

1 **Genome assembly and annotation of the tambaqui (*Colossoma macropomum*): an emblematic
2 fish of the Amazon River basin**

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34 **ABSTRACT**

35 *Colossoma macropomum* known as “tambaqui” is the largest Characiformes fish in the Amazon
36 River Basin and a leading species in Brazilian aquaculture and fisheries. Good quality meat and great
37 adaptability to culture systems are some of its remarkable farming features. To support studies into
38 the genetics and genomics of the tambaqui, we have produced the first high-quality genome for the
39 species. We combined Illumina and PacBio sequencing technologies to generate a reference genome,
40 assembled with 39X coverage of long reads and polished to a QV=36 with 130X coverage of short
41 reads. The genome was assembled into 1,269 scaffolds to a total of 1,221,847,006 bases, with a
42 scaffold N50 size of 40 Mb where 93% of all assembled bases were placed in the largest 54 scaffolds
43 that corresponds to the diploid karyotype of the tambaqui. Furthermore, the NCBI Annotation
44 Pipeline annotated genes, pseudogenes, and non-coding transcripts using the RefSeq database as
45 evidence, guaranteeing a high-quality annotation. A Genome Data Viewer for the tambaqui was
46 produced which benefits any groups interested in exploring unique genomic features of the species.
47 The availability of a highly accurate genome assembly for tambaqui provides the foundation for
48 novel insights about ecological and evolutionary facets and is a helpful resource for aquaculture
49 purposes.

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53 **Key words:** cachama; genome; sequencing; characiformes; proteins; transcripts

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68 **INTRODUCTION**

69 The Amazon basin harbors a massive freshwater ichthyo diversity throughout its rivers and tributaries,
70 with 2,406 validated freshwater native fish species from 232,936 georeferenced records
71 [1]. *Colossoma macropomum* is regarded as the largest Characiformes representative found across the
72 Amazon River and its tributaries, with individuals reaching one meter in total length and 30 kg in
73 weight [2] (Figure 1). This species is known by different common names, such as tambaqui in Brazil
74 and cachama negra in Colombia. Tambaquis are omnivore/frugivore benthopelagic fish, and they have
75 an essential ecological role as seed dispersers [3]. They are potamodromous fish, with upstream
76 migration and reproduction taking place in the white waters along the woody shores between
77 November and February [4]. The tambaqui is an important food and income source for Amazonian
78 fishing communities, it is the most farmed native fish species in Brazil, with a production amount to
79 101,079 metric tons in 2019 [5-6].

80 Both the key ecological and economic roles played by the tambaqui have meant that it is a
81 comparatively well studied species, with research to date focusing on its biological adaptations to the
82 Amazon River waters, and on the genetics of production traits to assist selective breeding programs.
83 Transcriptomic characterization of tambaqui exposed to (i) distinct climate change scenarios and (ii)
84 during gonadal differentiation have provided a helpful resource for the understanding of the molecular
85 mechanisms underlying both the adaptation to a future new climate and the process of sex
86 determination [7,8,9]. Other molecular mechanisms related to enzymatic capacity for long-chain
87 polyunsaturated fatty acid biosynthesis have also been confirmed by a functional characterization of
88 core genes in these processes [10,11]. Moreover, the first steps for deciphering the structure and
89 functional dynamics of the tambaqui genome have already been taken, with large-scale SNP discovery
90 allowing the building of a high-density genetic linkage map of the species [12], along with preliminary
91 microRNA identification and characterization [13]. Equally pertinent are the new findings in
92 morphology: specimens lacking intramuscular bones were identified in a fish farm in Brazil; however,
93 the genetic and molecular mechanisms underlying the expression of such desirable phenotypes for the
94 fish market are still unknown [14,15].

95 Considering the great need for increased genetic resources for the tambaqui to assist fisheries
96 management and aquaculture [16], we present herein the first high-quality reference genome for *C.*
97 *macropomum*. This complete set of DNA now represents a valuable resource for evolutionary and
98 functional genomics studies within bony fishes, providing a window of opportunity to reveal tambaqui
99 genome singularities and help develop molecular techniques to improve selective breeding programs.

