

1 **Interferon-stimulated and metallothionein-expressing macrophages are**
2 **associated with acute and chronic allograft dysfunction after lung transplantation**

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16

1 **Abstract**
2

3 Lung transplant (LT) recipients experience episodes of immune-mediated acute lung allograft
4 dysfunction (ALAD). ALAD episodes are a risk factor for chronic lung allograft dysfunction
5 (CLAD), the major cause of death after LT. We have applied single-cell RNA sequencing
6 (scRNAseq) to bronchoalveolar lavage (BAL) cells from stable and ALAD patients and to cells
7 from explanted CLAD lung tissue to determine key cellular elements in dysfunctional lung
8 allografts, with a focus on macrophages. We identified two alveolar macrophage (AM) subsets
9 uniquely represented in ALAD. Using pathway analysis and differentially expressed genes, we
10 annotated these as pro-inflammatory interferon-stimulated gene (ISG) and metallothionein-
11 mediated inflammatory (MT) AMs. Functional analysis of an independent set of AMs *in vitro*
12 revealed that ALAD AMs exhibited a higher expression of CXCL10, a marker of ISG AMs, and
13 increased secretion of pro-inflammatory cytokines compared to AMs from stable patients. Using
14 publicly available BAL scRNAseq datasets, we found that ISG and MT AMs are associated with
15 more severe inflammation in COVID-19 patients. Analysis of cells from four explanted CLAD
16 lungs revealed similar macrophage populations. Using a single nucleotide variation calling
17 algorithm, we also demonstrated contributions of donor and recipient cells to all AM subsets early
18 post-transplant, with loss of donor-derived cells over time. Our data reveals extensive
19 heterogeneity among lung macrophages after LT and indicates that specific sub-populations may
20 be associated with allograft dysfunction, raising the possibility that these cells may represent
21 important therapeutic targets.

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23

1 **Introduction**

2

3 Lung transplantation (LT) is a life-saving treatment option for advanced lung disease (1), but
4 long-term survival after LT remains relatively poor, despite recent improvements (2). The main
5 factor limiting long-term survival is chronic lung allograft dysfunction (CLAD), a progressive
6 fibrotic process that destroys the lung allograft and is driven by inflammation and alloimmunity.
7 Primary graft dysfunction, acute cellular rejection, antibody-mediated rejection and infection are
8 among the main risk factors for CLAD, but how these insults drive CLAD development remains
9 unknown, because the cellular mechanisms that link these risk factors to organ fibrosis remain
10 incompletely understood.

11

12 Bronchoalveolar lavage (BAL) allows sampling of immune cells in the distal pulmonary
13 compartment. Previous BAL cellular phenotyping studies have focused on associations between
14 clinically defined entities (e.g. CLAD) and a limited number of predetermined cell subsets in the
15 BAL (reviewed in (3, 4)), including neutrophils (5) and lymphocytes (6). However, classifying
16 BAL cells in this way underestimates their heterogeneity and overlooks contributions of cell
17 populations not expressing the markers employed. Further, flow cytometric analysis of alveolar
18 macrophages (AMs) – the most abundant cell population in the BAL – is significantly hindered
19 by autofluorescence.

20

21 AMs play a critical role in maintaining pulmonary homeostasis through interactions with the
22 alveolar epithelium, and during acute inflammation by orchestrating pro-inflammatory and
23 profibrotic responses through phagocytosis and secretion of inflammatory cytokines and
24 reparative molecules (7-10). The ontogeny of AMs differs significantly between conditions of
25 homeostasis and inflammation. Murine studies suggest that most tissue resident AMs arise
26 during embryogenesis and self-maintain with a minimum contribution from peripheral
27 monocytes (11-13). In contrast, a single-cell RNA sequencing (scRNAseq) study of BAL cells
28 from sex-mismatched LT recipients showed that donor AMs in the human lung are replaced by
29 recipient monocyte-derived macrophages (14). The use of scRNAseq to study AMs has the
30 potential to deepen our knowledge of this cell population by allowing us to uncover important
31 heterogeneity within it.

32

33 In recent years, scRNAseq has been applied to the study of lung cells including AM, both in
34 humans and in animal models (14-24). Two recent studies of BAL cells in patients with COVID-
35 19 infection have focused on tracking viral RNA in infected cells (25) and studying immune
36 responses to the disease (26). Both reports revealed substantial differences between AMs from
37 patients with mild disease compared to those with illness. BAL cells have also been studied using
38 scRNAseq in transplant recipients (14) and healthy controls (15). However, to our knowledge, no
39 study has specifically examined the association between disease severity and AM transcriptional
40 states at single cell resolution.

1
2 Here, we applied scRNAseq to BAL cells from LT recipients with stable lung function or acute
3 lung allograft dysfunction (ALAD) and to cells from CLAD lung tissue to test the hypothesis that
4 pathogenetically important AM transcriptional states might emerge during lung allograft
5 dysfunction. We observed 14 distinct gene expression programs with evidence for functional
6 specialization of AMs in the BAL; further, two distinct inflammatory macrophage populations
7 seen only in ALAD BAL samples and CLAD lung tissue mirrored similar cellular states in a public
8 dataset of BAL samples obtained from patients with severe COVID-19.
9

1 **Materials and Methods**

2

3 Ethics statement: The study was approved by University Health Network Research Ethics Board
4 (15-9531). All participants provided written informed consent for sample collection and
5 analyses.

6

7 Study design and patient selection: Six (one female and five male) LT recipients were selected,
8 three with acute lung allograft dysfunction (ALAD, defined as a decrease in the forced
9 expiratory volume in one second [FEV₁] by 10% or more from the maximum of the two
10 preceding FEV₁ measurements) and three with stable lung function. Patients with suspected
11 infection (focal opacities on chest imaging and/or mucopurulent secretions at bronchoscopy)
12 were excluded. Demographic characteristics of the study participants are provided in Table 1.

13

14 BAL sample collection: Patients underwent bronchoscopy for surveillance or for diagnosis of
15 ALAD. BAL was obtained according to a standard protocol in accordance with international
16 guidelines (2). Fresh BAL samples were transferred to the research laboratory on ice within 10
17 minutes of acquisition.

18

19 scRNAseq sequencing and data analysis: Cells from stable (n=3; 3044 ± 1519 cells) and ALAD
20 (n=3; 2593 ± 904 cells) BAL underwent barcoding and library construction using 10X Genomics
21 3' expression V2 chemistry. Library constructs underwent scRNAseq. Data were analysed using
22 packages in R including Seurat for QC, clustering workflow, and sample integration, SingleR for
23 cell annotation, and ClusterProfiler for pathway analysis.

24

1 Results

2

3 Functional diversity of AMs from patients with stable lung function

4 BAL samples were collected at varying post-LT times from patients who were either stable (n=3)
5 or experiencing ALAD (n=3) (Fig 1A and Table 1). Single cell transcriptomes from each sample
6 were analyzed separately, following standard quality control protocols (Fig S1). We applied a
7 reference-based annotation algorithm (ClusterR) and manual analysis of the top differentially
8 expressed genes to identify cell populations (Fig S2A-C), demonstrating that the samples
9 contained epithelial, endothelial and immune cells; most were AM. Cell-cell interaction analysis
10 using CellChat (27) suggested that interaction amongst AMs are stronger and more complicated
11 than between AMs and other cells (Fig S2D, E). Given the predominance of AM over other cell
12 populations in the BAL samples, we next examined these cells in greater depth.

13

14 The total number of AMs in the 3 stable BAL samples ranged from 1769 to 4681. We randomly
15 selected a 1000-cell subset (downsample) of AMs from each stable sample to optimize integration.
16 Next, we used SCTransform (28) to integrate scRNASeq data from all three stable samples (Fig
17 S3A) and data from all three ALAD samples (Fig S3B) to minimize batch effects arising from
18 different sequencing runs. Expression of known macrophage transcripts confirmed that the cells
19 were AMs (Fig S4). Clustering revealed 12 subsets (Fig 1B). We then integrated differentially
20 expressed genes and gene set enrichment analysis (GSEA) (Fig S5A) with data reported in the
21 literature to annotate each cluster based on either putative function or cycling status (Fig S5B). We
22 identified three clusters with specialized anti-microbial functions (PLAC8⁺: extracellular pathogen
23 defense; IFI27⁺: viral defense; CTNNB1⁺: intracellular bacterial defense) (29-31), two with
24 specialized immune regulatory functions (SOD2⁺: anti-inflammatory, CD32b⁺: resident Ig-
25 regulated), one with sterol-synthesizing function (MSMO1⁺) (32), one with potential scavenger
26 function (SCD⁺) (33), one with potential healing and/or profibrotic genes (FN1⁺) (34), one with a
27 higher expression of inflammatory markers (CTSC⁺: M1-like, recruited, inflammatory), one with
28 several eukaryotic initiation factors (EIF) (35) and two AM populations in the cell cycle (18, 36).
29 Of the latter, one had G2/M phase transcripts while the other had S phase transcripts. These data
30 suggest that each AM cluster may have distinct functional properties, some of which have been
31 ascribed to AMs previously (Table 2). Fig 1C shows the most differentially expressed genes that
32 were also expressed in the majority of the cells in each cluster. We also analyzed the incoming and
33 outgoing ligand/receptor signaling network for each cluster (Fig S6) to gain insight into how the
34 clusters may interact with each other.

