c and 1d, Extended Data Figure 3**,
105 **Supplementary Table 2**). We also performed GWAS on bilateral sulcal measurements
106 (averaging values from left and right brain hemispheres) and found a total of 162 replicated
107 associations across 47 loci at $p < 2 \times 10^{-10}$ (335 associations across 107 loci at $p < 5 \times 10^{-8}$), where
108 6 (across 3 loci) and 108 additional associations (across 42 loci) were also found at $p < 2 \times 10^{-10}$
109 and $p < 5 \times 10^{-8}$ respectively (**Supplementary Table 3**). Genomic inflation was well controlled
110 (median $\lambda_{gc} = 1.02$, range: 0.99-1.07). We found an inverse relationship between effect sizes

111 and minor allele frequency (MAF) (**Extended Data Figure 4**), in line with other disease and
112 intermediate trait results, and consistent with variants with strong effects are deleterious and
113 rarer.

114

115 We found a similar number of associations for left and right hemispheres. Approximately two-
116 thirds of associations were with sulcal width, followed by mean depth, surface area and length
117 measures, in line with their heritability estimates¹⁷ (**Figure 1c and 1d, Extended Data Figure**
118 **5**). Length measures accounted for the lowest proportion of associations (<5%, **Figure 1b**),
119 consistent with length having the lowest heritability (**Extended Data Figure 5**), especially
120 after adjusting for intra-cranial volume¹⁷. Comparing the absolute (all effects across
121 hemispheres were consistent in direction) Z-scores of lead associations across hemispheres
122 (left, right and bilateral, **Extended Data Figure 6**), we found no significant difference between
123 left and right hemisphere (paired t-test $p=0.25$), whilst bilateral associations tended to exhibit
124 stronger associations (mean abs(Z-score) 1.00 higher vs right, $p=4.1\times 10^{-96}$ and 0.92 higher vs
125 left, $p=1.8\times 10^{-82}$), consistent with their heritability estimates (**Extended Data Figure 5 and 6**).

126

127 Some genetic loci exhibited highly pleiotropic associations across multiple brain regions; for
128 example, 10 genetic loci were associated with 10 or more sulcal measures, showing different
129 association patterns across shape parameters. Notably, the chr1:215Mb (near *KCNK2*) and
130 chr12:106Mb (12q23.3, *NUAK1*) regions were associated with 23 and 22 width measures
131 respectively across multiple brain regions; the chr16:87Mb region (6q24.2, near *C16orf95*) was
132 associated with 16 width measures across multiple brain regions, 4 mean depth and 1 surface
133 area measures mostly in the frontal lobe; the chr17:47Mb region (17q21.31, containing *MAPT*
134 and *KANSL1*) was associated with 16 width, 9 surface area, 6 mean depth and 2 length
135 measures mostly in the temporal and calcarine-occipital regions; whilst chr6:126Mb region

136 (6q22.32, containing *CENPW*) was associated with 9 surface area, 4 length, 4 mean depth and
137 2 width measures - mostly in the frontal and calcarine-occipital regions (**Figure 1c**,
138 **Supplementary Table 2**).

139

140 We cross-referenced the lead variants and their proxies ($r^2 > 0.8$) for significant ($p < 5 \times 10^{-8}$)
141 associations in previous related brain imaging studies (**Supplementary Information**) in the
142 GWAS Catalog⁶ (LD proxy $r^2 > 0.8$, +/- 500Kb around the lead variant). We found 56 of the
143 119 loci (77 for left/right hemisphere and 42 bilateral measures, $p < 5 \times 10^{-8}$) to be associated
144 with any brain imaging phenotype (mostly consisting of brain volume, surface area and white
145 matter microstructure) including the 10 highly pleiotropic genetic loci, many of which (e.g.
146 *CENPW* containing locus (6q22.32), *MAPT-KANSL1* containing locus (17q21.31), *C16orf95*
147 locus (6q24.2), *NUAK1* locus (12q23.3), chr2:65Mb (2p14), chr15:40Mb (15q14), chr14:59Mb
148 (14q23.1) loci) were previously implicated across multiple studies (**Supplementary Table 4**).
149 Over half of our regional brain sulcal associations identified were not previously implicated in
150 any published brain imaging related studies.

151

152 **Coding variant associations**

153 We also examined whether any of the lead variants were in strong LD ($r^2 > 0.8$) with coding
154 variants ($p_{\text{discovery}} < 5 \times 10^{-8}$ and $p_{\text{replication}} < 0.05$). We identified 10 loci harbouring coding variants
155 or proxies (coding/splice region variants) in strong LD with lead variants (**Supplementary**
156 **Table 5**). With the exception of the complex chr17:47Mb (17q21.31, *MAPT*) locus, which
157 contained coding/splice region proxies for multiple genes (*ARHGAP27*, *PLEKHM1*, *CRHR1*,
158 *SPPL2C*, *MAPT*, *STH*, *KANSL1*), the other 9 loci contained coding variants affecting proxies
159 for single genes (*ROR1* [rs7527017, Thr518Met], *THBS3* [rs35154152, Ser279Gly], *SLC6A20*
160 [rs17279437, Thr199Met], *EPHA3* [rs35124509, Trp924Arg], *MSH3* [rs1650697, Ile79Val],

161 *GNA12* [rs798488, start-lost], *PDGFRL* [rs2705051, splice region variant], *EML1* [rs34198557,
162 Ala377Val] and *TSPAN10* [rs6420484, Tyr177Cys; rs1184909254/rs10536197, frameshift
163 indel with stop codon gained]). Notably, the *SLC6A20* Thr199Met (rs17279437) variant,
164 associated with widespread reductions in sulcal width (**Supplementary Table 5**), has
165 previously been associated with reduced thickness of retinal components and with increased
166 glycine and proline derivatives in CSF and urine, consistent with the role of SLC6A20 as co-
167 transporter regulating glycine and proline levels in the brain and kidneys, highlighting
168 proline/glycine pathways in regulating brain sulcal widths (see **Supplementary Information**
169 for details).

170

171 **Genetic and phenotypic correlations of brain folding**

172 We investigated the phenotypic and genetic correlation (GC) between measures from the right
173 and left hemispheres as well as between different shape parameters of the brain sulcal
174 measurements. We found high correlations between brain sulcal measurements across left and
175 right sides, within and between the four shape parameters (**Figure 1c, Extended Data Figure**
176 **7**). In general, the strongest correlations were detected between left and right hemispheres for
177 width compared to length, mean depth and surface area (**Figure 1c top**). The high genetic
178 correlation between hemispheres may explain the higher magnitudes of the association Z-
179 scores of bilateral brain sulcal measures compared to hemisphere-specific analyses. We found
180 average length, mean depth and surface area parameters to be positively correlated, with
181 correlation between length and surface area the strongest, and width to be negatively correlated
182 with the other 3 shape parameters (**Figure 1c middle and bottom**). Similar patterns of
183 correlations between shape parameters were seen for left and right hemispheres as well as for
184 both genetic and phenotypic correlations (**Figure 1c middle and bottom, Extended Data**
185 **Figure 7**).