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102 **METHODS**

103 **DNA isolation, taxonomy identification, and ethics statement.**

104 Genomic DNA was isolated from caudal fin-clip samples from a *C. macropomum* specimen obtained
105 from the germplasm bank maintained by the National Center for research and conservation of
106 freshwater aquatic biodiversity (CEPTA/IBAMA) of the Brazilian Ministry of the Environment. The
107 specimen was a female with 3,5 Kg (Figure 1). To confirm the taxonomic status of the specimen used
108 in this work, we have both (i) carried out an external morphological evaluation [17] and (ii) a
109 preliminary genetic analysis of an initial Illumina run for *C. macropomum* using the kmer-matching
110 tool Seal from BBTools package (v 37.90) [18]. We downloaded the sequences of one mitochondrial
111 and four nuclear genes of *C. macropomum* and its two close relatives, *Piaractus brachypomus* and *P.*
112 *mesopotamicus* (Supplementary Material Table S1). Then we used Seal to ascertain the number of
113 reads with exclusive kmers matching each species' sequences. Out of 264,813,582 reads, 1,278
114 matched *C. macropomum*, 62 matched *P. brachypomus* and none matched *P. mesopotamicus*,
115 confirming the samples identification. We followed the applicable international and national ethical
116 guidelines for the care and use of animals in research. The approval of the Ethics Committee for the
117 Use of Animal registration is placed at the University of Mogi das Cruzes and is numbered #019/2017.
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119 **Sequencing and assembly.**

120 Different data types were produced for the genome assembly of *C. macropomum*. High molecular
121 weight DNA was extracted from muscle and fin clip using MagMAX CORE nucleic acid purification
122 kit (Thermo Fisher Scientific, Carlsbad, CA, USA) to produce PacBio continuous longs reads (CLR)
123 and Illumina paired and jumping reads (Table 2). The produced libraries were sequenced with both
124 PacBio's Single Molecule, Real-Time (SMRT) Sequencing technology using the Sequel system and
125 four SMRT cells at RTL Genomics (Texas, USA) and with Illumina Hiseq2500 V4 equipment at the
126 Functional Genomics Core Facility, Esalq-USP (São Paulo, Brazil). Illumina reads quality were
127 checked with FastQC [19] and trimmed for adaptors and low-quality bases with BBDuk (BBBTools
128 37.90) (SW15-20). The genome size and heterozygosity were estimated by kmer (k=21) analysis
129 (Figure 2A) performed with the sequenced Illumina data using meryl kmer counter, implemented in
130 Canu assembler [20] and genome scope [21].

131 The 21-mers distribution of the Illumina data obeyed the theoretical Poisson distribution
132 (Figure 2A). The genome size was estimated in 1,16 Gb with heterozygosity of 0.62%. Based on these
133 estimations, we sequenced a 39X coverage of the tambaqui genome in long PacBio reads, and 130X
134 in short Illumina reads (Table 1). For the genome assembly, PacBio reads were input to the assembler
135 Flye (v2.5) [22] with parameters 'genome-size 1.5g - pacbio-raw'. Then, the assembly was polished

136 using the Illumina reads with the software Pilon [23] and parameters ‘frags’ for paired reads and
137 ‘jumps’ for mate-pair reads. Finally, the assembly of the tambaqui had one round of purging with
138 PurgeDups [24]. Purging was performed to remove any sequences representing duplicated portions of
139 a chromosome that are erroneously kept in assemblies when the divergence level of those regions in
140 both haplotypes is high. This has removed 1,167 contigs and 26 Mb of haplotypic retention. The final
141 tambaqui genome was assembled into 1,269 scaffolds with a scaff N50=40Mb and a total assembly
142 length of 1,221,847,006 bp (Table 2). A fraction of 93% of the genome is assembled on 54 scaffolds
143 that represent the main tambaqui karyotype [25]. We have also identified the mitochondrial genome
144 (Figure 3) within our assembled genome: it is represented by scaffold NW_023495502.1 that is 16,715
145 bp in length and has a conserved gene content and synteny with *C. macropomum* mitogenome
146 available on NCBI (KP188830.1).

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148 **Repeat sequences and gene annotation.**