35

36 To further evaluate similarities and differences between AM subsets based on their ontogeny, we
37 performed pseudotime trajectory analysis using Slingshot (37) (Fig 1D). This suggested that
38 CD32b⁺ AMs have recently differentiated, whereas cycling AMs were found at the end of the
39 trajectory. Next, we examined whether any of the identified AM clusters could be classified as
40 M1- or M2-polarized macrophages based on known genes (38). In stable BAL samples, cluster 5

1 differentially expressed 4 genes associated with M1 polarization while cluster 8 differentially
2 expressed 3 genes associated with M2 polarization (Fig S5C). Overall, however, expression of
3 canonical M1- and M2-associated genes was distributed over multiple cell clusters, rather than
4 being restricted to specific clusters. Since fetal yolk sac-derived and recruited monocyte-derived
5 macrophages can contribute to the AM compartment, we further examined differential expression
6 of genes associated with these populations (15). Interestingly, the M1-like CTSC+ cluster
7 differentially expressed *CD14*, *CD74*, *APOE* and *C1QC* – which are associated with monocyte-
8 derived macrophage populations. The M2-like CD32b+ cluster, by contrast, most strongly
9 expressed *S100A10*, *CRIP1*, *S100A4*, and *MRC1* which are associated with tissue-resident yolk
10 sac-derived AM (Fig 1E). Taken together, these observations suggest that the CTSC+ and CD32b+
11 clusters express genes classically associated with M1-like monocyte-derived AMs and M2-like
12 resident AMs, respectively; however, these two populations are only a minority of AMs in LT
13 BAL.

14

15 Unique macrophages are found in BAL samples from patients with ALAD

16 Next we compared the transcriptomes of AMs from stable LT patients to those from patients with
17 ALAD. As with the stable samples, we analyzed 1000 randomly selected AMs from ALAD
18 samples and observed a similar degree of AM heterogeneity (Fig 2A, S7), with 13 distinct clusters.
19 However, review of the top differentially expressed genes and pathway analysis (Fig S8)
20 demonstrated that these clusters differed compared to those in stable samples. To identify
21 similarities and differences between AM subsets in the two groups, we used Clustermap to
22 compare differentially expressed genes by cluster from stable (data file S1) and ALAD (data file
23 S2) samples. The two groups shared 11 common AM subsets, whereas there were two unique
24 clusters in ALAD samples (Fig 2B-C and Fig S9A); these clusters expressed either interferon-
25 stimulated genes (ISGs) or metallothioneins (MTs). Comparing the frequency of equivalent pairs
26 of clusters in stable and ALAD samples, there were three additional clusters present at lower
27 relative frequency (>20% difference in representation between the two groups) in stable samples
28 (Fig 2C). In addition, while some ALAD AMs were in G2/M phase (Fig S9B), cells in this phase
29 of the cell cycle only formed a distinct cluster in samples from stable patients. This observation
30 suggests that there may be less transcriptional diversity among AMs in stable compared to ALAD
31 samples, allowing greater resolution of S and G2/M phase AMs; alternatively, ALAD AMs might
32 be more rapidly cycling, decreasing our ability to resolve differences between these phases of the
33 cell cycle.

34

35 We delved deeper into the niche occupied by ISG and MT AMs by examining top differentially
36 expressed genes, pathways and potential interactions of these cell clusters. Several highly
37 expressed transcripts in the ISG cluster are involved in pro-inflammatory pathways, including
38 *IFITM2*, *IFITM3*, *ISG15*, and *ISG20* in addition to *CXCL10*; the products of these genes promote
39 lymphocyte trafficking and B cell activation in the transplantation setting (39) as well as in other
40 inflammatory diseases (40). Our analysis showed that ISG AMs potentially interact with other
41 AMs via a GALECTIN9-HAVCR2 pathway (Fig 2D top panel), which is known to promote

1 macrophage-mediated inflammation (41, 42). Similarly, MT AMs are likely to interact with other
2 AMs through the RESISTIN-CAP1 pathway, which induces a pro-inflammatory response in
3 macrophages (43) (Fig 2D, bottom panel).

4
5 Next, we examined the differentiation of MT and ISG AMs using pseudotime analysis. This
6 revealed that these cells arise at a similar stage (Fig 2E). Despite small differences in pseudotime
7 branching, the general trajectory patterns for ALAD and stable samples were similar. In ALAD,
8 MT and ISG AMs were in the early-intermediate part of the pseudotime trajectory, suggesting that
9 they may have recently differentiated from recruited monocytes in response to specific stimuli.

10
11 CXCL10+ AMs exhibit a pro-inflammatory phenotype *in vitro*
12 To determine whether AMs associated with ALAD could be identified based on cell-associated
13 proteins, we obtained an independent set of 7 BAL samples (n=4 stable and n=3 ALAD) and
14 stimulated the cells overnight in the presence or absence of lipopolysaccharide (LPS). We used
15 flow cytometry to identify ISG AMs (full gating strategy is presented in Fig S10) by staining for
16 CD163 and intracellular CXCL10. The percentage of CXCL10-expressing AMs was lower in BAL
17 samples from stable patients but, with LPS stimulation, the proportion of CXCL10+ AMs in
18 increased to the level seen in ALAD samples (Fig 3A). However, no further increase in CXCL10+
19 AMs was seen in ALAD samples in response to LPS, suggesting that only a limited number of
20 AMs can acquire this phenotype. We analyzed cytokine and chemokine levels in the AM culture
21 supernatants using a 13-parameter multiplexed cytokine assay. In keeping with the greater
22 presence of CXCL10+ AMs in ALAD samples, IL-6, TNF α , IFN γ and CXCL10 were released in
23 greater quantities by AMs from ALAD compared to stable samples (Fig 3B). These findings
24 validate the observation that ISG AMs are associated with ALAD in LT patients.

25
26 Similarities and differences between AM subsets in ALAD and publicly available BAL datasets
27 To determine whether ISG and MT AMs are associated with inflammation outside the LT context,
28 we investigated publicly available scRNASeq data from two studies, one focusing on the cellular
29 composition of BAL from healthy controls (15) and another on BAL from COVID-19 patients
30 (26). In healthy controls, Mould et al. described two macrophage clusters (m5 and m6) with
31 features of ISG and MT AMs, respectively (15). We therefore integrated their data with our ALAD
32 samples (Fig 4A). Based on expression level and cell frequency, the ALAD samples contributed
33 more AMs to these clusters than did healthy control BAL samples (Fig 4B-C). We also found ISG
34 and MT AMs in BAL samples from COVID-19 patients (clusters 0 and 1 for ISG and 22 for MT
35 in Liao et al. (26)). In keeping with the notion that these AM populations mediate lung
36 inflammation, most AMs in these clusters originated from patients with severe, rather than
37 moderate, COVID-19 (Fig 4D-F). Clusters expressing the top differentially expressed genes in
38 ISG and MT AMs are shown in Fig 4E-F, respectively.