186

187 **Brain folding genes enriched for cortical expression and**
188 **neurodevelopmental processes**

189 To determine whether genes in the associated regions were enriched for expression in certain
190 tissues, we performed enrichment analysis of annotated genes in significant loci ($p<5\times10^{-8}$) for
191 tissue gene expression in an independent dataset (Human Protein Atlas) (**Methods**). We found
192 significant enrichment of brain folding genes of approximately two-fold for expression in the
193 cerebral cortex after multiple testing correction ($p=7.3\times10^{-7}$). This effect remained significant
194 with other sensitivity analysis thresholds (**Figure 2a**), suggesting associated brain folding
195 genes may have local effects. We also performed enrichment analysis for gene ontology (GO)
196 processes and KEGG pathways. Notably, we found significant ($FDR<0.05$) enrichment for
197 various neurodevelopmental processes including neurogenesis and a range of cellular GO
198 biological processes: synapse, neuronal part, plasma membrane, cell junction, cytoskeletal,
199 chromosomal and endoplasmic reticulum GO cellular components; protein domain-specific
200 binding GO molecular function; and the axon guidance KEGG pathway (**Figure 2b**). We
201 examined the extent and timing of expression of candidate genes across brain developmental
202 stages using BrainSpan data in FUMA¹⁸ and found significant enrichment ($FDR<0.05$) for
203 downregulated differentially expressed genes in early infancy (**Figure 2c**). Expression levels
204 of numerous genes, including *DAAM1*, *NT5C2*, *NEO1* recently linked to cortical development,
205 are downregulated during the late pre-natal 26 weeks post-conception) to early post-natal (4
206 months of age) period (**Extended Data Figure 8**). These results together suggest that genetic
207 effects on regional brain folding are in part driven via regulation of neuronal development
208 during early brain development.

209

210 **Colocalization with brain eQTLs to prioritize candidate genes**

211 We performed colocalization analysis between brain cortical folding loci and the largest
212 cortical eQTL summary dataset generated to date (Metabrain)¹⁹. We found 27 of 119 loci to be
213 colocalized for at least one sulcal measure with one or more *cis* eQTLs in the cerebral cortex
214 at a posterior probability (coloc PP4) >0.7 and an additional 7 at a suggestive PP4 >0.5
215 (**Supplementary Table 6, Extended Data Figure 9**). A total of 53 unique cortical gene eQTLs
216 colocalized (PP4 >0.7) with at least one sulcal trait in the cortex. 15 of the 27 loci were
217 colocalized with one unique eQTL in the cortex, 9 loci colocalized with 2 eQTLs, 3 with 2
218 eQTLs and the pleiotropic chr17:47Mb *MAPT-KANSL1* locus colocalized with 14 different
219 eQTL genes in a complex pattern (**Extended Data Figure 9, Supplementary Table 6**). Across
220 other brain-related tissues including the cerebellum, basal ganglia, hippocampus and spinal
221 cord, we found a total of 25 loci in the cerebellum, 7 in the basal ganglia, 6 in the hippocampus
222 and 3 in the spinal cord that colocalized (PP4 >0.7) with at least one eQTL, with 9, 2 and 1
223 colocalized loci in the cerebellum, hippocampus and basal ganglia respectively, not found in
224 cortex tissue.

225

226 **Multi-trait colocalization of cortex specific *KCNK2* eQTL and regional sulcal widths**

227 The pleiotropic chr1:215Mb locus near *KCNK2* is associated with multiple sulcal measures
228 across the brain in a largely symmetrical manner. The strongest lead variant ~40Kb upstream
229 of *KCNK2*, rs1452628:T, exhibited stronger associations with reduced sulcal widths in more
230 superior regions of the brain (**Figure 3a, Supplementary Tables 2 and 3**). Notably, we
231 observed multiple pairwise colocalizations between significant sulcal width associations at this
232 locus and cortex-specific *KCNK2* eQTLs from a large-scale brain tissue eQTL study
233 (MetaBrain)¹⁹ (**Extended Data Figure 9, Supplementary Table 6**), where rs1452628:T was
234 associated with increased *KCNK2* expression in the cortex only ($\beta=0.14$, $p=8.0\times 10^{-7}$) (cf.

235 cerebellum, hippocampus, basal ganglia and spinal cord, all $p>0.1$, **Figure 3b left**). We then
236 formally tested whether all or one or more subgroups of the regional sulcal width associations
237 in the locus and cortical *KCNK2* eQTL are driven by the same underlying variant using the
238 HyPrColoc multi-trait colocalization approach²⁰. We found all associations multi-colocalized
239 to the same variant (posterior probability of colocalization=0.74), with the candidate causal
240 variant, rs1452628, explaining all of the posterior probability of colocalization (**Figure 3b**
241 **right**). We further assessed sensitivity to our choice of prior probability of colocalization. Joint
242 colocalization across all or almost all of the traits remained even after sequentially reducing
243 the prior probability (**Supplementary Information**). These results suggest a shared underlying
244 variant driving all sulcal morphology associations and cortex-specific *KCNK2* expression at
245 this locus.

246

247 **Genetic correlation between brain folding associations and neuropsychiatric** 248 **conditions**

249 Cross referencing with previous non-imaging trait and diseases in the GWAS Catalog, we
250 found that 56 of the 119 loci were associated with one or more diseases or intermediate
251 phenotypes (**Supplementary Table 7**). We further investigated the genetic correlation (GC)
252 of regional brain folding with 12 neurological diseases, cognitive and psychiatric conditions
253 (**Methods, Supplementary Information**). Using an empirical permutation threshold of
254 $p<0.0044$ to account for extensive correlations within brain folding phenotypes and neuro-
255 related illnesses (**Methods**), we observed 158 significant GCs between regional brain folding
256 measures and 10 neuropsychiatric and cognitive conditions (**Supplementary Table 8**).

257

258 Taking the mean GC between each of the four shape parameters and neuropsychiatric
259 conditions, we found at least two distinct clusters, with generalized anxiety disorder (GAD),

260 attention deficit hyperactive disorder (ADHD), and major depressive disorder (MDD) and
261 Alzheimer's disease (AD) similarly clustered (**Figure 4a**). In general, sulcal width measures
262 mostly showed opposite GCs versus the other three sulcal parameters (**Figure 4a**), in keeping
263 with their correlation structure. Cognitive performance and Parkinson's disease (PD) in
264 particular showed significant positive GCs with length, surface area and mean depth measures
265 across a broad range of brain regions, whilst ADHD and MDD showed negative GCs across
266 those three shape parameters (**Supplementary Table 8, Figure 4a and 4b**). In particular, we
267 found strongest GCs between PD and central sulcal length ($r_G=0.40, p=3.0\times 10^{-3}$) and surface
268 area ($r_G=0.33, p=6.0\times 10^{-4}$) (**Supplementary Table 8**), which indicate the role of sulcal folds
269 adjoining the primary motor cortex in PD. Sulcal width measures mostly showed opposite GCs
270 with neuropsychiatric traits compared the other three sulcal parameters (**Figure 4a**), in keeping
271 with their correlation structure.