149 We identified repeat sequences in *C. macropomum* using homology-based, and *de novo* approaches.
150 A *de novo* library of repeats was created for the tambaqui using RepeatModeler2 package [26]. This
151 library was then combined with RepBase [27] (release 26.04), forming the final ‘teleost’ library with
152 which *C. macropomum* genome repeats were searched. Table 3 presents the repeat summary of *C.*
153 *macropomum*: 52.49% of the genome is composed of repeats, of which 49.78% are interspersed
154 repeats. *C. macropomum* genome was submitted to NCBI for annotation. The robust NCBI Eukaryotic
155 Annotation Pipeline uses homology-based and *ab initio* gene predictions to annotate genes (including
156 protein-coding and non-coding as lncRNAs, snRNAs), pseudo-genes, transcripts, and proteins. Details
157 of the pipeline are described in the NCBI Annotation HandBook
158 (https://www.ncbi.nlm.nih.gov/genbank/eukaryotic_genome_submission_annotation/). Briefly: first,
159 repeats are masked with RepeatMasker [28] and Window Masker [29]. Subsequently, transcripts,
160 proteins, and RNA-Seq from the NCBI database are aligned to the genome with Splign [30] and
161 ProSplign (<https://www.ncbi.nlm.nih.gov/sutils/static/prosplign/prosplign.html>). Those alignments
162 are submitted to Gnomon [31] for gene prediction. Gnomon (i) merges non-conflicting alignments into
163 putative models, then (ii) extends predictions missing a start and a stop codon or internal exon(s) using
164 an HMM-model algorithm. Finally, Gnomon (ii) builds pure *ab initio* predictions where it finds open
165 reading frames of sufficient length but with no supporting alignment detected. Models built on RefSeq
166 transcript alignments are given preference over overlapping Gnomon models with the same splice
167 pattern. Table 4 presents a summary of the annotation of *C. macropomum*. A detailed description of
168 the tambaqui genome annotation can be found on the NCBI Eukaryotic Annotation Page
169 (https://www.ncbi.nlm.nih.gov/genome/annotation_euk/Colossoma_macropomum/100/).

170 **RESULTS AND DISCUSSION**

171 All sequencing data is available on NCBI under the BioProject PRJNA702552, via SRA accession
172 numbers SRX10122091 to SRX10122101. The assembled genome and sequence annotations are
173 available on NCBI with the accession number GCF_904425465.1. The genome sequence and the
174 annotation files - including CDS and proteins - can be downloaded from the NCBI FTP server
175 (https://ftp.ncbi.nlm.nih.gov/genomes/all/GCF/904/425/465/GCF_904425465.1_Colossoma_macropomum/). Finally, a genome DataViewer was built for the tambaqui and can be accessed
176 at https://www.ncbi.nlm.nih.gov/genome/gdv/browser/genome/?id=GCF_904425465.1. This browser
177 is ideal for further exploration of the tambaqui genome especially from groups that are not specialist
178 bioinformaticians, such as geneticists working on selective breeding programs.
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181 **Evaluating the completeness of the genome assembly and annotation.**

182 The final assembly of the tambaqui is 1.2 Gb with a scaffold N50 size of 40.163 Mb (Table 2). Figure
183 2A shows the DNA kmer prediction of genome size done with the Illumina reads produced to polish
184 this assembly. Further, Figure 2B presents a merqury [32] kmer plot of the final assembly: merqury
185 produces a mapping-free evaluation of kmer completeness in genomes by comparing the assembly
186 kmers with raw reads for the specimen. In this case, we used the high-quality Illumina reads (Table 1)
187 to plot the merqury evaluation against the genome kmers. Figure 2B shows that (i) the kmers in the
188 genome are in accordance with its Illumina read kmers, (ii) the assembly kmers have the same
189 distribution of the raw reads kmer (2A), and that (iii) most of the assembly kmers (pink color) are
190 unique in the genome, showing that the final assembly of the tambaqui has low levels of haplotypic
191 retention (blue color). The final phred-like merqury QV score is 36.73 (QV=36.73), meaning that the
192 tambaqui assembled bases are more than 99.9% accurate. The merqury completeness score shows that
193 89.31% of kmers in the Illumina reads are present in the assembly, which is a good recovery of kmers
194 for a species with 0.6% heterozygosity.

195 For the tambaqui genome, 93% of the assembled bases are present in the largest 54 scaffolds.
196 We have performed a first nucleotide synteny analysis of BUSCO genes found in the first 54 scaffolds
197 of *C. macropomum* against the BUSCO genes on genome of *Ictalurus punctatus* [33] using busco2fasta
198 (<https://github.com/lstevens17/busco2fasta>) and Circos [34]. The synteny is presented in Figure 4. *C. macropomum* and *I. punctatus* shared a common ancestor ~150 million years ago [35]. The image
199 shows a good degree of synteny in terms of BUSCO genes, for a number of times entire chromosomes
200 are syntetic. Supplementary Figures S1 and S2 show similar analysis with *C. auratus* [36] and
202 *Astyanax mexicanus* [37] of different levels of relatedness to *C. macropomum* demonstrating the
203 potential of this highly contiguous genome for studies of chromosome evolution.