39
40 ALAD-associated macrophages are found in CLAD lung tissue

1 We next studied the transcriptomes of macrophages from fresh explanted CLAD lung tissue
2 samples obtained at the time of re-transplantation for bronchiolitis obliterans syndrome (n=4)
3 using the same approach taken stable BAL samples. CLAD tissue macrophages exhibited
4 heterogeneity (Fig 5A). A review of the top differentially expressed genes (Fig S11) demonstrated
5 that many of these clusters differed from BAL AMs, presumably because these samples contain
6 both AMs and interstitial macrophages. Importantly, however, CLAD lung macrophages included
7 distinct clusters with ISG and MT gene signatures (Fig 5B). The current consensus on healthy and
8 diseased lung tissue macrophages holds that there are three main populations: FABP4^{hi}, SPP1^{hi},
9 and FCN1^{hi} (19, 44-46). Although these three genes are represented in different CLAD lung
10 macrophages, they do not account for all BAL AMs and CLAD tissue macrophages in our samples
11 or those of others (Fig 5C). Nevertheless, FABP4 expression – which has been associated with
12 inflammation (44) – was clearly higher in ALAD BAL compared to stable samples and healthy
13 control BAL from Mould et al. (15) (Fig 5C). We also observed that expression of SPP1 and FCN1
14 was greatest in macrophages from ALAD BAL and CLAD tissue, and nearly absent in BAL from
15 stable LT recipients and healthy controls. While FABP4 seems to be expressed broadly across
16 most ALAD and CLAD macrophages (Fig 5C, right column), the expression of SPP1 and FCN1
17 is more restricted and distinct from ISG and MT macrophages (c.f. Figs 1B, 2A and 5C, bottom
18 row). Of all sample types, FCN1 and SPP1 were most prominent in CLAD lung tissue (Fig 5C,
19 bottom row), suggesting that they may be primarily expressed in interstitial macrophages.
20

21 Donor and recipient-derived cells contribute to all AM populations

22 Work by others (11, 36, 47, 48) and the data presented here illustrate that the AM compartment
23 contains cycling cells that presumably permit self-renewal. In LT, this raises the question of
24 whether and how long donor AMs persist in the allograft recipient. This issue has been addressed
25 using HLA mismatching or detection of Y chromosomes in sex-mismatched LT, which have
26 suggested an exceptionally long-term persistence of donor AM (49, 50). We chose to examine this
27 question using an SNV calling algorithm that allowed us to infer donor and recipient origins of
28 cells – without reference to genomic sequence data – by determining which SNVs were present in
29 the majority of epithelial cells in the sample (Fig 6A). We observed replacement of donor-derived
30 by recipient-derived AM over time post-transplant (Fig 6B), but in contrast to prior reports, most
31 of the replacement occurs within the first-year post-transplant. At 3 months post-transplant, most
32 AM were of donor origin; at 12 months post-transplant, only 10% of AM were donor-derived and
33 by 24 months, AM were exclusively recipient-derived (Fig 6C). These findings reveal a somewhat
34 faster replacement of donor AMs than has been reported previously.
35

1 **Discussion**

2
3 In this study, we used scRNAseq to compare macrophage transcriptional states in BAL samples
4 from LT recipients with either stable lung function or ALAD, and from explanted CLAD lung
5 tissue. We focused on macrophages because they represent the majority of BAL cells, and the
6 behaviour of AMs in relation to lung allograft dysfunction has not been investigated in detail. Our
7 data reveal a previously unappreciated diversity in LT AMs, with evidence for functional
8 specialization among the populations. In agreement with previous reports (18, 51, 52), our data
9 show that the M1 vs. M2 paradigm inadequately captures AM diversity.

10
11 We observed substantial differences – despite our small sample size and the high genetic diversity
12 associated with human subjects – between stable and ALAD patients. Most notably, ISG and MT
13 AMs were uniquely represented in ALAD samples. An examination of differentially expressed
14 genes in ISG AMs suggested that different pro-inflammatory cascades have been induced,
15 probably in response to IFN γ , including guanylate-binding proteins (GBPs), cytokines and
16 chemokines (CXCL10, CCL4, and CCL2), in addition to several members of the ISG family.
17 Although the IFN γ response in macrophages is typically associated with infection, it is likely that
18 ISG AMs in our ALAD samples were responding to alloimmune-mediated inflammation since
19 patients with infection were excluded. However, it remains unclear whether this cluster of cells
20 represents a developmentally distinct macrophage population, or whether the activation of an ISG
21 program simply reflects the effects of IFN γ on a susceptible subset of AMs. Since these cells were
22 absent from stable BAL samples that underwent scRNAseq and only represented in small
23 proportions of stable samples subjected to flow cytometry, we favour the latter explanation.
24 Importantly, AMs isolated from stable patients produced less CXCL10 – one of the most
25 differentially expressed genes in the ISG cluster – than AMs from ALAD patients. This finding
26 validates the scRNAseq observations at a phenotypic level. Nevertheless, it was possible to elicit
27 CXCL10 expression in stable AMs that was comparable to that of ALAD AMs using LPS,
28 indicating that the AM phenotypes we describe here are dynamic.

29
30 MT AMs – also uniquely associated with ALAD – have not previously been shown to participate
31 in alloimmunity. MTs are a family of metal-binding proteins that maintain homeostasis of zinc and
32 copper, mitigate heavy metal toxication, and alleviate superoxide stress. They also mediate
33 inflammatory responses and antimicrobial defense (53). It has been suggested that MT expression
34 in macrophages is required for pro-inflammatory responses (54). We are unaware of previous data
35 implicating MT-expressing macrophages in LT; whether they participate in the pathogenesis of
36 allograft dysfunction requires further investigation.

37
38 Nevertheless, our observation that ISG and MT macrophages are present in CLAD lung tissue
39 confirms their relationship to progressive allograft dysfunction. Further, that these cellular
40 populations are associated with disease severity in COVID-19 and are present in only small

1 numbers in healthy lungs supports their role in lung inflammation more generally. Identification
2 of specific disease-associated macrophages may facilitate the discovery of targeted therapies for
3 inflammatory lung diseases and lung allograft dysfunction in the future.

4
5 In support of this notion, we also observed remarkably extensive cell-cell communication networks
6 amongst AMs in both stable and ALAD patients, suggesting the existence of complex feedback
7 and feed-forward loops between these cells in the bronchoalveolar niche; in contrast,
8 communication between AMs and T cells appeared to be more limited, with predicted pathways
9 primarily restricted to chemokine signalling. These findings will require further study, but they
10 suggest that control of the inflammatory tone of the alveolar space in LT recipients is subject
11 primarily to complex inter-relationships between AMs rather than AM-T cell interactions.

12
13 Our SNV data confirmed previous reports (49) describing replacement of donor by recipient AMs
14 following LT. While prior investigations suggested that donor AM replacement takes years, we
15 found that donor AMs are mostly replaced within 12 months post-LT. The previous work
16 distinguished donor and recipient AMs using flow cytometric detection of allogeneic HLA
17 molecules, whereas we used SNVs. We believe that the latter, with its coverage of the sequenced
18 transcriptome, more accurately attributes each cell to its origin than HLA typing, since intact
19 allogeneic HLA molecules can move from one cell to another via trogocytosis or exosome-
20 mediated transfer (55). Our findings require further validation, but suggest that relying on surface
21 expression of allogeneic HLA molecules might overestimate donor AM persistence. This is an
22 important area for future investigation, since the rate of clearance of donor leukocytes over time
23 may have prognostic implications in LT (56).

24
25 In summary, we have shown that the AM compartment undergoes specific transcriptional
26 alterations during ALAD, with primarily recipient-origin monocyte-derived ISG and MT AMs
27 emerging. To date, most research on ALAD has focused on lymphocyte-mediated adaptive
28 immune responses. While these are undoubtedly central to lung allograft loss, the appearance of
29 specific AM gene expression programs during ALAD suggests that these cells may contribute
30 actively to the loss of lung function and may therefore represent important mechanistic targets.

31
32

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10 **Author contributions**

11 **S.M.** conceived of and performed experiments, analyzed data and wrote the manuscript. **A.D.**
12 performed experiments and analyzed data. **G.W.** analyzed data and wrote the manuscript. **A.D.**
13 performed experiments and analyzed data. **T.A.** analyzed data. **G.B.** collected and analyzed data.
14 **B.R-P.** collected and analyzed data. **S.K.** conceived of experiments and wrote the manuscript.
15 **S.MacP.** analyzed data and wrote the manuscript. **M.L.** contributed to analysis and wrote the
16 manuscript. **J.Y.** analyzed data and wrote the manuscript. **T.M.** contributed to data analysis and
17 wrote the manuscript. **S.J.** conceived of experiments, analyzed data and wrote the manuscript.

18 **Competing interests**

19 none declared.

