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ContaTester: Fast cross-contamination estimation and identification for large human sequencing cohorts

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Abstract

Background: Interest in genomic medicine for human health studies and clinical applications is rapidly increasing. Clinical applications require contamination-free samples to avoid misleading results and provide a sound basis for diagnosis.

Results: Here we present ContaTester, a tool which requires only allele balance information gathered from a VCF file to detect cross-contamination in germline human DNA samples. Based on a regression model of allele balance distribution, ContaTester allows fast checking of contamination levels for single samples or large cohorts (less than two minutes per sample). We demonstrate the efficiency of ContaTester using experimental validations: ContaTester shows similar results to methods requiring alignment data but with a significantly reduced storage footprint and less computation time. Additionally, for contamination levels above 5%, ContaTester can identify contaminants across a cohort, providing important clues for troubleshooting and quality assessment.

Conclusions: ContaTester estimates contamination levels from VCF files generated from whole genome sequencing normal sample and provides reliable contaminant identification for cohorts or experimental batches.

Keywords: contamination; VCF; allelic balance; whole genome sequencing

Introduction

Advances in genomic medicine are steadily improving and facilitating diagnosis and healthcare. In parallel, a need is arising to develop robust quality control methods to ensure reliable contamination-free data. Sources of contamination can differ, ranging from human and technological errors to bioinformatics processing errors, consequently the contamination risk needs to be carefully assessed. To evaluate contamination levels, current tools use two main sources of evidence: Sequence Alignment Map (SAM/BAM) and Variant Call Format (VCF).

Most tools developed for contamination detection are dedicated to the somatic context and require the use of paired samples to determine contamination levels; these tools include Conpair [1], GATK CalculateContamination [2] and HYSYS [3]. To our knowledge, there are few tools dedicated to germline single sample contamination analysis. Common practice in the community is to use VerifyBamID2 [4], which requires BAM files as input to analyze mixture models, thus estimating the likelihood of contamination. ART-Deco [5] uses coverage tables provided by GATK

[6] and analyzes the fraction of supporting reads to detect contamination in the restricted context of high-coverage panel analysis. Other programs like Peddy [7] use pedigree information to identify swaps or contamination within families. Here we present ContaTester [8], an integrated solution for fast contamination estimation and contaminant identification in a Whole Genome Sequencing (WGS) normal sample. ContaTester is based on Allele Balance (AB) regression models and correlations, and requires only VCF including the Allele Depth (AD) metric; no other information is needed. In addition, we have developed a function for contaminant identification to support troubleshooting and quality control performed on a production platform.

Methods

Experimental and *in silico* design

Five DNA sample mixtures (1%, 5%, 10%, 15%) from both NA10859 and NA12878 individuals were experimentally designed and sequenced to a 30x depth of coverage to validate our method [9]. All samples were processed with a “Truseq™ PCRfree kit” and sequenced with a HiSeqX Illumina® sequencer.

We selected sequencing datasets from two distinct families (CEPH/UTAH PEDIGREE 1463 and 1347) to simulate *in silico* mixtures from related and unrelated samples mimicking contamination conditions commonly observed in large cohorts. The mixtures included selected read ratios from NA12878, NA12891 (NA12878 father), NA12892 (NA12878 mother) and NA10859 (unrelated sample) (Table 1).

Allele balance ranges and regression models

To determine the AB (1), we used the ratio of the number of reads supporting the first alternative allele, divided by the sum of numbers of reads supporting the reference allele with the first two alleles. Insertions-Deletions (InDels) were discarded from the Allele Balance distribution.

$$AB = \frac{AD\ 1^{st}\ ALT}{AD\ REF + AD\ 1^{st}\ ALT + AD\ 2^{nd}\ ALT} \quad (1)$$

We evaluated several scenarios of AB range selections for contamination characterization. The mixture of two samples with different genetic backgrounds produced an increase in the number of variants, a spread of the allele balance distribution for ranges between 0.25 and 0.75 and peaks in low [0-0.25] and high [0.75-1] AB ratios (Figure 1).