272

273 **Interactive 3D visualisation of associations**

274 Given the complexity and interdependencies of regional brain folding, visualizing variant
275 association results interactively in 3D provides more intuitive context to interpret the
276 association results, providing insights into genetic effects across multiple brain regions. We
277 created an interactive resource (<https://enigma-brain.org/sulci-browser>) where users can query
278 individual genetic variants and visualize the genetic effects across all regional brain folds
279 interactively across all four shape parameters (**Figure 5**).

280

281 Visualizing the results, for example, it is clear that pleiotropic associations, such as
282 chr12:106Mb (*NUAK1*), chr16:87Mb (near *C16orf95*) and chr6:126Mb (containing *CENPW*)
283 affect multiple brain regions and shape parameters in distinct and complex ways (**Figure 5a-c**). In contrast, the chr15:40Mb (15q14) locus associations, mostly tagged by rs4924345, are

285 more localised (**Figure 5d**). We observed strong *positive* effects of the minor rs4924345:C
286 allele on bilateral central sulcus mean depth ($\beta_{\text{dis}}=0.29$, $p_{\text{dis}}=3.1 \times 10^{-79}$) and surface area
287 ($\beta_{\text{dis}}=0.15$, $p_{\text{dis}}=6.0 \times 10^{-25}$) but *negative* effects bilaterally on neighbouring superior
288 postcentral intraparietal superior sulcus mean depth ($\beta_{\text{dis}}=-0.14$, $p_{\text{dis}}=1.0 \times 10^{-18}$) and surface
289 area ($\beta_{\text{dis}}=-0.11$, $p_{\text{dis}}=6.5 \times 10^{-13}$); retro central transverse ramus of the lateral fissure mean
290 depth ($\beta_{\text{dis}}=-0.16$, $p_{\text{dis}}=7.9 \times 10^{-21}$) and surface area ($\beta_{\text{dis}}=-0.15$, $p_{\text{dis}}=2.8 \times 10^{-18}$); inferior
291 precentral sulcus mean depth ($\beta_{\text{dis}}=-0.14$, $p_{\text{dis}}=5.6 \times 10^{-16}$), surface area ($\beta_{\text{dis}}=-0.16$,
292 $p_{\text{dis}}=8.0 \times 10^{-19}$) and length ($\beta_{\text{dis}}=-0.11$, $p_{\text{dis}}=1.3 \times 10^{-9}$).

293

294 We have also provided rendering based on effect sizes, Z-scores or *p*-values and an option to
295 download query results.

296 Discussion

297 Cortical gyrification is an orchestrated, multifaceted process that shows striking consistency
298 across individuals²¹. Gyrification is regulated by a complex interplay of cellular, biomechanical
299 and genetic influences⁹ but our understanding of its genetic underpinnings has been limited^{22,23}.
300 Abnormalities and variations in brain folding contribute to many common and rare
301 neuropsychiatric conditions. Cortical thickness, surface area and sulcal morphometry are each
302 associated with complex phenotypes such as intelligence²⁴, and effects on cortical gyrification
303 are partially independent of those on cortical thickness or surface area²⁵.

304

305 Here, combining densely-imputed genetic variants with whole-exome sequencing, we
306 performed the most comprehensive genetic mapping of regional cortical sulcal morphometry
307 to date, identifying 119 unique genetic loci influencing human sulcal depth, width, length and
308 surface area. We discovered over 60 novel loci not previously implicated in any brain imaging
309 related association studies. The number of genetic associations observed across different sulcal
310 parameters was approximately in accordance with their heritability¹⁷. We observed stronger
311 genetic correlations than phenotypic correlations between left and right sides, suggesting that
312 environmental and non-genetic factors may play a role in structural and functional
313 lateralization. In particular, regional measures for the most heritable shape parameter, sulcal
314 width, clustered in a way that reflected broad brain topology, indicating that brain sulcal width
315 has a stronger genetic component and is most stable across the lifespan.

316

317 We demonstrated the highly polygenic genetic architecture of brain folding, which has both
318 local and widespread effects within the brain. When visualised in 3D, local effects are apparent,
319 that are likely to be missed in globally aggregated brain measurement studies. We also
320 implicated specific candidate genes in several cases through coding variants in LD. We added

321 exonic resolution through WES, as well as through colocalization with brain eQTLs using a
322 large-scale brain specific dataset for better power and specificity¹⁹. We observed pleiotropic
323 associations at genetic loci consistently implicated in prior genetic studies of neuroimaging
324 phenotypes, such as the *MAPT-KANSL1* locus^{26,27}, while resolving other associations to
325 specific brain regions and sulcal folding parameters, such as the *KCNK2* locus and sulcal width.

326

327 Our results provide evidence of enrichment of associated genes for expression in the cerebral
328 cortex, strongly implicating genes involved in neurodevelopment. We found enrichment for
329 differential gene expression occurring in early brain development, indicating that genetic
330 effects on cortical gyration occur most prominently during early life, likely via modulation
331 of neurodevelopmental pathways. Inherited functional impairments of these genes and their
332 associated pathways may increase the risk for neurodevelopmental disorders. For example,
333 homozygous and compound heterozygous mutations at *EML1* - a gene associated with right
334 insula surface area - cause band heterotopia, a neuronal migration disorder characterized by
335 intellectual disability and epilepsy²⁸. Similarly, heterozygous deletion of *ZIC1* and *ZIC4* is
336 associated with Dandy-Walker malformation, a congenital cerebellar malformation²⁹, whereas
337 contiguous deletions at the 16q24.3 locus encompassing *CENPW* cause microcephaly,
338 distichiasis, vesico-ureteral and intellectual impairment³⁰. Additionally, genetic variants at
339 *NUAK1* - a pleiotropic locus associated with frontal, temporal and precentral sulcal widths -
340 have shown links to autism spectrum disorder^{31,32}, ADHD³³ and cognitive impairment³⁴.
341 Globally, genetic variants influencing cortical gyration showed robust, widespread
342 correlation with variants influencing cognitive performance, schizophrenia, ADHD and
343 depression, suggesting a shared molecular system potentially underpinning
344 neurodevelopmental and neuropsychiatric disorders^{35,36}.

345

346 Through multi-trait colocalization, we identified a shared underlying genetic driver of
347 increased cortical *KCNK2* expression and pleiotropic effects on reduced sulcal widths. *KCNK2*,
348 also known as TREK-1, is a two-pore domain potassium channel highly expressed in the
349 central nervous system and modulated by both chemical and physical stimuli.^{37,38} *KCNK2*
350 regulates immune-cell trafficking into the CNS³⁷ and genetic ablation of *Kcnk2* is associated
351 with neuroinflammation, blood-brain barrier impairment³⁹ and increased sensitivity to
352 ischemia and epilepsy in mice⁴⁰. In addition to brain volume, the *KCNK2* locus was previously
353 implicated in sulcal opening¹⁶ and the same lead variant, rs1452628:T, was associated with
354 difference between predicted brain age and chronological age⁴¹. Our findings re-emphasize the
355 role of *KCNK2* in cerebral cortex development, alongside similarly pleiotropic and widely-
356 investigated therapeutic targets such as *NUAK1*⁴² and *MAPT*⁴³. Further investigation of the
357 links between these proteins and disease processes downstream of cortical gyration may
358 support therapeutic development.