204 Finally, we have performed a general gene presence analysis of *C. macropomum* genome using the
205 BUSCO software [38] (v5.0.0) and the OrthoDB [39] library actinopterygii_odb10. BUSCOv5 has
206 recovered 96.5% of complete BUSCO genes out of 3,640 genes searched, where 95.5% were complete
207 and single copy, 1.0% were duplicated, 1.0% were fragmented, and 2.5% were missing - once more
208 demonstrating the quality of the tambaqui assembly

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210 **Gene family identification and phylogenetic analysis of *C. macropomum*.**

211 To identify gene families among *C. macropomum* and other species, we downloaded the whole
212 genome predicted protein sequences from the NCBI Eukaryotic Annotation Page of *Oreochromis*
213 *niloticus* (GCF_001858045.2), *Carassius auratus* (GCF_003368295.1), *Danio rerio*
214 (GCF_000002035.6), *Lates calcarifer* (GCF_001640805.1), *Cyprinus carpio*
215 (GCF_000951615.1), *Rhincodon typus* (GCF_001642345.1), *Poecilia formosa*
216 (GCF_000485575.1), *Ictalurus punctatus* (GCF_001660625.1), *Astyanax mexicanus*
217 (GCF_000372685.2), *Oncorhynchus mykiss* (GCF_013265735.2) and *Pygocentrus nattereri*
218 (GCF_001682695.1). We then input this data to Orthofinder [40] (v2.5.2). From all of the proteins
219 imputed from the 12 species, Orthofinder has assigned 97.3% of the proteins to 31,794 orthogroups.
220 There were 10,939 orthogroups with all the species present, and 33 of them consisted of single-copy
221 genes. Those 33 single-copy orthologs were used to generate a phylogeny (Figure 5). First, the single-
222 copy were aligned with MAFFT [41] (v7.455), and alignments were trimmed with trimAL [42] (v1.4.
223 rev15). Then, a supermatrix was created using geneStitcher.py [43], which was imputed to PhyML
224 [44] for a phylogeny with the amino acid substitution model LG and 100 bootstrap replicates. The
225 phylogeny presented herein (Figure 5) is consistent with other studies [45-46].

226

227 **RE-USE POTENTIAL**

228 Seasonal and long-term modifications in environmental conditions are well-acknowledged with
229 periodic events of low water dissolved oxygen leading to hypoxia and even anoxia. Tambaqui is one
230 of the amazon fish species that developed adaptions to deal with this, such as enlarging the lower lip
231 to grasp oxygen better to survive in hypoxia. These, along with other fish adaptations to the Amazon
232 aquatic ecosystem, are intriguing scientific questions that could be scientifically addressed using the
233 present well-assembled and annotated tambaqui genome. Moreover, the availability of this annotated
234 genome will pave the way to spur the development of tools for the genomic breeding programs of
235 tambaqui, the most important native species for aquaculture in South America.

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238 **AVAILABILITY OF SUPPORTING DATA**

239 The datasets generated and analyzed during the current study are available on NCBI under the SRA
240 numbers SRX10122091 to SRX10122101. The assembled genome and sequence annotations are on
241 NCBI under the accession number GCF_904425465.1. The genome sequence and the annotation
242 files - including CDS and proteins - can be downloaded from the NCBI FTP
243 server (https://ftp.ncbi.nlm.nih.gov/genomes/all/GCF/904/425/465/GCF_904425465.1_Colossoma_macropomum/). A DataViewer can be accessed
244 at https://www.ncbi.nlm.nih.gov/genome/gdv/browser/genome/?id=GCF_904425465.1.
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247 **COMPETING INTERESTS**

248 The authors declare no competing interests.
249

250 **AUTHOR CONTRIBUTIONS**

251 AWSH, LLC, and DP designed and conceived this work; AWSH collected the samples; AWSH, MUS, DP,
252 LLC, VMDAV wrote the manuscript; MUS and HM coordinated and carries out the bioinformatics analyses;
253 AWSH, LLC and DP revised the manuscript. All authors read and approved the final manuscript.
254

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264

265 **ADDITIONAL INFORMATION**

266 Correspondence and requests for materials should be addressed to AWSH, DP or MUS.
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407 **Table 1:** Summary of genome sequencing data generated with multiple sequencing technologies.
408 Sequencing coverage was based on the estimated genome size (1,16Gb) generated for *C.*
409 *macropomum* by kmer analysis (k=21) of the Illumina sequencing data.

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Library Type	Insert Size (bp)	Raw Data (Gb)	Clean Data (Gb)	Average Read Length (bp)	N50 Read Length (bp)	Clean data sequencing coverage (X)
Illumina (R1 and R2)	400	59.08	52.93	100	--	44.89
Illumina (R1 and R2)	4000	78.81	57.69	81	--	49.7
Illumina (R1 and R2)	8000	55.59	41.31	83	--	35.6
Pacbio CLR	--	45.58	---	9749	17667	39.2
Total						169.39

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431 **Table 2:** Final statistics for the genome assembly of *C. macropomum*.