20 **Data availability**

21 Data and codes are available in <https://github.com/SamWell16/BAL-scRNASeq>.

1 **Table and Figure legends**
2

3 **Table 1.** Clinical characteristics of stable and ALAD patients.
4

5 **Table 2.** Functional annotation of AMs in stable and ALAD BAL samples
6

7 **Fig 1. The cellular heterogeneity of AMs from stable LT recipients is revealed by single cell
8 RNA sequencing. (A)** Study design. Three stable and three ALAD patients with no evidence of
9 pulmonary infection underwent bronchoscopy at varying times post-transplant. CLAD lung
10 tissue (n=4) was obtained at re-transplantation. Cells were subjected to scRNAseq on the 10x
11 genomics platform. **(B)** tSNE plot showing 12 distinct AM populations in BAL from stable
12 patients. Functional annotation of AMs was performed based on top differentially expressed
13 genes and pathway analysis. **(C)** Expression of the top differentially expressed genes in each
14 stable AM cluster (x-axis) according to cluster (y-axis). Circle colour reflects average expression
15 within the cluster, while the size of each circle reflects the percentage of cells within the cluster
16 expressing the indicated gene. **(D)** Pseudotime trajectory analysis was performed using
17 Slingshot. Recently differentiated CD32b⁺ are at the start of pseudotime while cycling AMs are
18 at the end of the trajectory. **(E)** Expression of canonical genes (x-axes) associated with resident
19 (left panel) and recruited (right panel) AMs.
20

21 **Fig 2. AMs with unique features are present in BAL from patients with acute lung allograft
22 dysfunction. (A)** tSNE plot of AMs from ALAD patients showing 13 distinct AM populations.
23 Functional annotation of AMs was performed as in Fig 1B. **(B)** Clustermap analysis shows 11
24 AM clusters shared between ALAD (top) and stable (bottom) BAL. ALAD clusters 5 and 12
25 were unique to ALAD while stable cluster 10 was unique to stable samples. **(C)** Histogram
26 showing representation of AM clusters in ALAD (red) and stable (blue) samples. Only ALAD
27 samples contained ISG and MT AMs while only stable samples contained AMs forming a
28 distinct G2/M-phase cluster. **(D)** Predicted cell-cell interaction networks of ISG (top) and MT
29 (bottom) AMs with other AMs in ALAD BAL. Proteins involved in these interactions are listed
30 along the bottom half of each semicircle, with the width of each band representing the proportion
31 of cells in the ISG and MT clusters expressing each of the indicated molecules. The thickness of
32 the bands joining proteins to other AM populations is proportional to the uniqueness of the
33 predicted interactions. **(E)** Pseudotime trajectory analysis was performed using Slingshot. The
34 pseudotime trajectories for stable and ALAD AMs were similar, with ALAD shown here. The
35 start and end points of pseudotime are indicated, as are the positions of the ISG and MT clusters
36 along the trajectory.
37

38 **Fig 3. Identification of CXCL10-expressing AMs in an independent set of ALAD patients.**
39

40 In an independent set of BAL samples (n=4 stable and n=3 ALAD), AMs were cultured
41 overnight in the presence or absence of LPS. AMs were identified as CD68+HLA-DR+ cells.
42 **(A)** By intracellular staining, CXCL10 expression was elevated in AMs from ALAD compared
43 to stable BAL samples; CXCL10 expression was augmented in stable AMs but was not further
44 increased in ALAD AMs. Panels below show representative examples of CXCL10+CD163+
45 stable AMs without (left) and with (right) LPS stimulation. Repeated measures mixed effects
46 model with Sidak's post-hoc tests. **(B)**. Measurement of cytokine released into culture
supernatants by freshly isolated BAL cells from 4 stable and 3 ALAD patients, with and without

1 LPS stimulation. From 12 measured cytokines, IL-6, TNFa, IFNg and CXCL10 were released in
2 greater quantities by AM from ALAD compared to stable samples. Data show the median of
3 cytokine measurements for each group (n=4 stable and n=3 ALAD). Each sample was run in
4 duplicate.

5

6 **Fig 4. Association of ISG and MT AMs with inflammation in publicly available AM**
7 **scRNAseq datasets. (A)** tSNE plot of BAL AMs from 10 healthy controls (publicly available
8 data from Mould et al., 2019) integrated with ALAD, grouped into distinct cell clusters (left
9 panel) and grouped based on source of cells (right panel). **(B)** tSNE plot of integrated data shown
10 in A highlighting top three genes differentially expressed by the ISG population (cluster 14 in
11 panel A). ALAD BAL contributed more cells to this population than healthy controls (compare
12 with A, right panel). **(C)** tSNE plot of integrated data shown in A highlighting top three genes
13 differentially expressed by the MT population (cluster 13 in A). ALAD BAL contributed more
14 cells to this population than healthy controls (compare with A, right panel). **(D)** tSNE plot of
15 BAL AMs from COVID19 patients and healthy controls (publicly available data from Liao et al.,
16 2020) grouped into distinct cell clusters (left panel) and grouped based on source of cells (right
17 panel). **(E)** tSNE plot of data shown in D highlighting top three differentially expressed genes of
18 the ISG clusters 0 and 1. Nearly all cells with this transcriptional profile originated from severe
19 COVID-19 BAL rather than moderate COVID-19 or healthy control BAL. **(F)** tSNE plot from
20 data shown in D highlighting the top three differentially expressed genes of the MT cluster 22,
21 which also originated mostly from severe COVID-19 BAL.

22

23 **Fig 5. ISG and MT macrophages are present in CLAD lung tissue. (A)** tSNE plot of
24 macrophages from four integrated explanted CLAD lungs grouped into 16 distinct cell clusters.
25 **(B)** tSNE plots of integrated CLAD macrophages (shown in A) illustrating expression of ISG
26 AM genes CXCL10, GBP1 and IFIT1 in CLAD macrophage cluster 12 (top row). A similar
27 approach identified cluster 14 as MT macrophages in CLAD lung tissue (bottom row). **(C)** tSNE
28 plots highlighting *SPP1*, *FCN1*, and *FABP4* gene expression in macrophages from stable (top
29 row), ALAD (second row), healthy controls (from Mould et al. [ref 15], third row), and CLAD
30 lung tissue (fourth row).

31

32 **Fig 6. Identification of donor and recipient AMs using an SNV calling algorithm. (A)**
33 Identification of different SNVs within specific cell populations allowed identification of
34 genotypes 1 and 2 (GT1 and GT2). In this example from an ALAD patient at 3 months post-LT,
35 epithelial cells came from GT1 and are therefore ascribed to the donor. AMs of GT1
36 outnumbered those of GT2 (red boxes). Circle sizes indicate the proportion of cells in which
37 each SNV is expressed, whereas colours indicate the average minor allele fraction across the
38 cells in each group (red=high, blue=low). **(B)** In both ALAD (red) and stable (blue) BAL
39 samples, the proportion of donor AMs decreased with time post-LT. **(C)** Histograms showing the
40 proportions of donor (blue) and recipient (red) AMs in BAL samples at various times post-
41 transplant.

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2

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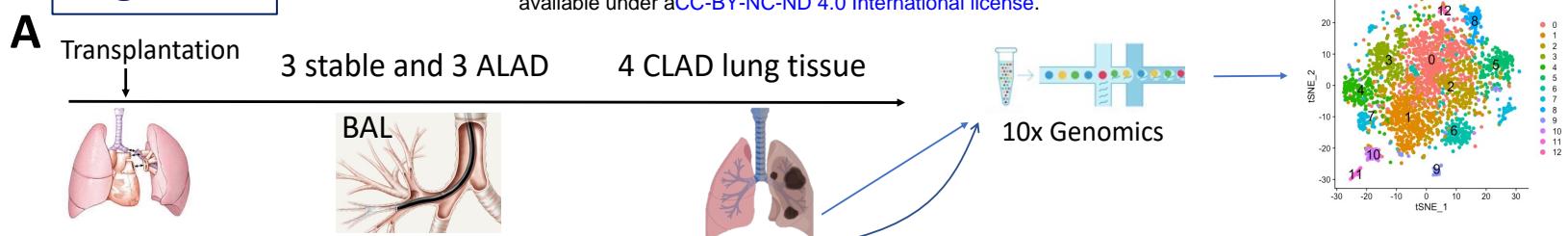
Table 1