359

360 One notable limitation of the present study is that genetic associations were identified in a
361 population of mostly British individuals. Additionally, dividing UK Biobank participants into
362 discovery and replication cohorts prioritised robustness of genetic associations, but reduced
363 power to detect rare and low frequency variant associations. Larger sample sizes will increase
364 power and refine the estimates reported here. Our method to ascertain brain folding phenotypes
365 is applicable across different MRI scanning protocols, which vary across sites¹⁷. This should
366 facilitate large-scale, cross-biobank studies of cortical folding and minimise site- and cohort-
367 specific effects.

368

369 To aid interpretation and increase the utility of our results to the wider scientific community,
370 we created an interactive 3D brain visualisation of our associations, where users can query

371 specific variant associations across the entire brain and the shape parameters simultaneously.
372 We highlighted various cases where complex and pleiotropic associations differ in brain region
373 and shape parameter distributions, which become more apparent when represented visually in
374 three dimensions.

375

376 In conclusion, we provide the most comprehensive genetic atlas of regional brain folding to
377 date, identifying novel associations and insights into processes that drive the genetic effects, as
378 well as providing a resource for the wider community for further elucidation of specific
379 findings.

380

381 **Methods**

382 **Samples and participants**

383 UK Biobank (UKB) is a UK population study of approximately 500,000 participants aged 40-
384 69 years at recruitment⁴⁴. Participant data include genomic, imaging data, electronic health
385 record linkage, biomarkers, physical and anthropometric measurements. Further details are
386 available at <https://biobank.ndph.ox.ac.uk/showcase/>. Informed consent were obtained from
387 participants. Analyses in this study were conducted under UK Biobank Approved Project
388 numbers 26041 and 11559.

389

390 **Brain folding imaging phenotypes**

391 The UK Biobank began collecting brain MRI scans in 2014 with the goal of scanning 100,000
392 individuals. The protocol includes isotropic 3D T1-weighted (T1w) MP-RAGE images (voxel
393 size 1 mm³; field-of-view: 208 x 256 x 256) that have undergone bias-field correction in the
394 scanner. Full acquisition details can be found in⁴⁵. T1w images were processed using
395 FreeSurfer (v7.1.1) (<https://surfer.nmr.mgh.harvard.edu/>) and quality controlled using
396 protocols developed by the Enhancing Neuro Imaging Genetics for Meta-Analysis (ENIGMA)
397 consortium (<http://enigma.ini.usc.edu/>). BrainVISA (<http://brainvisa.info>) was implemented
398 for sulcal classification and labelling⁴⁶. Morphologist 2015, an image-processing pipeline
399 included in BrainVISA, was used to measure sulcal shape descriptors. To improve sulcal
400 extraction and build on current protocols used to analyse thousands of brain scans, quality
401 controlled FreeSurfer outputs (*orig.mgz*, *ribbon.mgz* and *talairach.auto*) were directly
402 imported into the pipeline to avoid re-computing intensities inhomogeneities correction and
403 grey/white matter classification. Sulci were then automatically labelled according to a
404 predefined anatomical nomenclature^{46,47}. This protocol is part of the ENIGMA-SULCI

405 working group; a Docker and a Singularity container have been created to facilitate the
406 processing on computational clusters
407 (<https://hub.docker.com/repository/docker/fpizzaga/sulci>). We retained length, width, depth,
408 and surface area for all 121 sulcal measurements derived from this protocol for a total of 484
409 phenotypes.

410

411 Phenotypes with missingness >75% were excluded from subsequent analysis, leaving 450
412 measurements for analysis. Missingness occurs mainly with smaller sulci that are not identified
413 in some individual MRIs. Prior to analysis, all imaging phenotypes were inverse-rank
414 normalised to approximate a standard normal distribution and minimise effects of outliers. T-
415 distributed stochastic neighbour embedding (t-SNE) was applied on inverse-rank normalised
416 imaging phenotypes.

417

418 **Discovery and replication cohorts**

419 We partitioned UKB samples with MRI measurements into discovery and replication
420 approximately in 2:1 split. The discovery cohort were comprised of MRI measures in
421 individuals of European ancestry from Newcastle, Stockport and Reading imaging centres,
422 whilst the replication cohort composed of the remaining (non-European) individuals from the
423 aforementioned three centres, and mostly all individuals from the Bristol imaging centre.
424 Subsequent analyses were performed treating the discovery and replication cohorts as
425 completely separate to minimize data contamination and biases.

426

427 **Genetic data processing**

428 **UKB genetic QC**

429 UKB genotyping and imputation (and QC) were performed as described previously⁴⁴. WES
430 data for UKB participants were generated at the Regeneron Genetics Center (RGC) as part of
431 a collaboration between AbbVie, Alnylam Pharmaceuticals, AstraZeneca, Biogen, Bristol-
432 Myers Squibb, Pfizer, Regeneron and Takeda with the UK Biobank⁴⁸. WES data were
433 processed using the RGC SBP pipeline as described in^{49,50}. RGC generated a QC-passing
434 “Goldilocks” set of genetic variants from a total of 454,803 sequenced UK Biobank
435 participants for analysis. Additional QC were performed prior to association analyses as
436 detailed below.

437

438 **Additional QC and variant processing**

439 In addition to checking for sex mismatch, sex chromosome aneuploidy, and heterozygosity
440 checks, imputed genetic variants were filtered for INFO>0.8, MAF>0.01 (rarer variants around
441 coding regions would be better captured by WES) globally across UKB and chromosome
442 positions were lifted to hg38 build. WES variants were filtered for MAC>10 within the UKB
443 subset with MRI measurements. Imputed and WES variants were combined by chromosome
444 position (hg38) and alleles and in the case of overlaps, the WES variant was retained (as WES
445 generally have higher quality calls compared to imputation). Variant annotation was performed
446 using VEP⁵¹ with Ensembl canonical transcripts used where possible.