	Contig length (bp)	Scaffold length (bp)	Number of Contigs	Number of Scaffolds
Total	1,221,809,066	1,221,847,006	1687	1269
Max	26,165,397	63,817,184	---	---
N50	5,645,235	40,163,545	54	14
N90	655,952	2,856,822	300	33

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457 **Table 3.** Repeat annotation: Annotation of repeats done for *C. macropomum* with a *de novo* library
 458 built with RepeatModeler added to a Repbase teleost library. The final library was used as input to
 459 RepeatMasker.

Bases masked: 641,307,197 bp (52.49%)	Number of elements*	Length occupied	% of sequence
Retroelements	131365	35210915	2.88
SINEs:	3369	162823	0.01
Penelope	2614	206056	0.02
LINEs:	88299	25531727	2.09
CRE/SLACS	5	195	0
L2/CR1/Rex	54941	16069764	1.32
R1/LOA/Jockey	1613	158940	0.01
R2/R4/NeSL	688	137427	0.01
RTE/Bov-B	9260	3512602	0.29
L1/CIN4	9819	2801917	0.23
LTR elements:	39697	9516365	0.78
BEL/Pao	1824	655410	0.05
Ty1/Copia	3452	196980	0.02
Gypsy/DIRS1	17593	6224074	0.51
Retroviral	13302	1948492	0.16
DNA transposons	428117	94637950	7.75
hobo-Activator	50751	5464720	0.45
Tc1-IS630-Pogo	270090	78887086	6.46
PiggyBac	3206	517597	0.04
	4980	440554	0.04
Tourist/Harbinger			
Other (Mirage, P-element, Transib)	1414	117503	0.01
Rolling-circles	9904	2012553	0.16
Unclassified:	2468233	478402494	39.15
Total interspersed repeats		608251359	49.78
Small RNA:	2641	167105	0.01
Satellites:	15326	2676106	0.22
Simple repeats:	435230	23721925	1.94
Low complexity	51965	4532860	0.37

460 ** most repeats fragmented by insertions or deletions have been counted as one element

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468 **Table 4.** Summary of the annotated features of *C. macromapum* genome

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Feature	<i>Collossoma macropomum</i>
Genes and pseudogenes	31,149
protein-coding	26,670
non-coding	3,279
CDSs	
fully-supported	43,938
With >5% ab initio	1,648
partial	267
Protein assigned RefSeq(XP_)	43,618
Mean CDS length (bp)	2,011
Mean intron length (bp)	2,631
Mean exon length (bp)	280
Mean exon per gene	12.02

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Detailed annotation report can be found at:

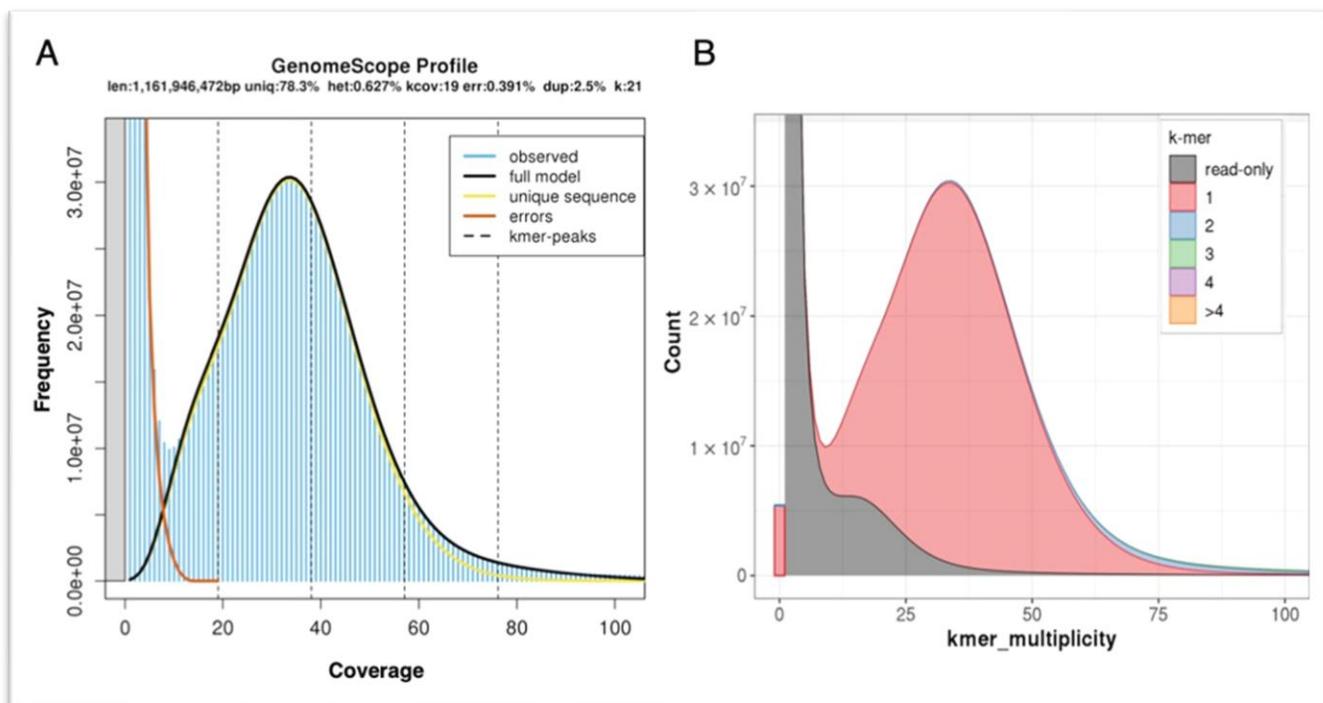
https://www.ncbi.nlm.nih.gov/genome/annotation_euk/Collossoma_macropomum/100/#BuildInfo