We selected the AB ranges between [0.18-0.49] and [0.51-0.82] gathering the highest numbers of variant according to the drift of their AB ratio for a second order polynomial regression (Figure 2). These two intervals maximize the coefficient of determination (r^2) (Figure 3). The polynomial regression models had to be customized to fit depth of coverage conditions. Three regression models for common depth of coverage in WGS (30x, 60x and 90x) were calculated. Each condition showed a high r^2 ($r^2 > 0.999$) with the computed polynomial models.

Additionally, we selected the ranges [0.01-0.49] and [0.51-0.99], removing the expected common AB peaks to perform a Pearson’s correlation analysis as a complementary method to support the contamination identification.

Contaminant research and identification

For this study, we selected variants associated with an AB range between [0-0.11] which gathered a high proportion of variants related to the contaminant sample. After removing variants in low-complexity repeats and segmental duplication regions (GnomAD 2.0.2 [Genome Aggregation Database [10]]), the number of germline Single Nucleotide Variants (SNVs) for the contaminated samples was minimized in most of the contamination ratio conditions (including very low contamination ratios).

To determine the detection performance and related threshold in our selected AB range [0-11], we observed the distribution of the number of variants (position only) belonging to the contaminant and the contaminated sample for a range of contamination ratios (between 0% and 50%) (Figure 4). In this distribution, a contamination level of 5% showed an equivalent proportion (55%) of variants belonging to the contaminant and contaminated samples (Figure 4).

A detailed analysis of the curves showed that for contamination ratios under 3.6% the ratio of matching variants related to the contaminant decreased below the proportion of variants related to the contaminated sample. This observation led us to define a minimal threshold of 5% for contaminant identification, to ensure that variants from the contaminant sample were predominantly used for contaminant identification.

Consequently, this contamination threshold of 5% required us to define a shared variant match-rate threshold to validate the contaminant identification. After exploring the impact of contamination by family related samples (Figure 5), we decided to set a minimal 60% match-rate between the selected variant in the AB ranges [0-11%] and the variants in the tested sample. This 60% match-rate threshold supports a clear discrimination between the contaminant and other tested samples as it is 10% above closely related samples.

Results

In order to validate the relevance of our *in silico* simulation, we compared the AB distribution of the experimental mixture against *in silico* mixture conditions. The two distributions shared similar outlines thus validating the guiding principles of this work (Figure 2).

Next, we launched ContaTester and demonstrated contamination level estimations closely approaching those of expected experimental conditions (Table 2). This shows that ContaTester provides correlation and regression as two complementary approaches to estimate the contamination rate. The second order polynomial regression model shows an accuracy down to a mixture prediction of 1%. The results of the Pearson's correlation are consistent with those of the second order polynomial regression; hence this method can be used for cross-validation and interpretation. In addition, using BAM files as input, we compared the ContaTester results against VerifyBamID2 and found similar results for contamination estimation in our different experimental mixtures (Table 2). These results show that ContaTester offers a fast and simple alternative for estimating contamination levels, and requires only VCF files.

Lastly, we applied ContaTester's contaminant detection function to each of the

mixture conditions. For contamination levels above 5%, we clearly detected the contaminant NA10859 with more than 60% shared positions within the subset used for the comparison and tested samples (Table 3).

In summary, ContaTester provides estimations of contamination levels from VCF files generated from germline sample WGS. It provides reliable contaminant identification for cohorts or experimental batches with contamination levels above 5%. ContaTester does not require additional files or parameters, and it provides fast results and reports to support automatic or visual checks of the contamination status. The input, restricted to VCF files (~ 1 GB for a 30x WGS), reduces the storage footprint required for quality control compared to solutions that use BAM files (~ 70 GB for a 30x WGS). ContaTester requires 70 seconds with a 1 core Intel® Xeon® CPU E5-2680 v4 @ 2.40GHz to process a VCF file obtained from WGS and determine the related contamination level. In comparison, the processing of a BAM file by VerifyBamID2 takes 42 minutes to complete (Table 4). Moreover, contaminant identification by ContaTester takes only 31 minutes for a cohort of 96 samples including 32 contaminated samples (Table 4). In conclusion, ContaTester can be used for the human reference genomes GRCh37 and GRCh38 (Table 2, Table 5) and offers a scalable method for analysis of the increasing volume of VCF files from large WGS projects.