447

448 **Genetic association analyses**

449 GWAS were performed using REGENIE v2.0.1 via a two-step procedure to account for
450 population structure detailed in⁵². In brief, the first step fits a whole genome regression model
451 for individual trait predictions based on genetic data using the leave one chromosome out
452 (LOCO) scheme. We used a set of high-quality genotyped variants: minor allele frequency
453 (MAF)>1%, minor allele count (MAC)>100, genotyping rate >99%, Hardy-Weinberg

454 equilibrium (HWE) test $p>10^{-15}$, <10% missingness and linkage-disequilibrium (LD) pruning
455 (1000 variant windows, 100 sliding windows and $r^2<0.8$). The LOCO phenotypic predictions
456 were used as offsets in step 2 which performs variant association analyses using standard linear
457 regression. We limited analyses to variants with MAC>50 to minimise spurious associations.
458 The association models in both steps also included the following covariates: age, age², sex,
459 age*sex, age²*sex, imaging centre, intracranial volume, first 10 genetic principal components
460 (PCs) derived from the high-quality genotyped variants (described above) and additionally first
461 20 PCs derived from high-quality rare WES variants (MAF<1%, MAC>5, genotyping
462 rate >99%, HWE test $p>10^{-15}$, <10% missingness) as additional control for fine-scale
463 population structure.

464

465 **Definition and refinement of significant loci**

466 To define significance, we used multiple testing corrected threshold of $p<2\times10^{-10}$ ($5\times10^{-8}/273$
467 approximate number of independent trait). We used phenotypic PCs accounting for 90% of
468 phenotype variance to estimate the approximate number of independent traits to account for
469 correlations between regions, side and parameters. Additionally, we also require at least
470 nominal significance ($p<0.05$) with concordant directions in the replication cohort which
471 should limit false positives even at $p<5\times10^{-8}$. For reporting, we also included the standard
472 genome-wide significant loci ($p<5\times10^{-8}$) that replicated at $p<0.05$ in the replication cohort.

473

474 We defined independent trait associations through clumping $\pm 500\text{Kb}$ around the lead variants
475 using PLINK⁵³, excluding the HLA region (chr6:25.5-34.0Mb) which is treated as one locus
476 due to complex and extensive LD patterns. As overlapping genetic regions may be associated
477 with multiple correlated measurements and to avoid over-reporting genetic loci, we merged
478 overlapping independent genetic regions ($\pm 500\text{Kb}$) across traits and collapsed them into one

479 locus.

480

481 **Cross reference with known genetic associations**

482 We cross-referenced the lead variants and their proxies (LD proxy $r^2 > 0.8$, +/- 500Kb around
483 the lead variant, with HLA region treated as one region) for significant associations ($p < 5 \times 10^{-8}$) in GWAS Catalog⁶. Brain imaging studies were separated from other intermediate and
484 disease phenotypes as defined by the list of brain imaging studies in **Supplementary**
485 **Information**.

487

488 **Expression enrichment**

489 We examined whether genes within associated loci are enriched for expression in the various
490 brain tissues. Enrichment analysis was performed using the TissueEnrich R package⁵⁴ using
491 the annotated genes (available canonical genes mapped in VEP) for all genome-wide
492 significant variants ($p < 5 \times 10^{-8}$, additional sensitivity analysis thresholds of $p < 5 \times 10^{-7}$, 5×10^{-6} ,
493 5×10^{-5} were used for cortex) and a background of annotated genes for all variants analysed.
494 Specifically, we used the RNA dataset from Human Protein Atlas using all genes that are found
495 to be expressed within each tissue.

496

497 **GO and KEGG process enrichment**

498 Using the same significant annotated genes and backgrounds as for the expression enrichment
499 analyses, we performed enrichment testing for GO and KEGG pathways using the WEB-based
500 GEne SeT AnaLysis Toolkit (WebGestalt)⁵⁵ (<http://www.webgestalt.org/>). We used the over-
501 representation analysis method, analysing GO Biological Process, GO Cellular Component,
502 GO Molecular Function and KEGG, with Benjamini-Hochberg FDR threshold of 0.05 for
503 significance. We used the default parameters of minimum of 5 and maximum 2,000 genes per

504 category. Related process and pathway entries were grouped through the inbuilt weighted set
505 cover redundancy reduction approach.

506

507 **FUMA analyses of expression timing**

508 Gene expression enrichment across BrainSpan (<https://www.brainspan.org/>) brain ages and
509 developmental stages was analysed based on averaged log2 transformed expression levels
510 across each label. Genes were defined as differentially expressed when the Bonferroni
511 corrected $p < 0.05$ and the absolute log fold change ≥ 0.58 between specific brain ages or
512 developmental stages compared to others¹⁸. All other annotated genes/transcripts in the
513 BrainSpan data were included as background genes for comparison in hypergeometric tests of
514 gene sets. Significantly enriched gene sets had FDR corrected $p < 0.05$.

515

516 **Genetic correlation analysis**

517 We performed genetic correlation analysis between brain folding phenotypes (including
518 hemispheres and shape parameters), and 12 neuropsychiatric conditions with readily available
519 summary data using LD score regression (LDSC v1.0.1)⁵⁶. We also performed SNP-based
520 heritability estimation using LDSC. Genetic variants were filtered and processed using the
521 “munge_sumstats.py” in LDSC and we used LD scores recommended by the software
522 authors⁵⁶.

523

524 To account for multiple testing of extensive related and correlated phenotypes, we permuted
525 each neuropsychiatric condition Z-score 100 times (limited by computational cost) and tested
526 each permuted neuropsychiatric condition with each brain folding phenotype to generate an
527 empirical multiple testing threshold of $p = 0.0044$ (approximately adjusted $p < 0.01$ from 100
528 permutations).

529

530 Colocalization analyses

531 We performed colocalization analyses⁵⁷ between brain eQTLs from MetaBrain and brain
532 folding loci using the coloc R package. We used the default priors ($p1=10^{-4}$, $p2=10^{-4}$, $p12=10^{-5}$)
533 with regions defined as +/-500Kb around the lead variant. Evidence for colocalization was
534 assessed using the posterior probability (PP) for hypothesis 4 (PP4; an association for both
535 traits driven by the same causal variant). PP4>0.5 were deemed likely to colocalize as it
536 guaranteed that hypothesis 4 was computed to have the highest posterior probability, and
537 PP4>0.7 were deemed highly likely to colocalize.

538

539 To assess whether all traits jointly colocalize at the *KCNK2* locus we used the multi-trait
540 colocalization software HyPrColoc²⁰, using the recommended default settings and priors
541 (HyPrColoc's default prior parameters $p=10^{-4}$ and $p_c=2\times10^{-2}$ are equivalent to setting $p1=10^{-4}$,
542 $p2=10^{-4}$, $p12=2\times10^{-6}$ in coloc, hence the default prior probability of colocalization $p12$ is
543 slightly more conservative than in coloc). HyPrColoc computes evidence supporting one or
544 more clusters of traits colocalizing at a single variant in the region, concluding that a cluster of
545 traits colocalize if the posterior probability of colocalization (PPC) is above a user defined
546 threshold (PPC>0.5 by default, which is equivalent to setting the algorithms' regional, P_R , and
547 alignment, P_A , thresholds to 0.7 respectively). We also performed additional sensitivity
548 analysis across different parameter specifications (**Supplementary Information**).

549

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559

560 **Data availability**

561 The online browser for visualisation of results is available at [https://enigma-brain.org/sulci-
562 browser](https://enigma-brain.org/sulci-browser).