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501 **Figure 1.** *Colossoma macropomum* individual used for the whole sequencing.
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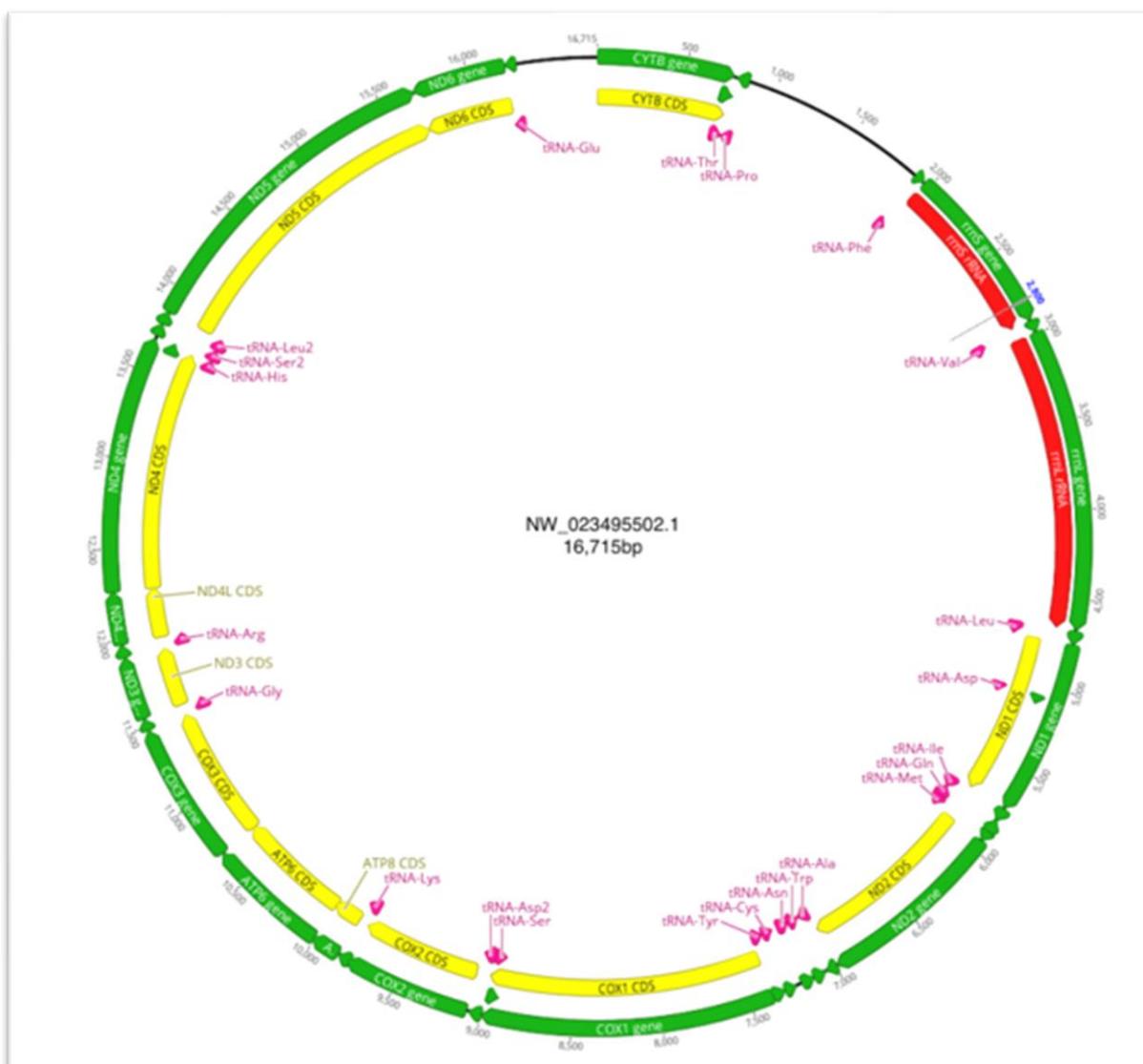
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521 **Figure 2. (A)** Kmer composition of sequenced short Illumina reads (Table 1) of the tambaqui *C.*
522 *macropomum*. **(B)** A merqury kmer analysis of the final tambaqui genome bases against its
523 sequenced Illumina reads.