	scRNAseq		Flow cytometry	
Recipient age at LTx (mean)	Stable (n=3)	ALAD (n=3)	Stable (n=4)	ALAD (n=3)
	63.7±4.7	53.7±22.5	68.4±3.9	71.2±7.5
Male n (%)	3 (100%)	2 (66.6%)	3 (75%)	2 (66.6%)
D/R sex mismatch	1 (33.3%)	2 (66.6%)	2 (50%)	0
Native lung disease				
Pulmonary Fibrosis/ Interstitial lung dis.	3	0	4	2
COPD/Emphysema	0	2	0	0
Cystic Fibrosis	0	1	0	0
Hypersensitivity Pneumonitis	0	0	0	1
CMV D/R				
D+/R-	1	1	0	1
D+/R+, D-/R+	2	1	3	2
D-/R-	0	1	1	0
Previously treated acute cellular rejection	1 (33.3%)	0	2 (50%)	1 (33.3%)
Respiratory symptoms at time of bronchoscopy	0	1 (33.3%)	0	0
FEV1 at time of bronchoscopy, median (IQR)	1.9 (1.9, 2.4)	2.1 (1.7, 2.99)	2.52 (2.1, 2.7)	2.2 (1.8, 2.8)
Baseline FEV1, median (IQR)	2.1 (2.09, 2.38)	2.62 (1.95, 4.31)	2.66 (2.2, 2.9)	2.53 (2.1, 3.1)
Time from LTx to bronchoscopy (days)	545.7±181.3	821.7±775.9	202±151.5	211.3±178
Acute rejection grade				
A0	3	2	3	2
AX	0	1	1	1
B-grade				
B0	1	0	1	1
BX	2	3	3	2

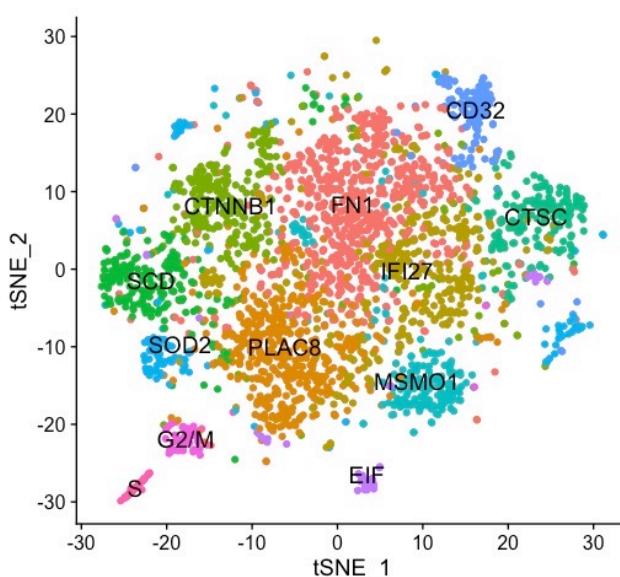
Table 2

Cluster Id	Cluster specificity/function	Previous similar observations	Reference
FN1	M2-like AM	Several top genes (inc. FN1) is is found in M2-like cells	Jablonski et al., 2015 (47)
PLAC8	Extracellular pathogen defense AM	Functional importance shown in mouse models	Ledford et al., 2007 (42)
IFI27	Viral defense AM	Activated in viral infection via TLR7 activation	Tang et al., 2017 (43)
CTNNB1	Intracellular bacterial defense AM	β -catenin-mediated bacterial elimination mechanism	Fu et al., 2017 (44)
SCD	Scavenger AM	There is high similarity between this cluster top DGEs and previously reported FABP4 expressing macrophages.	Coulombe et al., 2014 (46)
CTSC	M1-like, recruited, inflammatory AM		
MSMO1	Sterol synthesizing AM	Lipid metabolism gene signature	Poczobutt et al., 2016 (45)
SOD2	Anti-cell-mediated inflammation AM		
CD32	Resident Ig-regulated AM		
EIF	EIF+ AM	mTORC1 signaling pathway	Pugliese et al., 2017 (48)
G2/M Phase	G2/M-phase AM	Cycling macrophages	Travaglini et al., 2020 (49); Zilionis et al., 2019 (21)
S phase	S-phase AM	—	
ISGs	Inflammatory AM	Similar gene expression observed in AM of severe COVID-19 patients	Liao et al., 2020 (29)
MTs	Metallothionein-expressing AM	Similar gene expression observed in AM of severe COVID-19 patients	Liao et al., 2020 (29)

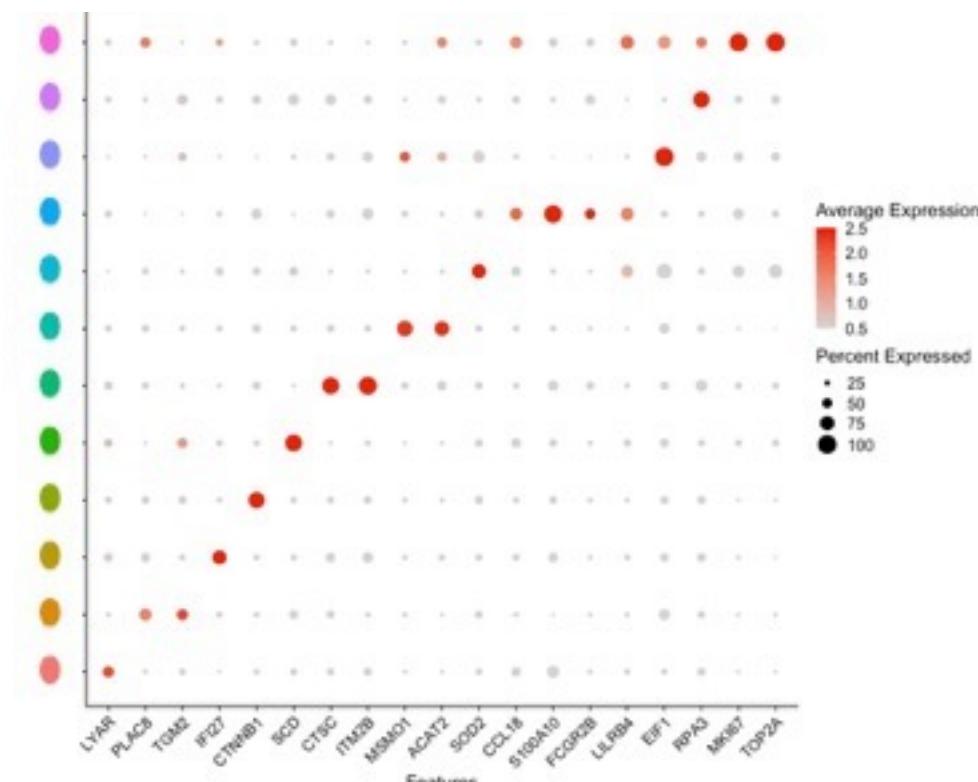
Figure 1



B stable BAL **C**



- FN1+: M2-like
- PLAC8+: extracellular pathogen defense
- IFI27+: viral defense
- CTNNB1+: intracellular bacterial defense
- SCD+: scavenger AM
- CTSC+: M1-like, recruited, inflammatory
- MSMO1+: sterol synthesizing AM
- SOD2+: anti cellular-mediated inflammation
- CD32b+: resident Ig-regulated Mac
- EIF+
- G2/M phase AM
- S phase AM