563 **Code availability**

564 Codes used are part of standard software and tools. Additional details available in **Methods**.

565

566 **Author contributions**

567 Study conceptualization and design: C.D.W., P.M.T., N.J., B.B.S.; methodology: B.B.S., S.J.L.,
568 P.M.T., N.J., C.D.W.; sulcal imaging processing: F.P., A.Z., D.D., T.I., I.B.G., N.J.; phenotype
569 harmonisation: M.J., D.G.M., S.S.C., Biogen Biobank Team; analysis: B.B.S., S.J.L., J.N.P.,
570 S.E.M., C.N.F.; interactive browser: N.S., F.P.; writing: B.B.S., C.D.W., P.M.T., N.J., H.R.;
571 all authors critically reviewed the manuscript.

572

573 **Competing interests**

574 The authors declare the following competing interests: B.B.S., S.J.L., Biogen Biobank Team,
575 M.J., D.G.M., H.R., C.D.W. are employees of Biogen. P.M.T and N.J received grant support
576 from Biogen for this work.

577

578 **Correspondence**

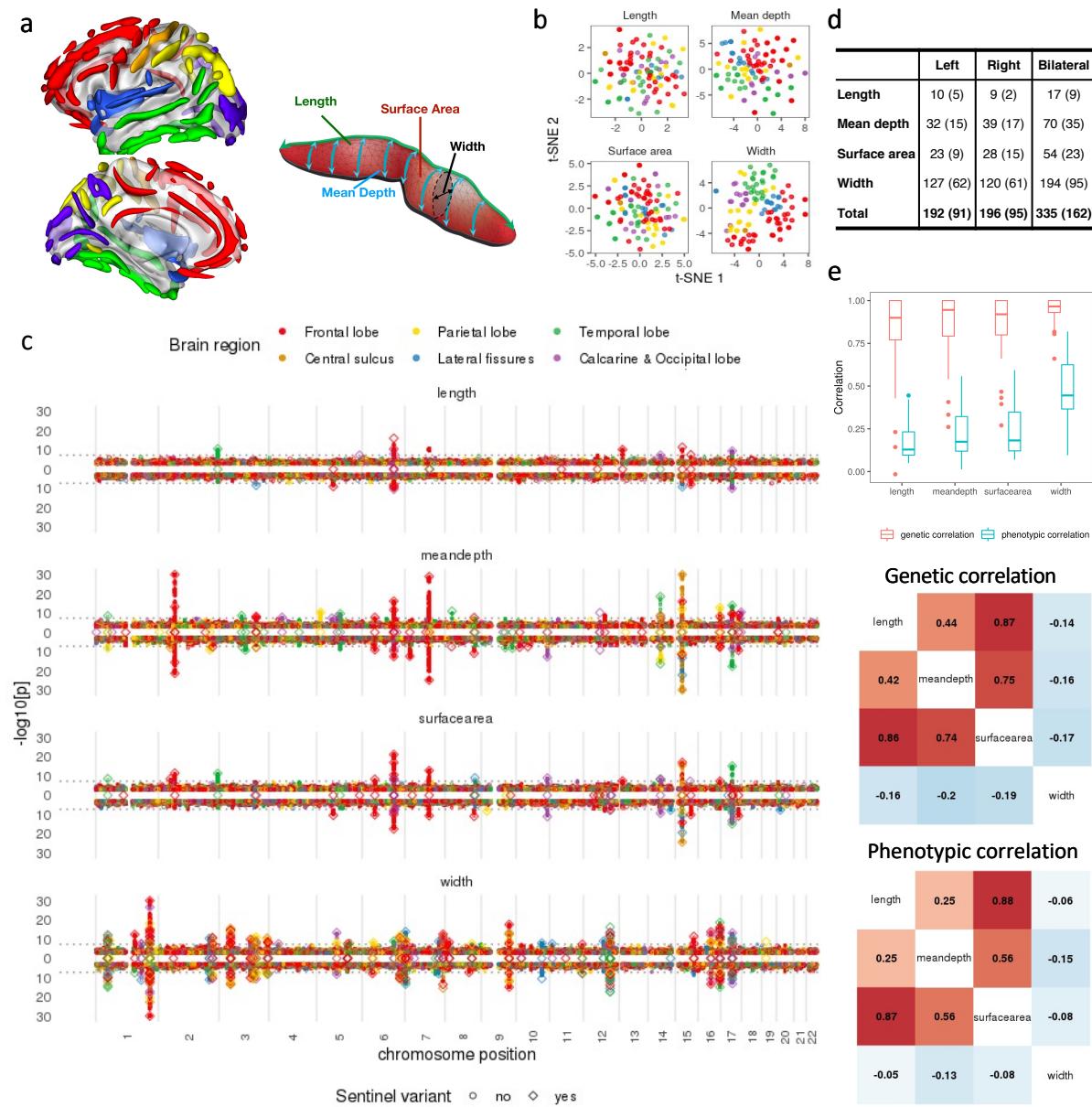
579 Correspondence and requests for materials should be addressed to B.B.S., N.J., P.M.T. or
580 C.D.W.

581

582 Figures

583 **Figure 1. Summary of brain sulcal association results. (a) Schematic of brain sulcal folds and**
 584 **shape parameters.** Brain region legend corresponds to colours in figures a-c. **(b) t-SNE of regional**
 585 **brain sulcal measures for each shape parameter.** **(c) GWAS association results by shape**
 586 **parameters and side.** Diamonds indicate lead (sentinel) associations that replicated ($p < 0.05$). Points
 587 above 0 in the y-axis in each plot refers to associations with left sided sulcal measures, below 0 with
 588 right sided measures. Diamonds along 0 is the y-axis indicate lead associations for bilateral sulcal
 589 measures. Dashed horizontal line indicate GWAS significance threshold ($p = 5 \times 10^{-8}$). **(d) Summary of**
 590 **number of associations by side and shape parameters.** **(e) Top: genetic and phenotypic correlation**
 591 **between left and right sides. Middle: Genetic correlation between shape parameters. Bottom:**
 592 **Phenotypic correlation between shape parameters.** Middle and bottom: left hemisphere correlations
 593 in upper triangle, right hemisphere correlations in lower triangle.