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542 **Figure 3.** Mitogenome of *C. macropomum*

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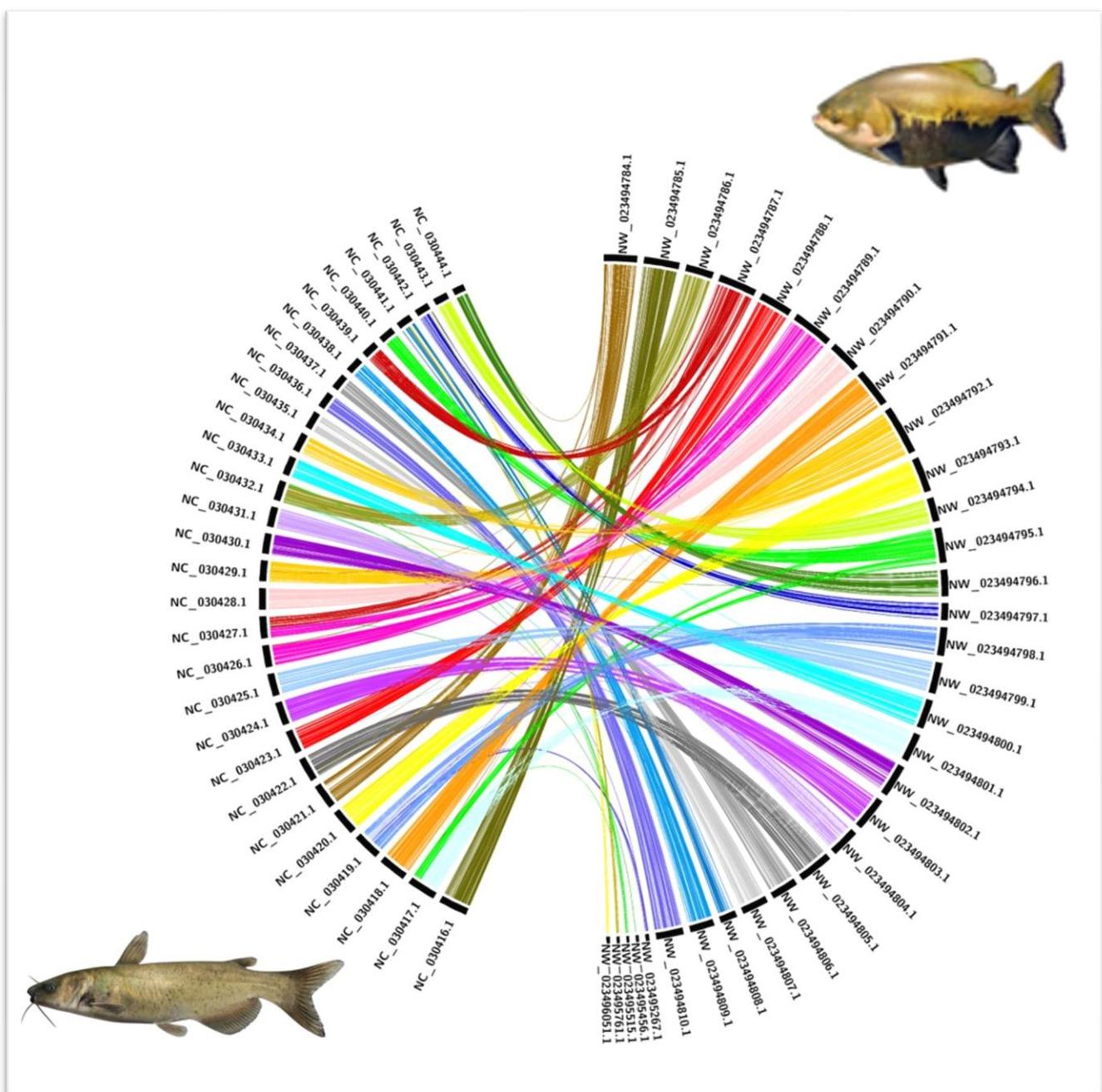
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554 **Figure 4.** BUSCO genes synteny of *C. macropomum* (tambaqui; on the right side) and *Ictalurus*
555 *punctatus* (channel catfish; on the left side). Synteny analysis of single copy genes reveal low
556 conservation of homologous gene order between the species. The majority of *C. macropomum* genes
557 are pulverized into several linkage groups of *I. punctatus* genome, which may reflect different genome
558 evolutionary events experienced by them.