Appendix

Acronyms

r^2 coefficient of determination. 2

AB Allele Balance. 2, 3

AD Allele Depth. 2

BAM Binary Alignment Map. 1, 3, 4

GnomAD Genome Aggregation Database. 3

InDels Insertions-Deletions. 2

SAM Sequence Alignment Map. 1

SNVs Single Nucleotide Variants. 3

VCF Variant Call Format. 1–4

WGS Whole Genome Sequencing. 2, 4

Availability of data and materials

Project name: ContaTester

Project home page: <https://github.com/CNRGH/contatester>

Operating system(s): Runs natively on linux and on any operating system supporting container images (Docker:

<https://hub.docker.com/r/cnrgh/contatester>)

Programming language: Python, R, Bash

Other requirements: Python 3.6 or higher, python libraries (pathlib, os, typing, argparse, io, subprocess, sys, glob, datetime), R 3.3.1, bcftools 1.9 or higher, pegasus 4.8.2 or higher

License: CeCILL

Any restrictions to use by non-academics: None

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Competing interests

The authors declare that they have no competing interests.

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Authors' contributions

D.Delafoy, V.M. conceived the project and drafted the manuscript. D.Delafoy, V.M., F.S., E.L revised the manuscript. D.Delafoy, J.M designed and implemented the code for ContaTester. D.Delafoy, J.M., N.W., T.M. designed and implemented the code for packaging and distribution. D.Delafoy, S.M, D.Daian prepared data and performed test and benchmarking. A.B., R.O. conceived, planned and carried out the experiments. D.Daian, SM carried out sequencing quality control. JF.D. supervised the project as director of the CNRGH. All authors provided critical feedback of the manuscript and read and approved the final manuscript.