E Resident Recruited

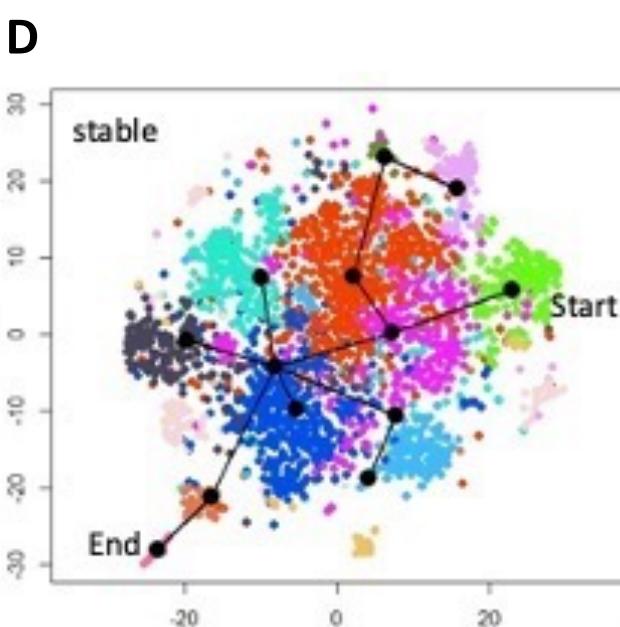
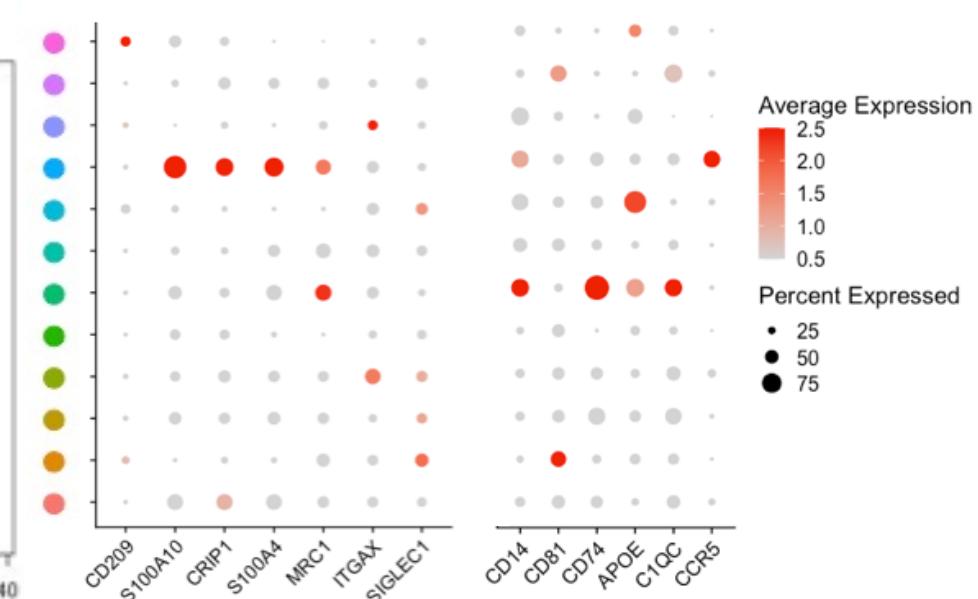


Figure 2

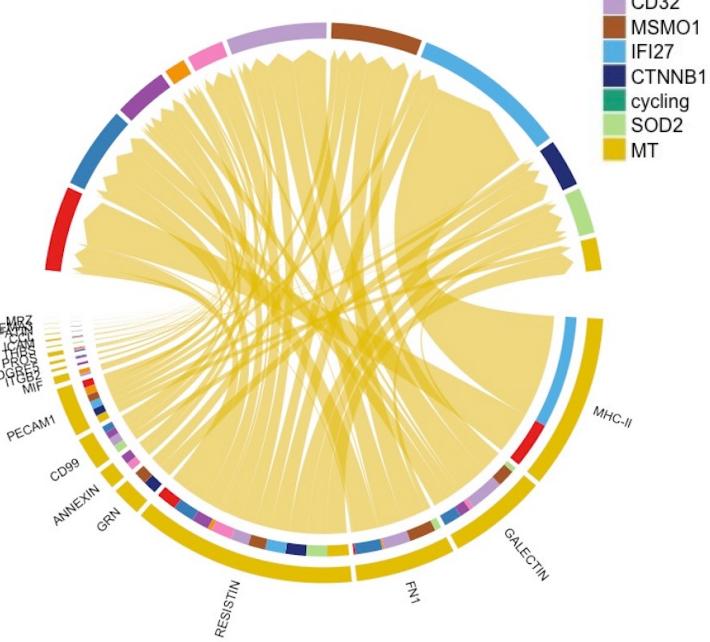
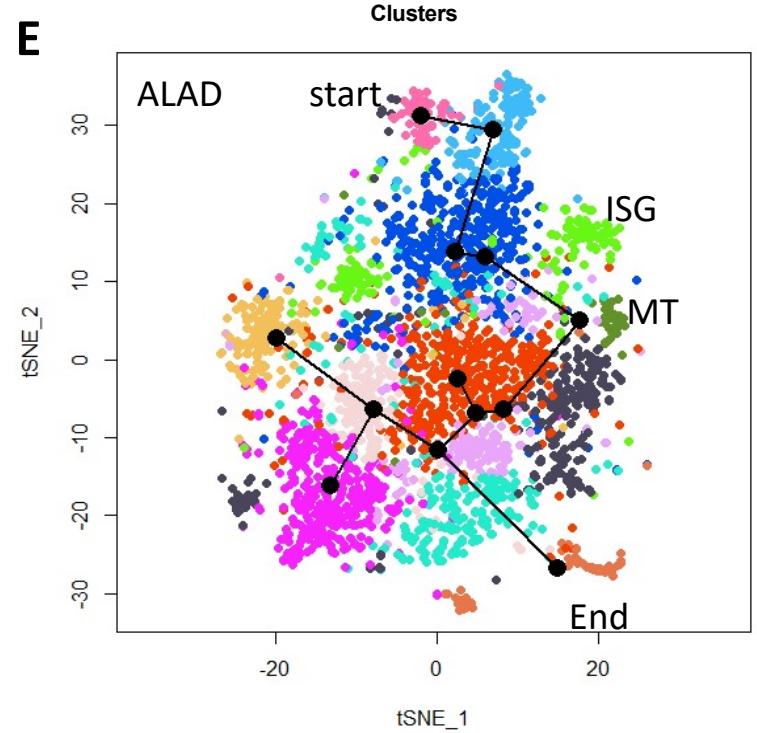
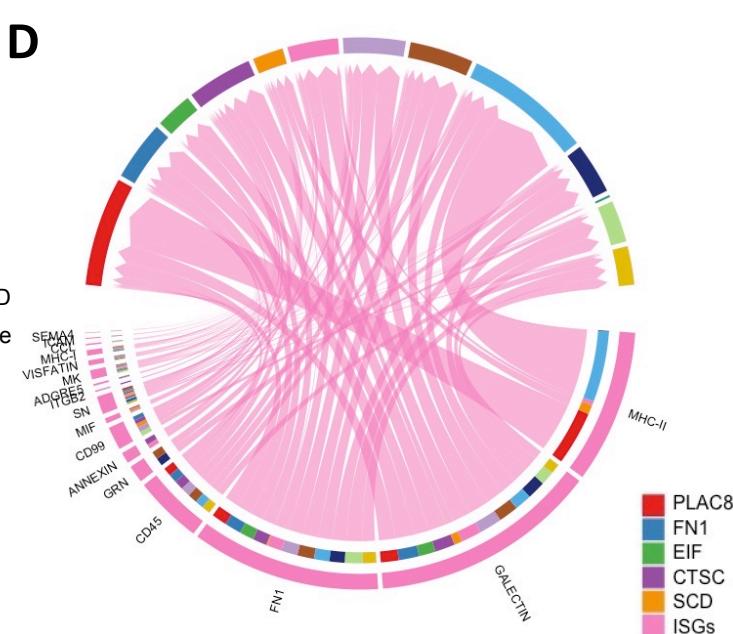
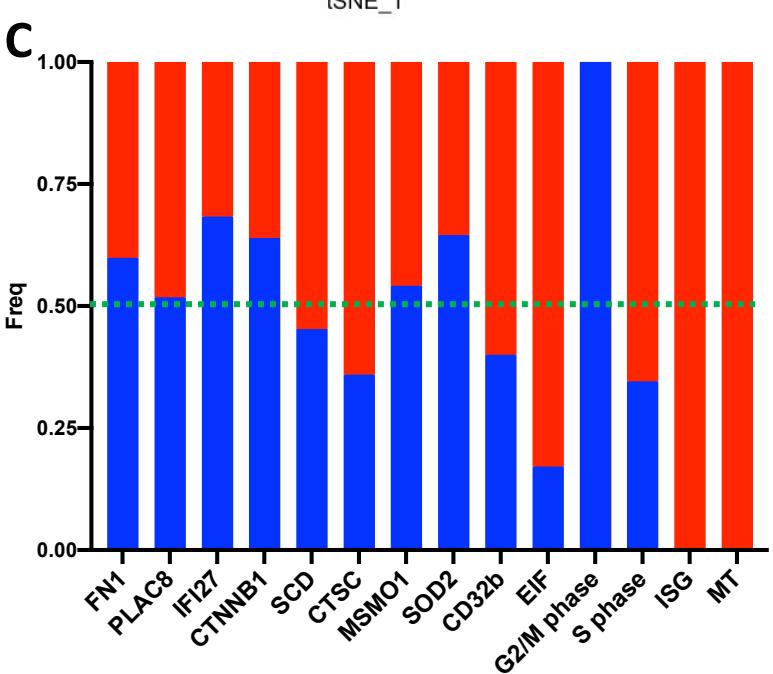
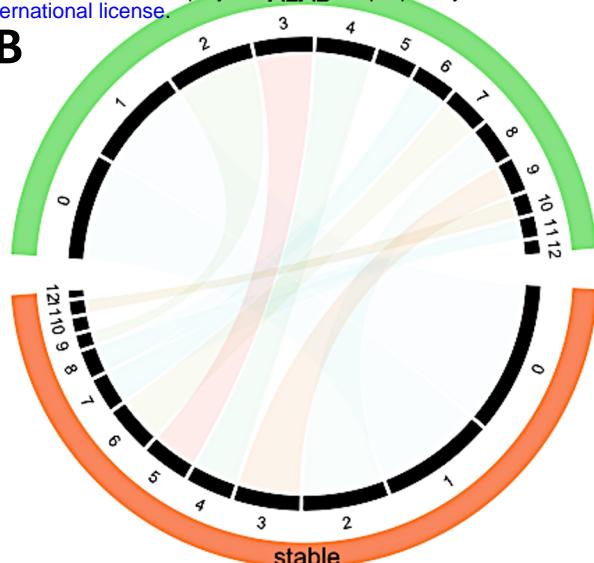
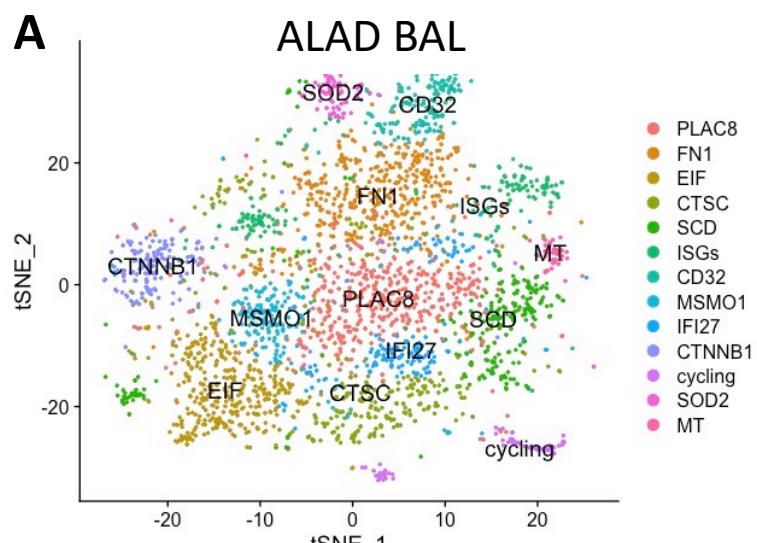
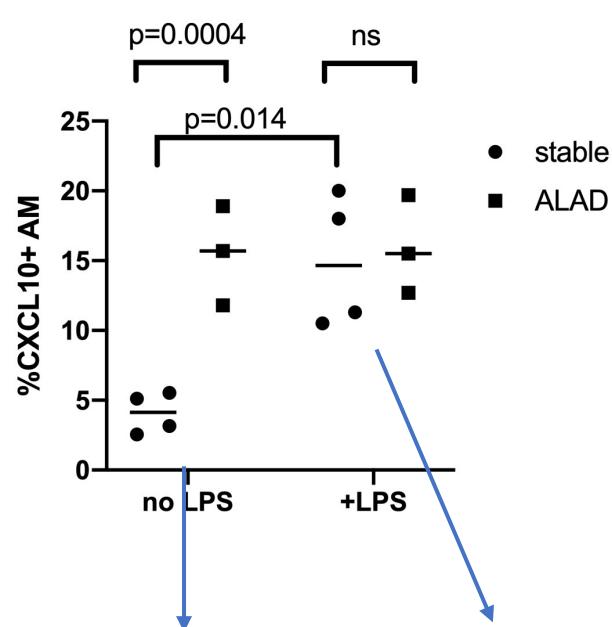