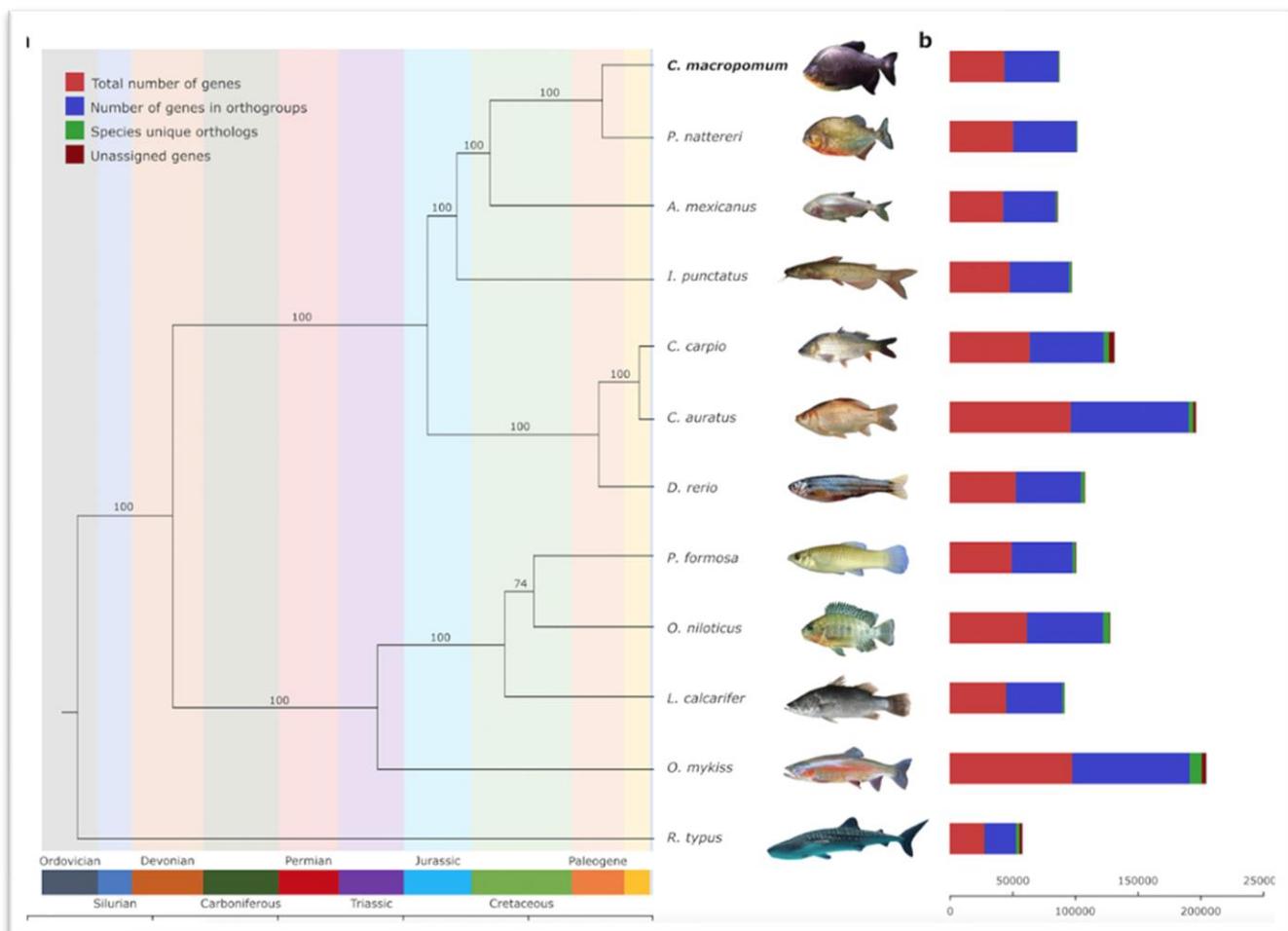
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Figure 5. Whole-genome-predicted single copy orthologs phylogeny of 12 fish species including the newly sequenced genome of *C. macropomum*. To the right of the phylogeny bars show the proportion of different types of orthologs assigned by Orthofinder in each species.