594

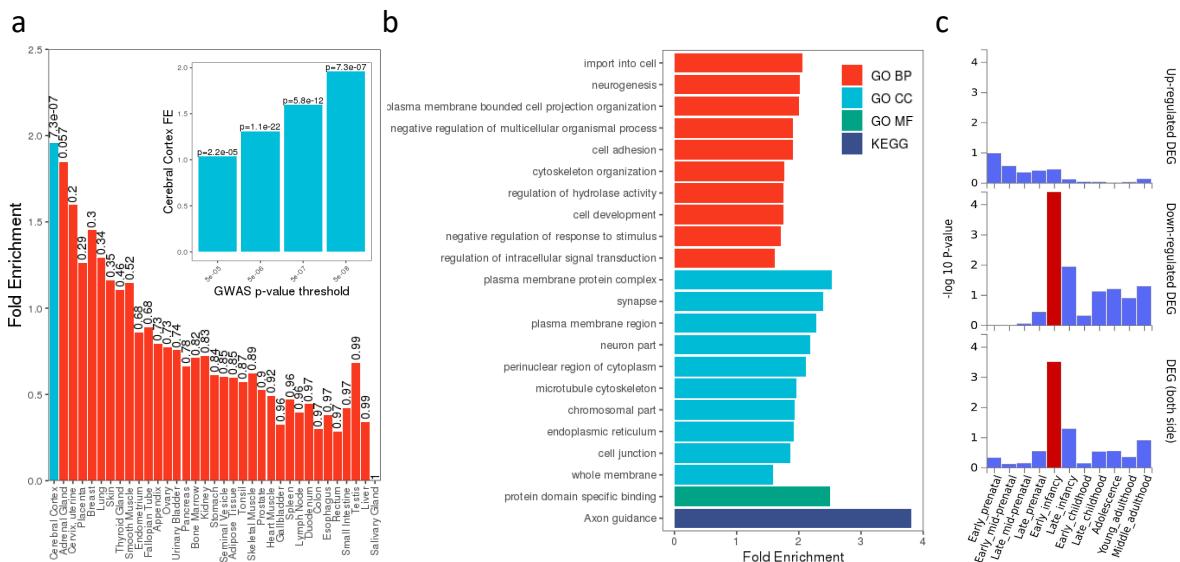


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Figure 2. Enrichment of genes in significant loci for: (a) gene expression across various tissues (inset shows sensitivity analysis at other GWAS thresholds), (b) GO and KEGG pathways (FDR<0.05), (c) differentially expressed genes across brain development stages.



601

602

603 **Figure 3. *KCNK2* locus associations. (a) Association of the lead rs1452628:T variant with reduced**

604 sulcal widths across the brain. (Grey colours indicate associations with $p_{rep} > 0.05$). (b) Left: regional

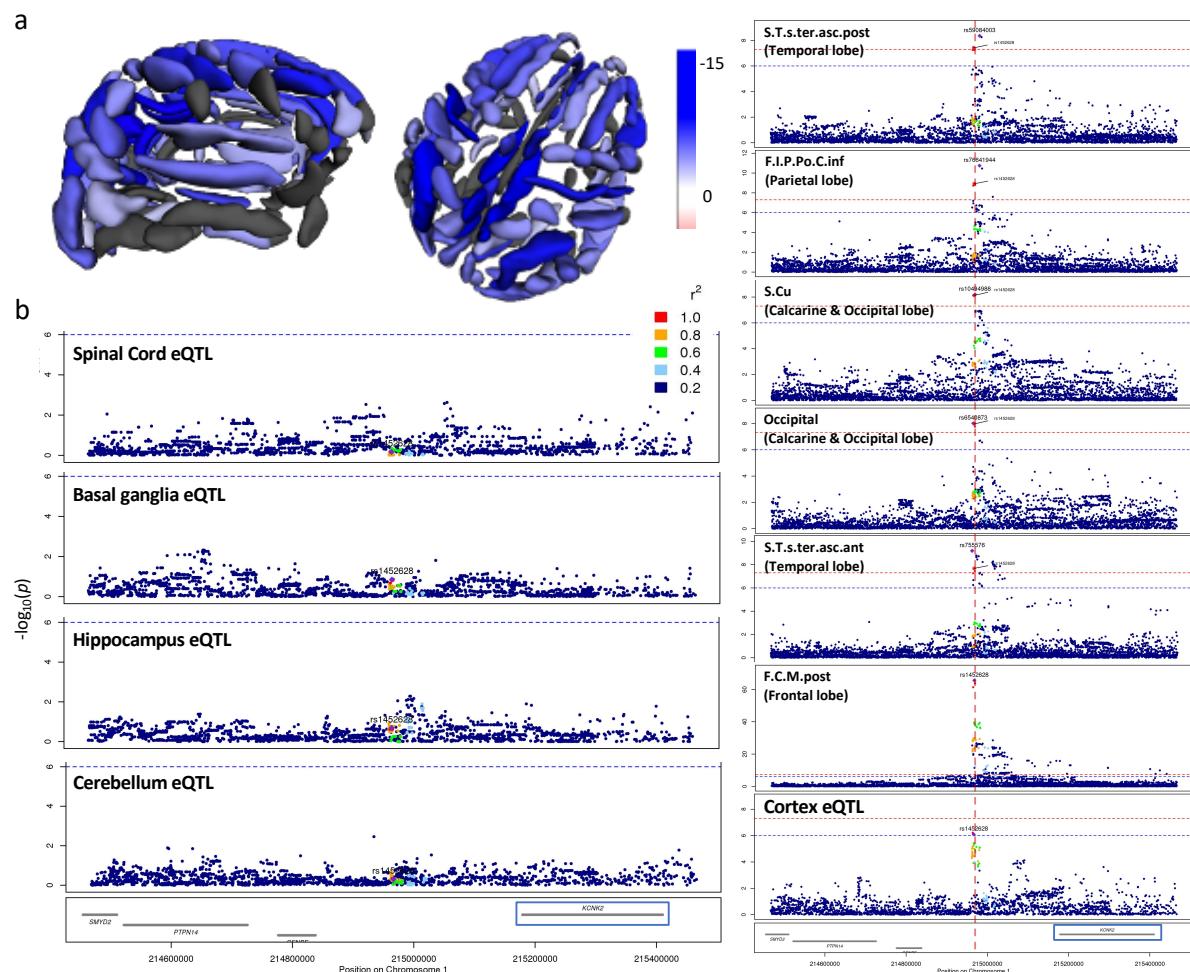
605 association plot of MetaBrain *KCNK2* eQTLs for spinal cord, basal ganglia, hippocampus and

606 cerebellum. Right: regional association plots and colocalization of cortex *KCNK2* eQTL and

607 different lead variants in the *KCNK2* locus. A subset of associations shown for each different lead