Figure 3

A



B

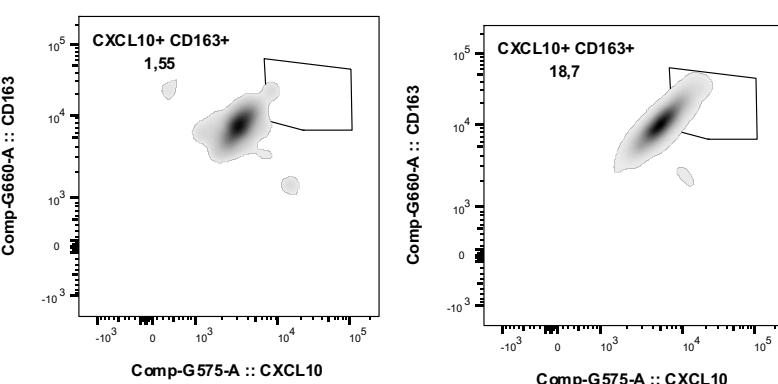
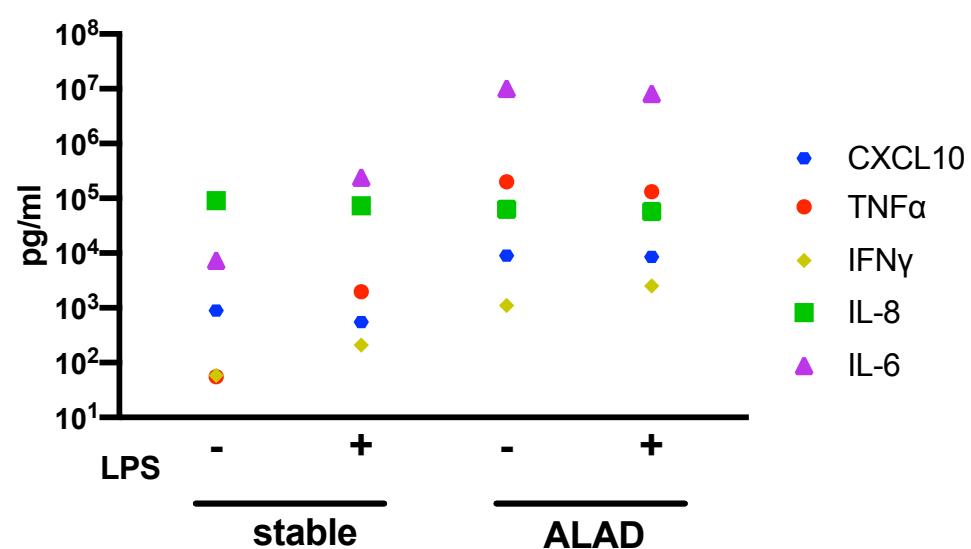
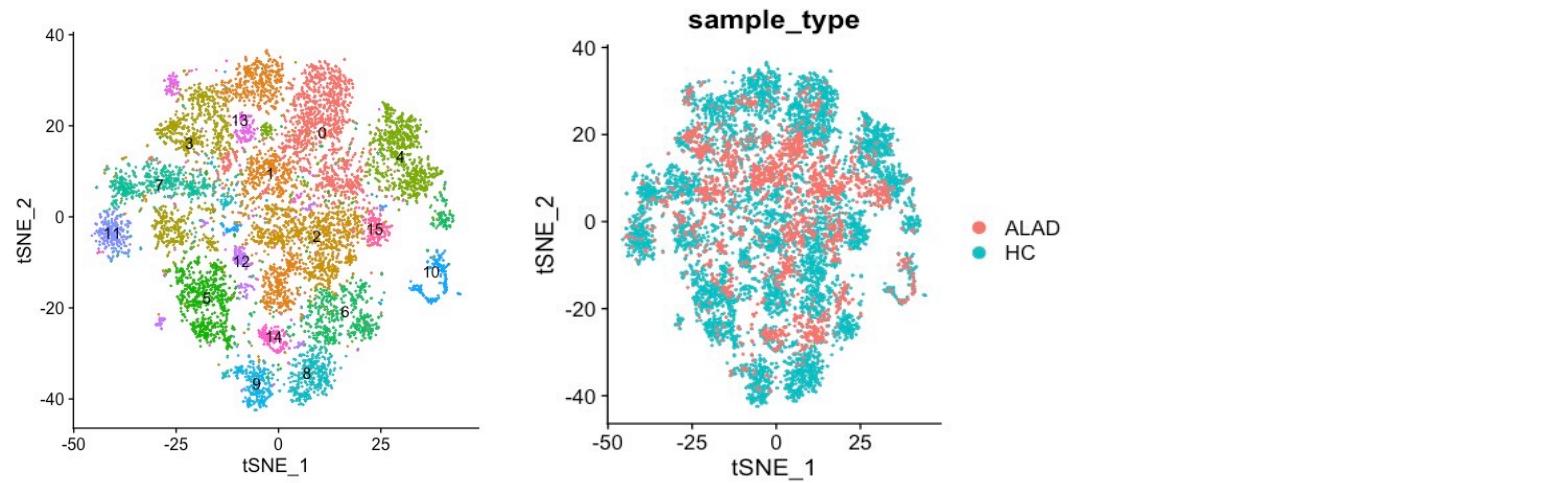