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Figures

Tables

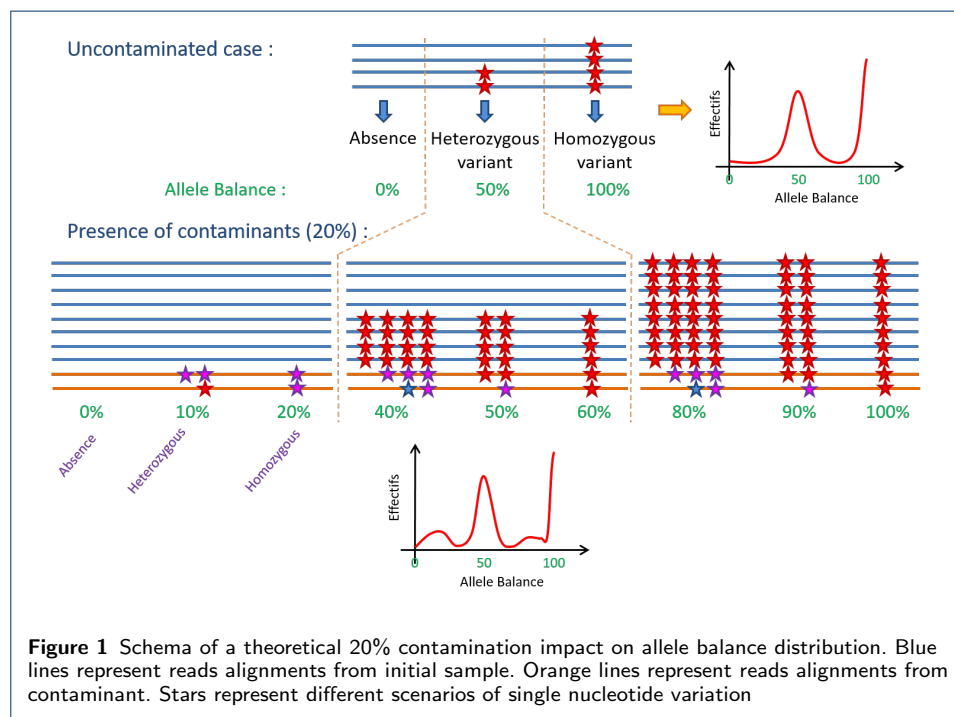


Table 1 Dataset is composed of 188 cases of contamination : 23 levels (0.5; 1.0; 1.5; 2.0; 2.5; 3.0; 3.5; 4.0; 4.5; 5.0; 7.5; 10.0; 12.5; 15.0; 17.5; 20.0; 22.5; 25.0 ; 30.0; 35.0; 40.0; 45.0; 50.0) $\times$ 8 combinations and 4 contamination's free

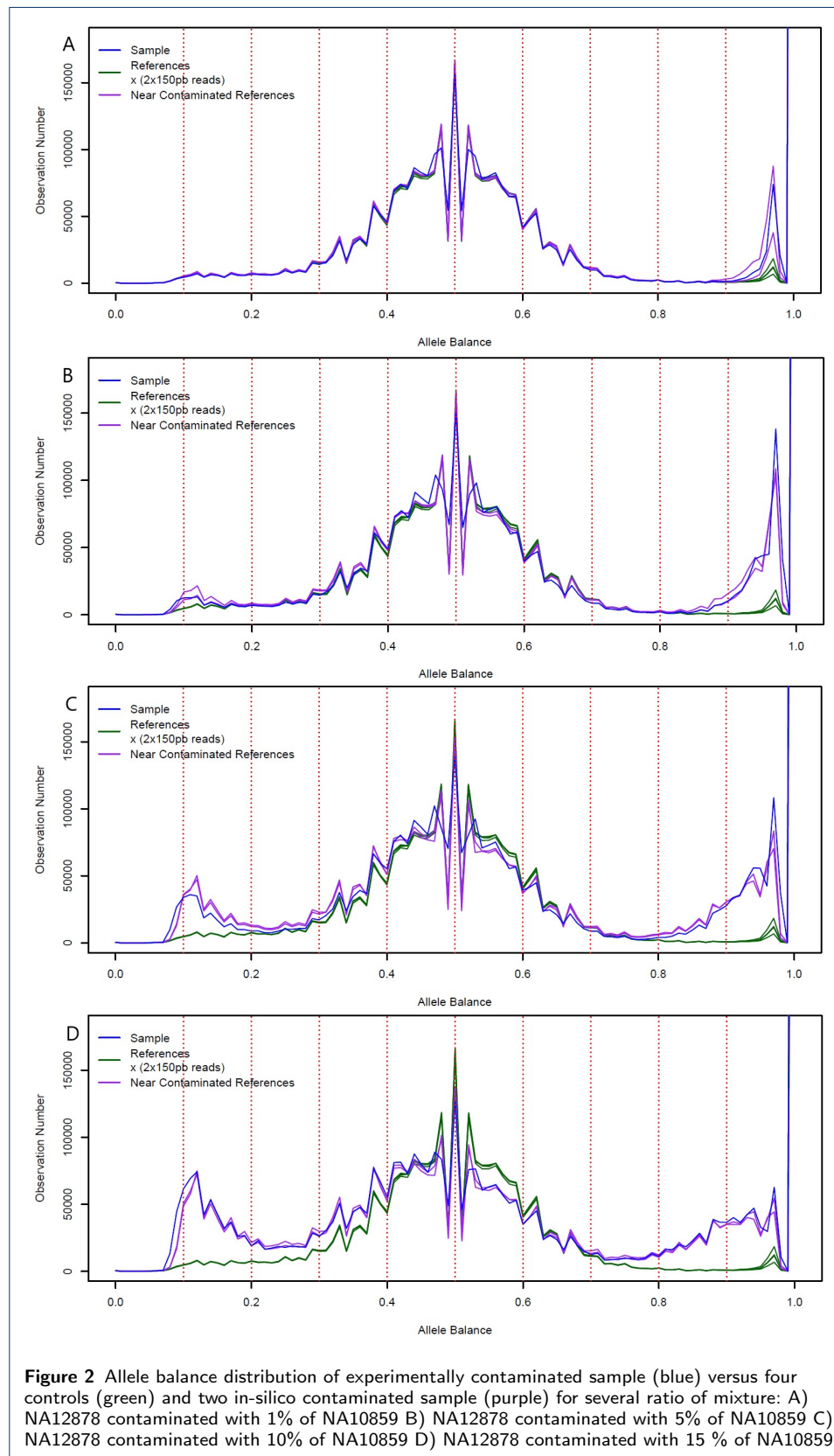
	NA10859	NA12878	NA12891	NA12892
NA10859	-	23 levels	23 levels	23 levels
NA12878	23 levels	-	-	-
NA12891	23 levels	-	-	23 levels
NA12892	23 levels	-	23 levels	-