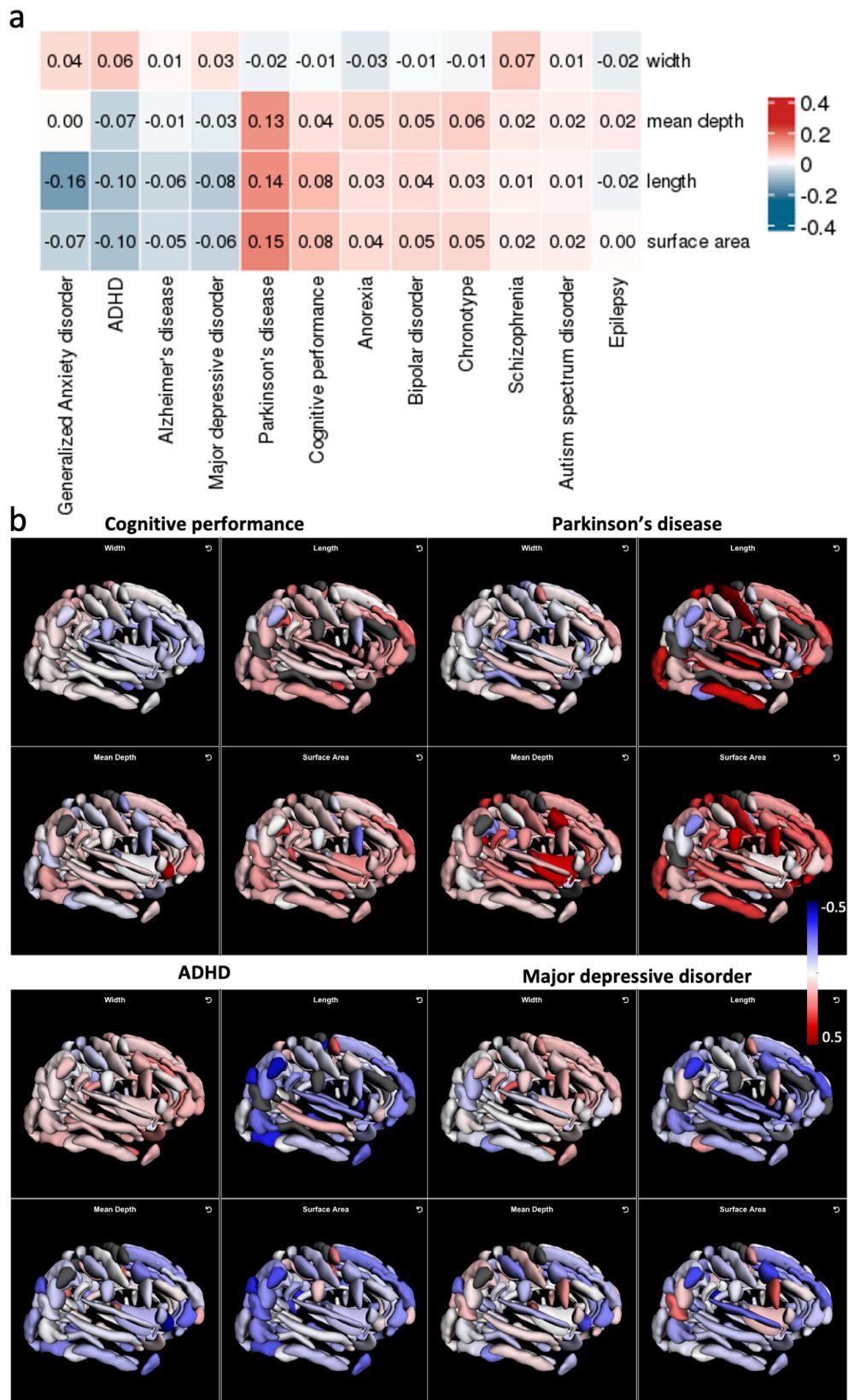
608 variant shown due to space constraints.

609



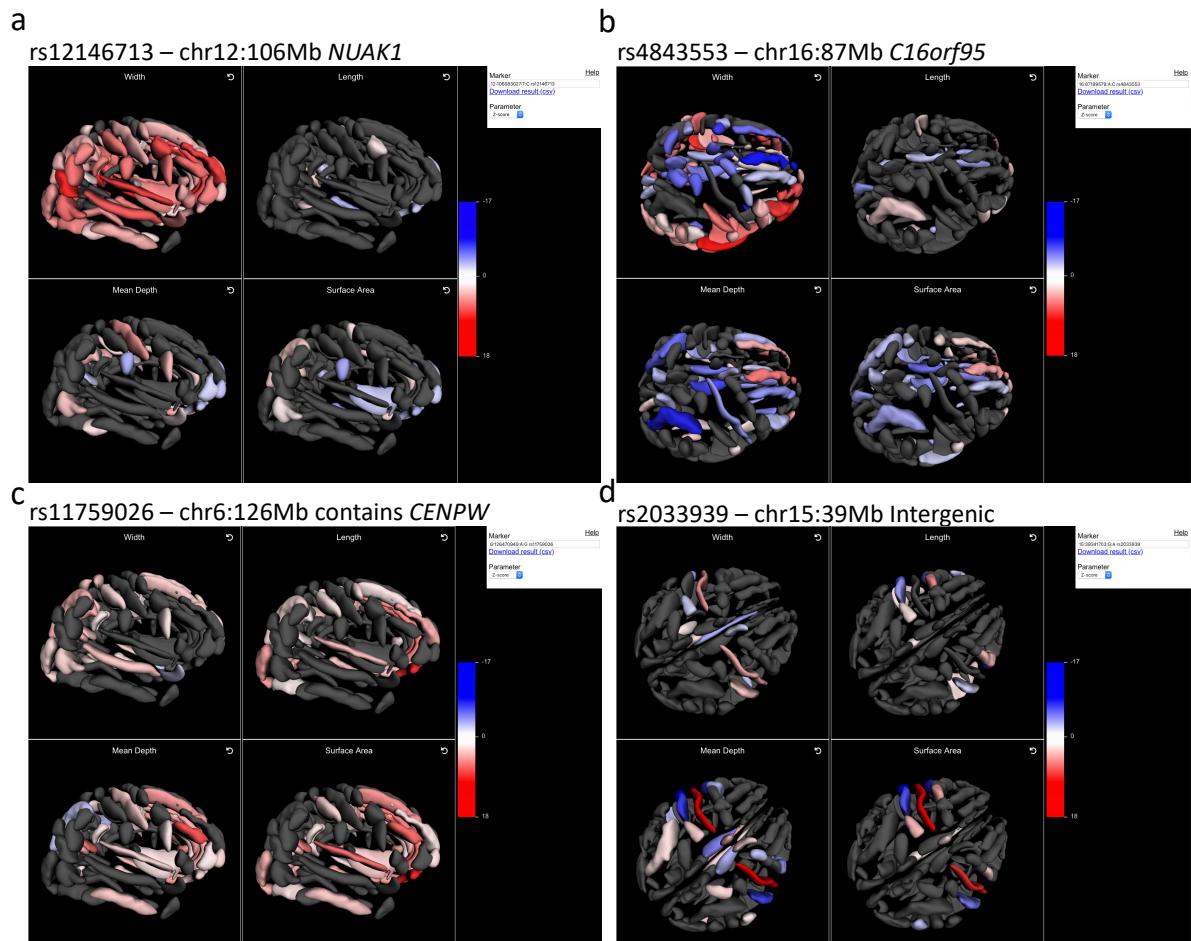
613 **Figure 4. (a) Genetic correlations between shape parameters and neuropsychiatric conditions. (b)**
 614 **Examples of genetic correlations across brain sulcal folds with cognitive performance,**
 615 **Parkinson's disease, attention deficit hyperactive disorder (ADHD) and major depressive**
 616 **disorder.**

617



618

619 **Figure 5. Three-dimensional visualisation of brain sulcal associations (Z-scores) for four**
620 **exemplar pleiotropic loci.**



621
622
623

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