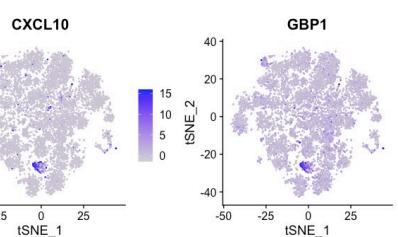
Figure 4

A

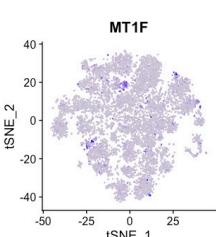
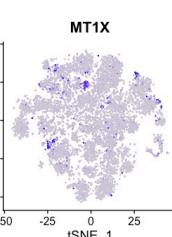
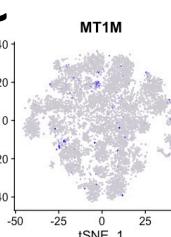
BAL healthy control (Mould et al.)



B

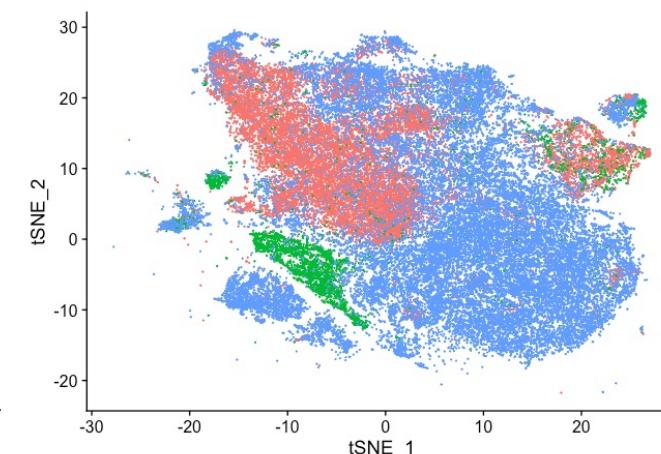
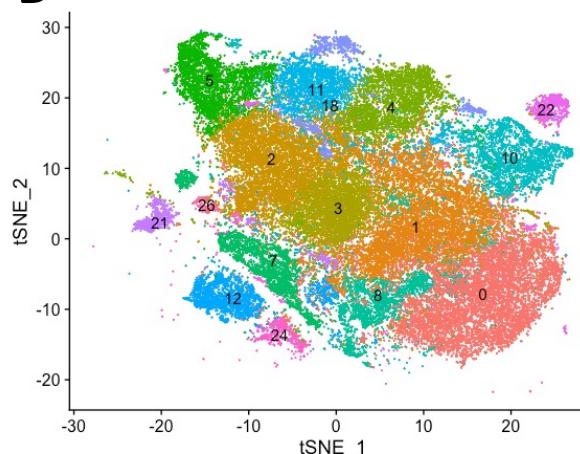


C

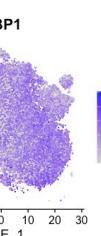
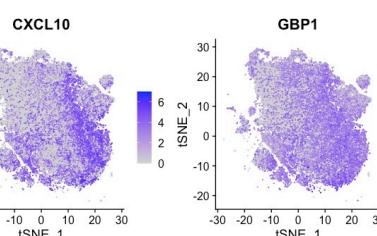


BAL COVID-19 infection (Liao et al.)

D



E



F

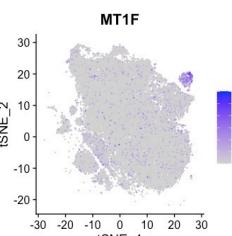
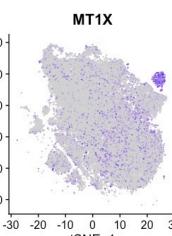
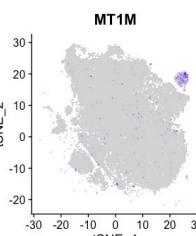


Figure 5

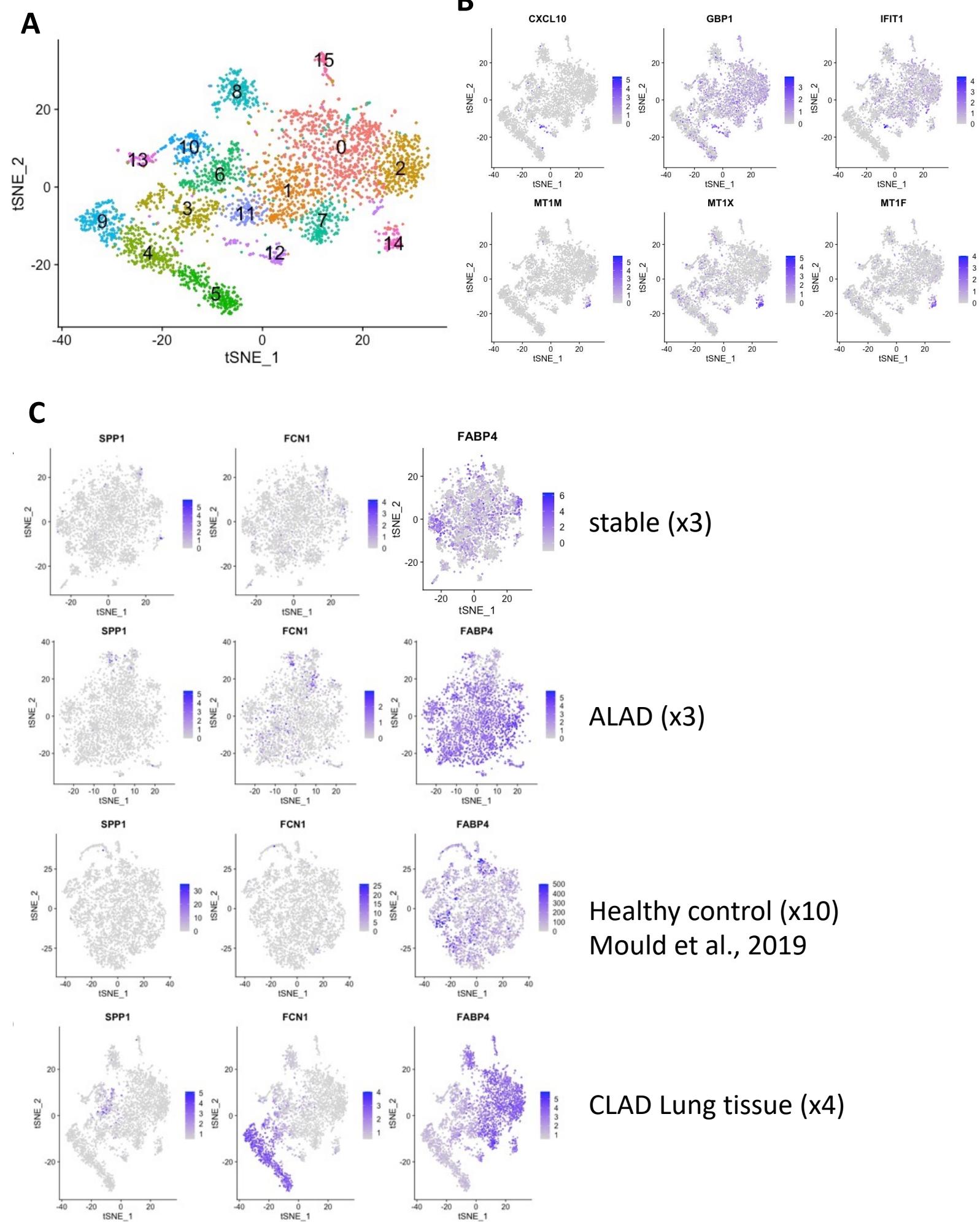


Figure 6