Table 2 ContaTester and VerifyBamID2 estimations of contamination ratios from 30x Whole Genome Sequencing of experimental sample mixtures

Sample mixture contamination level	VerifyBamID2	ContaTester	
		second order polynomial regression	Pearson's Correlation
1.0%	1.03%	0.87%	1.14%
2.5%	2.50%	2.19%	2.75%
5.0%	4.65%	4.76%	4.09%
10.0%	9.21%	9.62%	8.63%
15.0%	15.49%	17.17%	14.71%

Table 3 Contaminant identification with ContaTester from 30x Whole Genome Sequencing sequencing of experimental sample mixtures

Sample mixture contamination level	Variant count in subset	NA10859	NA12878
		shared variant positions ratio	shared variant position ratio
1.0%	13 500	0.248	0.307
2.5%	17 852	0.404	0.238
5.0%	34 918	0.691	0.127
10.0%	89 679	0.884	0.051
15.0%	153 871	0.914	0.034



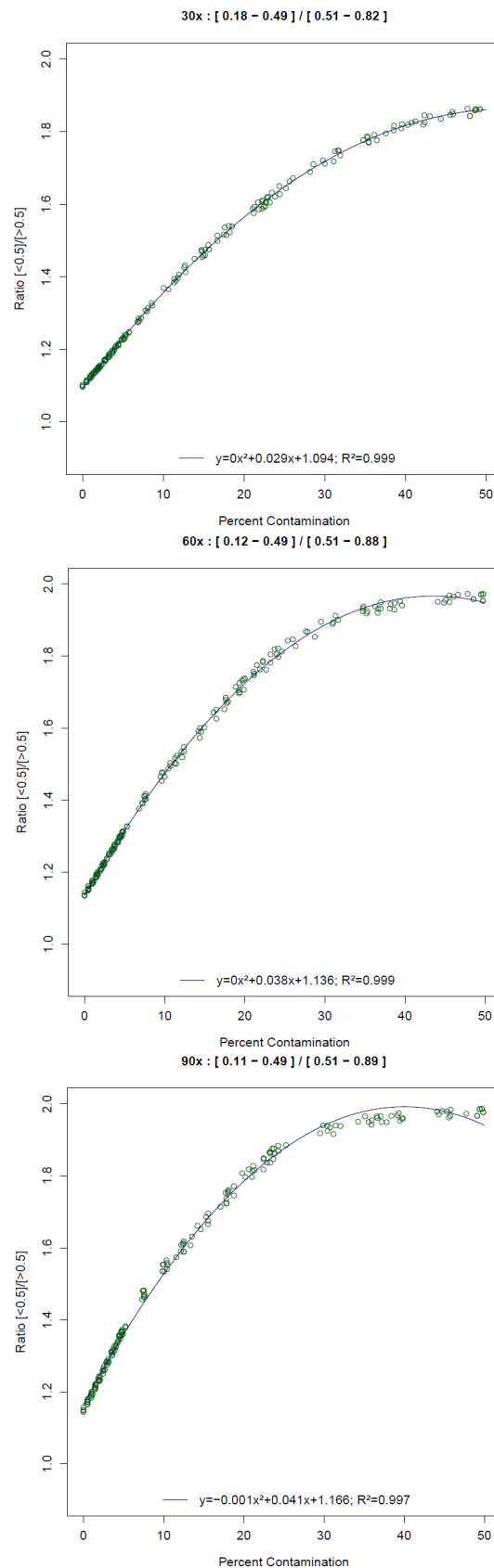


Figure 3 Polynomial regression of variant count ratio in selected allele balance range for contamination estimation at 30x, 60x and 90x

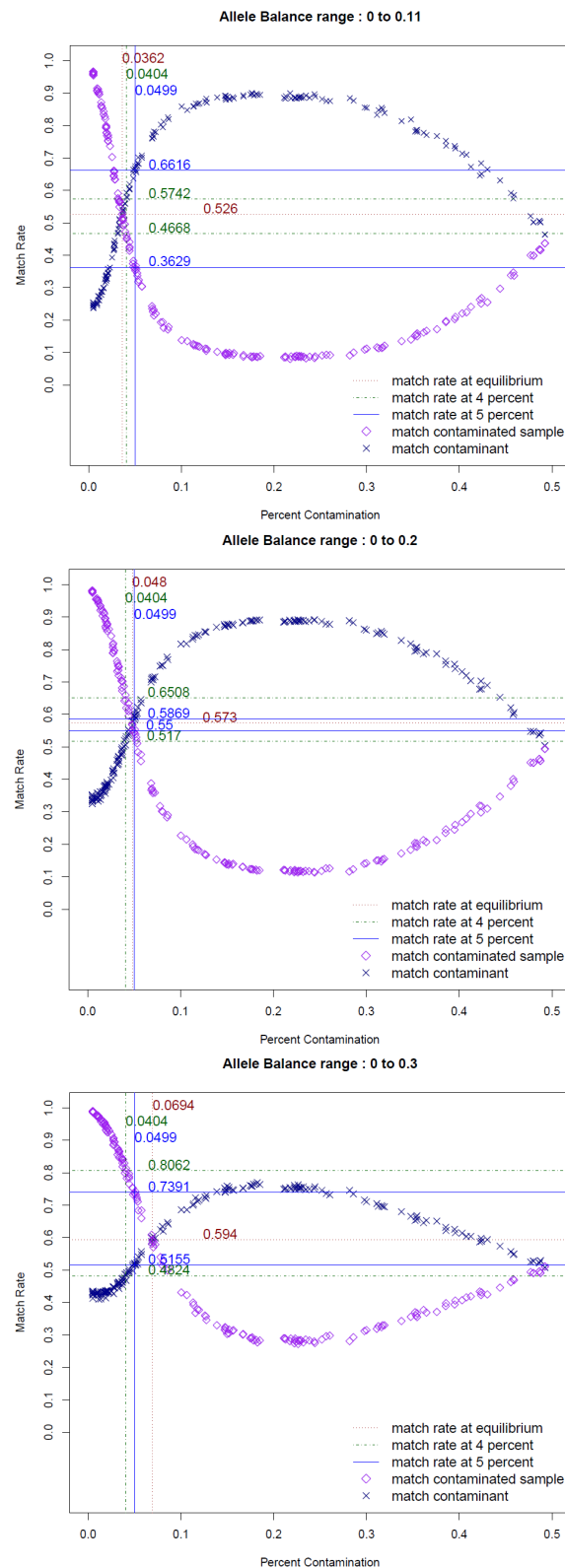


Figure 4 Allele balance range selection for contaminant identification: A) from 0 to 0.11 B) from 0 to 0.2 C) from 0 to 0.3 ; Blue crosses and purple diamonds represent the evolution of the ratio of variants in the AB range belonging respectively to the initial sample and the contaminant for increasing rate of contamination

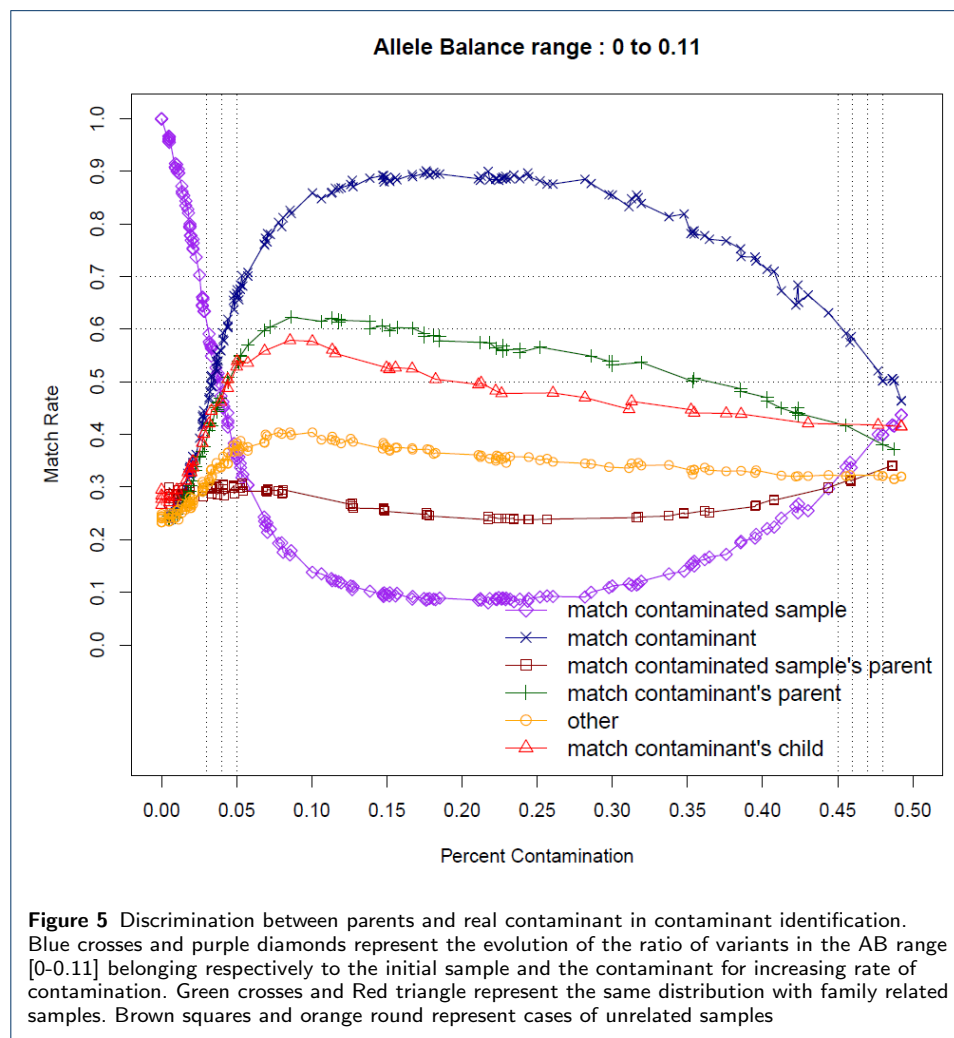


Table 4 ContaTester time and memory consumption depending on the number of samples and treatment, on broadwell Intel® Xeon® CPU E5-2680 v4 @ 2.40GHz

Number of samples	Contaminated samples (> 5%)	Contaminant check	CPU	Parallelization	ContaTester		VerifyBamID2	
					Memory (MB)	Time	Memory (MB)	Time
1	-	-	1	1	165	1'09"	536	42'11"
96	-	-	48	48	201	2'18"	660	1h14'20"
96	10	+	196	48	185	11'08"	-	-
96	32	+	196	48	201	30'53"	-	-
900	-	-	48	48	247	17'47"	660	9h26'52"
900	90	+	196	48	1438	12h29'14"	-	-

Table 5 ContaTester results for contamination evaluation of experimental contamination at 30x in Whole Genome Sequencing, aligned on GRCh38

Sample mixture Contamination level	Correlation	Regression
1.0%	1.14%	1.64%
2.5%	2.75%	2.92%
5.0%	4.09%	5.47%
10.0%	8.63%	10.25%
15.0%	14.71%	17.66%